

1 **A CMIP6 ensemble for downscaled monthly climate normals over**
2 **North America**

3 Colin R. Mahony^{1*}, Tongli Wang², Andreas Hamann³, and Alex Cannon⁴

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5 1. British Columbia Ministry of Forests, Lands, Natural Resource Operations and Rural
6 Development, Victoria, BC, Canada.

7 2. Centre for Forest Conservation Genetics, Department of Forest and Conservation
8 Sciences, Faculty of Forestry, University of British Columbia, Canada

9 3. Department of Renewable Resources, Faculty of Agricultural, Life, and Environmental
10 Sciences, University of Alberta, Canada

11 4. Climate Research Division, Environment and Climate Change Canada, Victoria, British
12 Columbia, Canada

13 *Correspondence to colin.mahony@gov.bc.ca; twitter @ColinRMahony

14

15 **Abstract**

16 Many studies of climate change impacts and adaptation use climate model projections
17 downscaled at very high spatial resolution (~1km) but very low temporal resolution (20- to 30-
18 year normals). These applications have model selection priorities that are distinct from analyses
19 at high temporal resolution. Here, we select a 13-model CMIP6 ensemble designed for robust
20 change-factor downscaling of monthly climate normals and describe its attributes in North
21 America. The ensemble is representative of the distribution of equilibrium climate sensitivity and
22 grid resolution in the CMIP6 generation. We provide rationale for a 9-member subset of the
23 ensemble based on screening criteria and sequence these 9 models for selection of smaller
24 ensembles for regional analysis. Although we have focused our documentation on North
25 America, the 13-model ensemble is selected using global criteria and applicable to downscaling
26 climate normals in other continents.

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28

29 1. Introduction

30 The most recent iteration of the Coupled Model Intercomparison Project (CMIP6; Eyring
31 et al. 2016) is a once-in-a-decade update to projections of climate change. CMIP6 provides a
32 larger number of simulations from a new generation of global climate models, at higher spatial
33 resolution, and using an improved set of emissions scenarios relative to its predecessor, CMIP5
34 (Taylor et al. 2012). These new climate simulations contribute to and are put into broader context
35 by the Sixth Assessment Report from Working Group I of the Intergovernmental Panel on
36 Climate Change. CMIP6 simulations are rapidly being incorporated into downscaled climate data
37 products for use in regional climate change impacts and adaptation initiatives. These initiatives
38 can benefit from careful selection of climate model projections that are suited to broad classes of
39 end uses, and from greater transparency on the attributes of these ensembles.

40 Many climate change impact analyses, particularly in ecology, use projections of climate
41 change that are downscaled to very high resolution (~1km) but very low temporal resolution (20-
42 30 year climate normals). The prevalence of this type of analysis is evident from the widespread
43 use of WorldClim (Hijmans et al. 2005, Fick and Hijmans 2017; 23340 citations) and
44 ClimateNA (Wang et al. 2012, 2016, Hamann et al. 2013; 1678 citations). The low temporal
45 resolution of these applications simplifies downscaling; both WorldClim and ClimateNA use
46 change-factor downscaling, also called simple mean bias correction (Maraun 2016). This method
47 adds low-spatial-resolution anomalies from the climate model to a high-resolution gridded
48 climate map (Tabor and Williams 2010). The best practices for change-factor downscaling to
49 high-spatial and low-temporal resolution are different than those for more sophisticated
50 statistical downscaling techniques required for high temporal resolution downscaling (Wilby et
51 al. 2004), leading to distinct model selection priorities.

52 One consideration in model selection for change-factor downscaling is the number of
53 simulation runs for each candidate model. The change-factor method is sensitive to the influence
54 of natural variability in the historical reference period against which anomalies are calculated
55 and bias correction is applied. Similarly, natural variability during the projected future periods
56 adds “noise” to the climate change “signal”, the latter being of primary interest to analyses of
57 projected climate normals. Performing change-factor downscaling with multiple simulations
58 runs of each model reduces the confounding influence of natural variability in bias correction
59 and improves the signal-to-noise ratio. Models with multiple simulations for each historical and
60 future scenario are preferable in this context.

61 Another consideration is the model bias. All climate models exhibit biases--systematic
62 differences between observations and simulations—at the regional scale. Removal of these
63 biases is a basic step in downscaling (Maraun 2016). Change-factor downscaling performs
64 univariate bias correction and therefore does not conserve the physical (e.g., thermodynamic)
65 interdependence between variables such as temperature and precipitation (Cannon 2018). The
66 associated potential for univariate downscaling to produce physically implausible climatic
67 conditions presumably increases with the size of the biases in the simulation. For this reason,
68 models with small biases are preferable to models with large biases, all else being equal.

69 Finally, the spatial resolution of climate models is of interest to high spatial resolution
70 downscaling. Some models contributing to the CMIP6 ScenarioMIP experiment (the candidate

71 pool for ensemble selection in this study) have horizontal grid resolutions of 70-100km. These
72 medium-resolution models are able to resolve macrotopography, e.g., to differentiate the major
73 mountain ranges of the Western Cordillera. The opportunity to better represent the influences of
74 water bodies and topography on climate change trends, such as elevation-dependent warming
75 (Palazzi et al. 2019), is appealing for climate change impact analyses. Nevertheless, medium-
76 resolution models may bring new challenges for high-resolution change-factor downscaling.
77 Conversely, models with very low spatial resolution (>300km) can conflate the climate change
78 signals of distinct regions, particularly at land/ocean transitions. Very low resolution therefore is
79 a consideration for exclusion from ensembles designed for high-resolution change-factor
80 downscaling.

81 Collectively, the three considerations described above suggest an ensemble that prioritizes
82 number of simulations per model rather than number of models, low-to-moderate bias, and
83 moderate-to-high spatial resolution.

84 Once a general-purpose ensemble is selected, it is useful to structure the ensemble for
85 further user-specific model selection. Many applications of projected climate normals are
86 computationally intensive analyses at regional scales. In these cases, it is often desirable to use a
87 small number (3-8) of models that represent the approximate range of a more comprehensive
88 ensemble. Cannon (2015) describes a method for structuring an ensemble into an order of subset
89 selection that optimally represents the ensemble spread. Alternatively, analysts may wish to
90 select a custom subset of the ensemble. Documentation of the attributes of the ensemble
91 members can help analysts to identify subsets that are best suited to specific applications.

92 The purpose of this study is to document and characterize an ensemble of CMIP6 model
93 projections of 21st century climate change over North America for use in ClimateNA (Wang et
94 al. 2016; <http://climatena.ca/>). The focus of model selection is on facilitating robust downscaling
95 of climate normals at high spatial resolution and low temporal resolution. We characterize the
96 attributes, biases, and climate change trends of the ensemble and highlight features of interest in
97 individual climate models. Finally, we provide ordered subsets of the ensemble for regional
98 analyses and considerations for selection of custom subsets. This information is complemented
99 by an interactive web application to explore the ensemble in more detail ([https://bcgov-
100 env.shinyapps.io/cmip6-NA/](https://bcgov-env.shinyapps.io/cmip6-NA/)).

101 2. Methods

102 2.1. Criteria for model selection

103 We assessed all models in the ESGF holdings for the CMIP6 ScenarioMIP as of December
104 15, 2020. We selected models using six objective criteria, listed below with rationale:

- 105 • **Criterion 1: T_{\min} and T_{\max} available.** Mean daily minimum temperature (T_{\min}) and
106 mean daily maximum temperature (T_{\max}) are the directly measured elements of the long-
107 term temperature record, and are essential to the downscaling and variable derivation in
108 ClimateNA.

- 109 • **Criterion 2: Minimum of 3 historical runs available.** This criterion ensures robust
110 downscaling by reducing the confounding influence of natural variability in bias
111 correction.
- 112 • **Criterion 3. Complete scenarios.** Models need to have at least one simulation for three
113 of the four major SSP marker scenarios (SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5).
- 114 • **Criterion 4. One model per institution.** This criterion is a widely applied best practice
115 in ensemble selection (Leduc et al. 2016) as one measure to increase independence
116 among ensemble members. For the purposes of this criterion, different physics or forcing
117 schemes of the same model were considered different models.
- 118 • **Criterion 5. No closely related models.** Models that share components were excluded,
119 following Figure 5 of Brunner et al. (2019).
- 120 • **Criterion 6. No large biases.** Bias is the degree to which a model simulation differs
121 from the observed climate over a reference period (1961-1990 in this case). Models with
122 much larger biases than the rest of the ensemble in one or more variables were excluded.

123 2.2. Analysis of model bias

124 We assessed model bias as *mean absolute bias* over North America in each monthly
125 climate variable. For each grid cell, i , the mean simulated 1961-1990 climate normal of the K
126 historical model runs, \bar{f}_i is calculated as

$$\bar{f}_i = \frac{1}{K} \sum_{k=1}^K f_{ik} \quad (1)$$

127 The absolute value of the difference between the simulated 1961-1990 normal, \bar{f}_i , and the
128 observed 1961-1990 normal, o_i , aggregated onto the native model grid is calculated for each grid
129 cell:

$$|e_i| = |\bar{f}_i - o_i| \quad (2)$$

130 The mean absolute bias, $|e|$, over all N projected grid cells in North America is calculated as:

$$|e| = \frac{1}{N} \sum_{i=1}^N |e_i| \quad (3)$$

131 To equalize the area of grid cells, we projected absolute bias in the native model grid onto a
132 Lambert Conformal Conic grid with 0.5° resolution prior to calculating this mean.

133 For precipitation variables, Equations 1 and 2 were performed on log-transformed normals.
134 Following Equation 3, this log-transformation was reversed by taking the exponent of absolute
135 bias. Doing so expresses absolute bias of precipitation as a factor of magnitude. e.g., simulated
136 precipitation of 50% and 200% relative to observed precipitation both have an absolute bias of 2.

137 **2.3. Ensemble subset criteria**

138 Users of the ensemble may wish or need to use a lesser number of models in their analyses.
139 To support the selection of subsets, we structure the ensemble by defining an order of exclusion
140 of models. Models are excluded in two phases: first based on screening criteria to exclude
141 models with lower value for the anticipated uses of the ensemble, and second using the method
142 of Cannon (2015) to best represent the range of climate changes in the remaining models.

143 **2.3.1. Screening criteria**

144 Priority for exclusion from model subsets was established using four screening criteria.
145 The screening criteria are more subjective than the six selection criteria defined above. They
146 generally are not sufficient in isolation but combinations of the criteria provide some justification
147 for model exclusions.

- 148 • **Criterion 7. Constraints on equilibrium climate sensitivity (ECS).** Multiple lines of
149 evidence indicate that the Earth's equilibrium climate sensitivity (ECS) is very likely
150 between 2°C and 5°C (Liang et al. 2020, Sherwood et al. 2020, Tokarska et al. 2020). The
151 evidence is robust for the lower bound, and weaker for the upper bound. From one
152 perspective, inclusion of models with ECS outside this range unnecessarily increases the
153 modeling uncertainty in downstream analyses. The opposing perspective is that high-
154 sensitivity models are useful as a representation of high-impact, low-likelihood scenarios
155 (Sutton and Hawkins 2020). To accommodate both perspectives, we provide structured
156 subsets with and without high-sensitivity models.
- 157 • **Criterion 8. Model resolution.** Some ScenarioMIP models have sufficiently high spatial
158 resolution to resolve macrotopography, e.g., to differentiate the major mountain ranges of
159 the Western Cordillera. These models are weighted towards inclusion in the ordered
160 subsets. Models with very low spatial resolution are weighted towards exclusion in the
161 subset.
- 162 • **Criterion 9. Number of simulation runs.** The ensemble is designed for analysis of
163 projected climate normals; the climate change signal is of primary interest. In this
164 context, internal variability of the models is a confounding factor, producing erratic
165 climate change trajectories in noisy climate variables like precipitation and winter
166 temperature. The signal-to-noise ratio can be increased by averaging the projected
167 normals over multiple simulations of the same emissions scenario. Models with only one
168 run are weighted for exclusion.
- 169 • **Criterion 10: Grid cell artefacts.** Models exhibiting spatially anomalous climate
170 changes in individual grid cells are problematic for many of the intended uses of this
171 ensemble, and are weighted for exclusion from the structured subsets.

172 **2.3.2. Ordered subsets**

173 After exclusion of models using the screening criteria above, an order of exclusion for the
174 remaining models is defined using the Katsavounidis–Kuo–Zhang (KKZ) algorithm, using the
175 application to climate model ensemble selection described by Cannon (2015). KKZ

176 deterministically selects models that best represent the spread of multivariate climate changes
177 projected by the ensemble. KKZ subset selection is ordered, starting with the model closest to
178 the ensemble centroid, and incrementally adding models to a region of the ensemble variation
179 that is poorly represented by each successive subset.

180 Since the spatial patterns of climate change differ among models, we provide separate
181 KKZ subsets for each of the 7 IPCC climate reference regions (Iturbide et al. 2020) within North
182 America. We do not provide an ordered subset for North America as a whole, given that
183 ensembles of <9 models are insufficient to represent spatial variation in modeling uncertainty at
184 continental scales (Pierce et al. 2009, McSweeney et al. 2014, Cannon 2015). The
185 implementation of KKZ in this study used the mean of the z-standardized seasonal changes in
186 T_{\min} , T_{\max} , and precipitation in four consecutive 20-year time periods starting with 2021-2040
187 and three emissions scenarios (SSP1-2.6, SSP2-4.5, and SSP3-7.0).

188 **3. Results**

189 **3.1. Ensemble selection**

190 There were 44 models in the CMIP6 ScenarioMIP holdings as of December 15, 2020
191 (Table 1). Twelve of these candidates were excluded because they did not provide monthly
192 means of T_{\min} and T_{\max} (Criterion 1). Notably, CESM2 does provide T_{\min} and T_{\max} in its future
193 projections, but due to an archiving error these variables are not available for historical runs. An
194 additional eleven models were excluded because they had less than three historical runs
195 (Criterion 2) or an incomplete scenario set (Criterion 3). Of the 21 models that passed these first
196 three strict criteria, we excluded two more models on the basis of having a clear choice between
197 models from the same institution (Criterion 4): CanESM5-CanOE in favour of CanESM5; and
198 EC-Earth3-Veg in favour of EC-Earth3. In addition, of the several variants of the GISS-E2-1-G
199 model, we selected the r**i*1p3f1 variant because it had the most complete set of scenario
200 simulations. We downloaded historical simulations from the remaining 19 models for further
201 evaluation. For practical purposes, we limited downloads to 5 historical simulations for EC-
202 Earth3 due to its very high resolution and archiving structure, and 10 simulations for other
203 models.

204 To assist with choosing among models from the same institution (Criterion 4) or with
205 shared components (Criterion 5), we conducted an analysis of bias in T_{\min} , T_{\max} , and
206 precipitation (PPT) (Figure 1). We excluded AWI-CM-1-1-MR on the sole basis of its very high
207 temperature bias (Criterion 6). NESM3 also has high bias relative to the other models, and
208 excluded due to shared components with MPI-ESM1 (Criterion 5). None of the other related
209 models were distinct from each other in terms of bias.

210 Final choices from among related models were: UKESM1-0-LL selected over HadGEM3-
211 GC31-LL due to higher resolution and more simulations; MIROC6 over MIROC-ES2L due to
212 higher number of runs and regionally high biases in the Pacific Northwest. MPI-ESM1-2-HR
213 over MPI-ESM1-2-LR to improve representation of high-resolution models in the ensemble; and
214 CNRM-ESM2-1 arbitrarily selected over CNRM-CM6-1 in favour of the ESM configuration. In
215 summary, the six criteria reduced the 44 candidate models to a 13-model ensemble.

216 **Table 1: Candidate models, model exclusion criteria, and number of simulation runs.** Model list and
 217 number of simulations per scenario are ESGF holdings as of December 15, 2020. ECS is equilibrium
 218 climate sensitivity (long-term temperature change in response to an instant doubling of CO₂); ECS values
 219 are quoted from Meehl et al. (2020).

Model	Criterion for exclusion	ECS	ESGF holdings					Analyzed				
			historical	ssp126	ssp245	ssp370	ssp585	historical	ssp126	ssp245	ssp370	ssp585
ACCESS-CM2	2 <3 historical runs	4.7	2	1	1	1	1					
ACCESS-ESM1-5		3.9	30	10	30	10	10	10	10	10	10	10
AWI-CM-1-1-MR	6 very high bias	3.2	5	1	1	5	1	3				
BCC-CSM2-MR		3.3	3	1	1	1	1	3	1	1	1	1
CAMS-CSM1-0	1 No tmax/tmin	2.3	3	2	2	2	2					
CESM2	1 No tmax/tmin in historical	5.2	11	3	3	3	3					
CESM2-WACCM	1 No tmax/tmin in historical	4.8	3	1	5	3	5					
CIESM	3 incomplete scenarios		3	1			1					
CMCC-CM2-SR5	1 No tmax/tmin		1	1	1	1	1					
CNRM-CM6-1	4 same institution	4.9	30	6	10	6	6	10				
CNRM-CM6-1-HR	2 <3 historical runs	4.3	1	1	1	1	1					
CNRM-ESM2-1		4.8	11	5	10	5	5	11	5	5	5	5
CanESM5		5.6	65	50	50	50	50	10	10	10	10	10
CanESM5-CanOE	4 same institution		3	3	3	3	3					
E3SM-1-1	3 incomplete scenarios	5.3	1				1					
EC-Earth3		4.3	73	7	30	7	58	5	5	5	5	5
EC-Earth3-AerChem	2 <3 historical runs		2			1						
EC-Earth3-Veg	4 same institution	4.3	8	7	8	6	6					
FGOALS-f3-L	1 No tmax/tmin	3	3	3	3	3	3					
FGOALS-g3	1 No tmax/tmin	2.9	6	4	4	5	4					
FIO-ESM-2-0	3 incomplete scenarios		3	3	3		3					
GFDL-CM4	3 incomplete scenarios	3.9	1		1		1					
GFDL-ESM4		2.7	3	1	3	1	1	3	1	3	1	1
GISS-E2-1-G	selected r*i1p3f1 variants	2.7	47	7	30	19	7	4	4	4	4	4
HadGEM3-GC31-LL	5 shared components (UKESM1)	5.6	5	1	4		4	4				
HadGEM3-GC31-MM	3 incomplete scenarios	5.4	4	1			4					
IITM-ESM	1 No tmax/tmin		1	1	1	1	1					
INM-CM4-8	2 <3 historical runs	1.8	1	1	1	1	1					
INM-CM5-0		1.9	9	1	1	5	1	9	1	1	5	1
IPSL-CM6A-LR		4.6	9	5	6	9	5	9	5	6	9	5
KACE-1-0-G	1 No tmax/tmin		3	3	3	3	3					
KIOST-ESM	2 <3 historical runs		1	1	1		1					
MCM-UA-1-0	1 No tmax/tmin		2	1	1	1	1					
MIROC-ES2L	4 same institution	2.7	3	3	3	3	3	3				
MIROC6		2.6	50	50	50	3	50	10	10	10	3	10
MPI-ESM-1-2-HAM	3 incomplete scenarios		3			2						
MPI-ESM1-2-HR		3	10	2	2	10	2	8	2	2	10	1
MPI-ESM1-2-LR	4 same institution	3	10	10	10	10	10	10				
MRI-ESM2-0		3.1	7	1	5	5	2	5	1	5	1	1
NESM3	5 shared components (MPI-ESM1)	4.8	5	2	2		2	5				
NorESM2-LM	1 No tmax/tmin	2.6	3	1	3	3	1					
NorESM2-MM	1 No tmax/tmin	2.5	1	1	2	1	1					
TaiESM1	1 No tmax/tmin	4.4	2	1	1	1	1					
UKESM1-0-LL		5.4	19	16	17	16	5	10	5	5	5	5

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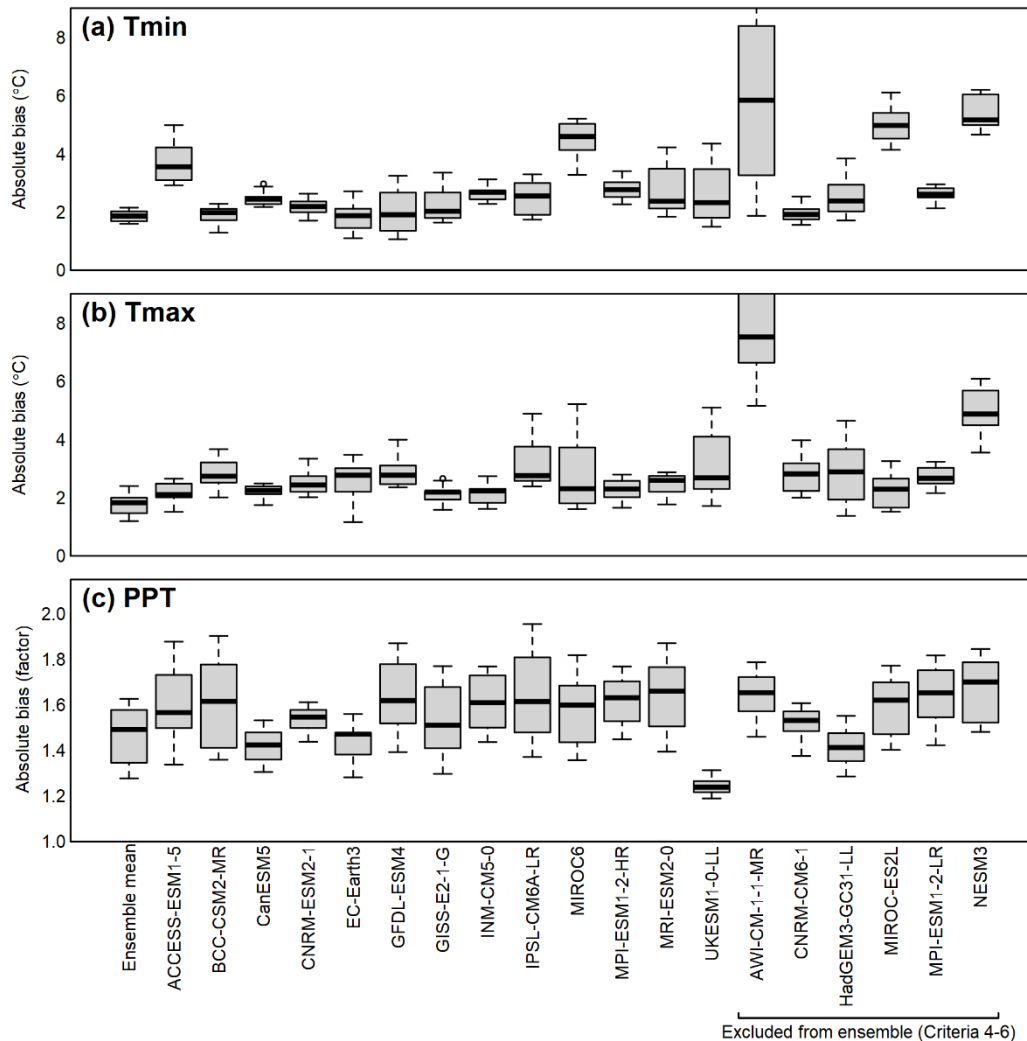
222 **3.2. Attributes of the 13-model ensemble**

223 **3.2.1. Representation of the full CMIP6 ensemble**

224 The 13-model ensemble has a mean global equilibrium climate sensitivity (ECS) of 3.7°C
 225 and a range of 1.9-5.6°C, which matches ECS of the full CMIP6 ensemble (3.7°C; 1.8-5.6°C)
 226 (Meehl et al. 2020).

227 **3.2.2. Model bias**

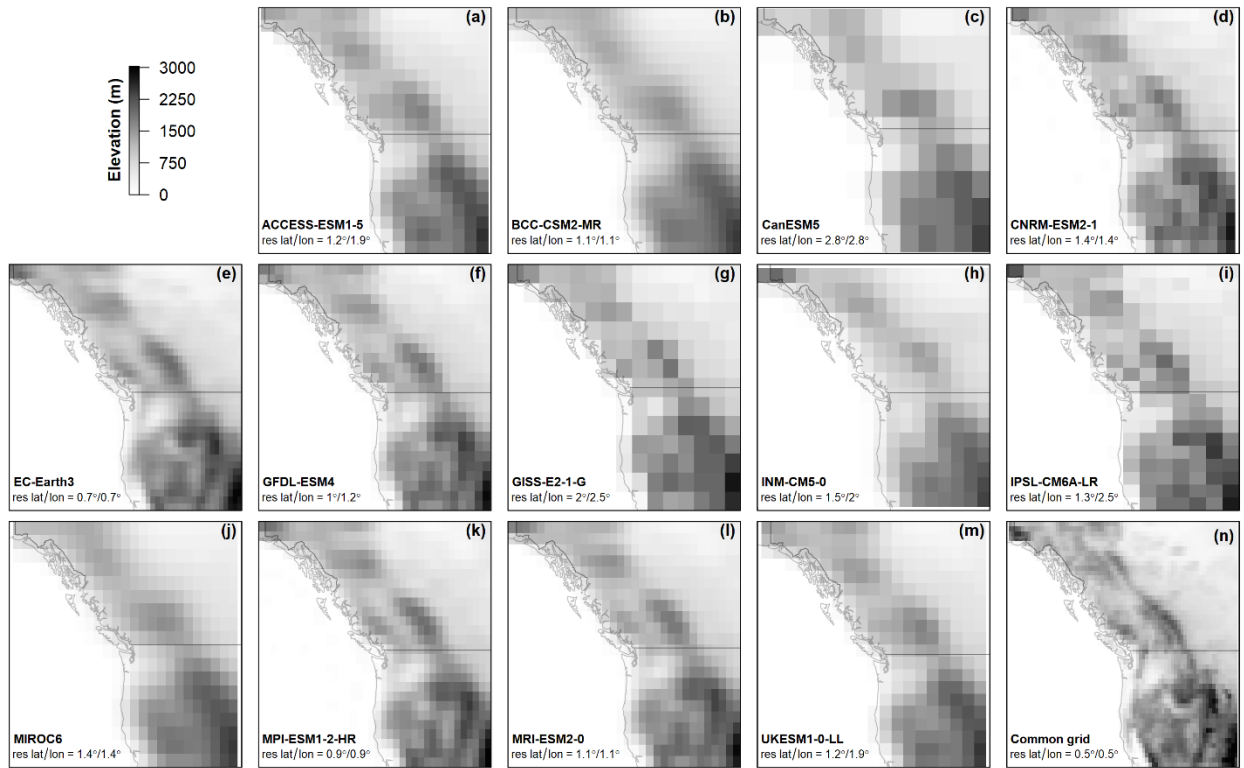
228 The ensemble mean has a mean absolute bias of 2°C in T_{\min} and T_{\max} . Most models have
 229 biases similar to this baseline. However, AWI-CM-1-1-MR has exceptionally high bias in both
 230 T_{\min} and T_{\max} . ACCESS-ESM1-5, MIROC6, MIROC-ES2L and NESM3 also have high biases in
 231 T_{\min} and/or T_{\max} . There is less differentiation in precipitation biases among models and with the
 232 ensemble mean.



233 **Figure 1: Model biases in monthly means of (a) daily minimum temperature, (b) daily maximum**
 234 **temperature, and (c) precipitation.** Each box represents 12 values of mean absolute bias over North
 235 America, one for each month. Absolute bias for precipitation is expressed as a factor of magnitude, e.g.,
 236 relative biases of 50% and 200% both have an absolute bias of 2.
 237

238 3.2.3. Spatial resolution and model orography

239 The selected 13-model ensemble has a mean latitudinal grid resolution of 1.4° (range of
 240 0.7°-2.8°) (Figure 2). Four models (EC-Earth3, GFDL-ESM4, MPI-ESM1-2-HR, and MRI-
 241 ESM2-0) resolve the macrotopography of the Western Cordillera, namely the Sierra Nevada,
 242 Cascade Range, Rocky Mountains, and British Columbia Coast Ranges. BCC-CSM2-MR does
 243 not resolve these ranges, despite having sufficient grid resolution to do so. CanESM5 has a very
 244 low resolution of 2.8°x2.8°.



245 **Figure 2: Effective topographic resolution of the 13 selected models.** (a-m) model orography
 246 (elevation of land surface) in the native grid of each model. The extent of the map is central-western
 247 North America (106-142W, 37-62N). The common grid (panel n) is the 0.5° grid used for extraction of
 248 observations from ClimateNA.
 249

250 3.2.4. Projected climate changes

251 A visual comparison of projected seasonal changes in T_{\min} , T_{\max} , and PPT (Figure 3)
 252 indicates some basic attributes of the ensemble simulations. All models exhibit arctic
 253 amplification of winter temperatures, though it is relatively subtle in EC-Earth3. Most models
 254 project the strongest summer warming at mid-latitudes. All models, with the exception of
 255 UKESM1, have a similar pattern of warming in T_{\min} and T_{\max} , though the magnitude of warming
 256 is greater for T_{\min} in most models.

257 Continental-scale patterns of winter (Dec-Feb) precipitation change are somewhat
 258 consistent among models, with declines in Mexico and increases in the arctic regions. Deviations
 259 from this pattern are strongest in models with few (1-3) historical runs for SSP2-4.5 (BCC-
 260 CSM2-MR, GFDL-ESM4 and INM-CM5-0), and are likely due to internal variability. This

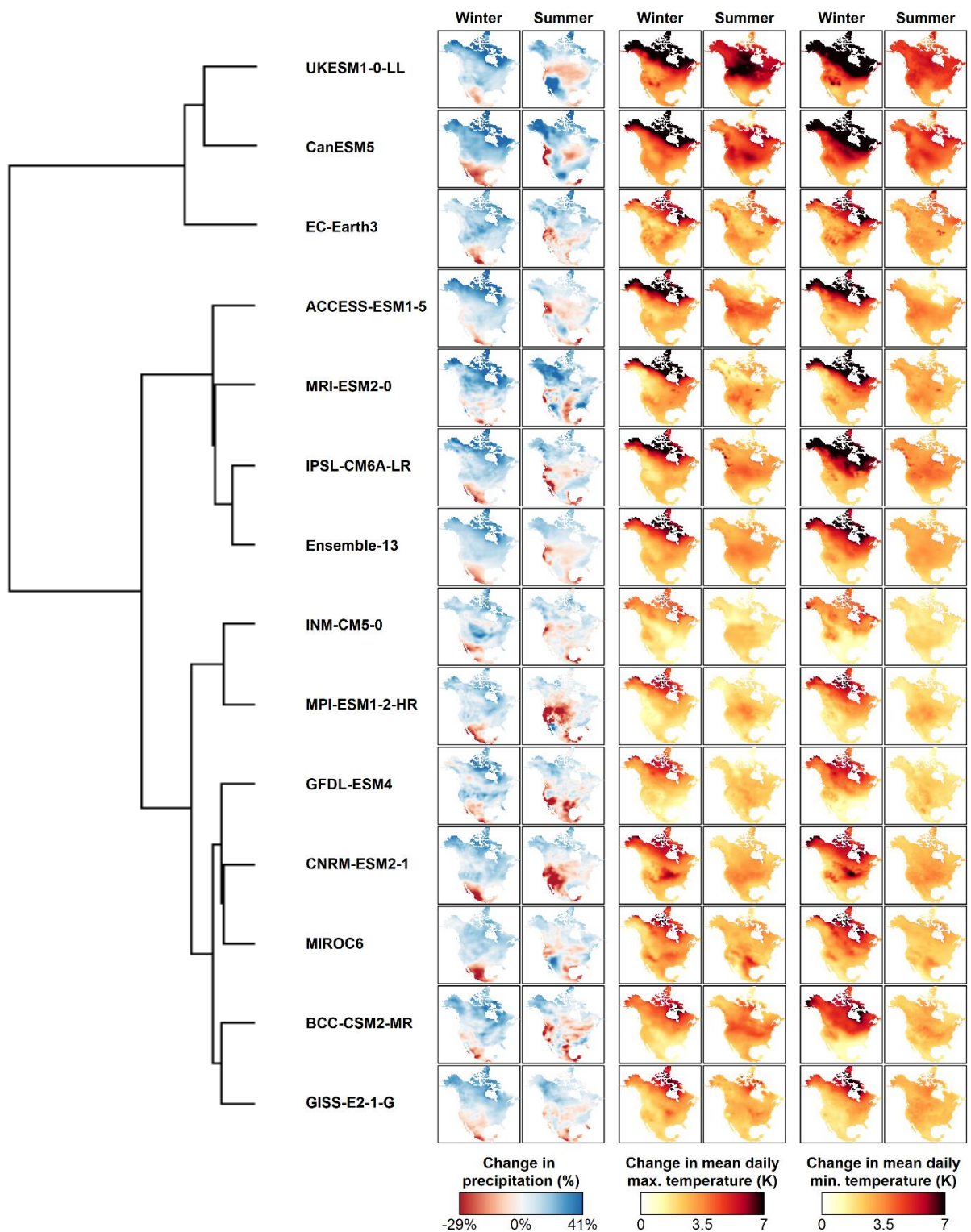
261 result indicates the benefit of multiple runs in smoothing out natural variability to reveal the
262 anthropogenic climate change signal in noisy climate variables like precipitation and winter
263 temperature.

264 Most models project a reduction in summer (Jun-Aug) precipitation in the coastal areas of
265 the Pacific Northwest (California, Oregon, Washington, and southern British Columbia).
266 However, there is substantial disagreement among models in summer precipitation change over
267 the rest of the continent. The muted summer precipitation change in the ensemble mean hides
268 this ensemble disagreement, and underscores the importance of assessing climate change impacts
269 with an ensemble of model projections rather than solely using the ensemble mean.

270 The two high-ECS models CanESM5 and UKESM1 have similar patterns and magnitudes
271 of change in winter temperature and precipitation. However, they differ substantially in the
272 summer, with UKESM1 showing much higher increases in daytime temperatures (T_{\max}) in
273 temperate and Boreal regions and stronger declines in precipitation in central North America.
274 Although CanESM5 has a higher ECS and stronger trend in 1970-2014 global heating (Liang et
275 al. 2020), UKESM1 projects stronger mid-century heating over North America.

276

277



278 **Figure 3: Spatial variation in climate change responses among the 13-model ensemble.** Mapped
 279 climate changes are for the mean projected climate of the 2041-2060 period (SSP2-4.5). Precipitation is
 280 log-scaled to provide proportional magnitude of positive and negative changes. Models are structured by
 281 a cluster dendrogram of spatial similarity in seasonal climate changes in all three climate elements.

282 3.3. Ensemble subset selection

283 3.3.1. Screening Criteria

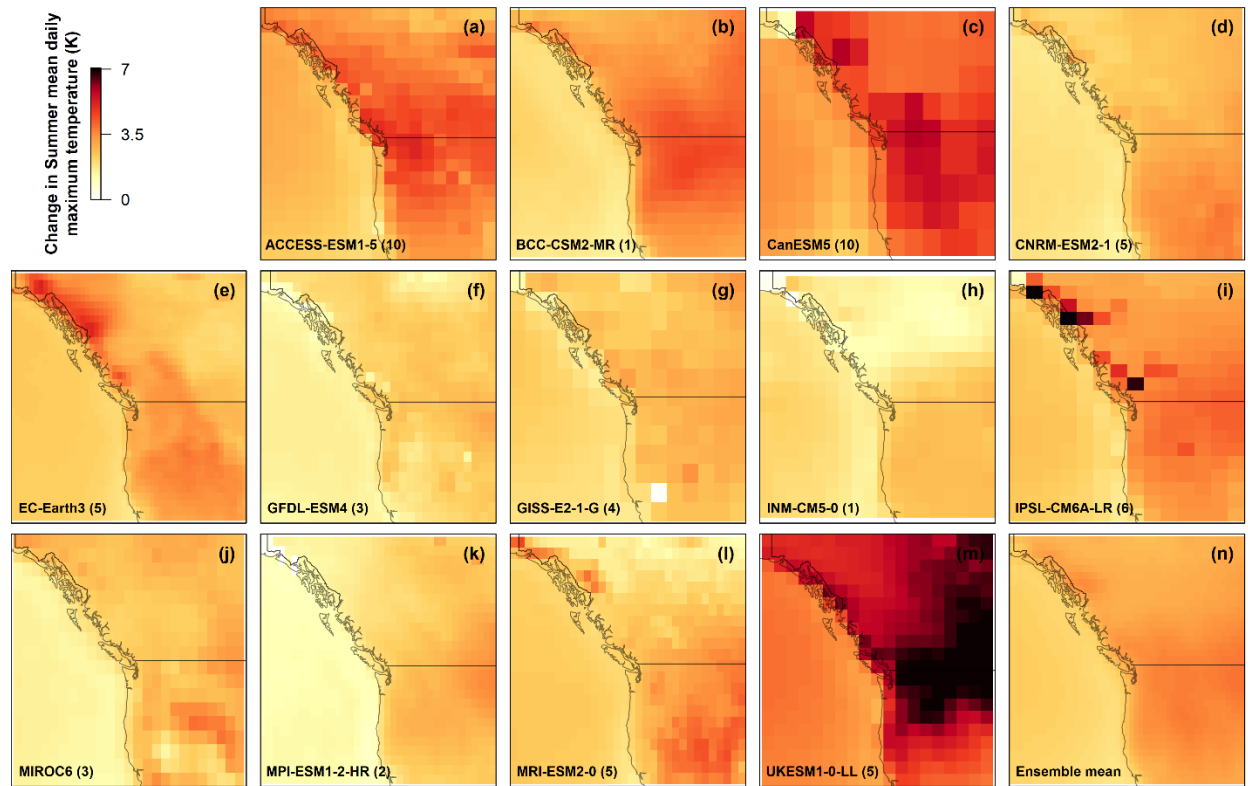
284 The following models are prioritized for exclusion from subsets of the ensemble based on
285 combinations of the four screening criteria:

- 286 • CanESM5, because its very high climate sensitivity (ECS 5.6°C) is also represented
287 by UKESM1-0-LL and because its very low horizontal resolution is less suitable
288 for downscaling.
- 289 • INM-CM5-0, because it has very low climate sensitivity (ECS 1.9°C) and is an
290 outlier among CMIP6 models for under-representing the observed 1975-2014
291 global temperature trend (Liang et al. 2020) (Criterion 7). In addition, this model
292 has only one simulation for most scenarios, producing a less robust climate signal
293 (Criterion 9).
- 294 • BCC-CSM2-MR, due to having a single simulation for each scenario (Criterion 9)
295 and low topographic resolution (Criterion 8).
- 296 • IPSL-CM6A-LR, due to isolated grid cells with very high summer warming in the
297 BC Coast Ranges and Southeast Alaska (Figure 4) (Criterion 10). The warming in
298 these cells may be physically plausible in the model's simplified topography, but is
299 problematic for downscaling to higher spatial resolutions.

300 A fifth model, UKESM1-0-LL, also has very high climate sensitivity, similar to CanESM5,
301 that is assessed as very unlikely based on observational evidence (Sherwood et al. 2020,
302 Tokarska et al. 2020). Some researchers may wish to constrain their ensemble subset to
303 observations by excluding this model. Others may wish to include a high-sensitivity model in
304 their subset as a representation of the long tail of uncertainty in the upper limit of climate
305 sensitivity (Sutton 2018). To accommodate both perspectives, we provide structured subsets with
306 and without UKESM1-0-LL in the ordered ensemble subsets. We preferred UKESM1-0-LL over
307 CanESM5 as a representative of high-sensitivity models due to its higher grid resolution and
308 closer alignment with the observed post-1970 global heating trend (Liang et al. 2020).

309 The 8-model subset has a mean global ECS of 3.4°C (2.6-4.8°C). The 9-model subset that
310 includes UKESM1-0-LL has a mean global ECS of 3.6°C (2.6-5.4°C), using ECS values
311 provided by Meehl et al. (2020).

312



313
 314 **Figure 4: Summer daytime warming in the 13-model ensemble over central-western North America**
 315 **(106-142W, 37-62N).** Values are the change in summer T_{\max} for the 2041-2060 period (SSP2-4.5),
 316 relative to 1961-1990, in the native model grid. Change is calculated from the mean of multiple
 317 simulation runs per model, specified next to the model name.

318

319 3.3.2. Ordered subsets

320 Table 2 specifies ordered subsets of the 8-9 models that passed screening criteria 7-10. For
 321 a desired region and subset size, the ensemble subset for each region includes all models listed at
 322 and above the desired subset size. For example, a 4-model ensemble for the NEN region would
 323 include CNRM-ESM2-1, UKESM1-0-LL, EC-Earth3, and MPI-ESM1-2-HR. The considerable
 324 variation among regions in the order of the subsets underscores the spatial variation in climate
 325 change responses across North America. The exception to this variation in model order is that
 326 UKESM1-0-LL is the second model in all regions. Since the first position in the order is the
 327 model closest to the ensemble centroid and the second position is the model furthest from the
 328 centroid, this result indicates that UKESM1-0-LL consistently projects the most extreme climate
 329 changes throughout the continent.

Table 2: Ordered subsets of the 13-model ensemble. Subsets are provided for the 7 IPCC reference regions (Figure 5). Model abbreviations are ACC (ACCESS-ESM1-5), CNRM (CNRM-ESM2-1), EC (EC-Earth3), GFDL (GFDL-ESM4), GISS (GISS-E2-1-G), MIR (MIROC6), MPI (MPI-ESM1-2-HR), MRI (MRI-ESM2-0), and UK (UKESM1-0-LL). Exclusion of UKESM1-0-LL provides an ensemble that is consistent with assessed constraints on equilibrium climate sensitivity.

Subset size	IPCC Reference Region						
	NEN	NWN	WNA	CNA	ENA	NCA	SCA
Including UKESM1-0-LL							
1	CNRM	CNRM	MRI	ACC	EC	MRI	CNRM
2	UK	UK	UK	UK	UK	UK	UK
3	EC	MPI	MPI	MPI	MPI	GFDL	GFDL
4	MPI	EC	GISS	CNRM	MRI	MIR	ACC
5	MRI	ACC	MIR	MIR	MIR	EC	MPI
6	ACC	MRI	CNRM	GISS	GFDL	MPI	MIR
7	GFDL	MIR	GFDL	EC	ACC	CNRM	EC
8	GISS	GISS	EC	GFDL	GISS	ACC	GISS
9	MIR	GFDL	ACC	MRI	CNRM	GISS	MRI
Excluding UKESM1-0-LL							
1	CNRM	CNRM	MRI	MRI	GISS	GISS	CNRM
2	EC	EC	MPI	MPI	GFDL	EC	ACC
3	MPI	ACC	GISS	CNRM	MRI	MRI	GFDL
4	MRI	MPI	MIR	MIR	ACC	MIR	MPI
5	ACC	MIR	CNRM	EC	CNRM	GFDL	MIR
6	GISS	GISS	EC	GFDL	EC	CNRM	EC
7	GFDL	MRI	GFDL	GISS	MPI	ACC	GISS
8	MIR	GFDL	ACC	ACC	MIR	MPI	MRI



IPCC Regions
Figure 5: IPCC reference regions (Iturbide et al. 2020) used for region-specific ordered subsets of the ensemble.

330

331 4. Discussion

332 We selected 13 CMIP6 models from a candidate pool of 44 models contributing to the
333 CMIP6 experiment. This 13-model ensemble is representative of the distribution of equilibrium
334 climate sensitivity and grid resolution in ScenarioMIP. This ensemble facilitates robust
335 downscaling by using multiple simulations per scenario for each model and excluding models
336 with high bias. We provide rationale for a 9-member subset of the ensemble based on screening
337 criteria and order these 9 models for selection of smaller ensembles for regional analysis in
338 North America. With the exception of AWI-CM-1-1-MR, all models were excluded using global
339 criteria. Consequently, the 13-member ensemble is a good starting point for downscaling climate
340 normals in other Continents.

341 4.1. Model bias

342 The bias assessment was a useful way to identify models with extreme divergence from the
343 observed climate. High biases were the sole basis for the exclusion of one model, AWI-CM1-1-
344 1-MR, and are an attribute of concern in two of the models selected for the ensemble, ACCESS-
345 ESM1-5 and MIROC6. Moderate biases, however, do not necessarily indicate a problem with the
346 models. Bias is the difference between model simulations and the observed climate. We
347 controlled the confounding influence of natural variability in each model by calculating bias
348 using the mean of several simulation runs. This measure is not possible for observations since
349 there is only one realization of the observed climate. Natural variability in the observed climate,
350 therefore, could produce apparent biases even in a hypothetical “perfect” model. The ensemble
351 mean absolute bias of 2°C in temperature and by a factor of 1.5 in precipitation cannot be
352 definitively attributed to the models or the ensemble; it is to some extent an artefact of natural
353 variability in the observed climate.

354 4.2. Grid resolution

355 Four of the models in the ensemble have horizontal grid resolution sufficient to resolve
356 major mountain ranges. One model (EC-Earth3) has relatively high resolution (0.7°x0.7°)
357 approaching the previous generation of regional climate models used for dynamical downscaling.
358 The trend towards higher resolution is encouraging, but the benefits of moderate resolution
359 models for km-scale downscaling are ambiguous. On one hand, resolving mountain ranges
360 allows for stronger differentiation of coast-interior transitions, windward and leeward dynamics,
361 and elevation-dependent climate changes. On the other hand, these resolved ranges are still
362 highly simplified features. Resolved high-elevation processes such as enhanced warming due to
363 snow albedo feedbacks will be applied to unresolved low-elevation locations (e.g. valleys)
364 during change-factor downscaling. While solving some of the problems of lower-resolution
365 models, higher-resolution models introduce new problems. In the absence of additional statistical
366 downscaling measures to address these problems, we do not view the higher-resolution models in
367 the ensemble as intrinsically more valuable or valid. They do, however, make a distinct
368 contribution and the range of grid resolution in the ensemble improves the representation of
369 modeling uncertainties.

370

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377

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