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6 **Title:** The concavity of submarine canyon longitudinal profiles

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14 **KEY POINTS**

- 15 • 377 submarine canyon longitudinal profiles and their concavities have been measured
- 16 • 66% of submarine canyons are concave ($NCI < 0$)
- 17 • Tectonics are the primary control on canyon concavity, with tectonically active margins
18 hosting the least concave canyons

19 **ABSTRACT**

20 Submarine canyons incise continental shelves and slopes, and are important conduits for the
21 transport of sediment, nutrients, organic carbon and pollutants from continents to oceans.
22 Submarine canyons bear morphological similarities to subaerial valleys, such as their longitudinal
23 (long) profiles. Long profiles record the interaction between erosion and uplift, making their shape,
24 or concavity, a record of environmental and tectonic processes. The processes that govern
25 concavity of subaerial valleys and rivers are well documented on a global scale, however, the
26 processes that control submarine canyon concavity are less well constrained. We address this
27 problem by utilizing existing geomorphological, tectonic and climatic datasets to measure the long
28 profiles and quantify the concavities of 377 modern submarine canyons. Key results show that: (1)
29 the dominant control on submarine canyon concavity is tectonics, with forearcs and tectonically
30 active margins hosting the least concave-up profiles; (2) present-day canyon position affects
31 canyon concavity, with river-associated canyons being less concave than canyons currently
32

33 dissociated from rivers on forearcs; (3) present-day onshore climate appears to have a more limited
34 impact on submarine canyon concavity when compared to these factors. While significant local
35 variation exists, these results indicate that tectonic processes are the dominant control on the
36 concavity of submarine canyons on a global scale.

37

38 **PLAIN LANGUAGE SUMMARY**

39 Submarine canyons are primarily formed by erosion beneath dense underwater mixtures of
40 sediment and water transported into the sea by rivers, and by submarine landslides. The record of
41 erosion and deposition from these flows is preserved in the downstream, or longitudinal, profile
42 of the submarine canyons they form. Submarine canyons are also affected by tectonic processes,
43 such as seabed faults, which deform their longitudinal profiles. Since these tectonic and
44 sedimentary processes vary globally, we wondered whether this variation is reflected in the
45 longitudinal profiles of submarine canyons globally. We found out that in places where tectonic
46 activity is great, such as western South America, submarine canyons tend to have more linear
47 downstream profiles, while in places where tectonic activity is low, such as eastern North America,
48 submarine canyons tend to have a more concave-up profile. We attribute this to; (1) deformation
49 of canyon profiles by tectonic activity, and (2) high supplies of coarse-grained sediment on active
50 margins. Submarine canyons therefore tend to have different shapes depending on where they are
51 on the Earth's surface, which results from the different sedimentary and tectonic processes to
52 which they are subject.

53

54 **TEXT**

55 **1. Introduction**

56 The relationship between elevation and downstream distance in subaerial valleys and channels,
57 and submarine canyons and channels, is expressed in their longitudinal, or “long,” profiles (e.g.,
58 Adams & Schlager, 2000; Covault et al., 2011; Dietrich et al., 2003; Georgiopoulou & Cartwright,
59 2013; Gerber et al., 2009; Huyghe et al., 2004; Mitchell, 2005; Pirmez et al., 2000; Whipple &
60 Tucker, 1999; Yatsu, 1955). Long profiles record the interaction between uplift or base-level
61 change, which are primarily controlled by tectonics and climate (e.g., Whipple & Tucker, 1999),
62 and the erosive potential of flows passing through the channel, primarily controlled by sediment
63 supply, sediment character, and discharge (e.g., Snow & Slingerland, 1987). Therefore, long
64 profiles have been used extensively to assess landscape evolution (e.g., Mackin, 1948; Ouchi,
65 1985; Roberts & White, 2010; Sklar & Dietrich, 1998; Snyder et al., 2000).

66

67 Subaerial long profiles tend to evolve through an inverse power-law relationship between the
68 profile slope and drainage area, that is, long profiles flatten downstream as the contributing
69 drainage area increases. The rate at which a long profile flattens downstream is known as its
70 concavity (e.g., Zaprowski et al., 2005), and is often used to describe the shape of a long profile
71 (e.g., Roe et al., 2002; Sinha & Parker, 1996). Under steady state conditions, when uplift equals
72 erosion, long profiles tend to be concave-up, whereas under nonsteady state conditions, often
73 driven by base-level change or tectonic deformation (e.g., Whipple & Tucker, 1999), profiles tend
74 to be less concave, or convex-up. Spatial and temporal changes in long profile concavity can,
75 therefore, be used to assess the influence of external processes acting on the profile. Rivers flowing
76 across active faults in Italy, for example, are more convex than those flowing over relatively
77 inactive faults (Whittaker et al., 2008), and rivers in eastern North America become more concave
78 with increasing precipitation (Zaprowski et al., 2005).

79

80 This concept has also been applied at a global scale, with rivers formed in arid environments found
81 to have decreased concavity (Chen et al., 2019) and rivers formed in tectonically active
82 environments found to have increased concavity (Seybold et al., 2021). This observation was
83 demonstrated theoretically by Seybold et al. (2021), who derived the elevation of a long profile as
84 a function of the uplift gradient. Using this derivation, Seybold et al. (2021) showed that more
85 convex profiles are expected to form when tectonic uplift is focused in the upstream parts of a
86 channel, indicating that on a global scale rivers in tectonically active environments are
87 predominantly affected by uplift in their upstream extents.

88

89 While subaerial valleys and submarine canyons are formed by different sedimentary processes,
90 they both evolve in superficially similar fashions, with both being subject to substrate erosion by
91 streamflow along their thalweg and retrogressive slope failure along their margins (Mitchell, 2004,
92 2005). Application of geomorphic methods traditionally applied in subaerial environments to
93 submarine environments has therefore led to insights into the processes and evolution of
94 submarine canyons (e.g., Adams & Schlager, 2000; Amblas et al., 2012; Brothers et al., 2013;
95 Covault et al., 2011; Gerber et al., 2009; O'Grady et al., 2000; Pettinga & Jobe, 2020; Pirmez et al.,
96 2000; Ramsey et al., 2006). The different impinging processes, such as background sedimentation
97 (e.g., Gerber et al., 2009), the paucity of direct measurements, and reduced bathymetric res-
98 olution, however, has made the controls on submarine long profile shape more difficult to
99 constrain than those of their subaerial counterparts.

100

101 The global variability of submarine slope concavities has been studied previously by Covault et al.
102 (2011), through the analysis of 20 present-day canyons, by Adams and Schlager (2000), through
103 the analysis of 150 seismic profiles of submarine slopes by O'Grady et al. (2000), who categorized
104 50 different passive margin slopes, and Pettinga and Jobe (2020), who studied the difference
105 between 50 submarine canyon and channel profiles and their adjacent open slope profile. Key
106 findings from Covault et al. (2011) were that canyons formed on convergent margins and

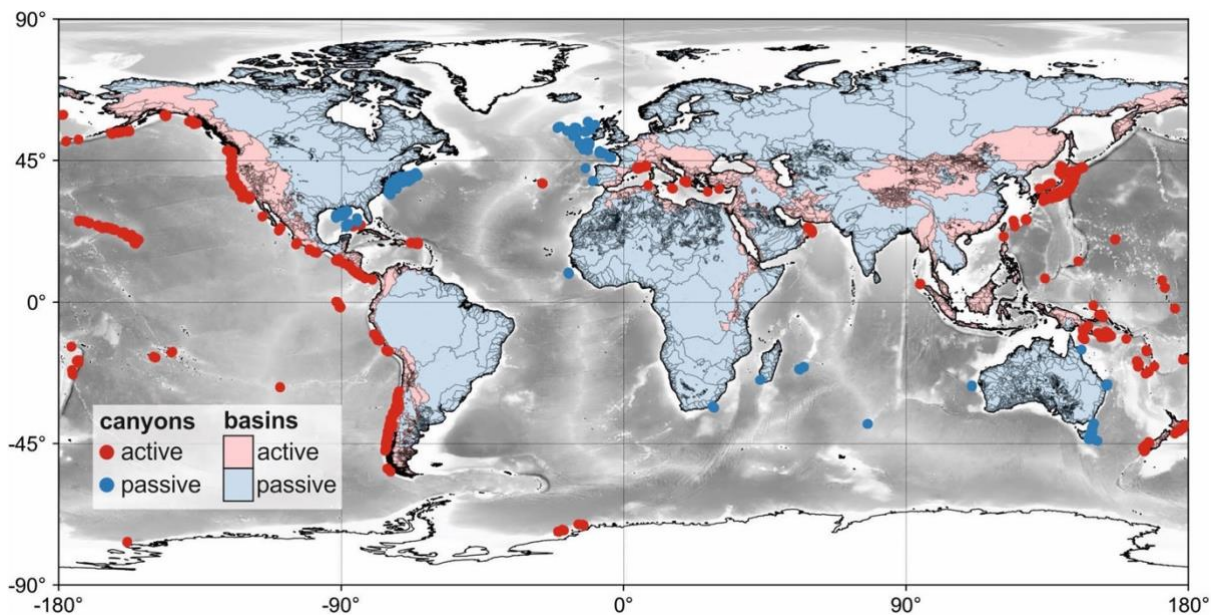


Figure 1. Submarine canyons (Harris & Whiteway, 2011), bathymetry (ETOPO1; Amante & Eakins, 2009) and drainage-basin delineation (Nyberg et al., 2018) used in this study. Red dots indicate canyons formed on active margins and blue dots indicate canyons formed on passive margins or in tectonically quiescent basins (as defined by Nyberg et al., 2018). Drainage basin delineation from (Nyberg et al., 2018). Lighter shades are shallower bathymetry, darker shades are deeper bathymetry (clipped at 4500 m).

107 gravitationally deforming passive margins tend to be more convex, while canyons formed on short
108 and steep margins subject to highly erosive gravity flows tend to be more concave. Pettinga and
109 Jobe (2020) reached similar conclusions, with tectonic deformation acting as a major influence on
110 the morphology of submarine slopes and therefore the ability of submarine conduits to reach
111 equilibrium, or “grade.”

112

113 Based on this previous work, we therefore seek to test the hypotheses that; (1) tectonically active
114 margins have less concave profiles, and (2) short, steep margins subject to high rates of sediment
115 supply have more concave profiles. We test these hypotheses by measuring the concavity of 377
116 long profiles extracted from an existing map of present-day submarine canyons (Figure 1; Harris
117 & Whiteway, 2011). Climatic, oceanographic, and tectonic datasets are also incorporated, with
118 the aim of: (1) quantifying the global distribution of submarine canyon concavities, and (2)

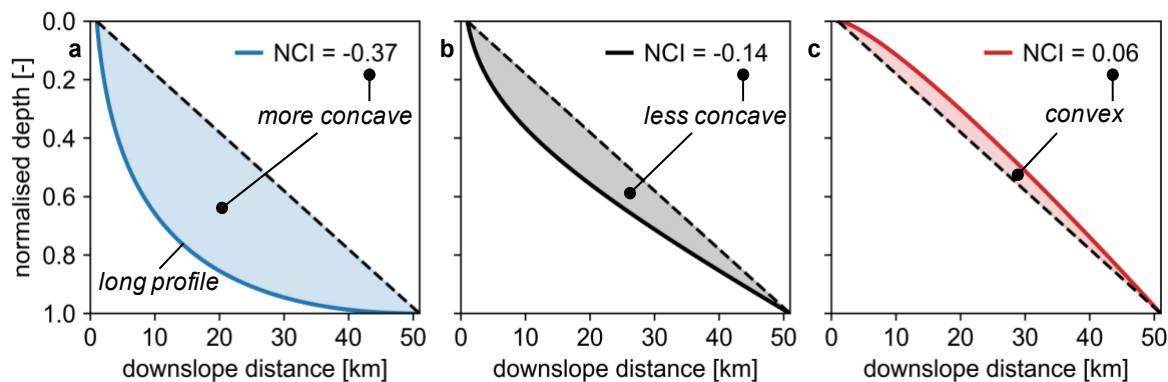
119 quantifying the dominant controls on modern submarine canyon concavity at a global and
120 continental-margin scale (Figure 1).

121

122 2. Methodology

123 2.1. Submarine Canyons

124 The global distribution of modern submarine canyons, and their positions, spacings, average
125 sinuosities, dendricities (number of tributary canyons), and gradients were measured by Harris and
126 Whiteway (2011) (Figure 1). Canyons were mapped by Harris and Whiteway (2011) through
127 automated drainage path analysis and manual mapping of the 1 arc-minute (0.017°) ETOPO1
128 global bathymetric relief map (Amante & Eakins, 2009; Figure 1). The ETOPO1 map is a stitched
129 compilation of different bathymetric data sources, such as the General Bathymetric Chart of the
130 Oceans (GEBCO) and the US Coastal Relief Model (NGDC). The ETOPO1 map is formed by
131 either gravity-constrained or sounding-constrained interpolation between direct measurements
132 derived from ship-track soundings.



133
134 **Figure 2: The long profiles of a 50 km long canyon subject to varying uplift gradients (see Seybold**
135 **et al. 2021 for solution). Upstream uplift results in concave profiles with low NCI values (a), and**
136 **downstream uplift results in convex profiles with high NCI values (c). Depth instead of elevation**
137 **is plotted to visualise a submarine profile. Parameters are: $u = 1 \text{ mm yr}^{-1}$, $x_0 = 1 \text{ km}$, $L = 50 \text{ km}$, $k =$
138 $10 \text{ mm yr}^{-1} \text{ km}^{-1}$, $k_h = 1 \text{ km}^{-0.2}$, $m = 0.5$, $n = 1$, $h = 0.6$.**

133

134 The mapping by Harris and Whiteway (2011) required certain criteria to be met, with each canyon:
135 (1) spanning >1,000 m depth range, (2) having a width/depth ratio less than 150:1, (3) incising
136 greater than 100 m into the seafloor throughout their length, and (4) having a head that is shallower
137 than 4,000 m below sea-level. Canyons formed on abyssal relief, such as mid-ocean ridges and
138 seamounts (“non-margin” canyons or channels; Peakall & Sumner, 2015), were also excluded.
139 These criteria are enforced by data resolution and therefore necessarily exclude some canyons. It
140 is expected, however, that this consistent approach will yield representative trends. Canyon

141 tributaries mapped by Harris and Whiteway (2011) are not used in this study; only the main canyon
 142 profile is analyzed. Tributary data along the length of the main canyon are instead accounted for
 143 by dendricity measurements. It is important to mention that this study seeks to study canyons, as
 144 defined by Harris and Whiteway (2011), and not their associated channels. This is contrast to
 145 Covault et al. (2011) and Pettinga and Jobe (2020), who analyzed the profiles of canyons and their
 146 associated channels.

147

148 2.2. Longitudinal Profiles and the Normalized Concavity Index (NCI)

149 Long profiles were extracted from each canyon by sampling the depth of the canyon trace over
 150 the ETOPO1 bathymetry (Amante & Eakins, 2009), on which the canyons were originally mapped
 151 (Figures 2–4). Canyon traces were sampled at 0.01° (~ 1 km) intervals on a WGS-84 projection,
 152 with the metric distance between each point measured using Vincenty's geodetic formulas
 153 (Vincenty, 1975). This resulted in differences in measured lengths between Harris and Whiteway
 154 (2011), who used a different method, and this study (median difference of 4 km). This difference

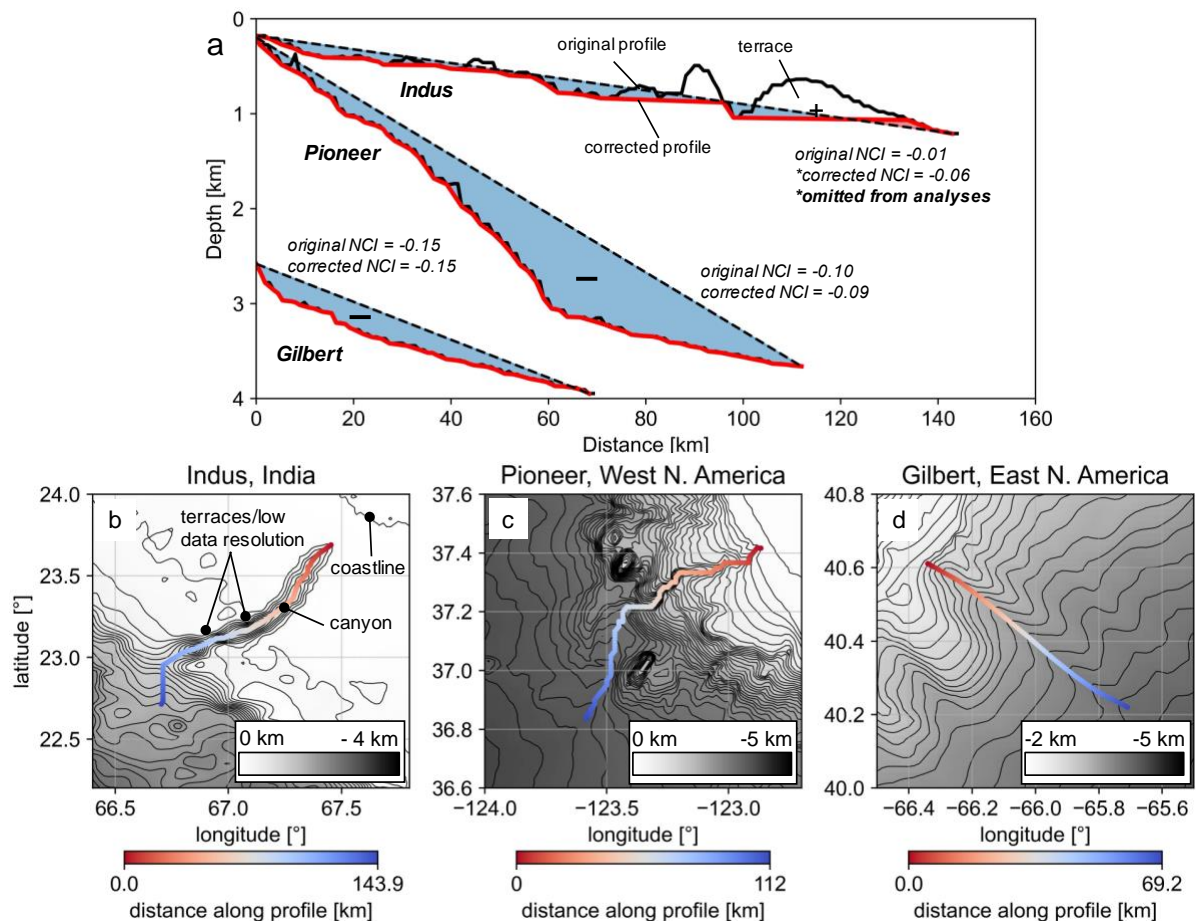


Figure 3: Three long profiles generated by this study and the correction applied to them to remove terrace deposition and irregular mapping. The original normalized concavity index (NCI) and the corrected NCI are shown. b) Indus canyon. Note that the contours squeeze where terraces or data resolution is reduced, resulting in a less certain concavity measurement. c) Pioneer canyon, d) Gilbert canyon. Contours at 500 m intervals.

155 does not affect the results because the NCI measurement is distance-normalized. In order to
156 mitigate against the potential for profile smoothing by mapping across lower-resolution sections
157 of the ETOPO1 map, only canyons where the majority of depth samples are sounding-constrained
158 were analyzed, with canyons interpolated by gravity, and Arctic canyons, omitted from the analyses
159 (Figs. S1, S3, S4).

160

161 Sediment deposition within some of the canyons, forming internal terrace and levee deposits (e.g.
162 Hansen et al., 2015), led to areas of steep positive slope within some mapped canyon profiles that
163 do not represent the thalweg (Fig. 3b). Sampling below bathymetric resolution also created areas
164 of flat slope that similarly do not represent the thalweg. A correction was applied to each profile
165 to remove flat and upstream slopes and create a continuous downstream slope, thus better
166 representing the canyon thalweg (Figure 3a). If the correction resulted in a concavity change of
167 greater than 0.01 (~ 0.2 std. dev of all the errors) then the canyon was omitted from the analysis,
168 under the assumption that the intra-canyon deposition was too severe to allow for a reliable
169 concavity measurement (Figures 3a, S1 and S2). These omissions, coupled with the soundings
170 omissions, result in 377 canyons being selected from the original 5,849 mapped by Harris and
171 Whiteway (2011) (Figure 5). The criteria used for these omissions is strict, but aims to greatly
172 improve the reliability of the results. The corrected, uncorrected and omitted profiles and
173 concavities of all 5849 canyons have also been recorded (Fig. S3; supplementary data).

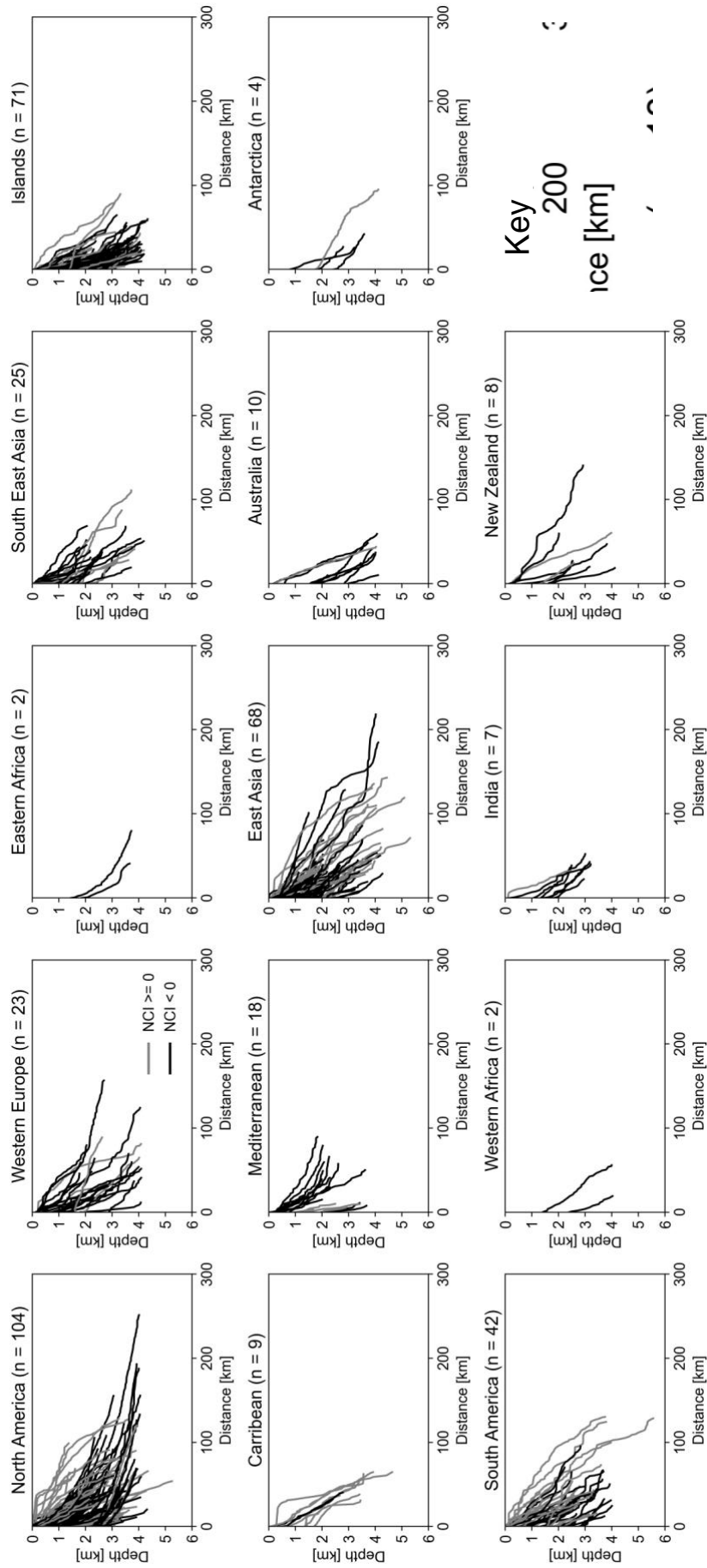


Figure 4: All long profiles generated by this study from each geographic location as defined by Harris & Whiteway (2011).

175 The concavity of each profile is represented by the normalized concavity index (NCI), which
176 measures the elevation difference between a straight line fitted between the most upstream and
177 downstream profile points, and the measured profile (Chen et al., 2019):

178

$$179 \quad NCI = \text{median} \left[\frac{(E_L - Y_L)}{(E_0 - E_n)} \right] \quad (\text{Eq. 1})$$

180

181 where E_L is the depth at each point on the measured profile, Y_L is the depth at each point on the
182 fitted straight line, E_0 is the most upstream point of the measured profile, and E_n is the most
183 downstream point of the measured profile. Linear profiles therefore have an NCI value of zero,
184 while more concave profiles have more negative values, and more convex profiles have more
185 positive values (Figures 2–5).

186

187 **2.3. Underlying Controls**

188 Following the methods used to assess the global controls on subaerial concavities (Chen et al.,
189 2019; Seybold et al., 2021), each submarine canyon profile and its concavity was spatially merged
190 with a number of different geomorphological, climatic and tectonic datasets (Figure 1). Canyon-
191 specific geomorphological variables, such as sinuosity and position on the slope, are from Harris
192 and Whiteway (2011), while more general geomorphological variables, such as onshore relief, shelf
193 gradient, and basin type are taken from Nyberg et al. (2018). Climatic impacts on concavity were
194 assessed by pairing each profile to the dominant climate zone of the nearest catchment (Nyberg
195 & Howell, 2015; Nyberg et al., 2018). The five zones (arid, equatorial, warm temperate, snow
196 (continental), and polar) are based on the Köppen-Geiger climate zone classification (Kottek et
197 al., 2006), which groups terrestrial climates based on seasonal precipitation and temperature ranges.
198 Climatic impacts were also investigated by pairing each profile to the nearest drainage-basin-
199 averaged mean annual precipitation value (Fick & Hijmans, 2017), and drainage-basin-averaged
200 aridity index (Zomer et al., 2008).

201

202 The impact of tectonics on concavity was assessed through grouping of canyons by the basin type
203 in which they are located (Nyberg & Howell, 2015; Nyberg et al., 2018), and pairing them with
204 drainage-basin averaged onshore seismicity (peak ground acceleration with 10% exceedance
205 probability in 50 years; Giardini et al., 1999; Figure 1). An additional basin type was differentiated
206 within the framework of Nyberg et al. (2018) to represent canyons formed on the salt-deformed
207 north slope of the Gulf of Mexico passive margin. Canyons are grouped into the “island” basin-
208 type when located on oceanic crust away from major continental lithospheric basins, such as

209 canyons formed in Hawaii or the Azores. These islands tend to be volcanically active, and are
210 therefore grouped as tectonically active. Canyons located on islands within major continental
211 lithospheric basins are instead grouped by that basin, such as the NW American Aleutian or
212 Japanese Ryukyu islands formed in back-arc settings (Nyberg & Howell, 2015; Nyberg et al., 2018).
213

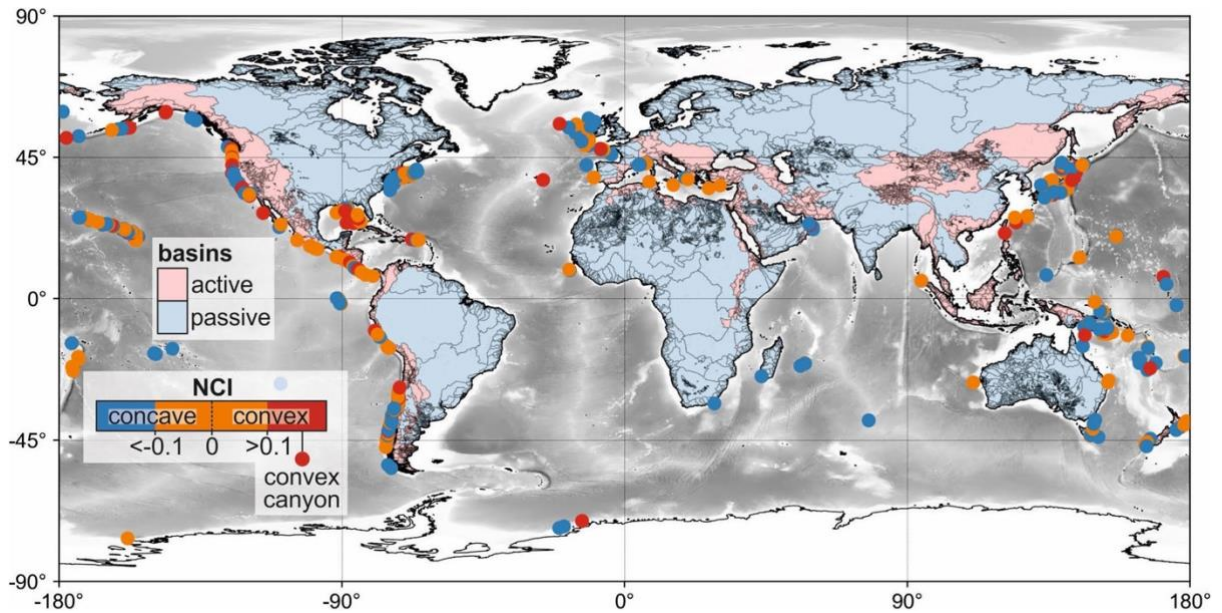


Figure 5. Submarine canyon concavities measured by this study (each canyon centered on a single point for clarity).

214

215 2.4 Statistics

216 Violin and kernel density estimation (KDE) plots of grouped canyon concavities were used to
217 visually compare their differences, with the median of each distribution plotted as a straight vertical
218 line (Fig. 6; 7). Two-sample Kolmogorov–Smirnov (KS) tests (e.g. Massey Jr, 1951) and the
219 resulting probability values (p -values) were used to assess significance of differences between
220 different distributions, with lower p -values indicating more significant differences. Spearman rank
221 coefficients (ρ) were used to assess positive or negative correlations between canyon concavity and
222 geomorphic, tectonic and climatic variables (Fig. 8). The strength of the correlation was evaluated
223 by the p -value derived from the correlation. In order to assess for correlations that may be
224 obscured by local variation (Seybold et al., 2021), canyons were also binned and their indices
225 averaged (median) by geographic location (e.g., western North America) and by UTM zone (Fig.
226 8).

227

228 3. Results

229 Detailed descriptions and interpretations of the mapped submarine canyons, such as their lengths,
 230 spacings and sinuosity, are documented in Harris and Whiteway (2011). The following sections
 231 will therefore focus on their longitudinal profiles.
 232

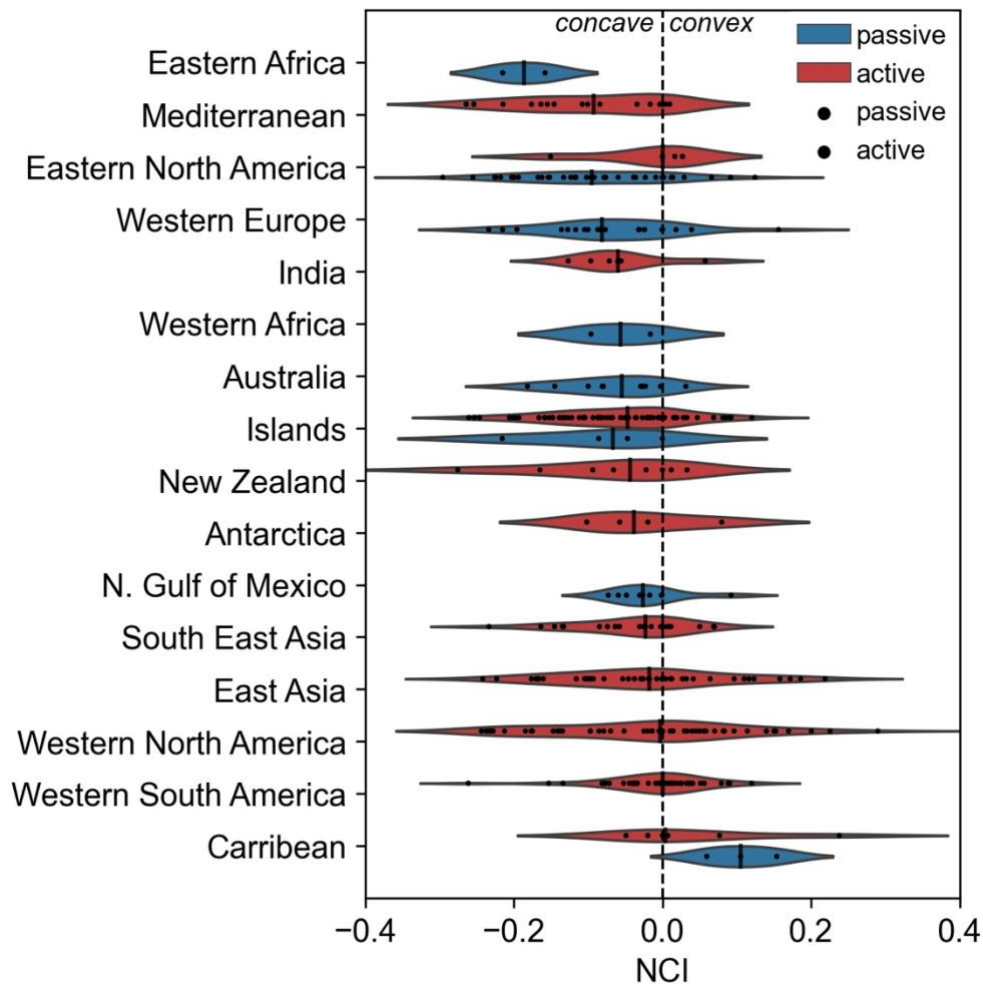


Figure 6: Violin-plot showing the distribution of NCI values for each geographic location. Geographic regions from Harris & Whiteway (2011) and active versus passive margin canyons based on Nyberg et al. (2018). Vertical black line is the median. Black dots are individual data points.

233

234 3.1. Tectonics

235 Longitudinal profiles were collected, and normalized concavity indices (NCI) calculated, for 5,849
 236 submarine canyons (Figure S6). From this dataset, 377 canyons were filtered based on the reliability
 237 of the measurement and analyzed (Figure 5). The median NCI of canyons is -0.03 and 66% of
 238 canyons have NCI values less than 0, indicating that most submarine canyons are concave.
 239 Submarine canyons formed on passive margins (median NCI = -0.07) are more concave than
 240 those formed on active margins (median NCI = -0.02 ; Figure 7a). Where the number of canyons
 241 are greater than 10, canyons formed in the Mediterranean and the eastern North American passive

margin are the most concave, and canyons formed on the western South American convergent margin and in the Caribbean are the least concave (Figure 5). This is highlighted when canyons are grouped by basin type, with forearc basin canyons being the least concave ($p < 0.001$), and foreland and passive margin canyons the most concave (Figure 7c). Canyons formed on islands, back-arc, and diapiric basins have differing concavity distributions, but their differentiation is less significant compared to all other canyons (Figure 7a).

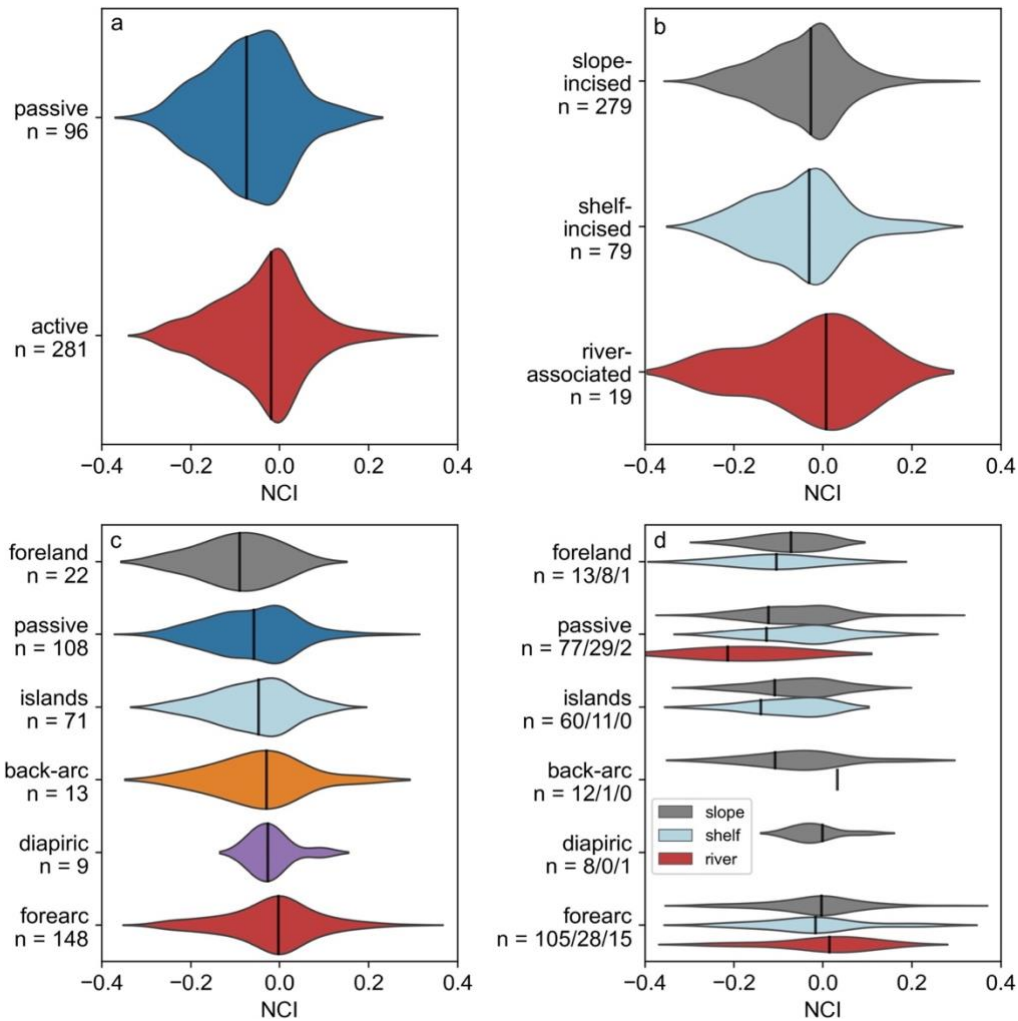


Figure 7. Violin plots showing the concavity distributions of; (a) active and passive margins, (b) slope-incised, shelf-incised and river-associated canyons, (c) canyons formed in different basins and (d) canyons formed in different positions within different basins. Margin and basin groups from Nyberg et al. (2018). Canyon positions from Harris and Whiteway (2011).

The influence of tectonics is also evident through the strong negative correlation between concavity and onshore seismicity, onshore relief and suspended sediment load (Figure 8). This is in contrast to the relationship observed within fluvial systems on a global scale (Seybold et al., 2021), where concavity increases with increasing catchment seismicity. It should be noted that

253 these correlations are only present when canyons are binned by geographic location, and not when
 254 taken individually or binned by UTM zone, indicating significant local variation (Figure S3).

255

256 3.2. Canyon Position

257 Canyon position also plays a role in adjusting concavity (Figure 7b). Slope-incised and shelf-incised
 258 sub- marine canyons, which at present day are dissociated from rivers, have less variation in
 259 concavity (std. dev. = 0.10) than shelf-incised submarine canyons with a present-day connection
 260 to a river system, termed “river-associated canyons” (std. dev. = 0.12; Harris & Whiteway, 2011).
 261 This may partly be due to the limited sample size, however. Shelf-incised and slope-incised canyons
 262 are more statistically similar (Figure 7b). Where the number of river-associated, shelf-incised, and
 263 slope-incised canyons is greater than 10 for an individual basin-type (forearc basins), river
 264 association results in less concave canyons (Figure 7d). Slope-incised canyons also tend to be less
 265 concave than shelf-incised canyons within individual basin types (Figure 7d).

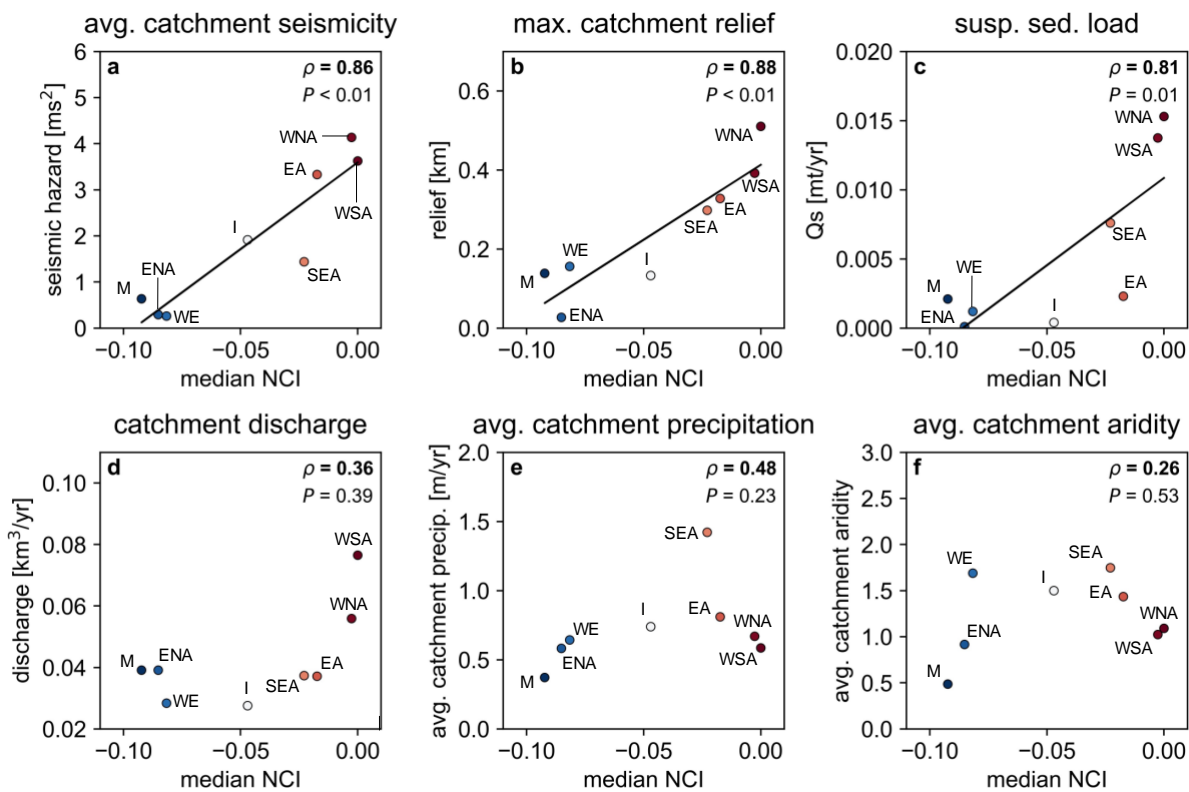


Figure 8: Correlation between canyon NCI and a) average catchment seismic risk (Giardini et al. 1999), b) maximum catchment relief (Nyberg et al. 2018), c) total suspended sediment load from catchment derived from BQART equation of Syvitski & Milliman (2007) (Nyberg et al. 2018), d) catchment discharge (Nyberg et al. 2018), e) annual average catchment precipitation (Fick & Hijmans, 2017), f) average catchment aridity (Zomer et al. 20008). Each canyon has been binned into their geographic region (e.g. Western South America) and median values taken. Spearman rank correlation (ρ) is shown in bold, black solid line is a linear regression (only shown when $P < 0.05$). M; Mediterranean, ENA; Eastern North America, WE; Western Europe, I; Islands, SEA; South East Asia, EA; East Asia, WSA; Western South America, WNA; Western North America.

266 **3.3. Climate**

267 When grouped by their nearest subaerial climate regime, canyons show a wide range of different
268 deviations that are either not statistically significant, contradictory or not maintained across groups
269 (Figure 9). This is reflected when canyons are paired to other climatic indices, with catchment
270 discharge, precipitation and aridity have a much weaker influence on concavities than tectonic
271 factors (Figure 8). When river-associated canyons are isolated, a relatively strong negative
272 correlation is documented between concavity and onshore temperature (Figure S4).

273

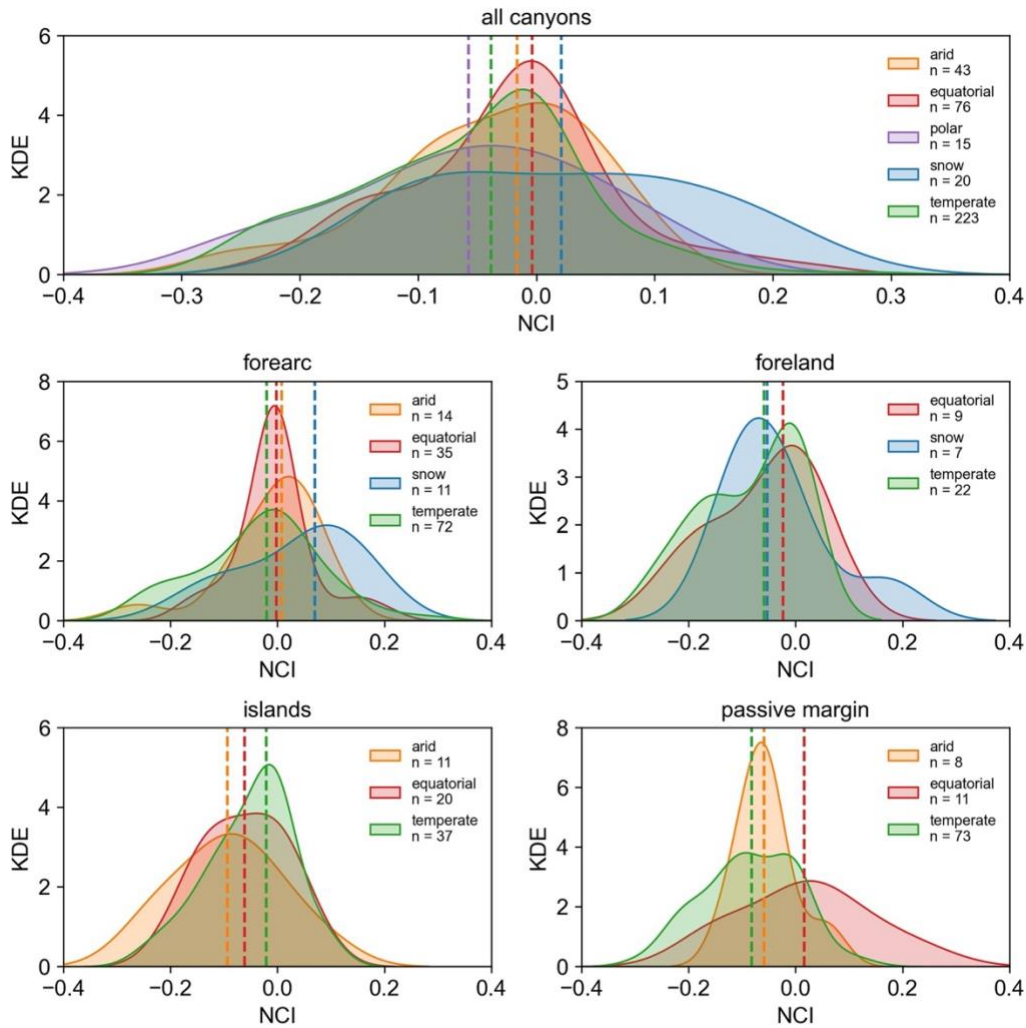


Figure 9. Kernel density estimations (KDE) of the NCI for each canyon grouped by basin type and climate zone. There is a wide variation in climate influence for each basin, indicating other factors, such as tectonics, are more important. Dashed line is the median.

274 **3.4. Other Factors**

275 When concavity is compared against other indices, statistically significant correlations are rare, and
276 only observed between concavity and minimum canyon slope on a continental-margin scale
277 (Figure 8). This relationship is not preserved on smaller scales, such as across UTM zones (Figure
278 S4). No strong correlations are observed between other geomorphological variables, such as shelf

279 width, shelf gradient, and slope gradient, suggesting that these properties do not have a strong
280 influence on submarine concavity morphology on a global or continental scale (Figure S4). When
281 river-associated canyons are isolated, relatively strong positive correlations are documented
282 between dendricity and concavity (Figure S4).

283

284 **4. Discussion**

285 Two ratios help to elucidate the processes controlling the concavity of submarine canyons: (1) the
286 ratio between seafloor deformation and downslope current capacity, and (2) the ratio between
287 sedimentation and downslope current capacity. Canyons become more concave when downslope
288 currents have greater capacity to erode and/or transport sediment downslope, and become less
289 concave when currents have insufficient capacity to erode or transport sediment downslope. When
290 a profile has eroded to its equilibrium it will become bypass-dominated, with all of the sediment
291 delivered to the canyon bypassed downslope, and no erosion or deposition occurring within the
292 canyon itself.

293

294 **4.1. Tectonism and Erodibility**

295 When the rate of seafloor deformation exceeds the capacity of currents in the canyon to erode the
296 substrate, canyons are expected to be less concave. This is revealed by the decreased concavity of
297 submarine canyons formed in forearc basins (Figure 7a), which are commonly undergoing active
298 seafloor deformation through folding, faulting or accretionary prism formation (e.g., Covault et
299 al., 2011; Pirmez et al., 2000). The Sinú accretionary prism, Colombia (Vinnels et al., 2010), and
300 the Cook Strait, New Zealand (Micallef et al., 2014), are examples of these processes, with thrust
301 faulting modifying the profiles of incisional submarine canyons and their channels, causing them
302 to be convex. This trend is observed within the filtered and unfiltered datasets (Figure S6).
303 Substrate erodibility is also expected to play a role in adjusting canyon morphology, with the low
304 concavity values seen in Caribbean canyons partially attributed to the carbonate shelves that
305 characterize much of the Caribbean being less erodible than siliciclastic shelves.

306

307 On passive margins, where seafloor deformation is limited to relatively few gravitationally
308 deforming examples (e.g., Rowan et al., 2004), such as the Niger Delta (e.g., Adeogba et al., 2005;
309 Mitchell et al., 2020), submarine canyons are generally more concave (Figure 7a), because the
310 relatively minor or slowly deforming seafloor topography is able to be eroded by downslope
311 currents (Figure 10a). This trend is also observed within the filtered and unfiltered datasets (Figure
312 S6). On the diapiric Gulf of Mexico passive margin (e.g., Prather et al., 2017) concavities are similar

313 to those seen on convergent margins. This indicates that the rate of seafloor deformation induced
314 by salt diapirism outpaces the rate at which flows through these canyons can erode (Figure 7c).

315

316 A strong positive correlation also exists between NCI and onshore seismicity, that is, canyons
317 become less concave with increasing onshore seismicity (Figure 8). The opposing trend is
318 documented in subaerial river profiles, with increasing tectonic activity resulting in a global trend
319 toward increasing concavity as head- waters are uplifted and steepened (Seybold et al., 2021). This
320 discrepancy may be attributed to the greater degree of uplift in the uplands of tectonically active
321 subaerial environments compared with adjacent sub- marine environments, which is demonstrated
322 by calculating the elevation of a long profile as a function of uplift gradient (Figure 2). When the
323 uplift gradient is varied from upstream-focused to downstream-focused long profiles become
324 increasingly more convex (Figure 2). This indicates that submarine canyons formed on convergent
325 margins and adjacent to seismically active margins are subject to uplift primarily in their down-
326 stream reaches, i.e., on the slope (Figure 10g). The high concavity values seen in canyons associated
327 with islands may be explained by an upstream uplift gradient, with volcanic islands commonly
328 characterized by Holocene uplift associated with isostatic rebound and magmatic underplating
329 (e.g., Campos et al., 2010; Fretwell et al., 2010). These findings support our initial hypothesis that
330 submarine canyons are less concave when formed on convergent or gravitationally deforming
331 margins.

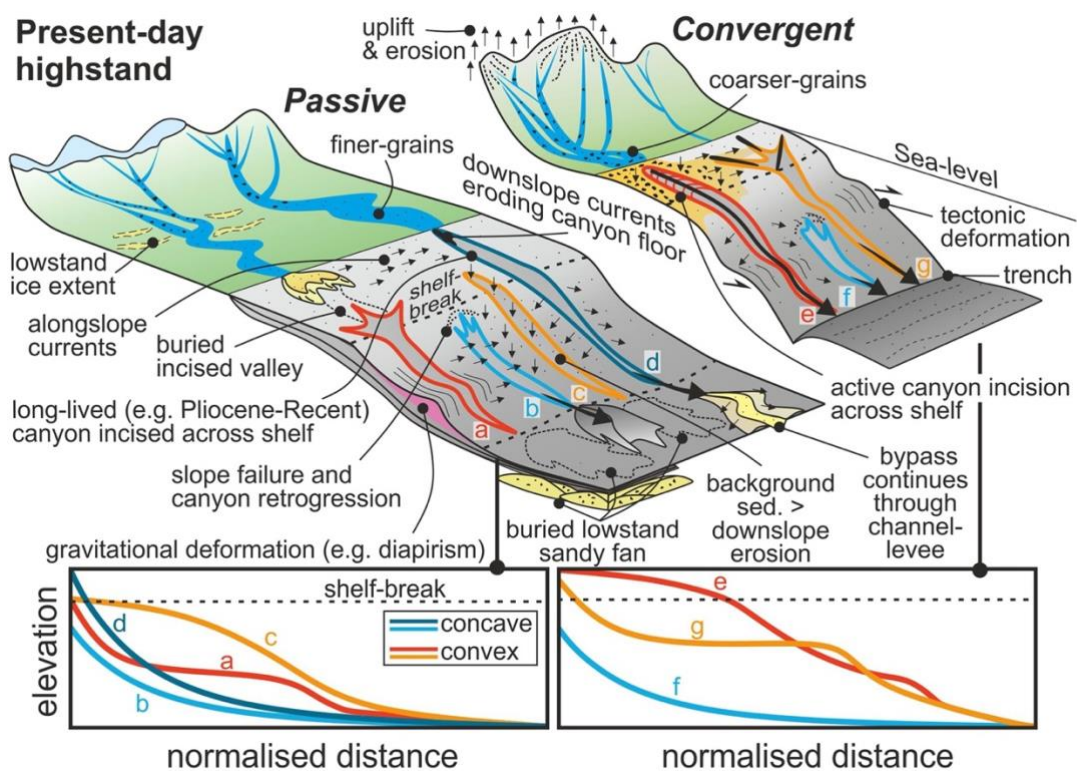


Figure 10. Schematic diagram showing the factors that may influence canyon concavity on convergent and passive margins during the present-day highstand. Passive margins have longer, low-gradient transfer zones, resulting in finer grains and less erosive flows, while convergent margins have steeper and shorter transfer zones, resulting in coarser grained, more erosive flows, and increased incision of canyons across the low-gradient shelf during highstand. Both convergent margins and passive margins may have tectonically deformed slopes, resulting in decreased concavity. Long-lived canyons with polyphase histories are also indicated as their concavity cannot be easily explained by their present-day environmental setting.

333 4.2. Sediment Supply and Character

334 When sediment supply exceeds the capacity of subaqueous currents to transport sediment
 335 downslope, or background sedimentation exceeds the rate at which subaqueous currents can
 336 erode, canyons will become less concave as the upper slope progrades sigmoidally (Amblas et al.,
 337 2012; Gerber et al., 2009; Figures 10c and 10e). This may contribute to the lower concavity values
 338 seen on canyons formed on convergent margins and canyons formed near tectonically active
 339 drainage basins, with large volumes of coarse-grained sediment derived from uplifting and steep
 340 hinterlands deposited on the shelf and slope during the present-day highstand (Figure 10e). This
 341 is supported by a further decrease in concavity when forearc basins are associated with rivers
 342 (Figure 7d), which deliver vast quantities of coarse sediment to oceans in these settings (e.g.,
 343 Milliman & Syvitski, 1992), and by the negative correlation between concavity and onshore
 344 seismicity, relief, and suspended sediment load (Figure 8). On active margins, however, most of
 345 this sediment tends to bypass down-slope due to the higher shelf gradients and narrower shelves

346 that characterize these margins (Milliman & Syvitski, 1992). This sediment may be trapped behind
347 structures created on the slope by tectonic deformation, which can reduce the concavity of
348 canyons formed on these margins (Covault et al., 2011). These coarse-grained flows may also
349 modify concavity through erosion, with erosion by these flows resulting in incision of canyons
350 across the low-gradient shelf during highstand, and therefore decreased concavity (Figure 10e).
351 This was demonstrated on the western North American active margin, where high supplies of
352 coarse-grained sediment increased the likelihood of canyons incising across the shelf (Smith et al.,
353 2018). This does not support our hypothesis that submarine canyons formed on steep and narrow
354 margins subject to high sediment supplies are likely to be more concave, and instead indicates that
355 canyons formed on these margins are more likely to be less concave than the median in the present-
356 day.

357

358 The impact of rivers on concavity may be reduced, or reversed, on passive margins due to the
359 longer subaerial transport distances and finer grain-sizes delivered to most passive margins and
360 their submarine fans (Reading & Richards, 1994; Figure 10d). Finer grains are more easily
361 transported along and downslope by submarine currents owing to increased flow efficiency (e.g.,
362 Mutti et al., 2003), which may result in more concave profiles than those formed where the
363 sediment supply is similar but grain sizes are larger. An exam- ple of this may be the river-
364 associated Congo canyon on the west African passive margin, which is supplied with fine grained
365 sediment from the Congo River (Azpiroz-Zabala et al., 2017), promoting bypass toward the Congo
366 fan (Picot et al., 2019; Rabouille et al., 2019) and the development of a concave profile (Savoie et
367 al., 2009). The Congo canyon is also relatively long-lived, having formed during a phase of tectonic
368 uplift in the Pliocene that has since subsided (Ferry et al., 2004). The concavity of the Congo
369 canyon may therefore be better explained by the environmental conditions it has been exposed to
370 through geological time, rather than its present-day setting. This is likely the case for many
371 individual canyons, and may be the cause of the significant local variation observed. This is difficult
372 to constrain on a global scale, however, and requires case-by-case investigation.

373

374 Discharge and sediment supply rates are also likely to be steadier on passive margins characterized
375 by long transfer zones, as extreme climatic and tectonic events are more easily buffered (e.g.,
376 Romans et al., 2016). This will allow sediment to be more easily bypassed downslope before it is
377 sequestered on the shelf or in the canyon, resulting in more concave profiles. These finer-grained
378 flows are expected to be less erosive, however, which may counteract the influence of their
379 increased efficiency. It may therefore be more likely that the higher concavity values seen on

380 passive margin canyons are a consequence of their reduced ability to incise across the shelf,
381 resulting in more of their length being preserved on the higher-gradient slope during the present
382 day.

383

384 The influence of background sedimentation in decreasing concavity may be apparent within some
385 stranded passive margin canyons that are relatively linear or convex, such as those seen offshore
386 western Australia and western Europe, with erosion by the now relatively infrequent downslope
387 currents unable to keep pace with background sedimentation and progradation along these
388 margins (e.g., Gerber et al., 2009). Reduced concavity may also be caused by pre-existing
389 depositional relief, formed by buried fans and channel levees, on more mature margins (Covault
390 et al., 2011). This may also contribute to the lower concavity values observed within some passive
391 margin canyons.

392

393 **4.3. Onshore Climate**

394 Onshore climatic effects appear to be masked by tectonics, position on the slope, or local factors
395 in most cases (Figure 9) indicating that onshore climate plays a subsidiary role in modifying the
396 morphology of modern submarine canyons, or that canyons are responding to onshore climate
397 change at a slower rate than tectonics or eustasy. In this way, submarine canyons are comparable
398 to subaerial canyons, with tectonism obscuring any potential climatic impact of fluvial
399 geomorphology on a global scale (Seybold et al., 2021). Strong negative correlations between
400 suspended sediment load, onshore relief and concavity are seen when the bin size is widened to a
401 continental scale (e.g., western North America), perhaps indicating some climatic influence
402 through enhanced run-off and sediment supply at this scale. The correlation seen between greater
403 onshore temperatures and decreased concavity within river-associated canyons also support a
404 relationship between climate and sedimentation, with greater chemical weathering causing
405 enhanced sediment flux (Figure S4). These relationships may not be causal, however, as a higher
406 sediment flux may be expected from active margins with greater relief closer to the coast through
407 orographic precipitation and increased discharge. The influence of climate may therefore be
408 difficult to disentangle from tectonics, as they are inextricably linked.

409

410 Climatic controls may also be difficult to assess because the climatic conditions affecting the
411 erosional history have canyons have changed through time. Latest Pleistocene-to-Holocene
412 glacial-to-interglacial transitions and associated high fluxes of coarse-grained sediment through
413 canyons on the NW American margin, for example, has been hypothesized to enhance the

414 concavities of these canyons (Covault et al., 2011). This is not easily captured using present-day
415 global-scale indices, particularly in this study as many high-latitude canyons were omitted during
416 data filtering.

417

418 **4.4. Sea Level**

419 Sediment bypass to deep water is known to be tied to relative sea-level changes, with rivers able
420 to traverse the shelf and deliver sediment more easily to the shelf-edge and through submarine
421 canyons during lowstands (e.g., Sweet et al., 2020). The present-day global highstand has therefore
422 resulted in an abandonment of many canyons that were primarily active during the last lowstand,
423 when sea-levels were up to 120 m lower than present (Miller et al., 2020). This will have a particular
424 impact on long and low-gradient systems with wide shelves, such as passive margins and foreland
425 basins (Nyberg et al., 2018), as canyons will be less able to keep pace with sea-level rise (Bernhardt
426 & Schwanghart, 2021). This may contribute to high concavity values measured in these settings.

427

428 The incised valleys that fed these canyons during lowstand are now likely to be buried on the shelf,
429 resulting in higher concavity values as only the steepest sections of the canyon are preserved on
430 the slope (Figure 10a). On active margins, where incised valleys are expected to be deeper owing
431 to steeper river gradients, canyons can be more easily traced onto the shelf as the incised valley is
432 less likely to be fully buried during transgression and highstand (Fagherazzi et al., 2004; Harris &
433 Whiteway, 2011; Figure 10e). Canyons formed on active margins with narrow and steep shelves
434 are also more prone to maintaining connection with the shoreline during Holocene transgression
435 (Bernhardt & Schwanghart, 2021). Therefore, some of the lower concavity values seen on active
436 margin canyons may be attributed to the combination of preferential preservation of incised valley
437 relief on the shelf and an increased ability of these canyons to incise across the shelf (Figure 10e).
438 Again, this is in contrast to our initial hypothesis that steep and narrow margins subject to high
439 sediment supplies tend to host more concave canyons, and instead indicates canyons formed on
440 these margins tend to be less concave (on a global scale) owing to the increased ability of these
441 canyons to incise across the shelf during transgression.

442

443 **4.5. Slope-Incised Canyon Concavity**

444 Most slope-incised canyons are unlikely to have been connected with rivers and direct terrigenous
445 sediment supply even during relative sea-level falls of Quaternary magnitudes (<120 m lower), yet
446 they are consistently concave (Figure 6c), indicating erosion and bypass of subaqueous currents.
447 The erosive currents in these canyons must be therefore be formed by other processes, such as

448 retrogressive failure of the canyon head and walls (Carter et al., 2018; Sultan et al., 2007; Figures
449 10b and 10f).

450

451 Mechanisms for producing concave profiles in slope-incised canyons were discussed by Adams
452 and Schlager (2000), Brothers et al. (2013), and Mitchell (2004, 2005), who hypothesized that the
453 downstream transition from weakly erosive debris flows, derived from these canyon head and wall
454 failures, to highly erosive turbulent flows would result in increased erosion of the canyon profile
455 downstream and more concave long profiles. Maintenance of concave profiles in slope-incised
456 canyons was also discussed by Jobe et al. (2011), who suggested that periodic resuspension of shelf
457 mud and consequent plunging of thick, dilute turbidity currents erodes these canyons. This study
458 supports these findings, further indicating that many canyons evolve predominantly through
459 processes unrelated to direct terrigenous sediment supply.

460

461 It should also be noted that many shelf-incised canyons that were previously river-associated may
462 now be evolving according to this process during highstand, thus increasing their concavity
463 through time. Retrogression is likely to occur in all canyons to varying degrees, however other
464 factors, such as terrestrial sediment input, also contributed to the evolution of shelf-incised
465 canyons. Subaerial processes occurring during lowstand exposure of the shelf will therefore
466 complicate the erosional history of shelf-incised canyons when compared to slope-incised canyons.
467 Since these subaerial processes are unlikely to affect slope-incised canyons, these canyons are more
468 likely to be affected by tectonic deformation on the slope as they are less able to smooth out any
469 profile irregularities. Slope-incised canyons may therefore be more similar to the open slope than
470 other canyon types, and are consequently less able to achieve grade (Pettinga & Jobe, 2020). This
471 process is likely reflected in the higher NCI values seen on slope-incised canyons compared to
472 shelf-incised canyons for individual basins (Figure 7d).

473

474 **5. Conclusion**

475 Modern submarine canyon longitudinal profiles and their concavities have been measured globally.
476 The dominant control on global submarine canyon morphology is onshore tectonic activity and
477 tectonic configuration, with forearc basins hosting the least concave canyons. The reduced
478 concavity seen in forearc basins is attributed to: (1) high supply rates of coarse-grained sediment
479 during the present-day highstand, resulting in erosion across low-gradient shelves, and (2) the rate
480 of slope deformation being greater than the erosion rate of downslope currents. Concavity may
481 also be decreased on passive margins by background sedimentation during highstand and through

482 gravitational deformation. Canyon position on the slope forms a secondary control on submarine
483 canyon concavity, with river-associated canyons on forearcs being less concave than shelf or slope-
484 incised canyons. This is attributed to coarse-grained sediment supplied by rivers increasing the
485 potential for these canyons to erode across lower-gradient shelves, thus lowering the concavity of
486 these canyons compared to shelf- and slope-incised canyons that have a greater proportion of the
487 length stranded on the higher-gradient slope. This coarse-grained sediment may also be trapped
488 behind tectonically-deformed structures on the slopes of these margins, resulting in less concave
489 profiles. These factors are difficult to disentangle from climate in most cases; however, onshore
490 climate appears to have a more limited role in modifying modern canyon morphology when
491 compared to tectonics, indicating tectonics are the dominant influence on the concavity of
492 submarine canyons on a global scale.

493

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500

501 **DATA AVAILABILITY STATEMENT**

502 Datasets compiled for this study are available in the supplementary files (Tables S1 and S3) and in
503 an on- line repository (Tables S2; <https://doi.org/10.6084/m9.figshare.15172608.v1>). Source data
504 is available from Harris and Whiteway (2011) (original submarine canyon data), Nyberg et al.
505 (2018) (geomorphological, tectonic, and climatic data), Fick and Hijmans (2017) (precipitation
506 data), Zomer et al. (2008), (aridity index), Giardini et al. (1999) (onshore seismicity), and Amante
507 and Eakins (2009) (bathymetry).

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