Deep ductile shear localization facilitates near-orthogonal strike-slip faulting in a thin brittle lithosphere

Chao Liang¹, Jean-Paul Ampuero¹, and Daniel Pino $Mu\tilde{n}oz^2$

¹Géoazur, Université Côte d'Azur, IRD, CNRS, Observatoire de la Côte d'Azur, 06560, Valbonne, France
 ²Centre de mise en forme des matériaux (CEMEF), Mines-ParisTech, PSL Research University, CNRS
 UMR 7635, 1 rue Claude Daunesse, 06904, Sophia Antipolis Cedex, France, France

Key Points:

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9	•	Shear bands in deep ductile layer induces orthogonal strike-slip faulting in thin
10		brittle lithosphere
11	•	Faults started in brittle lithosphere exhibit narrow angle and cut deep into duc-
12		tile layer
13	•	Low confining pressure at shallow depth facilitates near-orthogonal strike-slip fault-
14		ing

Corresponding author: Chao Liang, chao.liang@geoazur.unice.fr

15 Abstract

Some active fault systems comprise near-orthogonal conjugate strike-slip faults, as high-16 lighted by the 2019 Ridgecrest and the 2012 Indian Ocean earthquake sequences. In con-17 ventional failure theory, orthogonal faulting requires a pressure-insensitive rock strength, 18 which is unlikely in the brittle lithosphere. Here, we conduct 3D numerical simulations 19 to test the hypothesis that near-orthogonal faults can form by inheriting the geometry 20 of deep ductile shear bands. Shear bands nucleated in the deep ductile layer, a pressure-21 insensitive material, form at 45° from the maximum principal stress. As they grow up-22 wards into the brittle layer, they progressively rotate towards the preferred brittle fault-23 ing angle, $\sim 30^{\circ}$, forming helical shaped faults. If the brittle layer is sufficiently thin, the 24 rotation is incomplete and the near-orthogonal geometry is preserved at the surface. The 25 preservation is further facilitated by a lower confining pressure in the shallow portion 26 of the brittle layer. For this inheritance to be effective, a thick ductile fault root beneath 27 the brittle layer is necessary. The model offers a possible explanation for orthogonal fault-28 ing in Ridgecrest, Salton Trough, and Wharton basin. Conversely, faults nucleated within 29 the brittle layer form at the optimal angle for brittle faulting and can cut deep into the 30 ductile layer before rotating to $\sim 45^{\circ}$. Our results thus reveal the significant interactions 31 between the structure of faults in the brittle upper lithosphere and their deep ductile roots. 32

³³ Plain Language Summary

Some notable earthquakes have occurred on sets of horizontally-sliding faults that 34 are oriented at almost right angles (90°). This is puzzling because the conventional the-35 ory of how Earth's brittle lithosphere breaks predicts a narrower angle between faults, 36 close to 60° . Our work offers an explanation to this puzzle. Theory also predicts that 37 faults can form at right angles in rocks whose strength does not depend on the pressure 38 acting on them. This is the case in the deep viscous layers below the brittle layer. Our 39 computer simulations show that a pair of faults formed at right angle in deep viscous 40 rocks can then grow upwards, gradually rotating to the narrower angle expected in the 41 brittle layer. If the brittle layer is too thin, there is not enough room for complete ro-42 tation and the faults reach the surface with almost right angle. This mechanism is ef-43 fective on brittle lithospheres thinner than their ductile roots, which is the case in some 44 regions where faulting at right angle is observed. Thus, our results show that the duc-45 tile root has important effects on the geometry of faults in the brittle upper lithosphere. 46

47 Introduction

Several earthquake sequences have involved ruptures on conjugate orthogonal strike-48 slip faults (Figure 1): the 2012 Indian Ocean earthquake (Meng et al., 2012), the 2019 49 Ridgecrest sequence (Ross et al., 2019), the 1987 Superstitious Hills sequence (Hudnut 50 et al., 1989; Hanks & Allen, 1989) and numerous others in Japan (Thatcher & Hill, 1991; 51 Fukuyama, 2015). Orthogonal strike-slip faulting is puzzling because it contradicts the 52 conventional Coulomb faulting theory, which predicts that, for typical values of rock fric-53 tion coefficient of 0.6-0.9 (Byerlee, 1978; Jaeger et al., 2009), crustal conjugate faults should 54 intersect at an angle of 48 to 60° (at 24 to 30° from the maximum principal stress σ_1). 55 In that framework, a nearly orthogonal fault geometry implies a pressure-insensitive strength 56 (a friction coefficient of zero or a ductile material), which is unlikely in the brittle litho-57 sphere. 58

One proposed explanation is that orthogonal faults originally formed at a narrower angle consistent with Coulomb theory and then rotated towards the current geometry (e.g., Freund, 1974; Nur et al., 1986). However, this theory relies on an ad hoc termination of rotation for faults to end up at nearly orthogonal angle (Thatcher & Hill, 1991). Another possibility is a strong poroelastic effect inside the fault zone bringing the effective fault friction coefficient close to zero (Cocco & Rice, 2002). However, this hypoth-

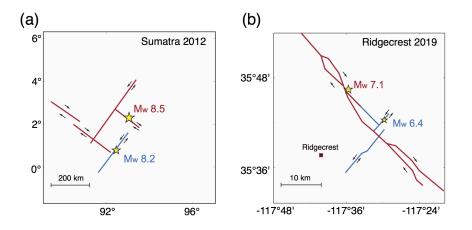


Figure 1. Schematics of orthogonal fault segments ruptured by 2012 Sumatra earthquake (a) and 2019 Ridgecrest sequence (b) (modified from Meng et al. (2012) and Ross et al. (2019)). The red traces mark the ruptured segments for the main shock (Mw 8.5 for Sumatra, Mw 7.1 for Ridgecrest) and the blue trace marks one notable aftershock (Mw 8.2, Sumatra) or foreshock (Mw 6.4, Ridgecrest). The black arrows indicate the direction of slip.

esis is in contradiction to the large stress drop observed during the rupture of orthog-65 onal faults (Meng et al., 2012; Wei et al., 2013; Hill et al., 2015). An alternative hypoth-66 esis, first proposed by Thatcher and Hill (1991), is that orthogonal strike-slip faults in-67 herit their geometry from deep ductile shear zones. This hypothesis is supported by lab-68 oratory rock experiments in which shear bands appear at $\sim 45^{\circ}$ to σ_1 under lower crust 69 pressure and temperature conditions (e.g., Shelton et al., 1981). In addition, geological 70 observations of high-strain mylonite shear zones in the lower crust and upper mantle in-71 dicates the possibility of localization at high pressure and temperature conditions (White 72 et al., 1980; Bürgmann & Dresen, 2008; Montési, 2013). Possible weakening mechanisms 73 in the ductile roots include thermo-mechanical coupling induced by shear heating (e.g., 74 Brun & Cobbold, 1980; Hobbs et al., 1986), grain size reduction (e.g., Montési & Hirth, 75 2003; Mulyukova & Bercovici, 2019), and phase transformations (e.g., Kirby, 1987; Green Ii 76 & Burnley, 1989; Green et al., 1990). However, it is unclear to what extent can the brit-77 the layer preserve the structure of deeply nucleated ductile shear bands and what are the 78 key controlling factors of such inheritance. 79

In this work, we perform 3D numerical simulations to quantitatively test the hy-80 pothesis that nearly orthogonal faults in the upper brittle lithosphere are formed by in-81 heriting orthogonal structures initiated in the deeper ductile layer. Inspired by the fact 82 that several notable earthquakes on orthogonal faults occurred in regions with thin crust 83 or elevated heat flow, such as the Indian Ocean plate, Salton trough (Superstitious Hills 84 earthquake), and near the Coso geothermal area (Ridgecrest earthquake), we further hy-85 pothesize that the inheritance is favored by a thin brittle layer. We adopt a simple two-86 layered elastoplastic model and simulate faults as plastic shear bands initiated by a weak 87 inclusion. This minimalistic model captures the primary ingredients sufficient for test-88 ing our hypothesis while allowing us to distill fundamental understandings of the pro-89 cess. Guided by dimensional analysis (Barenblatt, 1996), we explore the control of dif-90 ferent length scales, as well as the contrast of elastic stiffness and shear strength on the 91 rotation of fault angles. Finally, we show that considering a more realistic depth-dependent 92 shear strength profile does not change our conclusions. 93

94 Model setup

Our simple 3D model features two layers (k = 1 upper, k = 2 lower) with a lat-95 eral size L, thickness H_k , Young's modulus E_k and Poisson's ratio ν_k . In the upper layer, 96 we adopt the Drucker-Prager yield criterion, as widely used to model brittle materials 97 (e.g., Drucker & Prager, 1952; Templeton & Rice, 2008; Stefanov & Bakeev, 2014; Chemenda 98 et al., 2016; Duretz et al., 2018): the shear strength is $S_1 = \mu_1 P + c_1$ where P is the 99 effective pressure (the negative of effective mean stress), μ_1 the frictional coefficient and 100 c_1 the cohesion. To avoid mesh-dependent results, we incorporate dilatancy, with dila-101 tancy coefficient β_1 . The deeper layer is elasto-plastic with the pressure-insensitive von 102 Mises yield criterion, which is suitable for ductile materials (e.g., Mises, 1913; Schajer, 103 1994; Besson, 2010): its shear strength is S_2 . We assume perfect plasticity, thus no hard-104 ening or weakening for μ_1 and c_k . 105

In the brittle upper layer, we set $\mu_1 = 0.87$, $\beta_1 = 0.3$, and $c_1 = 10$ MPa, which 106 gives a preferred faulting angle of $\theta \approx 30.4^{\circ}$ relative to the maximum principal stress 107 σ_1 , well predicted by the classic bifurcation theory (Rice, 1973; Rudnicki & Rice, 1975; 108 Chemenda, 2007). By setting $\beta_1 > 0.24\mu_1$ we avoid mesh dependency and obtain smooth 109 shear bands (Templeton & Rice, 2008). In the ductile lower layer, the favored angle is 110 $\theta = 45^{\circ}$. We nucleate the shear band by prescribing a spherical weak zone with radius 111 r, zero friction, zero dilatancy, and a weakened cohesion $c_w = 0.1c_1$ at its center. The 112 weak zone concentrates stresses in its vicinity, which initiate two conjugate shear bands. 113

We set up a pure shear boundary condition to mimick the loading configuration 114 in a strike-slip environment. The top and bottom surfaces are vertically (z) constrained 115 in displacement but with zero shear traction. The deformation is driven by compression 116 in one horizontal direction (y) and extension in the other (x). We start with an initial 117 condition of zero deviatoric stresses and a uniform pressure P_0 , and gradually load the 118 model to the final strain. When depth-dependent initial pressure is applied, the upper 119 surface is set as traction free instead. We set the final strain to be 50% above the yield-120 ing strain of the upper layer $(S_1/2G_1)$, where G_1 is the shear modulus. Given $P_0 = 300$ 121 MPa and G = 30 GPa, this final strain is approximately 4×10^{-3} , sufficient for achiev-122 ing a stable shear band pattern (see supplementary material), yet small enough to avoid 123 distortion from large deformation. 124

Our simulations produce two conjugate faults with depth-dependent angle (Fig-125 ure 3a,b). Upon reaching the final strain, the fault angle at each depth slice is extracted 126 by fitting a line to the ridge of maximum plastic strain extending from the center of the 127 domain (see supplementary material). While the faults rotate slightly at farther distances 128 from the center, due to the effect of lateral boundaries, here we focus on the depth-variation 129 of fault angle in the central region near the crossing of the two conjugate faults. Sim-130 ulations are performed with the parallel finite element code CIMLIB (Digonnet et al., 131 2007; Mesri et al., 2009) developed at Mines ParisTech. 132

133 **Results**

Our analysis characterizes how the fault angle θ depends on depth, and what fac-134 tors control this depth-dependence. We systematically identified the essential parame-135 ters to vary in our simulations based on dimensional analysis (see supplementary ma-136 terial) and exploratory simulations. We first set elastic properties and initial strength 137 identical for both layers, which allows us to isolate the essential length scale that deter-138 mines the depth variation of θ . We then explore the effect of a weaker ductile layer with 139 $E_{2r} = E_2/E_1 < 1$ and $S_{2r} = S_2/S_1 < 1$. Finally, we present the effect of depth-140 varying shear strength on fault angle rotation. The sensitivity of fault angle to lateral 141 model size L and the size of the weak zone r are examined in the supplementary mate-142

rial. Both effects are small when the model size is sufficiently large and the weak zone

size sufficiently small.

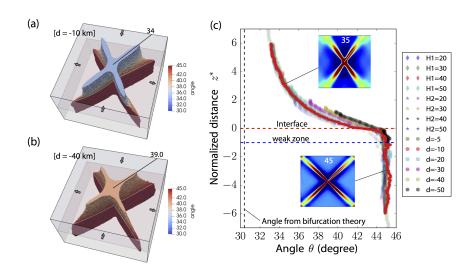


Figure 2. (a-b) 3D fault structure (represented by region with plastic strain higher than the 95% quantile values) in two simulations with different nucleation positions (-10 and -40 km) below the interface. Colors indicate the fault angle at each depth and arrows indicate the loading condition. (c) Fault angle θ as a function of normalized vertical position z^* (red curve for reference case, dots for varying parameters). Parameters for the reference model are L = 200 km, d = -10 km, and $H_1 = H_2 = 60$ km. The vertical gray dashed line marks the faulting angle (30.4°) predicted by bifurcation theory for the brittle layer. To first order, all simulations collapse onto the same master curve after normalization. The two insets show the final plastic strain at two depths (color saturates at the 10% and 95% quantile values), highlighting the difference of faulting angles.

The most important factor controlling the persistence of orthogonal faulting up to 145 the surface is the position d of the weak zone relative to the material interface (defined 146 such that d > 0 is in the upper layer and d < 0 in the lower layer). After represent-147 ing the fault angle θ as a function of a normalized depth $z^* = (z+H_1)/|d|$, the results 148 from simulations with different values of |d|, H_1 , and H_2 collapse onto two master curves, 149 corresponding to nucleation within the ductile (Figures 2c) and brittle layers (Figure 3c), 150 respectively. The convergence to the master curve is closer at depths away from the top 151 and bottom boundaries. 152

Shear bands nucleated in the ductile layer form at an angle $\theta = 45^{\circ}$ and progres-153 sively rotate, as they propagate upwards, towards the preferred angle $\theta_b \sim 30.4^\circ$ pre-154 dicted by bifurcation theory in the brittle layer. This rotation results in a helical fault 155 shape. Changing μ_1 and β_1 changes the value of θ_b but does not alter the shape of the 156 curve if θ is normalized as $\theta^* = (\theta - \theta_b)/(45 - \theta_b)$ (see supplementary material). To 157 first order, the rotation solely depends on z^* and not on other length scales such as the 158 size of the model or thickness of both layers, provided these boundaries are far from the 159 interface and from the nucleation zone. A relatively thinner upper crust (smaller $H_1/|d|$) 160 favors inheritance of the deep faulting angle at the surface (Figure 2b,c). For instance, 161 given $H_1/|d| = 0.5$, the surface fault angle is $\sim 42^\circ$ and the two conjugate faults are nearly 162 orthogonal. A larger $|d|/H_1$ and a stronger free surface effect also favor the inheritance. 163

The contrast of shear strength and elastic stiffness have very limited influence on the general trend of shear band rotation, regardless of nucleation depth (Figure S5). Nevertheless, a weaker ductile layer does make orthogonal faulting in the upper crust more difficult: reducing both E_{2r} and S_{2r} to 0.1 reduces the fault angle by $\sim 2^{\circ}$. Our current nucleation scheme is not effective in the ductile layer with a more extreme strength contrast.

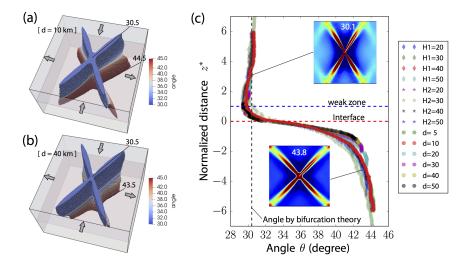


Figure 3. Same as Figure 2 but for nucleation in the brittle layer (d > 0) and a reference model with d = 10 km. The fault angle in the brittle layer is near-optimal and rotates towards 45° in the ductile layer.

¹⁷⁰ Near-orthogonal faults are very unlikely to be initiated in the brittle layer. Indeed, ¹⁷¹ faults nucleated in the brittle layer tend to orient at the optimal angle ($\theta_b \sim 30.4^\circ$) through-¹⁷² out the upper layer (Figure 3a). They rapidly rotate towards 45° inside the ductile layer. ¹⁷³ Yet, since the depth-scale of rotation scales with |d|, bands formed by a shallower nu-¹⁷⁴ cleation can cut deeper into the ductile layer, dragging the deep fault angle substantially ¹⁷⁵ away from 45°.

The mechanism of inheritance of orthogonal faulting persists under depth-dependent 176 shear strength. We conducted simulations assuming a linear increase of shear strength 177 in the top 20 km to 270 MPa followed by an exponential decay in cohesion (with a char-178 acteristic length of 10 km) to mimick the reduction of ductile shear strength due to the 179 rising temperature (Figure 4b). This strength profile is inspired by the rheology param-180 eters, a mixture of quartz-diorite and wet olivine, used in Allison and Dunham (2018) 181 but with a thermal gradient of 20 K/km and a strain rate of 10^{-13} s⁻¹. We bound the 182 strength profile at depth at a minimum of 10 MPa because otherwise our artificial nu-183 cleation procedure would be inefficient, due to the absence of a weakening mechanism 184 in our ductile layer model. As shown in Figure 4a, the depth-dependent shear strength 185 does not alter the first order characteristics of fault angle rotation revealed by our pre-186 vious minimalistic model with uniform strength (Figures 2 and 3), although more com-187 plexities arise due to additional length scales and a weak shallow portion of the upper 188 layer. For faults nucleated in the ductile layer, the rotation approximately follows the 189 master curve of the simpler model close to the material interface. Approximately at the 190 middle of the upper layer, deviation occurs due to a lower confining pressure, which fa-191 vors inheriting deep structures. Shallow near-orthogonal faulting ($\theta > 42^{\circ}$) occurs if 192 $H_1/|d| \ll 1$, a broader range than in the simple model. Faults nucleated in the brit-193 tle layer exhibit a more complex pattern of rotation. Their fault angle approximately 194

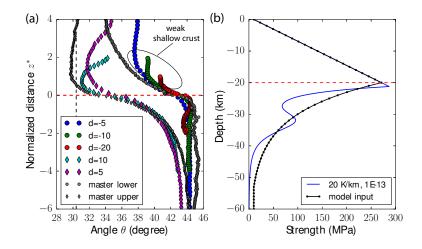


Figure 4. (a) Fault angle θ as a function of normalized distance z^* with a depth-dependent shear strength for different nucleation positions d (see legend, in km). Master curves (gray symbols) are the results with $d = \pm 10$ km from models with uniform shear strength. The deviation introduced by a weak shallow crust (ellipse) favors the inheritance of near-orthogonal faulting. The gray vertical dashed line marks the preferred angle for the upper layer from bifurcation theory. (b) Shear strength as a function of depth assumed in our model (black) and, for comparison, based on the rheological parameters in Allison and Dunham (2018) with a thermal gradient of 20 K/km and strain rate of 10^{-13} s⁻¹.

follows the master curve of the uniform-strength model only for z^* in the range \sim [-2, 0]. In particular, the lower strength at shallow depth introduces an inversion of fault rotation near the free surface.

198 Discussion

Our results depend primarily on the ratio between the thickness of the brittle layer, 199 H_1 , and the distance between the deep fault nucleation and the material interface, d. Al-200 though the latter length scale is generally unknown in real faults, it is bounded by the 201 largest depth below the brittle lithosphere at which spontaneous ductile shear localiza-202 tion can occur. This in turn is bounded by the thickness of the ductile lithosphere, which 203 we take here as the reference length scale. According to our model, for near-orthogonal 204 faults (say, $\theta > 42^{\circ}$) to be observed near the surface, the nucleation must occur in the 205 ductile layer and $H_1/|d| < 1$. The latter condition is always satisfied if $H_1/H_2 < 1$. 206 Thus, this mechanism works best for a thin brittle layer and a thick ductile root. 207

Defining proxies for the brittle and ductile thicknesses, the model results can be 208 compared to natural-scale cases. The depth distribution of crustal earthquakes delineates 209 the extent of a seismogenic zone, which is usually associated with the depth of the brittle-210 ductile transition (BDT) (Scholz, 1988; Kohlstedt et al., 1995; Burov, 2011; Bürgmann 211 & Dresen, 2008; Hauksson & Meier, 2019; Zuza & Cao, 2020) or the transition of fric-212 tional behavior from velocity-weakening to velocity-strengthening within the brittle layer 213 (Tse & Rice, 1986). Furthermore, the BDT is rather a zone of semi-brittle to ductile be-214 havior (Kohlstedt et al., 1995), which can be particularly broad for oceanic lithosphere 215 with moderate to old age and high strength. Despite these caveats and others noted in 216 e.g., Déverchère et al. (2001), we place the BDT at the reported seismogenic depth and 217 also use it as a proxy for the thickness of the brittle layer. The ductile layer is defined 218 as a zone below the BDT and with a strength higher than a few MPa (Kohlstedt et al., 219

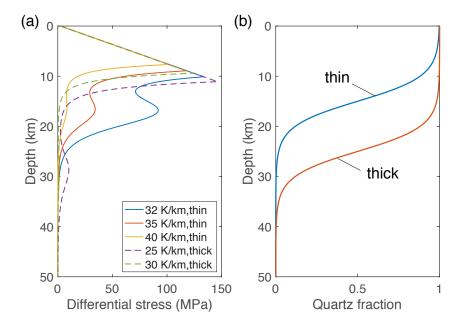


Figure 5. (a) Shear strength profile with different geothermal gradients and compositions for a thick and thin crust. (b) Fraction of quartz. Rock composition is idealized to be a mixture of quartz and olivine and have a smooth transition from a quartz rich upper crust, through an increasingly mafic lower crust, and to a upper mantle made of wet olivine. Note the thicker ductile root for a thin crust due to an upward shift of more mafic composition.

1995; Ranalli, 1997). With these assumptions in mind, we next confront our model pre dictions with available observations.

In continental plates, orthogonal strike-slip faulting appears to be particularly de-222 veloped in relatively extensional environments marked by elevated heat flow and recent 223 volcanism (Thatcher & Hill, 1991). In light of our model, we further posit that these re-224 gions are likely to have a thin brittle layer overlaying a comparatively thick ductile root. 225 A thin seismogenic upper crust and high heat flow is indeed observed both near Ridge-226 crest (10.5-11 km) and Salton Trough (\sim 10 km) (Hauksson & Meier, 2019; Ross et al., 227 2019; Zuza & Cao, 2020). The thickness of the ductile layer is dictated by the shear strength 228 profile below the BDT, which is strongly influenced by the mineral compositions and usu-229 ally poorly known. Assuming a quartz-rich lithology for the entire crust, the high geother-230 mal gradient would lead to a sharp decline of shear strength below the BDT, dramat-231 ically shortening the thickness of the ductile layer. In reality, the lower crust can be sig-232 nificantly more mafic than the upper crust, thus tends to remain strong up to higher tem-233 peratures (Kohlstedt et al., 1995; Hirth & Kohlstedf, 2003; Albaric et al., 2009). We il-234 lustrate this effect with a simple two-phase rheology model that smoothly mixes quartz 235 (upper crust) and olivine (upper mantle) using the mixing law from Ji et al. (2003) (more 236 details in supplement): a shallower transition to more mafic composition produces a long 237 ductile tail in a thin crust at high geothermal gradients of 35-40 K/km (Figure 5). In 238 this case, the brittle and ductile layers have comparable thickness and our model pre-239 dicts near-orthogonal faulting up to the surface. Shallow Moho depths, observed near 240 Ridgecrest (26-28 km) and Salton Trough (18-22 km) (Parsons & McCarthy, 1996; Zhu 241 & Kanamori, 2000; Yan & Clayton, 2007), seem to support this interpretation. In ad-242 dition, active rifting in Salton Trough (Lekic et al., 2011; Barak et al., 2015) and vig-243 orous Quaternary volcanism in the Coso region (Bacon et al., 1981) may have further 244 contributed to the mafic mixing and underplating in the lower crust. As a comparison, 245

a thick quartz-rich crust with a deeper transition to upper mantle rheology would give
a sharp decay of strength below the BDT even with a moderate geothermal gradient of
248 25-30 K/km.

In oceanic plates, the brittle portion of the lithosphere contains a very thin crust 249 and a cooled upper mantle (Kohlstedt et al., 1995; Burov, 2011; Jain et al., 2017). Due 250 to the effective loss of water during decompression melting in upwelling mantle, oceanic 251 lithosphere is widely modeled with dry mantle rock, characterized by a broad brittle-plastic 252 transition and high strength as the plate cools (Kohlstedt et al., 1995). In Wharton basin, 253 the great 2012 Indian Ocean earthquake ruptured the entire oceanic crust and penetrated 254 as deep as 50-60 km into the lithospheric mantle through a set of near-orthogonal fault 255 segments (Meng et al., 2012; Wei et al., 2013; Hill et al., 2015; Singh et al., 2017; Kwong 256 et al., 2019). The BDT depth defined by the 600 $^{\circ}$ C isotherm for this 45-65 Ma old litho-257 sphere is around 30-35 km (Hill et al., 2015; Kwong et al., 2019). It is generally believed 258 that initiation of frictional failure is unlikely at higher temperature (Abercrombie & Ek-259 ström, 2001; McGuire & Beroza, 2012; Hill et al., 2015). If we regard the first 30 km as 260 brittle with the ductile layer extended at least to a depth of 50-60 km where seismicity 261 terminates, the ratio H_1/H_2 would be close to 1. On the other hand, the modelled strength 262 below the BDT decays over a distance 10-15 km to a few MPa, which gives $H_1/H_2 \sim$ 263 2.0-3.5 (Kohlstedt et al., 1995) but could be an overestimate. As previously mentioned, 264 the BDT zone could be wider and the transition to pressure-insensitive rheology could 265 be shallower. Thus, we consider the Wharton basin another place where our model may 266 be applicable to explain orthogonal strike-slip faults. 267

The helical faults generated in our models by nucleation from the ductile layer re-268 semble the Riedel shear bands in the early stage of fault zone formation in sand box ex-269 periments (Naylor et al., 1986; Dooley & Schreurs, 2012). In both situations, faults in 270 the upper layer are driven by localization from the bottom at an angle different from that 271 preferred by the upper layer, thus leading to fault rotation with depth. Navlor et al. (1986) 272 argue that helical faults are caused by the rotation of principal stress induced by the basal 273 shear stress and that the fault angle is locally consistent with a Mohr-Coulomb stress 274 analysis. This explanation may apply to the loading conditions in analog experiments, 275 although still not yet formally proven (Mandl, 1999). However, it does not apply to our 276 results: the stresses in our simulations are largely constant within each layer (except for 277 regions near the weak nucleation; see supplementary information). Our results further 278 imply the kinematics of shear localization in the ductile roots have significant nonlocal 279 controls over the fault angle in the brittle layer. Note that our simulations stops at a smaller 280 strain $\sim 0.4\%$ compared to analog experiments (a few percent to the order of unity) (Naylor 281 et al., 1986; Dooley & Schreurs, 2012). The fault rotation may exhibit different charac-282 teristics at large strain, which warrants future studies. 283

In this first attempt to quantify fault rotation in 3D, we kept the model as sim-284 ple as possible and left out a few important mechanisms such as strain weakening and 285 damage in the brittle material (Finzi et al., 2009; Chemenda et al., 2016; Stefanov & Ba-286 keev, 2014; Herrendörfer et al., 2018), viscous flow (Meyer et al., 2017; Duretz et al., 2018), 287 and weakening in the ductile layer for instance by grain size reduction (e.g., Montési & 288 Hirth, 2003; Mulyukova & Bercovici, 2019). We also chose dilatancy values to avoid mesh 289 dependency, which also suppresses strain localization. The absence of weakening and thus 290 the lack of effective strain localization results in a pair of smooth and broad shear bands 291 with strain only slightly higher than the surrounding region and critical stress is achieved 292 in the entire domain. Dynamic rupture effects are also neglected in this study and could 293 play an important role. In particular, Preuss et al. (2019) show that fault angle grows 294 differently during quasi-static nucleation and dynamic rupture. 295

296 Conclusion

Nearly-orthogonal strike-slip faults in the brittle lithosphere can originate from deep 297 ductile shear localization, provided the brittle layer is not thicker than the depth extent 298 of the ductile roots of the faults. A lower confining pressure at shallow depth further fa-299 cilitates the preservation of the near-orthogonal structure. Geophysical observations in 300 the Wharton basin seem compatible with this interpretation. In the Salton Trough and 301 Ridgecrest areas, a shallow Moho and tectonic activities (active rifting and Quaternary 302 volcanism) possibly facilitate a stronger mafic mixing in the lower crust, which could give 303 rise to a thin upper crust and relatively thicker ductile root at high heat flow, favorable 304 for orthogonal faulting. Conversely, fault nucleation in the brittle layer tends to gener-305 ate conjugate fault angles close to the optimal value predicted by bifurcation theory and 306 is thus insufficient to generate nearly orthogonal faults. Future work shall extend the cur-307 rent model by incorporating weakening mechanisms that lead to strain localization in 308 both brittle and ductile layers. Such models can then provide consistent fault geome-309 tries and initial stresses for dynamic rupture modeling to study the mechanics of earth-310 quakes on orthogonal faults. Overall, our modeling results advance the mechanical un-311 derstanding of the geometry of strike-slip faults from the Earth's surface to their duc-312 tile roots. 313

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