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7 8 9	Subdivide and Conquer: Adapting Non-Manifold Subdivision Surfaces Method to Represent and Approximate Complex Geological and Reservoir Structures
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16	Abstract
17	Computer graphics have gradually developed practical techniques to address models with the complex
18	topology, in particular, by parametric surface-based modeling approach. Also, geologists have used this
19	approach because it provides significant gains over grid-based modeling (e.g., implicit modeling) by using
20	grid-free surfaces. However, since this approach originates from computer graphics, not all the capacities
21	and limitations of this approach have been considered and investigated in geological modeling.
22	With this aim in mind, this paper investigates surface-based geological modeling through both geological
23	and computer graphics approaches. NURBS (Non-Uniform Rational B-Splines) and subdivision surfaces,
24	as two main parametric surface-based modeling methods, are investigated, and the strengths and
25	weaknesses of both are compared. Although NURBS surfaces have been used in geological modeling,
26	subdivision surfaces as a standard method in the animation and gaming industries, have received little
27	attention in geological modeling. Subdivision surfaces support arbitrary topologies and watertight
28	modeling, which are quite useful for complex geological modeling.
29	Investigating subdivision schemes with semi-sharp creases is an important part of this paper. Semi-sharp
30	creases show the resistance of a mesh structure to the subdivision procedure, which provides a unique
31	method for complex geological and reservoir modeling. Moreover, non-manifold topologies, as a
32	challenging concept in complex geological and reservoir modeling, are explored, and the subdivision
33	surfaces compatible with non-manifold topology are declared.

Finally, the approximation of complex geological structures by the non-manifold subdivision surface method is investigated with two different case studies. The approximated mesh is a simplified and less complex version of the original mesh while the important details of the original mesh are preserved. It not only significantly reduces the cost of modeling and simulation (by reducing the number of vertices to less than 5% of the number of vertices of the original mesh) but also, has features such as being watertight,smooth, topologically identical to the main original mesh and controllable with few control points.

Keywords Surface-based modeling. Subdivision surfaces. Non-manifold topology. Approximation of
 geological structures. Grid free. NURBS.

42 **1 Introduction**

43 Surface representation is one of the common concepts between geology and computer graphics. According 44 to Botsch et al. (2010), implicit and parametric representations can be considered two main types of surface representations, where in both types, the surface is defined by a specific function; "implicit surfaces" are 45 46 defined by a scalar-valued function and the aim is to find a zero level set on a 2D or 3D predefined grid, 47 whereas a "parametric surface" is defined by a vector-valued function, and the aim is to convert the 3D models to 2D models in the parametric domain. A parametric representation has significant gains over an 48 49 implicit representation, as it can present details more compact and can be easily modified, although it has 50 difficulty in the calculation of spatial queries (Botsch et al. 2010).

51 Similar to computer graphics, parametric surface-based geological and reservoir representations are defined 52 by the surrounding surfaces (Jacquemyn et al. 2019; Wellmann and Caumon 2018; Graham et al. 2015a, b; 53 Jackson et al. 2015, 2013; Deveugle et al. 2011; Caumon et al. 2009, De Kemp 1999). In contrast to grid-54 based implicit geomodeling, one of the significant advantages of parametric surface-based methods is that most of the important details of the model, such as heterogeneity, will be well maintained (Jacquemyn et 55 56 al. 2019; Ruiu et al. 2016; Pyrczetal. 2009; Zhang et al. 2009). Additionally, the grid-based approach leads 57 to problems in modeling formations, including faults, diaper flanks, folds, injected bodies and even various 58 petrophysical features (Jacquemyn et al. 2019). In addition to implicit and parametric surface-based models, 59 hybrid methods have been investigated in previous studies (Ruiu et al. 2016; Hassanpour et al. 2013; Pyrcz 60 et al. 2009). Although hybrid approaches lead to more acceptable and faithful results, the requirement of a 61 high-resolution grid cannot be neglected (Jacquemyn et al. 2019).

From a computer graphics point of view, spline surfaces and subdivision surfaces are two types of parametric surface-based representations (Botsch et al. 2010). Spline surfaces are the usual standard for computer-aided design (CAD), while subdivision surfaces are mostly used in computer gaming, animation and the film industry (Cashman 2010, Botsch et al. 2010). Generally, subdivision surfaces and NURBS both yield controllable freeform representations, but in different ways; NURBS emphasize the "smooth manipulation" of the model, whereas subdivision surfaces tend to release the model from "topological 68 limitations (constraints)" (Cashman 2010) and enable surfaces with "arbitrary topology" (Botsch et al. 69 2010). The term topology refers to the connection between different elements of the model, and in 70 geological modeling, it is a vital constraint for most geological procedures and actions, e.g., fluid flow, heat 71 transfer and deformation (Thiele et al. 2016).

Jacquemyn et al. (2019, 2016) hold the view that using NURBS in geology and reservoir modeling has 72 73 been limited until now because such modeling was originally based on grid-based modeling method. 74 Previous studies using NURBS for geological, reservoir and fracture modeling showed that NURBS have 75 been used for a variety of goals in this context (Jacquemyn et al. 2019, 2016; Börneretal. 2015; Zehner et 76 al. 2015; Florez et al. 2014; Corbett et al. 2012; Geiger and Matthäi 2012; Caumon et al. 2009; Paluszny et 77 al. 2007). However, subdivision surfaces have rarely been used in geological and reservoir modeling. Chen 78 and Liu (2012) investigated geological modeling using the subdivision surface method. Although their work 79 deserves appreciation as the first steps of using this method in explicit geological modeling, the authors did 80 not explain the practical details of this approach and offered no explanation for the distinction between 81 using spline surfaces and subdivision surfaces in parametric surface-based geological modeling, especially 82 for non-manifold topology. The term 'Non-manifold' has been used to refer to structures that consist of 83 multiple faces sharing one edge or multiple edges sharing one vertex (Chatzivasileiadi et al. 2018). These 84 structures need more complex algorithms for the representations (Rossignac and Cardoze 1999). From the 85 geological modeling point of view, the representation of contacts between geological interfaces when 86 multiples faces of the mesh sharing one edge (e.g. intersection between faults or between faults and other layers) is a type of representation for non-manifold topology (Caumon et al. 2004). Also, complex 87 geological structures commonly comprise multiple intersecting surfaces (Dassi et al. 2014). Therefore, non-88 89 manifold topology is crucial in complex geological and reservoir modeling.

This work aims to contribute to complex geological and reservoir modeling by using non-manifold subdivision surfaces algorithm (surface-based geological modeling). Fig. 1 represent two different and common non-manifold geological structures represented by non-manifold subdivision surfaces algorithm in which their meshes consist of multiple faces shared one edge at the interfaces. In this paper, not only the limitations and advantages of subdivision surfaces and spline surfaces, as the two main parametric and gridfree methods, are investigated but also, non-manifold topology, as one of the challenges in complex modeling, is demonstrated and analyzed.

Additionally, the approximation of complex geological and reservoir structures with non-manifoldsubdivision surfaces is investigated. The approximated models are similar to the original models while

99 having less complexity which are suitable for processing goals (Ma et al. 2015). Approximated model by 100 non-manifold subdivision surfaces exploited all advantages of surface-based modelling (e.g. being grid-101 free, smooth and controllable with some few numbers of control points). Also, using the approximated 102 models for geological simulation can remarkably reduce the cost of processing by reducing the number of 103 vertices. For better representation, the figures rendered by Blender, an open-source 3D computer graphics 104 software (Community, B. O. 2018).



Fig. 1 Two examples of complex geological structures with non-manifold topologies represented by using non-manifold
 subdivision surfaces method a Geological structure consists of several faults which have intersections with other faults and
 geological layers. b Representation of two intersected channels.

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110 2 Methodology

111 **2.1. Patches**

112 Generally, a parametric surface is created by a collection of different types of patches. Patches are made of 113 two significant parts: control points and the surfaces affected by the control points. The control points can 114 manage and control a triangular or rectangular 2D parameterized surface (in the parametric coordinates U and V). By mapping the 2D parametrized surfaces to 3D coordinates (X, Y, Z), desirable smooth 3D 115 surfaces are created in X, Y and Z coordinates (Fig. 2a). The control points and how they can affect the 116 117 surfaces play an important role in the representation of parametric surfaces (Fig. 2b). The control points 118 can impact the surfaces by different basis functions, which result in various types of patches, e.g., NURBS, B-spline, Bezier, and triangulated patches. 119



Fig. 2 a Mapping a rectangular 2D parametrized surface to 3D coordinates. b An example of a patch: a Bezier patch (purple
 surface) based on the control points (pink surface).

124 **2.2. Piecewise parametric surfaces**

Studies on free-form surfaces are mostly based on parametric surfaces, which has resulted in modeling developments with piecewise parametric surfaces (Sederberg 1985). Piecewise parametric surfaces, as an important tool in geometrical representation, are created by combinations of several patches. One of the common ways to build a set of patches of piecewise parametric surfaces is to use a rectangular grid of control points (Fig. 3). Changing the position of the control point(s) with specific basis function(s) can affect one or multiple patches and change the shape of the model. Importantly, the rectangular grid of piecewise parametric surfaces is different from the grid of implicit modeling.



Fig. 3 An example of a piecewise parametric surface. a Piecewise Bezier surface beside a rectangular grid of control points (pink
 surface). b Piecewise Bezier surface with four Bezier patches.

135 2.3 Spline Surfaces

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136 Spline surfaces are a type of piecewise parametric surface for creating high-quality free-form surfaces,

137 which are produced by the smooth combination of several polynomial patches. Similar to piecewise

- parametric structures, spline surfaces are created by mapping from the rectangular parametric domain (u,v)
- to the R^3 (x, y, z) domain. A general surface can be obtained by

140
$$(u, v) \to \sum_{i=0}^{m} \sum_{j=0}^{k} c_{ij} N_i^n(u) N_j^n(v)$$
, (1)

where c_{ij} are the control points in R^3 and m + 1 and k + 1 are the numbers of control points in the *u* and *v* directions, respectively. Additionally, $N_i^n(u)$ and $N_i^n(v)$ are spline blending functions in the *u* and *v* directions, e.g., B-spline (basis spline) functions (Botsch et al. 2010).

- 144 NURBS surfaces are famous spline surfaces that are useful for making high-quality, freeform and editable 145 surfaces (Botsch et al. 2010). Theoretically, NURBS surfaces are parametric surfaces that can be made 146 according to the numbers of weighted points (control points), parametric knot vectors and specific 147 interpolation degrees between the control points (Piegl and Tiller, 1997). NURBS (NonuNon-Uniform 148 Rational B-Spline) surfaces have three important features, which are as follows:
- 1) B-Spline Surface: B-Spline or basis spline surfaces are piecewise parametric surfaces (see part 2.2) based
 on basis spline functions. They include control points and the surface affected by the control points.
- 151 2) Rational: This means that the control points of the B-spline have weight values that can change the effect
- of a control point on a surface or, from a mathematical point of view, can affect the basis function associatedwith the control points.
- Until now, NURBS have been considered combinations of B-spline patches near each other that havecontrol points and specific basis functions.
- 3) Non-Uniform: This feature makes NURBS suitable for several practical goals (Cashman 2010). NURBS
 surfaces are combinations of polynaminal sections joined with each other at specific positions, which are
 knots (Cashman 2010)). The knots make a surface able to be locally modified while the surface remains
 smooth. This means that changing the position or the weight of any favourite control point can affect only
 the related *part* of the mesh (not the whole mesh) (Jacquemyn et al. 2019). If the knots are equally
 positioned, it is a uniform B-spline. Otherwise (if the knots are arbitrarily distributed), it is a Non-Uniform
 B-Splines (NURBS) surface.
- Since NURBS surfaces were originally produced by computer graphics scientists and have been used in several geological, reservoir and fracture models, it is necessary to investigate the limitations of NURBS from a computer graphics point of view.
- 166
- 167

169 2.4 Limitations of NURBS Surfaces from a Computer Graphics Point of View

- 170 1- The main restriction of any single surface that is made up by planar parameterization (a rectangular grid),
- such as NURBS, is the limitation on the construction of surfaces that are topologically similar to a sheet,
- 172 cylinder or torus (Fig. 4) (Derose et al. 1998, Cashman 2010).



173



2- To create a model with complex topology, many NURBS patches should be smoothly connected (by
stitching NURBS patches) (Fig. 5). Multiple connections between surface patches in addition to topological
or geometrical constraints make the whole modeling procedure more complex (Bostch et al. 2010, Cashman
2010). As a result of the strict rectangular topology of NURBS surfaces, trimming the NURBS patches
before stitching is fundamental during complex shape modeling, which can create unavoidable gaps
between trimmed NURBS patches (Shen et al. 2014; Sederberg et al. 2008).

3- Modifying classical NURBS surfaces, e.g., adding more control points, will influence an entire row or column of control points (Botch et al. 2010). Indeed, preserving the grid structure of NURBS surfaces during local refinement is challenging (Fig. 6) (Derose et al. 1998). It should be mentioned that T-splines as a generalization of the NURBS, offer local refinement and can remarkably decrease the number of control points (Sederberg et al. 2004).



189 Fig. 5 Representation of the second limitation of NURBS surfaces: multiple NURBS patches should be stitched with others to

- build a complex structure. Additionally, trimming NURBS and keeping the final model smooth at the boundaries of patches is
- 191 complicated (Derose et al. 1998).



Fig. 6 Representation of the third limitation of NURBS surfaces: adding new control points affects the entire rectangular grid of
 control points. a Smooth NURBS surface with 39 control points. b Rectangular grid of control points (3 rows and 13 columns). c
 Considering the position of a new control point (yellow multiple). d Adding a new control point at a specific place increases the
 number of rows and columns to 4 and 14, respectively.

197 **2.5 Subdivision surfaces**

Using a grid of control points in piecewise parametric surfaces leads to topological constraints (Botsch et al. 2010). In complex models, topological limitations are more noticeable because complex models usually consist of different surfaces, and managing these surfaces, such as by "stitching" the surfaces together (for building watertight models) or trimming them (for editing), is complicated (Villemin et al. 2015). Therefore, complex representations require approaches that can support arbitrary topologies.

203 In essence, the subdivision scheme was created to overcome the difficulties of constructing smooth surfaces 204 by arbitrary topology (Zorin and Schroder 2001, Catmull and Clark 1978, Doo and Sabin 1978). 205 Subdivision surfaces can not only support arbitrary topology (in contrast with spline surfaces) but also be controlled by the control points of the mesh (similar to spline surfaces) (Botsch et al. 2010). Subdivision 206 207 surfaces are mathematical instruments for repeated and converging implementations of rules for building smooth surfaces (Fig. 7). This method not only overcomes the limitations of NURBS by defining smooth 208 209 and controllable surfaces that need no trimming for *arbitrary topologies* but is also computationally 210 efficient and suitable for complex geometry (Zorin et al. 2000). To explain subdivision surfaces, first, basic 211 concepts such as topology, mesh data (e.g., the positions of vertices) and shape should be clarified.



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Fig. 7 An example of a simple subdivision surfaces method, converting a cube to a sphere by regularly applying the Catmull Clark subdivision scheme. a Original mesh. b & c Apply one and two time (s) subdivision algorithm to the original mesh.

216 **2.6** Basics of subdivision surfaces: topology, mesh data and shape

The shape of a model is basically a combination of the "topology" (connectivity) and "data" of the mesh of the model (Fig. 8). The topology of the mesh represents the connections between the faces, edges and vertices of the mesh, but the mesh data show the information related to the *values associated* with vertices, faces and edges, such as the positions of the vertices. The distinction between the topology and mesh data in producing the shape is necessary for the modeling of complex structures. Subdivision surfaces use the positions of the vertices (mesh data) to create smooth surfaces by the regular iterative refinement of the control vertices (Botch et al. 2010).

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228 2.7 Subdivision surface schemes and the subdivision zoo

229 There are different variations of subdivision schemes, but they can be classified by important criteria, such

as the type of the original mesh (quadrilateral or triangular), the general rule for refinement and whether

the approach is based on approximation or interpolation.

Schröder and Zorin (2000) noted that during the subdivision surfaces procedure, either faces can be split into subfaces (primal), or vertices can be divided into multiple vertices (dual). In primal schemes, new vertices are created based on either interpolation or approximation of the original vertices, which divides this approach into two relevant categories: interpolation and approximation schemes.

In each refinement, if the original points of the initial surface are also points of the final (smooth) surface and the positions of new vertices are defined based on interpolation between the original ones, it is an interpolation approach, e.g., a modified butterfly approach. Otherwise, it is an approximation approach and mathematically approximates the positions of "all" vertices (old and new vertices) to build a smooth shape;

examples include the Catmull-Clark, Loop and $\sqrt{3}$ subdivision scheme approaches.

Two of the most common subdivision schemes are Loop and Catmull-Clark, which are based on the approximate approach and generate triangular and quadrilateral meshes, respectively (Fabri and Pion 2009). In this work, these two methods are used. Since both of these methods are based on an approximation approach, it is necessary to know the basics of these methods. Approximation approaches generate smooth curves or surfaces in two steps. First, new vertices are generated based on the position of the old vertices (generation step), and second, the positions of the old vertices are changed (updated) based on the positions of the new vertices by approximation rules (approximation step).

For example, one of the well-known approximation methods for building a smooth curve or surface is the cubic B-Spline approximation. In each refinement of this approximation, first, new vertices are generated

precisely in the middle of each edge (Fig. 9a). In the second step, the new positions of the old vertices are

approximated by a weighted combination of the old and new vertices (Fig. 9b).



Fig. 9 a The first approximation step; the new vertices (blue) are produced in the middle of the edge. b The second
approximation step.

The new position of the old vertex (p_V) , which is between the positions of two adjacent new vertices p_{V-1} and p_{V+1} , is determined by

257
$$p_V = \frac{1}{8} * p_{V-1} + \frac{1}{8} * p_{V+1} + \frac{6}{8} * p_V$$
, (2)

258 To achieve the desired smoothness of the curve, the approximation procedure (refinement) should be

repeated; e.g., Fig. 10 shows two refinements of one curve with 8 control points.

260



Fig. 10 A smooth curve with 8 control points after two refinements (the blue, black and brown lines are the original line, single
 refinement and double refinements, respectively)

264 2.7.1 Loop Subdivision Scheme

The Loop scheme, defined by Charles Loop (1987), builds smooth surfaces based on triangle meshes by using approximation approaches. Similar to the cubic B-spline approximation approach, this scheme has two steps in each refinement. In the first step, a new vertex v should be generated on each edge, which can be an interior or boundary edge (Fig. 11).





272
$$v = \frac{3}{8}(d_1 + d_2) + \frac{1}{8}(d_3 + d_4),$$
 (3)
273 $v = \frac{1}{2}(d_1 + d_2),$
274 (4)

In the second step, the new positions of the original (old) vertices are computed (Fig. 12). If the original vertex is interior and there are
$$k$$
 adjunct vertices around it, the new position of v is determined by

277
$$v_{new} = v * (1 - k\beta) + \beta \sum_{1}^{k} P,$$
 (5)

278 where
$$\beta = \frac{1}{n} \left(\frac{5}{8} - \left(\frac{3}{8} + \frac{1}{4} \cos \frac{2\pi}{n} \right)^2 \right),$$
 (6)

If the old vertex v is a boundary vertex and the two neighborhood vertices are P₁ and P₂, the new position 280 281 of v can be determined by

282
$$v_{new} = v * \frac{3}{4} + (P_1 + P_2) * \frac{1}{8},$$
 (7)



b

283



286 2.7.2 Catmull-Clark Subdivision Scheme

287 The Catmull-Clark algorithm was first defined in 1978 by Edwin Catmull and Jim Clark (Catmull and Clark 288 1978). This scheme is a type of approximation approach and can be applied to polygonal meshes. Similar 289 to the other approximation-based methods, this scheme follows two steps: first, generate new vertices, and 290 then compute the new positions of the old vertices from the new vertices from the previous step.

- For the boundary vertices, the algorithm is similar to the Loop scheme in both steps (cubic spline algorithm).
 Generating the new vertices includes two parts: first, create a face point for each face (f), and second, make
- an edge point (*e*) on each interior edge (Fig. 13).
- 1) Each face has a face point (f)

295
$$f = \frac{1}{4} \sum_{k=1}^{4} d_4$$
, (8)

296 2) Each interior edge has an edge point (*e*)

297
$$e = \frac{1}{16}(d_5 + d_6 + 6 * d_7 + 6 * d_8 + d_9 + d_{10}), \qquad (9)$$

In the second step, the new position of v based on the face points and edge points around v, which are f_i and e_i , can be determined by

300
$$v_{new} = \frac{n-3}{n} * v + \frac{2}{n} * L + \frac{1}{n} * T,$$
 (10)

301 where n is the number of face points or edge points around v and

302
$$L = \frac{1}{n} \sum_{i=1}^{n} \mathbf{e}_i$$
, (11)

303
$$T = \frac{1}{n} \sum_{i=1}^{n} \mathbf{f}_i , \qquad (12)$$



Fig. 13 Catmull-Clark subdivision scheme. a Finding the face point for each face. b Finding the edge point for each interior edge.
 c Computing the new position of vertex v based on the neighborhood face and edge points.

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308 2.8 Subdivision Surfaces with Semi-Sharp Creases, A Tool for Complex Modeling

Modifying the classical subdivision algorithm allows smooth surfaces to have sharp features such as creases and corners (Derose et al. 2000, Hoppe et al. 1994). Although real-world models such as geological structures do not have entirely sharp features, the ability to manage and control the sharpness of creases and corners during the subdivision procedure can be very useful in building complex structures. A crease can be created on the mesh by changing the mesh shape (e.g., by applying subdivision approaches or pulling the mesh) while pinching the specific vertices or edges of the mesh (Fig. 14). With more freedom given to the related vertices or edges, the sharpness of the crease decreases (semi-sharp crease).





Practically, during the subdivision of surfaces, it is possible to consider the average crease sharpness value for each edge of the mesh. These numbers can show the resistance of the vertices of the edges to mesh modification algorithms, e.g., resistance to smoothing by subdivision surfaces (if more than one edge is connected to the vertex, the average value should be considered). The higher the crease sharpness value is, the sharper the crease. This value can be between zero and infinite while zero indicates a smooth crease (Derose et al. 2000).

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326 2.9 Subdivision surfaces compatible with non-manifold topologies

Classical subdivision surfaces cannot support nan-manifold shapes. However, they can support both
watertight modeling and arbitrary topologies. Therefore, it is worthwhile to make changes in the procedure
of the classical subdivision surfaces method to make it supportive of non-manifold shapes.

330 In the field of computer graphics and animation, non-manifold topology (NMT) is an important and challenging concept that includes a broad range of definitions (Ying and Zorin 2001). Additionally, in 331 332 geological and reservoir modeling, non-manifold topologies are widely used in complex structures. 333 Caumon et al. 2004 presented examples of non-manifold geological modeling, e.g., interactions between 334 faults or faults with horizons by radial edge deltaic deposit reservoir models. In reservoir modeling, a 335 connection between channels can be considered a non-manifold structure. One example of a surface with 336 non-manifold topology is a surface that has several patches allocated to one boundary (Ying and Zorin 2001). Pixar Graphics Technologies (https://graphics.pixar.com) gives the most applicable definition of 337 338 non-manifold topologies, which explains most cases. The definition is as follows:

Assume that one person is standing on one of the faces of the shape and wants to walk around each vertex.

340 The person should start walking from the corner of any selected face and walk on all of the faces around

341 the vertex that have the same normal orientation, then try to walk to the next unvisited face and repeat the

342 procedure (Fig. 15). The mesh is a manifold if one of the following two cases occurs:

1) If the vertex is an interior vertex, the person arrived back at the starting point, and during this trip, theperson visited all of the faces and edges around the vertex.

2) If the vertex is a boundary vertex, the person started at a boundary edge around the vertex and arrived atanother boundary edge, and again, the person visited all the faces and edges around the vertex.







Fig. 15 An example of walking around the vertex

Fig. 16 shows one of the common non-manifold shapes based on the definition above, in which three different faces share one edge. In the non-manifold structures, there is at least one local geodesic neighborhood, which makes the topology challenging and incompatible with many methods, such as subdivision surfaces (Botsch et al. 2010). However, the support for arbitrary topologies and the other excellent features of subdivision surfaces make it worthwhile to use modified subdivision surfaces for nonmanifold geological topologies.

356



357

Fig. 16 Three faces share one edge; a typical example of non-manifold shape. Non-manifold vertices (yellow) and edge (green)
 with manifold vertices (purple) and edges (blue).

360

361 In complex geological structures, it is often unavoidable to encounter non-manifold structures because 362 surfaces usually share common boundaries. On the other hand, the classic subdivision surfaces algorithm 363 can be applied to manifold meshes and produce manifold structures. The "Non-manifold subdivision 364 algorithm" makes it possible to receive the advantages of subdivision surfaces method such as arbitrary 365 topology and produce watertight volumes for non-manifold shapes. This *algorithm* defined by Ying and 366 Zorin 2001 and includes several detailed rules and covers a wide range of non-manifold problems in computer graphics. In this paper, the rules that are related to the most common and general geological and 367 reservoir issues are explained. They defined the extended Loop subdivision algorithm to model non-368 manifold structures, which is as follows: 369

T(v) is considered the set of all triangles of the mesh around vertex v (Fig. 17). Based on the definition in
the previous section, vertex v is a *manifold vertex* if two favourite sequential tringles are inside T(v) and
share one edge connected to v. This vertex can be either inside (interior vertex) or a boundary vertex.
Additionally, an edge is named a *manifold edge* if it is shared by two triangles of the mesh (the manifold
edge can be part of just one triangle if the edge is a boundary edge).



375

Fig. 17 Representation of T(v) (a set of triangles) around vertex v (center vertex). a Representation of a manifold vertex v (blue
 vertex); two favourite sequential tringles inside T(v) share one edge connected to v. b Representation of a non-manifold vertex v
 (red vertex).

A non-manifold vertex and edge are named a singular vertex and edge, respectively. Considering M(v) as the largest set of triangles inside T(v), it consists of the specific triangles such that every pair of favourite sequential triangles around v share an edge (Fig. 18 shows the set of triangles T(v), which consist of $M_1(v)$ and $M_2(v)$).

It should be mentioned that the sets of triangles inside each M(v) can be either manifold or non-manifold. Also, non-manifold sets of triangles can be split into manifold sets. Therefore, each M(v) can be considered a combination of *manifold* segments, which are called Q(v); e.g., $M_1(v)$ and $M_2(v)$ consist of one and three Q(v), respectively. Indeed, Q(v) (the *manifold* set of triangles around v) is the largest set of triangles such that all two sequential triangles of it share a *manifold* edge.

388





Fig. 18 T(v) consists of two parts; M₂(v) includes three manifold parts, Q₂(v), Q₃(v) and Q₄(v), and M₁(v) has one manifold part,
 Q₁(v). The yellow edge represents the non-manifold edge which is shared between three edges.

393 The singular vertex v is "simple" when it is part of a single M(v), and two singular edges should meet each other at v (all of the Q(v)-manifold regions around v share edges); otherwise, it is a "complex" singular 394 395 vertex (Fig. 19). For regular vertices, the standard Loop algorithm should be used. If the vertex is simple singular, the cubic B-spline subdivision algorithm (as mentioned previously) should be used. Otherwise, 396 397 the vertex is complex singular, and in most cases, a vertex can be fixed (the position will not be changed). 398 Additionally, if the edge is singular, it should be subdivided at the midpoint, and if it is not singular, it 399 should generally follow the regular Loop algorithm. For the other specific cases, please see Yian and Zorin 400 (2001). Fig. 1 shows two common and general examples of using non-manifold subdivision scheme in 401 geological and reservoir modeling.



403

404

Fig. 19 Simple singular vertex (v) (orange vertex)

405 **3. Parametric Surface-based Geological Modeling**

406 Jacquemyn et al. 2019 defined geological domains as closed volumes, which are mostly limited by interacting surfaces. These surfaces not only must represent the correct topology of the geological model 407 408 but also should have a watertight relationship with other surfaces. To build such closed volumes with 409 NURBS, different NURBS surfaces (patches) are needed that can interact with each other in different ways 410 (Jacquemyn et al. 2019). On the other hand, generally, the relationships between independent NURBS surfaces violate geological principles, and we need to consider approaches for remedying this, such as 411 building parametric surfaces for the whole domain and modifying the model by trimming, cutting or 412 413 extrapolating the surfaces (Wellmann and Caumon 2018). As mentioned previously, according to several computer graphics references (Botsch et al. 2010, Cashman 2010, Derose et al. 1998), the need for 414 connecting, trimming and stitching different NURBS patches to each other to build a complex model is one 415 416 of the limitations of NURBS. However, the necessity for stitching and trimming separate surface patches 417 to make watertight closed-volume surfaces is eliminated in the subdivision surfaces approach by building 418 surfaces and volumes with arbitrary topology (Cashman 2010).

419

421 To build surface-based geological structures by subdivision surfaces, we propose the following steps:

- 422 1- A seamless and arbitrary-topology mesh similar to the desired shape (mother mesh) is created. If
 423 the mesh contains layers, a one layered seamless topology should be defined.
- 424 2- Based on the final goal, the sharpness of the crease of each edge (crease sharpness value) is
 425 specified (understanding the edges and vertices that should try to resist during classical smoothing
 426 can help in this step).
- 427 3- The subdivision algorithm is applied based on the crease sharpness value of each edge.
- 428 4- If needed, the model is edited by changing the positions of the control points or the crease429 sharpness values of the edges to reach the final goal.

430 **3.1. Different types of geological surface interactions**

431 There are three different types of geological surface interactions that result in geological domains

432 (Jacquemyn et al. 2019).

433 **3.1.1** Creating closed volumes by joining surfaces at their edges

In this case, there are at least two surfaces that should be connected exactly on their edges (boundaries) to make a watertight volume (e.g., sinuous channels) (Fig. 20). Jacquemyn et al. 2019 explained how to use NURBS to build these complex shapes (Fig. 20a). In their work, two different surfaces that have exactly same edge geometries should be connected to each other. However, as mentioned previously, NURBS have a problem when patches must be stitched to each other (Botch et al. 2010).

Although Jacquemyn et al. 2019 mentioned solutions such as using the degree elevation procedure or adding more control points (which is one of the limitations of classical NURBS) and Ruiu et al. 2016 suggested to increase the multiplicity of the knots (which results in reduced continuity (Cashman 2010)), using subdivision surfaces method has fewer difficulties because of its inherent features, such as supporting arbitrary topology and watertight modeling.

To build similar closed volumes based on the subdivision surfaces method first, the seamless and arbitrary topological mesh of the model is defined (Fig. 20b). **Having a seamless mesh at the first step of modeling will leave no concerns related to watertight modeling**. In the second step, the crease sharpness values of all edges are specified. For example, in the sinuous channel case, because the top face of the channel is flat, most edges of the top face should fully resist during the subdivision procedure, and their crease sharpness values should be maximal and infinite, e.g., ten (blue edges). The other edges should be smoothly subdivided; therefore, their crease sharpness values are zero (red edges).



452 Fig. 20 Building watertight channels by NURBS (Jacquemyn et al. 2019) and subdivision surfaces (our approach). a Using
 453 NURBS to join surfaces at their edges to create closed volumes. b Building a channel by the subdivision surfaces algorithm. The
 454 crease sharpness value for each red and blue edge is zero and ten respectively.

- 456 In the third step, the subdivision algorithm based on the crease sharpness value of each edge is applied.
- 457 The subdivision surfaces approach result gives a watertight and smooth channel, which can be controlled
- 458 by the control points.

459 **3.1.2 Distorted (warped) surfaces**

Warped geological structures can be considered a kind of complex geological formations and are observedin nature in different ways, as described below.

462 3.1.2.1 Warped geological surfaces made by geological phenomena such as folding and faulting

463 In these cases, the surfaces are irregularly made by faulting or folding, which poses challenges in geological 464 modeling. Since the abilities of the selected method for modeling, such as the flexibility and consistency of structures (supporting arbitrary topologies), can play an important role in the whole modeling process, using 465 466 subdivision surfaces instead of NURBS can lead to fewer difficulties, especially in layered warped 467 structures. Fig. 21 shows a model of a faulted fold created by Catmull-Clark subdivision surfaces. Due to the suggested subdivision surfaces algorithm, first, the arbitrary topology of the whole mesh (two sperate 468 469 cages) is defined. In the next step, the sharpness of the crease of each edge is assigned (the blue and red 470 edges have crease sharpness values equal to ten and zero, respectively). Finally, the subdivision surfaces 471 algorithm based on the crease sharpness value is applied.



473 Fig. 21 An example of a faulted fold made by subdivision surfaces. a During the procedure of smoothing by subdivision
474 surfaces, the sharpness of the crease value of each edge affect the mesh representation. The blue and red edges have crease
475 sharpness values equal to ten and zero, respectively. b The final model after applying the subdivision algorithm.

476 3.1.2.2 Warped geological surfaces associated with other surfaces that have geometrical connections 477 with them

Such structures can be considered combinations of at least two NURBS surfaces with different grid structures that should be matched to each other (by warping one of the surfaces) to make the new structure. Jacquemyn et al. 2019 defined a procedure for building such structures based on NURBS. In their method, the positions of the control points of the surface to be warped should be adapted to the parent surface(s) (Fig. 22a). However, this adaption can be expensive due to the limitations of NURBS, such as difficulties in adding more control points (as mentioned before, this is only possible by splitting parameter intervals that affect an entire row or column of the control mesh (Botch et al. 2010)) and problems in trimming.



- Fig. 22 Warped surfaces associated with other surfaces by: a NURBS warping of the bounding surface (blue) to conform to the
 geometry of a clinoform surface (gray) (Jacquemyn et al. 2019). b, c An example of using the subdivision surfaces algorithm for
 producing related geometrical shapes from one comprehensive topology: b The bounded (blue) and initial parent (gray)
 topologies; c The final shape obtained by assigning different crease sharpness values to each edge and applying the subdivision
- 490 algorithm. **d** The bounding surface has a watertight connection with the clinoform surface (gray) by the shared vertices (yellow).
- Subdivision surfaces approach, unlike NURBS, first consider one comprehensive topology consisting of a
 watertight structure for both surface topologies together, the warped and parent topologies, (instead of two

493 separate topologies) (Fig. 22b) and then intelligently refine the model by assigning a specific crease 494 sharpness value to each edge and apply the subdivision algorithm (Fig. 22c). Therefore, due to the limitation 495 of NURBS, it is necessary to use surface-based modeling methods that can support arbitrary topologies 496 (e.g., subdivision surfaces) rather than grid-based parametric structures (e.g., NURBS) to reduce the 497 difficulty.

498 **3.1.3** Truncated hierarchically organized surfaces

499 In these cases, there are hierarchically organized surfaces that should be truncated against each other to 500 make watertight subvolumes (surfaces that terminate on the body of another surface, e.g., clinoform 501 surfaces). Jacquemyn et al. 2019 gave instructions for building such topologies with NURBS (e.g., model 502 from higher hierarchal levels to lower hierarchal levels because the coordinates of lower levels are relative 503 to higher levels; then, perform the termination operation) (Fig. 23a). However, referring to several related computer graphics sources, such as (Urick et al. 2019, Pungotra et al. 2010, Sederberg et al. 2008, Sederberg 504 et al. 2003, Chui et al. 2000), reveals that using NURBS for modeling such complex structures is 505 506 challenging because of the undesirable gaps arising at the boundaries between surfaces. Generally, the inherent difficulties associated with NURBS surfaces, such as limitations in stitching and difficulties in 507 trimming the surfaces for building watertight volumes, complicate the whole modeling process. 508

509 Based on the subdivision surfaces algorithm, first, a simple watertight layered topology is defined (Fig. 510 23b). Next, the crease sharpness value of each edge is specified, and finally, since such complex topologies 511 are considered "non-manifold topologies", the subdivision approach is compatible with non-manifold 512 topologies applied to this topology.



- 518
- -

519 4. Meanders modeling by using the combination of NURBS and subdivision surfaces methods

520 As mentioned in section 3.2, NURBS support "non-uniform" parametrization by using the knot vector,

521 which can change the continuity (degree) of the curve or surface at any knot (Ruiu et al. 2016, Cashman

522 2010). The classic subdivision scheme cannot support "non-uniform" parametrization. However, it provides

a significant benefit over NURBS by supporting watertight surfaces with arbitrary topology since it
eliminates the procedure of stitching and editing different surface patches (Cashman 2010). There are
solutions (methods) that exploit the advantages of both NURBS and subdivision schemes, e.g., NURBS
compatible with subdivision surfaces (Cashman 2010), Non-uniform recursive subdivision Surfaces
(Sederberg et al. 1998) and T-NURCCs (Non-Uniform Rational Catmull-Clark Surfaces with T-junctions)
(Sederberg et al. 2003).

529 In reservoir modeling, NURBS "curves" have been used to represent well trajectories (Jacquemyn et al. 530 2019). Additionally, NURBS "surfaces" have been used for modeling sinuous channels by tensor products 531 between two NURBS curves: one NURBS curve for defining the cross-section and one curve for the 532 trajectory of the channel (Ruiu et al. 2016). Therefore, Non-uniform parametrization can makes NURBS 533 suitable for modeling structures that have several different **meanders** (curvatures) along a path (trajectory). On the other hand, subdivision surfaces have fewer difficulties in the procedure of modeling watertight 534 surface intersections, which is more beneficial for channels intersecting with each other or layers. 535 536 Therefore, using a combination of NURBS and subdivision surfaces for building sinuous channels can be

- 537 an example of exploiting both methods in reservoir modeling simultaneously (Fig. 24). The NURBS curve
- 538 is a guideline for the channel trajectory and subdivision surfaces as an arbitrary topology supporter for the
- 539 cross-section of the channel.



Fig. 24 Using NURBS and subdivision surfaces simultaneously in building channels. a NURBS curve on top of the channel to
 manage the trajectory of the channel and subdivision surfaces at the cross-section to support the arbitrary and watertight topology
 of the cross-section. b & c Different smooth shapes of channels due to different positions of the control points of the NURBS
 curve.

545

546 5 Approximation of geological and reservoir structures by the parametric surface-based method

547 Numerical modeling is one of the trustable methods for simulation of the geological process since it can 548 satisfy the mechanical equilibrium equations (Barnichon 1988). Also, mesh density plays an important role 549 in the accuracy and cost of numerical modeling. Ma et al. 2015 proposed to use the simplified 550 (approximated) models of the dense meshes which are made by fitting smoothly controllable surfaces (parametric surface-based models), to make the procedure of processing easier. They mentioned that 551 NURBS and Subdivision surfaces are commonly used to fit parametric models with mesh or dense data; 552 553 however, NURBS are primarily used for approximating topologically *simple* cases because managing the 554 connections between different patches of NURBS in topologically complex cases is very difficult.

555 5.1 Step by step workflow for the approximation of geological structure by non-manifold subdivision 556 algorithm:

557 5.1.1 First step: Estimation of topology

The initial topology specifies the topological constraints and the local minima or maxima of the surface. Therefore, estimating the topology is an important step of the approximation procedure. The input data (geological and reservoir structures) can be represented as a mesh (e.g., extracted by software) or as point clouds (e.g., extracted from the structure by the motion technique).

Estellers et al. 2018 explained different ways for the estimation of topology when the input data is a mesh or point clouds. They mentioned when the input data is a mesh, using mesh simplification methods (e.g., "quadratic edge collapse decimation" (Garland and Heckbert 1997)) while maintaining the topology can give an acceptable estimation of topology for approximation. Therefore, the first estimated mesh will have an identical topology to the original mesh while having fewer vertices.

Also, they proposed to extract the mesh by using implicit representation for the estimation of the initial topology when the input data is point cloud. Now we can reduce the vertices of this mesh while preserving the topology of it by "quadratic edge collapse decimation" (similar to the steps for input data represented as a mesh).

At this step, since the simplified mesh has less complexity, it is possible to make it watertight by adding control points at the intersection places (e.g. intersection between two faults, faults and other layers or two layers).

574 5.1.2 Second step: Assigning crease sharpness value to each edge and applying subdivision surfaces 575 algorithm to the model

576 In order to define smooth parts of the model (e.g. folds) unique crease sharpness value should be assigned 577 to each edge of the estimated topology (initial model) and then the non-manifold subdivision surfaces 578 algorithm applied to the mesh to perform local or global smoothing.

579 **5.1.3 Third step: Approximation of the original mesh**

Based on computer graphics references, minimizing the sum of the squared distances between the verticesof the original mesh and the approximated mesh is a common approach for approximating a mesh (Jaimez

et al. 2017, Hoppe et al. 1994). However, several previous works have developed this approach and made

it more accurate. According to a recent study by Estellers et al. 2018, three more key points should beconsidered. These key points are as follows:

- 1) The effect of outliers and noisy input data on the mesh should be decreased.
- 586 2) The compatibility of the local tangents of the fitted surfaces with the input data for both smooth and587 sharp wrinkles should be ensured.
- 588 3) Attention should be given to the boundary conditions.
- They also present a robust and practical strategy for fitting the subdivision surfaces to the input data, whichcan support all specific concerns related to the approximation of geological structures.
- Assume that the input mesh consists of N points, $P=\{p_1 \text{ to } p_N\}$, and that each of these points includes one normal; $T=\{t_1,...,t_N\}$. Additionally, the points on the boundary are $B=\{p_1,...,p_M\}$. The approximated surface (*S*) can be found by minimizing
- 594 $E(S) = Distance(S, P) + \alpha * Tan(S, P) + \beta * R(S),$ (13)
- The first part of this equation is point fitting using a distance function (the distance between the approximated surface and the closest original mesh), which can be described by equation 14. Estellers et al. (2018) noted that in equation 14, using the typical distance instead of the squared distance creates a model that is robust to outliers and geometrically meaningful.

599 Distance
$$(S, P) = \sum_{j=1}^{N} \min |(S - P_j)|,$$
 (14)

600 Additionally, all the boundary points of the input mesh $B = \{p_1,...,p_M\}$ should be mapped to the corresponding 601 points of the final surface.

The second part of equation 13 is tangent fitting using the *Tan* function (equation 15). Fig. 25 shows the tangent surface of point x of surface *s* (approximated surface), which includes two orthogonal vectors (η and ξ). In the best scenario of fitting the surface to the input mesh, the normal of the input mesh should be perpendicular to the tangent surface and naturally perpendicular to η and ξ separately. Therefore, the mathematical inner product of the normal vector of the point and each of the two vectors should be minimized (it should be zero in the best scenario).

608 Tan (S, P) =
$$\sum_{j=1}^{N} |t_j, \eta| + |t_j, \xi|$$
, (15)



611

Fig. 25 Tangent surface (Huang et al. 2017).

(16)

The third part of equation 13 is called regularization R (*S*), which is mostly an attempt to avoid the creation of nonstandard elements, e.g., skewed elements. The distance between the vertices of the control mesh in the same quadrant is regularized by equation 16. *R* is a sparse matrix that consists of all vertices in the columns and edges in the rows. For each edge e that is topologically connected to vertices v_1 and v_2 , the arrays of *R* corresponding to the (e, v_1) and (e, v_2) matrices are 1 and -1, respectively. *v* is the matrix of the vertices consisting of the locations of the vertices in each column and the indices of the vertices in each row.

619

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620 R(S) = ||Rv||^2,
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621 **5.2.** Case Studies for the Approximation of Geological Structures

to illustrate the workflow, two geological structures, a folded domain with unconformity and a fault and the Perth Basin geothermal resource (Australia), are approximated. In all cases, the initial *simple and watertight* topologies are prepared based on section 5.1.1. In the second step, the initial models are modified by applying the subdivision surfaces algorithm based on the crease sharpness value for each edge (manually assigned), and finally, the model is approximated by equation 13. The examples have been generated by the python package GempyExplicit software. GempyExplicit is an open-source Python library for explicit modeling. It can generate both spline and subdivision surfaces.

5.2.1. A Folded Domain with Unconformity and a Fault

631 A folded domain with unconformity and a fault is a conceptual model. This model consists of two important

features: smooth surfaces and faults. First, the original mesh based on the real data is generated by Gempy

633 software (De la Varga et al. 2019) (Fig. 26a).

In this case, the original mesh has 26000 vertices. Based on section 5.1.1, the first control mesh generated by using a "quadratic edge collapse decimation method" (decrease the number of vertices of original mesh from 26000 to 56) (Fig 26b). Therefore, the control mesh has 56 control points, which are mostly on the intersecting parts of the models, e.g., the intersections between layers and faults to make the model watertight. Also, crease sharpness values are assigned to the edges. The crease sharpness values for the edges that should create smooth surfaces (red edges) are zero, and for the edges that should be sharp (blue edges), are ten. Finally, the non-manifold subdivision surfaces algorithm is applied two times to the control mesh to generate a final mesh (Fig 26c). The final mesh has 1187 vertices (approximately 5% of the vertices in the original mesh).





Fig. 26 a Gempy (original) model with approximately 26000 vertices. b Watertight and smooth approximated model with 56
 control points. The blue and red edges have associated crease sharpness values of ten and zero, respectively. c the final model
 with 1187 vertices, generated after applying two times subdivision algorithm.

661 5.2.2. Model of Perth Basin, Australia (Geothermal Resource)

Perth Basin is a long geological rift on the southwestern margin of Australia that contains hydrothermal energy resources. Perth Basin consists of several faults that make it complicated from a modeling point of view. Simulation and modeling of Perth Basin have been investigated in several works (Wellmann and Reid 2014, Olierook et al. 2015, Niederau et al. 2017, De la Varga et al. 2019). Similar to the previous case study, first, the original mesh based on the real data is generated by Gempy software (Fig. 27), and the control mesh (first estimated mesh) is generated by the quadratic edge collapse decimation method while the topology is preserved (section 5.1.1).

669 There are two important points regarding the original model of Perth Basin that make the approximation

670 more complicated. First, the original model has a large number of vertices (approximately 182000 vertices),

which shows that many details should be kept and considered during the process of approximation. Second,

the original model is not watertight, so several faults and their intersections with layers or other faults make

673 the modeling process frustratingly difficult.





Fig. 27 The initial Perth Basin 3D model built by Gempy software (<u>www.gempy.org</u>), with approximately 182000 vertices.

Fig. 28 shows the watertight smooth approximated model. The final approximated model has multiple nodes at intersection points between two faults or between faults and layers that make the model watertight. Additionally, the approximated model has approximately 480 control points and 7645 vertices, which seems to be a large number at first glance, but considering the large number of vertices in the original model (approximately 182000 vertices) and referring to related computer graphics papers, e.g., Estellers et al. (2018), the number of vertices can be acceptable when it is less than 5% of the number of vertices in the original mesh.

684



685



690 7 Conclusion

691 Investigating computer graphics achievements can not only provide insights and bring ingenuity into 692 complex geological modeling but also help to identify common mistakes and develop problem-solving strategies in geological and reservoir modeling. In this paper, NURBS and subdivision surfaces, as two 693 694 main parametric surface-based representation methods in computer graphics and geological modeling, were 695 discussed. NURBS surfaces have become a standard method in CAD and have been used in explicit geological and reservoir modeling in several works. Subdivision surfaces are a popular method in the 696 697 animation and gaming industry and are rarely used in geological and reservoir modeling. In the modeling 698 of a complex structure, using NURBS is problematic because it requires a regular gridded structure and 699 several patches; therefore, special care needs to be taken in stitching and trimming. However, subdivision 700 surfaces address these concerns by supporting arbitrary topological structures and making seamless models. Additionally, the subdivision surface method has the ability of local modification, which is difficult in 701 702 classical NURBS. Understanding the similarities and differences of parametric surface-based models from 703 a computer graphics point of view can help geologists make better decision making during complex 704 geological modelling.

705 In this paper, the concept of non-manifold topology in geological and reservoir modeling was scrutinized. 706 Classic subdivision scheme cannot represent non-manifold structures since these structures require more 707 complex algorithms. Therefore, the subdivision surfaces compatible with non-manifold topologies were 708 investigated. Additionally, subdivision surfaces were used to approximate the complex geological models 709 examined. The approximated model is not only topologically identical to the geological structure with few 710 control points but also benefits from subdivision surfaces advantages; e.g., it is smooth, controllable and 711 watertight. Using the approximated models gives more control over the model and reduces the number of vertices (to less than 5% of the number of vertices in the original mesh). 712

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