1 The relative importance of wind-driven and chimney

2 effect cave ventilation: Observations in Postojna Cave
3 (Slovenia)

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#### 19 Abstract

Density-driven chimney effect airflow is the most common form of cave ventilation, allowing 20 21 gas exchange between the outside and the karst subsurface. However, cave ventilation can also be driven by other mechanisms, namely winds. We discuss the mechanism and dynamics 22 23 of wind-driven ventilation using observations in Postojna Cave, Slovenia. We show how 24 seasonal airflow patterns driven by the chimney effect are substantially modified by outside 25 winds. Wind flow over irregular topography forms near-surface air pressure variations and thus pressure differences between cave entrances at different locations. These pressure 26 27 differences depend on wind speed and direction and their relationship to surface topography and the location of cave entrances. Winds can act in the same or opposite direction as the 28 29 chimney effect and can either enhance, diminish or even reverse the direction of the densitydriven airflows. To examine the possibility of wind-driven flow, we used a computational fluid 30 31 dynamics model to calculate the wind pressure field over Postojna Cave and the pressure 32 differences between selected points for different configurations of wind speed and direction. 33 We compared these values with those obtained from airflow measurements in the cave and from simple theoretical considerations. Despite the simplicity of the approach and the 34 35 complexity of the cave system, the comparisons showed satisfactory agreement. This allowed a more general assessment of the relative importance of wind pressure for the subsurface 36 37 ventilation. We are certain that this example is not unique and that the wind-driven effect needs 38 to be considered elsewhere to provide better insights into the dynamics of cave climate, air 39 composition or dripwater geochemistry.

40 Keywords: cave airflow, pressure difference, driving mechanism, microclimate, Postojna
41 Cave

#### 42 **Highlights:**

- Winds can enhance or diminish typical seasonal density-driven cave ventilation
- The wind-driven effect is generated by variations in the wind pressure field
- The effect depends on the cave configuration and its surface connections
- This study provides a better understanding of cave climate and karst processes
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## 48

#### INTRODUCTION

Advection is the main driver of spatial and temporal variations in atmospheric parameters in the 49 karst vadose zone. Subsurface airflows are controlled by cave geometry, its connection with the 50 surface, and variations in external weather and climate (Cigna, 1968; Badino, 2010; Borsato et al., 51 52 2015; James et al., 2015). In karst areas with temperate climates and adequate topographic variation, the most common driving force is the density difference between outside and cave air. 53 54 The inside and outside air temperatures, and thus densities, often differ, resulting in pressure differences that drive airflow between entrances at different elevations. In the warm period, the 55 internal air is heavier and the airflow is directed from higher to lower entrances. In the cold periods, 56 57 the situation is reversed. The typical term for density- or buoyancy-driven airflow is the "chimney" or "stack effect" (Covington & Perne, 2015). 58

59 Other sources of ventilation in caves are possible. In caves with large cavities and small connecting passages or entrances, pressure equalization with outside barometric changes results in 60 61 measurable barometric airflow within the passages (Conn, 1966; Pflitsch et al., 2010). Outside wind flow over surface relief causes near-ground pressure variations and thus possible pressure 62 differences between different entrances of cave systems. The mechanisms of natural ventilation, 63 both density- and wind-driven, are well known to architects and civil engineers (Allard & Ghiaus, 64 2006; Yang & Clements-Croome, 2012). However, wind-driven or wind-induced ventilation in 65 66 caves has only been explored in a handful of studies (Kowalczk & Froelich, 2010; Fairchild & Baker, 2012; Noronha et al., 2017; Riechelmann et al., 2019; Kašing & Lenart, 2020; Mattey et al., 67 2021). In some environments, such a ventilation mechanism may dominate over the chimney effect. 68 69 For example, in a single-entrance cave in a tropical environment, where seasonal airflows are not expected, Noronha et al. (2017) showed that trade winds cause a seasonal decrease in cave air pCO<sub>2</sub> 70 and a concomitant increase in calcite precipitation. One may wonder about the significance of such 71 effects on cave climate, air composition, and carbonate chemistry for other caves and karst areas 72 in general. 73

In this study we investigate the influence of winds on the ventilation pattern of Postojna Cave, Slovenia, and compare the observations with theoretical estimates and estimates obtained from a topographic wind flow model. These results build on our previous findings of the strong influence of outside winds on the seasonal cave ventilation (Kukuljan et al., 2021). The procedures discussed here can be applied in any other karst area where there are sufficient winds and where the configuration of the cave and its entrances allow for detection of the wind-driven effect.

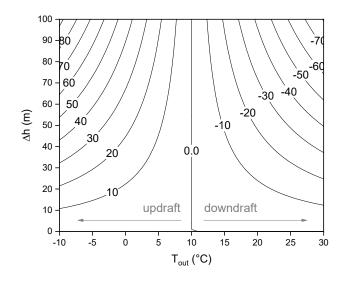
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## THEORETICAL AIRFLOW DRIVING PRESSURES

We can safely assume that the airflows measured in karst conduits are turbulent. In this case, the 81 relationship between airflow velocity and pressure difference can be approximated by one of the 82 empirical relations for turbulent flow in a pipe such as the Darcy-Weisbach Equation, which yields 83 a square-root relationship between the airflow velocity and the driving pressure  $v \propto \sqrt{\Delta p}$ . In the 84 simplest scenario of a cave with two entrances with a relative height difference of  $\Delta h$ , an outside 85 temperature of  $T_{out}$  and a cave air temperature of  $T_{in}$ , one can approximate the driving pressure, 86  $\Delta p_c$ , as the difference between the hydrostatic pressures of the air columns outside and inside the 87 cave,  $\Delta p_c = (\rho_{out} - \rho_{in})g\Delta h$ . Written in a more explicit form as a function of temperature, this 88 89 gives:

$$\Delta p_c = \frac{p_0 M(T_{in} - T_{out})}{RT_{in} T_{out}} g \Delta h \tag{1}$$

where  $\rho_{out}$  is the density of the outside air,  $\rho_{in}$  is density of the inside air, g is the gravitational 91 acceleration,  $p_0$  is the atmospheric pressure at the lower entrance, M is the molar mass of dry air, 92 93 and R is the gas constant. This relationship assumes that the atmosphere is an isothermal incompressible ideal gas, which is appropriate for small height differences. In this notation, the 94 sign of  $\Delta p_c$  is positive in cold periods ( $T_{in} > T_{out}$ ) and negative in warm periods ( $T_{in} < T_{out}$ ). For 95 temperature  $|\Delta T| = |T_{in} - T_{out}| = 20^{\circ}$ C,  $T_{in} = 10^{\circ}$ C, and standard pressure  $p_0 = 101.325$  kPa, this 96 approximation gives a driving pressure of about 0.9 Pa/m, which corresponds to about 90 Pa for an 97 98 altitude difference of 100 m (Fig. 1).



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100Fig. 1. Hydrostatic pressure variation as a function of outside temperature and altitude difference at  $p_o = 101.325$  kPa and  $T_{in} = 10^{\circ}$ C.101A positive  $\Delta p_c$  causes an upward airflow (updraft) in the system (air exits out from the upper entrance), while negative values cause a102downward airflow (downdraft; air exits at the lower entrance).

Such small differences in pressure are difficult to measure directly, since the accuracy of barometers is usually in the range of a few hundred Pascals. Both airflow velocity and air temperature difference are routinely measured in caves and should display an approximately square-root relationship,  $v \propto \sqrt{|\Delta T|}$ , if ventilation is driven primarily by the chimney effect (Luetscher et al., 2008; Badino & Chignola, 2019; Covington et al., 2021). Many causes, such as frictional pressure losses, heat exchange, or rapidly changing conditions, are excluded from this relationship, but as a rule of thumb the relation is justifiable.

The near-surface pressure variations caused by wind flow over the topography are not easy to estimate. They depend on local wind speed and direction, terrain morphology, and surface roughness. The drag and lift effects caused by the dynamic pressures of the wind generally increase with the square of wind speed, which is a consequence of Bernoulli's equation. In general, the wind or velocity pressure,  $p_w$ , has the form,

$$p_w = \frac{1}{2} C_p \rho_{out} v_w^2 , \qquad (2)$$

where  $C_p$  is a dimensionless coefficient containing the complexities associated with surface roughness, topography, and general flow pattern, and  $v_w$  is the wind velocity. For simple cases,  $C_p$ can be obtained from empirical calculations, while for more complex scenarios, computational fluid dynamics (CFD) simulations are commonly used (Zheng et al., 2018). Basically, the wind will induce a positive pressure on the windward side (a positive sign in  $C_p$ ) and a negative pressure on the leeward side (a negative sign in  $C_p$ ). The value of  $C_p$  is usually between -1 and 1 (Zheng et al., 2018).

If a cave extends between two entrances (E<sub>1</sub> and E<sub>2</sub>), the driving pressure  $\Delta p_w$  induced by the 123 124 outside wind is equal to the difference of wind-induced pressures measured at the two entrances. For this, we used the following notation:  $\Delta p_w = p_w^{E1} - p_w^{E2}$ . Therefore,  $\Delta p_w$  will have positive 125 126 values when entrance E<sub>1</sub> is facing the wind and negative values when entrance E<sub>2</sub> is facing the wind. Considering the same standard pressure  $p_0$  and temperature range (-10°C to 30°C) as in the 127 chimney flow estimation, a wind velocity  $v_w$  reaching up to 15 m/s, and a conservative  $C_p$  value of 128 129 0.5 (windward side in an open area), the wind pressures reach ~5.0 $\pm$ 0.4 Pa at  $v_w = 4$  m/s and ~70 $\pm$ 5 Pa at  $v_w = 15$  m/s. Considering the cave airflow driven solely by the wind effect, since  $v \propto \sqrt{|\Delta p_w|}$ , 130 the relationship between cave airflow velocity and external wind speed should be linear for wind 131 in a given direction according. 132

133 The similar magnitude of the pressure difference estimates of both driving mechanisms indicates that both mechanisms could be important, but their exact values remain speculative and uncertain 134 due to the large number of unknowns and the high variation of wind speed and direction. We can 135 136 assume that the total pressure difference between the cave entrances is equal to the contribution of both density- and wind-driven pressures, which leads us to  $v \propto \sqrt{|\Delta p_c + \Delta p_w|}$ . Depending on the 137 138 sign, the pressure differences generated by wind and the chimney effect may cancel each other out and reduce ventilation, or they may reinforce each other. Theoretically, this can be illustrated as 139 four end cases, which are shown in Fig. 2. 140

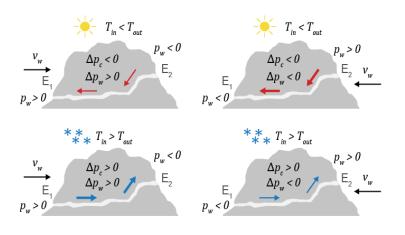


Fig. 2. Schematic representation of a cave within a karst massif under the influence of both density- and wind-driven ventilation. The two upper cases show a typical downdraft during a warm period conveying the air from the upper entrance,  $E_2$ , toward the lower entrance,  $E_1$ , (red arrows). In the upper left case,  $E_1$  is faced toward the wind, thus resulting in a lower total pressure difference  $\Delta p$ between entrances. In the upper right case, the entrance  $E_2$  is faced by the wind, which enhances the airflow driving force. The opposite occurs during the cooler period (two lower cases) when updraft dominates (blue arrows).

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#### STUDY SITE

Postojna Cave is a cave system with multiple entrances near Postojna, Slovenia (Fig. 3a), known 148 primarily as a tourist attraction with a centuries-long history of tourism, an underground railway, 149 and extensive passages richly decorated with speleothems (Šebela, 2019). The system extends over 150 two dominant levels. The lower epiphreatic level is characterized by a perennial flow of the Pivka 151 152 River, which sinks into the cave at the boundary between the Pivka Basin and the karst plateau (Fig. 3a). The upper level is a complex network of passages and breakdown chambers rich in 153 speleothems and fluvial cave sediments. There are five known entrances to the system. The lowest 154 main entrance (ME) is located on the south-facing escarpment about 20 m above the ponor. There 155 are probably a number of other smaller and inaccessible openings that connect the cave network to 156 157 the surface 30 to 100 m above (Šebela, 2010). This results in efficient ventilation throughout the year. In the cooler months, the cave is ventilated by upward air circulation (updraft), while in the 158 warmer months downward air circulation (downdraft) prevails (Šebela & Turk, 2011). 159

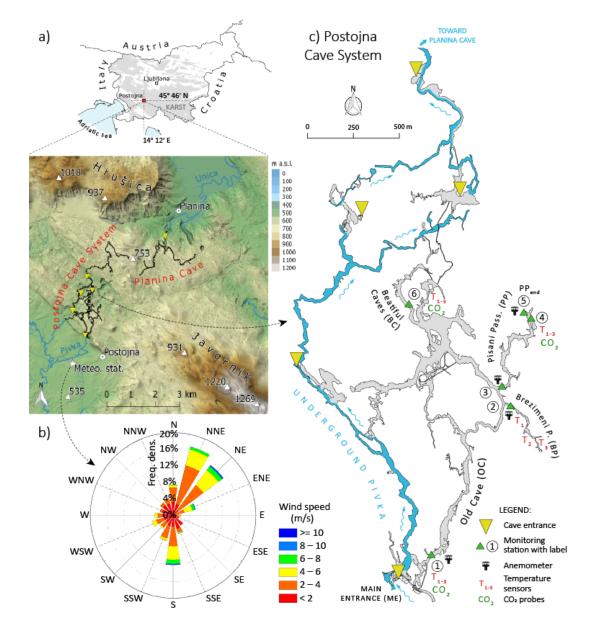
The easternmost side passages in Postojna Cave are Pisani Passage and Brezimeni Passage (Fig.
3c). Although they are of similar length (~500 m) and both terminate by breakdown or flowstone
choke, their microclimatic characteristics are quite different. The Brezimeni Passage (BP) has a

large variation in air temperature ( $\sim 2^{\circ}$ C) and low, near-atmospheric *p*CO<sub>2</sub> values, regardless of the ventilation regime, indicating a strong connection with the surface (Kukuljan et al., 2021). Pisani Passage (PP), on the other hand, is most likely connected to the surface by a dense network of narrow airflow pathways that efficiently damp air temperature variations and form a strong source of CO<sub>2</sub> during warm periods (Gregorič et al., 2013; Prelovšek et al., 2018).

168 The region surrounding the cave system contains mountain ranges of the Dinaric Alps, among which some peaks reach 1000 m a.s.l. (Fig. 3a). The lowland between the mountains is a forested 169 karst terrain densely populated with dolines of various sizes and origins (Fig. 4). Small solution 170 171 dolines predominate, but large collapse dolines are important features, as their deepest points almost reach the level of active underground water flow. The ponor of the Pivka River is at 529 m 172 a.s.l., while the water channels gradually descend towards the resurgence at Planina Cave to 453 173 m a.s.l. At the surface, above the central part of the system where most of the entrances are located, 174 the terrain rises to a maximum of 633 m a.s.l., or about 100 m above the lowest, Main entrance. 175 176 Postojna has a combination of subcontinental and sub-Mediterranean climate (Kozjek et al., 2017). 177 The coldest month is January with an average temperature of -0.1°C and the warmest is July with 19°C (1981–2010) (ARSO, 2021). The average annual precipitation is 1500 mm. 178

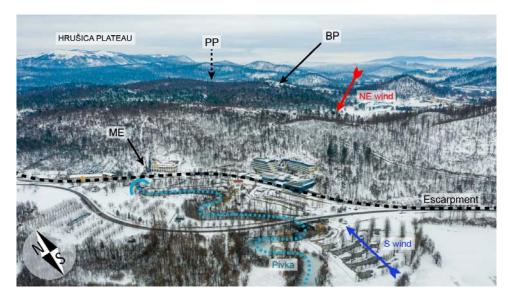
179 The two main regional winds in SW Slovenia are the Bora and Jugo. Bora is a generally cold 180 catabatic wind blowing from the north-northeast (NNE) towards the Adriatic coast (Rakovec et al., 181 2009). The Bora is particularly known for its gustiness, where the average speed can be exceeded by three times (Grisogono & Belušić, 2009). Strong Bora events typically occur in the colder 182 months, while the summer season is usually calmer. The Jugo is a southeasterly (SE) to 183 southwesterly (SW) wind that carries moist air from the Adriatic Sea. Speeds reach up to 15 m/s 184 offshore and it has a similar occurrence and duration to the Bora. The average annual wind speed 185 in Postojna is estimated at 3–4 m/s, while the surrounding ridges provide slightly higher values (4– 186 5 m/s) (Rakovec et al., 2009). Postojna is located at the foot of the orographic barrier and is 187 188 therefore largely under the influence of N and NE winds. This is further enhanced by the

topography, which channels air masses from inland (Fig. 4). A wind-rose diagram showingrepresentative wind conditions in the Postojna region is shown in Fig. 3b.



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Fig. 3. (a) Geographic location and terrain map of the Postojna region between the Hrušica plateau and Javornik Hills. Black contour lines show the extent of cave passages and yellow triangles are cave entrances. The location of the national meteorological station is marked with a white star (S of Postojna Cave and W of Postojna). b) Wind-rose diagram of the national meteorological station with wind speed, direction and frequency density for the period 2017–2020 grouped into 16 bins. (c) Map of Postojna Cave with continuous climate monitoring sites (modified from Kukuljan et al. (2021)). Map source: cave cadastre at the ZRC SAZU Karst Research Institute.



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198Fig. 4. Aerial view of the Main entrance (ME) of Postojna Cave and topography above the cave system showing the approximate199positions of Pisani Passage (PP; behind the hill, not visible) and Brezimeni Passage (BP). The main wind directions are marked by red200(NE) and blue (S) arrows. The escarpment is depicted with a black dashed line and the Pivka River with blue dashed line. The view is201to the northeast. Geographic north is marked on the lower left.

# MATERIALS AND METHODS

A still active cave monitoring network was established in 2009–2012 in Postojna Cave System, mainly to determine the microclimate and assess the potential impact of tourism on the cave environment (Fig. 3c) (Gabrovšek et al., 2014; Mlakar et al., 2020). The backbone of the network consists of four meteorological stations (Microstep CMS) with temperature,  $pCO_2$  and airflow sensors. The stations are online, connected to a web server with data transmission via an optical cave line or via the Disruption Tolerant Network (DTN) protocol. A detailed description of the system and data management is available in Mlakar et al. (2020).

Station 1 was installed in a dominant airflow pathway near the main entrance to the cave (Old Cave, OC), stations 2–5 in remote, unvisited locations (BP and PP), and station 6 in the location where the influence of visitors is most expected (Beautiful Caves, BC). Stations 1, 3, 4, and 6 are connected to the web server via cable, while stations 2 and 5 record data by batteries. The stations record data at an interval of 1 s and record the statistics of the parameters with a resolution of 10 min: Ambient air temperature at three different heights with Pt100 sensors (stations 1, 4, and 6),

 $pCO_2$  of the air (1, 4, and 6), and speed and direction of the airflow (1–4; Table 1). Ventilation through PP is monitored at its entrance (site 3; PP<sub>ent</sub>) and at its end (site 5; PP<sub>end</sub>). At site 4, the  $pCO_2$  of the air is measured at two different heights, 6.5 m apart—one is near the floor, the other at the ceiling. Ambient air temperature in BP was measured offline with data loggers at three sites

220 that have different microclimates.

221 Table 1. Cummary of the equipment ased in this study and its accuracy and measuring interv	221	Table 1. Summary of the equipment used in	this study and its accuracy a	nd measuring interval.
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Parameter	Equipment	Resolution (Accuracy)	Meas. interval	Comment
Airflow speed and direction	Gill Windsonic ultrasonic anemometer	0.1 m/s (±2% at 12 m/s) and 1° (±3° at 20 m/s)	10 min	Connected or battery powered
Air temperature	Pt100 sensor	0.01°C (±0.1°C)	10 min	Connected
	HOBO MX2203 TidbiT	0.01°C (±0.2°C)	10 min	Battery powered
pCO <sub>2</sub>	Vaisala GMP222	10 ppm (±2% of reading)	10 min	Range up to 10.000
	Vaisala GMP252	1 ppm (±2% of reading)	10 min	ppm

Meteorological and climatic data of the outdoor conditions (air temperature and pressure, wind speed and direction at 10 m) were obtained from the nearest national meteorological station 1.2 km SSW from the main entrance of the cave (Fig. 3b) (ARSO, 2021). The sampling rate is 10 min and the wind speed is available as an average value or as a maximum value (wind gust).

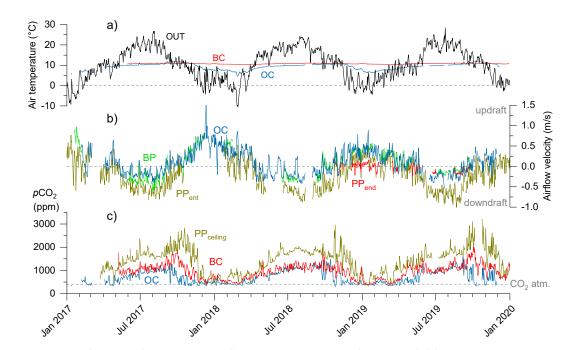
226 To evaluate  $\Delta p_w$  between different entrances of Postojna Cave, the Windstation CFD model was used. The model simulates turbulent airflow over complex topography. It uses the control volume 227 228 approach to integrate the discretized Navier-Stokes, mass conservation and energy equations, and 229 a  $k - \varepsilon$  turbulence model. The model accounts for forests and other obstacles by adding source terms to the Navier-Stokes equation. The discretized equations are sub-relaxed and solved using 230 the SIMPLEC algorithm. Details of the model are beyond the scope of this article. An interested 231 reader can find more details on the algorithms and the use of the model in literature (Lopes, 2003). 232 The modelling was carried out by Menzio GmbH, the company responsible for the development 233 234 and licensing of the software. The topography was provided as a grid file representing a digital elevation model (DEM) obtained from freely available LiDAR scans (ARSO, 2020). The 10 km  $\times$ 235 10 km modelling domain covers a wider region around Postojna and Postojna Cave (virtually the 236 237 entire area shown in Fig. 3b). The domain was discretized into cells with a planar dimension of 30  $m \times 30$  m and 40 vertical layers, resulting in 4.8 million nodes. The region above the cave was 238

located in the central part of the modelling domain to minimize the influence of boundary 239 conditions. A zero gradient boundary condition was used for the downstream boundary. Closed 240 boundary conditions were used for the other three sides with no flow allowed to pass. The surface 241 roughness is important for the results. The spatial distribution of the surface roughness was 242 considered using a grid file with values of the aerodynamic roughness length zo over the entire 243 domain. The roughness length was estimated based on the satellite image of the area. The forest 244 245 model was active, with the forest height assumed to be a multiple of the roughness length. Given the surface topography, roughness, and boundary conditions, the model computed a steady-state 246 solution for a given value of wind speed and direction at the meteorological station. We used 12 247 248 directions at 30° intervals with wind speeds ranging from 2-20 m/s in 2 m/s increments. A total of 120 simulations were performed. 249

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**Cave climate conditions** 

#### **RESULTS AND DISCUSSION**



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Fig. 5. Time series of Postojna Cave climate data for the entire study period (daily values): (a) temperature variation in the cave compared to the outside temperature, (b) airflow velocities recorded in Pisani Passage, Brezimeni Passage and Old Cave with negative values for a downdraft and positive values for an updraft, (c) *p*CO<sub>2</sub> time series recorded in Pisani Passage (ceiling), Beautiful Caves and the Old Cave. The value of 410 ppm was taken as the atmospheric *p*CO<sub>2</sub> value (NOAA/ESRL, 2021).

Fig. 5 shows the typical seasonal pattern of microclimate parameters observed at all five climate 257 monitoring sites in Postojna Cave during the study period (2017–2020). Sites close to the main 258 259 airflow pathways, such as OC, were subject to a larger variation in air temperature (7°C) than the inner parts of the cave, such as BC (0.8°C) or PP (0.1°C). Ambient air temperature measurements 260 261 showed irregularity in the cave, with PP being on average the coldest location (8.8°C), and BP the warmest location (10.8°C). The airflow time series (Fig. 5b) distinguishes three different cave 262 ventilation regimes: Winter, summer and transitional. In cold periods, the cave air is lighter than 263 the outside air and flows upwards towards higher entrances and openings (updraft, positive sign), 264 while in warm periods the cave air is denser, flowing downwards and out of the lowest main 265 entrance (downdraft, negative sign). When the outside temperature is close to the cave temperature, 266 daily transitions between ventilation regimes can be observed. Because the air temperature in the 267 cave varies by a few degrees, the change in airflow direction does not occur simultaneously 268 throughout the cave. Moreover, while the seasonal variation is clearly visible, the airflow and pCO<sub>2</sub> 269 signals have a distinctly jagged appearance that does not exactly follow the variation in  $\Delta T$ . Such 270 behavior can occasionally occur even during periods when  $|\Delta T|$  is >10°C, which would otherwise 271 cause strong chimney flow. In our previous study (Kukuljan et al., 2021), we suggested that these 272 brief interruptions, i.e., the temporary reversal of expected airflow directions, can be largely 273 attributed to the action of outside winds. As an example, Fig. 6 shows airflow velocities at PPent 274 for 2017–2020 plotted against the outside temperature. The original data set (red points) is widely 275 scattered. However, when windy periods are filtered out, a square-root relation between airflow 276 277 speed and Tout emerges. This is shown by green and blue points representing records when the 278 outside wind speed was below 3 m/s and 1 m/s, respectively.

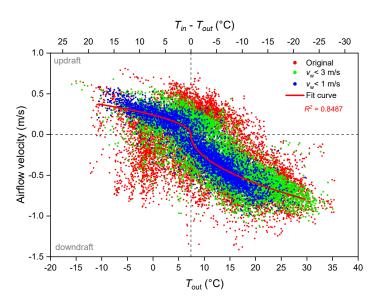
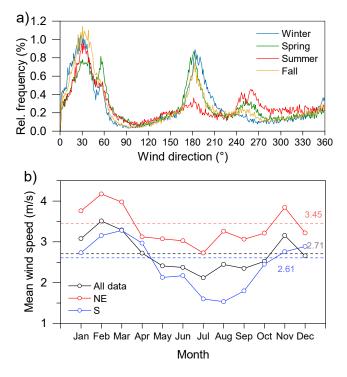


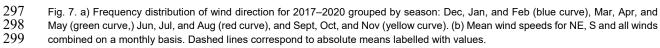


Fig. 6. Airflow velocity measured at PP<sub>ent</sub> plotted against outside temperature. Two filtering criteria were used: red points include all data points, green points those when  $v_w < 3$  m/s, and blue points those when  $v_w < 1$  m/s. The clearest chimney flow relationship and best square root fit ( $R^2 = 0.8487$ ) is obtained when windy periods are excluded.

#### 283 Wind characteristics

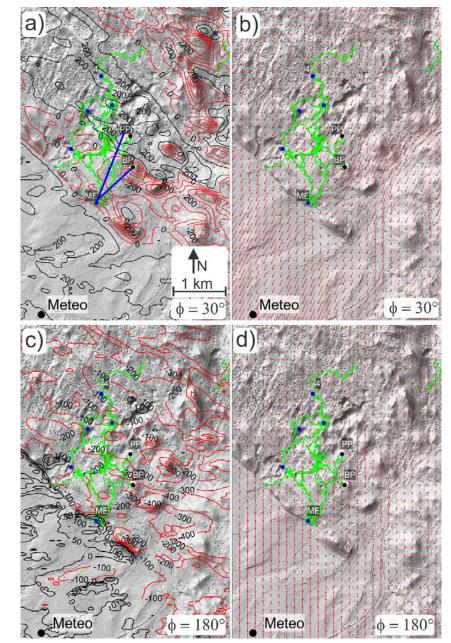
284 The wind-rose diagram in Fig. 3b shows that the three predominant wind directions in Postojna are north (N), north-northeast (NNE) and northeast (NE), representing the Bora wind, followed by 285 south (S) and south-southwest (SSW), representing the Jugo wind. The distribution of these 286 directions changes only slightly across seasons (Fig. 7a). The Bora (from now simplified as "NE 287 wind") is active throughout the year, while the Jugo (from now "S wind") has a lower prevalence 288 during the summer months and an overall lower prevalence during the year compared to the Bora. 289 The distribution of wind speed also changes only slightly, but the average wind speed is generally 290 higher in winter (3.1 m/s), than in summer (2.3 m/s). Fig. 7b shows the variations in wind speeds 291 between two main wind sectors on a monthly basis. NE winds are generally the strongest 292 throughout the year, with an average speed of 3.5 m/s, while S winds are weaker (average 2.6 m/s). 293 294 The winds also show daily variation in speed and direction, but this variability has not been studied in detail. 295





combined on a monthly basis. Dashed lines correspond to absolute means labelled with values.

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300 Estimation of wind pressure field above Postojna Cave



Fig. 8. Contour map of the wind pressure field in Pascals for different values at the meteorological station: (a)  $v_w = 10$  m/s and  $\phi = 30^{\circ}$ and (c)  $v_w = 10$  m/s and  $\phi = 180^{\circ}$ , and vector field map of wind velocity for: (b)  $v_w = 10$  m/s and  $\phi = 30^{\circ}$  and (d)  $v_w = 10$  m/s and  $\phi = 30^{\circ}$ 180°. The green polygon marks the extent of the Postojna Cave passages. Black points and labels mark the positions of the meteorological station and terminal part of Pisani Passage (PP) and Brezimeni Passage (BP). The positions of the known cave entrances are marked by blue points, while the main entrance is also labelled (ME). The blue lines in (a) denote the profiles ME–PP and ME–BP.

308 Figure Fig. 8 shows the results of the Windstation model for the velocity and pressure field over Postojna Cave System, when wind speed and direction at the meteorological station are  $v_w = 10$  m/s and  $\phi = 30^\circ$ 309 (NNE wind, Fig. 8a and b) or  $v_w = 10$  m/s and  $\phi = 180^\circ$  (S wind, Fig. 8c and d). The model computes the 310 311 velocity pressure field relative to a reference point in the domain, where it is taken to be zero. Since we are interested in pressure differences between selected points (e.g., location of cave entrances), this result is 312 313 sufficient. Note that when the wind speed is zero, the values of  $p_w$  in the entire domain are zero. In Fig. 8a 314 and c, the pressure field is uniformly shifted so that the zero value is in the region of the main entrance ME. 315 The black isobars are used for positive values and the red isobars for negative values; that is, black regions have  $p_w$  greater than ME and red regions less. A brief review shows that  $\Delta p_w$  can reach several hundred 316 317 Pascals between different locations, which is comparable to or higher than the expected pressure differences 318 of the chimney effect. Airflow is diverted and/or channeled by topography, which also determines regions 319 with higher and lower wind pressures.

In NE winds, the depression near the terminal part of Pisani Passage (PP) has a higher pressure than the region around the main entrance, while the region above the terminal part of Brezimeni Passage (BP) has a negative pressure. The scarp between the Pivka Basin and the karst plateau plays a particularly important role in S winds. Most of the area NE of the scarp where the cave system extends has a lower pressure than the pressure around the main entrance.

325 To further illustrate the modelling results, we plot velocity/pressure profiles along two lines for different wind speeds and directions in Fig. 9. The profiles, shown in Fig. 8a, connect the region above the terminal 326 327 parts of PP and BP and the Main entrance (ME-PP and ME-BP). Figure Fig. 9a shows the wind speed and pressure 5 m above the ground along the line ME-PP for a wind direction of 30° (NNE) and for different 328 329 values of wind speed at the meteorological station (4, 6, 8 and 10 m/s). The grey shading represents the topography along the profile.  $\Delta p_w$  between ME and PP increases approximately with the square of wind 330 331 speed. Figure Fig. 9b shows the same profile for the S wind ( $\phi = 180^\circ$ ), where the wind-driven effect drives 332 cave ventilation in the opposite direction with similar pressure differences between the ends of the profiles as for the 30° case. 333

The wind pressure distribution was also estimated for other directions. Figure Fig. 9c shows the  $p_w$  along the profile PP–ME for all directions in 30° steps at 5 m height and  $v_w = 10$  m/s. The largest differences between minimum and maximum  $p_w$  are found at 30° and 150°, and the smallest at 120° and 330° when the

winds blow parallel to the escarpment. The wind pressure in the profile ME–BP is shown in Fig. 9d for both  $\phi = 30^{\circ}$  and  $\phi = 180^{\circ}$ . Here, the wind pressure differences between ME and BP are smaller than at PP due to the different topography. For both wind directions,  $\Delta p_w$  between the main entrance and the surface above

340 the BP is positive, with a higher pressure difference for S winds.

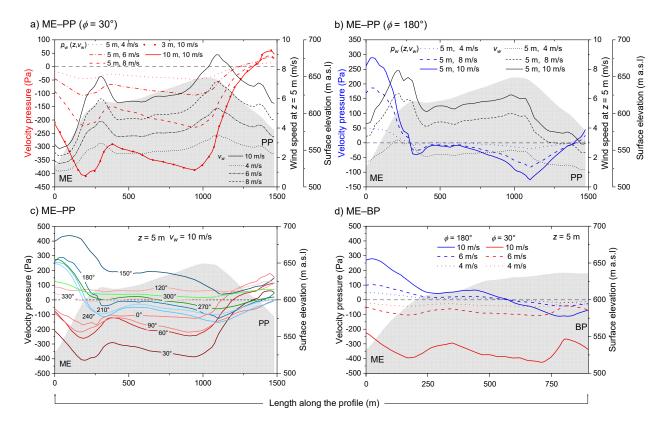




Fig. 9. Velocity pressure profiles along ME–PP with  $\phi = 30^{\circ}$  (a) and  $\phi = 180^{\circ}$  (b) for different wind speeds at the meteorological station (4–10 m/s). Velocity pressure profiles along ME–PP for all wind directions at 30° increments (c). d) Velocity pressure profile along ME– BP for  $\phi = 30^{\circ}$  and  $\phi = 180^{\circ}$  for different wind speeds at the meteorological station (4, 6 and 10 m/s).

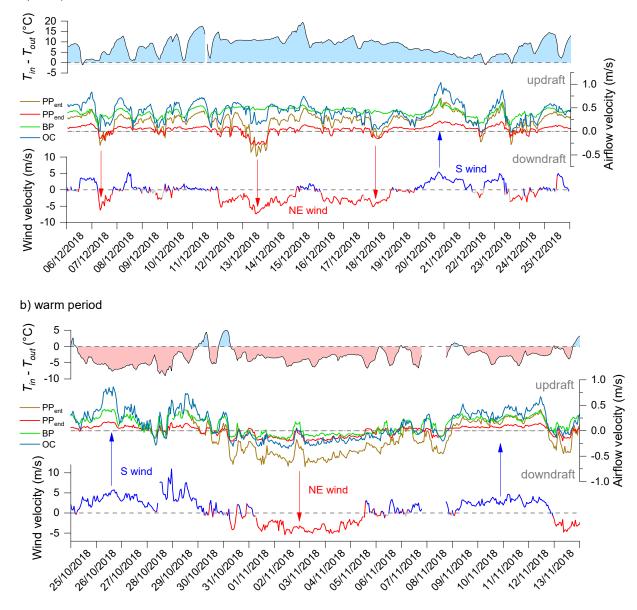
The results of the CFD model, although quantitative, must be considered with some caution. The exact numerical value of  $p_w$  is the result of several empirical assumptions that are incorporated in the model. We have also neglected the fact that the pressure at the ground, where the entrances are located, is in fact not calculated, since the wind profile is only valid for  $z > z_0$ . In addition, the domain discretization does not allow us to account for small-scale surface irregularities, which certainly affect the local wind field.

#### 350 Relationship between cave ventilation and wind

Seasonal variations in cave airflow direction indicate that the predominant mechanism of 351 352 ventilation in Postojna Cave is the chimney effect. Wind-driven pressure differences can either increase or decrease the ventilation, and in extreme cases, even reverse its direction. To illustrate 353 354 this effect more clearly, we compared cave conditions (airflow and temperature) and outside conditions (wind speed/direction and temperature) in shorter, 20-day windows. Fig. 10a shows a 355 cold period (when generally  $T_{in} > T_{out}$ ), and Fig. 10b shows a warm period (when generally  $T_{in} <$ 356  $T_{out}$ ). For  $T_{in}$  we use the air temperature at PP (site 4), since this site has the most stable temperature 357 358 signal. To represent wind direction, we choose a convention where the outside wind velocity has a positive sign for directions between 90° and 270° and a negative sign for directions above 270° 359 and below 90°. The wind time series has been colored according to the two most prominent 360 directions—NE winds are colored red, S winds are colored blue, while all others are colored gray. 361

362 In Fig. 10a, which represents a cold period, a dominant updraft driven by the chimney effect is interrupted by short bursts of downdrafts. When the winds come from the NE, the airflow in the 363 cave will decrease or, if the wind is strong enough, it can cause a complete reversal of the cave 364 airflow direction (red arrows). Similarly, S winds will increase the updraft velocity (blue arrow). 365 In warm periods, the effect is the opposite (Fig. 10b). In a period dominated by downdraft, the NE 366 wind increases the airflow speed, while the S wind decreases it or even reverses it into an updraft. 367 368 In these two end-member cases, the value of  $|\Delta T|$  can sometimes exceed 10°C, which would 369 typically cause a strong chimney effect. Despite this, however, for a short period of time, the cave can ventilate in the opposite direction of the flow expected by the chimney effect. The onset, 370 duration, and termination of these events closely follow the wind events, and the effect can be 371 observed at each of the monitoring sites, albeit with varying intensity and pattern. 372

a) cold period



374

373

Fig. 10. Dynamics of airflow at Postojna Cave (center) as a function of temperature difference (top) and outside wind speed and direction (bottom) for the 20-day cold (a) and warm (b) periods. The airflow velocity time series (middle) are colored by location—PPent is yellow, PPend is red, BP is green, and the OC is blue. Wind speed is colored as a function of direction—positive signs are given to all winds with directions from 90–270°, and negative for those coming from above 270° and below 90°. The time series are additionally colored by wind sector—winds from 330–90° are colored red, winds from 120–270° are colored blue, and all others are colored gray. Red arrows show the influence of the NE wind on the cave airflow, while the blue arrows represent the influence of the S wind.

The wind-driven effect is also evident when analyzing daily resolution data from the entire 2017– 2020 study period. In Fig. 11, airflow velocity has been plotted against outside temperature for the most wind-prone site, PP<sub>ent</sub>. Red points indicate the days with prevailing NE winds, while blue points represent the days with prevailing S winds. These observations are consistent with the results of the model presented in the previous chapter. The NE wind induces a negative  $\Delta p_w$  between the lower and upper entrance, thus promoting a downdraft. On the other hand, S winds induces a higher pressure at the lower entrance (ME) and therefore promote an updraft.

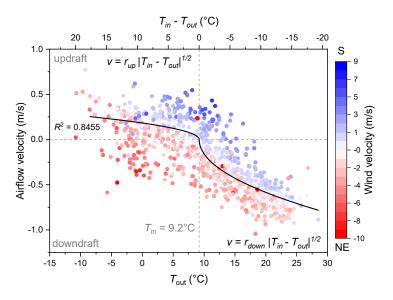




Fig. 11. The plot of daily average airflow velocity at PP<sub>ent</sub> against outside temperature, color-coded by outside wind speed and direction. The days with prevailing NE winds are colored red, while S winds are colored blue. Stronger color means stronger mean wind speed. The square-root relationship (black curve) was fitted only with calm days ( $v_w < 2$  m/s). The red and blue points are well separated, indicating that wind direction has a consistent and predictable effect on cave airflow—NE winds enhance the downdraft, while S winds enhance the updraft. The effect scales well with wind speed and shows greater scattering (deviation) from the theoretical relationship on windy days.

# 395 Spatial differences in the wind-driven effect

The wind-driven effect is observed at all sites (Fig. 10a and b). However, the data show that the effect varies from site to site. For example, OC and BP respond more strongly to S winds, while sites in PP respond strongly regardless of wind direction. To best identify the wind-driven effect, we filtered the hourly cave airflow velocity to select data when  $T_{in} \approx T_{out}$  to minimize the contribution of chimney effect. The filtered data are shown in Fig. 12 for three sites. In the case of

401 PP<sub>ent</sub>, the outside temperature range of 8–10°C was taken as the filter criterion (about ±1°C from 402 the theoretical value in Fig. 11). A linear correlation was calculated separately for NE and S winds 403 (both at  $v_w > 1$  m/s). The same procedure was repeated for OC (8°C <  $T_{out} < 10$ °C) and BP (10°C 404 <  $T_{out} < 12$ °C). For both BP and OC, a positive linear correlation is obtained only with updraft and 405 S winds, while NE winds showed weak or no correlation with cave airflow (Fig. 12).

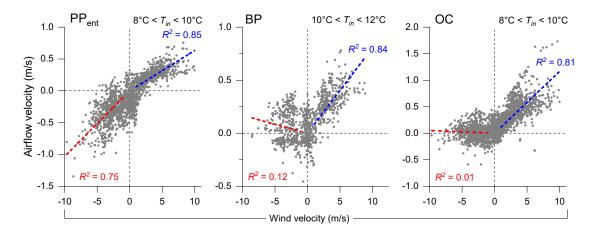




Fig. 12. Linear relationships between hourly wind velocities and airflow velocities (Pisani Passage (PP<sub>ent</sub>), Brezimeni Passage (BP), and Old Cave (OC)) at Postojna Cave in a bounded temperature range when  $T_{in} \approx T_{out}$  is between 8–10°C (for PP<sub>ent</sub> and OC), and 10– 12°C (for BP). The linear regressions were calculated for wind speeds greater than 1 m/s.

410 Many factors may contribute to the spatial diversity of airflow patterns: the relative position of the measurement site within the cave airflow pathway, its proximity to the nearest cave entrance, 411 the orientation and size of the entrance, and the position of the entrances within regional wind flows 412 and topography. The spatial differences discussed are in agreement with the results of the CFD 413 model. When comparing different topographic velocity pressure profiles, the model gives higher 414 pressure differences for the ME–BP profile than for the ME–PP profile for the S wind (Fig. 13). 415 When considering the effect of NE wind, the sign of the wind pressure depends on the profile-416 417 along ME-PP a downdraft is forced, while along ME-BP an updraft is forced.

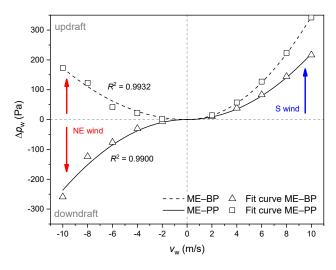


Fig. 13. Wind pressure differences between the Main entrance (ME) and Pisani Passage (PP; triangles) or Brezimeni Passage (BP; squares) determined by the CFD model as a function of wind velocity. The points are fitted with a quadratic function,  $\Delta p_w = c_w v_w^2$ , and the fit quality is quantified using  $R^2$ . S wind forces an updraft in both profiles, while NE wind forces an updraft in the ME–BP profile and a downdraft in the ME–PP profile.

OC and BP show similar airflow characteristics. BP is found to be the main pathway connecting 423 the main entrance, OC and the surface (Fig. 4). The height difference between the passage and the 424 425 surface above is about 100 m in the case of BP and 40 m in the case of PP. Thus, the chimney effect in BP is much stronger and the wind-driven effect is less pronounced than in PP. Moreover, the 426 airflow between BP and the surface is concentrated in a large vertical passage (chimney). High 427 temperature variations and low CO<sub>2</sub> content in the passage between the chimney and the connection 428 to OC during the downdraft indicate a good airflow connection to the surface (Kukuljan et al., 429 430 2021). On the other hand, no dominant airflow pathway toward the surface was found in PP. These differences are also reflected in the average volumetric flow rate—it is about 3.4 m<sup>3</sup>/s in BP 431 compared to  $0.5 \text{ m}^3/\text{s}$  in PP. 432

# $433 \qquad {\rm Scale \ and \ frequency \ of \ the \ wind-driven \ effect}$

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The CFD model produces the expected qualitative results, but the question remains how reliable the quantitative predictions are. In this section, we compare the magnitude of the pressure differences between PP and ME, derived from observations and calculated by the CFD model. The

- 437 model results are shown in Fig. 13 and Table 2, which give values of  $\Delta p_w = p_w^{\text{ME}} p_w^{\text{PP}}$  for wind
- 438 speeds between 2–10 m/s and directions  $\phi = 30^{\circ}$  and  $\phi = 180^{\circ}$  at a height of z = 5 m.

Table 2. Velocity pressure differences for the ME–PP profile for  $\phi = 30^{\circ}$  and  $\phi = 180^{\circ}$  according to the CFD model. These values are plotted and fitted in Fig. 13.

	$\Delta p_{w}$ (Pa)	
$ v_w $ (m/s)	$\phi = 30^{\circ}$	$\phi = 180^{\circ}$
2	-6	10
4	-30	38
6	-76	83
8	-124	144
10	-259	216

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To estimate the wind pressure difference from the data, we return to the theoretical considerations from the first section. The airflow velocity has a square root dependence on the total pressure difference,

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454

$$\nu = r\sqrt{|\Delta p_c + \Delta p_w|}.$$
(3)

Velocity is the only measured quantity in this equation, so to extract  $\Delta p_w$  we need an estimate for 446 r and  $\Delta p_c$ . The factor r, which depends primarily on the geometry of the airflow passages, can be 447 extracted from the data when the wind pressure is minimal, so that  $v = r\sqrt{|\Delta p_c|}$  (Fig. 11; fitted 448 only on calm days only ( $v_w < 2 \text{ m/s}$ )). Two resistance factors were determined separately for updraft 449  $(r_{up})$  and downdraft  $(r_{down})$ . The pressure difference of the chimney effect  $\Delta p_c$  is obtained from Eq. 450 451 1, using  $\Delta h = 40$  m and  $T_{in} = 9.2$ °C (the temperature that gave the best fit in Fig. 11 for Pisani Passage and the Tout time series). We can now simply use Eq. 3. to calculate the remaining unknown 452 pressure difference using the measured airflow velocity: 453

$$\mp \Delta p_w = \left(\frac{v}{r}\right)^2 \pm \Delta p_c. \tag{4}$$

The sign of  $\Delta p_c$  and the choice of the resistance factor,  $r_{up}$  or  $r_{down}$ , depends on the airflow direction, while the sign of  $\Delta p_w$  depends on the wind direction (S positive, NE negative). The results presented in Fig. 14a show a very rough agreement between  $\Delta p_w$  of the CFD model and the value

estimated from the data. The scatter could be due to the uncertainties in the factor r resulting from 458 the fit with large scatter in the airflow velocity even for low outside winds (see Fig. 11). The origin 459 of the scatter is beyond the scope of this work. To address it, airflow in a much more complex 460 system than the one assumed here would have to be considered. To mitigate this scatter, we again 461 use only the points where  $T_{in} \approx T_{out} = 9.2^{\circ}$ C, the situation where we expect the wind-driven effect 462 to be dominant (Fig. 14b). The quadratic curve fitted to these data (black points and black dashed 463 464 line) roughly follows the CFD model curve (solid line) with larger differences for stronger S winds 465 (>4 m/s).

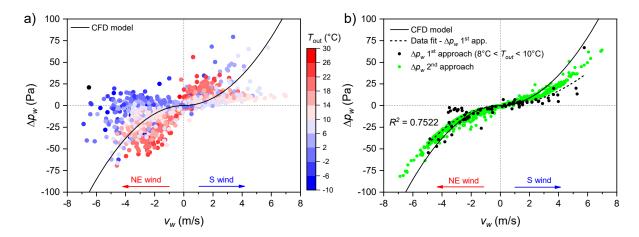
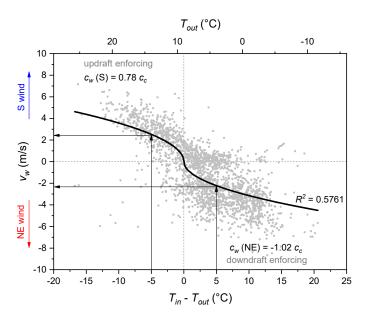




Fig. 14. Comparison of the wind pressure differences,  $\Delta p_w$ , obtained with the two approaches discussed in the text. In (a), the data points of the first approach are colored according to the outside temperature ranging from  $-10^{\circ}$ C to  $30^{\circ}$ C as daily values, and the points scatter around the model line with a predictable pattern due to the residual dependence on temperature. In (b), these points are filtered by the  $8^{\circ}$ C <  $T_{out}$  <  $10^{\circ}$ C criterion (black points), fitted to a quadratic relationship (black dashed line;  $R^2 = 0.7522$ ), and compared with the results of the second approach (green points). Both results follow the theoretical quadratic relationship determined by the CFD model (black curve).

Another way to estimate  $\Delta p_w$  is to consider situations where the airflow in the passage stops or is close to zero. In this case the wind pressure is opposite to the chimney flow pressure,  $\Delta p_w = -\Delta p_c$ . Thus, taking  $\Delta p_w = c_w v_w^2$ , and  $\Delta p_c = c_c \Delta T$ , where  $c_w$  and  $c_c$  are coefficients for the wind-driven and chimney effects, we obtain a square-root relationship between wind velocity and temperature difference,  $v_w = \pm \sqrt{\left|\frac{c_c}{c_w}\right|} \sqrt{|\Delta T|}$  (Fig. 15). The goodness of fit,  $R^2 = 0.5761$ , gives some justification to this approach. From Eq. 1. we obtain  $c_c = \frac{\rho_{out}g\Delta h}{T_{in}}$ . Here we have used an average

air density,  $\rho_{out} = 1.19 \text{ kg/m}^3$ ,  $\Delta h = 40 \text{ m}$  and  $T_{in} = 9.2^{\circ}\text{C}$ , giving a  $c_c$  value of 1.65 K<sup>-1</sup>. The coefficient  $c_w$  is obtained from the fit between  $v_w$  and  $\sqrt{|\Delta T|}$ , separately for the effect of S (positive) and NE wind (negative; Fig. 15). Finally,  $\Delta p_w$  is calculated from  $\Delta p_w = c_w v_w^2$  and compared with the first approach (Fig. 14b). Both approaches yielded similar estimates of  $\Delta p_w$  and show good agreement with the CFD model.



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Fig. 15. Relationship between wind velocity and temperature difference under the condition when cave ventilation stops or is close to zero |v| < 0.1 m/s. The square-root relationship is indicated by the black curve. Several threshold values of this effect can be read (black arrows), which are explained in the text.

Using the previous estimates, we can now calculate the relative contribution of wind pressure for NE and S winds (Fig. 16). All observations with outside wind in the given interval ( $-10^{\circ}C < T_{out} <$ 30°C) were used and  $\Delta p_c$  and  $\Delta p_w$  were calculated from Eq. 1 and Eq. 4. Point kriging with an interval of 0.4 K and 0.4 m/s was used. As an example, the isoline with value 0.5 indicates all combinations of  $T_{out}$  and  $v_w$  where wind-driven effect and chimney effect are equal.

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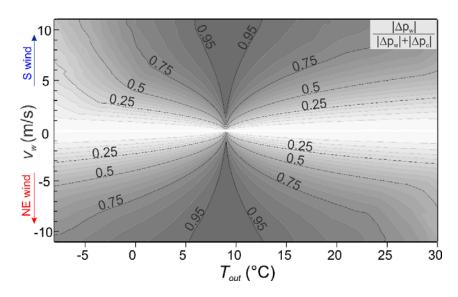
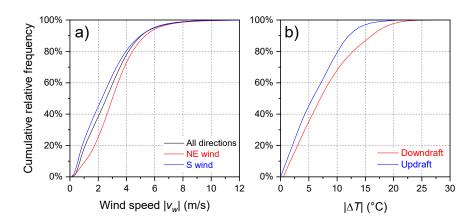


Fig. 16. Contour plot of the relative contribution of wind pressure to total driving pressure (from 0.25 to 0.95 in 0.05 intervals) for different outside temperatures and wind velocities. As expected, the contribution increases with wind velocity and decreases for positive or negative outside temperature extremes.

Fig. 15 can also be used to find some useful thresholds for the wind-driven effect, regardless of 497 pronounced scatter. Ideally, the relationship described by the black curve (with the conditions of 498 499 the second approach to calculating  $\Delta p_w$ ) gives an estimate of the minimum wind speed necessary 500 to counteract the theoretical driving force of the chimney flow. For example, the black arrows show that a downdraft caused by the chimney flow at  $\Delta T = -5^{\circ}$ C could be stopped by as little as 2.5 m/s 501 S wind, or that the updraft at  $\Delta T = 5^{\circ}$ C could be stopped by 2.2 m/s NE wind. At  $|\Delta T| = 10^{\circ}$ C this 502 increases to 3.6 m/s for the S wind, or 3.1 m/s for the NE wind. Similar values are obtained from 503 Fig. 16 using the isoline 0.5. Such wind speeds are quite common in Postojna, as shown by the 504 frequency distribution curves in Fig. 17a, where about 60% of the time the wind will be higher than 505 2 m/s and more than 20% of the time higher than 4 m/s. In general, we can expect the wind-driven 506 effect to be active throughout the year in Postojna Cave. As for the range of temperature 507 508 differences,  $|\Delta T|$  less than 5°C will occur ~40% of the time and less than 10°C ~70% of the time (Fig. 17b). 509



511 Fig. 17. Cumulative frequency distribution for wind speeds in Postojna (a) and temperature difference ( $T_{in} - T_{out}$ ) for Postojna Cave (b). 512 Both NE winds and downdraft are favored over other wind/airflow direction, suggesting a larger wind-driven effect in winter than in 513 summer.

In comparing the seasonal differences in the wind-driven effect, the winter period is windier than 514 the summer period (as shown in Fig. 7). The NE winds are strongest in January, February, and 515 March, when one might expect the greatest interference of the typical winter updraft. An extreme 516 517 example was February 2018, when the NE winds restricted ventilation for most of the month, leading to an unexpected increase in cave  $pCO_2$  (Kukuljan et al., 2021). On the other hand, S winds 518 are weakest in July, August, and September, and therefore we can expect the least inference of 519 typical summer downdraft. These could be the reasons for a rougher and more variable signal of 520 the cave airflow for the winter period than for the summer (Fig. 5), and consequently for a larger 521 522 scatter when comparing the airflow with  $\Delta T$  in Fig. 11. Overall, the frequency distribution in Fig. 17a and b also shows how NE winds are preferred over other directions and how updraft is less 523 frequent than downdraft, again indicating a stronger wind-driven effect in cooler periods. 524

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#### CONCLUSIONS

Airflow in caves can be driven by different mechanisms. In this study, we show how near-surface pressure differences induced by outside winds interact with the chimney effect. The wind pressure between two cave entrances or air inlets or outlets depends on the relationship between the surface topography and the position of the air inlets or outlets, as well as the strength and direction of the wind. Outside winds can increase, decrease, or completely reverse airflow driven solely by the

chimney effect. We used a CFD model to quantify the surface pressure field over the Postojna Cave 531 532 system for winds of varying strength and direction. Despite the complexity of the cave system, the results show good agreement with pressure differences calculated from the data and from a simple 533 empirical estimate of the chimney flow pressure. Wind pressure may become a dominant driving 534 535 mechanism when cave systems have entrances at similar heights, such as in caves formed in karst plateaus. By affecting normal cave ventilation, winds can determine the dynamics and composition 536 of air in the karst vadose zone and associated processes, including dissolution and precipitation of 537 calcite. The analysis of outside winds should therefore be a necessary component of microclimatic 538 studies in caves. 539

#### 540

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#### AUTHORSHIP STATEMENT

LK performed the data analyzes, produced most of the figures and, wrote the initial draft. FG initiated the study, designed the measurement network (with B. Grašič and P. Mlakar), analyzed and compiled the results of the CFD model. LK and FG interpreted the data. MDC added critical

input and suggestions for additions and improvements, and proofread the manuscript. All authors 558 559 read and approved the final version of the manuscript. 560 REFERENCES 561 Allard, F., Ghiaus, C., 2006. Natural ventilation in the Urban environment, in: Building Ventilation: The State of the 562 Art. pp. 1–37. 563 ARSO, 2021. Ministry of the environment and spatial planning, Slovenian Environmental Agency. URL http://meteo.arso.gov.si/met/en/ [accessed May 6, 2021]. 564 565 ARSO, 2020. Ministry of the environment and spatial planning, Slovenian Environmental Agency. URL http://gis.arso.gov.si/evode/profile.aspx?id=atlas voda Lidar@Arso [accessed July 2, 2020]. 566 Badino, G., 2010. Underground meteorology - "What's the weather underground?" Acta Carsologica 39, 427-448. 567 568 Badino, G., Chignola, R., 2019. Fluctuations of atmospheric pressure and the sound of underground Karst systems: 569 Antro del Corchia Case (Apuane Italy). The Alps, Front. Earth Sci. 7, 1–6. 570 https://doi.org/10.3389/feart.2019.00147 571 Borsato, A., Frisia, S., Miorandi, R., 2015. Carbon dioxide concentration in temperate climate caves and parent soils 572 over an altitudinal gradient and its influence on speleothem growth and fabrics. Earth Surf. Process. Landforms 573 40, 1158-1170. https://doi.org/10.1002/esp.3706 574 Cigna, A., 1968. An analytical study of air circulation in caves. Int. J. Speleol. 3, 41–54. https://doi.org/10.5038/1827-575 806x.3.1.3 576 Conn, H.W., 1966. Barometric wind in Wind and Jewel Caves, South Dakota. Natl. Speleol. Soc. Bull. 28, 55-69. 577 Covington, M.D., Knierim, K.J., Young, H.A., Rodriguez, J., Gnoza, H.G., 2021. The impact of ventilation patterns 578 calcite dissolution rates within karst conduits. J. Hydrol. 593, 125824. on 579 https://doi.org/10.1016/j.jhydrol.2020.125824 580 Covington, M.D., Perne, M., 2015. Consider a cylindrical cave: A physicist's view of cave and karst science. Acta Carsologica 44, 363–380. https://doi.org/10.3986/ac.v44i3.1925 581 582 Fairchild, I.J., Baker, A., 2012. Speleothem Science: From Process to Past Environments. A John Wiley & Sons, Ltd. 583 https://doi.org/10.1002/9781444361094 584 Gabrovšek, F., Grašic, B., Božnar, M.Z., Mlakar, P., Udén, M., Davies, E., 2014. Karst show caves - How DTN 585 technology as used in space assists automatic environmental monitoring and tourist protection - Experiment in 586 Postojna Cave. Nat. Hazards Earth Syst. Sci. 14, 443-457. https://doi.org/10.5194/nhess-14-443-2014 30

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