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# Same but different: A framework to design and compare riverbank plastic monitoring strategies

1 Paul Vriend<sup>1\*</sup>, Caspar T. J. Roebroek<sup>1</sup>, Tim van Emmerik<sup>1</sup>

2 <sup>1</sup>Hydrology and Quantitative Water Management Group, Wageningen University, Wageningen, The  
3 Netherlands

4

5 \* **Correspondence:**

6 Paul Vriend

7 Paul.vriend@wur.nl

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9 scientists.

## 10 Abstract

11 Plastic pollution in rivers negatively impacts human livelihood and aquatic ecosystems. Monitoring  
12 data are crucial for a better understanding of sources, sinks and transport mechanisms of riverine  
13 macroplastics. In turn, such understanding is key to develop effective plastic pollution prevention,  
14 mitigation and removal strategies. Riverine plastic has been observed in all compartments, of which  
15 floating macroplastic and riverbank plastic are most frequently studied. Existing riverbank plastic  
16 measurement methods vary greatly, which complicates direct comparison of data collected with  
17 different methods. We present a framework to better compare and to aid the design of riverbank plastic  
18 monitoring methods, which is based on four common elements distilled from riverbank (plastic) litter  
19 monitoring methods currently in use. This framework can be used by scientists and practitioners to find  
20 the right trade-offs between the data required to answer specific research questions, and the available  
21 resources. With this paper, we aim to provide a first step towards harmonization of riverbank (plastic)  
22 litter monitoring efforts.

## 23 1 Introduction

24 Plastic pollution in the riverine environment has been a topic of rising concern, due to its associated  
25 negative effects. These effects include increased mortality rates of fauna through ingestion or  
26 entanglement, damage to property, a reduction of livelihoods of those dependent on rivers, increased  
27 flood risk through the blockage of urban drainage systems, and transport of plastic into the world's  
28 oceans (Van Emmerik & Schwarz, 2020; Honingh *et al.*, 2020). Macroplastics are also a major source  
29 of microplastics in the riverine environment since they break down after exposure to ultraviolet light  
30 or mechanical forces in rivers (Weinstein, Crocker & Gray, 2016). Despite the clear negative  
31 consequences of riverine macroplastics, a fundamental understanding of its sources, sinks and transport  
32 mechanisms has not yet been achieved.

33 Monitoring plastic in the riverine environment is a prerequisite for understanding where plastic  
34 accumulates, and how it is transported. Reliable and frequent river plastic observations can aid the  
35 development of effective policy measures and mitigation strategies (Owens & Kamil, 2020; Vriend *et al.*,  
36 2020). Long-term observation of beach litter has already shown that monitoring can be used to  
37 determine fundamental characteristics of plastic transport in aquatic environments. Olivelli *et al.*

38 (2020), for example, identified beaches as a major sink for plastic in the marine environment based on  
39 a dataset gathered through long-term monitoring of beach litter. Van Emmerik *et al.* (2019) observed  
40 a tenfold increase in plastic flux in the river Seine as a result of increased river discharge, suggesting  
41 hydrological factors as main drivers of plastic transport. Based on field measurements along the Rhine,  
42 Mani *et al.* (2015) proposed that microplastic concentrations within river systems reflect the population  
43 and industry density in the proximity of the river. Data from (long-term) monitoring efforts support the  
44 development of targeted policy, and can be used to test whether implemented measures to reduce  
45 plastic pollution are effective (van Calcar & van Emmerik, 2019; González-Fernández *et al.*, 2018).  
46 Despite the increasing efforts to monitor plastics in river systems, data are often still collected  
47 inconsistently over time and space by different studies, in part due to the complexity of riverine plastic  
48 transport.

49 Plastics have been observed in all river compartments; floating plastic, plastic within the water column,  
50 riverbed plastic, plastic within biota, and plastic that has been (temporarily) deposited on the riverbanks  
51 or within sediment (Van Emmerik & Schwarz, 2020). To date, the floating (e.g. González-Fernández  
52 & Hanke, 2017; Van Emmerik *et al.*, 2018), and the riverbank plastics (e.g. Kiessling *et al.*, 2019; Rech  
53 *et al.*, 2015) have been most frequently studied, while the other compartments remain difficult to  
54 quantify. With the increased amount of efforts to monitor riverine macroplastics, the need for method  
55 harmonization became clear (González-Fernández & Hanke, 2017). First efforts for harmonization  
56 have been made, for example through the RIMMEL project for floating macroplastics (González-  
57 Fernández & Hanke, 2017). However, such a large-scale effort does not yet exist for riverbank plastic  
58 pollution. Given the recent interest in riverbank plastic monitoring (e.g. Kiessling *et al.*, 2019; Battulga  
59 *et al.*, 2019; Van Emmerik *et al.*, 2020), the aim of this paper is to contribute to the harmonization of  
60 these riverbank plastic monitoring efforts.

61 Riverbank plastic monitoring aims to systematically collect data that can aid with developing strategies  
62 to decrease plastic pollution. Several of these efforts have been documented in the scientific literature  
63 (e.g. Kiessling *et al.*, 2019; Battulga *et al.*, 2019; Van Emmerik *et al.*, 2020), but a large section of  
64 riverbank litter identification protocols remains unreported in peer-reviewed literature (Owens &  
65 Kamil, 2020). The driving questions, methods, types of observers, and types of data output vary greatly  
66 between protocols, which can create difficulties when comparing results between different programs  
67 (Owens & Kamil, 2020).

68 We examined the protocols currently in use, and identified overlap and differences between them to  
69 create an overarching framework to facilitate systematic comparisons between protocols. This  
70 framework can be used by scientists, practitioners, and other organizations as a tool to help develop  
71 monitoring programs, or to better tailor programs currently in use to their specific needs. This is useful  
72 since a wide range of methods are currently being used to quantify riverbank plastic pollution, each  
73 having their own balance between several factors based on local context and available resources. When  
74 developing a monitoring protocol, it can be beneficial to have an overview of the range of possibilities,  
75 and the effects that certain decisions have on the output data. We therefore determine which approaches  
76 are most suited for specific research questions. The goal of this study is to provide a framework that  
77 can be used to (1) effectively compare monitoring programs, and (2) act as a tool that can support  
78 researchers, governments and other organizations with developing and optimizing riverbank  
79 macroplastic monitoring strategies that fit local conditions and ambitions.

80 **2 A framework for riverbank macroplastic monitoring**

81 We identified four key elements to riverine monitoring protocols: (1) space (scale, sampling area and  
 82 structure), (2) time (duration, structure, frequency, and period), (3) observers, and (4) plastic  
 83 categorization (categories and size range) (Fig. 1). These elements were distilled from riverbank litter  
 84 monitoring protocols currently in use. The list of protocols currently in use was taken from the literature  
 85 identified by Van Emmerik & Schwarz (2020). In addition, we included a recently proposed protocol  
 86 by Battulga *et al.*, (2019). The protocols considered for the development of the proposed framework  
 87 were the Plastic Pirates protocol by Kiessling *et al.* (2019), the *Schone Rivieren* (Dutch for “Clean  
 88 Rivers”) protocol by the Dutch North Sea Foundation (Schone Rivieren, 2017), the protocol developed  
 89 by Battulga *et al.* (2019) (hereafter called Battulga protocol), and the CrowdWater Protocol (Van  
 90 Emmerik *et al.*, in review). Two beach litter quantification protocols, developed by the OSPAR  
 91 commission (2010) and United States National Oceanic and Atmospheric Administration (NOAA)  
 92 (Lippiatt *et al.*, 2013), were included in the study for comparison and to identify possible improvements  
 93 of riverbank protocols. Both the available peer-reviewed literature and other materials available (e.g.  
 94 training materials, item identification sheets) were studied for each protocol.

95 Based on this literature review, we present a framework (Fig. 1) that allows for the comparison and  
 96 optimization of monitoring protocols. The framework depicts the range of possibilities for four key  
 97 element and their respective sub-elements of riverbank plastic monitoring protocols. Specific protocols  
 98 can be compared by the addition of colored dots on the range of possibilities. Considerations such as  
 99 costs and effort required for different positions on these ranges are elaborated further in the text. Each  
 100 monitoring project has limited resources, and this framework can also be used to identify tradeoffs:  
 101 resources spent on one element reduce the amount of resources left for other elements. By identifying  
 102 these tradeoffs, this framework offers the possibility for current and future monitoring protocols  
 103 optimize this multitude of variables for their needs and resources, and match specific research questions  
 104 to certain methods to be used.

Element	Sub-element		Range	
Space	Domain	Sub-basin		Multi-basin
	Sampling area	Subsampling		Sampling larger area
	Structure	Structured		Unstructured
Time	Period	4 Weeks		Single day
	Frequency	Yearly		Daily
	Structure	Structured		Unstructured
	Duration	Singular		Multi-year
Observers		Citizen Scientists		Trained Professionals
Categorization	Category	Material Based		Identity Based
	Size Range	Macro		Macro and Micro

105

106 **Figure 1: A schematic representation of the proposed framework for riverbank plastic pollution**  
 107 **quantification protocols. The range of possibilities is given for each element within the**  
 108 **framework. The colored dots represent where the Plastic Pirates (blue), Schone Rivieren (green),**  
 109 **Battulga (yellow) and CrowdWater (red) are on this scale of possibilities.**

110 **2.1 Space: domain, sampling area, and structure**

111 The first spatial element that shapes monitoring protocols is the domain. The domain is the spatial  
 112 coverage of the sampling program and determines the number of sampling locations that are required  
 113 (Fig. 2A). When the research questions are focused on quantifying plastic presence a local scale, the  
 114 number of measuring locations can be relatively low. For example, Battulga *et al.* (2019) quantified  
 115 plastic pollution on a sub-basin scale and therefore only used twelve sampling sites relatively close to  
 116 each other. However, when the aim of monitoring is to gather more holistic understanding on the spatial  
 117 distribution of riverine plastic on a (multi) river basin scale, the number of sampling sites, and with it  
 118 the required effort, increases. For example, The Schone Rivieren protocol used over 200 sampling sites  
 119 to examine the Dutch segments of the Rhine and Meuse rivers (Van Emmerik *et al.*, 2020), and the  
 120 Plastic Pirates project had a total of 360 sampling sites to sample five major rivers across Germany.  
 121 Difficulties arise with finding enough trained professionals to do sampling with so many sampling  
 122 sites. Therefore, both these large-scale projects have opted to utilize citizen scientists for data  
 123 collection. Such a decision is an example of how choices made for space can cause tradeoffs for other  
 124 elements within the framework such as observers.

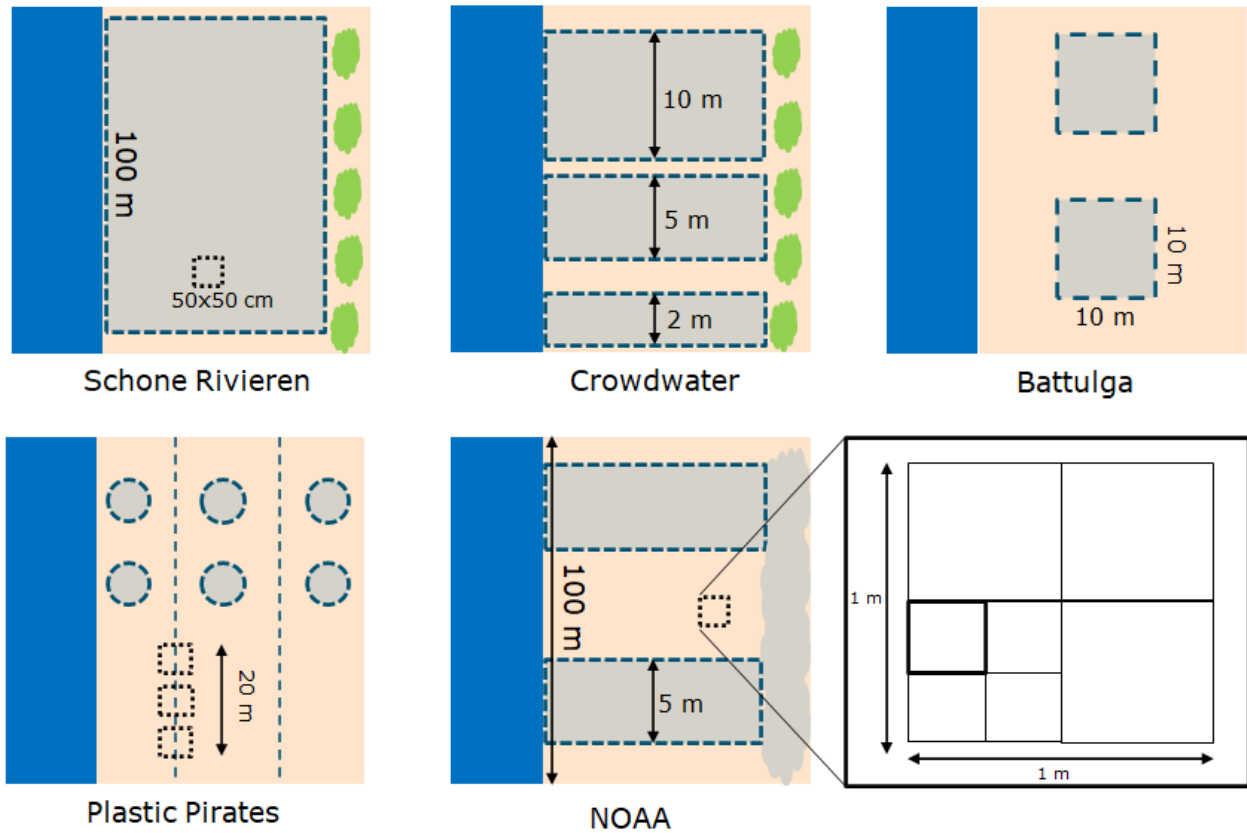
125 The second sub-element of space to consider when developing riverbank plastic pollution  
 126 quantification protocols is the sampling area that is used to sample plastic on riverbanks (Fig. 2B). We  
 127 have identified two distinct groups of sampling areas within the literature, these groups being (1)  
 128 sampling a large predetermined area, and (2) taking subsamples within such predetermined areas (Fig.  
 129 2). The former is characterized by the samples being taken at the same, large (>25 m<sup>2</sup>) sampling area

130 (e.g. Schone Rivieren, 2017; Bruge *et al.*, 2018; Battulga *et al.*, 2019). The latter is characterized by  
131 the allocating of subsamples in a predetermined area (e.g. Kiessling *et al.*, 2019; Rech *et al.*, 2015,  
132 Lippiatt *et al.*, 2013). Larger sampling areas that are currently being used range from 25 m<sup>2</sup> (Dalu *et al.*,  
133 *et al.*, 2019), to 100 m<sup>2</sup> (Battulga *et al.*, 2019), to 2500 m<sup>2</sup> (Schone Rivieren, 2017). The advantage of  
134 sampling a predetermined larger area is that the same area of the riverbank is covered every sampling  
135 round. However, sampling a large area also requires more time compared to its subsampling  
136 counterpart. In order to reduce time requirements for the analysis, most methods only analyze litter that  
137 can be seen while standing up (Lippiatt *et al.*, 2013; Schone Rivieren, 2017; Van Emmerik *et al.*, in  
138 review). This leads to a higher degree of uncertainty in the data collected on smaller sized litter (Hanke  
139 *et al.*, 2019).

140 Taking subsamples reduces the time required to perform the analysis, which allows for a more detailed  
141 analysis of the litter that is encountered. For example, Kiessling *et al.* (2019) allow for the observers  
142 to kneel and count. This reduces the uncertainty in the analysis of smaller particles as observed by  
143 Hanke *et al.* (2019). However, subsampling also comes with downsides, such as the risk of the over or  
144 under estimation of larger and less frequently found items. Moreover, most protocols allow the  
145 observers to choose where they take their samples, which can lead to data being influenced by observer  
146 bias. This issue can be negated by introducing an element of randomness to the sampling. For example,  
147 the NOAA beach monitoring protocol introduced random number tables which determine the exact  
148 location of transects, and the location of the microplastic sample (Lippiatt *et al.*, 2013).

149 Depending on the goal of the monitoring program, data collection can be extended by collecting data  
150 at multiple distance-based zones, ranging from close to far from the river. By logging the distance of  
151 litter compared to the river, it can be determined at what levels of river discharge specific litter items  
152 are transported and deposited (Van Emmerik *et al.*, 2020). This sub-element can be introduced by  
153 subdividing the sampling area in different (hydrological) zones, and determining what plastic is found  
154 within these zones. For example, Kiessling *et al.* (2019) take subsamples in three different hydrological  
155 zones on the riverbank, these being the river edge (river – 5 m), the riverbank (5 – 15 m away from the  
156 river), and the zone that is not in contact with the river (15 m and beyond) (Fig. 2). This principle could  
157 also be implemented in protocols that do not take subsamples such as the Schone Rivieren project.  
158 However, an important consideration with these types of sampling methods is that river discharge, and  
159 thus the location of the water line on the riverbank, differ throughout the year. To avoid these problems  
160 and produce comparable data throughout changing conditions one can choose to take random  
161 subsamples within this area, or one can log the exact baseline location using GPS data (e.g. Bruge *et al.*,  
162 2018).

163 The third element to consider in space is the decision-making process for choosing where to perform  
164 monitoring along the river. The process of choosing sampling locations can either be structured or  
165 unstructured (Fig. 2A). In a structured process, locations are determined by expert judgement and are  
166 sampled in each measuring round (e.g. Schone Rivieren, 2017; Bruge *et al.*, 2018). A structured process  
167 allows for site specific time trend analysis of plastic but is less suitable for examining the spatial  
168 variance of macroplastic along the river (Van Emmerik *et al.*, 2020). An unstructured location decision  
169 process allocates sampling sites randomly along the river. As a result, different locations (both the side  
170 of the river and distance upstream from the river mouth) are used for each measuring round. For  
171 example, the sampling locations for the CrowdWater project (Van Emmerik *et al.*, in review) are not  
172 predetermined. Unstructured allocation of sampling sites gives a more representative overview of the  
173 spatial distribution of plastic over the river, and reduces the influences of site specific characteristics  
174 (e.g. how many visitors, proximity to sources of macroplastic) on the results (Van Emmerik *et al.*,  
175 2020).

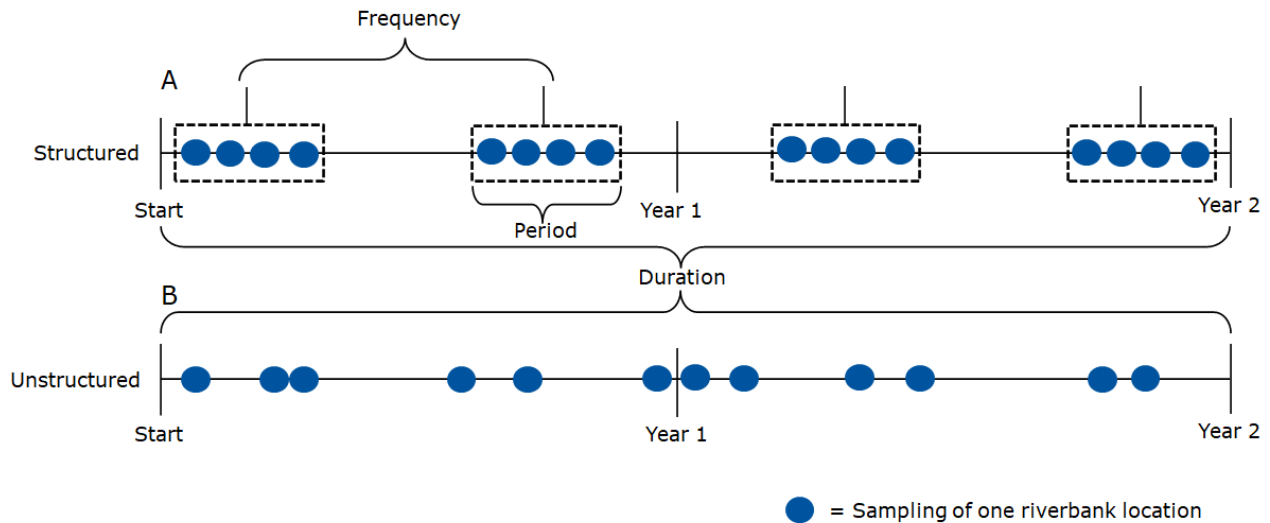


176  
 177 **Figure 2: An overview of sampling areas for multiple riverbank plastic quantification**  
 178 **protocols, and the NOAA beach litter protocol (adapted from Lippiat *et al.*, 2013) to exemplify**  
 179 **random sampling.**

180 **2.2 Time: period, frequency, structure, and duration**

181 The element of time can be divided in four sub-elements: the sampling period, frequency, temporal  
 182 structure, and the duration (Fig. 3). The timeframe in which all measurements for a measuring round  
 183 are performed is called the sampling period. A measuring round is a set point in time at which the  
 184 macroplastic presence is quantified. The ideal length for measuring periods depends on the questions  
 185 that one wants to answer. The period should be as short as possible when trying to determine the total  
 186 plastic presence at a given time. This in order to reduce the effects of changes in environmental factors,  
 187 such as discharge and wind, on the results. When trying to determine the effects of these environmental  
 188 factors on riverine plastic transport, the sampling period should be longer and continuous in order to  
 189 capture the natural variability of these events and their influence on the presence of plastic. Sampling  
 190 periods vary widely over protocols. The Schone Rivieren protocol uses a measuring period of four  
 191 weeks (Van Emmerik *et al.*, 2020). The beach litter protocol developed by the National Oceanic and  
 192 Atmospheric Administration (NOAA) wants measurements to be performed every  $28 \pm 3$  days, the  
 193 sampling period therefore is six days. A one-day period was used by the Plastic Pirates project  
 194 (Kiessling *et al.*, 2019) and the CrowdWater project (Van Emmerik *et al.*, in review) since their  
 195 observers did the work as part of the curriculum of schools and universities.

196



197

198 **Figure 3: The four elements of time depicted on two timelines, where timeline A represents**  
 199 **structured temporal sampling, and timeline B depicts unstructured temporal sampling. The**  
 200 **duration is the total time that samples are taken, the frequency is the number of samples that are**  
 201 **taken annually, and the period the time that samples are considered as one measuring round.**

202 The second sub-element of time is the sampling frequency. The sampling frequency is the number of  
 203 times a sample is taken during a year. Ideally, the frequency should be balanced: samples should be  
 204 taken at a rate high enough to identify litter trends, while not overburdening the observers. Most  
 205 riverbank litter quantification protocols sample at a biannual frequency, once in spring and once in fall  
 206 (Kiessling *et al.*, 2019; Schone Rivieren, 2017). This is lower than frequencies used for coastal litter  
 207 quantification, that are four times per year for the beach OSPAR method (OSPAR Commission, 2010)  
 208 or once every month in the NOAA beach litter protocol (Lippiatt *et al.*, 2013). The optimal frequency  
 209 depends on the research questions. For example, if one tries to analyze the effects of local  
 210 hydrometeorological changes on macroplastic transport and deposition, the sampling frequency should  
 211 match the scale at which such events happen.

212 A third element to consider is the structure of the sampling. The two aforementioned sub-elements  
 213 occur when the protocol is structured. Structured protocols have a predetermined time protocol in  
 214 which the timing of the sampling follows a preset pattern of measuring rounds and periods (e.g. Schone  
 215 Rivieren, 2017; Bruge *et al.*, 2018; Kiessling *et al.*, 2019). The advantage of such a protocol is that the  
 216 timing of the observations within the year are similar (e.g. beginning of fall), which ensures similar  
 217 hydrometeorological conditions during each sampling round. Plastic sampling can also be  
 218 unstructured. A random temporal protocol randomly allocates time slots for locations to be sampled  
 219 rather than to follow a predetermined pattern. This allows for a larger temporal spread of observations  
 220 throughout the year and captures more of the environmental gradients. The CrowdWater project comes  
 221 closest to fully unstructured sampling as the observers are not bound to assigned observation time slots.  
 222 This does imply, unfortunately, that external factors influencing the observer (e.g. weather preferences)  
 223 can introduce bias in the results.

224 The fourth sub-element of time is the duration of the monitoring program. The duration is the range in  
 225 time that observations are made on riverbanks, and can range from singular observations (Battulga *et*  
 226 *al.*, 2019) to multi-year monitoring programs (Bruge *et al.*, 2018; Kiessling *et al.*, 2019; Van Emmerik  
 227 *et al.*, 2020). This element has a large impact on the amount of resources that are required and can



228 therefore affect choices for other elements. For example, Battulga *et al.* (2019) performed a one-off  
229 quantification of riverbank plastic which allowed for highly detailed item identification using only a  
230 few trained specialists. On the other hand, Kiessling *et al.* (2019) opted for a long-term monitoring  
231 plan that required them to utilize citizen scientists for their observations instead.

### 232 **2.3 Observers**

233 The third element is the choice of observers. The quality of the observers determines the quality of the  
234 data. Two main schools can be identified in the literature: sampling through the use of experts (e.g.  
235 Battulga *et al.*, 2019) or through the utilization of citizen scientists (e.g. Kiessling *et al.*, 2019; Schone  
236 Rivieren, 2017; Lippiatt *et al.*, 2013; Van Emmerik *et al.*, in review). Sampling by trained professionals  
237 guarantees the highest chance for samples being taken similarly over time. However, hiring  
238 professionals is expensive and can thus limit the total number of samples that can be taken. Moreover,  
239 only a limited number of professionals are available, leading to further limitations in the number of  
240 samples that can be taken in a sampling period. Many initiatives therefore decided to use citizen  
241 scientists to sample riverbank litter. This allows for a large area to be sampled in a short period of time,  
242 while keeping the costs relatively low. This has the added benefit that it creates public awareness for  
243 the problem (Rambonnet *et al.*, 2019). It is important to consider what the target group of the citizen  
244 scientists is, since this can impact the data quality. For example, Schone Rivieren (2017) and the  
245 CrowdWater project (Van Emmerik *et al.*, in review) used trained adults to do the sampling, while  
246 Kiessling *et al.* (2019) and Rech *et al.* (2015) let schoolchildren (aged 10-16 years) collect their  
247 samples. To retain credible data quality, Kiessling *et al.* (2019) and Rech *et al.* (2015) used a simplified  
248 method compared to Schone Rivieren (2017), reducing for example the amount of litter categories.

249 Training of citizen scientists increases the quality and consistency of the data generated by citizen  
250 scientists (Zettler *et al.*, 2017). Training can be done in multiple forms, for example, Kiessling *et al.*  
251 (2019) have developed an education program to be taught at schools. This program teaches children  
252 about environmental pollution and teaches the methods for riverbank litter sampling. Schone Rivieren  
253 (2017) held training days at which trained professionals teach volunteers how to properly apply the  
254 methods to standardize the collection method as much as possible. However, besides the training, the  
255 research team has little control over the data quality. It is therefore important to introduce a method to  
256 determine the accuracy of the volunteers. This, for example, can be done by reference measurements  
257 by trained professionals (Schone Rivieren, 2017; Van Emmerik *et al.*, in review), or by requiring the  
258 volunteers to take pictures of the research area so the raw data can be checked (Kiessling *et al.*, 2019).

### 259 **2.4 Categorization**

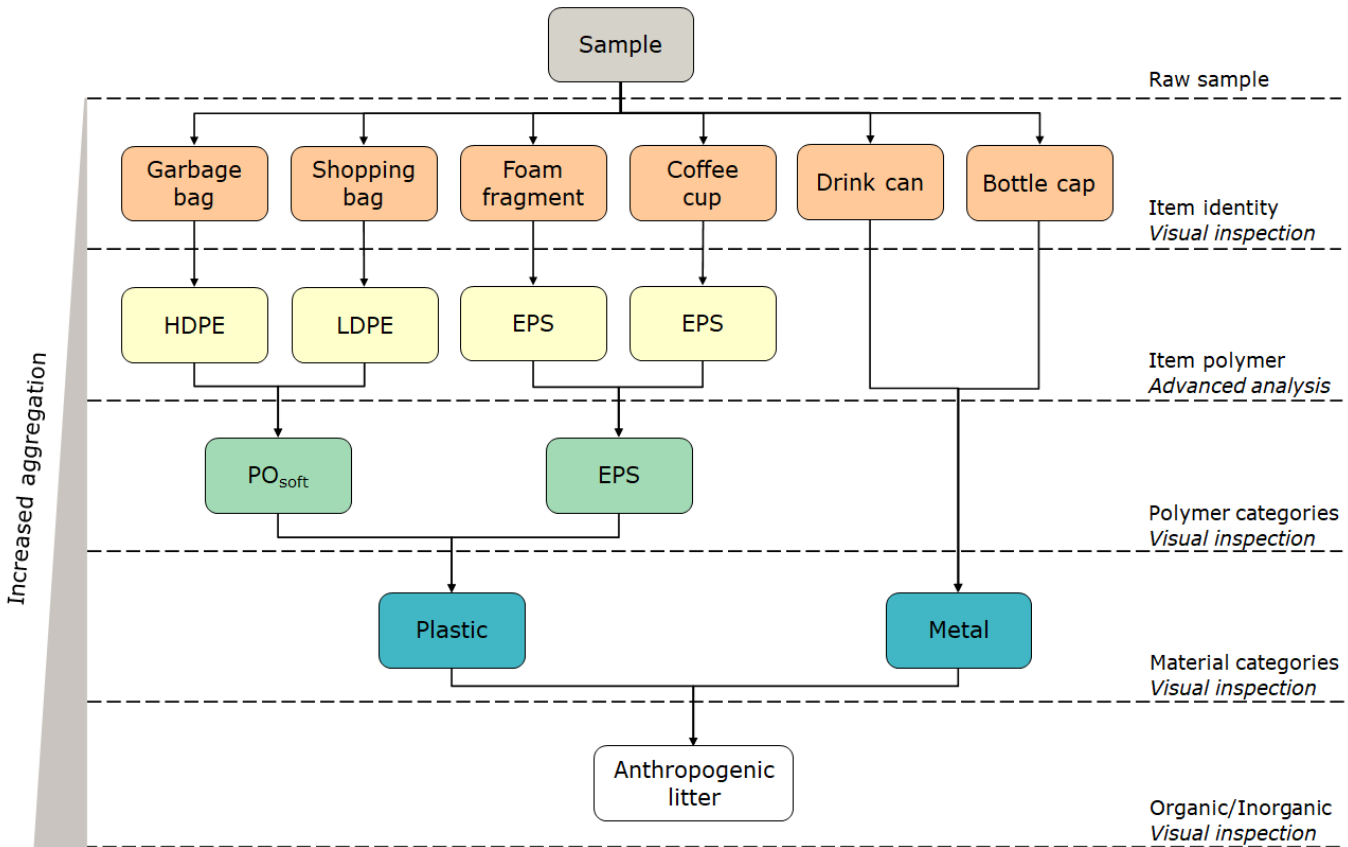
260 Methods to classify the composition of litter in the literature can roughly be subdivided in three  
261 categories: classification based on identity, function, or material type of the item (Hoellein *et al.*, 2014).  
262 Item identity-based classification methods (e.g. Schone Rivieren, 2017) rely on the researcher  
263 identifying what the item is (e.g. cigarette filter, plastic bag, plastic bottles, etc) and counting the  
264 specific items found in the research area. The item identification list for OSPAR beach litter monitoring  
265 is often used as a guide for this (e.g. Bruge *et al.*, 2018; Van Emmerik *et al.*, 2020). The advantages of  
266 using this system is that the litter is characterized at a very detailed level. Such data allows for more  
267 detailed and targeted data output for the monitoring. For example, identity-based categorization of  
268 floating macroplastic allowed the RIMMEL project to identify the ten most frequently found items in  
269 the rivers they examined, which can be used by policy makers to implement highly targeted pollution  
270 reduction policies (González-Fernández *et al.*, 2018). Identity-based classification methods risk having  
271 too many categories, which can lead to misclassification by the observers (Rambonnet *et al.*, 2019).

272 Several methods alleviated this problem by reduction of the number of item categories (e.g. Kiessling  
273 *et al.*, 2019).

274 Function-based composition classification methods categorize litter based on what the item is used for  
275 (e.g. fishing, food related, construction) (Schwarz *et al.*, 2019). This method of determining litter  
276 composition is less time consuming than the identity-based system, and the data can be compared to  
277 plastic production data to determine the amount of plastic lost to the environment (Geysler *et al.*, 2017).  
278 Function-based analysis offers less detail for data analyses, and some items can belong to several  
279 function categories, which can make it difficult for the observer.

280 Material-based composition classification methods characterize litter based on the material it is  
281 (predominantly) made off (e.g. plastic, metal, glass). Each of these material types can be subdivided  
282 further in types of the material (e.g. different plastic polymers, metal types) (e.g. Van Emmerik *et al.*,  
283 2018; Van Emmerik *et al.*, in review). Material-based composition methods are useful when it is  
284 difficult to identify the identity or function of litter, or when research is focused on one material type  
285 (e.g. plastic). However, it is more difficult to identify possible sources of litter using this system. Proper  
286 identification of polymer types may require lab analysis of the litter (e.g. Raman spectroscopy or  
287 Fourier transform infrared spectroscopy) when labels on the items are missing, and classification  
288 difficulties occur when certain items are made up of multiple materials (Van Emmerik *et al.*, 2020).  
289 Item based classification would be more suitable for such items.

290 Harmonization of data is required to allow for the comparison of results between monitoring programs.  
291 The fact that most studies use their own categorisation schema makes that the combination of the results  
292 of multiple studies is only possible at the cost of a reduced level of detail of the data. Figure 4 shows a  
293 small section of a multi-layered schema that can be used to harmonize data from monitoring projects  
294 that have used different forms of categorization. The top layer of this scheme represents the total sample  
295 that is taken on the riverbank without categorization. This data can be used to quantify the amount of  
296 litter on riverbanks but does not consider what types of litter are found. The next layer represents the  
297 most detailed categorization that can be applied to the sample (identity-based categorization). Each  
298 further layer represents an increase in level of aggregation of the data gathered and a decrease in effort  
299 required to gather it. Aggregation of data obviously comes at the cost of a reduction in the level of  
300 detail but with the profit that more studies can be combined. When comparing datasets, the data can be  
301 aggregated to the level of detail of the projects lowest on this scale. For example, when comparing data  
302 from the CrowdWater project (Van Emmerik *et al.*, in review) that categorizes to polymer types with  
303 the Schone Rivieren protocol that categorizes at an item function, the data can only be compared at the  
304 level of detail of polymer type. The ideal level of detail of categorization depends on the research  
305 questions one tries to answer. The highest detail level of data could be necessary when one tries to  
306 trace specific items back to their source and can be used by policymakers to help develop targeted  
307 policy to reduce the most frequently found items. Lower levels of detail suffice for research that aim  
308 to quantify riverine plastic presence or identify riverine plastic hotspots.



310 **Figure 4: An example of riverbank plastic classification, where the upper layer represents the**  
 311 **most detailed categorization (identity based, based on OSPAR categorization, not an exhaustive**  
 312 **list), and each layer below represents a higher level of aggregation. The type of categorization**  
 313 **and how this categorization is achieved is listed on the right side.**

314 A second consideration for categorization is the size range of the debris that is analyzed. Riverbank  
 315 plastic quantification protocols can be subdivided in three categories based on size of debris analyzed  
 316 (1) protocols that quantify macroplastic, (2) protocols that quantify microplastic, and (3) protocols that  
 317 analyze both micro- and macroplastics. Protocols that quantify macroplastic have an approximate  
 318 lower end size limit of 5 mm, though smaller particles are observed with a higher uncertainty since  
 319 most protocols only sample litter that can be seen by observers who are standing up straight (Hanke *et*  
 320 *al.*, 2019). Protocols that quantify microplastic are well established and highly standardized (e.g. Klein  
 321 *et al.*, 2015), since far more research has been done on microplastic than on macroplastic (Blettler *et*  
 322 *al.*, 2018).

323 Protocols that examine both size categories analyze macroplastic similarly to other protocols (e.g.  
 324 Battulga *et al.*, 2019). However, the microplastic analysis differs from the standardized protocols used  
 325 for microplastic analysis. These methods include smaller particles up to a lower limit of around 1 mm.  
 326 With this, small pellets are included, but microplastic for which lab analysis is required are excluded  
 327 (Van Emmerik *et al.*, 2020). Moreover, extensive treatment and analysis of the samples taken for  
 328 microplastic analysis is lacking. The protocols used surely should be expanded to get an accurate  
 329 indication of microplastic abundance in riverine systems.

330 **2.5 Trade-offs**

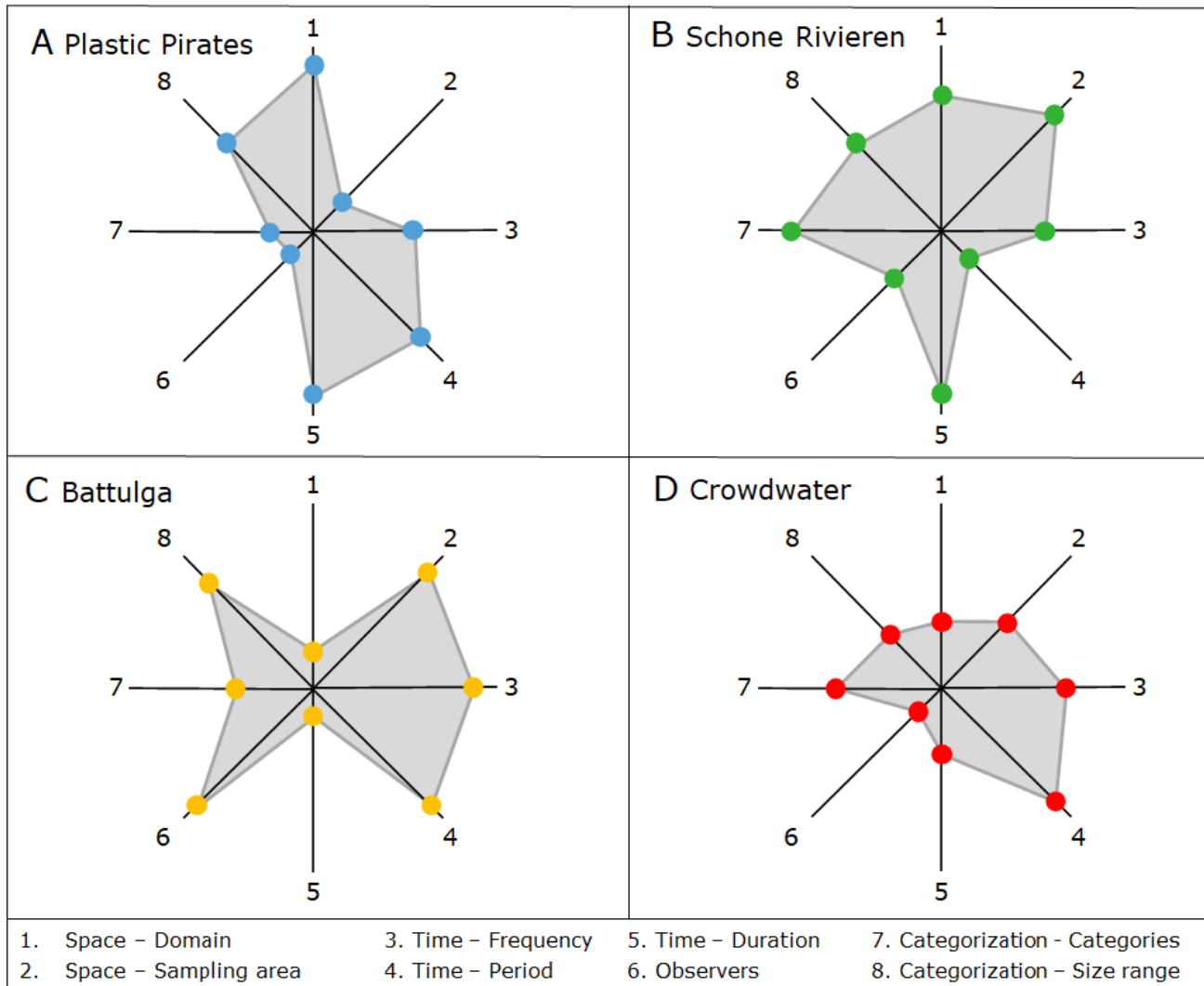
331 When setting up monitoring programs using this framework (Fig. 1), it is important to consider  
 332 tradeoffs between dimensions since certain decisions made for one element can influence the range of  
 333 possibilities for another element. When considering that a project has limited resources, a balance has  
 334 to be found between these elements. If one decides to sample a large area, or sample with high temporal  
 335 requirements, it may be required to reduce the level of detail of the categorization in order to reduce  
 336 the required human and financial resources. A second tradeoff can be identified between observers and  
 337 categorization. Here, the decision on who is going to perform the research can influence the detail level  
 338 of the categorization, and vice versa. For example, Kiessling *et al.* (2019) collaborated with school  
 339 children aged 10-16 years for the data collection. This enabled them to sample a high number of  
 340 locations at the same time since a large group of observers was available. However, this meant that  
 341 they had to simplify the categorization to the point that only seven selected items were being analyzed  
 342 since more complex categorization was deemed too complex for school children observers. The Schone  
 343 Rivieren (2017) project uses a more elaborate categorization list of 109 items, which means that they  
 344 require better trained observers for their sampling. Lastly, a trade-off presents itself between the  
 345 elements of spatial scale and observers. When the spatial scale of sampling becomes too large, it is not  
 346 feasible to gather enough trained professionals to sample at all locations, and thus requires the  
 347 utilization of citizen scientists as observers.

348 The aforementioned trade-offs can be identified when each decision element range is visualized (Fig.  
 349 5). Each axis in the plots in figure 5 represents the scale of possibilities for each element as presented  
 350 in figure 1, where the inner circle represents the low effort/priority, and the outer circle represents high  
 351 priority. The shape and orientation of the areas created by the marks are distinctly different for each  
 352 protocol. For example, the Schone Rivieren protocol (Fig. 5B) is orientated towards axis 1, 2, 3, 7, and  
 353 8, which translates in a high priority for spatial domain, sampling area, duration, categorization and  
 354 size range. Contrarily, the CrowdWater protocol (Fig. 5D) is orientated towards axis 3 and 4, indicating  
 355 a priority for frequency and period. Each of the four protocols analyzed shows a distinctly different  
 356 pattern, indicating tradeoffs were made. These differences are caused by the research goals of each  
 357 monitoring program. The patterns in Figure 5 can be used to match methods to specific research  
 358 questions. This knowledge can be used for future monitoring efforts to decide which methods to use.

359 The research aims for the Plastic Pirates project were to determine the material composition and spatial  
 360 distribution of litter on a multi-river basin scale. To do so, Kiessling *et al.* (2019) had to make trade-  
 361 offs on observers and categorization: the sampling was performed by citizen scientists, and the  
 362 categorization was reduced to seven items. Future monitoring efforts that aim to determine the  
 363 composition and spatial distribution of riverbank litter will likely encounter the same trade-offs and  
 364 should therefore also take a citizen science approach with a similar temporal and spatial structure as a  
 365 starting point.

366 The Schone Rivieren protocol can be used as an example for monitoring efforts with the aim to identify  
 367 litter trends of specific items over time and space. The highly detailed categorization used within the  
 368 Schone Rivieren protocol allows for the quantification of specific items. These data can be used to  
 369 determine most frequently found items and to design targeted policy. To answer these specific research  
 370 questions, the Schone Rivieren project required a protocol with a focus on duration and item  
 371 categorization. However, the large domain also created the need for a citizen science approach instead  
 372 of trained professionals. Future monitoring efforts with similar aims should therefore take the Schone  
 373 Rivieren approach as a starting point.

374 The Battulga protocol is an example of how plastic pollution can be quantified on a local scale. By  
 375 reducing the domain, the Battulga protocol allows for resources to be spent on a highly trained  
 376 observers and a detailed categorization level. Future projects with the need for such a localized and  
 377 detailed analysis can therefore use this protocol as a starting point. Lastly, the CrowdWater protocol is  
 378 an example of a method to gather data in a relatively fast way. This quick method decreases the  
 379 threshold for new citizen scientists to join the project. Though the data gathered using this method is  
 380 rather coarse, the large group of citizen scientists ensure that the method can be applied on a large  
 381 spatial and temporal scale. Future monitoring projects that quickly require data on a large temporal and  
 382 spatial scale, and do not require a high level of detail in the data, can use the CrowdWater as a template.



383 **Figure 5 Graphical representation of the choices made for each element for the Plastic Pirates**  
 384 **protocol (A), Schone Rivieren protocol (B), the Battulga protocol (C), and the CrowdWater**  
 385 **protocol (D). Where each axis represents the following elements: 1. Sampling scale, 2. Space -**  
 386 **Sampling area, 3. Time - Frequency, 4. Time - Period, 5. Time - Duration 6. Observers, 7.**  
 387 **Categorization, and 8. Size range. For each axis, the inner part represents low priority, and the**  
 388 **outer part represents high priority. The sub-element of structure for time and space were**  
 389 **excluded since these factors do not influence total cost.**

390 **3 Discussion**

391 Riverine plastic pollution is a global and transboundary problem that requires internationally consistent  
 392 observations to be reduced. We have identified several steps that can be taken to improve riverbank  
 393 litter monitoring programs on such a global scale. Firstly, we mark the importance of using harmonized  
 394 protocols. Currently, methods vary greatly between monitoring programs. This suffices for monitoring  
 395 litter on a local scale, but it makes identifying riverine litter trends on a global scale more difficult since  
 396 the collected data may also differ considerably (Rambonnet *et al.*, 2019). Building upon this, we also  
 397 highlight the need for the sharing of data between litter monitoring initiatives. Little data is currently  
 398 shared between riverine litter monitoring programs (González *et al.*, 2016). Data collection, recording  
 399 and sharing could be further harmonized and streamlined through the usage of standardized apps  
 400 (Rambonnet *et al.*, 2019). The sharing, and subsequent intercomparison of data between different  
 401 monitoring programs could aid with identifying strengths and weaknesses of the methods that are being  
 402 applied and allow for. It would also allow for the comparison of monitoring programs for the same  
 403 river in different countries (e.g. Kiessling *et al.*, 2019, Schone Rivieren, 2017). Moreover, comparing  
 404 data between different areas could present insights on how litter pollution is different in different  
 405 regions and river basins.

406 Secondly, we identified a discrepancy in the focus of riverine litter research. Riverbank litter  
 407 quantification efforts can be grouped in two categories: plastic focused or all anthropogenic litter  
 408 focused research. Plastic focused efforts only quantify plastic litter that is found on riverbanks (e.g.  
 409 Battulga *et al.*, 2019; Van Emmerik *et al.*, in review). While plastic has been recognized as a major  
 410 component of litter in river systems (Van Emmerik & Schwarz, 2020), research has shown that litter  
 411 composed of other materials has a significant presence as well (Kiessling *et al.*, 2019). What materials  
 412 to focus the monitoring efforts on depends on what the monitoring data will be used for. Detailed data  
 413 on all material types are required for developing preventative policy measures since data on frequently  
 414 found items can be used to implement bans on these items. Research focused solely on plastics is useful  
 415 for riverbank cleaning efforts since different plastic polymers are handled differently by waste  
 416 handlers. It is however important to consider with plastic focused monitoring that litter made up of  
 417 different materials are also present when communicating the results.

418 Thirdly, the proposed framework is based on studies that have been applied on European rivers since  
 419 these are most frequently studied for plastic pollution (Blettler *et al.*, 2018; Owens and Kamil, 2020).  
 420 However, observations of floating macroplastic transport have demonstrated that typical plastic  
 421 concentrations and transport loads can be several orders of magnitude higher in other regions (South-  
 422 East Asia versus Europe), and during different hydrological regimes (van Calcar & van Emmerik,  
 423 2019). Higher plastic concentrations can influence the applicability of riverbank plastic quantification  
 424 protocols. For example, riverbanks with large amounts of plastic deposited on them require more time  
 425 to be sampled since more item have to be analyzed. We therefore emphasize the importance to expand  
 426 riverbank plastic monitoring efforts to areas with higher plastic concentrations.

427 We see possibilities to further expand on and improve current riverbank identification protocols  
 428 through the utilization of new technologies. The usage of cameras with artificial intelligence models  
 429 to automatically quantify litter could be utilized to significantly decrease the effort required monitoring.  
 430 Such cameras and software are already being used to quantify floating riverine plastic (Basurko *et al.*,  
 431 2019; Kataoka & Nihei, 2020). Combining this technology with the utilization of unmanned aerial  
 432 vehicles (UAVs) has been suggest as effective alternatives to quantify floating macroplastic transport  
 433 in rivers (Geraeds *et al.*, 2019) and beach litter (Martin *et al.*, 2018). The implementation of such

434 technologies is easier when a common framework for riverbank litter monitoring is adopted since this  
435 ensures that units of measurement are similar between different monitoring programs.

436 Finally, it is important to recognize that riverbank litter is only one component of the total litter  
437 transport in a river system. Like Van Emmerik & Schwarz (2020) have identified, the total litter load  
438 in a river is made up of several components, including floating and suspended litter among others.  
439 Therefore, specific methods for each component have to be combined to fully quantify riverine litter  
440 transport, and to study whether studying one element is representative for the total plastic transport  
441 within rivers. Doing so would provide a more accurate picture of litter transport by rivers, which could  
442 aid with the development of reduction and mitigation strategies, as well as with calibration of global  
443 riverine plastic emission models (e.g. Jambeck *et al.*, 2015; Lebreton *et al.*, 2017; Meijer *et al.*, 2019)  
444 (Vriend *et al.*, 2020).

#### 445 **4 Concluding remarks**

446 In this paper, we propose a framework for designing and comparing riverbank macroplastic monitoring  
447 strategies. Monitoring of river plastic pollution is required in order to design efficient mitigation and  
448 removal strategies. However, methods to do so vary greatly which makes it difficult to compare and  
449 use data on a large scale. This novel framework is the first effort to systematically compare monitoring  
450 protocols currently in use.

451 The framework identifies four key elements to riverine monitoring protocols: (1) space (scale, sampling  
452 area and structure), (2) time (duration, structure, frequency, and period), (3) observers, and (4) plastic  
453 categorization (categories and size range), and gives the range of possibilities that can be used for each  
454 of these elements. This framework can be used to systematically compare, harmonize and optimize  
455 current riverbank plastic monitoring protocols, and can be used as a guide for future monitoring  
456 initiatives to matchmake their research goals to suitable research methods.

457 We propose a diagram that can be used to harmonize data between programs, which facilitates the  
458 comparison of data. Moreover, we identify trade-offs that have been made in current monitoring  
459 protocols in their design processes. We use these trade-offs to matchmake specific riverbank plastic  
460 monitoring research questions to the most suitable methods to answer these questions. This information  
461 can be used starting point for those interested in setting up monitoring programs themselves.

462 The framework can be used by researchers, governments and other organizations to help with the  
463 developing and optimizing riverbank macroplastic monitoring strategies that fit local conditions and  
464 ambitions. We hope that this guiding framework offers help for those wanting to start monitoring  
465 riverbank plastics, and with it, lowers the threshold for organizations to do so. This framework is a step  
466 towards a standardized riverbank plastic monitoring protocol. Frequent and long-term monitoring  
467 using such a protocol would provide scientifically sound and objective data on global plastic pollution,  
468 which will allow for the finding of answers to fundamental questions about how plastic is transported  
469 within river systems, where it accumulates and how to efficiently remove it. These data could be used  
470 for the development of targeted and effective policy to decrease plastic environmental pollution and to  
471 reduce the negative impacts it currently has.

472 **5 Conflict of Interest**

473 All authors declare that the research was conducted in the absence of any commercial or financial  
474 relationships that could be construed as a potential conflict of interest.

475 **6 Author Contributions**

476 PV and TvE conceived the idea, PV conducted the literature review and prepared the initial draft, PV,  
477 CR and TvE wrote the final manuscript.

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