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1 **Title:** Paleoenvironmental change recorded in submarine fans: the Eocene-Oligocene climate
2 transition in the Alpine foreland basin

3

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14 **ABSTRACT**

15 The Eocene-Oligocene transition (EOT) was a period of considerable environmental change,
16 signifying the transition from Paleocene greenhouse to Oligocene icehouse conditions.

17 Preservation of the sedimentary signal of such an environmental change is most likely in net-
18 depositional environments, such as submarine fans, which are the terminal parts of sedimentary

19 systems. Here, using sedimentary and stable isotope data from the Alpine foreland basin, we assess
20 whether this major climatic transition influenced the stratigraphic evolution of submarine fans.

21 Results indicate that fine-grained deposition in deep-water environments corresponds to positive
22 $\delta^{13}\text{C}$ excursions and eustatic highstands, while coarse-grained deposition corresponds to negative

23 $\delta^{13}\text{C}$ excursions and eustatic lowstands during the earliest Oligocene. These results indicate that:

24 1) eustatic sea-level plays a major role in dictating sediment supply to deep-water in foreland basins

25 and, 2) sea-level fluctuations related to Antarctic icesheet growth across the Eocene-Oligocene

26 transition influenced sediment supply to deep-water environments.

27

28 INTRODUCTION

29 The stratigraphic record of major environmental change is expected to be best recorded in net-
30 depositional environments, such as submarine fans (e.g. Hessler and Fildani, 2019). Submarine
31 fans are built from the deposits of sediment-gravity flows that transport vast quantities of
32 terrigenous sediment and organic carbon to deep-marine environments (e.g. Galy et al. 2007).
33 Submarine fan growth has been shown to occur during both low and high eustatic sea levels (e.g.
34 Covault et al. 2011) and may be driven by a combination of tectonic events (e.g. Howarth et al.
35 2021) and onshore climate change (e.g. Picot et al. 2019), all of which can be overprinted by
36 autogenic processes. Disentangling allogenic from autogenic influences on submarine fan
37 deposition has therefore proven to be difficult (Ferguson et al. 2020), with allogenic signals often
38 attenuated within the sediment routing system (Romans et al. 2016). Measurement of $\delta^{13}\text{C}$ in
39 exhumed stratigraphy has been used as a means of addressing this problem, with $\delta^{13}\text{C}$ sensitive to
40 many of the environmental factors that influence submarine fan deposition (e.g. Castellort et al.
41 2017). Positive $\delta^{13}\text{C}$ excursions are considered to correspond to high sea-levels, flooded
42 continental shelves, high biological productivity and burial of ^{12}C , while low $\delta^{13}\text{C}$ values
43 correspond to low-sea levels, exposed shelves, lower productivity and greater run-off (Jenkyns,
44 1993; Castellort et al. 2017). By constructing a $\delta^{13}\text{C}$ curve through a deep-marine sequence of a
45 known age it is therefore possible to relate sedimentation to eustatic and climatic trends (Castellort
46 et al. 2017).

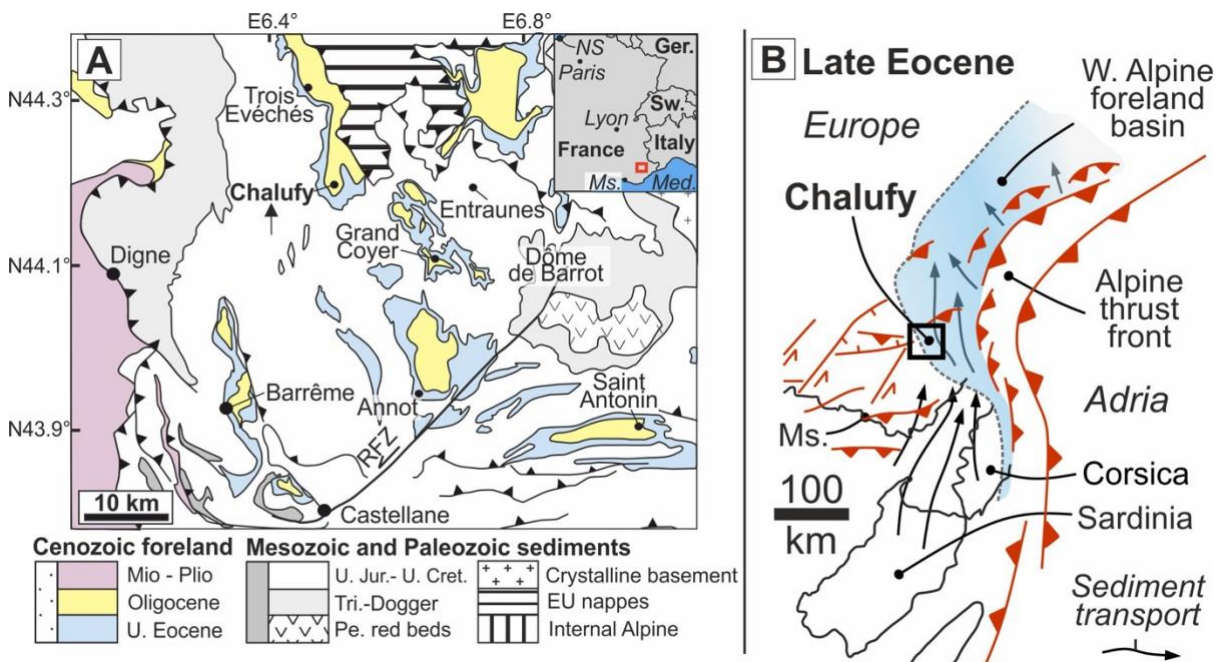
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48 The Eocene-Oligocene climate transition (EOT) between ~ 34 and ~ 33 Ma was a major
49 environmental response to the opening of oceanic gateways in the Southern Oceans (Kennett,
50 1977), decreased atmospheric CO_2 (Pearson et al. 2009) and orbital forcing (Ladant et al. 2014),
51 and resulted in the establishment of major Antarctic ice sheets (Liu et al. 2009), and the transition
52 from Paleogene greenhouse to current icehouse conditions (Wade et al. 2012). The EOT occurred

53 through a series of global cooling ‘steps’ that correspond to positive $\delta^{18}\text{O}$ excursions, such as the
 54 ‘Oi-1’ event at ~ 33.55 ma, which represents a major eustatic sea-level fall related to Antarctic ice
 55 sheet growth (Katz et al. 2008).

56

57 The Grès d’Annot Formation is an exhumed siliciclastic deep-marine succession that was
 58 deposited within the Alpine foreland basin during the EOT (Fig. 1A, 1B; Fig. 2) (Joseph and
 59 Lomas, 2004). The Grès d’Annot records a common deep-marine stratigraphic pattern of fine-
 60 grained intervals interspersed with coarser-grained intervals, with each > 10 m thick interval
 61 composed of numerous individual event beds. This apparent cyclicity has been attributed to sea-
 62 level change and tectonism (Fig. 2) (Callec, 2004, Euzen et al. 2004); however, the relative impact
 63 of these controls has not been tested. This study therefore aims to investigate, through high-
 64 resolution isotopic analysis of the Grès d’Annot stratigraphy, whether: 1) the EOT and associated
 65 eustatic and climatic change is resolved in the Grès d’Annot isotopic record, and 2) whether this
 66 environmental change affected submarine fan deposition in the Alpine foreland basin. On a
 67 broader scale, this study aims to explore how the isotopic records of exhumed submarine fans can
 68 be used to understand how past landscapes responded to environmental change.



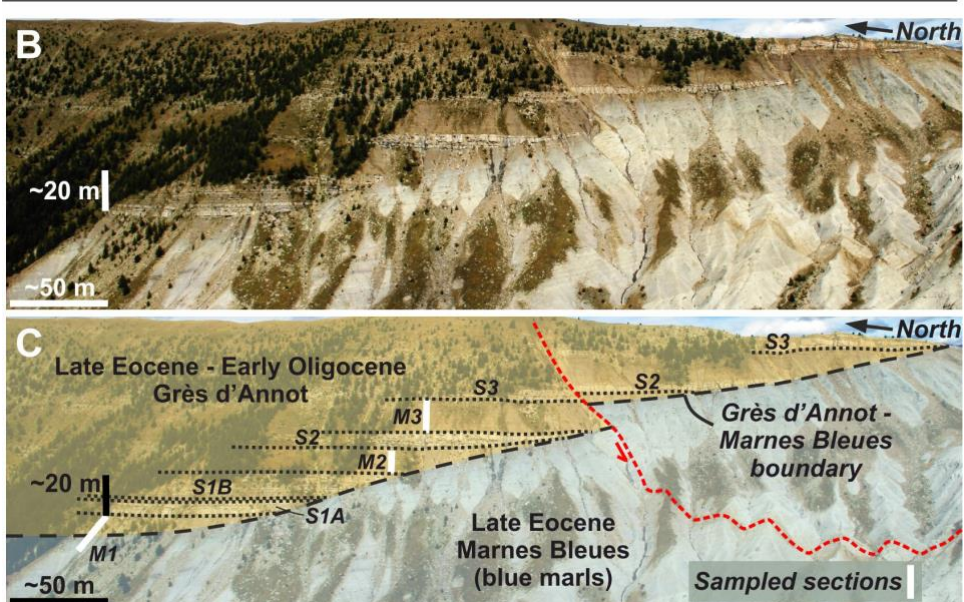
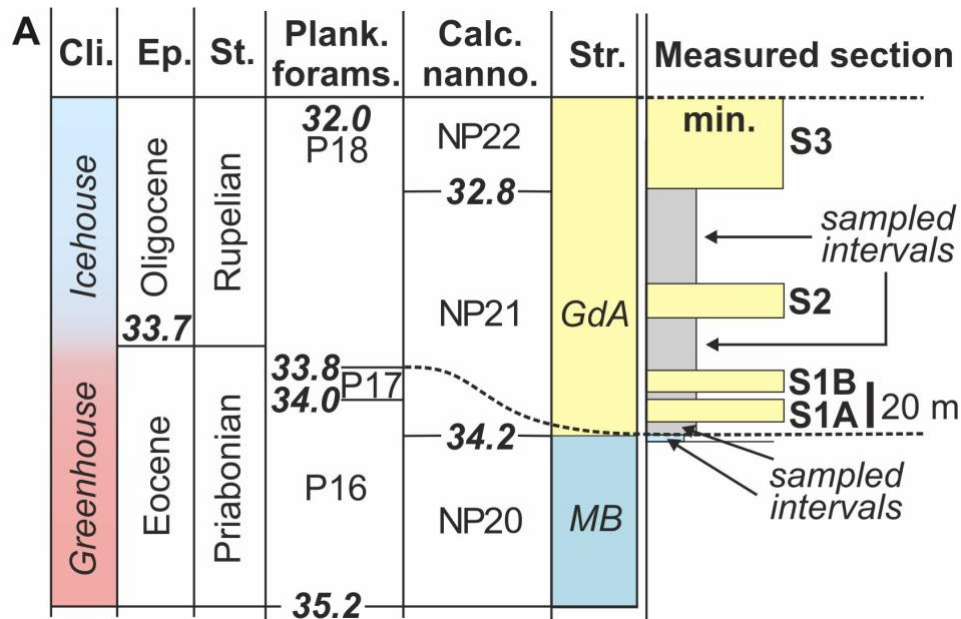
69

70 **STUDY AREA: CHALUFY**

71 One of the most well-studied Grès d'Annot exposures is located at the Montagne de Chalufy (Fig.
72 1A; B), representing a relatively distal part of the Grès d'Annot submarine fan system (Du Fornel
73 et al. 2004) (Fig. 1A; Fig. 2B). The exposure comprises three coarse-grained sandstone intervals
74 deposited sequentially against a marl paleo-slope (Puigdefabregas et al. 2004) (Fig. S1). The coarse-
75 grained intervals are interpreted as the deposits of high-concentration turbidity currents that built
76 submarine fan lobes on the basin floor. These coarse-grained intervals alternate with finer-grained
77 mudstone and siltstone intervals, which are interpreted as the deposits of lower-concentration
78 turbidity currents, interbedded with thin hemipelagic mudstones deposited at the distal extents of
79 the coarse-grained lobes or distributary channels on the basin floor (Fig. 2; Fig. S1).

80

81 Identification of foraminifera belonging to known planktonic (P) and nano-planktonic (NP)
82 biozones indicates that the basal part of the Grès d'Annot exposed at Chalufy was deposited at a
83 maximum of 34.2 Ma (NP20/NP21), with the uppermost parts of the Grès d'Annot being
84 deposited at 32.8 Ma (NP21/22) or a minimum of 32 Ma (top P18) (Fig. 2A) (Euzen et al. 2004;
85 Du Fornel et al. 2004). The base of P17 occurs prior to the first coarse-grained interval (Fig. 2A)
86 (Du Fornel et al. 2004). For consistency with the existing Alpine foreland chronostratigraphic
87 framework, and other isotopic and eustatic datasets used, all ages are tied to the chronology of
88 Berggren et al. (1995).



89

90 **DATA AND METHODS**

91 111 samples were recovered from three fine-grained intervals in one continuous measured section
 92 (~148 m) spanning the Grès d'Annot exposure at the Chalufy paleo-slope (Fig. 3; Fig. 2, S1; S2;
 93 Table S1). The samples were collected at ~60 cm intervals, from > 30 cm below the exposed
 94 surface and only within hemipelagic sections, thus avoiding potential contamination by
 95 allochthonous material. The samples were crushed and their bulk carbonate $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values
 96 measured using standard techniques (Brodie et al. 2018), with 9 repeated measurements of section-

97 representative samples yielding a mean measurement error of ± 0.3 for $\delta^{13}\text{C}$ and ± 0.1 for $\delta^{18}\text{O}$
98 (Fig. 3; S2). Carbon and oxygen isotopes are reported per mil (‰) relative to the Vienna Pee Dee
99 Belemnite Standard (VPDB) (Fig. S2).

100

101 Three isotopic curves representing each fine-grained interval were generated through the
102 application of a Savitzky–Golay filter to the individual data points, which smooths the data without
103 distorting the underlying signal (Fig. 3; S2; S3) (Savitzky and Golay, 1964). The data were iteratively
104 smoothed through various window-lengths until a dominant signal emerged (Fig. S7). These curves
105 were then placed within bounding-age constraints derived from micropaleontological zonation of
106 the study area (Du Fornel et al. 2004) and assessed for correlation with the time-equivalent global
107 $\delta^{13}\text{C}$ curve (Cramer et al. 2009) and the North Atlantic eustatic sea-level curve (Miller et al. 2005).
108 Randomly selected samples have also undergone X-ray diffraction (XRD), total organic carbon
109 (TOC) (Table S1) and petrographic analysis (Fig. S6) in order to assess the potential for mixed-
110 carbonate-source error or diagenetic overprinting.

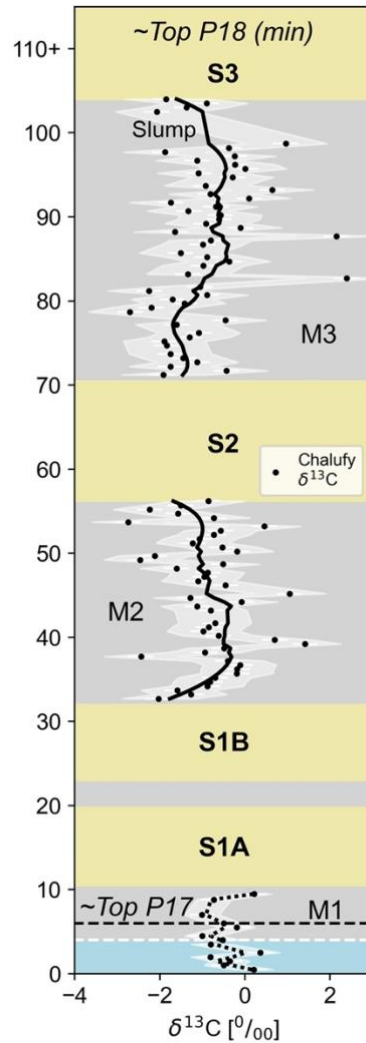
111

112 **RESULTS**

113 The bulk $\delta^{13}\text{C}$ content was measured from 111 samples (Fig. 3; Fig. S1). The identification of
114 benthic foraminifera during petrographic analysis of the samples (Fig. S6) and the occurrence of
115 dateable benthic foraminifera within the Chalufy section (Fig. 2A) indicates that the bulk $\delta^{13}\text{C}$
116 measurements primarily record the signature of this fauna. The observed depletion in $\delta^{18}\text{O}$ values
117 suggests some diagenetic influence, which may have also impacted the $\delta^{13}\text{C}$ values. Cross-plotting
118 of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ from each interval also shows no statistically-significant trends, suggesting a lack
119 of diagenetic overprinting (Marshall, 1991) (Fig. S5). X-ray diffraction of selected samples within
120 each interval indicates total organic carbon (TOC) contents of $< 0.7\%$ and calcite to organic
121 matter ratio of $> 7:1$, indicating that the likelihood of diagenetic contamination from organic
122 carbon is low (Saltzman and Thomas, 2012).

123

124 The $\delta^{13}\text{C}$ data shows a broadly increasing spread with increasing height in the section ($1\sigma = 0.5$
125 ‰, 0.4 ‰, 0.9 ‰, 1.0 ‰ for each sequential fine-grained interval) (Fig. S4), with mean $\delta^{13}\text{C}$ values
126 being 2.0 ‰ more negative than time-equivalent open oceanic values (Cramer et al., 2009). Data
127 noise and more negative $\delta^{13}\text{C}$ values are attributed to: 1) the relatively proximal position of the
128 basin resulting in the oxidation of light organic ^{12}C delivered by rivers (Jenkyns, 1996; Voigt and
129 Hilbrecht, 1997), and 2) microscopic turbidites or authigenic carbonate, such as micro-veins,
130 within the hemipelagic sections creating allochthonous noise (Fig. S7; S6). These unavoidable
131 diagenetic and environmental factors potentially acted to adjust the isotopic values, likely
132 precluding accurate high-resolution cyclo-stratigraphy (e.g. precessional trends). Lower-resolution
133 isotopic trends (e.g. eccentric trends) are suggested to be resolved, however, as a result of the high
134 sampling density and resultant smoothed curves.



135

136 The $\delta^{13}\text{C}$ curves of Mudstone 2 (M2) and Mudstone 3 (M3) (sandstone-bounded fine-grained
 137 intervals) each show a general trend of increasing then decreasing $\delta^{13}\text{C}$ values with increasing
 138 height (Fig. 3). Within the micropalaeontological constraints these curves could be correlated to
 139 similar excursions in the smoothed global $\delta^{13}\text{C}$ curve between 33.5 and 33.0 Ma, or between two
 140 rising then falling sections of the global eustatic curve between 33.5 and 32.3 Ma (Fig. 4). Due to
 141 the restricted nature of the Alpine foreland basin (Fig. 1B), which would have prevented rapid
 142 exchange with the global carbon reservoir (Saltzman and Thomas, 2012), and the relatively
 143 proximal position of the sampled stratigraphy (Fig. 1B), the eustatic correlation is most probable
 144 (e.g. Castellort et al. 2017). M2 and M3 therefore correlate with eustatic sea-level highstands, with
 145 the sandstones at ends of the curves (S1B, S2 and S3) correlating with sea-level lowstands (Fig. 4).

146

147 There is uncertainty in how much time is occupied between the upper marl surface and Grès
148 d'Annot deposition as the older part of the stratigraphy was not deposited at the sampled location,
149 which is higher on the basin margin slope (Du Fornel et al. 2004). Accordingly, the isotopic trends
150 within the lowermost sections cannot be confidently interpreted.

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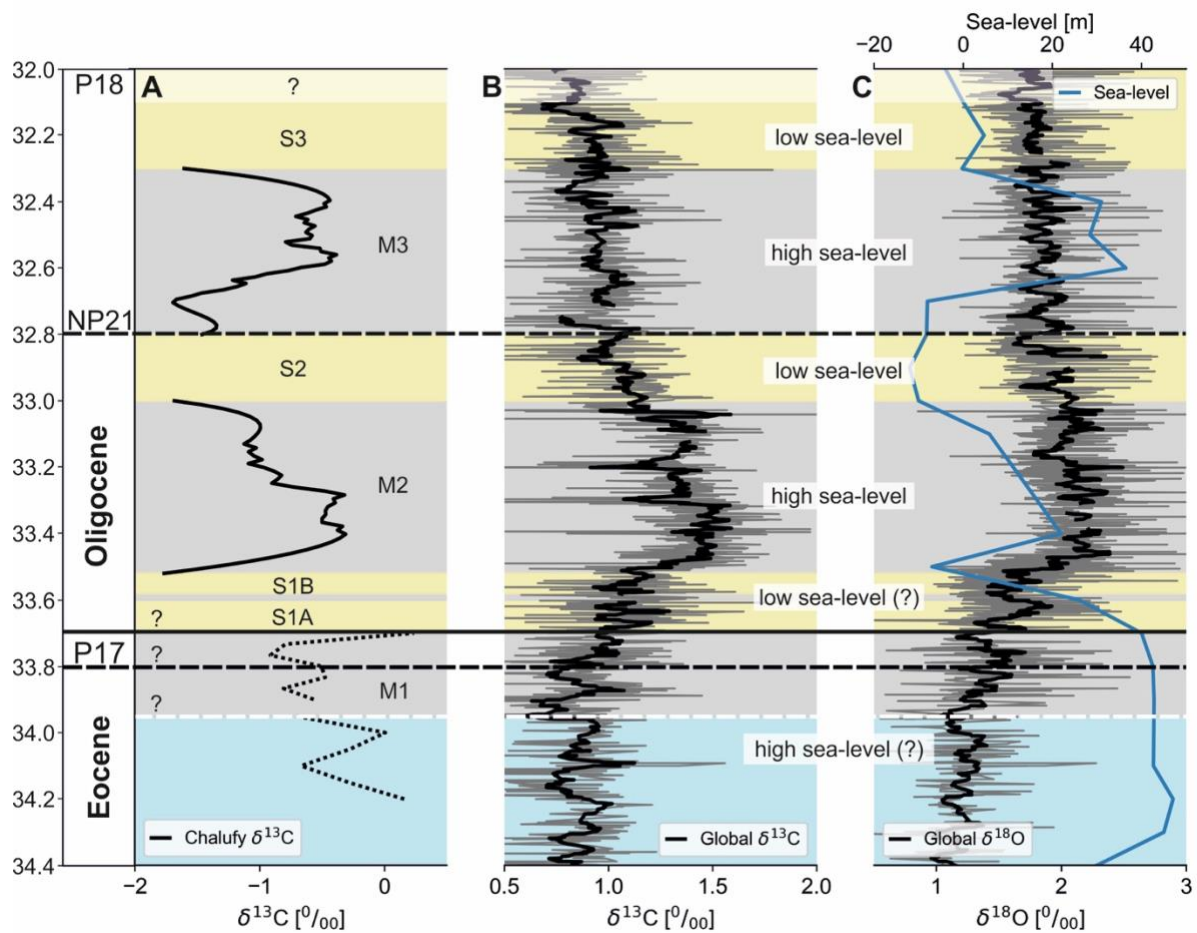
152 The Chalufy $\delta^{18}\text{O}$ values show a generally decreasing, but noisy, trend in M2, and a sharply
153 increasing then sharply decreasing trend in M3. However, due to diagenesis, these $\delta^{18}\text{O}$ trends are
154 expected to be less reliable than the $\delta^{13}\text{C}$ trends and are consequently not used as a basis for
155 interpretation (Fig. S2).

156

157 **DISCUSSION**

158 The observed correlation between submarine fan retreat and global positive $\delta^{13}\text{C}$ excursions
159 indicates that; 1) the isotopic record of the Chalufy section resolves cycles of environmental change
160 across the EOT and in the early Oligocene, and 2) deposition in the Alpine foreland was influenced
161 by the environmental factors driving these excursions. The correlation between the positive $\delta^{13}\text{C}$
162 excursions and time-equivalent global eustatic highstands indicates that sea-level change drove
163 these excursions. Fine-grained deposition in the deep-water foreland basin is therefore linked to
164 high sea-levels, while coarse-grained deposition is linked to low sea-levels. These results are
165 consistent with those made in the Eocene Pyrenean foreland basin (Castelltort et al. 2017), with
166 positive $\delta^{13}\text{C}$ excursions found to correlate with eustatic sea-level highstands and reduced sediment
167 supply to submarine fans. Low sea-levels tend to enhance siliciclastic deep-marine deposition as
168 rivers are able to deliver sediment directly to the shelf-edge and deeper waters. In the Quaternary
169 Golo Fan this process has been invoked to explain widespread fine-grained deposition in
170 submarine fans during interglacial highstands and coarser-grained deposition during glacial

171 lowstands (Sweet et al. 2020). These results suggest an analogous control on sediment supply to
 172 deep-water in the Paleogene Alpine foreland basin.



173
 174 Sea-level fluctuations during the early Oligocene are likely driven by fluctuations in volume of the
 175 newly-established Antarctica ice sheet (Katz et al. 2008), with the positive $\delta^{13}\text{C}$ excursions
 176 identified here likely representing periods of warmer periods and decreased ice sheet volume. This
 177 may indicate that onshore climate change across the Eocene-Oligocene transition also influenced
 178 deposition in the Alpine foreland. Warmer climates act to reduce sediment supply to submarine
 179 fans by expanding vegetation cover and trapping sediment in catchments, as observed during warm
 180 Pleistocene interglacials in the Gulf of Corinth (Cullen et al. 2021). Conversely, cooler climates
 181 may increase sediment supply to submarine fans through increased continental weathering, as
 182 invoked to explain increased terrigenous sediment supply to marine environments offshore
 183 western Africa during early Oligocene cooling (Séranne, 1999). Similar climatic mechanisms may

184 have operated in tandem with eustasy to modulate coarse-grained sediment supply to the deep-
185 water Alpine foreland during the early Oligocene. This may be indirectly reflected in the $\delta^{13}\text{C}$
186 record of the fine-grained sections, with a reduction in the volume of ^{12}C transported to marine
187 environments by rivers consistent with the observed positive $\delta^{13}\text{C}$ excursions (Voigt and Hilbrecht,
188 1997).

189

190 **CONCLUSION**

191 Submarine fan evolution is intimately linked to tectonic and climatic processes. The stratigraphic
192 record of submarine fans is therefore expected to archive major tectonic and climatic events. Here
193 we show that positive $\delta^{13}\text{C}$ excursions correspond to periods of reduced sediment delivery to the
194 Alpine foreland basin. These positive $\delta^{13}\text{C}$ excursions are linked to periods of eustatic highstand
195 across the Eocene-Oligocene transition that decreased sediment supply to deep-water
196 environments. Expansion of vegetation during these warmer periods may have also acted to reduce
197 sediment supply to deep-water. Sea-level lowstands driven by icesheet expansion resulted in
198 increased sediment supply to submarine fans and coarse-grained deposition in the basin. This study
199 indicates that the coupled influence of sea-level and onshore climate change across the EOT and
200 during the early Oligocene affected submarine fan deposition in Alpine foreland, further
201 highlighting the utility of exhumed submarine fans as archives of environmental change.

202

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207 assistance in the stable isotope analyses.

208

209 **FIGURE CAPTIONS**

210 Figure 1: A) Geological map of the Alpine foreland and the location of the sampled section at
211 Montagne de Chalufy (black arrow). Inset is present-day European location. Red box is geological
212 map area. Black lines with teeth represent thrust faults, with teeth on hangingwall. (modified from
213 Joseph and Lomas, 2004). U. = upper; Mio-Plio = Miocene-Pliocene; Jur. = Jurassic; Cret. =
214 Cretaceous, Tri. = Triassic; Pe = Permian. EU = Embrunais-Ubaye. B) Palinspastic reconstruction
215 and paleogeographic setting of the Alpine foreland basin during the Late Eocene (modified from
216 Dumont et al. 2011). Ms = Marseille; NS = North Sea; Sw. = Switzerland; Ger. = Germany; Med.
217 = Mediterranean.

218
219 Figure 2: A) Chronostratigraphic framework of the studied section (modified from Euzen et al.,
220 2004). The base of P18 is precedes deposition of S1A. Micropaleontological zonation (NP & P
221 zones) of the studied stratigraphy (modified from Euzen et al. 2004). Eocene – Oligocene
222 boundary shifted to that of Katz et al. (2008). B) Uninterpreted and interpreted (C) sampled
223 sections at Chalufy and stratigraphic context. Red dashed line indicates a fault. Grid reference:
224 44°09'21"N, 6°32'51"E. GdA = Gres d'Annot; MB = Marnes Bleues; Cli. = generalized climate;
225 Ep. = epoch; St. = stage, Plank. forams = planktonic foraminifera; Calc. nano. = calcareous
226 nannofossils; Strat: stratigraphy; P: planktonic; NP: nanoplanktonic; S = sandstone; M =
227 mudstone.

228
229 Figure 3: $\delta^{13}\text{C}$ measurements for each fine-grained interval sampled and their bounding P-Zones
230 (planktonic zones) (Du Fornel et al. 2004). The black solid line represents a Savitzky-Golay filter.
231 Yellow = sandstone, grey = mudstone and siltstone, blue = marl. The white line is an uncertain
232 age break. Error bar is the mean error of $\delta^{13}\text{C}$ standards. Light grey envelope is one standard
233 deviation.

234

235 Figure 4: Correlation of A) the $\delta^{13}\text{C}$ record from Chalufy, B) Global $\delta^{13}\text{C}$ curve (Cramer et al.
236 2008), and C) Global $\delta^{18}\text{O}$ curve and North Atlantic sea-level (Miller et al. 2005). C-S = Corsica-
237 Sardinia, yellow = sandstone, grey = mudstone and siltstone, blue = marl. Ages tied to the
238 chronology of (Berggren et al. 1995; Cande and Kent, 1995). The white line indicates uncertain
239 age break. Solid black lines are Savitzky–Golay filtered data, grey lines indicate raw data.

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366 **SUPPLEMENTARY CAPTIONS**

367 Figure S1: Logged section at Chalufy and sample locations. Middle and upper sandstones from
368 Puigdefabregas et al. (2004). Numbers refer to sand unit described in text.

369

370 Figure S2: $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ measurements for each fine-grained interval sampled and their bounding
371 P Zones (Du Fornel et al. 2004). Yellow = sandstone, grey = mudstone and siltstone, blue = marl.
372 The white line is an uncertain age break. Error bar is the mean error of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ standards
373 (mean error assigned to each data point). Light grey envelope is one standard deviation of each
374 fine-grained interval.

375

376 Figure S3: Correlation between A) the $\delta^{13}\text{C}$ record of Chalufy, B) Global $\delta^{13}\text{C}$ curve (Cramer et al.
377 2008), C) the $\delta^{18}\text{O}$ record of Chalufy, and D) Global $\delta^{18}\text{O}$ curve and North Atlantic sea-level
378 (Miller et al. 2005). C-S = Corsica-Sardinia, yellow = sandstone, grey = mudstone and siltstone,
379 blue = marl. Ages tied to the chronology of (Berggren et al. 1995; Cande and Kent, 1995). The
380 white line indicates uncertain age break. Solid black lines are Savitzky–Golay filtered data, grey
381 lines indicate raw data.

382

383 Figure S4: Standard deviations of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ data. Box indicates quartiles, black line indicates
384 median, whiskers indicate the remainder of the distribution, diamonds indicate outliers determined
385 through a method that is a function of the inter-quartile range.

386

387 Figure S5: Cross-plot of $\delta^{18}\text{O}$ v $\delta^{13}\text{C}$ indicates no correlation and therefore a minimum of
388 diagenetic alteration. Rho (ρ) is spearman rank correlation, p is probability value (p-value).

389

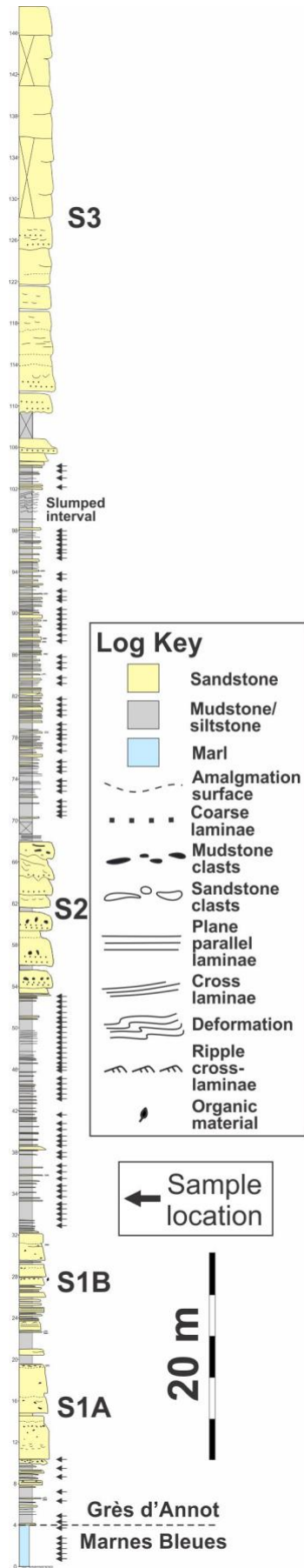
390 Figure S6: Thin-section photomicrographs of samples: plane polarized light (PPL) (left) and
391 cathodoluminescence (CL) (right). (A&B) Heterogeneous matrix comprised of mud (light brown

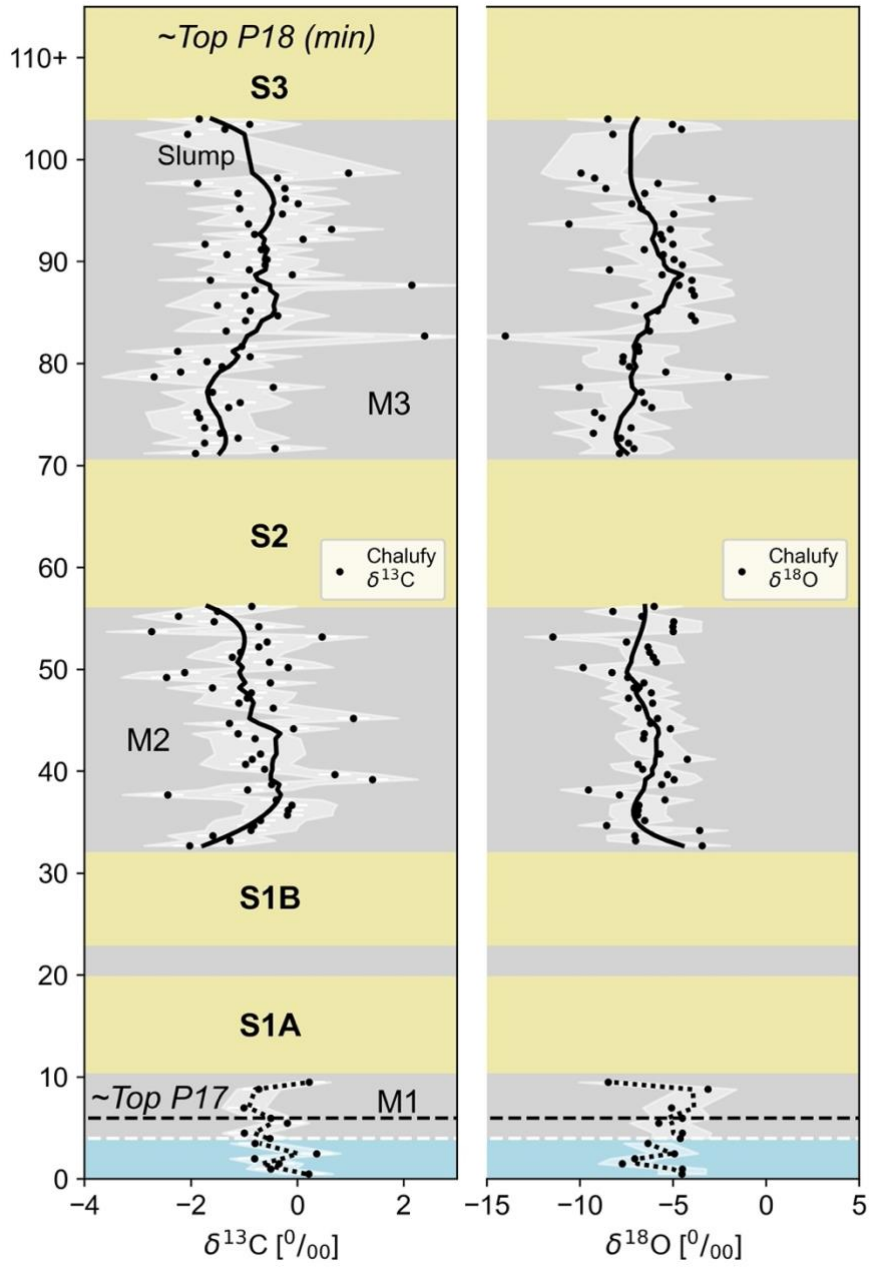
392 in PPL) with silt sized detrital quartz and calcite grains (blue and orange luminescence respectively)
393 and foraminifera (arrowed). Stable isotope measurements were derived from this foraminifera-rich
394 material. (B&C) Minor fracture (white arrow) crosscut by a major fracture (red arrow) both
395 cemented by dull blue luminescing calcite. The major fracture is approximately bed parallel,
396 suggesting stylolite cementation. (D&E) Organic matter (dark brown to black in PPL) is bed
397 parallel. Well-developed laminations of quartz-rich (red arrows) and calcite-rich (white arrows)
398 sediment (blue and orange luminescence respectively). Scale bar is 500 μm .

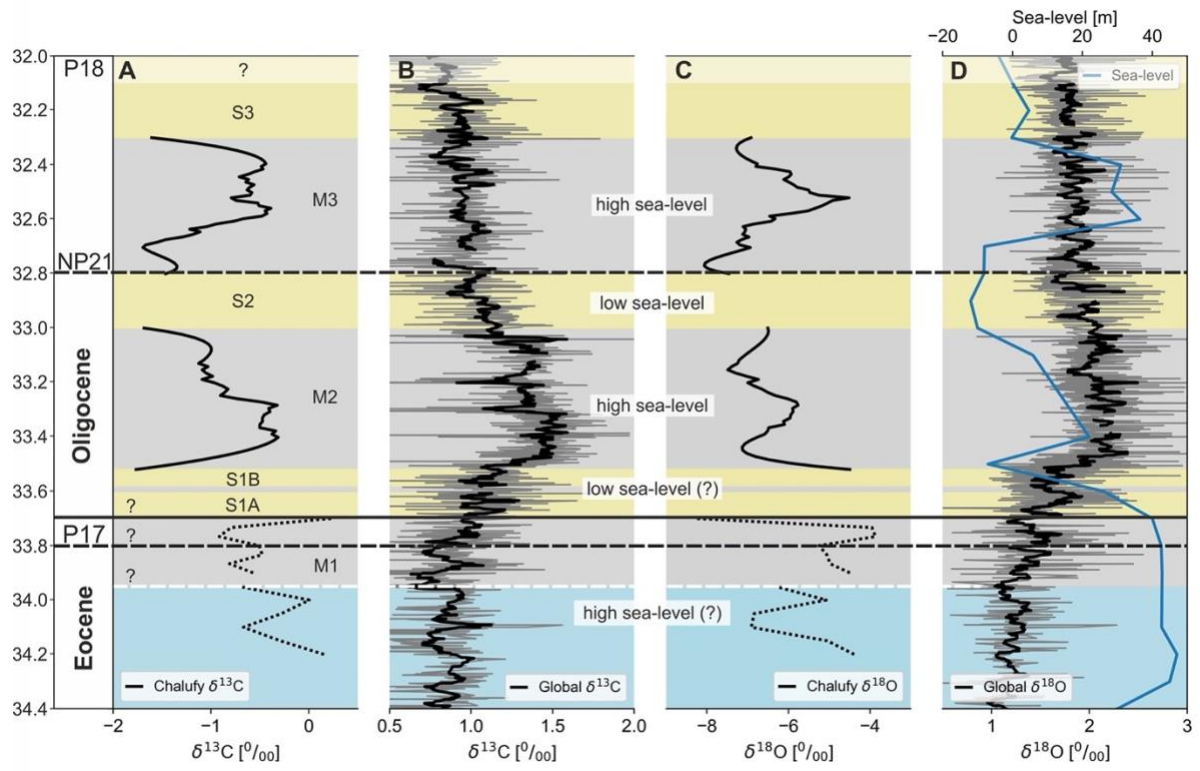
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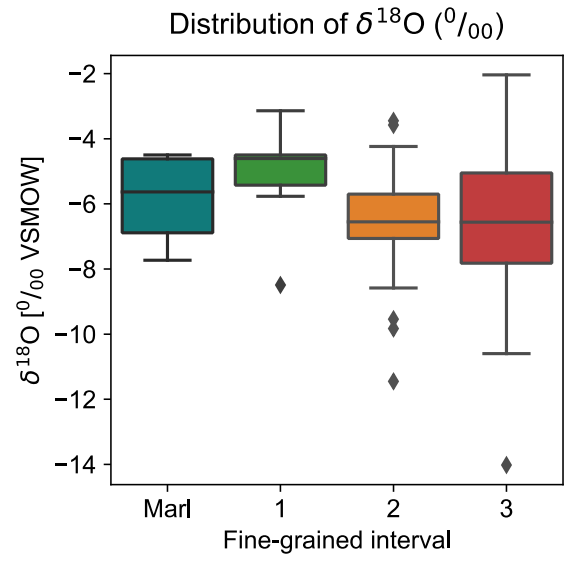
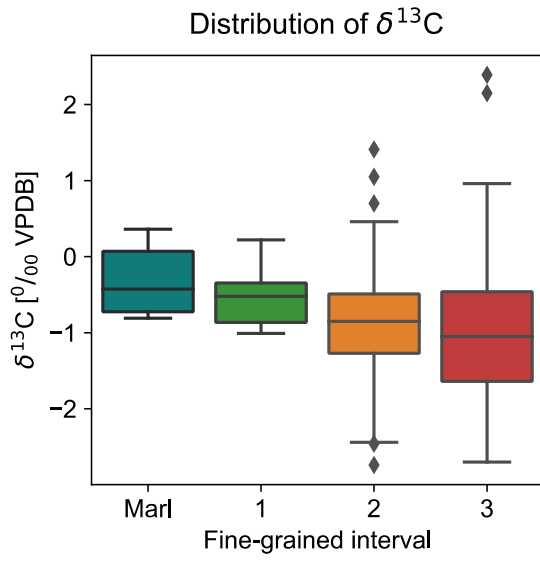
400 Figure S7: Savitsky-Golay filters of different window lengths for the collected isotopic data. A
401 window-length of 5 was chosen for M1, while a window length of 21 was chosen for M2 and M3
402 as this window-length best displayed the general trends of the data.

403









$\delta^{18}\text{O}$ vs $\delta^{13}\text{C}$

