

Kilometer-scale sound speed structure that affects GNSS-A observation: Case study off the Kii channel

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9 **Abstract**

10 The Global Navigation Satellite System-Acoustic ranging combination technique (GNSS-A) is a
11 recently developed technology to precisely detect seafloor crustal deformation. This method can also
12 estimate km-scale underwater sound speed structure (SSS) as a by-product of monitoring seafloor
13 crustal deformation. This paper evaluates the validity of the spatial gradient and its temporal variation
14 of the SSS estimated by GNSS-A observations off the Kii channel before and after Kuroshio
15 meandering. According to the comparison of the JCOPE2M reanalysis data and the in-situ
16 observation data, the GNSS-A estimated SSS has local structures that are not reproduced in this
17 reanalysis but were detected by in-situ data. In addition, we investigate the effect of observation time
18 on the stability of SSS estimation. The results suggest that the sufficient time required for stable
19 estimation depends on the spatial coverage of observation data, which depends on the depth of the
20 site. As a result, the time resolution was derived to be about one hour at a site whose depth is 1500 m.

21 **1 Introduction**

22 The Global Navigation Satellite System-Acoustic ranging combination technique (GNSS-A) was
23 proposed and developed to extend the geodetic network to the seafloor by combining GNSS
24 positioning with acoustic ranging technology (Spiess, 1985; Asada and Yabuki, 2001; Fujita et al.,
25 2006). This observation technique makes it possible to accurately measure the seafloor movement
26 and to detect various subseafloor geophysical phenomena due to co-, post-, and inter-seismic phases
27 following a huge earthquake cycle (e.g., Sato et al., 2011; Watanabe et al., 2014; Yokota et al., 2016).
28 Recently, Yokota and Ishikawa (2020) reported detection of tiny transient signals due to slow slip
29 events. To monitor this kind of transient signals continuously, it is indispensable to upgrade the
30 GNSS-A accuracy, which requires a better understanding of the underwater sound speed structure
31 (SSS).

32 The uncertainty of the SSS is a major error source of GNSS-A observation. Our group has been
33 developing analysis methods to estimate the SSS from GNSS-A observation (Fujita et al., 2006;
34 Yokota et al., 2019). For example, Yokota and Ishikawa (2019) confirmed that the GNSS-A

35 estimated SSS off the Bungo channel is almost consistent with the temperature gradient structure
36 caused by the Kuroshio.

37 Detailed SSS was discussed using, e.g., seismic survey (Ruddick et al., 2009; Papenberg et al., 2010).
38 Seismic oceanography was also conducted in the Kuroshio region (Tsuji et al., 2005; Nakamura et
39 al., 2006). However, it is not possible to obtain this kind of data at various points at low cost and with
40 high frequency. While seismic oceanography is suitable for understanding the details of complexity
41 and ocean physical characteristics of fine scale ocean, GNSS-A oceanography might be useful for
42 understanding location characteristics and long-term characteristics (seasonal changes and
43 relationships with seafloor topographies). Understanding SSS effect in each technique may also lead
44 to upgrading the accuracy of each technique.

45 This paper conducts surveys on the spatial gradient of SSS that affects the GNSS-A, by comparison
46 between its analysis results and the ocean structure off the Kii channel before and after Kuroshio
47 meandering. The estimated parameters are compared with ocean reanalysis data and in-situ
48 expendable bathythermographs (XBTs) and expendable conductivity temperature depth (XCTD)
49 profilers data. The results depend on whether the Kuroshio is meandering or not. Especially in the
50 case when the Kuroshio meandered, GNSS-A estimated SSS has a gradient that was not reproduced
51 in the reanalysis used for the comparison. Additionally, we examine the temporal variation of the
52 gradient parameters.

53 **2 Method**

54 **2.1 Gradient parameters extraction**

55 In the GNSS-A, to measure the movement of the seafloor accurately, the absolute position of a relay
56 point located on the sea surface is determined by the GNSS, and the relative position between the
57 relay point and the seafloor station is determined by acoustic ranging. As a result, the absolute
58 seafloor position can be determined. The observation system is shown in Fig. 1a. Please see Yokota
59 et al. (2018) for details.

60 In the present day, the horizontal positioning accuracy of the GNSS-A is about 2 cm (1σ). Because
61 the spatiotemporal variations of the SSS are more complex and larger than those of the
62 ionosphere/troposphere which affect the GNSS positioning, the uncertainty of SSS is the largest error
63 source of GNSS-A. Data obtained from in-situ observations such as XBT/XCTD measurements can
64 constrain the SSS, but cannot cover all of these variations in detail. Therefore, developing an analysis
65 method for estimating the SSS in detail is an important research target for GNSS-A observation.

66 After the early work of Fujita et al. (2006) which estimates the temporal variation of the SSS by
67 quadratic polynomial approximation, various techniques to process underwater SSS have been
68 studied. Yokota et al. (2019) reinterpreted Fujita's method and developed a technique that determines
69 the shallow horizontal gradient of the SSS by performing pseudo-tomographic analysis within the
70 triangle V_1 (Fig. 1b) connecting the moving ship and the seafloor station. Additionally, estimation of
71 the SSS when fluctuations occur in deeper regions has been improved by using another triangle V_2
72 (Fig. 1b). Their detailed methodologies are described in Yokota et al. (2019), Yokota and Ishikawa
73 (2019), and Yokota (2019).

74 Here, only the SSS estimation procedure (Fig. 2 in Yokota et al., 2019) is described. First, we
75 generate a horizontal layered SSS from XBT/XCTD observations as an initial parameter. After that,
76 in the inversion analysis, an effect for each acoustic shot due to temporal and spatial changes is

77 corrected. Here, the removal operations of the long-period component and the short-period V_1 and V_2
 78 are performed step by step, and the routine is repeatedly performed until convergence. The
 79 parameters obtained here include high-rate GNSS errors as well as complex disturbances in the
 80 ocean. At present, it is difficult to properly evaluate this amount of error, and the evaluation method
 81 is a future research topic.

82 As discussed in Yokota (2019), the tendency of SSS depends on V_1/V_2 . When V_1/V_2 is higher than
 83 the survey line range for the diameter of the array, which is about 2 in this study, the effect of
 84 shallower gradient becomes stronger, and when V_1/V_2 is lower than 2, the effect of deeper gradient
 85 becomes stronger. The depth tendencies of SSS cannot be determined quantitatively.

86 Generally, to understand the ocean structure, satellite observations are used to capture the global
 87 ocean surface property and in-situ observations, such as XBT/XCTD, Argo float, are used to capture
 88 the vertical profile at a local point. In the GNSS-A, the overview of the horizontal gradient of the
 89 SSS is obtained. Because the spatial range of the obtained gradient depends on the size of the
 90 transponder deployment region, which is typically in the range of 2-6 km, the GNSS-A estimated
 91 SSS can have a slightly different perspective than those of other ocean observations. The GNSS-A
 92 may reveal structures that cannot be detected by other observations. Although there is no other way
 93 to precisely measure SSS in km-scale than costly XBT/XCTD dense observations, we examine the
 94 appropriateness of the GNSS-A estimation results by comparing with other data having different
 95 spatial scales such as in-situ and reanalysis data.

96 2.2 Data

97 Here, we use the data obtained at two sites off the Kii channel, namely, MRT1 and MRT2 (Fig. 1c)
 98 in June 2013 (1306), April 2018 (1804), July 2018 (1807), and November 2018 (1811). The gradient
 99 parameters estimated from GNSS-A data for each observation campaign are represented as vectors
 100 V_1 and V_2 as shown in Fig. 2. Although the vectors can be obtained for each acoustic shot data
 101 continuously, in section 3, we discuss using the time average value from all acoustic shot data.
 102 Temporal variation of gradient vectors will be discussed in section 4.

103 Fig. S1 in Supplemental Material shows residuals of travel time before and after corrections of V_1
 104 and V_2 (colored cross for each station) (A and B), average sound speeds estimated before (gray line)
 105 and after corrections of V_1 and V_2 (colored line for each station) (C), and the position of the vessel
 106 relative to the center of the station array (D) for each shot in the final solution. For example, in the
 107 case of MRT2-1804 (Fig. S1b(C)), estimated average sound speeds for station-N (north) are always
 108 faster than station-S (south). As a result, a large northward V_2 was obtained, suggesting the effect of
 109 deeper northward gradient. In the cases of 1811 (Fig. S1d(C)), although estimation results at both
 110 stations show temporal changes, it can be inferred from the small average V_2 that the effects of the
 111 shallower gradients were larger.

112 Each vector in Fig. 2 is pointing in the direction of higher sound speed. In 1306 and 1811, V_1 is
 113 sufficiently larger than V_2 . This suggests that the SSS has a gradient only in the relatively shallow
 114 layers. However, the thickness of the gradient layer cannot be uniquely estimated. In contrast, the
 115 result obtained at site MRT2 in 1804 shows that V_2 is larger than V_1 . This suggests that a gradient
 116 has emerged in a relatively deeper region. Small vectors at site MRT1 in 1807 suggest a weak
 117 gradient SSS.

118 3 Results

119 3.1 Comparison with JCOPE2M reanalysis

120 JCOPE2M is a new ocean reanalysis data that is an advanced version of JCOPE2 used in our
 121 previous studies (Yokota et al., 2019; Yokota and Ishikawa, 2019). JCOPE2M is a data targeting the
 122 northwestern Pacific Ocean, produced by assimilating satellite and in-situ observation data to an
 123 ocean model using a multi-scale three-dimensional variational scheme (Miyazawa et al., 2017;
 124 Miyazawa et al., 2019). The model is based on the Princeton Ocean Model, with a horizontal
 125 resolution of 1/12 degrees. The model can express 10-100 km scale oceanographic phenomena, but
 126 detailed structure on the km-scale has not been completely represented. Here, we compare the GNSS-
 127 A estimated gradient vector of SSS with the JCOPE2M reanalysis.

128 Fig. 2 shows the temperature and its gradient at 100 m depth, derived from the reanalysis during each
 129 observation campaign. In 1306, the northern edge of the Kuroshio lies around the vicinity of MRT1
 130 and MRT2. The large southward gradient field V_1 is consistent with the reanalysis (Fig. 2a). This
 131 result is similar as ones off the Bungo channel (Yokota and Ishikawa, 2019), when the Kuroshio
 132 flows near the GNSS-A site.

133 After August 2017, when the Kuroshio began to meander, the southward gradient vectors around the
 134 two sites in the JCOPE2M reanalysis changed because warm seawater advected to the south (Figs
 135 2b-d). In 1807 (Fig. 2c), site MRT1 was located far from Kuroshio, and site MRT2 was located at the
 136 edge region of Kuroshio. Small extracted vectors at site MRT1 and southward vectors at site MRT2
 137 are consistent with the reanalysis.

138 In 1804 (Fig. 2b), the reanalysis indicates very weak gradients around the sites, because the Kuroshio
 139 was far away from the sites. In contrast, GNSS-A estimates a northward gradient vector which is
 140 inconsistent with reanalysis. In 1811 (Fig. 2d), northward vectors at MRT2 were also inconsistent
 141 with a slight southward gradient in the reanalysis.

142 3.2 Comparison with in-situ sound speed observation

143 To fill the gap between the GNSS-A estimation and the reanalysis, in-situ observation was carried
 144 out along a line crossing the Kuroshio in 1811. XBT/XCTD were dropped sequentially at evenly
 145 spaced points as shown in Fig. 3a. Fig. 3b shows the cross section of the underwater SSS, generated
 146 from the XBT and XCTD data. A shallow seafloor hill topography called the Tosa-bae bump is
 147 located between MRT1 and MRT2. It is possible that this structure affects the fine scale ocean
 148 structure in this region. The area of this topography is masked in Fig. 3b.

149 This result shows that there is a northward gradient around 33.2N-33.5N and a southward gradient
 150 around 32.7N-32.8N which are consistent with the reanalysis. The northward GNSS-A result at site
 151 MRT1 is thought to reflect the 33.2N-33.5N gradient. In addition, different from the comparison at
 152 the Hyuga-nada sites in Yokota and Ishikawa (2019), there is partially complicated structure that
 153 does not appear in the reanalysis around 32.8N-32.9N (200-300 m depth).

154 The northward GNSS-A result at site MRT2, which is inconsistent with the reanalysis, may reflect
 155 the km-scale structure around 32.8N-32.9N shown in this in-situ observation. The qualitative depth
 156 of a gradient structure can be inferred from the discussions in Yokota and Ishikawa (2019) and
 157 Yokota (2019). In the case of this GNSS-A estimation result ($V_1/V_2 \geq 2$), we can indicate a
 158 possibility that there was a shallower side simple structure as shown in the in-situ observation,
 159 though the quantitative depth cannot be determined. The comparison results suggest that GNSS-A

160 was also affected not only by 100 km scale SSS as estimated by reanalysis but also by km-scale SSS
161 as detected by detailed direct observation.

162 However, without costly dense (spatial and temporal) in-situ observation, it is difficult to verify the
163 GNSS-A extraction results in such a complicated case. To apply the GNSS-A estimated SSS
164 oceanographically and to enhance the GNSS-A observation accuracy, more oceanographic in-situ
165 observations and validations will be necessary.

166 The km-scale structure may reflect the complexity of the underwater structure, such as internal
167 gravity waves. In the vicinity of our study area, the Tosa-bae bump may have triggered such a
168 complicated SSS. Matsui et al. (2019) showed that internal gravity waves driven by such topographic
169 peaks affect GNSS-A positioning accuracy, using numerical simulation.

170 4 Discussion

171 4.1 Temporal resolution

172 Up to the above section, we discussed using gradient values that have been time averaged over the
173 entire observation time in each observation campaign. In principle, the gradient vector is estimated
174 for each acoustic shot, which makes it possible to detect the time variation of the gradient vector.
175 Yokota et al. (2019) and Yokota and Ishikawa (2019) visualized the time variation of the gradient
176 vectors using one round of the survey line as shown in Fig. 4a. However, the estimation of the
177 gradient vector is stable only when the survey lines cover the whole observation area. Figs 4b-d show
178 the effect of data coverage on the estimation of gradient vector in the cases using half, one third, and
179 a quarter of all survey lines.

180 Fig. 4 shows that the gradient vectors become unstable as the coverage of the observation area
181 becomes narrower. As shown in the layout of the survey lines, the estimation sensitivity is weak
182 when the survey lines are perpendicular to the gradient, because the data coverage area in the
183 direction of the gradient becomes narrower. In most cases, the SSS around site MRT2 has a
184 meridional gradient since the flow of Kuroshio off the Kii channel is mainly eastward. Therefore,
185 when the survey line covers only in the zonal direction (for example, in Fig. 4d, second vector), a
186 correct gradient cannot be detected.

187 From the above discussion, the time resolution of the GNSS-A estimated gradient vector for site
188 MRT2 is about one hour at best, which is enough time to evenly cover the observation area.
189 However, as with the case of spatial resolution, there is almost no model or in-situ observation that
190 can explain the hourly order fluctuations, making it difficult to verify whether the temporal variation
191 of the estimated gradient vector reflects the actual underwater structure.

192 As shown in Fig. 1b, the survey line length is set to be broadened depending on the depth so that the
193 triangle size is large enough for stable estimation. Practically, the diagonal length of the survey lines
194 is set to be approximately twice the depth of the site. Therefore, the observation time required to
195 evenly cover all directions depends on the depth of the site. In the case of MRT2, whose depth is
196 1500 m, the time resolution is about one hour. Thus, the time resolution T at a site with an arbitrary
197 depth is estimated as follows:

$$198 \quad T \sim 1 * \text{depth (m)} / 1500 \text{ (m)} [\text{hour}] \quad (1)$$

199 The time resolution derived from this relationship is about 2 hours for a 3000 m depth site, and about
200 40 minutes for a 1000 m depth site. However, this is just a provisional result that needs to be
201 confirmed by further research.

202 4.2 Future Works

203 It is considered that the gradient SSSs off the Kii channel shown here reflects not only the large
204 structures due to the Kuroshio but also fine scale fluctuations that are not easy to obtain appropriate
205 data for comparison. In the future, further confirmation of the estimated gradient parameters will
206 contribute not only to improve the accuracy of GNSS-A observations but also to assist the
207 exploration of km-scale ocean fields that cannot be easily observed with the existing oceanographic
208 methods. Since the GNSS-A observation network is deployed around Japan, we will proceed with
209 discussions on regional differences and seasonal changes in features of km-scale structures that can
210 be estimated by GNSS-A oceanography.

211 5 Conflict of Interest

212 The authors declare that they have no conflict of interest.

213 6 Data Availability

214 All data needed to evaluate the conclusions are present in the paper. The XBT/XCTD underwater
215 sound speed data is available in the PANGAEA website (Seafloor Geodesy Group, 2020;
216 <https://doi.pangaea.de/10.1594/PANGAEA.915138>). Additional data related to this paper are
217 available on request to the corresponding author. The JCOPE2M data is available in the JCOPE2M
218 website (<http://www.jamstec.go.jp/jcope/htdocs/e/home.html>).

219 7 Author Contributions

220 YY designed the study and wrote this manuscript. YY and TI have led the direct observation of SSS.
221 YY, TI, SW, and YN discussed about the analysis method and commented to improving the
222 manuscript.

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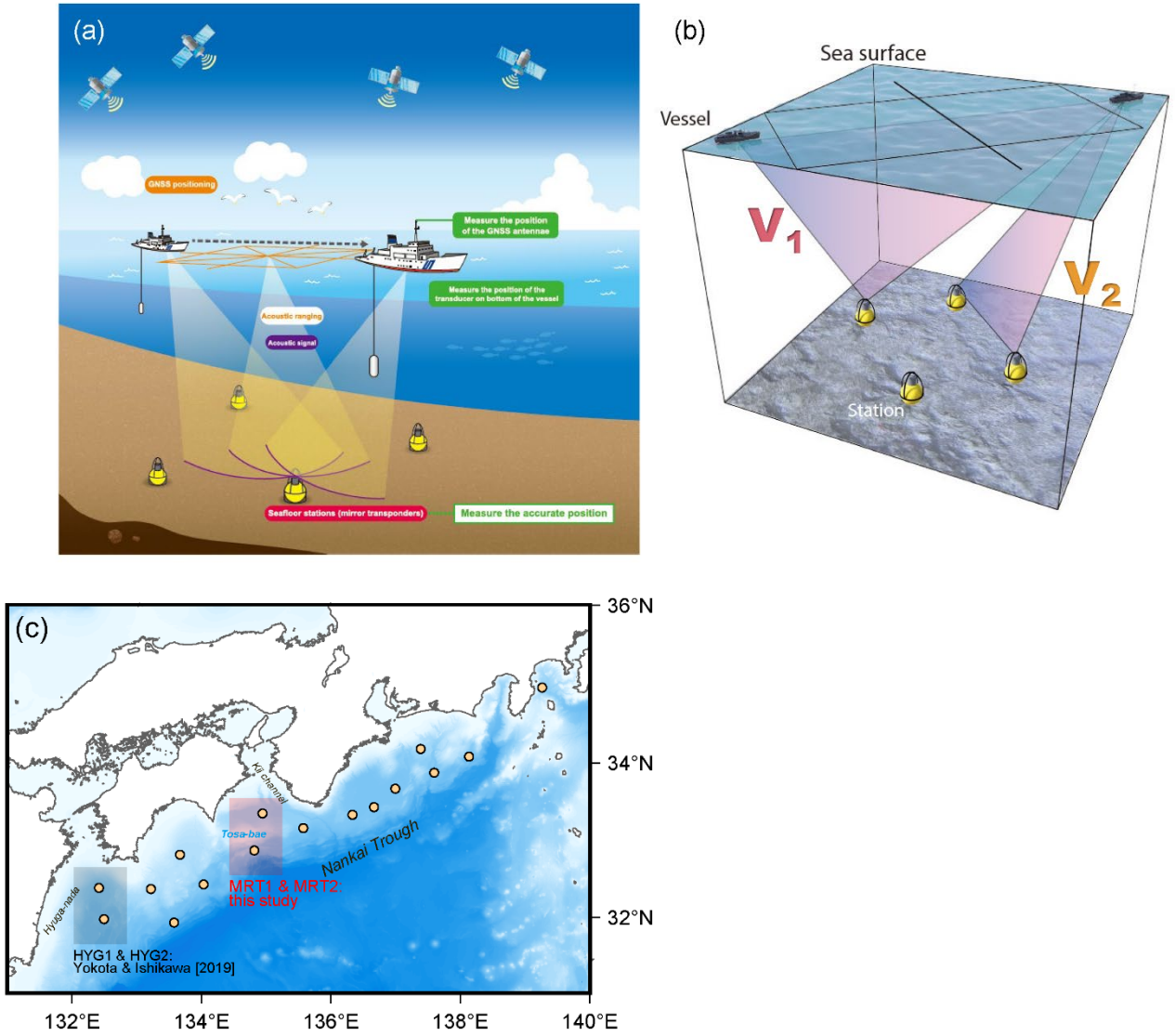
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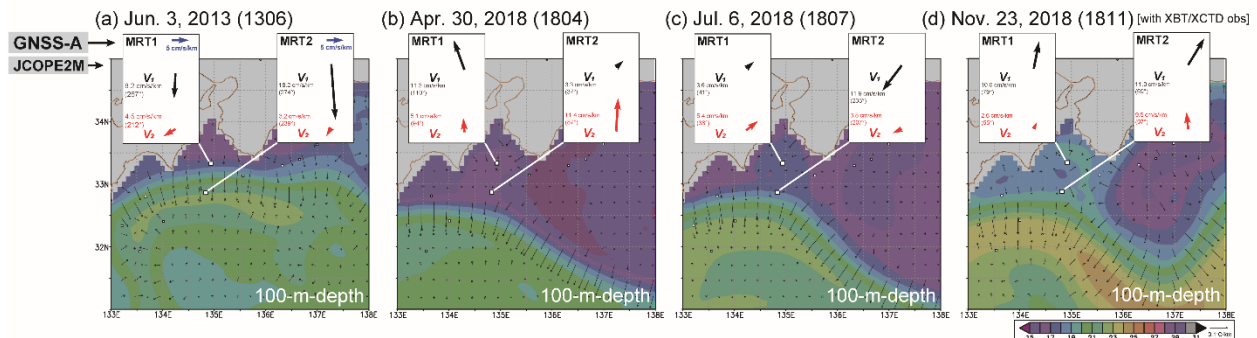
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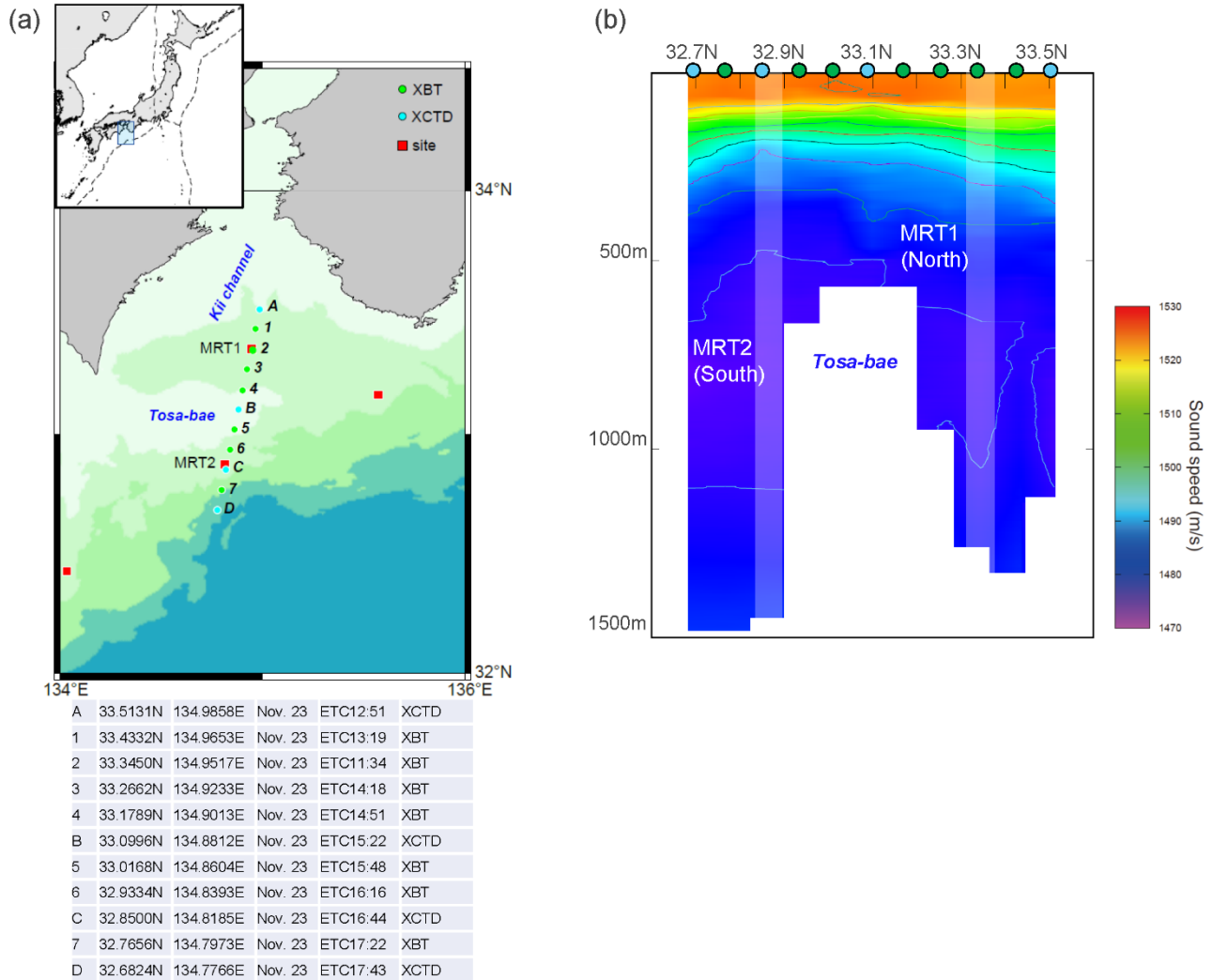
297 Figure legends



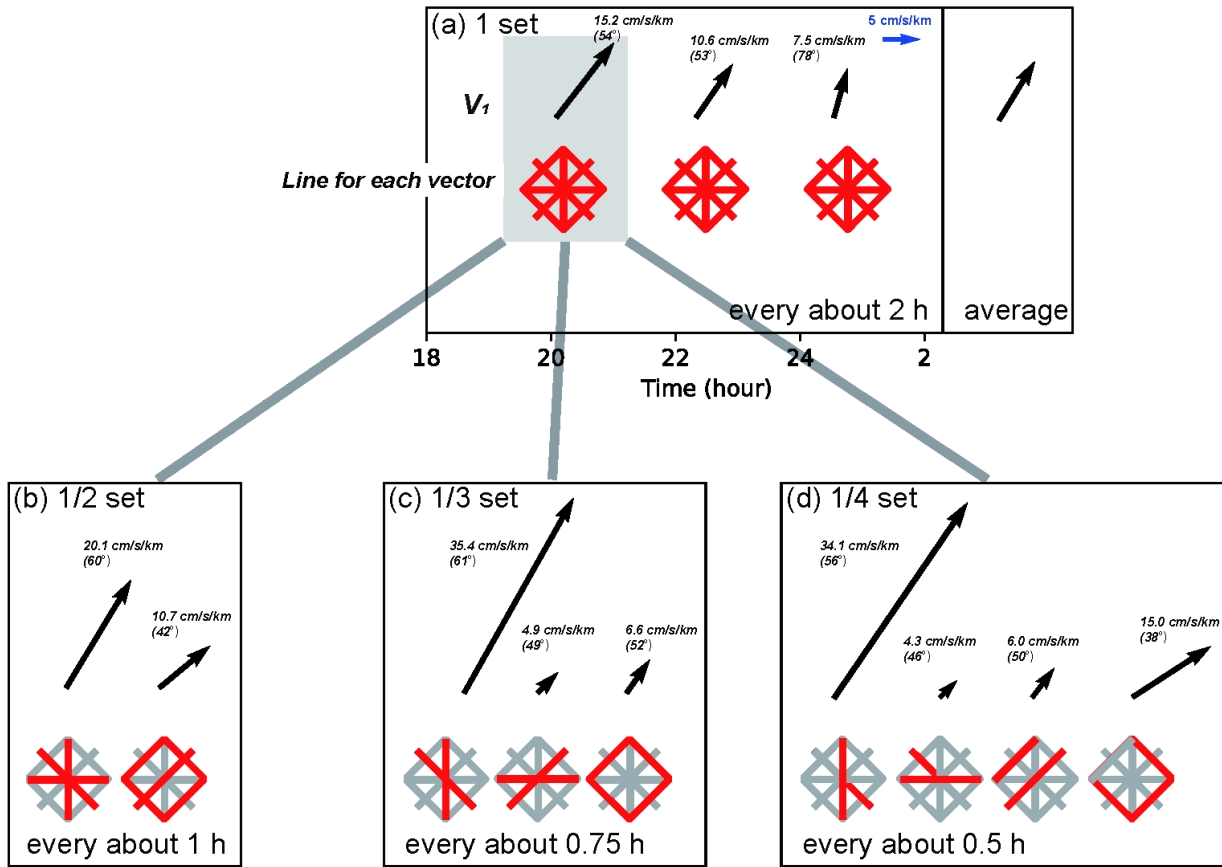
298 Figure 1. (a) A schematic image of the GNSS-A system. (b) A schematic image of scanning SSS. These
 299 figures are modified after Yokota et al. (2018, 2019). (c) GNSS-A sites (orange circles) along the
 300 Nankai Trough as of November 2018.



301 Figure 2. Gradient parameters extracted in GNSS-A (black (V_1) and red (V_2) vectors) and 100 m-depth
 302 temperature fields of the JCOPE2M reanalysis on observation dates, (a) Jun. 3, 2013, (b) Apr. 30,
 303 2018, (c) Jul. 6, 2018, and (d) Nov. 23, 2018 for MRT1 and MRT2. The value of each vector
 304 (absolute value and counterclockwise angle from east) is written beside the vector. Scales of the
 305 water temperature and the temperature gradient vector of the JCOPE2M reanalysis are shown on
 306 the bottom right.



307 Figure 3. (a) Locations of the seafloor sites and direct sound speed observation points in November
 308 2018. Red squares indicate seafloor sites MRT1 and MRT2. Green and light-blue circles indicate
 309 XBT and XCTD observation points, respectively. Details of XBT and XCTD casts are listed in
 310 the bottom table. (b) Resultant SSS cross section of direct observations. White bars indicate
 311 regions around sites MRT1 and MRT2. Green and blue circles at the surface indicate positions of
 312 the XBT and XCTD casts, respectively.

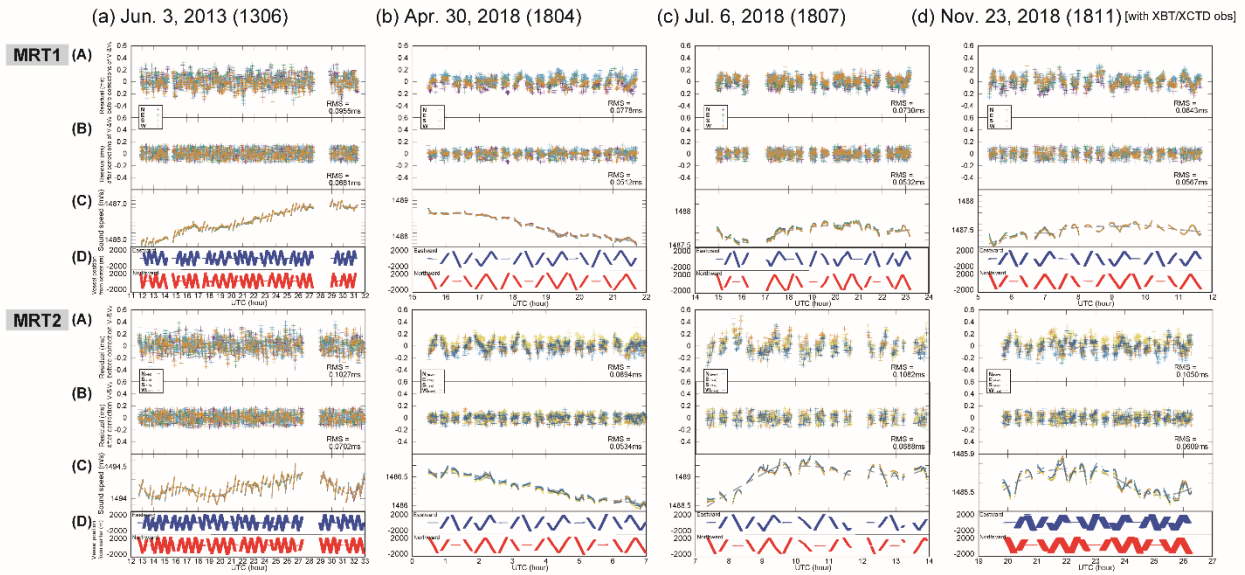


313 Figure 4. Time series of the gradient parameters of site MRT2 on Nov. 23, 2018. The cases calculated
 314 for one set of survey lines (a; every about 2-hour), divided into two parts (b; every about 1-hour),
 315 divided into three parts (c; every about 0.75-hour), and divided into four parts (d; every about 0.5-
 316 hour), respectively. Each survey line (red line) shows the approximate line set for calculating each
 317 vector. The value of each vector (absolute value and counterclockwise angle from east) is written
 318 beside the vector.

319

320

321 Supplemental Material



322 Figure S1. Residual time series of the travel time data for acoustic shots on observation dates, (a) Jun.
 323 3, 2013, (b) Apr. 30, 2018, (c) Jul. 6, 2018, and (d) Nov. 23, 2018 for MRT1 and MRT2. Colored
 324 crosses and lines indicate residuals and average sound speeds for each station, respectively. After
 325 1306, seafloor stations were replaced at MRT2. (A) Residual time series before corrections of V_1
 326 and V_2 . (B) Residual time series after corrections of V_1 and V_2 . (C) Average sound speeds
 327 estimated before (gray) and after corrections of V_1 and V_2 (colored). (D) Time series of the vessel
 328 positions from the center (blue: eastward, red: northward).