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Same but different: A framework to design and compare riverbank plastic monitoring strategies

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8 **Keywords:** Macroplastic, microplastic, observations, litter, hydrology, marine litter, citizen
9 scientists.

10 Abstract

11 Plastic pollution in rivers negatively impacts human livelihood and aquatic ecosystems. Monitoring
12 data are crucial for a better understanding of sources, sinks and transport mechanisms of riverine
13 macroplastics. In turn, such understanding is key to develop effective plastic pollution prevention,
14 mitigation and removal strategies. Riverine plastic has been observed in all compartments, of which
15 floating macroplastic and riverbank plastic are most frequently studied. Existing riverbank plastic
16 measurement methods vary greatly, which complicates direct comparison of data collected with
17 different methods. We present a framework to better compare and to aid the design of riverbank plastic
18 monitoring methods, which is based on four common elements distilled from riverbank (plastic) litter
19 monitoring methods currently in use. This framework can be used by scientists and practitioners to find
20 the right trade-offs between the data required to answer specific research questions, and the available
21 resources. With this paper, we aim to provide a first step towards harmonization of riverbank (plastic)
22 litter monitoring efforts.

23 1 Introduction

24 Plastic pollution in the riverine environment has been a topic of rising concern, due to its associated
25 negative effects. These effects include increased mortality rates of fauna through ingestion or
26 entanglement, damage to property, a reduction of livelihoods of those dependent on rivers, increased
27 flood risk through the blockage of urban drainage systems, and transport of plastic into the world's
28 oceans (Van Emmerik & Schwarz, 2020; Honingh *et al.*, 2020). Macroplastics are also a major source
29 of microplastics in the riverine environment since they break down after exposure to ultraviolet light
30 or mechanical forces in rivers (Weinstein, Crocker & Gray, 2016). Despite the clear negative
31 consequences of riverine macroplastics, a fundamental understanding of its sources, sinks and transport
32 mechanisms has not yet been achieved.

33 Monitoring plastic in the riverine environment is a prerequisite for understanding where plastic
34 accumulates, and how it is transported. Reliable and frequent river plastic observations can aid the
35 development of effective policy measures and mitigation strategies (Owens & Kamil, 2020; Vriend *et al.*,
36 2020). Long-term observation of beach litter has already shown that monitoring can be used to
37 determine fundamental characteristics of plastic transport in aquatic environments. Olivelli *et al.*

38 (2020), for example, identified beaches as a major sink for plastic in the marine environment based on
39 a dataset gathered through long-term monitoring of beach litter. Van Emmerik *et al.* (2019) observed
40 a tenfold increase in plastic flux in the river Seine as a result of increased river discharge, suggesting
41 hydrological factors as main drivers of plastic transport. Based on field measurements along the Rhine,
42 Mani *et al.* (2015) proposed that microplastic concentrations within river systems reflect the population
43 and industry density in the proximity of the river. Data from (long-term) monitoring efforts support the
44 development of targeted policy, and can be used to test whether implemented measures to reduce
45 plastic pollution are effective (van Calcar & van Emmerik, 2019; González-Fernández *et al.*, 2018).
46 Despite the increasing efforts to monitor plastics in river systems, data are often still collected
47 inconsistently over time and space by different studies, in part due to the complexity of riverine plastic
48 transport.

49 Plastics have been observed in all river compartments; floating plastic, plastic within the water column,
50 riverbed plastic, plastic within biota, and plastic that has been (temporarily) deposited on the riverbanks
51 or within sediment (Van Emmerik & Schwarz, 2020). To date, the floating (e.g. González-Fernández
52 & Hanke, 2017; Van Emmerik *et al.*, 2018), and the riverbank plastics (e.g. Kiessling *et al.*, 2019; Rech
53 *et al.*, 2015) have been most frequently studied, while the other compartments remain difficult to
54 quantify. With the increased amount of efforts to monitor riverine macroplastics, the need for method
55 harmonization became clear (González-Fernández & Hanke, 2017). First efforts for harmonization
56 have been made, for example through the RIMMEL project for floating macroplastics (González-
57 Fernández & Hanke, 2017). However, such a large-scale effort does not yet exist for riverbank plastic
58 pollution. Given the recent interest in riverbank plastic monitoring (e.g. Kiessling *et al.*, 2019; Battulga
59 *et al.*, 2019; Van Emmerik *et al.*, 2020), the aim of this paper is to contribute to the harmonization of
60 these riverbank plastic monitoring efforts.

61 Riverbank plastic monitoring aims to systematically collect data that can aid with developing strategies
62 to decrease plastic pollution. Several of these efforts have been documented in the scientific literature
63 (e.g. Kiessling *et al.*, 2019; Battulga *et al.*, 2019; Van Emmerik *et al.*, 2020), but a large section of
64 riverbank litter identification protocols remains unreported in peer-reviewed literature (Owens &
65 Kamil, 2020). The driving questions, methods, types of observers, and types of data output vary greatly
66 between protocols, which can create difficulties when comparing results between different programs
67 (Owens & Kamil, 2020).

68 We examined the protocols currently in use, and identified overlap and differences between them to
69 create an overarching framework to facilitate systematic comparisons between protocols. This
70 framework can be used by scientists, practitioners, and other organizations as a tool to help develop
71 monitoring programs, or to better tailor programs currently in use to their specific needs. This is useful
72 since a wide range of methods are currently being used to quantify riverbank plastic pollution, each
73 having their own balance between several factors based on local context and available resources. When
74 developing a monitoring protocol, it can be beneficial to have an overview of the range of possibilities,
75 and the effects that certain decisions have on the output data. We therefore determine which approaches
76 are most suited for specific research questions. The goal of this study is to provide a framework that
77 can be used to (1) effectively compare monitoring programs, and (2) act as a tool that can support
78 researchers, governments and other organizations with developing and optimizing riverbank
79 macroplastic monitoring strategies that fit local conditions and ambitions.

80 **2 A framework for riverbank macroplastic monitoring**

81 We identified four key elements to riverine monitoring protocols: (1) space (scale, sampling area and
 82 structure), (2) time (duration, structure, frequency, and period), (3) observers, and (4) plastic
 83 categorization (categories and size range) (Fig. 1). These elements were distilled from riverbank litter
 84 monitoring protocols currently in use. The list of protocols currently in use was taken from the literature
 85 identified by Van Emmerik & Schwarz (2020). In addition, we included a recently proposed protocol
 86 by Battulga *et al.*, (2019). The protocols considered for the development of the proposed framework
 87 were the Plastic Pirates protocol by Kiessling *et al.* (2019), the *Schone Rivieren* (Dutch for “Clean
 88 Rivers”) protocol by the Dutch North Sea Foundation (Schone Rivieren, 2017), the protocol developed
 89 by Battulga *et al.* (2019) (hereafter called Battulga protocol), and the CrowdWater Protocol (Van
 90 Emmerik *et al.*, in review). Two beach litter quantification protocols, developed by the OSPAR
 91 commission (2010) and United States National Oceanic and Atmospheric Administration (NOAA)
 92 (Lippiatt *et al.*, 2013), were included in the study for comparison and to identify possible improvements
 93 of riverbank protocols. Both the available peer-reviewed literature and other materials available (e.g.
 94 training materials, item identification sheets) were studied for each protocol.

95 Based on this literature review, we present a framework (Fig. 1) that allows for the comparison and
 96 optimization of monitoring protocols. The framework depicts the range of possibilities for four key
 97 element and their respective sub-elements of riverbank plastic monitoring protocols. Specific protocols
 98 can be compared by the addition of colored dots on the range of possibilities. Considerations such as
 99 costs and effort required for different positions on these ranges are elaborated further in the text. Each
 100 monitoring project has limited resources, and this framework can also be used to identify tradeoffs:
 101 resources spent on one element reduce the amount of resources left for other elements. By identifying
 102 these tradeoffs, this framework offers the possibility for current and future monitoring protocols
 103 optimize this multitude of variables for their needs and resources, and match specific research questions
 104 to certain methods to be used.

Element	Sub-element		Range	
Space	Domain	Sub-basin		Multi-basin
	Sampling area	Subsampling		Sampling larger area
	Structure	Structured		Unstructured
Time	Period	4 Weeks		Single day
	Frequency	Yearly		Daily
	Structure	Structured		Unstructured
	Duration	Singular		Multi-year
Observers		Citizen Scientists		Trained Professionals
Categorization	Category	Material Based		Identity Based
	Size Range	Macro		Macro and Micro

105

106 **Figure 1: A schematic representation of the proposed framework for riverbank plastic pollution**
 107 **quantification protocols. The range of possibilities is given for each element within the**
 108 **framework. The colored dots represent where the Plastic Pirates (blue), Schone Rivieren (green),**
 109 **Battulga (yellow) and CrowdWater (red) are on this scale of possibilities.**

110 **2.1 Space: domain, sampling area, and structure**

111 The first spatial element that shapes monitoring protocols is the domain. The domain is the spatial
 112 coverage of the sampling program and determines the number of sampling locations that are required
 113 (Fig. 2A). When the research questions are focused on quantifying plastic presence a local scale, the
 114 number of measuring locations can be relatively low. For example, Battulga *et al.* (2019) quantified
 115 plastic pollution on a sub-basin scale and therefore only used twelve sampling sites relatively close to
 116 each other. However, when the aim of monitoring is to gather more holistic understanding on the spatial
 117 distribution of riverine plastic on a (multi) river basin scale, the number of sampling sites, and with it
 118 the required effort, increases. For example, The Schone Rivieren protocol used over 200 sampling sites
 119 to examine the Dutch segments of the Rhine and Meuse rivers (Van Emmerik *et al.*, 2020), and the
 120 Plastic Pirates project had a total of 360 sampling sites to sample five major rivers across Germany.
 121 Difficulties arise with finding enough trained professionals to do sampling with so many sampling
 122 sites. Therefore, both these large-scale projects have opted to utilize citizen scientists for data
 123 collection. Such a decision is an example of how choices made for space can cause tradeoffs for other
 124 elements within the framework such as observers.

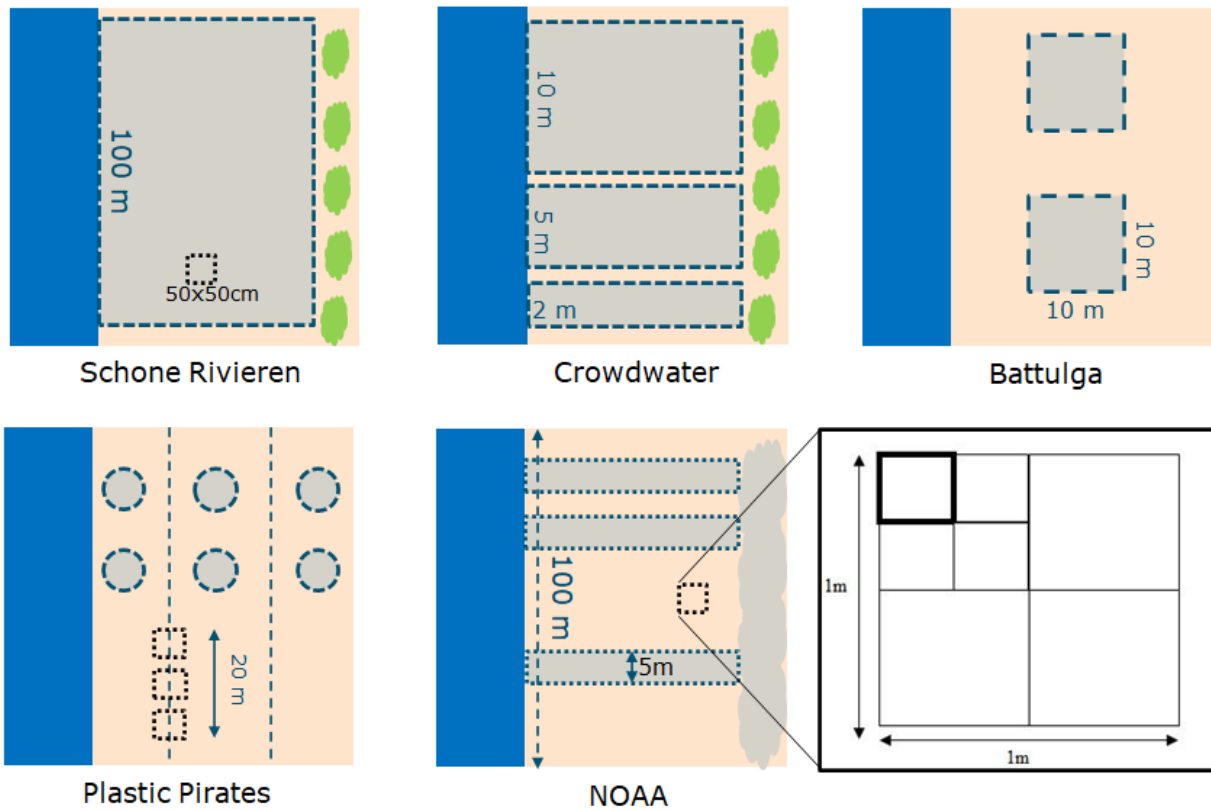
125 The second sub-element of space to consider when developing riverbank plastic pollution
 126 quantification protocols is the sampling area that is used to sample plastic on riverbanks (Fig. 2B). We
 127 have identified two distinct groups of sampling areas within the literature, these groups being (1)
 128 sampling a large predetermined area, and (2) taking subsamples within such predetermined areas (Fig.
 129 2). The former is characterized by the samples being taken at the same, large (>25 m²) sampling area

130 (e.g. Schone Rivieren, 2017; Battulga *et al.*, 2019). The latter is characterized by the allocating of
131 subsamples in a predetermined area (e.g. Kiessling *et al.*, 2019; Rech *et al.*, 2015, Lippiatt *et al.*, 2013).
132 Larger sampling areas that are currently being used range from 25 m² (Dalu *et al.*, 2019), to 100 m²
133 (Battulga *et al.*, 2019), to 2500 m² (Schone Rivieren, 2017). The advantage of sampling a
134 predetermined larger area is that the same area of the riverbank is covered every sampling round.
135 However, sampling a large area also requires more time compared to its subsampling counterpart. In
136 order to reduce time requirements for the analysis, most methods only analyze litter that can be seen
137 while standing up (Lippiatt *et al.*, 2013; Schone Rivieren, 2017; Van Emmerik *et al.*, in review). This
138 leads to a higher degree of uncertainty in the data collected on smaller sized litter (Hanke *et al.*, 2019).

139 Taking subsamples reduces the time required to perform the analysis, which allows for a more detailed
140 analysis of the litter that is encountered. For example, Kiessling *et al.* (2019) allow for the observers
141 to kneel and count. This reduces the uncertainty in the analysis of smaller particles as observed by
142 Hanke *et al.* (2019). However, subsampling also comes with downsides, such as the risk of the over or
143 under estimation of larger and less frequently found items. Moreover, most protocols allow the
144 observers to choose where they take their samples, which can lead to data being influenced by observer
145 bias. This issue can be negated by introducing an element of randomness to the sampling. For example,
146 the NOAA beach monitoring protocol introduced random number tables which determine the exact
147 location of transects, and the location of the microplastic sample (Lippiatt *et al.*, 2013).

148 Depending on the goal of the monitoring program, data collection can be extended by collecting data
149 at multiple distance-based zones, ranging from close to far from the river. By logging the distance of
150 litter compared to the river, it can be determined at what levels of river discharge specific litter items
151 are transported and deposited (Van Emmerik *et al.*, 2020). This sub-element can be introduced by
152 subdividing the sampling area in different (hydrological) zones, and determining what plastic is found
153 within these zones. For example, Kiessling *et al.* (2019) take subsamples in three different hydrological
154 zones on the riverbank, these being the river edge (river – 5 m), the riverbank (5 – 15 m away from the
155 river), and the zone that is not in contact with the river (15 m and beyond) (Fig. 2). This principle could
156 also be implemented in protocols that do not take subsamples such as the Schone Rivieren project.
157 However, an important consideration with these types of sampling methods is that river discharge, and
158 thus the location of the water line on the riverbank, differ throughout the year. To avoid these problems
159 and produce comparable data throughout changing conditions one can choose to take random
160 subsamples within this area, or one can log the exact baseline location using GPS data.

161 The third element to consider in space is the decision-making process for choosing where to perform
162 monitoring along the river. The process of choosing sampling locations can either be structured or
163 unstructured (Fig. 2A). In a structured process, locations are determined by expert judgement and are
164 sampled in each measuring round (e.g. Schone Rivieren, 2017). A structured process allows for site
165 specific time trend analysis of plastic but is less suitable for examining the spatial variance of
166 macroplastic along the river (Van Emmerik *et al.*, 2020). An unstructured location decision process
167 allocates sampling sites randomly along the river. As a result, different locations (both the side of the
168 river and distance upstream from the river mouth) are used for each measuring round. For example,
169 the sampling locations for the CrowdWater project (Van Emmerik *et al.*, in review) are not
170 predetermined. Unstructured allocation of sampling sites gives a more representative overview of the
171 spatial distribution of plastic over the river, and reduces the influences of site specific characteristics
172 (e.g. how many visitors, proximity to sources of macroplastic) on the results (Van Emmerik *et al.*,
173 2020).

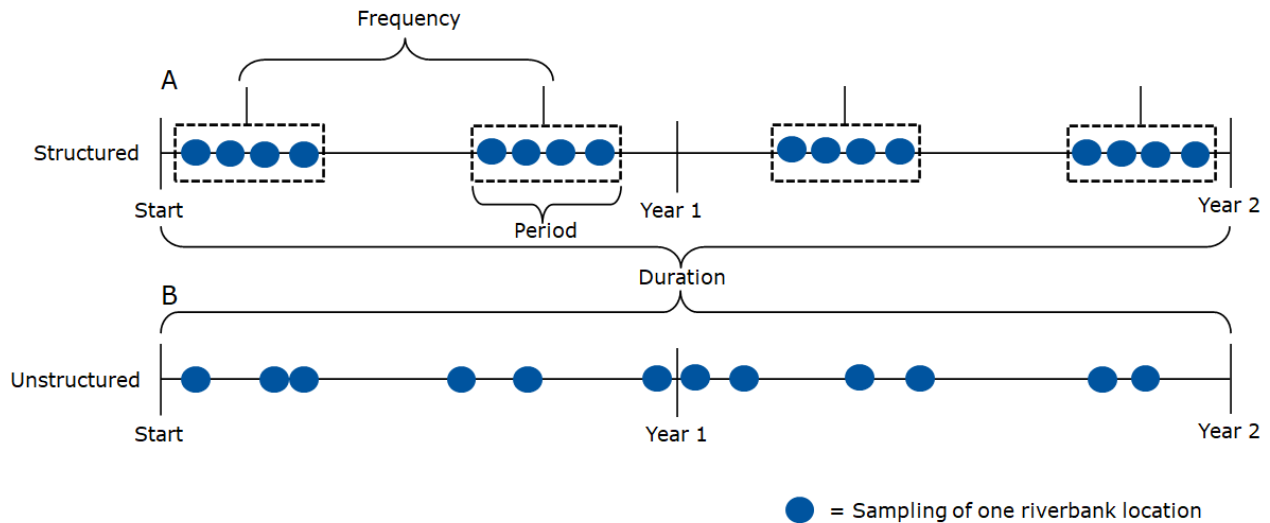


174
 175 **Figure 2: An overview of sampling areas for multiple riverbank plastic quantification**
 176 **protocols, and the NOAA beach litter protocol to exemplify random sampling.**

177 **2.2 Time: period, frequency, structure, and duration**

178 The element of time can be divided in four sub-elements: the sampling period, frequency, temporal
 179 structure, and the duration (Fig. 3). The timeframe in which all measurements for a measuring round
 180 are performed is called the sampling period. A measuring round is a set point in time at which the
 181 macroplastic presence is quantified. The ideal length for measuring periods depends on the questions
 182 that one wants to answer. The period should be as short as possible when trying to determine the total
 183 plastic presence at a given time. This in order to reduce the effects of changes in environmental factors,
 184 such as discharge and wind, on the results. When trying to determine the effects of these environmental
 185 factors on riverine plastic transport, the sampling period should be longer and continuous in order to
 186 capture the natural variability of these events and their influence on the presence of plastic. Sampling
 187 periods vary widely over protocols. The Schone Rivieren protocol uses a measuring period of four
 188 weeks (Van Emmerik *et al.*, 2020). The beach litter protocol developed by the National Oceanic and
 189 Atmospheric Administration (NOAA) wants measurements to be performed every 28 ± 3 days, the
 190 sampling period therefore is six days. A one-day period was used by the Plastic Pirates project
 191 (Kiessling *et al.*, 2019) and the CrowdWater project (Van Emmerik *et al.*, in review) since their
 192 observers did the work as part of the curriculum of schools and universities.

193



194

195 **Figure 3: The four elements of time depicted on two timelines, where timeline A represents**
 196 **structured temporal sampling, and timeline B depicts unstructured temporal sampling. The**
 197 **duration is the total time that samples are taken, the frequency is the number of samples that are**
 198 **taken annually, and the period the time that samples are considered as one measuring round.**

199 The second sub-element of time is the sampling frequency. The sampling frequency is the number of
 200 times a sample is taken during a year. Ideally, the frequency should be balanced: samples should be
 201 taken at a rate high enough to identify litter trends, while not overburdening the observers. Most
 202 riverbank litter quantification protocols sample at a biannual frequency, once in spring and once in fall
 203 (Kiessling *et al.*, 2019; Schone Rivieren, 2017). This is lower than frequencies used for coastal litter
 204 quantification, that are four times per year for the beach OSPAR method (OSPAR Commission, 2010)
 205 or once every month in the NOAA beach litter protocol (Lippiatt *et al.*, 2013). The optimal frequency
 206 depends on the research questions. For example, if one tries to analyze the effects of local
 207 hydrometeorological changes on macroplastic transport and deposition, the sampling frequency should
 208 match the scale at which such events happen.

209 A third element to consider is the structure of the sampling. The two aforementioned sub-elements
 210 occur when the protocol is structured. Structured protocols have a predetermined time protocol in
 211 which the timing of the sampling follows a preset pattern of measuring rounds and periods (e.g. Schone
 212 Rivieren, 2017; Kiessling *et al.*, 2019). The advantage of such a protocol is that the timing of the
 213 observations within the year are similar (e.g. beginning of fall), which ensures similar
 214 hydrometeorological conditions during each sampling round. Plastic sampling can also be
 215 unstructured. A random temporal protocol randomly allocates time slots for locations to be sampled
 216 rather than to follow a predetermined pattern. This allows for a larger temporal spread of observations
 217 throughout the year and captures more of the environmental gradients. The CrowdWater project comes
 218 closest to fully unstructured sampling as the observers are not bound to assigned observation time slots.
 219 This does imply, unfortunately, that external factors influencing the observer (e.g. weather preferences)
 220 can introduce bias in the results.

221 The fourth sub-element of time is the duration of the monitoring program. The duration is the range in
 222 time that observations are made on riverbanks, and can range from singular observations (Battulga *et*
 223 *al.*, 2019) to multi-year monitoring programs (Kiessling *et al.*, 2019; Van Emmerik *et al.*, 2020). This
 224 element has a large impact on the amount of resources that are required and can therefore affect choices

225 for other elements. For example, Battulga *et al.* (2019) performed a one-off quantification of riverbank
226 plastic which allowed for highly detailed item identification using only a few trained specialists. On
227 the other hand, Kiessling *et al.* (2019) opted for a long-term monitoring plan that required them to
228 utilize citizen scientists for their observations instead.

229 **2.3 Observers**

230 The third element is the choice of observers. The quality of the observers determines the quality of the
231 data. Two main schools can be identified in the literature: sampling through the use of experts (e.g.
232 Battulga *et al.*, 2019) or through the utilization of citizen scientists (e.g. Kiessling *et al.*, 2019; Schone
233 Rivieren, 2017; Lippiatt *et al.*, 2013; Van Emmerik *et al.*, in review). Sampling by trained professionals
234 guarantees the highest chance for samples being taken similarly over time. However, hiring
235 professionals is expensive and can thus limit the total number of samples that can be taken. Moreover,
236 only a limited number of professionals are available, leading to further limitations in the number of
237 samples that can be taken in a sampling period. Many initiatives therefore decided to use citizen
238 scientists to sample riverbank litter. This allows for a large area to be sampled in a short period of time,
239 while keeping the costs relatively low. This has the added benefit that it creates public awareness for
240 the problem (Rambonnet *et al.*, 2019). It is important to consider what the target group of the citizen
241 scientists is, since this can impact the data quality. For example, Schone Rivieren (2017) and the
242 CrowdWater project (Van Emmerik *et al.*, in review) used trained adults to do the sampling, while
243 Kiessling *et al.* (2019) and Rech *et al.* (2015) let schoolchildren (aged 10-16 years) collect their
244 samples. To retain credible data quality, Kiessling *et al.* (2019) and Rech *et al.* (2015) used a simplified
245 method compared to Schone Rivieren (2017), reducing for example the amount of litter categories.

246 Training of citizen scientists increases the quality and consistency of the data generated by citizen
247 scientists (Zettler *et al.*, 2017). Training can be done in multiple forms, for example, Kiessling *et al.*
248 (2019) have developed an education program to be taught at schools. This program teaches children
249 about environmental pollution and teaches the methods for riverbank litter sampling. Schone Rivieren
250 (2017) held training days at which trained professionals teach volunteers how to properly apply the
251 methods to standardize the collection method as much as possible. However, besides the training, the
252 research team has little control over the data quality. It is therefore important to introduce a method to
253 determine the accuracy of the volunteers. This, for example, can be done by reference measurements
254 by trained professionals (Schone Rivieren, 2017; Van Emmerik *et al.*, in review), or by requiring the
255 volunteers to take pictures of the research area so the raw data can be checked (Kiessling *et al.*, 2019).

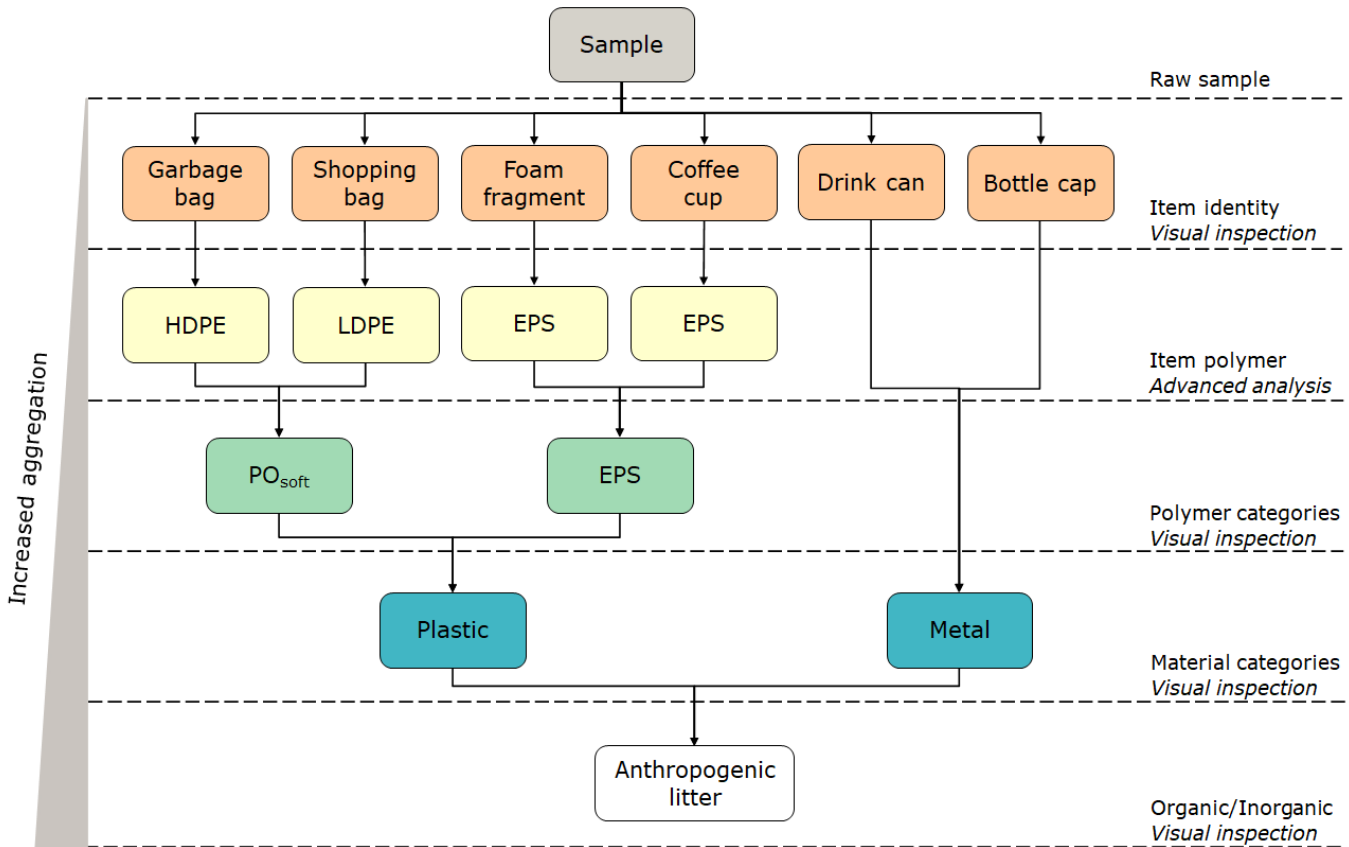
256 **2.4 Categorization**

257 Methods to classify the composition of litter in the literature can roughly be subdivided in three
258 categories: classification based on identity, function, or material type of the item (Hoellein *et al.*, 2014).
259 Item identity-based classification methods (e.g. Schone Rivieren, 2017) rely on the researcher
260 identifying what the item is (e.g. cigarette filter, plastic bag, plastic bottles, etc) and counting the
261 specific items found in the research area. The advantages of using this system is that the litter is
262 characterized at a very detailed level. Such data allows for more detailed and targeted data output for
263 the monitoring. For example, identity-based categorization of floating macroplastic allowed the
264 RIMMEL project to identify the ten most frequently found items in the rivers they examined, which
265 can be used by policy makers to implement highly targeted pollution reduction policies (González-
266 Fernández *et al.*, 2018). Identity-based classification methods risk having too many categories, which
267 can lead to misclassification by the observers (Rambonnet *et al.*, 2019). Several methods alleviated
268 this problem by reduction of the number of item categories (e.g. Kiessling *et al.*, 2019).

269 Function-based composition classification methods categorize litter based on what the item is used for
270 (e.g. fishing, food related, construction) (Schwarz *et al.*, 2019). This method of determining litter
271 composition is less time consuming than the identity-based system, and the data can be compared to
272 plastic production data to determine the amount of plastic lost to the environment (Geysler *et al.*, 2017).
273 Function-based analysis offers less detail for data analyses, and some items can belong to several
274 function categories, which can make it difficult for the observer.

275 Material-based composition classification methods characterize litter based on the material it is
276 (predominantly) made off (e.g. plastic, metal, glass). Each of these material types can be subdivided
277 further in types of the material (e.g. different plastic polymers, metal types) (e.g. Van Emmerik *et al.*,
278 2018; Van Emmerik *et al.*, in review). Material-based composition methods are useful when it is
279 difficult to identify the identity or function of litter, or when research is focused on one material type
280 (e.g. plastic). However, it is more difficult to identify possible sources of litter using this system. Proper
281 identification of polymer types may require lab analysis of the litter (e.g. Raman spectroscopy or
282 Fourier transform infrared spectroscopy) when labels on the items are missing, and classification
283 difficulties occur when certain items are made up of multiple materials (Van Emmerik *et al.*, 2020).
284 Item based classification would be more suitable for such items.

285 Harmonization of data is required to allow for the comparison of results between monitoring programs.
286 The fact that most studies use their own categorisation schema makes that the combination of the results
287 of multiple studies is only possible at the cost of a reduced level of detail of the data. Figure 4 shows a
288 small section of a multi-layered schema that can be used to harmonize data from monitoring projects
289 that have used different forms of categorization. The top layer of this scheme represents the total sample
290 that is taken on the riverbank without categorization. This data can be used to quantify the amount of
291 litter on riverbanks but does not consider what types of litter are found. The next layer represents the
292 most detailed categorization that can be applied to the sample (identity-based categorization). Each
293 further layer represents an increase in level of aggregation of the data gathered and a decrease in effort
294 required to gather it. Aggregation of data obviously comes at the cost of a reduction in the level of
295 detail but with the profit that more studies can be combined. When comparing datasets, the data can be
296 aggregated to the level of detail of the projects lowest on this scale. For example, when comparing data
297 from the CrowdWater project (Van Emmerik *et al.*, in review) that categorizes to polymer types with
298 the Schone Rivieren protocol that categorizes at an item function, the data can only be compared at the
299 level of detail of polymer type. The ideal level of detail of categorization depends on the research
300 questions one tries to answer. The highest detail level of data could be necessary when one tries to
301 trace specific items back to their source and can be used by policymakers to help develop targeted
302 policy to reduce the most frequently found items. Lower levels of detail suffice for research that aim
303 to quantify riverine plastic presence or identify riverine plastic hotspots.



305 **Figure 4: An example of riverbank plastic classification, where the upper layer represents the**
 306 **most detailed categorization (identity based, based on OSPAR categorization, not an exhaustive**
 307 **list), and each layer below represents a higher level of aggregation. The type of categorization**
 308 **and how this categorization is achieved is listed on the right side.**

309 A second consideration for categorization is the size range of the debris that is analyzed. Riverbank
 310 plastic quantification protocols can be subdivided in three categories based on size of debris analyzed
 311 (1) protocols that quantify macroplastic, (2) protocols that quantify microplastic, and (3) protocols that
 312 analyze both micro- and macroplastics. Protocols that quantify macroplastic have an approximate
 313 lower end size limit of 5 mm, though smaller particles are observed with a higher uncertainty since
 314 most protocols only sample litter that can be seen by observers who are standing up straight (Hanke *et*
 315 *al.*, 2019). Protocols that quantify microplastic are well established and highly standardized (e.g. Klein
 316 *et al.*, 2015), since far more research has been done on microplastic than on macroplastic (Blettler *et*
 317 *al.*, 2018).

318 Protocols that examine both size categories analyze macroplastic similarly to other protocols (e.g.
 319 Battulga *et al.*, 2019). However, the microplastic analysis differs from the standardized protocols used
 320 for microplastic analysis. These methods include smaller particles up to a lower limit of around 1 mm.
 321 With this, small pellets are included, but microplastic for which lab analysis is required are excluded
 322 (Van Emmerik *et al.*, 2020). Moreover, extensive treatment and analysis of the samples taken for
 323 microplastic analysis is lacking. The protocols used surely should be expanded to get an accurate
 324 indication of microplastic abundance in riverine systems.

325 **2.5 Trade-offs**

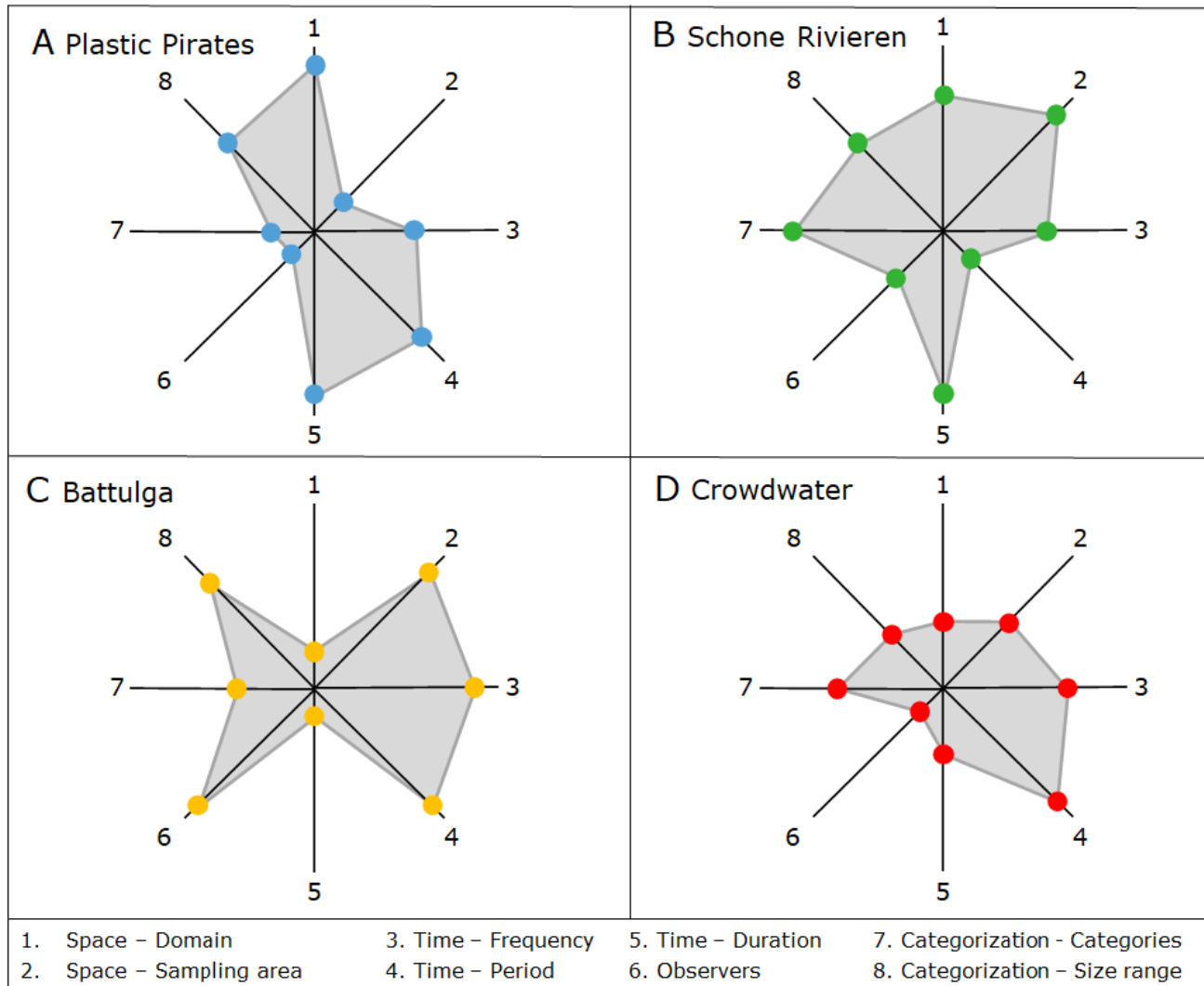
326 When setting up monitoring programs using this framework (Fig. 1), it is important to consider
 327 tradeoffs between dimensions since certain decisions made for one element can influence the range of
 328 possibilities for another element. When considering that a project has limited resources, a balance has
 329 to be found between these elements. If one decides to sample a large area, or sample with high temporal
 330 requirements, it may be required to reduce the level of detail of the categorization in order to reduce
 331 the required human and financial resources. A second tradeoff can be identified between observers and
 332 categorization. Here, the decision on who is going to perform the research can influence the detail level
 333 of the categorization, and vice versa. For example, Kiessling *et al.* (2019) collaborated with school
 334 children aged 10-16 years for the data collection. This enabled them to sample a high number of
 335 locations at the same time since a large group of observers was available. However, this meant that
 336 they had to simplify the categorization to the point that only seven selected items were being analyzed
 337 since more complex categorization was deemed too complex for school children observers. The Schone
 338 Rivieren (2017) project uses a more elaborate categorization list of 109 items, which means that they
 339 require better trained observers for their sampling. Lastly, a trade-off presents itself between the
 340 elements of spatial scale and observers. When the spatial scale of sampling becomes too large, it is not
 341 feasible to gather enough trained professionals to sample at all locations, and thus requires the
 342 utilization of citizen scientists as observers.

343 The aforementioned trade-offs can be identified when each decision element range is visualized (Fig.
 344 5). Each axis in the plots in figure 5 represents the scale of possibilities for each element as presented
 345 in figure 1, where the inner circle represents the low effort/priority, and the outer circle represents high
 346 priority. The shape and orientation of the areas created by the marks are distinctly different for each
 347 protocol. For example, the Schone Rivieren protocol (Fig. 5B) is orientated towards axis 1, 2, 3, 7, and
 348 8, which translates in a high priority for spatial domain, sampling area, duration, categorization and
 349 size range. Contrarily, the CrowdWater protocol (Fig. 5D) is orientated towards axis 3 and 4, indicating
 350 a priority for frequency and period. Each of the four protocols analyzed shows a distinctly different
 351 pattern, indicating tradeoffs were made. These differences are caused by the research goals of each
 352 monitoring program. The patterns in Figure 5 can be used to match methods to specific research
 353 questions. This knowledge can be used for future monitoring efforts to decide which methods to use.

354 The research aims for the Plastic Pirates project were to determine the material composition and spatial
 355 distribution of litter on a multi-river basin scale. To do so, Kiessling *et al.* (2019) had to make trade-
 356 offs on observers and categorization: the sampling was performed by citizen scientists, and the
 357 categorization was reduced to seven items. Future monitoring efforts that aim to determine the
 358 composition and spatial distribution of riverbank litter will likely encounter the same trade-offs and
 359 should therefore also take a citizen science approach with a similar temporal and spatial structure as a
 360 starting point.

361 The Schone Rivieren protocol can be used as an example for monitoring efforts with the aim to identify
 362 litter trends of specific items over time and space. The highly detailed categorization used within the
 363 Schone Rivieren protocol allows for the quantification of specific items. These data can be used to
 364 determine most frequently found items and to design targeted policy. To answer these specific research
 365 questions, the Schone Rivieren project required a protocol with a focus on duration and item
 366 categorization. However, the large domain also created the need for a citizen science approach instead
 367 of trained professionals. Future monitoring efforts with similar aims should therefore take the Schone
 368 Rivieren approach as a starting point.

369 The Battulga protocol is an example of how plastic pollution can be quantified on a local scale. By
 370 reducing the domain, the Battulga protocol allows for resources to be spent on a highly trained
 371 observers and a detailed categorization level. Future projects with the need for such a localized and
 372 detailed analysis can therefore use this protocol as a starting point. Lastly, the CrowdWater protocol is
 373 an example of a method to gather data in a relatively fast way. This quick method decreases the
 374 threshold for new citizen scientists to join the project. Though the data gathered using this method is
 375 rather coarse, the large group of citizen scientists ensure that the method can be applied on a large
 376 spatial and temporal scale. Future monitoring projects that quickly require data on a large temporal and
 377 spatial scale, and do not require a high level of detail in the data, can use the CrowdWater as a template.



378 **Figure 5** Graphical representation of the choices made for each element for the **Plastic Pirates**
 379 **protocol (A)**, **Schone Rivieren protocol (B)**, the **Battulga protocol (C)**, and the **CrowdWater**
 380 **protocol (D)**. Where each axis represents the following elements: **1. Sampling scale**, **2. Space -**
 381 **Sampling area**, **3. Time - Frequency**, **4. Time - Period**, **5. Time - Duration** **6. Observers**, **7.**
 382 **Categorization**, and **8. Size range**. For each axis, the inner part represents low priority, and the
 383 outer part represents high priority. The sub-element of structure for time and space were
 384 excluded since these factors do not influence total cost.

385 **3 Discussion**

386 Riverine plastic pollution is a global and transboundary problem that requires internationally consistent
 387 observations to be reduced. We have identified several steps that can be taken to improve riverbank
 388 litter monitoring programs on such a global scale. Firstly, we mark the importance of using harmonized
 389 protocols. Currently, methods vary greatly between monitoring programs. This suffices for monitoring
 390 litter on a local scale, but it makes identifying riverine litter trends on a global scale more difficult since
 391 the collected data may also differ considerably (Rambonnet *et al.*, 2019). Building upon this, we also
 392 highlight the need for the sharing of data between litter monitoring initiatives. Little data is currently
 393 shared between riverine litter monitoring programs (González *et al.*, 2016). Data collection, recording
 394 and sharing could be further harmonized and streamlined through the usage of standardized apps
 395 (Rambonnet *et al.*, 2019). The sharing, and subsequent intercomparison of data between different
 396 monitoring programs could aid with identifying strengths and weaknesses of the methods that are being
 397 applied and allow for. It would also allow for the comparison of monitoring programs for the same
 398 river in different countries (e.g. Kiessling *et al.*, 2019, Schone Rivieren, 2017). Moreover, comparing
 399 data between different areas could present insights on how litter pollution is different in different
 400 regions and river basins.

401 Secondly, we identified a discrepancy in the focus of riverine litter research. Riverbank litter
 402 quantification efforts can be grouped in two categories: plastic focused or all anthropogenic litter
 403 focused research. Plastic focused efforts only quantify plastic litter that is found on riverbanks (e.g.
 404 Battulga *et al.*, 2019; Van Emmerik *et al.*, in review). While plastic has been recognized as a major
 405 component of litter in river systems (Van Emmerik & Schwarz, 2020), research has shown that litter
 406 composed of other materials has a significant presence as well (Kiessling *et al.*, 2019). What materials
 407 to focus the monitoring efforts on depends on what the monitoring data will be used for. Detailed data
 408 on all material types are required for developing preventative policy measures since data on frequently
 409 found items can be used to implement bans on these items. Research focused solely on plastics is useful
 410 for riverbank cleaning efforts since different plastic polymers are handled differently by waste
 411 handlers. It is however important to consider with plastic focused monitoring that litter made up of
 412 different materials are also present when communicating the results.

413 Thirdly, the proposed framework is based on studies that have been applied on European rivers since
 414 these are most frequently studied for plastic pollution (Blettler *et al.*, 2018; Owens and Kamil, 2020).
 415 However, observations of floating macroplastic transport have demonstrated that typical plastic
 416 concentrations and transport loads can be several orders of magnitude higher in other regions (South-
 417 East Asia versus Europe), and during different hydrological regimes (van Calcar & van Emmerik,
 418 2019). Higher plastic concentrations can influence the applicability of riverbank plastic quantification
 419 protocols. For example, riverbanks with large amounts of plastic deposited on them require more time
 420 to be sampled since more item have to be analyzed. We therefore emphasize the importance to expand
 421 riverbank plastic monitoring efforts to areas with higher plastic concentrations.

422 We see possibilities to further expand on and improve current riverbank identification protocols
 423 through the utilization of new technologies. The usage of cameras with artificial intelligence models
 424 to automatically quantify litter could be utilized to significantly decrease the effort required monitoring.
 425 Such cameras and software are already being used to quantify floating riverine plastic (Basurko *et al.*,
 426 2019; Kataoka & Nihei, 2020). Combining this technology with the utilization of unmanned aerial
 427 vehicles (UAVs) has been suggest as effective alternatives to quantify floating macroplastic transport
 428 in rivers (Geraeds *et al.*, 2019) and beach litter (Martin *et al.*, 2018). The implementation of such

429 technologies is easier when a common framework for riverbank litter monitoring is adopted since this
430 ensures that units of measurement are similar between different monitoring programs.

431 Finally, it is important to recognize that riverbank litter is only one component of the total litter
432 transport in a river system. Like Van Emmerik & Schwarz (2020) have identified, the total litter load
433 in a river is made up of several components, including floating and suspended litter among others.
434 Therefore, specific methods for each component have to be combined to fully quantify riverine litter
435 transport, and to study whether studying one element is representative for the total plastic transport
436 within rivers. Doing so would provide a more accurate picture of litter transport by rivers, which could
437 aid with the development of reduction and mitigation strategies, as well as with calibration of global
438 riverine plastic emission models (e.g. Jambeck *et al.*, 2015; Lebreton *et al.*, 2017; Meijer *et al.*, 2019)
439 (Vriend *et al.*, 2020).

440 **4 Concluding remarks**

441 In this paper, we propose a framework for designing and comparing riverbank macroplastic monitoring
442 strategies. Monitoring of river plastic pollution is required in order to design efficient mitigation and
443 removal strategies. However, methods to do so vary greatly which makes it difficult to compare and
444 use data on a large scale. This novel framework is the first effort to systematically compare monitoring
445 protocols currently in use.

446 The framework identifies four key elements to riverine monitoring protocols: (1) space (scale, sampling
447 area and structure), (2) time (duration, structure, frequency, and period), (3) observers, and (4) plastic
448 categorization (categories and size range), and gives the range of possibilities that can be used for each
449 of these elements. This framework can be used to systematically compare, harmonize and optimize
450 current riverbank plastic monitoring protocols, and can be used as a guide for future monitoring
451 initiatives to matchmake their research goals to suitable research methods.

452 We propose a diagram that can be used to harmonize data between programs, which facilitates the
453 comparison of data. Moreover, we identify trade-offs that have been made in current monitoring
454 protocols in their design processes. We use these trade-offs to matchmake specific riverbank plastic
455 monitoring research questions to the most suitable methods to answer these questions. This information
456 can be used starting point for those interested in setting up monitoring programs themselves.

457 The framework can be used by researchers, governments and other organizations to help with the
458 developing and optimizing riverbank macroplastic monitoring strategies that fit local conditions and
459 ambitions. We hope that this guiding framework offers help for those wanting to start monitoring
460 riverbank plastics, and with it, lowers the threshold for organizations to do so. This framework is a step
461 towards a standardized riverbank plastic monitoring protocol. Frequent and long-term monitoring
462 using such a protocol would provide scientifically sound and objective data on global plastic pollution,
463 which will allow for the finding of answers to fundamental questions about how plastic is transported
464 within river systems, where it accumulates and how to efficiently remove it. These data could be used
465 for the development of targeted and effective policy to decrease plastic environmental pollution and to
466 reduce the negative impacts it currently has.

467 **5 Conflict of Interest**

468 All authors declare that the research was conducted in the absence of any commercial or financial
469 relationships that could be construed as a potential conflict of interest.

470 **6 Author Contributions**

471 PV and TvE conceived the idea, PV conducted the literature review and prepared the initial draft, PV,
472 CR and TvE wrote the final manuscript.

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