

This manuscript entitled “A machine learning approach for ozone forecasting and its application for Kennewick, WA” is a preprint and will be submitted for publication in **Environmental Science and Technology** and then undergo the peer-review process. If accepted, the final version of this manuscript will be available via the ‘Peer-reviewed Publication DOI’ link on the right-hand side of this webpage. Please feel free to contact any of the authors and we welcome feedback. The authors and affiliations of the manuscript are:

Kai Fan

Laboratory for Atmospheric Research, Civil and Environmental Engineering, Washington State University

kai.fan@wsu.edu

Brian Lamb

Laboratory for Atmospheric Research, Civil and Environmental Engineering, Washington State University

blamb@wsu.edu

Ranil Dhammapala

Washington State Department of Ecology

ranil.dhammapala@ecy.wa.gov

Ryan Lamastro

State University of New York at New Paltz

lamastrr1@hawkmail.newpaltz.edu

Yunha Lee

Laboratory for Atmospheric Research, Civil and Environmental Engineering, Washington State University

yunha.lee@wsu.edu

A machine learning approach for ozone forecasting and its application for Kennewick, WA

Kai Fan¹, Brian Lamb¹, Ranil Dhammapala², Ryan Lamastro³, Yunha Lee^{1}*

¹Laboratory for Atmospheric Research, Civil and Environmental Engineering, Washington State
University

²Washington State Department of Ecology

³State University of New York at New Paltz

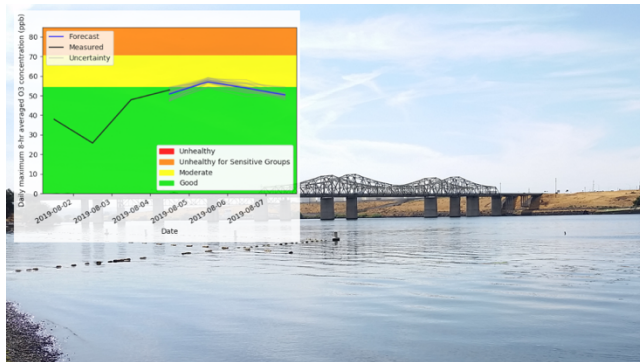
*Corresponding Author

E-mail: yunha.lee@wsu.edu (Yunha Lee)

ABSTRACT:

Chemical transport models (CTM) are widely used for air quality modeling, but these models miss forecasting some air pollution events, and require a lot of computational power. In Kennewick, WA, elevated O₃ episodes can occur during the summer and early fall, but the CTM-based operational forecasting system (AIRPACT) struggles to capture them. This research used the 2015 – 2018 historical archives from the Weather Research and Forecasting (WRF) meteorological model forecasts produced daily by the University of Washington, and O₃ observation data at

18 Kennewick to train two machine learning modeling frameworks, ML1 and ML2 for a reliable
19 forecasting system. ML1 used the random forest (RF) classifier and multiple linear regression
20 (MLR) models, and ML2 used a two-phase RF regression model with best-fit weighting factors.
21 Since April 2019, the ML modeling frameworks have been used to produce daily 72-hour O₃
22 forecasts and have provided the forecasts via the web for the agency and public use. For the peak
23 O₃ days, AIRPACT showed a large variation, while ML2 underpredicted and ML1 performed the
24 best. In the future, this ML forecast system will be applied to other locations within the Pacific
25 Northwest.



26

27 **1. INTRODUCTION**

28 Chemical transport models (CTM) are widely used to simulate the temporal and spatial variation
29 of air quality.¹ CTMs include various atmospheric physical and chemical processes as well as
30 sources and sinks. However, not every physical and chemical process in the atmosphere has been
31 understood.² Even though the accuracy of numerical models keeps improving, there are still large
32 uncertainties and errors in the simulations. For the CTMs, the high computational cost is an
33 additional concern.

34 The Air Indicator Report for Public Awareness and Community Tracking (AIRPACT) was
35 developed for air quality forecasting in the Pacific Northwest in the U.S. AIRPACT uses the
36 Community Multiscale Air Quality Modeling System (CMAQ) model to compute air quality with
37 the Weather Research and Forecasting (WRF) meteorology. The AIRPACT domain mainly covers
38 Washington, Idaho and Oregon State with 4 km horizontal grid cells and 37 vertical levels. The
39 hourly simulations use the Carbon Bond, version 5 (CB05) as the gas chemistry mechanism and
40 AERO6 as the aerosol module. AIRPACT 48-hour forecasts are produced daily and provided via
41 the web to the public and local air quality agencies (<http://lar.wsu.edu/airpact/>).

42 Within the AIRPACT domain, Kennewick is part of the Tri-cities metropolitan area with a total
43 population of about 216,000 (Estimated population of Kennewick 83,670, Pasco 75,290 and
44 Richland 56,850 in 2019).³ The city is 32 km north of Washington State's southern border and is
45 in a hot dry portion of the state. Recent monitoring and a large field study have shown that a few
46 high O₃ events typically occur during summer and early fall.⁴ While AIRPACT forecasts initially
47 predicted the Tri-cities area as an ozone hotspot, the daily forecasts struggle to forecast correctly
48 high O₃ concentrations in this area. There were 20 days when the air quality was unhealthy for
49 sensitive groups in 2015 – 2018, but AIRPACT only captured one of them.

50 Machine learning models have been used to predict air quality in recent years. These methods
51 incorporate a variety of features, including observed pollutant levels and various meteorological
52 variables as the basis for training and applying ML methods. For example, Feng et al.⁵ input
53 trajectory-based geographic parameters, meteorological forecasts and associated pollutant
54 predictors to an artificial neural network to predict PM_{2.5} concentrations in Beijing, China.
55 Freeman et al.⁶ used a recurrent neural network with short-term memory to predict 72-hour O₃
56 forecasting with training via hourly air quality and meteorological data. Zamani Joharestani et al.⁷

57 tested three machine learning approaches, random forest, extreme gradient boosting and deep
58 learning to predict the PM_{2.5} concentrations in Tehran, Iran using 23 features.

59 A successful machine learning model must be trained with a big dataset. For air quality
60 prediction, the training dataset usually includes meteorological data (temperature, relative
61 humidity, pressure, wind speed and direction, etc.) and observed pollutant concentrations.
62 However, compared to numerical models, it tends to be more computationally efficient, requires
63 less input data, and performs better for specific events, which makes the machine learning models
64 popular in recent years.^{5,6,8-10}

65 In this study, we developed machine learning modeling frameworks to predict O₃ mixing ratios,
66 which were based on the following approaches: random forest (RF) and multiple linear regression
67 (MLR). RF is one of the most popular machine learning methods and has been used in many air
68 quality modeling and forecast studies. The RF method has been demonstrated to provide reliable
69 forecasts for O₃ and PM_{2.5} with lower computational cost compared to physical models.¹¹⁻¹⁴ RF
70 consists of an ensemble of decision trees, and decision tree learning is for approximating discrete-
71 valued functions.¹⁵⁻¹⁷ The RF model can be used for classification and regression. For our study,
72 the RF classifier model was used to predict the O₃ Air Quality Index (AQI) categories, and the RF
73 regression model was used to predict O₃ mixing ratios. MLR is a regression method with one
74 dependent variable and several independent variables, which we used to predict O₃ levels.
75 Previous studies that used MLR models to predict O₃ mixing ratios showed performance as good
76 as more complex machine learning models.¹⁸⁻²¹ Yuchi et al.²² used RF and MLR for indoor air
77 quality forecasts, and RF showed better in-sample predictions, MLR showed better out-of-sample
78 predictions. So, this paper will discuss the application of both RF and MLR for O₃ forecasts.

79 The goal of this study is to provide reliable air quality forecasts using machine learning
80 approaches, especially for high O₃ events in Kennewick, WA. Section 2 presents the two machine
81 learning modeling frameworks we developed, including the training dataset. Section 3 presents the
82 feature selection, evaluation of the model performance using 10-time 10-fold/walk-forward cross-
83 validation and a summary of the forecast results in 2019.

84

85 **2. DATASETS AND MODELING FRAMEWORKS**

86 **2.1. Training dataset.**

87 The training dataset for our machine learning models includes the previous day's observed O₃
88 mixing ratios, time information (hour, weekday, month), and simulated meteorology from daily
89 WRF forecasts from May to September in 2015 – 2018 at Kennewick, WA. Because the heat and
90 sunlight favor the O₃ generation,²³ and wildfires can generate the O₃ precursors,²⁴ observations are
91 only made from May to September. The training dataset covered this period. The WRF
92 meteorology was obtained from the University of Washington,^{25,26} which is used in AIRPACT as
93 an input to generate emissions and air quality forecasting. We used the temperature, surface
94 pressure, relative humidity, wind speed, wind direction, and planetary boundary layer height (PBL)
95 in the training dataset. Time information was included in the training dataset due to the significant
96 trend of O₃ variation in the diurnal, weekday and monthly scales. Table S1 summarizes the
97 historical O₃ AQI during the training period. Here we define a high O₃ day as the day when the
98 observed AQI category is worse than Moderate (i.e. AQI category 3 or worse). The high O₃ days
99 in all the years used here are less than 5% of total simulated days, except for 2017. Extensive
100 wildfires occurred in 2017, and there were 8 days that the air quality was unhealthy for sensitive

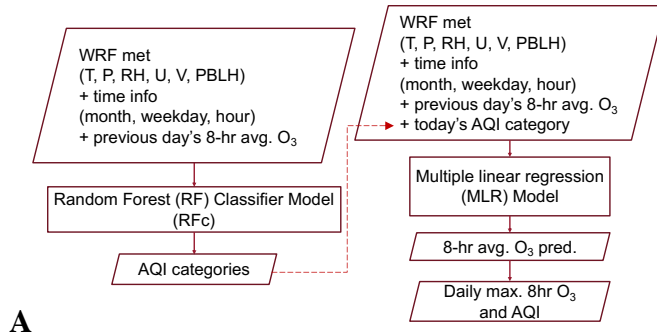
101 groups (i.e., O₃ AQI category = 3). The days when the wildfire smoke caused excess O₃ were
102 marked in the historical data, but it could not be involved in the training dataset because it was not
103 predictable. And there were only four days in this case, so it would not affect the model training
104 significantly.

105

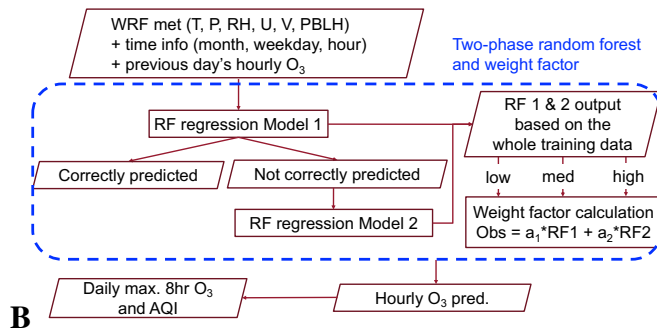
106 **2.2. Machine learning modeling frameworks**

107 We have developed two O₃ forecast modeling frameworks based on machine learning frameworks.
108 The first machine learning modeling framework (ML1, hereafter; see Figure 1A) used RF classifier
109 and MLR models. The *RandomForestClassifier* and *RFE* functions in the python module *sklearn*
110 were used. In ML1, the WRF meteorology, time information, and previous day's 8-hour averaged
111 O₃ mixing ratios were first used to train an RF classifier model to predict AQI categories. There
112 are not many high O₃ cases, which makes the dataset imbalanced, and the imbalanced training data
113 may lead the bias toward the low O₃ prediction.²⁷ To address the problem from the imbalanced
114 data, the *balanced_subsample* option was turned on for the RF classifier. The *balanced_subsample*
115 gives weights to the AQI category values based on their frequency in the bootstrap sample for each
116 tree, so the high AQI values with low frequency in the training dataset are weighted proportionally
117 more. Separately, the observed AQI categories were added to the training dataset to train the MLR
118 model. When used for forecasting, the RF classifier model was first used to predict the AQI
119 categories, which were in turn fed into the MLR model to predict the O₃ mixing ratios, as the red
120 dashed line shown in Figure 1A.

121



122



123 **Figure 1.** (A) ML1 modeling framework based on random forest (RF) classifier and multiple linear
 124 regression (MLR) models (B) ML2 based on a two-phase RF regression and weight factors

125

126 Machine Learning modeling framework 2 (ML2 hereafter; see Figure 1B) is based on a two-
 127 phase random forest regression model. The *RandomForestRegressor* function in the python
 128 module *sklearn* was used. ML2 used the WRF meteorology, time information, and previous day's
 129 hourly O₃ mixing ratios to train an RF regression model to predict O₃ mixing ratios. The whole
 130 historical dataset was used to train the first RF regression model (RF1 in Figure 1B). The training
 131 data was isolated when RF1 predicted O₃ mixing ratios differed from the observations by more
 132 than 5 ppb, and then the isolated dataset was used to train the second RF regression model (RF2
 133 in Figure 1B). The training dataset for RF2 was a subset of the whole training data, so RF2 required
 134 more decision trees (100 trees for RF1 and 200 trees for RF2).²⁸ This is why it is called a two-

135 phase RF regression model. The RF1 predicted O₃ mixing ratios were divided into three levels
136 (low: < 30 ppb, medium: 30 – 50 ppb, high: > 50 ppb). For the data within each level, a set of
137 weighting factors, a₁ and a₂, were computed based on a linear regression equation,

$$138 \quad O_{3\text{observed}} = a_1 * RF_1 + a_2 * RF_2 \quad (1)$$

139 When doing forecasting, RF1 and RF2 were used to provide initial predictions. The RF1
140 prediction determined which weighting factors would be used. The hourly O₃ prediction was
141 computed as

$$142 \quad O_3 = a_1 * RF_1 + a_2 * RF_2 \quad (2)$$

143

144 **2.3. Ensemble forecasting system**

145 The ML1 and ML2 modeling frameworks have been used to provide 72-hour “ensemble”
146 operational O₃ forecasts each day, by using more than 20 members from the
147 University of Washington Mesoscale Ensemble system (<https://a.atmos.washington.edu/wrft/ensembles/info.html>) beginning in April 2019. We predicted the O₃ levels with each WRF member to
148 compile a 72-hour ensemble mean forecast with an associated uncertainty range. The forecasts are
149 available to the public on <http://ozonematters.com>, with the ability to sign up for email alerts if
150 “Unhealthy for Sensitive Groups” or worse levels are forecast. To increase the size of the training
151 dataset and improve the forecast accuracy, we included the new observational data from the
152 previous day and re-trained the models daily. For the ensemble daily forecasts, the computational
153 time is approximately 1 min for ML1 and less than 3 min for ML2.

155

156 **2.4. Statistical methods for O₃ AQI evaluation**

157 Two parameters, Heidke Skill Score (HSS) and the Hanssen-Kuiper Skill Score (KSS) were used
 158 to evaluate the machine learning model prediction. Table S2 is a 2x2 contingency table, which
 159 shows the simple yes or no case.²⁹ For the air quality researches, “yes” usually means air pollution
 160 events, and “no” means good air quality. The equations (3) and (4) show how HSS and KSS are
 161 computed.³⁰

162
$$HSS = \frac{a + d - a_r - d_r}{n - a_r - d_r} \quad (3)$$

163 Where $a_r = \frac{(a+b)(a+c)}{n}$, $d_r = \frac{(b+d)(c+d)}{n}$

164
$$KSS = \frac{ad - bc}{(b + d)(a + c)} \quad (4)$$

165 HSS represents the accuracy of the model prediction compared with a reference forecast (r in
 166 equation 3), which is from the random guess that is statistically independent of the observations.^{30,31}
 167 The range of the HSS is from $-\infty$ to 1. A negative value means a random guess is better, 0 means
 168 no skill, and 1 means a perfect score. KSS measures the ability to separate different categories.
 169 The range is from -1 to 1 where 0 means no skill, and 1 means a perfect score.

170 For the multi-category case in this research with AQI 1 (Good), 2 (Moderate) or 3 (Unhealthy
 171 for Sensitive Groups), we use the 3x3 contingency table in Table S3 ³². The skill scores are
 172 computed as follows.³⁰

173
$$HSS = \left(\sum_{i=1}^3 p_{ii} - \sum_{i=1}^3 p_i \hat{p}_i \right) / \left(1 - \sum_{i=1}^3 p_i \hat{p}_i \right) \quad (5)$$

174
$$KSS = \left(\sum_{i=1}^3 p_{ii} - \sum_{i=1}^3 p_i \hat{p}_i \right) / \left(1 - \sum_{i=1}^3 p_i p_i \right) \quad (6)$$

175 The p_{ii} is the sample frequency when the observed and model predicted AQI is i , and p_i and \hat{p}_i
176 are the observed and model predicted sample frequency when $AQI = i$. The multi-category case is
177 based on the simple yes or no case, and the skill scores, HSS and KSS have the same meaning as
178 the simple case.

179

180 **3. RESULTS AND DISCUSSION**

181 **3.1. Feature selection for machine learning models**

182 There were 10 features for the RF classifier and regression model and 11 features for the MLR
183 model. Too many features can cause an overfitting problem,³³ so the attributes
184 *feature_importances_* in function *RandomForestClassifier/RandomForestRegressor* and *ranking_*
185 in *RFE* were used to do the feature selection. The selected features were input to train the model.

186 There were two components in ML1, RF classifier and MLR model. For an RF model, the feature
187 selection function with the default setting computed the importance weights, and the features
188 whose weight was above the mean weight were selected. The feature weights could change in each
189 training process, but the ranking showed very little variation. The previous day O_3 observation,
190 temperature and hour were the primary features selected, and the relative humidity was selected in
191 some cases. The default number of selected features for MLR was half of the total features
192 available, so two more features were chosen by the built-in feature selection function, in addition
193 to the three primary features: the previous day O_3 observation, temperature, relative humidity, AQI
194 category, and surface pressure. The output of each framework was hourly O_3 mixing ratios for

195 each 72-hour forecast. For evaluation purposes, these forecast values were compiled into the
196 maximum daily 8-hour moving average O₃ (MDA8).

197 The feature selection function for RF regression was the same as the RF classifier model.
198 Temperature, previous O₃ observation, PBL height or relative humidity, were the selected features
199 for the first phase RF regression model, and the temperature and hour were selected for the second
200 phase.

201

202 **3.2. Machine learning model evaluation**

203 Cross-validation is commonly used for the model evaluation, and it can test the subset of the
204 dataset with an equal chance.³⁴ There are various cross-validation methods, such as leave-one-out,
205 k-fold, etc. Here, the 10-time 10-fold and walk-forward cross-validation were used to evaluate the
206 two modeling frameworks. The input data were the primary WRF output, time information and
207 historical O₃ observations in Kennewick.

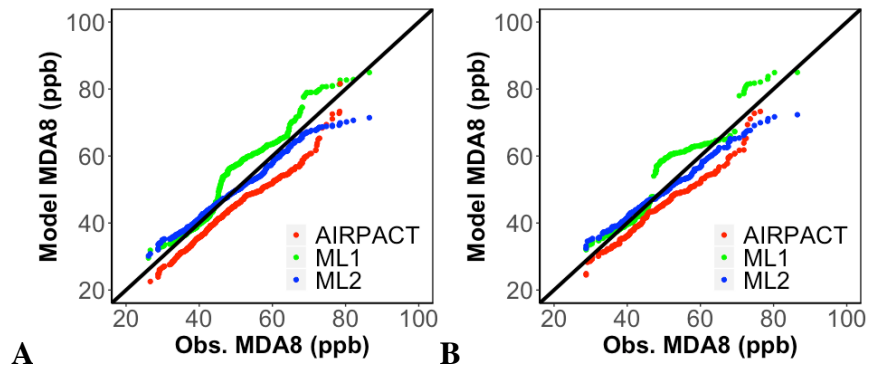
208

209 3.2.1 10-time 10-fold cross-validation

210 The k-fold cross-validation may be the most commonly used technique for the model evaluation.³⁴
211 It divides the dataset into k randomly chosen parts (k=10 in this research), and k-1 parts are used
212 to train the model, the remaining portion is used to test the model, and this process is repeated k
213 times to test all k subsets. The *RepeatedKfold* function in the python module *sklearn* was used to
214 separate the dataset. To avoid any bias from data separation, the k-fold cross-validation was
215 repeated 10 times in this research.

216 The NMB for these 10-time cross-validation was $6.3\% \pm 0.2\%$ for ML1 and $0 \pm 0.1\%$ for ML2.
217 The AIRPACT NMB was -9.3% , which was lower than ML1 and ML2. The standard deviations
218 show that there is no significant difference among each repeat, and the model performance is
219 stable.

220 The Q-Q plots in Figure 2A show the comparison between the model predictions and
221 observations. AIRPACT underpredicted the MDA8 for MDA8 lower than 70 ppb. For MDA8
222 higher than 70 ppb, AIRPACT tended to predict the DMA8 close to the 1:1 line, but there were
223 several extremely high predictions from AIRPACT which were not shown in Figure 2A. ML1 and
224 ML2 were close to the 1:1 line when the MDA8 was lower than 45 ppb. ML1 was close to the 1:1
225 line for MDA8 in the 60 – 70 ppb range. For high MDA8 cases (> 70 ppb), ML1 showed the best
226 performance.

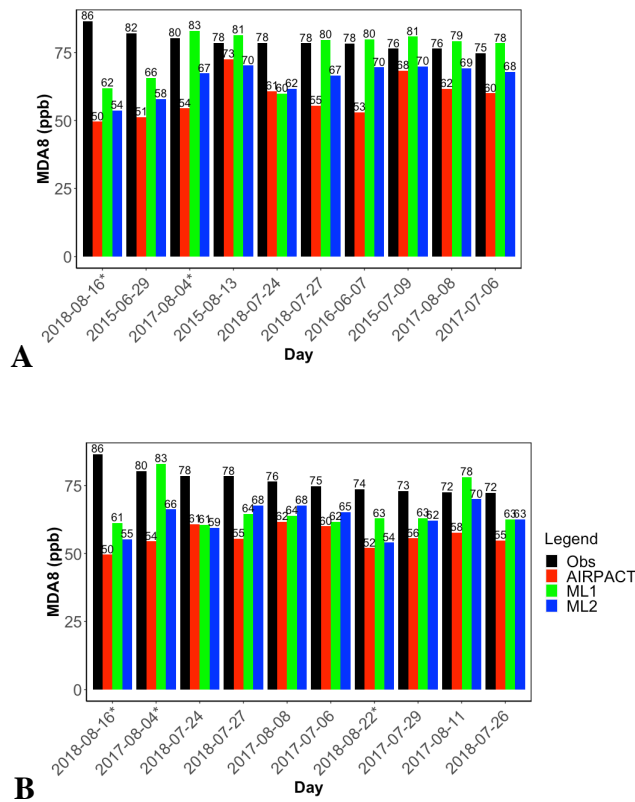


227 **Figure 2.** Q-Q plots of averaged model vs. observed MDA8 (A) during May – September 2015 –
228 2018 based on the 10 times 10-fold cross-validation (B) during May – September 2017 – 2018
229 based on the walk-forward cross-validation
230

231
232 The highest 10 observed MDA8 during 2015 – 2018 and their model predictions were selected
233 and shown in Figure 2B. ML2 and AIRPACT underpredicted all 10 cases, and ML1 provided

234 close predictions for 7 out of 10. These results show that ML1 performs better for high O₃ events,
 235 and results from the Q-Q plot also confirms this. The two ML models showed a similar trend,
 236 and they both largely underpredicted 3 cases. So, they may miss the same factor which led to the
 237 high MDA8. The highest O₃ day was affected by the wildfire smoke, and all models missed it.

238



239

240 **Figure 3.** Top 10 observed MDA8 and model predictions from (A) 10-time 10-fold cross-
 241 validation (B) the walk-forward cross-validation

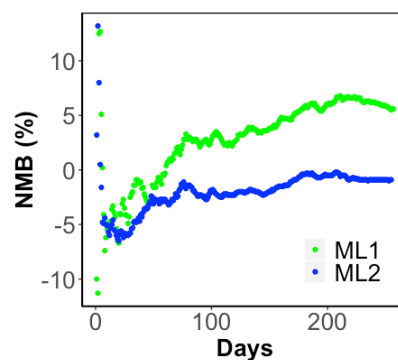
242 * means that the wildfire smoke caused excess ozone on that day

243

244 3.2.2 Walk-forward cross-validation

245 The 10-time 10-fold cross-validation does not consider the temporal order of the data,
246 which is important for the time-series data. Walk-forward cross-validation is a technique for time-
247 series data.³⁵ For this evaluation, the 2015 and 2016 data were used to train the model and predict
248 the first day in the 2017 dataset (May 1st, 2017). Then the May 1st, 2017 data was included in the
249 training dataset and the models were used to predict O₃ for May 2nd, 2017. This process was
250 repeated for each additional day of the 2017 and 2018 ozone seasons.

251 When a new day's MDA8 was predicted by the ML models, the NMB was recomputed by
252 including the new prediction. The change of NMB was shown in Figure 3A. In the beginning,
253 there was no clear trend for the NMB values for both ML models. The NMB from ML1 prediction
254 sharply increased after June 2017 (Day 50 in Figure 3A) when more high O₃ events occurred,
255 slowly increased after August 2017 (Day 100 in Figure 3A), and slowly decreased after July 2018
256 (Day 200 in Figure 3A). The overprediction from ML1 during the low O₃ periods (May and June)
257 could lead to the NMB increasing, while the NMB values were stable or even decreasing during
258 the high O₃ period (July and August). For ML2, there were some fluctuations before August 2018,
259 and the NMB was stable after that. For both ML1 and ML2, the NMB values were getting stable
260 when more data got involved. The final NMB of two ML models were 5.6% and -0.9%, which
261 were lower than the 10-time 10-fold cross-validation.



262
263 **Figure 4.** The walk-forward NMB of each time step for ML1 and ML2

264

265 The walk-forward cross-validation provided two-year MDA8 predictions (2017 and 2018), and
266 the Q-Q plots were similar to the 10-time 10-fold cross-validation. The two breakpoints of ML1
267 distribution were clearer in Figure 3B. Ten highest MDA8 in 2017 and 2018 were shown in Figure
268 4. ML1 only captures 2 out of the top 10 observed MDA8 and ML2 captured 1. In some cases,
269 ML1 was even lower than ML2. Three high O₃ days with stars (*) in Figure 4 were affected by the
270 wildfire smoke, and ML1 captured two of them. The two ML models still performed better than
271 AIRPACT.

272 Table 1 summarizes the HSS and KSS of the two machine learning models and AIRPACT from
273 the two cross-validation methods. Both machine learning models show better performance with
274 higher HSS and KSS values than AIRPACT. ML2 shows higher HSS than ML1 for both cross-
275 validation results, which means ML2's prediction is generally more accurate. ML1 shows higher
276 KSS in 10-time 10-fold cross-validation due to its better performance of high O₃ predictions. The
277 statistics from AIRPACT and ML2 are close between two cross-validations, but HSS and KSS
278 from walk-forward are lower than 10-time 10-fold cross-validation.

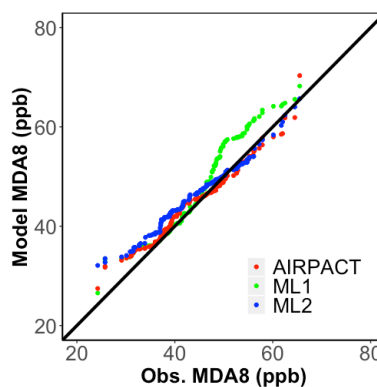
279 **Table 1. HSS and KSS from two cross-validations**

		AIRPACT	ML1	ML2
10-time 10-fold	HSS	0.32	0.44	0.55
	KSS	0.25	0.62	0.50
Walk-forward	HSS	0.34	0.37	0.57
	KSS	0.27	0.53	0.51

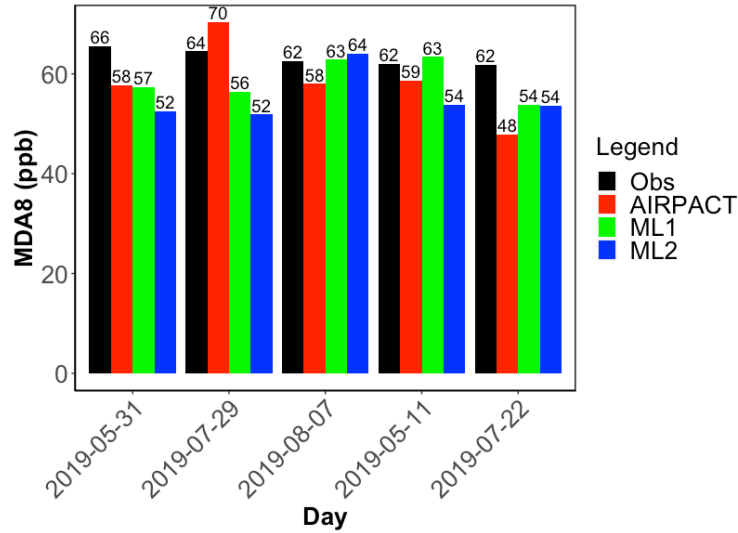
280

281 **3.3. O₃ ensemble forecasting in 2019**

282 Since April 2019, our machine learning models have been used for operational O₃ ensemble
283 forecasting for Kennewick, WA. The ensemble forecasting was based on more than 20 WRF
284 ensemble members provided by the University of Washington to predict the O₃ levels. The
285 difference among the predicted MDA8 from the ensemble members was not significant (within
286 5%). To better compare with the evaluation in the previous section, this section only covers the
287 data from May to September in 2019. The ML1 and ML2 results are the ensemble means of the
288 MDA8 values from more than 20 ML forecasts. The Q-Q plot in Figure 5 shows that the ML1,
289 ML2 and AIRPACT model forecasts are close for O₃ lower than 40 ppb. For the O₃ in 40 – 60 ppb,
290 ML1 tends to overpredict, while AIRPACT and ML2 are closer to observations. When the O₃
291 mixing ratio is higher than 60 ppb, ML1 slightly overpredicts, ML2 underpredicts, and AIRPACT
292 varies in cases. For the highest 5 MDA8 points in Figure 6, the observed values were 62 – 66 ppb,
293 while ML1’s predictions were closer to the observations than AIRPACT and ML2. AIRPACT
294 showed larger variation (48 – 70 ppb) compared to two ML models.



295
296 **Figure 5.** Q-Q plots of ensemble mean model vs. observed MDA8 during May - September 2019

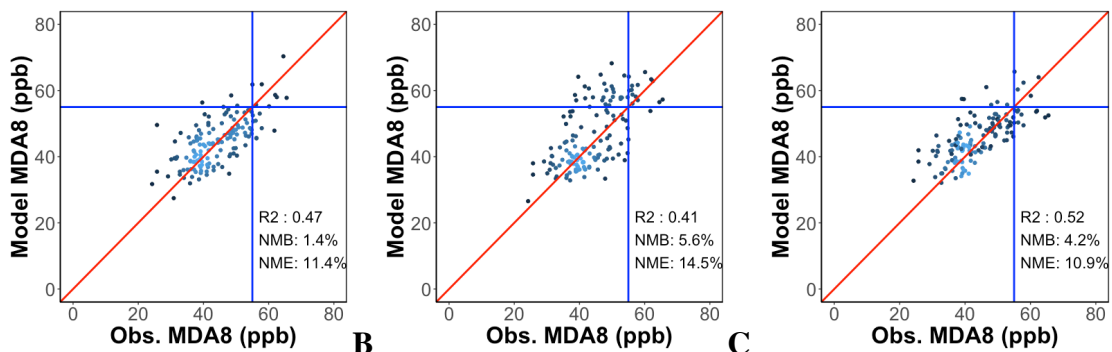


297

298 **Figure 6.** Top 5 observed MDA8 and model predictions in 2019

299

300 The scatter plots in Figure 7 show the ensemble mean MDA8 from May to September in 2019.
 301 ML2 shows relatively higher R^2 value (0.52) than ML1 (0.41) and AIRPACT (0.47). The NMB of
 302 AIRPACT is lowest (1.4%), but its NME (11.4%) is higher than ML2 (10.9%). The low NMB is
 303 due to the offset of overprediction and underprediction. ML1 tended to overpredict the MDA8 O_3
 304 especially when it was higher than 40 ppb. Because of mostly favorable meteorological conditions
 305 and few wildfires in the Pacific Northwest, the O_3 mixing ratios were not very high in 2019 and
 306 the model performance of ML2 was the best.



307 **A**

B

C

308 **Figure 7.** Scatter plots of observed vs. ensemble mean MDA8 of AIRPACT in (A), ML1 in (B)
 309 and ML2 in (C) at Kennewick from May to September in 2019

310

311 The model performance statistics are presented in Table 2, the blue cells show misses, and red
 312 cells show false alarms. In 2019, all the AQI_{obs} at Kennewick were less than 3. Compared to
 313 AIRPACT, ML1 captured high O_3 days better (15 events vs. 7 events for $AQI_{obs}2$) but tended to
 314 overpredict the O_3 AQI (26 false alarm events vs. 3 false alarm events). ML2 predicted similar
 315 AQI days to AIRPACT. Based on the analysis above, we decided to use ML1 to provide the daily
 316 forecasting for Kennewick when the predicted AQI was above 2, and ML2 when the predicted
 317 AQI was 1 or 2.

318 **Table 2. Number of days for each AQI during May – September 2019**

		Observation								
		AQI 1		AQI 2		AQI 1		AQI 2		
AQI 2	AQI 1	AIRPACT	122	11	ML1	99	3	ML2	119	13
			3	7		26	15		6	5

319 ML1 and ML2 are the ensemble mean results using 20 WRF ensemble members.

320 The blue cells mean the model misses high O_3 , and the red cells mean the model raises false
 321 alarms.

322

323 **Acknowledgement**

324 We thank the fund from CEE - YUNHA LEE ACCRUALS (2315-0028). We acknowledge that

325 David Ovens from University of Washington helped setup a data feed of WRF ensembles.

326 **Reference:**

- 327 (1) Sportisse, B. A Review of Current Issues in Air Pollution Modeling and Simulation.
328 *Computational Geosciences* **2007**, *11* (2), 159–181. <https://doi.org/10.1007/s10596-006-9036-4>.
- 329 (2) Seinfeld, J. H.; Pandis, S. N. *Atmospheric Chemistry and Physics: From Air Pollution to*
330 *Climate Change*; John Wiley & Sons, 2016.
- 331 (3) April 1, 2019 Population of Cities, Towns and Counties Used for Allocation of Selected
332 State Revenues State of Washington. Washington State Office of Financial Management 2019.
- 333 (4) B. T. Jobson; G. VanderSchelden. *The Tri-Cities Ozone Precursor Study (T-COPS)*; Final
334 Report; Washington Department of Ecology, 2017.
- 335 (5) Feng, X.; Li, Q.; Zhu, Y.; Hou, J.; Jin, L.; Wang, J. Artificial Neural Networks Forecasting
336 of PM_{2.5} Pollution Using Air Mass Trajectory Based Geographic Model and Wavelet
337 Transformation. *Atmospheric Environment* **2015**, *107*, 118–128.
338 <https://doi.org/10.1016/j.atmosenv.2015.02.030>.
- 339 (6) Freeman, B. S.; Taylor, G.; Gharabaghi, B.; Thé, J. Forecasting Air Quality Time Series
340 Using Deep Learning. *Journal of the Air & Waste Management Association* **2018**, *68* (8), 866–
341 886. <https://doi.org/10.1080/10962247.2018.1459956>.
- 342 (7) Zamani Joharestani, M.; Cao, C.; Ni, X.; Bashir, B.; Talebiesfandarani, S. PM_{2.5}
343 Prediction Based on Random Forest, XGBoost, and Deep Learning Using Multisource Remote
344 Sensing Data. *Atmosphere* **2019**, *10* (7), 373. <https://doi.org/10.3390/atmos10070373>.

- 345 (8) Delavar, M.; Gholami, A.; Shiran, G.; Rashidi, Y.; Nakhaeizadeh, G.; Fedra, K.; Hatefi
346 Afshar, S. A Novel Method for Improving Air Pollution Prediction Based on Machine Learning
347 Approaches: A Case Study Applied to the Capital City of Tehran. *ISPRS International Journal of*
348 *Geo-Information* **2019**, *8* (2), 99. <https://doi.org/10.3390/ijgi8020099>.
- 349 (9) Watson, G. L.; Telesca, D.; Reid, C. E.; Pfister, G. G.; Jerrett, M. Machine Learning
350 Models Accurately Predict Ozone Exposure during Wildfire Events. *Environmental Pollution*
351 **2019**, *254*, 112792. <https://doi.org/10.1016/j.envpol.2019.06.088>.
- 352 (10) Zheng, Y.; Liu, F.; Hsieh, H.-P. U-Air: When Urban Air Quality Inference Meets Big Data.
353 In *Proceedings of the 19th ACM SIGKDD international conference on Knowledge discovery and*
354 *data mining - KDD '13*; ACM Press: Chicago, Illinois, USA, 2013; p 1436.
355 <https://doi.org/10.1145/2487575.2488188>.
- 356 (11) Pernak, R.; Alvarado, M.; Lonsdale, C.; Mountain, M.; Hegarty, J.; Nehr Korn, T.
357 Forecasting Surface O₃ in Texas Urban Areas Using Random Forest and Generalized Additive
358 Models. *Aerosol Air Qual. Res.* **2019**, *9* (12), 2815–2826.
359 <https://doi.org/10.4209/aaqr.2018.12.0464>.
- 360 (12) Rybarczyk, Y.; Zalakeviciute, R. Machine Learning Approaches for Outdoor Air Quality
361 Modelling: A Systematic Review. *Applied Sciences* **2018**, *8* (12), 2570.
362 <https://doi.org/10.3390/app8122570>.
- 363 (13) Yu, R.; Yang, Y.; Yang, L.; Han, G.; Move, O. RAQ-A Random Forest Approach for
364 Predicting Air Quality in Urban Sensing Systems. *Sensors* **2016**, *16* (1), 86.
365 <https://doi.org/10.3390/s16010086>.

- 366 (14) Zhan, Y.; Luo, Y.; Deng, X.; Grieneisen, M. L.; Zhang, M.; Di, B. Spatiotemporal
367 Prediction of Daily Ambient Ozone Levels across China Using Random Forest for Human
368 Exposure Assessment. *Environmental Pollution* **2018**, *233*, 464–473.
369 <https://doi.org/10.1016/j.envpol.2017.10.029>.
- 370 (15) Breiman, L. Random Forests. *Machine Learning* **2001**, *45* (1), 5–32.
371 <https://doi.org/10.1023/A:1010933404324>.
- 372 (16) Kam, H. T. Random Decision Forest. In *Proceedings of the 3rd International Conference*
373 *on Document Analysis and Recognition*; 1995; Vol. 1416, p 278282.
- 374 (17) Mitchell, T. M. *Machine Learning*; McGraw-Hill series in computer science; McGraw-
375 Hill: New York, 1997.
- 376 (18) Arganis, M. L.; Val, R.; Dominguez, R.; Rodriguez, K.; Dolz, J.; Eato, J. M. Comparison
377 Between Equations Obtained by Means of Multiple Linear Regression and Genetic Programming
378 to Approach Measured Climatic Data in a River. In *Genetic Programming - New Approaches and*
379 *Successful Applications*; Ventura Soto, S., Ed.; InTech, 2012. <https://doi.org/10.5772/50556>.
- 380 (19) Chaloulakou, A.; Assimacopoulos, D.; Lekkas, T. Forecasting Daily Maximum Ozone
381 Concentrations in the Athens Basin. 16.
- 382 (20) Moustris, K. P.; Nastos, P. T.; Larissi, I. K.; Paliatsos, A. G. Application of Multiple Linear
383 Regression Models and Artificial Neural Networks on the Surface Ozone Forecast in the Greater
384 Athens Area, Greece. *Advances in Meteorology* **2012**, *2012*, 1–8.
385 <https://doi.org/10.1155/2012/894714>.

386 (21) Sousa, S.; Martins, F.; Alvimferraz, M.; Pereira, M. Multiple Linear Regression and
387 Artificial Neural Networks Based on Principal Components to Predict Ozone Concentrations.
388 *Environmental Modelling & Software* **2007**, 22 (1), 97–103.
389 <https://doi.org/10.1016/j.envsoft.2005.12.002>.

390 (22) Yuchi, W.; Gombojav, E.; Boldbaatar, B.; Galsuren, J.; Enkhmaa, S.; Beejin, B.; Naidan,
391 G.; Ochir, C.; Legtseg, B.; Byambaa, T.; Barn, P.; Henderson, S. B.; Janes, C. R.; Lanphear, B. P.;
392 McCandless, L. C.; Takaro, T. K.; Venners, S. A.; Webster, G. M.; Allen, R. W. Evaluation of
393 Random Forest Regression and Multiple Linear Regression for Predicting Indoor Fine Particulate
394 Matter Concentrations in a Highly Polluted City. *Environmental Pollution* **2019**, 245, 746–753.
395 <https://doi.org/10.1016/j.envpol.2018.11.034>.

396 (23) Weaver, C. P.; Liang, X.-Z.; Zhu, J.; Adams, P. J.; Amar, P.; Avise, J.; Caughey, M.; Chen,
397 J.; Cohen, R. C.; Cooter, E.; Dawson, J. P.; Gilliam, R.; Gilliland, A.; Goldstein, A. H.; Grambsch,
398 A.; Grano, D.; Guenther, A.; Gustafson, W. I.; Harley, R. A.; He, S.; Hemming, B.; Hogrefe, C.;
399 Huang, H.-C.; Hunt, S. W.; Jacob, D. J.; Kinney, P. L.; Kunkel, K.; Lamarque, J.-F.; Lamb, B.;
400 Larkin, N. K.; Leung, L. R.; Liao, K.-J.; Lin, J.-T.; Lynn, B. H.; Manomaiphiboon, K.; Mass, C.;
401 McKenzie, D.; Mickley, L. J.; O’neill, S. M.; Nolte, C.; Pandis, S. N.; Racherla, P. N.; Rosenzweig,
402 C.; Russell, A. G.; Salathé, E.; Steiner, A. L.; Tagaris, E.; Tao, Z.; Tonse, S.; Wiedinmyer, C.;
403 Williams, A.; Winner, D. A.; Woo, J.-H.; Wu, S.; Wuebbles, D. J. A Preliminary Synthesis of
404 Modeled Climate Change Impacts on U.S. Regional Ozone Concentrations. *Bull. Amer. Meteor.*
405 *Soc.* **2009**, 90 (12), 1843–1864. <https://doi.org/10.1175/2009BAMS2568.1>.

- 406 (24) Gong, X.; Kaulfus, A.; Nair, U.; Jaffe, D. A. Quantifying O₃ Impacts in Urban Areas Due
407 to Wildfires Using a Generalized Additive Model. *Environ. Sci. Technol.* **2017**, *51* (22), 13216–
408 13223. <https://doi.org/10.1021/acs.est.7b03130>.
- 409 (25) Mass, C. F.; Albright, M.; Ovens, D.; Steed, R.; Maciver, M.; Gritmit, E.; Eckel, T.; Lamb,
410 B.; Vaughan, J.; Westrick, K.; Storck, P.; Colman, B.; Hill, C.; Maykut, N.; Gilroy, M.; Ferguson,
411 S. A.; Yetter, J.; Sierchio, J. M.; Bowman, C.; Stender, R.; Wilson, R.; Brown, W. Regional
412 Environmental Prediction Over the Pacific Northwest. *Bull. Amer. Meteor. Soc.* **2003**, *84* (10),
413 1353–1366. <https://doi.org/10.1175/BAMS-84-10-1353>.
- 414 (26) Pacific Northwest Environmental Forecasts and Observations
415 <https://a.atmos.washington.edu/mm5rt/> (accessed Mar 6, 2020).
- 416 (27) Haixiang, G.; Yijing, L.; Shang, J.; Mingyun, G.; Yuanyue, H.; Bing, G. Learning from
417 Class-Imbalanced Data: Review of Methods and Applications. *Expert Systems with Applications*
418 **2017**, *73*, 220–239. <https://doi.org/10.1016/j.eswa.2016.12.035>.
- 419 (28) Jiang, N.; Riley, M. L. Exploring the Utility of the Random Forest Method for Forecasting
420 Ozone Pollution in SYDNEY. **2015**, *1* (5), 10.
- 421 (29) Ukkonen, P.; Manzato, A.; Mäkelä, A. Evaluation of Thunderstorm Predictors for Finland
422 Using Reanalyses and Neural Networks. *J. Appl. Meteor. Climatol.* **2017**, *56* (8), 2335–2352.
423 <https://doi.org/10.1175/JAMC-D-16-0361.1>.
- 424 (30) Jolliffe, I. T.; Stephenson, D. B. *Forecast Verification: A Practitioner's Guide in*
425 *Atmospheric Science*; John Wiley & Sons, 2012.

- 426 (31) Wilks, D. S. *Statistical Methods in the Atmospheric Sciences*; Academic press, 2011; Vol.
427 100.
- 428 (32) Doswell III, C. A.; Davies-Jones, R.; Keller, D. L. On Summary Measures of Skill in Rare
429 Event Forecasting Based on Contingency Tables. *Weather and Forecasting* **1990**, 5 (4), 576–585.
- 430 (33) Murphy, K. P. *Machine Learning: A Probabilistic Perspective*; MIT press, 2012.
- 431 (34) Raschka, S. Model Evaluation, Model Selection, and Algorithm Selection in Machine
432 Learning. *arXiv:1811.12808 [cs, stat]* **2018**.
- 433 (35) Falessi, D.; Narayana, L.; Thai, J. F.; Turhan, B. Preserving Order of Data When Validating
434 Defect Prediction Models. 20.