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1 The stratigraphic record of continental breakup, offshore NW

2 Australia

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14 15 **Abstract**

16 Continental breakup involves a transition from rapid, fault-controlled syn-rift subsidence to
17 relatively slow, post-breakup subsidence induced lithospheric cooling. Yet the stratigraphic
18 record of many rifted margins contain syn-breakup unconformities, indicating episodes of
19 uplift and erosion interrupt this transition. This uplift has been linked to mantle upwelling,
20 depth-dependent extension, and/or isostatic rebound. Deciphering the breakup processes
21 recorded by these unconformities and their related rock record is difficult because associated
22 erosion commonly removes the strata that help constrain the onset and duration of uplift. We
23 examine three major breakup-related unconformities and intervening rock record in the
24 Lower Cretaceous succession of the Gascoyne and Cuvier margins, offshore NW Australia,
25 using seismic reflection and borehole data. These data show the breakup unconformities are

26 disconformable (non-erosive) in places and angular (erosive) in others. Our recalibration of
27 palynomorph ages from rocks underlying and overlying the unconformities shows: (i) the
28 lowermost unconformity developed between 134.98–133.74 Ma (Intra-Valanginian),
29 probably during the localisation of magma intrusion within continental crust and consequent
30 formation of continent-ocean transition zones (COTZ); (2) the middle unconformity formed
31 between ~134–133 Ma (Top Valanginian), possibly coincident with breakup of continental
32 crust and generation of new magmatic (but not oceanic) crust within the COTZs; and (iii) the
33 uppermost unconformity likely developed between ~132.5–131 Ma (i.e. Intra-Hauterivian),
34 coincident with full breakup of continental lithosphere and the onset of seafloor spreading.
35 During unconformity formation, uplift was focused along the continental rift flanks, likely
36 reflecting landward flow of lower crustal and/or lithospheric mantle from beneath areas of
37 localised extension towards the continent (i.e. depth-dependent extension). Our work
38 supports the growing consensus that the ‘breakup unconformity’ is not always a single
39 stratigraphic surface marking the onset of seafloor spreading; multiple unconformities may
40 form and reflect a complex history of uplift and subsidence during the development of
41 continent-ocean transition.

42 **1. Introduction**

43 Continental breakup has traditionally been perceived to involve continuous subsidence of an
44 evolving rifted margin, with initial fault-controlled, relatively rapid syn-rift subsidence
45 followed by a protracted phase of relatively slow, post-rift subsidence induced by cooling of
46 the lithosphere (e.g., McKenzie 1978; Le Pichon & Sibuet 1981; Bott 1982). However, the
47 stratigraphic records of many passive margins contain one or more ‘breakup unconformities’
48 (Fig. 1), which developed sub-aerially during the transition from continental rifting to
49 seafloor spreading (e.g., Falvey 1974; Veevers 1986; Driscoll et al. 1995; Lavin 1997;
50 Tucholke et al. 2007; Soares et al. 2012; Franke 2013; Mohriak & Leroy 2013; Morley 2016;

51 Gong et al. 2019; Xie et al. 2019). These breakup unconformities broadly separate faulted,
52 syn-rift rocks from overlying, largely unfaulted post-rift rocks, indicating subsidence was
53 punctuated by a period of margin-wide uplift and/or erosion (e.g., Falvey 1974; Embry &
54 Dixon 1990; Driscoll et al. 1995; Alves & Cunha 2018; Pérez-Gussinyé et al. 2020). Such
55 syn-breakup uplift has variously been attributed to: (i) a thermal response to mantle
56 upwelling (e.g., Falvey 1974; Morley 2016); (ii) rift flank uplift caused either by convective
57 heat transfer from deeper parts of a rifted basin (e.g., Cochran 1983), or an isostatic response
58 to depth-dependent extension (e.g., White & McKenzie 1988; Issler et al. 1989); or (iii)
59 isostatic rebound of over-deepened sedimentary basins (e.g., Braun & Beaumont 1989). The
60 magnitude and distribution of uplift is also influenced by lithospheric strength (see Pérez-
61 Gussinyé et al. 2020 and references therein). The stratigraphic architecture and formation of
62 these unconformities and their encasing strata, i.e. the breakup sequence, thus provides an
63 important record of the tectonic and geodynamic evolution of continental margins (e.g.,
64 Soares et al. 2012; Alves & Cunha 2018; Gong et al. 2019; Monteleone et al. 2019; Peron-
65 Pinvidic et al. 2019; Pérez-Gussinyé et al. 2020).

66 To understand the genesis and significance of breakup-related unconformities, we
67 must establish their distribution and structure, the depositional environments and subsidence
68 history of a margin, and the timing of unconformity development relative to distinct tectonic
69 and magmatic events (e.g., full lithospheric rupture and onset of seafloor spreading). Most
70 previous studies investigating the development and geodynamic significance of breakup
71 unconformities are limited by: (i) seismic and borehole data quantity and quality (e.g., Soares
72 et al. 2012); (ii) paucity of biostratigraphic constraints on the age of the breakup succession,
73 particularly where erosion has removed rock beneath related unconformities (e.g., Dafoe et
74 al. 2017); (iii) poor dating of oceanic crust adjacent to the margin, which makes it difficult to
75 establish whether unconformity development and onset of seafloor spreading were

76 simultaneous (e.g., Cande & Mutter 1982); (iv) complications due to diachronous breakup
77 along-strike and the formation of multiple breakup unconformities (e.g., Larsen & Saunders
78 1998; Soares et al. 2012; Gillard et al. 2015; Alves & Cunha 2018; Monteleone et al. 2019);
79 or (v) the presence of substantial syn-breakup igneous products, which tends to reduce the
80 quality of seismic reflection data (e.g., Skogseid et al. 1992).

81 The North Carnarvon Basin, offshore NW Australia (Fig. 2), is an ideal area to
82 understand the syn-breakup stratigraphic record and thereby determine mechanisms of
83 continental breakup. We use 2D and 3D reflection seismic surveys covering ~165,000 km²
84 and biostratigraphic data from 165 boreholes to better constrain the age and uplift distribution
85 of three major unconformities that have previously dated to 138.2 Ma, 134.9 Ma, and ~132.5
86 Ma (e.g., Helby et al. 1987; Arditto 1993; Labutis 1994; Smith et al. 2015; Paumard et al.
87 2018). Developing previous work, a recent examination of the nature and age of the Cuvier
88 Abyssal Plain, adjacent to part of the North Carnarvon Basin, has shown continental breakup
89 of NW Australia involved a protracted (~6 Myr) period of continent-ocean transition zone
90 (COTZ) formation immediately before full lithospheric rupture occurred ~130 Ma (Reeve et
91 al. 2021). Although the three unconformities studied here have been broadly linked to
92 continental breakup (e.g., Helby et al. 1987; Arditto 1993; Labutis 1994; Smith et al. 2015;
93 Paumard et al. 2018), the tectonic processes driving their formation remain poorly
94 understood. By recalibrating widely preserved dinoflagellate zones to align with sparse, yet
95 temporally well-constrained occurrences of calcareous nannofossils, we show the three
96 unconformities actually developed between 134.98–133.74 Ma, ~134–133 Ma, and ~132.5–
97 131 Ma. Mapping the age, depositional environment, and reworking of sedimentary rocks
98 above and below the major breakup-related unconformities reveals uplift was primarily
99 focused along rift flanks bordering continent-ocean transition zones (COTZs). We compare
100 these constraints on unconformity development to the structure and magnetic stripe ages

101 recorded in neighbouring Early Cretaceous COTZs and oceanic crust. Based on these
102 comparisons, we suggest that the three phases of uplift and unconformity development
103 coincided with: (i) formation of narrow rift zones, which involved significant dyke intrusion
104 into continental crust; (ii) possible initiation of dyke-driven, sub-aerial spreading, and the
105 formation of new magmatic crust (i.e. marking breakup of continental crust); and (iii) onset
106 of full lithospheric breakup and seafloor spreading. We speculate uplift and erosion occurred
107 in response to the landward transfer of lower crustal and/or lithospheric material to beneath
108 the rift flanks from areas where extension became localised. Overall, our work shows that the
109 integration of seismic reflection and well-calibrated biostratigraphic data is critical to reading
110 rocks that record the processes driving continental breakup.

111

112 **2. Geological setting**

113 The Palaeozoic-to-Recent North Carnarvon Basin forms the southern-most part of Australia's
114 Northwest Shelf, spanning the magma-rich Gascoyne and Cuvier margins (Fig. 2) (e.g.,
115 Symonds et al. 1998; Longley et al. 2002; Menzies et al. 2002). The basin developed in
116 response to multiple phases of rifting between the Late Carboniferous and Early Cretaceous,
117 with internal sub-basins developing from the Late Triassic onwards (e.g., Stagg & Colwell
118 1994; Longley et al. 2002). In this study, we principally consider the Tithonian-to-
119 Hauterivian phase of rifting that led to continental breakup between Australia and Greater
120 India (Fig. 3A) (e.g., Falvey & Veivers 1974; Willcox & Exon 1976; Larson et al. 1979;
121 Stagg & Colwell 1994; Longley et al. 2002; Stagg et al. 2004; Heine & Müller 2005; Robb et
122 al. 2005; Direen et al. 2008; Reeve et al. 2021).

123

124 **2.1. Margin sectors**

125 **2.1.1. Gascoyne Margin**

126 The 450–700 km wide Gascoyne Margin contains a 100–250 km wide COTZ that hosts
127 magnetic chrons M10N–M5n (135.9–130.6 Ma), and to the south-west is separated from the
128 Cuvier Abyssal Plain by the NW-trending Cape Range Fracture Zone (Fig. 2A) (e.g., Direen
129 et al. 2008). The oldest magnetic anomaly recorded in unambiguous oceanic crust adjacent to
130 the Gascoyne Margin is chron M3n, which indicates full lithospheric rupture of the margin
131 had occurred by ~130.6 Ma (Hauterivian; Figs 2B and 3A) (e.g., Robb et al. 2005; Direen et
132 al. 2008).

133 Several tectonic elements are recognised within the Gascoyne Margin, including the
134 Exmouth Plateau, and the Exmouth, Barrow, and Dampier sub-basins (Fig. 2A). The
135 Exmouth Plateau comprises thin (<10 km thick) crystalline crust overlain by a ≤18 km-thick
136 sedimentary sequence (e.g., Fig. 3B) (Pryer et al. 2002; Stagg et al. 2004). Sedimentary
137 successions in the Exmouth and Barrow sub-basins are ~10–18 km thick (e.g., Fig. 3B), but
138 locally up to ~24 km thick, making it difficult to seismically image the acoustic basement or
139 Moho (e.g., Tindale et al. 1998). The lower portions of these sedimentary sequences are
140 likely dominated by the Late Permian-to-Late Triassic, Locker Shale and Mungaroo
141 Formation, which together are up to 9 km thick (Fig. 3) (e.g., Hocking et al. 1987; Stagg &
142 Colwell 1994). The Exmouth Plateau was sediment-starved during Late Triassic-to-Jurassic
143 rifting, preserving only a condensed (≤100 m thick) stratigraphic record comprising clastic,
144 shallow marine-to-deep marine, sedimentary strata of the Brigadier Formation, North Rankin
145 Formation, Murat Siltstone, Athol Formation, and Dingo Claystone (e.g., Hocking 1992;
146 Boyd et al. 1993). Up to 4 km of Late Triassic-to-Jurassic strata accumulated in the Exmouth
147 and Barrow sub-basins (Fig. 3) (e.g., Stagg & Colwell 1994). Tithonian-to-Valanginian
148 rifting of the Gascoyne Margin provided accommodation for a ≤3.5 km thick sequence of

149 clastic deltaic rocks of the Lower Barrow Group (Fig. 3) (e.g., Reeve et al. 2016; Paumard et
150 al. 2018). A series of arches, which correspond to areas of localised uplift and erosion, occur
151 across the Gascoyne Margin (Fig. 2) (e.g., Tindale et al. 1998): (i) the Alpha Arch likely
152 formed in the Triassic-to-Jurassic in response to rift-related faulting and separates the
153 Exmouth and Barrow Sub-basins; (ii) the Ningaloo Arch, erosion of which may have
154 provided the source material for the Zeepaard Formation, is suggested to have formed during
155 the Valanginian due to inversion driven by seafloor spreading in the Cuvier Abyssal Plain;
156 and (iii) the Novara, Resolution, and Exmouth Plateau arches, which formed during post-
157 breakup inversion events between the Santonian and present day.

158

159 **2.1.2. Cuvier Margin**

160 The 100–200 km wide Cuvier Margin has previously been interpreted to include a ~50 km
161 wide COTZ, which borders the Cuvier Abyssal Plain to the NW (e.g., Fig. 2A) (Hopper et al.
162 1992; Colwell et al. 1994; Longley et al. 2002; Stagg et al. 2004). Proximal areas of the
163 Cuvier Margin include the southern part of the Exmouth Sub-basin, which has been termed
164 the Carnarvon Terrace (Fig. 2A) (e.g., Mihut & Müller 1998; Müller et al. 2002). The
165 continental crust beneath the Carnarvon Terrace and South Carnarvon Basin is probably ~25–
166 30 km thick (Hopper et al. 1992). Although the stratigraphy of the offshore Cuvier Margin is
167 poorly constrained due to limited borehole data, it is likely similar to that of the northern
168 Exmouth Sub-basin (Fig. 3A) (e.g., Partington et al. 2003; McClay et al. 2013). During
169 Tithonian-to-Hauterivian rifting, uplift and erosion of the South Carnarvon Basin, perhaps
170 driven by depth-dependent extension or dynamic topography, provided material for the
171 Lower Barrow Group to the north (Reeve et al. 2016; Paumard et al. 2018).

172 Recognition of magnetic chrons M10N–M5n within assumed oceanic crust of the
173 Cuvier Abyssal Plain has been used to suggest that breakup and lithospheric rupture of the

174 Cuvier Margin had occurred by ~136 Ma (Valanginian; Figs 2B and 3A) (Falvey & Veevers
175 1974; Larson et al. 1979); this model implies breakup of the Cuvier Margin occurred ~5 Myr
176 before breakup of the Gascoyne Margin (Reeve et al. 2021). However, Reeve et al. (2021)
177 recently recognised seaward-dipping reflector (SDR) sequences, which likely correspond to
178 stacked lava flows, across the Cuvier Abyssal Plain. Based on sedimentological,
179 biostratigraphic, and geochemical data, Reeve et al. (2021) infer these lava sequences were
180 extruded within subaerial-to-shallow marine conditions and may have been contaminated by
181 continental material. These constraints on lava emplacement and genesis suggest the Cuvier
182 Abyssal Plain may actually be part of the Cuvier COTZ, as opposed to fully oceanic crust
183 (Fig. 2) (Reeve et al. 2021). If the Cuvier Abyssal Plain is part of a COTZ, the oldest
184 magnetic anomaly recorded in adjacent unambiguous oceanic crust (i.e. chron M3n) would
185 imply full breakup of the Cuvier Margin occurred simultaneous to breakup along the
186 Gascoyne Margin before ~130.6 Ma (Hauterivian) (Figs 2B and 3A) (e.g., Direen et al. 2008;
187 Reeve et al. 2021).

188

189 **2.2. Breakup-related unconformities and bounding strata**

190 Three major unconformities are recognised in the North Carnarvon Basin that, based on their
191 age, have been broadly related to continental breakup (Fig. 3) (e.g., Arditto 1993; Romine &
192 Durrant 1996; Reeve et al. 2016): the Intra-Valanginian Unconformity (IVU); the Top
193 Valanginian Unconformity (TVU); and the Intra-Hauterivian Unconformity (IHU).

194

195 **2.2.1. Intra-Valanginian Unconformity**

196 Previous terms for the IVU include the: Valanginian unconformity (e.g., Tindale et al. 1998;
197 McClay et al. 2013); Intra-Valanginian sequence boundary (e.g., Romine & Durrant, 1996);
198 KV seismic event or unconformity (e.g., Longley et al. 2002; Paumard et al. 2018); K-SAS5

199 sequence boundary (e.g., Jablonski 1997); K20.0 sequence boundary (e.g., Marshall & Lang
200 2013; Smith et al. 2015); Base Cretaceous unconformity (e.g., Baillie & Jacobson 1995;
201 Müller et al. 2002); and breakup unconformity (e.g., Romine & Durrant 1996). The IVU
202 commonly marks the top of the Lower Barrow Group and has been inferred to coincide with
203 the boundary between the *Egmontodinium torynum* and *Systematophora areolata*
204 dinoflagellate zones (Fig. 3A) (Arditto 1993; Labutis 1994; Smith et al. 2015; Paumard et al.
205 2018). This *E. torynum* and *S. areolata* dinoflagellate zone boundary was originally
206 considered to occur at 138.2 Ma, which most studies adopt as the age of what we here refer to
207 as the IVU (Fig. 3A) (e.g., Helby et al. 1987; Arditto 1993; Labutis 1994; Smith et al. 2015;
208 Paumard et al. 2018). However, recent recalibration of these zones using biostratigraphic data
209 from the North Scarborough-1 borehole suggests this boundary, and thus the IVU, could have
210 formed later, between 137.55–134.98 Ma (Fig. 3A) (Gard et al. 2016).

211 Many studies relate the IVU to Early Cretaceous breakup of Australia and Greater
212 India, and have linked the associated uplift driving its formation to: (i) a pre-breakup thermal
213 event, perhaps related to the impingement of a mantle plume at the base of the crust (e.g.,
214 Rohrman 2015; Black et al. 2017), suggesting the IVU formed *before* lithospheric rapture
215 and the onset of seafloor spreading (Fig. 3A); (ii) small-scale mantle convection driven by the
216 juxtaposition of thin and thick lithosphere across the ~136 Myr old Cape Range Fracture
217 Zone, suggesting the IVU formed *before* or *during* lithospheric rapture and the onset of
218 seafloor spreading (e.g., Müller et al. 2002; Reeve et al. 2021); (iii) thermal uplift driven by
219 the onset of oceanic crust formation to the north-west, suggesting the IVU formed *during*
220 lithospheric rapture and seafloor spreading (cf. Fig. 3A) (e.g., Stagg & Colwell 1994;
221 Romine & Durrant 1996); (iv) inversion and formation of the Ningaloo Arch, driven by
222 ridge-push forces, suggesting the IVU formed *after* the onset of seafloor spreading (e.g.,
223 Tindale et al. 1998; Paumard et al. 2018); or (v) a major eustatic sea level fall and associated

224 period of non-deposition, i.e. the formation of the IVU was not tectonically controlled (e.g.,
225 Jablonski 1997).

226

227 **2.2.2. Top Valanginian Unconformity**

228 The TVU has been interpreted to coincide with the boundary between the *S. areolata* and
229 *Senoniasphaera tabulata* dinoflagellate zones (Fig. 3A) (Helby et al. 1987; Arditto 1993).

230 This dinoflagellate zone boundary was originally considered to occur at 134.9 Ma, but

231 recalibration of the North Scarborough-1 biostratigraphic data suggest it may be slightly

232 younger (134.32–133.29 Ma; Figs 2B and 3A) (Gard et al. 2016). The TVU is locally

233 recognised in the Exmouth and Barrow sub-basins and marks the top of the Zeepaard

234 Formation, a relatively thin (<300 m thick), progradational deltaic sequence (Fig. 3A) (e.g.,

235 Arditto 1993; Paumard et al. 2018; Reeve et al. 2021). This unit has also been defined as the

236 Upper Barrow Group (Paumard et al. 2018), but because it formed after the IVU in response

237 to different uplift and subsidence processes relative to the Barrow Group *sensu stricto*, we

238 refer to it as the Zeepaard Formation. The overlying, ~20–30 m thick Birdrong Sandstone

239 Formation is sandstone-dominated, with minor siltstone and conglomerate, and was deposited

240 in a shoreface environment (Thompson et al. 1990). The presence of the TVU between them

241 suggests a period of minor uplift may have separated deposition of the Zeepard Formation

242 and Birdrong Sandstone, although the processes driving this have not been considered.

243

244 **2.2.3. Intra-Hauterivian Unconformity**

245 The youngest breakup-related unconformity in the North Carnarvon Basin, the IHU, has been
246 interpreted to coincide with the proposed ~132.5 Myr old boundary between the

247 *Phoberocysta burgeri* and *Muderongia testudinaria* dinoflagellate zones (Fig. 3A) (Helby et

248 al. 1987; Arditto 1993); the *P. burgeri* and *M. testudinaria* zones are missing or not sampled

249 in the North Scarborough-1 borehole analysed by Gard et al. (2016). The IHU defines the top
250 of the shallow marine Birdrong Sandstone, and the base of the overlying Mardie Greensand
251 Member or Muderong Shale Formation (Fig. 3A) (e.g., Arditto 1993). The Mardie Greensand
252 Member is predominantly composed of highly glauconitic sandstone, deposited in a shelfal
253 marine environment; this unit passes laterally and vertically into the marine Muderong Shale
254 Formation (Thompson et al. 1990).

255

256 **3. Dataset and methodology**

257 **3.1. Data**

258 We analyse a ~165,000 km² grid of publicly available 2D seismic data and twelve 3D
259 reflection seismic datasets (Fig. 2B; see also Supplementary Table 2). Two-dimensional
260 seismic line spacing ranges from ~0.5–10 km, but is typically <5 km. Vertical record length
261 ranges from 3.5–16 s two-way travel-time (TWT). We use publicly available commercial
262 palynology and micropalaeontology reports from 165 onshore and offshore boreholes to
263 constrain stratigraphic ages above and below the breakup-related unconformities, and to
264 investigate the abundance, timing, and distribution of sedimentary reworking related to
265 margin uplift and erosion (Fig. 2B; see also Supplementary Table 3).

266

267 **3.2. Unconformity mapping**

268 We use checkshot data and borehole logs to tie well and seismic reflection data, allowing us
269 to identify and map the IVU and IHU regionally within the 2D and 3D seismic reflection
270 datasets. Where these unconformities were difficult to identify in seismic reflection data, or
271 these data were unavailable, we use boreholes to constrain their stratigraphic context and
272 extent. We do not regionally map the TVU within the seismic reflection data because its

273 corresponding reflection is laterally discontinuous, making it difficult to confidently interpret;
274 we instead define the position of the TVU using borehole data.

275 By using the mapped IVU and IHU horizons, we calculated the intervening stratal
276 thickness of the Zeepaard Formation and Birdrong Sandstone to construct an isochore map.
277 Because the Birdrong Sandstone is consistently 20–30 m thick (Thompson et al. 1990), we
278 use this isochore map to identify major thickness changes in the substantially thicker
279 Zeepaard Formation, allowing us to: (i) locate syn-depositional regions of relatively high and
280 low accommodation, which we can potentially relate to areas of subsidence and uplift,
281 respectively; and (ii) identify where uplift during development of the TVU or IHU may have
282 led to the erosion of the Zeepaard Formation.

283

284 **3.3. Calibration of dinoflagellate and calcareous nannofossil zones**

285 Constraining the exact timing and duration of unconformity generation is often complicated
286 by erosion of stratigraphy at the unconformable contact, which commonly represents a
287 significant time gap (e.g., Miall 2016). Without confidence in age estimates for the
288 unconformities, it is difficult to relate their formation to distinct tectonic and/or magmatic
289 processes (e.g., Huang et al. 2017). The unconformities studied here have previously been
290 correlated to dinoflagellate zone boundaries, but ages attributed to these palynological
291 zonation schemes are poorly calibrated to the global chronostratigraphic timeframe (Fig. 3A)
292 (e.g., Helby et al. 1987; Arditto 1993; Gard et al. 2016). To help constrain the age of
293 unconformity formation we adopt a methodology similar to Gard et al. (2016), and use
294 biostratigraphic data collected every 5 m from the Lightfinger-1 and Nimblefoot-1 boreholes
295 to revise the timing of the *S. areolata* to *M. testudinaria* dinoflagellate zones. We use these
296 boreholes because they intersect Early Cretaceous strata that preserves both dinoflagellate
297 cysts *and* calcareous nannofossils, the global first and last occurrences of which are well-

298 calibrated to the global chronostratigraphic timeframe (e.g., Gard et al. 2016). These well-
299 calibrated calcareous nannofossil ages allow us to tie dinoflagellate zone boundaries to the
300 global chronostratigraphy (Gard et al. 2016).

301

302 **3.4. Unconformity subcrop and supercrop ages**

303 We perform a joint analysis of seismic reflection and borehole data to constrain the ages of
304 the sedimentary section directly above and below the breakup-related unconformities.

305 Specifically, we use revised dinoflagellate zones to assign ages to the strata underlying

306 (subcrop) and overlying (supercrop) the *oldest* breakup unconformity identifiable at each

307 borehole location. For example, where all three breakup-related unconformities (i.e. IVU,

308 TVU, and IHU) are present, we record the age of strata directly above and below the IVU.

309 Where the IVU and TVU are eroded by the IHU, we record the age of strata directly above

310 and below the IHU. Due to limitations in data availability, we focus on the oldest

311 unconformities at each location because subcrop data for these allow us to reconstruct areas

312 of relative uplift (or net-zero subsidence) and related erosion. Our interpreted palynology

313 results for unconformity subcrop and supercrop ages at each well are included in

314 Supplementary Table 2.

315

316 **3.2.4. Reworking of palynomorphs**

317 We investigate geographical changes in sediment source, which can help identify areas of

318 uplift during IVU formation, by examining the reworking of early Valanginian (and earlier)

319 palynomorphs in the Zeepaard Formation (see Reeve et al. 2016). For boreholes where

320 reworking is not explicitly described in the palynology report, we utilise species occurrence

321 charts, in addition to the stratigraphic age range for each species documented by Helby et al.

322 (1987), to assess whether older, reworked palynomorphs are present and, if so, their
323 abundance.

324

325 **4. Results**

326 **4.1. Distribution and structure of breakup-related unconformities**

327 We recognise the IVU and IHU across most of the Gascoyne Margin, and note the IHU
328 extends south onto the Cuvier Margin (Fig. 4). Across the northern sector of our study area
329 the IVU is broadly a disconformity (purple colour in Fig. 4C), i.e. strata above and below are
330 sub-parallel to its surface but there is an age gap between them (e.g., Figs 5A and B). In some
331 places, underlying reflections are truncated by and overlying reflections onlap onto the IVU,
332 particularly where it marks the arcuate, E-W trending clinoform front of the Lower Barrow
333 Group (e.g., Figs 4A, 5A, and B). We also map a narrow (<50 km wide), E-trending zone
334 along the southern extent of the IVU, across part of the Resolution Arch, where the truncation
335 of underlying reflections is common; i.e. here the IVU becomes an angular unconformity
336 (e.g., Figs 4C and 5C). Across these areas, the form of the TVU and IHU mirror the
337 disconformable or angular nature of the underlying IVU (e.g., Figs 4C and 5A-C). In the
338 south of the Exmouth Plateau, the IVU and IHU appear to merge (e.g., grey colour in Fig.
339 4C), but adjacent to the Cape Range Fracture Zone are themselves eroded by younger, post-
340 breakup unconformities (green colour in Fig. 4C). Across the southern portion of the
341 Exmouth Sub-basin, including over the Novara and Ningaloo arches, and Carnarvon Terrace
342 the IHU defines a prominent angular unconformity, eroding into and forming a composite
343 surface with the IVU and TVU (blue colour in Figs 4C and 5D).

344

345 4.2. Constraints on the age of Early Cretaceous unconformities

346 Here we describe the calcareous nannofossil and dinoflagellate occurrences within the strata
347 bounding the breakup unconformities where they are intersected by the Lightfinger-1 and
348 Nimblefoot-1 boreholes (Fig. 6). Using this information we later (section 5.1) recalibrate the
349 ages of dinoflagellate zone boundaries that have previously been used to define the ages of
350 the IVU, TVU, and IHU (e.g., Helby et al. 1987; Arditto 1993).

351 The lowermost calcareous nannofossils in Lightfinger-1 and Nimblefoot-1 that can
352 help constrain the ages of the break-up unconformities are the first occurrences of *Eiffelithus*
353 *striatus* (Fig. 6). In Lightfinger-1, *E. striatus* is first found at ~2655 m depth, within the *S.*
354 *areolata* dinoflagellate zone and above the IVU, whereas in Nimblefoot-1 the first occurrence
355 of *E. striatus* is found at ~2640 m depth within the *E. torynum* zone and below the IVU (Fig.
356 6). In Lightfinger-1, the last occurrence of *Eiffelithus windii* comprises a single palynomorph
357 found at ~2610 m depth, above the IVU and immediately below the TVU (Fig. 6). Between
358 the IVU and TVU in Nimblefoot-1, in the *S. areolata* dinoflagellate zone, the shallowest
359 occurrences of *Crucellipsis cuvillieri* (2630 m) and *Speetonia colligata* (2625 m) are found
360 (Fig. 6).

361 There are no recorded samples from the *S. tabulata* dinoflagellate zone in either
362 borehole (Fig. 6), which is expected to occur above the TVU (e.g., Helby et al. 1987; Arditto
363 1993). However, we note the first *Zeugrhabdotus scutula* and last *E. striatus* calcareous
364 nannofossils are found directly above the TVU in Nimblefoot-1 at ~2615 m depth (Fig. 6). In
365 contrast to Nimblefoot-1, there is an overlap between the occurrence of *Z. scutula* (~2560–
366 2540 m depth) and the last occurrence of *E. striatus* (~2540 m depth), which was found
367 alongside a single specimen of *Lithraphidites bolli*, within the *M. testudinaria* dinoflagellate
368 zone above the IHU in Lightfinger-1 (Fig. 6). The shallowest samples from the *M.*

369 *testudinaria* dinoflagellate zone in Lightfinger-1 occur at ~2525–2530 m and also contain the
370 shallowest occurrence of *C. cuvillieri* (Fig. 6).

371

372 **4.3. Breakup-related sedimentary deposits**

373 To investigate the distribution of uplift during and the sedimentary response to tectonic
374 events during breakup, here we describe results from our analysis of the stratigraphic
375 architecture and palynology of the Zeepaard Formation.

376

377 **4.3.1. Unconformity subcrop**

378 Tithonian-to-Valanginian strata of the Lower Barrow Group occur directly below the IVU, or
379 the IHU where it has eroded the IVU; the exception to this is adjacent to the Australian coast
380 where the subcropping rocks are Carboniferous-to-Upper Jurassic (Figs 3, 5A-C, and 7A).

381 These subcropping Lower Barrow Group rocks typically belong to the *E. torynum*
382 dinoflagellate zone, although in places over the Alpha Arch and particularly towards the
383 coast they are of the older, *Batioladinium reticulatum* or *Dissimulidinium lobispinosum*
384 dinoflagellate zones (Fig. 7A). Beneath the IHU, where it forms an angular unconformity,
385 Lower Barrow Group rocks belonging to the *Pseudoceratium iehiense* dinoflagellate zone
386 occur along an E-trending transect, across the Novara Arch (Fig. 7A). Further south, along
387 the Cape Range Anticline and in two locations within the offshore Carnarvon Terrace,
388 subcrop ages beneath the angular IHU range from Carboniferous-to-Upper Jurassic (Fig. 7A).

389

390 **4.3.2. Unconformity supercrop strata**

391 The Valanginian Zeepaard Formation, or its mudstone-dominated distal equivalent, typically
392 overlies the IVU and corresponds to the *S. areolata* dinoflagellate zone (Figs 3, 5A-C, and
393 7B). Across parts of the Alpha Arch and particularly proximal to the Australian coast, the

394 IVU is overlain by the Birdrong Sandstone Formation (*S. tabulata*-to-*P. burgeri*) or Mardie
395 Greensand (*S. tabulata*-to-*M. testudinaria*), comprising rocks that are younger than the
396 Zeepaard Formation (Fig. 7B). The Birdrong Sandstone Formation and Mardie Greensand, as
397 well as the Muderong Shale, also directly overlie the IHU where it has eroded the IVU and
398 TVU (Fig. 7B). These supercropping rocks are typically attributable to the *M. australis* or *O.*
399 *operculata* dinoflagellate zones (Fig. 7B).

400

401 **4.3.3 Distribution and thickness of the Zeepaard Formation**

402 The main depocentre of the Zeepaard Formation, where it is up to ~300 ms TWT thick, lies
403 on the north-western flank of the Resolution Arch (Fig. 8A). From this main depocentre, the
404 Zeepaard Formation thins westwards to ~25 ms TWT thick across its associated clinoform
405 front, and eastwards to 75–150 ms in the Barrow Sub-basin (Fig. 8A). North of the Lower
406 Barrow Group clinoform front, the distal equivalent of the Zeepaard Formation thickens to
407 ~150–200 ms TWT (Fig. 8A). The Zeepaard Formation is absent across most of the Novara
408 Arch and areas further south (Fig. 8A).

409

410 **4.3.4. Palynology of the Zeepaard Formation**

411 The Zeepaard Formation contains reworked Cretaceous, Jurassic, Triassic, and Permian
412 palynomorphs (Fig. 8B). In some of its distal areas, adjacent to its clinoform front, the
413 Zeepaard Formation contains only reworked Jurassic and Cretaceous palynomorphs (e.g.,
414 Spar-1, East Spar-1; Fig. 8B). North of its clinoform front, the Zeepaard Formation does not
415 contain reworked palynomorphs (e.g., Mentorc-1, Satyr-1; Fig. 8B). Carboniferous or older
416 reworking is scarce and only recorded in the York-1 and Woollybutt-3A boreholes (Fig. 8B).
417 We do not observe evidence of palynomorph reworking in several wells on the Alpha Arch
418 (i.e. Minden-1, Johnson-1, Bowers-1, and Nimrod-1; Fig. 8B).

419

420 **5. Discussion**

421 **5.1. Timing of unconformity development and relationships to tectonic events**

422 To help correlate unconformity development to discrete breakup-related events and
423 processes, we recalibrate the local dinoflagellate palynomorph record that has previously
424 been used to constrain the age of the IVU, TVU, and IHU (e.g., Helby et al. 1987; Arditto
425 1993). In particular, we tie palynomorph distribution to occurrences of calcareous
426 nannofossils, which have globally robust age assignments (Gard et al. 2016). Here, we
427 discuss how our recalibrated unconformity ages inform the breakup history of the Gascoyne
428 and Cuvier margins.

429

430 **5.1.1. IVU age and geodynamic significance**

431 The IVU corresponds to the boundary between the *E. torynum*–*S. areolata* dinoflagellate
432 zones, and has previously been interpreted to have formed in the Early Valanginian (138.2
433 Ma) *during* continental breakup and seafloor spreading (Fig. 3A) (e.g., Helby et al. 1987;
434 Arditto 1993; Romine & Durrant 1996; Paumard et al. 2018). We show that strata below the
435 IVU in Nimblefoot-1 contain *E. striatus* calcareous nannofossils (Fig. 6), which globally first
436 appeared at 134.98 Ma and disappeared at 132.89 Ma (Gard et al. 2016); i.e. the IVU formed
437 *after* 134.98 Ma. We note *E. striatus* nannofossils in Lightfinger-1 only occur *above* the IVU,
438 in contrast to Nimblefoot-1 (Fig. 6), implying these do not record the global first occurrence
439 of this species. The presence of these calcareous nannofossils indicate their host sedimentary
440 rocks, located above and below the IVU, were deposited between 134.98–132.89 Ma (cf.
441 Helby et al. 1987). Our borehole data also reveal the last occurrence of *E. windii* within
442 Lightfinger-1 is ~60 m above the IVU, which indicates the unconformity formed, and at least
443 part of the overlying Zeepaard Formation had been deposited, before the last global

444 appearance of this calcareous nannofossil at 133.74 Ma (Fig. 6) (e.g., Gard et al. 2016).
445 These distributions of *E. striatus* and *E. windii* calcareous nannofossils indicate the IVU
446 formed in the Late Valanginian after 134.98 Ma and some time before 133.74 Ma, more
447 recently than the previously proposed 138.2 Ma (Fig. 9) (cf. Helby et al. 1987). Formation of
448 the IVU before 133.74 Ma is supported by the presence of *C. cuvillieri* and *Speetonia*
449 *colligata* calcareous nannofossils, which globally last occurred at 132.88 Ma and 132.6 Ma
450 respectively, between it and the TVU in Nimblefoot-1 (Fig. 6) (Reeve 2017). Development of
451 the IVU between 134.98–133.74 Ma is also consistent with biostratigraphic constraints on its
452 timing from the North Scarborough-1 borehole, supporting the recalibration of the *S. areolata*
453 dinoflagellate zone as latest Valanginian-to-earliest Hauterivian (Fig. 9) (Gard et al. 2016).

454 A maximum age range of 134.98–133.74 Ma for IVU development indicates it
455 formed synchronously to chrons M10N and M10 (135.9–133.6 Ma) within the Gascoyne
456 Margin COTZ and Cuvier Abyssal Plain (Figs 2B, 9, and 10A) (e.g., Robb et al. 2005). If the
457 Cuvier Abyssal Plain corresponds to a COTZ, similar to the Gascoyne Margin COTZ, the
458 overlap in magnetic chron and IVU ages indicates uplift and unconformity development
459 occurred *before* the breakup of both margins in the Hauterivian at ~131 Ma (Figs 9 and 10A)
460 (e.g., Robb et al. 2005; Direen et al. 2008; Reeve et al. 2021). Conversely, if the Cuvier
461 Abyssal Plain comprises \lesssim 136 Myr old oceanic crust (e.g., Falvey & Veevers 1974; Larson
462 et al. 1979; Hopper et al. 1992), an age range of 134.98–133.74 Ma for the IVU indicates it
463 formed: (i) *after* continental breakup of the Cuvier Margin and *during* seafloor spreading; and
464 (ii) *before* continental breakup of the Gascoyne Margin at ~131 Ma (Figs 9 and 10A).
465 Regardless of the nature of the Cuvier Abyssal Plain, our age recalibration indicates the IVU
466 did not coincide with continental breakup, i.e. full rupture of continental lithosphere (Figs 9
467 and 10A) (cf. Helby et al. 1987; Arditto 1993; Romine & Durrant 1996; Paumard et al.
468 2018).

469

470 **5.1.2. TVU age and geodynamic significance**

471 The TVU corresponds to the boundary between the *S. areolata*–*S. tabulata* dinoflagellate
472 zones, and has previously been interpreted to have either formed in the Valanginian at 134.9
473 Ma (e.g., Helby et al. 1987; Arditto 1993) or between 134.32–133.29 Ma (Fig. 3A) (Gard et
474 al. 2016). The recovery of *E. windii* immediately below the TVU in Lightfinger-1 suggests
475 the unconformity could be younger than 133.74 Ma, but only if the presence of this
476 calcareous nannofossil corresponds to its last global occurrence (Fig. 6) (e.g., Gard et al.
477 2016). Similarly, the presence of *C. cuvillieri* and *Speetonia colligata* calcareous
478 nannofossils, below the TVU in Nimblefoot-1 suggests the unconformity could be younger
479 132.88–132.6 Ma, but only if these specimens correspond to their last global occurrence (Fig.
480 6) (Reeve 2017). However, we note the presence of *E. striatus*, which globally last appeared
481 at 132.89 Ma (e.g., Gard et al. 2016), immediately above the TVU within Nimblefoot-1,
482 indicating the unconformity is older than 132.89 Ma (Figs 3B, 7, and 10); i.e. the *C. cuvillieri*
483 and *Speetonia colligata* calcareous nannofossils do not correspond to their last global
484 occurrence. Constraining the onset and duration of TVU development further is difficult
485 because there are no recognised occurrences of *S. tabulata* palynomorphs within Lightfinger-
486 1 or Nimblefoot-1 (Fig. 7), which would be expected to occur in strata immediately above the
487 unconformity (Figs 2A and 7) (e.g., Helby et al. 1987; Arditto 1993). This lack of *S. tabulata*
488 occurrences may be because the strata hosting the palynomorphs are highly condensed at
489 these borehole locations, so could have been missed by sampling at 5 m intervals. Previous
490 studies from the Barrow Sub-basin have noted that the *S. tabulata* zone is highly facies
491 dependent and therefore may not be recorded in the Exmouth Plateau due to
492 palaeoenvironmental controls (e.g., Goodall 1999). Considering our recalibrated maximum
493 age of the IVU is 134.98 Ma and given that the Zeepaard Formation was deposited between

494 the IVU and TVU, our results indicate the TVU is younger than 134.98 Ma (Fig. 9) (cf.
495 Helby et al. 1987). We thus suggest the TVU likely formed between ~134–133 Ma,
496 dependent on when the IVU formed and how long it lasted, broadly consistent with
497 dinoflagellate occurrences in the North Scarborough-1 borehole that suggest the *S. areolata*–
498 *S. tabulata* zone boundary occurred at 133.29 Ma (Fig. 9) (Gard et al. 2016).

499 The potential formation of the TVU at ~134–133 Ma overlaps with chrons M10–M9
500 (~134.2–133 Ma; Figs 2B, 9, and 10A) (e.g., Robb et al. 2005). If the Cuvier Abyssal Plain
501 corresponds to a COTZ, similar to the Gascoyne Margin COTZ, the overlap in ages of chrons
502 M10, M9, and the TVU indicate uplift and unconformity development occurred *before*
503 continental breakup of both margins in the Hauterivian at ~131 Ma (Figs 9 and 10A) (e.g.,
504 Robb et al. 2005; Direen et al. 2008). Conversely, if the Cuvier Abyssal Plain comprises
505 \leq 136 Myr old oceanic crust (e.g., Falvey & Veevers 1974; Larson et al. 1979; Hopper et al.
506 1992; Reeve et al. 2021), an age range of ~134–133 Ma for the TVU indicates it formed: (i)
507 *after* continental breakup of the Cuvier Margin and *during* seafloor spreading; and (ii) *before*
508 continental breakup of the Gascoyne Margin at ~131 Ma (Figs 9 and 10A).

509

510 **5.1.3. IHU age and geodynamic significance**

511 The IHU corresponds to the boundary between the *P. burgeri*–*M. testudinaria* dinoflagellate
512 zones, and has previously been interpreted to have formed in the Hauterivian at ~132.5 Ma
513 (Fig. 3A) (e.g., Helby et al. 1987; Mutterlose 1992). This inferred age of ~132.5 Ma is
514 consistent with the coincidence between the first (~132.5 Ma) and last (132.89 Ma)
515 occurrences of *Z. scutula* and *E. striatus*, respectively, in the *P. burgeri* dinoflagellate zone of
516 Nimblefoot-1 ~30 m below the IHU (Fig. 7); these calcareous nannofossil occurrences
517 suggest the IHU is younger than 132.5 Ma (Fig. 9). We note *E. striatus* and *C. cuvillieri*
518 calcareous nannofossils are found *above* the IHU in Lightfinger-1, which both last appeared

519 globally at ~132.9 Ma, and would imply the unconformity is older than the previously
520 inferred age of 132.5 Ma (Fig. 7). However, we suggest these *E. striatus* and *C. cuvillieri*
521 calcareous nannofossils have been reworked following erosion of older strata; i.e. the
522 Lightfinger-1 data do not necessarily contradict an IHU age of \lesssim 132.5 Ma. An age of 132.5
523 Ma for the IVU is also supported by the single specimen of *L. bolli*, which has a global range
524 of 133.5–131.5 Ma, in the *M. testudinaria* dinoflagellate zone of Lightfinger-1 above the
525 IVU (Fig. 6) (Reeve 2017). Within the North Scarborough-1 borehole, Gard et al. (2016)
526 dated an unnamed unconformity to 133.29–132.96 Ma, based on the last occurrence of
527 *Crucibiscutum salebrosum* (132.96 Ma) above the last occurrence of *Stradnerlithus*
528 *silvaradius* (133.29 Ma) in strata between depths of 1750–1760 m (Fig. 9) (Gard et al. 2016).
529 We re-interpret the North Scarborough-1 palynological data and highlight that the ~133 Myr
530 old strata intersected between depths of 1750–1760 m, which hosts the inferred unnamed
531 unconformity, is overlain by rocks belonging to the *M. australis* dinoflagellate zone and are
532 ~131–129 Ma (Hauterivian-to-Barremian) (Fig. 9) (Gard et al. 2016). We therefore interpret
533 the unnamed unconformity in North Scarborough-1 is actually located above the last
534 occurrence of *C. salebrosum* (132.96 Ma) in the *S. tabulata* dinoflagellate zone, immediately
535 below the ~131–129 Ma *M. australis* dinoflagellate zone, and in fact is the IHU (cf. Gard et
536 al. 2016). Where the IHU forms an angular unconformity across the southern Exmouth Sub-
537 basin and Cuvier Margin, overlying strata also correspond to the *M. australis* dinoflagellate
538 zone (Figs 5D and 7B). In summary, we suggest the IHU likely formed in the Hauterivian at
539 some time between ~132.5–131 Ma (cf. Helby et al. 1987; Mutterlose 1992).

540 If our interpretation is correct, the formation of the IHU at ~132.5–131 Ma overlaps
541 with chrons M7–M5n (~132.5–130.6 Ma; Figs 2B, 9, and 10A) (e.g., Robb et al. 2005). If the
542 Cuvier Abyssal Plain corresponds to a COTZ, similar to the Gascoyne Margin COTZ, the
543 overlap in ages of chrons M7–M5n and the IHU indicate uplift and unconformity

544 development likely occurred immediately *before* or *during* continental breakup of both
545 margins in the Hauterivian at ~131 Ma (Figs 9 and 10A) (e.g., Robb et al. 2005; Direen et al.
546 2008; Reeve et al. 2021). Conversely, if the Cuvier Abyssal Plain comprises \lesssim 136 Myr old
547 oceanic crust (e.g., Falvey & Veevers 1974; Larson et al. 1979; Hopper et al. 1992), an age
548 range of ~132.5–131 Ma for the IHU indicates it formed: (i) *after* continental breakup of the
549 Cuvier Margin and *during* seafloor spreading, broadly coincident with ridge jumps from the
550 Sonne Ridge to the Sonja Ridge, and onto a spreading centre near Greater India (Robb et al.
551 2005); and (ii) immediately *before* or *during* continental breakup of the Gascoyne Margin at
552 ~131 Ma (Figs 9 and 10A).

553

554 **5.2. Uplift distribution during unconformity formation**

555 Calibrating the timing of unconformity development is critical to interpreting how they relate
556 temporally to continental breakup, but does not permit unambiguous constraint of the actual
557 mechanisms driving their formation. Here, we discuss how the distribution of uplift, erosion,
558 and non-deposition during IVU and IHU development spatially relates to the
559 contemporaneous breakup events identified above (Fig. 10). Specifically, we use the seismic
560 character of the unconformities, the age of sub- and supercropping strata, and the distribution
561 of palynomorph reworking to map areas of uplift and erosion.

562 Across most of the Exmouth Plateau, northern Exmouth Sub-basin, and Barrow Sub-
563 basin extent, the IVU and IHU appear as disconformities (Figs 4C and 5A-B); where the
564 intervening TVU is recognised in seismic reflection data, its character mirrors that of the
565 underlying IVU (e.g., Fig. 5C). Strata beneath the IVU, which corresponds to the Valanginian
566 *E. torynum*–*S. areolata* dinoflagellate zone boundary, typically belong to the Lower Barrow
567 Group and Valanginian *E. torynum*, or occasionally the Berriasian-to-Valanginian *B.*
568 *reticulatum*, dinoflagellate zone (Fig. 7A). These occurrences of *E. torynum* and *B.*

569 *reticulatum* dinoflagellate zone subcrop ages indicate IVU development here involved little
570 or no uplift and erosion; i.e. it marks a period of non-deposition. Strata above the IVU
571 typically correspond to the Zeepaard Formation and Valanginian-to-Hauterivian *S. areolata*
572 dinoflagellate zone, indicating the duration of unconformity formation was relatively short
573 (Fig. 7B). Close to the Australian coast along the eastern portion of the Barrow Sub-basin and
574 the Peedamullah Shelf, the IVU appears to overlie Permian-to-Jurassic strata and itself is
575 overlain by rocks belonging to the Zeepaard Formation to Muderong Shale, particularly
576 where it merges with older unconformities (Fig. 7). These variations in subcrop and
577 supercrop ages of strata relative to the IVU in the Barrow Sub-basin and Peedamullah Shelf
578 (Fig. 7) likely reflect the erosional and depositional history of such shallow marine settings,
579 rather than the dynamics of unconformity formation.

580 Broadly southwards of the intersection between the Resolution and Novara arches, the
581 IVU and IHU become angular unconformities (Figs 4C and 5C-D). For example, the IVU is
582 recognised in seismic reflection data as an angular unconformity across an E-trending belt
583 parallel to and >20 km north of the Ningaloo Arch (Figs 4C and 5C). Sparse well data in this
584 area reveal IVU sub-crop ages range from Upper Jurassic to the Berriasian-to-Valanginian *B.*
585 *reticulatum* dinoflagellate zone of the Lower Barrow Group, indicating the degree of erosion
586 was spatially variable (Fig. 7A). South of this zone, the IHU, which likely corresponds to the
587 Hauterivian *P. burgeri*–*M. testudinaria* dinoflagellate zone boundary, erodes into the
588 Tithonian-to-Valanginian Lower Barrow Group over the Novara Arch and Triassic-to-
589 Jurassic strata across the Carnarvon Terrace (Fig. 7A); from these data we thus cannot
590 ascertain whether the underlying IVU originally extended further south (Fig. 10A). Strata
591 above the IHU, where it corresponds to an angular unconformity, belong to the Hauterivian-
592 to-Barremian *M. australis* or *O. operculata* dinoflagellate zones (Fig. 7B). These supercrop
593 data indicate strata from the Hauterivian *M. testudinaria* dinoflagellate zone, which directly

594 overlie the IHU to the north (e.g., in Lightfinger-1 and Nimblefoot-1), are missing across this
595 southern area. Our seismic reflection mapping and analysis of sub- and supercrop ages
596 suggests the onset of IHU formation occurred simultaneously across the study area, but only
597 involved significant uplift and erosion south of the intersection between the Resolution and
598 Novara arches; i.e. north of this area the IHU marks a period of non-deposition (Fig. 10B).
599 We also show deposition onto the IHU resumed in the Hauterivian (*M. testudinaria*
600 dinoflagellate zone) across most of the Exmouth Plateau, northern Exmouth Sub-basin, and
601 Barrow Sub-basin, but to the south deposition resumed later in the Late Hauterivian-to-
602 Barremian (*M. australis* dinoflagellate zone) (Fig. 7B). We cannot determine whether this
603 diachroneity in the resumption of deposition indicates uplift and erosion in the southern half
604 of our study area was maintained throughout the time gap represented by the IHU, or whether
605 there was a lag between the end of uplift and the onset of deposition.

606 In addition to delimiting sub- and supercrop ages, high-resolution spatial and
607 temporal constraints on uplift distribution are preserved in the provenance of reworked strata,
608 if erosion of uplifted areas produces sedimentary deposits containing diagnostic
609 compositional and microfossil assemblages (e.g., Reeve et al. 2016). The Zeepaard
610 Formation clinofolds were deposited onto the IVU, prograded northwards, and were sourced
611 from rocks hosting Early Cretaceous (Lower Barrow Group), Jurassic, Triassic, and Permian
612 palynomorphs (Fig. 8). Compared to the underlying, pervasively reworked Lower Barrow
613 Group, the degree of reworking in the Zeepaard Formation is less (e.g., Reeve et al. 2016),
614 implying the two stratal units may have had different source areas; i.e. the formation of the
615 IVU may have coincided with a change in regional uplift, erosion, and/or sediment dispersal
616 patterns. Reeve et al. (2016) attributed prominent reworking of Permian and Triassic
617 palynomorphs in the Lower Barrow Group to pre-breakup uplift of the South Carnarvon
618 Basin. Based on the decrease in reworking abundance at the base of the Zeepaard Formation,

619 we interpret that: (i) the rate of uplift of the South Carnarvon Basin, and thus erosion of
620 Permian and Triassic strata, decreased during or immediately after IVU formation; and (ii)
621 the Zeepaard Formation likely formed by recycling of Lower Cretaceous Lower Barrow
622 Group, which contained previously reworked Jurassic–Permian palynomorphs (e.g., Reeve et
623 al. 2016).

624 Overall, the localised angular character of the IVU, coupled with the areal coverage of
625 and palynomorph distribution within the northwards-prograding Zeepaard Formation,
626 suggests: (i) little or no uplift occurred across most of the Exmouth Plateau, northern
627 Exmouth Sub-basin, and Barrow Sub-basin; (ii) uplift occurred at and south of the Novara
628 Arch and southern half of the Resolution Arch; and (iii) erosion of the uplifted Lower Barrow
629 Group and its correlative strata to the south provided material for the Zeepaard Formation
630 (Fig. 10A). Our interpretation of uplift distribution during IVU development supports
631 previous suggestions that formation and erosion of the Ningaloo Arch sourced the Zeepaard
632 Formation (Fig. 10A) (Tindale et al. 1998). The Resolution and Novara arches have
633 previously been linked to Santonian-to-Oligocene inversion (Tindale et al. 1998), but their
634 apparent role in the formation of the IVU suggests they may have initially formed in the
635 Valanginian and were later reactivated (Fig. 10A). The distribution of uplift, erosion, and
636 non-deposition during IHU formation seems to mirror that of the IVU (Fig. 10B).

637

638 **5.3. Possible mechanisms of breakup unconformity development**

639 Breakup unconformities are typically considered to develop *during* continental breakup and
640 the onset of seafloor spreading, in response to uplift driven by mantle upwelling, depth-
641 dependent extension, and/or isostatic rebound (e.g., Falvey 1974; Cochran 1983; White &
642 McKenzie 1988; Braun & Beaumont 1989; Issler et al. 1989; Morley 2016). Having
643 calibrated the ages of unconformity development, which allow us to identify

644 contemporaneous tectonic events, we can use our interpreted uplift distributions to explore
645 possible mechanisms driving their formation (e.g., Gong et al. 2019).

646 Our recalibrated ages suggest the IVU (134.98–133.74 Ma) developed coincident to
647 the generation of chrons M10N and M10 (135.9–133.6 Ma), during early formation of the
648 Gascoyne Margin COTZ and the Cuvier Abyssal Plain (Figs 9 and 10A). Regardless of
649 whether the Cuvier Abyssal Plain comprises oceanic crust or marks a COTZ (see Reeve et al.
650 2021 and references therein), our results indicate the IVU coincided with: (i) the localisation
651 of extension along narrow continental rift zones (Gascoyne and possibly Cuvier margin),
652 which can become COTZs (e.g., as inferred by Bridges et al. 2012 in the onshore Gulf of
653 Aden rift, Ethiopia), and perhaps a seafloor spreading centre within the Cuvier Abyssal Plain;
654 and (ii) an increase in magmatism focused along the axis of extension, which produced the
655 igneous rocks that carry the magnetic chron signature (e.g., as inferred by Collier et al. 2017
656 along the South Atlantic rifted margin). Similar migration (from inboard to outboard
657 positions) and localisation of extension through time has been recognised from both active
658 rifts and ancient rifted margins, where such narrow zones of extension play an important role
659 in the late-stages of rifting and transition to seafloor spreading (e.g., Ebinger & Casey 2001;
660 Geoffroy 2005; Corti 2009; Bastow & Keir 2011; Bastow et al. 2018; Peron-Pinvidic et al.
661 2019; Pérez-Gussinyé et al. 2020).

662 The presence of seaward-dipping reflector (SDR) lava sequences observed across
663 chrons M10N and M10 in both the Gascoyne Margin COTZ and Cuvier Abyssal Plain
664 (Direen et al. 2008; Reeve et al. 2021), indicates that as crust moved away from the elevated
665 extension axis it subsided and created space for SDR emplacement (Fig. 10C) (e.g., Corti et
666 al. 2015; Buck 2017; Paton et al. 2017). Our analysis shows uplift and erosion was focused
667 along the continental Cuvier Margin during the subsidence and drift of the Gascoyne Margin
668 COTZ and Cuvier Abyssal Plain from the extension axis (Fig. 10A); we lack sufficient data

669 to determine whether uplift also occurred along the distal Gascoyne Margin adjacent to its
670 COTZ (Fig. 10A). We thus suggest unconformity development likely reflects localised rift
671 flank uplift (Pérez-Gussinyé et al. 2020), perhaps driven by depth-dependent extension
672 following strain localisation along rift zones in the Gascoyne Margin COTZ and Cuvier
673 Abyssal Plain; i.e. lower crustal and/or lithospheric material flowed landward away from rift
674 axis and subsiding COTZ to under the rift flanks (Fig. 10C) (e.g., Huisman & Beaumont
675 2011). Such transient depth-dependent extension could have: (i) been instigated by intrusion-
676 induced heating of the crust (Daniels et al. 2014); and (ii) produced the observed uplift
677 patterns recorded by and captured in the distribution of the IVU, by inducing margin-wide
678 uplift and unconformity development, peaking along the Exmouth Plateau, Exmouth Sub-
679 basin, and Carnarvon Terrace areas (e.g., the Novara Arch). Models involving depth-
680 dependent extension have previously been proposed to explain the architecture and
681 subsidence history of the Gascoyne Margin (Stagg & Colwell 1994; Driscoll & Karner 1998;
682 Frey et al. 1998; Stagg et al. 2004; Huisman & Beaumont 2011; Reeve et al. 2016). Small-
683 scale mantle convection generated at the boundary between the thicker Gascoyne lithosphere
684 and the thinner Cuvier lithosphere may also have contributed to uplift of the Cuvier Margin
685 (e.g., Müller et al. 2002).

686 Our recalibrated age of ~134–133 Ma for the TVU suggests it formed during the
687 COTZ development of the Gascoyne Margin, and seafloor spreading or COTZ development
688 of the Cuvier Abyssal Plain (Fig. 9). We lack constraints on uplift distribution during the time
689 at which the TVU formed, but we suggest its development may have been related to the
690 generation of new magmatic crust along sub-aerial, or perhaps shallow-marine, spreading
691 ridges in the COTZ(s) (Collier et al. 2017; Paton et al. 2017; McDermott et al. 2018).

692 Our recalibrated ages suggest the IHU (~132.5–131 Ma) probably developed
693 simultaneously to the formation of chrons M7–M5n (~132.5–130.6 Ma), likely coinciding

694 with the onset of full continental lithosphere rupture along the Gascoyne Margin, and perhaps
695 the Cuvier Margin (Figs 2B, 9, and 10A). The IHU therefore seems to best fit the classic
696 interpretation of a breakup unconformity as forming at the onset of seafloor spreading (e.g.,
697 Falvey 1974; Soares et al. 2012). We note that the distribution of disconformable and angular
698 portions of the IHU broadly mirror those of the IVU, suggesting uplift was again focused
699 along the rift flanks within the continental margins in response to depth-dependent extension
700 (Fig. 10C).

701 Overall, our work supports previous findings that continental breakup processes are
702 variable in time and space, and can involve multiple episodes of uplift and unconformity
703 development (e.g., Soares et al. 2012; Alves & Cunha 2018; Gong et al. 2019; Monteleone et
704 al. 2019; Xie et al. 2019). We also demonstrate that migration of rift axes probably plays an
705 important role in controlling the occurrence, distribution, and magnitude of breakup
706 unconformities (Pérez-Gussinyé et al. 2020). Stratigraphic successions on continental rifted
707 margins provide a critical record of these complex breakup processes, but unlocking these
708 archives can be difficult and requires integrating geological and geophysical analyses (e.g.,
709 Soares et al. 2012; Gong et al. 2019; Monteleone et al. 2019; Peron-Pinvidic et al. 2019;
710 Pérez-Gussinyé et al. 2020).

711

712 **6. Conclusions**

713 Breakup unconformities are common features observed along rifted margins and are typically
714 assumed to occur at the onset of seafloor spreading, perhaps in response to uplift driven by
715 asthenospheric upwelling, isostatic rebound, and or depth-dependent extension. Using an
716 integrated geological and geophysical approach, we present a regional-scale interpretation of
717 the stratigraphic expression of continental breakup in the North Carnarvon Basin, offshore
718 NW Australia, and its implications for margin evolution. During the breakup of the Gascoyne

719 and Cuvier margins NW Australia, three unconformities developed over ~4 Myr, rather than
720 a single ‘breakup unconformity’ *sensu stricto*. Our recalibration of high-resolution
721 biostratigraphic data constrain the timing of these unconformities and allows us to relate their
722 genesis to the tectonic record preserved in the magnetic stripes of adjacent continent-ocean
723 transition zones (COTZs) and oceanic crust. We find that: (i) the Intra-Valanginian
724 Unconformity developed between 134.98–133.74 Ma, not at 138.2 Ma as previously
725 suggested, broadly coincident with localisation of strain to magma-rich, narrow rift zones
726 during continent-ocean transition, and possibly seafloor spreading; (ii) the Top Valanginian
727 Unconformity, which likely formed at ~134–133 Ma, perhaps in response to sub-aerial
728 magmatic spreading within COTZs; and (iii) the Intra-Hauterivian Unconformity probably
729 formed between at ~132.5–131 Ma during the onset of full lithospheric rupture of the
730 Gascoyne, and perhaps Cuvier, margins. By mapping unconformity subcrop and supercrop
731 ages, coupled with examining thickness variations and palynomorph reworking within the
732 inter-unconformity Zeepaard Formation, we demonstrate uplift and erosion was focused
733 along the continental Cuvier Margin, adjacent to its COTZ. The unconformities across most
734 of the Gascoyne Margin are disconformable and likely reflect non-deposition rather than
735 uplift and erosion. We speculate that localisation of uplift occurred along the rift flanks due
736 to periodic depth-dependent extension during COTZ, and perhaps oceanic crust, formation.
737 Our work shows that the ‘breakup unconformity’ is not necessarily a single, simple
738 stratigraphic surface related to the onset of oceanic crust formation, but may instead be
739 represented by multiple unconformities reflecting a complex history of uplift and subsidence
740 during the transition from continental rifting to seafloor spreading.

741

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747 of this manuscript.

748

749 **Data Availability**

750 Seismic reflection and well data used in this study are available from the WAPIMS
751 (<https://wapims.dmp.wa.gov.au/wapims/>) and NOPIMS (<https://www.nopims.gov.au/>) data
752 repositories.

753

754 **Figure captions**

755 Figure 1: Map showing global distribution of breakup unconformity locations from previous
756 studies. Topography and bathymetry are from ETOPO1 Global Relief Model (Amante &
757 Eakins 2009). For details of references used for each breakup unconformity location, see
758 Supplementary Table 1.

759

760 Figure 2: (A) Map of the North and South Carnarvon basins highlighting principal tectonic
761 elements, including: ExP = Exmouth Plateau, ExSB = Exmouth Sub-basin, CT = Carnarvon
762 Terrace, BSB = Barrow Sub-basin, DSB = Dampier Sub-basin, PS = Peedamullah Shelf, PB
763 = Perth Basin, WS = Wallaby Saddle, WP = Wallaby Plateau, CAP = Cuvier Abyssal Plain,
764 GAP = Gascoyne Abyssal Plain, SR = Sonne Ridge, SjR = Sonja Ridge, CRFZ = Cape
765 Range Fracture Zone, WZFZ = Wallaby-Zenith Fracture Zone. The location of the
766 Resolution Arch (RA), Exmouth Plateau Arch (EA), Alpha Arch (AA), Novara Arch (NA),

767 and Ningaloo Arch (*NiA*) are also shown. Elevation data are based on the 2009 Australian
768 Bathymetry and Topography grid (Geoscience Australia). Inset: Location map of the North
769 Carnarvon Basin (NCB) relative to Australia and the Gascoyne and Cuvier margins. (B) Map
770 showing extent of 2D and 3D seismic reflection data coverage and locations of boreholes
771 used in this study. Total magnetic intensity grid (EMAG2v2) also shown with interpreted
772 magnetic chrons (based on Robb et al. 2005). See Supplementary Figure S1 for an
773 uninterpreted version.

774

775 Figure 3: (A) Stratigraphic column for the Exmouth Plateau, Exmouth Sub-basin and Cuvier
776 Margin summarising the age, dominant lithology, and generalised depositional environment
777 for key units (after Hocking et al. 1987; Hocking 1992; Arditto 1993; Partington et al. 2003).
778 Dinoflagellate zone schemes from Helby et al. (1987) and Gard et al. (2016) highlighting
779 their implications for unconformity timing; grey areas encompass the possible age of
780 respective dinoflagellate zone boundaries. Numerical ages and geomagnetic polarity also
781 shown (Gradstein et al. 2012; Cohen et al. 2013; updated). Key tectonics events shown for
782 comparison; two scenarios for the Cuvier Abyssal Plain (CAP) are included where it is either
783 oceanic crust of a continent-ocean transition zone (COTZ) (see Reeve et al. 2021 and
784 references therein). (B) Uninterpreted and interpreted seismic section, showing generalised
785 stratigraphic architecture of the Exmouth Plateau and Exmouth Sub-basin. See Figure 2B for
786 location and Figure 3A for key.

787

788 Figure 4: (A) Two-way time structure map of the Intra-Valanginian Unconformity (IVU)
789 seismic horizon. (B) Two-way time structure map of the Intra-Hauterivian Unconformity
790 (IHU) seismic horizon. (C) Map showing the interpreted structural configuration of the Intra-
791 Valanginian and Intra-Hauterivian unconformities.

792

793 Figure 5: (A) Uninterpreted and interpreted zoomed-in seismic section focusing on the IVU
794 and IHU Figure 3B. See Figure 3B for key. (B) Uninterpreted and interpreted seismic section
795 from the southern Exmouth Plateau, showing the relationship of the IVU and IHU to the
796 Lower Barrow Group and overlying stratigraphy. See Figure 4 for location and Figure 3B for
797 key. (C) Uninterpreted and interpreted seismic section from the Novara Arch area, showing
798 the relationship between Early Cretaceous unconformities and breakup-related compressional
799 structures See Figure 4 for location and Figure 3B for key. (D) Uninterpreted and interpreted
800 seismic section from the Carnarvon Terrace showing the structural style of the Intra-
801 Hauterivian Unconformity and underlying stratigraphy. See Figure 4 for location and Figure
802 3B for key.

803

804 Figure 6: Recorded dinoflagellate zones, gamma ray logs and key calcareous nannofossil
805 first/last occurrences in the Lightfinger-1 and Nimblefoot-1 wells. Depth values are measured
806 depth with respect to well rotary table. Inset: Location map of boreholes within the Glencoe
807 3D survey (see Fig. 2B for survey location).

808

809 Figure 7: (A) Map showing the youngest recorded stratigraphic ages beneath the breakup
810 unconformity (subcrop) in wells from the onshore and offshore North and South Carnarvon
811 Basins, based on palynology reports. The location of the Resolution Arch (*RA*), Exmouth
812 Plateau Arch (*EA*), Alpha Arch (*AA*), Novara Arch (*NA*), and Ningaloo Arch (*NiA*) are also
813 shown. (B) Map showing the oldest recorded dinoflagellate zones and formation above the
814 breakup unconformity (supercrop) in wells from the onshore and offshore North and South
815 Carnarvon Basins, based on palynology reports.

816

817 Figure 8: (A) Vertical two-way time thickness map of the Zeepaard and Birdrong Formations
818 based on seismic interpretation. (B) Map showing well locations from the Exmouth Plateau
819 and Exmouth and Barrow Sub-basins where Early Cretaceous, Jurassic, Triassic, Permian
820 and Carboniferous age palynomorphs are recorded within the Zeepaard Formation.

821

822 Figure 9: Comparison of previously published dinoflagellate zone ages of Helby et al. (1987)
823 and Gard et al. (2016) to our recalibrated dinoflagellate zone ages. In the North Scarborough-
824 1 borehole, Gard et al. (2016) defined an unnamed unconformity (?), which we interpret as
825 being the IHU. Numerical ages and magnetic polarity chrons (Gradstein et al. 2012; Cohen et
826 al. 2013; updated), in addition to generalised tectono-magmatic evolution of the Gascoyne
827 and Cuvier Abyssal Plains also shown; two scenarios for the Cuvier Abyssal Plain (CAP) are
828 included where it is either oceanic crust of a continent-ocean transition zone (COTZ) (see
829 Reeve et al. 2021 and references therein).

830

831 Figure 10: (A and B) Schematic palaeogeographic reconstructions showing the development
832 of the IVU and IHU, and areas of associated uplift, with respect to formation of the Gascoyne
833 Margin COTZ and the Cuvier Abyssal Plain (after Reeve et al. 2021). (C) Schematic section
834 showing how magma-dominated extension along a rift axis or spreading centre could
835 promote lower crustal and/or lithospheric mantle to flow and accumulate beneath the
836 continental margin rift flank, causing it to uplift. SDRs = seaward-dipping reflectors. Possible
837 line locations shown in (A).

838

839 **10. References**

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Figure 1

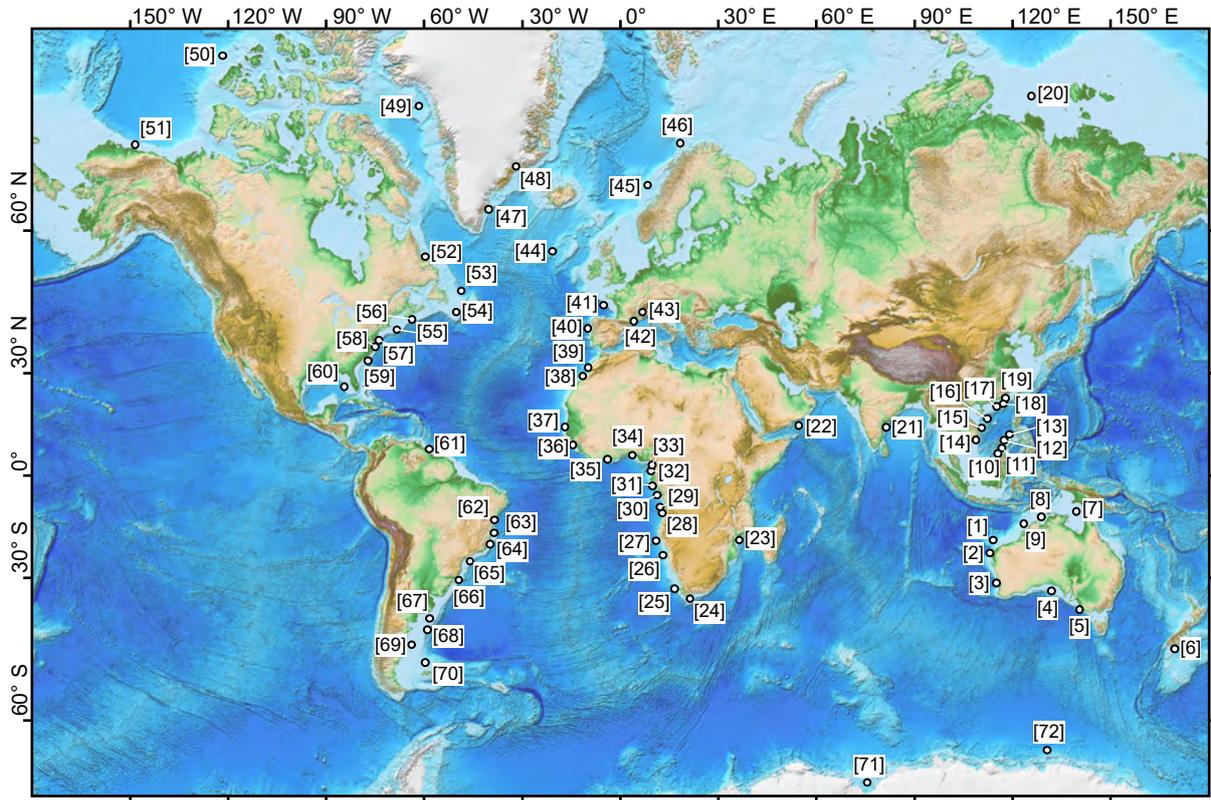


Figure 2

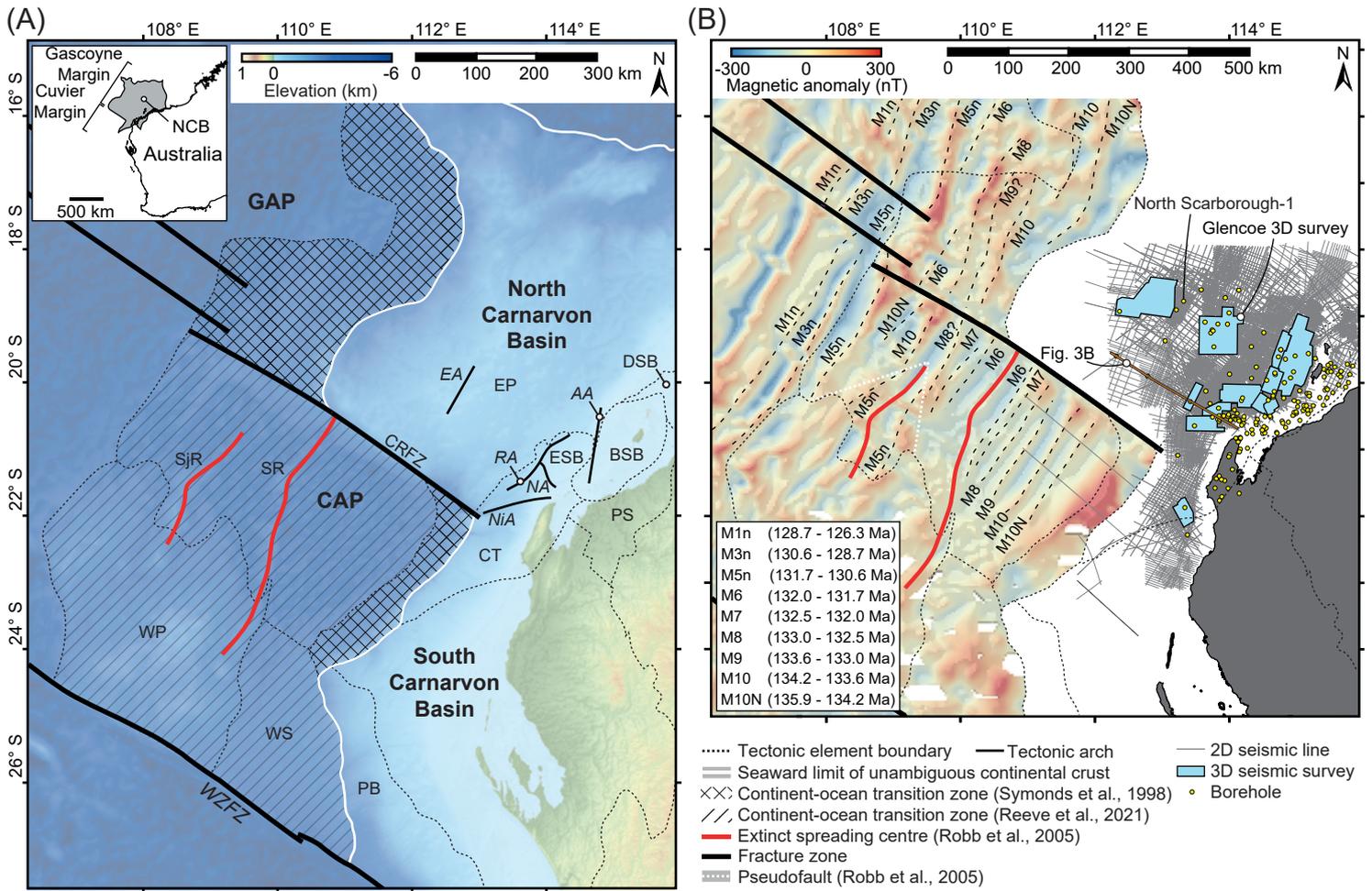


Figure 3

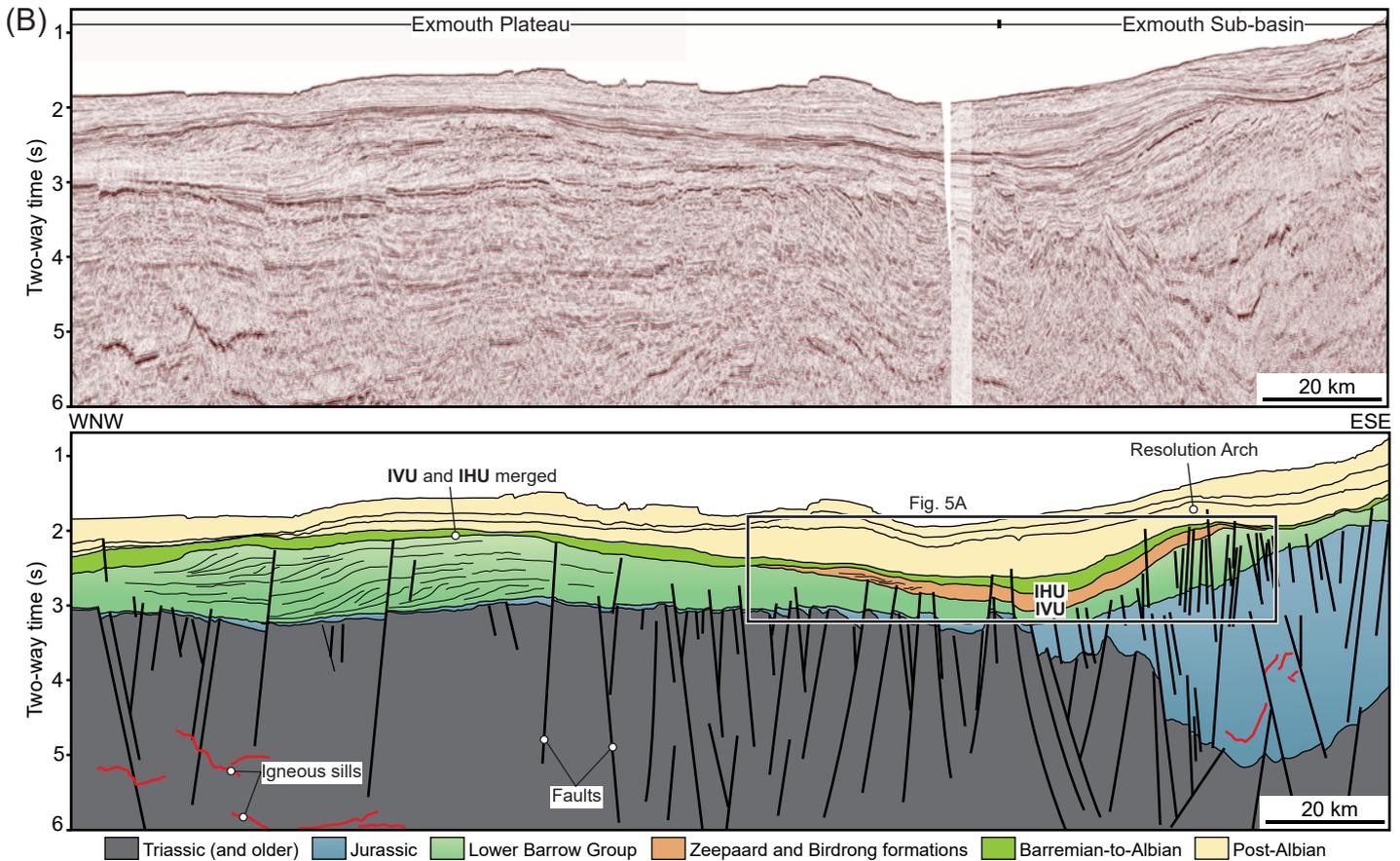
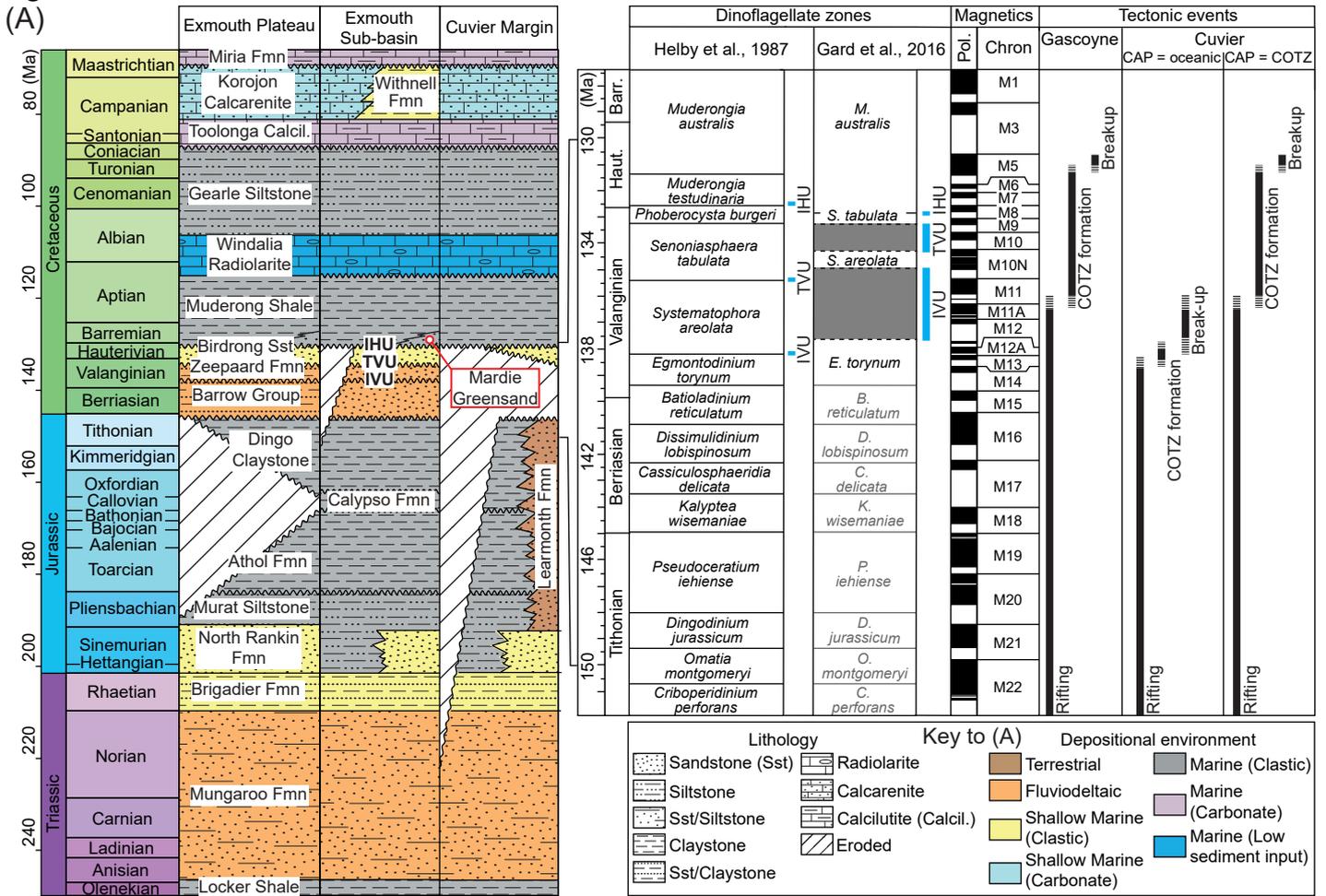


Figure 4

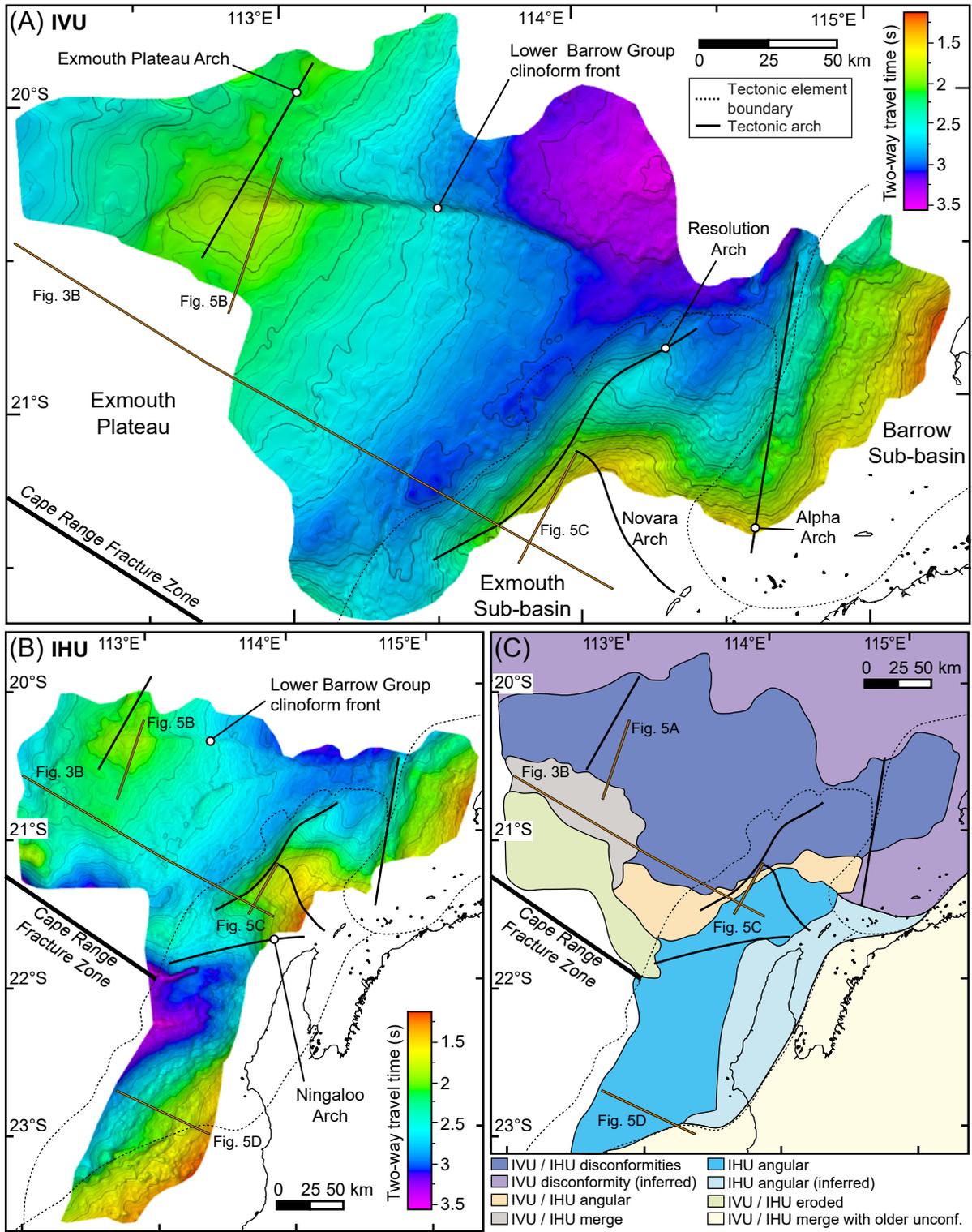


Figure 5

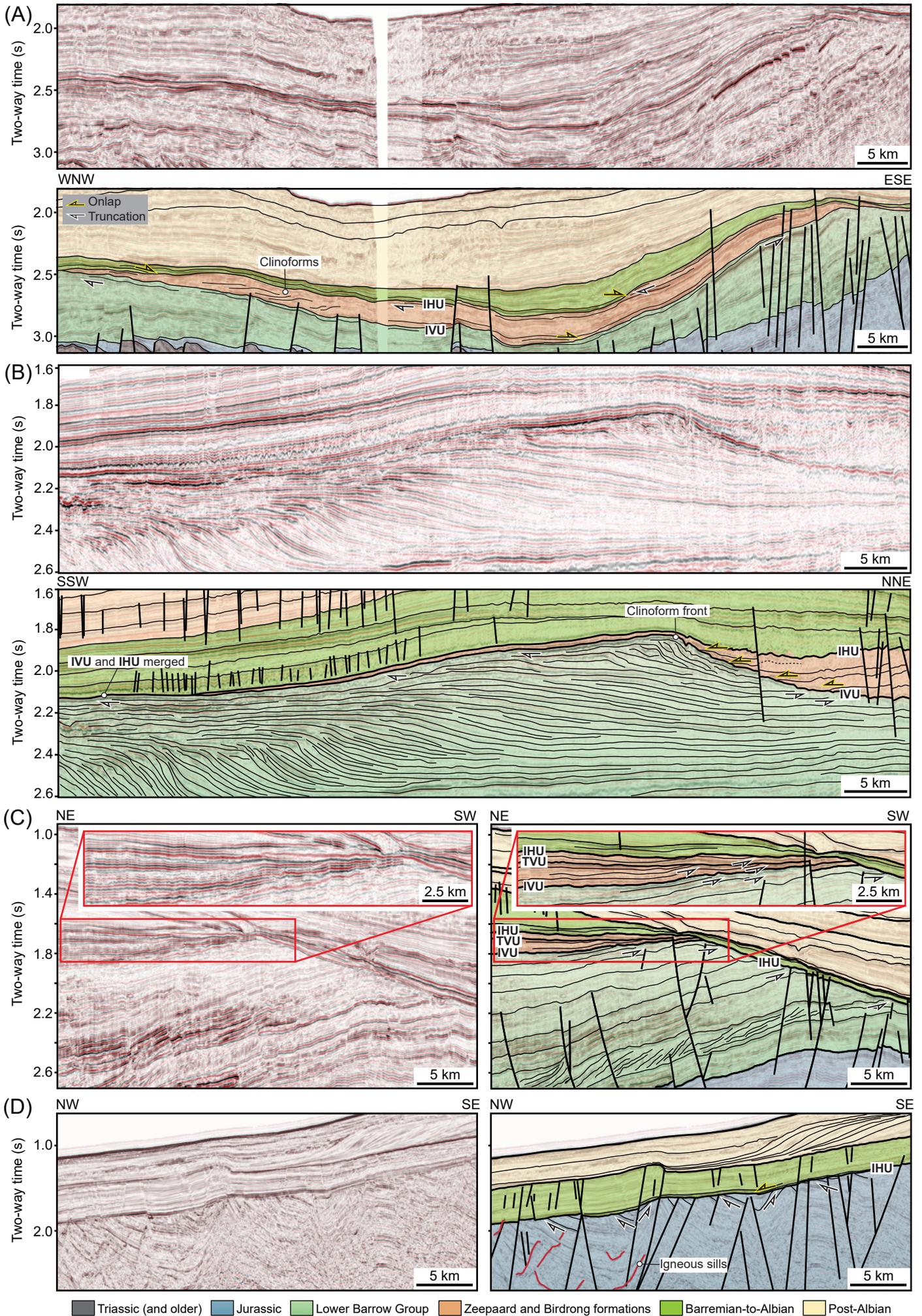


Figure 7

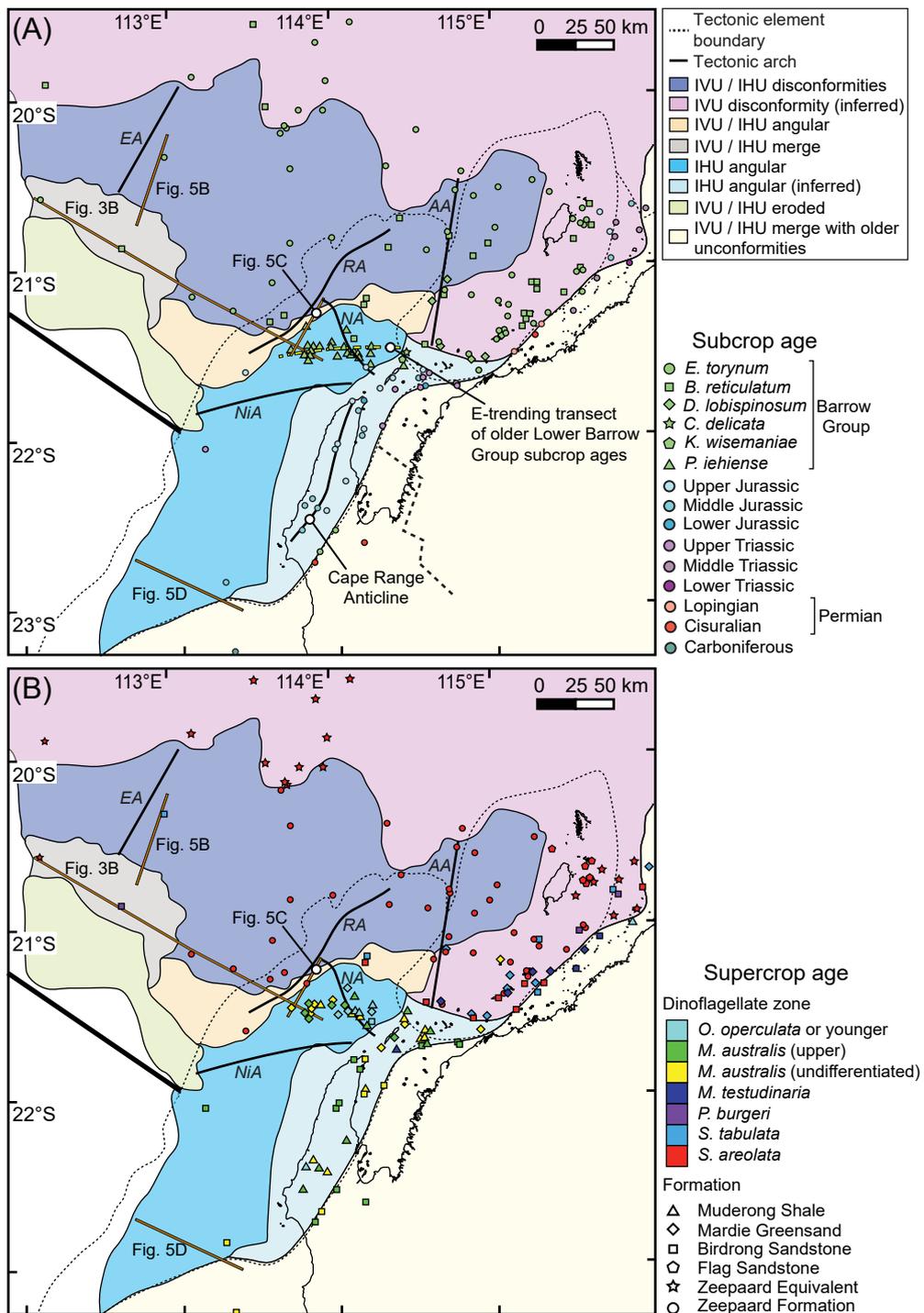


Figure 8

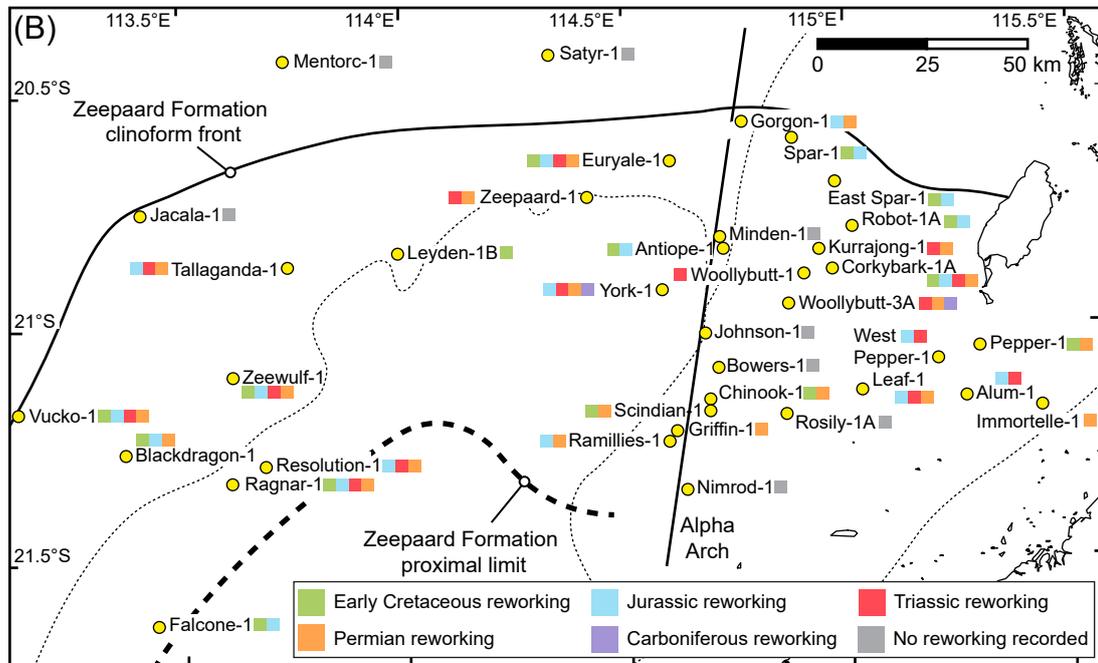
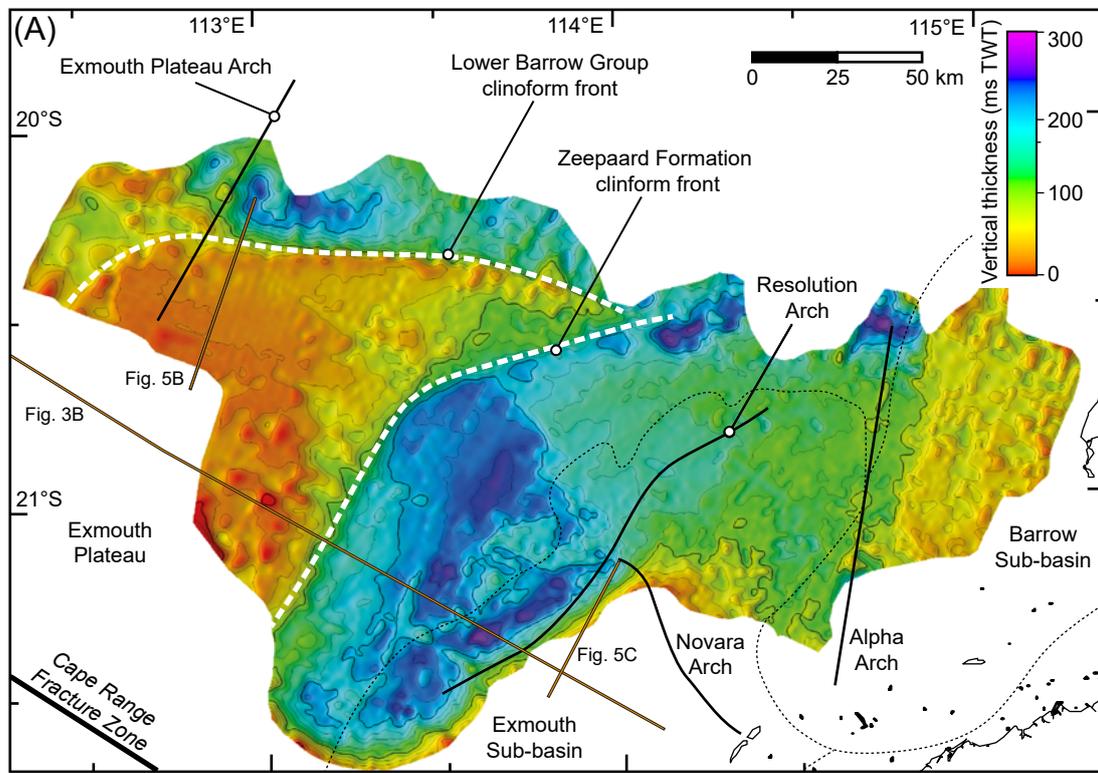


Figure 9

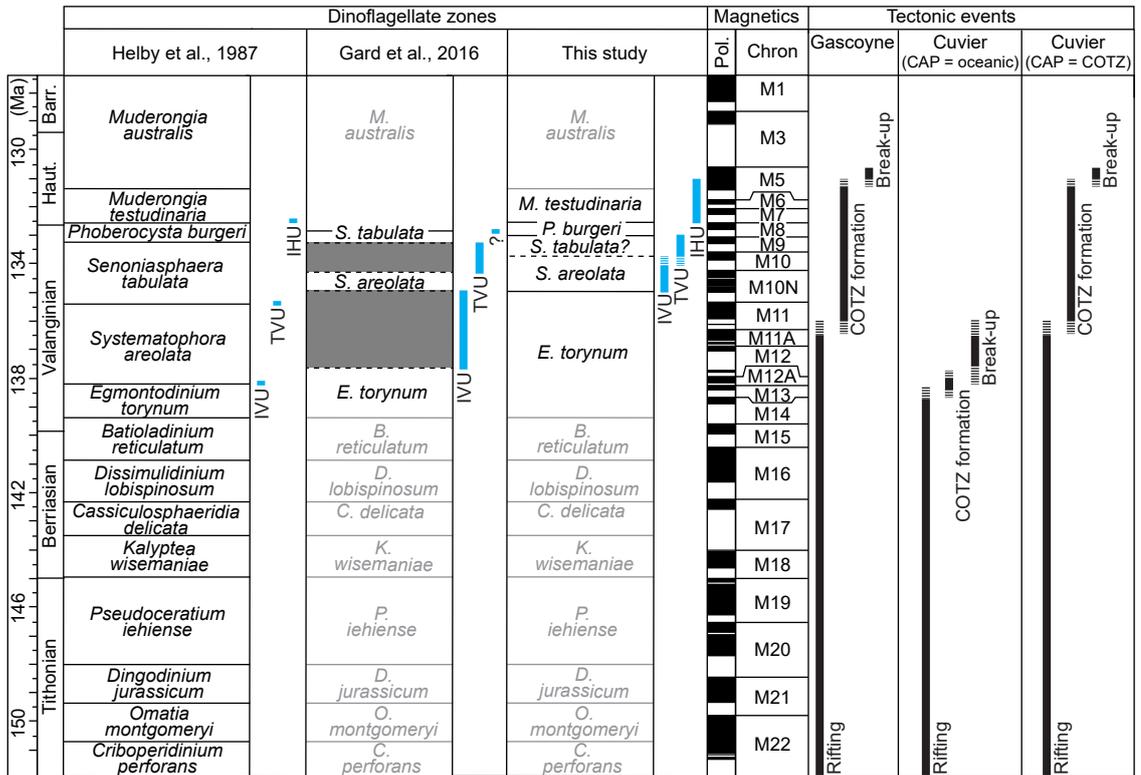
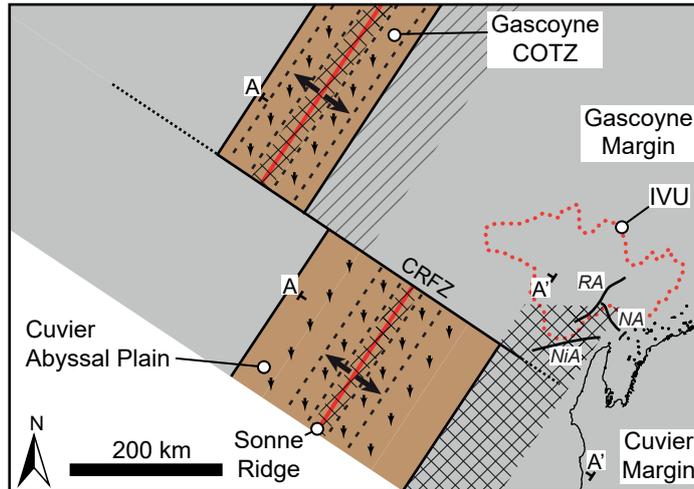
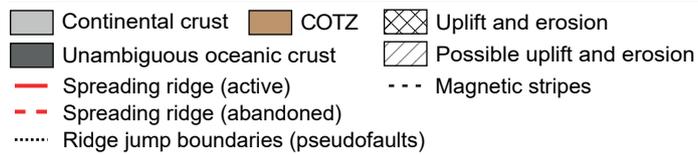
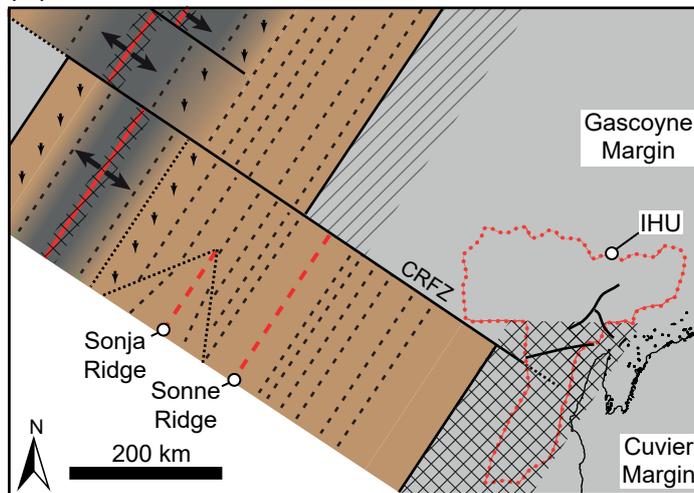


Figure 10

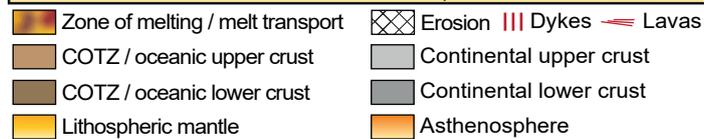
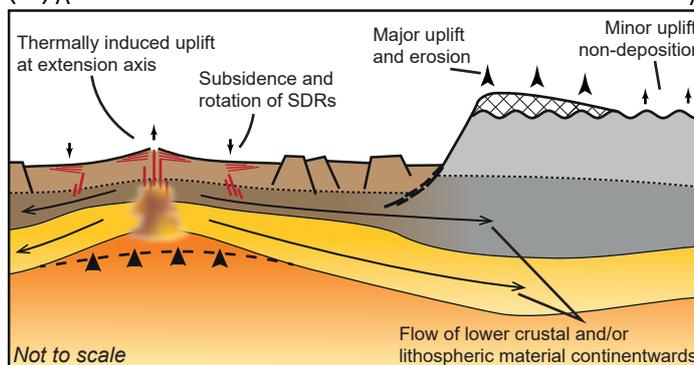
(A) IVU formation



(B) IHU formation



(C) A



Supplementary Table 1: Breakup unconformity locations and reference sources shown in Figure 1

Number	Locality	Reference
1	North Carnarvon Basin	Romine & Durrant (1996)
2	Carnarvon Terrace	Mihut & Müller (1998)
3	Perth Basin	Song & Cawood (2000)
4	Otway Basin	Veevers (1986)
5	Bight Basin	Veevers (1986)
6	Great South Basin	Laird & Bradshaw (2004)
7	Northern Australia	Pigram & Panggabean (1984)
8	Bonaparte Basin	Frankowicz & McClay (2010)
9	Browse Basin	Baillie et al. (1994)
10	NW Borneo	Franke et al. (2014)
11	SW Palawan	Franke et al. (2014)
12	Reed Bank	Franke et al. (2014)
13	NW Palawan	Franke et al. (2014)
14	Cuu Long Basin	Fyhn et al. (2009)
15	Phu Khanh Basin	Franke et al. (2014)
16	Qiongdongnan Basin	Franke et al. (2014)
17	South China Sea	Zhou et al. (1995)
18	Outer Pearl River Mouth Basin	Franke et al. (2014)
19	Hangjiang Depression	Huang et al. (2001)
20	Laptev Sea	Franke (2013)
21	East India Margin	Hauptert et al. (2016)
22	Gulf of Aden	Autin et al. (2010)
23	Mozambique Basin	Mahanjane (2012)
24	Outeniqua Basin	Franke (2013)
25	Orange Basin	Franke (2013)
26	Walvis Basin	Franke (2013)
27	Walvis Ridge	Franke (2013)
28	Benguela Basin	Márton et al. (2000)
29	Kwanza Basin	Márton et al. (2000)
30	Lower Congo Basin	Márton et al. (2000)
31	Southern Gabon	Seranne et al. (1992)
32	Rio Muni Basin	Lawrence et al. (2002)
33	Douala Basin	Lawrence et al. (2002)
34	Offshore Benin	Nemčok et al. (2013)
35	Ivory Coast	Nemčok et al. (2013)
36	Guinea Plateau	Edge (2014)
37	Senegal-Mauritanian Basin	Ndiaye et al. (2016)
38	Tarfaya Margin	Le Roy & Piqué (2001)
39	Essaouira Basin	Hafid (2000)
40	Iberian Basin	Tucholke et al. (2007)
41	Armorican Basin	Thinon et al. (2003)
42	Gulf of Lions	Gorini et al. (1993)
43	Western Alps	Claudel & Dumont (1999)
44	Hatton Basin	Vogt et al. (1998)
45	Vøring Margin	Skogseid et al. (1992)
46	Sørvestsnaget Basin	Vagnes (1997)
47	SE Greenland Margin	Larsen & Saunders (1998)
48	Kangerlussuaq Basin	Larsen & Saunders (1998)
49	Baffin Bay	Jackson et al. (1992)
50	Amerasia Basin	Embry & Dixon (1990)
51	Beaufort Sea	Lane (2002)
52	Labrador Margin	Chian et al. (1995)
53	Orphan Basin	Dafoe et al. (2017)
54	Newfoundland Margin	Tucholke et al. (2007)
55	Scotian Basin	Withjack et al. (1998)

56	Georges Bank Basin	Withjack et al. (1998)
57	US Central Atlantic Margin	Post et al. (2013)
58	Carolina Trough	Withjack et al. (1998)
59	Baltimore Canyon Trough	Withjack et al. (1998)
60	Florida Escarpment	Pindell et al. (2014)
61	Guyana Basin	Yang & Escalona (2011)
62	Camamu-Almada Basin	Cainelli & Mohriak (1999)
63	Cumuruxatiba Basin	Cainelli & Mohriak (1999)
64	Campos Basin	Cainelli & Mohriak (1999)
65	Santos Basin	Cainelli & Mohriak (1999)
66	Pelotas Basin	Cainelli & Mohriak (1999)
67	Colorado Basin	Franke (2013)
68	Rawson Basin	Franke (2013)
69	San Jorge Basin	Franke (2013)
70	North Falkland Basin	Franke (2013)
71	Prydz Bay	Stagg (1985)
72	Wilkes Land Margin	Eittrheim et al. (1985)

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