1	The 2019-2020 Southwest Puerte	o Rico earthquake
2	sequence: seismicity and	d faulting
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# 20 Abstract

21

22 The 2019-2020 Southwest Puerto Rico earthquake sequence ruptured multiple faults with 23 several moderate magnitude earthquakes. Here we investigate the seismotectonics of this fault 24 system using high precision hypocenter relocation and inversion of the near-field strong 25 motions of five largest events in the sequence (5.6≤Mw≤6.4) for kinematic rupture models. 26 The Mw6.4 mainshock occurred on an NE striking, SE dipping normal fault. The rupture 27 nucleated offshore ~15 km SE of Indios at the depth of 8.6 km and extended SW-NE and up-28 dip with an average speed of 1.55 km/s, reaching the seafloor and shoreline after about 8 seconds. The 6<sup>th</sup> of January, 2020 (10:32:23) Mw5.7 and the 7<sup>th</sup> of January, 2020 (11:18:46) 29 Mw5.8 events occurred on two E-SE striking, near-vertical, left-lateral strike-slip faults. 30 However, the 7th January, 2020 (08:34:05) Mw5.6 normal faulting aftershock which occurred 31 32 only 10 minutes after the Mw6.4 normal faulting mainshock, ruptured on a fault with almost 33 the same strike as the mainshock but situated  $\sim 8$  km further E, forming a set of parallel faults in the fault system. On 11th January 2020, a Mw6.0 earthquake occurred on a N-NE striking, 34 W dipping fault, orthogonal to the faults hosting the strike-slip earthquakes. We apply template 35 36 matching for the detection of missed, small magnitude earthquakes to study the spatial 37 evolution of the main part of the sequence. Using the template matching results along with 38 GPS analysis, we image the temporal evolution of a foreshock sequence (Caja swarm). We 39 propose that the swarm and the main sequence were a response to a tectonic transient that most 40 affected the whole Puerto Rico island.

## 41 **1. Introduction**

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The 2019-2020 Southwest Puerto Rico earthquake sequence included multiple moderate earthquakes (Mw>5.0) that ruptured different faults with different faulting mechanisms (University of Puerto Rico, 1986). The swarm-like earthquake sequence started in July 2019 with a smaller offshore Caja swarm, 14 km south of Ponce, Puerto Rico. The activity continued with the main sequence starting on December 28<sup>th</sup>, 2019 comprising more than 10 Mw>=5.0 earthquakes and the largest, Mw6.4, normal faulting earthquake on January 7<sup>th</sup> 2020.

49 The island of Puerto Rico (Fig. 1) is located in a zone of convergence between the North 50 American (NAP) and Caribbean (CP) tectonic plates (Huérfano et al., 1994). North of the 51 island, the NAP is subducting obliquely beneath the CP at the rate of  $(20 \pm 0.4)$  mm/yr (DeMets 52 et al., 2010) along the east-west striking Puerto Rico trench. Oblique subduction results in 53 strain partitioning and favours microplate tectonics along the eastern Great Antilles island arc 54 (Jansma et al., 2005). The Puerto Rico microplate (PRm) is part of the CP and is moving ENE 55 relative to NAP (Jansma et al., 2000). On the southern margin of the PRm, the CP is subducting 56 under the Greater Antilles crust (van Benthem et al., 2013). Slip rates derived from GPS 57 velocities estimate convergence between 3mm/yr south of Hispaniola island and 0.2mm/yr 58 south of Puerto Rico (Benford et al., 2012, Granja-Bruna et al., 2010).

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Rare moderate size earthquakes occurred in and near Puerto Rico in the 20<sup>th</sup> century and earlier (Reid et al., 1919, Doser et al., 2005). The majority of instrumental earthquakes (Mw>=3.0) is located north of the island, along the NAP subduction zone. Seismically most active are the SW and SE regions of Puerto Rico (Huérfano et al., 2005). The shallow seismicity of southern Puerto Rico is related to the internal deformation within the Puerto Rico fault zone in the NW- 65 SE direction, while the intermediate depth seismicity occurs above a north dipping zone beneath the island (Huérfano et al., 2005). The tectonics of the southern part of the island is 66 67 partially controlled by E-W oriented strike-slip faults; South Lajas, Punta Montalva and Salinas 68 faults, which form a more than 80km long fault system. Recent paleoseismologic studies 69 showed that some of the strike-slip faults in the SW of Puerto Rico ruptured in the last 10,000 70 years and pose a considerable seismic hazard. The South Lajas fault experienced two surface 71 rupturing events, around 5,000 years ago (Prentice and Mann, 2005), while the Salinas fault 72 ruptured twice in the past 10,400 years (Piety et al., 2018).

73 We study the geometry (Fig. 1) of the faults activated in the SW of Puerto Rico during the 74 2019-2020 Southwest Puerto Rico sequence by relocating the sequence and performing the 75 kinematic source-rupture modelling of the five largest events (5.6<Mw<6.4). The relocated 76 events and kinematic rupture inversion reveal a sequence that activated a parallel set of WNW-77 ESE striking strike-slip faults, connected by an orthogonal, NNE-SSW strike-slip fault and 78 oblique, NE-SW striking normal faults. We adopt the matched filter event detection approach 79 to study the temporal and spatial evolution along the faults and in combination with GPS data 80 analysis. We suggest that the sequence was preceded by a transient deformation that most likely 81 loaded the system.

#### 82 **2. Methods**

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We obtained an earthquake catalog for the Puerto Rico area (N17.56° N18.73°, E-65.50° to E-67.80°) from 2019-01-01 to 2020-05-02, M $\geq$ 2.0 through United States Geological Survey (USGS) (Benz, 2017) including corresponding PRSN and USGS P and S arrival times, time uncertainties and first-motions. This catalog contains 7,054 events. We obtained from IRIS-DMC metadata for permanent and temporary stations used for relocation (within 1° around the epicenter of M<sub>w</sub>6.5 mainshock, see Fig. S3) and waveforms for coherence analysis, matchedfilter and kinematic source analysis.

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#### 92 2.1. Precise, absolute earthquake locations

93 We obtained absolute relocations for the study area using source-specific, station travel-time corrections (SSST; Richards-Dinger & Shearer, 2000) and high-precision relocation based on 94 95 waveform coherency between events. This approach produces enhanced relative location and 96 clustering of events, and allows analysis of model- and data-dependent error in the hypocenters 97 (Lomax, 2020). We performed absolute earthquake location with the NonLinLoc algorithm 98 (Lomax et al., 2000, 2014; NLL hereafter), which uses efficient global sampling algorithms to 99 obtain an estimate of the posterior probability density function (PDF) in 3D space for absolute 100 hypocenter location. The location PDF provides a complete description of likely hypocentral 101 locations, includes comprehensive uncertainty information, and allows robust application of 102 waveform coherency relocation. Within NLL, we used the equal differential-time (EDT) 103 likelihood function (Font et al., 2004; A. Lomax, 2005, 2008; Lomax et al., 2014; Zhou, 1994), 104 which is very robust in the presence of outlier data caused by large error in the arrival-times or 105 predicted travel-times. We performed all locations using a search range in depth from 1 to 60

106 km depth. The shallow limit is a prior constraint required to suppress unreasonably shallow,
107 maximum likelihood depths for numerous events which exhibit double PDF solutions in depth.
108 We used a smoothed, minimum 1D P wave velocity model (Fig. S1) or Puerto Rico and the
109 U.S. Virgin Islands (Huérfano et al., 2010) to seed NLL SSST relocation. We used a finite110 differences, eikonal-equation algorithm (Podvin & Lecomte, 1991) to calculate travel-times
111 for P phases for each station, and obtained S phase travel times from these P times through
112 Vp/Vs=1.71.

113 We relocated events in the Puerto Rico catalog in two stages. First, starting with the initial NLL 114 locations without station corrections, we iteratively generated SSST corrections which vary 115 smoothly within a 3D volume to provide a source-position dependent correction for each 116 station and phase type. We used smoothing distances of 32, 16, 8 and 4 km. Only P and S 117 arrivals with residuals of  $\leq 2.0$  sec for relocated events meeting minimum quality criteria (68%) 118 error-ellipsoid principle-axis half-width  $\leq 10$  km, root mean square of residuals (rms)  $\leq 0.2$ 119 sec, number of phase readings  $\geq 12$ , azimuth gap  $\leq 220^{\circ}$ ) are used for update at each iteration. 120 See supplementary material Methods S1 for more details. We relocated the full catalog using 121 the 5 km smoothing-length, SSST corrections (Fig. S2a). In the second relocation stage we 122 further reduced absolute location error by combining location information across events based 123 on waveform coherency between the events. This absolute coherency relocation is based on 124 the concept that if the waveforms at a station for two or more events are very similar (have 125 high coherency) up to a given frequency, then the distance separating these "multiplet" events 126 is small relative to the seismic wavelength at that frequency and the events represent stress 127 release on the same, small fault patch (Geller & Mueller, 1980; Poupinet et al., 1982, 1984).

128 The NLL coherence relocation for a target event is a stack over 3D space of the event's SSST 129 location PDF and the SSST PDF's for other events, each weighted by the waveform coherency 130 between the target event and the other event. Unlike differential-time based relative location, absolute coherency relocation requires waveforms from as few as one station, allowing precise
relocation for sparse networks, and for foreshocks and early aftershocks of a mainshock
sequence or swarm before temporary stations are installed. See the Supplementary material
Methods S2 for more details.

The final coherence locations are shown in Fig. S2b. The median formal errors (e.g. with velocity model fixed and assuming only Gaussian, aleatoric error) for the NLL, NLL-SSST and final, NLL-SSST-coherence locations in both models are listed in Table S1.

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#### 139 2.2. Matched-filter

We used a matched-filter detection algorithm (Gibbons & Ringdal, 2006; Shelly et al., 2007,
Vuan, et al., 2018) to improve the magnitude of completeness of the initial catalog and study
possible spatio-temporal patterns of the sequence (Vičič et al., 2019).

We used waveform data from the 16 closest broadband and short-period stations available for the PR seismic network from the beginning of 2019 until the end of January 2020. The waveform data were filtered between 2 and 8 Hz, where the peak energy of most events is expected and their signal to noise ratio is highest, and downsampled to 20 Hz to speed up processing.

We prepared a template catalogue of all initial catalog events with semi-major axis <= 5km for the detection of additional, similar earthquakes. The templates were cut from 2.5s before to 2.5s after manually picked S phase arrival times on all available channels and stations. We visually inspected all the templates and removed those of low quality or those that had problems with missing or bad data. 153 To detect new events, the templates were correlated with the continuous waveform data. The 154 sliding-window cross-correlation function (CCF) for each template was calculated with 1 155 sample step. CCFs were calculated for each station and channel for individual templates and 156 then stacked to form a mean daily CCF trace. For a positive detection we set a threshold of 12\*median absolute deviation of the daily trace. After a successful scan of the data we only 157 selected events with inter-event times larger than 3s in order to not include the same event 158 159 multiple times due to detections from multiple templates. The candidate for detection inside 160 this time window was that with the highest threshold value. The magnitude of the detected 161 event was calculated as the median value of the maximum amplitude ratios for all channels 162 between the template and detected event with a 10-fold increase in amplitude corresponding to 163 a one-unit increase in magnitude (Peng et al., 2009).

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165 2.3. Kinematic source inversion

166 The sequence included five moderate 5.6<Mw<6.4 events (Fig. S4, Table S2; hereinafter</li>
167 EV#1-EV#5).

For kinematic source inversion we used near-field strong motion displacement time series derived from digital three-component accelerograms of the PRSN network (Fig. S4). The displacement data were rotated to a defined cartesian coordinate system and filtered with an acausal, fourth-order Butterworth filter in the frequency ranges between 0.03 - 0.4 Hz. The selected frequencies depend on the quality of data and the used 1D velocity model of area.

173 The elliptical sub-fault approximation method (Di Carli et al., 2010; Twardzik et al., 2012;

174 Ruiz & Madariaga, 2013; Momeni et al., 2019; Vičič et al., 2020) is used to retrieve the robust

175 features of the ruptures. This method estimates a rupture in a small number of elliptical patches

176 (usually up to three). Nine parameters are enough to define each slip patch: five to define the

ellipse geometry (semi-major/minor axes, distance from centre of ellipse, rotation angle of the semi-major axis from horizontal plane, and rotation angle of the ellipse with reference to the nucleation point) and four for slip, rupture speed, rake, and rise time.

The inversion process consists of sampling the trial rupture models by the neighbourhood algorithm (Sambridge, 1999a, b) in widely defined ranges for the parameters. Trial synthetic displacement wavefields at each station were computed with Axitra code (Cotton and Coutant, 183 1997). The synthetic wavefields were compared to observations using the Spudich & Miller (1990) cost function which defines a waveform fit in percent. After sufficient iterations of sampling trial models by the adopted algorithm (usually up to 700), we reached convergence to the final model. More details on the inversion procedure are given in Momeni et al. (2019).

We used the hypocenters obtained in section 2.1 as initiation points of ruptures (Table 1). Considering the possible errors in hypocenter location and origin time, we allowed the hypocenters to shift  $\pm 1$  km along both strike and dip on the fault plane. First, we searched among the nodal planes of the GCMT reported focal mechanism (see Data and Resources) for the geometry of each rupture that provides a rupture model with best waveform-fit to the observations. Selected rupture geometry for all the events are provided in Table 1.

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After selection of geometry for each event, we ran 10 independent inversions each having slightly different model parameters space. With this we investigate different possible rupture models that can provide the same waveform-fit to the observations. The 10 final rupture models for each event are reported in the Supplementary Material S3. For each of the ten rupture models, M0 and Mw are obtained and the preferred rupture models are chosen based on closeness of their scalar seismic moments to the GCMT and USGS point source inversion results (Fig. 2). 202 We used the IGS14 position time-series of the continuous GPS (cGPS) stations of the Puerto Rico Island obtained from the repository of the Nevada Geodetic Laboratory (Blewitt et al, 203 204 2018). The processed network consists of 15 sites (Fig. 3a, Fig S11). We analysed the position 205 time-series (see Data and Resources) following the procedure described in Barzaghi & Borghi, 206 (2018). By considering the temporal correlation among the data and using the least-square 207 estimation method we determined the linear trends (tectonic velocity), seasonal signals, 208 discontinuities due to the station equipment and reference frame changes or seismic events. In 209 Fig. 3a we report the estimated velocity vectors of the cGPS stations for the horizontal 210 components and displacement vectors predicted by NAP. Vertical velocities are mostly 211 positive and are reported in Figure S11. The strain-rate principal axes shows a NS stretching 212 especially across the Great Southern Puerto Rico Fault Zone (GSPRFZ, Piety et al., 2018) (Fig. 213 3c). All of the cGPS stations are characterized by spatial correlation signals, also known as the 214 common mode error (CME). CME can be reduced using different approaches such as the 215 stacking technique (Wdowinski et al., 1997), Principal Component Analysis (PCA), Karhunen-216 Loeve expansion (KLE) (Dong et al., 2006) and Independent Component Analysis (ICA) (Liu 217 et al., 2015) that represents a Blind Source Separation (BSS) method. These methods, 218 especially PCA and ICA are also used for transient detection as in (Ji & Herring 2013, Borghi 219 et al., 2016, Gualandi et al., 2016, Vičič et al., 2020). Careful investigation is required to 220 discriminate CME and transients in the cGPS time series.

For some cGPS stations, data are available from 2006, while others started to operate as late as 2017 (Table S7, Fig S12). We focused our investigation in the period from 10<sup>th</sup> of September 2019 to 27<sup>th</sup> December 2019 because it represents a continuous time range with small data gaps and was characterized by increasing seismicity rate. To increase the signal-to-noise ratio of the data and fill the small gaps, the residual time-series were temporally filtered testing two independent methods: the least-square collocation method (LCS) (Borghi et al., 2009; Borghi
et al., 2016) using the covariance functions obtained by the analysis of the temporal correlation,
and the moving average method (MA) with data windows of two weeks. As described in the
supplementary material the two filtering methods provided analogous results (e.g. Fig. S15).
PCA and BSS methods, like FastICA (Liu et al., 2015) and variational Bayesian Component
Analysis (vbICA, Choudrey and Roberts, 2003) were applied on the residual time-series for
transient signals research and will be discussed in the following section.

# **3. Results and Discussion**

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#### 235 3.1. Geometry of the activated fault system

236 The kinematic source inversion of the five largest (5.6<Mw<6.4) earthquakes (Fig. 2, Figs. S5-237 10) and precisely located fore- and aftershocks show that at least five faults were activated. 238 The two dominant parallel faults with WNW-ESE direction ruptured with strike-slip events 239 (EV#1 and EV#4). Southern fault aligns with geologically mapped Punta Montalva fault (Roig-Silva et al., 2013), while the location of the northern fault is less well resolved but lies in an 240 area between two active strike-slip faults - South Lajas fault (Prentice et al., 2005) and Salinas 241 242 fault (Piety et al., 2018). Depth distribution of seismicity along Punta Montalva fault during 243 this sequence is shallower than 10km and extends for 30km in WNW-ESE direction. Whether 244 or not the Caja swarm lies on the continuation of Punta Montalva fault is difficult to evaluate. 245 If this is the case, a 20km seismic gap exists between the swarm and the activated segment of 246 the fault that ruptured. Depth distribution of seismicity on the northern strike-slip fault is 247 deeper, compared to the Punta Montalva fault, with events between 12 and 22km. The length 248 is around 12km in the WNW-ESE direction.

Bounded between two strike-slip faults, two NE-SW striking normal faults (in agreement with the initial InSAR results (for reference see López et al., 2020)) ruptured with the Mw6.4 (EV#2) mainshock and the Mw5.6 aftershock (EV#3) that occurred on a fault located 6km E of the mainshock. They do not align with any previously known normal faults (Granja-Bruna et al., 2015).

Finally, EV#5 earthquake ruptured a 22km long, NNE-SSW orthogonal strike-slip fault with a
unique geometry (discussed in the Conclusions). Northwards it is bounded by the north strike-

256 slip fault while towards south it crosses Punta Montalva fault. The deepest aftershocks are 257 towards the north while the shallowest ones are towards the south (Fig. 1, 2a).

258

EV#1 nucleated at the depth of 4.5±1km. The rupture evolved mostly toward up-dip and 259 260 slightly to the W with an average rupture velocity of 1.8 km/s (Vs = 3.1) for ~2.6s. It released a total scalar seismic moment of 5.7E17Nm which is comparable to the GCMT and larger than 261 262 USGS results (4.5E17Nm and 3.16E17Nm, respectively). The rake is well resolved (-21°) 263 showing a left-lateral strike-slip mechanism. A maximum slip of 1.8m is observable at the 264 depths of 3 to 4km, between 0.7s and 1.7s after the nucleation. Details (e.g. wave-fit) are 265 presented in the Supplementary Material (S3) for all the studied earthquakes (EV#1-5).

266 EV#2 nucleated at the depth of 8.6km. The rupture evolved on an NE striking (44 °) SE dipping 267  $(58^{\circ})$  fault plane with an average speed of 1.55km/s. It reached the surface ~8s after the 268 nucleation (Fig. S7b). The rupture occurred in 10s and released a total scalar seismic moment 269 of 5.6E18Nm, close to the GCMT and USGS results (4.72E+18Nm and 5.04E+17Nm, respectively). It showed a reasonable waveform fit of 75%. Average rake was -124° revealing 270 271 a normal faulting mechanism with a right-lateral strike-slip component.

272 EV#3 rupture started at the depth of 6.8km. The slip evolved toward shallow depths and slightly to the NE on a NE striking  $(45^\circ)$  SE dipping  $(52^\circ)$  fault. The whole rupture occurred in 3.3s 273 274 and released a total scalar seismic moment of 2.74E17Nm. The waveform fit was still reasonable (82%) (Fig. S8c). 275

276 EV#4 nucleated at a depth of 13km. Its slip evolved mostly down-dip and towards E on an ~E striking, N dipping fault plane with a rupture velocity 2.5km/s, lasting 2.4s with a scalar seismic 277 278 moment of 6.3E17Nm, larger than the GCMT and USGS results (5.5E17Nm and 3.63E17Nm,

279 respectively). This model has an 84% waveform fit. The rake is 11°, showing almost pure left280 lateral strike-slip mechanism.

On the 11<sup>th</sup> January, EV#5 nucleated at the depth of 19km. Its rupture evolved up-dip and mostly to the N on a ~N-S striking (195°), West dipping (67°) fault plane with an average speed of ~2.8km/s that lasts for ~3.5s. It released a total scalar seismic moment of 1.4E18Nm. This model has a waveform fit of 89%. The obtained rake was -153° showing a right-lateral strikeslip mechanism with a rise time of ~1.6s.

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287 3.2. Temporal and spatial evolution

Using well located earthquakes as templates we were able to detect additional events, whichgave a better understanding of the sequence development.

The activity began as a low magnitude (M<3.5) Caja swarm, 14km south of Ponce, Puerto Rico. Caja swarm started in July 2019 and ended in January 2020. The newly detected earthquakes in this swarm were temporally split into 3 bursts of activity (Fig. S17) with the most and largest earthquakes in the third burst. Due to the small magnitudes of the events we were not able to study the swarm in detail.

The main part of the Southwestern Puerto Rico sequence initiated along the Punta Montalva fault (Fig. 4a, b), 30 km west of Caja swarm. Prior to the sequence, detected seismicity along the fault was spread over time and we were unable to detect more events than reported in the original catalog.

On the 28<sup>th</sup> December, 2019 a Mw4.7 foreshock, followed by a Mw5.0 earthquake and its aftershock sequence activated the main part of the Southwestern Puerto Rico sequence. After the Mw5.0 the aftershocks slowly extended both in WNW and ESE direction (Fig. 4a) with the migration velocity towards WNW slightly higher than towards ESE. The WNW side of the Punta Montalva fault was more productive in terms of aftershock than its ESE extent. The ESE migration continued until the 6<sup>th</sup> of January 2020 when the largest, Mw5.8 earthquake took place in the ESE portion of the Punta Montalva fault. The Mw5.8 earthquake was preceded by a foreshock sequence of its own in a 2 km wide area of elevated seismicity less than 1 km away from its hypocentre (Fig. S22). In the aftermath of the Punta Montalva fault earthquake, the aftershocks were distributed all along the previously activated fault segment.

On 7th of January, 2020 the Mw6.4 mainshock of the sequence occurred on a normal fault 309 310 between the Punta Montalva fault and the northern strike-slip fault (Fig. 4b). It was followed 311 by a Mw5.8 normal faulting earthquake NE of its hypocentre. Following the Mw5.8 event, a 312 Mw5.7 strike-slip earthquake ruptured the northern strike-slip fault. The aftershocks along the 313 normal fault were confined towards the north with the area where the fault intersects with the 314 northern strike-slip fault and towards the south, with an intersection with Punta Montalva fault. 315 The aftershock sequence on the normal fault was less energetic than on the northern strike slip fault but this changed on the 8<sup>th</sup> January, 2020, when a Mw4.7 earthquake took place on it (Fig. 316 4b) 317

318 On 11<sup>th</sup> January, an orthogonal fault (Fig. 4b) was activated with a Mw6.0 left-lateral strike 319 slip earthquake. Towards the N, aftershocks were confined by the northern strike slip fault, 320 while towards the S, aftershocks extended past the Punta Montalva fault.

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326 The PCA method applied on the filtered residuals showed that the most important contribution 327 was described by the first two principal components, representing around 80% of the variance. 328 The northern and vertical components are characterized by a discontinuity between October 329 and November 2019, confirmed by Bayesian inference test (Borghi et al., 2012, 2016) on the 330  $21^{st}$  of October, 2019 (day of the year 294  $\pm 2$ ) with a total probability of 99% (Fig. 3d). A 331 posterior probability related to the Bayesian estimation of the discontinuity is presented in 332 Figure 3d and S15 where we observe the effect of the filtering method used for the detection 333 of discontinuity epoch. PCA and vbICA show very similar behavior in the first principal (PC1) 334 and independent components (IC1) and confirm the individuation of a discontinuity in the 335 northern and vertical components, respectively. The eastern component does not show a sharp 336 discontinuity (Fig. 3d) but rather a positive linear trend that is correlated with the cumulative 337 number of earthquakes in September 2019 (Fig. S17). PC1 could be interpreted as CME signal 338 that should be removed from the time-series, however some considerations suggest that the 339 PCs and ICs reported in Figure 3d and S15 represent a geophysical signal present all over 340 Puerto Rico Island in October 2019. Since all the cGPS contribute to the first components (Fig. 341 S18) we suppose that this corresponds to the complex tectonic signal. Moreover, the observed 342 discontinuity temporally falls in the third burst of Caja swarm, characterized by the most and 343 largest earthquakes (Fig. S17) as already mentioned in Subsection 3.2.

Due to the discontinuity corresponding to the period of increasing seismicity, we analysed this period and computed the displacement field related to this event for each station by least square estimation. The displacement field vectors point towards the south (Fig. 3b) while at the same time, stations undergo uplift (Fig. S19). We also note that the principal axes of the 2D strain tensor are not aligned to the principal axes of the strain-rate tensors due to the tectonic velocity of the stations, but rather represent a divergence behaviour in the NE-SW direction (Fig. 3c)

# **4. Conclusion**

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The geometry defined by the relocated events and inversion of the well recorded Mw5.6+ earthquakes on strike-slip and normal faults highlights a very complex system of faulting. We observe that the northern and Punta Montalva faults form a system of parallel strike-slip faults while the normal fault, that hosted the mainshock of the series, forms an oblique structure between them. Additionally, the two parallel strike slip faults are connected by an orthogonal fault deepening from south northward. Its northern edge is bounded by the northern strike-slip fault, while towards the south, it continues past the Punta Montalva fault.

These observations show that the geometry of faulting within this sequence is controlled by a larger structural feature – the subduction of CP underneath Puerto Rico (van Benthem et al., 2013) as presented in Figure 5.

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The seismicity on the orthogonal fault follows the subducting CP interface and is most likely constrained by differences between the overriding crust and the CP, such as a transition from velocity weakening in the crust where brittle deformation is promoted to a velocity strengthening regime in the CP that does not allow slip propagation.

The discontinuity, observed with PCA analysis and the almost 90° change of displacement vectors in respect to the NAP (Fig. 3a, b) of the cGPS time series, shows that the October 21<sup>st</sup> 2019 transient is common to every station of the island. The long wavelength of observed geodetic transient confirms that the transient originates on a larger scale than localised faulting during the 2019-2020 sequence. 372 The subducting oceanic crust is known to be fluid saturated (Song et al., 2009). If the fluids 373 are released, which happens as a response to the transient perturbations (e.g. slow slip events Audet et al. (2009)), they would migrate from the subducting oceanic crust and drain through 374 375 permeable fluid conduits (e.g. Fesola et al., 2019) like Punta Montalva or Investigator fault, 376 raising pore pressures and lowering the effective stresses on them, thus generating seismicity 377 (Colella et al., 2017). The resolution of the relocated earthquakes does not allow us to study 378 the Caja swarm in detail, but the low magnitude Caja swarm and the swarm-like behaviour of 379 the main sequence point in the direction of fluids playing an important role. Drainage would in 380 turn control the downdip extent of the seismogenic zone of the subduction. The drier conditions 381 of the subducting interface trenchward would promote fast seismogenic rupture while downdip 382 the strike slip faults, fluid saturation could result in slow slip events within the transition zone 383 (Husker et al., 2018). This could directly influence the seismic hazard of Puerto Rico in case 384 of an earthquake on the Muertos subduction (Byrne et al., 1985).

# **5. Data and Resources**

386	- The Global Centroid Moment Tensor Project database was searched using
387	www.globalcmt.org/CMTsearch.html (Last accessed March, 2021.)
388	- The repository of the Nevada Geodetic Laboratory was used to obtain the cGPS time
389	series ( <u>http://geodesy.unr.edu</u> , last accessed June, 2021.)
390	- Dataset of the PR seismic network was accessed through the IRIS DMC archives.
391	(https://earthquake.usgs.gov, last accessed May, 2021.)
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393	The Supplementary to the manuscript includes a detailed descriptions of the methodologies
394	used in the main paper and additional figures for better understanding of both the
395	Supplementary Material and the manuscript. The Supplementary consists of next Sections:
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397	- Methods S1. Source-specific station term corrections
398	- Methods S2. Absolute, coherency relocation
399	- Methods S3. Kinematic rupture inversion
400	- Methods S4. GPS
401	- Methods S5. Template matching
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#### 8. Figure Legend 698

699

Figure 1: The 2019-2020 Southwest Puerto Rico earthquake sequence colored by depth .The red lines represent 700 known faults. Offshore faults are from Granja-Bruna et al. (2015). The inset figure shows generalized tectonic regime. 701 Figures A and B correspond to the NNE-SSW and ESE-WNW profiles respectfully.

702 Figure 2: Extended rupture models as obtained for the mainshock (EV#2) and b) fore- (EV#1) and aftershocks

703 (EV#3-5) and c) their superimposition. Colored circles are the seismicity shown in Figure 1. Colors of small

704 earthquakes and moment tensors represent their depths and centroid depths, respectfully. Stars are the hypocenters of 705 the five largest events (this study). Focal mechanisms of the respective events are listed in Table 1. Red dashed line is 706 the surface projection of the mainshock fault. Red nodal planes are the fault planes geometry (this study). Thin black 707 line is the shoreline.

708 Figure 3: Absolute horizontal velocities (black arrows) and displacement vectors predicted by NAP (blue arrows). 709 b) Estimate of the horizontal deformation in the time window analyzed for the duration of the transient signal pointed 710 out by the BSS at time 21st October 2019 in respect to the NAP, c) The blue arrows represent the planar strain-rate 711 values obtained by the estimated velocity, whereas the red arrows are the principal axis of the strain tensor due to the 712 transient recorded on 21st October, 2019. The red lines are the faults. d) The first Principal component (PC1, red line) 713 and the first Independent Component (vbIC1, blue dots) for the three coordinate components (North, East, Up). The 714 vertical lines (October 21st 2019) represents the discontinuities pointed out in the North component by a Bayesian 715 inference test.

716 Figure 4: Top figure shows evolution of seismicity, detected by matched filtering, along the strike of strike-slip

717 faults, highlighting the features of Punta Montalva fault and northern strike slip fault. Bottom figure shows seismicity,

718 detected by matched filtering, along the strike of orthogonal strike-slip fault, highlighting the evolution of seismicity on

719 the normal fault that ruptured with the Mw6.4 mainshock and along the orthogonal strike slip fault.

720 Figure 5: A SSW-NNE profile (profile A, Fig. 1) over the SW part of the Puerto Rico Island. The full red line 721 represents the subduction interface (Granja-Bruna et. al, 2015), while the dotted red line represents inferred subduction 722 dipping towards the north.

Table 1. Rupture inversion information and results for the five largest earthquakes of the 2020 Puerto-Ricoseismic sequence.

Event	Date	Time	Lat.	Long.	Mag.	Depth	Strike	Dip	Rake	Freq	<b>M0</b>
#	yyyy/mm/d d	hh:mm:ss	(°)	(°)	(Mw)	(km)	(°)	(°)	(°)	(min-max) Hz	(Nm)
1	2020/01/06	10:32:23	17.899	-66.809	5.7	4.5	284	59	-20	0.05-0.2	5.7 E+17
2	2020/01/07	08:24:31	17.931	-66.790	6.4	8.6	44	58	-122	0.03-0.4	5.6 E+18
3	2020/01/07	08:34:05.8	17.934	-66.736	5.6	6.84	45	52	-118	0.06-0.2	2.7 E+17
4	2020/01/07	11:18:46.7	18.009	-66.768	5.8	13.25	296	69	11	0.05-0.3	6.3 E+17
5	2020/01/11	12:54:49.5	17.894	-66.849	6.0	18.72	195	67	-153	0.05-0.3	1.4 E+18





731 **Figure 1:** The 2019-2020 Southwest Puerto Rico earthquake sequence colored by depth .The red lines represent

known faults. Offshore faults are from Granja-Bruna et al. (2015). The inset figure shows generalized tectonic regime.

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Figure 2: Extended rupture models as obtained for the mainshock (EV#2) and b) fore- (EV#1) and aftershocks
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earthquakes and moment tensors represent their depths and centroid depths, respectfully. Stars are the hypocenters
of the five largest events (this study). Focal mechanisms of the respective events are listed in Table 1. Red dashed
line is the surface projection of the mainshock fault. Red nodal planes are the fault planes geometry (this study).
Thin black line is the shoreline.



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Estimate of the horizontal deformation in the time window analyzed for the duration of the transient signal pointed out by the
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the estimated velocity, whereas the red arrows are the principal axis of the strain tensor due to the transient recorded on 21<sup>st</sup>
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- 758 Figure 5: A SSW-NNE profile (profile A, Fig. 1) over the SW part of the Puerto Rico Island. The full red line
- represents the subduction interface (Granja-Bruna et. al, 2015), while the dotted red line represents inferred subduction
- 760 dipping towards the north.