# Shape of plutons in crustal shear zones: A tectono-magmatic guide based on analogue models

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#### 21 Abstract

22 Plutons in crustal shear zones may exploit inherited structures, interfere with strain localizing or be deformed passively. To constrain the relative timing of such tectono-magmatic constellations in 23 natural settings is not always straight-forward. We here present sandbox-type analogue model 24 experiments simulating magma emplacement into simple and transtensional crustal shear zones to 25 test the diagnostic potential of pluton shape with respect to timing and setting. Observations based 26 on surface deformation and intrusion shape exemplify the interplay between evolving and inherited 27 tectonic structures and magma uprising. We observe markedly asymmetric intrusions in association 28 29 with dikes reflecting the regional stresses, fault pattern and finite strain field. At the same time, the presence of an intrusion modifies the tectonic evolution, but only transiently, resulting in short-lived 30 faults, reactivation and inversion. Diagnostic attributes include the pluton's aspect ratio, its 31 orientation and amplitude as well as dike association. Accordingly, syn-tectonic intrusions show the 32 33 highest pluton amplitudes and intermediate elongation oriented parallel to between Riedel shears in simple shear, and compression in transtension. Post-tectonic intrusions are least elongated but 34 35 relatively high and exploit Riedel shears. Pre-tectonic intrusions are characterized by low amplitudes and high aspect ratios parallel to the finite elongation direction. Intrusions in transtensional shear 36 zones are generally of lower amplitude than those in simple shear zones. Experimental results are 37 38 tested against observations from natural examples validating the diagnostic potential of pluton shape for the timing and the tectonic setting of the emplacement. 39

## 40 1 Introduction

The spatial association of plutons and shear zones has long been recognized. Revealing their temporal and spatial relation as a key to understand the mechanisms of tectono-magmatic interaction, is a matter of research since. The interactions of tectonic and magmatic processes are often ambiguous and hard to interpret (Paterson and Schmidt, 1999; Paterson and Tobisch, 1992). It is generally recognised that both inherited structures and active faults represent key features in

crustal-scale magma transport and emplacement (Hutton, 1988; Hutton and Reavy 1992; 46 Vigneresse 1995; Román-Berdiel et al., 1997; Petford et al., 2000; Handy et al., 2001; Rosenberg, 47 2004; Corti et al., 2005; Galland et al., 2006; Galland et al., 2007b). Likewise, the presence of 48 magma in actively deforming crust may control fault localization and structural evolution (e.g. 49 Holohan et al., 2008; Dooley and Schreurs, 2012; Gomes et al., 2019). The delineated scenarios of 50 51 pre-, syn- and post-tectonic magmatism and the mechanisms involved remain poorly understood taking also into account that gathering information from the field could be rather challenging. Beside 52 the limited outcrops in some areas, observations are, in most cases, restricted to the final stage of 53 magma emplacement which is often overprinted. In addition, the deformed upper crustal layers that 54 once hosted the magmatic chamber are in most cases subject to erosion and therefore missing from 55 the field. Consequently, the information on the incremental and finite deformation has to be inferred 56 with much effort from structural indicators and the processes taking place at depth must be 57 extrapolated (Vigneresse, 1995). 58

Modelling can help in overcoming some of the restrictions of field analysis and guide researchers 59 60 by identifying key observables and testing hypothesis. While all kinds of modelling have tackled tectono-magmatic interaction, including analytical (e.g. Mandal and Chakraborty, 1990) and 61 numerical modelling (e.g. Burov et al., 2003), analogue models where used in particular for the 62 typically cross-scale and four-dimensional problems posed by tectono-magmatic processes (see 63 e.g. review by Galland et al., 2015). Amongst them, studies on syn-tectonic magma emplacement 64 prevail: a) in compressional settings (Galland et al., 2003, 2006, 2007; Mazzarini et al., 2010; 65 Montanari et al., 2010); b) in strike-slip settings (Román-Berdiel et al., 1997; Corti et al., 2005; 66 Mazzarini et al., 2010; Gomes et al., 2019); c) in transpressional settings (Benn et al., 1998); d) in 67 transtensional settings (Román-Berdiel et al., 2000; Corti et al., 2003) and finally e) in extensional 68 settings (Román-Berdiel et al., 1999; Mazzarini et al., 2010; Corti et al., 2003). 69

With a few exceptions (Holohan et al., 2008; Dooley and Schreurs, 2012; Gomes et al., 2019),
previous works were focused on syn-tectonic intrusion scenarios. However, little evidence exists

from analogue modelling regarding crustal shearing of pre-tectonic intrusion scenarios. Similarly, 72 the post-tectonic intrusion system is very poorly studied, where exploitation of inherited structures 73 from the uprising intrusion is still under debate. In this paper, we aim at closing this knowledge gap 74 and test the diagnostic potential of pluton shape for relative timing with a new set of analogue model 75 experiments simulating magma emplacement within the upper brittle crust rising from a basal ductile 76 shear zone. We conduct a systematic series of magma emplacement scenarios in simple shear and 77 transtensional regime (Fig. 1). To cover the full range of relative timing, we realize pre-tectonic, syn-78 tectonic and post-tectonic intrusion scenarios (Table 1). 79

In contrast to earlier analogue modelling studies with a similar goal (e.g. Román -Berdiel et al., 1997; 80 Corti et al., 2005; Holohan et al., 2008; Mazzarini et al., 2010), we do not use a Riedel-type 81 experiment with a linear velocity discontinuity at the base but a more realistic distributed basal shear 82 zone (e.g. Dooley and Schreurs, 2012, and references therein). The viscous-weak body, in contrast 83 to previous work (Holohan et al., 2008; Dooley and Schreurs, 2012; Gomes et al., 2019) is not lying 84 parallel to the shear zone, but it is introduced vertically and in direct contact to the shear zone. In 85 86 addition, in previous work the tectonic deformation of the magmatic chamber and the brittle crust, induced by the vertical upraising of the viscous - body, prior to and following the tectonic 87 deformation, is not recorded. In the present study the magmatic chamber is not represented as an 88 89 igneous pocket, but it is injected vertically, deforming this way the sand layers (no topography has been added in this study) before, during and after the tectonic deformation. In addition, the weak 90 body appears with a fixed radius = 2cm, since the focus of the study is on the progressive 91 interference with the brittle crust, for all emplacement scenarios. For this reason and in contrast to 92 previous studies (Dooley and Schreurs, 2012; Zwaan and Schreurs, 2017) the intrusion geometry 93 94 and its initial orientation (angular position) relative to the shear zone are not evaluated.

Using state of the art kinematic monitoring techniques (Digital Image correlation – DIC; Structurefrom-Motion – SfM), the full 3D surface deformation over time (i.e. 4D deformation) and the final
intrusion three-dimensional shapes are captured digitally at high resolution and accuracy. Based on

98 this experimental data set, which is openly available (Michail et al., 2020), we verify existing 99 diagnostic features (e.g. pluton aspect ratio, orientation) and new attributes (pluton amplitude, dike 100 association) of pluton shape and validate them against selected field examples. The presented 101 models appear as a guide to the interpretation of necessarily incomplete and limited field 102 observations. (Figure 1 here)

#### 103 2 Methods and materials

#### 104 2.1 Experimental setup

The experimental setup consists of two mobile plastic plates on a 1 by 1 m wide solid steel support 105 platform. The mobile plates are moved by linear actuators, controlled by stepper motors, in opposite 106 direction (Fig. 1). A 40-mm wide gap between the two 700 mm long and 7 mm thick mobile plates 107 is filled with viscous material (silicone oil) to allow the formation of a distributed basal shear zone, 108 similar to natural lower crustal shear zones (Fig. 1a-b). Two kinematically distinct experimental 109 series, representing two different tectonic regimes, namely simple shear (reference model RS and 110 models of group A; Fig. 1c,e) and transtension (reference model RT and models of group B; Fig. 111 1d,f) are presented (Table 1). 112

113 For the simple shear regime, the gap between the mobile plates is oriented parallel to the plates' motion (Fig. 1c,e), while in the transtensional configuration, they are oriented with an angle,  $\alpha$ , of 114 15° (Fig. 1d). Relative plate velocity is fixed to 1 mm/min, for all experiments, which corresponds to 115 a simple shear rate of 4.2\*10<sup>-4</sup> s<sup>-1</sup> across the width of gap resulting in a final relative displacement of 116 the two sides of the shear zone of 60 mm for all experiments. A low shear rate, together with a 117 viscous fluid, rough inner edges of the mobile plates and polished and lubricated surface of the 118 119 metal base, result in a well distributed deformation within the gap although minor localization of shear and extension at the shear zone boundaries is unavoidable. Simple shear and transtensional 120 models are run under dextral and sinistral senses of shear, respectively, in order to ease their 121 122 distinctness. (Table 1 here)

A 40-mm thick, sieved guartz sand layer representing the brittle upper crust (Fig. 1a-b) covers the 123 mobile plates and the basal shear zone. On top of each mobile plate, fixed, motion-parallel sidebars 124 125 confine the sand layer laterally and helps in model preparation (Fig. 1a,e). In the centre of the basal shear zone, a motor-controlled piston pushes silicone oil up through a pipe (with 40 mm diameter) 126 placed beneath the experiment's foundation, to simulate the magma ascending from the lower crust 127 into the upper-crustal shear zone (Fig. 1e-f). The piston moves at a velocity of 1 mm/min, which 128 translates into an injection rate of 21 mm<sup>3</sup>/s for all experiments (Table 1). All the experiments are 129 performed under air-controlled ambient conditions (23°-25°C, 50% humidity). 130

## 131 2.2 Analogue materials

The viscous analogue material used for both the intrusion and the basal ductile layer is a silicone oil 132 (Polydimethylsiloxane PDMS, G30M by K. Obermeier GmbH). It has a density of 965 kg m<sup>-3</sup> and a 133 Newtonian viscosity of 22.4 kPa s at laboratory strain rates below 10<sup>-2</sup> s<sup>-1</sup> (Rudolf et al., 2016; see 134 Table 2). Earlier studies showed that similar material is a suitable analogue for ductile lower crust 135 and, at reduced viscosity, for low density-high viscosity (i.e. granitic) magmas simulation (e.g. 136 Román-Berdiel et al., 1997; Benn et al., 1998, 2000; Galland et al., 2015). We here use one material 137 for simulating both the ductile lower crust and the magma in order to reduce rheological complexity 138 but also due to practical reasons, i.e. to avoid gravitational flow of the material at the end of the 139 experiment and to allow manual excavation of the ductile shear zone and the intrusion. 140

Sieved dry quartz-sand (G12) functions as brittle analogue of the upper crust. The sand is a well-141 sorted, well-rounded and fine-grained (<400 µm) sand of fluvial origin (Klinkmüller et al., 2016; 142 Rosenau et al., 2018). When sieved, a bulk density  $\rho \sim 1600$  kgm<sup>-3</sup> is reached and the sand layer 143 is characterized by internal friction coefficients µ of 0.69, 0.62, 0.55 (static, reactivated, sliding) and 144 a cohesion C in the order of 50 - 110 Pa (Table 1). The localization behaviour of sand produces 145 shear zones several grain diameters wide rather than idealized infinitely narrow faults. However, we 146 here use the term "faults", to describe the small-scale shear zones, evolving in the analogue model 147 because of the generally close dynamic similarity (Ritter et al., 2016). With the term "shear zone" in 148

149 contrast we refer to the regional deformation zone composed of several faults evolving on top of the
150 basal ductile shear zone.

#### 151 2.3 Scaling and Similarity

The experiments are scaled following the principles of geometric, kinematic and dynamic similarities to the natural prototype (Hubbert, 1937; Ramberg, 1981; Weijermars and Schmeling, 1986). Accordingly, for a brittle-viscous sandbox model under normal gravity, the stress scaling dictates the length and time scaling. In the pressure-dependent brittle regime and under normal gravity, the length scale  $L^*$  can be delineated from densities and cohesion in the model and prototype following the scaling law:

158 
$$L^* = C^* / \rho^*$$
 (i)

where the asterisks denote the model/prototype ratios known as the scaling factors (i.e.  $C^* = C_{model}/C_{prototype}$ ). Inserting numbers for the scaling factors for density and cohesion of our brittle analogue rock material ( $C_{model} \sim 10-100$  Pa,  $\rho_{model} = 1600$  kg/m<sup>3</sup>) and typical upper crustal rocks ( $C_{prototype} = 10$  MPa,  $\rho_{prototype} = 2600$  kg/m<sup>3</sup>) yields a length scaling factor of  $L^*$  in the order of 0.6- $6x10^{-6}$ , i.e. 1 cm in the model equals 0.6-6 km in nature (Table 2). Consequently, our model represents an intrusion into the upper 2-20 km thick crust. For our models, due to dimensional identity, the stress scale is also fixed by the choice of cohesion to  $\sigma^* = 1-10x10^{-6}$ .

In the strain-rate dependent viscous regime the time-scale  $T^*$  can be delineated, based on dimensional arguments, from the viscosities  $\eta$  in the model and in nature using the formerly set stress scale and following the scaling law

169 
$$T^* = \eta^* / \sigma^*$$
. (ii)

With the viscosity of the silicone oil ( $\eta_{model} \sim 10^4$  Pas) and considering a typical viscosity range for magma ( $\eta_{prototype} = 10^4$ - $10^{10}$  Pas), this scaling yields highly unsuitable laboratory time scales, that require running the experiments at even lower rates than in nature. This dilemma has already been noticed by Ramberg (1981) who stated that magma cannot be properly scaled because even the

174 most viscous (low water content, high silica) magma would require an analogue fluid, which is even

175 less viscous than water and which does not exist. (Table 2 here)

To solve this dilemma, we here consider the rising magmatic body not as a homogenous melt with 176 low viscosity but as a succession of rapid melt injections into the crust over millions of years. This 177 is in accordance to the general view that plutons grow transiently over the Ma timescale fed by 178 magma that transits through lower crustal shear zones episodically on the hundreds to thousands 179 of years timescale (e.g. Handy et al., 2001, Patterson et al., 2011, Brown, 2013). The resulting 180 magmatic system has therefore an effective bulk viscosity much higher than that of the individual 181 melt components and which is more similar to ductile lower (quartz-feldspatic/andesitic) crust at 182 typical regional tectonic strain rates (n prototype>10<sup>20</sup> Pas at <10<sup>-14</sup>s<sup>-1</sup>, e.g. Shinevar et al., 2015). Such 183 an alternative interpretation of the analogue model is not only consistent with modern views of 184 episodic pluton growth but considers at the same time, though highly simplified, thermal aspects of 185 186 the emplacement process (i.e. cooling and crystallization between injection episodes; Gomes et al., 2019). Following this reasoning and considering a Million-year time scale of pluton growth, we derive 187 the time scale by relating the filling times of our model pluton (ca. 10<sup>-8</sup> m<sup>3</sup>/s) to mean natural rates 188 of  $10^2$  km<sup>3</sup>/Ma (e.g. Patterson et al., 2011). Accordingly, we arrive at T\*=10<sup>-13</sup> (1 second in the lab 189 corresponds to ca. 30.000 years, Table 2). According to this timescale the applied velocity of 1 190 mm/min scales to ca. 0.5 mm/a in nature and a corresponding shear rate of ca. 10<sup>-17</sup>s<sup>-1</sup>. 191

# 192 2.4 Monitoring and Analysis

We use state-of-the-art digital image correlation techniques for kinematic monitoring of the model surface deformation (Particle Image Velocimetry, PIV) and for deriving the surface topography and intrusion shape (Structure-from-Motion, SfM). All digital data generated in this study are published open access in Michail et al. (2020).

A stereoscopic pair of charge-coupled device (CCD) cameras (11 MPx, 14bit, LaVision Imager ProX) monitor the model surface during the experimental run at a frequency of 0.3 Hz (Fig. 2a). Digital image correlation, using a least square minimization technique (as implemented in La Vision Davis 8), allows a quasi-continuous quantitative monitoring of the topographic evolution and surface deformation at high spatio-temporal resolution according to the principles of PIV (Adam et al., 2005). We derive 3D incremental and cumulative surface deformation pattern (i.e. velocity fields, strain fields and topography) at the sub-millimetre resolution.

From the surface velocity field, we produce surface strain maps which show the incremental shear strain E<sub>xy</sub> (the unit of which is millistrain, mS), highlighting active faults and their kinematics. Positive values (red colors in all Figures) indicate a clockwise rotation or dextral shear sense and negative values (blue colors in all Figures) indicate anti-clockwise rotation or sinistral shear. The final shear strain is computed as the cumulative (or finite) displacement field indicating the relative importance of individual faults in accommodating deformation over the full experimental range.

## 211 2.4.2 Structure-from-Motion (SfM)

To obtain the 3D model reconstruction of the final topography (digital elevation model, DEM) and 212 the final intrusion shape (digital intrusion model, DIM) at high resolution we rely on the Structure-213 from-motion (SfM) technique (Westoby et al., 2012) using digital images taken at the end of every 214 experiment with a customer grade DSLR camera (NIKON D80, 10 MPx). Images including 215 perspective views of both the final model surface and the model intrusion after excavation taken 216 from various angles are used for the 3D model reconstruction achieved by using Agisoft PhotoScan 217 (Professional Edition). For visualization coloured DIM-contours have been extracted from the 218 models. 219

220 The intrusion's geometry (pluton shape) is quantified using various dimensionless parameters 221 derived from the DIM:

222 First, its normalized amplitude

## 223 Aintrusion = Hintrusion / Hsand layer

where  $H_{\text{intrusion}}$  is the intrusion height above the initial surface of the ductile layer and  $H_{\text{sand layer}}$  is the thickness of the sand layer (i.e. 40 mm). Second, the aspect ratio

$$226 \quad r = L/W \tag{iv}$$

calculated based on the length L and width W of the ellipse that fits to the DIM perimeter at a given contour level.

Third, the orientation of the intrusion, that is described by  $\Theta$ , defined as the angle between the long axis *L* of the fitted ellipse and the strike of the basal shear zone.  $\Theta$  is counted counter clockwise. Aspect ratio and angle of intrusion are derived for each contour level allowing the analysis of the shape as a function of height.

#### 233 3 Experimental results

Nine experiments in total are presented and discussed in the following sections (Table 1): i) three reference experiments (Group R); ii) three models of intrusion under (dextral) simple shear (Group A) and iii) three models of intrusion under (sinistral) transtension (Group B). Pre-, syn and posttectonic intrusion scenarios are presented for the Groups A and B. Group R consists of a static intrusion without applied shear (R0), a simple shear (RS) and a transtensional experiment (RT), both without intrusion. Rates of intrusion and deformation are kept constant in all experiments as well as the thickness of the brittle layer.

## 241 3.1 Reference models (Group R)

The surface evolution of the reference experiments, namely the static intrusion model (R0, Fig. 2ac), the simple shear model (RS, Fig. 2d-f) and the transtension model (RT, Fig. 2g-i) is summarised in Fig. 2. In the R0 model, a circular sand dome starts to form above the intrusion as soon as the

(iii)

injection has started (V<sub>inj</sub>=3.2 ml; Fig. 2a). Gradually, the sand layer forms an elongated rectangular domal uplift preserved until the latest intrusion stage, bound by lateral reverse faults, parallel to the basal ductile layer (Fig. 2b-c). The uplift rate decreases with time. **(Figure 2 here)** 

For the reference experiments under simple shear (RS) and transtensional (RT) deformation the 248 surface evolution shows a typical sequence of structures consistent with previous studies using a 249 distributed basal shear zone and dry sand layers (Román-Berdiel et al., 1997; Corti et al., 2005; 250 Dooley and Schreurs, 2012). In the very early evolution stage of the RS model (drel = 5.0mm) a 251 diffuse belt starts to form above the basal ductile shear zone (Fig. 2d). With increasing displacement, 252 early en-échelon synthetic Riedel shears (R) develop with a trend of ~20° to the trace of the basal 253 shear zone (drei = 16.1 mm; Fig. 2e). Lower-angle synthetic shears develop between the overlapping 254 R shears, having a strike of ~15° (Fig. 2e). The final deformation surface pattern (drel = 38.3 mm) is 255 characterised by the formation of an active and continuous master fault (MF), as a result of the 256 linkage of the individual early shear structures, parallel to the basal shear zone, along which less 257 active anastomosing minor faults which define shear lenses and pop-up structures (drei = 38.3 mm; 258 Fig. 2f). The final surface width of the shear zone (ca. 50 mm) is larger than the basal shear zone 259 (40 mm; Fig. 2f). 260

The transtensional reference experiment (RT) shows an early evolutionary history similar to the 261 simple shear experiment with the formation of a diffuse shear zone above the basal ductile shear 262 zone (Fig. 2g-i). Linkage of early right-stepping and overlapping R shears occur along lower angle 263 structures (Y, P shears) causing a deformation localization in the central part and forming a through-264 going master fault from early on (d= 11.1 mm; Fig. 2g-h). During the final stage, the deformation 265 partitions between lateral faults and fault-bounded rhomboidal basin structures (Fig. 2i). In this 266 stage, the pull-apart basin structure is cut short by strike-slip faults that continue to propagate 267 towards the dominating parallel boundary faults. This results in lengthening and narrowing of the 268 pull-apart basin in a direction parallel to the displacement vector. The final surface width of the shear 269 zone is larger (ca. 60 mm) than the basal shear zone (44.4 mm; Fig. 2i). 270

# 272 3.2.1 Effect of intrusion on fault pattern

The three models presented in this group show the evolution of deformation with the presence of analogue magma injection that predates (A1, pre-tectonic model), coincides (A2, syn-tectonic model) and post-dates (A3 post-tectonic model) the applied simple shear deformation. Our observations focus on the interactions taking place between faulting and intrusion and how they affect the fault pattern evolution and the final intrusion geometry.

The pre-tectonic intrusion experiment, model A1 (Fig. 3), consists of two parts: during the first half 278 279 of the experiment, static intrusion takes place ( $V_{inj} = 37.3 \text{ ml}$ ) causing a domal uplift ( $\Delta h_{init} = 7 \text{ mm}$ , Fig. 3a) as described for the R0 model (Fig. 2a-c). In the second half of the experiment, following 280 the static intrusion, dextral shearing is applied. Accordingly, in the early intrusion stage there is no 281 obvious fault formation (Fig. 3a). Once shearing started diffuse deformation occur in a spindle-like 282 surface area (drel = 11.4; Fig. 3b) forming a wider shear domain bound by transpressional faults. At 283 a later stage of shearing (drei = 22.2 mm; Fig. 3c) a system of strike-slip faults consisting of two 284 lateral and one central fault is cutting through the uplifted domain. The finite fault pattern (Fig. 3d-f) 285 is, in contrast to the model RS, characterized by a wider strike-slip system encompassing the 286 intrusion area and external transpressional faults which have been active mainly during early stages 287 of deformation. (Figure 3 here) 288

The fault pattern in the syn-tectonic intrusion experiment, model A2 (Fig. 4), initially evolves in a 289 similar fashion as in model A1. In the early deformational stage ( $d_{rel} = 3.9$ mm;  $V_{inj} = 4.9$ ml; Fig. 4a) 290 a diffuse spindle-like shear zone occurs encompassing the uplifting centre. With ongoing shearing 291 and intrusion (drel = 6.7mm; Vinj = 4.9ml; Fig. 4b) transpressional faults localize at the periphery of 292 the spindle-like area embracing the intrusion domain as well as Riedel shear in the centre. In the 293 late stage (drei = 27.8mm; Vinj = 35.0ml; Fig. 4c) deformation localizes into a narrow strike-slip fault 294 system cutting the intrusion area. This set of parallel, locally transtensional faults cause the 295 depressing of the uplifted central domain (Fig. 4e). The finite fault pattern (Fig. 4d-f) shares 296

297 characters of the reference model RS (a central strike-slip fault system; Fig. 2d-f) and the pre-298 tectonic model A1 (early stage transpressional faults swinging around the intrusion area; Fig. 3b).

# 299 (Figure 4 here)

The post-tectonic experiment, model A3 (Fig. 5) consists again of two parts: In the first half, the 300 experiment follows according to the observations documented for the RS model (drel = 30mm; Fig. 301 2d-f) resulting in a system of strike-slip faults (Fig. 5a) at the start of intrusion. In the second half of 302 the experiment, static intrusion causes formation of new lateral faults (in the "south" of the intrusion) 303 but also the reactivation of inherited, lateral faults (in the "north" of the intrusion; Vini = 12.6-32.2ml; 304 Fig. 5b-c). Importantly, active faults in opposite corners of the intrusion show a synthetic sense 305 including dextral and sinistral shear (Fig. 5b-c). Consequently, dextral displacement across inherited 306 faults acquired in the first half of the experiment is now partly inverted. The finite fault pattern (Fig. 307 5d-f) is a composite of partly re-activated strike-slip faults similar to the reference model R0 (Fig. 308 2h-i) and new reverse faults embracing a central domal uplift. (Figure 5 here) 309

# 310 3.2.2 Effect of deformation on intrusion shape

Among the three emplacement scenarios there is a significant variation regarding the final geometrical features of the intrusion described by the intrusion's absolute height (H) and normalized amplitude (A), its aspect ratio (r = length/width) and the orientation of its long axis (angle  $\theta$ ). The intrusion models appear strongly deformed and asymmetric, revealing the tectonic impact during their emplacement, and have a systematic generic relation to the regional stress and finite strain fields (Fig. 6).

The intrusion of Model A1 (pre-tectonic, Fig. 6a-d), which has been passively deformed is characterized by low height and amplitude (H<sub>A1</sub> = 15 mm, A<sub>A1</sub> = 0.38), compared to intrusions from other scenarios. Its asymmetry is related to displacement along the crosscutting faults developing late in the experiment. The lower part of the intrusion represented e.g. by the contour level c<sub>1</sub> = 3mm shows a relatively high aspect ratio (r<sub>1</sub> = 2.7) with the long axis of the intrusion forming a low angle of  $\theta_1 = 12^\circ$  to the shear zone. At higher contour levels the intrusion progressively elongates (r>3)

and rotates antithetic (i.e. anticlockwise) with respect to the dextral simple shear (e.g.  $\theta_2 = 34^\circ$  at c<sup>2</sup> =12mm) becoming subparallel to the elongation direction of the finite strain tensor (Fig. 7; A.2). The intrusion roof is sculpted by one central and two lateral dikes that represent silicone oil intruding upwards along the master fault (MF) and the lateral faults, obviously during the post-intrusion stage of the experiment. (Figure 6 here)

The intrusion Model A2 (syn-tectonic, Fig. 6e-h) is characterized by an acute roof resulting in a 328 significant height and amplitude (HA2 = 24mm; AA2 = 0.6; Fig. 6e-f) compared to intrusions from other 329 scenarios. It shows a strong asymmetric wing shape with opposing orientation to the intrusion model 330 A1. At lower levels, the intrusion has a high aspect ratio (e.g.  $r_1 = 2.5$ ) with the long axis almost 331 parallel to the shear zone ( $\theta_1 = 1^\circ$ ). Upwards, the intrusion progressively rotates synthetically into 332 the orientation of Riedel shears (e.g.  $\theta_2 = -10^\circ$  at  $c_2 = 20$ mm) while keeping its aspect ratio rather 333 constant (Fig. 7; A.3). Lateral dikes flank the central upheaval with all of them exploiting faults formed 334 335 during intrusion. The wing shape of the intrusion in association with scissor tailed and offset apophvses appears unique to this scenario. (Figure 7 here) 336

337

The intrusion Model A3 (post-tectonic, Fig. 6 i-l) is characterized by a more domal shape with intermediate height and amplitude ( $H_{A3}$  = 22 mm;  $A_{A3}$  = 0.55). It appears sub-circular to gently elongated (r<2) and largely subparallel to the shear zone with no systematic change of aspect ratio and orientation with height (Fig. 7; A.4). The roof is rounded and sculpted by a central dike ( $r_2$  = 1.9 at  $c_2$  = 19mm) and two lateral dikes exploiting inherited structures (Fig. 6i-j).

- 343 3.3 Transtensional intrusion models (Group B)
- 344 3.3.1 Effect of intrusion on fault evolution

Transtensional intrusion experiments demonstrate, similarly to Group A, ongoing interactions
developing between the intrusion and the fault pattern evolution for all three scenarios.

In the first half of the pre-tectonic intrusion experiment, model B1, static intrusion takes place (Vinj = 347 37.7 ml), deforming the initially flat topography and causing a domal surface uplift ( $\Delta h_{init} = 7 \text{ mm}$ ; 348 Fig. 8a) above the centre. In the second half of the experiment, transtension is applied and a diffuse 349 spindle-like shear zone occurs (drei = 5.6 mm; Fig. 8b) similar as in the pre-tectonic simple shear 350 model A1. With ongoing transtension, lateral obligue normal faults and obligue Riedel shears 351 localize deformation (drel = 16.7 mm; Fig. 8c) causing subsidence along the shear zone. The initial 352 central dome is crosscut by a fault system (P and Y-shears) that links the "northern" and "southern" 353 boundary faults, which remain active until the end of the experiment (Fig. 8c-f). Similar to the 354 reference model (RT, Fig. 2g-i), Riedels form an en-enchelon array of oblique normal faults within 355 the basin (Fig. 8d). The finite deformation pattern of the surface is characterised by a well-defined 356 elongated pull-apart basin (Fig. 8d-f). The domal uplift, caused by the initial intrusion, is overprinted 357 and appears as a remaining gentle uplift in the centre (Fig. 8e). Notably, the topographic expression 358 of the pre-tectonic intrusion is much less than in the corresponding simple shear model A1 (Fig. 3e). 359 (Figure 8 here) 360

361 The early stage of the syn-tectonic intrusion experiment, model B2, is characterized by diffuse shear and Riedel shear formation (drel = 1.2 mm; Vinj = 1.5 ml; Fig. 9a). At an intermediate stage (drel = 8.3 362 mm; Vini = 10.5 ml) and along with subsidence, the linkage of these Riedel shears form a dominant 363 fault zone that diverges from the strike of the basal shear zone to form a releasing stepover centred 364 on the intrusion domain (Fig. 9b). At a late stage (drel = 16.7; Vini = 21; Fig. 9c) obligue normal faults 365 bound the outer basin margins and curve towards the centre (Fig. 9c). As the master fault continues 366 to propagate, it crosscuts and deforms the evolving basin. The finite fault pattern (Fig. 9d-e) is, in 367 contrast to the reference model (RT, Fig. 2g-i), characterised by a more segmented and curved 368 faults bounding the elongate basin with the deep central graben flanked by horst blocks. (Figure 9 369 370 here)

The post-tectonic intrusion experiment, model B3 (Fig. 10), is divided again into two parts: the first transtension as described for model RT model (Fig. 2g-i), resulting in a fault-controlled, spindleshaped pull-apart basin (d<sub>rel</sub> = 30mm; Fig. 10a, d). Then static intrusion (V<sub>inj</sub> = 13 ml) starts to form a domal uplift, triggering the re-activation of the pre-existing faults (Fig. 10b). Similarly as observed in model A3, inherited faults are reactivated and partly inverted (Fig. 10b-c). The master fault is reactivated in sinistral sense while dextral displacement occurs along inverted boundary faults (V<sub>inj</sub> = 18.6; Fig. 10c). The finite topography (Fig. 10d-e) directly reflects the intrusion at depth within the basin system (Fig. 10d-e). **(Figure 10 here)** 

379 3.3.2 Effect of deformation on intrusion geometry

The intrusion Model B1 (pre-tectonic, Fig. 11a-d) is like the corresponding Model A1 characterized 380 by low height and amplitude and enhanced by post-emplacement flattening in the transtensional 381 regime (H<sub>B1</sub> = 5 mm; A<sub>B1</sub> = 0.13). The intrusion's aspect ratio shows characteristic high values but 382 with no systematic change with height (Fig. 12; A.5), varying from 1.8 at c1 = 1mm (Fig. 11c) to 1.9 383 384 at  $c_2 = 3mm$  (Fig. 11d). The orientation of the intrusion, similar to A1, rotates from the base to the top antithetic (i.e. clockwise) with respect to the sinistral sense of transtensional shear to become 385 subparallel to the finite strain tensor (e.g.  $\theta_2 = 42^\circ$ ; Fig. 12). The intrusion's flat roof is sculpted by 386 lateral dikes exploiting faults developing during the post-emplacement deformation. (Figure 11 387 here) 388

389

The intrusion Model B2 (syn-tectonic; Fig. 11e-h) similarly to model A2 is characterised by an acute shape (H<sub>B2</sub> = 22 mm; A<sub>B2</sub> = 0.6). The intrusion's base shows an intermediate aspect ratio of  $r_1 = 1.7$ with the long axis subparallel to the shear zone boundary (e.g.  $\theta_1 = -10^\circ$ ; Fig. 11g). Upwards, the intrusion rotates synthetically towards the maximum horizontal stress orientation,  $\sigma_{Hmax}$  (Fig.11h; Fig. 12; A.6). This model bears a smooth roof top and lateral dikes flanking the central upheaval. The asymmetric wing shape marked by a scissor tail apophyses that trails behind the intrusion are similarly observed for model A2 (Fig. 6e-h). (Figure 12 here)

Finally, the intrusion Model B3 (post-tectonic, 11j-l) is a sub-circular to slightly elongated intrusion of domal shape (H<sub>B3</sub> = 20 mm;  $A_{B3}$  = 0.5). At lower contour levels, the intrusion shows an aspect ratio of  $r_1 = 1.4$  with the long axis parallel to the shear zone like model A3 (Fig. 11k; Fig. 12; A.7). The intrusion has an asymmetric roof of carrying a central dike ( $r_2 = 1.7$  at  $c_2 = 18$ mm) and two lateral dikes (Fig.11I). The latter exploits inherited faults ( $\theta_2 = -11^\circ$ ; Fig. 7).

#### 402 4 Discussion of experimental results

We here present sandbox-type analogue model experiments simulating magma emplacement into simple and transtensional crustal shear zones to test the diagnostic potential of the finite pluton shape with respect to timing and setting of its emplacement. Observations based on surface deformation and intrusion shape illustrate strong interactions between evolving and inherited tectonic structures and magma uprising, plus constitute an important contribution in defining the diagnostic potential of pluton shape for the timing and the tectonic setting of the emplacement.

#### 409 4.1 Synthesis and comparison of experimental results

## 410 *4.1.1* Controlling parameters on pluton emplacement

In this study the timing of the magmatic emplacement with respect to the regional tectonic deformation is inferred as a controlling parameter on the finite pluton geometry and emplacement mode. Parallelly, the shape of the produced intrusions, based on the timing of their emplacement, is suggested as an effective diagnostic feature. Notably, the findings of this work extend the results of previous studies which observed and described experiments restricted under reference conditions (static, shear deformation) and processes of syn-tectonic magmatic emplacement.

Based on our observations, the pluton emplacement is directly controlled by the syn-active evolving faults, for both groups in pre- and syn-tectonic scenarios. At the same time, strain localization on the weak body influences the upward fault propagation. Post-tectonic models are influenced and overprinted by the boundary conditions and indirectly by the inherited fault structures during their emplacement. According to our observations and in agreement with previous related studies (Román-Berdiel et al., 1997; 2000; Corti et al., 2005) local interactions between on-going fault propagation and magma uprising induce asymmetric intrusion shapes. In addition, the thickness

ratio between the brittle and ductile band, influence the interactions between the intrusive analogue magma and the developing model surface fault pattern. In our case, the ratio between the ductile band (7mm) and the brittle layer thickness (40mm) is fixed at 0.175. This ratio allows us to observe the overburden deformation without the fault pattern being completely overprinted by deformations induced by piercement of the surface.

Román-Berdiel et al. (2000) pointed out the importance of the divergence angle,  $\alpha$ , in deformation 429 styles of both surface and magma models, suggesting that for low values (i.e.  $\alpha = 15^{\circ}$ ) under 430 transtension the fault pattern is similar to pure simple shear kinematics, therefore resulting in similar 431 geometries for the syn-tectonic intrusions. The fault structures observed in the reference 432 experiments (RS and RT), during which no intrusion takes place, are very similar to those described 433 in previous experiments (e.g. Tchalenko, 1970; Wilcox et al., 1973; Naylor et al., 1986). Moreover, 434 for  $\alpha < 30^{\circ}$ , the deformation is mainly located in the central part of the model and the localization of 435 brittle deformation is very high (Román-Berdiel et al., 1999; 2000). 436

Previous studies have shown that variations in the displacement velocity and the intrusion rate 437 438 control the final geometry and orientation of the syn-tectonic magmatic body emplaced in the upper 439 crustal layer (Román-Berdiel et al., 1997; Corti et al., 2005). According to these studies, high horizontal-displacement or low intrusion rates resulted in normal pluton asymmetry with the pluton's 440 long axis parallelising the velocity discontinuity (VD) zone, whereas low horizontal-displacement or 441 442 high intrusion rates, generated plutons with reverse asymmetry (magmatic emplacement controlled by tensional faults). In this study the variation of the ratio between tectonic displacement rate and 443 intrusion rate is constant. However, by varying the time of emplacement we also captured 444 observations on the pluton's asymmetry. Both pre-tectonic models show normal pluton asymmetry, 445 with the long pluton axis forming with the shear zone angles of  $\theta$  = 28° (Model A1, Fig. 6c-d) and  $\theta$ 446 447 = 42° (Model B1, Fig. 11c-d) and stretched to the extension direction. In the contrary, syn- and posttectonic models, from both experimental groups, show reverse asymmetry with their long axis 448 stretched to the compression direction, forming low angles with the shear zone (Fig. 6, Fig. 11f,i). 449

### 450 4.1.2 Interactions between deformation and pluton emplacement

The significant geometrical differences of the finite intrusions' shape observed among the 451 emplacement scenarios (Fig. 6, Fig. 11) reveal strong interactions between deformation and 452 intrusion emplacement (Román-Berdiel et al., 1997; Corti et al., 2005; Galland et al., 2007a; 453 Montanari et al., 2010; Gomes et al., 2019). Our experimental results, in both simple and 454 transtensional shear settings, show that pre- and syn-tectonic scenarios produce more elongated 455 456 and fault-controlled intrusions in comparison to the less deformed post-tectonic intrusions characterised by low aspect ratios. The presence of intrusion causes regional surface uplift above 457 the injection point and interferes with the fault structure evolution during their propagation. 458 459 Regarding the reference models, with no intrusion presence, fault-controlled pull-apart basin system and of high subsidence rate occur for the transtensional group. The simple shear deformation results 460 in a low angle main deformed linear zone formed by low angle shears and anastomosing shear 461 lenses. Exception to this topography is Model A2 that resulted in narrow pull-apart system divided 462 and displaced by the MF (Fig. 4e). 463

In accordance to the study of Gomes et al. (2019), this study illustrates that the shear zone 464 deformation can be significantly modified by rheological heterogeneities by looking at the shear zone 465 perturbation effect arising from passive (pre-tectonic) viscous-weak bodies. The pre-tectonic 466 plutons, A1 and B1, with the gradual evolution of the post-emplacement shearing start to accumulate 467 strain deformation in a ductile manner acquiring a sigmoidal (initially circular) shape of high aspect 468 ratio and the lowest amplitude among all models (Fig. 6a-d, Fig. 11 a-d). This is very well shown 469 from the shape analysis, where the lower levels of these intrusions are subparallel to the shear zone 470 while the highest levels follow the finite stretching direction (Fig. 6c-d, Fig. 11c-d). The surface 471 structures observed only for this model are acting separately over the domal uplift and not as one 472 master fault (Fig. 3f). These above results seem compatible with the inferred observation on caldera 473 474 collapse modelling and the case of strike-slip deformation of brittle layer containing a passive magmatic chamber (Holohan et al., 2008), where the magmatic chamber showed a NE-SW pluton 475

axis orientation, stretched approximately parallel to the extensional direction (in accordance to 476 current study Models A1 and B1). The limitation of pre-tectonic models, by the fault structures 477 478 developing subparallel to the boundary of the shear zone is an observation that is only attributed to the variations on the overburden thickness (Román-Berdiel et al., 1997). The presence of the weak 479 body delays the upward propagation of the synthetic Riedel shears and results in a wider shear 480 zone, in respect to its reference model (Fig. 2f, Fig. 3f). Fact that is inferred from the deformational 481 response and the delay of the shear zone formation on the brittle surface, in comparison to the 482 reference models (Fig. 2, Fig. 3, Fig.8). 483

484 In the case of the syn-tectonic intrusions, direct and strong interactions are developing among fault propagation and magma ascent. Faults control and confine the emplacement of the intrusions, 485 resulting in narrow and acute pluton shape that follows the highly active master fault (e.g. Román-486 Berdiel et al., 1997; Corti et al., 2005; Mazzarini et al., 2010). Syn-tectonic models in simple shear 487 488 deformation show the highest pluton amplitude among all models (A<sub>A2</sub> = 0.6; Table 3). From the shape analysis of the excavated intrusions is inferred local fault control on the intrusions' bound aries 489 490 and orientation. Models A2 and B2, are initially orientated subparallel to the shear zone (intrusions' lower level), with very low long axis angles. The deviation of their deformational is registered at their 491 highest levels when Model A2 seems to follow the Riedel orientation (Fig. 6h) while Model B2 is 492 493 oriented subparallel to SHmax.

The post-tectonic intrusions, Models A3 and B3, ascend without being exposed to the stress field, besides the push from below during their ascent. The shape analysis reveals a characteristic subcircular intrusion of an intermediate amplitude ( $A_{A3} = 0.55$ ;  $A_{B1} = 0.5$ ; Table 3) and with an analogous axis orientation to the syn-tectonic models (Fig. 6k-I, Fig. 11k-I). Only from the strain analyses it is inferred that in both settings, the uprising intrusions interferes and reactivates the preexisting brittle structures (Fig. 5c, Fig. 10c).

500 As a second order feature, the dike system overprinting the intrusions, is observed and analysed 501 upon excavation. Diking occurs locally and along fault structures and for all models, is developing either on the intrusion's flat roof top, as in the cases of pre- and post-tectonic emplacement models
(Fig. 6a-b; i-j, Fig. 11a-b; i-j) or as a form of a sheared tail intrusion's extension, as in the case of
the syn-tectonic A2 and B2 Models (Fig. 6e-f, Fig 11e-f).

505 Our models have shown that the pluton's geometry functions as an excellent first order discriminator 506 for the timing of the intrusion with respect to tectonic activity. In addition, this study offers information 507 on the surface deformation, often limited during field work due to the occurrence of natural (e.g. 508 erosion) and tectono-magmatic processes. The diagnostic attributions are summarized in Table 3. 509 (Table 3 here)

#### 510 4.2 Model boundary conditions, simplifications and limitations

511 Effect of the basal ductile shear zone on the emplacement process

We use a ductile homogenous shear zone as a basal kinematic boundary condition, which mimics 512 a natural mid-crustal shear zone. Apart from distributing deformation, the ductile material also 513 influences the emplacement mechanisms as reported in previous studies (Román-Berdiel et al., 514 1997, 2000; Corti et al., 2005; Montanari et al., 2010; Mazzarini et al., 2010). In those models, the 515 presence of a widespread ductile layer is a pre-requisite to allow lateral flow and emplacement in 516 laccolith style. It is suggested that for the syn-intrusive deformation the rheological stratification 517 caused a deeper level of emplacement with respect to purely brittle models and thus controls the 518 timing of magma emplacement. For our experiments, instead of a widespread ductile layer, we 519 520 introduced a basal ductile band. Intrusions are allowed within the ductile band and above the fixed injection tube. The effects described previously is similarly active in our setup with lateral intrusion 521 aided by the ductile material. 522

523 Ignoring thermal and strain-rate dependent effects on rheology.

524 The deformation in our experiments was accomplished by a quasi-stationary rheology including 525 temperature- and strain rate-insensitive brittle and Newtonian viscous materials. Our modelling 526 faces therefore some limitations regarding rheological principals (Corti et al., 2003). Magma cooling

is considered in simplified way by choosing a relatively high viscosity of the model magma (see 527 chapter on scaling and similarity 2.3). Thermal effects caused by the intrusion-related heating on 528 the rheology of the crustal rocks were not taken into consideration for our modelling as for all other 529 models of this type (Román-Berdiel et al., 1997; Corti et al., 2003; Galland et al., 2003, 2006, 2007; 530 Montanari et al., 2010; Mazzarini et al., 2010). At shallow crustal levels as those targeted here, 531 intrusion of rather small amounts of hot material into cold crust has likely a minor effect on the 532 geotherm and thereby on the rheology in general. However, the brittle-ductile transition might be 533 more sensitive to even small fluctuations in the geotherm caused by episodic heat advection by 534 veining and long-term emplacement of the intrusion (e.g. Handy and Streit, 1999; Brown, 2013). Any 535 modification of the rheology around the base of our models including temperature and strain-rate 536 dependent viscosity changes or even switches in the dominant deformation mechanisms (and 537 thereby the geometry of the brittle-viscous transition) is however beyond the scope of this modelling 538 approach. While we consider this drawback of the method more significant at a small scale, 539 numerical modelling might help in verifying the validity of our more stationary approach on a more 540 regional scale. 541

## 542 What drives deformation in the model versus nature?

In our experiments model magma is rising due to two different forces: pressure applied externally 543 and internal buoyancy forces (e.g. Ribe and Davaille, 2013; Wouter et al., 2016). Though gualitative 544 545 similar mechanisms act in nature the dynamic similarity is hard to verify. Pressure in the model is controlled by the applied intrusion rate and decreases over an experimental run as the intrusion rate 546 is kept constant while the volumetric fraction added to the growing intrusion decreases with 1/t 547 systematically. Given the geometric and kinematic similarities described above we suspect a 548 realistic de-pressurization scenario in the model. However, given the lack of quantitative 549 550 observations in nature a conclusion remains elusive. Buoyancy in the model is likely more important than in nature because the density ratio between PDMS and sand is low (1:1.7) while it may be 551 closer to equality (1:1) or even >1 (negatively buoyant) in nature, depending on magma composition. 552

As we observe silicone dikes intruding the overburden in a similar fashion as in nature (e.g. roof dikes, see below), we suspect however, that buoyancy driven intrusion (i.e. diapirism) mimics residual melt injection driven by local pressure anomalies in the prototype.

## 556 4.3 Comparison with nature

Analogue modelling may be characterised by strong limitations and simplifications, mainly related 557 to the inability to reproducing natural thermal and rheological conditions. In addition, limitations on 558 direct comparison among natural and analogue models may be due to restricted exposure of 559 plutons. From our observations, the spatial and temporal relationships between emplacement-560 related structures and intrusion vary with the level of the exposure. Analogue modelling studies have 561 managed to reproduce models with similar geometrical features of the plutons in the upper crustal 562 levels, for different tectonic settings, supporting the field evidences on tectonic control during pluton 563 564 emplacement (Castro, 1987; Hutton, 1988) and testifying the reliability of this modelling approach (Román-Berdiel et al., 1997, 2000; Galland et al., 2003; Corti et al., 2005; Gomes et al., 2019). 565

The current analogue modelling approach provides new insights on the reliability of the finite pluton shape as a diagnostic key-feature for constraining the temporal timing (pre-, syn- and post-tectonic) and spatial conditions (tectonic setting) of the shallow crustal level emplacement. To validate our experimental observables, we test them along with some second-order attributes against six cases of well-defined natural examples, emplaced in simple shear and transtensional zones (Fig.13).

# 571 4.3.1 Natural examples of intrusions along simple shear zones

*The pre-tectonic Teixeira Pluton* (Fig. 13a,d) – This elongated ENE-trending batholith (80 km x 25 km) is situated in NE Brazil and within a zone that is defined by the E-trending Patos, the So Josèdos Cordeiros and the Juru shear zones (Fig.13a). The pre-tectonic Teixeira batholith is a leucocratic granite to quartz-syenite in composition that shows a crystallization age of 591± 5 Ma (Zircon U/Pb). The batholith fabrics, determined by anisotropy of magnetic susceptibility (AMS) and mineral shape preferred orientation, support a pre-transcurrent batholith emplacement scenario (Archanjo et al.,

578 2008). This natural example carries similar features to the pre-tectonic Model A1 of this study (Fig. 579 6a-d). The pluton is characterised by high aspect ratio (L/W > 5), with the long axis orientated 580 subparallel to the extensional direction, forming an angle of  $\alpha = 15^{\circ}$ . The Teixeira pluton, on map 581 view, is elongated in a northeast-southwest direction, consistent with the dextral pure strike-slip 582 motion (Fig.13a).

Another natural example with similar characteristics to the pre-tectonic model A1 is the granitic 583 Tazenakht Pluton in Morocco. The pluton's deformation follows its emplacement within a left lateral 584 strike slip fault, running along its northern side (Ennih and Liegeois, 2001; Gasquet et al., 2008). 585 The boundaries developing between the fault structure and the intrusion boundaries are sharp. The 586 pluton was probably subject to a minor rotation during the deformational post-intrusion event. This 587 natural example is characterised by high axis ratio ratio (L/W = 1.6) and the pluton's long axis forms 588 an angle of  $\alpha = 29^{\circ}$  with the shear zone. The local presence of dykes and the geometrical features 589 of the pluton are similar to those observed for the Model A1. 590

The syn-tectonic Cabeza de Araya Pluton (Fig. 13b,e) - The plutons of the Central Extremadura 591 batholith in the Iberian Massif (Fernández and Castro, 1999) is a natural example of syn-tectonic 592 593 plutonism. This natural example was imposed to tectonic deformation during its emplacement, triggered by a right-lateral shear zone (Vigneresse and Bouchez, 1997; Fernández and Castro, 594 1999; Corti et al., 2005). The emplacement mode of the pluton is coeval with the dextral regional 595 shear zone (Castro, 1985). The pluton shows an aspect ratio of L/W = 2.7, with the long axis forming 596 an angle of  $\alpha$  = -45° parallel to the compression direction (NW-SE direction, Fig. 13b). Similarly, an 597 intermediate axis ratio is observed for the syn-tectonic Model A2 (r = 2.5; Fig. 6g, h). The model's 598 long axis that corresponds to the higher contour levels is orientated with the angle of ( $\theta = -10^{\circ}$ ), 599 making this natural model a prototype for this emplacement scenario. In addition to this, there are 600 601 also other important natural examples of syn-tectonic intrusion emplacement scenarios that shows similarities to our observations: 1) Karawanken pluton (Visonà and Zanferrari, 2000; Rosenberg, 602

2004); 2) *The Lizio pluton* (Román-Berdiel et al., 1997; Fernandez and Castro, 1999; Corti et al.,
2005); 3) *Cases of Syn-tectonic magmatism along the Periadriatic Fault System* (Rosenberg, 2004).

The post-tectonic Vila Pouca de Aguiar pluton (Fig. 13c,f) – This is a natural example of a post-605 tectonic granitic intrusion that is emplaced after the main left-lateral shear phase event (Sant'Ovaia 606 et al., 2000). It is suggested that the first to be emplaced and the least evolved granite type (Vila 607 Pouca de Aguiar Granite) upwelled from the local, NE-trending fault-zone, acting as a dike, and 608 formed a thin sill where NE-directed magma flow was dominant. In this case, the pre-existing 609 features exert a major role during emplacement leading to the roughly alignment of the intrusion 610 with the shear zone ( $\alpha = -4^{\circ}$ ; Fig.13f), with the pluton axis parallel to the compression direction. Even 611 if the pre-tectonic group generated intrusions with the lowest aspect ratios (r < 1.5; Fig. 7), the 612 intrusion ellipses corresponding to higher contour levels resulted in a similar aspect ratio (r = 1.9) 613 and elongated shape to the Vila Pouca de Aguiar pluton (Fig. 6I). 614

Another characteristic natural example of post-tectonic emplacement is the Águas Frias (AF) pluton (Martins et al., 2009; Fig. 13c). This post-tectonic granitic pluton is situated NE from the Vila Pouca de Aguiar pluton, occupying an area of 30 km<sup>2</sup> and is associated with Penacova-Régua-Verin fault (PRVF) that belongs to the NNE-trending brittle fault system. Its emplacement is fed through a deep conduit located at the centre of the pluton. VPA and AF plutons show similar in mineralogical, chronological and magnetic features. Their feeding zones are located within the NNE-SSW Penacova-Régua -Verin Fault. (Figure 13 here)

## 622 4.3.2 Natural examples for intrusion into transtensional shear zones

*The pre-tectonic Eğrigöz pluton* (Fig. 13g,j) - This natural example regards a N-S elongated (40x15 km) granite body (20.5±1.1-18.9±1.2 Ma.; Catlos et al., 2012), situated in the northern Menderes metamorphic core complex and covering an area of 330 Km<sup>2</sup>. Its geometrical features show a high aspect ratio of L/W= 2.6 and an axis trend of  $\alpha = 46^{\circ}$  (parallel to the extension direction), analogous to the observations made for the higher contour levels of the strongly deformed pre-tectonic Model B1 (r = 1.9;  $\theta = 42^{\circ}$ ; Fig.11d; Table 3). The 20km-long, NE-striking Gerni shear zone is closely linked

to the pulses of magmatic activity and assumed to have propagated immediately following the 629 emplacement of the Eğrigöz pluton. Stress tensor results of the Eğrigöz pluton indicate that the 630 631 shear zone has been predominant under NE-SW-trending extension. The presence of the aplitic and pegmatitic dikes along the periphery and structurally upper parts of the pluton (Erkül et al., 2017) 632 are rather common and similarly reproduced during the analogue Model B1 experiment (Fig. 11a-633 b). In addition to this example, more plutons are registered carrying similar diagnostic features of 634 pre-tectonic emplacement mode : 1) The Totoltepec Pluton (Kirsch et al., 2013); 2) The Devonian 635 granitoid of Pampa de Achala, in Central Argentina (Simpson et al., 2001); 3) The Koyunoba pluton 636 (Erkül et al., 2017). 637

The syn-tectonic Monzoni Intrusive Complex (Fig. 11) – This 4 km-long intrusion situated in the 638 central Dolomites in Italy offers a great occasion for comparison due to its excellent three-639 dimensional exposure. However and mainly due to contact metamorphism around the pluton and 640 641 the syn-metamorphic thermally driven fluid circulation, field observations are very limited, and still there is very poor knowledge of kinematic indications. Based on analogue modelling observations, 642 643 new insights on the shear zone and fault structures, of the missing upper crustal layer that once hosted the emplaced magmatic chamber, is achieved. It is assumed that the pluton's emplacement 644 mode is controlled by the Middle Triassic tectonics, however the time of emplacement (syn-tectonic 645 or indirect post-tectonic emplacement) along the left-lateral shear zone is still under discussion. 646 According to our model observations, this pluton exhibits diagnostic characteristics similar to a syn-647 to late tectonic intrusion. The pluton's long axis trends with an angle of  $\alpha$  = -14°, parallel to the 648 compressional field, and shows an aspect ratio of L/W = 2 (Fig. 13k). The shape analysis of this 649 pluton shows similarity to the Model B2 (-10 <  $\theta$  < -19°; r = 1.7; Fig. 11g-h). The syn-magmatic dike 650 swarms, cropping out at the intrusion area, appear to cut the deformed sedimentary country rocks 651 and intrude the magmatic edifice (Sloman 1989; Bonadiman et al., 1994; Gianolla et al., 2010; Abbas 652 et al., 2018) while the pluton carries a NE–SW striking apophysis that trails the intrusion at its SW 653 boundary. Similar natural examples of syn-tectonic emplacement mode are: 1) The Mortagne pluton 654 (Román-Berdiel et al., 1997); 2) The Los Pedroches pluton (Aranguren et al., 1997; Román-Berdiel 655 26 et al., 2000); 3) *The Jihlava Intrusion* (Verner et al., 2006); 4) *The Hombreiro pluton* (Román-Berdiel et al., 2000); 5) *The Gulf of Aden Ridge* (Corti et al., 2003).

The post-tectonic La Tojiza Pluton (Fig. 13i,I) - The La Tojiza granite is cropping out in the 658 Mondon edo Nappe Domain (Fig. 13c) and according to geochronological data it consists with a U-659 Pb age of 295 ± 2 Ma (Fernández-Suárez et al., 2000). This post-tectonic association is composed 660 of granite-granodiorite-tonalite-monzogranite intrusions. The intrusion reactivated the inherited 661 transtensional tectonic structures, leading to the emplacement and formation of a sub-circular pluton 662 of 16 km-diameter (Aranguren et al., 2003). Its thickness is estimated of 1 km and the root zone is 663 located at its eastern border. The pluton shows an axis trend of  $a = -6^{\circ}$  and parallel to the 664 compressional field, with the pluton's aspect ratio L/W = 1.2 (Fig. 13I). Most of the observed aplitic 665 dikes are present on the roof of the pluton and oblique to the magnetic lineation. These features are 666 in great accordance to the lower contour levels of the post-tectonic Model B3 ( $\theta = 0^{\circ}$ ; r = 1.4; Fig. 667 668 11k-I). The *Plasenzuela Intrusion* (Central Extremadura, Spain; Fernandez and Castro, 1999) represents another natural example for this emplacement scenario, characterised by a well-defined 669 rounded pluton shape of low aspect ratio (L/W~1). 670

## 671 4 Conclusions

This study provides new insights on the application of the finite pluton shape as a diagnostic indicator for constraining the tectonic setting and the relative timing of magma emplacement versus tectonics. Three scenarios on pluton emplacement (pre-, syn- and post-tectonic) in two different tectonic settings (simple shear, transtension) are tested and analysed. The intrusion shapes (aspect ratio, principal axis trend, amplitude) and the model surface deformation are measured and described, providing useful insights for the understanding of the interactions occurring between tectonics and magmatism. The main insights and conclusions supported by this study are the following:

Incremental strain analysis and final surface deformation reveal a different deformational
 pattern among the scenarios and strong interactions between tectonics and magma

681 emplacement. Pre-tectonic models accumulate regional strain during shearing, resulting in 682 the delay and diffusion of the brittle structures during their up-ward propagation. Here, the 683 final shear zone appears wider than the respective reference model and crosscut but more 684 than one well-defined fault. Syn-tectonic emplacement causes the major boundary faults to 685 extend and curve towards the centre and the formation of a narrow fault-controlled pull-apart 686 basin overprinted by the master fault. The inverse shear sense, captured in strain maps, 687 caused during intrusion is observed exclusively in the post-tectonic scenario.

The final shape of the intrusions emplaced along the shear zones with a different timing 2. 688 respect to the tectonic activity show significant deformational variations, delineating its 689 reliability as a diagnostic feature. Observations coming from both tectonic groups (simple 690 shear and transtension) infer parallel deformational pattern and geometrical characteristics 691 for the intrusions of similar timing of emplacement (pre-, syn- or post-tectonic). Based on 692 693 the analytical shape analysis, the asymmetric and deformed final intrusions reflect the regional stresses and finite strain field. The axis aspect ratio and trend are evolving against 694 the contour level for each model, remaining consistent among those emplaced coevally. 695

3. Among the emplacement scenarios, the pre-tectonic intrusions appear strongly elongated parallel to the extension field with the lowest amplitude and the highest aspect ratios. The intrusion's flat top is cross cut by more post-emplacement shears. Syn-tectonic models are characterised by high amplitude and moderate aspect ratios. They bear acute roofs, oriented parallel to the compression direction, and scissor-tail apophysis that trails the intrusion. The sub-circular post-tectonic models show the lowest aspect ratios and a similar orientation to syn-tectonic models.

Faults that crosscut the central zone overprint the emplaced or up-lifting intrusion,
 navigating the intrusions' margins and serving as pathway for diking. The higher levels of
 the syn- and post-tectonic intrusion boundaries appear parallel to and constrained by the
 synthetic faults developing on the surface. The lateral expansion of pre-tectonic models is

- bounded by the major fault structures developing subparallel to the boundary of the shear
   zone, while their top is overprinted by roof-diking guided by crosscutting shears.
- The obtained diagnostic attributes are tested successfully against natural prototypes,
  confirming the diagnostic potential and reliability of the intrusion's shape for constraining the
  time and the tectonic setting of the magmatic emplacement.

#### 712 Data Availability

713 Supplementary data to this article can be found online at: *https://dataservices.gfz-*714 *potsdam.de/panmetaworks/review/fc4dbaf175809475dea6b59495381e0ba7ae895307bc01b738b* 715 *dc2c466780604/* 

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# 936 Table 1 Model characteristics and experimental conditions

Models	Thicknessof brittle layer	Thicknessof ductile layer	Intrusion rate, Ir	Injected Volume, Iv	Relative dis- placement rate, Dv	Total horizontal displacement, Dt	Experiment Duration
Reference models (Group R)							
SimpleshearRS	40mm	7mm	-	-	1.0 mm/min	30 mm	30 min
Transtension RT	40mm	7mm	-	-	1.0 mm/min	30 mm	30 min
Static Intrusion R0	40mm	7mm	21.0 mm³/s	37.7x10 <sup>3</sup> mm <sup>3</sup>	-	-	30 min
Simple shear models (Group A)							
Pre-tectonic intrusion A1	40mm	7mm	21.0 mm <sup>3</sup> /s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	60 min
Syn-Tectonic Intrusion A2	40mm	7mm	21.0 mm <sup>3</sup> /s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	30 min
Post-tectonic intrusion A3	40mm	7mm	21.0 mm³/s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	60 min
Transtension models (Group B)							
Pre-tectonic intrusion B1	40mm	7mm	21.0 mm <sup>3</sup> /s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	60 min
Syn-Tectonic Intrusion B2	40mm	7mm	21.0 mm <sup>3</sup> /s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	30 min
Post-tectonic intrusion B3	40mm	7mm	21.0 mm <sup>3</sup> /s	37.7x10 <sup>3</sup> mm <sup>3</sup>	1.0 mm/min	30 mm	60 min

# 938 Table 2 Material properties and scaling factors

Parameters	Models	Nature	Model/ Nature (Scaling factor)
Brittle material	Quartz Sand	Upper Crust	
Length, L	0.01 m	0.6 - 6 km	0.6 - 6 x 10 <sup>-6</sup>
Cohesion, C	10-100 Pa	10 MPa	1-10 x 10 <sup>-6</sup>
Density, ρ	1600 Kg/m	2600 kg/m	0.615
Gravity, g	9.81 m/s	9.81 m/s	1
Viscousmaterial	Silicone oil	Intrusion/shear zone	
Viscosity, η	3 x 10 <sup>4</sup> Pa s	>10 <sup>18</sup> Pa s	3.0 x 10 <sup>-14</sup>
Density, ρ	965 kg/m	1600 kg/m	0.603
Time, t	1 sec	30000 yrs	10 <sup>-13</sup>

# 940 Table 3 Summarizing table of diagnostic attributes as suggested by the analogue models for the different em-

# 941 placement scenarios.

Model	Pluton Elo	ngation <sup>a</sup>	Orientation <sup>a</sup>	Dike system	Pluton Am- plitude, A
	Group A	Group B			
Pre-tectonic	<b>High</b> (r <sub>A1</sub> >1.5)	<b>High</b> (r <sub>B1</sub> >1.5)	Long axis // extension	Roof	Low
Syn-Tectonic	<b>High</b> (r <sub>A2</sub> >1.5)	Medium/High <sup>♭</sup> (r <sub>B2</sub> >1.5)	Long axis // compression	Lateral (apophysis)	High
Post-tectonic	<b>Low</b> (r <sub>A3</sub> <1.5)	<b>Low</b> (r <sub>B3</sub> <1.5)	Long axis // compression	Roof	Medium

942 <sup>a</sup> Based on an ellipse fitted to the lower (C<sub>1</sub>) and higher (C<sub>2</sub>) contour level of the intrusion.

943 <sup>b</sup> Based on repetition models (100, 59, 95; see Appendix A.1)



Fig. 1 Details of the experimental apparatus. Details of the experimental set-up. (a) Schematic cut-out 3D view depicting its various components; (b) Model layering; kinematic basal boundary conditions for (c) Sketch of the experimental setting of the simple shear deformation (d= 310 mm) and (d) the transtensional deformation (obliquity  $\alpha$ =15°) with the initial (yellow) and final (green) positions of the mobile plates (w= 40 mm, w'= 55.5 mm, d= 360 mm, d'= 375.5 mm; Oblique view of experimental settings for (e) simple shear and (f) transtensional deformation. Arrows indicate the direction of plate movement.



Fig. 2 Surface evolution and deformational pattern of the reference experiments (Group R): (a-c) representative stages (with  $V_{inj}$  = 3.2, 17.5, 28.0 ml) of the topographic evolution (vertical displacement  $\Delta h$ ) of the static intrusion model R0; (d-f) representative stages (at d<sub>rel</sub> = 5.0, 16.1, 38.3 mm) of the strain field (shear stain Exy) of the simple shear model RS and (g-i) representative stages (at d<sub>rel</sub> = 5.0, 16.1, 38.3 mm) of the strain field (shear stain Exy) of the transtension model RT. Positive values of Exy (in red) indicate a dextral shear sense and negative values (in blue) indicate sinistral shear. Dotted circles indicate the position of the basal injection.





Fig. 3 Surface deformation of the pre-tectonic intrusion model in simple shear (Model A1). Shown are snapshots of the evolution: (a) Topography the end of static intrusion ( $V_{inj}$  = 37.7 ml), (b) incremental strain during an early stage of displacement ( $d_{rel}$  = 11.4 mm), and (c) during a late stage ( $d_{rel}$  = 22.2 mm). Panels in the second row show the final (cumulative deformation) stage of the model ( $d_{rel}$  = 30 mm,  $V_{inj}$  = 37.7 ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel}$ =30mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.





Fig. 4 Surface deformation of the syn-tectonic intrusion model in simple shear (Model A2). Shown are snapshots of the evolution: Incremental strain during an (a) early ( $V_{inj}$  = 4.9 ml and  $d_{rel}$  = 6.7 mm), (b) intermediate ( $V_{inj}$  = 8.4 ml and  $d_{rel}$  = 6.7 mm) and (c) late stage ( $V_{inj}$  = 35.0 ml and  $d_{rel}$  = 27.8 mm). Panels in the second row show the final (cumulative deformation) stage ( $d_{rel}$  = 30 mm,  $V_{inj}$  = 37.7 ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel}$ =30mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.





Fig. 5 Surface deformation of the post-tectonic intrusion model in simple shear (Model A3). Shown are snapshots of the evolution: (a) Accumulated strain at the beginning of intrusion ( $d_{rel} = 30$  mm), (b) incremental strain during an early ( $V_{inj} = 12.6$  ml) and (c) late stage ( $V_{inj} = 32.2$  ml) of intrusion. Panels in the second row show the final (cumulative deformation) stage ( $d_{rel} = 30$  mm,  $V_{inj} = 37.7$  ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel} = 30$ mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.



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Fig. 6 Models of the intrusions in the simple shear regime (Group A): Model A1 (a-c); Model A2 (d-f) and Model A3 (g-i). (a, d, g) Oblique views of the excavated intrusions; (b, e, h) Digital Intrusion Models (DIM) coloured and with contour lines; (c, f, i) Orientation and geometry of the intrusion (in map view), where  $\theta$  = the angle between the long axis (orange) of the fitted ellipse (red) and the strike of the basal shear zone. r is the intrusion's aspect ratio (length-to-width ratio, L/W). Light and dark grey quadrants indicate contraction and extensional quadrants, respectively.



988 Fig. 7 Series A experimental results expressed in graph of angles and ratios ellipses vs. intrusion contour level.





Fig. 8 Surface deformation of the pre-tectonic intrusion model in transtension (Model B1). Shown are snapshots representative of the evolution: (a) Topography the end of static intrusion ( $V_{inj}$  = 37.7 ml), (b) incremental strain during an early stage of displacement ( $d_{rel}$  = 5.6 mm), and (c) during a late stage ( $d_{rel}$  = 16.7 mm). Panels in the second row show the final (cumulative deformation) stage of the model ( $d_{rel}$  = 30 mm,  $V_{inj}$  = 37.7 ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel}$ =30mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.



Fig. 9 Surface deformation of the syn-tectonic intrusion model in transtension (Model B2). Shown are snapshots representative of the evolution: Incremental strain during an (a) early ( $V_{inj}$  = 1.5 ml and  $d_{rel}$  = 1.2 mm), (b) intermediate ( $V_{inj}$  = 10.5 ml and  $d_{rel}$  = 8.3 mm) and (c) late stage ( $V_{inj}$  = 21.0 ml and  $d_{rel}$  = 16.7 mm). Panels in the second row show the final (cumulative deformation) stage ( $d_{rel}$  = 30 mm,  $V_{inj}$  = 37.7 ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel}$ =30mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.



Fig. 10 Surface deformation of the post-tectonic intrusion model in transtension (Model B3). Shown are snapshots representative of the evolution: (a) Accumulated strain at the beginning of intrusion ( $d_{rel} = 30$  mm), (b) incremental strain during an early ( $V_{inj} = 13$  ml) and (c) late stage ( $V_{inj} = 18.6$  ml) of intrusion. Panels in the second row show the final (cumulative deformation) stage ( $d_{rel} = 30$  mm,  $V_{inj} = 37.7$  ml): (d) surface image; (e) cumulative vertical displacement (tot.  $d_{rel} = 30$ mm) and (f) cumulative shear strain ( $E_{xy}$ ). Dotted circles indicate the position of the basal injection.



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Fig. 11 Models of the intrusions in the transtensional regime (Group B): Model B1 (a-c); Model B2 (d-f) and Model B3 (g-i). (a, d, g) Oblique views of the excavated intrusions; (b, e, h) Digital Intrusion Models (DIM) coloured and with contour lines; (c, f, i) Orientation and geometry of the intrusion (in map view), where  $\theta$  = the angle between the long axis (orange) of the fitted ellipse (red) and the strike of the basal shear zone. r is the intrusion's aspect ratio (length-to-width ratio, L/W). Light and dark grey quadrants indicate contraction and extensional quadrants, respectively.





1018 Fig.12 Series B experimental results expressed in graph of angles and ratios ellipses vs. intrusion contour level.

#### Natural Examples in Simple Shear





Fig. 13 Simplified geological maps and shapes of natural plutons emplaced in simple shear and transtensional zones: (a, d) The Teixeira pluton (Archanjo et al., 2008); (b, e) The Cabeza de Araya Pluton (Vigneresse and Bouchez, 1997; Fernandez and Castro, 1999; Corti et al., 2005) and (c, f) The Vila Pouca de Aguiar pluton (Sant'Ovaia et al., 2000). Plutons emplaced in transtensional regime: (g, j) The Eğrigöz pluton is a pre-tectonic intrusion affected by rotation due to the transtensional deformation occurring after the emplacement (Erkül et al., 2017); (h, k) The Monzoni Pluton developed within a transtensional tectonic environment (Sloman, 1989; Bonadiman et al., 1994); (i, l) La Tojiza Pluton is a clear example of post-tectonic intrusion only slightly reactivating the previous transtensional tectonic structures (Aranguren et al., 2003).

# 1029 9 Appendix – Supplementary data



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- 1031 A.1 Intrinsic variability of intrusion models verified by repeating experiments (Model B2) in syn-tectonic intru-
- 1032 sion in transtension.



1034 A.2 Complete contour ellipses analysis of the Model A1 shape as a function of height



1036 A.3 Complete contour ellipses analysis of the Model A2 shape as a function of height



1038 A.4 Complete contour ellipses analysis of the Model A3 shape as a function of height



1040 A.5 Complete contour ellipses analysis of the Model B1 shape as a function of height





1042 A.6 Complete contour ellipses analysis of the Model B2 shape as a function of height



1044 A.7 Complete contour ellipses analysis of the Model B3 shape as a function of height