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1 **Title: Paleoenvironmental change recorded in submarine fans: the Eocene-Oligocene climate**
2 **transition in the Alpine foreland basin**

3

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13 **ABSTRACT**

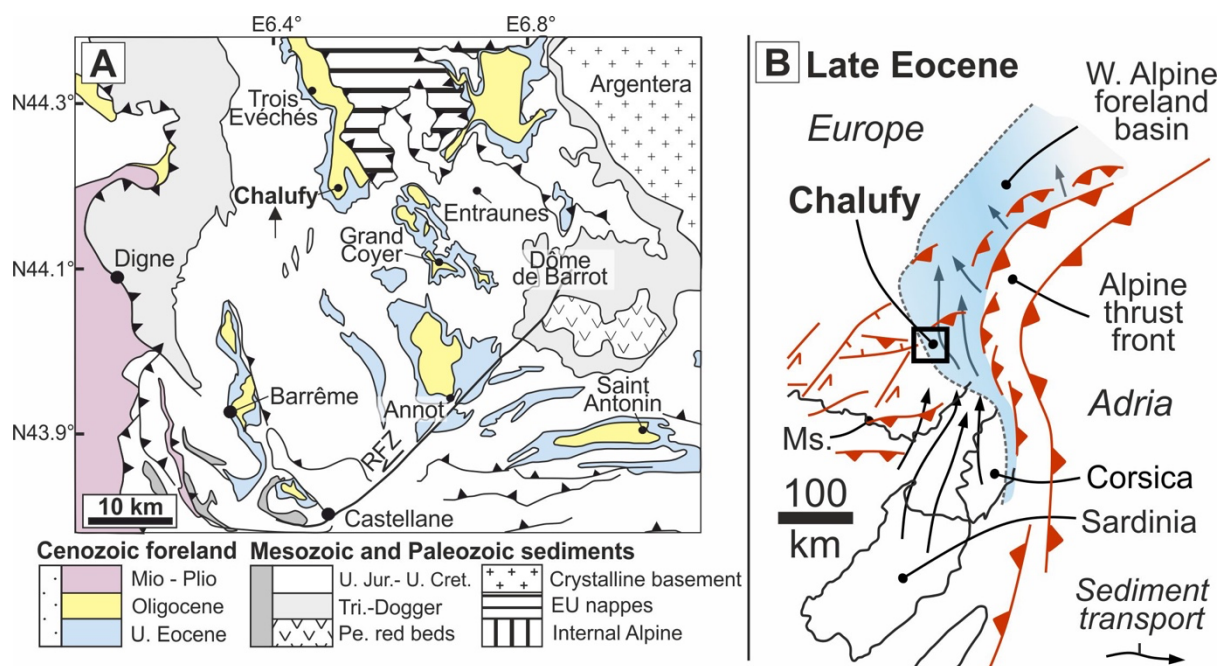
14 The Eocene-Oligocene transition (EOT) was a period of considerable environmental change, signifying
15 the transition from Paleocene greenhouse to Oligocene icehouse conditions. Preservation of the
16 sedimentary signal of such an environmental change is most likely in net-depositional environments,
17 such as submarine fans, which are the terminal parts of sedimentary systems. Here, using
18 sedimentological and stable isotope data from the Alpine foreland basin, we assess whether this major
19 climatic transition influenced the stratigraphic evolution of submarine fans. Results indicate that
20 submarine fan retreat in the Alpine foreland basin corresponds with positive $\delta^{13}\text{C}$ excursions related to
21 major global perturbations of the carbon cycle and cooling in the earliest Oligocene. Submarine fan
22 retreat is suggested to be influenced by this cooling through enhanced aridity and reduced subaerial
23 runoff from the Corsica-Sardinia hinterland. The influence of aridity was periodically overwhelmed by
24 local environmental factors, such as hinterland uplift, which increased sediment supply to deep-water
25 during arid periods. These results highlight that: 1) hinterland climate may play a greater role than sea-
26 level in dictating sediment supply to deep-water and, 2) submarine fan evolution occurs through a
27 complex interplay between climate, eustasy and tectonics, which makes robust interpretations of
28 paleoenvironmental change from their stratigraphic record, without multi-proxy records, difficult.

29 **INTRODUCTION**

30 The stratigraphic record of major environmental change is expected to be best recorded in net-
31 depositional environments, such as submarine fans. Submarine fans are built from the deposits of
32 sediment-gravity flows that transport vast quantities of terrigenous sediment and organic carbon to
33 deep-marine environments (Curray and Moore, 1971; Leithold et al., 2016). Submarine fan growth has
34 been shown to occur during both low and high eustatic sea levels (e.g. Covault et al. 2007) and may be
35 driven by a combination of tectonic (e.g. Howarth et al. 2021) and climatic processes (e.g. Picot et al.
36 2019), all of which may be overprinted by autogenic processes. Disentangling allogenic from autogenic
37 influences on submarine fan deposition has therefore proven to be difficult (e.g. Ferguson et al. 2020).
38 Measurement of $\delta^{13}\text{C}$ in carbonates, foraminifera and organic carbon, has been used as a means of

39 addressing this problem, with $\delta^{13}\text{C}$ sensitive to many of the environmental changes expected to
 40 influence submarine fan deposition (e.g. Castelletort et al. 2017).

41
 42 The Eocene-Oligocene climate transition (EOT) between ~ 34 and ~ 33 Ma was a major environmental
 43 response to the opening of oceanic gateways in the Southern Oceans (Kennett, 1977), decreased
 44 atmospheric CO_2 (Pearson et al. 2009) and orbital forcing (Ladant et al. 2014). Effects of this
 45 environmental response include the establishment of major Antarctic ice sheets (Liu et al. 2009) and the
 46 transition from Paleogene greenhouse to current icehouse conditions (Wade et al. 2012). The EOT
 47 occurred through a series of global cooling ‘steps’ that correspond to positive $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ excursions
 48 (Katz et al. 2008; Armstrong McKay et al. 2016), such as the ‘Oi-1’ event at ~ 33.55 ma, which is
 49 interpreted to represent a major eustatic sea-level fall related to Antarctic ice sheet growth (Katz et al.
 50 2008).



51
 52 The Grès d’Annot Formation is an exhumed siliciclastic deep-marine succession that was deposited
 53 within the Alpine foreland basin (Fig. 1A and 1B) during the EOT (Fig. 2A) (Joseph and Lomas, 2004).
 54 The Grès d’Annot records a common deep-marine stratigraphic pattern of fine-grained intervals
 55 interspersed with coarser-grained intervals. This cyclicity has been attributed to sea-level changes and
 56 tectonism (Fig. 1B) (Callec, 2004, Euzen et al. 2004); however, the relative impact of these controls

57 has not been tested. This study, therefore, aims to investigate, through high-resolution isotopic analysis
58 of the Grès d'Annot stratigraphy, whether submarine fan growth in the Alpine foreland basin was
59 related to the Eocene – Oligocene transition and associated climatic upheaval. On a broader scale, this
60 study aims to explore how the isotopic records of exhumed submarine fans can be used to understand
61 how past landscapes responded to environmental change.

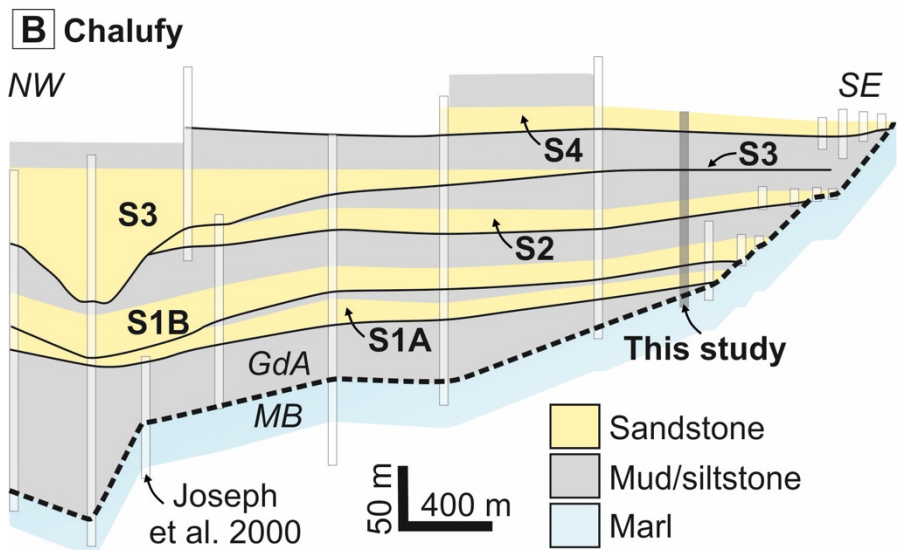
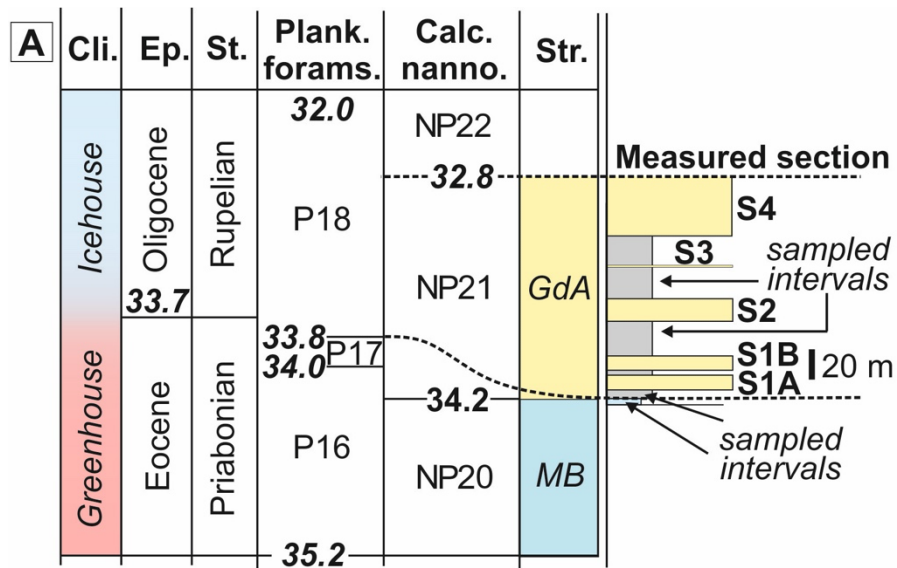
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63 **STUDY AREA: CHALUFY**

64 One of the most well-studied Grès d'Annot exposures is located at the Montagne de Chalufy (Fig. 1A;
65 B), representing a relatively distal part of the Grès d'Annot submarine fan system (Fig. 1A; Fig. S1).
66 The exposure comprises three prominent coarse-grained sandstone intervals deposited sequentially
67 against a marl paleo-slope (Puigdefabregas et al. 2004) (Fig. 2B). The coarse-grained intervals are
68 interpreted as the deposits of high-concentration turbidity currents that built submarine fan lobes on the
69 basin floor. These coarse-grained intervals alternate with finer-grained mudstone and siltstone intervals,
70 which are interpreted as the deposits of lower-concentration turbidity currents, interbedded with thin
71 hemipelagic mudstones deposited at the distal extents of the coarse-grained lobes or distributary
72 channels on the basin floor (Fig. 2B; Supplementary Fig. 2). One of these coarse-grained intervals
73 (Sandstone 3) can be correlated northwards from within the uppermost fine-grained interval, where it
74 overlies an erosion surface incising the underlying coarse-grained interval (Joseph et al. 2000) (Fig.
75 2B).

76

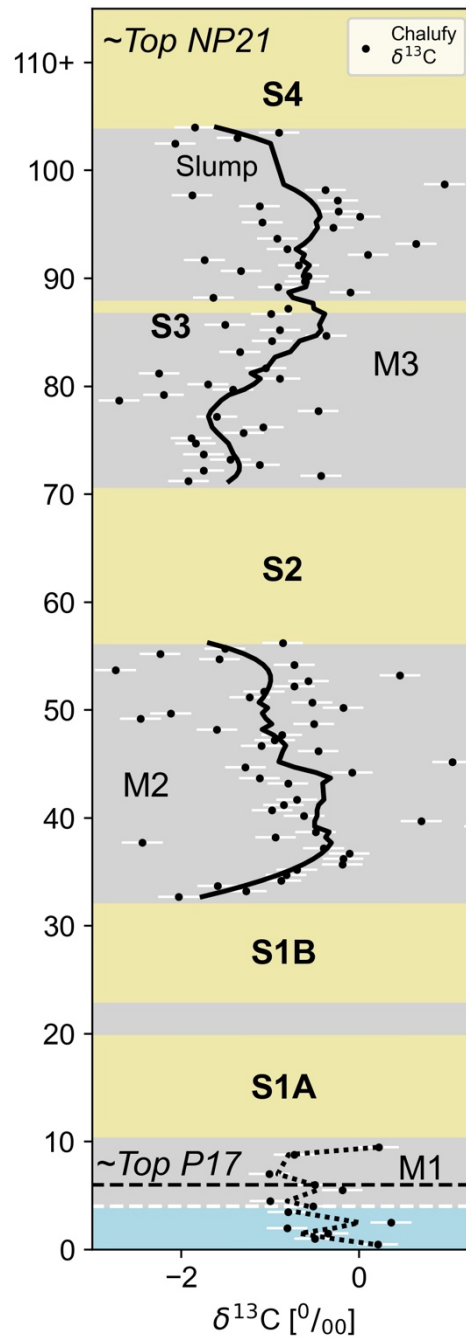
77 Identification of foraminifera belonging to known planktonic (P) and nano-planktonic (NP) biozones
78 indicates that the basal part of the Grès d'Annot exposed at Chalufy was deposited at a maximum of
79 34.2 Ma (NP20/NP21), with the uppermost parts of the Grès d'Annot being deposited at a maximum of
80 32.8 Ma (NP21/22) or 32 Ma (top P18) (Fig. 2A) (Euzen et al. 2004; Du Fornel et al. 2004). The base
81 of P18 occurs prior to the first coarse-grained interval (Fig. 2A) (Du Fornel et al. 2004). For consistency
82 with the existing Alpine foreland chronostratigraphic framework, and the other isotopic and eustatic
83 datasets used (Cramer et al. 2009; Miller et al. 2008), all ages are tied to Berggren et al. (1995)
84 chronology.



85

86 **DATA AND METHODS**

87 111 samples were recovered from three fine-grained intervals in one continuous measured section
 88 spanning the Grès d'Annot exposure at the Chalufy paleo-slope (Fig. 3; Fig. S1, S2; S3; Table S1). The
 89 samples were collected at ~50 cm intervals, from > 30 cm below the exposed surface and only within
 90 hemipelagic sections, thus avoiding potential contamination by allochthonous material. The samples
 91 were crushed and their bulk carbonate $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values measured using standard techniques (Brodie
 92 et al. 2018), with 9 repeated measurements of section representative samples yielding a mean
 93 measurement error of ± 0.3 for $\delta^{13}\text{C}$ and ± 0.1 for $\delta^{18}\text{O}$ (Fig. 2; S3). Carbon and oxygen isotopes are
 94 reported per mil (‰) relative to the Vienna Pee Dee Belemnite Standard (VPDB) (Fig. S3).



95

96 Three isotopic curves representing each fine-grained interval were generated through the application of
 97 a Savitzky–Golay filter to the individual data points, which smooths the data without distorting the
 98 underlying signal (Fig. 3; S3; S4; S8) (Savitzky and Golay, 1964). These curves were then placed within
 99 bounding-age constraints derived from micropaleontological zonation of the study area (Du Fornel et
 100 al. 2004) and assessed for correlation with time-equivalent data compilations; the global $\delta^{13}\text{C}$ curve
 101 (Cramer et al. 2009) and the North Atlantic eustatic sea-level curve (Miller et al. 2005). Randomly

102 selected samples from the fine-grained sections have also undergone X-ray diffraction (XRD), total
103 organic carbon (TOC) (Table S1) and petrographic analysis (Fig. S7) in order to assess the potential for
104 mixed-carbonate-source error or diagenetic overprinting.

105

106 **RESULTS**

107 The bulk $\delta^{13}\text{C}_{\text{VPDB}}$ content was measured from 111 samples (Fig. 3; Fig. S2). The identification of
108 benthic foraminifera in the petrographic analysis of the samples (Fig. S7) and the occurrence of dateable
109 benthic foraminifera within the Chalufy section (Fig. 2A) indicates that the bulk $\delta^{13}\text{C}$ measurements
110 primarily record the signature of this fauna. The observed depletion in $\delta^{18}\text{O}$ values suggests some
111 diagenetic influence, which may have also impacted the $\delta^{13}\text{C}$ values. Cross-plotting of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$
112 from each interval also shows no statistically-significant trends, however, suggesting a lack of
113 diagenetic overprinting (Marshall, 1991) (Fig. S6). X-ray diffraction of selected samples within each
114 interval indicates total organic carbon (TOC) contents of $< 0.7\%$ and calcite to organic matter ratio of
115 $> 7:1$, reducing the likelihood of diagenetic contamination from organic carbon (Saltzman and
116 Thomas, 2012).

117

118 The $\delta^{13}\text{C}$ data shows a broadly increasing spread with increasing height in the section ($1\sigma = 0.5\%$, 0.4
119 $\%$, 0.9% , 1.0% for each sequential fine-grained interval) (Fig. S5), with mean $\delta^{13}\text{C}$ values being 2.0
120 $\%$ more negative than time-equivalent open oceanic values. (Cramer et al., 2009). Data noise, more
121 negative $\delta^{13}\text{C}$ values, and signal amplification are attributed to 1) microscopic turbidites or authigenic
122 carbonate, such as micro-veins, within the hemipelagic sections creating allochthonous noise (Fig. S7);
123 and 2) the relatively proximal position of the basin resulting in the oxidation of light organic ^{12}C
124 delivered by rivers (Jenkyns, 1996; Voigt and Hilbrecht, 1997). These unavoidable diagenetic and
125 environmental factors potentially acted to adjust the isotopic values. However, this is mitigated by the
126 high sampling density, which allows the underlying, primary isotopic trends to be resolved.

127

128 The Chalufy $\delta^{13}\text{C}$ curves of Mudstone 2 (M2) and Mudstone 3 (M3) (sandstone-bounded fine-grained
129 intervals) each show a general trend of increasing then decreasing $\delta^{13}\text{C}$ values with height (Fig. 3), and
130 show good correlation with the oceanic $\delta^{13}\text{C}$ curve, with two positive global $\delta^{13}\text{C}$ excursions seen across
131 the EOT in the early Oligocene observed within these data (Fig. 4). The Chalufy $\delta^{18}\text{O}$ values show a
132 generally decreasing, but noisy, trend in M2, and a sharply increasing then sharply decreasing trend in
133 M3. However, due to diagenesis, these $\delta^{18}\text{O}$ trends are expected to be less reliable than the $\delta^{13}\text{C}$ trends
134 (Fig. S3).

135

136 Sandstone 3 (S3) is thin-bedded and fine-grained in the measured section, but thickens northwards due
137 to channelization (Fig. 2B), where it correlates with a sustained period of positive $\delta^{13}\text{C}$ values (Fig. 3)
138 and a sharp positive $\delta^{18}\text{O}$ excursion (Fig. S3). The exact depositional duration of this channel is
139 uncertain due to this interval representing a sustained period of bypass and erosion. However, deposition
140 within the channel must have ceased by mid-way through M3, as the upper half of M3 overlies the
141 channel-fill (Fig. 2B).

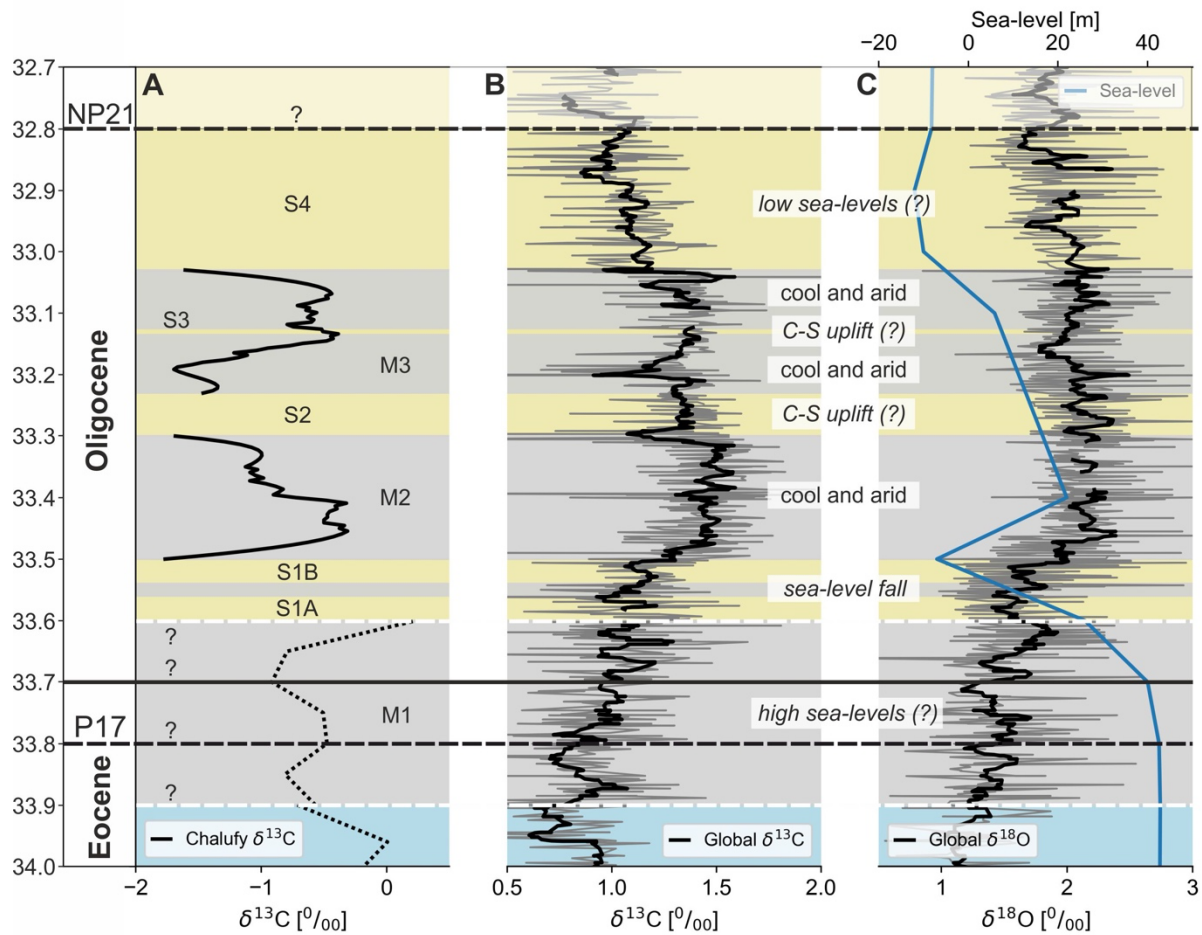
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143 There is uncertainty in how much time is occupied between the upper marl surface and Grès d'Annot
144 deposition as the older part of the stratigraphy is not present in this location on the basin margin slope
145 but is preserved within deeper parts of the basin (Fig. 2B). Accordingly, the isotopic trends within the
146 lowermost sections cannot be confidently interpreted.

147 **DISCUSSION**

148 The observed correlation between submarine fan retreat and global positive $\delta^{13}\text{C}$ excursions indicates
149 that deposition in the Alpine foreland was influenced by global environmental change across the EOT,
150 with positive $\delta^{13}\text{C}$ excursions during this period attributed to; 1) low sea-levels, increased weathering
151 of $\delta^{13}\text{C}$ -enriched carbonate shelves and deepening of the CCD, 2) increased ocean mixing and
152 productivity, and 3) cooling and sequestration of ^{12}C in permafrost (Armstrong McKay et al. 2016).
153 These factors are related to cooling. Therefore, if the $\delta^{13}\text{C}$ record of the Alpine foreland represents the

154 global signature, this indicates that periods of reduced deep-water sedimentation in the Alpine foreland
 155 were driven by global cooling across the EOT.



156
 157 Cooler climates may reduce sediment supply by enhancing aridity and reducing runoff (e.g. Leeder et
 158 al. 1998), perhaps indicating that the Corsica-Sardinia source area was influenced by early Oligocene
 159 climate change (Fig. 1). This effect may also be reflected in the $\delta^{13}\text{C}$ record of the fine-grained sections,
 160 with a reduction in the volume of ^{12}C transported to marine environments by rivers consistent with the
 161 observed positive $\delta^{13}\text{C}$ excursions (Voigt and Hilbrecht, 1997). Similar depositional patterns have been
 162 observed during the Quaternary, with aridity associated with submarine fan retreat in both the Congo
 163 (Picot et al. 2019) and Nile (Ducassou et al. 2009) submarine fans irrespective of sea-level. These
 164 findings indicate a similar climatic control on deep-water deposition in the Alpine foreland.

165

166 This results in a contrast, with periods of coarse-grained sedimentation also observed to occur during
167 sustained positive $\delta^{13}\text{C}$ excursions, and therefore cooler temperatures and aridity. This is suggested to
168 have resulted from periods of concurrent tectonism and uplift in the Corsica-Sardinian hinterland
169 (Advokaat et al. 2014), which will have temporarily overwhelmed the influence of aridity, causing
170 submarine fan advance. It's also possible that cooling and the sharp $\delta^{18}\text{O}$ excursion correlated with S3
171 represents the point at which sea-level was lowered enough to overwhelm the influence of onshore
172 aridity (Fig. S3), which may be related to the Oi-1a excursion and associated sea-level fall identified
173 elsewhere (Katz et al. 2008).

174

175 When compared to the eustatic trend, it is tempting to correlate early sandstone deposition (S1A and
176 S1B) to the negative $\delta^{18}\text{O}$ excursion and major sea-level fall seen at the Eocene-Oligocene boundary,
177 however the lack of basal age constraint makes this uncertain (Fig. 4). There is more confidence in
178 interpreting the rising sea-level at 33.5 Ma as related to fine-grained deposition (M2) and the lowstand
179 at 33 Ma as being related to coarse-grained deposition (S4), which would indicate eustasy influenced
180 sediment delivery to deep-water. The positive $\delta^{13}\text{C}$ excursions within the fine-grained intervals may
181 therefore represent sea-level highstands (e.g. Castellort et al. 2017). However, this would imply
182 warming during the positive $\delta^{13}\text{C}$ excursions, which is counter to the prevailing interpretation that the
183 global $\delta^{13}\text{C}$ excursions during this period are related to periods of enhanced cooling (Armstrong McKay
184 et al. 2016). This might suggest that onshore climate was more influential than eustasy, or that relative
185 sea-level change unresolved by eustatic trends influenced deep-marine sedimentation.

186 **CONCLUSION**

187 Submarine fan evolution is intimately linked to tectonic and climatic processes. The stratigraphic record
188 of submarine fans is therefore expected to archive major tectonic and climatic events. Here we show
189 that positive $\delta^{13}\text{C}$ excursions associated with major global carbon cycle perturbations correspond to
190 periods of reduced sediment delivery to the Alpine foreland basin. This is likely to be caused by global
191 cooling and enhanced aridity, which reduced runoff and decreased sediment supply to deep-marine
192 environments. The influence of aridity was periodically overwhelmed by uplift in the tectonically-active

193 hinterland, resulting in increased sediment supply to deep-water. This study indicates that onshore
194 climate can be more influential than sea-level change in controlling deep-water deposition, further
195 highlighting the complex interplay of climatic and tectonic processes in influencing sediment supply to
196 deep-water environments.

197 **ACKNOWLEDGEMENTS**

198 Soutter is funded by Natural Environment Research Council grant number NE/M00578X/1. We wish
199 to thank Julie Dougans (SUERC) for technical assistance in the stable isotope analyses.

200

201 **FIGURE CAPTIONS**

202 Figure 1: A) Geological map of the Alpine foreland and the location of the sampled section at Montagne
203 de Chalufy (black arrow). Lines with black ticks represent thrust faults. (modified from Joseph and
204 Lomas, 2004). U. = upper; Mio-Plio = Miocene-Pliocene; Jur. = Jurassic; Cret. = Cretaceous, Tri. =
205 Triassic; Pe = Permian. EU = Embrunais-Ubaye. B) Palinspastic reconstruction and paleogeographic
206 setting of the Alpine foreland basin during the Late Eocene (modified from Dumont et al. 2011). Ms =
207 Marseille.

208

209 Figure 2: A) Chronostratigraphic framework of the studied section (modified from Euzen et al., 2004).
210 The base of P18 is expected to be intersected before S1A. B) Correlation of the Chalufy outcrop and
211 sampled section, with sandstone labels indicated (modified from Joseph et al. (2000).
212 Micropaleontological zonation of the studied stratigraphy (modified from Euzen et al. 2004). Eocene –
213 Oligocene boundary shifted to that of Katz et al. (2008). GdA = Gres d’Annot; MB = Marnes Bleues;
214 Cli. = generalized climate; Ep. = epoch; St. = stage, Plank. forams = planktonic foraminifera; Calc.
215 nano. = calcareous nannofossils; Strat: stratigraphy.

216

217 Figure 3: $\delta^{13}\text{C}$ measurements for each fine-grained interval sampled and their bounding P Zones (Du
218 Fornel et al. 2004). The black solid line represents a Savitzky-Golay filter. Yellow = sandstone, grey =
219 mudstone and siltstone, blue = marl. The white line is an uncertain age break, and the solid yellow line

220 correlates with the top of the channelized sand 3 preserved to the north (Fig. 1B). Error bar is the mean
221 error of $\delta^{13}\text{C}$ standards.

222 Figure 4: Correlation between A) the $\delta^{13}\text{C}$ record of Chalufy, B) Global $\delta^{13}\text{C}$ curve (Cramer et al. 2008),
223 and C) Global $\delta^{18}\text{O}$ curve and North Atlantic sea-level (Miller et al. 2005). C-S = Corsica-Sardinia,
224 yellow = sandstone, grey = mudstone and siltstone, blue = marl. Ages tied to the chronology of
225 (Bergrenn et al. 1995; Cande and Kent, 1995). The white line indicates uncertain age break. Solid black
226 lines are Savitzky–Golay filtered data, grey lines indicate raw data.

227 SUPPLEMENTARY CAPTIONS

228 Table S1: All of the geochemical data collected for this manuscript and associated stratigraphic height.

229 Figure S1: Measured and sampled sections at Chalufy and stratigraphic context. Red dashed line
230 indicates a fault. Grid reference: 44°09'21"N, 6°32'51E.

231 Figure S2: Logged section at Chalufy and sample locations. Middle and upper sandstones from
232 Puigdefabregas et al. (2004). Numbers refer to sand unit described in text.

233 Figure S3: $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ measurements for each fine-grained interval sampled and their bounding P
234 Zones (Du Fornel et al. 2004). Yellow = sandstone, grey = mudstone and siltstone, blue = marl. The
235 white line is an uncertain age break, and the solid yellow line correlates with the top of the channelized
236 sand 3 preserved to the north (Fig. 1B). Error bar is the mean error of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ standards.

237 Figure S4: Correlation between A) the $\delta^{13}\text{C}$ record of Chalufy, B) Global $\delta^{13}\text{C}$ curve (Cramer et al.
238 2008), C) the $\delta^{18}\text{O}$ record of Chalufy, and D) Global $\delta^{18}\text{O}$ curve and North Atlantic sea-level (Miller et
239 al. 2005). C-S = Corsica-Sardinia, yellow = sandstone, grey = mudstone and siltstone, blue = marl. Ages
240 tied to the chronology of (Bergrenn et al. 1995; Cande and Kent, 1995). The white line indicates
241 uncertain age break. Solid black lines are Savitzky–Golay filtered data, grey lines indicate raw data.

242 Figure S5: Standard deviations of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ data. Box indicates quartiles, black line indicates
243 median, whiskers indicate the remainder of the distribution, diamonds indicate outliers determined
244 through a method that is a function of the inter-quartile range.

245 Figure S6: Cross-plot of $\delta^{18}\text{O}$ v $\delta^{13}\text{C}$ indicates no correlation and therefore a minimum of diagenetic
246 alteration. Rho (ρ) is spearman rank correlation, p is probability value (p-value)

247 Figure S7: Thin-section photomicrographs of samples: plane polarized light (PPL) (left) and
248 cathodoluminescence (CL) (right). (A&B) Heterogeneous matrix comprised of mud (light brown in
249 PPL) with silt sized detrital quartz and calcite grains (blue and orange luminescence respectively) and
250 foraminifera (arrowed). Stable isotope measurements were derived from this foraminifera-rich material.
251 (B&C) Minor fracture (white arrow) crosscut by a major fracture (red arrow) both cemented by dull
252 blue luminescing calcite. The major fracture is approximately bed parallel, suggesting stylolite
253 cementation. (D&E) Organic matter (dark brown to black in PPL) is bed parallel. Well-developed
254 laminations of quartz-rich (red arrows) and calcite-rich (white arrows) sediment (blue and orange
255 luminescence respectively). Scale bar is 500 μm .

256 Figure S8: Savitsky-Golay filters of different window lengths for the collected isotopic data.

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