Automated Seismic Source Characterisation Using Deep Graph Neural Networks

3	M. P. A. van den Ende 1 , JP. Ampuero 1
4	¹ Université Côte d'Azur, IRD, CNRS, Observatoire de la Côte d'Azur, Géoazur, France
5	Key Points:
6	• We propose a deep learning approach for automated earthquake location and mag-
7	nitude estimation based on Graph Neural Network theory
8	• This new approach processes multi-station waveforms and incorporates station lo-
9	cations explicitly

Including station locations improves the accuracy of epicentre estimation compared
 to models that are location-agnostic

Corresponding author: M. P. A. van den Ende, martijn.vandenende@geoazur.unice.fr

12 Abstract

Most seismological analysis methods require knowledge of the geographic location of the 13 stations comprising a seismic network. However, common machine learning tools used 14 in seismology do not account for this spatial information, and so there is an underutilised 15 potential for improving the performance of machine learning models. In this work, we 16 propose a Graph Neural Network (GNN) approach that explicitly incorporates and lever-17 ages spatial information for the task of seismic source characterisation (specifically, lo-18 cation and magnitude estimation), based on multi-station waveform recordings. Even 19 using a modestly-sized GNN, we achieve model prediction accuracy that outperforms meth-20 ods that are agnostic to station locations. Moreover, the proposed method is flexible to 21 the number of seismic stations included in the analysis, and is invariant to the order in 22 which the stations are arranged, which opens up new applications in the automation of 23 seismological tasks and in earthquake early warning systems. 24

²⁵ Plain language summary

To determine the location and size of earthquakes, seismologists use the geographic locations of the seismic stations that record the ground shaking in their data analysis 27 workflow. By taking the distance between stations and the relative timing of the onset 28 of the shaking, the origin of the seismic waves can be accurately reconstructed. In re-29 cent years, machine learning (a subfield of artificial intelligence) has shown great poten-30 tial to automate seismological tasks, such as earthquake source localisation. Most ma-31 chine learning methods do not take into consideration the geographic locations of the 32 seismic stations, and so the usefulness of these methods could still be improved by pro-33 viding the locations at which the data was recorded. In this work, we propose a method 34 that accounts for geographic locations of the seismic stations, and we show that this im-35 proves the machine learning predictions.

37 1 Introduction

Seismic source characterisation is a primary task in earthquake seismology, and involves the estimation of the epicentral location, hypocentral depth, and seismic moment of earthquakes. Particularly for the purposes of earthquake early warning, emergency response and timely information dissemination, an estimate of the seismic source characteristics needs to be produced rapidly, preferably without the intervention of an an-

-2-

alyst. One computational tool that satisfies these requirements is machine learning, making it a potential candidate to address the challenge of rapid seismic source characterisation.

Recently, attempts have been made to apply machine learning to seismic source 46 characterisation (Perol et al., 2018; Lomax et al., 2019; Kriegerowski et al., 2019; Mousavi 47 & Beroza, 2020). In the ConvNetQuake approach of Perol et al. (2018), a convolutional 48 neural network was adopted to distinguish between noise and earthquake waveforms, and 49 to determine the regional earthquake cluster from which each event originated. This method 50 was extended by Lomax et al. (2019) to global seismicity. Mousavi & Beroza (2020) em-51 ployed a combined convolutional-recurrent neural network to estimate earthquake mag-52 nitudes. It is noteworthy that these methods only accept single-station waveforms as an 53 input, which goes against the common intuition that at least three seismic stations are 54 required to triangulate and locate a seismic source. One possible explanation for the per-55 formance of these methods is that they rely on waveform similarity (Perol et al., 2018) 56 and differences in phase arrival times (Mousavi & Beroza, 2020). Unfortunately, owing 57 to the opacity of the methods, this hypothesis is not easily tested. 58

Alternatively, a multi-station approach would take as input for each earthquake all 59 the waveforms recorded by the seismic network. One compelling argument in favor of 60 single-station approaches is that for each earthquake there are as many training sam-61 ples as there are stations, whereas in the multi-station approach there is only one train-62 ing sample per earthquake (the concatenated waveforms from the whole network). Since 63 the performance of a deep learning model scales with the volume of data available for 64 training, the model predictions may not improve when combining multiple station data into a single training sample. Moreover, concatenating data from multiple stations in a 66 meaningful way is non-trivial. If the seismic network has a Euclidean structure, i.e. if 67 it is arranged in a regular pattern like for uniformly-spaced seismic arrays or fibre-optic 68 distributed acoustic sensing, the data can be naturally arranged into e.g. a 2D image, 69 where the distance between each pixel is representative of the spatial sampling distance. 70 Unfortunately, most seismic networks are not arranged in a regular structure, so that 71 the geometry of the network needs to be learned implicitly, as was attempted by Kriegerowski 72 et al. (2019). Even though this approach yielded acceptable hypocentre location estimates, 73 it remains an open question whether better results could be achieved when the non-Euclidean 74 nature of the seismic network is better accounted for. Moreover, the seismic stations com-75

-3-

prising the network may not be continuously operational over the period of interest (due
to (de)commissioning, maintenance, or temporary campaigning strategies), leading to
gaps in the fixed Euclidean data structure. Rather, seismic networks are better represented by a time-varying graph structure.

The deep learning tools most commonly used in seismology, convolutional neural 80 networks (CNNs) and multi-layer perceptrons (MLPs), are well suited to Euclidean data 81 structures, but are not optimal for graph data structures. One important characteris-82 tic of graphs is that they are not defined by the ordering or positioning of the data, but 83 only by the relations between data. As such, valid operations on a graph need to be in-84 variant to the data order. This is not generally the case for CNNs, which exploit order-85 ing as a proxy for spatial distance, nor for MLPs, which rely on the constant structure of the input features. Fortunately, much progress has been made in the field of *Graph* Neural Networks (GNNs; Gori et al., 2005; Zhou et al., 2019), providing a robust frame-88 work for analysing non-Euclidean data using existing deep learning tools. 89

In this contribution, we will demonstrate how GNNs can be applied to seismic source 90 characterisation using data from multiple seismic stations simultaneously. The method 91 does not require a fixed seismic network configuration, and so the number of stations to 92 be included in each sample is allowed to vary over time. Moreover, the stations do not 93 need to be ordered geographically or as a function of distance from the seismic source. 94 This makes the proposed method suitable for earthquake early warning and disaster re-95 sponse applications, in which the number and location of stations on which a given event 96 is recorded is not known a-priori. 97

98 2 Methods

99

2.1 Basic Concepts of Graph Neural Networks

Over the past several years, numerous deep learning techniques have been proposed that allow for the analysis of non-Euclidean data structures (Bronstein et al., 2017; Zhou et al., 2019), which has found applications in point cloud data (Qi et al., 2017; Wang et al., 2019), curved manifolds (Monti et al., 2017), and N-body classical mechanics (Sanchez-Gonzalez et al., 2019), among many others. As a subclass of non-Euclidean objects, graphs highlight relations between objects, typically represented as nodes connected by edges. Commonly studied examples of graph-representable objects include social networks (Hamil-

-4-

ton et al., 2017), molecules (Duvenaud et al., 2015), and urban infrastructures (Cui et 107 al., 2019). Owing to the lack of spatial ordering of graph structures, mathematical op-108 erations performed on graphs need to be invariant to the order in which the operations 109 are executed. Moreover, nodes and relations between them (i.e. the edges) may not be 110 fixed, and so the graph operations need to generalise to an arbitrary number of nodes 111 and/or edges (and potentially the number of graphs) at any given moment. In essence, 112 suitable graph operations are those that can be applied to the elements of a set of un-113 known cardinality. These can be simple mathematical operations such as taking the mean, 114 maximum, or sum of the set, or they can involve more expressive aggregation (Battaglia 115 et al., 2018) and message passing (Gilmer et al., 2017) operations. 116

To make the above statement more concrete, we represent a seismic network by an 117 edgeless graph in which each seismic station is a node. For the task of seismic source char-118 acterisation, the relations between individual stations are not physically meaningful, and 119 so we do not include edges connecting the nodes in the analysis, reducing the graph to 120 an unordered set. While a graph with no edges may seem ludicrous, the existence of edges 121 is not a requirement for defining a graph, and basic architectural principles (e.g. Battaglia 122 et al., 2018) still apply. Naturally, in cases where the relation between stations is rele-123 vant, edge information should be included. Each node in our graph carries two attributes: 124 a three-component seismic waveform time-series, and a geographic location. The graph 125 itself carries four attributes: the latitude, longitude, depth, and magnitude of the seis-126 mic source. Through suitable processing and aggregation of the node attributes, the ob-127 jective for the GNN is to predict the graph attributes. 128

129

2.2 Model architecture

The model architecture employed in this work consists of three components that 130 operate sequentially – see Fig. 1 and Supplementary Text S1 for details (Tompson et al., 131 2015; Saxe et al., 2014; Hu et al., 2020). Firstly, we analyse the waveforms of a given sta-132 tion using a CNN. This CNN processes the three-component waveform (comprising N_t 133 time samples) and extracts a set of N_f features. The geographic location (latitude/longitude) 134 of the seismic station is then appended to produce a feature vector of size N_f+2 . This 135 feature vector serves as an input for the second component: an MLP that recombines 136 the time-series features and station location into a final station-specific feature vector 137 of size N_q . This process is repeated for all N_s stations in the network using the same CNN 138



Figure 1. Synoptic overview of the adopted model architecture. The three-component waveforms from a receiver station are fed into a CNN, after which the extracted features are combined with the station's geographic location and further processed by an MLP. The resulting node feature vector of all the stations are aggregated, and this aggregated feature vector is passed through a second MLP that predicts the seismic source characteristics.

and MLP components (i.e. the exact same operations are applied to each station individually). The convolution operations are performed only along the time axis. The output of the CNN after concatenation with each station location is then of size $N_s \times (N_f + 2)$, and the output of the MLP is of size $N_s \times N_q$.

After processing of the node attributes (the waveforms and locations of each station), the output of the MLP is max reduced over all stations to yield a graph feature vector. Empirically we have found that a max reduce yields better results than averaging or summation. The extracted features carry no physical meaning, and the information content of the feature vectors adapts to the type of aggregation during training. Hence, the most suitable type of aggregation needs to be determined experimentally. Finally,

the graph feature vector is fed into a second MLP to predict the graph attributes, be-149 ing the latitude, longitude, depth, and magnitude of the seismic source. Each of these 150 source attributes is scaled so that they fall within the continuous range of -1 < x < 1151 +1, enforced by a tanh activation function in the last layer in the network. In contrast 152 to previous work (Perol et al., 2018; Lomax et al., 2019), no binning of the source char-153 acteristics is performed. Moreover, we do not perform event detection, as this has already 154 been done in numerous previous studies (Dysart & Pulli, 1990; Li et al., 2018; Mousavi 155 et al., 2019; Wu et al., 2019, and others) and is essentially a solved problem. Instead, 156 we focus on the characterisation of a given seismic event. Note that the procedure above 157 is intrinsically invariant to the number and ordering of the seismic stations: the feature 158 extraction and re-combination with the geographic location is performed for each node 159 individually and does not incorporate information from the neighbouring stations. The 160 aggregation and the resulting graph feature vector are also independent of the number 161 and ordering of stations. Finally, the seismic source characteristics are predicted from 162 this invariant graph feature vector, and are hence completely independent of the network 163 input ordering and size. 164

To regularise the learning process, we include dropout regularisation (Srivastava 165 et al., 2014) with a dropout rate of 15 % between each layer in each model component. 166 Since the mechanics of convolutional layers are different from "dense" layers (those defin-167 ing the MLPs), we use spatial dropout regularisation (Tompson et al., 2015) that ran-168 domly sets entire feature maps of a convolutional layer to zero (as opposed to individ-169 ual elements in the feature maps). The use of dropout regularisation is dually motivated: 170 first of all it reduces overfitting on the training set, as the model cannot rely on a sin-171 gle layer output (which could be randomly set to zero), promoting redundancy and gen-172 eralisation within the model. Secondly, by randomly perturbing the data flow within the 173 neural networks, the model output becomes probabilistic. The probability distribution 174 of the model predictions for a given event can be acquired by evaluating a given input 175 multiple times at inference time. This technique is commonly referred to as Bayesian dropout 176 (Gal & Ghahramani, 2016), as it yields a posterior distribution and hence provides a means 177 to estimate the model uncertainty for the predictions. 178

-7-

179

2.3 Data description and training procedure

To construct a training set, we use ObsPy (Beyreuther et al., 2010) to download 180 the broadband station inventory and earthquake catalogue of the Southern California 181 Seismic Network (SCSN; Hutton et al., 2010) over the period 2000-2015. For both the 182 seismic station and event locations, we limit the latitude range from 32° to 36° , and the 183 longitude range from -120° to -116° . The lower earthquake magnitude limit is set to 184 3 with no depth cut-off. In total, 1377 events and 187 stations are included in the data 185 set. After downloading the three-component waveforms and removing the instrument 186 response, we filter the waveforms to a 0.1-8 Hz bandpass and interpolate onto a common 187 time base of $1 \le t \le 101$ seconds after the event origin time, over 2048 evenly spaced time samples (≈ 20 Hz sampling frequency). For an average P-wave speed of 6 km s⁻¹, 189 this time interval allows the stations at the far ends of the domain (roughly 440×440 190 km in size) to record the event while keeping the data volume compact. The lower limit 191 of the frequency band is chosen below the corner frequency of the earthquakes in this 192 analysis ($M_w < 6$, with corresponding corner frequency $f_c > 0.2$ Hz; Madariaga, 1976) 193 such that information regarding the seismic moment is retained. The upper frequency 194 limit acknowledges the common notion that attenuation and scattering rapidly reduce 195 the signal spectrum at higher frequencies. Although the start time of all selected wave-196 forms is fixed relative to their event origin time, the shift-equivariance of the convolu-197 tion layers ensures that the extracted features are not sensitive to their timing with re-198 spect to the origin. Subsequent aggregation over the time-axis renders the features strictly 199 time-invariant. As a result, selecting a different start of the data time window (which 200 is inevitable when the event origin time is unknown) does not affect the model perfor-201 mance. The processed waveforms are stored in a database which includes the locations 202 of the seismic stations that have recorded the events. Note that not all stations are op-203 erational at the time of a given event, and hence the number of stations with recordings 204 of the event varies. 205

After processing the waveforms, the locations of the stations and seismic source are scaled by the minimum and maximum latitude/longitude, so that the re-scaled locations fall in the range of ± 1 . Such normalisation is generally considered good practice in deep learning. Similarly, the source depth is scaled to fall in the same range by taking a minimum and maximum source depth of 0 and 30 km respectively. The earthquake magnitude is scaled taking a minimum and maximum of 3 and 6. The full data set is then ran-

-8-

domly split 80-20 into a training set and a validation set, respectively. A batch of train-212 ing samples is generated on the fly between training epochs by randomly selecting 16 train-213 ing events, and 50 randomly selected stations associated with each event, which we con-214 sider to strike a good balance between data volume and memory consumption. When 215 a given event was recorded by fewer than 50 stations, the absent recordings are replaced 216 by zeros (which do not contribute to the model performance). The model performance 217 is evaluated through a mean absolute error loss between the predicted and target seis-218 mic source characteristics (scaled between ± 1), and training is performed by minimisa-219 tion of the loss using the ADAM algorithm (Kingma & Ba, 2017). Training is contin-220 ued for 500 epochs, at which point the model performance has saturated. On a single 221 nVidia Tesla K80, the training phase took about 1 hour in total. Once trained, evalu-222 ation of 1377 events with up to 50 stations each takes less than 5 s of computation time 223 (including data transfer overhead), or 3.5 ms per event. 224

²²⁵ 3 Results and Discussion

226

3.1 Reference model performance

We evaluate the performance of the trained model on both the training and val-227 idation data sets separately (Fig. 2a-d and Supplementary Figure S1). The model pos-228 terior is estimated by maintaining dropout regularisation at inference time (as discussed 229 in the previous section), and performing the inference 100 times on each event in the train-230 ing and validation catalogues and calculating the corresponding mean and standard de-231 viation. Overall, the performance is similar for either data set, which indicates that over-232 fitting on the training set is minimal. The mean absolute difference between the cata-233 logue values and the model predictions is less than 0.11° (≈ 13 km in distance) for the 234 latitude and longitude, 3.3 km for the depth, and 0.13 for the event magnitude. While 235 these predictions are not as precise as typical non-relocated estimates for Southern Cal-236 ifornia (Powers & Jordan, 2010), they are obtained without phase picking, crustal ve-237 locity models, nor waveform amplitude modelling. Hence, the method provides a rea-238 sonable first-order estimate of location and magnitude that can serve as a starting point 239 for subsequent refinement based on traditional seismological tools. 240

Since we can compute the posterior distribution for each event, we can compare the confidence intervals given by the posterior with the true epicentre location error. In

-9-



Figure 2. (a)-(d) Prediction error distributions for the trained model, for (a) latitude, (b) longitude, (c) depth, and (d) magnitude of each event. The model performance when including the station geographic locations is evaluated separately for the train and validation data sets, showing minimal overfitting. When the station locations are omitted, the performance is evaluated on the combined data set; (e) Residuals of the epicentral locations. Each arrow represents one catalogued event, starting at the predicted epicentre and pointing towards the catalogue epicentre. The colours indicate the ratio of the misfit over the 95 % confidence interval of the model posterior. Hence, blue colours indicate that the catalogue epicentre falls within the 95 % confidence interval, and red colours that the epicentre falls outside of it; (f) Overlay of the locations of seismic stations on the interpolated prediction error (in km)

Fig. 2e, we plot the residual vectors between the predicted epicentre locations and those 243 in the catalogue. To visualise the model uncertainty, we compute an error ratio metric 244 as the distance between the predicted and catalogued epicentres, normalised by the 95 %245 confidence interval obtained from the model posterior. Hence, values less than 1 indi-246 cate that the true epicentre location falls within the 95 % confidence interval, while val-247 ues greater than 1 indicate the converse. The spatially interpolated prediction error seems 248 partly correlated with the local density of seismic stations (Fig. 2f), as regions with the 240 highest station density also exhibit a low prediction error. The largest systematic errors 250 are found in the northwest and southeast corners of the selected domain, where the sta-251 tion density is low and where the model seems unable to achieve the bounding values 252 of latitude and longitude. This observation can be explained by the behaviour of the tanh 253 activation function, which asymptotically approaches its range of ± 1 , corresponding with 254 the range of latitudes and longitudes of the training samples. Hence, increasingly larger 255 activations are required to push the final location predictions towards the boundaries of 256 the domain, biasing the results towards the interior. This highlights a fundamental trade-257 off between resolution (prediction accuracy) in the interior of the data domain, and the 258 maximum amplitude of the predictions (which also applies to linear activation functions). 259

260

3.2 Importance of a seismic network

A direct test to assess whether the station geographic location information is ac-261 tually used in making the predictions (and therefore holds predictive value), we perform 262 inference on the full data set, but set the station coordinates to a fixed mean value of 263 $(34^{\circ}, -118^{\circ})$ – see Fig. 2a-d and Supplementary Figure S2. While the predictions for the 264 event magnitude remain mostly unchanged, the estimation of the epicentre location de-265 teriorates and becomes broadly distributed (typical for random predictions). This clearly 266 indicates that the station location information plays an important role in estimating the 267 epicentre locations. Thus, the adopted GNN approach, in which station location infor-268 mation is provided explicitly, holds an advantage over station-location agnostic meth-269 ods. Interestingly, the event magnitude is almost as well resolved as when the station 270 coordinates are included, which suggests that the model relies on the waveform data but 271 not on station locations to estimate the magnitude. This was also observed by Mousavi 272 & Beroza (2020), who proposed that the relative timing of the P- and S-wave arrivals 273



Figure 3. Effect of the number of available stations on the mean absolute error of the model predictions for (a) epicentral location, (b) hypocentral depth, and (c) event magnitude. When the number of stations included at inference time is increased, the misfit between the model predictions and the catalogue values decreases. The horizontal dashed and/or dotted lines in the top panels represents the baselines discussed in the text. Panel (d) displays the frequency distribution of the number of stations recording a given event.

may encode epicentral distance information. Combined with the amplitude of the wave-forms, this may implicitly encode magnitude information.

Related to this, we investigate the effect of the (maximum) number of stations in-276 cluded at inference time by selecting, for each event, the stations recording the waveforms 277 with the M highest standard deviations. All other waveforms are set to zero and there-278 fore do not contribute to the predictions. If a given event was recorded by fewer than 279 M stations, only the maximum number of operational stations was used with no aug-280 mentation. We perform the inference for $M = \{1, 2, 5, 10, 15, 20, 30, 40, 50\}$ stations, and 281 compute the mean absolute error of the predictions for the epicentre location (expressed 282 as a distance in km; Fig. 3a), hypocentral depth (Fig. 3b), and event magnitude (Fig. 3c). 283 For all the predicted quantities, we observe that the misfit with the catalogue values rapidly 284

decreases with the maximum number of stations included in the analysis, until the per-285 formance saturates at around $M \geq 40$. The reason for this saturation may lie in the 286 distribution of the number of operational stations per event (Fig. 3d). Since the major-287 ity of catalogued events is recorded by fewer than 40 stations, increasing M beyond 40 288 is only potentially beneficial only for a small number of events. For reference, we com-289 pute two performance baselines: firstly, we take the mean value of each quantity (lat-290 itude, longitude, depth, magnitude) over the catalogue and calculate the mean absolute 291 error relative to these. This baseline represents the performance of a "biased coin flip" 292 (i.e. random guessing). Secondly, we train our model specifically using only a single sta-293 tion per training sample, through which the method specialises to single-waveform anal-294 ysis (c.f. Perol et al., 2018; Lomax et al., 2019; Mousavi & Beroza, 2020). These base-295 lines are included in Fig. 3 as horizontal dotted and dashed-dotted lines for the mean 296 absolute error relative to the (constant value) mean, and for the single-station model, 297 respectively. Strikingly, the model that was trained on the single-station waveforms achieves 298 worse performance in terms of the predicted hypocentre locations than the model trained 299 on 50 stations, but using only a single station at inference time. A possible explanation 300 for this, is that the single-station model may have gotten attracted to a poor local min-301 imum in the loss landscape, after which the model started over-fitting, whereas the 50-302 station model was able to generalise better and descended into a better local minimum. 303

Lastly, we compare our model performance with a model that treats the seismic 304 network as an Euclidean object, and hence has no explicit knowledge of the geographic 305 locations of the seismic stations ("station-location agnostic"). This station-location ag-306 nostic model only features components #1 and #3 (see Fig. 1 and Supplementary Text 307 S2 for details) and does not incorporate the station locations among the data features. 308 Instead, the stations appear in a fixed order in a grid-like arrangement of size $N_s \times N_t \times$ 309 3, where $N_s = 256$ denotes the total number of stations in the network (187) plus zero 310 padding to make N_s an integer power of two. Potentially, the station-location agnostic 311 model is able to "learn" the configuration of the seismic network and implicitly utilise 312 station locations in predicting the seismic source characteristics. As in most traditional 313 CNN approaches, we use a 2D kernel of size $k_s \times k_t$ with $k_s = 3$ so that information 314 from "neighbouring" stations (i.e. sequentially appearing in the grid, which does not im-315 ply geographic proximity) is combined into the next layer of the model. Downsampling 316 of the data is performed along both the temporal and station axes. Even though the num-317

ber of free parameters of the station-location agnostic model is almost twice that of the graph-based model (owing to the larger convolutional kernels), and even though the model has access to all the stations simultaneously, the prediction error of the seismic source parameters is significantly larger (dashed line in Fig. 3). Moreover, the station-location agnostic model required 5 times more computation time per training epoch. Hence, the GNN approach proposed here offers substantial benefits in terms of predictive power and ease of training.

325

3.3 Potential applications

The method proposed in this study does not require the intervention of an analyst 326 to prepare or verify the model input data (e.g. picking P- and S-wave first arrivals), and 327 so it can operate autonomously. This, combined with the rapid inference time of ≈ 3.5 ms 328 for 50 stations, opens up applications in automated source characterisation that require 329 a rapid response, such as earthquake early warning (EEW; Allen & Melgar, 2019), emer-330 gency response, and timely public dissemination. The aim of this study is to demonstrate 331 the potential of incorporating seismic station locations (and possibly other node or edge 332 attributes in a graph structure). Therefore, the model architecture was not optimised 333 with the purpose of EEW in mind. Nonetheless, its modular nature allows for modifi-334 cations required to accommodate the real-time demands of EEW. 335

The first out of three components of this model consists of a CNN that analyses 336 the waveforms of each seismic station and yields a set of station-specific features. The 337 advantage of using a CNN is that it has immediate access to all the available informa-338 tion to produce a set of features optimal for the subsequent MLP components. Alter-339 natively, a different class of deep neural networks, the Recurrent Neural Networks (RNN; 340 Hochreiter & Schmidhuber, 1997; Sherstinsky, 2020), allows for online (real-time) pro-341 cessing of time series. Within the generalised framework of GNNs (Battaglia et al., 2018), 342 replacing the first CNN component with an RNN produces an equally valid model ar-343 chitecture, still independent of the number and ordering of stations. Hence, the proposed 344 graph architecture can be adapted to meet demands of real-time processing for EEW. 345 Flexibility in the number of stations included in the model input facilitates processing 346 of an expanding data set as more seismic stations experience ground shaking after the 347 first detection. For the applications of emergency response and information dissemina-348

-14-

tion, the real-time requirements are less stringent, so that some response time may besacrificed in favour of prediction accuracy.

Our method can be readily applied to automated earthquake catalogue generation 351 in regions where large volumes of raw data exist, but which have not been fully processed. 352 This typically arises in aftershock campaigns with stations that were not telemetered, 353 for instance Ocean Bottom Seismometers. Given the relatively small size of the GNN 354 employed here, re-training a pre-trained model on data from a different region is rela-355 tively inexpensive. Out of the 110,836 trainable parameters, less than half (42,244) re-356 side in the second and third components of the network. The first CNN component is 357 completely agnostic to any spatial or regional information, as it only extracts features from time series of individual stations. Hence, if the waveforms in the target region are 359 similar to those in the initial training region, the first component requires no re-training. 360 This leaves only the smaller second and third MLP components to be re-trained and adapted 361 to the characteristics of the target region. As such, fewer training seismic events than 362 employed for the initial training will be required for fine-tuning of the model. With the 363 re-trained model, the predicted hypocentre locations yield approximate phase arrival times 364 at the various stations in the seismic network, which serve as a basis to set the windows 365 for cross-correlation time-delay estimation and subsequent double-difference relocation. 366

Lastly, we point out that the GNN-approach laid out in this work is rather general, and may be adapted to other applications, such as seismic event detection or classification, that benefit from geographic or relational information of the seismic network. In cases where e.g. inter-station distance is relevant, additional architectural components can be considered (following the framework defined by Battaglia et al., 2018).

372 4 Conclusions

In this study we propose a method to incorporate the geometry of a seismic network into deep learning architectures using a Graph Neural Network (GNN) approach, applied to the task of seismic source characterisation (earthquake location and magnitude estimation). By incorporating the geographic location of stations into the learning and prediction process, we find that the deep learning model achieves superior performance in predicting the seismic source characteristics (epicentral latitude/longitude, hypocentral depth, and event magnitude) compared to a model that is agnostic to the

-15-

- layout of the seismic network. In this way, multi-station waveforms can be incorporated
- while preserving flexibility to the number of available seismic stations, and invariance
- to the ordering of the station recordings. The GNN-based approach warrants the explo-
- ration of new avenues in earthquake early warning and rapid earthquake information,
- as well as in automated earthquake catalogue generation or other seismological tasks.
- 385

386 Acknowledgements

387 Acknowledgments

- ³⁸⁸ MvdE is supported by French government through the UCA^{JEDI} Investments in the Fu-
- ture project managed by the National Research Agency (ANR) with the reference num-
- ber ANR-15-IDEX-01. The authors acknowledge computational resources provided by
- the ANR JCJC E-POST project (ANR-14-CE03-0002-01JCJC E-POST). Python codes
- and the pre-trained model are available from: https://doi.org/10.6084/m9.figshare.12231077
- ³⁹³ [currently available at: https://figshare.com/s/90000bfc549e3e688057]

394 References

- Allen, R. M., & Melgar, D. (2019). Earthquake Early Warning: Advances, Scientific
- 306 Challenges, and Societal Needs. Annual Review of Earth and Planetary Sciences,
- 307 47(1), 361–388. (eprint: https://doi.org/10.1146/annurev-earth-053018-060457)
- doi: 10.1146/annurev-earth-053018-060457
- Battaglia, P. W., Hamrick, J. B., Bapst, V., Sanchez-Gonzalez, A., Zambaldi, V.,
- Malinowski, M., ... Pascanu, R. (2018, October). Relational inductive biases,
 deep learning, and graph networks. arXiv:1806.01261 [cs, stat].
- Beyreuther, M., Barsch, R., Krischer, L., Megies, T., Behr, Y., & Wassermann, J.
- (2010, May). ObsPy: A Python Toolbox for Seismology. Seismological Research
 Letters, 81(3), 530–533. doi: 10.1785/gssrl.81.3.530
- Bronstein, M. M., Bruna, J., LeCun, Y., Szlam, A., & Vandergheynst, P. (2017,
 July). Geometric Deep Learning: Going beyond Euclidean data. *IEEE Signal*
- 407 Processing Magazine, 34(4), 18–42. doi: 10.1109/MSP.2017.2693418
- 408 Cui, Z., Henrickson, K., Ke, R., & Wang, Y. (2019). Traffic Graph Convolutional
- 409 Recurrent Neural Network: A Deep Learning Framework for Network-Scale Traf-
- fic Learning and Forecasting. *IEEE Transactions on Intelligent Transportation Systems*, 1–12. doi: 10.1109/TITS.2019.2950416
- 412 Duvenaud, D. K., Maclaurin, D., Iparraguirre, J., Bombarell, R., Hirzel, T., Aspuru-
- 413 Guzik, A., & Adams, R. P. (2015). Convolutional Networks on Graphs for
- Learning Molecular Fingerprints. In C. Cortes, N. D. Lawrence, D. D. Lee,
- 415 M. Sugiyama, & R. Garnett (Eds.), Advances in Neural Information Processing
- 416 Systems 28 (pp. 2224–2232). Curran Associates, Inc.
- ⁴¹⁷ Dysart, P. S., & Pulli, J. J. (1990, December). Regional seismic event classification
 ⁴¹⁸ at the NORESS array: Seismological measurements and the use of trained neural
 ⁴¹⁹ networks. Bulletin of the Seismological Society of America, 80(6B), 1910–1933.
- Gal, Y., & Ghahramani, Z. (2016). Dropout as a Bayesian Approximation: Representing Model Uncertainty in Deep Learning. *Proceedings of the 33rd International*
- 422 Conference on International Conference on Machine Learning, 48, 1050–1059.
- 423 Gilmer, J., Schoenholz, S. S., Riley, P. F., Vinyals, O., & Dahl, G. E. (2017, June).
- Neural Message Passing for Quantum Chemistry. Proceedings of the 34th International Conference on Machine Learning, 70, 1263–1272.
- 426 Gori, M., Monfardini, G., & Scarselli, F. (2005, July). A new model for learn-

427	ing in graph domains. In Proceedings. 2005 IEEE International Joint Con-
428	ference on Neural Networks, 2005. (Vol. 2, p. 729-734 vol. 2). doi: $10.1109/$
429	IJCNN.2005.1555942
430	Hamilton, W. L., Ying, R., & Leskovec, J. (2017, December). Inductive representa-
431	tion learning on large graphs. In Proceedings of the 31st International Conference
432	on Neural Information Processing Systems (pp. 1025–1035). Long Beach, Califor-
433	nia, USA: Curran Associates Inc.
434	Hochreiter, S., & Schmidhuber, J. (1997, November). Long Short-Term Memory.
435	MIT Press.
436	Hu, W., Xiao, L., & Pennington, J. (2020, January). Provable Benefit of Orthogonal
437	Initialization in Optimizing Deep Linear Networks. arXiv:2001.05992 [cs, math,
438	stat/.
439	Hutton, K., Woessner, J., & Hauksson, E. (2010, April). Earthquake Monitoring in
440	Southern California for Seventy-Seven Years (1932–2008). Bulletin of the Seismo-
441	logical Society of America, $100(2)$, 423–446. doi: $10.1785/0120090130$
442	Kingma, D. P., & Ba, J. (2017, January). Adam: A Method for Stochastic Opti-
443	mization. arXiv:1412.6980 [cs].
444	Kriegerowski, M., Petersen, G. M., Vasyura-Bathke, H., & Ohrnberger, M. (2019,
445	March). A Deep Convolutional Neural Network for Localization of Clustered
446	Earthquakes Based on Multistation Full Waveforms. Seismological Research Let-
447	ters, $90(2A)$, 510–516. doi: 10.1785/0220180320
448	Li, Z., Meier, MA., Hauksson, E., Zhan, Z., & Andrews, J. (2018). Ma-
449	chine Learning Seismic Wave Discrimination: Application to Earthquake
450	Early Warning. $Geophysical Research Letters, 45(10), 4773-4779.$ (_eprint:
451	$eq:https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1029/2018GL077870) \qquad \ \ doi:$
452	10.1029/2018 GL077870
453	Lomax, A., Michelini, A., & Jozinović, D. (2019, March). An Investigation of Rapid
454	Earthquake Characterization Using Single-Station Waveforms and a Convolu-
455	tional Neural Network. Seismological Research Letters, $90(2A)$, 517–529. doi:
456	10.1785/0220180311
457	Madariaga, R. (1976). Dynamics of an expanding circular fault. Bull. Seismol. Soc.
458	$Am, 639-\!666.$

459 Monti, F., Boscaini, D., Masci, J., Rodolà, E., Svoboda, J., & Bronstein, M. M.

- (2017, July). Geometric Deep Learning on Graphs and Manifolds Using Mix-460 In 2017 IEEE Conference on Computer Vision and Pattern ture Model CNNs. 461 Recognition (CVPR) (pp. 5425-5434). doi: 10.1109/CVPR.2017.576 462 Mousavi, S. M., & Beroza, G. C. (2020).A Machine-Learning 463 Approach for Earthquake Magnitude Estimation. Geophys-464 *ical Research Letters*, 47(1), e2019GL085976. (eprint: 465 https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1029/2019GL085976) doi: 466 10.1029/2019GL085976 467 Mousavi, S. M., Zhu, W., Sheng, Y., & Beroza, G. C. (2019, July). CRED: A Deep 468 Residual Network of Convolutional and Recurrent Units for Earthquake Signal 469 Detection. Scientific Reports, 9(1), 1–14. doi: 10.1038/s41598-019-45748-1 470 Perol, T., Gharbi, M., & Denolle, M. (2018, February). Convolutional neural net-471 work for earthquake detection and location. Science Advances, 4(2), e1700578. 472 doi: 10.1126/sciadv.1700578 473 Powers, P. M., & Jordan, T. H. (2010).Distribution of 474 seismicity across strike-slip faults in California. Journal 475 of Geophysical Research: Solid Earth, 115(B5). eprint: 476 https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1029/2008JB006234) doi: 477 10.1029/2008JB006234 478 Qi, C. R., Yi, L., Su, H., & Guibas, L. J. (2017, December). PointNet++: Deep 479 hierarchical feature learning on point sets in a metric space. In Proceedings of 480 the 31st International Conference on Neural Information Processing Systems (pp. 481 5105–5114). Long Beach, California, USA: Curran Associates Inc. 482 Sanchez-Gonzalez, A., Bapst, V., Cranmer, K., & Battaglia, P. (2019, September). 483 Hamiltonian Graph Networks with ODE Integrators. arXiv:1909.12790 [physics]. 484 Saxe, A., McClelland, J. L., & Ganguli, S. (2014). Exact solutions to the nonlinear 485 dynamics of learning in deep linear neural networks. In International Conference 486 on Learning Representations. 487 Sherstinsky, A. (2020, March). Fundamentals of Recurrent Neural Network (RNN) 488 and Long Short-Term Memory (LSTM) network. Physica D: Nonlinear Phenomena, 404, 132306. doi: 10.1016/j.physd.2019.132306 490 Srivastava, N., Hinton, G., Krizhevsky, A., Sutskever, I., & Salakhutdinov, R. 491
- 492 (2014). Dropout: A simple way to prevent neural networks from overfitting.

- Journal of Machine Learning Research, 15(56), 1929–1958.
- Tompson, J., Goroshin, R., Jain, A., LeCun, Y., & Bregler, C. (2015, June). Efficient object localization using Convolutional Networks. In 2015 IEEE Conference on Computer Vision and Pattern Recognition (CVPR) (pp. 648–656). doi:
- 497 10.1109/CVPR.2015.7298664
- 498 Wang, Y., Sun, Y., Liu, Z., Sarma, S. E., Bronstein, M. M., & Solomon, J. M. (2019,
- October). Dynamic Graph CNN for Learning on Point Clouds. Association for
 Computing Machinery.
- Wu, Y., Lin, Y., Zhou, Z., Bolton, D. C., Liu, J., & Johnson, P. (2019, January).
 DeepDetect: A Cascaded Region-Based Densely Connected Network for Seismic
- Event Detection. IEEE Transactions on Geoscience and Remote Sensing, 57(1),
- ⁵⁰⁴ 62–75. doi: 10.1109/TGRS.2018.2852302
- 505 Zhou, J., Cui, G., Zhang, Z., Yang, C., Liu, Z., Wang, L., ... Sun, M. (2019,
- ⁵⁰⁶ July). Graph Neural Networks: A Review of Methods and Applications.
- 507 arXiv:1812.08434 [cs, stat].