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6
7 **Title:** The concavity of modern submarine canyon longitudinal profiles

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13 **KEY POINTS**

- 14 ● 555 submarine canyon longitudinal profiles and their concavities have been measured
- 15 ● Tectonics is the primary control on canyon concavity, with forearcs hosting the least concave
16 canyons and passive margins the most concave
- 17 ● Onshore climate noticeably affects the concavity of canyons formed adjacent to landmasses
18 subject to major Quaternary ice loss

19 **ABSTRACT**

20 Submarine canyons incise into continental shelves and slopes, and are important conduits for the
21 transport of sediment, nutrients, organic carbon and pollutants from continents to oceans.
22 Submarine canyons bear morphological similarities to subaerial valleys, such as their longitudinal
23 (long) profiles. Long profiles record the interaction between erosion and uplift, making their shape,
24 or concavity, a record of the environmental and tectonic processes that canyons are subject to.
25 The processes that govern concavity of subaerial valleys and rivers are well-documented on a
26 global-scale, however, the processes that control submarine canyon concavity are less well
27 constrained. We address this problem by utilizing existing geomorphological, tectonic and climatic
28 datasets to measure the long profiles and quantify the concavities of 555 modern submarine
29 canyons. Key results show that: 1) the dominant control on submarine canyon concavity is
30 tectonics, with passive margins hosting the most concave-up profiles, and forearcs hosting the
31 least concave-up profiles; 2) present-day canyon position affects canyon concavity, with river-
32 associated canyons showing greater morphological variance than canyons currently dissociated
33 from rivers; and 3) canyons subject to major Quaternary glacial runoff show increased concavity,
34 suggesting onshore climate affects canyon concavity through sediment supply variation. These
35 results show that tectonic and climatic processes are recorded in the morphologies of submarine
36 canyons on a global-scale, and that many canyons have been slow to respond to sea-level rise since
37 the Last Glacial Maximum.

38 **PLAIN LANGUAGE SUMMARY**

39 Submarine canyons are primarily formed by erosion beneath dense underwater mixtures of
40 sediment and water transported into the sea by rivers, and submarine landslides. The record of
41 erosion and deposition from these flows is preserved in the downstream, or longitudinal, profile
42 of the submarine canyons they form. Submarine canyons are also affected by tectonic processes,
43 such as seabed faults, which deforms their longitudinal profiles. Since these tectonic and
44 sedimentary processes vary globally, we wondered whether this variation is reflected in the
45 longitudinal profiles of submarine canyons globally. We found out that in places where tectonic
46 activity is great, such as Western South America, submarine canyons tend to have more linear
47 downstream profile, while in places where tectonic activity is low, such as Eastern North America,
48 submarine canyons tend to have a more concave-up profile. We also found evidence that many
49 canyons still have the same profile as they did during the Last Glacial Maximum, when sea-level
50 was much lower, indicating that they have been slow to respond to climate change. Submarine
51 canyons therefore tend to have different shapes depending on where you are on the Earth's
52 surface, which results from the different sedimentary and tectonic processes they are subject to.

53 **KEYWORDS**

54 Submarine canyons, longitudinal profiles, concavity, tectonics, sea-level, Quaternary

55 **TEXT**

56 **1. Introduction**

57 The relationship between the elevation of subaerial valleys and channels, and downstream distance
58 (e.g. Yatsu, 1955; Dietrich et al., 2003; Whipple and Tucker, 1999), and submarine canyons and
59 channels, and downstream distance (e.g. Adams and Schlager, 2000; Pirmez et al., 2000; Huyghe
60 et al., 2004; Mitchell, 2005; Gerber et al., 2009; Covault et al., 2011; Georgiopoulou and Cartwright,
61 2013) is expressed in their longitudinal, or 'long', profile. Long profiles record the interaction
62 between uplift or base-level change, which are primarily controlled by tectonics and climate (e.g.
63 Whipple and Tucker, 1999), and the erosive potential of flows passing through the channel,
64 primarily controlled by sediment supply, sediment character and discharge (e.g. Snow and
65 Slingerland, 1987). Therefore, long profiles have been used extensively to assess landscape
66 evolution (e.g. Mackin, 1948; Ouchi, 1985; Sklar and Dietrich, 1998; Snyder et al., 2000; Roberts
67 and White, 2010).

68 Subaerial long profiles tend to evolve through an inverse power-law relationship between the
69 profile slope and drainage area, i.e., long profiles flatten downstream as the contributing drainage
70 area increases. The rate at which a long profile flattens downstream is known as its concavity (e.g.
71 Zaprowski et al., 2005), and is often used to describe the shape of a long profile (e.g. Sinha and
72 Parker, 1996; Roe et al., 2002). Under steady-state conditions, when uplift equals erosion, long
73 profiles tend to be concave-up, while under non-steady-state conditions, often driven by base-level
74 change or tectonic deformation (e.g. Whipple and Tucker, 1999), profiles tend to be less concave,
75 or convex-up. Spatial and temporal changes in long profile concavity can therefore be used to
76 assess the influence of external processes acting on the profile. Rivers flowing across active faults
77 in Italy, for example, are more convex than those flowing over relatively inactive faults (Whittaker,
78 et al., 2008), and rivers in eastern North America become more concave with increasing
79 precipitation (Zaprowski et al., 2005).

80 This concept has also been applied at a global-scale, with rivers formed in arid environments found
81 to have decreased concavity (Chen et al., 2019) and rivers formed in tectonically-active
82 environments found to have increased concavity (Seybold et al., 2020). This observation was
83 demonstrated theoretically by Seybold et al. (2020), who derived the elevation of a long profile as
84 a function of the uplift gradient (Δ):

85

$$S(x) = \left(\frac{\bar{U} k_H^{m/h}}{k} \right)^{1/n} \left(\frac{1 - 2\Delta \frac{x - \bar{x}}{L}}{x^{m/h}} \right)^{1/n} \quad (\text{Eq. 1})$$

86 where S is the profile slope, x is downslope distance, \bar{x} is the midpoint of the profile, L is the
 87 length of the profile, \bar{U} is the mean uplift rate, k_H is a scaling coefficient, k is the erosional efficiency
 88 coefficient, b is the Hack exponent, Δ is a dimensionless parameter that controls how \bar{U} varies
 89 along the river profile (the uplift gradient), and m and n are positive exponents. A long profile is
 90 obtained from Eq 1. by integrating from the most upstream point of the profile (x_0) to the most
 91 downstream point of the profile ($x_0 + L$) (Fig. 1A). Using this derivation, Seybold et al. (2020)
 92 showed that more convex profiles are expected to form when tectonic uplift is focused in the
 93 upstream parts of a channel, indicating that on a global-scale rivers in tectonically-active
 94 environments are predominantly affected by uplift in their upstream extents (Fig. 1A).

95 While subaerial valleys and submarine canyons are formed by different sedimentary processes, they
 96 both evolve in superficially similar fashions, with both being subject to substrate erosion by
 97 streamflow along their thalweg and retrogressive slope failure along their margins (Mitchell, 2004,
 98 2005). In submarine environments an exponential decay in transport capacity downstream (Adams
 99 and Schlager, 2000) has been proposed as a control on the formation of longitudinal profiles
 100 similar in shape to subaerial profiles (e.g. Primez et al., 2000; Covault et al., 2011). Utilizing
 101 methods commonly applied to subaerial systems has led to insights into the processes and
 102 evolution of submarine canyons (e.g. Ramsey et al., 2006; Gerber et al., 2009; Covault et al. 2011;
 103 Amblas et al., 2012; Brothers et al., 2013). The different impinging processes, such as background
 104 sedimentation (e.g. Gerber et al. 2009), the paucity of direct measurements, and reduced
 105 bathymetric resolution, however, has made the controls on submarine long profile shape more
 106 difficult to constrain than their subaerial counterparts.

107 The global variability of submarine concavities has been studied previously by Covault et al.,
 108 (2011), through the analysis of 20 present-day canyons, and by Adams and Schlager (2000),
 109 through the analysis of 150 seismic profiles of submarine slopes. This study aims to expand on
 110 this earlier work by measuring 555 long profiles and their concavities, extracted from an existing
 111 map of present-day submarine canyons (Fig. 2A; Harris and Whiteway; 2011). Climatic,
 112 oceanographic and tectonic datasets are also incorporated, with the aim of: 1) measuring the global
 113 distribution of submarine canyon concavities, and 2) assessing the dominant controls on modern
 114 submarine canyon concavity at a global- and continental margin-scale.

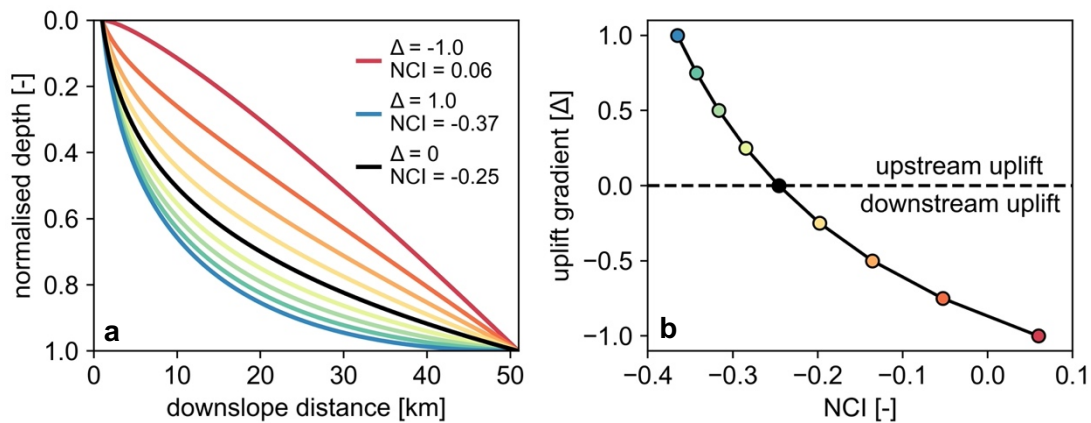


Figure 1: A) The long profiles of a 50 km long canyon subject to varying uplift gradients (Eq. 1). Upstream uplift results in concave profiles with low NCI values (B), and downstream uplift results in convex profiles with high NCI values (B). Depth instead of elevation is plotted to visualise a submarine profile. Parameters are: $\bar{U} = 1 \text{ mm yr}^{-1}$, $x_0 = 1 \text{ km}$, $L = 50 \text{ km}$, $k = 10 \text{ mm yr}^{-1} \text{ km}^{-1}$, $k_h = 1 \text{ km}^{-0.2}$, $m = 0.5$, $n = 1$, $h = 0.6$.

115

116 2. Methodology

117 2.1 Submarine canyons

118 The global distribution of modern submarine canyons, and their positions, spacings, average
 119 sinuosities, dendricities (number of tributary canyons), and gradients were measured by Harris and
 120 Whiteway (2011) (Fig 1A). Canyons were mapped by Harris and Whiteway (2011) through
 121 automated drainage path analysis and manual mapping of the 1 arc-minute (0.017°) ETOPO1
 122 global bathymetric relief map (Amanke and Eakins, 2009) (Fig. 2). The ETOPO1 map is a stitched
 123 compilation of different bathymetric data sources, such as the General Bathymetric Chart of the
 124 Oceans (GEBCO) and the US Coastal Relief Model (NGDC). The ETOPO1 map is formed by
 125 either gravity-constrained or sounding-constrained interpolation between direct measurements
 126 derived from ship-track soundings (Fig 1).

127 The mapping by Harris and Whiteway (2011) required certain criteria to be met, with each canyon:
128 1) spanning > 1000 m depth range, 2) having a width/depth ratio less than 150:1, 3) incising greater
129 than 100 m into the seafloor throughout their length, and 4) having a head that is shallower than
130 4000 m below sea-level. Canyons formed on abyssal relief, such as midocean ridges and seamounts
131 ('non-margin' canyons or channels; Peakall and Sumner 2015), were also excluded. These criteria
132 are enforced by data resolution and therefore necessarily exclude some canyons. It is expected,
133 however, that the consistent approach will yield representative trends. Canyon tributaries mapped
134 by Harris and Whiteway (2011) are not used in this study, only the main canyon profile is analyzed.
135 Tributary data along the length of the main canyon are instead accounted for by dendricity
136 measurements.

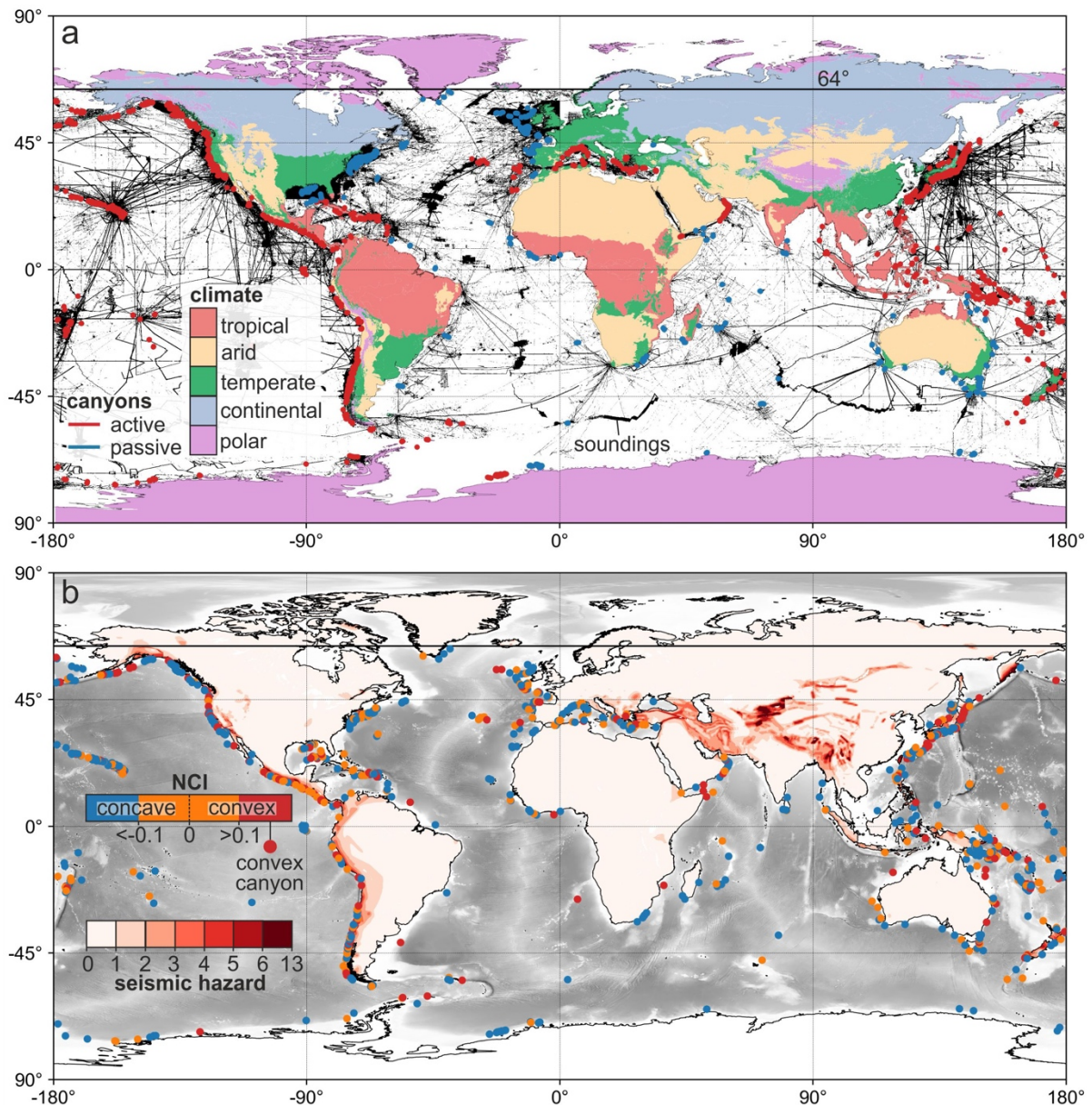


Figure 2: a) The submarine canyons (Harris and Whiteway, 2011), bathymetry (ETOPO1; Amanke and Eakins, 2009) and seismic hazard (Giardini et al., 1999) used in this study. Red lines indicate canyons formed on active margins and blue lines indicate canyons formed on passive margins or tectonically-quiet basins (as defined by Nyberg et al. 2018). b) Submarine canyon concavities measured by this study (each canyon centred on a single point for clarity).

137 2.2 Longitudinal profiles and the normalised concavity index (NCI)

138 Long-profiles were extracted from each canyon by sampling the depth of the canyon trace over
 139 the ETOPO1 bathymetry (Amanke and Eakins, 2009), on which the canyons were originally
 140 mapped (Fig. 2A; 3; 4). Canyon traces were sampled at 0.01° (~ 1 km) intervals on a WGS-84
 141 projection, with the metric distance between each point measured using Vincenty's geodetic
 142 formulae (Vincenty, 1975). This resulted in differences in measured lengths between Harris and
 143 Whiteway (2011), who used a different method, and this study (Fig. S2). In order to mitigate against
 144 the potential for profile smoothing by mapping across lower-resolution sections of the ETOPO1

145 map, only canyons constrained by a sounding at their mid-point were analyzed, with canyons
146 interpolated by gravity and canyons north of 62° (Arctic canyons) omitted from the analyses (Fig.
147 S1; S3; S4).

148 Sediment deposition within some of the canyons, forming internal terrace and levee deposits (e.g.
149 Hansen et al., 2015), led to areas of steep positive slope within some mapped canyon profiles that
150 do not represent the thalweg. Sampling below bathymetric resolution also created areas of flat
151 slope that similarly do not represent the thalweg. A correction was applied to each profile to
152 remove flat and upstream slopes and create a continuous downstream slope, thus better
153 representing the canyon thalweg (Fig. 3). If the correction resulted in a concavity change of greater
154 than 0.01 (~0.2 std. dev of all the errors) then the canyon was omitted from the analysis, under
155 the assumption that the intra-canyon deposition was too severe to allow for a reliable concavity
156 measurement (Fig. 3; Fig. S1; S2). These omissions, coupled with the soundings omissions, result
157 in 555 canyons being selected from the original 5849 mapped by Harris and Whiteway (2011). The
158 criteria used for these omissions is strict, but aims to greatly improve the reliability of the results.
159 The corrected, uncorrected and omitted profiles and their concavities of all 5849 canyons have
160 also been recorded (Fig. S3; supplementary data).

161 The concavity of each profile is represented by the normalized concavity index (NCI), which
162 measures the elevation difference between a straight line fitted between the most upstream and
163 downstream profile points, and the measured profile (Chen et al., 2019):

$$164 \quad NCI = \text{median} \left[\frac{(E_L - Y_L)}{(E_0 - E_n)} \right] \quad (\text{Eq. 2})$$

165 where E_L is the depth at each point on the measured profile, Y_L is the depth at each point on the
166 fitted straight line, E_0 is the most upstream point of the measured profile, and E_n is the most
167 downstream point of the measured profile. Linear profiles therefore have an NCI value of zero,
168 while more concave profiles have more negative values, and more convex profiles have more
169 positive values (Fig. 1B; 3; 4).

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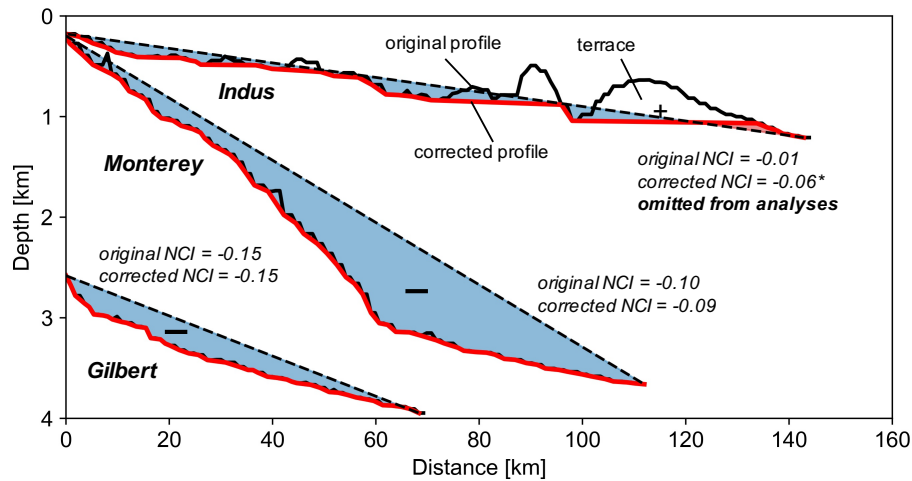


Figure 3: Three long profiles generated by this study and the correction applied to them to remove terrace deposition and irregular mapping. The original normalized concavity index (NCI) and the corrected NCI are shown.

171 2.3 Underlying controls

172 Following the methods used to assess the global controls on subaerial concavities (Chen et al.,
 173 2019; Seybold et al., 2020), each submarine canyon profile and its concavity was spatially merged
 174 with a number of different geomorphological, climatic and tectonic datasets (Fig. 2A). Canyon
 175 specific geomorphological variables, such as sinuosity and position on the slope, are from Harris
 176 and Whiteway (2011), while more general geomorphological variables, such as onshore relief, shelf
 177 gradient, and basin type are taken from Nyberg et al. (2018). Climatic impacts on concavity were
 178 assessed by joining each profile to its nearest onshore Koppen climate zone (Fig. 2A) (Kottek et
 179 al., 2006), mean annual precipitation value (Fick and Hijmans, 2017), and aridity index (Zomer et
 180 al., 2008).

181 The impact of tectonics on concavity was assessed through grouping of canyons by the basin-type
 182 in which they are located (Nyberg et al., 2018), and pairing them with onshore seismicity (peak-
 183 ground-acceleration with 10% chance of exceedance in 50 years; Giardini et al., 1999) (Fig. 2B).
 184 An additional basin-type was differentiated within the framework of Nyberg et al (2018) to
 185 represent canyons formed on the salt-deformed north slope of the Gulf of Mexico passive margin.
 186 While this nearest pairing method discounts factors such as the dominant climate regime of the
 187 drainage basin to individual canyons, it is the most consistent way to pair canyons located in
 188 variable offshore positions on a global scale. More targeted case studies would be needed to
 189 understand canyon morphology at a smaller scale (e.g. offshore California).

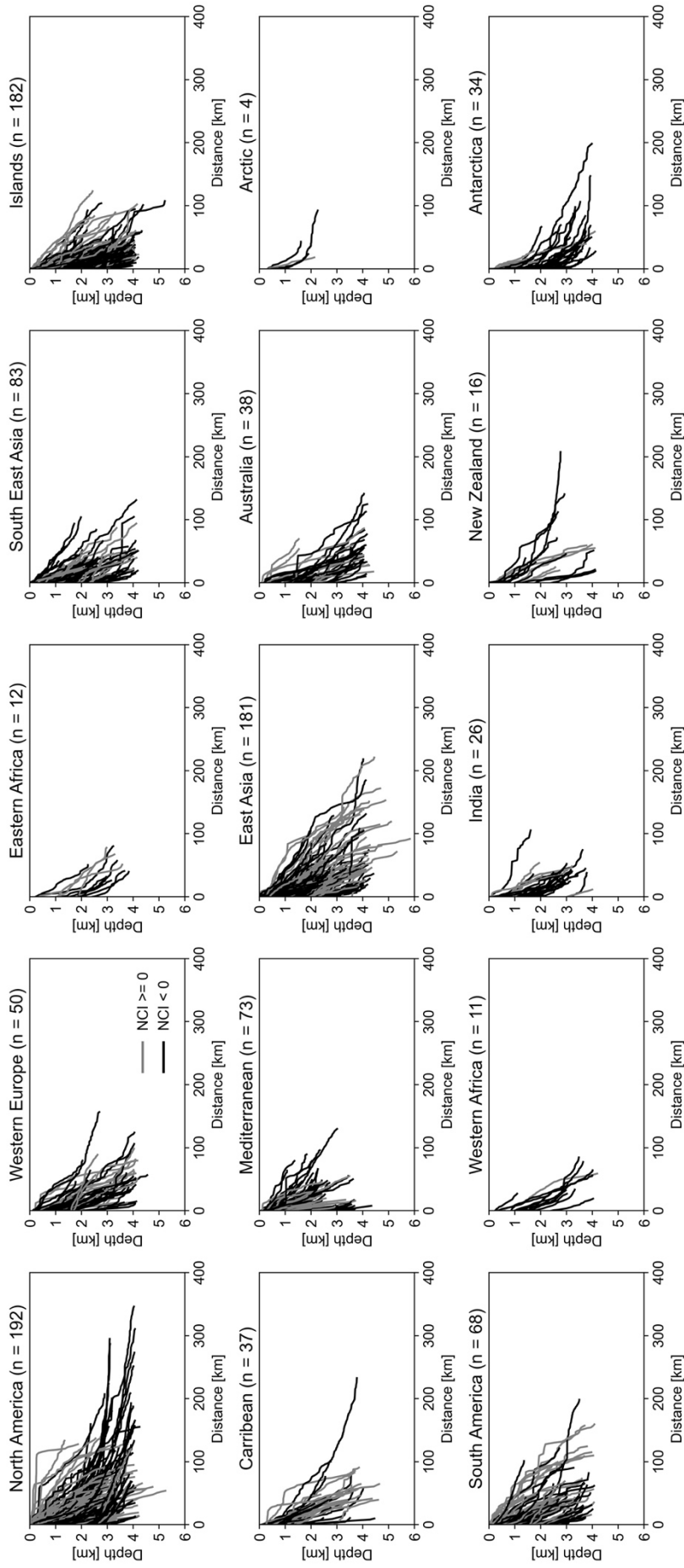


Figure 4: All long profiles generated by this study from each geographic location as defined by Harris and Whiteway (2011).

191 **2.4 Statistics**

192 Plots of the kernel density estimation (KDE) of grouped canyon concavities were used to visually
193 compare their differences, with the median of each distribution plotted as a straight vertical line
194 (Fig. 6). Two-sample Kolmogorov–Smirnov (KS) tests (e.g. Massey Jr, 1951) and the resulting
195 probability values (p-values) were used to assess significance of differences between different
196 distributions, with lower p-values indicating more significant differences. Spearman rank
197 coefficients (ρ) were used to assess positive or negative correlations between canyon concavity and
198 geomorphic, tectonic and climatic variables (Fig. 9). The strength of the correlation was evaluated
199 by the p-value derived from the correlation. In order to assess for correlations that may be
200 obscured by local variation (Seybold et al., 2020), canyons were also binned and their indices
201 averaged (median) by geographic location (e.g. Western North America) (Fig. 9) and by UTM
202 zone.

203 **3. Results**

204 Detailed descriptions and interpretations of the mapped submarine canyons, such as their lengths,
205 spacings and sinuosity, are documented in Harris and Whiteway (2011). The following sections
206 will therefore focus on their longitudinal profiles.

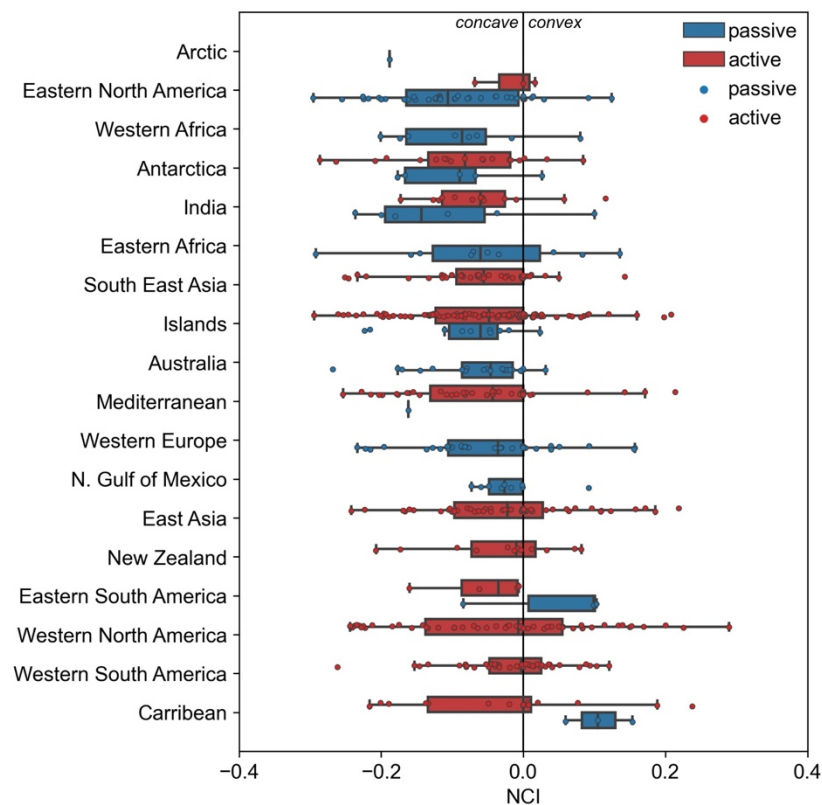


Figure 5: Box-plot showing the NCI quartiles, median and outliers for each geographic region.

208 3.1 Tectonics

209 Longitudinal profiles were collected, and normalized concavity indices (NCI) calculated, for 555
 210 submarine canyons (Fig. 4). The median NCI of canyons is -0.04, indicating that most submarine
 211 canyons are concave. Submarine canyons formed on passive margins (median NCI = -0.07) are
 212 more concave than those formed on active margins (median NCI = -0.03, $p = 0.02$) (Fig. 6A).
 213 Canyons formed on the eastern North American passive margin are the most concave (if $n > 10$),
 214 and canyons formed on the western South American convergent margin and in the Caribbean are
 215 the least concave (Fig. 5). This is highlighted when canyons are grouped by basin type, with forearc
 216 basin canyons being statistically the least concave, and passive margin canyons the most concave
 217 (Fig 6B). Canyons formed on islands and in intracratonic, diapiric, foreland basins have differing

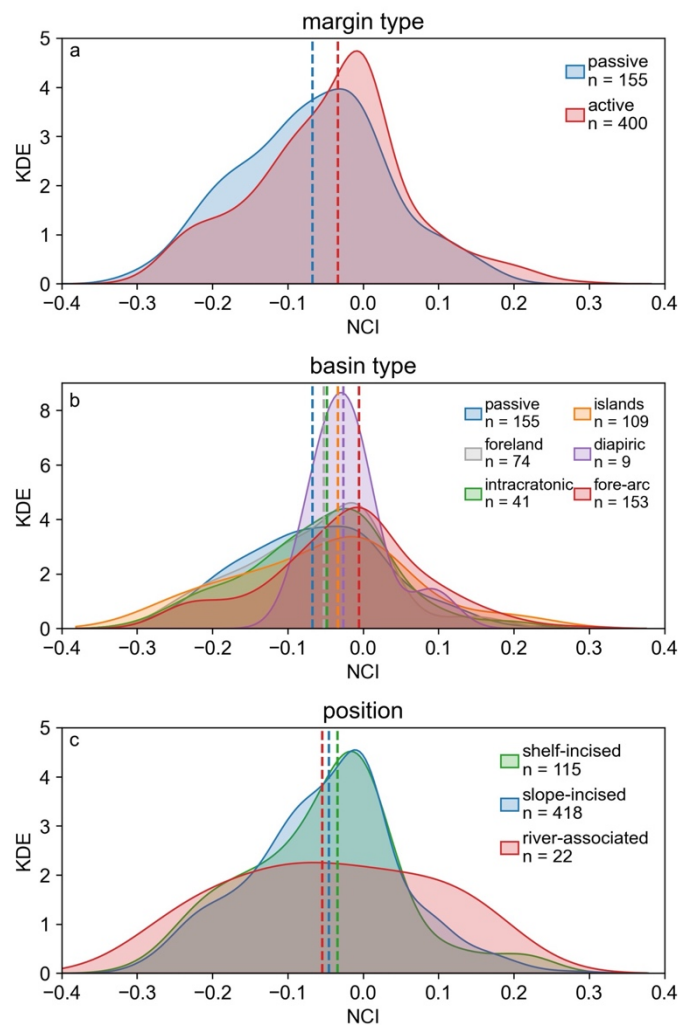


Figure 6: Kernel density estimations (KDE) of the NCI for grouped canyon concavities: a) active and passive margins, b) basin type, c) canyon position. Vertical line is the median.

218 concavity distributions, but their differentiation is less significant compared to all other canyons
219 (Fig. 7A).

220 The influence of tectonics is also evident through the strong negative correlation between
221 concavity and onshore seismicity, and the weak positive correlation between concavity and margin
222 sediment thickness for each geographic region (Fig. 8). This is in contrast to the relationship
223 observed within fluvial systems on a global-scale (Seybold et al., 2020), where concavity increases
224 with increasing seismicity. It should be noted that these correlations are only present when canyons
225 are binned by geographic location, and not when taken individually or binned by UTM-zone,
226 indicating significant local variation or dilution of the correlation across drainage basins (Fig. S3).

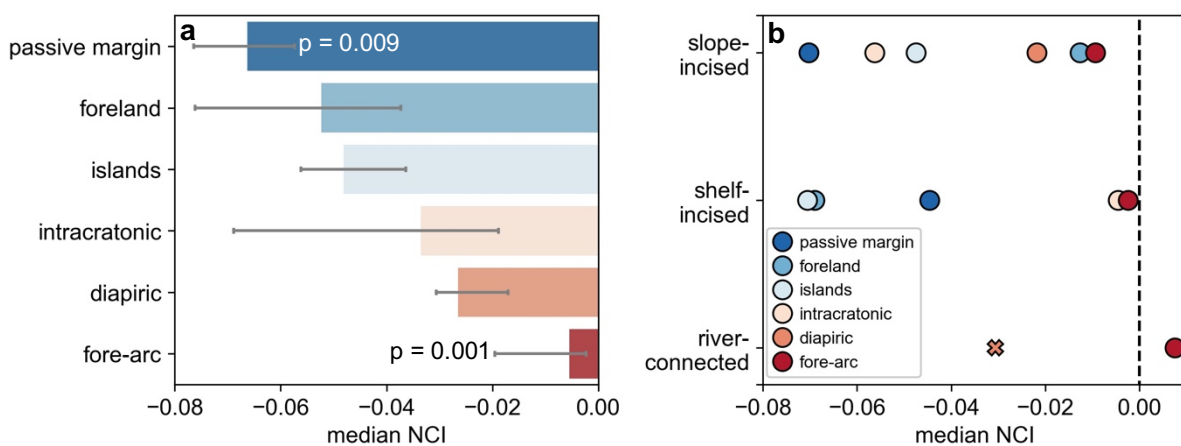


Figure 7: : A) Median NCI values for canyons formed in each basin type. Error bar indicates 68 % confidence interval. B) Median NCI values for each basin type grouped by their position on the slope. River-associated canyons formed on active margins more convex than shelf- and slope-incised canyons formed on active margins.

227

228 3.2 Canyon position

229 Canyon position also plays a role in adjusting concavity. Slope-incised and shelf-incised submarine
230 canyons, which at present day are dissociated from rivers, have less variation in concavity (std. dev.
231 = 0.10) than shelf-incised submarine canyons with a present-day connection to a river system (std.
232 dev. = 0.13) (Harris and Whiteway, 2011). Shelf-incised and slope-incised canyons are more
233 statistically similar (Fig. 6C). Where the number of river-associated, shelf-incised, and slope-incised
234 canyons is greater than 10 for an individual basin-type (forearc basins), river-association appears
235 to result in less concave canyons (Fig. 7B).

236

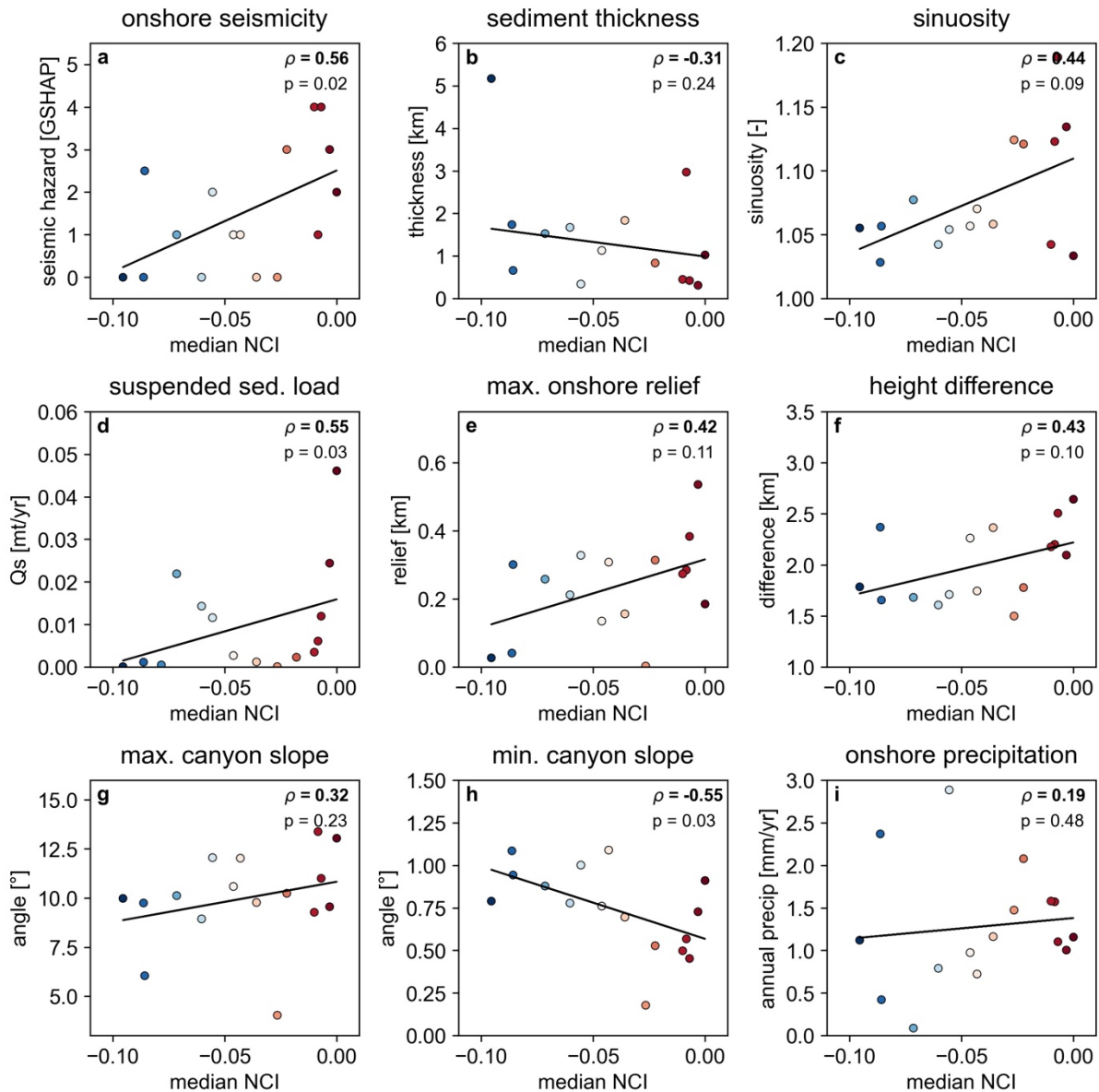


Figure 8: Correlation between canyon NCI and various climatic and tectonic indices (Giardini et al. 1999; Harris and Whiteway, 2011; Fick and Hijmans, 2017; Nyberg et al. 2018). Each canyon has been binned into their geographic region (e.g. Western South America (WSA) and Eastern North America Africa (ENA)) and median values taken. Spearman rank correlation (ρ) is shown in bold, black solid line is a linear regression. Islands are omitted due to their geographical range.

237 3.3 Climate

238 When grouped by their nearest subaerial climate zone, canyons show a wide range of different
 239 deviations that are either not statistically significant, contradictory or not maintained across groups
 240 (Fig 10). The most prominent climatic deviation is seen on passive margins, with passive margin
 241 canyons formed adjacent to continental climates, such as NE America and Scandinavia, statistically
 242 more concave than canyons formed in other climates (Fig. 9). The opposite of this trend is seen
 243 in forearc basins, however, where continental canyons are statistically more convex. When river-

244 associated canyons are isolated, a relatively strong negative correlation is documented between
245 concavity and onshore temperature (Fig. S4).

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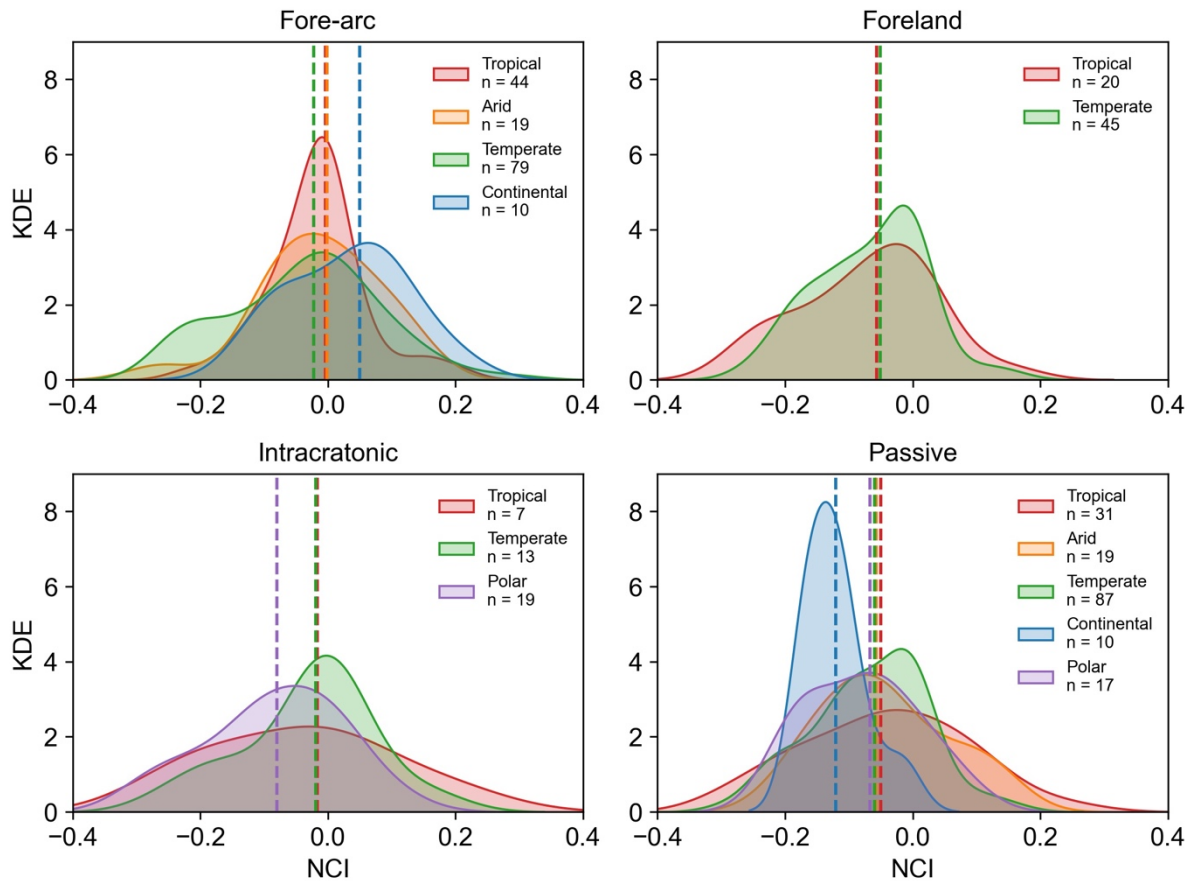


Figure 9: Kernel density estimations (KDE) of the NCI for each canyon grouped by basin type and climate zone. There is a wide variation in climate influence for each basin, indicating other factors, such as tectonics, are more important. Dashed line is the median.

247 3.4 Other factors

248 When concavity is compared against other indices, statistically significant correlations are rare, and
249 only observed between concavity and minimum canyon slope on a margin-scale (Fig. 8). This
250 relationship is not preserved on smaller-scales, such as across UTM zones (Fig. S4). No strong
251 correlations are observed between other geomorphological variables, such as canyon dendricity,
252 shelf width, shelf gradient, and slope gradient, suggesting that these properties do not have a strong
253 influence on submarine concavity morphology on a global- or continental-scale (Fig. S4). When
254 river-associated canyons are isolated, relatively strong positive correlations are documented
255 between dendricity and concavity (Fig. S4).

256

257 **4. Discussion**

258 Two ratios help to elucidate the processes controlling the concavity of submarine canyons: 1) the
259 ratio between seafloor deformation and downslope current capacity, and 2) the ratio between
260 sedimentation and downslope current capacity. Canyons become more concave when downslope
261 currents have greater capacity to erode and/or transport sediment downslope, and become less
262 concave when currents have insufficient capacity to erode or transport sediment downslope.

263 **4.1 Tectonism and erodibility**

264 When the rate of seafloor deformation exceeds the capacity of currents in the canyon to erode the
265 substrate, canyons are expected to be less concave. This is revealed by the decreased concavity of
266 submarine canyons formed on convergent margins (Fig. 7A; 10), which are commonly undergoing
267 active seafloor deformation through folding, faulting or accretionary prism formation (e.g. Pirmez
268 et al., 2000; Covault et al., 2011). The Sinú accretionary prism, Colombia (Vinnels et al., 2010), and
269 the Cook Strait, New Zealand (Micallef et al., 2014), are examples of such a process, with thrust
270 faulting modifying the profiles of incisional submarine canyons and channels, causing them to be
271 convex. The erodibility of these margins is also expected to play a role in adjusting canyon
272 morphology, with the weak correlation between sediment thickness and concavity possibly a
273 consequence of the thinner erodible sediment cover seen on active margins causing a decrease in
274 canyon concavity (Fig. 8). The decreased concavity seen in the Caribbean canyons may also be
275 partially attributed to substrate lithology, with the carbonate shelves that characterise much of the
276 Caribbean expected to be less erodible than siliciclastic shelves.

277 On passive margins, where seafloor deformation is limited to relatively few gravitationally-
278 deforming examples (e.g. Rowan et al., 2004), such as the Niger Delta (e.g. Adeogba et al., 2005;
279 Mitchell et al., 2020), submarine canyons are generally more concave (Fig. 21), because the
280 relatively minor or slowly-deforming seafloor topography is able to be eroded by downslope
281 currents. On the diapiric Gulf of Mexico passive margin (e.g. Prather et al., 2017) concavities are
282 similar to those seen on convergent margins. This indicates that the rate of seafloor deformation
283 induced by salt diapirism outpaces the rate at which flows through these canyons can erode (Fig.
284 21).

285 A weak positive correlation also exists between NCI and onshore seismicity, i.e., canyons become
286 less concave with increasing onshore seismicity (Fig. 8). The opposing trend is documented in
287 subaerial river profiles, with increasing tectonic activity resulting in a global trend toward increasing
288 concavity as headwaters are uplifted and steepened (Seybold et al., 2020). This discrepancy may be
289 attributed to the greater degree of uplift in the uplands of tectonically-active subaerial

290 environments compared with adjacent submarine environments, which is demonstrated by
 291 calculating the elevation of a long profile as a function of uplift gradient (Eq. 1; Fig. 1A). When
 292 the uplift gradient is varied from upstream-focused (> 0) to downstream-focused (< 0) the profiles
 293 become increasingly more convex (Fig. 1A), with NCI values that are equivalent to the median of
 294 forearc canyons when the downstream uplift gradient is around 80% of its maximum in the
 295 example profile (Fig. 1B). This indicates that submarine canyons formed on convergent margins
 296 and adjacent to seismically-active margins are subject to uplift primarily in their downstream
 297 reaches, i.e., on the slope (Fig. 10). The increased concavity seen in canyons associated with islands
 298 may be explained by an upstream uplift gradient, with volcanic islands commonly characterised by
 299 Holocene uplift associated with isostatic rebound and magmatic underplating (e.g. Campos et al.,
 300 2010; Fretwell et al., 2010).

301

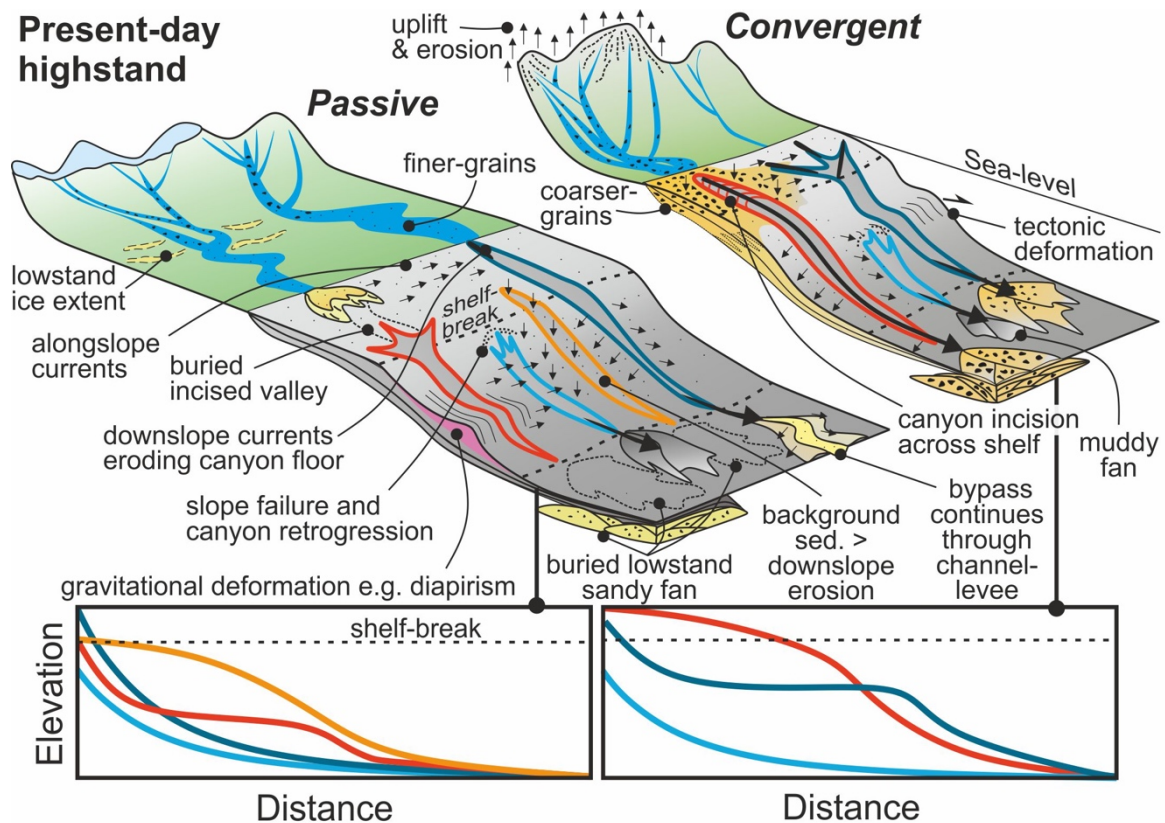


Figure 10: Schematic diagram showing the factors that may influence canyon concavity on convergent and passive margins during the present-day highstand. Passive margins have longer transfer zones, resulting in finer grains and enhanced bypass potential, while convergent margins have steeper and shorter transfer zones, resulting in coarser grains being stored in the canyon-head area and increased incision of canyons across the low-gradient shelf during highstand. Both convergent margins and passive margins may have tectonically deformed slopes, resulting in decreased concavity.

302 **4.2 Sediment supply and character**

303 When sediment supply exceeds the capacity of subaqueous currents to transport sediment
304 downslope, or hemipelagic sedimentation exceeds the rate at which subaqueous currents can
305 erode, canyons will become less concave as the upper slope aggrades (Gerber et al., 2009; Amblas
306 et al., 2012) (Fig. 10). This may also contribute to the decreased concavity seen on convergent
307 margins, with large volumes of sediment derived from uplifting hinterlands primarily deposited on
308 the shelf and slope during the present-day highstand (Fig. 10). This is supported by a further
309 decrease in concavity when forearc basins are associated with rivers (Fig. 7B), which deliver vast
310 quantities of coarse sediment to oceans (e.g. Milliman and Syvitski, 1992). During highstand these
311 coarse grains will be more difficult to transport down-canyon and along-slope from the river-
312 mouth in littoral cells (e.g. Fisher et al. 2021), resulting in greater sedimentation rates on the shelf
313 and upper slope, reduced sediment bypass to deeper water, and decreased canyon concavity (Fig.
314 10). This process is also suggested by the negative correlation between concavity and onshore
315 seismicity, relief, and suspended sediment load (Fig. 8), as high supplies of coarse-grained sediment
316 are expected from steep, tectonically-active hinterlands. These coarse-grained flows are also likely
317 to be more erosive, however this erosion must be concentrated on the lower-gradient shelf during
318 highstand, resulting in decreased concavity (Fig. 10). The weak negative correlation between
319 concavity and sinuosity at the margin-scale may also reflect sediment supply, with canyons
320 presently subject to high sediment supply and more frequent flows more likely to be sinuous than
321 those presently stranded at the shelf-break far from sediment sources.

322 The impact of rivers on concavity may be reduced, or reversed, on passive margins due to the
323 longer subaerial transport distances and finer grain-sizes delivered to most passive margins and
324 their submarine fans (Reading and Richards, 1994) (Fig. 10). Finer grains are more easily
325 transported along and downslope by submarine currents, which will result in more concave
326 profiles than those formed where the sediment supply is similar but grain-sizes are larger. An
327 example of this may be the river-associated Congo canyon on the west African passive margin,
328 which is supplied with fine grained sediment from the Congo river (Azpiroz-Zabala et al., 2017),
329 promoting bypass toward the Congo fan (Rabouille et al., 2019; Picot et al., 2019) and the
330 development of a concave profile (Savoie et al., 2009). Discharge and sediment supply rates are
331 also likely to be steadier on passive margins characterized by long transfer zones, as extreme
332 climatic and tectonic events are more easily buffered (e.g. Romans et al., 2016). This will allow
333 sediment to be more easily redeposited downslope before it is sequestered on the shelf or in the
334 canyon, resulting in more concave profiles.

335 The influence of hemipelagic sedimentation in decreasing concavity may be apparent within some
336 stranded passive margin canyons that are relatively linear or convex, such as those seen offshore
337 western Australia and western Europe, with erosion by the now relatively infrequent downslope
338 currents unable to keep pace with hemipelagic or along-slope sedimentation along these margins
339 (e.g. Gerber et al. 2009).

340 **4.3 Climate and sea-level**

341 Onshore climatic effects appear to be masked by tectonics, position on the slope, or local factors
342 in most cases (Fig. 9) indicating that onshore climate plays a subsidiary role in modifying the
343 morphology of modern submarine canyons, or that canyons are responding to onshore climate
344 change at a slower rate than tectonics or eustasy. In this way, submarine canyons are comparable
345 to subaerial canyons, with tectonism obscuring any potential climatic impact of fluvial
346 geomorphology on a global-scale (Seybold et al., 2020). Weak negative correlations between
347 concavity and suspended sediment load, run-off, onshore relief and concavity are seen when the
348 bin-size is widened to a continental-scale (e.g. Western North America), indicating some climatic
349 influence through enhanced run-off and sediment supply at this scale. The correlation seen
350 between greater onshore temperatures and decreased concavity within river-associated canyons
351 support the relationship of climate and sedimentation, with greater chemical weathering causing
352 enhanced sediment flux (Fig. S4). These relationships may not be causal, however, as higher
353 sediment flux will be expected from active margins with greater and rejuvenated relief closer to
354 the coast, and orographic precipitation. The influence of climate may therefore be difficult to
355 disentangle from tectonics, as both are inextricably linked.

356 The most prominent climatic deviation is seen on passive margins, which are significantly more
357 concave when adjacent to landmasses with continental climates, indicating substantial bypass
358 through these canyons (Fig. 9). This can be attributed to the increased sensitivity of these climates
359 to Quaternary glacial-interglacial transitions, which was noted by Covault et al. (2011) as an
360 explanation for the concave high-latitude Astoria and Laurentian canyons. Much of the area
361 subject to present-day continental climates (such as NE America) has lost up to 2.5 km of ice since
362 21 ka (Peltier, 2004; Gomez et al., 2020), which will have promoted high sediment supplies to
363 these margins (Piper and Normark, 2009). Much of this sediment was bypassed through the
364 canyons, forming their concave profiles and extensive submarine fans (Skene and Piper, 2003).

365 This conclusion results in a contrast, with passive margin canyons subject to high sediment
366 supplies hosting more concave profiles, and forearc canyons subject to high sediment supplies
367 hosting less concave profiles. This indicates that either: 1) grain-sizes are much lower on high-

368 supply passive margins and subaqueous currents are more able to transport the sediment
369 downslope, or 2) these passive margin canyons were active when sediment bypass potential was
370 greater. Since coarse sediments are known to have been supplied to these passive margin canyons
371 during glacial periods (Piper and Normark, 2009), the latter explanation is more likely, and is
372 suggested to be the result of sea-level variation.

373 Sediment bypass to deep-water is known to be tied to relative sea-level changes, with rivers able
374 to traverse the shelf and deliver sediment more easily to the shelf-edge and deeper waters during
375 lowstands. The present-day global highstand has resulted in enhanced accommodation on the
376 shelf, therefore younger canyons, like those forming on active margins, are active when
377 sedimentation on the shelf is enhanced and bypass to deeper water is hindered. Older canyons,
378 however, were primarily active during the last lowstand when accommodation on the shelf was
379 reduced and bypass to deeper-water was enhanced by sea-levels up to 120 m lower than present
380 (Lambeck and Chappell, 2001; Miller et al., 2020). Lowstand canyons now pinned to the shelf-
381 break will therefore be more concave than canyons active during the present-day highstand.

382 The incised valleys that fed these lowstand canyons are likely buried on the shelf, enhancing their
383 concavities by preservation of only the steepest sections of the canyon on the slope (Fig. 10). On
384 active margins, where incised valleys are expected to be deeper owing to steeper river gradients,
385 canyons can be more easily traced onto the shelf as the incised valley is less likely to be fully buried
386 during transgression and highstand (Fagherazzi et al., 2004; Harris and Whiteway, 2011) (Fig. 10).
387 Canyons formed on active margins with narrow and steep shelves are also more prone to
388 maintaining connection with the shoreline during Holocene transgression (Bernhardt and
389 Schwanghart, 2021). Therefore, some of the decreased concavities seen in active margin canyons
390 may be attributed to the combination of preferential preservation of incised valley relief on the
391 shelf and an increased ability of these canyons to incise across the shelf (Fig. 10).

392 **4.4 Slope-incised canyon processes**

393 Many slope-incised canyons currently dissociated from rivers are unlikely to have been associated
394 with rivers even during relative sea-level falls of Quaternary magnitudes (< 120 m lower), yet they
395 are consistently concave (Fig. 6C), indicating erosion and bypass of subaqueous currents. Since
396 slope-incised canyons are largely disconnected from rivers, the currents in these canyons must be
397 formed by other processes, such as dense shelf-water cascades (e.g. Canals et al. 2006, Puig et al.,
398 2008), nepheloid layers (Warratz et al., 2019), capture of along-slope currents (e.g. Marchès et al.
399 2007), or through retrogressive failure of the canyon walls (Sultan et al., 2007; Carter et al., 2018)
400 (Fig. 10).

401 Mechanisms for producing concave profiles in slope-incised canyons were discussed by Adams
402 and Schlager (2000), Mitchell (2004; 2005), and Brothers et al. (2013), who hypothesized that the
403 downstream transition from weakly-erosive debris flows, derived from canyon head failures, to
404 highly-erosive turbulent flows would result in increased erosion of the canyon profile downstream
405 and more concave long profiles. This study supports these findings, indicating that many canyons
406 evolve predominantly through processes unrelated to terrigenous sediment supply. It should also
407 be noted that many lowstand canyons that were previously river-associated may now be evolving
408 according to this process during highstand, thus increasing their concavity through time.

409 **5. Conclusion**

410 Modern submarine canyon longitudinal profiles and their concavities have been measured globally.
411 The dominant control on global submarine canyon morphology is onshore tectonic activity and
412 configuration, with forearc basins hosting the least concave canyons, and passive margins the most
413 concave canyons. The reduced concavity seen in forearc basins is attributed to: 1) high supplies of
414 coarse-grained sediment during the present-day highstand, resulting in both erosion and deposition
415 being concentrated on flooded, low-gradient shelves, and 2) the rate of slope deformation being
416 greater than the erosion rate of downslope currents. Concavity may also be decreased on passive
417 margins by enhanced background sedimentation and gravitational deformation. Canyon position
418 on the slope forms a secondary control on submarine canyon concavity, with shelf-incised canyons
419 currently associated with rivers showing greater morphological variance than both shelf-incised
420 canyons currently dissociated from rivers and slope-incised canyons, which have similar
421 morphologies. This suggests that fluvial systems of different types are differentially influencing
422 canyon morphology during highstand, and that many canyons are still ‘frozen’ in their lowstand
423 morphology. These factors are difficult to disentangle from climate in most cases; however, climate
424 has a pronounced influence on canyons formed adjacent to landmasses with major ice loss and
425 high sediment flux during the Quaternary.

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430 (2018) (geomorphological, tectonic & climatic data), Kottek et al. (2006) (Koppen climate zones),
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