Impact of injection rate ramp-up on nucleation and arrest of dynamic fault slip

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Abstract

Fluid injection into underground formations reactivates preexisting geological discontinuities such as faults or fractures. In this work, we investigate the impact of injection rate ramp-up present in many standard injection protocols on the nucleation and potential arrest of dynamic slip along a planar pressurized fault. We assume a linear increasing function of injection rate with time, up to a given time t_c after which a maximum value Q_m is achieved. Under the assumption of negligible shear-induced dilatancy and impermeable host medium, we solve numerically the coupled hydro-mechanical model and explore the different slip regimes identified via scaling analysis. We show that in the limit when fluid diffusion time scale t_w is much larger than the ramp-up time scale t_c , slip on an ultimately stable fault is essentially driven by pressurization at constant rate. Vice versa, in the limit when $t_c/t_w \gg 1$, the pressurization rate, quantified by the dimensionless ratio $\frac{Q_m t_w}{t_c Q^*}$, does impact both nucleation time and arrest distance of dynamic slip. Indeed, for a given initial fault loading condition and frictional weakening property, lower pressurization rates delay the nucleation of a finite-sized dynamic event and increase the corresponding run-out distance approximately proportional to $\propto \left(\frac{Q_m t_w}{t_c Q^*}\right)^{-0.472}$. . On critically stressed faults, instead, the ramp-up of injection rate activates quasi-static slip which quickly turn into a run-away dynamic rupture. Its nucleation time decreases non-linearly with increasing value of $\frac{Q_m t_w}{t_c Q^*}$ and it may precede (or not) the one associated with fault pressurization at constant rate only.

Keywords: Fault slip, Nucleation, Dynamic rupture, Induced seismicity

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1 1. Introduction

Anthropogenic fluid injection into underground formations is a common 2 operation in many industrial applications. In the context of deep geothermal 3 energy extraction, for instance, fluid is injected into targeted deep fault/fracture zones in order to enhance reservoir permeability and hence fluid circulation 5 between injection and production wells (Giardini, 2009; Deichmann and Gi-6 ardini, 2009). Among other applications that involve injection of fluid into 7 subsurface there are hydraulic fracturing for oil and gas extraction from hydrocarbon reservoirs and wastewater disposal using deep wells (Warpinski 9 and Teufel, 1987; Horton, 2012). 10

Although these engineering techniques are widely used, the injection of flu-11 ids into underground formations alters the local equilibrium of the Earth's 12 crust, inducing micro-seismicity and, in some cases, large earthquakes (Hor-13 ton, 2012; Ellsworth, 2013; Kim, 2013; Keranen et al., 2014; Weingarten 14 et al., 2015). Significant earthquakes have been directly correlated with the 15 injection activities, among these the Pohang earthquake of 2017 in South 16 Korea with $M_w = 5.5$ (Kim et al., 2018; Grigoli et al., 2018; Yeo et al., 17 2020), the four $M_w = 3$ events in Basel, Switzerland, between 2006 and 2007 18 (Deichmann and Giardini, 2009; Goertz-Allmann et al., 2011), an event of 19 $M_w = 3.5$ in the city of St. Gallen, Switzerland, back in 2013 (Diehl et al., 20 2014; Edwards et al., 2015; Diehl et al., 2017) and the $M_w = 5.7$ earthquake 21 near Prague, Oklahoma, in 2011 (Keranen et al., 2013; Sumy et al., 2014). 22 Many numerical and theoretical models have been developed in order to 23 investigate the impact of operational design parameters, such as injection 24 pressure or injection rate, on fault slip activation and earthquakes nucle-25 ation upon fluid injection. Most of them are based on a Rate- and State-26 dependent friction model and, therefore, are well suited to explain features 27 of earthquake cycles and seismicity rates. For example, Dempsey and Rif-28 fault (2019) used a pressure diffusion model coupled to R&S friction model 29 to show that a reduction in injection rate may lead to a decrease in the 30 seismicity rate in Oklahoma (USA). A similar result has been obtained by 31 Lagenbruch and Zoback (2016) using instead a statistical model calibrated 32 over many injection induced-earthquakes in Oklahoma. Using a poroelastic 33 model incorporating R&S friction, Barbour et al. (2017) observed that in 34

³⁵ Oklahoma a variable injection rate may lead to a larger seismicity rate in-

creases compared to the one under constant injection rate (for an equivalent 36 injected volume). Chang et al. (2018), instead, studied the effect of injection 37 rate variation on seismicity rate post shut-in and they showed that a gradual 38 reduction of injection rate minimises the post-injection seismicity rate. Using 39 a Dietrich-Ruina heterogeneous 2D fault, Almakari et al. (2019) investigated 40 the effect of injection scenario not only in terms of seismicity rate, but also 41 in terms of magnitude content. They showed that the total seismic moment 42 increases with both maximum pressure and pressure rate and that the total 43 number of induced seismic events is controlled by the maximum pressure. 44 A recent study of Rudnicki and Zhan (2020) on a spring-block model shows 45 that larger pressurization rates stabilize fault slip events due to rate and state 46 friction. 47

The role of injection design parameters on fault slip behaviour has been ex-48 tensively investigated also in many laboratory experiments. Among others, 49 Wang et al. (2020) showed that fault slip propagation is manly governed by 50 fluid pressurization rate rather than injection pressure. French et al. (2016), 51 instead, observed that fluid pressurization is less effective than mechanical 52 changes in the fault normal stress at initiating accelerated slip events. The 53 effect of fluid pressure oscillations on fault slip stability has been investigated 54 by Noël et al. (2019) via a triaxial laboratory experiment. They showed that 55 perturbations caused by pore fluid oscillations promote seismic slip and that 56 seismic activity along a fault increases for increasing oscillation's amplitudes. 57 Despite the numerous studies on the effects of injection parameters on earth-58 quakes nucleation and occurrence along faults, the impact of pressurization 59 rate on the onset of dynamic fault slip remains still elusive. Garagash and 60 Germanovich (2012) investigated extensively the conditions of nucleation and 61 arrest of dynamic fault slip on a frictional weakening pressurized fault. Their 62 generic findings, however, are valid only for two types of fault pressuriza-63 tions, constant over-pressure and constant injection rate, which are over-64 simplification of many injection protocols commonly used in industrial appli-65 cations. In numerous fault reactivation experiments, for instance related to 66 deep geothermal energy exploitation, the injection protocol consists of one 67 (or more) stimulation cycle, where the controlled injection rate or injection 68 pressure increases in time (typically with stair-like increments), up to reach 69 a steady state regime, followed then by a shut-in phase (Hofmann et al., 70 2018). In the hydraulic stimulation experiments conducted in Grimsel Test 71 Site, Switzerland, back in 2017 for example, the injection protocol consisted 72 of 4 injection cycles in which either injection pressure or injection flow rate 73

⁷⁴ was increased in a stepwise manner, before reaching a plateau and shut-in
⁷⁵ phase (Villiger et al., 2020). A similar trend of injection rate was also used
⁷⁶ during the hydraulic stimulation of Enhanced Geothermal System in the city
⁷⁷ of Basel, Switzerland (2006) (Häring et al., 2008) and in the more recent
⁷⁸ EGS project in Pohang, South Korea (Hofmann et al., 2018), to cite a few
⁷⁹ examples.

In this contribution, we extend the model of Garagash and Germanovich 80 (2012) to account for an initial ramp-up of injection rate in time and investi-81 gate its effect on dynamic fault slip nucleation and arrest. We approximate 82 the step-wise increase of injection rate adopted in standard injection pro-83 tocols using a simple linear increasing function with time, followed by a 84 maximum plateau (the shut-in phase is out of context and thus is not con-85 sidered in this work). This choice represents a good approximation when the 86 time scale of each increment is much larger that the corresponding one of 87 each step (and thus a linear increasing function is a reasonable approxima-88 tion). We solve the two-dimensional hydro-mechanical problem numerically 89 and verify the results with theoretical predictions. The goal of this study is 90 to evaluate the effects of the pressurization rate, quantified by the injection 91 rate ramp-up variation, on the nucleation and arrest of a seismic rupture on 92 a frictional weakening planar fault. 93

94 2. Fault model

We consider a planar fault embedded in an isotropic, homogeneous and 95 unbounded elastic medium under plane-strain conditions (see Figure 1). The 96 fault is subjected to an ambient pore-pressure p_o and a uniform far-field 97 stress state that resolved on the fault plane result in an effective normal 98 $\sigma'_{o} = \sigma_{n} - p_{o}$ and shear τ^{o} stress component. Such a uniform ambient stress 99 state, typical of a limited fault extent compared to the background in-situ 100 gradient, is perturbed via a point source injection of volumetric flow rate 101 Q(t) $[L^2/T]$ directly in the middle of the fault (specifically at x = 0). In 102 order to investigate the effect of injection rate ramp-up on fault slip stability, 103 we consider a linear increase of injection flow rate in time, followed by a 104 plateau after a given time t_c (the shut-in phase is out of scope here, hence 105 it will not be considered - see Figure 1). This parametrisation represents 106 an approximation of many standard fault injection protocols used in hydro-107 shearing stimulation of fractured reservoirs, in which the design (constant) 108 value of injection rate is reached upon stair-like increments. 109

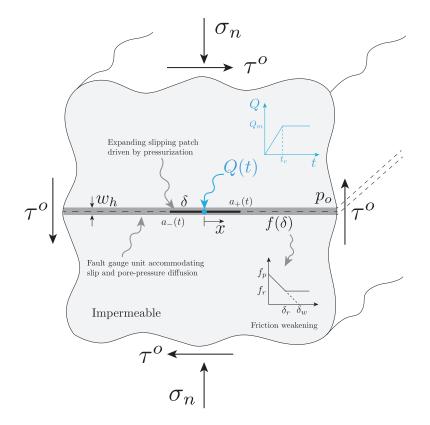


Figure 1: Plane-strain fault model subjected to a far-field stress state and fluid injection. The conductive planar fault is embedded into an homogeneous, isotropic and linear-elastic medium characterized by a negligible hydraulic diffusivity. The thin fault gouge unit, therefore, accommodates accelerating slip due to friction weakening condition and pore-pressure diffusion.

Prior fluid injection, we assume that the fault is in static equilibrium with the 110 uniform in-situ stress state (locked status). This tacitly assumes that there 111 is no effect of remote plates loading that would cause steady movements 112 with slow energy release (creep) (Chen and Bürgmann, 2017). The ambient 113 equilibrium is violated throughout pressurization, during which pore-pressure 114 perturbation diffuses along the fault plane and activates a symmetric shear 115 crack of length 2a. This scenario of mechanically weak, thin fault gouge 116 unit accommodating slip and pore fluid flow may be representative of an 117 immature deep fault, whose hydraulic conductivity is much larger than the 118 relatively undamaged rock around it (and thus impermeable host medium is 119

¹²⁰ a reasonable assumption).

Before presenting the governing equations, it must be noted that we assume normal stresses positive in compression and shear stresses positive for clockwise rotation. Furthermore, thermal effects, dilatancy/compaction during shear deformations and poroelastic stress changes in the surrounding medium are neglected.

2.1. Governing equations for quasi-static slip development driven by pore pressure diffusion

¹²⁸ 2.1.1. Static equilibrium and constitutive law for frictional slip

We consider the activation and propagation of a symmetric shear crack of length 2*a* driven by pore fluid flow inside the conductive fault plane. The shear stress τ at a given time *t* and position *x* on the slip surface is linearly related to slip δ (or shear displacement discontinuity) within the slipping region via the following quasi-static elastic equilibrium equation

$$\tau(x,t) = \tau^{o} - \frac{E_{p}}{4\pi} \int_{a_{-}(t)}^{a_{+}(t)} \frac{\partial \delta(\zeta,t)/\partial \zeta}{\zeta - x} \mathrm{d}\zeta, \qquad (1)$$

where a_+ and a_- are respectively the positive and negative positions of the crack tips, τ^o is the uniform background shear stress, $E_p = \frac{2G}{1-\nu}$ is the planestrain Young's modulus (with G and ν being shear modulus and Poisson's ratio, respectively), $\partial \delta(\zeta, t)/\partial \zeta$ is the shear dislocation density along the crack that must satisfy the following condition

$$\lim_{\zeta \to a_{\pm}(t)} \frac{\partial \delta(\zeta, t)}{\partial \zeta} \sqrt{a \mp \zeta} = 0$$
⁽²⁾

¹³⁹ in order to remove stress singularity at crack tips (Uenishi and Rice, 2003), ¹⁴⁰ and $\frac{1}{\zeta - x}$ is the non-local elastic kernel. For merely planar frictional prob-¹⁴¹ lems that do not account for dilatancy or compaction during crack propa-¹⁴² gation, the elastic kernel affects only the shear stress distribution along the ¹⁴³ fault plane, while the uniform total normal stress σ_n remains constant.

Inside the sliding region, the force balance requires that the shear stresses
must equal the available frictional resistance which we assume here to obey
the Mohr-Coulomb yielding criterion (without cohesion), accounting for a
slip weakening of friction coefficient

$$\tau(x,t) = f(\delta) \left(\sigma_n - p(x,t)\right), \qquad |x| \le a(t) \tag{3}$$

where $(\sigma_n - p(x,t)) = \sigma'_n(x,t)$ is the local effective normal stress, strictly function of pore-pressure evolution p(x,t) inside the fault, and $f(\delta)$ is the slip weakening friction coefficient

$$f(\delta) = \begin{cases} f_p - \frac{(f_p - f_r)}{\delta_r} \cdot \delta & \delta \le \delta_r \\ f_r & \delta > \delta_r \end{cases}$$
(4)

The frictional resistance, therefore, weakens linearly with shear deforma-151 tions δ , from a peak value associated with peak friction coefficient f_p , to a 152 residual value, after sufficiently large slip δ_r (such that the friction coefficient 153 drops to its residual value f_r). Although more complicated frictional laws can 154 be considered in (3), we use here the simple piece-wise linear weakening of 155 friction coefficient with slip, which can be shown to be a good approximation 156 of the phenomenological rate- and state- friction law at large slip rates and 157 for small values of a/b (Uenishi and Rice, 2003; Rubin and Ampuero, 2005), 158 or when $\Delta f_p/b \gg 1$, with Δf_p being the peak change of friction coefficient 159 from the steady-state value (Garagash, 2021). 160

161 2.1.2. Pore-pressure diffusion

¹⁶² Under the assumption of negligible fluid leak-off in the surrounding elastic ¹⁶³ medium, fluid flow is confined within the fault gouge unit characterised by a ¹⁶⁴ constant hydraulic aperture w_h . Upon injection of volumetric flow rate Q(t)¹⁶⁵ at x = 0, the diffusion of pore fluid over-pressure $\bar{p}(x,t) = p(x,t) - p_o$ is ¹⁶⁶ governed by the width-averaged fluid mass conservation equation

$$w_h c_f \frac{\partial \bar{p}}{\partial t} + \frac{\partial w_h v}{\partial x} = 0, \qquad (5)$$

where $c_f [M^{-1}TL^2]$ is a parameter that combines pore fluid compressibility and pore space expansivity and v is the gap-averaged fluid flow velocity given by the Poiseuille's law

$$v = -\frac{k_f}{\mu} \frac{\partial \bar{p}}{\partial x},\tag{6}$$

where $k_f [L^2]$ is the longitudinal fault permeability and $\mu = 12\mu' [MT^{-1}L^{-1}]$ is a viscosity parameter (with μ' as dynamic viscosity of the fluid). The point injection boundary condition requires that

$$w_h v = \pm \frac{Q(t)}{2}, \qquad \text{at} \quad x = 0^{\pm}$$
 (7)

where the volumetric flow rate Q(t) $[L^2T^{-1}]$ increases linearly with time t, up to reach a plateau after a given time t_c , i.e.

$$Q(t) = \begin{cases} \frac{Q_m}{t_c} \cdot t & t \le t_c \\ Q_m & t > t_c \end{cases}$$
(8)

Since we neglect dilatation/compaction of fault gouge unit during shear crack propagation, fault permeability k_f and hydraulic aperture w_h remain constant throughout pressurization. Equations (5) and (6), therefore, reduce to the well-known parabolic diffusion equation

$$\frac{\partial \bar{p}}{\partial t} - \alpha \frac{\partial^2 \bar{p}}{\partial x^2} = 0 \tag{9}$$

that govern over-pressure diffusion inside the fault conduit characterized by a constant hydraulic diffusivity $\alpha = \frac{k_f}{c_f \cdot \mu} [L^2 T^{-1}]$. Using specific boundary and initial conditions representative of the particular injection scenario and its time history, equation (9) can be solved analytically for the spatial and temporal evolution of pore-fluid over-pressure along the fault plane $\bar{p}(x,t)$ (see Appendix A for full details). During the ramp-up phase of injection rate, i.e. for $t \leq t_c$, the over-pressure evolution in function of time t and the normalized coordinate $\xi = \frac{x}{\sqrt{4\alpha t}}$ is given by

$$\bar{p}(\xi, t \le t_c) = \underbrace{\left(\frac{2Q_m \mu \sqrt{\alpha} t^{3/2}}{3k_f \sqrt{\pi} t_c w_h}\right)}_{\Delta P(t)} \cdot \underbrace{\left(e^{-\xi^2} \left(1 + \xi^2\right) - \sqrt{\pi} \left|\xi\right| \left(\frac{3}{2} + \xi^2\right) \operatorname{Erfc}(\left|\xi\right|\right)\right)}_{\Psi(\xi)},$$
(10)

where Erfc is the complementary error function. Notice that the analytical solution (10) is expressed as a product two independent functions, $\Delta P(t)$ and $\Psi(\xi)$, which identify respectively the maximum over-pressure evolution at injection point and its instantaneous spatial distribution.

¹⁹¹ The pore-fluid evolution after the ramp-up phase, instead, is governed by the ¹⁹² following equation (Cole et al., 2011)

$$\bar{p}(x,t>t_c) = \int_{-\infty}^{\infty} G(x-x',t-t_c) \cdot \bar{p}\left(\frac{x'}{\sqrt{4\alpha t_c}},t_c\right) \mathrm{d}x' + \frac{\alpha Q_m \mu}{w_k k_f} \int_{t'=t_c}^t G(x,t-t') \mathrm{d}t'$$
(11)

where $\bar{p}\left(\frac{x'}{\sqrt{4\alpha t_c}}, t_c\right)$ denotes the over-pressure distribution at time t_c and 193 G(x - x', t - t') is the fundamental heat conduction solution valid for an 194 infinite one-dimensional body subjected to an instantaneous point source 195 (also called Green's function) (Carslaw and Jaeger, 1959). We revert the 196 reader to Appendix A for its analytical expression. It is worth mentioning 197 that the solution (11) is valid for $t > t_c$ and it takes into account the whole 198 injection history during the ramp-up phase. If t_c vanishes, then we recover the 199 analytical solution of pressurization at constant injection rate (see Appendix 200 A). 201

In this contribution, we assume that maximum over-pressure occurring at injection point x = 0 remains always below the ambient effective normal stress $\sigma'_o = \sigma_n - p_o$ applied on the fault plane, i.e.

$$\frac{\bar{p}(0,t)}{\sigma'_o} < 1, \quad \forall t \tag{12}$$

implying that the minimum principal effective stresses $\sigma'_n(x,t)$ remain always compressive (positive) throughout pressurization and hydraulic fracturing type of failure never occurs (which would require the full coupling between flow and elastic deformations).

The along-fault pore-pressure diffusion changes the local effective normal 209 stresses and hence drives the symmetric slip propagation when the Mohr-210 Coulomb criterion (3) is locally violated. Shear deformations, instead, do not 211 affect pore-pressure evolution since shear-induced dilatancy or compaction 212 is neglected and so fault permeability / porosity changes too. Although 213 this assumption is debatable, since such inelastic deformations do affect slip 214 stability on a planar fault with frictional weakening properties (Garagash 215 and Rudnicki, 2003; Zhang et al., 2005; Ciardo and Lecampion, 2019), we 216 want to minimise the complexities in the model and focus solely on the effect 217 of injection rate ramp-up on the potential nucleation and arrest of dynamic 218 slip. The hydro-mechanical model, therefore, is only one-way coupled and 219 it is equivalent to the one proposed by Garagash and Germanovich (2012), 220 with the difference that the point injection volumetric rate is not constant 221 in time but changes according to (8). 222

We have introduced in the model an additional parameter t_c , therefore we expect another dimensionless parameter governing the hydro-mechanical fault's response (on top of the ones introduced by Garagash and Germanovich (2012)). For sake of completeness we present and discuss in the next section all the dimensionless governing parameters resulting from scaling analysis
(see Appendix B for more details), as well realistic values that are then used
in the numerical simulations.

230 2.2. Dimensionless governing parameters

²³¹ Upon normalization of all the governing equations (1-8) following Uenishi ²³² and Rice (2003); Garagash and Germanovich (2012) (see Appendix B), the ²³³ hydro-mechanical fault response depends only on four dimensionless param-²³⁴ eters:

• Stress criticality $\frac{\tau^o}{\tau_n}$, which represents the closeness of the ambient fault 235 stress state to failure (and thus to the peak shear strength $\tau_p = f_p \sigma'_o$). 236 Levandowski et al. (2018) claims that stress criticality is the most im-237 portant factor for induced earthquakes hazard. Favourably oriented 238 frictional weakening faults with respect to the in-situ stress field, typ-239 ically characterised by a large stress criticality $(\frac{\tau^o}{\tau_c} \lesssim 1)$, are very 240 susceptible to host run-away seismic ruptures. Indeed, a little stress 241 perturbation is sufficient to re-activate slip and its velocity propaga-242 tion tends to diverge rapidly due to friction weakening and possibly 243 other weakening mechanisms, such us flash-heating and thermal pres-244 surization (Viesca and Garagash, 2015). Garagash and Germanovich 245 (2012) have shown via a stability analysis that critically stressed pres-246 surized faults, for which the relation $\tau^o > \tau_r = f_r \sigma'_o$ is strictly satis-247 fied, host always the nucleation of an unabated dynamic event. Such 248 a run-away rupture, however, can be suppressed when shear-induced 249 dilatancy kicks-off and dilatant hardening stabilises slip propagation 250 (Lockner and Byerlee, 1994; Segall et al., 2008; Ciardo and Lecam-251 pion, 2019). Critically stressed faults in seismogenic zones have been 252 observed in Oklahoma and Southern Kansas (Qin et al., 2019), in the 253 German continental deep drillhole (KTB) (Ito and Zoback, 2000) and 254 in central California along the San Andreas fault system (Zoback et al., 255 1987; Rice, 1992) to cite a few examples. 256 On the other hand, fault zones not favourably oriented to the local 257 in-situ stress field are characterised by low stress criticality $(\frac{\tau^o}{\tau_-} \gtrsim 0)$, 258 which implies that larger over-pressures are required to activate slip. By 259

²⁶⁰ making the analogy with critically stressed pressurized faults exhibiting

linear slip-weakening behaviour, low stress criticality and $\tau^o < \tau_r$ implies a quasi-static, stable slip propagation with eventually a nucleation and arrest of a dynamic event at large pressurization time (Garagash and Germanovich, 2012).

The role of the initial effective stress state on the nature of seismicity has been also investigated in fault lab experiments by Passelègue et al. (2020), where they show that faults with similar frictional properties can rupture at both slow and fast rupture velocity depending on their initial stress criticality, in agreement with theoretical predictions based on linear elastic fracture mechanics (LEFM).

• Friction weakening ratio $\frac{f_r}{f_p} = 1 - \frac{\delta_r}{\delta_w}$ that governs the shear stress 271 drop within the crack tips (with $\delta_w = \frac{f_p}{(f_p - f_r)} \delta_r$ being the amount of 272 slip at which the friction coefficient goes to zero if an unlimited linear 273 slip-weakening friction law is considered). According to slip laboratory 274 experiments of granite intact specimens, the slip weakening distance 275 δ_r is approximately half a millimetre (Rice, 1980), but it can drop 276 even below 0.1 mm (Wong, 1986). Similarly, δ_w is on the order of 277 fault's asperities and thus ranges between 0.1 and 10mm. The friction 278 weakening ratio, therefore, can assume any values within the interval 279 (0,1).280

• Normalized maximum injection rate $\frac{Q_m}{Q^*}$, where $Q^* = \frac{2\sigma'_o w_h k_f}{a_m \mu}$ is the 281 characteristic injection rate scale and $a_w = \frac{E_p}{2\tau_p} \delta_w$ is the slipping patch 282 length-scale. Its value is typically in the order of a meter, but roughly 283 one order of magnitude of variation is plausible due to the variation 284 of δ_w with fault's roughness and σ'_o with hydrogeological conditions. 285 In normally pressurized formations, typically located in the Earth's 286 upper crust and characterised by lithostatic gradient and hydro-static 287 pore-pressure conditions (Brace and Kohlstedt, 1980; Grawinkel and 288 Stockhert, 1997), σ'_o may be a fraction of megapascals, while a boost 289 to hundred of megapascals may be obtained in over-pressurized forma-290 tions located below the fluid retention depth (Suppe, 2014). Assuming 291 a fluid viscosity parameter $\mu \sim 10^{-3}$ Pa · s (water), a fault gouge per-292 meability k_f in the order of $\sim 10^{-16} \text{m}^2$ (Wibberley et al., 2008) and 293

hydraulic width w_h of few millimetres, the injection rate characteristic scale Q^* ranges between $\sim 10^{-9} \text{m}^2/\text{s}$ and $10^{-6} \text{m}^2/\text{s}$. If we assume that the out-of-plane fault length is ~ 1 km, then the volumetric injection rate scale would range between a fraction to few litres per second.

The maximum injection rate Q_m is a design parameter that can vary considerably from tens or hundreds litres per second in hydraulicfracturing operations (see (Holland, 2013) for one example where > 160 1/s were injected in south-central Oklahoma), to few or fractions of litres per second for hydro-shearing stimulations (see for instance hydroshearing experiments in Grimsel Test Site, Switzerland, where the maximum injection rate never exceeded 0.5 l/s (Villiger et al., 2020)).

The dimensionless parameter $\frac{Q_m}{Q^*}$, therefore, can assume relatively low or large values depending on the specific problem configuration. Since hydraulic fracturing type of failure is not considered in our model, all the numerical results that will be presented later are characterised by a relatively low/moderate value of $\frac{Q_m}{Q^*}$.

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• $\frac{t_c}{t_w} \rightarrow$ ratio between the ramp-up time scale and along-fault diffusion time-scale $t_w = \frac{a_w^2}{4\alpha}$. This ratio may vary considerably due to the variation of slipping patch length-scale a_w previously discussed, the specific fault hydraulic properties considered as well as the choice of the parameter t_c . Assuming a compressibility parameter $c_f \sim 0.5$ GPa⁻¹ (Wibberley, 2002) and the hydraulic parameters previously defined (albeit the fault longitudinal permeability may range within the interval

 $10^{-19} - 10^{-15} \text{ m}^2$), we estimate an hydraulic diffusivity of $\alpha \sim 2 \cdot 10^{-4} \text{ m}^2/\text{s}$, which leads to a diffusion time scale of $t_w \sim 21$ min for $a_w = 1$ m.

The parameter t_c may be in the order of several minutes for relatively 320 fast fault pressurizations (see for instance one cycle of the injection pro-321 tocol used for one hydro-shearing stimulation in Grimsel, Switzerland 322 (Amann et al., 2018)), but it may get up to several hours or few days 323 for relatively slow pressurizations (see for instance the injection pro-324 tocol used for hydraulic stimulation of Pohang Enhanced Geothermal 325 System, 2017 (Yeo et al., 2020; Hofmann et al., 2018)). The ratio t_c/t_w , 326 therefore, may be very large, in the order 1 or possibly very small. 327

In the following we explore the parameter space identified by these dimensionless ratios via numerical simulations. We vary them systematically in order to investigate their impact on the nucleation and arrest (if occur) of dynamic fault slip.

332 3. Numerical results

We use a fast boundary element based solver in order to solve numerically 333 the one-way coupled hydro-mechanical problem (1-11) (see details in (Ciardo 334 et al., 2020)). It is suited for 2D non-linear geo-mechanical problems involv-335 ing localized inelastic deformations along pre-existing structural discontinu-336 ities, such as faults or fractures. The elasto-static balance of momentum (1)337 is discretized using displacement discontinuity method that, together with 338 the discretized form of shear weakening Mohr-Coulomb criterion (3), lead 339 to a non-linear system of equations for the unknowns inelastic deformations 340 (or displacement discontinuities) and effective tractions. For a given pore-341 pressure history calculated using (10) and (11) (with the latter evaluated 342 numerically using a trapezoidal quadrature rule), such a resulting system is 343 solved iteratively using fixed point iterations combined with under-relaxation 344 (Quarteroni et al., 2000), and adopting a fully implicit integration scheme in 345 time. A speed up of computations is obtained via hierarchical approxima-346 tion of the fully populated elasticity matrix (on top of memory reduction), 347 together with the use of an adequate block pre-conditioner that improves 348 spectral properties of the resulting matrix of coefficients (see full details in 340 (Ciardo et al., 2020)). 350

All the numerical results that will be presented in the following are obtained by considering a sufficiently long planar fault such that $L_o/a_w = 40$, with L_o being its half-length. The planar fault is then uniformly discretized with $2 \cdot 10^3$ equal-sized straight elements, resulting in having 50 elements inside the slip weakening region near crack tips (sufficient to accurately capture the model non-linearity).

357 3.1. Ultimately stable fault ($\tau^o < \tau_r$)

Firstly, we present the case of fluid injection into an ultimately stable fault characterised by $\tau^o/\tau_r < 1$, where $\tau_r = f_r \sigma'_o$ is the residual frictional strength prior pressurization. This condition automatically implies that the fault is far from being critically stressed, and thus the ratio τ^o/τ_p is kept relatively low. By fixing the frictional weakening ratio to $f_r/f_p = 0.6$, we investigate the

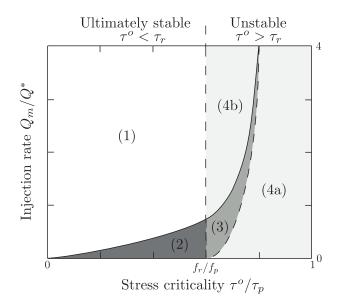


Figure 2: Map of slip regimes for a frictional weakening pressurized fault (adapted from Garagash and Germanovich (2012)), as function of stress criticality τ^o/τ_p , friction weakening ratio f_r/f_p and normalized (constant) injection rate Q_m/Q^* . Region (1) corresponds to the scenario of an ultimately stable fault in which pressurization leads always to quasistatic (stable) slip propagation. Region (2) corresponds to the case of quasi-static slip propagation, followed by a nucleation and arrest of dynamic slip. Regions (4b) and (4a), instead, represent the scenarios of an unstable fault exhibiting quick quasi-static crack propagation followed by an unabated dynamic rupture, whose nucleation is affected or not by residual friction coefficient f_r , respectively. Finally, in region (3) the nucleation of a run-away rupture on an unstable fault is preceded by a finite-sized seismic event.

fault's hydro-mechanical response for different values of normalized maximum injection rate Q_m/Q^* , in the two plausible limiting scenarios of $t_c/t_w \ll 1$ and $t_c/t_w \gg 1$.

366 3.1.1. Fast ramp-up: the limit when $t_c/t_w \ll 1$

If the diffusion time-scale t_w is much larger than the ramp-up time t_c 367 of injection rate, slip activation and propagation occurs when the injection 368 rate has already reached its maximum value Q_m/Q^* . The quick ramp-up 360 of injection rate has a negligible effect on pore-pressure evolution and the 370 hydro-mechanical fault response is essentially driven by injection at constant 371 volumetric rate. Garagash and Germanovich (2012) studied extensively the 372 effect of such a type of fault pressurization on the nucleation and poten-373 tial arrest of a dynamic event. They came up with a slip regimes diagram 374

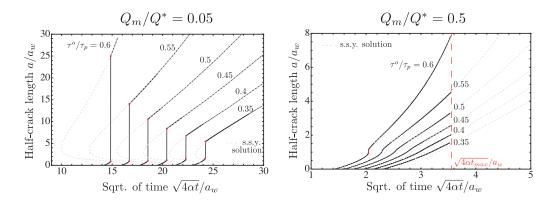


Figure 3: Time evolution of normalized half-crack length a/a_w (black solid lines) as function of various stress criticality values τ^o/τ_p , for two values of maximum injection rates $Q_m/Q^* = 0.05, 0.5$ and $t_c/t_w = 0.01 \ll 1$. The friction weakening ratio is set to $f_r/f_p = 0.6$, resulting in ultimately stable conditions, i.e. $\tau^o < \tau_r$ for each value of ambient fault loading condition. Grey dashed lines represent the small scale yielding (s.s.y.) solutions (see Appendix C) associated with constant injection rate type of pressurization, which represents a good approximation of the hydro-mechanical fault response when $t_c/t_w \ll 1$. In this particular example, indeed, the normalized ramp-up time is $\sqrt{4\alpha t_c}/a_w = 0.1$ and thus shear crack activation and propagation is essentially driven at constant injection rate. Red dots, instead, denote the nucleation and arrest of dynamic slip.

reported in Figure 2, in which a frictional weakening planar fault may expe-375 rience a finite-sized dynamic event (region (2)), a run-away rupture (affected 376 or not by the residual friction coefficient f_r , region (4b) and (4a) respec-377 tively), a finite-sized dynamic event followed by an unabated seismic rupture 378 (region (3)), or only aseismic slip (region (1)). This depends on the par-379 ticular set of dimensionless parameters that identifies a specific initial fault 380 loading condition, pressurization and frictional property. Their theoretical 381 and semi-analytical results, therefore, provide benchmark solutions for our 382 numerical results. 383

Under ultimately stable conditions (i.e. $\tau^o < \tau_r$, left side of Figure 2), Garagash and Germanovich (2012) proved via a stability analysis that a fault experiences a stable, quasi-static growth of slipping patch throughout sustained pressurization (i.e. aseismic slip). However, for sufficiently low injection rates Q_m/Q^* , the fault exhibits a finite-sized dynamic event, whose nucleation time t_n is considerably larger than fluid diffusion time-scale t_w (and thus $t_c \ll t_w \ll t_n$). These considerations are also confirmed with our numeri-

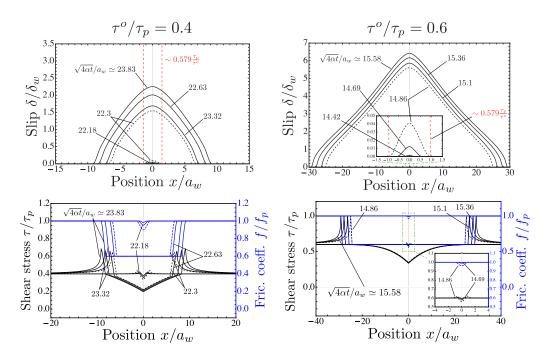


Figure 4: Normalized slip δ/δ_w , shear stress τ/τ_p and friction coefficient f/f_p profiles at different normalized time snapshots, for the case of maximum injection rate $\frac{Q_m}{Q^*} = 0.05$, ramp-up time scale $t_c/t_w = 0.01 \ll 1$ and two stress criticality values, i.e. $\tau^o/\tau_p = 0.4$ and 0.6 (ultimately stable faults as $f_r/f_p = 0.6$). Dashed blue and black lines correspond to the numerical solutions at nucleation time t_n (or in normalized form $\frac{\sqrt{4\alpha t_n}}{a_w}$), while dashed vertical red lines denote the asymptotic solution (13) provided by Garagash and Germanovich (2012).

cal results reported in Figure 3, where the normalized time evolution of half crack length a/a_w is displayed for two different values of $Q_m/Q^* = 0.05, 0.5$ and different values of low/moderate stress criticality τ^o/τ_p (with the ratio t_c/t_w equal to 0.01 and τ^o always below or equal τ_r).

For the case of low injection rate $Q_m/Q^* = 0.05$, a nucleation of dynamic event followed by an arrest always occurs for each value of stress criticality considered, with larger dynamic run-out distances for increasing values of τ^o/τ_p (see Figure 3-left). Since the ambient effective stress states applied on the fault plane are far from failure, resulting in a stable quasi-static slipping patch propagation before reaching the nucleation time t_n (or in normalized form $\sqrt{4\alpha t_n}/a_w$), the shear crack tips are located well within the pressurized

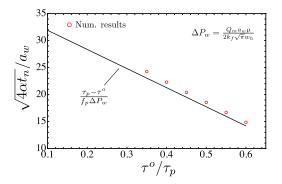


Figure 5: Comparison between the asymptotic solution (14) for ultimately stable faults valid for $t_c/t_w \ll 1$ and numerical solutions corresponding to the case of $t_c/t_w = 0.01$ and $Q_m/Q^* = 0.05$ (for which the necessary condition (15) is strictly satisfied for all values of τ^o/τ_p considered).

region. At nucleation time, the rupture is not affected by the residual friction coefficient f_r (due to the low slip accumulation during quasi-static slip propagation phase) and its half-length assumes the asymptotic expression (Garagash and Germanovich, 2012)

$$\frac{a_n}{a_w} \simeq 0.579 \cdot \frac{\tau_p}{\tau^o} \tag{13}$$

A careful investigation of Figure 4 that displays the corresponding slip, 406 shear stress and friction coefficient profiles at different time snapshots (with 407 dashed lines corresponding to the ones at nucleation time) and for two stress 408 criticality values $\tau^{o}/\tau_{p} = 0.4, 0.6$ confirms these theoretical predictions. It 409 is worth noting at the arrest of the dynamic slip propagation, the friction 410 coefficient has reached its residual value over almost the entire crack. The 411 subsequent shear crack propagation, therefore, remains always stable in time 412 as depicted in Figure 3-left. In addition to this, Garagash and Germanovich 413 (2012) proposed an asymptotic solution for the nucleation time t_n that is valid 414 for an ultimately stable fault that exhibits a dynamic event right after slip 415 activation (similarly to the scenarios reported in Figure 3-left). Under this 416 condition, indeed, the shear crack at nucleation time is confined near injection 417 point and is subjected to a uniform over-pressure equal to the minimum value 418 required to activate slip, i.e. $\bar{p}(x \simeq 0, t_n) = (\tau_p - \tau^o)/f_p$. This asymptotic 419

⁴²⁰ solution reads (Garagash and Germanovich, 2012)

$$\frac{\sqrt{4\alpha t_n}}{a_w} \simeq \frac{\tau_p - \tau^o}{f_p \Delta P_w},\tag{14}$$

where $\Delta P_w = \frac{Q_m a_w \mu}{2k_f \sqrt{\pi} w_h}$ is the characteristic pore-pressure drop over the distance a_w . In order to guarantee that crack instability follows shortly after slip activation, ΔP_w must be very small compared to $\bar{p}(x \simeq 0, t_n)$, resulting in the following necessary condition

$$\frac{Q_m}{Q^*} \ll \sqrt{\pi} \left(1 - \frac{\tau^o}{\tau_p} \right) \tag{15}$$

The comparison between the nucleation times associated with numerical 425 simulations reported in Figure 3-left (for which $Q_m/Q^* = 0.05$ strictly sat-426 isfies the condition (15) for each value of stress criticality considered) and 427 the asymptotic solution (14) is displayed in Figure 5. A good agreement 428 is obtained, specially for larger values of τ^o/τ_p for which the assumption of 429 nucleation after activation is truly valid (see Figure 3-left). Obviously, lower 430 values of Q_m/Q^* would lead to an earlier nucleation and thus a closer match 431 between numerical and asymptotic solution. 432

For a larger value of injection rate $Q_m/Q^* = 0.5$ (such to fall into region (1) of Figure 2 for $\tau^o/\tau_p < 0.6$), instead, the slipping patch propagates always quasi-statically for all values of τ^o/τ_p , before reaching the maximum pressurization time $\sqrt{4\alpha t_{max}}/a_w$ at which the condition (12) is violated (and at which all the simulations were stopped). One exception is a very small seismic event corresponding to the boundary case of $\tau^o/\tau_p = 0.6$ - see Figure 3-right.

These numerical results confirm that in case the maximum flow rate Q_m 440 is reached before pore fluid can actually diffuse into the fault, the hydro-441 mechanical fault response is essentially driven by pressurization at constant 442 volumetric rate and a good match with theoretical results of Garagash and 443 Germanovich (2012) is obtained. This is further strengthened by looking at 444 the comparison between the numerical results reported in Figure 3 (black 445 lines) and the small-scale yielding (s.s.y.) asymptotic solutions (dashed grey 446 lines) associated with pressurization at constant injection rate only (see Ap-447 pendix C). For $a/a_w \gtrsim 2$, indeed, a perfect match is obtained, suggesting 448 that the effect of the quick ramp-up phase on slip propagation is negligible. 449

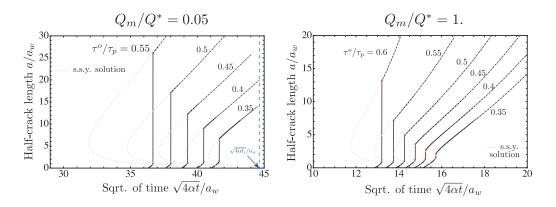


Figure 6: Time evolution of normalized half-crack length a/a_w (black solid lines) as function of stress criticality τ^o/τ_p , for two values of maximum injection rates $Q_m/Q^* = 0.05, 1$ and $t_c/t_w = 2 \cdot 10^3 \gg 1$. The friction weakening ratio is set to $f_r/f_p = 0.6$, resulting in ultimately stable conditions, i.e. $\tau^o < \tau_r$ for each value of ambient fault loading condition. Grey dashed lines represent the small scale yielding (s.s.y.) solution associated with linear ramp-up of injection rate (see Appendix C), whose normalized ending time in this example is $\sqrt{4\alpha t_c}/a_w = 44.72$. Red dots, instead, denote the nucleation and arrest of dynamic slip.

450 3.1.2. Slow ramp-up: the limit when $t_c/t_w \gg 1$

We present here the opposite scenario in which injection rate increases linearly in time but the maximum value is reached after the pore fluid substantially diffuses along the fault plane (i.e. $t_c \gg t_w$). Under this condition, pore-pressure perturbation activates a shear crack (slip) during the ramp-up of injection rate, and the potential nucleation of a dynamic event would occur when pressurization is approaching the changing time t_c . In other words, $t_c \gg t_w$ and $t_n \lesssim t_c$.

Scaling analysis reported in Appendix B suggests that the pressurization 458 rate, i.e. how quick is the ramp-up of injection rate before time t_c , does 459 play a role in the hydro-mechanical fault response. In order to investigate its 460 effect on the potential nucleation and arrest of dynamic slip on ultimately 461 stable faults, we run several simulations keeping the ratio t_c/t_w constant (and 462 much larger than 1) and varying the maximum injection rate Q_m/Q^* . This is 463 equivalent to keeping the normalized injection rate constant and varying the 464 (large) ratio t_c/t_w , resulting in relatively low or large pressurization rates. 465

Figure 6 displays the time evolution of half-crack length for different values of stress criticality, a ratio $t_c/t_w = 2 \cdot 10^3$ and two maximum injection rates $Q_m/Q^* = 0.05, 1$, representative of low and moderate fault pressurization

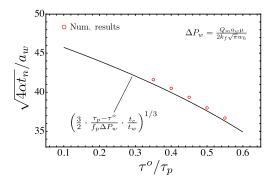


Figure 7: Comparison between the asymptotic analytical solution (16) for ultimately stable faults valid for $t_c/t_w \gg 1$ and numerical solutions corresponding to the case of $t_c/t_w = 2 \cdot 10^3$ and $Q_m/Q^* = 0.05$ (for which the necessary condition (15) is strictly satisfied for all values of τ^o/τ_p considered).

rates, respectively. Notice that the maximum injection rates considered are 469 similar to the ones used in the previous case of $t_c/t_w \ll 1$ (see Figure 3), 470 although the pressurization rates are considerably different. From a compar-471 ison of Figures 6-right and 3-right, we clearly observe that, in the case of 472 $t_c/t_w \gg 1$, a moderate maximum injection rate is not sufficient to quench 473 the finite-sized dynamic slip event for each value of stress criticality (unlike 474 the case previously discussed). This is certainly due to the different type of 475 fault pressurization that drives the slipping patch expansion. In this case, 476 indeed, slip is driven by linear increase branch of injection rate and pore-477 pressure at injection point evolves proportional to $\sim t^{3/2}$ (see Eq. (10)). A 478 further comparison of Figures 3-left and 6-left for the exact same value of 479 $Q_m/Q^* = 0.05$ reveals that the dynamic run-out distances are rather similar 480 for each corresponding value of stress criticality, while the nucleation times 481 differ considerably. With the similar approach of Garagash and Germanovich 482 (2012), we derive an asymptotic expression for the nucleation time that is 483 valid when nucleation of dynamic slip follows shortly shear crack activation. 484 By setting $\bar{p}(\xi \simeq 0, t_n)$ from equation (10) equal to $(\tau_p - \tau^o)/f_p$, i.e. equal 485 to the minimum over-pressure required to activate slip, we get the following 486 asymptotic expression for the normalized nucleation time 487

$$\frac{\sqrt{4\alpha t_n}}{a_w} \simeq \left(\frac{3}{2} \cdot \frac{\tau_p - \tau^o}{f_p \Delta P_w} \cdot \frac{t_c}{t_w}\right)^{1/3} \iff \frac{Q_m}{Q^*} \ll \sqrt{\pi} \left(1 - \frac{\tau^o}{\tau_p}\right), \quad (16)$$

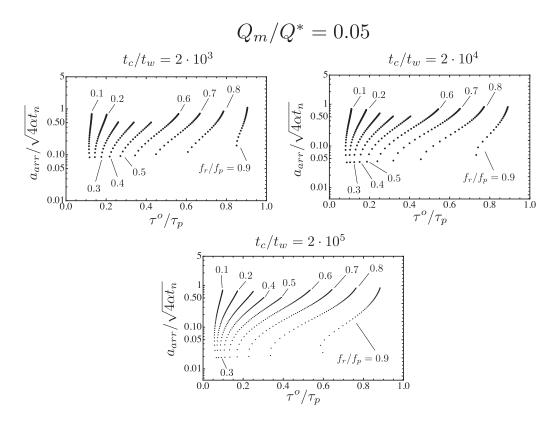


Figure 8: Normalized dynamic run-out distances $a_{arr}/\sqrt{4\alpha t_n}$ in function of stress criticality τ^o/τ_p and friction weakening ratio f_r/f_p , for different ramp-up scenarios of injection rate, i.e. different values of $\frac{Q_m t_w}{t_c Q^*}$. These latter are obtained by fixing the maximum injection rate at $Q_m/Q^* = 0.05$ and varying the (large) ratio t_c/t_w (notably $t_c/t_w = 2 \cdot 10^3, 2 \cdot 10^4, 2 \cdot 10^5 \gg 1$). In all the cases nucleation occurs prior reaching time t_c , even for low values of f_r/f_p .

where ΔP_w is the same characteristic pore-pressure drop reported in Eq. 488 (14). Unlike the asymptotic solution (14) valid for $t_c/t_w \ll 1$, in this case the 489 normalized nucleation time varies non-linearly with stress criticality τ^o/τ_p , 490 with a power law exponent equal to 1/3. Furthermore, it appears the depen-491 dency on the dimensionless ratio t_c/t_w with the same power law exponent 492 (as expected from scaling analysis), revealing that the nucleation time of dy-493 namic slip does depend on how quick the injection rate ramp-up occurs in 494 time, and thus on how large is the ratio $\frac{Q_m \cdot t_w}{Q^* \cdot t_c}$. The comparison between 495 the numerical results corresponding to the case of $Q_m/Q^* = 0.05$, for which 496

the condition $\frac{Q_m}{Q^*} \ll \sqrt{\pi} \left(1 - \frac{\tau^o}{\tau_p}\right)$ is strictly satisfied for all the values of τ^o/τ_p , and the asymptotic solution (16) is displayed in Figure 7. A good agreement is obtained, with a closer match for larger values of τ^o/τ_p due to the earlier nucleations after slip activations (see Figure 6-left).

501

The asymptotic solution (16) gives also an estimation of the normalized 502 position of the fluid front at nucleation time. A careful inspection of Figure 503 6-left reveals that, at the onset of dynamic slip, the slipping patch front lags 504 well within the pressurized region, and their relative distance decreases dra-505 matically after the arrest of dynamic event (with fluid front always located 506 ahead the slip front). Furthermore, we can observe that our numerical results 507 (black lines) match perfectly with the small-scale yielding (s.s.y.) asymptotic 508 solutions associated with linear increase of injection rate (dashed grey lines -509 see Appendix C for more details) for each value of stress criticality. Since the 510 extent of the arrested dynamic crack is always much larger than the slipping 511 patch length scale a_w (thus s.s.y. condition is truly valid) and the corre-512 sponding nucleation time is known analytically from (16), we can calculate 513 analytically the dynamic run-out distances a_{arr} directly from the small-scale 514 yielding solution resolved at nucleation time. By simply replacing the nucle-515 ation time t_n obtained from Eq. (16) into the increment of stress intensity 516 factor associated with ramp-up of injection rate (C.3), we can solve the re-517 sulting implicit equation obtained from propagation criterion (C.6) for the 518 unknown arrested crack lengths. Obviously, this analytical equation is only 510 valid in the case of early dynamic crack nucleation after activation, but it 520 allows to investigate systematically the effect of the other dimensionless pa-521 rameters on the arrest of dynamic rupture. 522

We examine the effect of pressurization rate by fixing the maximum injection 523 rate to $Q_m/Q^* = 0.05$ (such to satisfy the necessary condition in Eq. (16) for 524 the whole range of stress criticality τ^o/τ_p) and varying the large ratio t_c/t_w , 525 obtaining thus a relatively quick or slow ramp-up of injection rate. In Figure 526 8, we display the normalized dynamic run-out distances $a_{arr}/\sqrt{4\alpha t_n}$ in func-527 tion of stress criticality and friction weakening ratio f_r/f_p . We can observe 528 that, for a given ramp-up of injection rate, the nucleation of a dynamic event 529 is expected on a wider range of stress criticality for intermediate values of 530 friction weakening ratio (compared to the cases of $f_r/f_p \to 0$ or $f_r/f_p \to 1$). 531 Moreover, for a given value of f_r/f_p , the range of stress criticality in which 532 a dynamic event is expected increases for increasing values of t_c/t_w (i.e. for 533

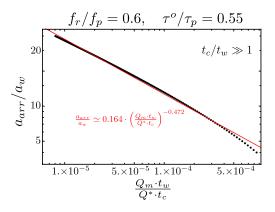


Figure 9: Normalized dynamic run-out distances a_{arr}/a_w (black dots) in function of pressurization rate $\frac{Q_m t_w}{t_c Q^*}$ (with $t_c/t_w \gg 1$), for an ultimately stable fault characterised by $\tau^o/\tau_p = 0.55$ and friction weakening ratio of $f_r/f_p = 0.6$. The red solid line represents a linear fit of the numerical results (black dots) in the log-log plot.

decreasing values of $\frac{Q_m t_w}{t_c Q^*}$), revealing that low pressurization rates promote 534 the nucleation of a finite-sized dynamic rupture on ultimately stable faults. 535 It is also interesting to note that the arrest of the dynamic rupture always 536 occurs within the pressurized region, i.e. $\frac{a_{arr}}{\sqrt{4\alpha t_n}} \lesssim 1$, regardless of i) how 537 quick the injection rate increase in time, ii) friction weakening ratio consid-538 ered and iii) stress criticality value. Furthermore, for a given value of f_r/f_p 539 and τ^o/τ_p , the dynamic run-out distance increases for decreasing values of 540 $\frac{Q_m t_w}{t_o Q^*}$, i.e. for slower ramp-up of injection rate. This can be grasped clearly 541 from Figure 9 where the normalized ramp-up rate $\frac{Q_m t_w}{t_c Q^*}$ is plotted against 542 the normalized dynamic run-out distance a_{arr}/a_w in a log-log plot. As one 543 can see, the arrest of the dynamic slipping patch decreases non-linearly with 544 increasing values of ramp-up rates, approximately proportional to an inverse 545 power-law with exponent equal to -0.472 (see red line in Figure 9). 546

547 3.2. Unstable fault $(\tau^o > \tau_r)$

Finally, we present the case of injection into an unstable fault characterised by $\tau^o/\tau_r > 1$ at ambient conditions. In this particular scenario the fault is critically stressed and thus prompt to fail. A small strength perturbation, due to for instance a small pore-pressure increment \bar{p} , always leads to

a quick quasi-static shear crack propagation followed by a run-away dynamic 552 rupture (albeit in some circumstances a finite-sized dynamic event may pre-553 cede the run-away rupture - see region (3) of Figure 2 valid for the injection 554 scenario at constant volumetric rate). Assuming a shear crack activation 555 during the early ramp-up of injection rate (valid when t_c is not much smaller 556 than t_w and thus pore fluid can diffuse within the fault plane), the slipping 557 patch outpaces rapidly the pore fluid front and, at nucleation time t_n , the 558 following condition hold 559

$$\frac{a_n}{a_w} \gg \frac{\sqrt{4\alpha t_n}}{a_w},\tag{17}$$

with t_n much smaller than both fluid diffusion time-scale t_w and ramp-up time scale t_c . The pressurized region at nucleation time, therefore, is always confined near injection point and the corresponding pore-pressure distribution can be approximated using an equivalent point force distribution $\bar{p}(x,t) \simeq \Delta P(t) \delta_{dirac}(x)$, with $\Delta P(t)$ defined in (10).

Based on the previous work of Uenishi and Rice (2003), Garagash and Germanovich (2012) showed that there exist an asymptotic solution in terms of critical shear crack length that is universal (i.e. independent of the particular type of injection scenario) and it reads

$$\frac{a_n}{a_w} \simeq 0.579 \tag{18}$$

In addition to this, they developed semi-analytically an outer and inner asymptotic solutions that are valid at different fault position with respect to fluid front location (see Appendix D). The outer solution is so called because is valid outside the pressurization region, i.e. for $|x| \gg \sqrt{4\alpha t}$. The inner asymptotic solution, instead, is valid for $|x| \leq \sqrt{4\alpha t}$, i.e. near injection point due to the limited extension of pressurization region on unstable faults before instability.

Since the outer asymptotic solution is universal, i.e. it is valid for any type of 576 peak pore-pressure distribution (see Appendix D), we can make use of such 577 a solution to derive an asymptotic expression for the nucleation time t_n in 578 function of the ramp-up of injection rate (and compare it with the solution of 579 Garagash and Germanovich (2012) valid for pressurization at constant flow 580 rate that can actually be retrieved here in the limit of $t_c \rightarrow 0$). Based on 581 the outer solution, indeed, the integrated net over-pressure along the fault 582 at crack instability is approximately given by (Garagash and Germanovich, 583

584 2012)

$$\Delta P(t_n) = \int_{-\infty}^{+\infty} \bar{p}(x, t_n) \mathrm{d}x \simeq \mathcal{P} \frac{\tau_p - \tau^o}{f_p} a_w, \tag{19}$$

where $\mathcal{P} \simeq 0.8369$ is the scaled magnitude of the point force, independent of the type of fault pressurization. By replacing Eq. (10) into Eq. (19), after some algebra, we obtain

$$\frac{\sqrt{4\alpha t_n}}{a_w} \simeq \left(\frac{2^2 \cdot 0.8369}{\sqrt{\pi}} \cdot \frac{\tau_p - \tau^o}{f_p \Delta P_w} \cdot \frac{t_c}{t_w}\right)^{1/4},\tag{20}$$

where ΔP_w is the same characteristic pore-pressure drop of Eq. (14). It is interesting to note that the normalized nucleation time in the case of an unstable fault subjected to a ramp-up of injection rate varies non-linearly with both stress criticality τ^o/τ_p and pressurization rate $\frac{Q_m \cdot t_w}{Q^* \cdot t_c}$ (with a power law exponent equal to 1/4). A comparison with the normalized nucleation time associated with constant injection rate type of pressurization (Garagash and Germanovich, 2012)

$$\frac{\sqrt{4\alpha t_n}}{a_w} \simeq \left(\frac{2 \cdot 0.8369}{\sqrt{\pi}} \frac{\tau_p - \tau^o}{f_p \Delta P_w}\right)^{1/2} \tag{21}$$

⁵⁹⁵ suggests that the ramp-up of injection rate prior the maximum plateau may ⁵⁹⁶ promote an earlier or later nucleation of a run-away dynamic event, with ⁵⁹⁷ respect to the injection scenario at constant volumetric rate. Indeed, by ⁵⁹⁸ taking the ratio between equation (20) and equation (21), we obtain 1.20636 $\cdot \left(f_p \Delta P_w t_c \right)^{1/4}$

⁵⁹⁹ $\left(\frac{f_p\Delta P_w}{\tau_p-\tau^o}\frac{t_c}{t_w}\right)^{1/4}$, implying that an earlier nucleation is expected when

$$\frac{t_c}{t_w} < \frac{1}{1.20636^4} \frac{\tau_p - \tau^o}{f_p \Delta P_w},\tag{22}$$

for a given maximum value of injection rate Q_m .

In order to verify all these theoretical predictions, we run several numerical simulations with different stress criticality values, such to obtain highly critically stressed fault conditions for which condition (17) is strictly satisfied. We fixed the maximum injection rate at $Q_m/Q^* = 100$, the friction weakening ratio at $f_r/f_p = 0.6$ and the normalized changing time at $t_c/t_w = 0.5$. As we can observe from Figure 10, the quick quasi-static shear crack propagation

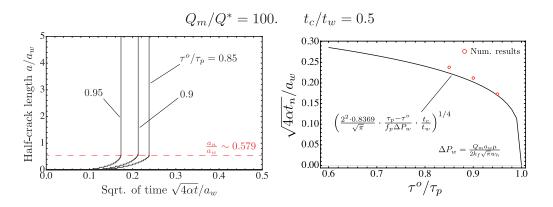


Figure 10: Left: time evolution of normalized half-crack length a/a_w for different values of (large) stress criticality $\tau^o/\tau_p = 0.95, 0.9, 0.85$. Since the friction weakening ratio is $f_r/f_p = 0.6$, all the scenarios correspond to very unstable faults. The maximum injection rate is set to $Q_m/Q^* = 100$, while the normalized ramp-up time is $t_c/t_w = 0.5$ (or in terms of normalized square root of time $\sqrt{4\alpha t_c}/a_w \simeq 0.71$). Right: comparison between the asymptotic analytical solution (20) and the numerical results in terms of normalized nucleation time $\sqrt{4\alpha t_n}/a_w$, for each value of τ^o/τ_p considered (see left plot).

is always followed by a run-away dynamic rupture, whose nucleation time is 607 in good agreement with theoretical prediction of Eq. (20) (with a better 608 accuracy for larger values of τ^o/τ_p due to a more strict validity of condition 609 (17)). At the instability, the normalized crack length a/a_w is approximately 610 ~ 0.579 for each value of stress criticality considered (as expected) and the 611 corresponding scaled slip distributions match very well the outer and inner 612 asymptotic solutions at $|x| \gg \sqrt{4\alpha t}$ and $|x| \lesssim \sqrt{4\alpha t}$, respectively (see Fig-613 ures D.12 and D.13 in Appendix D). 614

615 4. Discussions

In this section, we first discuss the limitations of our modelling approach and their effects on nucleation and arrest of dynamic fault slip. After that, we discuss the implications of our results on injection-induced seismicity, a topic that has raised the attention of scientific community and public opinion since second half of 20th century (Simpson, 1986).

621 4.1. Model limitations

The most severe approximation in our model is the neglect of dilatancy during fluid-driven shear crack propagation. The assumption of impermeable

host medium around fault gouge unit accommodating slip and pore-fluid dif-624 fusion is representative of an immature deep fault, typically characterised by 625 rough inner surfaces. Strong dilatant behavoir associated with sliding over 626 fault's asperities has been observed in laboratory experiments (Lockner and 627 Byerlee, 1994; Samuelson et al., 2009) as well as during field experiments in 628 the context of geothermal energy exploitation (Batchelor, 1985). Under the 629 assumption of no fluid leak-off in the relative undamaged rock around the 630 fault, shear-induced dilatancy does affect pore-pressure evolution, which in 631 turn leads to a feedback on slip propagation (due to full coupling between 632 flow and elastic deformations). Under undrained conditions, indeed, shear-633 induced dilatancy leads to a pore-pressure drop and thus to a local increase 634 of effective normal stress (dilatant hardening) (Rudnicki, 1979; Segall and 635 Rice, 1995). Ciardo and Lecampion (2019) have shown that such a dila-636 tant hardening effect, quantified by a scaled undrained pore-pressure drop 637 $\frac{\Delta w_h}{w_h c_f \sigma'_o}$ with Δw_h being the increment of fault opening, does impact the 638 transition between aseismic and seismic slip on frictional weakening imma-639 ture faults. They showed, in fact, that a large dilatant behaviour suppresses 640 the nucleation of run-away seismic rupture on otherwise unstable faults, even 641 for sustained increases of fault permeability with slip. On ultimately stable 642 faults, instead, dilatant hardening effect delays the nucleation of finite-sized 643 seismic event and increases its dynamic run-out distance. We can certainly 644 say, however, that the findings obtained in this work are valid for an imma-645 ture fault whose dilatant compliance is relatively small, i.e. for $\frac{\Delta w_h}{w_h c_f \sigma'_o} \ll 0.1$ 646 which would result in an undrained pore-pressure drop of fractions of MPa. 647 In this contribution we also neglect other weakening mechanisms that 648 can kick in during the onset of dynamic crack propagation, such as thermal 649 pressurization and flash heating (Rice, 2006). 650

Thermal pressurization of pore-fluid by rapid shear heating of fault gouge 651 unit has been showed to be a prominent process of fault weakening (Viesca 652 and Garagash, 2015). This mechanism depends on fluid thermodynamics 653 properties and drives the shear strength loss at low fluid pressure conditions 654 (Acosta et al., 2018). Garagash and Germanovich (2012) showed that dy-655 namic weakening due to thermal pressurization increases the dynamic run-out 656 slip distances on ultimately stable faults. However, they also stated that such 657 an effect is relatively small, due to the fact the most of dynamic weakening is 658 expected to occur at slip scale $\delta_{w,dyna}$ that is likely larger than δ_w . Without 659

loss of generality, we can claim that the results presented in this contribution in terms of dynamic run-out distances on ultimately stable faults are valid for sufficiently low values δ_w compared to the characteristic dynamic slipweakening distance $\delta_{w,dyna}$ (which depends on heat properties of both fault gouge unit and injected fluid).

Flash heating on fault's asperities, instead, kicks in when the slip velocity 665 exceeds $\sim 0.1 \text{m/s}$, a scenario that is very plausible during dynamic crack 666 propagation, which characteristic slip rate at the rupture tip typically ex-667 ceeds $\sim 10 \text{m/s}$ (Garagash, 2011). Laboratory experiments on various types 668 of rocks have shown a drop of friction coefficient of up to one order of mag-669 nitude due to flash heating on asperities contacts (Di Toro et al., 2011). 670 These considerations suggest that this weakening mechanism would impact 671 the dynamic run-out distances presented in this contribution. However, this 672 is outside the scope of this work and it is left for future investigations. 673

Finally, we adopted a simple linear slip-weakening friction law compared 674 to a more elaborate Rate- and State- friction model (Dieterich, 1979). Al-675 though a number of studies have demonstrated that the linear slip-weakening 676 friction model is a good approximation of the velocity weakening R&S friction 677 law (Uenishi and Rice, 2003; Rubin and Ampuero, 2005; Garagash, 2021) 678 even at slip instability (Viesca, 2016b,a), it does not allow to investigate 679 scenarios in which fault frictional properties evolve during pressurization, 680 for instance from velocity weakening to velocity strengthening depending on 681 current slip velocity (as observed in laboratory experiments by Cappa et al. 682 (2019)). Indeed, this can only be captured by using R&S friction law with 683 heterogeneous distribution of a and b parameters. Future works with incor-684 poration of R&S friction model will follow. 685

686 4.2. Implications on injection-induced seismicity

The results above may indicate that injection-induced seismicity can be mitigated by controlling operational parameters, in particular the injection volumetric rate.

⁶⁹⁰ Upon fluid injection into a specific fracture zone, possibly indicating of a ⁶⁹¹ well-developed fault zone, pore-pressure perturbation is likely to activate slip ⁶⁹² on favourably oriented (and critically stressed) fractures within the damage ⁶⁹³ zone. The initial stable slip propagation could be quickly followed by run-⁶⁹⁴ away seismic ruptures that in turn could trigger events on other fractures ⁶⁹⁵ and propagate over the entire fault zone. Our results show that the onset ⁶⁹⁶ of the contained micro-seismicity, however, may be delayed in time for slow

increases of injection rate prior reaching a steady-state phase. If a specific 697 stable principal fault plane is targeted for fluid injection, instead, a larger 698 pore-fluid perturbation is required to activate slip. A ramp-up of the in-699 jection rate, in this case, strongly affects the slip propagation, in particular 700 when the ramp-up time scale is much larger than the along-fault diffusion 701 time scale. Although counter-intuitive, a fast ramp-up of injection rate on 702 stable fault planes would reduce the possibility of triggering a larger finite-703 sized seismic event (with respect to the one that could be triggered if fault 704 pressurization occurs at constant injection rate), which can potentially turn 705 into an unabated rupture due to the activation of other dynamic weakening 706 mechanisms (as discussed in Section 4.1). 707

The results are essentially in line with previous laboratory and numerical 708 observations. Indeed, previous results highlighted how the initial state of 709 effective stress (Gischig, 2015; Passelègue et al., 2018) and essentially its re-710 lationship to the frictional behaviour (Larochelle et al., 2021) control whether 711 a fault slip is confined to the pressurized region or runaways. Similarly, the 712 numerical analysis by Alghannam and Juanes (2020) reports how the pres-713 surization rate may influence the seismic reactivation of a fault zone. Here 714 we generalize both approaches by showing that the ruptures and its final 715 behaviour (confined or runaway) are linked to both the initial state of stress 716 as well as the initial ramp-up of the injection, which is then closely linked to 717 the pressurization rate. The effects of the controlling operational parameters 718 on risk of induced-seismicity associated with the specific physic-based model 719 presented in this contribution are summarized in Figure 11. It displays the 720 effects of the initial ramp-up of injection rate on the different slip regimes 721 (the same of Figure 2), as function of all the other governing dimensionless 722 parameters. A comparison with Fig. 2 that is valid for the simple injec-723 tion scenario of constant rate reveals that, for an ultimately stable fault in 724 which $t_c/t_w \gg 1$, the larger is the pressurization rate (i.e. the larger is the 725 ratio $\frac{Q_m t_w}{t_c Q^*}$), the smaller is region (2) and thus the lower is the possibility of 726 triggering a finite-sized seismic event. For slightly unstable faults ($\tau^o \gtrsim \tau_r$), 727 instead, a lower pressurization rate would lead to a larger slip accumulation 728 during the quick quasi-static phase of crack propagation. The friction coeffi-729 cient, therefore, would drop quicker to its residual value and the probability 730 of triggering a run-away dynamic rupture preceded by a finite-sized event is 731 higher, resulting in a larger region (3). This also implies that the nucleation 732 time of such a run-away rupture increases for lower pressurization rates, as 733

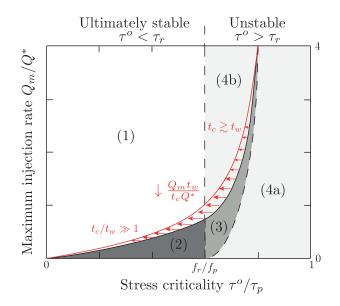


Figure 11: Map of slip regimes for a frictional weakening pressurized fault in which injection rate increases linearly in time, up to reach a maximum plateau after time t_c . This parametric diagram is function of stress criticality τ^o/τ_p , friction weakening ratio f_r/f_p , normalized maximum injection rate Q_m/Q^* and normalized ramp-up time scale t_c/t_w . For a given value of Q_m/Q^* , the larger is the ratio t_c/t_w , i.e. the lower is the pressurization rate $\frac{Q_m t_w}{t_c Q^*}$, the more wide are region (2) and (3). All the slip regimes of this Figure are the same of those reported and described in Figure 2.

more and more part of the shear crack has reached the residual shear strength
during its quasi-static stable propagation.

736 5. Conclusions

In this study, we have extended the model of Garagash and Germanovich 737 (2012) to account for an initial ramp-up of injection rate in time before 738 the maximum plateau and investigated its effect on nucleation and arrest of 739 dynamic fault slip. Despite the simplicity of the homogeneous model (pla-740 nar bidimensional fault, uniform stress conditions and rock properties, linear 741 weakening of friction, no dilatancy), it allows to get insight into the mech-742 anisms that govern the transition between aseismic and seismic slip on a 743 pressurized planar fault. 744

We have approximated standard injection protocols that consist of an initial step-wise ramp-up of injection rate in time with a linear increasing function,

followed by a maximum plateau after a given time t_c . We have solved nu-747 merically the coupled hydro-mechanical problem and explored the different 748 slip regimes identified via scaling analysis. Our results show that the initial 749 ramp-up of injection rate affects the slip propagation on ultimately stable 750 faults $(\tau^{o} < \tau_{r})$ if and only if the ramp-up time scale t_{c} is much larger than 751 fluid diffusion time scale t_w . Notably, we have shown that slip stability is gov-752 erned by the pressurization rate (quantified by the dimensionless parameter 753 $\frac{Q_m t_w}{Q^* t_c}$) and thus by how quickly the injection rate increases before reach-754 ing time t_c . From our results we can conclude that low pressurization rates 755 applied on ultimately stable faults with frictional weakening properties and 756 $t_c \gg t_w$ promote the nucleation of a finite-sized dynamic event. Moreover, 757 the lower is the pressurization rate, the larger is the dynamic runt-out slip 758 distance and hence the larger is the magnitude of the induced seismic event. 759 We have also developed an asymptotic solution in terms of nucleation time 760 that is valid when slip instability follows shortly crack activation and verified 761 it with numerical simulations. 762

When $t_c \ll t_w$, instead, the initial ramp-up of injection rate can be neglected on ultimately stable faults and slip are driven by pressurization at constant volumetric rate. A good agreement with theoretical predictions of Garagash and Germanovich (2012) valid for that particular injection condition has been obtained.

Finally, we have demonstrated that the ramp-up of injection rate does affect 768 the quick slip propagation on critically stressed faults prior the nucleation of 769 a run-away dynamic rupture. By using the universal outer asymptotic so-770 lution of Garagash and Germanovich (2012), we developed a new analytical 771 solution for the nucleation time and verified it with numerical simulations. 772 This solution reveals that the initial ramp-up of injection rate may lead to 773 an earlier or later nucleation of unabated dynamic event, compared to the 774 case of fault pressurization at constant volumetric rate. 775

776 Appendix A. Along-fault pore-pressure diffusion: analytical solu-777 tion

Equation (5) or equivalently equation (9) is a parabolic, linear secondorder partial differential equation with constant coefficients. It governs the one-dimensional over-pressure diffusion $\bar{p}(x,t) = p(x,t) - p_o$ inside the fault gouge unit with constant hydraulic diffusivity $\alpha [L^2/T]$. Together with the 782 boundary conditions

$$\bar{p}(\pm\infty,t) = 0, \qquad -\frac{w_h k_f}{\mu} \left. \frac{\partial \bar{p}}{\partial x} \right|_{x=0^{\pm}} = \pm \begin{cases} \frac{Q_m}{2t_c} \cdot t & t \le t_c \\ \frac{Q_m}{2} & t > t_c \end{cases},$$
(A.1)

and the specific initial conditions, it represents a well-posed diffusion problem
that can be solved analytically (Carslaw and Jaeger, 1959).

Firstly, we solve the diffusion problem for the case of linear ramp-up of injection rate valid for $t \leq t_c$, for which the initial condition reads

$$\bar{p}(x,0) = 0 \tag{A.2}$$

⁷⁸⁷ We use Laplace transform in time (with Laplace parameter s) in order ⁷⁸⁸ to turn equation (9) into an ordinary differential equation (with transformed ⁷⁸⁹ variables denoted with an hat $\hat{}$). With the initial condition (A.2), such a ⁷⁹⁰ subsidiary equation reads

$$\frac{\partial^2 \hat{p}(x,s)}{\partial x^2} - k^2 \hat{p}(x,s) = 0 \quad \text{with} \qquad k^2 = \frac{s}{\alpha}, \tag{A.3}$$

⁷⁹¹ whose analytical solution is

$$\hat{p}(x,s) = c_1(s) \cdot e^{kx} + c_2(s) \cdot e^{-kx}$$
 (A.4)

 $c_1(s)$ and $c_2(s)$ are two constants that can be obtained in closed form using the boundary conditions (A.1) associated only with linear ramp-up of injection rate:

$$c_1(s) = 0, \quad c_2(s) = -\frac{\mu Q_m}{2t_c k_f s^2 w_h k}$$
 (A.5)

⁷⁹⁵ By taking the inverse Laplace transform of equation (A.4) with the con-⁷⁹⁶ stants defined in equation (A.5), we get the analytical expression for along-⁷⁹⁷ fault over-pressure diffusion reported in Eq. (10) and valid for $t \leq t_c$, i.e.

$$\bar{p}(\xi, t \le t_c) = \left(\frac{2Q_m \mu \sqrt{\alpha} t^{3/2}}{3k_f \sqrt{\pi} t_c w_h}\right) \cdot \left(e^{-\xi^2} \left(1 + \xi^2\right) - \sqrt{\pi} \left|\xi\right| \left(\frac{3}{2} + \xi^2\right) \operatorname{Erfc}(|\xi|)\right),$$
(A.6)

⁷⁹⁸ where $\xi = \frac{x}{\sqrt{4\alpha t}}$ is the along-fault normalized coordinate and Erfc is the ⁷⁹⁹ complementary error function. We then solve the pore-fluid diffusion problem for time $t > t_c$, taking into account the injection history during the ramp-up phase.

In view of problem linearity, the analytical expression for the over-pressure 802 evolution valid for time $t > t_c$ can be obtained by solving the diffusion 803 equation (9) using as initial condition the over-pressure distribution evaluated 804 at time t_c (using Eq. (A.6)), and as boundary conditions the relations (A.1) 805 associated only with constant injection rate. By using the fundamental heat 806 conduction solution valid for an infinite one-dimensional body subjected to 807 an instantaneous point source (also called Green's function) (Carslaw and 808 Jaeger, 1959) 809

$$G(x - x', t - t') = \frac{1}{\sqrt{4\pi\alpha(t - t')}} e^{-\frac{(x - x')^2}{4\alpha(t - t')}} \quad \text{for} \quad t - t' \ge 0$$
(A.7)

and the superimposition principle, we can express the over-pressure evolution valid for time $t > t_c$ via the following analytical formula (Cole et al., 2011):

$$\bar{p}(x,t>t_c) = \int_{-\infty}^{\infty} G(x-x',t-t_c) \cdot \bar{p}\left(\frac{x'}{\sqrt{4\alpha t_c}},t_c\right) \mathrm{d}x' + \frac{\alpha Q_m \mu}{w_k k_f} \int_{t'=t_c}^t G(x,t-t') \mathrm{d}t'$$
(A.8)

Notice that the first term of Eq. (A.8) is the convolution with respect 812 to the variable x of the fundamental solution (A.7) and the over-pressure 813 distribution at time t_c (obtained from (A.6)), while the second term is the 814 contribution due to the constant flux boundary condition at fault centre. If 815 the ramp-up time t_c vanishes (i.e. $t_c = 0$), then fault pressurization would 816 occur at constant injection rate. The first member of equation (A.8) would 817 vanish (due to the initial condition (A.2)) and the analytical solution for the 818 over-pressure evolution along the fault plane upon integration would read as 819

$$\bar{p}(x,t) = \frac{\alpha Q_m \mu}{w_k k_f} \cdot \frac{t \cdot E_{\frac{3}{2}} \left(\frac{x^2}{4t\alpha}\right)}{2\sqrt{\pi}\sqrt{\alpha t}},\tag{A.9}$$

where $E_n(z)$ is the Exponential integral function, or expressed in a similar form of (A.6) as

$$\bar{p}(\xi,t) = \left(\frac{Q_m \mu \sqrt{\alpha t}}{\sqrt{\pi} k_f w_h}\right) \cdot \left(e^{-\xi^2} - \sqrt{\pi} \left|\xi\right| \operatorname{Erfc}(\left|\xi\right|)\right)$$
(A.10)

⁸²² with $\xi = \frac{x}{\sqrt{4\alpha t}}$.

⁸²³ Appendix B. Scaling analysis

Scaling analysis applied on physics-based models represents a powerful technique that can help a systematic investigation of all the physical processes occurring. For sake of completeness, we report here the dimensionless solution structure as well as the normalised set of governing equations. Based on previous work of Garagash and Germanovich (2012), we introduce the following characteristic scales in order to normalize elasticity equation (1) and shear stress evolution within the crack tips (3):

$$\tau(x,t) = \tau_p \cdot \mathcal{T}(x,t), \qquad \delta(x,t) = \delta_w \cdot \Delta(x,t), \qquad \bar{p}(x,t) = \sigma'_o \cdot \Pi(x,t) \qquad x = a \cdot \mathcal{X}$$
(B.1)

where δ_w is the slip weakening length-scale (see Figure 1), $\tau_p = f_p \sigma'_o$ is the peak shear strength at ambient conditions and a is half-length of the shear crack. The corresponding governing equations upon introduction of (B.1) read:

$$\mathcal{T}(a\mathcal{X},t) = \frac{\tau^o}{\tau_p} - \frac{1}{2\pi} \frac{a_w}{a} \int_{-1}^{1} \frac{\partial \Delta(a\mathcal{X},t)}{\partial \zeta} \frac{\mathrm{d}\zeta}{(\zeta/a-\mathcal{X})}, \quad (B.2)$$

835

$$\mathcal{T}(a\mathcal{X},t) = \frac{f(\delta)}{f_p} \left(1 - \Pi(a\mathcal{X},t)\right), \tag{B.3}$$

where $a_w = \frac{E_p}{2\tau_p} \delta_w$ is a characteristic length-scale of the slipping patch and the normalized friction coefficient evolution is defined as

$$\frac{f(\delta)}{f_p} = \begin{cases} 1 - \Delta \left(a\mathcal{X}, t\right) & \Delta \left(a\mathcal{X}, t\right) \le 1 - \frac{f_r}{f_p} \\ \frac{f_r}{f_p} & \Delta \left(a\mathcal{X}, t\right) > 1 - \frac{f_r}{f_p} \end{cases}$$
(B.4)

In order to normalize the fluid diffusion problem (5-8), we use the same characteristic scales introduced in (B.1), with the exception that now we scale the spatial coordinate x with the length-scale a_w previously obtained. Time t, instead, is normalized with the diffusion time-scale $t_w = \frac{a_w^2}{4\alpha}$ such that

$$t = t_w \cdot \mathbf{T} \tag{B.5}$$

Equations (5-8), therefore, reduce to

$$\frac{\partial \Pi}{\partial T} - \frac{1}{4} \frac{\partial^2 \Pi}{\partial \mathcal{X}^2} = 0, \qquad (B.6)$$

844

$$\frac{\partial \Pi}{\partial \mathcal{X}}\Big|_{\mathcal{X}=0^{\pm}} = \begin{cases} \pm \frac{Q_m}{Q^*} \frac{t_w}{t_c} \cdot T & T \leq \frac{t_c}{t_w} \\ \pm \frac{Q_m}{Q^*} & T > \frac{t_c}{t_w} \end{cases} \tag{B.7}$$

where Q^* is the characteristic scale of maximum injection rate and is defined as

$$Q^* = \frac{2\sigma'_o w_h k_f}{a_w \mu} \tag{B.8}$$

Inspecting equations (B.2-B.4) and (B.6-B.7) we can readily observe that the dimensionless solution is function of only four dimensionless parameters:

$$\bullet \quad \stackrel{\tau^o}{\tau_p} \to \text{fault stress criticality}$$

850

• $\frac{f_r}{f_p} \rightarrow$ friction weakening ratio

• $\frac{Q_m}{Q^*} \to \text{normalized maximum injection rate}$

• $\frac{t_c}{t_w} \rightarrow \text{normalized ramp-up time scale}$

⁸⁵³ Appendix C. Small-Scale Yielding asymptotics

When half-length of slipping patch a is sufficiently larger than the char-854 acteristic length-scale a_w , the model non-linearity (i.e. slip weakening of 855 friction coefficient) is localized only over a region that is small compared 856 to geometrical dimensions of the rupture zone. A clear example of such a 857 condition, typically named as small-scale yielding, is depicted in Figure 4-858 bottom where, after the arrest of dynamic slip, the friction coefficient has not 859 reached its residual value f_r only over a small region near crack tips. The 860 elastic stress-intensity factor, therefore, controls the local deformation field 861 when $a \gg a_w$ (Rice, 1968), and its analytical expression can be obtained in 862 closed form by superimposing the effects of net loading applied on the shear 863 crack (i.e. far-field stress τ^{o} minus residual shear tractions τ_{r}) and of fluid 864 pressurization as (Tada et al., 2000) 865

$$K_{II} = (\tau^o - \tau_r) \sqrt{\pi a} + \Delta K_{II}, \qquad (C.1)$$

866 where

$$\Delta K_{II} = f_r \sqrt{\frac{a}{\pi}} \int_{-a}^{+a} \frac{\bar{p}(x,t)}{\sqrt{a^2 - x^2}} \mathrm{d}x$$
(C.2)

⁸⁶⁷ By replacing the analytical expression for over-pressure evolution during the ramp-up phase (10) into (C.2), we find that the increment of stress-⁸⁶⁹ intensity factor due to pressurization at time $t \leq t_c$ is

$$\begin{split} \Delta K_{II} &= -\frac{f_r \sqrt{a} \mu Q_m t e^{-\frac{a^2}{8\alpha t}}}{36 \sqrt{\pi} k_f t_c w_h(\alpha t)^{3/2}} \left(4a^3 \sqrt{\alpha t} e^{\frac{a^2}{8\alpha t}} + 36a(\alpha t)^{3/2} e^{\frac{a^2}{8\alpha t}} - \sqrt{\pi} a^4 I_0\left(\frac{a^2}{8t\alpha}\right) - \\ &\quad - 9\sqrt{\pi} a^2 \alpha t I_0\left(\frac{a^2}{8t\alpha}\right) - \sqrt{\pi} a^4 I_1\left(\frac{a^2}{8t\alpha}\right) - 11\sqrt{\pi} a^2 \alpha t I_1\left(\frac{a^2}{8t\alpha}\right) - \\ &\quad - 3\sqrt{\pi} a^2 \alpha t e^{\frac{a^2}{8\alpha t}} \cosh\left(\frac{a^2}{8|\alpha||t|}\right) I_0\left(\frac{a^2}{8|t||\alpha|}\right) - \\ &\quad - 24\sqrt{\pi} \alpha^2 t^2 e^{\frac{a^2}{8\alpha t}} \cosh\left(\frac{a^2}{8|\alpha||t|}\right) I_0\left(\frac{a^2}{8|t||\alpha|}\right) + \\ &\quad + 3\sqrt{\pi} a^2 |\alpha||t| e^{\frac{a^2}{8\alpha t}} \cosh\left(\frac{a^2}{8|\alpha||t|}\right) I_1\left(\frac{a^2}{8|t||\alpha|}\right) + \\ &\quad + 3\sqrt{\pi} a^2 |\alpha||t| e^{\frac{a^2}{8\alpha t}} \sinh\left(\frac{a^2}{8|\alpha||t|}\right) I_0\left(\frac{a^2}{8|t||\alpha|}\right) + \\ &\quad + 24\sqrt{\pi} \alpha t |\alpha||t| e^{\frac{a^2}{8\alpha t}} \sinh\left(\frac{a^2}{8|\alpha||t|}\right) I_0\left(\frac{a^2}{8|t||\alpha|}\right) - \\ &\quad - 3\sqrt{\pi} a^2 \alpha t e^{\frac{a^2}{8\alpha t}} \sinh\left(\frac{a^2}{8|\alpha||t|}\right) I_0\left(\frac{a^2}{8|t||\alpha|}\right) - \\ &\quad - 3\sqrt{\pi} a^2 \alpha t e^{\frac{a^2}{8\alpha t}} \sinh\left(\frac{a^2}{8|\alpha||t|}\right) I_1\left(\frac{a^2}{8|t||\alpha|}\right) \right) \\ &\quad (C.3) \end{split}$$

where $I_n(z)$ is the modified Bessel function of the first kind.

If pressurization consists of injection at constant flow rate only, then the increment of stress-intensity factor would be obtained by replacing equation (A.10) into (C.2), leading to the following expression

$$\Delta K_{II} = -\frac{e^{-\frac{a^2}{8\alpha t}}\sqrt{a}f_r\mu Q_m}{4\sqrt{\pi}k_f w_h\sqrt{\alpha t}} \left(4ae^{\frac{a^2}{8\alpha t}}\sqrt{\alpha t} - \sqrt{\pi}a^2 I_0\left(\frac{a^2}{8t\alpha}\right) - \sqrt{\pi}a^2 I_1\left(\frac{a^2}{8t\alpha}\right) - 4\sqrt{\pi}\alpha t I_0\left(\frac{a^2}{8t\alpha}\right)\right)$$
(C.4)

⁸⁷⁴ During the slipping patch propagation driven by pore-fluid diffusion, the ⁸⁷⁵ energy release rate $G = \frac{K_{II}^2}{E_p}$ must be equal to the fracture energy G_c , whose analytical expression under the assumption of small-scale yielding and constant effective normal stress near crack tips is (Palmer and Rice, 1973)

$$G_c \simeq (f_p - f_r)\sigma'(a)\frac{\delta_r}{2}$$
 (C.5)

878 The quasi-static propagation criterion

$$G = G_c \tag{C.6}$$

therefore provides an asymptotic solution for the slipping patch length a as function of pressurization time t.

Following the previous work of Dempsey et al. (2010), however, Garagash 881 and Germanovich (2012) have shown that by replacing the slipping patch 882 length a in (C.1-C.2) by an effective (reduced) length a_{eff} , a more accurate 883 expression of the stress-intensity factor in the limit of $a \gg a_w$ is obtained. 884 Such an effective crack length a_{eff} is function of a process zone size d that 885 Dempsey et al. (2010) determined numerically for the case of cohesive crack 886 with linear softening traction separation law and propagating under uniform 887 far-field tractions (which share the same mathematical formulation), i.e. 888

$$a_{eff} \simeq a - 0.466 \cdot d, \tag{C.7}$$

where d is defined as

$$d \simeq 0.466 \cdot \lambda \tag{C.8}$$

and $\lambda = \left(\frac{\pi}{2}\right) \cdot \left(\frac{K_{II}}{(\tau_p - \tau_r)}\right)^2$ is a characteristic length-scale.

In this contribution, the implicit equation (C.6) incorporates the reduced 891 crack length (C.7) and it is solved numerically for time t (thus imposing the 892 variable crack length a) by minimizing the residual function using a random 893 search method. When the slipping patch is driven essentially by pressuriza-894 tion at constant injection rate (for instance in the case of an ultimately stable 895 fault in the limit when $t_c/t_w \ll 1$), equation (C.6) is solved using the stress-896 intensity factor obtained in (C.4). Instead, when the shear crack is driven 897 up to the nucleation of a dynamic event by the ramp-up of injection rate 898 (for instance in the case of an ultimately stable fault with $t_c/t_w \gg 1$), then 899 equation (C.6) is solved using (C.3) (obviously up to the maximum value t_c). 900 The comparison between the numerical results (black lines) and small-scale 901 asymptotic solutions (dashed grey lines) in Figures 3 and 6 shows a good 902 match for slipping patches a larger than $\sim 2a_w$. 903

Appendix D. Outer and inner asymptotic solutions at instability for an unstable fault

Here we briefly report the outer and inner asymptotic solutions at instabil-906 ity for an unstable fault $\tau^o/\tau_r > 0$ developed by Garagash and Germanovich 907 (2012). The former is universal, which means it is independent of a par-908 ticular pore-pressure profile, while the latter does depend on the particular 909 pore-pressure distribution. Since dynamic instability for an unstable fault 910 occurs quickly after fluid injection and crack activation (and thus during the 911 ramp-up of injection rate for values of t_c comparable to or greater than t_w), 912 the inner asymptotic solution is solved only for the particular distribution 913 (10).914

915 Appendix D.1. Outer solution

At instability, the slipping patch extent is much larger than the pressurized region, due to the rapid propagation of the shear crack after its activation. Under this condition, the pore pressure distribution can be replaced by an equivalent point-force distribution

$$\bar{p}(x,t) \simeq \Delta P(t) \delta_{dirac}(x)$$
 (D.1)

where δ_{dirac} is the delta Dirac function and $\Delta P(t) = \int_{-\infty}^{\infty} \bar{p}(x,t) dx$. This equivalent distribution, however, approximates well $\bar{p}(x,t)$ only for distances much larger than fluid front position, i.e. for $x \gg \sqrt{4\alpha t}$ (outside pressurization region).

⁹²⁴ Garagash and Germanovich (2012) solved semi-analytically the hydro-mechanical

problem with the equivalent pore-pressure distribution (D.1) and proved that the normalized slip profile at instability is given by

$$\frac{\delta(x)}{\epsilon\delta_w} = \mathcal{P}\left(\bar{\delta}_1(X) - \frac{a}{a_w}\bar{\delta}_2(X)\right) + \bar{\delta}(X),\tag{D.2}$$

where $\mathcal{P} \simeq 0.8369$ is the scaled magnitude of the point force, $\epsilon = 1 - \tau^o / \tau_p$ is the understress parameter, X = x/a is the normalized fault coordinate, and

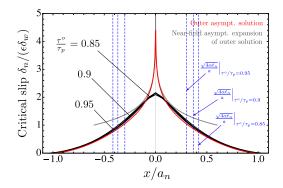


Figure D.12: Corresponding normalized slip distributions $\delta_n/(\epsilon \delta_w)$ (black solid lines) at nucleation time t_n of the numerical simulations reported in Figure 10. The red solid line denotes the outer asymptotic solution valid outside the pressurization regions (whose extents at instability are identified by blue dashed lines), i.e. for $\left|\frac{x}{a_n}\right| \gg \frac{\sqrt{4\alpha t_n}}{a_n}$, while the grey solid line represents the near-field expansion of the outer asymptotic solution that is valid for $x/a_n \ll 1$.

⁹²⁹ $\bar{\delta}_1(X), \, \bar{\delta}_2(X), \, \text{and} \, \bar{\delta}(X)$ are three functions that are defined as

$$\bar{\delta}_{1}(X) = \frac{2}{\pi} \cdot \ln\left(\frac{1+\sqrt{1-X^{2}}}{|X|}\right),$$

$$\bar{\delta}(X) \simeq -1.1732 \cdot \sin(\arccos(X)) - 0.0608 \cdot \sin(3 \cdot \arccos(X)) + 0.0235 \cdot \sin(5 \cdot \arccos(X))$$

$$\bar{\delta}_{2}(X) = \frac{2}{\pi} \int_{-1}^{1} \ln\left|\frac{X-s}{1-sX+\sqrt{1-s^{2}}\sqrt{1-X^{2}}}\right| \bar{\delta}_{1}(s) \mathrm{d}s$$

(D.3)

In Eq. (D.3), $\overline{\delta}(X)$ is a continuous and differentiable function whose 930 analytical expression has been obtained numerically using Gauss-Chebyshev 931 polynomial quadrature (with truncation at third term - see (Garagash and 932 Germanovich, 2012) for more details), while $\delta_2(X)$ is the inverse of the 933 Cauchy integral in terms of $\overline{\delta}(X)$ (solved numerically in this contribution). 934 Equation (D.2) represents a good approximation of slip distribution only 935 for $\left|\frac{x}{a}\right| \gg \frac{\sqrt{4\alpha t}}{a}$. A near-field asymptotic expansion of (D.2), however, is 936 proved to be a good approximation of the critical distribution of normalized 937 $\operatorname{slip} \delta/(\epsilon \delta_w)$ for $X \ll 1$ and $a = a_n$ (Garagash and Germanovich, 2012). Such 938

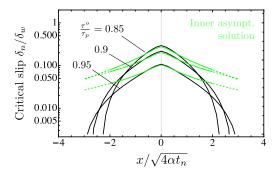


Figure D.13: Linear-log plot showing the corresponding normalized slip distributions δ_n/δ_w (black solid lines) at nucleation time t_n of the numerical simulations reported in Figure 10. The green solid lines denote the inner asymptotic solutions valid within the pressurization regions, i.e. for $\left|\frac{x}{\sqrt{4\alpha t_n}}\right| \lesssim 1$.

⁹³⁹ an expansion is given as

$$\frac{\delta(x)}{\epsilon\delta_w} = -\frac{2}{\pi}\mathcal{P}\ln\frac{|X|}{2} - \frac{a}{a_w}\mathcal{P}\bar{\delta}_2(0) + \bar{\delta}(0) + \mathcal{O}(X), \qquad (D.4)$$

where the ratio a_n/a_w is defined in (18).

941

In order to check the accuracy of these theoretical predictions, we display 942 in Figure D.12 the corresponding slip profiles at nucleation time t_n of the 943 numerical simulations reported in Fig. 10. As we can observe, the scaled slip 944 distributions $\delta_n/(\epsilon \delta_w)$, which collapse into nearly one black line due to the 945 scaling adopted, match the outer asymptotic solution (D.2) (denoted by a 946 red solid line) for $|x/a_n| \gg \sqrt{4\alpha t_n}/a_n$, i.e. outside the pressurization regions 947 whose extents are identified by blue dashed lines (for a given value of stress 948 criticality τ^o/τ_p). For $x/a_n \ll 1$, instead, the scaled slip distributions tend to 940 converge to the near-field asymptotic expansion of the outer solution (D.4) 950 (as expected). 951

952 Appendix D.2. Inner solution

Within the small pressurization region, i.e. for $x \leq \sqrt{4\alpha t}$, the normalized pore-pressure distribution is approximately $\bar{p}(x)/\sigma'_o \sim 1$, which means that the stress perturbation can be written as (Garagash and Germanovich, 2012)

$$\frac{\tau(x) - \tau^o}{\tau_p} \simeq -\frac{\bar{p}(x)}{\sigma'_o} + \mathcal{O}(\epsilon) \tag{D.5}$$

for $\epsilon \ll 1$. Using this condition, Garagash and Germanovich (2012) showed that the normalized slip distribution at instability δ_n/δ_w for a particular pore-pressure profile $\Psi(s)$ is given as

$$\frac{\delta_n(\xi)}{\delta_w} = \frac{\delta_n(0)}{\delta_w} - \frac{2}{\pi} \epsilon \mathcal{P} \frac{\int_{-\infty}^{\infty} \Psi(s) \ln|1 - \xi/s| \, \mathrm{d}s}{\int_{-\infty}^{\infty} \Psi(s) \mathrm{d}s}$$
(D.6)

where $\delta_n(0)$ is the critical slip at $\xi = \frac{x}{4\alpha t} = 0$, which can be obtained by matching the outer $(x \gg \sqrt{4\alpha t})$ and inner $(x \lesssim \sqrt{4\alpha t})$ asymptotic solutions at intermediate distances (Garagash and Germanovich, 2012)

$$\frac{\delta_n(0)}{\delta_w} = 0.533\epsilon \left(-\ln\frac{\hat{\epsilon}}{C} + 1.003 \right), \tag{D.7}$$

where $\hat{\epsilon} = (\tau_p - \tau^o) / f_p \Delta \bar{p}(t_n)$ and the constant C is defined as

$$C = \left(\int_{-\infty}^{\infty} \Psi(s) \mathrm{d}s\right) \cdot \mathrm{Exp}\left(-\frac{\int_{-\infty}^{\infty} \Psi(s) \mathrm{ln} \, |s| \, \mathrm{d}s}{\int_{-\infty}^{\infty} \Psi(s) \mathrm{d}s}\right) \tag{D.8}$$

Unlike the outer asymptotic solution, the inner solution does depend 963 on the particular pore-pressure profile $\Psi(s)$. By replacing the instanta-964 neous spatial distribution (10) associated with ramp-up of injection rate, 965 we obtain C = 3.75576, allowing us to calculate the normalized slip dis-966 tribution using (D.7) and (D.6) (with numerical evaluation of the integral 967 $\int_{-\infty}^{\infty} \Psi(s) \ln |1 - \xi/s| \, \mathrm{d}s)$. For the same injection condition, friction weaken-968 ing ratio and initial loading conditions of the simulations reported in Figure 969 10, we show in Figure D.13 the corresponding normalized slip distributions 970 δ_n/δ_w at nucleation time t_n on a linear-log plot. We can observe that, for each 971 value of (large) stress criticality τ^{o}/τ_{p} , the corresponding inner asymptotic so-972 lution matches well the numerical results (back solid lines) for $|x|/\sqrt{4\alpha t_n} \lesssim 1$ 973 (as expected). 974

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984 Authors' contributions

F.C. contributed to the Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Visualization, Writing original draft.
A.P.R. contributed to Editing, Supervision.

988 Conflict of interest

⁹⁸⁹ The authors declare that they have no conflict of interest.

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