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# Article Scum of the Earth: a hypothesis for prebiotic multi-compartmentalised environments

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- **Abstract:** Compartmentalisation by bioenergetic membranes is a universal feature of life. The 1 eventual compartmentalisation of prebiotic systems is therefore often argued to comprise a key 2 step during the origin of life. Compartments may have been active participants in prebiotic 3 chemistry, concentrating and spatially organising key reactants. However, most prebiotically plausible compartments are leaky or unstable, limiting their utility. Here, we develop a new 5 hypothesis for an origin of life environment, that capitalises upon, and mitigates the limitations of, prebiotic compartments: multi-compartmentalised layers in the near surface environment a 'scum'. Scum-type environments benefit from many of the same ensemble-based advantages 8 as microbial biofilms. In particular, scum layers mediate diffusion with the wider enviornment, a favouring preservation and sharing of early informational molecules, along with the selective 10
- concentration of compatible prebiotic compounds. Biofilms are among the earliest traces imprinted
- <sup>12</sup> by life in the rock record: we contend that prebiotic equivalents of these environments deserve future
- 13 experimental investigation.
- 14 Keywords: Prebiotic chemistry; early Earth; origin of life

## 15 1. Introduction

Bulk geochemical environments have several deleterious properties as possible hosts for chemistry 16 that may have given rise to life. Such environments are largely dilute, water-rich, and overly complex 17 - all characteristics that can limit the efficacy of prebiotic reaction pathways. Take the so-called water 18 paradox: the contrast of water as the universal solvent for life with its thermodynamic inhibition of 19 similarly universal condensation reactions involved in e.g., nucleic acid formation [1]. Condensation 20 reactions may be thermodynamically favoured by high concentrations of reactants and a low activity 21 of water. However, high reactant concentrations are often challenging to obtain in dilute bulk solution. 22 This concentration problem is compounded in multi-step reaction paths, whereby a series of linked 23 reversible reactions will produce vanishing small amounts of a desired end-product at equilibrium [2]. 24 Even assuming wholly high yielding, thermodynamically favourable, irreversible reactions, activation 25 energy barriers to forward reaction may be prohibitive in dilute solution. 26 Extant life elegantly overcomes the water paradox, concentration problem, and kinetic barriers by harnessing enzyme-driven reactions to sustain electrochemical gradients across membranes. The 28 cell membrane is built around an amphiphile bilayer that regulates diffusion, with inward facing 29

- <sup>30</sup> hydrophobic groups and outward facing hydrophylic groups. This fundamental relationship between
- spatial structure, energy flow, and chemical behaviour in extant life draws attention to the possible
   role of structured environments in fostering prebiotic chemistry, which may be more analogous to
- extant cells than dilute bulk environments, e.g., mineral/rock pores, microdroplets, amphiphile-based
- vesicles, and so on [3–6]. Here, we propose a novel end-member prebiotic scenario of this kind:

near-surface multi-compartmentalised layers of amphiphile-rich material, which we term the scum
 hypothesis.

## 37 2. The scum hypothesis

The key distinction of our hypothesis from previous protocell-centric scenarios for prebiotic 38 chemistry is environmental in nature. The prebiotic utility of electrochemical gradients across, and 39 low water activity  $(a_w)$  conditions in, free floating proto-cells and amphiphile-rich highly evaporated 40 solutions is long recognised [5,7–12]. Vesicles in particular are known to be valuable for prebiotic 41 chemistry: binding specific reactants and products, initiating chemically productive concentration 42 gradients with respect to the wider environment, and actively participating in key reactions [5,7,10]. 43 However, when isolated, most prebiotically plausible vesicles are leaky, struggling to continuously 44 maintain concentration/free energy gradients sufficient to drive prebiotic chemistry [7]. Essentially, the rate of productive reactions driven by the protocellular environment is outstripped by diffusive 46 and reactive sinks related to the bulk environment. 47

We suggest that numerous individual compartments may act together to mitigate such deleterious loss of products to the wider environment, thereby aiding prebiotic chemistry. We focus on multi-compartmentalised near surface environments, scums. Here, an ensemble of leaky compartments achieves the chemical efficacy of individual more functional compartments (with some means of active chemical transport to counter diffusion). We suggest that scum layers may mediate molecular diffusion and water activity to help overcome the concentration problem, arithmetic demon, water paradox, and kinetic barriers to prebiotic chemistry.

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#### Prebiotic plausibility of scum layers

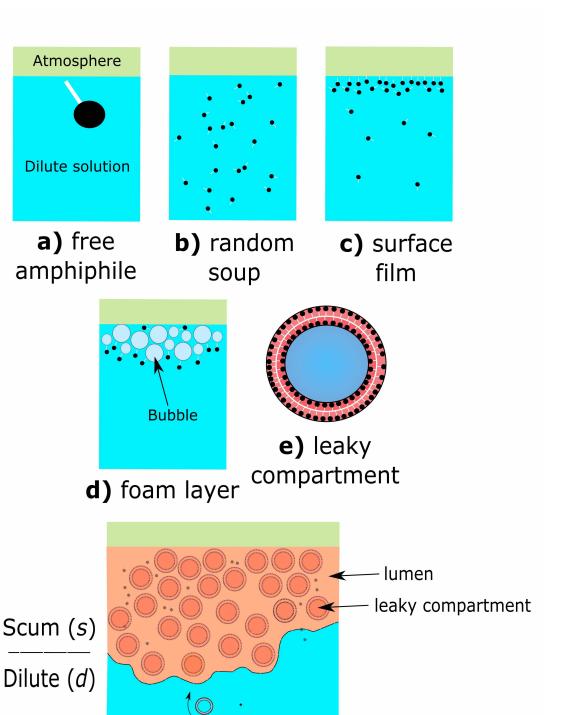
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Many near-surface environments on Earth, including a large fraction of all lakes and oceans, are 58 characterised by a surface microlayer of distinct physical chemistry and composition to an underlying 59 bulk solution [13]. Amphiphiles may preferentially locate in freshwater and sea surface microlayer 60 due to a lower density of oil solutions compared to bulk water. Elevated surface tension at the 61 air-water interface may also energetically favours amphiphile partitioning into the surface microlayer 62 environment [14–16] (Figure 1a). Insolubility and surface-charge adherence can also cause organics to 63 aggregate in the surface microlayer [17]. At high concentrations, these organics can grow into a stable 64 film (Figure 1b-c). However, such organic-rich surface films remain apparently unstructured [17] 65 Stable aggregates of buoyant organics may be further promoted by the arrival of organic-laden bubbles 66 [17]. Surface foam layers may develop if the air-water interfaces of arriving bubbles are stabilised 67 by surfactant molecules (Figure 1d). Free-floating amphiphile-based structures may form in dilute 68 solutions at sufficient concentrations, forming e.g., vesicles [14,18] (Figure 1e). 69 Finally, scum layers, the focus of this work, are insoluble organic-rich environments of macroscopic 70 thickness at the water surface (Figure 1f) [19]. Here, we specifically focus on the prebiotic potential of 71 multi-compartmentalised scum layers, consisting predominantly of aggregated vesicles (Figure 1e-f). 72

<sup>73</sup> Vesicles are not the only choice for multi-compartments, but are a plausible candidate. We hypothesise
<sup>74</sup> that multi-compartmentalised scum layers may form either by re-organisation of a film or foam into

<sup>75</sup> discrete compartments, perhaps in response to changes in bulk composition and/or environmental
 <sup>76</sup> conditions, e.g., wet-dry cycles, or by the surface accumulation of pre-organised structures, e.g.,

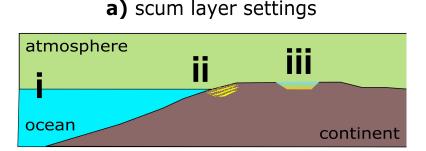
77 buoyant vesicles.



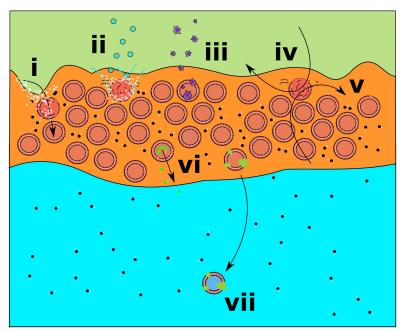
f) scum layer

**Figure 1.** Possible arrangements of simple amphiphiles in a dilute pond. a) Free amphiphile molecule. Black circle represents hydrophillic head, and the white line represents a hydrophobic tail. b) Zoomed out view showing randomly oriented amphiphile molecules in an aqueous environment. c) Partitioning of amphiphiles into the near-surface environment, forming a single or multi-layered surface film. d) Foam layer, where amphiphiles are concentrated along complex air-water interfaces created by gas bubbles. e) Generalised amphiphile-based prebiotic compartment, e.g., vesicle, consisting of an amphiphilic membrane bilayer. f) Scum layer composed of multiple prebiotic compartments, and an interstial lumen. Thick scum layers may differ noticeable from the wider dilute environment in terms of their overall composition. 78

- <sup>79</sup> these mechanisms. Abiotic amphiphile sources include exogenous fatty acids [20] as well as in-situ
- <sup>80</sup> synthesis e.g., HCN-centric activation chemistry leading to lipids [21]. Oceans would have largely
- diluted these sources. However, sea foams do form under agitating conditions in the modern ocean,
   (speculatively) offering one possible substrate that could have accumulated organics which gone on to
- (speculatively) offering one possible substrate that could have accumulated organics which gone on to
   host multi-compartments (Figure 2a-i). Evaporating saline solutions in tidal zones also represent an
- <sup>83</sup> opportunity to concentrate amphiphiles (Figure 2a-ii). Only a specific subset of amphiphiles have been
- demonstrated to self-assemble in saline conditions [22]. These considerations restrict, but do not rule
- <sup>86</sup> out, the possibility for multi-compartmentalised near-surface scum layers in marine settings.
- A body of theoretical, experimental, and field observations suggest that subaerial restricted basins
- could have accumulated high amphiphile concentrations, which then self-assembled into prebiotic
- <sup>89</sup> compartments (Figure 2a-iii) [8,23–26]. Accumulation could have occurred directly at the water surface,
- <sup>90</sup> owing to the mechanisms described earlier in this paper, or across evaporative cycles [8,25,27]. This
- <sup>91</sup> latter mechanism has been observed to produce budding rafts of vesicles [25] perhaps the closest
- experimentally observed structure to the scum layer that we describe.



**b)** scum layer processes



**Figure 2.** a) scum layer settings — i) open ocean, ii) tidal, iii) restricted basins. b) scum layer processes — i) waves, ii) precipitation, iii) cosmic dust settling, iv) air currents, v) water currents, vi) selective molecular diffusion, vii) mineral precipitation and compartment settling. Disruptive processes are shown intersecting open compartments (red circles, with escaping amphiphiles).

Although experimentation and modelling will be needed to test these possibilities, the formation
 of scum layers on prebiotic Earth does appear plausible in several environmental settings. Indeed,
 biologically active multi-compartmentalised scum layers, or biofilms, have been abundant on Earth
 throughout its history [28]. Such biofilms derive competitive advantages from their ensemble structure
 [29] — many of which point towards prebiotic utility for prebiotic analogue structures.

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Prebiotic utility of scum layers

Longevity: The scum hypothesis posits that multi-compartmentalised and buoyant organic-rich 101 environments may have been stronger candidates than free floating individuals for driving certain 102 reaction pathways in prebiotic chemistry. Much like a modern biofilm, built from constituent cells, a 103 scum layer would have a longer lifespan in aggregate than that of its compartments — and therefore 104 much longer than that of free floating individuals [29]. Ensemble structures will also be more robust 105 to environmental perturbations than individual compartments [29]. Long-lived scum layers would 106 therefore reduce the chance of destructively dispersing prebiotic molecules into the wider dilute 107 environment 108

Conservation of genetic material: Conservation of functional/informational polymers would 110 plausible occur, owing to slower overall diffusion between scum multi-compartments and their bulk 111 environment, than would be observed for isolated compartments (see section 3 for our quantitative 112 treatment of this important point). By analogy to the behaviour observed in biofilms, compatible 113 molecules released from a collapsed compartment would have their diffusion slowed by, or even 114 be incorporated into, surrounding compartments [29]. Indeed, biofilms are recognised as ideal 115 environments for the sharing of genetic material [29]. Scum layers would therefore similarly have 116 acted to preserve and disseminate informational polymers [30]. 117

Concentration of prebiotic reactants: Modern surface scum layers display steep compositional 119 gradients with the underlying bulk solution, evidencing their ability to isolate molecules from 120 dilution. Many surface scum layers on lakes are enriched in particle-bound phosphorus by up to 121 100-fold versus dilute solution — a process that would appear to be abiotic in nature, related to 122 interfacial binding of particulates [17]. Such processes may be even more effective in high surface 123 area multi-compartmentalised scum layers. Given that high phosphorus-availability is so critical to 124 many proposed reaction pathways, yet thought to be challenging to obtain on prebiotic Earth [31–33], 125 the enrichment of phosphorus in near-surface scum layers is of potentially great prebiotic relevance. 126 This process could logically extend to the general capture of aerosols, volcanic ash, and fine-grained exogenous materials (e.g., cosmic dust, spherules; Figure 2b-iii), which could then undergo leaching to 128 contribute essential elements for prebiotic chemistry, which are otherwise typically limiting, e.g., P, 129 transition metals, carbon, nitrogen, and sulfur [34]. 130

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Irradiation exposure and attenuation: Scum layers would have had access to the most intensely 132 ultraviolet(UV)-irradiated regions of an aqueous environment, which increasingly appears to be a 133 strict requirement for performing prebiotic chemistry on near-biological time-spans [35]. Conversely, 134 rapid UV-attenuation by organics in the scum layer could provide a steep irradiation gradient, i.e., 135 providing shielding for the products of ongoing prebiotic chemistry [35,36]. The duality of UV 136 exposure and shielding offered by a scum layer may be ideally suited to hosting continuous synthesis 137 138 pathways that require both UV activity and absence within several reaction steps [33]. Water itself will strongly attenuate UV irradiation intensity within several metres [37]. However, this spatial scale of 139 UV attenuation inherently requires a bulk environment. In contrast, by analogy to organic carbon 140 rich lakes, scum environments may provide shorter-range gradients in both concentration and UV 141 intensity [38]. Meanwhile, the wider environment can serve a different function, both supplying 142

a large reservoir of reactants and removing/diluting those wasteful side products of near-surface
 chemistry which are not strongly bound to the scum layer.

Opportunities for environmental cycling: A viable environmental setting for prebiotic chemistry 146 also requires a mechanism of selective cycling, i.e., the earliest stages of evolution by natural 147 selection, wherein individual compartments in the scum represent a form of progenote [39]. This 148 competition-based selection may occur in scum layers in a number of ways. Cycling may occur in 149 restricted basin or tidal scenarios during wet-dry cycles. Universally, scum layers would be prone to disruption during episodic agitation by waves (Figure 2b-i), precipitation (Figure 2b-ii), wind (Figure 151 2b-iv) or water currents (Figure 2bv). Length- and charge-dependent diffusion of molecules synthesised 152 in scum compartments into the bulk environment offers a mechanism to select for both certain product 153 molecules and certain types of compartment (Figure 2b-vi). Finally, mineralisation of compartments 154 could cause settling and burial of compartments (Figure 2b-vii). The alternative environment of 155 quiescent ponds would lack many, but not all, of these opportunities for selection. Meanwhile, scum 156 layers in open marine environments could experience any and all forms of disruptive cycling in Figure 157 2b. However, it is questionable whether these interferences would be too severe, with intense winds 158 and large waves instead entirely disrupting scum layers, or indeed prohibiting their initial stabilisation. 159 160

**Caveats:** Standing in the way of these apparent advantages are some important caveats. Modern 16: scum layers are apparently largely unstructured (that is, beyond component films, foams, and biological 162 structures) [17,40]. It therefore remains an open question as to whether prebiotic equivalents would be 163 truly able to self-organise beyond the level of water-air interface structures, and whether near-surface 164 enrichment of e.g., phosphorus can be entirely divorced from ongoing biological activity. The exact 165 degree of UV attenuation by plausible scum layer is also presently unknown, as is their specific ability 166 to concentrate reactants and hold onto products in comparison to isolated vesicles. Overall, there is insufficient evidence at present for positing the scum hypothesis as a holistic scenario for the emergence 168 of life. However, the argument for a potentially constructive role for scum layers in prebiotic chemistry 169 already appears reasonable. In advance of experimental constraints on the detailed prebiotic efficacy 170 of multi-compartmentalised scum layers, we provide-first order calculations to suggest their prebiotic 17: utility by constructing a kinetic model of chemistry taking place in a scum layer. 172

#### **3.** A simple model of scum layer chemistry

We explore whether scum-type environments have any inherent utility in comparison to isolated compartments for driving prebiotically relevant reactions. Condensation reactions provide a useful case study for these purposes, being ubiquitous in prebiotic chemistry [41]. Take, for example, a generic condensation reaction,

$$COH + HX = CX + H_2O.$$
 (1)

The equilibrium position of this condensation reaction is driven to the right by removal of water, where further forward reaction must occur in order to re-attain equilibrium. Several mechanisms may reduce water activity in naturally occurring prebiotic environments. Molecular crowding in a water-poor solution can result in confining cavities of especially low  $a_w$ , where molecular desolvation can energetically favour condensation [42]. Secondary effects, such as duplex pairing, may emerge to favour condensation reactions involving nucleotides [43–45]. These effects are all observed for abiotic nucleotide condensation reactions within a range of water activities from 1-0.01 — with confining cavities having much lower water activities in otherwise more dilute bulk solutions [42].

We have previously defined multi-compartmentalised scum layers as buoyant accumulations of structured organic molecules, e.g., vesicles, protocells. In addition to further opportunities for the formation of confining spaces, such structured amphiphiles have additional promising properties for driving prebiotic condensation chemistry [46–52]. Such structures have potential for actively participating in prebiotic chemistry, or indeed as model protocells, and have already been studied as
dispersed populations in this context [5,7].

It is not the intention of this article to prove that scum-type media are specifically more advantageous for driving prebiotic condensation reactions than free-floating prebiotic compartments. However, there are indications that film, foam, and scum layers all have some thermodynamic potential for hosting (at least) prebiotic condensation reactions. With this basic level of prebiotic utility justified, we next explore whether the macroscopic nature of a scum layer may be particularly advantageous for initiating and sustaining dynamic equilibrium — a defining attribute of living cells.

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#### Dynamic equilibrium: the drive to life

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Cells must protect biomolecules (e.g., DNA) against degradation by hydrolysis. This protection is 201 ultimately achieved by cycling between the cellular environment and dehydrating microenvironments 202 formed during enzyme-substrate binding [53]. Such continuous cycling results in cell-wide dynamic 203 equilibrium, i.e., steady-state, but not thermochemical equilibrium with the wider environment [54]. 204 A key example of dynamic equilibrium is the concentration gradient that universally spans cell 205 membranes. Fluxes between hydrolysis and dehydration in the cell are balanced overall, yet free 206 energy is made available to exploit on local scales via microenvironmental shifts [55]. Without the 207 intervention of enzymes and the bioenergetic membrane, a spatially and temporally homogeneous 208 thermochemical equilibrium would be achieved — corresponding to the cessation of metabolism and 200 other key regulatory activities. A prebiotic system that is incapable of sustaining dynamic equilibria 210 therefore faces a major chemical distinction compared with what we know as life. 211

Total chemical energy available to do work ( $G_{scum}$ , J) in the system can be treated as the sum of the products of chemical potentials ( $\mu$ , J mol<sup>-1</sup>), stoichiometric coefficients (d), and total moles (n) of all species (i) undergoing reaction,

$$G_{scum} = \sum_{i} \mu_{i} d_{i} n_{i}$$
<sup>(2)</sup>

In systems that reach thermochemical equilibrium, equation 2 will sum to zero. However, other systems may drive balanced yet continuous fluxes of dehydrated and hydrolysed products into hydrolysing and dehydrating environments, respectively, resulting in non-zero values of  $G_{scum}$ , i.e., thermochemical disequilibrium. At a steady state, this scenario may also be referred to as a dynamic equilibrium.

Consider the case of a selectively leaky prebiotic compartment, which can spontaneously drive 220 forward condensation reaction, owing to e.g., a low internal water activity, and which is also 221 undergoing diffusion-driven exchange with its surroundings. Thermochemical equilibrium in the 222 compartment can only be achieved given either (1) that the compartment is a closed system, which 223 lacks diffusive exchange with dilute surroundings, or (2) the surroundings are non-dilute: product 224 can build up and diffuse back into the compartment at a rate such that an equilibrium position for the 225 reaction is achieved. As the compartment becomes selectively leaky, maintaining the ability to induce forward reaction but more rapidly losing any product generated, a dynamic equilibrium is achieved. 227 Compartments will approach a dilute limit once diffusion removes product as fast as it is generated 228 in. At this dilute limit, the compartment is furthest from being at local thermochemical equilibrium: 229 reactant and product concentrations will reflect those in the wider environment, and equation 2 will be 230 at a maximum. 231

A valuable prebiotic environment has the the ability, like life, to exist in between these two states: in a steady-state where thermochemical equilibrium with the wider environment is never achieved, yet a moderate-to-high product concentration is maintained in the compartment in the face of diffusion. This scenario might be most easily found in semi-permeable environments that host exchanges of material between water-poor and water-rich micro-environments, e.g., in putative 237

functional semi-permeable protocells, or potentially across multi-compartmentalised scum layers

<sup>238</sup> built from individually leaky vesicles. A prebiotic system that recalls extant life is therefore defined
<sup>239</sup> by a delicate balance of forward and reverse reaction rates and molecular diffusion, which can be
<sup>240</sup> quantified.

We construct a simple kinetic model of condensation chemistry and molecular diffusion taking 241 place in a scum layer (Figure 3). We solve for steady-state. We consider a wider environment (dilute, 242 d) and a second multi-compartmentalised environment of prebiotic interest (scum, s). The change in 243 concentration of products in environment s ( $\Delta$ [CX], mol s<sup>-1</sup>) must always be zero at steady state, and can be represented as the balance of product sources and sinks. Sources include forward reaction to 245 form product ( $f_f$ , mol s<sup>-1</sup>). Sinks include reverse reaction of product to form reactants ( $f_r$ , mol s<sup>-1</sup>). 246 Diffusion of product can occur either from scum to dilute environment, or vice versa, and may 247 therefore represent either a source or a sink depending on the direction of diffusion being considered 248  $f_{diff}$  (mol s<sup>-1</sup>). 249

$$\Delta[\mathrm{CX}]^s = 0 = f_f - f_r \pm f_{diff}.$$
(3)

Product source and sink fluxes can be written as the product of environmental volumes (V<sup>env</sup>, L) 250 with rates of reaction ( $r_{f/r}$ , mol s<sup>-1</sup> L<sup>-1</sup>) and diffusion ( $r_{diff}$ , mol s<sup>-1</sup> L<sup>-1</sup>). We assume that forward 251 reaction 1 can only proceed spontaneously in compartments ( $V_c^s$ ). Reverse reaction can take place 252 in both the compartments and interstitial lumen (Figure 3). A comprehensive treatment of scum 253 diffusion and reaction behaviour is beyond the scope of this article. In order to simplify our model, 254 we consider a selectively leaky scum where reactant concentrations are equal throughout scum and 255 the dilute environment, allowing us to prescribe final reactant concentrations. Diffusive exchange 256 fluxes will depend on the surface areas of contact between scum and dilute environment which, for 257 a fixed thickness of scum, scales with scum volume ( $V^s$ ). We can then explore how varying product 258 forward and reverse reaction and diffusion rates affect steady-state concentrations of product in scum 259 compartments, writing that 260

$$0 = r_f^s V_c^s - r_r^s V^s + V^s (r_{diff}^{d-s} - r_{diff}^{s-d}).$$
(4)

Even though there is no spontaneous forward reaction in environment *d*, there may be diffusion of product from environment *s* into environment *d*. Given that the dilute environment is of much greater volume than the scum layer, we assume that  $f_r^d >> f_{diff}^{s-d}$ , such that the concentration of product in in environment *d* is approximately zero at steady state. We can simplify our equation for product sources and sinks in the scum layer accordingly,

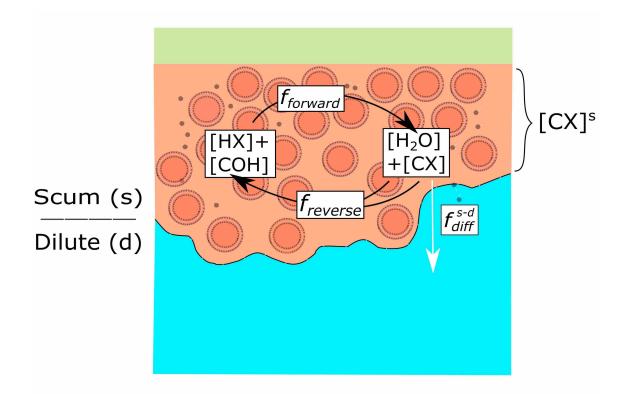
$$0 = r_f^s V_c^s - r_r^s V^s - f_{diff}^{s-d}.$$
 (5)

At equilibrium, the rate of product diffusion from environment *s* in our model scenario cannot be greater than the rate of forward reaction, i.e., every product molecule produced is balanced via diffusion of a product molecule to environment *d*. In this case we can express product diffusion as some scaled value of the forward reaction rate,

$$f_{diff}^{s-d} = f_f \ K_{diff}.$$
 (6)

The diffusion scaling constant  $K_{diff}$  can vary from 1 (a diffusion flux balancing forward reaction) to 0 (no diffusion from environment *s*). Finally, to obtain the forward and reverse reaction rates, for the general condensation reaction 1, we can write rate laws using model values for forward  $(k_f, L mol^{-1} s^{-1})$  and reverse  $(k_r, s^{-1})$  reaction rate constants,

$$r_f = k_f \text{ [COH] [HX]},\tag{7}$$



**Figure 3.** Schematic of fluxes affecting product concentrations in scum environment (s). Reverse reaction flux of product is the sum of the flux from reactions occurring in both compartments and interstitial fluid in the scum layer, and therefore varies as a function of total scum volume. Forward reaction only takes place in compartments.

$$r_r = k_r \left[ \text{CX} \right] a_w. \tag{8}$$

Substituting for unknowns, we can then rearrange equation 5 and solve for the steady-state concentration of product in the scum layer,

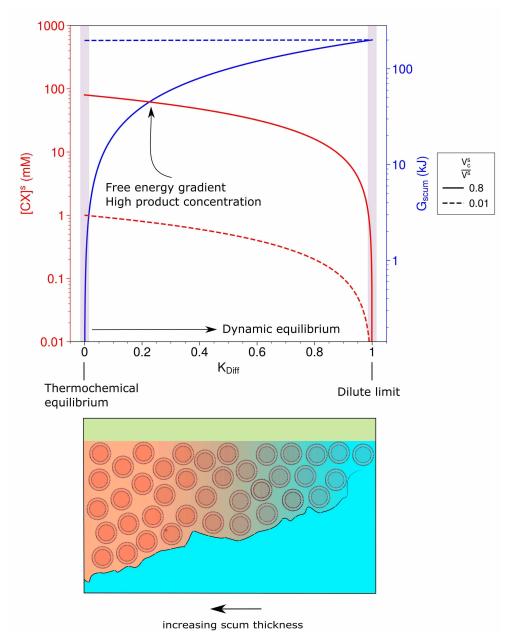
$$[CX] = \frac{k_f [COH] [HX] \frac{V_c^s}{V^s} (1 - K_{diff})}{k_r a_w}.$$
(9)

Using this information in conjunction with eq. 2, we can calculate available chemical energy in environment s — our measure of whether the equilibrium achieved is more dynamic or thermochemical in nature — as,

$$G_{scum} = \mu_{CX} V^{s} [CX] + \mu_{HX} V^{s} [HX] + \mu_{COH} V^{s} [COH] + \mu_{H_{2}O} V^{s} [H_{2}O].$$
(10)

We use favorable values for rate constants and values for free energies of reaction that characterise at least some condensation reactions in desolvating (low  $a_w$ ) conditions [56,57]. We assume  $k_f = 10 \text{ L} \text{ mol}^{-1} \text{ s}^{-1}$ ,  $k_r = 1 \text{ s}^{-1}$ ,  $\mu_{\text{COH}}$  and  $\mu_{\text{HX}} = 100 \text{ kJ mol}^{-1}$ ,  $\mu_{\text{CX}} = -2.5 \text{ kJ mol}^{-1}$ ,

We assume  $k_f = 10 \text{ L mol}^{-1} \text{ s}^{-1}$ ,  $k_r = 1 \text{ s}^{-1}$ ,  $\mu_{\text{COH}}$  and  $\mu_{\text{HX}} = 100 \text{ kJ mol}^{-1}$ ,  $\mu_{\text{CX}} = -2.5 \text{ kJ mol}^{-1}$ ,  $a_w = 0.1$  (assuming open system water loss, with water produced during condensation immediately lost to the environment), [COH] and [HX] = 1 mM, and volume = 1 L in environment *s*. We explore a range of scum layer multi-compartmentalisation densities, from  $\frac{V_c}{V^s} = 0.01$ , i.e., relatively compartment poor, with a high amount of interstitial fluid, to 0.8, i.e., almost entirely dominated by compartments in which forward reaction can spontaneously occur. As water is present on both sides of the generic condensation reaction being considered, water chemical potential is excluded from this calculation. Using these assumptions, we calculate  $G_{scum}$  and equilibrium condensation product concentration for a range of diffusion rates between dilute solution (environment *d*) and a scum layer (environment *s*). The results of this calculation are shown in Figure 4. Boundary conditions of thermochemical equilibrium and the dilute limit are explicitly indicated.



**Figure 4.** Effect of increasing diffusion scaling constant and reactive compartment volume on equilibrium concentration of product and available energy in environment *s*. Origin scenarios are mapped onto this scale, with both scum layers and functional semi-permeable protocells shown to bridge the gap between fully thermochemical and dynamic equilibrium.

If diffusion is rapid enough to remove the majority of product ( $K_{Diff}$  greater than 0.5), the dynamic equilibrium approached is one where the free energy available to do chemical work in environment *s* is at its maximum. As the rate of diffusion between environment *s* and *d* is set to lower values (Figure 4), available energy in environment *s* decreases but the equilibrium concentration of product rises. On the other extreme, no diffusion between the two environments will result in thermochemical equilibrium in environment *s*: zero available free energy, but maximum product availability. Maintaining dynamic equilibrium alongside high product concentrations is achievable only given an intermediate diffusion
flux from environments s to d. Such a system, which has access to an external environment and yet can
maintain concentration gradients against it owing to (thermodynamic and/or kinetic) facilitation of
forward reaction, may allow prebiotic reactions to proceed with constant supply — potentially helping
to beat universal problems in prebiotic chemistry, such as the arithmetic demon [2].

The diffusion flux of product from a scum to dilute environment depends on factors such as the energy required to cross the interfaces between environment *s* and *d*, the surface area of that interface, the concentration of product in either environment, and the respective volume ratio of the two environments (Figure 4) [58]. Intermediate diffusion fluxes may be observed around a very small environment with a difficult-to-cross interface, e.g., a semi-permeable membrane, as in cells or functional protocells, or in a larger environment built from many individually leaky compartments, e.g., a multi-compartmentalised scum layer.

The reactive compartment density of the scum layer also plays a critical role in determining behavior, with low ratios of reactive compartment to total scum volume  $\binom{V_c^s}{V^s}$  failing to yield high product concentrations even at thermochemical equilibrium (Figure 4), i.e., the system remains detrimentally leaky. This requirement places another constraint on which types of scum layer may have prebiotic utility. However, assuming that leaky compartments are more feasible to assemble on early Earth than biology-adjacent protocells [7], utilising their enhanced aggregate properties via (compartment dense) scum layers would seem to be a viable route for prebiotic chemistry.

Our work shows that free-floating selectively leaky prebiotic compartments exist close to a dilute limit - very far from thermochemical equilibrium, but unable to sustain viable concentration gradients of any product molecules that they may help to spontaneously produce. Meanwhile, multi-compartmentalised scum layers, comprised of functional compartments and interstitial lumen, which together exhibit lower effective rates of diffusion with the dilute environment, provide a possible solution to this dilution problem from the perspective of physical chemistry, deserving of experimental exploration.

#### 324 4. Summary and next steps

The origin of life involved linking metabolism, information, and compartmentalisation. In modern 325 cells, bioenergetic membranes maintain the concentration gradients that underlie energy transfer 326 and prevent information from diffusing away. It is possible that fully formed prebiotic analogues 327 emerged with equivalents of these relationships. Alternatively, simpler structures, lacking the ability to 328 actively regulate molecular transport, may have accumulated in near-surface multi-compartmentalised 329 scum environments, benefiting in aggregate from a slower rate of diffusive exchange with the wider 330 environment. We argue that such environments may plausibly have maintained dynamic equilibrium 331 with their environments, without approaching the dilute limit of molecular exchange (Figure 4). In this 332 scenario, dependent upon an external energy source, chemical work is continuously performed, yet 333 useful product concentrations are also maintained against environmental dilution. Scum layers could 334 therefore be a constructive interference with early prebiotic systems, potentially helping to reduce the challenge of regulating molecular diffusion in otherwise dilute prebiotic environments. 336 The formation of amphiphile-rich film/foam layers and free floating amphiphile-based structures 337 on prebiotic Earth is already recognised as plausible [8,23-25,59]. Multi-compartmentalised scum 338

layers could have emerged on prebiotic Earth via continuous growth and stabilisation of surface
films/foams, followed by further self-organisation, or by direct surface/membrane-adherence of
pre-formed buoyant structures. Experimental investigation will be required to determine which
formation pathways are valid, and which scum types are of prebiotic utility.

Our hypothesis predicts that multi-compartmentalised scum-layers can form during either surface microlayer partitioning or buoyancy-driven aggregation of prebiotically plausible amphiphiles. Experimental work should first establish that prebiotic scum-type structures are plausible, by exploring

the physical chemistry of various amphiphile-rich solutions (vesicle-bearing, initially unstructured,

<sup>347</sup> and so on) under a range of conditions (quiescence, bubbling, currents, and so on). The formation

of unstructured scum layers seems prebiotically plausible on current evidence: the requirements for

<sup>349</sup> multi-compartmentalisation, meanwhile, are less obvious. It could be argued that surface-charge

interactions may act to repel close-packed prebiotic compartments that are chemically similar to one
 another. It is therefore possible that distinct membrane types may be required to overcome such

another. It is therefore possible that distinct membrane types may be required to overcome such electrostatic instabilities, or perhaps mixed systems will also be unstable, leading to unstructured

systems of questionable prebiotic utility. We recommend, and intend to pursue, an experimental effort

<sup>354</sup> focused on the critical issue of scum formation pathways. If positive results are obtained for prebiotic

<sup>355</sup> plausibility of scum layers, future experiments can then begin to consider questions about prebiotic

### 356 utility.

Scum-type media should be tested for their ability to concentrate reactants from a dilute 357 bulk environment, promote forward reaction, and selectively concentrate product molecules of 358 interest. Results should be compared to those obtained using a mixture of free-floating prebiotic 359 compartments. Important tests include the ability of scum layers to (1) concentrate phosphate and 360 drive phosphorylation reactions, (2) harness a combination of surface scum UV irradiation and 361 juxtaposed UV shielding to drive prebiotic chemistry, (3) drive general condensation polymerisation 362 chemistry, as well as provide selection mechanisms that favour accumulation of longer/more useful 363 products [60], and (4) accumulate and activate fine-grained exogenous materials for use in prebiotic 364 reactions, e.g., photocapture by meteoritic PAH pigments/quinone systems, coupled to nucleotide, amino acid, and lipid synthesis pathways [61–64]. 366

Finally, the ability of scum layers to selectively concentrate molecules of increasing size should be 367 considered. Longer molecules should diffuse more slowly across semi-permeable membranes [65], 368 implying a steady approach towards local thermochemical equilibrium for longer polymers forming 369 in scum-type environments. This behaviour could prove advantageous for maintaining high yields 370 of long chain polymers without needing to invoke a closed system, i.e., as may characterised scum 371 environments. It is currently unknown to what extent molecular diffusion rates and forward reaction 372 rates compare between free floating protocells and scum-type environments. Experimental work is 373 needed to place constraints on these crucial parameters. 374

Whilst we have pointed to the probable compatibility of scum layers with broadly important condensation reactions, there are many outstanding issues about the diversity, efficiency, and selectivity of prebiotic chemistry taking place in scum layers, as well as the overall placement of these environments in testable scenarios for the origin of life. Despite these outstanding issues, we note that buoyant bacterial communities have a deep ancestry on our planet — representing the earliest known biological structures in the rock record [28]. We contend that prebiotic equivalents of these environments deserve future experimental and theoretical investigation.

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## **6.** References

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