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4 **The early diversification of ray-finned fishes (Actinopterygii): hypotheses,**
5 **challenges and future prospects**

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7 Struan Henderson, University of Birmingham, SAH872@student.bham.ac.uk

8 Emma M Dunne, University of Birmingham, e.m.dunne@bham.ac.uk

9 Sam Giles, University of Birmingham, Natural History Museum, s.giles.1@bham.ac.uk

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20 **The early diversification of ray-finned fishes (Actinopterygii): hypotheses,**
21 **challenges and future prospects**

22 Struan Henderson¹, Emma M Dunne¹, Sam Giles^{1,2*}

23 ¹ School of Geography, Earth and Environmental Sciences, University of Birmingham,
24 Birmingham, UK.

25 ² Department of Earth Sciences, Natural History Museum, Cromwell Road, London SW7
26 5BD, UK

27 * Corresponding author: s.giles.1@bham.ac.uk; +44(0) 121 41 49130

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29 **Abstract:**

30 Actinopterygii makes up half of living vertebrate diversity, and study of fossil
31 members during their Palaeozoic rise to dominance has a long history of descriptive work.
32 Although research interest into Palaeozoic actinopterygians has increased in recent years,
33 broader patterns of diversity and diversity dynamics remain critically understudied. Past
34 studies have investigated macroevolutionary trends in Palaeozoic actinopterygians in a
35 piecemeal fashion, variably using existing compendia of vertebrates or literature-based
36 searches. Here, we present a comprehensive occurrence-based dataset of actinopterygians
37 spanning the whole of the Palaeozoic and the first through-Palaeozoic face-value diversity
38 trends for the Actinopterygii. Diversity through time generally tracks metrics for sampling,
39 while major taxonomic problems pervading the Palaeozoic actinopterygian record obscure
40 diversity trends. Many described species are concentrated in several particularly problematic
41 ‘waste-basket’ genera, hiding considerable morphological and taxonomic diversity. This
42 taxonomic confusion also feeds into a limited understanding of phylogenetic relationships. A

43 heavy sampling bias towards Europe and North America exists in both occurrence databases
44 and existing phylogenetic matrices, with other regions underrepresented despite yielding
45 important data. Scrutiny of the extent to which spatial biases influence the actinopterygian
46 record is lacking, as is research on other forms of bias. Low richness in some time periods
47 may be linked to geological biases, while the effect of taphonomic biases on Palaeozoic
48 actinopterygians have not yet been investigated. Efforts are already underway to both
49 redescribe poorly defined taxa and describe taxa from underrepresented regions, helping
50 address taxonomic issues and accuracy of occurrence data. New methods of sampling
51 standardisation utilising up-to-date occurrence databases will be critical in teasing apart
52 biological changes in diversity and those resulting from bias. Lastly, continued phylogenetic
53 work will enable the use of phylogenetic comparative methods to elucidate the origins of
54 actinopterygian biogeography and subsequent patterns of radiation throughout their rise to
55 dominate aquatic faunas.

56

57 **Keywords:** fossils; ichthyology; diversity; Palaeozoic; Actinopterygii; sampling biases.

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101 **I. INTRODUCTION**

102 Reconstructions of deep time biodiversity patterns are critical to understanding the
103 evolution of life of Earth. However, deciphering whether these patterns represent true
104 changes in biodiversity is a key challenge for palaeobiologists (Raup, 1972, 1976; Sepkoski,
105 1981; Alroy *et al.*, 2008). The past 20 years have seen rapid growth in the number of
106 quantitative studies on vertebrate groups, which employ fossil occurrence data to estimate
107 patterns of diversity. The majority of work on vertebrate diversity through time focuses on
108 either individual taxonomic groups of tetrapods (e.g. Alroy, 2009; Benson *et al.*, 2010;
109 Mannion *et al.*, 2011, 2019; Butler *et al.*, 2011; Butler, Benson, & Barrett, 2013;
110 Brocklehurst, Kammerer, & Fröbisch, 2013; Pearson *et al.*, 2013; Cleary *et al.*, 2015, 2018,
111 2020; Bennett *et al.*, 2018; Cantalapiedra, Domingo, & Domingo, 2018; Cantalapiedra *et al.*,
112 2021; Brown *et al.*, 2019; Driscoll *et al.*, 2019; Celis *et al.*, 2020) and fishes (Sallan &
113 Coates, 2010; Koot, 2013; Lloyd & Friedman, 2013; Sansom, Randle, & Donoghue, 2015;

114 Romano *et al.*, 2016), or large scale analyses of all tetrapods using large publicly available,
115 community-led databases such as the Paleobiology Database (PBDB; paleobiodb.org)
116 (Sahney, Benton, & Ferry, 2010; Close *et al.*, 2017, 2019, 2020a; Dunne *et al.*, 2018; Dunne,
117 2020). These studies have allowed insight into evolutionary dynamics in deep time, the
118 assembly of ancient and modern ecosystems, and revealed major changes in diversification,
119 extinction, and paleoecology. For example, studies of Palaeozoic vertebrates have illuminated
120 the rise of jawed vertebrates from the Silurian to the Devonian (Sansom *et al.*, 2015), a major
121 shift from placoderm- and sarcopterygian-dominated faunas to chondrichthyan- and
122 actinopterygian-dominated faunas after the end-Devonian mass extinction (Sallan & Coates,
123 2010), and changes in Palaeozoic tetrapod diversity in relation to palaeoenvironments (Dunne
124 *et al.*, 2018; Pardo *et al.*, 2019).

125 Despite accounting for roughly half of extant vertebrate species (Nelson, Grande, &
126 Wilson, 2016), research on the diversity of actinopterygians over long evolutionary
127 timescales comprises only a fraction of macroevolutionary studies. Ray-finned fishes likely
128 evolved in the Silurian (Zhu *et al.*, 2009) with the crown group originating close to the
129 Devonian-Carboniferous boundary (Giles *et al.*, 2017), but diversity dynamics throughout the
130 Palaeozoic are poorly understood due to the limited number of studies utilising occurrence-
131 based datasets. This reflects a broader palaeontological trend of understudy into the fossil
132 record of fishes (Friedman & Sallan, 2012). Notable exceptions include Sallan and Coates'
133 (2010) diversity and faunal analyses of Middle Devonian to Mississippian gnathostomes;
134 Lloyd and Friedman's (2013) analysis of British fish richness; and Romano *et al.*'s (2016)
135 study on Permo-Triassic osteichthyans. Other studies have used compendia of first and last
136 appearances to plot counts through time (Benton, 1993; Patterson, 1994; Sepkoski, 2002;
137 Blicek, 2011; Friedman & Sallan, 2012). Additional studies examine patterns of biodiversity
138 across long periods of time using publicly available occurrence data (e.g. PBDB), though

139 they present aggregated data of numerous groups of ‘fishes’, or an even broader set of taxa
140 such as nektonic metazoans (e.g. Whalen & Briggs, 2018; Harper, Cascales-Miñana, &
141 Servais, 2020; Close *et al.*, 2020b).

142 While these studies present an important first foray into understanding Palaeozoic
143 actinopterygian evolution, there have been limited syntheses that take the accuracy of the ray-
144 fin fossil record into account, which is a major barrier to reconstructing long-term
145 evolutionary patterns. Previous attempts either focus on the UK and include non-
146 actinopterygian fishes (Lloyd & Friedman, 2013), do not cover the entire Palaeozoic (Sallan
147 & Coates, 2010; Romano *et al.*, 2016), or are broader in scope without as much focus on the
148 suitability of data and barriers to interpreting diversity patterns (Sallan, 2014). Friedman and
149 Sallan (2012) note the lack of such investigation for fishes, and, through a qualitative survey,
150 suggest that geological and taxonomic biases likely impact diversity of fishes through time.
151 Here, we summarise the current state of research on the Palaeozoic fossil record of
152 actinopterygians and present a new occurrence database spanning the Palaeozoic in an
153 attempt to answer the following:

154 - how has our understanding of the Palaeozoic actinopterygian fossil record changed over
155 time?

156 - what are the trends in face-value diversity through the Palaeozoic?

157 - how do sampling and other biases affect our understanding of Palaeozoic actinopterygian
158 diversity through time?

159 - how do taxonomic problems and existing phylogenetic analyses hinder our interpretation of
160 the Palaeozoic actinopterygian fossil record?

161

162 II. CURRENT HYPOTHESES OF PALAEOZOIC ACTINOPTERYGIAN

163 DIVERSITY

164 (1) Past studies

165 Although our understanding of patterns of actinopterygian diversity lags behind that
166 of other groups, a number of studies over the past few decades have investigated fish
167 diversity at different taxonomic levels and geological scales (Fig. 1). Initially, these
168 approaches used published compendia to generate family- and/or genus-level diversity
169 curves. The first major attempt (Thomson, 1977) used data from Romer's (1966)
170 compendium to plot genus- and family-level diversity of Phanerozoic 'fishes' (Acanthodii,
171 Agnatha, Chondrichthyes, Chondrostei, Holostei, Placodermi, Sarcopterygii and Teleostei;
172 Fig. 1E). In subsequent years, several studies used family-level data from Benton (1993) to
173 investigate osteichthyan diversity through the Palaeozoic. Patterson (1994) plotted diversity
174 curves for osteichthyans as well as stem-actinopterygians, stem-neopterygians and stem-
175 teleosts, encompassing all Palaeozoic actinopterygians included in the parent dataset (Fig.
176 1A). Blicek (2011; Fig. 1B) and Benton (2014: fig. 2.11) also used data compiled by Benton
177 (1993) to plot family-level diversity curves of vertebrates from the Ordovician to Triassic,
178 though did not focus on actinopterygians. Additionally, Friedman and Sallan (2012) used an
179 existing marine dataset (Sepkoski, 2002) to present genus-level diversity patterns of all
180 'fishes' (vertebrates excluding Tetrapoda and including Conodonts) throughout the
181 Phanerozoic (Fig. 1C).

182 Other attempts have used literature-based datasets to interrogate patterns of diversity.
183 Sallan and Coates (2010) assembled a dataset of gnathostome occurrences from 66 localities
184 spanning the Middle Devonian (Givetian) to early Carboniferous (Serpukhovian) and
185 presented diversity curves of gnathostomes (Acanthodii, Actinopterygii, Chondrichthyes,

186 Placodermi, Sarcopterygii, Tetrapoda; Fig. 1F). Lloyd and Friedman (2013) sourced data
187 from a variety of sources, both as a means of comparing datasets (Agassiz, 1833; Carroll,
188 1988; Benton, 1993; Sepkoski, 2002; Palaeobiology Database, downloaded on 31/05/12) and
189 to investigate the diversity of Phanerozoic ‘fishes’ (though excluding Conodonts) with a
190 particular focus on the fossil record of Great Britain (Fig. 1D). Romano *et al.* (2016) and
191 Vázquez & Clapham (2017) compiled datasets that commence in the Asselian (early
192 Permian) and encompass osteichthyans (Actinistia, Dipnoi, Holostei, ‘Palaeopterygii’,
193 ‘Subholostei’ and Teleostomorpha: Romano *et al.* [2016]; Fig. 1F) and marine fishes
194 (Osteichthyes [excluding Dipnoi] and Chondrichthyes [excluding Acanthodii]; Vázquez and
195 Clapham [2017]).

196 As in Lloyd and Friedman (2013), a large proportion of recent diversity studies for
197 fossil groups utilise occurrence data from the Paleobiology Database (PBDB), a public
198 resource that is voluntarily maintained by an international group of palaeontologists.
199 However, most diversity studies on actinopterygians have relied on published compendia or
200 datasets compiled directly from the literature, i.e. they did not use data from the PBDB (see
201 Vázquez and Clapham [2017] for an exception). There have not yet been enough efforts to
202 enter occurrence data for osteichthyans, and particularly actinopterygians, into the PBDB to
203 accurately represent the known records of this group’s occurrences, as discussed by Lloyd &
204 Friedman (2013) and evidenced by current PBDB Palaeozoic actinopterygian diversity curves
205 for genera, collections, formations and equal-area grid cells (Fig. 2). Several periods
206 throughout the Devonian and early Permian lack entries entirely, and no time period contains
207 more than 50 occurrences (the average number of occurrences is less than 8 per interval,
208 while the median is three). Diversity levels appear to fluctuate wildly during the
209 Carboniferous before a precipitous rise and steep drop in the Changhsingian. The PBDB data
210 presented here (Fig. 2) is intended as a snapshot of the currently available occurrence data,

211 highlighting that research effort to contribute Palaeozoic actinopterygian occurrences to the
212 database has been minimal. Improving this record represents a priority for future studies, and
213 efforts are currently underway to expand the actinopterygian PBDB record.

214 The studies introduced above differ greatly in their sampling and spread of taxa, but
215 collectively they provide an indication of the general patterns of changes in actinopterygian
216 diversity through time, as summarised below and in Figures 1 and 2.

217 **(2) Devonian diversity patterns**

218 All studies covering the Devonian depict very low counts of actinopterygians
219 (Thomson, 1977: fig. 7; Patterson, 1994: fig. 1; Sallan and Coates, 2010: fig. 1; Blicek, 2011:
220 fig. 2) (Fig. 1), and PBDB occurrences are lacking (Fig. 2). Thomson (1977), Patterson
221 (1994) and Sallan and Coates (2010) show a gradual rise from the Middle to Late Devonian.
222 Blicek (2011), however, figures a small peak in the Frasnian, likely due to the Gogo and
223 Gladbach faunas (Sallan & Coates, 2010), while only a handful of genera from the Givetian
224 and Frasnian (and none in the Famennian) have been entered into the PBDB. Only four
225 genera (eight species) of actinopterygians are entered in the PBDB for the entire Devonian;
226 fewer than the number described in the literature for just the Famennian (Dunkle, 1964;
227 Dunkle & Schaeffer, 1973; Taverne, 1997; Daeschler, 2000; Prokofiev, 2002; Friedman &
228 Blom, 2006).

229 While new taxa are still being described, actinopterygians appear to be genuinely rare
230 in Devonian deposits, especially relative to other taxa (Friedman, 2015: fig. 4).
231 Reclassification of *Meemannia* Zhu *et al.* 2004 as a ray-finned fish rather than a lobe-finned
232 fish (Lu *et al.*, 2016) filled a conspicuous temporal gap in early actinopterygian evolution, but
233 this taxon remains the only actinopterygian known amongst roughly 20 species from this
234 locality. Choo *et al.* (2019) recently described a new genus from the highly diverse Frasnian

235 Gogo Formation. Although ray fins account for only 5 species out of around 50 Gogo taxa
236 (Long & Trinajstić, 2010, 2017; Sallan & Coates, 2010: fig. 2) they comprise a large
237 proportion of specimens, indicating faunal abundance despite taxonomic paucity. Even more
238 recently, Newman *et al.* (2021) described a new species of *Cheirolepis* Agassiz 1835 from
239 the Givetian of Svalbard, found alongside roughly 20 non-actinopterygian fishes. Similarly, a
240 new site from the Famennian of Belgium has yielded microremains of an undescribed
241 actinopterygian, amidst large numbers of other vertebrates (Olive *et al.*, 2015a, 2015b, 2016,
242 2020).

243 Recent work on historically undersampled regions has revealed numerous new taxa,
244 although overall taxonomic diversity of actinopterygians remains relatively low throughout
245 the Devonian. Isolated jaw elements, body impressions and scales from Famennian deposits
246 in South Africa likely represent a single actinopterygian amid a diverse array of other fishes
247 (Gess & Whitfield, 2020), while renewed prospecting in the contemporary Maïder Basin of
248 Morocco has produced remains of a single articulated actinopterygian (Frey *et al.*, 2018)
249 amongst its well-known placoderm and chondrichthyan assemblages. New South American
250 discoveries include evidence of a stegotrachelid actinopterygian from the Frasnian of
251 Colombia (Olive *et al.*, 2019), the first actinopterygian remains from the Devonian of the
252 Parnaíba Basin of Brazil (Pais de Rezende *et al.*, 2021), and a new circumpolar species from
253 the Middle Devonian (Figueroa, Weinschütz, & Friedman, 2021). As in other localities, non-
254 actinopterygian fishes dominate these faunas (Janvier, 2007; Janvier & Maisey, 2010;
255 Figueroa & Machado, 2018). The low diversity of actinopterygians also correlates with the
256 small proportion of their limited morphological disparity, contrasting with the vast array of
257 anatomies, and presumably ecologies, exhibited by Devonian sarcopterygians and
258 placoderms (Anderson *et al.*, 2011). While important for understanding the early evolution of
259 the group, these scattered reports of new Devonian taxa are unlikely to change existing

260 overarching hypotheses of actinopterygian diversity: as minor faunal components represented
261 by a small number of taxa relative to other fish groups.

262 **(3) Carboniferous diversity patterns**

263 Previous diversity studies consistently report a large increase in taxonomic diversity
264 of actinopterygians in the earliest Carboniferous following the end-Devonian mass extinction
265 (EDME). This increase is somewhat reflected in the data currently entered in the PBDB,
266 although entries are extremely limited both taxonomically—only 51 taxa from 92 localities
267 are entered—and geographically—all but one of the entries are from USA and UK localities
268 (Fig. 3b). Thomson's (1977) counts of 'chondrosteian' genera (which encompasses all
269 Devonian and Carboniferous actinopterygians) rise sharply in the Mississippian, as does
270 Patterson's (1994) stem-actinopteran family-level count. Sallan and Coates (2010) show this
271 significant change in absolute and relative diversity most clearly in their presentation of
272 faunal composition from the Devonian into the Carboniferous (Sallan and Coates, 2010, fig.
273 2; see also Friedman, 2015, fig. 4). This sharp rise is especially notable because the early
274 Carboniferous (Tournaisian and early Viséan) coincides with 'Romer's Gap', an apparent gap
275 in the fossil record of tetrapods (and other animals) variably explained as either a period of
276 poor sampling (Romer, 1956), low atmospheric oxygen (Ward *et al.*, 2006) or recovery
277 following the EDME (Sallan & Coates, 2010). Recent concerted efforts have begun to
278 populate Romer's Gap, indicating that poor sampling accounted for most of the apparent
279 paucity of the record (Clack *et al.*, 2019; Otoo *et al.*, 2019). The diversification of
280 actinopterygians immediately following the EDME likely represents an adaptive radiation
281 seeded by very few—or perhaps just one—actinopterygian lineages (Sallan & Friedman,
282 2012; Sallan, 2014; Giles *et al.*, 2017), although this hypothesis has not been explicitly tested.
283 The contrast between diverse (e.g. in Russia: Alekseev *et al.* [1994]) and depleted (e.g. in
284 Morocco: Frey *et al.* [2018]) early Tournaisian faunas exemplifies the uncertainty of the

285 relative contributions of extinction recovery, poor sampling and spatial bias to the observed
286 Tournaisian fossil record, although potential differences between local environmental
287 conditions are an important consideration.

288 Raw genus diversity increases into the Visean from Tournaisian levels in most
289 previous analyses (Patterson, 1994; Sallan & Coates, 2010; Blicek, 2011) and the PBDB (Fig.
290 2). The fossil record of Great Britain exhibits a particularly extreme increase in osteichthyan
291 richness, most likely due to the very richly sampled Visean deposits of Scotland (Dineley &
292 Metcalf, 1999). This rise coincides with a proliferation of new morphologies and ecologies,
293 likely via multiple independent acquisitions of key traits such as durophagy, deep-, and eel-
294 like-bodies (Sallan & Friedman, 2012; Sallan, 2012, 2014; Sallan & Coates, 2013; Friedman,
295 2015; Friedman *et al.*, 2018). This gradual rise in richness, accompanied by morphological
296 and functional diversification, may represent a classic extinction recovery and adaptive
297 radiation (Sallan & Friedman, 2012; Sallan, 2014).

298 Previous studies suggest conflicting patterns of actinopterygian raw diversity into the
299 Serpukhovian. Patterson (1994) and Blicek (2011) report a decrease in family counts, in
300 contrast to a slight increase in genus counts in Sallan and Coates (2010). The diversity curve
301 of Thomson (1977) only separates data into Mississippian and Pennsylvanian bins, and
302 therefore lacks the temporal resolution to allow comparison. Discrepancy between the trends
303 in Sallan and Coates (2010), Patterson (1994) and Blicek (2011) may be due to poor higher-
304 level taxonomy in actinopterygians. For example, the highly diverse Bear Gulch fauna likely
305 drives the rise in actinopterygian diversity in Sallan and Coates (2010), but this is not
306 captured in higher-level family counts due to the aggregation of genera in broad, spurious
307 families. Additionally, the Serpukhovian decrease in PBDB occurrence data contrasts other
308 studies (e.g. Sallan and Coates, 2010) and is, in part, due to inconsistencies between regional
309 substages and ICS stages. For example, here, Bear Gulch localities are plotted in the

310 Bashkirian due to the age range of the Arnsbergian, but should instead appear in the
311 Serpukhovian.

312 It is difficult to reconstruct patterns of diversity in the late Carboniferous due to a lack
313 of compiled occurrence data across the Pennsylvanian. Sallan and Coates' (2010) range ends
314 at the Mississippian, while Romano *et al.*'s (2016) data begins in the Asselian. Thomson's
315 (1977) genus counts decrease from the Mississippian to the Pennsylvanian, however family
316 counts of actinopterygians increase from the Serpukhovian to the Bashkirian (Patterson,
317 1994; Blicek, 2011). For the Moscovian-Gzhelian the only data for actinopterygians is the
318 family counts derived from Benton (1993); these show gradual decreases from the Bashkirian
319 to the Moscovian, and again from the Moscovian to plateau in the Kasimovian and Gzhelian
320 (Patterson, 1994; Blicek, 2011). Importantly, counts of families remain at roughly the same
321 level as they were in the Tournaisian and Viséan. Counts of osteichthyan genera are not
322 visible for this period in Friedman and Sallan (2012: fig. 2), and there are no Kasimovian or
323 Gzhelian occurrences in the British fossil record (Lloyd & Friedman, 2013). Counts of genera
324 in the PBDB decrease throughout the Pennsylvanian (Fig. 2), though this also appears to be a
325 result of low data entry: Pennsylvanian PBDB actinopterygian occurrences derive from
326 important localities for other groups (e.g. Linton for early tetrapods: Hook & Baird [1986],
327 Mazon Creek for arthropods: Clements, Purnell, & Gabbott [2019]).

328 Reported overall trends in actinopterygian diversity in the Carboniferous are
329 consequently unclear. Genus-level counts are suggestive of a gradual rise throughout the
330 Mississippian (Sallan & Coates, 2010), with a subsequent drop in the Pennsylvanian
331 (Thomson, 1977). This contrasts with family counts, which are relatively stable except for
332 minor deviations in the Serpukhovian and Bashkirian.

333 **(4) Permian diversity patterns**

334 Genus- and family-level counts in previous studies agree on the general trend of
335 actinopterygian diversity in the Permian, though differ at finer timescales. The highest counts
336 are observed in the early Permian in curves derived from Benton's (1993) dataset (Patterson,
337 1994; Blicek, 2011) and Thomson's (1977) genus-level data. Occurrence-based datasets also
338 show a peak in the early Permian, although limited to the Asselian and Sakmarian, likely
339 driven by freshwater Lagerstätte (Romano *et al.*, 2016). However, very few early Permian
340 occurrences of actinopterygians have been entered into the PBDB (Fig. 2), although the
341 geographic spread of occurrences in the Permian PBDB data is substantially greater than the
342 Devonian or Carboniferous (Fig. 3c). Genus- and family-level trends deviate from one
343 another in the Artinskian: the family curve stays more or less stable, whereas genus richness
344 decreases substantially. Family-level counts drop in the Kungurian and remain roughly at this
345 level, with minor fluctuations, until the end-Permian. Genus richness in Thomson's (1977)
346 curves for 'chondrosteaen' genus richness drop in the middle Permian and rise slightly in the
347 late Permian; the late Permian also sees the first appearance of holosteans. Counts in the
348 finer-scale dataset of Romano *et al.* (2016) rise gradually from the Roadian-Wuchiapingian,
349 reaching close to early Permian levels before dropping in the Changhsingian. Unlike the early
350 Permian, PBDB data closely reflect the trends of Romano *et al.* (2016) in large part due to
351 targeted entry of marine fishes for studies relating to the End-Permian Mass Extinction (e.g.
352 by Vázquez and Clapham [2017]). It is clear, however, that the substantial freshwater
353 actinopterygian fossil record from the late Carboniferous-early Permian (Beltan, 1978, 1981;
354 Forey & Young, 1985; Murray, 2000; Soler-Gijón & Moratalla, 2001; Evans, 2005; Štamberg
355 & Zajíc, 2008; Šimůnek & Cleal, 2020) has not yet been entered into the PBDB.

356 While previous studies have established a broad understanding of general diversity
357 trends in the Palaeozoic, patterns differ depending on the taxonomic level and geological
358 scale investigated, and there has not yet been a through-Palaeozoic study focussing solely on

359 actinopterygians. At present, publicly available occurrence databases lack the level of detail
360 required for reconstructing long-term diversity through the Palaeozoic, necessitating the
361 collation of occurrences spanning the Palaeozoic.

362

363 **III. MATERIALS AND METHODS**

364 **(1) Data preparation**

365 Global occurrences of Palaeozoic Actinopterygii were compiled from the published
366 literature. Taxonomically indeterminate occurrences (i.e. those that could not be confidently
367 assigned to a valid genus or species) were excluded, as were occurrences that were
368 represented solely by scales or teeth (i.e. only body fossil were retained). The cleaned
369 datasets comprises 1,611 occurrences, representing 468 species belonging to 225 genera,
370 from 507 unique geographic locations. We recognise that databases compiled from the
371 published literature are subject to bias (Alroy, 2010a, 2010b, 2010c; Clapham *et al.*, 2016;
372 Close *et al.*, 2018), however collating and examining occurrences present in the literature
373 gives a foundation upon which to build. The database includes taxon identity, locality name,
374 locality coordinates, stratigraphy, region and country, age (ICS stage and regional substage),
375 authority naming the taxon and the year the species was described. These data are available
376 as Supplementary Data and are in the process of being uploaded to the Paleobiology Database
377 (PBDB).

378 **(2) Occurrence data**

379 The length of stratigraphic stages drastically differ within the Palaeozoic, for
380 example, the Kasimovian is 3.3 Ma in length, compared to the 15.8 Ma long Viséan. As the
381 length of intervals may impact richness trends (Raup, 1972; Smith & McGowan, 2011).
382 occurrence data were placed in composite intervals of roughly equal length (~9 Ma) intervals

383 following Close *et al.* (2017; 2020), as well as in standard stratigraphic stages (Lochkovian-
384 Changhsingian). The result of forming equal length intervals was the combination of some
385 stratigraphic stages (e.g. the Kasimovian and Gzhelian) and the splitting of others (e.g. the
386 Visean). Interval ranges were updated to reflect most recent stage boundaries according to the
387 International Commission on Stratigraphy (ICS) (Cohen, Harper, & Gibbard, 2021). Equal
388 length intervals were compared with standard stratigraphic stages to give an indication of the
389 effect of interval length on diversity counts.

390 Face-value (= raw, uncorrected, or observed) genus and species richness at ‘global’
391 scales are presented with the proviso that face-value diversity counts may be highly
392 misleading. While ‘global’ curves likely represent the extent of spatial sampling rather than
393 global palaeodiversity (Close *et al.*, 2017, 2020a, 2020b), face-value richness counts allow
394 for comparison with previous diversity curves (e.g. Thomson, 1977; Sallan & Coates, 2010;
395 Romano *et al.*, 2016) and for an initial exploration of gross Palaeozoic actinopterygian
396 diversity. ‘Global’ (gamma scale) face-value richness curves were computed using sampled-
397 in-bin counts of occurrences. Counts of geographic localities and geological units (unique
398 formations, members, groups, etc.) were used to give an indication of sampling effort.
399 Occupied equal-area grid cells, i.e. the number of 50 km² cells on a global map (constructed
400 using the *ddgridR* R package [Barnes, 2021]) that contained unique localities, were calculated
401 as a further measure of sampling. Localities were plotted on a modern world map to show the
402 scope of present-day sampling.

403 Linear regressions were conducted to investigate the relationship between counts of
404 taxa and the various sampling metrics (localities, formations and equal-area grid cells), as
405 well as with sea level through time (data from Hannisdal & Peters, 2011). All analyses were
406 conducted within R 4.1.0 (R Core Team, 2020).

407 (3) Collectors' curves

408 We extracted taxonomic identity, country, authority naming the taxon and the year the
409 species was described from the occurrence database, resulting in a total of 516 species.
410 Collectors' curves showing cumulative counts of the total number of species described
411 through time globally and within the UK were then plotted.

412

413 IV. RESULTS

414 (1) Occurrence data

415 Overall diversity trends in the equal length genus-level, raw occurrence dataset are hard
416 to discern (Fig. 4a), but genus richness is highest during the late Permian (Lopingian;
417 Wuchiapingian and mid-Carboniferous (Serpukhovian). The lowest levels are seen in the
418 Devonian: only a single taxon is identified in the Lochkovian (Lu *et al.*, 2016), and, aside from
419 contentious scale-based taxa, no ray-finned fish are known from Pragian or Emsian deposits.
420 Richness increases marginally from the Eifelian and Givetian to the Frasnian, and again in the
421 Famennian. Counts almost double from the Famennian to the Tournaisian, before decreasing
422 in the early Viséan (Chadian-Holkerian) and doubling again to above Tournaisian levels in the
423 late Viséan (Asbian-Brigantian). A peak in the Serpukhovian is followed by a decrease in
424 counts in the Bashkirian and the Moscovian and another modest rise across the Carboniferous-
425 Permian boundary. A large decrease in the Artinskian sees raw genus counts return to late
426 Devonian levels. Counts increase again from the Artinskian trough to the Wordian, followed
427 by a fall in the Capitanian and final peak in the Lopingian.

428 Raw species richness broadly follows the same pattern, though with some notable
429 departures (Fig. 4b). The highest species counts are in the late Viséan (Asbian-Brigantian),
430 although are only marginally lower in the Serpukhovian, Lopingian (Wuchiapingian and

431 Changsinghian) and earliest Permian (Asselian and Sakmarian). In contrast to patterns of
432 genus richness, species richness decreases slightly from the middle to the late Devonian.
433 Furthermore, Kasimovian and Gzhelian species richness is lower than the Moscovian,
434 meaning that, unlike in the raw genus counts, richness noticeably increases across the
435 Carboniferous to Permian boundary.

436 Comparison of counts of taxa in roughly equal-length stages with counts in ICS stages
437 shows that the choice of sampling interval strongly influences richness trends. (Figs. 4 and 5).
438 Devonian trends for genus- and species- richness are similar (Fig. 5a), however trends in the
439 early Carboniferous and Permian differ, with ICS stage counts instead resembling (as
440 expected) previous studies analysing these periods (e.g. Sallan & Coates, 2010; Romano *et*
441 *al.*, 2016). Genus richness steadily increases from the Tournaisian to Serpukhovian, before a
442 general decline throughout the Pennsylvanian, to a trough in the Gzhelian (Fig. 5a). Richness
443 then increases in the Asselian and plateaus in the Sakmarian, before a general decrease
444 through to the Roadian (the lowest count of genera throughout the Carboniferous and
445 Permian). Genus richness then fluctuates through the Wordian (increase from Roadian),
446 Capitanian (decrease from Wordian), Wuchiapingian (increase from Capitanian) and
447 Changhsingian (decrease from Wuchiapingian); these trends are broadly similar to Romano
448 *et al.* (2016). Species richness trends are generally the same (albeit exaggerated) as those of
449 genus richness, excepting the Viséan, which becomes the most speciose interval of the
450 Palaeozoic by far (Fig. 5b).

451 Face-value richness at both genus and species level closely tracks proxies for
452 sampling effort; where the number of localities, formations and equal-area grid cells are high,
453 richness is also high (Fig. 4). Notable exceptions to this trend are the Eifelian-Givetian and
454 Serpukhovian. Discrepancy in the Eifelian-Givetian is due to the widespread occurrence of
455 *Cheirolepis* at a time when actinopterygians had very low relative diversity. In the

456 Serpukhovian, high genus counts despite a decrease in sampling metrics is a result of the
457 hyperdiverse Bear Gulch fauna (Lund, Greenfest-Allen, & Grogan, 2012). In addition, three
458 large peaks in counts of localities in the late Viséan, Kasimovian and Gzhelian and Asselian
459 and Sakmarian are a result of intense sampling of localised regions with homogenous
460 contemporary faunas (e.g. Midland Valley of Scotland, Viséan, Dineley & Metcalf, 1999;
461 Boskovice Graben, late Carboniferous and early Permian, Štamberg, 2007; Štamberg &
462 Zajíc, 2008), and thus do not correspond with peaks in genus richness. However, there are
463 species-level richness counts peaks in the late Viséan and Asselian and Sakmarian (Fig. 4b).

464 First inspection reveals multiple sources of bias in the Palaeozoic actinopterygian
465 fossil record. The clear differences between the species- and genus-level curves highlight
466 issues with problematic ‘waste-basket’ genera containing vast numbers of species, while
467 overall face-value richness appears to track sampling metrics. Regressions (Fig. S1) show
468 that genus richness positively correlates with number of localities ($R^2 = 0.4698$, $p =$
469 0.003381 , Fig. S1a), stratigraphic units ($R^2 = 0.3355$, $p = 0.01871$, Fig. S1b) and occupied
470 equal-area grid cells ($R^2 = 0.3944$, $p = 0.009187$, Fig. S1c). Removing the highly diverse
471 Bear Gulch and Glencartholm assemblages strengthens these relationships. As expected,
472 interval length does not correlate with richness when using roughly equal-length intervals (R^2
473 $= 0.1572$, $p = 0.1284$, Fig. S1d). Overall genus richness also significantly correlates with sea
474 level ($R^2 = 0.4723$, $p = 0.003265$, Fig. S1e). This relationship persists when analysing
475 isolated counts of freshwater genera ($R^2 = 0.4436$, $p = 0.004848$, Fig. S1f), though counts of
476 marine genera do not significantly correlate with sea level ($R^2 = 0.1522$, $p = 0.1353$, Fig.
477 S1g). This significant correlation, with both overall genera and freshwater, disappears when
478 the Devonian stages are removed ($R^2 = 0.1416$, $p = 0.2051$, Fig. S1h; $R^2 = 0.1969$, $p =$
479 0.1288 , Fig. S1i).

480 **(2) Distribution of Palaeozoic actinopterygians**

481 A global map of occurrences gives a broad overview of the distribution of
482 actinopterygian localities through the Devonian, Carboniferous and Permian (Fig. 6),
483 showing that published occurrences are overwhelmingly located in Europe and North
484 America. Here we break down the global data in order to (a) better understand the
485 distributions of actinopterygians through the Palaeozoic and (b) identify widespread taxa or
486 regions that share taxonomic affinities.

487 *(a) United Kingdom and the Republic of Ireland*

488 Eifelian and Givetian occurrences in the well-known Orcadian basin precede a nearly
489 continuous Carboniferous record of actinopterygians in the British Isles, which persists until
490 end of the Moscovian. This is followed by a total lack of occurrences until the extensively
491 sampled Wuchiapingian deposits of the Raisby and Marl Slate Formations (Westoll, 1934,
492 1941a).

493 The earliest Devonian taxon from this region, *Cheirolepis* from the Eifelian of
494 Scotland (Pearson & Westoll, 1979) is also present in the North America (Arratia & Cloutier,
495 1996, 2004), the Baltic (Mark-Kurik, 2000) and Spitsbergen (Newman *et al.*, 2021), while
496 *Stegotrachelus* (Givetian, Swartz [2009]) may also occur in central Europe (Ørvig, 1960).
497 Some Tournaisian actinopterygians in the British Isles are present at other isolated Northern
498 Hemisphere localities, for example in the Tournaisian of Russia (Lebedev, 1996) and
499 Serpukhovian of the USA (Lowney, 1980). However, by far the most common genera
500 throughout the Carboniferous are *Elonichthys* and *Rhadinichthys*, which are also
501 geographically widespread (Fig. 7c & 7g). While there are some endemic genera that are
502 locally widespread and present at many localities (e.g. *Eurynotus*, *Nematoptychius*: Traquair
503 [1908]; Moy-Thomas & Dyne [1938]; Friedman *et al.* [2018]), the other most common
504 Carboniferous occurrences in British and Irish deposits are of globally-distributed genera

505 (*Platysomus*, *Palaoniscum*, *Acrolepis*, Fig. 7a, 7e, 7f). The late Permian fish fauna (Marl
506 Slate and Raisby Formations) is very similar to that of contemporary German deposits
507 (Kupferschiefer and Zechstein Formations; Westoll [1941b]).

508 (b) *Western Europe*

509 In Western Europe, isolated occurrences in the Frasnian, Famennian, Tournaisian,
510 Viséan, Serpukhovian and Bashkirian precede more considerable numbers of occurrences in
511 the Kasimovian, Asselian and Sakmarian (Fig. 6). These late Carboniferous and early
512 Permian Western European localities yield taxa that are shared with contemporary central
513 European deposits, including *Paramblypterus*, *Elonichthys*, *Progyrolepis*, *Bourbonnella* and
514 *Aeduella* (Štamberg, 2006; Štamberg & Zajíc, 2008). Of these genera, *Bourbonnella* and
515 *Progyrolepis* are also present in the USA (Dunkle, 1946; Dalquest & Kocurko, 1988; Mickle,
516 2011), while numerous other genera found in Western Europe are also widespread:
517 *Cheirodus* (Bashkirian, France: Derycke, Cloutier, & Candilier [1995]) also occurs in the UK
518 (Viséan-Bashkirian: Traquair [1890]); *Gonatodus* (Viséan, Belgium) in the UK (Gardiner,
519 1967) and USA (Hannibal, 2020); *Mesonichthys* (Serpukhovian, France: Derycke *et al.*
520 [1995]) in the UK (Bashkirian: Elliott [2016]) and Uruguay (early Permian: Beltan [1978]);
521 *Pygopterus* (Bashkirian, Belgium: Derycke *et al.* [1995]) in Germany, Greenland and the UK
522 (all Wuchiapingian: King [1850]; Woodward [1891]; Aldinger [1937]; Holzapfel & Malzahn
523 [1984]; Diedrich [2009]; Hosgör & Štamberg [2014]); and *Rhadinichthys* (Serpukhovian,
524 Belgium: Derycke *et al.* [1995]) is present across the globe (Fig. 7g).

525 (c) *Central Europe*

526 Devonian occurrences in Germany and Poland (Givetian-Frasnian) represent the
527 earliest in Central Europe, with a subsequent gap encompassing the entirety of the early
528 Carboniferous. Actinopterygians later occur in the Moscovian, Kasimovian and Gzhelian,

529 with particularly large numbers of occurrences in the latter two stages (largely in Czechia,
530 with few occurrences in the Gzhelian of Germany: Štamberg & Zajíc [2008]; Schindler
531 [2018]). The early Permian of Czechia and Germany are also extensively sampled. Isolated
532 Artinskian and Kungurian occurrences precede a hiatus until the considerable counts in the
533 Wuchiapingian of Germany, stemming from the famous Kupferschiefer and Zechstein
534 Formations. In total these deposits contribute a reasonable number of genera to the global
535 count.

536 Two Devonian genera (*Moythomasia*, *Rhadinichthys*) are present at numerous
537 localities globally (Fig. 7d & 7g), although the third, *Stegotrachelus*, is only present in the
538 Givetian of Scotland (Swartz [2009]). *Elonichthys*, *Palaeoniscum*, *Amblypterus* and
539 *Acrolepis*, all of which have notably global distributions (Fig. 7a-c, 7e), comprise a large
540 number of occurrences in Central Europe. Intense sampling of Central European deposits has
541 resulted in abundant occurrences of locally widespread taxa. Many of these taxa are endemic
542 to the region (*Spinarichthys*, *Rhabdolepis*, *Zaborichthys*: Štamberg [1991, 2016a]; Štamberg
543 & Zajíc [2008]; Schindler [2018b]), and others occur at isolated localities outside Central
544 Europe (e.g. *Sphaerolepis*, USA: Olson [1967]) or in the broader palaeogeographic region
545 encompassing present day Europe and North America (e.g. *Aeduella*, *Bourbonnella*,
546 *Paramblypterus*, *Progyrolepis*, *Pygopterus*).

547 (d) Eastern Europe

548 Actinopterygians are reported from the Eifelian, Givetian, Frasnian and Famennian
549 and Tournaisian of Eastern Europe and European Russia. Occurrences are absent from the
550 remainder of the Carboniferous, and only a single Kungurian occurrence is known. In stark
551 contrast, the middle and late Permian of Russia are heavily sampled, with numerous
552 occurrences in the Roadian, Wordian, Capitanian and Wuchiapingian.

553 Devonian occurrences yield the globally distributed *Cheirolepis* (Mark-Kurik, 2000)
554 and *Moythomasia* (Fig. 7d; Sallan & Coates, 2010) for the most part, with only a single
555 endemic genus, *Krasnoyarichthys* (Prokofiev, 2002). Widespread genera are also present in
556 the Tournaisian (e.g. *Elonichthys*, *Rhadinichthys*: Fig. 7c, 7g; Alekseev *et al.* [1994];
557 Yankevich & Minikh [1998]; Golubev [2001]; Minikh & Minikh [2009]; Minikh, Minikh, &
558 Yankevich [2016]), albeit alongside a notable number of unique genera (e.g. *Oxypteriscus*,
559 *Ministrella*, *Palaeobergia*: Berg [1958]; Matveeva [1958]). A small number of genera are in
560 common with the Tournaisian of the UK (*Aetheretmon*, *Strepheoschema*: Lebedev [1996])
561 and Siberian Russia (*Ganolepis*, *Grassator*: Lebedev [1996]).

562 Similarly, the middle Permian occurrences range on a spectrum from endemic to
563 widespread genera. *Platysomus* has the highest number of occurrences, and *Acrolepis* and
564 *Palaeoniscum* (Fig. 7a, 7e, 7f; Golubev, 2001; Tverdokhlebov *et al.*, 2005; Minikh & Minikh,
565 2009; Nurgaliev, Silantiev, & Nikolaeva, 2015; Bakaev & Kogan, 2020) are also common.
566 *Varialepis* and *Alilepis* are present in contemporary middle Permian deposits from the USA
567 (Ivanov, Nestell, & Nestell, 2013; Ivanov *et al.*, 2020) while other frequently occurring
568 genera are endemic and span multiple stages (e.g. *Toyemia*, *Isadia*, *Geryonichthys*,
569 *Kargalichthys*: Tverdokhlebov *et al.* [2005]).

570 (e) North America

571 Relatively few actinopterygians occur in the Devonian of North America, with limited
572 occurrences in the Givetian, Frasnian and Famennian. Tournaisian occurrences
573 predominantly derive from Canada (comprising an assortment of globally widespread genera
574 such as *Acrolepis*, *Elonichthys*, and *Rhadinichthys*: Fig. 7a, 7c, 7g; Rygel *et al.* [2006];
575 Mickle [2017]). Viséan localities are rare. In contrast, the Serpukhovian is highly diverse,
576 although all but one of the Serpukhovian occurrences are from Bear Gulch deposits (Weems

577 & Windolph, 1986). There are occurrences throughout the Pennsylvanian, however the
578 majority occur in the Moscovian (Mazon and Yellow Creek localities: Newberry [1856];
579 Schultze & Bardack [1987]).

580 Occurrences are limited throughout the early and middle Permian, mirroring the
581 overall Palaeozoic actinopterygian record. There are no late Permian occurrences in
582 continental North America, although Wuchiapingian deposits containing actinopterygians are
583 present in Greenland (Aldinger, 1937). *Elonichthys*, *Platysomus* and *Palaeoniscum* comprise
584 three of the four most abundantly occurring genera in North America. In addition, the
585 collective occurrences of these genera in North America range from the earliest
586 Carboniferous to the end-Permian proving them not only geographically (Fig. 7c, 7e, 7f) but
587 temporally widespread. *Rhadinichthys* and *Acrolepis* are more restricted temporally in North
588 America than their other global occurrences however (Fig. 7a, 7g). The overwhelming
589 majority of Bear Gulch taxa—the source of most of North American actinopterygian
590 diversity—are endemic to the locality, though a small number of genera are present
591 elsewhere (e.g. *Mesopoma* and *Phanerosteon*: Traquair [1881]; White [1927]; Moy-Thomas
592 & Dyne [1938]; Moy-Thomas [1938]; Gardiner [1985]). More broadly, actinopterygian
593 genera from North America are also present in numerous European deposits: *Alilepis* (Russia,
594 Minikh *et al.* [2016]), *Bourbonnella* (Czechia, France, Spain: Heyler [1977]; Soler-Gijón &
595 Moratalla [2001]; Štamberg [2007]), *Parahaplolepis* (UK: Elliott [2014, 2016]), *Progyrolepis*
596 (Czechia, Spain, France: Forey & Young [1985]; Heyler [2000]; Soler-Gijón & Moratalla
597 [2001]; Štamberg & Zajíc [2008]), *Pyritocephalus* (Czechia, UK: Štamberg [1991]; Elliott
598 [2014]), *Sphaerolepis* (Czechia: Štamberg & Zajíc [2008]) and *Varialepis* (Russia: Nurgaliev
599 *et al.* [2015]).

600 (f) Africa

601 African occurrences predominantly derive from South African deposits, with a
602 scattering of contributions from Namibia and Zimbabwe. These sparse occurrences are
603 separated by large temporal gaps: actinopterygians are only reported from the Visean,
604 Gzhelian (or Asselian, age is uncertain; Murray, 2000), Artinskian, Capitanian and
605 Changhsingian (Fig. 6). The diverse Visean fauna of the Waaipoort Formation is endemic,
606 with no taxa found at any other Palaeozoic locality (Gardiner, 1969; Evans, 2005). Indeed,
607 the majority of African taxa are endemic (Gzhelian-Changhsingian – e.g. *Namaichthys*:
608 Gürich [1923]; Murray [2000]; Changhsingian – e.g. *Bethesdaichthys* and *Kompasia*: Bender
609 [2001, 2004]), although they are occasionally accompanied by widespread genera such as
610 *Palaeoniscum* (Changhsingian, *P. bainii*: Egerton [1856]; Artinskian, *P. capensis*: Murray
611 [2000]; Evans [2005]), *Elonichthys* (*E. whaitsi*: Jubb & Gardiner [1975]), *Acrolepis*
612 (Gzhelian or Asselian, *A. sp.*: Murray [2000]) and *Platysomus* (Artinskian, *P. sp.*: Evans
613 [2005]) (Fig. 7a, 7c, 7e, 7f). *Watsonichthys* (a genus present in Visean and Serpukhovian
614 deposits of Scotland) is also reported in the Gzhelian (or Asselian) and Artinskian of southern
615 Africa (Jubb & Gardiner, 1975; Evans, 2005).

616 (g) Asia

617 Despite the earliest actinopterygian occurring in the Devonian (Lochkovian) of China
618 (Lu *et al.*, 2016), actinopterygians are subsequently entirely absent from China until the late
619 Permian. Other central and eastern Asian occurrences partially populate this gap: Famennian
620 and Tournaisian occurrences are present in Siberia, while deposits from eastern Kazakhstan
621 (most notably those of the Kalyn-Kara (Kazantseva-Selezneva 1980, 1981) yield
622 actinopterygians from the late Carboniferous and early Permian. Some indeterminate
623 actinopterygians also occur in the Devonian of South East Asia (Wang, Qu, & Zhu, 2010).
624 Together, these occurrences constitute a low proportion of global counts of genera. All of the
625 taxa present in these regions, with one exception (*Saurichthys*, found in both late Permian

626 Chinese and Russian deposits: Liu & Wei [1988]; Tverdokhlebov *et al.* [2005]; Minikh &
627 Minikh [2009]), are not found in any other Palaeozoic locality or time stage.

628 In contrast, occurrences in the Famennian, Tournaisian and Capitanian of the Middle
629 East (Iran and Turkey: Hampe *et al.* [2013]; Hosgör & Štamberg [2014]) and Middle Permian
630 of South Asia (India, Bandyopadhyay [1999]) yield a small number of genera (*Amblypterus*,
631 *Canobius*, *Moythomasia*, *Palaeoniscum*, *Pygopterus*, and *Rhadinichthys*), all of which are
632 found in numerous other regions of the world (Fig. 7).

633 (h) South America

634 Until recently, taxonomically determinate occurrences of Palaeozoic actinopterygians
635 in South America were restricted to the Permian. The recent discovery of an actinopterygian
636 from the Middle Devonian of Brazil (Figueroa *et al.*, 2021) extends the record back (some 83
637 million years, excluding an indeterminate Frasnian occurrence), resulting in a substantial
638 occurrence gap. South American actinopterygians occur throughout the Permian, yet apart
639 from the diverse Rio Negro (San Gregorio Formation) fauna from Uruguay (Beltan, 1978),
640 these occurrences stem from a few, disparate, isolated localities, and produce comparatively
641 low numbers of genera.

642 The vast majority of South America taxa are endemic to the region, with some notable
643 exceptions. The Rio Negro fauna contains species of two very common genera –
644 *Rhadinichthys* (*R. rioniger*) and *Elonichthys* (*E. macropercularis*) (Fig. 7c, 7g; Beltan, 1978;
645 Cione *et al.*, 2010) – as well as less common genera that are nonetheless also present in more
646 fully sampled regions. *Mesonichthys* (*M. antipodeus* from Rio Negro) is also present in the
647 Carboniferous (Serpukhovian-Moscovian) of Belgium and the UK (Derycke *et al.*, 1995;
648 Elliott, 2016), and *Coccocephalichthys* (*C. tessellatus* from Rio Negro) is present in both the
649 UK (Bashkirian: Poplin & Véran [1996]) and USA (Gzhelian: Poplin [1974]).

650 (i) *Oceania*

651 The only occurrences from Oceania are from Australia, where actinopterygians are
652 present in the Devonian (Givetian and Frasnian: Long [1988]; Long & Trinajstić [2010]) and
653 early Carboniferous (Tournaisian and Viséan: Long [1988]; Holland *et al.* [2006]), followed
654 by a ~65 Ma gap until the mid-late Permian (Capitanian, Wuchiapingian and Changhsingian:
655 Woodward [1931]; Campbell & Phuoc [1983]). Relatively few genera comprise these
656 occurrences, the majority of which are endemic (e.g. *Mimipiscis*, *Ebenaqua*, *Mansfieldiscus*),
657 though there are also occurrences of the widespread genera *Moythomasia* (*M. durgaringa*)
658 and *Elonichthys* (*E. davidi*) (Fig. 7c-d). Notably, the Frasnian is the most diverse stage due to
659 the Gogo Formation localities, which yield nearly as many genera as the remainder of the
660 Palaeozoic occurrences.

661 **(3) Collectors' curve**

662 We compiled collector's curves for Palaeozoic actinopterygians to examine whether
663 the asymptote observed by Lloyd and Friedman (2013) for the British fossil fish record is
664 upheld when restricted to one taxonomic group in the Palaeozoic and is extended beyond
665 Great Britain. An asymptote is observed when considering Palaeozoic actinopterygians from
666 the British Isles (Fig. 8). The number of described taxa starts to plateau in the late 19th
667 century, largely due to the foundational monographic descriptions of Agassiz (1833) and
668 Traquair (1877). A slight increase in recent years indicates a resurgence of interest focussed
669 around CT-based redescrptions and taxonomic splitting of classic taxa held in museums (e.g.
670 Coates & Tietjen, 2018), as well as new collection and local taxonomic reviews (e.g. Elliott,
671 2014, 2016). While unlikely to alter large-scale diversity patterns (Lloyd & Friedman, 2013)
672 this uptick is suggestive of further hidden diversity in the fossil record of Palaeozoic

673 actinopterygians in Great Britain, particularly with regard to redescription of material that has
674 been untouched since the 19th and early 20th century.

675 The global collector's curve, however, presents a very different trend (Fig. 8). During
676 the 19th century, the global curve roughly tracks that of the British curve, albeit with slightly
677 higher cumulative counts. This is in part due to the works of Agassiz (1833) and Traquair
678 (1877), who also worked on actinopterygians from Belgium, France and Germany, with other
679 important contributions from the USA and Europe (e.g. Newberry, 1856; Hancock & Atthey,
680 1872; Frič, 1879). Throughout the 19th century, however, the global curve departs from the
681 British curve, continuing to rise steadily. Part of this can be traced to significant contributions
682 from Aldinger (1937) and Gardiner (1969), who described new taxa from Greenland and
683 South Africa respectively. From the late 1960s the global collector's curve accelerates at a
684 faster and steadier rate than at any time previously, corroborating statements that the fossil
685 record of Palaeozoic actinopterygians is undersampled (Sallan & Coates, 2010).

686 This accelerated rate of descriptions is a combined result of a steady description of
687 isolated occurrences (e.g. Daeschler, 2000; Prokofiev, 2002; Friedman & Blom, 2006; Long,
688 Choo, & Young, 2008; Mickle & Bader, 2009; Mickle, 2011, 2017, 2018; Choo, 2015; Giles
689 *et al.*, 2015; Figueroa, Weinschütz, & Friedman, 2021; Newman *et al.*, 2021; Štamberg &
690 Steyer, 2021) as well as descriptions of new, diverse, highly productive fish faunas such as
691 Bear Gulch (Lowney, 1980; Lund & Poplin, 1997; Lund, 2000; Poplin & Lund, 2000, 2000;
692 Mickle, Lund, & Grogan, 2009; Grogan & Lund, 2015), Mazon Creek (Schultze & Bardack,
693 1987), the Waaipoort Formation (Gardiner, 1969), Rio Negro (Beltan, 1989), Kalyn-Kara
694 (Kazantseva-Selezneva, 1981) and the Gogo Formation (Gardiner & Bartram, 1977; Choo,
695 Long, & Trinajstić, 2009; Choo *et al.*, 2019; Choo, 2012).

696 A geographical breakdown of the regions yielding new actinopterygian genera and
697 localities reveals that Europe and North America are the most intensely sampled regions in
698 the Palaeozoic actinopterygian fossil record. New European fishes account for the largest
699 increase in descriptions in the last three decades, while contributions from North America are
700 also increasing, though the mechanisms differ between Europe and North America. For
701 example, increased sampling of multiple localities has contributed to the rising rate of new
702 descriptions from Europe. Extensive work in Central Europe (Štamberg, 2007, 2010, 2013,
703 2016a, 2016a, 2016b, 2016b, 2021; Štamberg & Zajíc, 2008; Choo, 2015) and European
704 Russia (Minikh, 1992, 1998; Esin, 1995; Yankevich & Minikh, 1998; Minikh & Minikh,
705 2009; Minikh *et al.*, 2016; Bakaev & Kogan, 2020) in recent years is responsible for the
706 increase from these regions (Fig. 8). Actinopterygians are also being described from new
707 British (Elliott, 2016) and Western European (Giles *et al.*, 2015; Štamberg & Steyer, 2021)
708 deposits. In contrast, the majority of new species from North America stem from focussed
709 effort on well-known localities, such as Bear Gulch (Lowney, 1980; Lund & Melton, 1982;
710 Lund & Poplin, 1997, 1999; Lund, 2000; Poplin & Lund, 2000, 2002; Mickle *et al.*, 2009;
711 Grogan & Lund, 2015), Kinney Brick Quarry (Gottfried, 1987, 1992; Bardack, 1992; Zidek,
712 1992; Williams & Lucas, 2013; Stack *et al.*, 2021), and Mazon Creek (Bardack, 1979;
713 Schultze & Bardack, 1987). Comparatively few taxa derive from more depauperate localities
714 (Mickle, 2017, 2018; Wilson, Pardo, & Anderson, 2018).

715 While historically understudied regions are producing new taxa, sampling and
716 descriptions from well-sampled regions are still outpacing them. The relative proportion of
717 descriptions from African deposits has decreased, as, except for a handful of taxa from the
718 Beaufort Group (e.g. Bender, 2001, 2002, 2004, 2005), no taxa have been described since the
719 mid-20th century (Gardiner, 1969). New fishes from Siberia (Kazantseva-Selezneva, 1980)
720 and Kazakhstan (Kazantseva-Selezneva, 1981) boost counts of taxa from Asia in the late-20th

721 century, with new descriptions published steadily in subsequent years (Poplin *et al.*, 1991;
722 Prokofiev, 2002, 2005; Zhu *et al.*, 2006; Wang *et al.*, 2007). Descriptions from South
723 America follow a similar pattern, with an early burst (Beltan, 1978) followed by irregular but
724 sustained contributions (Malabarba, 1988; Beltan, 1989; Cox & Hutchinson, 1991; Richter &
725 Breitkreuz, 1997; Martha, 2002; Figueiredo & Carvalho, 2004; Hamel, 2005; Dias, 2012;
726 Figueroa *et al.*, 2021), notably from the Paraná Basin of Brazil (Cox & Hutchinson, 1991;
727 Figueiredo & Carvalho, 2004; Hamel, 2005; Dias, 2012). Descriptions from Oceania
728 (comprised exclusively of Australian fishes: Woodward, 1931, 1940; Gardiner & Bartram,
729 1977; Campbell & Phuoc, 1983; Long, 1988; Choo *et al.*, 2009, 2019; Choo, 2012)
730 consistently comprise a very small proportion of the global collectors' curve.

731 New actinopterygian taxa are being erected both from newly discovered deposits and
732 through redescrptions of existing museum collections. It is likely that further diversity is
733 hiding in the extensive collections of museums in historically well-sampled regions (e.g.
734 NHM, London; AMNH, New York City) – Mickle (2017) notes hundreds of specimens of
735 three early Carboniferous genera in North American museums. Notably, museum collections
736 will be crucial in augmenting occurrence data, as they likely contain vastly more unique
737 localities than are recorded in the primary literature (as many as 23x more, Marshall *et al.*,
738 2018). In parallel, sampling of new localities in underrepresented regions is likely to yield
739 new taxa as the sampling universe expands (Raup, 1972; Close *et al.*, 2018). The widespread
740 adoption of CT scanning will continue to facilitate valuable redescrptions and taxonomic
741 revisions of such material and remains an important avenue for research (Giles & Friedman,
742 2014; Giles *et al.*, 2015, 2017; Pradel *et al.*, 2016; Coates & Tietjen, 2018; Friedman *et al.*,
743 2018; Argyriou *et al.*, 2018; Figueroa, Friedman, & Gallo, 2019). Both new exploration and
744 delving into museum collections will be important in increasing our understanding of
745 Palaeozoic actinopterygians.

746

747 **V. CHALLENGES TO ACCURATELY DECIPHERING THE PALAEOZOIC**

748 **ACTINOPTERYGIAN FOSSIL RECORD**

749 **(1) Fossil record biases**

750 A major obstacle to accurately interpreting the evolution of Palaeozoic
751 actinopterygians is the various forms of biases that pervade their fossil record, which are
752 related to both geological, geographic and anthropogenic factors. Investigations into the
753 effect of geological, spatial and taphonomic biases on the actinopterygian fossil record are in
754 their infancy, and the extent to which observed patterns of diversity are driven by biases is far
755 from understood. Previous studies posit that changes in richness of the fossil fish record
756 through time likely represent changes in sampling (Friedman & Sallan, 2012). Furthermore,
757 the number of occupied grid cells has been suggested as the best proxy for explaining the
758 richness of all fishes in the fossil record of Great Britain, though osteichthyan richness does
759 not correlate with any proxy (Lloyd & Friedman, 2013). Actinopterygian richness in the
760 Palaeozoic, however, tracks sampling proxies such as localities, formations and equal-area
761 grid cells (Fig. 4). A common suggestion in the literature is that the late Palaeozoic record is
762 poorly sampled, particularly in terms of marine deposits, and that this leads to low levels of
763 diversity (Hurley *et al.*, 2007; Near *et al.*, 2012; Broughton *et al.*, 2013). Freshwater
764 occurrences of actinopterygians dominate much of the Permian (Romano *et al.*, 2016;
765 Smithwick & Stubbs, 2018) and some of this skew away from marine deposits may have
766 been linked to the formation of Pangaea and coincident reductions in coastline (Friedman &
767 Sallan, 2012). Pinpointing the extent to which geological, spatial and taphonomic biases drive
768 the actinopterygian record is a critical next step in understanding the evolution of
769 actinopterygians in the Palaeozoic.

770 (a) *Geological biases*

771 The extent to which observed patterns of diversity are the result of rock record biases
772 and correlate with metrics such as the numbers of formations, rock volume or outcrop area is
773 the subject of much debate (Benton, 2015). There are three main hypothesised mechanisms
774 for correlation: 1) a true bias, where diversity patterns are truly dependent on the rock record
775 (Smith, 2001; Peters & Foote, 2001); 2) common cause, where another factor such as sea
776 level (and associated extent of shallow marine sea area and presence of epicontinental seas)
777 drives correlations between the rock and fossil records (Peters, 2005, 2006; Peters & Heim,
778 2010, 2011; Hannisdal & Peters, 2011); and 3) redundancy, where the effects of sampling on
779 the fossil record and vice versa are redundant (Benton *et al.*, 2011, 2013). Lloyd and
780 Friedman (2013) reject the common cause hypothesis for Great British fishes, but the
781 mechanisms acting on the actinopterygian fossil record remain uncertain. The global
782 actinopterygian fossil record includes both marine and freshwater components, which can be
783 further divided into different zones (e.g. benthic assemblages, Sallan *et al.*, 2018; open ocean
784 vs shallow marine, Benson *et al.*, 2010) that may be subject to different drivers. For example,
785 non-marine area negatively correlates with diversity of shallow marine Mesozoic tetrapods,
786 while contemporaneous open ocean diversity correlates with fossiliferous formations (Benson
787 *et al.*, 2010). Diversity of European marine mammals in the Cenozoic also does not correlate
788 with rock outcrops (Marx, 2009). The actinopterygian record therefore represents an
789 interesting test of the relative effects of these hypotheses. Analysis of actinopterygian
790 richness in regions with adequate macrostratigraphic data (e.g. in North America; Peters,
791 Husson, & Czaplewski, 2018) may help to constrain the effect of geological biases acting on
792 the Palaeozoic record. Richness in the Palaeozoic certainly correlates with geological proxies
793 for sampling metrics (Figs. 4 & S1), though the extent to which spatial bias impacts all of
794 these metrics (including richness) is important to consider.

795 (b) *Geographic and spatial biases*

796 Europe and North America are the most intensely sampled regions in the marine
797 animal fossil record (Close *et al.*, 2020b). The vast majority of Palaeozoic actinopterygian
798 occurrences are also from Europe and North America (Fig. 6), with important, though
799 limited, occurrences from South America, Australia and Africa: this distribution is likely due
800 to sampling intensity rather than true diversity. Sampling in the Devonian (Fig. 6a) and
801 Carboniferous (Fig. 6b) is more restricted than the Permian (Fig. 6c), which may result from
802 researcher biases towards the end-Permian mass extinction and the general rise of terrestrial
803 tetrapods. This same pattern is seen in terrestrial vertebrates of the same age (e.g. Dunne *et*
804 *al.*, 2018). Bias towards Europe and North America hark back to the early descriptions of
805 actinopterygians (particularly from the UK), which are intimately linked to extensive mining,
806 extraction and industrialisation of these regions during the 19th and early 20th centuries (e.g.
807 Agassiz, 1833; King, 1850; Jackson, 1851). More broadly, recent work demonstrates just
808 how important (neo-)colonialism and global socio-economics are as contributing factors to
809 the global skew in palaeontological research outputs and therefore occurrence data (Raja *et*
810 *al.*, 2022). Variation in taxonomic practice can also impact richness counts depending on the
811 number of researchers working on certain groups and time periods, and whether these
812 researchers are the same for all time periods (Lloyd, Young, & Smith, 2012a, 2012b). This
813 variation may contribute to higher diversity in Europe relative to other continental regions
814 (Close *et al.*, 2020b), though higher diversity is also likely intimately linked to historical
815 factors and ongoing scientific colonialism (Raja *et al.*, 2022).

816 Spatial biases also have a substantial impact on diversity trends at global scales due to
817 temporal variability in the fossil content, fossil quantity, and palaeogeographical coverage of
818 assemblages. The ‘global’ fossil record of any group in fact consists of occurrences
819 distributed heterogeneously in space and time (Benson *et al.*, 2016; Close *et al.*, 2017, 2020a,

820 2020b), and is better conceptualised as the sum of multiple regional records with different
821 attributes (Close *et al.*, 2020a). Diversity curves representing ‘global’ counts of taxa may
822 therefore not be a true representation of the peaks and troughs in diversity of a group through
823 time, but instead a combined record of the regional diversity in sampled areas. The effect of
824 this is such that changes in diversity through time likely mainly mirror changes in the spatial
825 extent of the groups’ fossil record between sampled intervals (Close *et al.*, 2020a, 2020b).
826 Notably, the ‘common cause’ (Peters, 2005, 2006; Peters & Heim, 2010, 2011; Hannisdal &
827 Peters, 2011) and ‘redundancy’ (Benton *et al.*, 2011, 2013; Dunhill, Hannisdal, & Benton,
828 2014; Benton, 2015) hypotheses do not explain this substantial source of sampling bias
829 (Benson *et al.*, 2016; Close *et al.*, 2017, 2018, 2019, 2020a).

830 This is not to say that studies of the ‘global’ fossil record of specific taxonomic
831 groups are uninformative, only that patterns must be carefully examined and interpreted with
832 the knowledge that they likely exhibit significant spatial structuring. Diversity at the regional
833 scale will be informative in determining specific drivers of, and biases in, the diversity signal
834 (Crampton *et al.*, 2003; Dunhill *et al.*, 2012, 2013, 2014; Close *et al.*, 2020a), as will
835 examining differences between diversity measures (e.g. alpha and beta diversity), which can
836 also be spatially dependent (Womack, Crampton, & Hannah, 2021).

837 Different spatial biases acting on the freshwater and marine records may also variably
838 impact different diversity estimates, dependent on the attributes of the sampled regions
839 (Lagomarcino & Miller, 2012). For example, the species-area effect (Hallam & Wignall,
840 1999; Peters, 2005, 2007; Hannisdal & Peters, 2011; Close *et al.*, 2020b) may play a role in
841 levels of marine actinopterygian diversity, linked to changes in sea level and associated
842 features (Lagomarcino & Miller, 2012; Jones *et al.*, 2021), whereas other factors may drive
843 freshwater actinopterygian diversity. Furthermore, the impacts of spatial and temporal
844 variation in the establishment and reduction of epeiric seas (Peters, 2007) and reefs

845 (Kiessling, Simpson, & Foote, 2010) may play a role in determining diversity of
846 actinopterygians through the Palaeozoic. These potential contributing factors would combine
847 to result in complex drivers of regional heterogeneity in the actinopterygian fossil record, that
848 can now be investigated with occurrence data.

849 *(c) Taphonomic biases*

850 Variation in the taphonomy of actinopterygian occurrences is also likely
851 influencing interpretations of the Palaeozoic actinopterygian fossil record, however the impact
852 of taphonomic processes and biases on this record has not been investigated. Taphonomic
853 biases not only obscure underlying biological signals and impact perceived diversity, but likely
854 influence understanding of other aspects of actinopterygian evolution, such as the degree of
855 functional disparity or ecospace occupation (Smithwick & Stubbs, 2018). The effects of
856 detrimental taphonomic processes varies geographically, between environments and with time
857 (Brett, 1995; Zohar *et al.*, 2008; Walker, Dunhill, & Benton, 2020), though low-energy, anoxic
858 environments in which individuals were rapidly buried are usually those that best preserve
859 vertebrates, i.e., Lagerstätten (Pardo, Lennie, & Anderson, 2020). Lagerstätten play more of a
860 role in biasing preservation in the marine record than the terrestrial (Muscente *et al.*, 2017),
861 and they clearly influence taxonomic diversity (Benson *et al.*, 2010; Benson & Butler, 2011;
862 Butler *et al.*, 2011; Flannery Sutherland *et al.*, 2019).

863 As with spatial biases, this may result in different preservation drivers of apparent
864 diversity in the marine and non-marine Palaeozoic; the majority of Lagerstätte yielding
865 actinopterygians are indeed marine (e.g. Bear Gulch – Grogan & Lund, 2002; Lund *et al.*, 2012;
866 Glencarholm – Schram, 1983; Briggs & Gall, 1990; Gogo – Trinajstić, Briggs, & Long, 2022;
867 Kinney Brick Quarry – Lucas, DiMichele, & Allen, 2021; Mazon Creek – Clements *et al.*,
868 2019), with comparatively few non-marine sites (e.g. Montceau-les-Mines – Perrier &

869 Charbonnier, 2014). Lagerstätten may skew diversity trends towards specific intervals,
870 however they also provide unique snapshots of ecosystems in these intervals providing key
871 information not only on taxonomic diversity, but also relative abundance within biota. For
872 example, while actinopterygians were species-poor relative to other vertebrates in the
873 Devonian (Friedman, 2015), they are relatively abundant in the Gogo Formation (Trinajstić *et*
874 *al.*, 2022).

875 In recent years, literature has emerged on quantifying the skeletal completeness of the
876 fossil record of various vertebrate groups using both character-completeness metrics (e.g.
877 Mannion & Upchurch, 2010; Brocklehurst & Fröbisch, 2014; Cashmore *et al.*, 2020) and
878 specimen-based completeness metrics (e.g. Cleary *et al.*, 2015; Tutin & Butler, 2017; Driscoll
879 *et al.*, 2019). To date, there are no published studies investigating completeness in any groups
880 of fishes (but see Schnetz *et al.* [2021]), and it is likely that an anthropogenic collecting bias
881 towards more complete specimens may come into play more than in tetrapod groups. The fossil
882 record of marine tetrapod clades appear to be more complete than those of terrestrial tetrapods
883 (Cleary *et al.*, 2015; Tutin & Butler, 2017; Driscoll *et al.*, 2019), likely due to higher
884 sedimentation rates in the marine realm. Quantification of the level of skeletal completeness in
885 actinopterygians will aid interpretations of the biases acting on the fossil record, especially
886 regarding marine versus freshwater fishes.

887 An additional taphonomic factor that may detrimentally impact our understanding of
888 the actinopterygian fossil record is degree of preservation related to the size of specimens.
889 There is data to suggest that larger organisms are much more likely to preserve than smaller
890 organisms (Benson, 2018; Pardo *et al.*, 2020), while larger, more robust specimens can be
891 associated with higher quality preservation (Cooper *et al.*, 2006). The extent to which this
892 applies to aquatic vertebrates is little understood, but this is likely to be of importance to
893 actinopterygians: Sallan & Galimberti (2015) suggested that ray-finned fish were small in the

894 aftermath of the EDME. As the early Carboniferous coincides with the origin of the
895 actinopterygian crown (Giles *et al.*, 2017), and small ancestors are thought to have seeded
896 most actinopterygian clades (Romano *et al.*, 2016; Guinot & Cavin, 2018), a bias against
897 preservation of smaller organisms may contribute to the failure to identify early members of
898 these radiations. Furthermore, taphonomic factors have been shown to readily destroy small
899 actinopterygian bones in particular (Smith, Stearley, & Badgley, 1988) further compounding
900 our ability to correctly interpret the early actinopterygian fossil record.

901 **(2) Taxonomic issues**

902 Deep-seated problems with Palaeozoic actinopterygian taxonomy exacerbate
903 low levels of actinopterygian genus richness, despite high numbers of species and
904 considerable morphological variation within these genera. Many genera from this period have
905 apparently global distributions and stratigraphic ranges spanning nearly the entirety of the
906 Carboniferous and Permian (Fig. 7; Gardiner, 1993; Sepkoski, 2002), which may be an
907 artefact of reduced researcher effort in this period in favour of earlier Devonian forms, or
908 later Mesozoic forms (Sallan, 2014). As a result, many mid-late Palaeozoic actinopterygians
909 have not been the subject of detailed taxonomic work.

910 Carboniferous and Permian actinopterygians received the most attention from
911 researchers in the 19th and early 20th centuries. While much of this work was ground-breaking
912 and laid the foundations for palaeoichthyology, there are substantial problems with some
913 outcomes of the research, notably the existence of wide-ranging, poorly defined genera.
914 Often, initial descriptions of taxa were brief and erected new genera with a heavy reliance on
915 the shape of the body (e.g. deep-bodied, fusiform, slender) and scale morphology (Agassiz,
916 1833; Traquair, 1877a, 1879; Moy-Thomas & Dyne, 1938). This led to poorly defined genus
917 diagnoses, often containing large numbers of dubiously-related species – species whose

918 characteristics sometimes even contradicted generic diagnoses. Some of the most notable
919 problem genera—also termed “waste-baskets” (Evans, 2005) and “trash fish” (Coates &
920 Tietjen, 2018)—are *Elonichthys* Giebel 1848 (38 species), *Rhadinichthys* Traquair 1877 (24
921 species), *Platysomus* Agassiz 1843 (17 species), *Acrolepis* Agassiz 1843 (14 species),
922 *Amblypterus* Agassiz 1843 (14 species) and *Palaeoniscum* Blainville 1818 (13 species)
923 (Mickle, 2017), though others exhibit similar issues (e.g. *Moythomasia* Gross 1950). Higher-
924 level taxonomic groups based on these genera, which are almost exclusively erected with
925 generic diagnoses (Sallan, 2014), suffer from the same problems.

926 In addition to being taxonomically ambiguous, these few Palaeozoic actinopterygian
927 genera likely obscure a significant proportion of genus-level diversity. Redescriptions and
928 redefined diagnoses are necessary in order to reveal the true taxonomic diversity hiding
929 within these genera. Recently, *Elonichthys* was redefined to include just three species
930 (Schindler, 2018a) from Central European late Carboniferous and early Permian deposits.
931 Consequently, the temporal and spatial extent of the genus has been drastically reduced, and
932 all 35 other species of *Elonichthys*, ranging from the Tournaisian to the Wuchiapingian, are
933 invalid and represent other taxa currently unaccounted for in genus-level diversity analysis.
934 More broadly, while apparently widespread by modern continental configuration (Fig. 6),
935 palaeogeographic distributions of problem genera are more concentrated due to the proximity
936 of Western Europe and North America in the Palaeozoic (Scotese, 2021). That these regions,
937 where the majority of species within problem genera occur (Fig. 7), were geographically
938 contiguous in the Palaeozoic exacerbates the geographic research bias associated with greater
939 sampling of North America and Europe. Shared presence of numerous groups of
940 actinopterygians (e.g. haplolepidids, eurynotiforms, aeduelliforms; Sallan & Coates, 2013;
941 Elliott, 2014, 2016; Hodnett & Lucas, 2015) in present-day North America and Western
942 Europe provide further evidence of a close link.

943 In recent years, new anatomical information revealed by CT scanning has prompted
944 several reinvestigations of the validity of Palaeozoic taxa. Coates and Tietjen (2018) recently
945 redescribed a Bashkirian actinopterygian and moved it to *Trawdenia* n. gen. This specimen
946 was originally referred to *Mesopoma*, a taxon erected by Traquair (1890) in an attempt to
947 separate species belonging to *Canobius* and *Rhadinichthys*. Traquair subsequently retracted
948 the genus (Traquair, 1912), before Moy-Thomas and Dyne (1938) restored it (see Coates,
949 1993, 1998; Coates & Tietjen, 2018). *Trawdenia* exemplifies both the root cause of the
950 problem with many Carboniferous and Permian actinopterygian genera—a diagnosis based
951 on characteristics prevalent in other late Palaeozoic actinopterygians and lacking
952 unambiguous synapomorphies—and also the route to resolving the problem: detailed
953 redescription to identify unique characters aided by currently-available technology such as
954 CT scanning. Reinvestigation of Palaeozoic material is not simply an exercise in correcting
955 taxonomy, however. Coates (1999) and Coates and Tietjen's (2018) work revealed
956 previously-hidden features of the endocast and pectoral fin in a specimen that had been
957 known to the literature for over a century. The case of *Trawdenia*, as well as others such as
958 *Eurynotus crenatus* (Friedman *et al.*, 2018) and *Brazilichthys macrognathus* (Figueroa *et al.*,
959 2019), clearly demonstrate that reinvestigation can reveal not only hidden taxa, but untold
960 anatomical and ecological diversity.

961 **(3) Phylogenetic issues**

962 Relationships of the four extant actinopterygian clades (Cladistia, Chondrostei,
963 Holostei, Teleostei) has reached a point of consensus through both molecular (e.g. Betancur-R
964 *et al.*, 2017; Hughes *et al.*, 2018; Dornburg & Near, 2021) and morphological (e.g. Patterson,
965 1982; Gardiner & Schaeffer, 1989; Coates, 1998; Cloutier & Arratia, 2004; Grande, 2010; Xu,
966 Gao, & Finarelli, 2014; Giles *et al.*, 2017) research. Sallan (2014) provided a detailed summary
967 of previous hypotheses of living clades and the basis for this consensus. Friedman (2015)

968 synthesises attempts to place Palaeozoic actinopterygians relative to extant clades, highlighting
969 that the relationships of extinct actinopterygians, both in relation to each other and extant
970 clades, remain unclear.

971 The cladistic analysis of Gardiner and Schaeffer (1989), which built significantly on
972 prior work by Gardiner (1984), represented a seminal study for investigations into Palaeozoic
973 actinopterygian relationships (Friedman, 2015). Gardiner and Schaeffer (1989) organised
974 early actinopterygians into groups (e.g. the *Moythomasia* Group, and *Platysomus* Group),
975 which they tentatively posited to be monophyletic, in order to determine actinopterygian
976 phylogeny. Although the monophyly of these groups was rarely upheld in later work, this
977 analysis and the anatomical characters it established forms the base for almost all future
978 phylogenetic studies (e.g. Coates, 1999; Dietze, 2000; Poplin & Lund, 2000; Cloutier &
979 Arratia, 2004; Poplin & Dutheil, 2005; Friedman & Blom, 2006; Swartz, 2009; Fig. 9). The
980 history of phylogenetic work on actinopterygians mirrors the geographic biases related to
981 sampling of actinopterygian occurrences, with clear bias in the regions in which research
982 groups are located (Fig. 9).

983 Subsequent analyses have attempted to determine the relationships of primitive
984 actinopterygians relative to extant clades (e.g. Cloutier and Arratia 2004) or focussed solely
985 on Palaeozoic actinopterygian interrelationships (e.g. Friedman and Blom 2006). Although
986 most analyses draw on multiple sources, two main subsequent ‘lineages’ of analyses have
987 arisen, both with a focus shifted towards relationships of actinopterygians rather than early
988 bony fishes. Cloutier and Arratia (2004), which attempted a major synthesis of existing
989 character matrices, sourcing characters from previous cladistic and phylogenetic studies,
990 heavily influenced Mickle *et al.* (2009) and Swartz (2009), while Friedman and Blom (2006)
991 became the basis of Choo (2012) and all subsequent analyses derived from that matrix (Giles
992 *et al.*, 2015, 2017; Argyriou *et al.*, 2018; Choo *et al.*, 2019; Figueroa *et al.*, 2019, 2021; Fig.

993 9). Giles *et al.* (2017) significantly expanded and revised this derived matrix with the aim of
994 interrogating relationships between living and fossil actinopterygian lineages.

995 Other matrices focus on a particular fauna or geographic region. Most notable
996 amongst these are efforts to investigate the relationships of Bear Gulch actinopterygians,
997 which include limited taxa from outside this deposit (Lund, Poplin, & McCarthy, 1995; Lund,
998 2000; Fig. 9). Cloutier and Arratia (2004) attempted to integrate these analyses with other
999 early actinopterygian and osteichthyan matrices. This was further expanded by Mickle *et al.*
1000 (2009; Fig. 10b; and in an unpublished thesis: Mickle, 2012), who included more Bear Gulch
1001 forms and several other taxa (e.g. *Roslerichthys*, Hamel, 2005). Separately, Elliott (2016; Fig.
1002 10d) conducted an analysis of Scottish Bashkirian actinopterygians, sampling traditionally
1003 underrepresented groups (such as haplolepidids) while excluding all other Palaeozoic
1004 actinopterygians.

1005 In general, expansions of these matrices has focused on increasing taxon sampling of
1006 actinopterygian groups that are already represented and adding more crownward taxa
1007 (Latimer & Giles, 2018; Argyriou *et al.*, 2018; Ren & Xu, 2021), rather than including
1008 previously excluded Palaeozoic taxa. Numerous proposed Palaeozoic actinopterygian clades
1009 are yet to be included in phylogenetic analyses despite high support in the literature (e.g.
1010 eurynotiforms: Sallan & Coates, 2013; Friedman *et al.*, 2018), and many others remain
1011 represented by a single terminal (e.g. platysomids: Giles *et al.* 2017). Hypotheses of
1012 relationships have been shown to substantially change when additional taxa from
1013 underrepresented taxonomic groups are included (e.g. saurichthyids – Argyriou *et al.* 2018;
1014 dapediids and pycnodonts – Latimer & Giles, 2018), resulting in topologies and divergence
1015 timelines inconsistent with past molecular (Betancur-R *et al.* 2017; Hughes *et al.* 2018;
1016 Dornburg & Near 2021) and morphological (Cloutier & Arratia, 2004; Grande, 2010; Xu,

1017 Gao & Finarelli, 2014; Giles *et al.*, 2017) consensus, suggesting that this skewed
1018 representation may be a major source of uncertainty in the early actinopterygian tree.

1019 Other sources of bias are noticeable, especially temporal and geographic imbalances.
1020 Most analyses contain roughly even numbers of Devonian and Carboniferous taxa (Coates,
1021 1999; Cloutier and Arratia, 2004; Gardiner *et al.*, 2005; Giles *et al.*, 2017; Figueroa *et al.*
1022 2021), despite there being an order of magnitude more species described from the
1023 Carboniferous (Fig. 4). Permian taxa are largely excluded, despite the nearly equivalent
1024 numbers of Permian species relative to the Carboniferous (Fig. 4). Furthermore, most
1025 analyses heavily sample fishes from British, North American and Australian (Fig. 10). Dietze
1026 (2000; Fig. 10a) is a notable exception that incorporates underrepresented taxonomic groups
1027 (e.g. amplypterids) and geographic regions (e.g. Africa, Asia, Central Europe) though very
1028 few analyses have built upon this widespread sampling of taxa. A synthesis and integration of
1029 disparate phylogenetic analyses that focus on individual groups or regions (e.g. Dietze, 2000
1030 and Elliott, 2016) with broader analyses that attempt to span the actinopterygian radiation
1031 (e.g. Giles *et al.*, 2017; Figueroa *et al.*, 2019; Figueroa *et al.*, 2021, Ren & Xu, 2021) is sorely
1032 needed. Among the most recent iterations of the Giles *et al.* (2017) matrix are studies
1033 beginning to expand the geographic spread of taxa by including actinopterygians from South
1034 America (Figueroa *et al.*, 2019; Figueroa *et al.*, 2021). Importantly, when sampling expands
1035 beyond taxa from the Euro-American realm, support for past hypotheses of relationships
1036 among stem-actinopterygians collapses (Fig. 10c).

1037 Lack of an adequate representation of known morphologies, clades and geographic
1038 regions in character matrices is drastically preventing an accurate understanding of
1039 Palaeozoic actinopterygians (Friedman, 2015). While revisions to early cladistic and
1040 phylogenetic analyses (Gardiner & Schaeffer, 1989; Coates, 1999) have resulted in the shift
1041 of the majority of Palaeozoic taxa from the actinopterygian crown to the stem (Cloutier &

1042 Arratia, 2004; Mickle *et al.*, 2009; Giles *et al.* 2017), the relationships between the numerous
1043 diverse clades within the Palaeozoic are still highly unstable. As well as expanding the
1044 geographic range encompassed by taxa, it will be important to address existing imbalances in
1045 geographic sampling before analysing important phylogeographic aspects of actinopterygian
1046 evolution (such as dispersal rates), as variation in sampling can greatly influence results
1047 (Gardner, Surya, & Organ, 2019).

1048

1049 **VI. CONCLUSIONS**

1050 (1) Comprehensive occurrence-based datasets are necessary for examining biases in the fossil
1051 record and deducing accurate diversity trends (Alroy, 2020), while robust phylogenies are
1052 crucial for detailed macroevolutionary analyses (Soul & Wright, 2021). Fishes are rarely the
1053 subject of such analyses, but present ample opportunities for investigating evolutionary
1054 dynamics through the Palaeozoic. A priority for Palaeozoic actinopterygian research is to
1055 record occurrences in the PBDB and update regional substage ages; efforts to do so are in
1056 progress.

1057 (2) Actinopterygian richness fluctuates throughout the Palaeozoic, but largely appears to be
1058 tracking sampling proxies. Europe and North America are oversampled in comparison to
1059 most Global South regions, and sampling and spatial have a clear influence on the record.
1060 Targeted sampling of underrepresented regions (e.g. mid- to high-palaeolatitudes in the
1061 Carboniferous), time periods and environments (e.g. marine environments in the Late
1062 Carboniferous-Middle Permian) will be necessary to redress this imbalance. Concurrently,
1063 detailed sampling of well-known regions will allow for more accurate diversity analyses of
1064 local and regional subsets, which are a critical avenue for research considering issues
1065 regarding analysis of 'global' fossil records. This detailed sampling should take the form of

1066 cataloguing museum collections in such regions (as well as more broadly) given the
1067 likelihood that they contain a large number of occurrences that are not recorded in the
1068 primary literature, and thus not entered into occurrence databases (Marshall *et al.*, 2018).

1069 (3) Our occurrence-level database for Palaeozoic actinopterygians paves the way for
1070 examining biases in the fossil record and deducing accurate diversity trends. In particular,
1071 analytical methods of sampling standardisation (Chao, 1984; Chao & Jost, 2012; Alroy, 2017,
1072 2018, 2020, Close *et al.*, 2020a; Jones *et al.*, 2021) and application of diversity estimation at
1073 different scales (Close *et al.*, 2019) represent a priority for future studies (Alroy, 2010b,
1074 2010c; Close *et al.*, 2018). Incorporation of macrostratigraphic data may help facilitate a
1075 synthesis of the various biases impacting the actinopterygian fossil record.

1076 (4) Historical poor taxonomic practices mask valuable taxonomic and morphological
1077 diversity in Palaeozoic actinopterygians (Coates & Tietjen, 2018; Schindler, 2018a). Re-
1078 evaluation of ‘waste-basket’ taxa, aided and abetted by CT scanning, represent the foundation
1079 for many other studies. Taxonomic revisions will result in a tightening of the geographic and
1080 temporal ranges of these widespread genera, which in turn will help to deduce accurate
1081 patterns of palaeodiversity (Close *et al.*, 2018), palaeogeographic dispersal (Cavin, 2008;
1082 Gardner *et al.*, 2019) and regional connectedness (Stigall *et al.*, 2017). Redescriptions also
1083 aid the identification of early members of extant actinopterygian clades (Giles *et al.*, 2017),
1084 thus paving the way for a better understanding of the evolutionary dynamics between clades
1085 (Clarke, Lloyd, & Friedman, 2016) as actinopterygians became dominant in aquatic habitats
1086 (Sallan & Coates, 2010; Friedman, 2015).

1087 (5) Existing phylogenetic character matrices are plagued by similar biases to the overall fossil
1088 record, heavily oversampling North American and European fishes, and expanding the
1089 geographic and temporal range of phylogenies must represent a priority. Continued addition

1090 of taxa and well-formulated characters (Brazeau, 2011), as well as better methods for dealing
1091 with inapplicable characters (Brazeau, Guillerme, & Smith, 2019; Goloboff *et al.*, 2021), will
1092 generate robust hypotheses of relationships with which to investigate key evolutionary
1093 events. Greater incorporation of techniques such as tip-dating may be able to tease apart
1094 relationships suspected to result from homoplasy (Lee & Yates, 2018), for example the
1095 multiple deep-bodied radiations of Palaeozoic actinopterygians.

1096 (6) The mechanisms underlying actinopterygians diversification following the end-Devonian
1097 mass extinction and their subsequent evolutionary dynamics through the Palaeozoic remain
1098 largely unknown, in part due to the lack of stable phylogenetic hypotheses of relationships
1099 and occurrence databases. Having these data in hand will enable a wide range of analysis,
1100 from inference-based methods to phylogenetic comparative methods to palaeogeographical
1101 dispersal:

1102 (a) Reliable and representative phylogenies are an important component of
1103 biogeographic network analyses (Button *et al.*, 2017; Dunne *et al.*, 2018; Kubo, 2019), and
1104 alternative estimates of diversity such as lineages counts through time (also referred to as
1105 phylogenetic diversity; Ezcurra & Butler, 2018) that would complement taxic estimates of
1106 diversity.

1107 (b) Application of phylogenetic comparative methods has the potential to identify
1108 adaptive radiations (Close *et al.*, 2015; Ezcurra & Butler, 2018; Felice & Goswami, 2018;
1109 Halliday *et al.*, 2019; Simões *et al.*, 2020). Actinopterygians appear to explosively diversify
1110 in the early Carboniferous, but the lack of comprehensive phylogenetic analysis prevents
1111 testing of whether this best fits a model of classic extinction recovery, adaptive radiation, or
1112 ecological release (Schluter, 2000; Sallan & Friedman, 2012; Friedman & Sallan, 2012;
1113 Slater, 2013). In tandem, investigating survivorship and selectivity through mass extinctions,

1114 such as the end-Devonian, among and between lineages (Soul & Friedman, 2017; Allen *et al.*,
1115 2019) may reveal more detail on the effects of mass extinctions (Sallan & Friedman, 2012;
1116 Sallan & Galimberti, 2015). Deep-bodied Palaeozoic actinopterygians also represent an
1117 obvious test case for exploring these techniques, for example by quantifying convergence and
1118 teasing this apart from shared devolutionary history (Speed & Arbuckle, 2017; Arbour &
1119 Zanno, 2020).

1120 (c) Previous work has examined shifts between marine and non-marine habitats in
1121 other fossil groups (and coincident changes in morphology and disparity; Lamsdell, 2016).
1122 By combining palaeoecological observations from occurrence data with reliable phylogenetic
1123 hypotheses, it will be possible to examine habitat transitions and trends in actinopterygian
1124 ecology and biogeography through time (Lamsdell *et al.*, 2017). In addition, previous
1125 ancestral-state based hypotheses of crown group actinopterygian habitats have inferred both a
1126 freshwater (Carrete Vega & Wiens, 2012) and marine (Betancur-R, Ortí, & Pyron, 2015;
1127 Guinot & Cavin, 2018) origin for actinopterygians (though the result indicates that a
1128 freshwater origin is due to the absence of fossil data in the analysis). Given recent upheavals
1129 in established schemes of phylogenetic relationships, with a particular effect on deep-
1130 branching members of stem-groups (e.g. Giles *et al.*, 2017), ancestral state reconstructions
1131 should be reassessed. As it may be physiologically easier to adapt from one environment to
1132 another (Betancur-R *et al.*, 2015), it would be prudent to explore the use of asymmetric
1133 transition models as recently used to investigate the evolution of oviparity and viviparity in
1134 squamates (Blackburn, 2015).

1135 (7) Collectively, these investigations will greatly expand our understanding of the early
1136 evolution and rise to dominance of the most speciose extant vertebrate clade, the
1137 Actinopterygii.

1138

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1147

1148 VIII. REFERENCES

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2026 IX. SUPPORTING INFORMATION

2027 Additional supporting information may be found online in the Supporting Information
2028 section at the end of the article. **Supplementary Data S1.** Occurrences of Palaeozoic
2029 actinopterygians. **Supplementary Data S2.** Roughly equal-length time intervals.

2030

2031 Figure 1 – Diversity of Palaeozoic fishes through time presented in previous studies. (a)
2032 family-level diversity curves of actinopterygians and non-actinopterygian osteichthyans
2033 (Patterson, 1994; using data from Benson [1993]); (b) family-level diversity curves of
2034 actinopterygians and non-actinopterygian fishes (Blieck, 2011; using data from Benson
2035 [1993]); (c) genus-level diversity of marine osteichthyans and non-osteichthyan fishes,
2036 excluding conodonts (Friedman and Sallan, 2012; using data from Sepkoski [2002]); (d)
2037 genus-level diversity of British osteichthyans and non-osteichthyan fishes (Lloyd and
2038 Friedman, 2013); (e) genus-level diversity of actinopterygians and non-actinopterygian fishes
2039 (Thomson, 1977; using data from Romer [1996]); (f) genus-level diversity of
2040 actinopterygians and non-actinopterygian fishes (Sallan and Coates, 2010; Romano *et al.*,
2041 2016).

2042 Figure 2 – Raw counts of Palaeozoic actinopterygian genera (black, solid line), collections
2043 (brown, short dashed line), formations (red, dotted line) and equal-area grid cells (orange,
2044 long dashed line) entered in the PBDB.

2045 Figure 3 – Geographic spread of actinopterygians occurrences entered in the PBDB in the (a)
2046 Devonian; (b) Carboniferous; and (c) Permian.

2047 Figure 4 – Raw counts of Palaeozoic actinopterygian (a) genera and (b) species (black, solid
2048 line) in roughly equal-length intervals. Collections (brown, short dashed line), formations
2049 (red, dotted line) and equal-area grid cells (orange, long dashed line) are also plotted.

2050 Figure 5 – Raw counts of Palaeozoic actinopterygian (a) genera and (b) species (black, solid
2051 line) in standard International Commission on Stratigraphy stages. Collections (brown, short
2052 dashed line), formations (red, dotted line) and equal-area grid cells (orange, long dashed line)
2053 are also plotted.

2054 Figure 6 – Geographic spread of actinopterygians occurrences in the (a) Devonian; (b)
2055 Carboniferous; and (c) Permian.

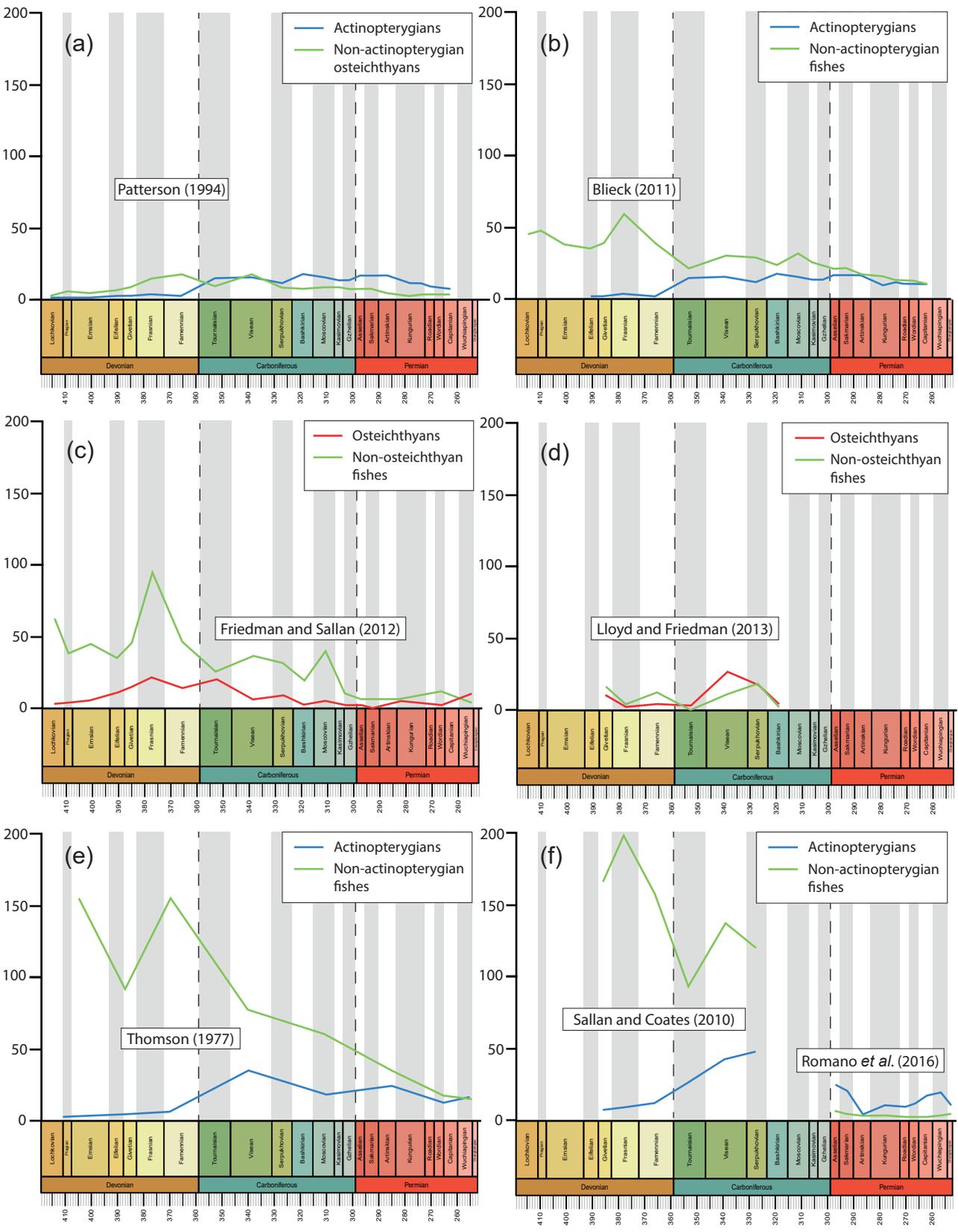
2056 Figure 7 – Distribution of the most speciose and widespread actinopterygian genera, with
2057 occurrences coloured according to the ICS colours for the period in which they occur
2058 (Devonian – brown; Carboniferous – green; Permian – red): (a) *Acrolepis*; (b) *Amblypterus*;
2059 (c) ‘*Elonichthys*’; (d) *Moythomasia*; (e) *Palaeoniscum*; (f) *Platysomus*; and (g)
2060 *Rhadinichthys*.

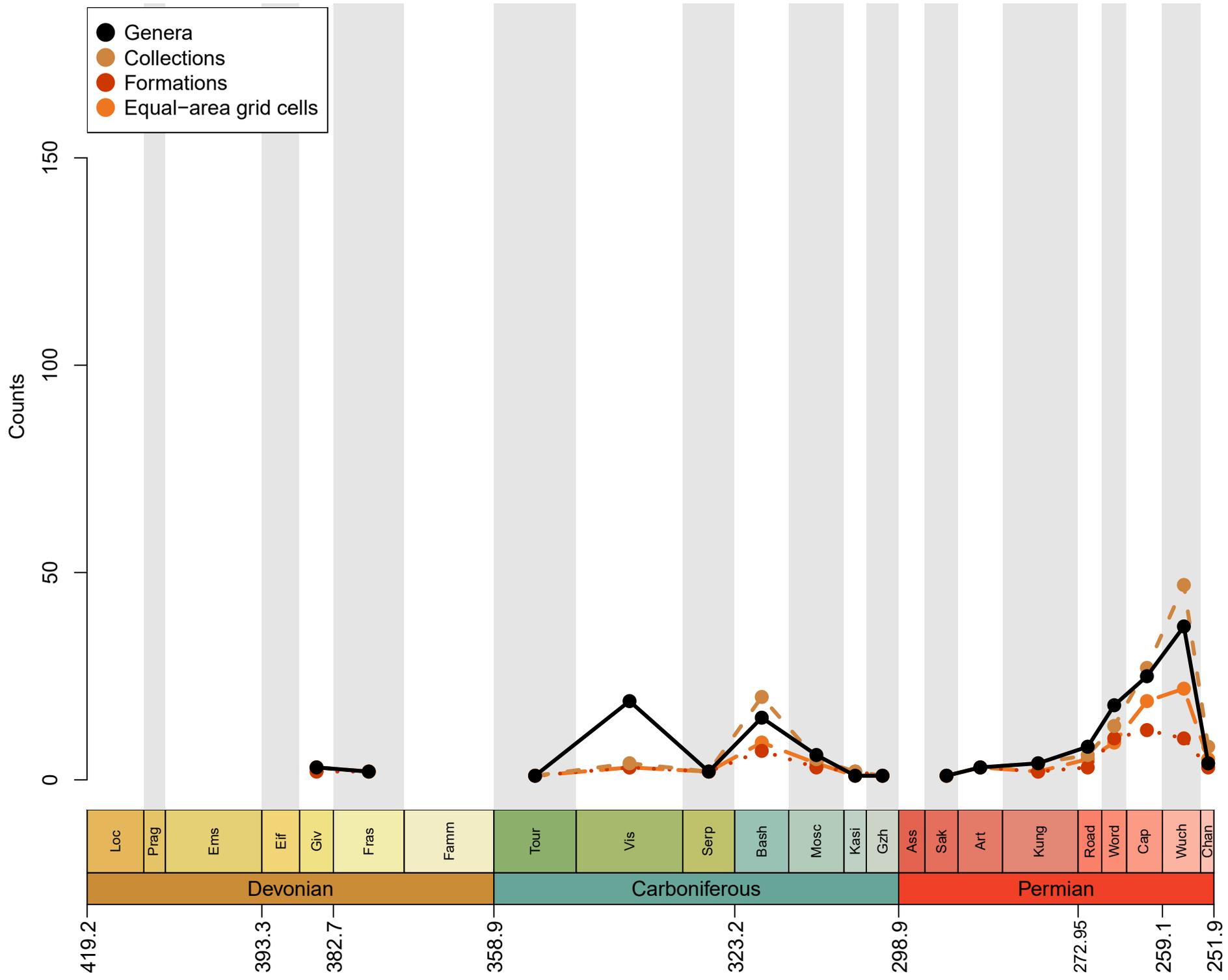
2061 Figure 8 – Collector’s curve of the global Palaeozoic actinopterygian fossil record, divided by
2062 present-day geographic region.

2063 Figure 9 – Network depicting the flow of characters to and from phylogenetic analyses of
2064 Palaeozoic actinopterygians. Nodes are coloured according to the geographic region in which
2065 authors’ listed institutions (in the primary article) are located.

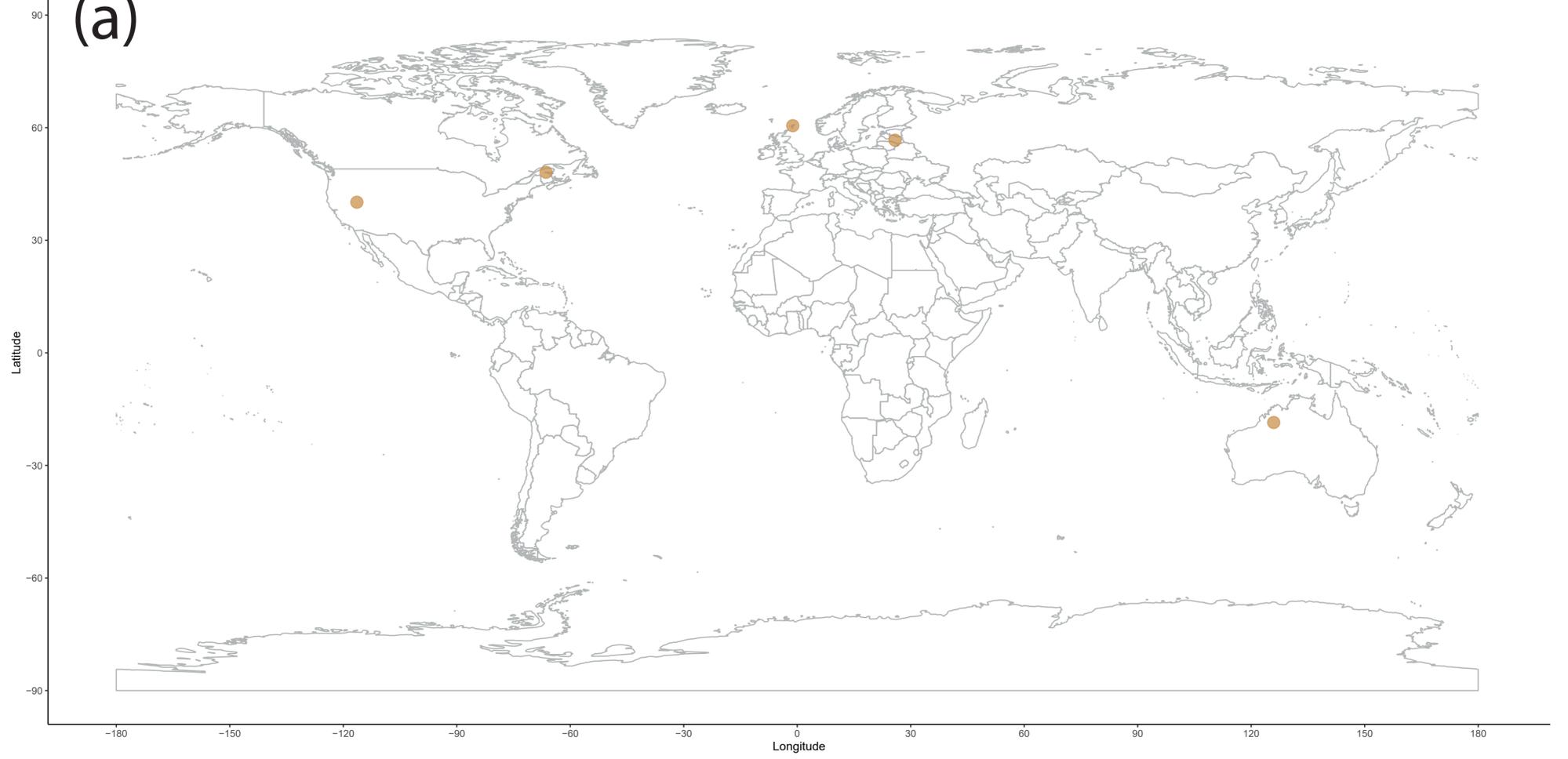
2066 Figure 10 – Phylogenetic analyses of Palaeozoic actinopterygian relationships showing the
2067 geographic distribution of sampled taxa: (a) Dietze (2000); (b) Mickle *et al.* (2009); (c)
2068 Figueroa *et al.* (2019); and (d) Elliott (2016). The actinopterygian crown node is indicated in
2069 analyses which include extant taxa.

2070 Figure S1 – Regressions of total genus richness in individual equal-length stages with (a)
2071 number of localities, (b) number of geological formations, (c) number of occupied equal-area
2072 grid cells, (d) stage length, and (e) sea level. Regressions of freshwater genus richness (f) and
2073 marine genus richness (g) with sea level including Devonian stages, and of overall genus
2074 richness (h) and freshwater genus richness (i) excluding Devonian stages.

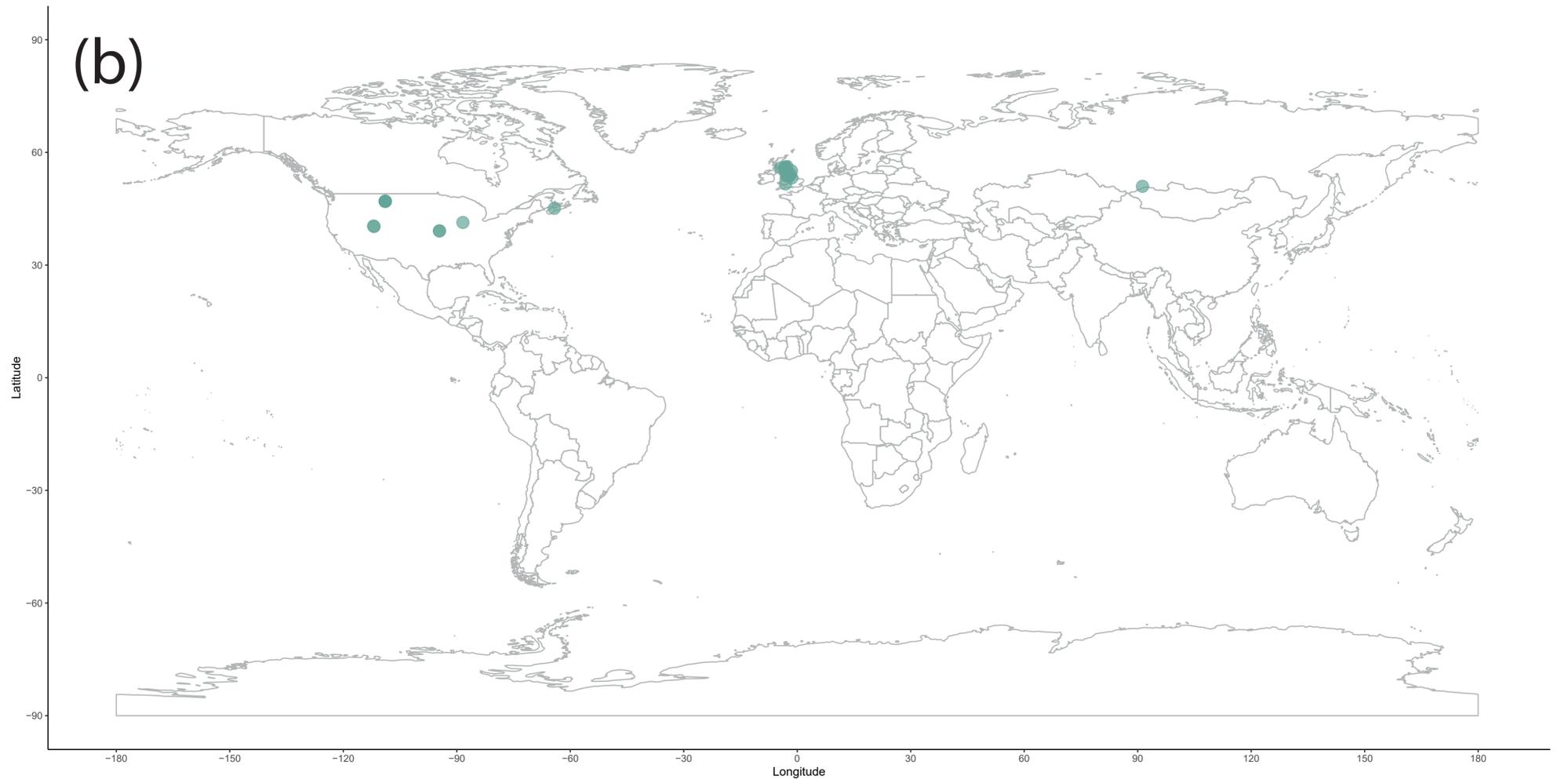




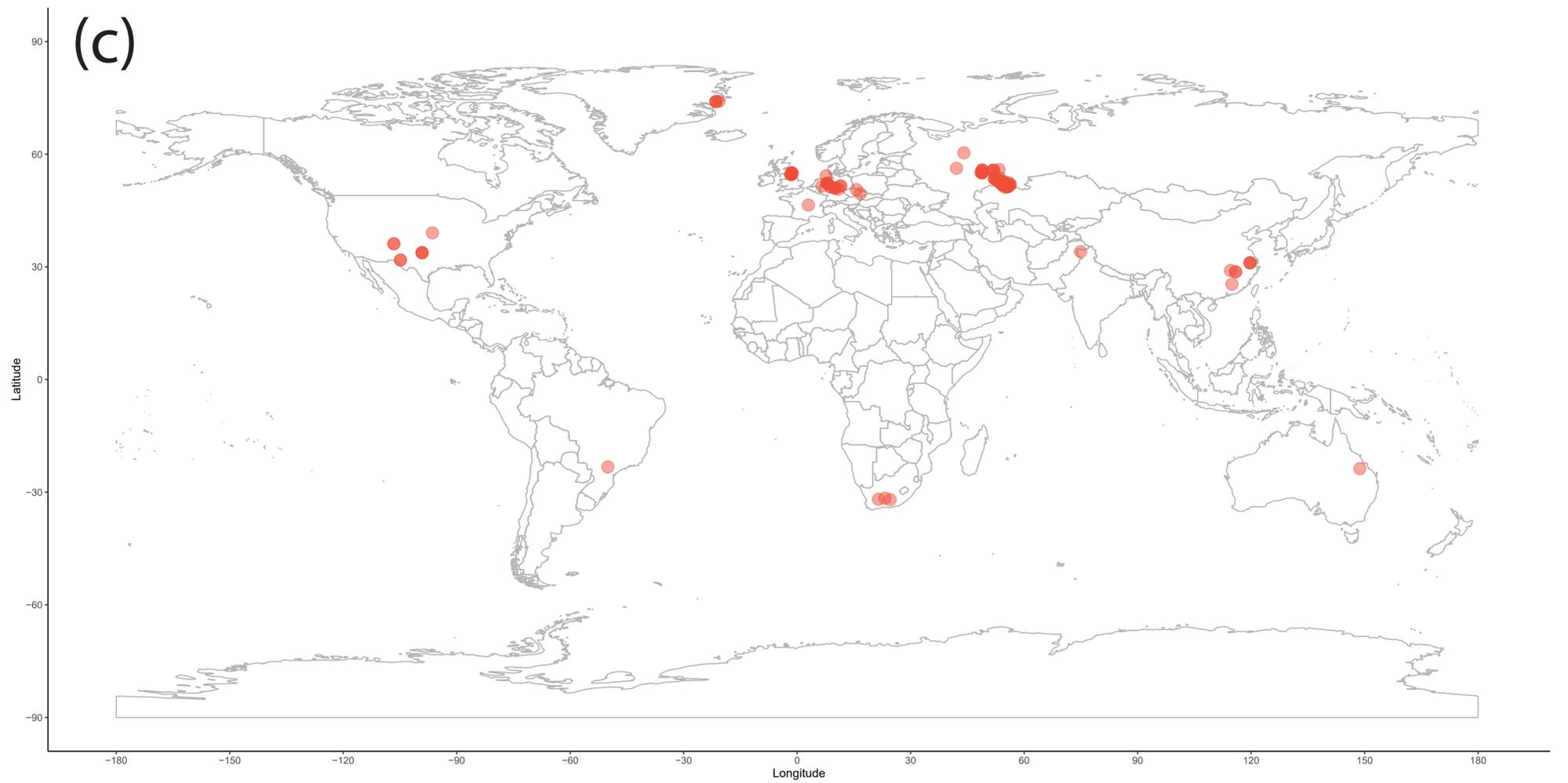
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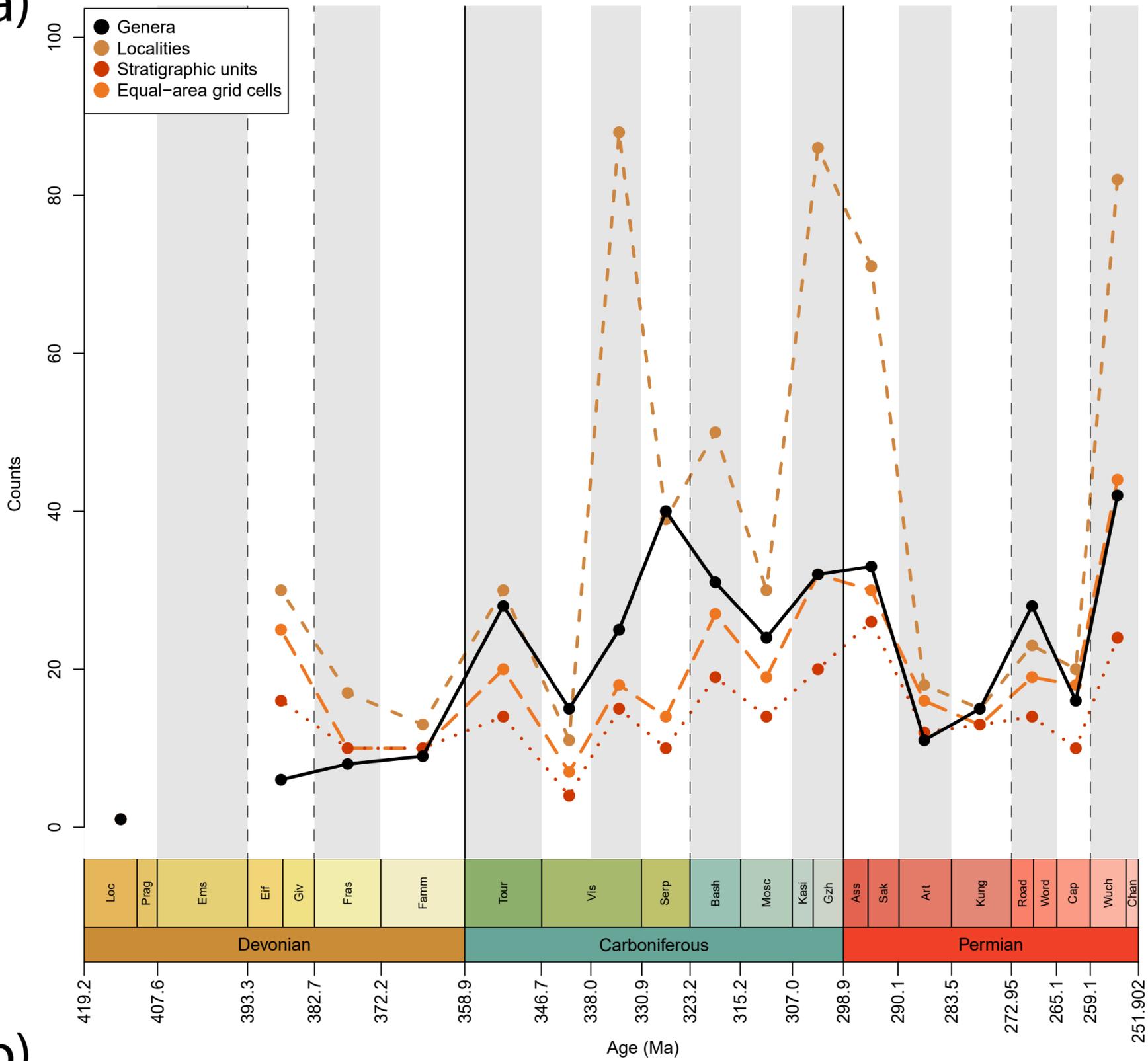
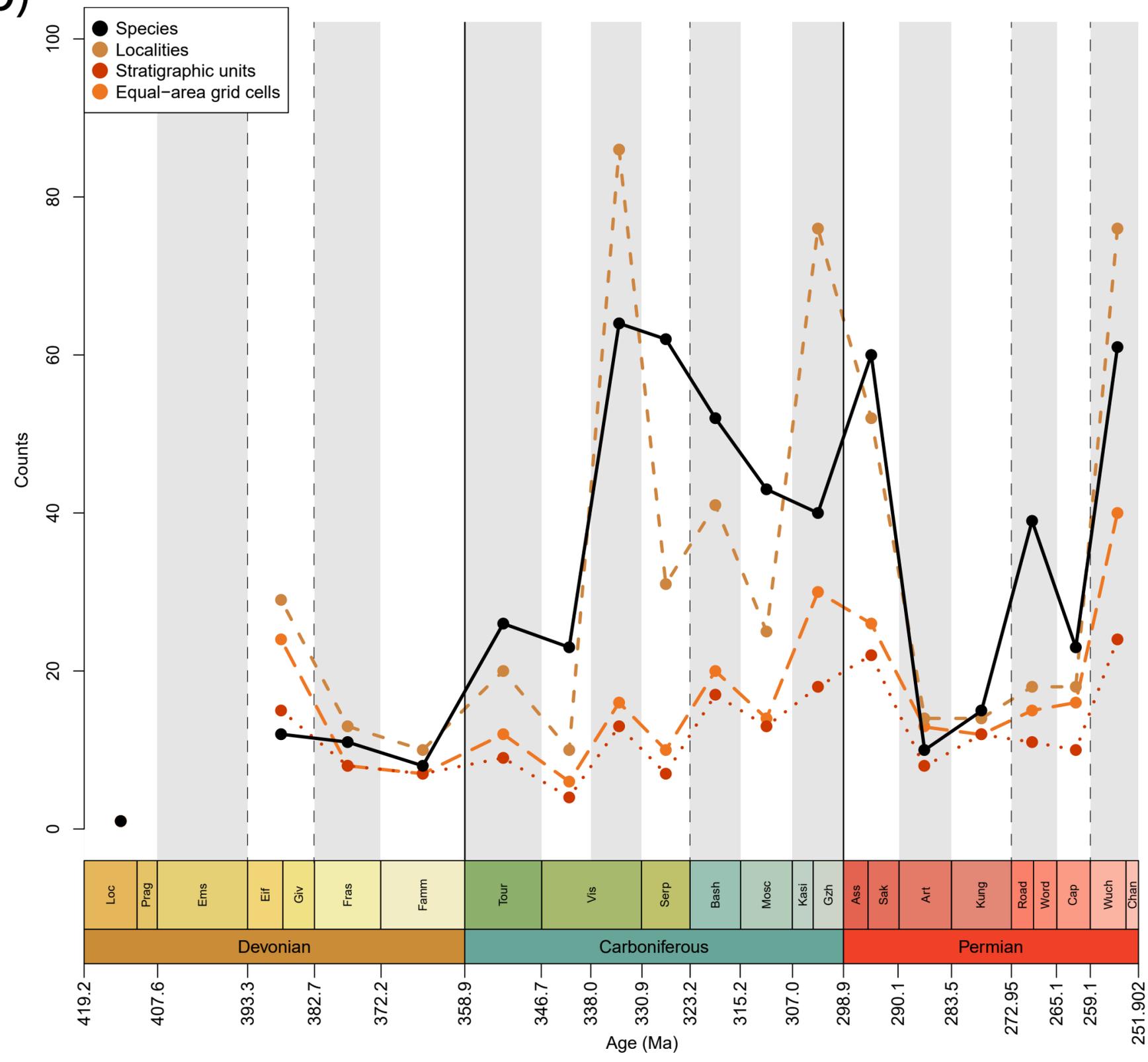


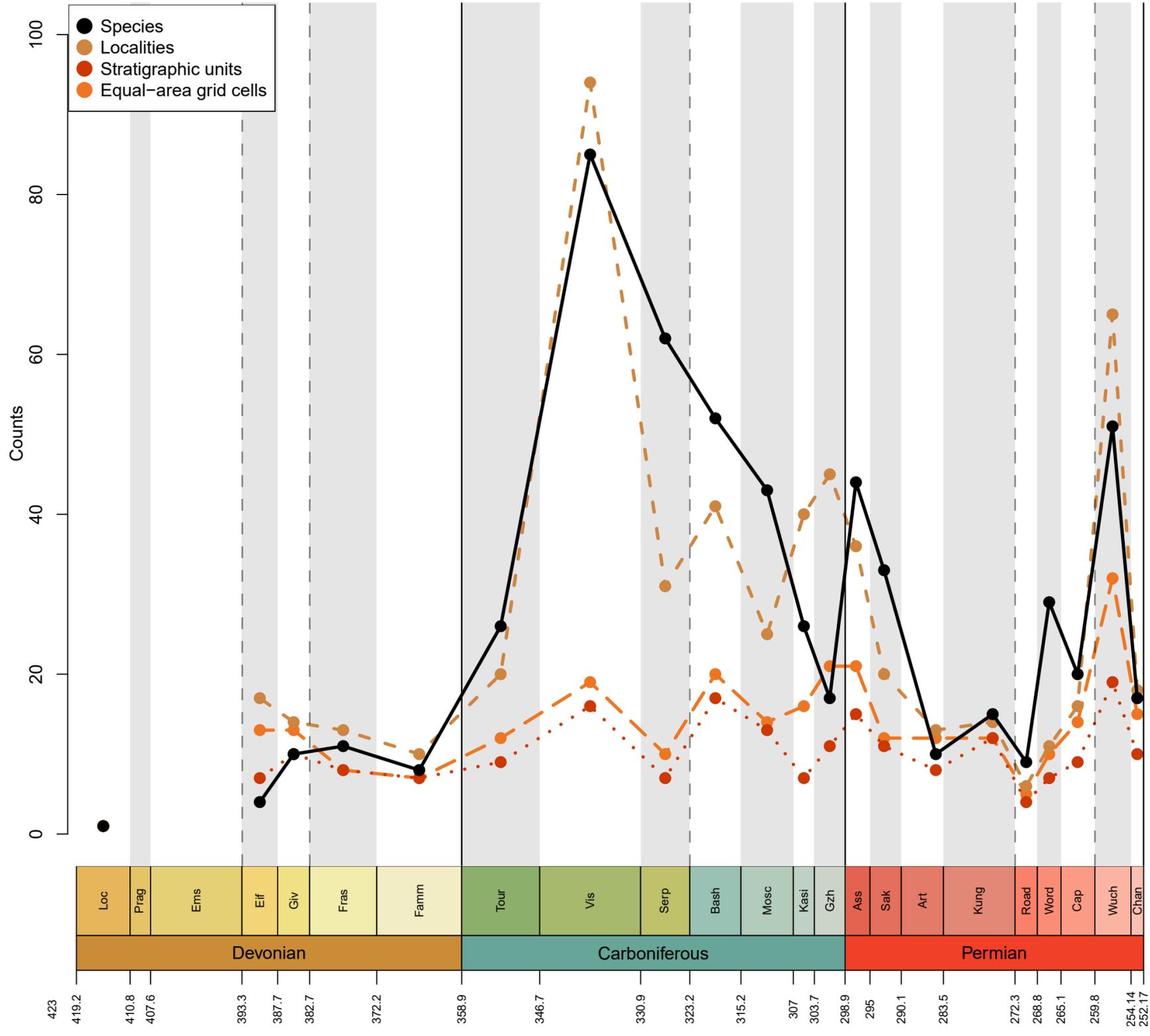
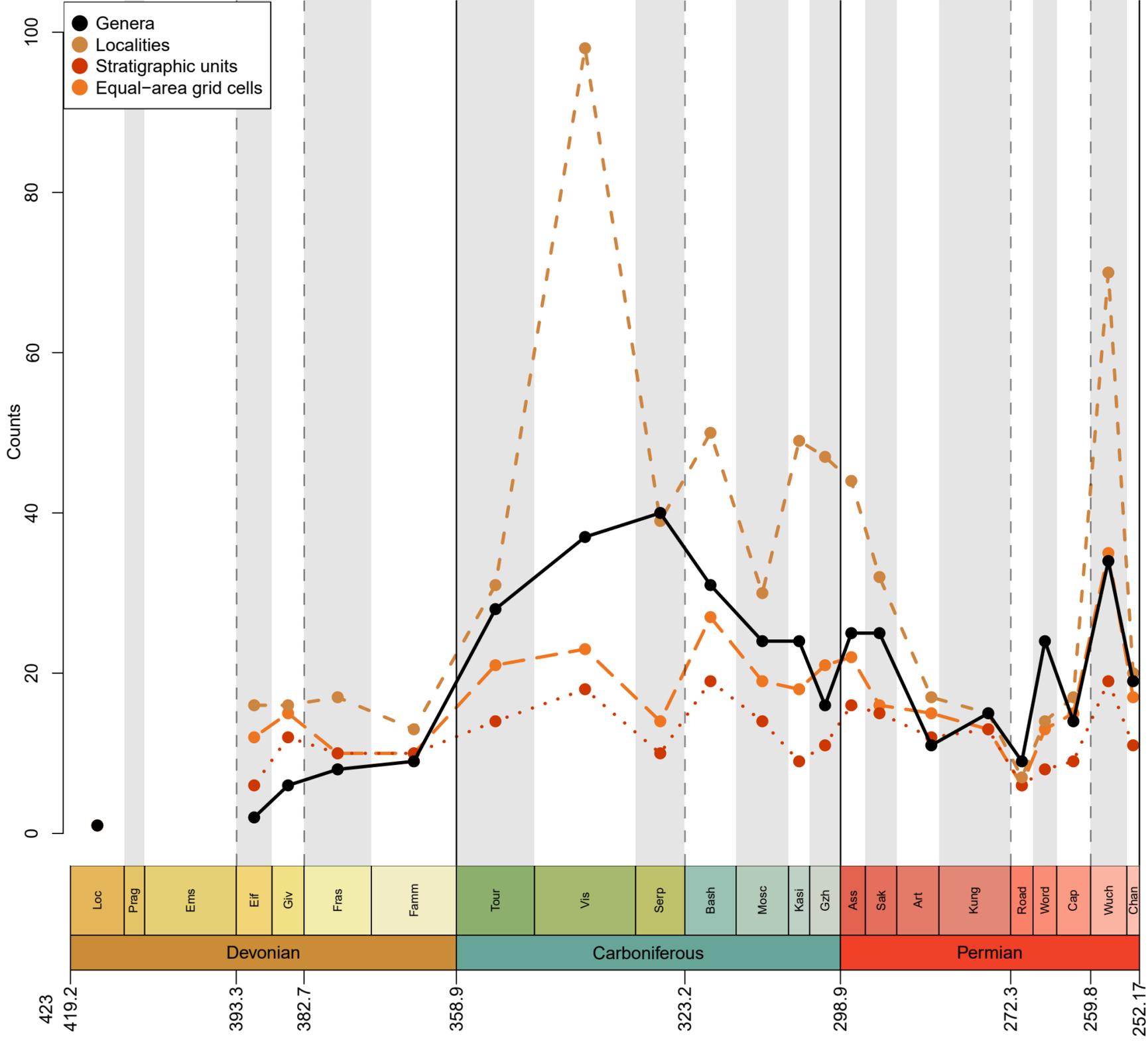
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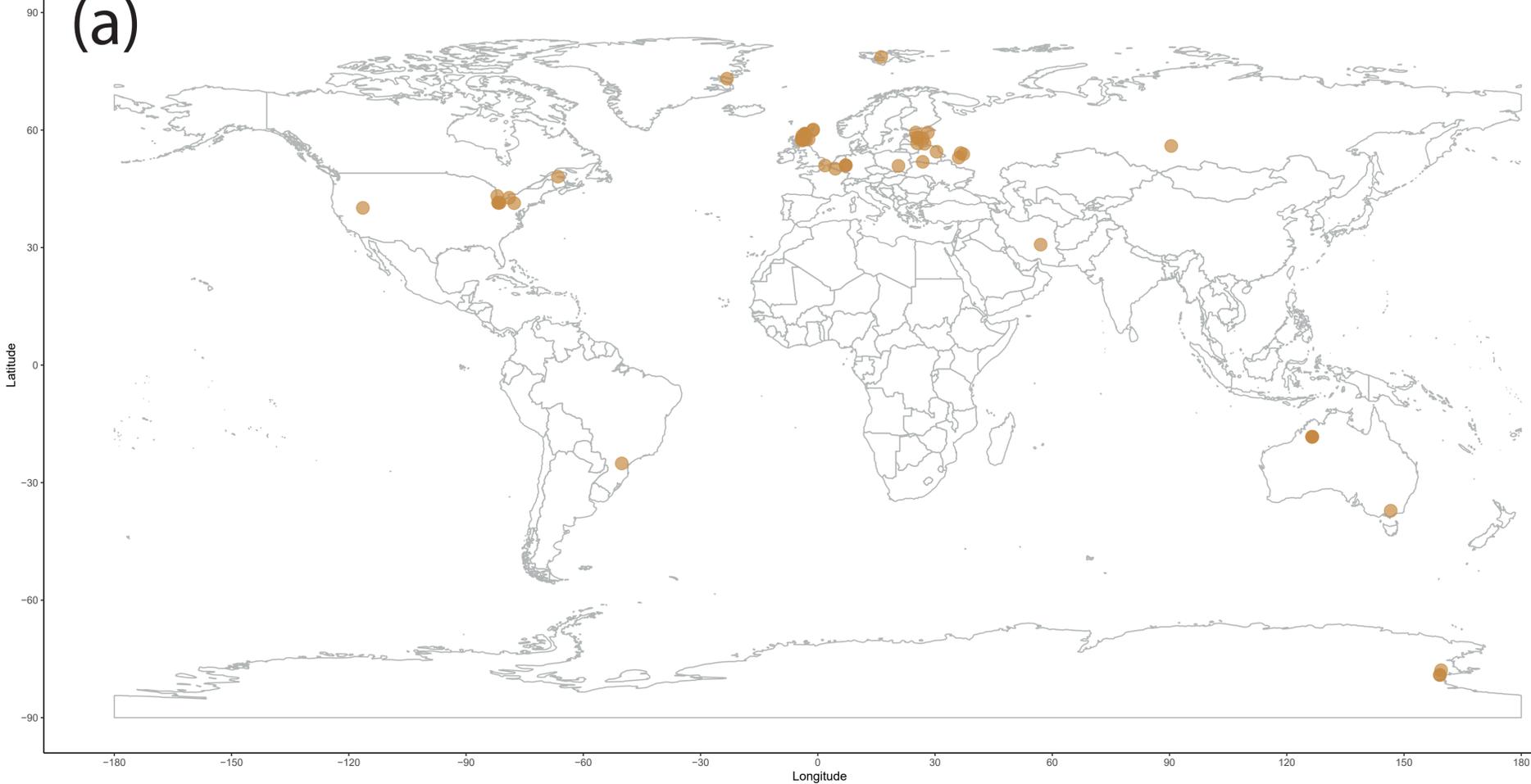
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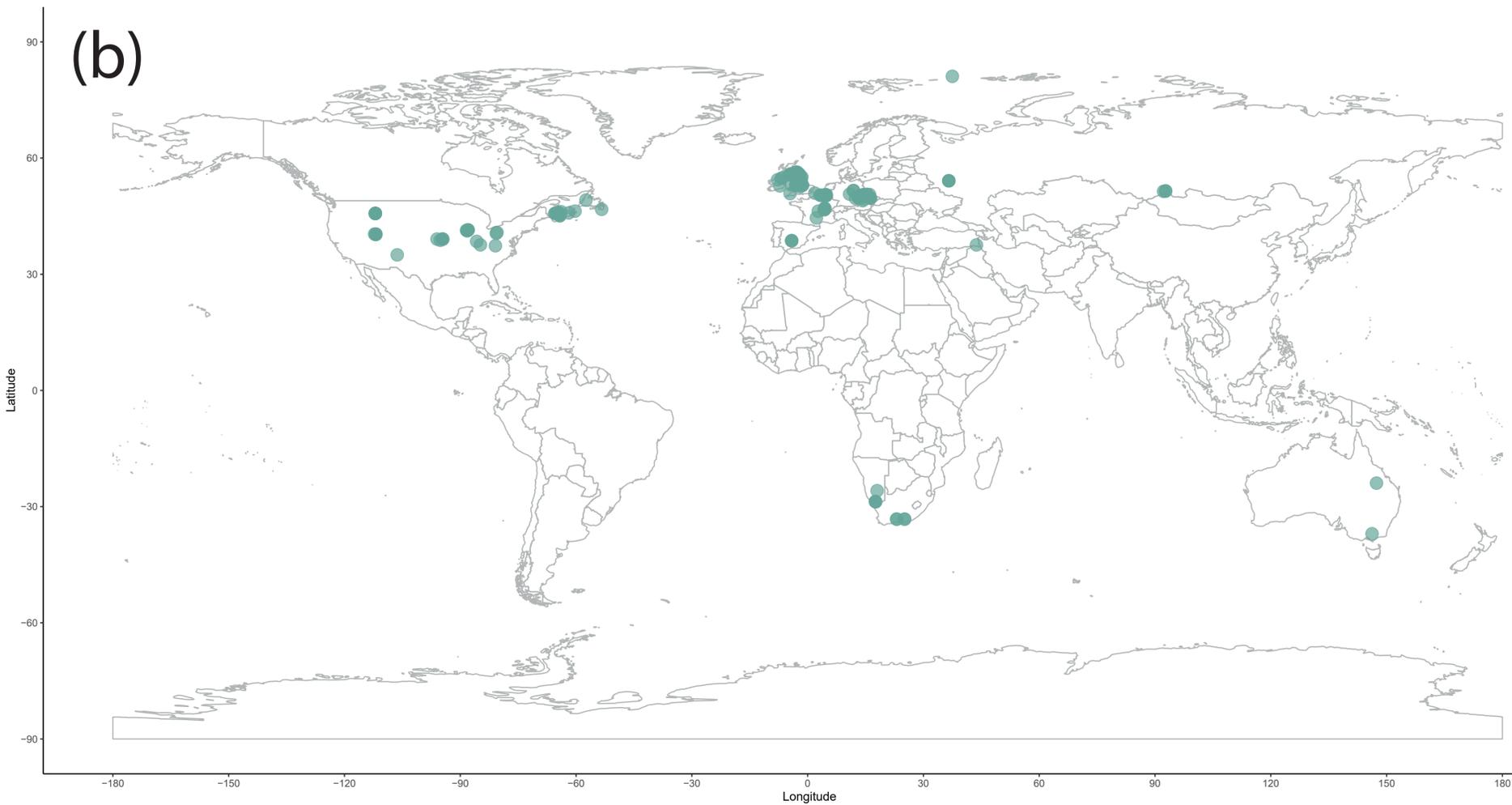
(a)**(b)**



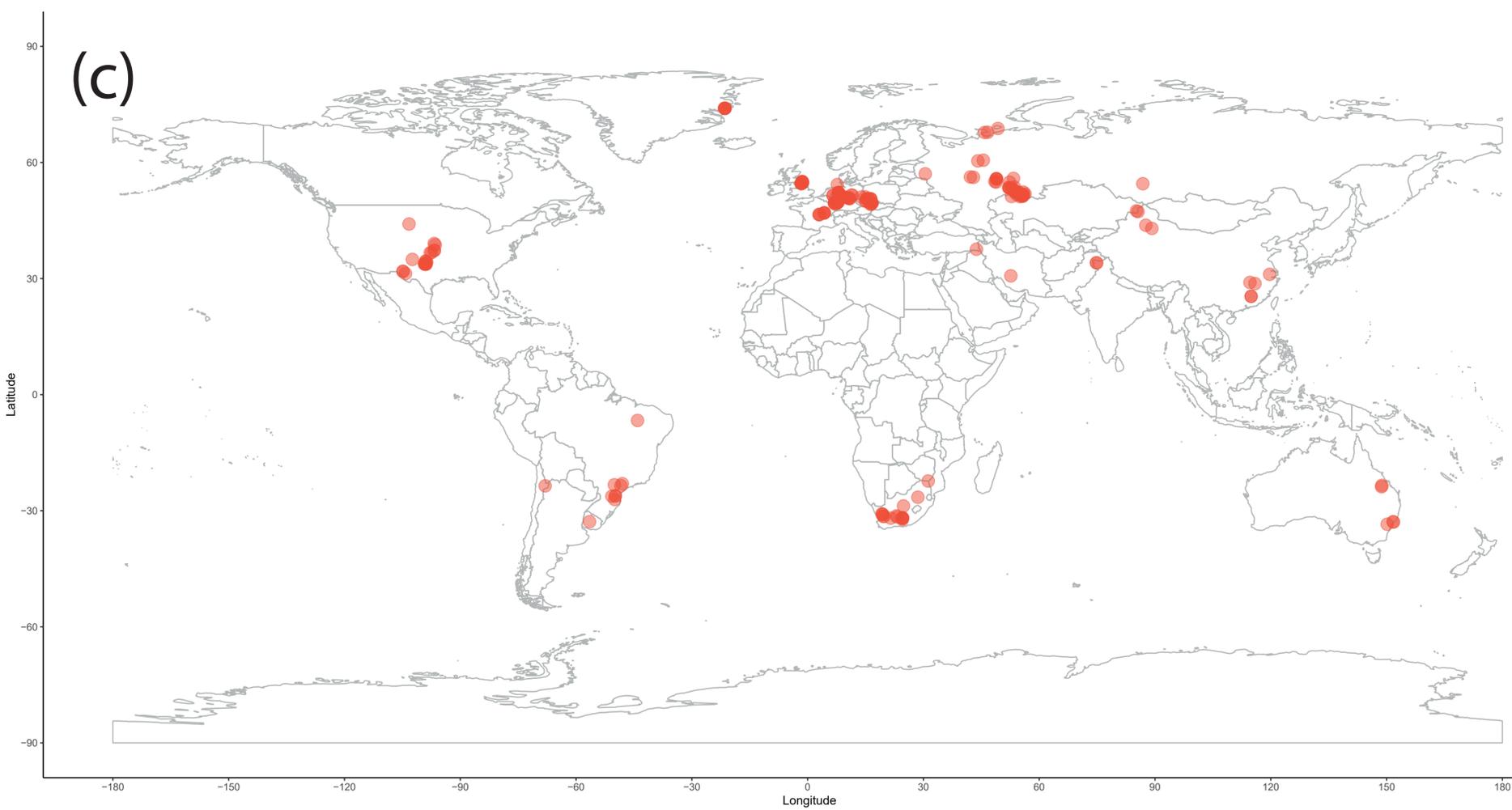
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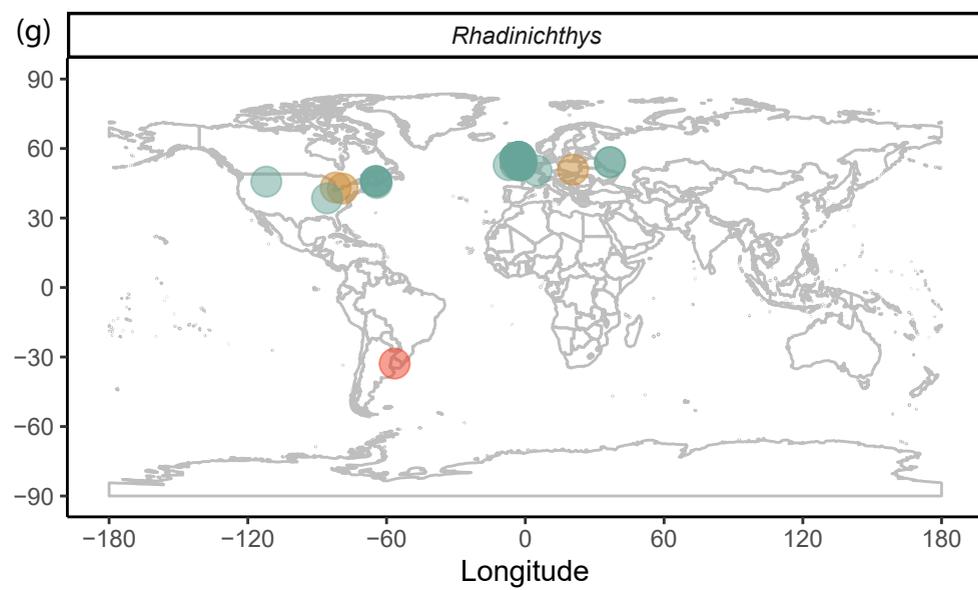
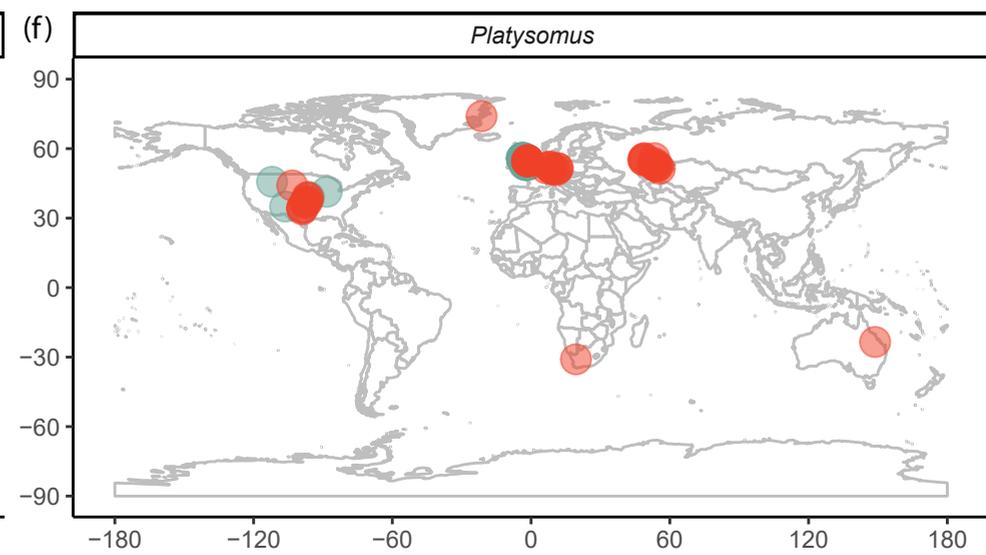
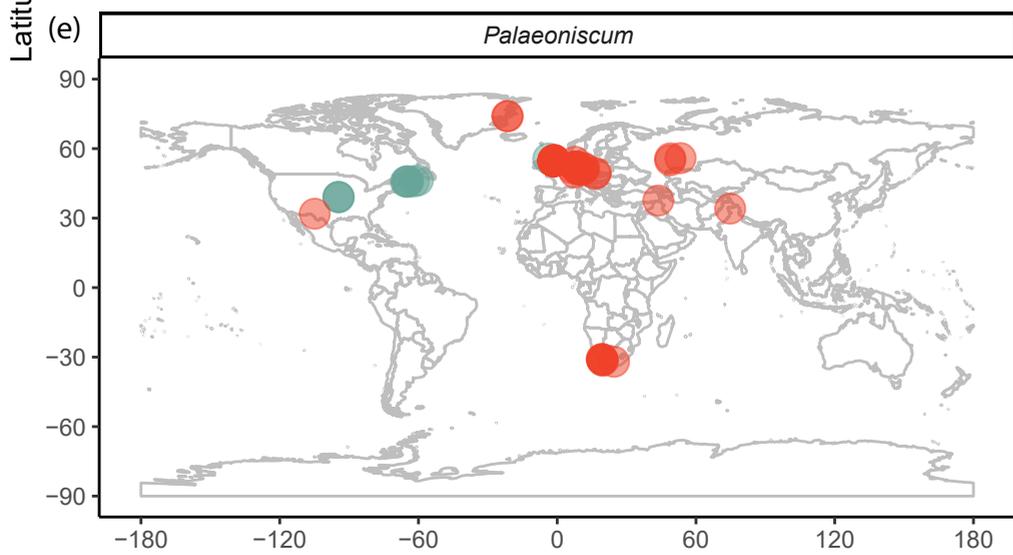
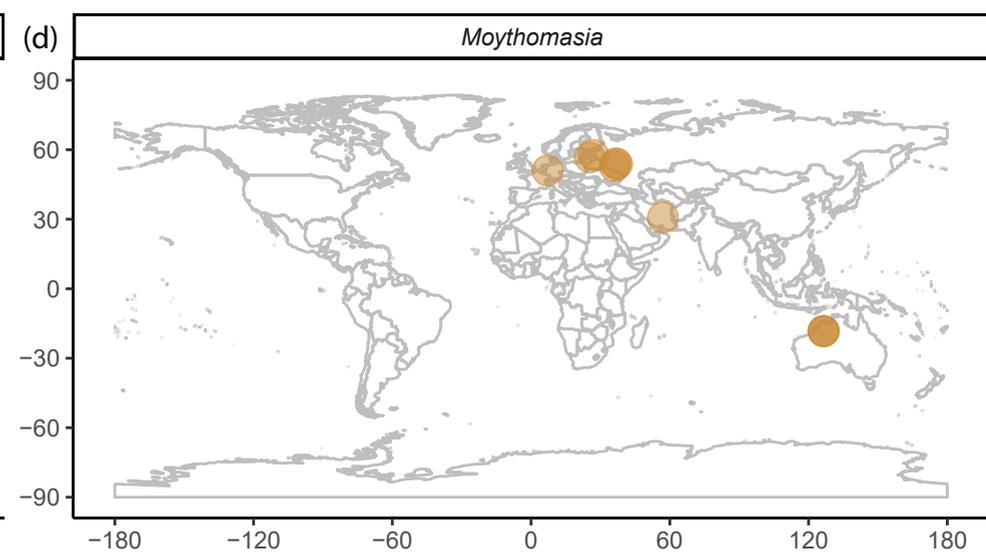
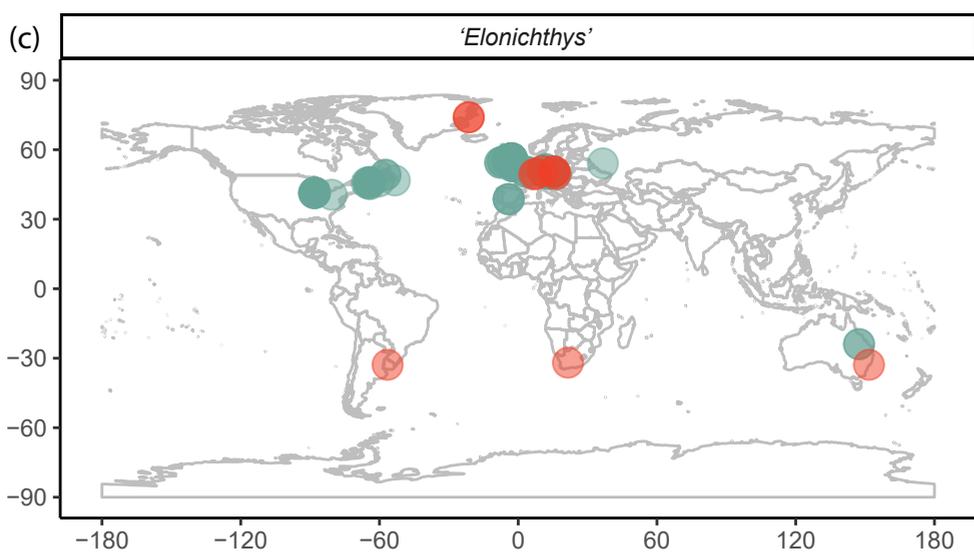
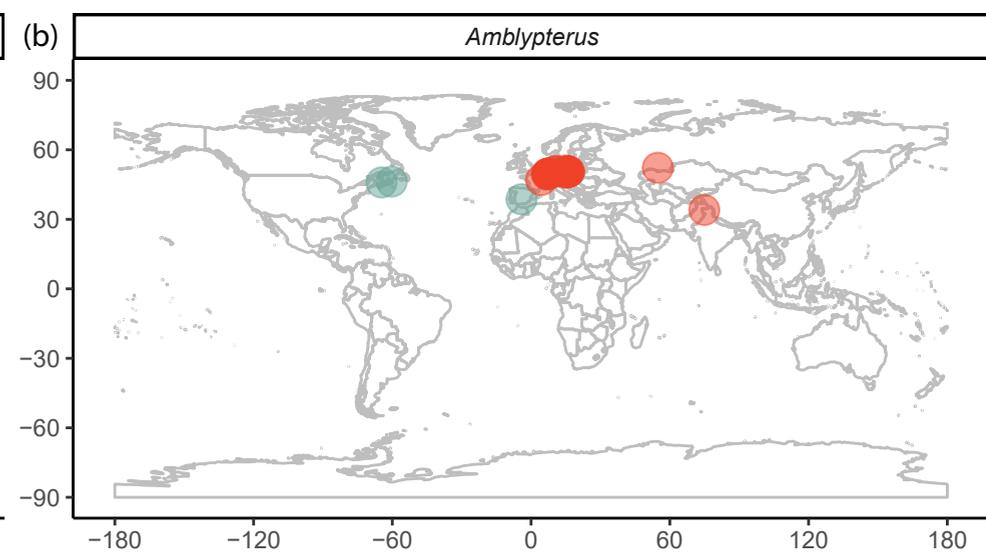
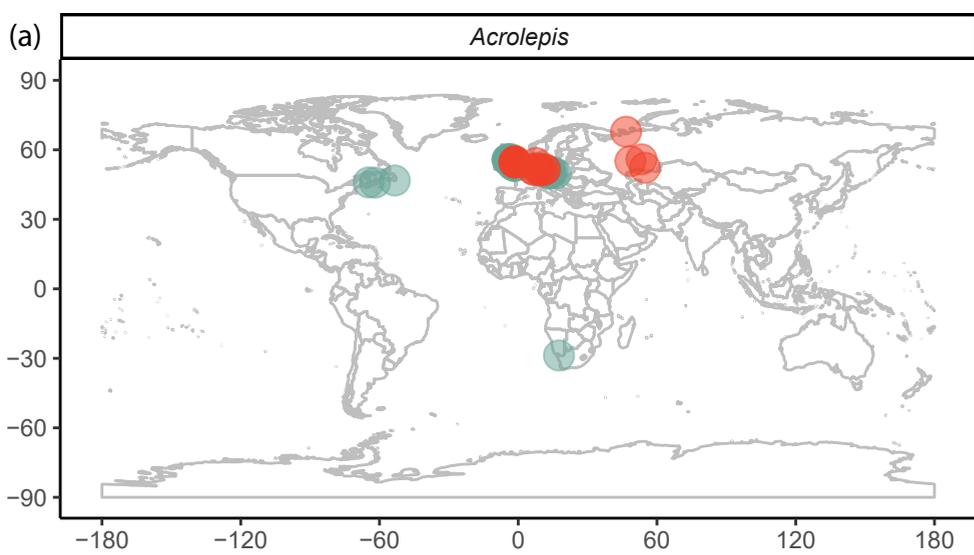


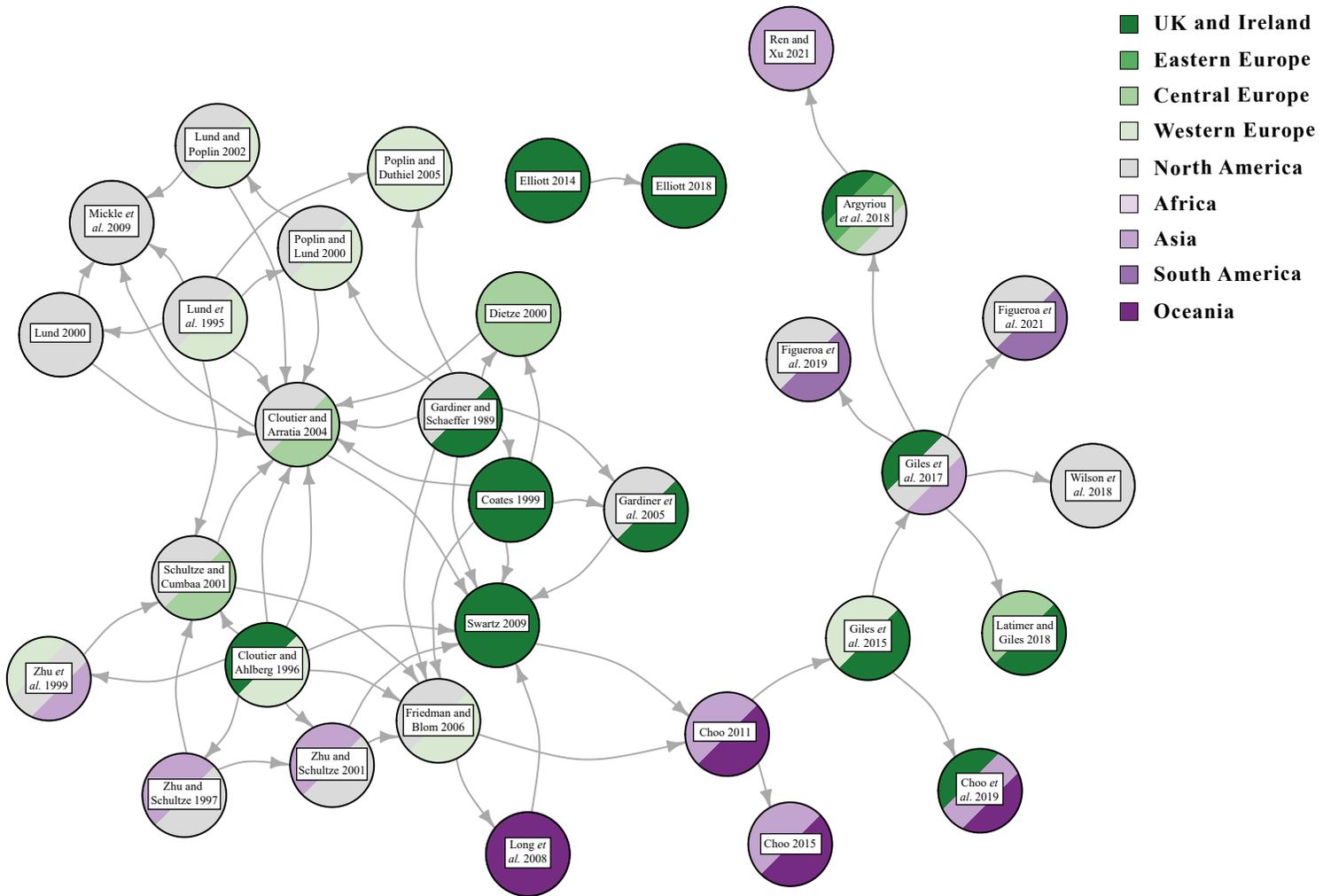
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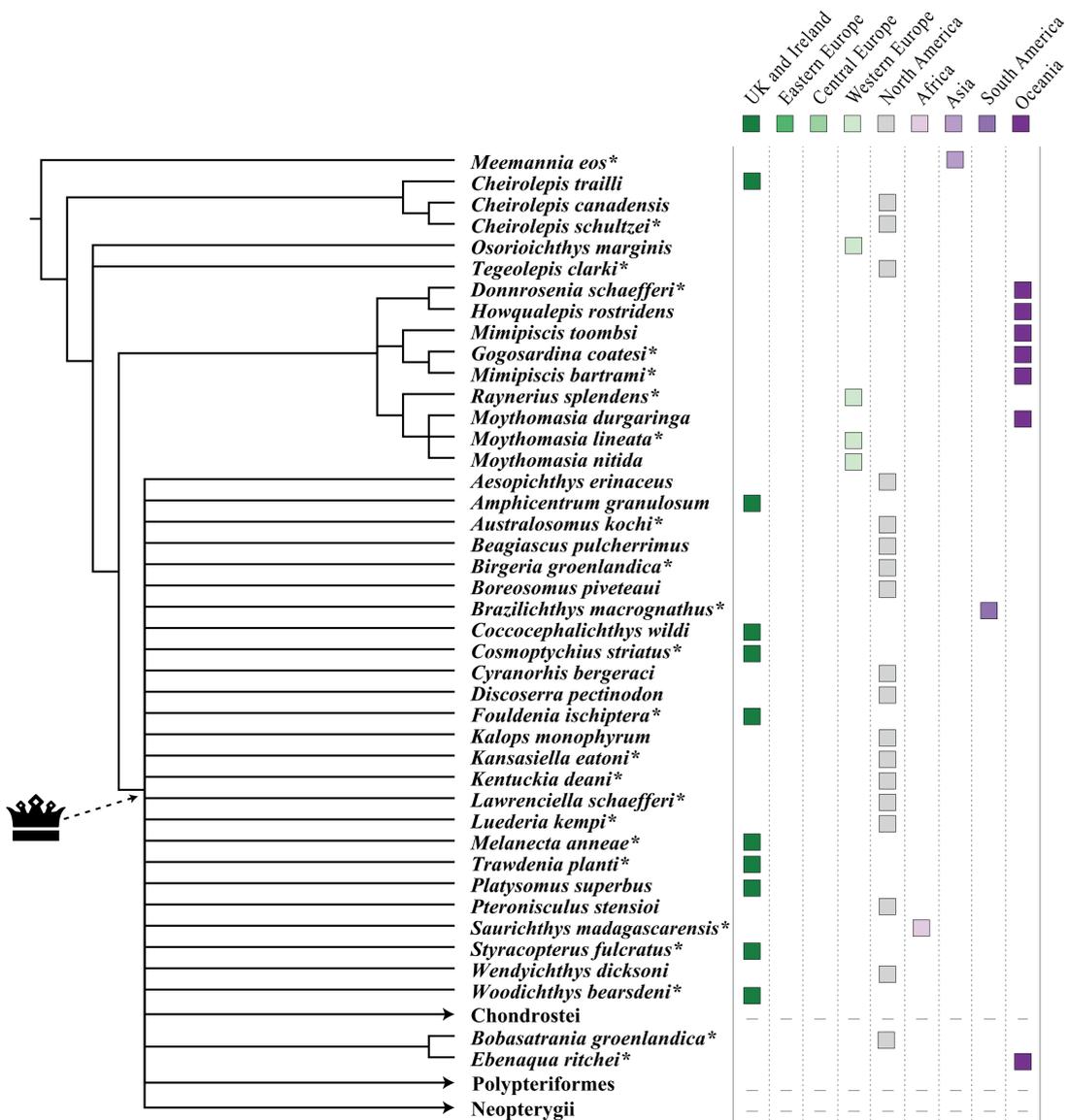
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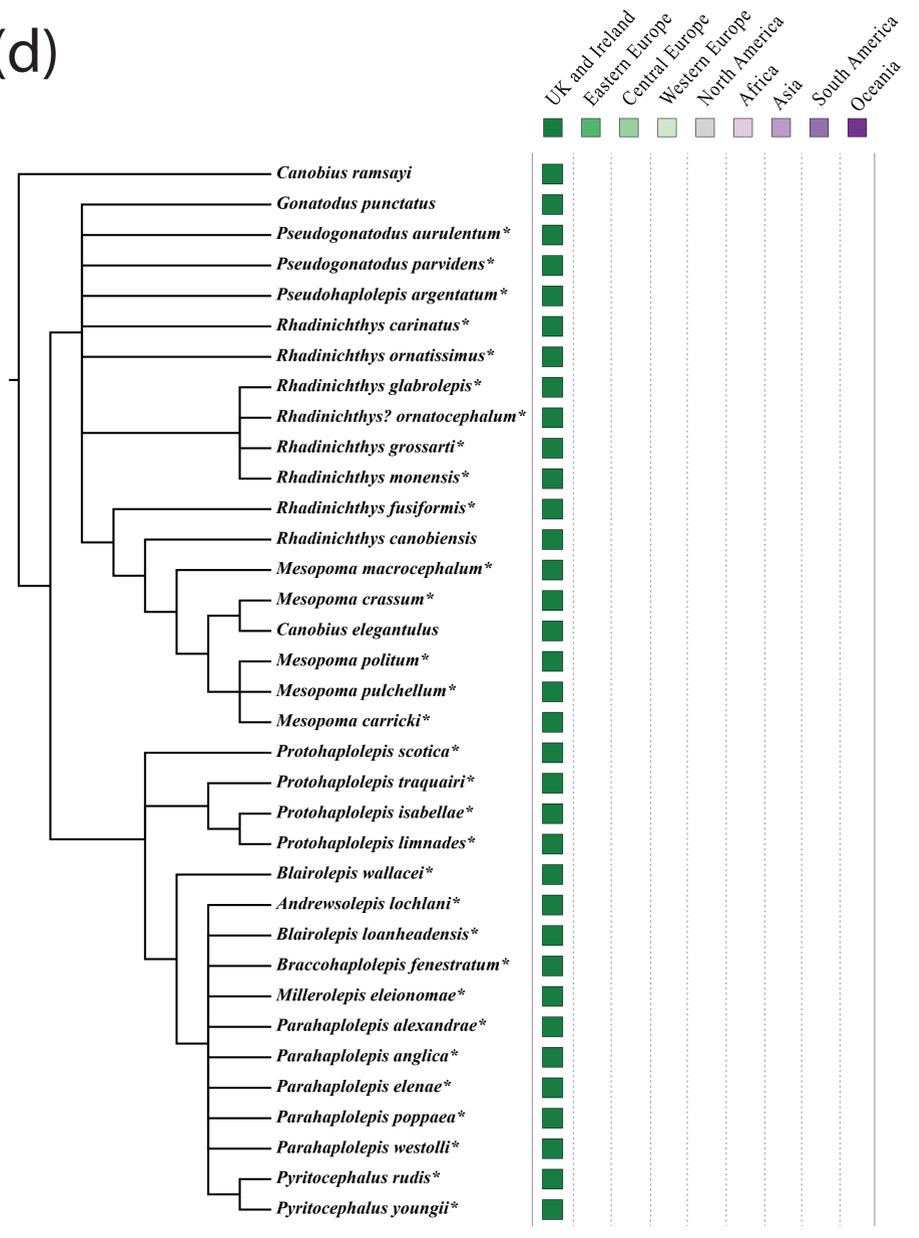
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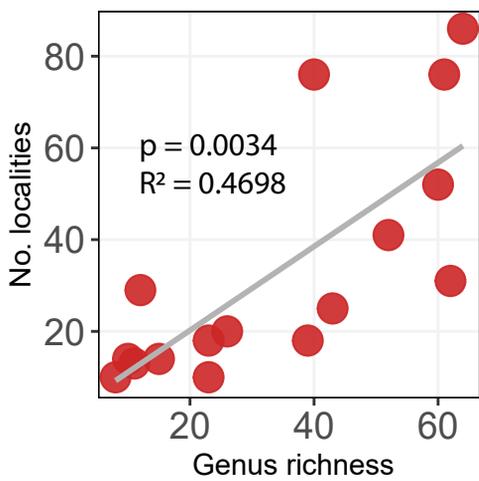
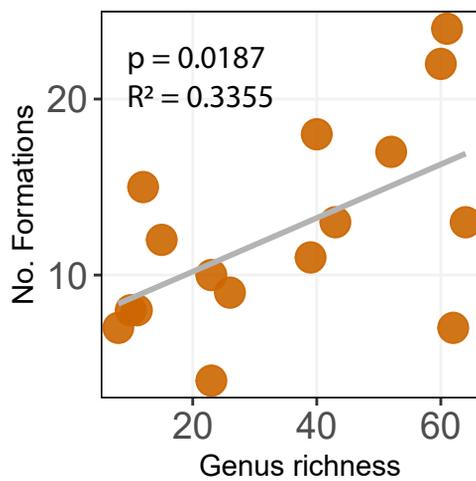
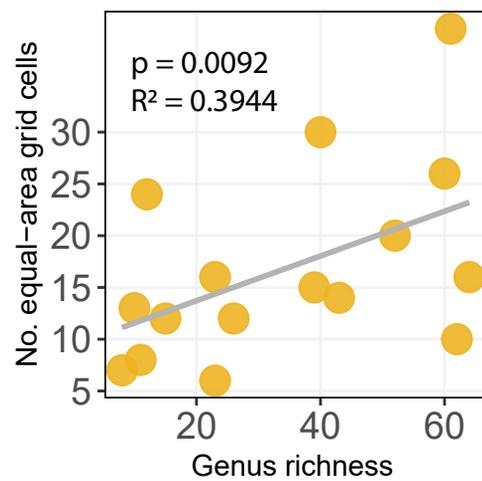
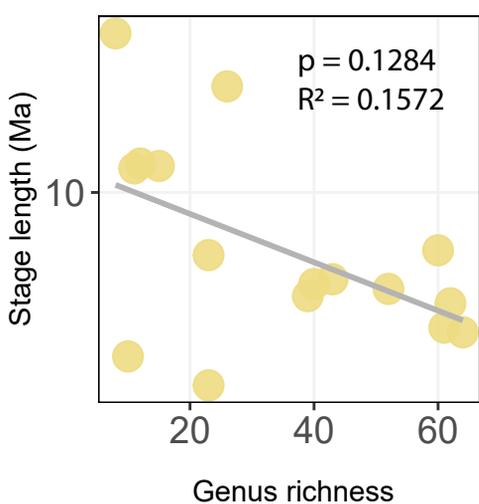
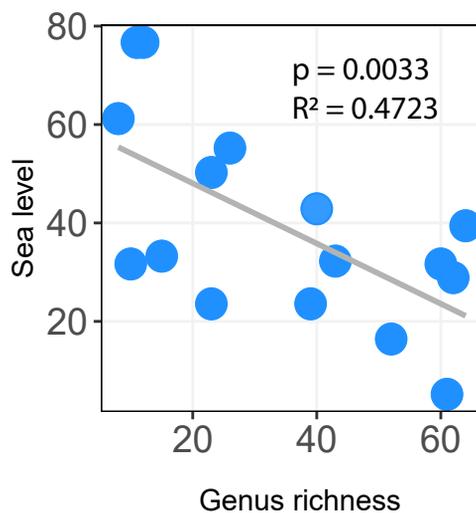
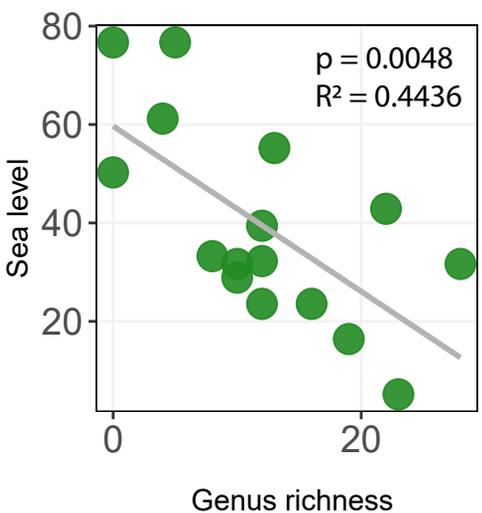
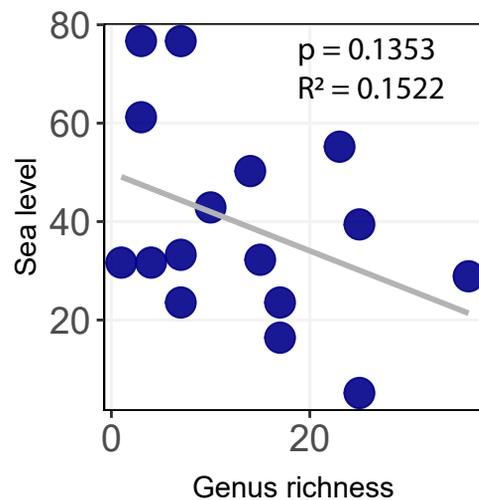
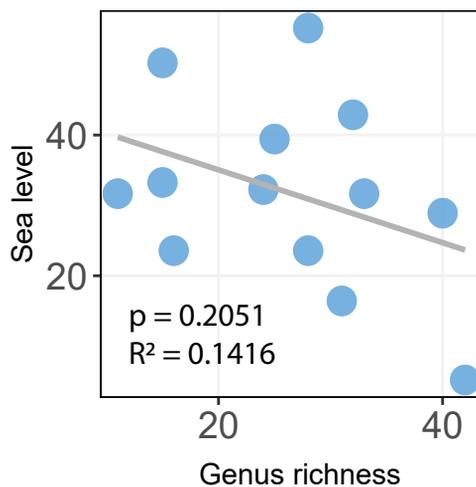


(c)



(d)



(a)**(b)****(c)****(d)****(e)****(f)****(g)****(h)****(i)**