

# 1 **Conjugate and bending faults drive the multiplex ruptures** 2 **during the 2014 Mw 6.2 Thailand earthquake**

3 **Tira Tadapansawut<sup>1,\*</sup>, Yuji Yagi<sup>2</sup>, Ryo Okuwaki<sup>2,3,4</sup>, Shinji Yamashita<sup>5</sup>, & Kousuke Shimizu<sup>5</sup>**

4 <sup>1</sup> Graduate School of Life and Environmental Sciences, University of Tsukuba, Tsukuba, Ibaraki 305-8572,  
5 Japan.

6 <sup>2</sup> Faculty of Life and Environmental Sciences, University of Tsukuba, Tsukuba, Ibaraki 305-8572, Japan

7 <sup>3</sup> Mountain Science Center, University of Tsukuba, Tsukuba, Ibaraki 305-8572, Japan.

8 <sup>4</sup> COMET, School of Earth and Environment, University of Leeds LS2 9JT, UK.

9 <sup>5</sup> Graduate School of Science and Technology, University of Tsukuba, Tsukuba, Ibaraki 305-8572, Japan

10 \* Corresponding author: Tira Tadapansawut (tira.tadapansawut@gmail.com)

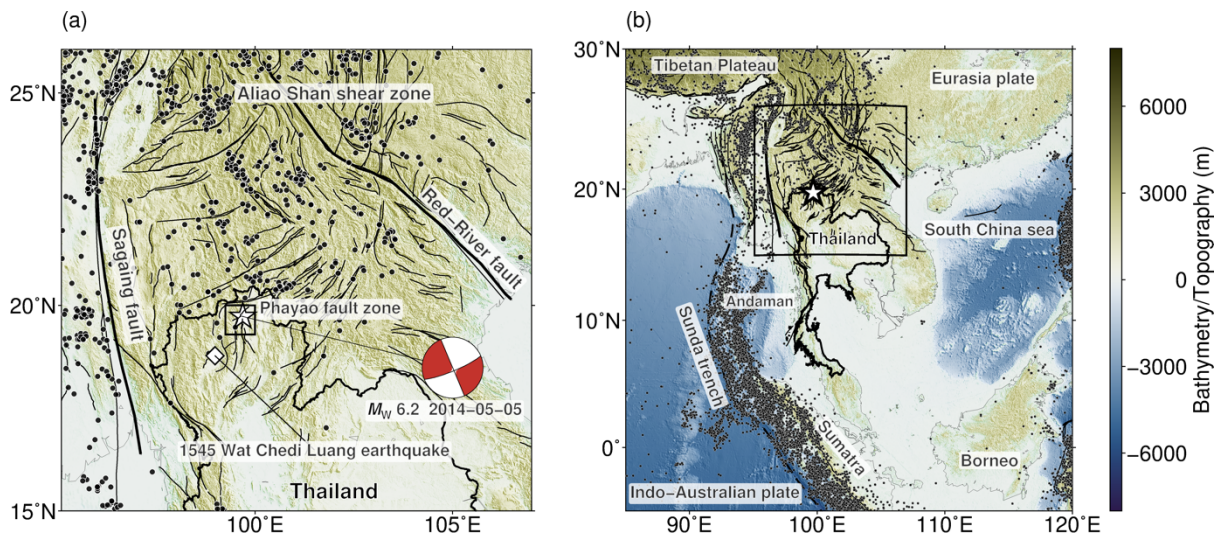
## 11 **ABSTRACT**

12 A moment magnitude 6.2 crustal earthquake occurred in northern Thailand on 5 May 2014,  
13 and its aftershocks exhibit several lineaments with conjugate pattern, involving geometric  
14 complexity in a multi-segmented fault system of the Phayao fault zone. However, a  
15 relationship between those geometric complexities and the rupture evolution of the 2014  
16 Thailand earthquake is still elusive, which is critical to understand complex nature of the  
17 earthquake physics and to assess the hazard. Here we elaborated the newly developed  
18 flexible finite-fault inversion method, used it to invert the globally observed teleseismic P  
19 waveforms, and estimated the spatiotemporal distribution of both the slip and the fault  
20 geometry. We found the complex rupture evolution consisting of two rupture episodes along  
21 a conjugated strike-slip fault system that comprises two distinct fault planes. The fault system  
22 derived from our finite-fault solution exhibits geometric complexities including bends, which  
23 may have caused the perturbation of the rupture propagation and the triggering of the distinct  
24 rupture episodes. Our source model of the 2014 Thailand earthquake shows that even in the  
25 case of smaller-scale earthquakes, the rupture evolution can be complex when the underlying  
26 fault geometry is multiplex.

## 27 **Introduction**

28 The seismicity of Thailand is relatively low: less than 10 earthquakes with a magnitude greater than 5  
29 have been registered since the 1970s <sup>1</sup> (Fig. 1). Although situated in a low seismicity zone, Thailand is  
30 surrounded by major active faults, such as the Sagaing Fault in Myanmar and the major Aliao Shan-  
31 Red River fault north of Thailand <sup>2</sup> (Fig. 1). These faults are subject to a progressive clockwise strain  
32 rotation caused by the motions induced by the escape tectonics from the Tibetan Plateau to SE Asia and  
33 the Sumatra-Andaman subduction zone <sup>2-6</sup>. Thailand has complex geological structures that include  
34 multiple active fault zones <sup>2,5</sup> (Fig. 1). Many active fault zones in Thailand are part of a strike-slip fault

35 system trending northeast-southwest and northwest-southeast <sup>2,7</sup>. These trends are a result of the  
 36 development of the major Cenozoic rift basin that is subject to a north-south compression and east-west  
 37 extension. Geological records suggest that there is historical seismicity since the Late Quaternary in the  
 38 northern part of Thailand associated with the active fault zones <sup>8,9</sup>. One of the largest historical  
 39 earthquakes in 1545 collapsed an immense pagoda in Wat Chedi Luang temple in Chiang Mai province  
 40 <sup>10</sup> (Fig. 1).

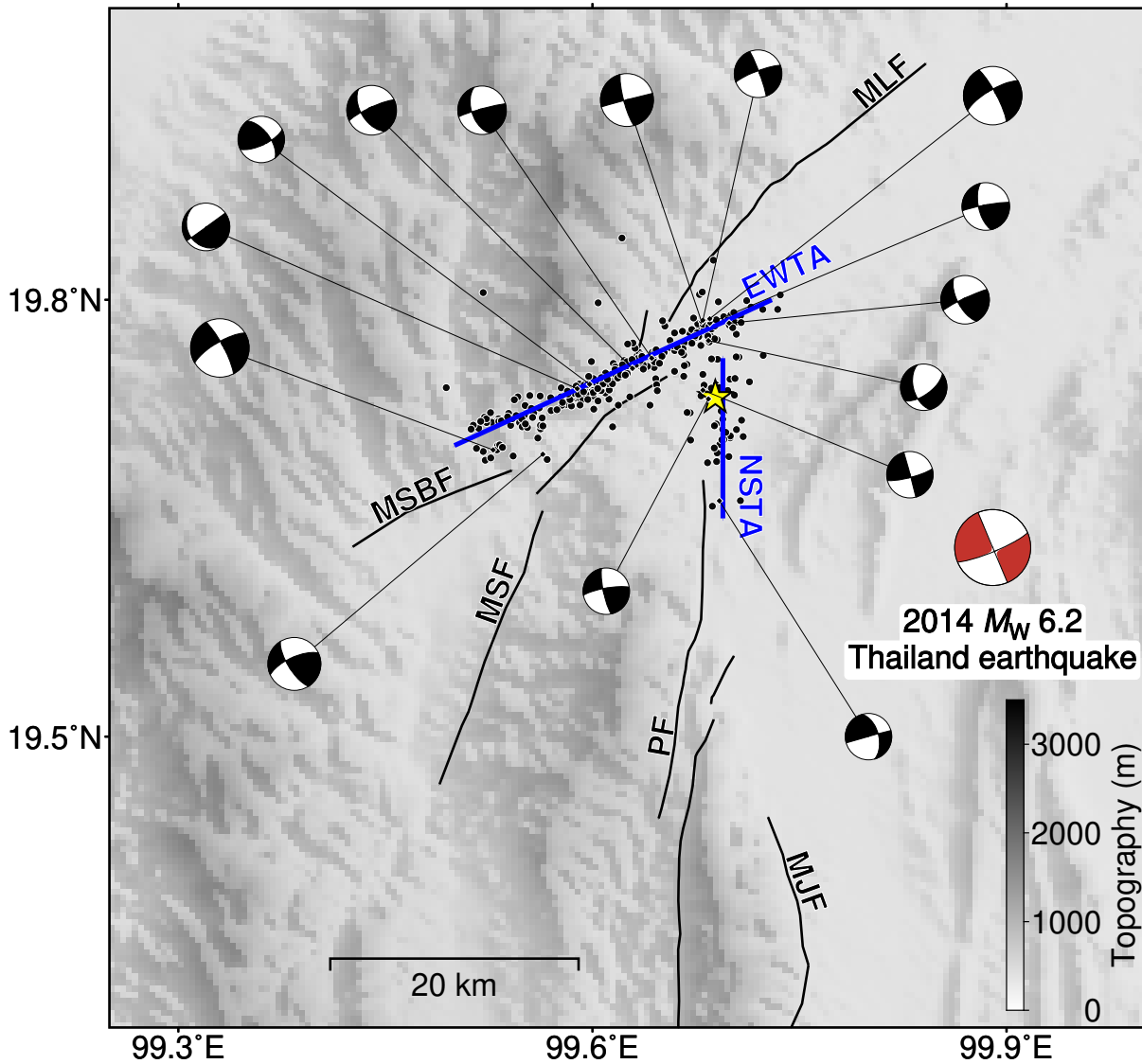


41  
 42 **Figure 1.** Seismo-tectonics summary of the study region. (a) The beach ball shows the GCMT solution of the  
 43 2014 Thailand earthquake <sup>11</sup>. The star is the mainshock epicentre. The dots show the seismicity between 1970 to  
 44 2014 before the mainshock from the ISC Bulletin <sup>1</sup>. The rectangle denotes the Phayao fault zone and the map  
 45 region of Figure 2. The lines are the active faults <sup>12</sup>. (b) The wider view of the study region. The rectangle is the  
 46 map region of Figure 1a. The dots show the seismicity between 1970 to 2014 before the mainshock from the  
 47 ISC Bulletin <sup>1</sup>. The lines are the active faults <sup>12</sup>. The bathymetry/topography is from GEBCO <sup>13</sup>. The figures  
 48 were made with Generic Mapping Tools<sup>14</sup>.

50 The largest recent earthquake in Thailand, which is a focus of this study, had a moment  
 51 magnitude ( $M_w$ ) 6.2 and occurred in the northern part of the country on 5 May 2014 <sup>11,15</sup>. The 2014  
 52 Thailand earthquake affected 7 provinces, damaged more than 7000 buildings, and caused 1 death and  
 53 107 injuries <sup>16-18</sup>, although there is a lack of direct evidence of surface rupture from satellite images <sup>19</sup>.  
 54 The source region is situated in the Phayao Fault Zone (PFZ) <sup>8,20</sup>, and the epicentre of the 2014 Thailand  
 55 earthquake <sup>15,21-23</sup> is located at the transition zone within the conjugated fault system of two major active  
 56 strike-slip faults; the Mae Lao Fault (MLF) trending ENE-WSW and the Phan Fault (PF) trending N-S  
 57 <sup>15,23</sup> (Fig. 2).

58 A relocated hypocentre of the 2014 Thailand earthquake<sup>15</sup> is located at 19.733°N and 99.689°E  
 59 with a 5 km hypocentral depth that is between the middle of the MLF and the top of the PF (Fig. 2).  
 60 The centroid moment tensor solution shows the nodal planes orienting NNW-SSE and ENE-WSW with  
 61  $M_w$  6.2<sup>11</sup>. The relocated aftershocks during the first week <sup>15</sup> can be divided into two major aftershock

62 groups: the N-S trending aftershocks (NSTA) and the ENE-WSW trending aftershocks (EWTA) (Fig.  
 63 2). The regional moment tensor solutions of the aftershocks<sup>15</sup> are located along the NSTA and EWTA,  
 64 with their strike directions aligned with the trends of the NSTA and EWTA (Fig. 2).

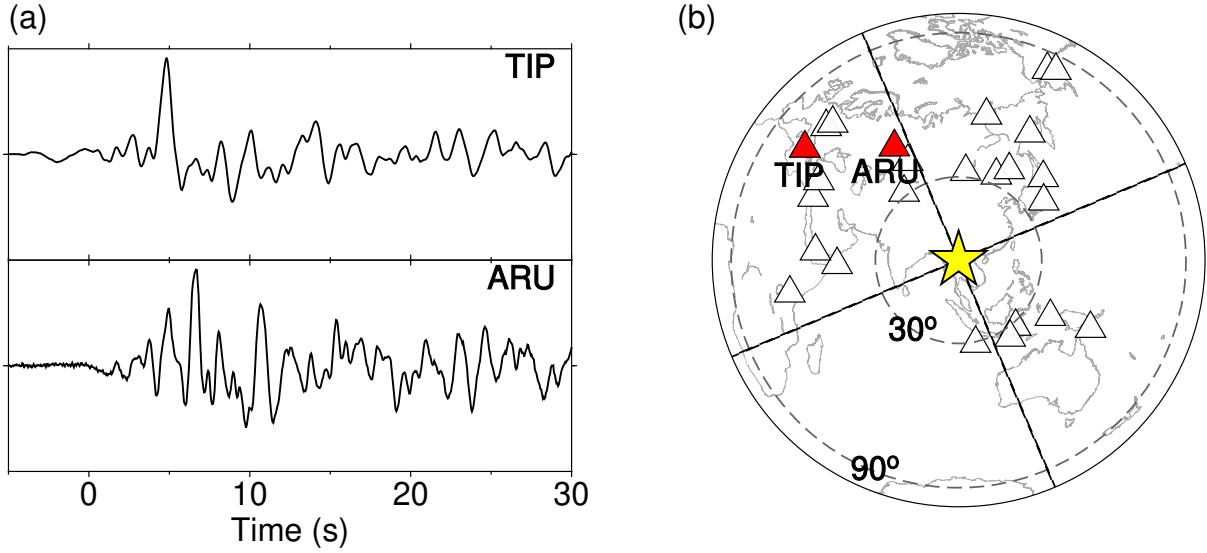


65 **Figure 2.** The study area of the 2014 Thailand earthquake. The yellow star shows the epicentre. The red  
 66 beachball shows the GCMT solution of the 2014 Thailand earthquake<sup>24,25</sup>. The black beach balls show the focal  
 67 mechanism of the relocated aftershocks with the moment magnitude larger than 4.2<sup>15</sup>. The solid blue lines  
 68 highlight the aftershock lineations of the NSTA and EWTA. The solid black lines are the active faults of the  
 69 Phayao fault zone<sup>26,27</sup>: MLF; Mae Lao fault, PF; Phan fault, MSF; Mae Suai fault, MSBF; Mae Suai Boundary  
 70 fault, and MJF; Mae Jai fault. The topography is from GEBCO<sup>13</sup>. The figure was made with Generic Mapping  
 71 Tools<sup>14</sup>.  
 72  
 73

74 The regional moment tensor solutions of the mainshock and the aftershocks<sup>23</sup> show that the  
 75 principal compressive stress orientation is NNE-SSW (N18E) that is consistent with the regional stress  
 76 orientation in northern Thailand<sup>23,28,29</sup>. The high shear stress zone is related to the strike orientation of  
 77 the active MLF that is close to the EWTA: N30E-N50E. This high shear stress zone contributes to the

78 initiation of slip based on Mohr-Coulomb failure criteria <sup>23</sup>. Pananont et al. <sup>15</sup> studied the aftershocks  
79 sequence occurring within hours by analyzing the changes in the stress field due to the rupture, for  
80 which they computed the Coulomb stress changes: they suggested that the mainshock occurred on the  
81 right-lateral faulting along the NSTA. They argued that the complex rupture process that has produced  
82 the complicated pattern of the aftershock distribution has a more elaborate geological and  
83 geomechanical origin. However, the source mechanism of the 2014 Thailand earthquake has not been  
84 clearly understood; whether the rupture evolves along the apparent conjugate fault system inferred from  
85 the aftershock distribution. The detailed imaging of the source process of the 2014 Thailand earthquake  
86 should be a critical basis to illuminate the causative relationship between the rupture evolution and the  
87 geometric complexity in the fault system for the smaller-scale, *M*6-class earthquake, which has been  
88 difficult to investigate in a means of finite-fault inversion.

89 A possibility of the complex fault geometry can be expected from a simple observation of the  
90 teleseismic waveforms. If an earthquake occurs along a single, simple fault plane, the teleseismic  
91 waveforms at stations within the same quadrant of the focal mechanism are expected to be similar  
92 without being contaminated by too many reflection/refraction phases. In the case of the 2014 Thailand  
93 earthquake, the stations TIP and ARU are in the same quadrant of the GCMT moment tensor solution  
94 (Fig. 3). The waveforms of the TIP and ARU stations show the different waveform shape and amplitude,  
95 which is unexpected if the earthquake rupture propagates along a single flat plane with a constant slip  
96 vector <sup>30</sup>. This may imply that the mainshock mechanism may involve geometric complexity. In  
97 addition, the aftershock distribution with two major trends of the NSTA and EWTA (Fig. 2) may  
98 suggest the complexity of fault geometry of the mainshock. To resolve the possible complex fault  
99 geometry, we apply a new framework of the flexible finite-fault inversion algorithm for teleseismic  
100 body waveforms <sup>31</sup>. We introduce a relative weight smoothness constraint that is proportional to the  
101 components of each basis moment tensor <sup>32</sup> into the potency density tensor inversion of Shimizu et al.  
102 <sup>33</sup> which can mitigate the effect of the modelling errors originating from the uncertainty of Green's  
103 function <sup>34</sup> as well as the uncertainty of fault geometry <sup>35</sup> (see details in the Method section). This  
104 method can simultaneously estimate the distribution of the focal mechanism and the slip along the  
105 assumed model plane; it enables the reconstruction of complex rupture processes, including those  
106 occurring along faults containing fault bends and those consisting of multiple subevents, without a priori  
107 assumption of the fault geometry <sup>30,31</sup>. The improved flexible finite-fault inversion framework has been  
108 applied to large earthquakes such as the 2020 *M*<sub>w</sub> 7.7 Caribbean earthquake <sup>30</sup> and the 2018 *M*<sub>w</sub> 7.9  
109 Gulf of Alaska earthquake <sup>31</sup>, but it has never been applied to smaller-scale *M*6-class earthquakes like  
110 the 2014 Thailand earthquake. In this study, we apply the flexible finite-fault method to the teleseismic  
111 body waves of the 2014 Thailand earthquake. We estimate the spatiotemporal distribution of both the  
112 slip and the fault geometry. We then discuss the detailed source process of the 2014 Thailand  
113 Earthquake, which is heavily controlled by the geometric complexity of the fault system and the  
114 associated local stress field.



115

116

117

118

119

120

121

## 122 **Method: Relative weight potency-density inversion**

123

124

125

126

127

128

129

$$u_j(t) = \sum_{q=1}^5 \int_S (G_{qj}(t, \xi) + \delta G_{qj}(t, \xi)) * \dot{D}_q(t, \xi) d\xi + e_{bj}(t)$$

130

131

132

133

134

135

136

137

**Figure 3.** The station distribution and waveform examples of the 2014 Thailand earthquake. (a) The selected self-normalized waveform traces at the TIP and ARU stations. Time zero means the first arrival of the P-wave. (b) The station distribution (triangle) for the finite-fault inversion. The yellow star denotes the epicentre. The dashed lines show epicentral distances at 30° and 90°. The solid lines are the GCMT nodal direction of strikes at 67° and 337°. The figures were made with Generic Mapping Tools<sup>14</sup>.

To construct a rupture model of the 2014 Thailand earthquake, we apply the flexible teleseismic finite-fault inversion of Shimizu et al.<sup>33</sup>. The method can resolve the fault geometry and the slip along the flexible assumed model plane without a priori fault geometry assumption; it represents the shear-slip vectors with five basis double-couple moment tensor components<sup>32</sup>. The method is based on the novel finite-fault inversion of Yagi & Fukahata<sup>34</sup>, which can mitigate the modelling error originating from the uncertainty of Green's function. The observation equation is defined as

where  $u_j$  is the teleseismic waveform at station  $j$ .  $G_{qj}$  is Green's function for the  $q^{\text{th}}$  component of the basis double-couple moment tensor at station  $j$ , and  $\delta G_{qj}$  is the error of Green's function.  $\dot{D}_q$  is the potency-rate density function for the  $q^{\text{th}}$  component of the basis double-couple moment tensor at the source location  $\xi$  of the assumed model plane ( $S$ ).  $e_{bj}$  is the background and instrument noises.

Although the method can resolve both fault geometry and slip<sup>33,36</sup>, the source focal mechanism change within subevent is still difficult to reveal because of the spatiotemporal smoothing constraint which was introduced by the Gaussian with a same covariance into the potency-rate density function without distinguish for all five basis double-couple components. This may introduce bias because the

138 potency-rate density of the dominant basis component becomes smoother than those of the minor  
139 components.

140 To mitigate the bias due to the smoothing constraints, we applied a new framework of the  
141 relative weight smoothness constraint<sup>30,31</sup> : it adds an inverse relative weight parameter ( $1/W_q$ ) to the  
142 standard deviation of each basis component that is proportional to the double-couple component of the  
143 GCMT moment tensor solution (Fig. S1). To avoid the instability of the solution due to the extremely  
144 small relative standard deviation, we set the minimum weight smoothness constraint to 5% of the  
145 maximum relative standard deviation, after evaluating its sensitivity to the solution (Figs. S2 and S3;  
146 Text S1). This new framework has been proven efficient for the analyses of the source process of the  
147 2018 Gulf of Alaska earthquake<sup>31</sup> and the 2020 Caribbean earthquake<sup>30</sup>. There it solves the problem  
148 of over-smoothing the slip distribution of the major components and allows more clear capture of the  
149 rupture propagation.

150 The GCMT solution<sup>24,25</sup> for the 2014 Thailand earthquake, shows dominant strike-slip faulting  
151 associated with the pure strike-slip M1 and M2 moment tensor components<sup>32</sup> (Fig. S1). Therefore, the  
152 smoothing constraint adopted in this study introduces dominant strike-slip components than for other  
153 components like the vertical slip components M3, M4 and M5 (Fig. S1).

154 Before applying our newly developed inversion method to the real dataset in the following  
155 sections, we first evaluate the resolvability of this approach by performing a numerical test using  
156 synthetic waveforms based on the dipping planes of conjugate faults, which are roughly akin to the  
157 hypothesised fault system of the 2014 Thailand earthquake (see Text S2). The numerical test shows that  
158 the inverted solution can well reproduce the input, which suggests that our new framework of finite-  
159 fault inversion can resolve complex ruptures even for cases of smaller-scale ( $M6$ -class) events  
160 consisting of multiple rupture segments and with geometric changes in the fault system.

## 161 **Data and model setting**

162 For the analysis of the 2014 Thailand earthquake rupture, we use 25 vertical components of the globally  
163 observed teleseismic waveforms (Fig. 3b) obtained from the Global Seismographic Network and the  
164 Federation of Digital Seismograph Network provided by Incorporated Research Institutions for  
165 Seismology Data Management Center. The data are selected based on signal-to-noise ratio high-enough  
166 to distinguish the  $P$ -wave arrival and to ensure azimuth coverage (Fig. 3) Although the earthquake  
167 magnitude is small, the waveform at the first 10 s can be distinguished from the noise level (Fig. S4).  
168 We manually pick the first arrival of  $P$ -wave and convert it into a velocity waveform to remove the  
169 instrument response. Then we resample it at 0.2 s. Following Kikuchi and Kanamori<sup>32</sup>, we calculate  
170 Green's function at 0.1 s sampling rate for the components of each basis moment tensor. The finer  
171 sampling of Green's function with respect to the observed waveform sampling ensures sufficient  
172 resolution for the time shift relating to location of each subfault to the hypocentre. After this, we

173 resample Green's function at 0.2 s, which is the waveform sampling rate. The simplified 1-D near-  
174 source structural velocity model from Wongwai et al.<sup>37</sup> is applied to calculate the Haskell propagation  
175 matrix for Green's function (Table S1). The sensitivity of the near-source velocity model is evaluated  
176 by testing different models (Figs. S5 and S6; Text S1), and we find our solution is not affected by the  
177 structural model. The attenuation time constant  $t^*$  for the teleseismic P-waveform is about 1 s<sup>34</sup>, and  
178 the amplitude of the signal below 1 s is very weak, so the signal is not affected by aliasing even if the  
179 sampling interval is shorter than 1 second (Fig. S7). Therefore, we do not apply a low-pass filter to both  
180 the observed waveforms and the theoretical Green's functions according to the Shimizu et al.<sup>33</sup> to avoid  
181 complicating the structure of the observation error by applying a low-pass filter to the observation error.  
182 The assumed model plane is confined by the relocated aftershock distribution and covers the NSTA and  
183 EWTA that are the expected rupture fault planes. The method we use allows the assumption of a  
184 horizontal model plane that is independent of the actual fault plane(s); however, such a supposition can  
185 produce a very smooth solution that will impair the interpretation of the rupturing path or the fault  
186 geometry. This problem is distinct in the conjugate strike-slip fault earthquakes with multiple fault  
187 planes because if the model space is wide and covers unnecessary space where the slip is unlikely to  
188 occur, then the unnecessary slip is squeezed out from the actual slip due to the smoothing effects. To  
189 mitigate this issue, Yamashita et al.<sup>31</sup> restricted the horizontal model plane only to the aftershock region,  
190 and obtained a non-rectangular plane; in that way, the rupture propagation is captured in detail and the  
191 solution is more stable<sup>31</sup>. We assume the model plane to have a strike of 60° and a dip of 0°. The model  
192 plane is a non-rectangular horizontal model plane with a maximum total length of 25 km along the  
193 EWTA and 12 km along the NSTA. The sub-fault has a dimension of  $2 \times 2 \text{ km}^2$  and lies along the  
194 strike and the dip. The moment-rate function for each sub-fault is represented as a linear B-spline  
195 function with a duration of 7.2 s. The total rupture duration is set at 9.0 s. We tested alternative  
196 assumptions of the total rupture duration (Fig. S8; Text S1) and found that 0–6 s is robustly resolved,  
197 but later period, e.g., during 6–9 s is affected by the assumption of total duration. So we here focus our  
198 discussion on the robust rupture process during 0–6 s in the following sections. The maximum rupture  
199 velocity is set at 3.6 km/s (Figs. S9 and S10; Text S1) and is approximated from the preliminary rupture  
200 duration around 7 s at a distance of 25 km from the assumed model fault plane. The approximated value  
201 is also equal to the first layer shear wave velocity ( $V_s$ ) of the simplified structural velocity model<sup>37</sup>  
202 (Table S1). As the initial rupture point, we use the relocated hypocentre with coordinates 19.733°N,  
203 99.689°E at 5 km depth<sup>15</sup>.

## 204 Results

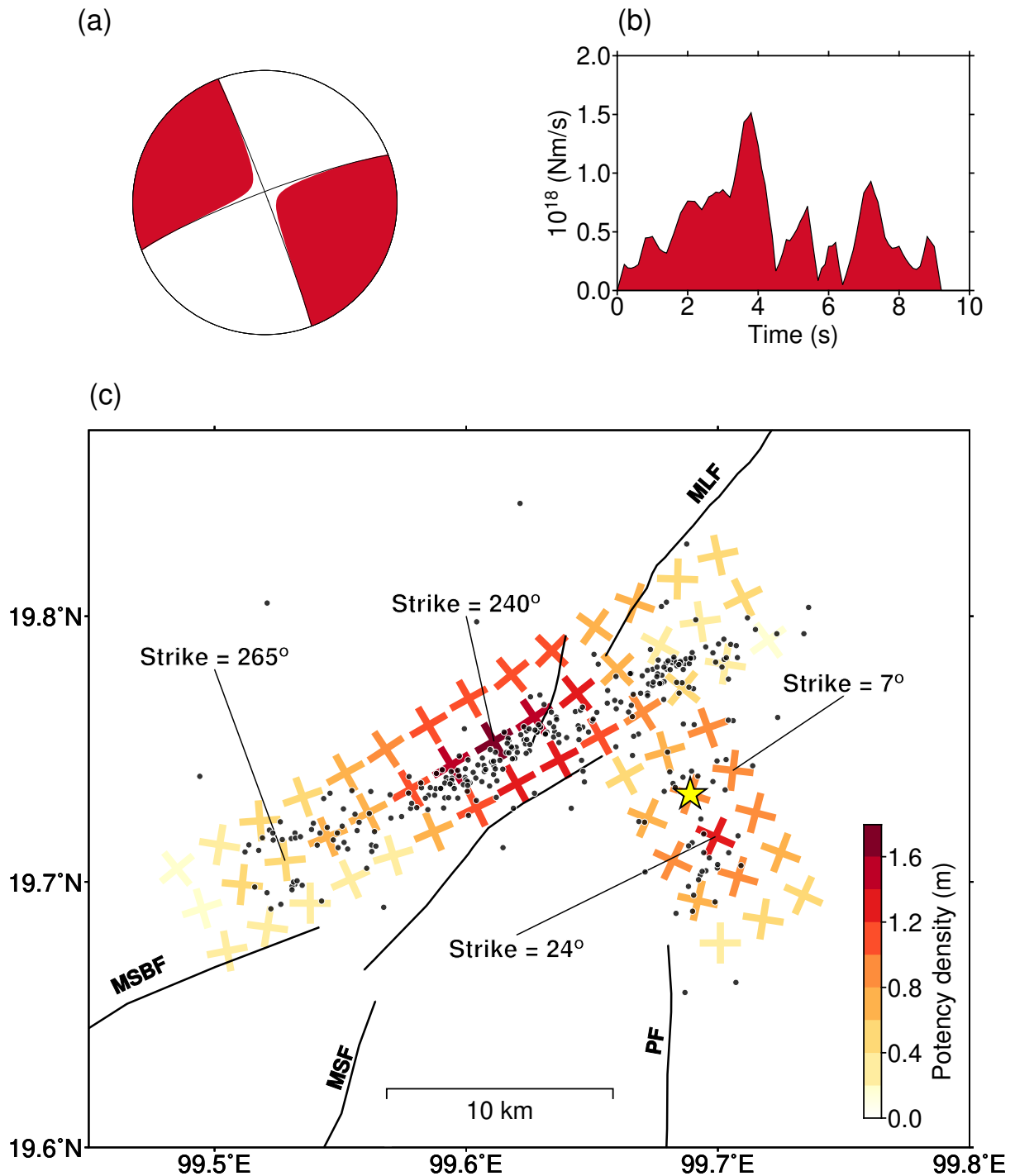
205 The total moment tensor solution, calculated by the integration of all potency-rate density tensors,  
206 exhibits strike-slip faulting with the two nodal planes striking at 249° (ENE-WSW) and 339° (NNW-  
207 SSE) (Fig. 4a). The moment-rate function shows at least two rupture episodes. One is between 0 and

208 1.5 s with a low moment-rate and the other is between 1.5 and 4.5 s with a high moment-rate. The  
 209 highest moment-rate occurs at around 3.5 s (Fig. 4b). The total seismic moment is  $0.36 \times 10^{19}$  Nm ( $M_w$   
 210 6.3), which is slightly larger than the GCMT solution ( $M_w$  6.2) and the USGS W-phase moment tensor  
 211 ( $M_w$  6.1). The larger seismic moment in our work is probably due to our model covering a wider area  
 212 that includes the aftershock distribution along the NSTA and EWTA.

213 The static distribution of the potency density reveals two large potency zones located in the  
 214 middle of the EWTA and one in the middle of the NSTA. The larger potency density in the EWTA is  
 215 around 1.8 m and the potency density in the NSTA is around 1.3 m (Fig. 4c). The nodal plane  
 216 distribution of the potency density shows that the strike orientation rotates clockwise along the EWTA  
 217 from  $240^\circ$  to  $265^\circ$  (Fig. 4c) and along the NSTA from  $7^\circ$  to  $24^\circ$  (Fig. 4c).

218 The spatiotemporal distribution of the potency-rate density exhibits two rupture episodes, one  
 219 along the NSTA and the other along the EWTA (Fig. 5a). The initial rupture of the mainshock originates  
 220 at the hypocentre in the first 1.5 s and propagates south along the NSTA at a rupture speed of  $\sim 3.0$  km/s.  
 221 The second rupture occurs at the eastern edge of the EWTA between 1.0 s and 1.5 s and propagates  
 222 southwest along the EWTA at a rupture speed of  $\sim 3.5$  km/s (Fig. 5a). The second rupture has the highest  
 223 potency-rate in the middle of the EWTA between 2.0 and 3.0 s and terminates at the west end of the  
 224 EWTA at 4.5 s. These two rupture episodes coincide with the dominant peaks seen in the moment-rate  
 225 function (Fig. 4). The spatiotemporal distribution of the moment tensor solution shows two dominant  
 226 patterns of strike-slip faulting, both with smaller-scale fluctuation of the fault geometry in each lineation  
 227 (Fig. 5b). One with a strike at NNE-SSW near the epicentre occurred between 0.5 and 1.5 s and the  
 228 other with a strike at ENE-WSW northwest from the epicentre occurred between 1.5 and 4.0 s. The  
 229 nodal plane distribution extracted from the resultant spatiotemporal potency-rate density tensor (Fig.  
 230 5b) exhibits clockwise strike rotation from  $\sim 18^\circ$  to  $\sim 33^\circ$ . For the rupture propagating from the epicentre  
 231 towards the south along the NSTA during the first 1.5 s, this rotation coincides with the timing when  
 232 the large potency-rate density is observed. The clockwise rotation of the strike also occurs from the  
 233 middle to the west end of the EWTA from  $\sim 218^\circ$  to  $\sim 250^\circ$ ; it is associated with the second rupture  
 234 arising at the eastern edge of the EWTA, propagating west during the period between the 2.0 and 3.5 s  
 235 and having the highest potency-rate of around 1.2 m/s (Fig. 5b). These spatiotemporal changes of fault  
 236 geometry are robust for different assumptions of the regional structural velocity model and of the  
 237 maximum rupture velocities (Figs. S5 and S6; S9 and S10).





238

239

240

241

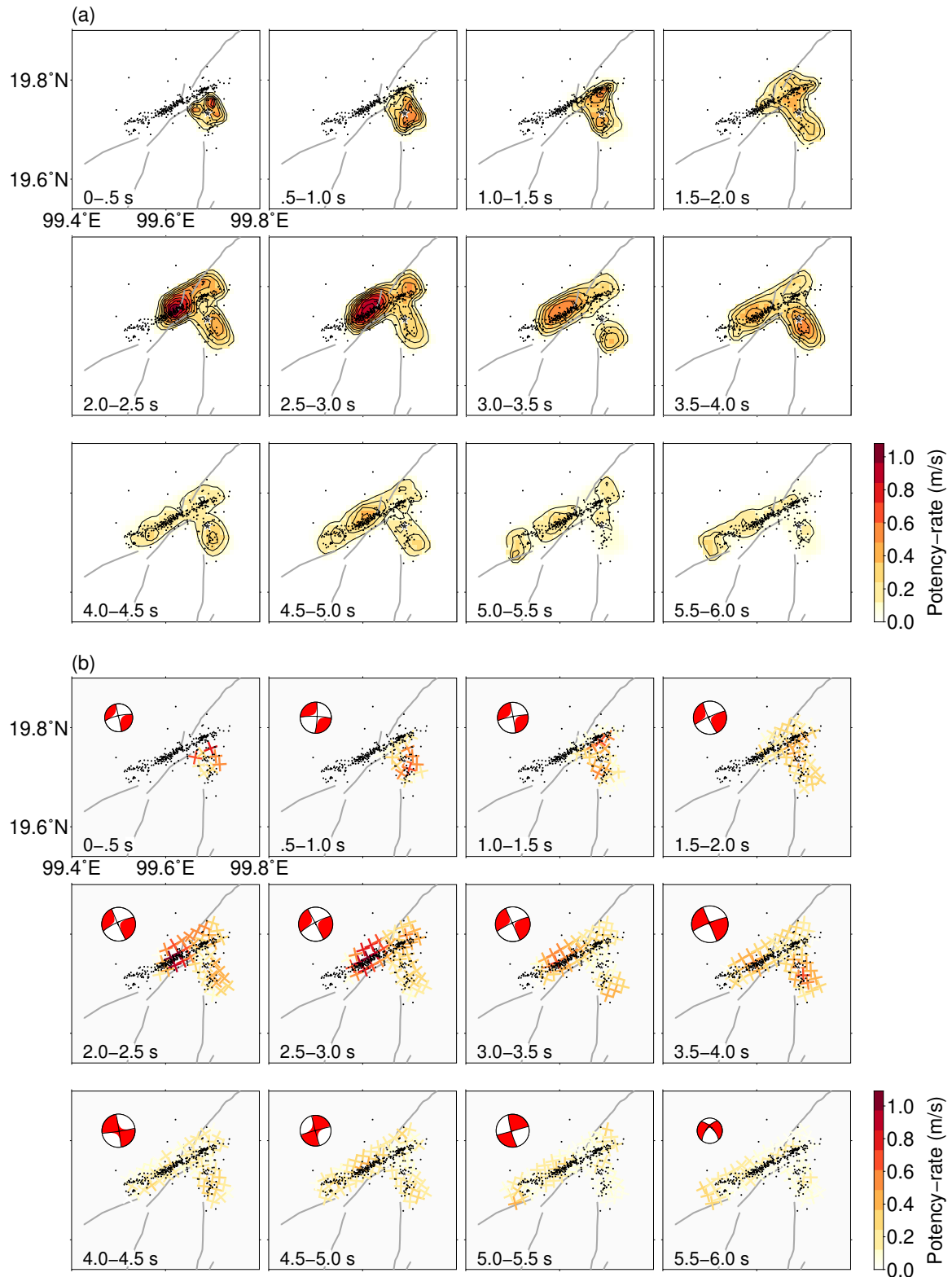
242

243

244

245

**Figure 4.** The summary of the result. (a) The total moment tensor solution. (b) The moment-rate function. (c) The distribution of the potency density and strike orientation extracted from the potency density tensor of each sub-fault along the assumed horizontal model plane. The crossmark represents the strike orientation of the nodal planes and its color shows the amount of potency density. The yellow star denotes the epicentre. The dots are the relocated aftershocks<sup>15</sup>. The black solid lines are the active faults<sup>26,27</sup>: MLF: Mae Lao fault, PF; Phan fault, MSF; Mae Suai fault, MSBF; Mae Suai Boundary fault. The figure was made with Generic Mapping Tools<sup>14</sup>.



246

247

248

249

250

251

252

**Figure 5.** The spatiotemporal distribution of the potency-rate density. (a) The panels show the contour plot of the potency-rate density distribution. (b) the panels show the nodal planes distribution extracted from the potency-rate density tensor. The corresponding time window of (a) and (b) is presented as the averaged snapshot of the potency-rate density tensor. The beach ball shows the total moment tensor solution within the time window. The star shows the epicentre. The dots show the relocated aftershock<sup>15</sup>. The line shows the active faults<sup>26,27</sup>. The figures were made with Generic Mapping Tools<sup>14</sup>.

## 253 Discussion

254 Our finite-fault source model of the 2014 Thailand earthquake distinguished two rupture episodes that  
255 show a dominant strike-slip faulting consisting of different rupture lineations along the NSTA and  
256 EWTA (Fig. 5), which are consistent with the nodal plane distribution (Fig. 4c) and thus facilitates  
257 identification of the possible fault geometry for the 2014 Thailand earthquake. The nodal plane  
258 distribution along the NSTA shows nodal strikes in the NNE-SSW direction and the auxiliary plane in  
259 the ESE-WNW direction (Fig. 4). The nodal plane distribution along the EWTA shows nodal strikes in  
260 the ENE-WSW direction and the auxiliary plane in the NNW-SSE direction (Fig. 4). The consistency  
261 between the nodal plane distribution (Fig. 4c) and the rupture directions of the spatiotemporal potency-  
262 rate density distribution (Fig. 5) facilitates identification of the possible fault geometry. The striking  
263 plane along the NSTA is determined to be in the NNE-SSW direction and is associated with the rupture  
264 propagating towards the south. The striking plane along the EWTA is determined to be in the ENE-  
265 WSW direction and is associated with the rupture propagating towards the southwest. The obtained two  
266 dominant fault planes along the NSTA and EWTA are consistent with the two distinct trends of the  
267 relocated aftershock distribution<sup>15</sup>. The first is the N-S trend ( $\sim 180^\circ$  from north) along the NSTA  
268 located near the epicentre and the second is the ENE-WSW trend ( $\sim 60^\circ$  from north) along the EWTA  
269 located northwest from the epicentre. Although the geometry of our model, designed to cover the  
270 aftershock distribution area, is non-rectangular, the potency density and the potency-rate density of each  
271 sub-fault are estimated independently from the assumed model geometry.

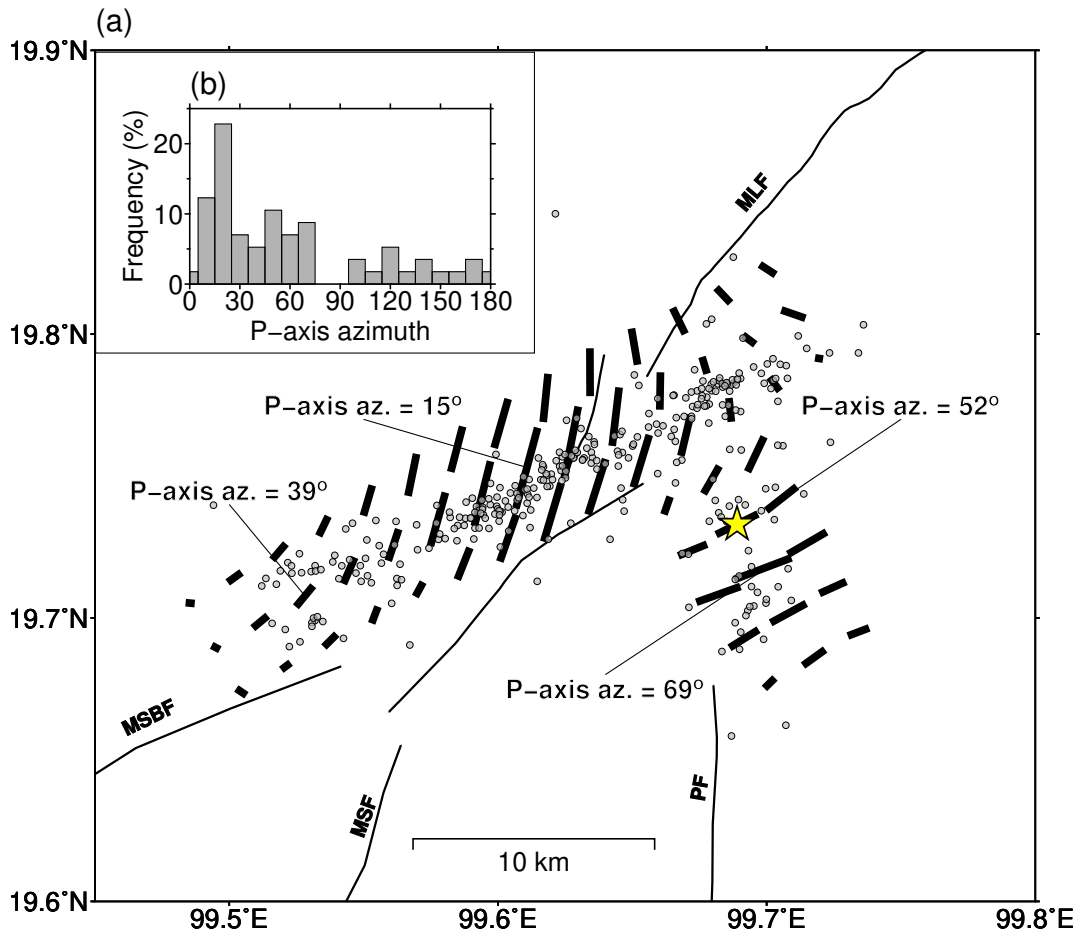
272 The strike orientation of the potency density tensor shows geometric bends; since they are changes  
273 in the strike direction of the rupture-hosting fault, they play an important role in the earthquake rupture  
274 process<sup>38,39</sup>. Our finite-fault model shows that the lineations of the strike directions in the NSTA ( $7^\circ$  to  
275  $24^\circ$  of the reference points in Fig. 4) and EWTA ( $240^\circ$  to  $265^\circ$  of the reference points in Fig. 4) coincide  
276 with the spatial pattern of the aftershock distribution. In addition to these general lineations of the fault  
277 geometry, the strike orientation of the spatiotemporal potency-rate density tensor distribution (Fig. 5b)  
278 also shows dynamic changes of the fault geometry. During the first 1.5 s, the strike orientation rotates  
279 clockwise as it propagates from the northern to the southern edges of the NSTA (Fig. 5b). Then, at  
280 around 1.5 s as the rupture migrates from the NSTA to the EWTA, the fault strike direction changes  
281 from NNE-SSW at the northern edge of the NSTA to ENE-WSW at the eastern edge of the EWTA,  
282 which implies that the fault planes in the NSTA and EWTA can be considered as a conjugate fault,  
283 where the planes inclined at angles on either side of the maximum principal stress<sup>40</sup>. Next, between 2.0  
284 and 3.5 s the second rupture propagates along EWTA from its eastern edge towards the south-west and  
285 terminates at around 4.5 s at its western edge. In this process, the time at which the strike orientation  
286 rotates clockwise corresponds to the time of the largest potency-rate density. It is associated with the  
287 second rupture arising at the eastern edge of the EWTA, propagating west during the period between  
288 the 2.0 and 3.5 s and having the highest potency-rate of around 1.2 m/s (Fig. 5b). Our result of the major

289 slip along the EWTA during 2–3 s is robustly resolved even if we change the assumptions of maximum  
290 rupture velocity, the total durations (Figs. S8 and S10). According to the surface fault lines<sup>23,26</sup>, the  
291 orientation of the known active conjugated strike-slip faults of the PF and MLF shows striking at N5E–  
292 N13E and N30E–N50E; this is consistent with our findings that at the northern edge of the NSTA, the  
293 striking is in the NNE–SSW direction and at the eastern edge of the EWTA, in the ENE–WSW direction.  
294 The multiple sub-events at the conjugated strike-slip fault system are possibly due to the complex  
295 rupture evolution<sup>31,41</sup>. Therefore, we conclude that the rupture evolution of the 2014 Thailand  
296 earthquake is characterized by multiple sub-events in the conjugated strike-slip fault system of the PF  
297 and MLF. We here echo that we observed the largest potency-rate density tensor distribution at 2.0–2.5  
298 s. Then, at 3.5–4.5 s, the potency-rate density reduces after the bend, where we see the change of strike  
299 angles from  $\sim 218^\circ$  to  $\sim 250^\circ$  (Fig. 5b). Furthermore, studies using the flexible teleseismic finite-fault  
300 inversion have shown that complexities in the faulting system, like geometric bends, can cause the non-  
301 smooth rupture propagation of the mainshock<sup>30,31,42</sup>. The dynamic rupture simulation demonstrates that  
302 rupture perturbation could have occurred from the bend along a strike-slip faulting<sup>38,43</sup>. Thus, we  
303 suggest that the rupture along the NSTA and EWTA exhibits the complexity of the fault geometry that  
304 includes a bend. This complicated fault system is the reason for the fluctuation of the rupture front. It  
305 also can act as a barrier for the termination of the rupture propagation at the southern edge of the NSTA  
306 and the western edge of the EWTA. The possible bends of the fault system can also be seen in the  
307 relocated aftershock distribution in the south of the epicentre and the western edge of the EWTA (Fig.  
308 4), which may contribute to confine the rupture along NSTA and facilitates the major rupture along the  
309 EWTA.

310 The rupture evolution of the 2014 Thailand earthquake displays two distinct rupture episodes with  
311 rupture directions along the NSTA and EWTA. These rupture episodes reveal two perpendicular planes  
312 that coincide with the aftershock distribution pattern along the NSTA and EWTA (Fig. 5). The  
313 aftershock distribution shows a spatial gap of around 5 km located between the northern edge of the  
314 NSTA and the eastern edge of the EWTA (Figs. 4 and 5). In this gap, our source model shows the  
315 lowest potency-rate density for the entire rupture duration between the northern edge of the NSTA and  
316 the eastern edge of the EWTA (Fig. 5). The agreement between the low potency-rate density area and  
317 the spatial gap in the aftershock distribution suggests that the two conjugate faults are not connected.  
318 The discontinuity of the co-seismic slip across the gap in the conjugated fault system suggests that the  
319 second rupture episode initiated at the eastern edge of the EWTA may have been triggered by the initial  
320 rupture episode along the NSTA.

321 The spatial distribution of the P-axis (or the maximum compressive stress axis) azimuth,  
322 extracted from the potency density tensor for each sub-fault (Fig. 6) exhibits clockwise rotation from  
323 the northern edge to the southern edge of the NSTA, from  $52^\circ$  to  $69^\circ$  azimuths, and from the eastern  
324 edge to the western edge of the EWTA, from  $15^\circ$  to  $39^\circ$  azimuth. This clockwise rotation of the P-axis  
325 azimuth is in accordance with the aftershock lineation along the NSTA and EWTA (Fig. 6a). The

326 histogram of the P-axis azimuth distribution displays two peaks, one at  $10^{\circ}$ – $30^{\circ}$  and the other at  $50^{\circ}$ –  
327  $70^{\circ}$  (Fig. 6b). Since most of the aftershocks of magnitude 4 and above, for which a focal mechanism  
328 solution is estimated by Noisagool et al.<sup>23</sup>, occur in the EWTA<sup>15</sup>, the principal compressive stress axis  
329 orientation of  $18^{\circ}$  obtained from the mainshock and the aftershocks of the 2014 Thailand earthquake<sup>23</sup>  
330 is most likely reflecting the one in the EWTA domain, which coincides with our estimates of the P-axis  
331 azimuth distribution along the EWTA (Fig. 6). Whilst, the direction of the P-axis azimuth along the  
332 NSTA obtained in this study ( $\sim 50^{\circ}$ , Fig. 6) is rotated clockwise by about  $30^{\circ}$  from the principal  
333 compressive stress axis orientation obtained by Noisagool et al.,<sup>23</sup>. As a result, the strike-slip direction  
334 with strike of  $24^{\circ}$  at the southern part of the NSTA obtained from this study (Fig. 4), is opposite to that  
335 expected from the principal compressive stress axis orientation of  $18^{\circ}$  obtained by Noisagool et al.,<sup>23</sup>.  
336 On the other hand, if Coulomb's friction factor is a typical value of 0.6, the two peaks of our P-axis  
337 histogram (Fig. 6) can be naturally explained as a shift of the P-axis of the conjugate fault plane<sup>44</sup>,  
338 which leads to  $\sim 35^{\circ}$  principal stress axis; about  $15^{\circ}$  clockwise from the principal stress axis orientation  
339 obtained in Noisagool et al.<sup>23</sup>. We should mention, however, the focal mechanism solutions obtained  
340 in this study are affected by dynamic changes in the stress field due to seismic waves or localized fault  
341 structures, and estimation of the principal stress axis is beyond the scope of this study. Our results  
342 suggest that further investigation of the stress field in this region is needed, taking into account the  
343 spatial bias of aftershock distribution, which affects the estimates of the principal stresses for the  
344 conjugate fault earthquake.



345

346

347

348

349

350

351

352

**Figure 6.** The P-axis azimuth distribution. (a) The P-axis azimuth distribution extracted from the resultant potency-density tensors of each sub-fault from Figure 4. The length of the P-axis is proportional to the potency density relating to the color scale of Figure 4. The azimuth is measured clockwise from north. The yellow star shows the epicentre. The dots show the relocated aftershock<sup>15</sup>. The black thin line shows the active faults<sup>26,27</sup>. (b) The histogram of the P-axis azimuth distribution with 10° azimuthal bin width, which plots between the azimuth angle and the percentage of the count of the P-axis azimuth along the model plane. The figure was made with Generic Mapping Tools<sup>14</sup>.

353

## Conclusion

354

355

356

357

358

359

360

361

362

We construct a source model for the 2014 Thailand  $M_w$  6.2 earthquake that occurred within the Phayao fault zone in northern Thailand, by applying a new framework of the flexible teleseismic  $P$  waveform finite-fault inversion and resolved both the fault geometry and the slip. Our source model exhibits complex rupture evolution consisting of two rupture episodes along a conjugated strike-slip fault system that comprises two distinct fault planes. These planes coincide with the relocated aftershock distribution. The initial rupture originates at the hypocentre and propagates southward along the north-south oriented fault plane near the epicentre. Then the second rupture episode is triggered north of the epicentre at the eastern edge of the conjugated east-west oriented fault plane and propagates southwestward until the rupture terminates. Our source model shows not only the conjugate fault geometry but also the fault

363 bends that are related to the smaller-scale features of the aftershock lineation in each rupture episode.  
364 Our model also suggests that the conjugate fault system of the 2014 Thailand earthquake is not  
365 connected at the junction; the observed spatial gap (~5 km) may account for the triggering of the second  
366 rupture episode. The spatial variation of the principal stress axis inferred from our finite-fault model  
367 suggests an in-situ stress state of the Phayao fault zone, which is responsible for the complex rupture  
368 evolution of the 2014 Thailand earthquake.  
369

## 370 **References**

- 371 1. ISC. International Seismological Centre. (2021). doi:<https://doi.org/10.31905/D808B830>
- 372 2. Morley, C. K., Charusiri, P. & Watkinson, I. M. Structural geology of Thailand during the  
373 Cenozoic. *Geol. Thail.* 273–334 (2011). doi:10.1144/goth.11
- 374 3. Huchon, P., Le Pichon, X. & Rangin, C. Indochina Peninsula and the collision of India and  
375 Eurasia. *Geology* **22**, 27–30 (1994).
- 376 4. Leloup, P. H. *et al.* New constraints on the structure, thermochronology, and timing of the  
377 Ailao Shan-Red River shear zone, SE Asia. *J. Geophys. Res.* **106**, 6683–6732 (2001).
- 378 5. Morley, C. K. A tectonic model for the Tertiary evolution of strike-slip faults and rift basins in  
379 SE Asia. *Tectonophysics* **347**, 189–215 (2002).
- 380 6. Morley, C. K. Variations in Late Cenozoic-Recent strike-slip and oblique-extensional  
381 geometries, within Indochina: The influence of pre-existing fabrics. *J. Struct. Geol.* **29**, 36–58  
382 (2007).
- 383 7. Charusiri, P. & Pum-Im, S. Cenozoic Tectonic Evolution of Major Sedimentary Basins in  
384 Central, Northern, and the Gulf of Thailand. *Best* **2**, 40–62 (2009).
- 385 8. Fenton, C. H., Charusiri, P. & Wood, S. H. Recent paleoseismic investigations in Northern and  
386 Western Thailand. *Ann. Geophys.* **46**, 957–982 (2003).
- 387 9. Pailoplee, S. & Charusiri, P. Seismic hazards in Thailand: A compilation and updated  
388 probabilistic analysis 4. Seismology. *Earth, Planets Sp.* **68**, (2016).
- 389 10. Kázmér, M., Sanittham, K., Charusiri, P. & Pailoplee, S. Archaeoseismology of the AD 1545  
390 Earthquake in Chiang Mai Thailand. *2nd INQUA-IGCP-567 Int. Work. Act. Tectonics, Earthq.*  
391 *Geol. Archaeol. Eng. Corinth, Greece* 1–4 (2011).
- 392 11. GCMT. Mw 6.2 Chiang Rai, Thailand. (2014). Available at:  
393 <https://ds.iris.edu/spud/momenttensor/9687293>.
- 394 12. Styron, R. & Pagani, M. The GEM Global Active Faults Database. *Earthq. Spectra* **36**, 160–  
395 180 (2020).
- 396 13. GEBCO. Gridded Bathymetry Data Download. (2020). Available at:  
397 <https://download.gebco.net>.
- 398 14. Wessel, P., Smith, W. H. F., Scharroo, R., Luis, J. & Wobbe, F. Generic Mapping Tools:  
399 Improved Version Released. *Eos, Trans. Am. Geophys. Union* **94**, 409–410 (2013).
- 400 15. Pananont, P. *et al.* Seismotectonics of the 2014 Chiang Rai, Thailand, earthquake sequence. *J.*  
401 *Geophys. Res. Solid Earth* **122**, 6367–6388 (2017).
- 402 16. Lhamphoonsup, K., Soisa, T. & Sriwangpon, P. *PRELIMINARY GEOPHYSICAL*  
403 *INVESTIGATION IN AN EARTHQUAKE IMPACT AREA CHIANG RAI PROVINCE. Annual*  
404 *Meeting Report of The 2014 Chiang Rai Earthquake* (2014).
- 405 17. Wechbunthung, B. *SEISMIC DATA OF EARTHQUAKE AT CHIANGRAI ON MAY 5, 2014.*



- 406 *Annual Meeting Report of The 2014 Chiang Rai Earthquake* (2014).
- 407 18. Wiwegwin, W. & Kosuwan, S. *Annual Meeting Report of The 2014 Chiang Rai Earthquake*.  
408 (2014).
- 409 19. Nardkulpat, A., Phodee, P., Karnchanasuthum, S., Nualchawee, K. & Rakwatin, P. Using  
410 Differential InSAR Technique for Coseismic Displacement Study of Mw6.3 Chiang Rai  
411 Earthquake, Thailand. in *Conference: GEOINFOTECH2017* (2017).
- 412 20. Pailoplee, S., Sugiya, Y. & Charusiri, P. Deterministic and probabilistic seismic hazard  
413 analysis in Thailand and adjacent areas using active fault data. **61**, 1313–1325 (2009).
- 414 21. USGS. M6.1 - 13 km NNW of Phan, Thailand. (2014). Available at:  
415 <https://earthquake.usgs.gov/earthquakes/eventpage/usb000qack/executive>.
- 416 22. TMD Thai Meteorological Department. Mw 6.3 Chiang Rai, Thailand. (2014). Available at:  
417 <https://earthquake.tmd.go.th/inside-info.html?earthquake=2085>.
- 418 23. Noisagool, S., Boonchaisuk, S., Pornsopin, P. & Siripunvaraporn, W. The regional moment  
419 tensor of the 5 May 2014 Chiang Rai earthquake (Mw = 6.5), Northern Thailand, with its  
420 aftershocks and its implication to the stress and the instability of the Phayao Fault Zone. *J.*  
421 *Asian Earth Sci.* **127**, 231–245 (2016).
- 422 24. Dziewonski, A. M., Chou, T. A. & Woodhouse, J. H. Determination of earthquake source  
423 parameters from waveform data for studies of global and regional seismicity. *J. Geophys. Res.*  
424 **86**, 2825–2852 (1981).
- 425 25. Ekström, G., Nettles, M. & Dziewoński, A. M. The global CMT project 2004-2010: Centroid-  
426 moment tensors for 13,017 earthquakes. *Phys. Earth Planet. Inter.* **200–201**, 1–9 (2012).
- 427 26. DMR Department of Mineral Resources. Active Fault Map of Thailand. (2016). Available at:  
428 [http://www.dmr.go.th/n\\_more\\_news\\_en.php?nid=109490](http://www.dmr.go.th/n_more_news_en.php?nid=109490).
- 429 27. Kanthiya, S., Mangkhemthong, N. & Morley, C. K. Structural interpretation of Mae Suai  
430 Basin, Chiang Rai Province, based on gravity data analysis and modelling. *Heliyon* **5**, e01232  
431 (2019).
- 432 28. Heidbach, O., Rajabi, M., Reiter, K., Ziegler, M. & WSM Team. World Stress Map Database  
433 Release 2016. V. 1.1. (2016). doi:<https://doi.org/10.5880/WSM.2016.001>
- 434 29. Simons, W. J. F. *et al.* A decade of GPS in Southeast Asia: Resolving Sundaland motion and  
435 boundaries. *J. Geophys. Res. Solid Earth* **112**, 1–20 (2007).
- 436 30. Tadapansawut, T., Okuwaki, R., Yagi, Y. & Yamashita, S. Rupture Process of the 2020  
437 Caribbean Earthquake Along the Oriente Transform Fault, Involving Supershear Rupture and  
438 Geometric Complexity of Fault. *Geophys. Res. Lett.* **48**, 1–19 (2021).
- 439 31. Yamashita, S. *et al.* Consecutive ruptures on a complex conjugate fault system during the 2018  
440 Gulf of Alaska earthquake. *Sci. Rep.* **11**, 1–9 (2021).
- 441 32. Kikuchi, M. & Kanamori, H. Inversion of Complex Body Waves-III. *Bull. Seismol. Soc. Am.*  
442 **81**, 2335–2350 (1991).

- 443 33. Shimizu, K., Yagi, Y., Okuwaki, R. & Fukahata, Y. Development of an inversion method to  
444 extract information on fault geometry from teleseismic data. *Geophys. J. Int.* **220**, 1055–1065  
445 (2020).
- 446 34. Yagi, Y. & Fukahata, Y. Introduction of uncertainty of Green's function into waveform  
447 inversion for seismic source processes. *Geophys. J. Int.* **186**, 711–720 (2011).
- 448 35. Ragon, T., Sladen, A. & Simons, M. Accounting for uncertain fault geometry in earthquake  
449 source inversions - I: Theory and simplified application. *Geophys. J. Int.* **214**, 1174–1190  
450 (2018).
- 451 36. Shimizu, K., Yagi, Y., Okuwaki, R. & Fukahata, Y. Construction of fault geometry by finite-  
452 fault inversion of teleseismic data. *Geophys. J. Int.* **224**, 1003–1014 (2021).
- 453 37. Wongwai, W., Pananont, P. & Pornsopin, P. Teleseismic Receiver Functions Study of the  
454 Crustal Thickness Underneath Thailand. *Am. Geophys. Union, Fall Meet. 2013, Abstr. id.*  
455 *S33F-03* (2013).
- 456 38. Kase, Y. & Day, S. M. Spontaneous rupture processes on a bending fault. *Geophys. Res. Lett.*  
457 **33**, 1–4 (2006).
- 458 39. Ulrich, T. *et al.* Coupled, Physics-Based Modeling Reveals Earthquake Displacements are  
459 Critical to the 2018 Palu, Sulawesi Tsunami. *Pure Appl. Geophys.* **176**, 4069–4109 (2019).
- 460 40. Scholz, C. H. *The Mechanics of Earthquakes and Faulting*. (Cambridge University Press,  
461 2002). doi:10.1017/CBO9780511818516
- 462 41. Meng, L. *et al.* Earthquake in a maze: Compressional rupture branching during the 2012 Mw  
463 8.6 Sumatra earthquake. *Science (80-. )*. **337**, 724–726 (2012).
- 464 42. Okuwaki, R., Hirano, S., Yagi, Y. & Shimizu, K. Inchworm-like source evolution through a  
465 geometrically complex fault fueled persistent supershear rupture during the 2018 Palu  
466 Indonesia earthquake. *Earth Planet. Sci. Lett.* **547**, 116449 (2020).
- 467 43. Duan, B. & Oglesby, D. D. Multicycle dynamics of nonplanar strike-slip faults. *J. Geophys.*  
468 *Res.* **110**, 1–16 (2005).
- 469 44. Iio, Y. Frictional coefficient on faults in a seismogenic region inferred from earthquake  
470 mechanism solutions. *J. Geophys. Res. Solid Earth* **102**, 5403–5412 (1997).
- 471 45. Hunter, J. D. Matplotlib: A 2D Graphics Environment. *Comput. Sci. Eng.* **9**, 90–95 (2007).
- 472 46. Beyreuther, M. *et al.* ObsPy: A Python Toolbox for Seismology. *Seismol. Res. Lett.* **81**, 530–  
473 533 (2010).
- 474 47. Laske, G., Masters, G., Ma, Z. & Pasyanos, M. Update on CRUST1.0 -A 1-degree Global  
475 Model of Earth's Crust, Geophys. Res. Abstract. in *Abstract EGU2013* 2658 (2013).
- 476

477

## 478 **Acknowledgements**

479 This work has been supported by a Grant-in-Aid for Scientific Research (C) 19K04030. We thank  
480 Passakorn Pananont and others for making available their relocated aftershock data and the near-source  
481 structural velocity model. We thank the Department of Mineral Resources of Thailand and the Thai  
482 Meteorological Department for making available their regional fault surface lines of the Phayao fault  
483 zone. The facilities of IRIS Data Services and specifically the IRIS Data Management Center were used  
484 to access the waveforms, related metadata, and derived products used in this study. IRIS Data Services  
485 are funded through a Seismological Facilities for the Advancement of Geoscience (SAGE) Award from  
486 the National Science Foundation under Cooperative Support Agreement EAR-1851048. The figures  
487 were generated with Generic Mapping Tools version 5<sup>14</sup>, Matplotlib version 3.1.1<sup>45</sup>, and ObsPy version  
488 1.1.0<sup>46</sup>.

## 489 **Data Availability**

490 Teleseismic waveforms were obtained from the following networks: the Global Seismograph Network  
491 (GSN IRIS/IDA, II; <https://doi.org/10.7914/SN/II>); the Global Seismograph Network (GSN  
492 IRIS/USGS, IU; <https://doi.org/10.7914/SN/IU>); New China Digital Seismograph Network (NCDSN,  
493 IC; <https://doi.org/10.7914/SN/IC>); the Alaska Regional Network (AK;  
494 <https://doi.org/10.7914/SN/AK>); the Australian National Seismograph Network (ANSN, AU;  
495 <http://www.fdsn.org/networks/detail/AU/>); the China National Seismic Network, the Data Management  
496 Centre of the China National Seismic Network at the Institute of Geophysics (SeisDmc CEA, CB;  
497 <https://doi.org/10.7914/SN/CB>); the Czech Regional Seismic Network (CZ;  
498 <https://doi.org/10.7914/SN/CZ>); GEOFON (GE; <https://doi.org/10.14470/TR560404>); the Japan  
499 Meteorological Agency Seismic Network (JP; <http://www.fdsn.org/networks/detail/JP/>); the Kyrgyz  
500 Seismic Telemetry Network (KNET, KN; <https://doi.org/10.7914/SN/KN>); the Mediterranean Very  
501 Broadband Seismographic Network (MedNet, MN; <https://doi.org/10.13127/SD/fBBBtDtd6q>); and the  
502 Austrian Seismic Network (OE; <https://doi.org/10.7914/SN/OE>). The moment tensor solutions were  
503 obtained from the GCMT catalog (<https://www.globalcmt.org/CMTsearch.html>). The CRUST 1.0  
504 structural velocity model of Laske et al.<sup>47</sup> is available at <https://igppweb.ucsd.edu/~gabi/crust1.html>.  
505 The topography and bathymetry data from GEBCO are available at <https://download.gebco.net>. The  
506 global database of the major active faults from Styron and Pagani<sup>12</sup> is available at  
507 <https://github.com/GEMScienceTools/gem-global-active-faults>.