# Structure and stress field of the lithosphere between Pamir and Tarim

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| 13 | Key Points:  |
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| 14 | • New local earthquake catalog and seismic velocity model for the Pamir-plateau      |
| 15 | region   |
| 16 | • Elevated velocities outline the northern and eastern margins of the Indian man-    |
| 17 | tle indenter beneath the Pamir plateau   |
| 18 | • Indenter overturns the eastern end of the Asian slab and terminates along a trans- |
| 19 | form margin against the Tarim block  |

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#### 20 Abstract

The Pamir plateau protrudes  $\sim 300 \, \mathrm{km}$  between the Tajik- and Tarim-basin lithosphere 21 of Central Asia. Whether its salient location and shape are caused by forceful indenta-22 tion of a promontory of Indian mantle lithosphere is debated. We present a new local-23 seismicity and focal-mechanism catalog, and a P-wave velocity model of the eastern part 24 of the collision system. The data outline a south-dipping Asian slab that overturns in 25 its easternmost segment. The largest principal stress at depth acts normal on the slab 26 and is orientated parallel to the plate convergence direction. In front (south) of the Asian 27 slab, a volume of mantle with elevated velocities and lined by weak seismicity constitutes 28 the postulated Indian mantle indenter. The indenter delaminates and overturns the Asian 29 slab, underthrusts the Tarim lithosphere along a compressive transform boundary, and 30 controls the location and shape of the Pamir plateau. 31

#### 32 Plain Language Summary

The Pamir plateau stands out distinctively between the Tajik basin to the west and 33 the Tarim basin to the east. Its location and shape is either caused by a part of the In-34 dian continent that protrudes below Pamir's crust, or thinned lithosphere of a former 35 Asian basin existed in place of the Pamir and subducted during the collision of India with 36 Asia. Our new seismological data show that the Asian slab, that is a displaced part or 37 slice of the Tarim–Tajik-basin lithosphere, is overturned beneath the eastern Pamir. A 38 zone of high seismic velocities, indicative of a relatively cold and rigid mantle lithosphere, 30 occurs in front (south) of the Asian slab. A seismically active zone with low seismic ve-40 locities is squeezed between this structure and the Tarim lithosphere. Together, these 41 observations trace the northern and eastern margin of the Indian mantle indenter that 42 predefines the shape of the Pamir plateau. 43

#### 44 1 Introduction

The salient Pamir plateau is part of the India-Asia collision system. It is offset by 45  $\sim$ 300 km to the north in the relation to the adjacent Tibet plateau and protrudes be-46 tween the Tajik basin in the west and the cratonic block of the Tarim basin in the east 47 (e.g. Lu et al., 2008). The northern Pamir and the Kunlun of northwestern Tibet com-48 prise subduction-accretion-acc complexes accreted to and built on Asian continental base-49 ment. The central and southern Pamir and the Karakorum and Hindu-Kush represent 50 Gondwana-derived microcontinents and subduction-accretion-arc complexes (Fig. 1; Burt-51 man & Molnar, 1993; Schwab et al., 2004). 52

Beneath the Pamir, a band of intermediate-depth (50–250 km) earthquakes, that 53 extends from the southwestern Pamir northeastward into the central Pamir, bends east-54 ward, and shows diminished earthquake activity beneath the eastern Pamir (Fig. 2; Pe-55 gler & Das, 1998; Sippl, Schurr, Yuan, et al., 2013). Receiver function images, seismic 56 tomography, and the analysis of guided waves show that the earthquakes in the west-57 ern and central Pamir reside in a 10–15 km thick, E- to S-dipping low velocity zone (LVZ) 58 connected to the Asian lithosphere; seismic velocities indicate that the LVZ represents 59 continental crust, constituting—together with the underlying mantle lithosphere—the 60 Asian slab (Schneider et al., 2013; Sippl, Schurr, Tympel, et al., 2013; Mechie et al., 2019). 61 Beneath the northwestern Kunlun, diffuse seismicity at 100–150 km depth was attributed 62 to Tarim lithosphere underthrusting the Pamir (Fan et al., 1994; Pegler & Das, 1998). 63

To understand the oroclinal shape of the Pamir, the intricate intermediate-depth seismicity beneath the Hindu-Kush, Pamir, and Kunlun, and the along-strike changes of the deep structure from the Hindu-Kush through the Pamir to Tibet and the Himalaya, it is a key to know whether Asian lithosphere subducts as a narrow, back-rolling slab of thinned crust (Burtman & Molnar, 1993; Sobel et al., 2013) or Asian lower crust and man-

tle lithosphere is forced to subduct/delaminate due to indentation by cratonic Indian man-69 tle lithosphere (Kufner et al., 2016; Metzger et al., 2017). If an indenter governs the shape 70 of the Pamir plateau, its properties can best be characterized at its margins, where it 71 interacts with and has a detectable contrast to the bounding units. For the western mar-72 gin, Kufner et al. (2016, 2018) argued that a sinistral-oblique transform margin separates 73 indenting cratonic Indian mantle lithosphere beneath the Pamir from subducting Indian 74 continental-margin lithosphere below the Hindu-Kush. The subduction model postulates 75 rollback of a narrow Asian slab of thinned continental crust that involves mantle cor-76 ner flow and a subduction-transform edge propagator fault, separating the subducting 77 Asian slab and its hanging wall from the Tarim block to the east. Geophysical data in-78 dicate that the hinterland crust is not thinned (>50 km; Schneider et al., 2019), ques-79 tioning the premise of the rollback model, because thick buoyant continental crust typ-80 ically does not subduct beneath a continent as a whole (e.g Z.-H. Li et al., 2016; Kelly 81 & Beaumont, 2021). The indentation model involves forced Asian slab subduction and 82 delamination due to flat-slab underthrusting of a mechanically-strong Indian continen-83 tal lithospheric mantle indenter, a process recently modeled for the Pamir (Kelly & Beau-84 mont, 2021). The indenter is imaged by refraction seismology and local body wave to-85 mography as a high velocity zone (HVZ) south of the Asian slab (Mechie et al., 2012; 86 Sippl, Schurr, Tympel, et al., 2013). Teleseismic body and surface wave tomography shows 87 that it connects with the exposed Indian craton (e.g. C. Li et al., 2008; Agius & Lebe-88 dev, 2013; van Hinsbergen et al., 2019; Liang et al., 2020); its northern extent remained 89 unresolved due to the smearing of the indenter HVZ with the HVZ that represents cra-90 tonic Asia. 91

Herein, intermediate-depth earthquakes, focal-mechanism based stress data, and a P-wave velocity model derived from new and published local seismological data in companionship with new receiver functions (Xu et al., 2021) illuminate the lithospheric configuration of the central and eastern Pamir and the boundary zone with the Tarim craton. Our data characterize the northern tip of an indenter-interpreted as a promontory of Indian mantle lithosphere-and its eastern edge, where it underthrusts on the lithosphere of the Tarim block.

#### <sup>99</sup> 2 Data and Methods

We used seismograms recorded with two new local seismic networks that were in 100 operation between August 2015 and July 2017 in the eastern Pamir, northwestern Kun-101 lun, and northwestern Tarim basin (Text S1; Yuan, Schurr, Bloch, et al., 2018; Yuan, 102 Schurr, Kufner, & Bloch, 2018) and additional regional stations (PMP International (Tajik-103 istan), 2005; SEISDMC, 2021). We detected seismic events using a waveform-envelope-104 coherence-based approach (Comino et al., 2017) and picked P- and S-wave arrival times 105 using calibrated automatic picking algorithms (Text S2; Aldersons, 2004; Diehl et al., 106 2009). 107

Using additional data of an existing earthquake catalog from the western and central Pamir (Sippl, Schurr, Tympel, et al., 2013), we inverted for the 3-D subsurface Pwave velocity structure (Thurber, 1983). We masked out poorly resolved volumes of the tomogram based on a checkerboard resolution test and performed synthetic recovery tests for the anomalies that are most important to our interpretation (Text S3; Fig. S1–S10).

We jointly located the newly and previously (Sippl, Schurr, Tympel, et al., 2013) detected seismicity at intermediate depth in the 3-D velocity model, assessed location uncertainties (Lomax et al., 2000) and performed a relative event relocation for events that were <10 km apart (Waldhauser & Ellsworth, 2000) (Text S4; Fig. S11–S14), yielding a unified catalog of 1,493 events at intermediate depth. We determined focal mechanisms of the strongest of the newly located events and inverted for stress directions, stress tensor shape factors and uncertainties (Text S5; Fig. S15). The seismicity catalog (Data Set S1), focal mechanism catalog (Data Set S2), and the velocity structure (Data Set S3) are published in the Supplemental Material.

#### <sup>122</sup> 3 Seismicity

Crustal seismicity of the upper 30 km is dominated by the aftershock sequences of strong earthquakes that struck the Pamir in 2015/16 and is omitted from the main figures. The middle and lower crust (30–50 km depth) is essentially aseismic (Fig. S2). Intermediatedepth earthquakes in the central and eastern Pamir could be localized with a median (5%– 95%quantile) uncertainty of 2.3 (1.1–6.4) km in longitudinal direction, 2.0 (1.0–5.0) km in latitude and 3.2 (1.8–9.4) km in depth (Fig. S14). They outline three steeply-dipping, planar to curviplanar segments separated by regions of sparse seismicity (Fig. 2; Fig. 3).

Segment 1 begins at 72.8°E, 38°N, in continuation of the NE-striking, planar, seismically active structure farther to the southwest (Fig. 2; Schneider et al., 2013; Sippl,
Schurr, Yuan, et al., 2013). It forms an S- to SE-dipping band between 73°E and 74.3°E,
and shows vigorous seismicity between 70–180 km depth in its easternmost part (Fig. 3A;
Fig. S11); farther east, seismic activity decreases.

Segment 2 in the eastern Pamir-in the direct continuation of segment 1-contains
a few earthquakes at 50-80 km depth in a S-dipping structure. Below, at 80-170 km depth,
the earthquake-defined band dips N (Fig. 2, dotted lines in Fig. 3B; Fig. S13g-i). Seismicity in segment 2 is less intense compared to segment 1 (Fig. S11).

Seismicity in segment 3 forms a continuous, NNW-striking structure at 80–120 km
 depth between 37°N and 38°N; it follows the northwestern Kunlun (Fig. 2; Fig. 3C). Seis mic activity is comparably weak (Fig. S11).

In all segments, focal mechanisms show dominantly thrust and subordinately strike-142 slip faulting. Accordingly, the regional stress tensor at intermediate depth indicates a 143 thrust regime with a near-horizontal largest principal stress,  $\sigma_1$ , trending N13°W±60° 144 (95% confidence interval) and near vertical  $\sigma_3$  (Fig. 2). Inverting for the stress of the three 145 segments separately yields similar directions, despite strongly variable uncertainties due 146 to the disparate amounts of data (Fig. S15). The azimuth of  $\sigma_1$  is about parallel to the 147 azimuth of the GNSS vectors in the southern and central Pamir (south of  $38.8^{\circ}$ N), N12°W±4° 148 (Fig. 2; Ischuk et al., 2013; Zubovich et al., 2010). 149

#### <sup>150</sup> 4 Velocity Structure

In the shallow crust, the sediment fill of the Tarim basin forms a LVZ (<5 km/s, 151 TL in Figs. 3B–D). In the middle–lower crust, the Tarim basement appears as a discon-152 tinuous HVZ (6.5–7.5 km/s, TH in Fig. 3C, Fig. 3E) close to the poor-resolution rim 153 of the tomographic volume. A LVZ is located in the mantle of northwestern Tarim (AL154 in Fig. 3G). An arcuate crustal LVZ extends below the northern Pamir, the Kongur Ex-155 tensional System, and the northwestern Kunlun (5–6 km/s, PL in Figs. 3A–C and 3E). 156 It is sandwiched between the Tarim basement HVZ, TH, and another crustal HVZ in the central Pamir (6–7 km/s, PH in Fig. 3A; Fig. 3E). Recovery tests indicate that PH158 and PL can be resolved under the given ray geometry and are not smearing artifacts form 159 the velocity anomalies below (Fig. S10a and b). 160

At mantle depths, dipping LVZs are located above the seismicity in segments 1–3 (7–8 km/s, L1, L2, L3 in Figs. 3A-C and 3F). The LVZs L2 and L3 of segments 2 and 3 appear continuous in map view (Fig. 3F), but are separated by the seismicity of segment 2 (Fig. 3B). The seismically active structures are underlain by HVZs (8.5–9.5 km/s, H1, H2, H3 in Figs. 3A-C and 3G) and have the same dip as the LVZs above. The contrast

between the LVZs and the underlying HVZs is well resolved (Fig. S10a, b, d). The lo-166 cation and dip of  $L_2$  and  $L_3$  coincide with Moho troughs identified in receiver functions 167 (Fig S16; Xu et al., 2021), substantiating our observations. The HVZs are resolved to 168 a depth of  $105-120 \,\mathrm{km}$  (Fig. S10b and d). In segment 1 and 2, the HVZs H1 and H2 are 169 continuous along strike below  $\sim 105$  km depth (Fig. 3G). In segment 2, H2 and H3 touch, 170 but are separated by seismicity in the same way as  $L_2$  and  $L_3$  (Fig. 3B; Fig. 3G). The 171 LVZs and HVZs of segment 1 (L1 and H1; Fig. 3A) and segment 3 (L3 and H3; Fig. 3C) 172 dip in the same direction as the seismicity structures. 173

#### <sup>174</sup> 5 Interpretation and Discussion

We visualize our interpretation of the lithospheric architecture of the central and 175 eastern Pamir in the block diagram of Figure 4. Sippl, Schurr, Tympel, et al. (2013) in-176 ferred eclogitization of the lower crust of segment 1 due to the sinking of the Asian slab 177 and that this lower crust hosts the band of intermediate-depth earthquakes; in our to-178 mogram, we interpret the LVZ L1 as the lower crust and the HVZ H1 as the mantle litho-179 sphere of the Asian slab (Fig. 3A). Eclogitization has been found to excite seismicity in 180 oceanic subduction regimes (Incel et al., 2017; Kita et al., 2006; Yuan et al., 2000), as 181 well as in continental lower crustal rocks (Incel et al., 2019; Jamtveit et al., 2018; John 182 et al., 2009). Upon eclogitization, the crust becomes indistinguishable from the surround-183 ing mantle in terms of seismic velocities (Rondenay et al., 2008), creating the observed 184 characteristic pattern of a LVZ shaping a local Moho trough that disappears at larger 185 depths where seismicity starts. The aseismic mid-crustal LVZ PL (Figs. 3A–C and 3E; 186 see also W. Li et al., 2018; Sippl, Schurr, Tympel, et al., 2013), may represent a heated 187 rock volume, for example developed by excess radiogenic heat production in the thick-188 ened crust or viscous dissipation due to ongoing continental collision (e.g. Bird et al., 189 1975; Burg & Gerya, 2005). We consider heating due to asthenospheric inflow, as would 190 be expected in the hanging wall of a S-dipping subduction zone, as unlikely, because the 191 tomogram does not show a LVZ south of the seismic zone; in contrast, subcrustal P-wave 192 velocities are >8km/s with large HVZs (>8.5 km/s) embedded (e.g., H3), indicating rel-193 atively cold and rigid lithospheric mantle south of the Asian slab. 194

We interpret segment 2 as the eastern continuation of segment 1 of the Asian slab, 195 because of the similar depth extent of the seismic zone and the continuity of the under-196 lying HVZ (Fig. 2; Figs. 3A, 3B, and 3G). If instead segment 2 is separated from seg-197 ment 1 and forms a continuous unit with segment 3, the Asian slab would terminate at 198  $\sim$ 74.5°E and the along-strike correlation of seismicity and HVZs (H1 and H2) between 199 segments 1 and 2 would be a coincidence. The N-dip of the seismically active segment 200 2 can be traced  $\sim 100 \,\mathrm{km}$  along strike in narrowly-adjoining profiles between 75.1 to 75.9°E 201 (Fig. S13g-j) and is robust with respect to the choice of the velocity model (Fig. S12g-202 j). When representing the Asian slab, this seismicity pattern indicates overturning be-203 low  $\sim 80 \text{ km}$  depth (Fig. 2; Fig. 3B). Overturning in turn indicates that a force acts nor-204 mal to the slab, which we expect in the presence of a pushing indenter. We attribute the seismicity gap between segments 1 and 2 to a slab tear that may explain how the slab 206 dip changes over a relatively short distance ( $\sim 40$  km). In our interpretation, the Asian 207 slab terminates in a seismicity cluster below the Kashgar-Yecheng Transfer System at 208  $76.2^{\circ}$ E (Fig. 2), where, in a delamination scenario, it would need to be torn off Tarim's 209 lithosphere to the east, where it would have originally been attached to. 210

In the northwestern Kunlun, the seismicity band of segment 3, the LVZ L3, and the HVZ H3 show the characteristic eclogitization pattern we inferred for segments 1 and 2. The structure dips ~ENE and descends from the base of the Pamir crust in front of segment 2, a geometry that is also imaged by receiver functions (Fig. S16; Xu et al., 2021). This geometry is inconsistent with a semicircular, amphitheater-like continuation of the Asian slab below Kunlun, but requires association of seismicity and the velocity anomalies with another tectonic unit (see below).

The orientation of  $\sigma_1$  at depth indicates that a N13°W compressive stress field acts 218 on the deep structure of the Pamir. The stress orientation is stable across the three seg-219 ments (Fig. S15), although uncertainty for the individual segments may become signif-220 icant, due to the varying data availability. In contrast, N–S extension should occur south 221 of the slab (in segment 3) if deformation was otherwise governed by a narrow Asian slab 222 rolling back northward. We note that compressive stresses are sub-parallel to the  $N12^{\circ}W(\pm 8^{\circ})$ -223 oriented surface velocity of the southern and central Pamir crust (e.g. Zubovich et al., 224 2010; Ischuk et al., 2013; Metzger et al., 2020). Both are deflected about  $15^{\circ}$  counter-225 clockwise from the N4°E-oriented convergence direction between India and Asia (DeMets 226 et al., 1994). Parallelism of the orientation of southern and central Pamir's surface dis-227 placement between the Sarez-Karakul fault system and the Kongur extensional system 228 with  $\sigma_1$  at depth suggests that crustal movement is prescribed by the mantle stresses, 229 with the mantle lithosphere dragging the overlying Pamir crust south of the Asian slab 230 northward, offering a straightforward explanation. For segments 1 and 2, parallelism of 231  $\sigma_1$  and surface displacement vectors arises naturally if collision occurs at an indenter tip. 232

In concert with the lack of thinned hinterland crust (Schneider et al., 2019) and 233 the imaging of a HVZ at  $\sim 200$  km depth that connects with the exposed Indian craton 234 below the Pamir-Karakorum (C. Li et al., 2008; Agius & Lebedev, 2013; van Hinsber-235 gen et al., 2019), the following of our observations support the presence of an indenter 236 below the Pamir: (1) the repeated detection of HVZ H3 south of the Asian slab (this 237 study; Mechie et al., 2012; Sippl, Schurr, Tympel, et al., 2013) that excludes astheno-238 spheric inflow above a S-dipping, back-rolling subduction zone; (2) the overturned ge-239 ometry of segment 2, indicated by a change in the dip of the seismic zone in profile view 240 and by the along-strike correlation with segment 1; (3) the NNW-SSE compressive stress 241 field across the central and eastern Pamir at mantle depth (50–100 km) that is parallel 242 to surface displacement. 243

The indenter is most likely cratonic Indian lithosphere, because the Gondwana-terrane 244 lithosphere of the central and southern Pamir and Karakorum terranes would be too weak 245 to transmit enough force to delaminate and overturn the Asian slab (Kelly & Beaumont, 246 2021). We locate the delamination front at the base of the rheologically weak mid-crustal 247 LVZ PL (red line in Fig. 4), just north of the Asian slab. The present location and form 248 of the Pamir and the Asian slab is in this interpretation governed by the shape of the 249 indenter. Additional structural complexity, such as the location of slab tears or turn-overs, 250 may be due to lateral changes in the strength of the indented Asian lithosphere or the 251 along-strike variability of the indenter tip (Z.-H. Li et al., 2016; Kelly & Beaumont, 2021). 252 For example, the mid-crustal HVZ PH, which overlies a distinctive Moho bulge in seg-253 ment 1 (Fig 3A; Schneider et al., 2019), may represent a lithosphere-scale anticline; in 254 segment 1, the top of the indenter appears to rise higher than in segment 2 and in par-255 ticular in segment 3 (Fig. 4). 256

The ENE-dipping Moho trough (Fig. S16; Xu et al., 2021) and velocity anomalies 257 (L3 and H3) can, in this scenario, be interpreted as Pamir crust and indenter mantle 258 lithosphere that underthrusts the Asian (Tarim) mantle lithosphere (Fig. 3C). The earth-259 quakes may, as in the Asian slab, occur in thickened crust undergoing eclogitization (John 260 et al., 2009; Incel et al., 2019). This crust is likely dragged to depth between the bull-261 262 dozing indenter and the margin of the Tarim block. The stress field of the earthquakes inside the underthrusting crust L3 indicates that it moves with the NNW-ward moving 263 indenter and underthrusts the Tarim hanging wall at a highly oblique angle. As the to-264 mographic and receiver function Moho both dip  $\sim$ WSW beneath the northwestern Kun-265 lun east of LVZ L3 (Fig. 3C; Xu et al., 2021), we infer that Tarim underthrusts the north-266 western Kunlun as well, building a stack of (from top to bottom) Kunlun–Tarim–Pamir 267 crust (Fig. 4C). This excess crust may be responsible for a positive anomaly in the iso-268 static gravity residual (20-mGal-contour in Fig. 2; Balmino et al., 2012) that flanks the 269

northern edge of the Tibet plateau (Fig. 2, inset), and was interpreted to represent thrusting of Tarim crust under the western and central Kunlun (Wittlinger et al., 2004).

The herein deduced configuration of the tectonic units and the transpressive stress 272 field in the intermediate-depth seismic zone of segment 3 outlines a compressive litho-273 spheric transform boundary as the plate boundary between the Indian indenter and the 274 Tarim block (Fig. 4). It changes to a forced subduction/delamination boundary due to 275 indentation under the central Pamir. The tear that separates the Asian slab from Tarim 276 propagates northward with the advancing indenter. Indentation may have caused the 277 capture and dragging along of the crust from the collision system into the transform zone 278 (Fig. 4C). The transform margin likely transitions southeastward into a subduction plate 279 boundary where the Tarim block underthrusts the western Tibet plateau (Wittlinger et 280 al., 2004). Our interpretation of the deep structure suggests a strong along-strike seg-281 mentation of the northern tip of the Indian plate; it subducts under the Hindu-Kush (Kufner 282 et al., 2021), indents in the Pamir (this study; Kufner et al., 2016) and has variable dip 283 angles and locations in the rest of Tibet (e.g. Zhao et al., 2010). 284

#### 285 6 Conclusion

We located zones of intermediate-depth seismicity in the central and eastern Pamir 286 and northwestern Kunlun, established their geometries, determined the principal stress 287 orientations, and computed a seismic velocity model of the subsurface. We traced a sub-288 ducting/delaminating Asian slab eastward as far as the western edge of the Tarim block and showed that the eastern segment of the slab is overturned and torn from the cen-290 tral one. Together with the presence of a high velocity zone in front (south) of the Asian 291 slab and the parallelism of the largest principal stress at depth with the surface displace-292 ment across the eastern and southern Pamir, we interpret this geometry as indicating 293 underthrusting of Indian mantle lithosphere beneath the Pamir plateau and delamina-294 tion of the Asian slab. A slice of lower crust is dragged along with the indenter and smeared 295 into the compressive transform boundary between the indenter and the Tarim block at 296 297 depth.

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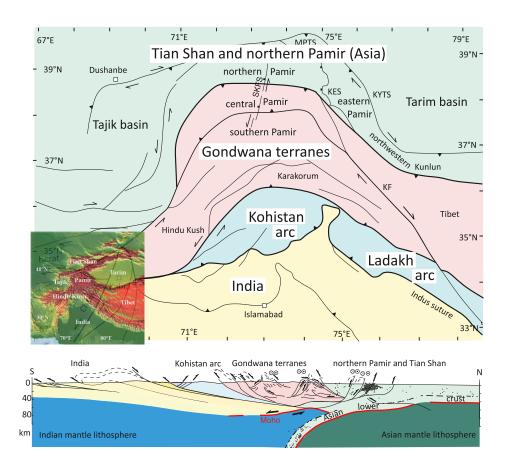


Figure 1. Tectonic units of the Pamir in map view and as a schematic cross section along ~74°E. Deep structure mostly from (Schneider et al., 2013). KES: Kongur Extensional System; KF: Karakorum Fault; KYTS: Kashgar-Yecheng Transfer System; MPTS: Main Pamir Thrust System; SKFS: Sarez-Karakul Fault System

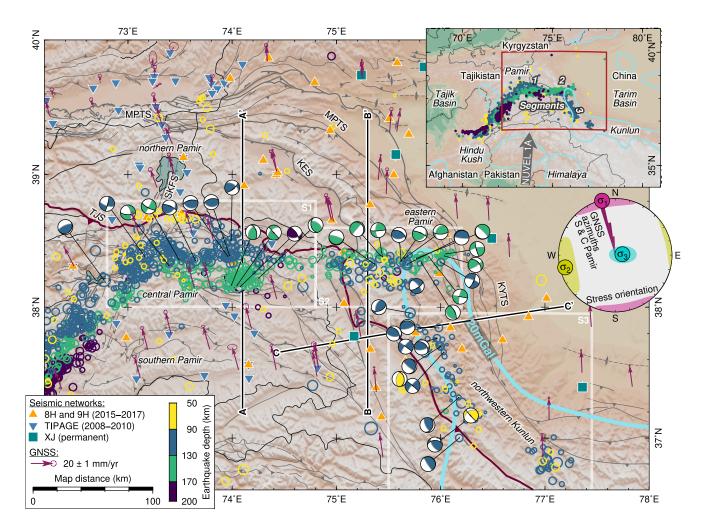


Figure 2. Seismotectonic map of the Pamir and northwestern Kunlun with seismic networks, seismicity at intermediate depth, focal mechanisms (black and gray nodal planes indicate fault and auxiliary plane preferred by stress inversion), global navigation satellite system (GNSS) velocity field (Ischuk et al., 2013; Zubovich et al., 2010), and 20mGal positive isostatic gravity anomaly (Balmino et al., 2012). Abbreviations as in Fig. 1. TJS: Tanymas-Jinsha suture; S1, S2, S3: segments 1 to 3; Map inset: Regional overview. Stereo-net inset: Lower hemisphere stereo-graphic projection of stress directions and 95% confidence ellipsoids (Fig. S15) and histogram of GNSS azimuths in the southern and central Pamir (<38.8°N, 73–77°E, 5° bins)

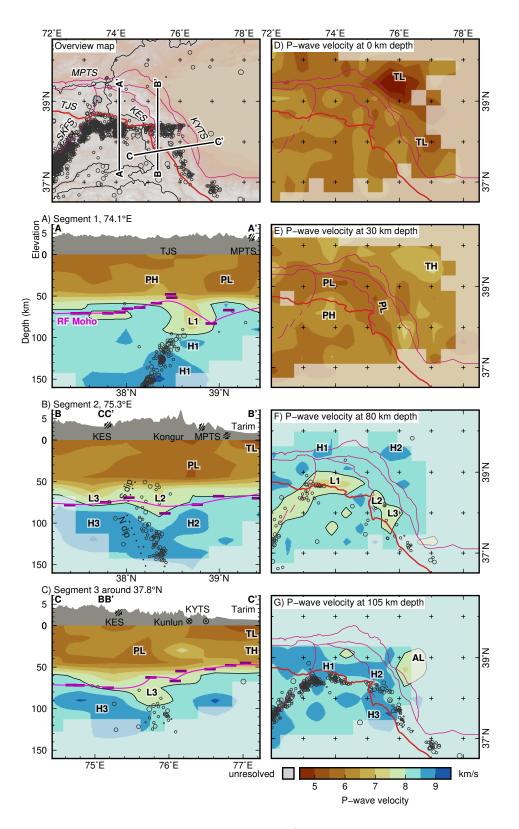


Figure 3. Sections through the tomogram. A-C) Profiles shown on overview map; swath width ±25 km; no vertical exaggeration in the depth profiles. Dark/light magenta: Receiver function Moho at individual stations and interpolated depth (Schneider et al., 2019; Xu et al., 2021). D-G) Horizontal sections. *TH*, *PH*, *H1*, *H2*, *H3*: high velocity zones. *TL*, *PL*, *L1*, *L2*, *L3*, *AL*: low velocity zones.

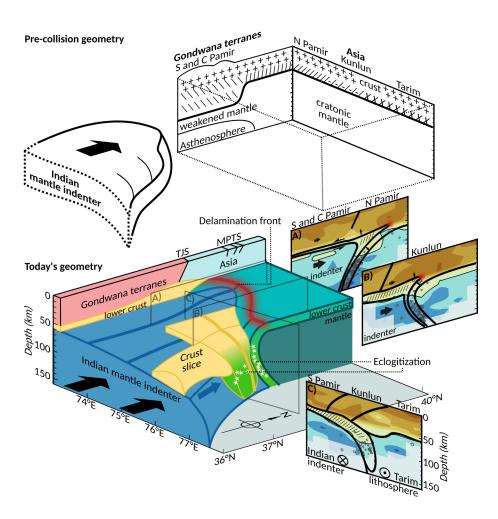


Figure 4. Structural interpretation of the P-wave velocity structure, seismicity distribution, and stresses. Top: pre-collision geometry. Bottom: interpreted block diagram of the deep lithospheric structure beneath the Pamir and northwestern Kunlun. A-C) Interpreted cross sections of Fig. 3. '///' symbols mark the lower crust involved in the collision process.

# Supporting Information for "Structure and stress ield of the lithosphere between Pamir and Tarim"

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- <sup>9</sup> Contents of this file
- 10 1. Text S1 to Text S5
- <sup>11</sup> 2. Figure S1 to Figure S16

# <sup>12</sup> Additional Supporting Information (Files uploaded separately)

13 1. Captions for Datasets S1 to S3

Corresponding author: Wasja Bloch (wbloch@eoas.ubc.ca). Now at: Earth, Ocean and Atmospheric Sciences, University of British Columbia, Vancouver, Canada. Introduction This supporting information gives details of the processing steps briefly described in the main article. Additional figures, allowing to understand seismic network sensitivity, as well as performance and stability of the 3-dimensional velocity model, are presented. The seismic event catalog, earthquake focal mechanism catalog, and seismic wave speed model, are published as separate data files and briefly described here.

Text S1. Data We operated the East Pamir seismic network (FDSN code 8H; Yuan, Schurr, Bloch, et al., 2018) with 30 sites in the eastern Pamir, northwestern Kunlun, and northwestern Tarim basin between August 2015 and July 2017, and the Sarez-Pamir aftershock seismic network (FDSN code 9H Yuan, Schurr, Kufner, & Bloch, 2018) with 10 sites in the central Pamir between February 2016 and July 2017. We used additional seismic waveform data from the Xinjiang regional seismic network (XJ; SEISDMC, 2021) and the Tajik National Seismic Network (FDSN network code TJ; SEISDMC, 2021).

# <sup>26</sup> Text S2. Seismic Event Detection, Phase Picking, and Initial Localization

We detected 39.309 seismic events, 10.900 of which at intermediate depth (>50 km), 27 using the *Lassie* earthquake detector (Comino et al., 2017). We computed the moveout 28 of smoothed, pulse-like image functions of the seismograms and stacked them for trial 29 subsurface points on a rectangular grid of  $100 \times 100 \times 10$  with a spacing of  $10 \times 10 \times 30$  km 30 using the 1-D velocity model of (Sippl, Schurr, Yuan, et al., 2013). Peaks from coherent 31 stacking of the image functions indicated the detection of a seismic event and an initial 32 location and predicted P- and S-wave arrival times were used as a starting point for phase 33 picking. 34

We automatically picked P-wave arrival times with *MannekenPix* (Aldersons, 2004), where initial picks from *obspy*'s STA/LTA trigger and predicted picks from the detection routine were used as starting points; S-wave arrival times were picked with *spicker* (Diehl et al., 2009). Filter window lengths and positions for both pickers were calibrated from a set of 59 manually picked phase arrivals. After each arrival time picking run, events were located with *hypo71* (Lee & Lahr, 1972), and picks with the highest residuals were removed subsequently until the location root-mean-square misfit fell below a threshold of 2 s for P-waves only and 3 s for P- and S-waves combined. We then used a subset of 1,855 seismic events with the best constrained arrival-time picks to invert for a depth-dependent 1-D velocity model and static station corrections using *velest* (Kissling et al., 1994). We again relocated all events in this model and removed those arrival times that yielded a residual 5 times larger than the standard deviation of all residuals of a certain seismic phase on a certain station. In total, we located 29,795 seismic events in the crust and mantle this way.

#### <sup>49</sup> Text S3. Inversion for the Subsurface Velocity Field

To derive a dataset suitable for tomographic inversion, we augmented the catalog with events from Sippl, Schurr, Tympel, et al. (2013) and used a spatially declustered set of 2,264 events from the combined catalog with a total of 38,423 well-constrained P- and 15,910 S-arrival times. Inversion for the 3-D subsurface P-wave velocity structure was conducted using *simulps* (Thurber, 1983).

The seismic velocity field was parameterized as gradients between a rectangular grid of 55 nodes. After testing of various node configurations, we used a node spacing of 40 km in 56 horizontal and 15 km in vertical direction (Figs. S1a and S2). The 1-D starting model was 57 found by first inverting for the 1-D velocity gradients between vertical nodes and station 58 corrections. Then, we constrained the velocities to increase with depth and that they 59 do not exceed the velocity at 75-km depth (Fig. S1a). The model space was explored 60 with various damping parameters applied in the inversions (Fig. S1b). The final model 61 was found by first inverting solely for the velocity structure and earthquake parameters, 62

and then allowing for minor adjustments by letting non-modeled residuals be taken up by station corrections. The nodes of the input velocity model were modified with alternating anomalies of  $\pm 5\%$  in a checkerboard resolution test that was used to assess the sensitivity of the model and as guidance to mask poorly resolved regions (Fig. S2 to S5).

We assessed the presence of smearing artifacts by computing synthetic travel times in our derived velocity model, inverting them again for the velocity structure from the original starting model and plotting the ray paths (Fig. S6 to S9).

We performed recovery tests for the anomalies that are most important to our inter-70 pretation by increasing (decreasing) the velocity of the 1D starting model by  $0.5 \,\mathrm{km/s}$ 71 at the location of the interpreted high (low) velocity zones, computing synthetic travel 72 times for this data set and adding random Gaussian noise with a standard deviation of 73 0.05, 0.1, 0.2, or 0.4s for pick classes 0, 1, 2, and 3. We then tried to recover the found 74 velocity structure with the inversion strategy described above. The results are plotted in 75 Fig. S10); they indicate the velocity anomalies L3, H3, L1, PH, and PL are adequately 76 imaged by the inversion routine. 77

# <sup>78</sup> Text S4. Location uncertainty and relative event relocation

To focus on sub-crustal processes, we disregarded crustal earthquakes (<50-km depth), which were dominated by a strong earthquake sequence and are confined to the upper ~40-km depth. We added intermediate-depth earthquakes to our seismicity catalog with at least 4 S-picks, which were previously excluded in the tomographic inversion. We then relocated all events with in the derived 3-D velocity model. To get a conservative estimate of the location uncertainty, we regridded the 3-D gradient model on a 5 km grid, localized

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the events with *NonLinLoc* (Lomax et al., 2000) in the 3-D model, and report 2 times the square root of the diagonal elements of the covariance matrix as the 95% confidence intervals in longitude, latitude, and depth direction (Fig. S13). To assess the influence of the 3-D model on locations and location uncertainties, we also located the events in the 1D model of Sippl, Schurr, Yuan, et al. (2013) (Fig. S12).

We then relocated all events using the *hypoDD* algorithm (Waldhauser & Ellsworth, 2000), using differential P- and S-wave catalog arrival times.

# <sup>92</sup> Text S5. Focal Mechanisms and Stress Directions

For 29 events, we observed P-wave first motion polarities and Cartesian P-to-S amplitude ratios on the 1 Hz highpass filtered seismograms and projected them to the focal sphere using the velocity model of Sippl, Schurr, Yuan, et al. (2013) using the workflow of Bloch, Schurr, Kummerow, Salazar, and Shapiro (2018). We then inverted for the earthquake focal mechanism using the *HASH* algorithm (Hardebeck & Shearer, 2003; Bloch et al., 2018), and added 9 moment tensors of Kufner et al. (2016).

We used all focal mechanisms to invert for the deviatoric unit stress tensor by minimiz-99 ing the misorientation between the earthquake slip vector and the predicted tangential 100 traction on the fault plane. To resolve the nodal plane ambiguity, we first searched all 101 stress tensors in angle intervals of  $2^{\circ}$  and shape factor intervals of 0.1 for the one that 102 results in the lowest combined misfit, and selected the nodal planes with the lower mis-103 orientation as fault planes (Gephart & Forsyth, 1984). We then inverted for the principal 104 stress directions using the *slick* algorithm and evaluated the uncertainty in the orientation 105 using a bootstrapping approach (Fig. S15 Michael, 1987, 1984). We tested the stability 106

- <sup>107</sup> of the found solutions by performing the inversion also separately for the three seismicity
- <sup>108</sup> segments discussed in the main article (Fig. S15)

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# <sup>109</sup> Data Set S1.

Bloch\_et-al\_2021\_GRL\_seismic\_event\_catalog.txt

<sup>111</sup> The seismic event catalog presented in the main article.

Seismic events from years 2008-2010 are relocated from Sippl, Schurr, Tympel, et al.

113 (2013)

114 Coulumns are:

- Year, Month, Day, Hour, Minute, Second: Time of the seismic event
- Timestamp: Time of the event in seconds since 1. January 1970
- Longitude, Latitude: Coordinated of the event location in degree
- Depth: Depth of the event in kilometer

• sigEW, sigNS, sigZ: 95% confidence limits of the event location in latitudinal, longitudinal, and depth direction.

- Magnitude: Local magnitude of the seismic event
- P-picks, S-picks: Number of P- and S-wave arrival times used for event location
- method: Localization algorithm that yielded the reported location

<sup>124</sup> Data Set S2. Bloch\_et\_al\_2021\_GRL\_focal\_mechanism\_catalog.txt Coulumns are (com-

<sup>125</sup> patible with *Generic Mapping Tools psmeca -Sa*):

- <sup>126</sup> 1. Longitude of the event location in degree
- <sup>127</sup> 2. Latitude of the event location in degree
- <sup>128</sup> 3. Depth of the event in kilometer
- 4. Strike of the preferred fault plane in degree clockwise from north
- <sup>130</sup> 5. Dip of the preferred fault plane in degree down from horizontal

- 6. Rake of the slip vector on the fault plane in degree clockwise from strike direction
- <sup>132</sup> 7. Local magnitude of the event
- <sup>133</sup> 8. Unused placeholder
- <sup>134</sup> 9. Unused placeholder
- 135 10. Time of the event (UTC)
- Last 9 rows are from Kufner et al. (2016).
- <sup>137</sup> Data Set S3.
- <sup>138</sup> velocity\_model.zip

Folder containing the nodes of the tomographic velocity model and scripts to extract and plot the published and custom profiles.

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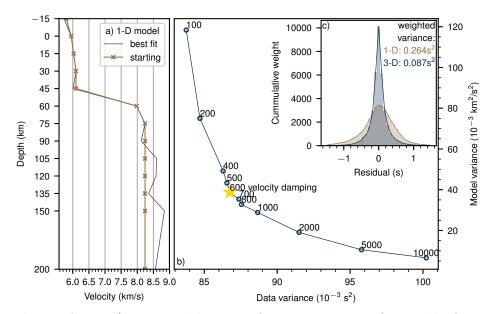


Figure S1. a) 1-D models. Best fit: Minimum misfit model after 1-D inversion with *simulps* with station corrections. Starting: Starting model for the 3-D inversion. We applied a positivity and a maximum velocity constraint to avoid pre-defining essential structures in the 3-D inversion b) L-curve to find optimal velocity damping parameter. Star: chosen value c) Reduction of arrival time residuals and variance from 1-D starting model to the presented 3-D model.

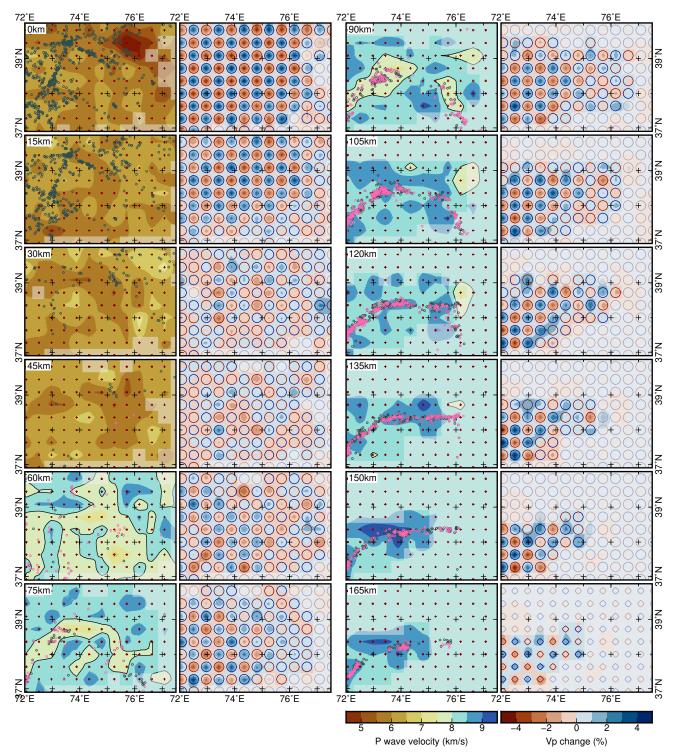


Figure S2. Horizontal slices through the tomogram at the node planes. Columns 1 and 3: Seismic velocities (colored background), grid nodes (red crosses), earthquakes used for tomographic inversion (gray circles), relocated earthquakes at intermediate depth (pink circles). Columns 2 and 4: Results of checkerboard recovery test: recovered model (colored background), input model ( $\pm 1\%$  contours, maximull  $3m_{2}^{20}245\%3:18pm$ 

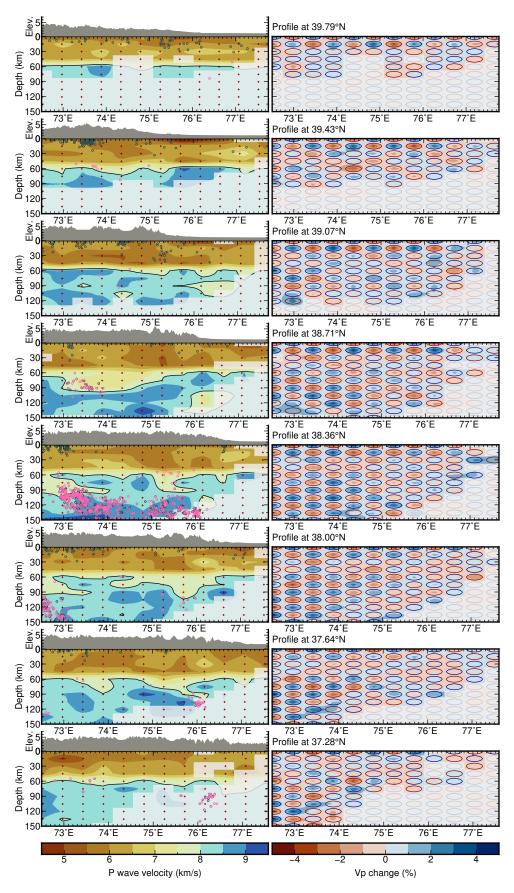


Figure S3. Same as Fig. S3, but with west-east-profiles.

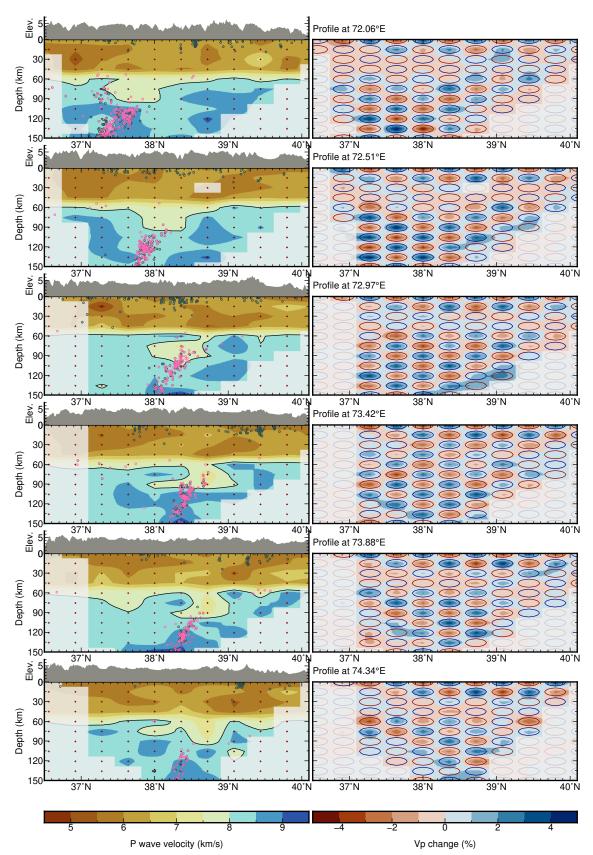


Figure S4. Same as Fig. S3, but with south-north-profiles. July 20, 2021, 3:18pm

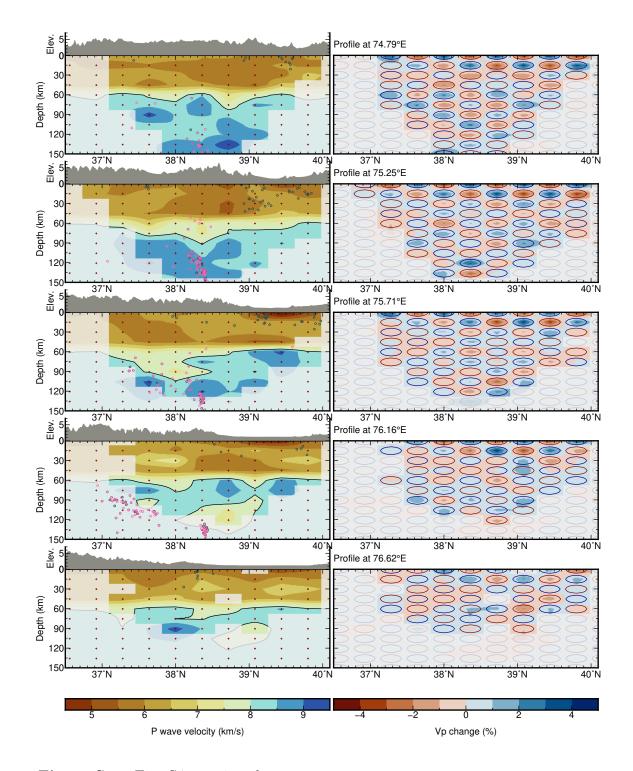
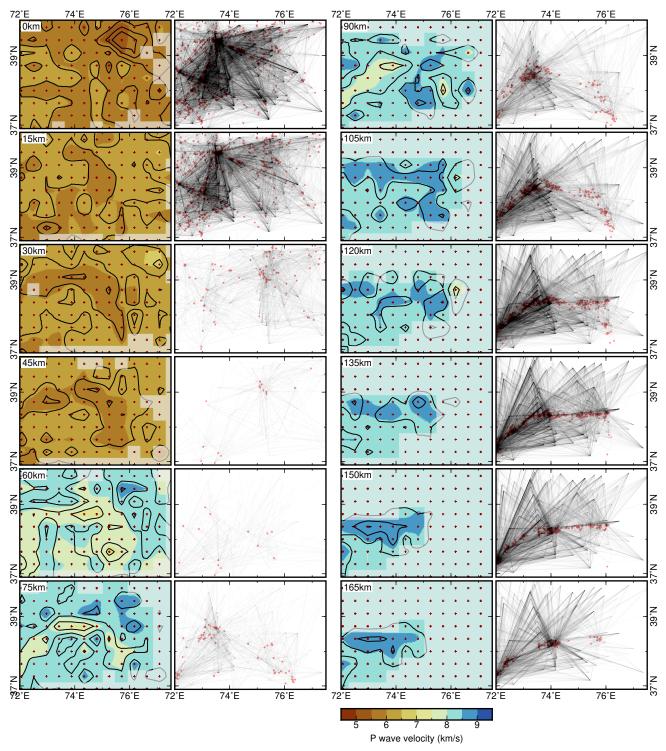


Figure S5. Fig. S4, continued



**Figure S6.** Horizontal slices at the node planes through recovery test of the tomographic inversion. Columns 1 and 3: Input model as in Fig. S2 (contours), and recovered model (colored background). Columns 2 and 4: Ray paths departing in the respective horizontal slice.

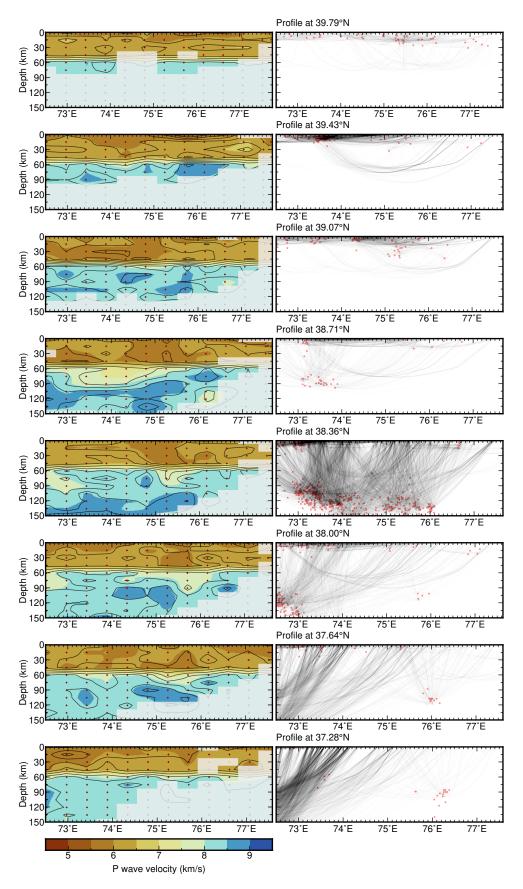


Figure S7. Same as Fig. S6, but will West-east-profiles 18pm

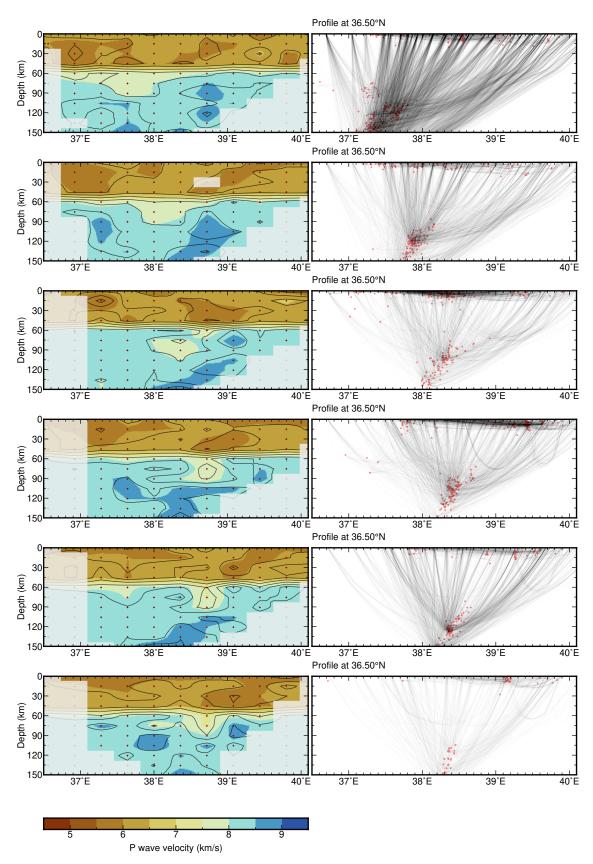


Figure S8. Same as Fig. S7, but with south-north-profiles. July 20, 2021, 3:18pm

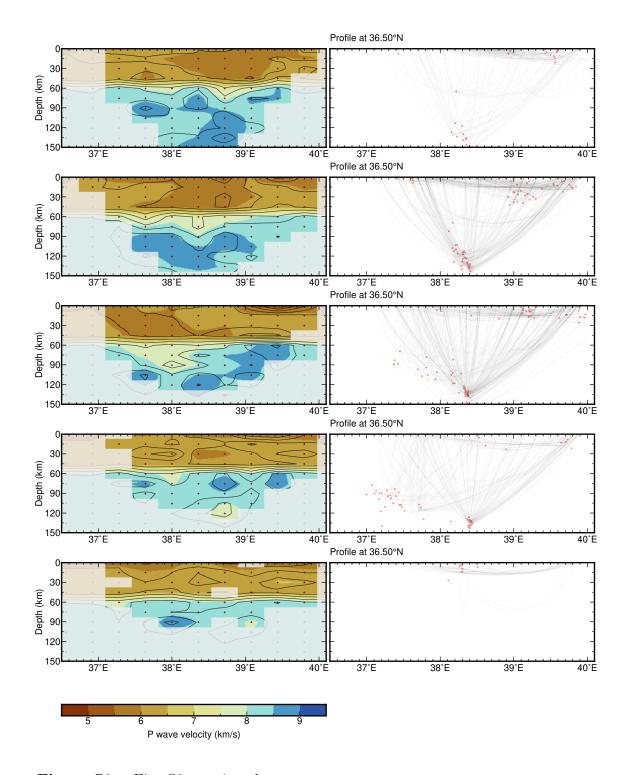


Figure S9. Fig. S8, continued

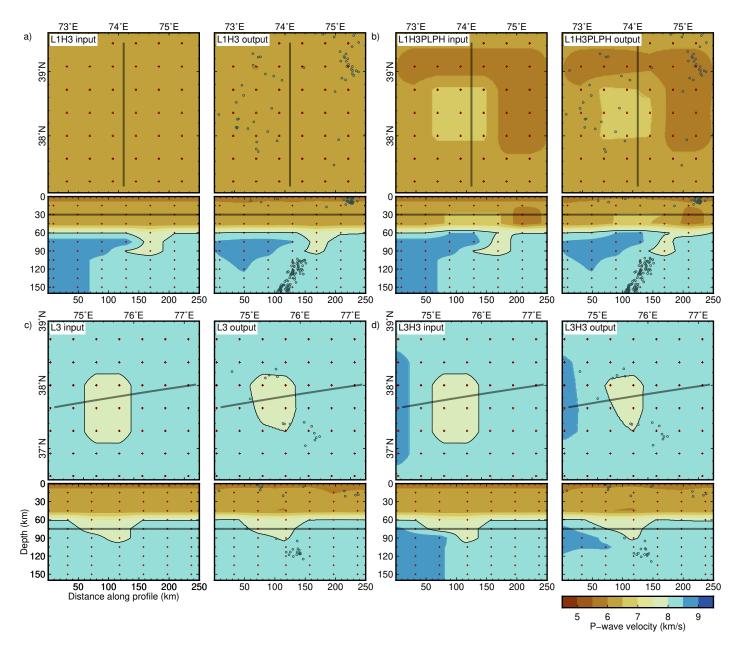


Figure S10. Summary of recovery tests for selected anomalies as close-ups of horizontal slices (top sub-panels) and profiles (bottom sub-panels) through the anomalies, as in Fig. 3 of the main text. Slice depth and profile location indicated as gray lines. Left subfigures: input anomalies. Right subfigures: recovered anomalies. (a) Anomalies L1 and H3. (b) Anomalies L1, H3, PL, and PH. (c) Anomaly L3 only. (d) Anomalies L3 and H3.

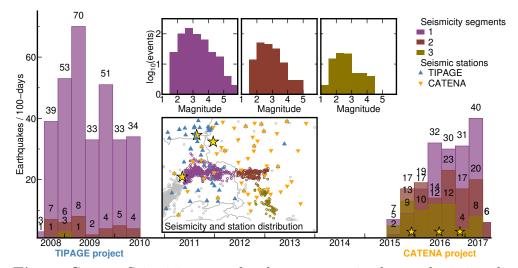
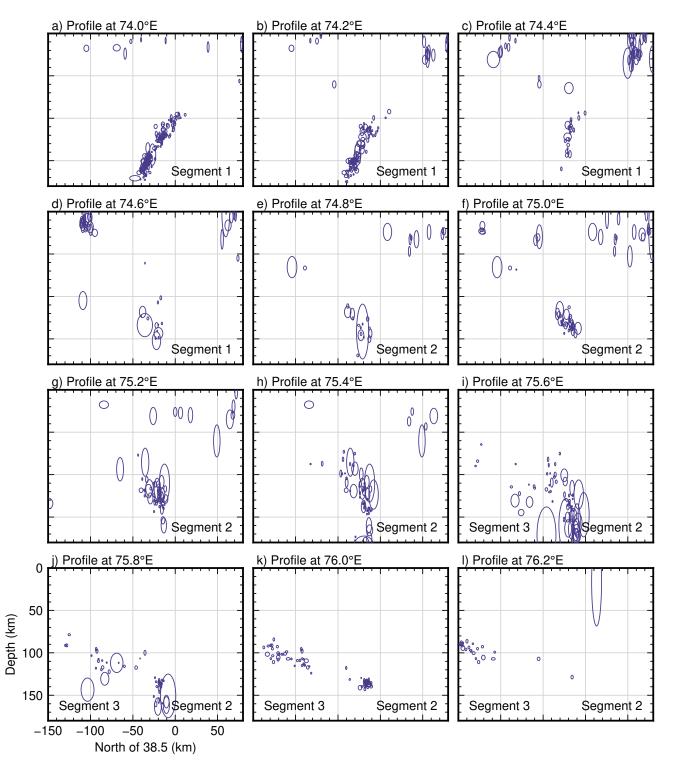
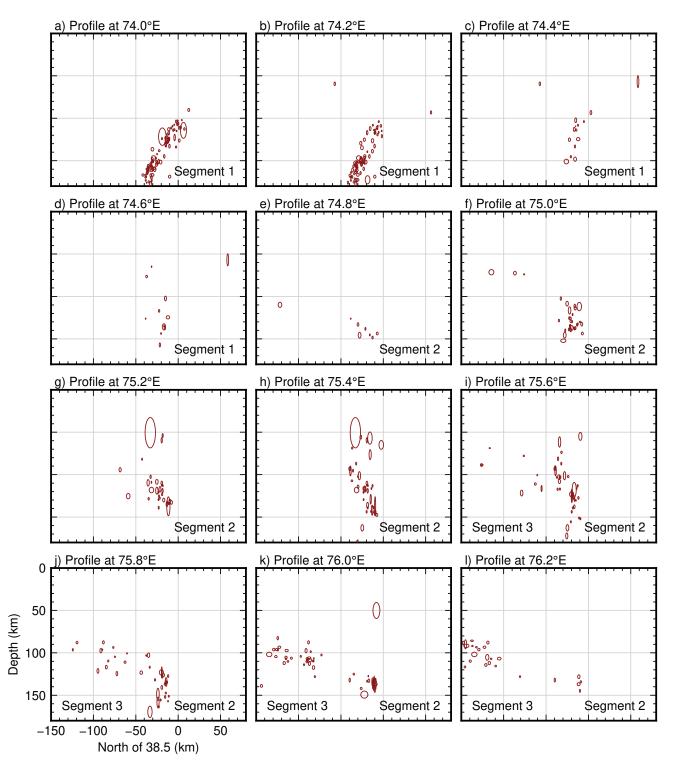


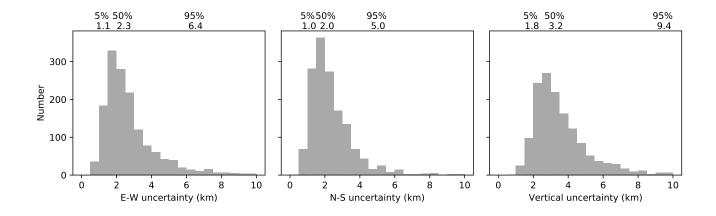
Figure S11. Seismicity rate, local event magnitudes, and station distribution for the three seismicity segments discussed in the main article. Stations of the TIPAGE project (2008-2010, blue) were located in the Tajik Pamir and covered the central segment. Stations of the CATENA project (2015-2017, orange), including networks 8H, 9H and XJ, were located in the Chinese Pamir and Tarim basin and covered segment 2 and 3. Additional stations were placed in the Tajik Pamir in February 2016. Aftershock sequences of strong earthquakes (stars) in December 2015, June 2016, and November 2016 represent seismic noise that lowered the detection capability of intermediate depth seismicity. Magnitudes of events that occur outside one of the networks (especially in segment 3) tend to be overestimated. Event rate in segment 1 is significantly higher compared to segment 2 and segment 3, despite the different network configuration and noise conditions.



**Figure S12.** North-south seismicity profiles across segments 1 and 2, oblique to segment 3, ellipses indicating 95% location confidence. Profile width 0.2°. Seismicity located in 1D velocity model of Sippl, Schurr, Yuan, et al. (2013).



**Figure S13.** As Fig. S13, but only intermediate-depth seismicity (>50 km) relocated in the present 3-D velocity, and adjusted relative locations.



**Figure S14.** Location uncertainties of earthquakes at intermediate depth in east–west, north– south and vertical direction. Top row indicates 5%, 50% (median), and 95% quantiles.

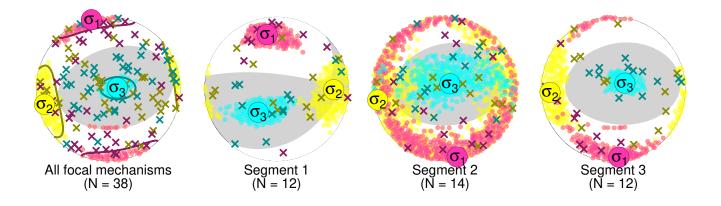


Figure S15. Results of stress tensor inversion for (left) all focal mechanisms, as in Fig. 2 of the main text, and (second left to right) clustered subsets of the respective segments. All lower hemisphere stereographic projections. Crosses mark input P- (magenta), N- (yellow), and T-axes (cyan).  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  are largest, intermediate and smallest principal stress. Transparent dots mark the 95% confidence intervals determined by bootstrapping. Gray shaded background represents positive regions of the stress tenor, white negative. N: number of observations.

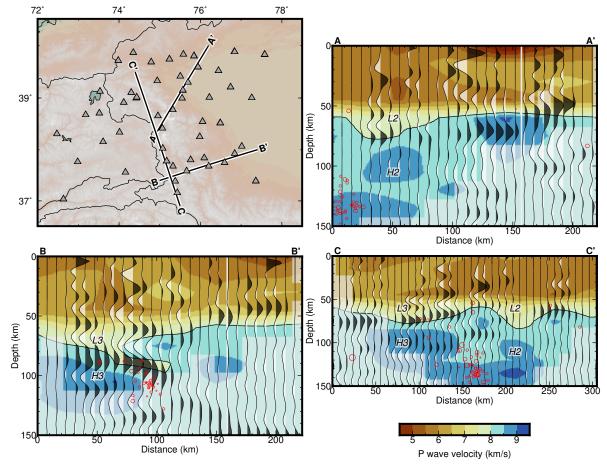


Figure S16. Profiles through the tomogram with common conversion point receiver function stacks along profiles of Xu et al. (2021) superimposed. Profile locations are guided by the station distribution and intersect the interpreted subsurface structures at oblique angles. The tomographic Moho (8 km/s contour) coincides in many places with the positive Moho conversion signal. The velocity contrasts L2/H2 and L3/H3 in the tomogram, that we interpret in the main article, show also a clear conversion signal.