

1 **Crustal-scale listric geometry of the San Andreas Fault driven by lower crustal**  
2 **flow**

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10 **This is a non-peer reviewed preprint submitted to EarthArXiv.**

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13           **Abstract**

14           The San Andreas Fault (SAF) is one of the dominant components of the transform  
15 boundary between the Pacific and the North American Plate. Although the fault is vertical-  
16 to sub-vertical at shallow (<10 km) depth, it variably dips at angles of ca. 40-70° to the south-  
17 west near the western Transverse Range and to the northeast in its southern segment at  
18 depths of ca. 10-20 km, and thus can be described as having a listric geometry at the crustal  
19 scale. The mechanism controlling the fault dip direction variation at depth along SAF is not  
20 well understood. We utilize a 3D, finite element thermomechanical, viscoplastic model to  
21 simulate lithospheric deformation associated with the SAF. The Big Bend of the fault near  
22 the western Transverse Range is taken as a geometrical initial condition. Numerical exper-  
23 iments demonstrate that regional lower crust strength variation along the SAF strike is an  
24 important control on fault dip direction. For two blocks separated by transpressional faults,  
25 viscous lower crustal material moves from the high viscosity (strong) block to the lower vis-  
26 cosity (weak) lower crustal block. Fault-plane-normal flow in the viscous lower crust forces  
27 fault dip direction at brittle-ductile transitional depth to rotate in the flow direction. Geophys-  
28 ical data suggest that the Great Valley (eastern block) and south coast area (western block)  
29 have stronger lower crust than their opposing fault blocks and that the SAF dips towards the  
30 weaker block in both instances. Our self-consistent model also sheds light on the left-lateral  
31 Garlock Fault, which intersects the right-lateral SAF in the western Transverse Range. To  
32 maintain a vertical dip in the shallow crust and structural-kinematic connectivity with the  
33 migrating fault zone at visco-elastic depths, the SAF may exhibit spatiotemporal transience  
34 in upper crustal fault location and geometry over geological time scales, including strain  
35 zone widening and dispersions. This behavior is expected to have ramifications for SAF  
36 earthquake behaviors including rupture nucleation locations and segmentation and adds

37 complexity to expectations that strain should localize on sub-vertical strike-slip faults with  
38 increasing fault maturity.

39

## 40 **1 Introduction**

41 In a triaxial stress system with orthogonal principal compressive stresses  $\sigma_1 > \sigma_2 >$   
42  $\sigma_3$ , where  $\sigma_1$  and  $\sigma_3$  are horizontal and  $\sigma_2$  is vertical, shear failure in intact rock is expected  
43 to occur on subvertical strike-slip faults oriented  $\sim 30^\circ$  to  $\sigma_1$  ([Anderson, 1905](#)). With pro-  
44 gressive deformation, formed faults may grow and interact ([Roy and Royden, 2000b](#); [Yang](#)  
45 [et al., 2020](#)) and kinematically and geometrically evolve in ways that may be influenced by  
46 lithospheric conditions such as crustal rheology ([Roy and Royden, 2000b, a](#)), changes in  
47 the strain field ([Koons et al., 2003](#)), and other lithospheric conditions ([Bowman et al., 2003](#);  
48 [Yang et al., 2018](#)). Optimally-oriented sub-vertical strike-slip faults could be expected to  
49 maintain steeply dipping geometries over geological time-scales. However, some of the larg-  
50 est plate boundary strike-slip faults on Earth, e.g., San Andreas Fault in California, Alpine  
51 Fault in New Zealand, Denali Fault in Alaska, have complicated geometries that include  
52 oppositely dipping and geometrically and kinematically distinct fault segments. The San An-  
53 dreas Fault (SAF, [Fig. 1](#)) is a classical continental transform boundary between the Pacific  
54 and North American plates that accommodates 20-75 % of the relative motion between the  
55 two plates ( $\sim 50 \text{ mm yr}^{-1}$ ) ([Atwater and Stock, 1998](#); [DeMets and Dixon, 1999](#); [Meade and](#)  
56 [Hager, 2005](#)) but varies in dip and geometry along strike and is thus a focus of this study.

57 Models for crustal deformation and earthquake rupture studies commonly simplify the  
58 SAF as a vertical or steeply dipping fault ([Meade and Hager, 2005](#); [Plesch et al., 2007](#)). The

59 segment dipping  $\sim 50^{\circ}$ - $70^{\circ}$  northeast in the southern end of the SAF has been revealed by  
60 geodetic ([Lindsey and Fialko, 2013](#)), high-precision earthquake relocation ([Lin et al., 2007](#))  
61 and seismic reflection studies ([Fuis et al., 2017](#)), while the segment near the Big Bend was  
62 found to dip  $55^{\circ}$ - $75^{\circ}$  southwest by the analysis of potential-field data ([Fig. 1](#)) ([Fuis et al.,](#)  
63 [2012](#)). Recent earthquake hypocenter relocation studies show that the straight section to  
64 the north of the Big Bend is steeply dipping ([Kim et al., 2016](#)).

65 A non-vertical strike-slip fault plane may be an inherited structure from previous mod-  
66 erately dipping fabrics in mid-lower crustal depth ([Schulte-Pelkum et al., 2020](#)), or caused  
67 by transpressional deformations with a large ratio ( $> \sim 0.4$ ) of normal to transcurrent displace-  
68 ment that drives fault rotation ([Braun and Beaumont, 1995](#)). In a transpressional stress re-  
69 gime, the Anderson theory of faulting predicts conjugate fault planes, but it is hard to discern  
70 which one is the master fault. Roy and Royden ([2000b](#)) found the minor faults adjacent to  
71 the subvertical strike-slip fault plane might have moderately dipping angles at the brittle-  
72 ductile depth, but it cannot explain geometry of the major fault like the SAF. The static solu-  
73 tion of Anderson faulting theory neglects the long-term evolution of a fault and the depth  
74 dependent rheology in crust.

75 We use the numerical geodynamic modelling method to simulate the long-term behav-  
76 ior of the SAF and propose that the deep ductile deformation due to viscosity contrast be-  
77 tween two fault-bounded blocks would affect shallow, brittle-fault kinematics. To test our  
78 hypothesis, regional geophysical observations are combined to examine the ductile strength  
79 in the lithosphere. Modelling results also shed light on secondary fault distributions associ-  
80 ated with SAF, e.g., the Garlock fault intersecting the SAF near the Big Bend ([Fig. 1](#)).

## 81 2 Methods

82 The particle-in-cell, finite-element, geodynamics code Underworld2 ([Mansour et al.,](#)  
83 [2020](https://doi.org/10.5281/zenodo.1436039)) (<https://doi.org/10.5281/zenodo.1436039>) is used to model the 3D thermo-mechani-  
84 cal deformation in the SAF system ([Moresi et al., 2007](#)). Underworld2 solves the equations  
85 for conservation of momentum and mass (incompressible material). The resulted velocity  
86 field are coupled in temperature calculation with advection-diffusion equation. The Bous-  
87 sinesq approximation is assumed for the equation of state.

88 We assume a power law dislocation creep to represent viscous flow. Drucker-Prager  
89 pressure-dependent yielding criteria is used for plastic deformation  $\sigma_{yield} = P \tan \phi + C$ ,  
90 where  $\sigma_{yield}$  is the maximum second deviatoric stress invariant,  $\tan \phi$  is the friction coeffi-  
91 cient and  $C$  is the cohesion. Linear strain weakening of the friction coefficient (0.4-0.02) and  
92 cohesion (20-5 MPa) between plastic strains of 0.5 and 1.5 allows for strain localization.  
93 Visco-plastic flow is modelled through an effective viscosity:  $\eta_{vp} = \min \left( \eta, \frac{\sigma_{yield}}{2 \dot{\epsilon}_{II}} \right)$ , where  $\eta$   
94 is the viscosity represented by power law dislocation creep, and  $\dot{\epsilon}_{II}$  is the second invariant  
95 of strain rate. The viscosity is limited in the range between  $10^{19}$  Pa·s and  $10^{24}$  Pa·s. Param-  
96 eters used in the numerical experiments are listed in Table 1.

97

## 98 3 Model description

99 The model domain has total dimensions of 600 × 300 × 120 km with 144×72×48 linear,  
100 quadrilateral elements ([Fig. 1](#)). The 120-km-thick model consists of a 30-km-thick crust and  
101 a 90-km-thick mantle. Based on regional tectonic settings, the calculation domain is divided  
102 into 3 tectonic blocks: the coast area (west of SAF), the Great Valley (east of SAF and north

103 of the Garlock Fault), and the Sierra Nevada-Mojave (east of SAF) ([Fig.1](#)). Seismic surveys  
104 show that the crustal thickness in the Coast Range (north of the coast area) to be 25-30 km  
105 thick and 30-35 km in the other blocks ([Mooney and Weaver, 1989](#); [Jones et al., 1994](#);  
106 [Fliedner et al., 1996](#); [Zhu and Kanamori, 2000](#)). We set a constant value of 30 km for crustal  
107 thickness and neglect lateral variations.

108 The crust is generally composed of felsic upper crust and mafic lower crust, but the  
109 absence of mafic lower crust in the Mojave block is suggested by many seismic studies. A  
110 Receiver function study found the  $V_p/V_s$  ratio  $< 1.75$ , indicating a felsic crust ([Zhu and Kan-](#)  
111 [amori, 2000](#)). A seismic refraction survey ([Fuis et al., 2001](#)) detected the seismic P wave  
112 velocity in lower crust to be 6.3 km/s. This is unusually low: mafic lower crust generally has  
113 a P wave velocity of  $> 6.5$  km/s. which might be caused by removing of mafic lower crust  
114 which can be linked to surface magmatism dated to early Miocene (ca. 22-24 Ma) ([Glazner](#)  
115 [et al., 2002](#)). The southeast Sierra Nevada is also thought lost its crustal root ([Jones et al.,](#)  
116 [1994](#); [Fliedner et al., 1996](#)), and the delamination is estimated to occur ca. 3.5 Ma, which is  
117 evidenced by a pulsive emplacement of mafic potassic magmatism emplaced during 4-3 Ma  
118 ([Manley et al., 2000](#)). Therefore, both the Mojave and Sierra Nevada block are designed in  
119 our models to have no mafic lower crustal layer.

120 The model evolution time in our numerical experiments is  $< 4$  Myr. Because the relative  
121 motion direction between the North American plate and Pacific plate shows no significant  
122 changes since 8 Ma ([Atwater and Stock, 1998](#)), the boundary conditions in our model do  
123 not vary with model evolution. A constant shear velocity ( $V_x$ ) of  $40 \text{ mm yr}^{-1}$  is applied on the  
124 back plane ( $y = 300 \text{ km}$ ) while the velocity in the front plane ( $y = 0 \text{ km}$ ) is zero. Free slip  
125 conditions are applied on the other boundaries. The initial condition for temperature field

126 assumes half-space cooling model at 50 Myr. The resulting temperature at the Moho depth  
127 (30 km) is 550°C and surface heat flow is 50 mW m<sup>-2</sup>.

128 The model includes a pre-defined weak zone with a Big Bend in the initial setup ([Fig.](#)  
129 [1](#)). The 4-6 Ma near-fault block uplift of the San Emigdio Mountains along the Big Bend  
130 indicated from low-temperature thermochronology studies ([Niemi et al., 2013](#)) may be at-  
131 tributed to the intensive transpressional strain near the Big Bend. In this case, we assume  
132 that the Big Bend has formed when the model begins, though the exact time or mechanism  
133 for the formation of Big Bend is debated ([Popov et al., 2012](#); [Niemi et al., 2013](#)). The weak  
134 zone is initially represented by materials with plastic strain of 2, which is the upper limit of  
135 the linear strain weakening, and the corresponding effective cohesion and friction coefficient  
136 are 5 MPa and 0.02, respectively. This produces a weak fault as observed in regional stress  
137 mapping and laboratory experiments ([Zoback et al., 1987](#); [Collettini et al., 2009](#); [Lockner et](#)  
138 [al., 2011](#)). The fault plane is assumed to be vertical everywhere along the fault strike but  
139 can deform with time. Fault is set to cut into 60 km deep to the mantle. A 50-km-wide and  
140 60-km-deep buffer zone is added to both ends of SAF to minimize artificial boundary effects.  
141 The buffer zone has a relatively weak viscosity of 10<sup>20</sup> Pa·s; this value is applied to all ma-  
142 terial particles that enter the buffer zone.

143

#### 144 **4 Results**

145 A successful model should produce along-strike variations of the fault dipping direction  
146 that are comparable with those detected in SAF, and other more features agree with obser-  
147 vations in the SAF system. We have run series of models to test the influence of lower crust

148 rheology and its heterogeneity distribution related to the composition or temperature hetero-  
149 geneities. Here we show three main models: Model 1, Model 2, and Model 3. The crust in  
150 Model 1 has only one layer (the whole crust in all blocks is represented by quartzite rheol-  
151 ogy). With all other parameters being equal, Model 2 has a mafic lower crust in the Great  
152 Valley (20 km thick) and coast area (15 km thick). Model 1 and Model 2 show different  
153 deformation patterns after 2 Myr evolution. In Model 2, the fault plane of the southern end  
154 ( $x = 350 - 500$  km) evolves from initially vertical to dipping towards the Mojave block at  $\sim 60$   
155 ° (Fig. 2a & 2b). No moderately dipping fault as Model 2 form in Model 1. Moreover, a shear  
156 band along the southern boundary of the Great Valley block occurs in Model 2 rather than  
157 Model 1 (Fig. 2a and 2b).

158 For Model 2, replacing the two-layered crust in Great Valley with only one layer of  
159 quartzite rheology does not change the dipping pattern of Model 2, but a strong lower crust  
160 in the coast area is required to form a dipping fault toward the Mojave block. With these  
161 observations, the corollary is that lower crust viscosity contrast ( $>10^2$  Pa•s) between two  
162 fault-separated blocks appears to determine the fault dip direction. We found that the strong  
163 lower crust material flows to the other fault-bounded block that has a weaker lower crust. In  
164 turn, the lower part of the upper crust overlying a weak lower crust thrust on top the footwall  
165 that has a strong lower crust. In this scenario, the fault dip direction is in accord with the  
166 relative motion between lower crust material across the fault.

167 Model 2 only produces the fault that is dipping to the Mojave block, and no dip direction  
168 variation along the strike. Model 3 is adapted from Model 2 and reduces mafic lower crust  
169 thickness in the central coast area ( $x = 250 - 400$  km) from 15 km to 5 km (Fig. 1). Moreover,  
170 to reflect lateral temperature heterogeneities in lithosphere, the initial temperature in the



171 coast area follows the 30 Myr oceanic lithosphere geotherms, and that in Mojave block lin-  
172 early increases from 30 Myr at the west boundary (the Big Bend) to 40 Myr at the east  
173 boundary ( $y = 200$  km), beyond which the temperature follows the 40-Myr oceanic litho-  
174 sphere geotherm. The Great Valley keeps 50 Myr geotherms.

175 The model (Fig.2c) shows that formation of a shear band near the southmost edge of  
176 the Great Valley as in Model 2. The northeast-dipping fault appears at the southern SAF ( $x >$   
177 430 km) while the fault near the Bid Bend ( $250 \text{ km} < x < 320 \text{ km}$ ) dips towards the coast  
178 area. In-between the northeast and southwest dipping fault segment ( $x=320 - 430 \text{ km}$ ), there  
179 is a segment of nearly vertical fault plane (Fig. 2c). This is comparable with the first order  
180 features of fault dipping pattern in the SAF in the Southern California (Fig. 1).

## 181 **5 Discussion**

182 The numerical models indicate that lower crustal rheology controls the brittle fault dip  
183 direction in the setting like the SAF. In the following sections, comprehensive geophysical  
184 observations are utilized to infer the in-situ lower crustal rheology in the SAF system.

### 185 **5.1 Rheology variation along the SAF**

186 Model 3 requires higher viscosity of lower crust in the Great Valley than that in the  
187 central coast area (the Western Transverse Range), and the viscosity in the south coast  
188 range (west to the SAF) is higher than that in Mojave block (close to the San Bernardino  
189 Mountains). The seismogenic layer thickness in the crust may reflect the strength of the  
190 crust, which is a combination of the effects of temperature, composition, and strain rate.  
191 Generally, the thicker the seismogenic layer, the stronger (higher viscosity) the lower crust  
192 may be. Regional relocated Earthquakes from the Southern California Earthquake Data

193 Center are used to calculate the seismogenic thickness ([Hauksson et al., 2012](#)). The re-  
194 ported hypocenter depth uncertainty is less than 1.25 km at 90% confidence. We draw three  
195 profiles (P1, P2 and P3 in [Fig.1](#)) of 60 km long across the different segments of the SAF.  
196 The SAF is in the midpoint of each profile. Earthquakes within 20 km of the selected line are  
197 projected to the corresponding profile. For each half section, the seismogenic thickness is  
198 defined as a depth above which 95% of the events are located and marked as D95 ([Fig. 3](#)).

199 The P1 and P3 profiles sample the earthquakes in the SAF segment with moderate dip  
200 and show D95 differences between the fault-bounded blocks of more than 5 km ([Fig. 3](#)). In  
201 contrast, P2 profile located in the near-vertical segment demonstrates that the contrast of  
202 D95 depth for two blocks is less than 1 km ([Fig. 3](#)). Additionally, the D95 in the Great Valley  
203 (20.0 km) is deeper than that to the west (14.3 km), and the D95 in the San Bernardino  
204 Mountains (13.0 km) is shallower than that to the west of the SAF (18.2 km). This indicates  
205 stronger lower crust in the Great Valley than that in the Western Transvers Range, and that  
206 the San Bernardino Mountains may have lower viscosity in the deep crust than the block to  
207 the west of the SAF. This is consistent with the rheology requirement in the numerical model  
208 to cause the southwest-dipping fault near the Big Bend and northeast-dipping fault to the  
209 southmost segment.

210 Topographic swaths 40 km wide along each of the three sections are compiled to see  
211 whether the strain asymmetry is reflected in topography. The asymmetry of seismogenic  
212 thickness distribution across the SAF in P1 and P3 is seen in the topography as well ([Fig.](#)  
213 [3](#)). For the median lines in P1 and P3, the block of deeper D95 has about 1500 m lower  
214 elevation than the one of thinner seismogenic thickness, and the P2 profile shows the dif-  
215 ference in topography is less than 500 m ([Fig. 3](#)). The topography difference is potentially a  
216 reflection of regional crustal thickness and density variation. We further collect the density

217 information in the crystalline crust which is derived from the inversion of residual gravity  
218 anomaly that has the influence of sediments and variations in Moho depth removed ([Kaban](#)  
219 [and Mooney, 2001](#)). They found that the density in the Great Valley (3000-3100 kg/m<sup>3</sup>) is  
220 much higher than that in the Western Transverse Range (<3000 kg/m<sup>3</sup>) for the Big Bend  
221 area. Since the inversion method only considers anomalies of wavelength larger than 150  
222 km, the horizontal resolution is too limited to distinguish between P2 and P3. For the south-  
223 ern SAF, the first-order observation is that the density in the block located west of SAF (coast  
224 side) is about 50 kg/m<sup>3</sup> higher than that in the east block (Mojave side). The density in crys-  
225 talline crust is a good indicator of bulk crust composition, i.e., higher densities correspond  
226 to rheologically stronger, quartz-poor compositions. The high density in the Great Valley has  
227 been interpreted to be oceanic in nature, composed of mafic and ultra-mafic rocks ([Kaban](#)  
228 [and Mooney, 2001](#)). The Great Valley functions as a rigid block and almost accommodates  
229 no deformation in its interior, as is seen from surface slip field recorded by GPS observations  
230 ([Shen-Tu et al., 1999](#); [Tong et al., 2014](#)).

231 Although material of higher seismic wave velocity does not always correspond to higher  
232 viscosity, seismic wave velocity tomography at the comparable depths beneath surface is  
233 thought to be a good proxy of strength (viscosity) contrast in the lower crust. High-resolution  
234 S wave tomography shows that, at depth of 20 km, the Great Valley and the south coast  
235 area has high shear velocity (4.0-4.2 km/s) in the region. The corresponding upper plate  
236 (i.e., the hanging wall of the transpressional fault), the Western Transverse Range and south  
237 Mojave, have the low velocity (3.4-3.8 km/s) in the region. The vertical segment that lies in-  
238 between, both sides of the fault are of low velocity (3.4-3.8 km/s) ([Lee et al., 2014](#)). The first  
239 order features of S wave velocity are also mapped by other seismic studies ([Tape et al.,](#)  
240 [2009](#); [Tape et al., 2010](#); [Lee and Chen, 2017](#)). Both the gravity and seismic surveys support

241 a stronger lower crust in the Great Valley and the south coast area than in the Western  
242 Transverse Range and the San Bernardino Mountains, respectively. Such rheology contrast  
243 may form the moderately dipping fault in the transpressional environment as observed in  
244 our numerical models.

245 The moderately dipping segment at the southern end was suggested to root in shallowly  
246 dipping fabrics in the ductile lower crust which was revealed by seismic anisotropic studies  
247 ([Schulte-Pelkum et al., 2020](#)). This observation does not conflict with our hypothesis. The  
248 inherited layered weak zones at the brittle-ductile transitional depth can be a sharp boundary  
249 to facilitate the fault-normal movement at the brittle-ductile transitional depth. On the other  
250 hand, the seismic anisotropic features can be attributed to or enhanced by the fault-normal  
251 movement at the brittle-ductile transitional depth in the Southern California.

## 252 **5.2 Depth distribution of earthquakes in Southern California**

253 We compare the depth variation of earthquake occurrence for four areas in Southern  
254 California. Two regions are along the SAF, one close to the Big Bend (Bend in [Fig. 4](#)) and  
255 the other to the west of the southern SAF (WSAF in [Fig. 4](#)). The other two are in the Eastern  
256 California Shear zone, one north to the Garlock Fault (NGF in [Fig. 4](#)) and the other south to  
257 the Garlock Fault (SGF in [Fig. 4](#)). With the focal mechanism solution data from Southern  
258 California Earthquake Data Center ([Yang et al., 2012](#)), we select events with magnitude  $>$   
259 1, and divide them in to two groups: one has shallow dip ( $< 30^\circ$ ) and the other steep dip ( $>$   
260  $80^\circ$ ). The total events are also plotted as a reference.

261 The total cases and the steep group have a consistent trend of depth distribution, i.e.,  
262 the peak number of occurrence frequency occurs at depth of 2-10 km, after which it de-  
263 creases with depth ([Fig. 4](#)). The shallow dip group shows the same trend for those in the

264 Eastern California Shear Zone (NGF and SGF in Fig. 4), but different features for those  
265 along the SAF where there are moderately dipping fault planes. The maximum in the SAF  
266 cases (Bend and WSAF in Fig. 4) occurs at the depth to the base of the seismogenic layer.  
267 We note that the total number of earthquakes in the Bend is less than the number of those  
268 occurring in SGF, but the number of earthquakes in the shallow group for the Bend at depth >  
269 10 km is still larger than that in SGF. That means the shallow cases in the moderately dipping  
270 segments of SAF is statistically significant and is not biased by total numbers. The depth  
271 variation of earthquakes with steep and shallow dips suggests that the selected seismogenic  
272 faults are steeply dipping at depth < 10 km but may gradually reduce their dip at depth for  
273 those in the SAF. This is consistent with the seismic image of the southern SAF near the  
274 Salton Sea, which is detected to be near vertical at shallow depth (<9 km), but dips ~50° -  
275 60° northeast for the deeper part (Fuis et al., 2017). This pattern is also found in our numer-  
276 ical models: the deeper part (>10 km) is affected by the long-term ductile transpressional  
277 deformation, which is initially set to be vertical (Fig. 5). The shallow groups at deeper part in  
278 the young fault zone, NGF and SGF, is not as significant as that in the SAF, which is con-  
279 sidered as a mature fault that has evolved for 10's million years. The long-term evolution of  
280 the SAF might have been subjected to fault-plane-normal shear stress imparted from the  
281 viscous flow moving from the fault-bounded block with high viscosity to the one with low  
282 viscosity in a transpressional stress regime. Such fault-plane-normal flow in the lower crust  
283 could have forced the fault dip at the brittle-ductile depth to the flow direction.

### 284 **5.3 Garlock Fault**

285 Our numerical experiments predict formation of a major shearband along the southern  
286 boundary of the Great Valley block. The shear band mimics the Garlock fault that intersects  
287 the SAF near the Big Bend and may explain its activity. Formation of the Garlock fault is

288 debated with three broadly proposed models: (1) extrusion as a conjugate fault of the SAF  
289 ([Hill and Dibblee, 1953](#)), (2) transform fault for the Basin and Range extension ([Davis and](#)  
290 [Burchfiel, 1973](#)), (3) rotation of the Mojave block ([Guest et al., 2003](#)). Our model suggests  
291 that formation of the Garlock fault requires a stronger lower crust in the coast area than the  
292 Mojave block ([Fig. 5](#)). This has consistent with both gravity and seismic observations ([Kaban](#)  
293 [and Mooney, 2001](#); [Lee et al., 2014](#)). As the strong Great Valley block moves towards the  
294 Mojave block, it is impeded by strong coast area, squeezing out the Mojave block. This is  
295 accommodated by the leftlateral Garlock fault ([Fig. 2 & 5](#)). The Garlock fault was thought to  
296 begin its sinistral slip ca. 11 Ma ([Andrew et al., 2014](#)), and the eastern branch of the Garlock  
297 fault might have been related to extension of the Basin and Range and the East California  
298 Shear Zone development ([Davis and Burchfiel, 1973](#); [Hatem and Dolan, 2018](#)), the effect of  
299 which is not considered in our numerical model. Our model supports the extrusion model for  
300 the western branch of the Garlock fault. On the other hand, our model suggests the Basin  
301 and Range extensions that have weakened the lithospheric strength may be an important  
302 'open' boundary to facilitate the extrusion of the Mojave block.

## 303 **6 Conclusions**

304 This study focuses on the formation of moderately dipping fault segments of the strike-slip  
305 San Andreas fault. 3D numerical models suggest the lower crustal viscosity contrast across  
306 the faultplane might have controlled the fault dip direction in a transpressional environment.  
307 The fault-normal flow direction in the viscous lower crust near the strike-slip fault plane is  
308 from the one with higher viscosity to the one with lower viscosity. The long-term viscous  
309 deformation upward reduces the dip angle of the initially near vertical fault plane at the brit-  
310 tle-ductile transition depth. This is consistent with the observations of the moderately dipping

311 segments of the San Andreas fault: a near-vertical fault plane for shallow depth (< 10 km),  
312 and a shallow dip angle at depth > 10km. The viscosity contrast across the fault plane is  
313 consistent with seismogenic thickness variations along the San Andreas fault, and other  
314 geophysical observations.

315

## 316 **Acknowledgements**

317 This research was funded by the Australian Research Council through Discovery  
318 Grant #DP170103350 to M. Quigley. H. Yang received the Melbourne Research Schol-  
319 arship, the Baragwanath Travel Scholarship, and the Albert Shimmins Writing-Up  
320 Award from the University of Melbourne to assist in research development. Geophysi-  
321 cal maps are produced by using the Generic Mapping Tools (GMT) package ([Wessel](#)  
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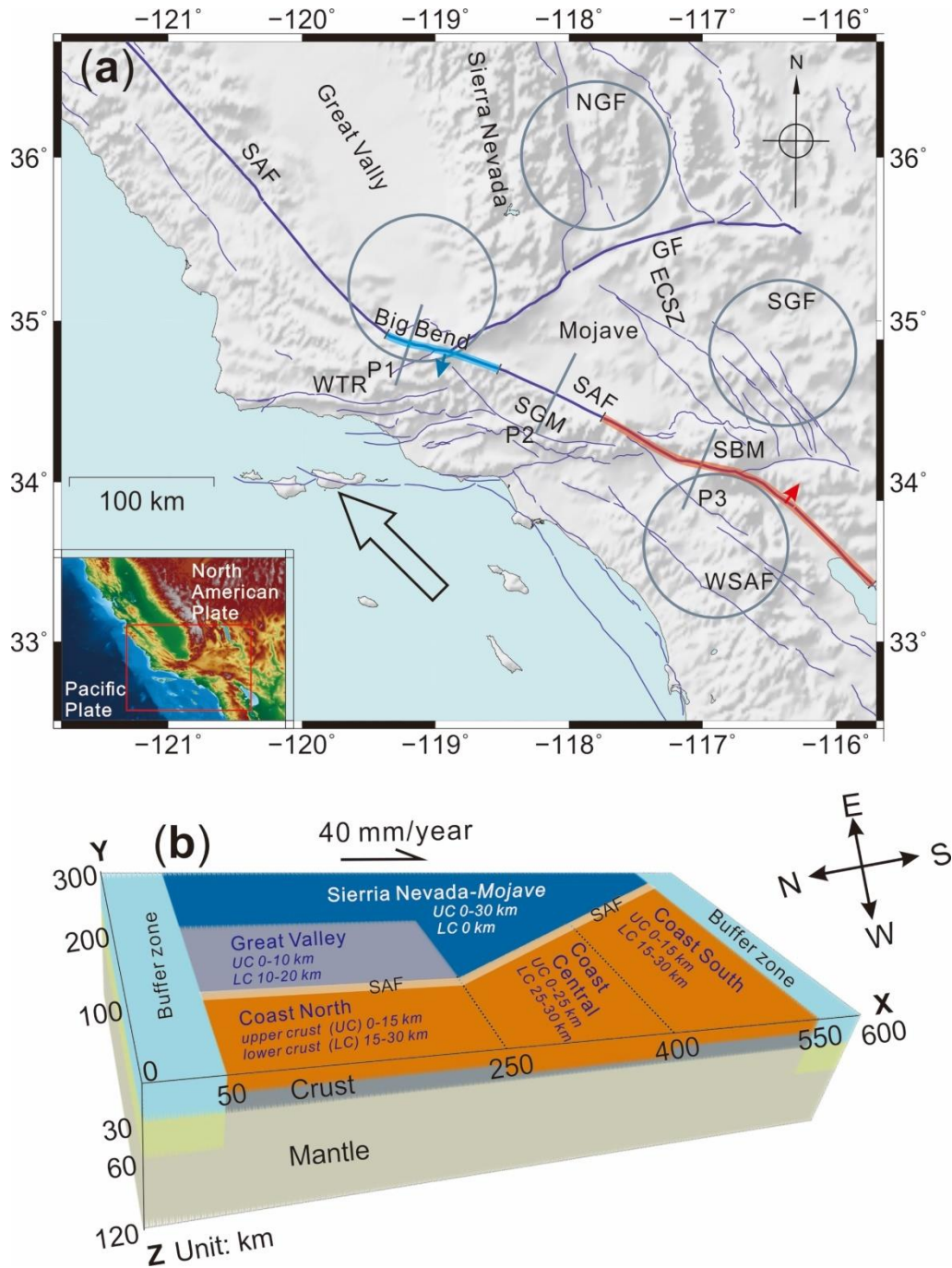
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**Figure 1.** Tectonic settings of the San Andreas Fault system (a) and the simplified numerical model setup (b). (a) Major faults (thick purple lines) including the San Andreas fault (SAF) and the Garlock fault (GF) and other sub-major faults (thin purple lines) are marked on shaded relief map. The arrow shows relative motion between the Pacific Plate and the North American Plate. Along the SAF, the southwest dipping fault segment near the Big Bend is

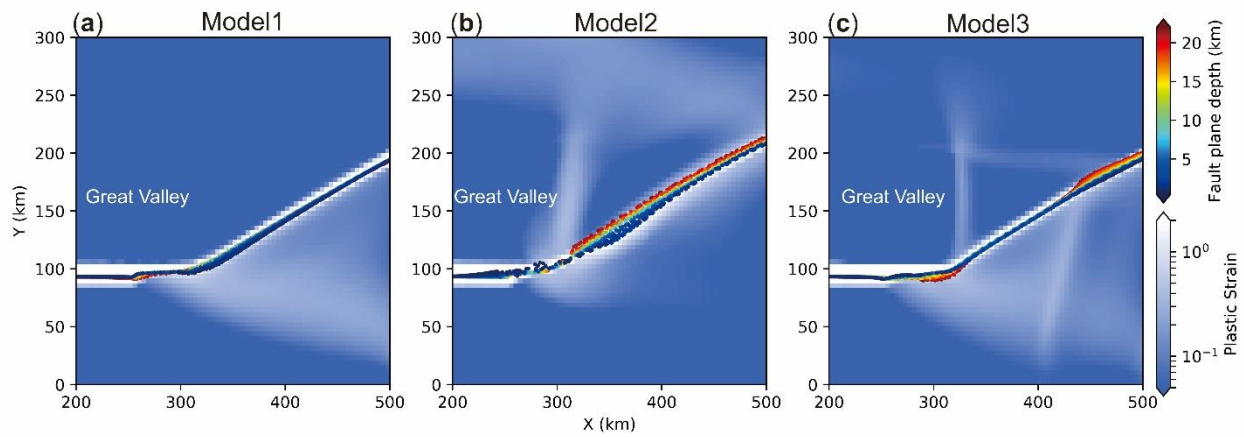


474 coded as blue color while the northeast dipping segment in the southern end is denoted by  
475 red color. Abbreviations for some main structures: SGM, San Gabriel mountain; SBM, San  
476 Bernardino mountain; ECSZ, Eastern California Shear zone. WTR, Western Transverse  
477 Range. The position of three profiles, P1, P2 and P3, shown in Figure 3 is marked by grey  
478 lines. Four grey circles with a radius of 50 km show the area of the sampled earthquakes in  
479 Figure 4. (b) The corresponding three-dimensional structure for the Model 3, and the details  
480 of other models are stated in the context when used. The constant velocity  $40 \text{ mm yr}^{-1}$  to-  
481 wards the positive x direction is applied on the back vertical plane ( $y = 300 \text{ km}$ ) while the  
482 velocity in x direction in the front vertical plane ( $y = 0 \text{ km}$ ) is zero. Materials are not allowed  
483 to move out/in the box, and free slip is applied for other velocity components.

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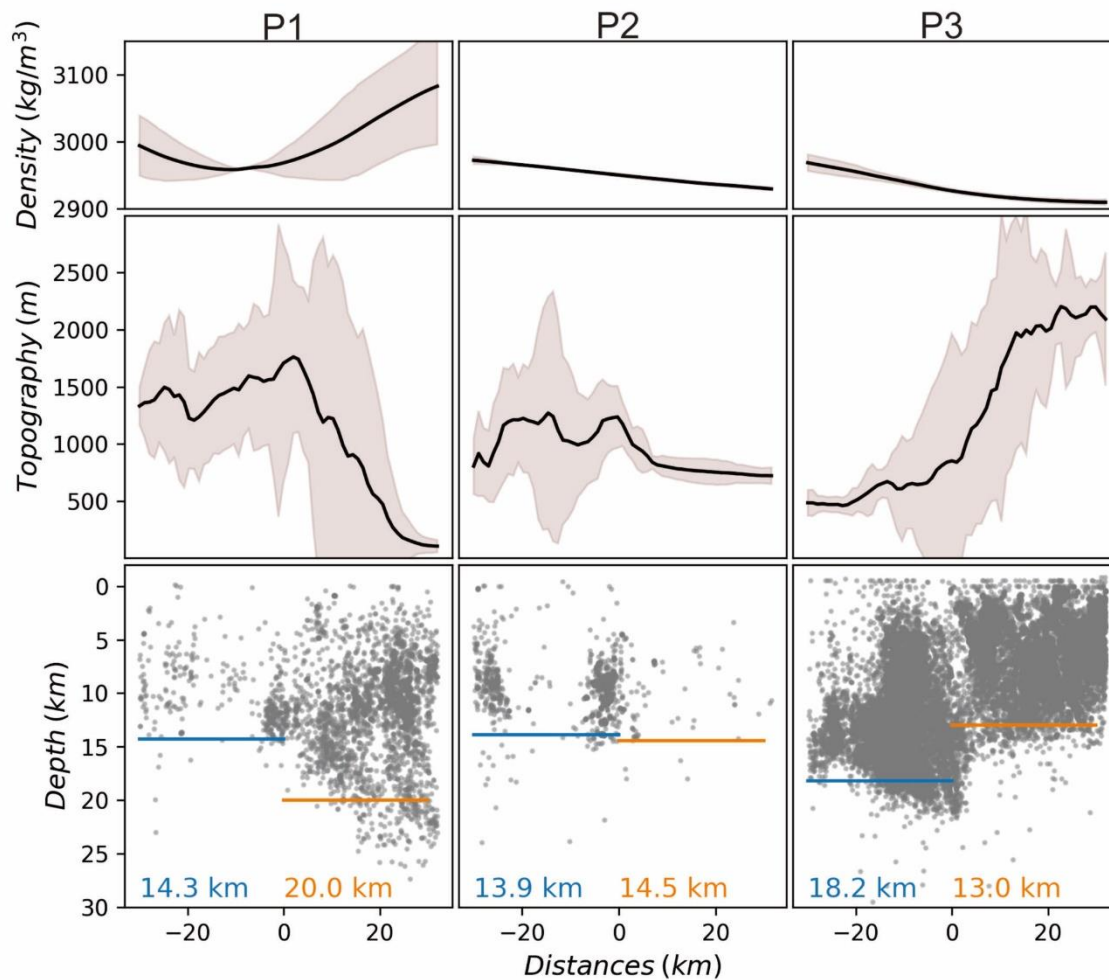


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 488 **Figure 2.** Snapshots of plastic strain distribution at depth of 5 km and the fault plane depth  
 489 distribution after models running 2.1 Myr for Model 1 (a), Model 2 (b) and Model 3 (c), re-  
 490 spectively. Localized shear bends along the right edge of the Great Valley happen to the  
 491 Model 2 and Model 3. The initially vertical fault planes in Model 2 and Model 3 evolve to be  
 492 at a moderately dip ( $\sim 60^\circ$ ), while the Model 1 of a homogenous crustal property keeps the  
 493 nearly vertical fault plane. The Model 3 with lateral viscosity variations in the lower crust  
 494 produces the comparable features of the fault dipping direction variation along the SAF.

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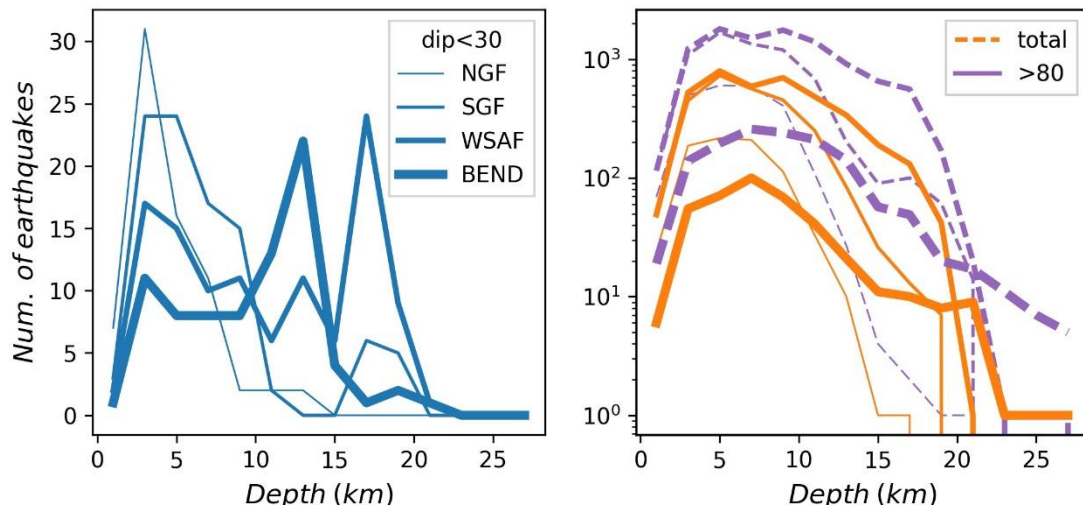


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499 **Figure 3.** The density of the crystalline basement layer (upper panel), topography of  
 500 the earth surface (middle panel) and the earthquake depths (lower panel) distributions  
 501 along three profiles, P1, P2 and P3 (position marked in Figure 1). All these properties  
 502 are projected from distances < 20 km to the corresponding profile. The light red color  
 503 patches for density and topography show the envelope of the data range, and the black  
 504 line is the median value. The lines in lower panel marks the D95 depth, above which  
 505 95% of the earthquakes are located, for either block that is separated by the fault. The

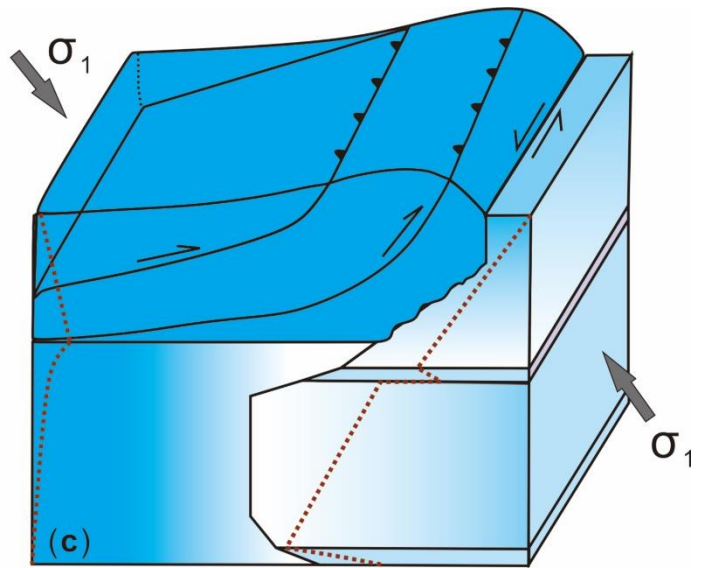
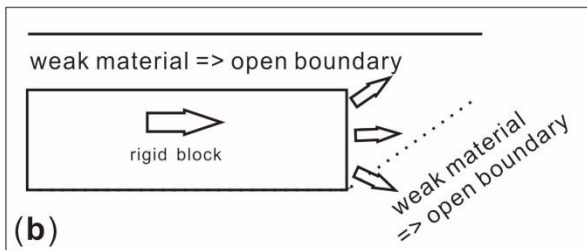
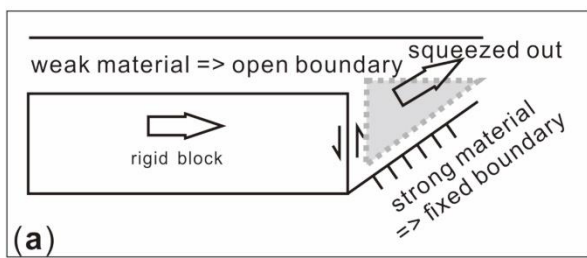
506 SAF is located at the mid-point of the profile (distance = 0); all profiles start from south  
507 with negative coordinates.

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510 **Figure 4.** The depth distribution earthquakes in four areas (marked as circles in Figure  
 511 1). The NGF and SGF are sampled from north and south of the Garlock fault, respec-  
 512 tively. The WSAF is located west of the SAF and BEND is closed to the Big Bend. The  
 513 events are divided into two group: steep dip ( $> 80^\circ$ ) and shallow dip ( $< 30^\circ$ ), and the to-  
 514 tal events are also plot as a reference. The steep group shows the peak numbers of  
 515 earthquakes appear at depth of 2 -10 km, but this trend in shallow group is only true for  
 516 those in NGF and SGF. For the shallow group, the peak number in WSAF and BEND  
 517 appears at the base of the seismogenic layers (12 - 20 km)



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 519 **Figure 5.** Sketch model describing how the lower crustal strength distribution affects re-  
 520 gional structure development. (a) A strong lower crust in the coast area of the Southern  
 521 California impedes the Mojave block moving southwest, and the Garlock Fault is formed to  
 522 accommodate the eastward escape of the Mojave block. (b) A weak lower crust in the coast  
 523 area tends to produce a diffusive deformation at the right edge of the Great Valley. (c) In a  
 524 transpressional environment, strong viscous lower crust tends to wedge into the weaker one  
 525 while the upper crust overlying a weak lower crust over thrust to the one with strong lower  
 526 crust. Therefore, the deep part of the originally vertical fault plane gradually evolves to be a  
 527 moderately dipping fault plane, the direction of which is consistent with the flow direction in  
 528 the lower crust. The red dashed lines stand for scaled strength profile of corresponding block.  
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531 **Tables**532 **Table 1.** Model parameters: The power law dislocation creep in viscous regime is described as533  $\dot{\epsilon} = A\sigma^n \exp\left(-\frac{E+VP}{RT}\right)$  where  $\dot{\epsilon}$  is strain rate,  $A$  material constant,  $\sigma$  deviatoric stress,  $n$  stress ex-534 ponent,  $E$  activation energy,  $V$  activation volume,  $R$  the gas constant, and  $T$  temperature. The ef-535 fective ductile viscosity  $\eta = \frac{\sigma_{II}}{2\dot{\epsilon}_{II}}$ , where subscript marks the second invariant. Density  $\rho = \rho_0 [1 -$ 536  $\alpha(T - T_0)]$ ,  $\rho_0$  is the standard density at  $P_0 = 0.1$  MPa and  $T_0 = 273$  K;  $Cp$  is heat capacity.  $\alpha$  is537 thermal expansion.  $k$  is heat conductivity, and  $H_r$  radioactive heat production.

	Upper crust <sup>[1]</sup>	Lower crust <sup>[1]</sup>	Mantle <sup>[2]</sup>
$A$ (MPa <sup>-n</sup> S <sup>-1</sup> )	$3.2 \times 10^{-4}$	$3.3 \times 10^{-4}$	$1.3 \times 10^6$
$n$	2.3	3.2	3.0
$E$ (kJ/mol)	154	240	510
$V$ (cm <sup>3</sup> /mol)	0	0	14
$\rho_0$ (kg/m <sup>3</sup> )	2700	2900	3300
$Cp$ (J/kg)	1200	1200	1200
$\alpha$ (K <sup>-1</sup> )	$3 \times 10^{-5}$	$3 \times 10^{-5}$	$3 \times 10^{-5}$
$k$ (W/mK) <sup>[3]</sup>	2.5	3	3.5
$H_r$ ( $\mu$ W/m <sup>3</sup> )	2	0.1	0.01

538 [1] [Ranalli \(1995\)](#); [2] [Karato and Jung \(2003\)](#).

539