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# The role of mass-transport complexes (MTCs) in the initiation and evolution of submarine canyons

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## Abstract

The offshore area of the Otway Basin (SE Australia) is dominated by a multibranch canyon system where mass-transport complexes (MTCs) are widely distributed. Our study integrates high-resolution multibeam and seismic data to investigate the importance of MTCs in dictating the evolution of canyons. Our study interprets three regionally distributed MTCs that fail retrogressively and affect almost 70% of the study area. Within the MTCs, seven canyons that initiated from the continental shelf edge and extended to the lower slope are observed. Although the canyons share common regional tectonics and oceanography, the scales, morphology, and distribution are distinctly different. This is linked to the presence of failure-related scarps that control the initiation and formation of the canyons. The retrogressive failure mechanisms of MTCs have created a series of scarps on the continental shelf and slope regions. In the continental shelf, where terrestrial input is absent, the origin of the canyons is related to local failures and contour current activities, occurring near the pre-existing larger headwall scarps (c. 120 m high, 3km long). The occurrence of these local failures has provided the necessary sediment input for subsequent gravity-driven, downslope sediment flows. In the continental slope region, the widespread scarps can capture gravity flows initiated from the continental shelf, developing an area of flow convergence, which greatly widens and deepens the canyon system. The gradual diversion and convergence through MTC related scarps have facilitated the canyon confluence process, which has fundamentally changed the canyoning process. Thus, our study concludes that the retrogressive failure mechanism of MTCs has a direct influence on the initiation, distribution, and

28 evolution of the canyons. The scarps associated with MTCs have greatly facilitated the delivery of  
29 sediments and marine plastics from the shelf edge into the deep oceans, especially in areas where  
30 fluvial input is missing.

31 Keywords: Mass-transport complexes (MTCs); Submarine canyons; Otway Basin; South-east  
32 Australia

## 33 1. Introduction

34 Submarine canyons are defined as steep-sided V- or U-shaped valleys that erode into the seabed,  
35 they can extend from the continental shelf to the continental slope, with numerous tributaries  
36 (Shepard et al., 1966; Twichell and Roberts, 1982; Obelcz et al., 2014). Canyons are complex  
37 geomorphological features formed by erosion from gravity flows occurring near subaqueous slopes  
38 (Shepard, 1972; Canals et al., 2006; Harris and Whiteway, 2011). Canyons are often associated with  
39 sand-rich gravity flows which develop into submarine fans, which can act as high quality deepwater  
40 hydrocarbon reservoirs (Stow and Mayall, 2000; Weimer and Slatt, 2004; Steventon et al., 2021).  
41 Mass-transport complexes (MTCs) are gravity-driven shear failure deposits resulting from creep,  
42 spread, slide, slump and debris flow processes (Posamentier and Martinsen, 2011; Wu et al., 2021).  
43 MTCs can be extremely erosive, thus containing large volumes of sediments, with single deposits  
44 covering areas of  $>100 \text{ km}^2$  and volumes of  $>10,000 \text{ km}^3$  (Frey Martinez et al., 2005; Moscardelli  
45 and Wood, 2016; Nugraha et al., 2019). MTCs normally fail retrogressively (i.e. backstepping slope  
46 failures), and the emplacement of MTCs can leave a series of giant slide scars (c.2-5 km wide) on  
47 continental slope areas (Figure 1a, 1b; i.e. Williams, 2016; Li et al., 2017). Both MTCs and canyons  
48 can transfer large amounts of sediments between the continental shelf and abyssal plain  
49 environments, and are considered important sediment transportation processes in deepwater  
50 settings (McAdoo et al., 2000; Popescu et al., 2004; Antobreh and Krastel, 2006; Lee et al., 2007;  
51 Urgeles and Camerlenghi, 2013).

52 Submarine canyons and MTCs can have a close relationship in terms of their spatial distribution,  
53 triggering mechanisms, and preconditioning factors (Micallef et al., 2012; Watson et al., 2020). The  
54 emplacement of MTCs can represent the early phase of submarine canyon initiation, providing  
55 early depressions on the continental slopes that extend to the shelf break (Farre et al., 1983). The

56 continuous downcutting process associated with canyon development can steepen the gradient of  
57 canyon sidewalls, which preconditions the sidewalls to fail, depositing MTCs near the canyon walls  
58 (i.e. Farre et al., 1983; Green and Uken, 2008). These intra-canyon MTCs can occur retrogressively,  
59 increasing the canyon's width (i.e. lateral extension; Pratson and Ryan, 1994) and extending the  
60 canyon upslope (i.e. headward incision; Farre et al., 1983; He et al., 2014). However, most of the  
61 published works have focused on constraining local, coeval, intra-canyon MTCs (sensu detached  
62 MTCs; Moscardelli and Wood, 2008) with the evolution of canyons (i.e. Green and Uken, 2008;  
63 Gong et al., 2011; He et al., 2014; Su et al., 2020). The relationship between canyons with regional  
64 distributed MTCs (i.e. 100s to 100,000s of km<sup>2</sup>) (sensu attached MTCs; Moscardelli and Wood, 2008)  
65 that typically fails retrogressively have largely been overlooked. Relatively little is known on how  
66 regionally distributed MTCs, especially how their retrogressive failure mechanism can influence the  
67 initiation, evolution, and morphology of submarine canyons. Therefore, this study uses a high-  
68 resolution (c. 10 m vertical resolution) 3D seismic reflection dataset, integrated with 2D seismic  
69 and multibeam bathymetry data to analyse the spatial and temporal relations between canyons  
70 and regionally distributed MTCs in the Otway Basin, south-eastern Australia (Figure 2a, 2b).

## 71 2. Geological setting

### 72 2.1 Tectonic

73 The offshore Otway Basin is a broadly NW-SE striking non-volcanic rift basin, located along the  
74 south-eastern Australian passive margin (Figure 2b). The basin was initiated by late Jurassic to early  
75 Palaeogene rifting, during the progressive breakup of southern and eastern Gondwana. After  
76 experiencing multistage rifting, thermal subsidence and inversion, the south Australian margin  
77 ultimately broke-up with Antarctica at the end of the Cretaceous (approximately 67 Ma; Willcox  
78 and Stagg, 1990; Perincek and Cockshell, 1995; Krassay et al., 2004; Totterdell et al., 2014).  
79 Although the detailed history of the separation and final breakup between Australia and Antarctica  
80 remains partially studied (Gibson et al., 2013; Holford et al., 2014), the formation of a regionally  
81 distributed Maastrichtian unconformity has been attributed to the eventual separation of the  
82 Australian and Antarctica Plates (Figure 3a; Krassay et al., 2004; Holford et al., 2014).

### 83 2.2 Sedimentology

84 The Cenozoic sedimentary succession in the Otway Basin is composed of marine-related, often  
85 calcareous-rich sediments, reflecting an open marine depositional environment (McGowran et al.,  
86 2004). The Cenozoic post-rift sedimentation is represented by the Wangerrip Group (late  
87 Palaeocene to middle Eocene, mainly siliciclastic rich), the Nirranda Group (middle Eocene to early  
88 Oligocene, mainly containing sandstones and marls), the Heytesbury Group (late Oligocene to late  
89 Miocene, mainly contains marls and limestones), and the Whalers Bluff Formation (WBF; Pliocene-  
90 Recent, mainly contains mixed siliciclastic-carbonate sediment) (Figure 3a; Dickinson et al., 2002;  
91 Krassay et al., 2004; Holford et al., 2014). Our study interval lies in the WBF formation at a time  
92 when the study area was in a passive continental margin setting. In the continental slope area, a  
93 thick, localised Pliocene-recent succession, represents marine clastic sediments deposited in and  
94 around submarine canyons (Figure 3b, 3c) (Tassone et al., 2011).

### 95 *2.3 Oceanography*

96 Two shelf-break currents dominate ocean circulation in the study area (Duran et al., 2020): (i) the  
97 eastward-flowing South Australia Current (SAC) and (ii) the south-eastward-flowing Zeehan Current  
98 (ZC) (Figure 2b). The SAC is an eastward-flowing current with high salinity and velocity (0.5 m/s),  
99 originating from the centre of the Great Australian Bight Basin (Rochford, 1986). The current  
100 operates down to 200 m depth (Middleton and Bye, 2007). The ZC (fed by the South Australian  
101 Current) is a poleward current with low salinity and high current velocity (0.4 m/s), flowing down  
102 to 300 m water depth (Ridgway, 2007). The offshore area of the Otway Basin is also periodically  
103 affected by seasonal cyclones and storms (Holland and Gray, 1983; Kuleshov et al., 2002). The  
104 above-mentioned down-slope and along-slope marine oceanographic processes have jointly  
105 influenced the oceanography and sedimentation in the Otway Basin.

106 As fluvial activity is limited in the study area (McGowran et al., 2004), the elongated mounded  
107 seismic facies (sub-parallel to wavy, low- to high amplitude, internal truncations) in the WBF  
108 Formation have a clear indication of contour current activity (Figure 3c). Similar seismic facies have  
109 been interpreted as contourites that are affected by contourite currents in other submarine  
110 settings (i.e. Stow and Faugères, 2008; Rebesco et al., 2014). Moreover, the modern canyons show  
111 a clear eastward lateral migration compared to the buried Pliocene canyons in the continental shelf  
112 region (Figure 3c). These observations all indicate that the overall eastward shelf-break parallel  
113 currents (SAC and LC) affect the sedimentary processes in the continental shelf region.

## 114 3. Dataset and Methodology

### 115 3.1 Multibeam Dataset

116 The multibeam echosounder bathymetry data is provided by Geoscience Australia  
117 (<https://portal.ga.gov.au/persona/marine>), covering an area of c. 12,000 km<sup>2</sup> (Figure 4a). The  
118 lateral resolution of the data is 50 × 50 m, and it enables the identification and interpretation of  
119 seabed morphology and associated canyons and MTCs, especially in areas absent of seismic-  
120 reflection data (Figure 4a).

### 121 3.2 Seismic Dataset

122 The 3D pre-stack time migrated (PSTM) seismic-reflection data were acquired by Santos in 2002,  
123 located in the vicinity of Portland, offshore SE Australia (Figure 2b). The survey covers an area of c.  
124 360 km<sup>2</sup> with a bin spacing of 25 m × 12.5 m (inline × crossline), and a dominant frequency of 50  
125 Hz at the seabed. The study estimates that the spatial resolution of the seismic data, given an  
126 average velocity of the near seabed sediment derived from the seismic report (1824 m/s), is c. 9  
127 m. The 3D seismic data are zero-phase, and presented in SEG normal polarity with an increase in  
128 acoustic impedance expressed as a positive amplitude.

### 129 3.3 Methodology

130 The seismic-stratigraphic framework is correlated with Holford et al. (2014) work in an adjacent  
131 area. Seismic and multibeam data are used to map MTC and canyon related features. The key  
132 morphometric parameters of the canyons (i.e. canyon width and height) are quantitatively  
133 measured and discussed to reveal the sedimentary processes involved in the canyon origin and  
134 evolution. In this study, the canyon width is defined as the distance between the canyon shoulders  
135 (the point at which the canyon margin begins to dip away from the canyon axis) (Figure 5; Laberg  
136 et al., 2000). The canyon height is defined as the depth from the canyon shoulder to the canyon  
137 base (Figure 5).

## 138 4. Result

### 139 4.1 Morphology of the seabed

140 The study area spans from the continental shelf to the continental lower slope environment (Figure

141 4a). The morphology of the study area is characterised as having a narrow (c. 7km) and steep slope  
142 (Figure 4b). The continental shelf area dips from 0.4° to 1° with an average water depth of 250 m  
143 (Figure 4b). The continental slope area is characterised by a relatively gentle slope of c. 10° in the  
144 upper section, to a steep slope gradient of c. 30° near the lower section, with water depths ranging  
145 from 600 m to 1500 m, respectively (Figure 4b). The multibeam reveals several canyons initiated  
146 from the continental shelf region, spanning the continental slope, and ultimately terminating in  
147 the abyssal plain (Figure 4a). Widely distributed MTCs and their associated headwall scarps and  
148 lateral margins have also been identified (Figure 4a). These MTCs have a close relationship with  
149 the canyons (Figure 4a). The topographic profiles extracted from the multibeam data in the abyssal  
150 plain show dramatic differences in the across-canyon margin morphology (Figure 4c). In the abyssal  
151 plain, where the seabed gradient is relatively low (<2°), the width and height of the canyons (i.e.  
152 Canyon-a and Canyon-b) increase along with the dip of the slope, with canyons converging at the  
153 deeper section of the abyssal plain (Figure 4c, 4d). The width of the canyon increases from c. 5.6-  
154 6.6 km to c. 10.9 km, and the height of the canyon increases from c. 300 m to 360 m, respectively  
155 (Figure 4c, 4d). The multibeam data used in this study can only investigate the seabed  
156 morphological features with a relatively limited lateral resolution (c. 50 by 50 m). It lacks the ability  
157 to examine the detailed seabed structures in 3D and reveal the characteristics of the buried  
158 sediments. Therefore, in the following section, this study uses high-resolution seismic reflection  
159 datasets to investigate the cause of the canyon converging process and the sedimentary process  
160 interactions between canyons and MTCs.

#### 161 *4.2 MTCs and canyons*

162 Three MTCs (MTC-1, MTC-2, and MTC-3) have been interpreted in the study area (Figure 6a, 6b).  
163 Within these MTCs, the seismic reflection dataset reveals several distinctive E-trending scarps  
164 parallel to the slope strike direction and a set of SW-oriented lateral scarps parallel to the slope dip  
165 direction (Figure 6b). The arcuate E-dipping extensional scarps are interpreted as MTC headwall  
166 scarps that mark the updip part of an MTC, where extensional deformation dominates (Figure 6b;  
167 i.e. Bull et al., 2009). The SW-dipping lateral scarps are interpreted as MTCs lateral margins that  
168 separate deformed sediments (MTCs) from the undeformed seabed (Figure 6b; i.e. Frey Martinez  
169 et al., 2005; Bull et al., 2009). Based on the orientation of headwall scarps and lateral margins, the  
170 MTCs are predominately transported subparallel to the dip direction of the slope.

171 Seven major canyons (canyon 1-7) spanning from continental shelf to continental lower slope are  
172 observed within the MTC influenced area (Figure 6a, 6b). They are oriented NNW-SSE on the  
173 continental slope, sub-parallel to the slope dip direction, and the orientation changes to NNE-SSW  
174 in the lower slope setting (Figure 6a, 6b). Canyon-1-3 and Canyon-7 are initiated from shelf edge  
175 headwall scarps with clear landward incision features, while Canyon-4-6 are restricted in the  
176 continental slope (Figure 6b).

#### 177 4.3 MTC-1

178 In MTC-1, multiple headwall scarps (HS-1 to HS-5; from older to younger) and their associated  
179 lateral margins are observed from map view and the correlated seismic sections (Figure 7a, 7b).  
180 Headwall scarps are recognised as upward concaved lineation with scallop-shaped geometries  
181 (Figure 7b). In the seismic dip section, the headwalls are nested in a terraced style, showing a  
182 truncated reflector that cuts through upslope sediments (Figure 8a). The heights and angles of the  
183 scarps vary considerably throughout MTC-1, with the highest (c. 170 m) and steepest (c. 40°) HS-5  
184 occurring in the upper part of MTC-1 (Figure 8a, 8b). The scale of the other four headwall scarps  
185 (HS-4 to HS-1) are comparatively smaller, and the gradient is gentler than HS-5. HS-1 to HS-4 show  
186 similar morphology to the HS-5, and they are distributed in the central part of the MTC-1 (Figure  
187 7b, 8a-d). The middle part of MTC-1 has a hummocky seabed expression in map view and contains  
188 chaotic and blocky seismic facies in seismic section (Figure 7b, 8a). A clear basal shear surface with  
189 a gentle gradient (c. 3°) separates the underlying layered seismic facies from the overlying chaotic  
190 seismic facies, observed below HS-5 and HS-1 (Figure 8a). The chaotic and blocky facies  
191 accumulated downdip of the HS-4 and HS-1, showing a wedge-shaped geometry in seismic section  
192 (Figure 8a) and a fan-shaped geometry in plain view (Figure 7b).

193 The presence of the backstepping stair shape geometry, the relative flat basal shear surface, and  
194 the deposition of chaotic seismic facies near the distal part of HS-4, HS-3, and HS-2, suggests that  
195 the initial failure started at the lowermost part of MTC-1 and propagated retrogressively towards  
196 the upper slope area. Our study thus interprets multiple headwall scarps (HS-1 - HS-5) resulting  
197 from multiple retrogressive failure events, such as recorded in the Storegga slide and other well  
198 studied MTCs (i.e. Bryn et al., 2005; Sawyer et al., 2009; Badhani et al., 2020). The occurrence of  
199 retrogressive failure has resulted in linear to sinuous depression features in plan-view (Figure 7b),  
200 and small-scale faults or fractures in seismic cross-sections (see headwall scarps in Figure 8b-d).



201 *4.4 Canyons in MTC-1*

202 In the upper section of MTC-1, the canyon system comprises three tributaries (Canyon-1 to Canyon-  
203 3; Figure 6b, 7b), which terminate to the scarps near the shelf edge (Figure 6b). Canyon-1 and -2  
204 are developed in the NE part of MTC-1, while Canyon-3 is in the NW part (Figure 7b). Canyon 1-3  
205 have more pronounced seabed erosion than MTC-1. Near HS-5, clear seabed incision and  
206 truncations can be observed in the seismic sections that image the canyons (Figure 8a, 8b).  
207 Canyons 1-3 have a linear geometry in map view. The cross-sectional geometry of the canyons is  
208 generally U-shaped, with a gently sloping base surface (c. 1°) and steep canyon sidewalls (c. 60°)  
209 (Figure 8b, 8c). Canyons 1-3 trend downslope from the continental shelf towards HS-5 and  
210 converge near HS-3 (the confluence point; Figure 7b, 7c), and ultimately converging into a broad  
211 canyon after passing through HS-2, at a water depth 1522 m to 1595 m (Figure 7b, 7c, 8d).  
212 Numerous crescentic bedforms and axial incisions are observed along the axis of Canyon 1-3  
213 (Figure 7b, 7c). In the pre-confluence region, the Canyons 1-3 range from c. 100 m to c. 670 m wide  
214 and c. 20 m to 134 m high (Figure 9a, 9b). In the post-confluence area, the width increases from  
215 c.370 m to c.1140 m, which is 2-3 times wider than that of in the pre-confluence region (Figure 9a).  
216 The canyon height increases from c. 90 m to c. 140 m in the post-confluence area, slightly larger  
217 than the canyons in the pre-confluence area (Figure 9b).

218 This stratigraphic relationship between canyons and MTC-1 indicate that the deposition of the  
219 MTC-1 occurred prior to the initiation of canyons. The crescentic bedforms are possibly associated  
220 with supercritical currents (i.e. Zhong et al., 2015), suggesting gravity flows are still being initiated,  
221 and canyons are remaining active as a sediment pathway today. Quantitative analyses of the  
222 canyons indicate a strong correlation exists between the canyon width/height with distance along  
223 the different MTC-1 headwall scarps. The increase of the canyon's width and depth after the  
224 confluence point (near the HS-2) indicate headwall scarps have played a key role in dictating the  
225 canyon morphology and incision depth. The abrupt increase in canyon width after the confluence  
226 can be interpreted as an increase in discharge, because the converged canyon can be subjected to  
227 gravity flows from multi-sources (see the similar process from Mitchell, 2004). Our study thus  
228 indicates the topography within MTC-1 was established as a function of topographic confinement  
229 imposed by the backstep headwall scarps. The existence of the headwall scarps can facilitate the  
230 canyon widening and deepening process.

231 *4.5 MTC-2 and MTC-3*

232 MTC-2 was deposited at the west of the MTC-1 (Figure 6b), it contains four internal headwall scarps  
233 (HS-1 to HS-4; from older to younger) and associated lateral margins (Figure 10a, 10b). Along the  
234 proximal part of the western lateral margin, the sidewall displays up to at least three levels of local  
235 retrogressive failures that make the west lateral margin complex (Figure 10b). The cross-cutting  
236 relationship between MTC-1 and MTC-2 reveals MTC-2 occurred after MTC-1. Similar to MTC-1, the  
237 multi-headwall scarps are the result of retrogressive failure events associated with the  
238 emplacement of MTC-2. MTC-3 was deposited in the west of the study area (Figure 6b). Distinctive  
239 NNW-ESE dipping headwall scarps can be only identified near the upper boundary of MTC-3 (Figure  
240 10b). The number of scarps in MTC-3 are significantly less than those in the MTC-1 and MTC-2  
241 (Figure 10b).

242 *4.6 Canyons in MTC-2*

243 Two canyons (Canyon-4 and Canyon-5) that initiated from the lower slope setting, were observed  
244 and incised across MTC-2, with a small (c. <50 m height) bathymetric expression in plan view  
245 (Figure 10a, 10b). Upslope from the Canyon-4 head, a channel is observed from map view (Figure  
246 10b). The morphology of Canyon-4 is only visible in map view near the lower slope, and it loses  
247 surface expression at the location of HS-4 (Figure 10b). Upslope from the Canyon-5 head, two  
248 channels are observed from map view (Figure 10b). The morphology of Canyon-5 meanders around  
249 the headwall scarps within MTC-2, being initially WNW-SE strike at the location of HS-4 and HS-3,  
250 shifting to SE at the site of HS-2, and shifting again to an abrupt SW bend at HS-1 (Figure 10b). After  
251 passing through HS-1, Canyon-5 is oriented southward (Figure 10b). Seismic profiles of canyon-5  
252 reveal a U-shaped erosional feature, and the cross-sectional morphology keeps constant along the  
253 canyon-5's pathway (Figure 11a-c). The width and height of canyon-5 varies compared to Canyon-  
254 1 (Figure 11d). The upper reach of canyon-5 has a deeper incision and width that can reach 76 m  
255 and 565 m, respectively. In the lower slope, the width of canyon-5 decreases from 565 m to c. 370  
256 m and increases to 750 m after passing through HS-3 (Figure 11d). The width of Canyon-5 drops  
257 sharply to 343 m after passing through HS-1. The height of the Canyon-5 constantly decreases from  
258 c. 58 m near the HS-4 to c. 44 m near the HS-1 (Figure 11d). In summary, from the HS-4 to HS-1,  
259 Canyon-5 becomes narrower and less incised.

260 Limited distribution of Canyon-4 indicates that the canyon incision has been isolated to the lower

261 slope. The rapid shifting of the Canyon-5 pathway orientations indicates that the presence of  
262 headwall scarps can influence and divert canyon transport direction. Canyon-5 has a clear backstep  
263 (landward) incision and connects with the shelf edge headwall scarp by channels, and this might  
264 suggest Canyon-5 is still active during the Holocene. Our study suggests with the headward incision  
265 associated with canyon-5, once the canyon head connects to shelf edge headwall scarps, it will  
266 develop into a 'mature' stage akin to the canyons in MTC-1.

#### 267 *4.7 Canyons in MTC-3*

268 Two canyons (Canyon-6 and Canyon-7) are observed in MTC-3 (Figure 10b). The morphology of  
269 Canyon-6 is only visible close to the lower slope (Figure 10b). Further downslope, Canyon-6 lose its  
270 morphology in map view, and there is no visible canyon form in the seismic section (Figure 12b,  
271 12c). Canyon-7 has a tripartite, concave head that cuts c.7 km landward into the shelf (Figure 10b).  
272 The cross-sectional geometry of Canyon-7 shows a clear V-shaped incision (Figure 12a, 12b).  
273 However, this V-shaped downcutting geometry is only constrained in the lower slope region. The  
274 width and height of Canyon-7 are low in the lower slope setting, ranging from c. 120 m to 175 m  
275 and c. 20 m to 50 m, respectively (Figure 12d).

276 Canyon-6 and Canyon-7 have a broad flat canyon floor, with less signs of incised channels. The flat  
277 canyon floor might indicate that the gravity flow contributes to the formation of canyons have been  
278 largely displaced due to the absence of headwall scarps. Moreover, due to the absence of the  
279 scarps, Canyon-6 and Canyon-7 show a low sinuosity and a subparallel pathway. No major canyon  
280 diverting nor converging has been observed in the MTC-3 region (Figure 10b).

## 281 **5. Discussion**

### 282 *5.1 Origin of the canyons*

283 Based on the morphology and depositional process, submarine canyons can be classified into two  
284 main types (Type I and Type II from Jobe et al., 2011). Type I canyons normally indent the shelf  
285 edge and are linked with a clear bathymetric connection to fluvial systems. These canyons can  
286 receive abundant coarse-grained sediment supply and generate erosive canyon morphologies  
287 (Jobe et al., 2011). Type II canyons normally indent the continental slopes, and they don't have a  
288 clear bathymetric connection to fluvial systems (thus a low sediment supply). Therefore, the Type

289 II canyons normally exhibit smooth and aggradational morphologies (Jobe et al., 2011). In this study,  
290 the study area is disconnected from the modern fluvial system (Leach and Wallace, 2001), which  
291 indicates a limited sediment input at or near the canyon heads. The canyons are thus sediment  
292 starved when compared to canyons connected with direct fluvial input (e.g. the Type I canyons)  
293 or canyons which are in close proximity to high supplies of coarse-grained sediment (Smith et al.,  
294 2018). Similar canyons (e.g. the Type II canyons) that are isolated from major river input, with linear  
295 morphology of low sinuosity, have been documented from other margins (e.g. Harris and Whiteway,  
296 2011; Jobe et al., 2011). The initiation of the Type II canyons are connected to local failures near  
297 continental margins or slopes, which is independent of sediment input (i.e. river feed) and sea-  
298 level fluctuation (Normandeau et al., 2014). Other triggers, such as mixed constructions and  
299 modification by turbidity and contour currents near the canyon heads have also been suggested as  
300 potentially initiation mechanisms for Type II canyons (i.e. Jobe et al., 2011). This has also been  
301 inferred for canyons in the South China Sea (Zhu et al., 2010), and other submarine localities (i.e.  
302 Rebesco et al., 2007). In this study, the morphology of the canyon heads is strictly constrained  
303 within the headwall scarps near the shelf edge (Figure 13a, 13b). The spatial relation between the  
304 shelf edge headwall scarps and canyon heads suggests the initiation of canyons is closely related  
305 to these pre-existing, steep shelf edge headwall scarps (Figure 13a, 13b). Moreover, as the contour  
306 current is active near the shelf edge area, the movement of the contour current along the  
307 topographically low scarps may induce local turbulence and produce down-canyon sediment  
308 transportation (i.e. Fenner et al., 1971; Warratz et al., 2019). Thus, our study suggests that the  
309 canyon systems in the study area are initiated by a combination of multistage retrogressive failure  
310 events and contour current activity near the pre-existing headwall scarps at the continental shelf  
311 edge (Figure 13c). Although the study area lacks river-sourced sediments, canyon heads can still  
312 capture sediments from local failures associated with the contour current activities. These local  
313 failures and the associated gravity flows can erode the seabed and facilitate canyon development  
314 from upper slope to lower slope (Figure 13d) (see also similar process from Atlantic canyons;  
315 Twichell and Roberts, 1982). Other factors, such as cyclones (hurricane or typhoon) and tidal  
316 currents occurring in the continental shelf area, may also contribute to canyon initiation (Shepard  
317 et al., 1974; Sequeiros et al., 2019). Hurricanes and typhoons can trigger waves and currents, thus  
318 resuspending and carrying sediment. These processes will directly play a role in initiating turbidity

319 currents, which bring sediments into the canyon heads and enhance the canyoning process  
320 (Sequeiros et al., 2019). Tidal currents can act as an efficient force for reworking and carrying  
321 sediments in submarine settings (Shepard et al., 1974). Tidal currents can thus transport sediments  
322 into the canyon heads, especially at places where fluvial input is missing.

### 323 *5.2 Role of retrogressive failure mechanism on canyon evolution*

324 The headwall scarps of MTCs play an essential role in capturing turbidity currents and facilitating  
325 turbidity channelization in submarine settings, as demonstrated by examples from previous  
326 seismic- and outcrop-based studies (Loncke et al., 2009; Alves and Cartwright, 2010; Ito, 2013; Qin  
327 et al., 2017; Li et al., 2020). The three MTCs presented in this study have indicated the spatial  
328 variation of canyon morphology is linked with the MTCs morphometric characteristics. This study  
329 further splits these MTCs into two types based on their morphology (Type-1 and Type-2; Table 1).  
330 Type-1 MTCs (e.g. MTC-1 and MTC-2) are characterised as having multiple internal headwall scarps,  
331 and Type-2 MTCs (e.g. MTC-3) are characterised with no visible internal headwall scarps. In the  
332 following section, our study attempts to define the possible mechanisms influencing different types  
333 of MTCs and their impact on canyon evolution.

334 For Type-1 MTCs, the retrogressive failure events associated with MTC-1 have left a pronounced  
335 negative seabed space that greatly changed the slope morphology and created a series of localised  
336 seabed 'ponding' accommodation spaces along the pathway of submarine canyon systems. The  
337 gravity-driven downslope processes are sensitive to the slope gradient variations, preferentially  
338 depositing where the gradient decreases the most (Kneller et al., 2016). The varied hierarchies of  
339 headwall scarps can therefore trap or divert subsequent turbidity currents and facilitate canyon  
340 systems' incision and development. Though the headwall scarps within MTC-2 does not widen nor  
341 deepen canyons that are transported through, they do play an essential role in changing the canyon  
342 direction. Type-2 MTCs have less influence on the canyoning process, providing a differing example  
343 of how headwall scarps can influence canyon evolution. MTC-3 demonstrates that an absence of  
344 internal headwall scarps, produces a lack of ability to trap or capture the turbidity currents that  
345 flow through. Though Canyon-7 has connections to the shelf edge headwall scarps, the scale of the  
346 canyon is smaller than those in the other two MTCs (i.e. Canyon-3 in MTC-1; Figure 6a, 6b).  
347 Therefore, our study indicates that the retrogressive failure mechanism of MTCs is responsible for  
348 the canyon deepening and confluence process, which can greatly influence the canyons'

349 morphology.

### 350 *5.3 Other factors that may influence the evolution of the canyon*

351 The evolution of submarine canyons can also be influenced by many other geological factors,  
352 including (i) regional tectonics (i.e. regionally distributed faults), which influence the sediments  
353 strength, thus the susceptibility to erosion during the formation of canyons (Covault et al., 2007);  
354 (ii) the sea-level variation, which can vary sediment input into canyon heads (Vail, 1977;  
355 Posamentier et al., 1991); (iii) downslope and along-slope depositional processes (i.e. gravity flows  
356 and contour currents), which erode the seabed and enlarge submarine canyons (Pratson and  
357 Coakley, 1996; He et al., 2014; Miramontes et al., 2020).

358 In this study, tectonics is unlikely to be of significance for canyon development due to the relatively  
359 stable nature of the southern Australian passive margin. Sediments in such a stable setting typically  
360 exhibit lower shear strength as compared to their active margin counterparts (Sawyer and DeVore,  
361 2015; DeVore and Sawyer, 2016). In active margins, the higher sediments shear strength is  
362 interpreted to be due to the repeated exposure to earthquake energy that gradually increases  
363 shear strength by shear-induced compaction and dewatering processes (i.e. seismic strengthening,  
364 *sensu* Sawyer and DeVore, 2015). Therefore, the absence of intense tectonics and seismicity may  
365 thus have a significant role in preconditioning slope failures in passive margins, resulting to widely  
366 distributed MTCs (i.e. Sawyer and DeVore, 2015; DeVore and Sawyer, 2016). Recent studies  
367 revealed that the canyon initiation process does not necessarily depend on sea-level rise and fall,  
368 as well-developed canyon systems have been identified during highstands in many submarine  
369 settings (i.e. Xu et al., 2010; Paull et al., 2013; Normandeau et al., 2015). In the study area, the  
370 modern canyons are contiguous with Pliocene canyon systems, showing similar geometries and  
371 slightly eastward migrated distribution patterns. The similarities between buried Pliocene and  
372 modern canyons indicate that the location and distribution of modern canyons are an extension of  
373 the infilled Pliocene canyon systems. The overall eastward canyon lateral migration during  
374 Pliocene-Recent is interpreted to be related to the eastward shelf break parallel paleocurrent (i.e.  
375 SAC or LC), which is still active near the current-day shelf edge (Godfrey et al., 1986). Moreover,  
376 our study suggests that the types of the underlying deposits can also influence the morphology of  
377 the canyons. For example, Canyon-1 to Canyon-3 deposit above the slope background deposits  
378 (Figure 8b), while Canyon-6 deposits above a buried MTC (Figure 12c). The quantitative analyses

379 reveal that the scale of the Canyon-1 to Canyon-3 (immediately above background deposits) is  
380 larger than that of the Canyon-6 (immediately above buried MTCs). The scale contrast is  
381 interpreted due to buried MTCs, which are typically more consolidated than undeformed  
382 background slope deposits (i.e. Shipp, 2004; Sawyer, 2007; Wu et al., 2021). Thus, the incision  
383 depth and scale of Canyon-6 is smaller than other canyons.

#### 384 *5.4 Canyon evolution model*

385 Our study attempts to build an updated model of canyon formation based on the models proposed  
386 by Pratson and Coakley (1996) and Jobe et al. (2011), emphasising the role of headwall scarps  
387 associated with regionally distributed MTCs. Our model consists of three phases, the occurrence  
388 of retrogressive failures, the initiation stage of the canyons, and the canyon transition stage.

#### 389 *Phase 1: the occurrence of the retrogressive failures*

390 Prevailing eastward-flowing contour currents continuously deposit sediment near the shelf edge  
391 (Figure 14a). Seismicity (i.e. Bornhold and Prior, 1989), sediment overloading generated  
392 overpressure (i.e. Dugan and Flemings, 2000), or tectonic oversteepening (i.e. Moscardelli et al.,  
393 2006), or other triggering mechanisms (ADD REFERENCE) activated the initial failures in the lower  
394 slope setting (Figure 14a). The initial failure creates an open scarp, that leaves the sediments in the  
395 up-dip area unstable. As the gravitational strain accumulates, the sediments near the initial scarp  
396 weaken. A new extensional failure (the second scarp) will occur behind the initial scarp once the  
397 sediments become weaker than the along slope gravity-induced stress (Figure 14a). The failure  
398 process will continue up-dip until final balance has been achieved between the shear strength of  
399 the slope sediments and the shear stress of the gravitational forces (Figure 14b, 14c; Sawyer et al.,  
400 2009). This retrogressive failure mechanism has left a series of headwall scarps and lateral scarps  
401 on the continental shelf and slope (Figure 14c). The scarp-rich environment represents the  
402 preliminary phase of canyon initiation.

#### 403 *Phase 2: the initial stage of the canyon system*

404 The erosional processes near the headwall scarps have led to triangular-shaped canyon heads  
405 (Figure 14d). The failed sediments associated with erosional processes near the shelf edge could  
406 excavate the pre-existing headwall scarps and contribute to the initial sediment influx for canyon  
407 initiation (see the similar process from Pratson and Coakley, 1996; Puga-Bernabéu et al., 2011).  
408 There are other erosional processes that could also account for the initial sediment influx, and

409 therefore may have also contributed to canyon initiation. Firstly, sediments collapsed from the  
410 canyon sidewalls (canyon flank failures) can form downslope-flowing turbidity currents, facilitating  
411 the canyon flushing process. The failure events associated with the pre-existing headwall scarps  
412 and canyon sidewalls allow the delivery of sediment enabling canyon formation and downward  
413 incision (Pratson and Ryan, 1994; Pratson and Coakley, 1996; Armitage et al., 2010). Secondly,  
414 contourites may fail periodically, due to the seasonal cyclone (hurricane or typhoon) activities, or  
415 sediments overpressure generated by rapid deposition of fine-grained sediments (Sequeiros et al.,  
416 2019; Brackenridge et al., 2020; Gatter et al., 2020). The periodical failure processes can create  
417 local turbulence near the shelf edge headwall scarps, which further facilitate the formation of flows  
418 that carry sediments into the canyon heads (Figure 14d).

#### 419 *Phase 3: the canyon transitional stage*

420 With the continuous failures near the shelf edge headwall scarps, the canyon heads gradually  
421 establish into triangular or dendritic shapes. These triangular or dendritic shape structures  
422 facilitate canyon head capture and funnel larger volumes of sediments into the canyon, and the  
423 canyoning process becomes self-propagating (Figure 14d). The failed sediments near the headwall  
424 scarps in the continental shelf converged into the channel-shaped conduit, acting as catchment  
425 areas for sediments. Downward sediment gravity flows generated by the failed sediments can  
426 contribute significantly to the ongoing canyon excavation and downslope propagation (Popescu et  
427 al., 2004; Baztan et al., 2005). The presence of the headwall scarps on the slope provide further  
428 sediment input and canyon tributary convergence (Figure 14d). The canyons are thus progressively  
429 propagating to the lower slope and abyssal plain.

#### 430 *5.5 Implication*

431 Many studies have shown how submarine MTCs rugose top surface can capture/reroute  
432 subsequent sediment pathways based on seismic data (Loncke et al., 2009; Ortiz-Karpp et al., 2015;  
433 Qin et al., 2017) and outcrops (Armitage et al., 2009; Jackson and Johnson, 2009; Kneller et al.,  
434 2016). These studies are examples of MTCs located near the shelf edge where the sediment supply  
435 is high. The rugose top surfaces developed along the upper surface of MTCs is caused by the  
436 cohesive nature of the failures, along with the presence of internal structures such as megaclasts,  
437 fold-thrust systems, and pressure ridges (see Bell et al 2009, Steventon et al 2019). The rugose  
438 topography can be healed quickly by subsequent sand-rich turbidity currents or separate failures.



439 Thus, MTCs have a direct influence on the location and distribution of reservoirs and important  
440 implications for hydrocarbon exploration.

441 Conversely, our study documents MTCs in low sediment supply margins where large-scale  
442 sediment bypass is missing. Our study shows strong evidence that the emplacement of MTCs has played  
443 a key role in influencing the evolution of canyon systems. Our study develops a generic model of  
444 the MTCs headwall scarps, as a function of triggering and influencing the morphological evolution  
445 of canyons, thus controlling the sediment bypass from the shelf edge to lower slope and abyssal  
446 plain. Our study indicates that retrogressive failure mechanism can facilitate long-distance  
447 sediment transportation within canyon systems, and may be a common process in a submarine  
448 setting where modern river systems are absent.

449 Previous studies have revealed that the density of marine plastics in canyons are 2-3 times larger  
450 than the adjacent slopes or shelves (Pham et al., 2014; Cau et al., 2017; Kane et al., 2020). The  
451 plastic pollutants can be transported across the shelf by contour currents and delivered to  
452 submarine canyon heads formed far from terrestrial input (i.e. 150 km away from the coastline;  
453 Zhong and Peng, 2021). Therefore, canyons not only can act as a major conduit for delivering  
454 sediments, but they can also receive and transport marine plastics from shallow marine  
455 environments into the deep ocean (Kane et al., 2020).

456 In this study, the canyon heads are subjected to episodic turbidity currents. Therefore they can  
457 receive sediments and plastics delivered by contour currents near the shelf edge (i.e. Kane et al.,  
458 2020; Zhong and Peng, 2021). Moreover, as the MTCs can facilitate longer transport distance of  
459 sediments and plastics within canyons into the deep ocean, plastics delivered by canyon systems  
460 may thus have the ability to travel into the deep Southern Ocean, with associated environmental  
461 impacts (Zhong and Peng, 2021). Therefore, a combination of a high-resolution bathymetry dataset  
462 with manned submersible dives is needed to further study this subject. The high-resolution  
463 bathymetry dataset can provide detailed imaging of the seabed and better constrain the role of  
464 MTCs during the canyon evolution. The manned submersible dives can establish plastic and/or  
465 microplastic density in the deeper marine region, which will help to understand and mitigate  
466 against anthropogenic impacts on the marine environment.

## 467 6. Conclusion

468 This study uses multibeam bathymetry and seismic reflection data to document how the  
469 retrogressive failure mechanism of MTCs has influenced the origin, geometry, and distribution of  
470 canyons in sediment starved submarine settings. In summary: (i) the emplacement of MTCs have  
471 left multi-scaled headwall scarps and lateral margins on the continental margin and slope area, (ii)  
472 the local failures developed associated headwall scarps near the continental shelf-edge have  
473 provided the initial sediment supply for canyon evolution, (iii) the headwall scarps which developed  
474 in the slope setting may act as the preferential pathways for sediment gravity flows, and facilitate  
475 canyon development, (iv) our study thus indicates that retrogressive failure mechanism can  
476 facilitate long-distance sediment transportation within canyon systems in starved submarine  
477 settings.

## 478 Data Availability

479 The seismic reflection data (OS02 3D survey and OS02 2D survey) and bathymetric data used in this  
480 study can be requested from the Geoscience Australia Repository [https://www.ga.gov.au/data-](https://www.ga.gov.au/data-pubs)  
481 [pubs](https://www.ga.gov.au/data-pubs). The GEBCO\_2014 bathymetry map can be downloaded from the Gridded Bathymetry Data  
482 Repository <https://www.ngdc.noaa.gov/maps/autogrid/>.

## 483 Figure Captions

484 Figure 1. (a) Model showing the time evolution of retrogressively failed MTCs, modified from  
485 Sawyer et al. (2009). (b) Schematic sketch showing the different stages of a retrogressive failure,  
486 modified from Locat et al. (2011).

487 Figure 2. (a) Regional map of the study area. (b) Zoom-in view of the study area showing the  
488 location of the city Portland and the Otway Basin. The white lines represent 2D seismic reflection  
489 data, and the red polygon represents the location of the 3D seismic reflection dataset. Shaded  
490 relief GEBCO\_2014 bathymetry map downloaded from  
491 <https://www.ngdc.noaa.gov/maps/autogrid/>. Abbreviations for the Otway Basin are as follows:

492 SAC: South Australia Current, ZC: Zeehan Current.

493 Figure 3. (a) Stratigraphic and basin event chart for the Otway Basin (modified after Krassay et al.,  
494 2004), including lithology interpretation and major tectonic events. The Horizon H1 has been  
495 correlated to the intra-Maastrichtian unconformity surface from Holford et al. (2014). The Horizon  
496 H2 is correlated to the base of the WBF. (b) Regional along slope seismic-section showing the  
497 overall tectonic of the study area. See location from Figure 2b. (c) Regional seismic section that is  
498 perpendicular to the slope, showing the key seismic horizons (H1 to the seabed) and canyon  
499 bearing intervals. See location from Figure 2b.

500 Figure 4. (a) Multibeam bathymetry map of the study area illustrating the seabed morphology. The  
501 red polygon stands for the location of 3D seismic data. The location of this figure is marked by the  
502 black dashed line in Figure 2b. (b) Bathymetric profile along the slope direction, showing the  
503 seabed morphology of the continental shelf, the continental slope and the abyssal plain. (c)  
504 Bathymetric profile crossing the abyssal plain, showing the cross-sectional morphology of two  
505 canyon systems (Canyon-a and Canyon-b). (d) Bathymetric profile revealing the combination of the  
506 two canyon systems. See location in Figure 4a.

507 Figure 5. Schematic diagram showing the morphological parameters used in the quantitative  
508 analyses of the canyons, including the width and height. (a) Uninterpreted cross-section of the  
509 canyon system. (b) Interpreted cross-section of the canyon system with parameters used in  
510 quantitative analyses.

511 Figure 6. (a) Contoured seabed map of the study area extracted from the 3D seismic reflection data.  
512 (b) Schematic representation of seabed geomorphologic interpreted from Figure 6a. See the  
513 location of this figure from Figure 2b.

514 Figure 7. (a) Zoomed in contoured seabed map showing the region of MTC-1. (b) Interpreted map  
515 of Figure 7a, showing the major headwall scarps in MTC-1 and the location of Canyon-1, Canyon-2,  
516 and Canyon-3. (c) 3D view of the canyon confluence geometry in MTC-1, and the crescentic  
517 bedforms within canyons. See the location of Figure 7c in Figure 7b.

518 Figure 8. (a) The N-S oriented seismic section of MTC-1 shows backstep shaped headwall scarps  
519 and MTC-1's basal shear surface. (b) Seismic cross-section cutting through HS-5 and HS-4, showing  
520 the cross-section of the upper part of the Canyon-1, Canyon-2, and Canyon-3. (c) Seismic cross-  
521 section cutting through HS-3, showing the cross-section of the proximal part of the Canyon-1,

522 Canyon-2, and Canyon-3. (d) Seismic cross-section cutting through HS-2 and HS-1, showing the  
523 cross-section of the post confluence part of the canyon system in MTC-1. See the location of Figure  
524 8a-d in Figure 7a.

525 Figure 9. (a) Width profile of the canyon system in MTC-1. (b) Height profile of the canyon system  
526 in MTC-1.

527 Figure 10. (a) Zoomed in contoured seabed map showing the location of MTC-2 and MTC-3. B)  
528 Interpreted map of Figure 10a, showing the headwall scarps in MTC-2 and MTC-3, and the location  
529 of Canyon-4, Canyon-5, Canyon-6, and Canyon-7.

530 Figure 11. (a) Seismic cross-section cutting through HS-5 and HS-4 of MTC-2, showing the upper  
531 part of the Canyon-4 and Canyon-5. (b) Seismic cross-section cutting through HS-2 of MTC-2,  
532 showing the proximal part of the Canyon-5. (c) Seismic cross-section cutting through MTC-2,  
533 showing the distal part of Canyon-5. See the location of Figure 11a-c in Figure 10a. (d) Width and  
534 height profile of the Canyon-5 in MTC-2.

535 Figure 12. (a) Seismic cross-section cutting through the headwall of MTC-3, showing the upper part  
536 of the Canyon-7. (b) Seismic cross-section showing the proximal part of the Canyon-6 and Canyon-  
537 7. (c) Seismic cross-section showing the distal part of Canyon-6 and Canyon-7. See the location of  
538 Figure 12a-c in Figure 10a. (d) Width and height profile of the Canyon-7 in MTC-3.

539 Figure 13. (a) 3D view of seabed morphology showing the head of Canyon-5 and Canyon-7, and the  
540 headwall scarps occurring on the shelf edge. See location in Figure 5a. (b) 3D view of seabed  
541 morphology showing the head of Canyon-3, and the headwall scarps occurring on the shelf edge.  
542 See the location of Figure 13a-b in Figure 6a. (c) Sketch of 2D view of seabed morphology showing  
543 the headwall collapse and the initial stage of canyon evolution on the shelf edge. (d) Sketch of 2D  
544 view of seabed morphology showing the formation of the canyons.

545 Figure 14. Schematic figure showing the evolution model of the canyon system in the study area.  
546 (b) The schematic figure shows that the initial failure was created in the lower slope area, and a  
547 series of headwall scarps occurred updip of the initial failure. (b) The schematic figure shows the  
548 deposition of contourite drifts near the shelf edge, and the occurrence of slope attached MTCs  
549 near the lower slope. (c) The schematic figure shows the retrogressively failed MTCs and widely  
550 distributed headwall scarps in the continental shelf and slope settings. (d) The schematic figure  
551 shows that canyons were captured, converged and re-directed by the pre-existing headwall scarps.

552 Table Caption

553 Table 1. Classifications of MTCs and their influence on the canyon evolution.

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Figure 1

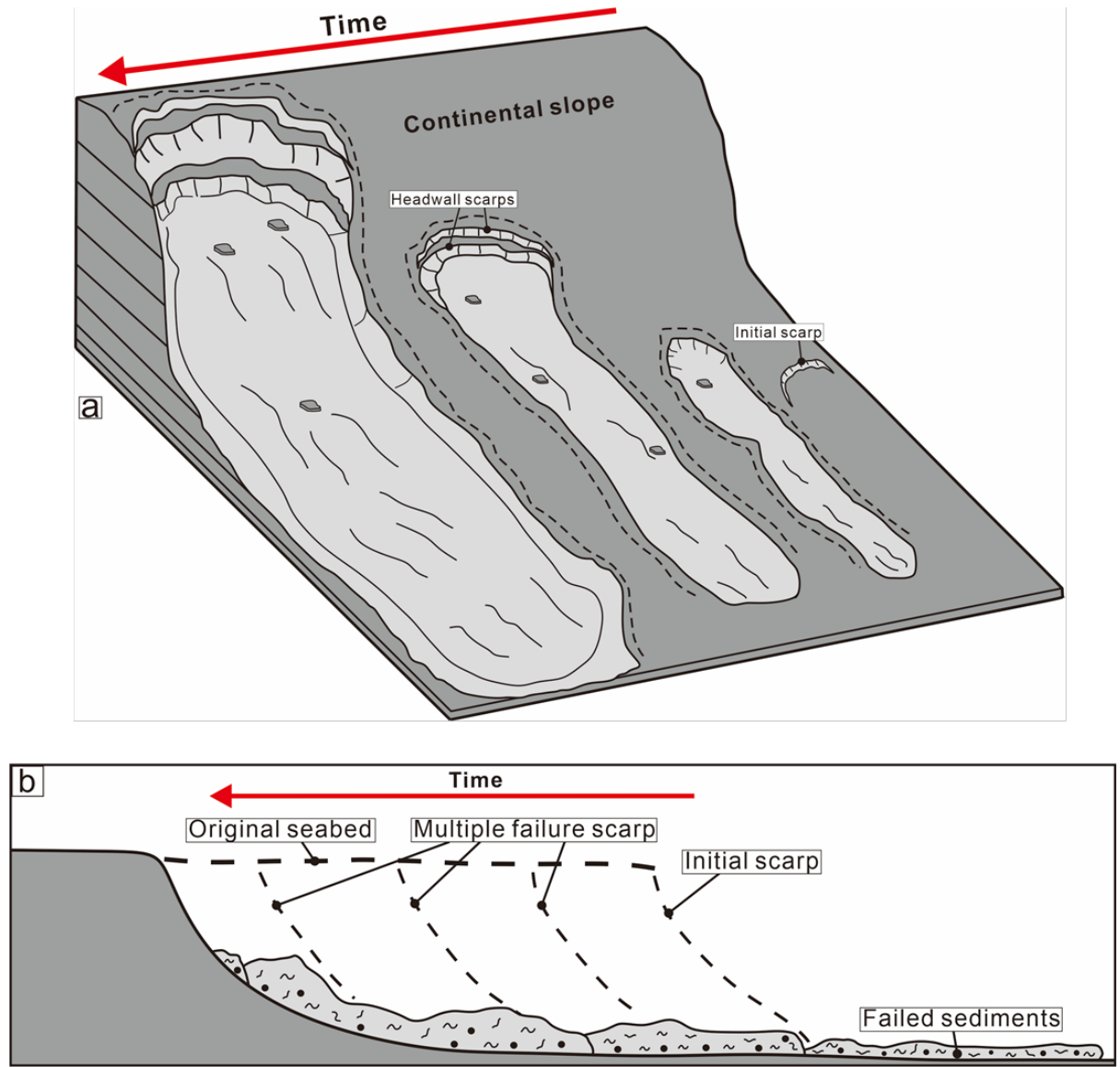


Figure 2

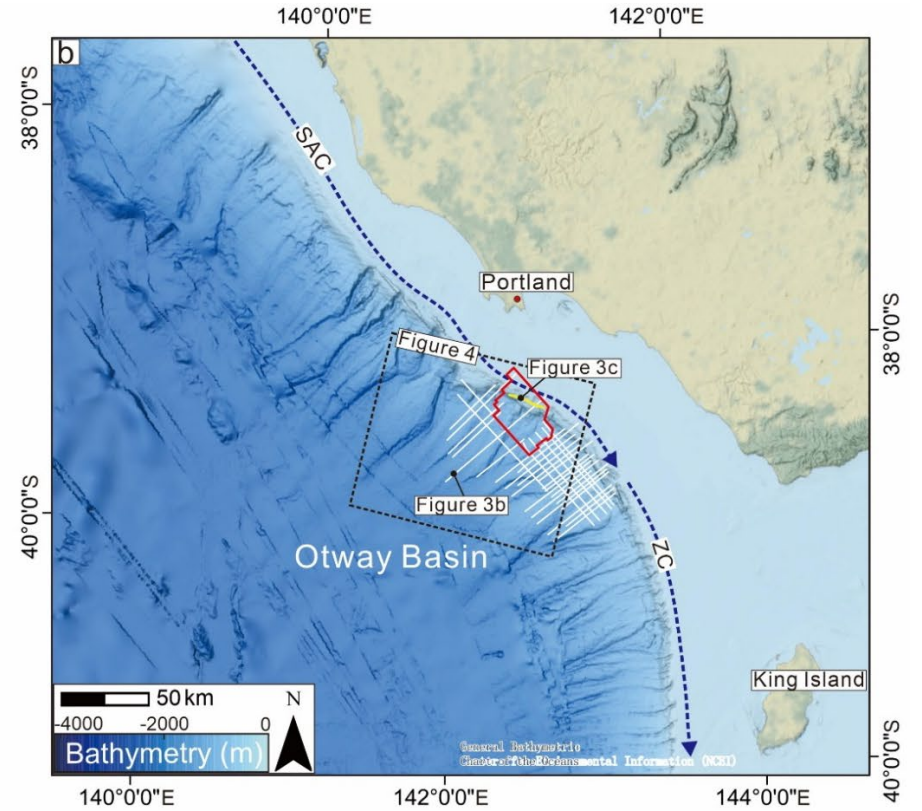
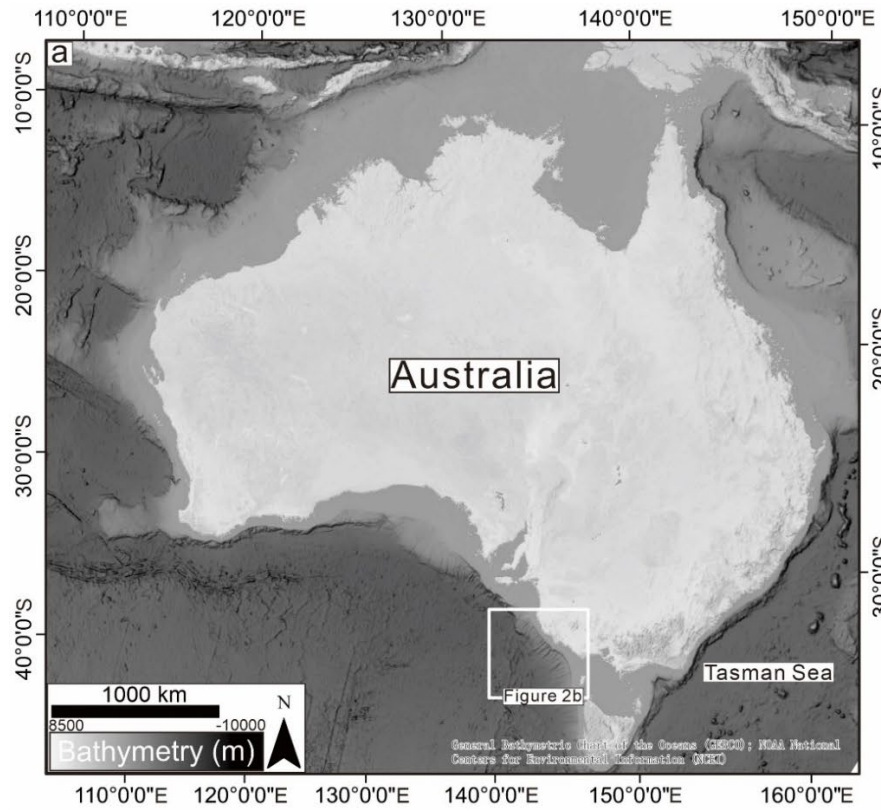




Figure 3

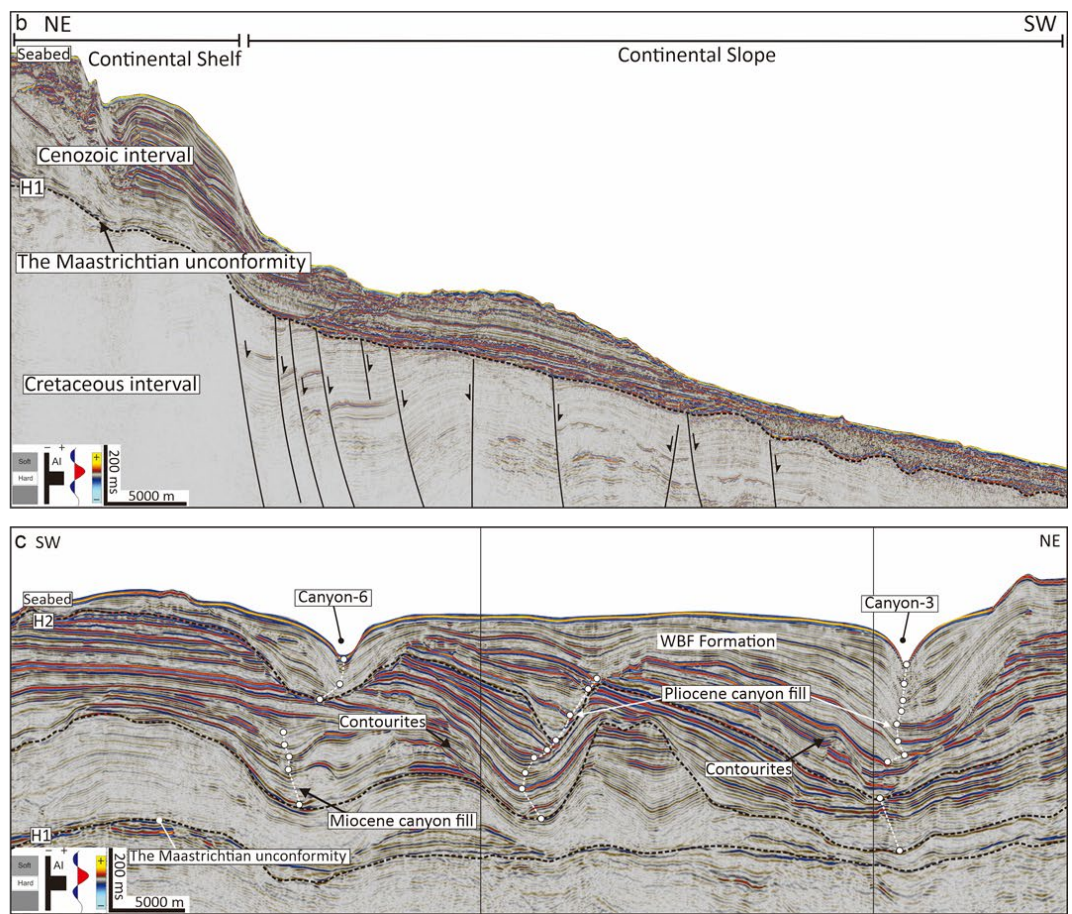
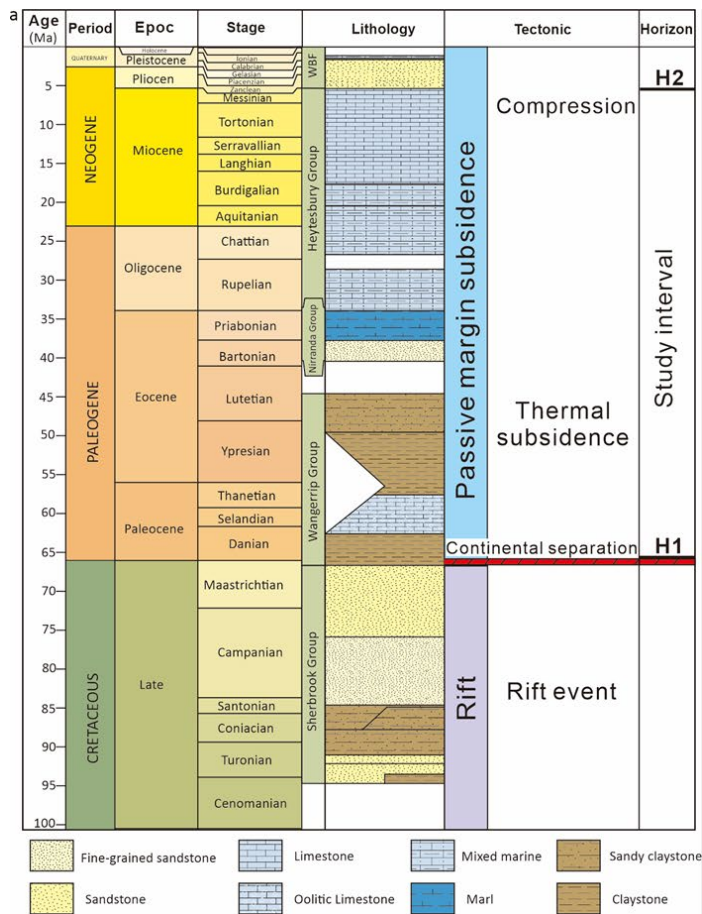


Figure 4

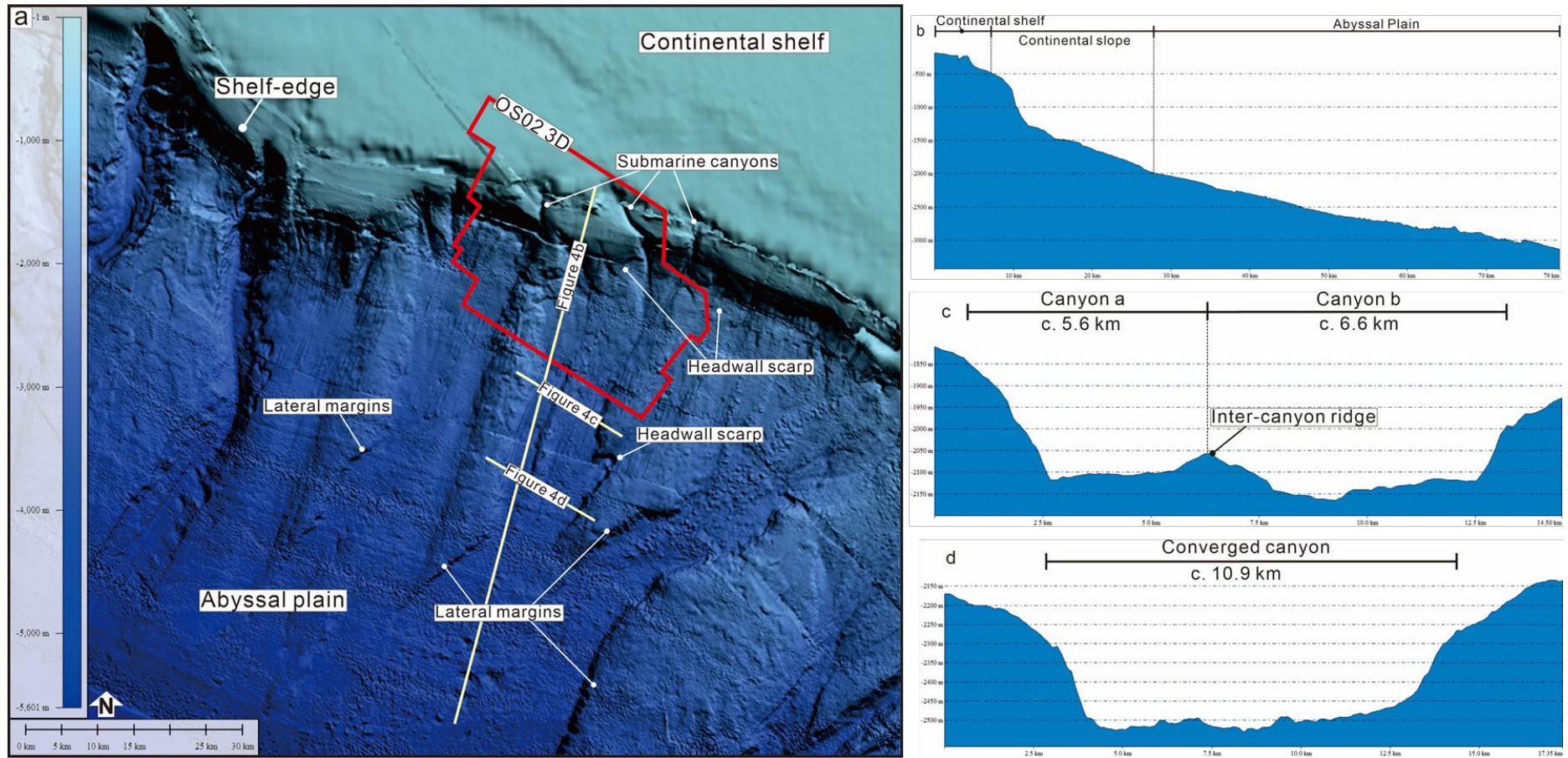




Figure 5

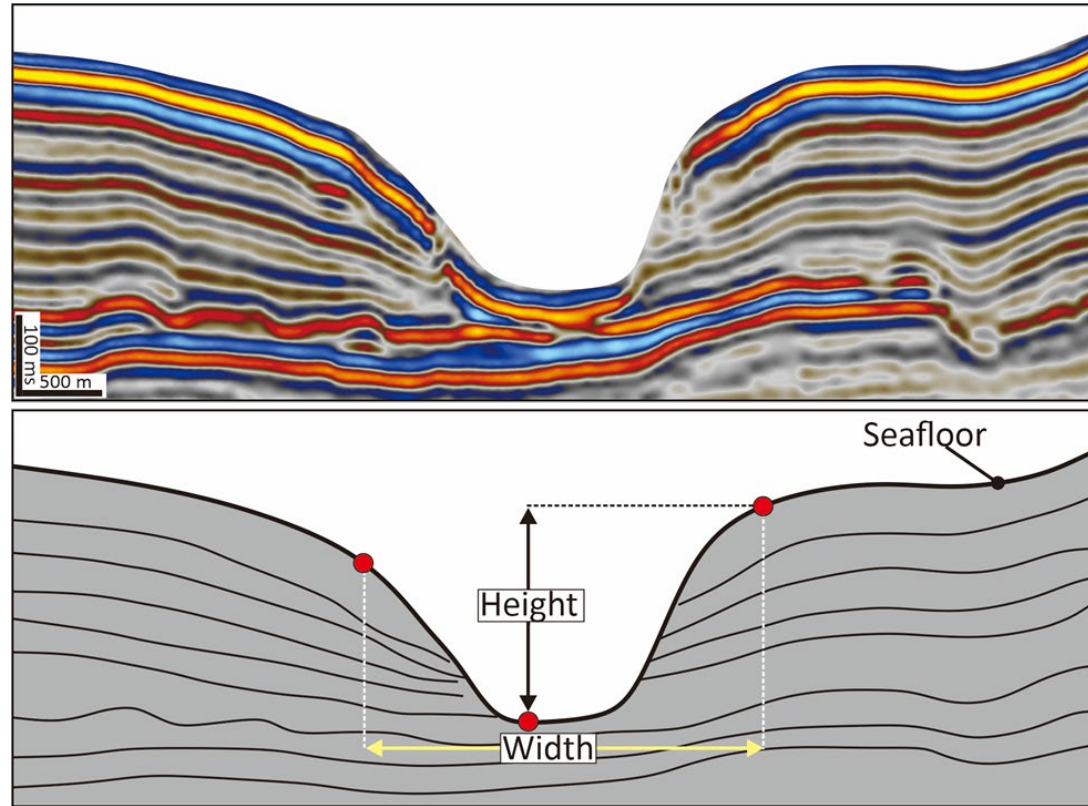




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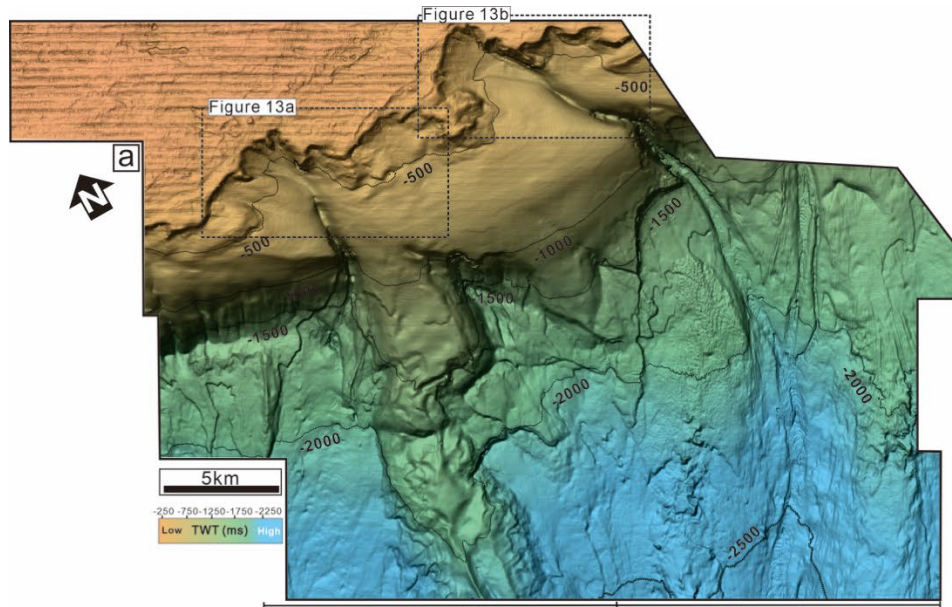


Figure 10

Figure 7

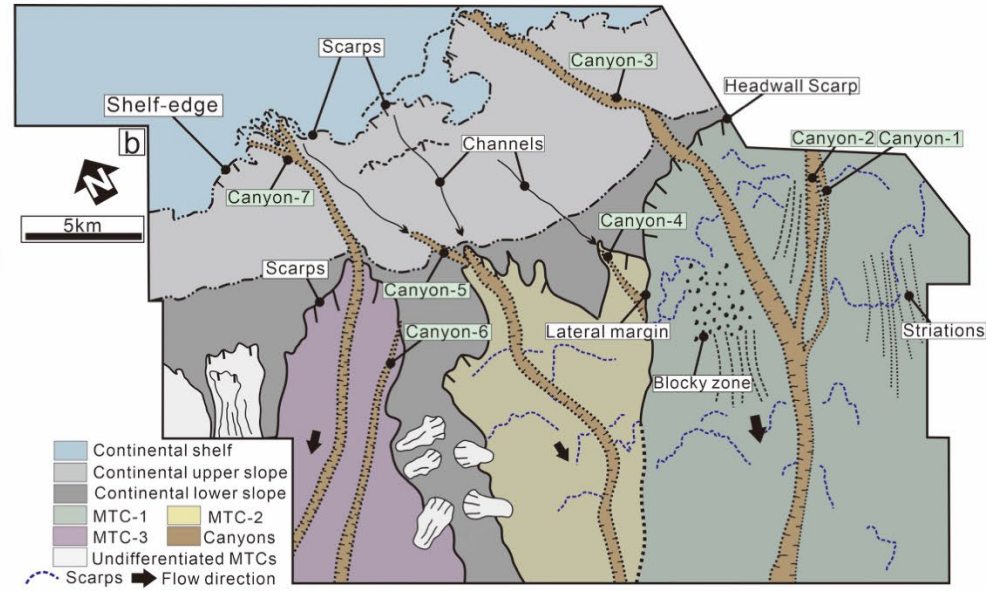
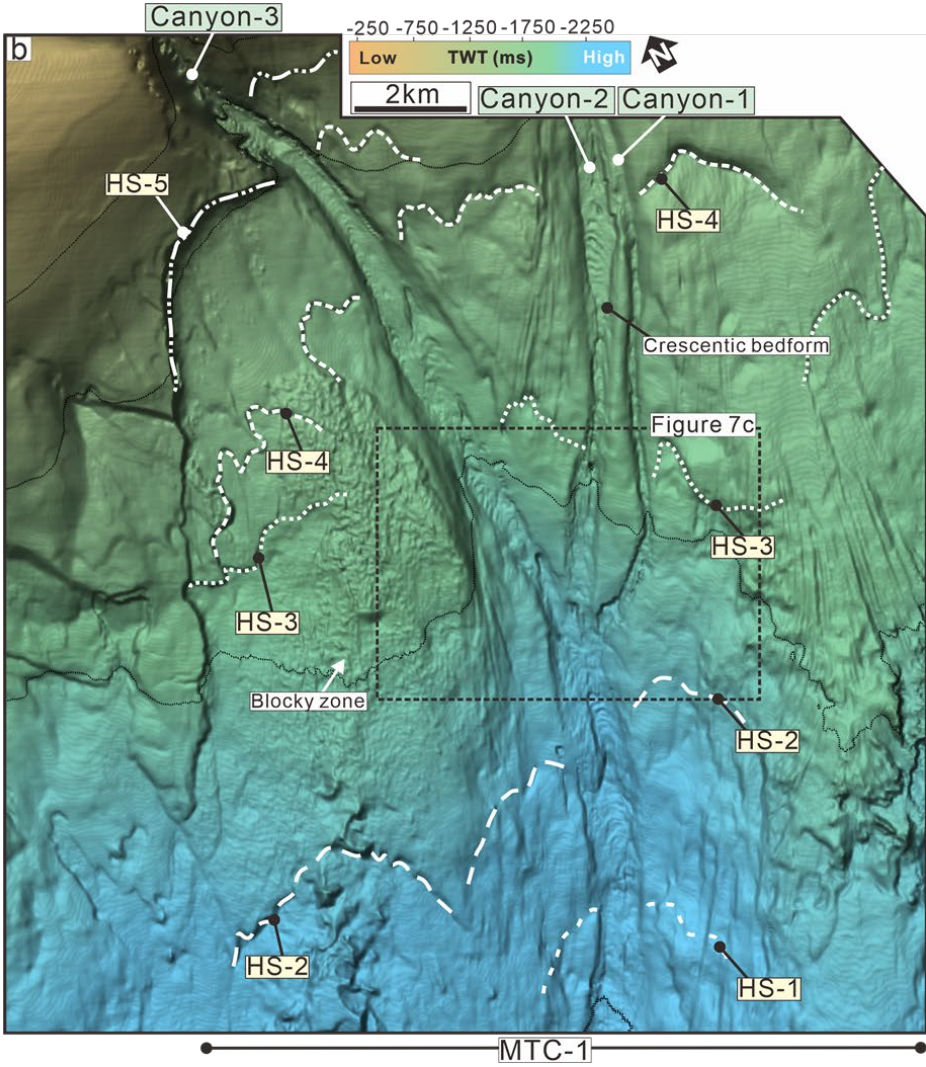
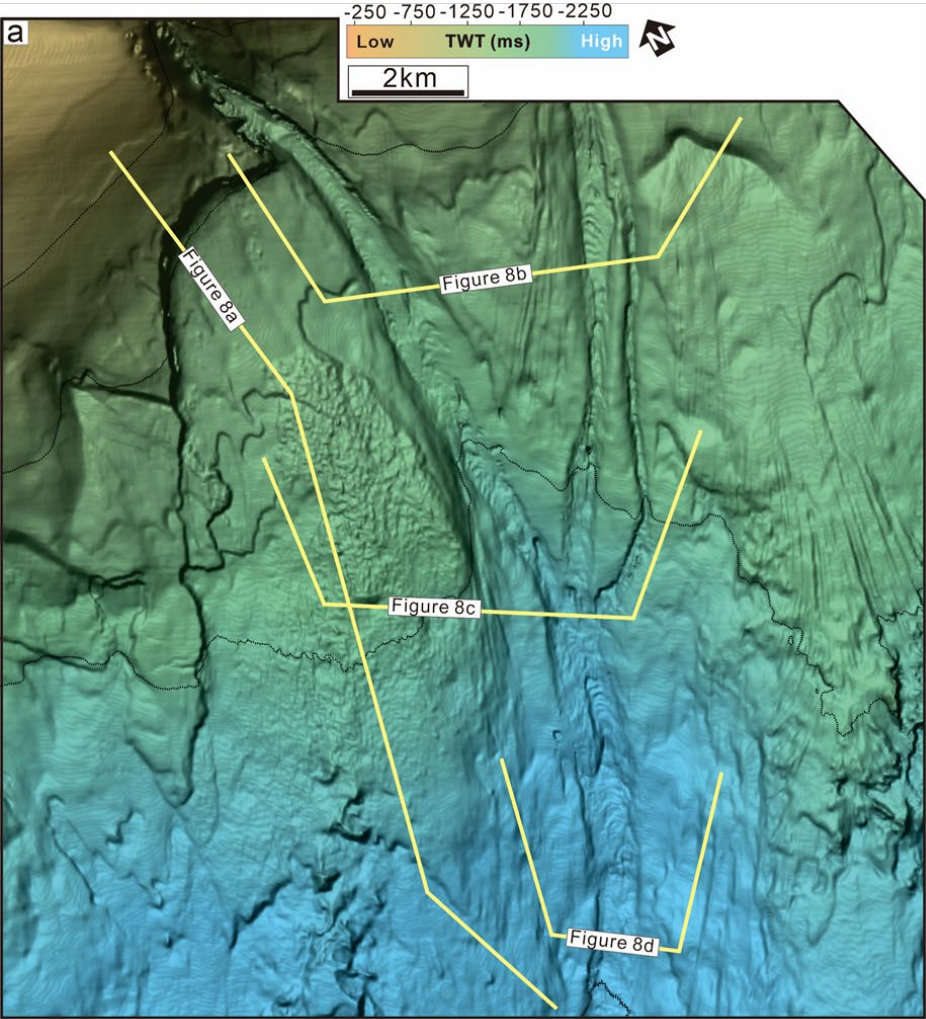


Figure 7





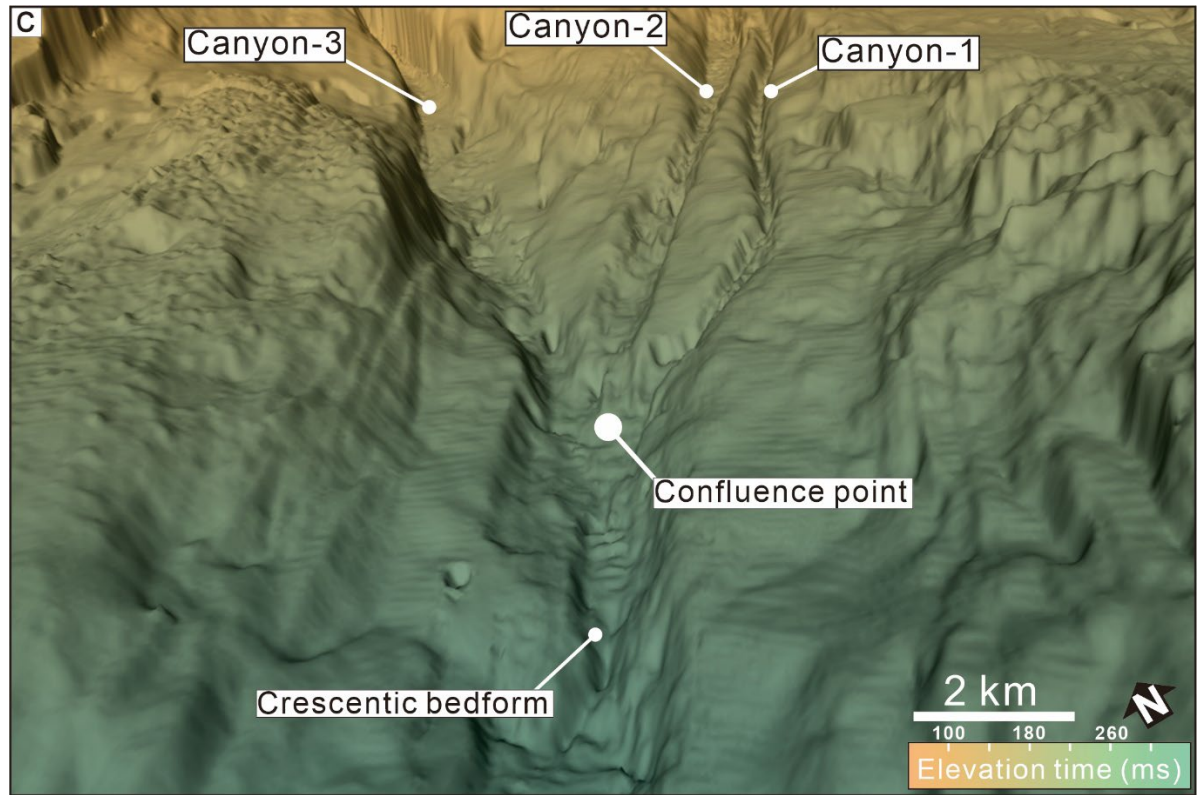


Figure 8

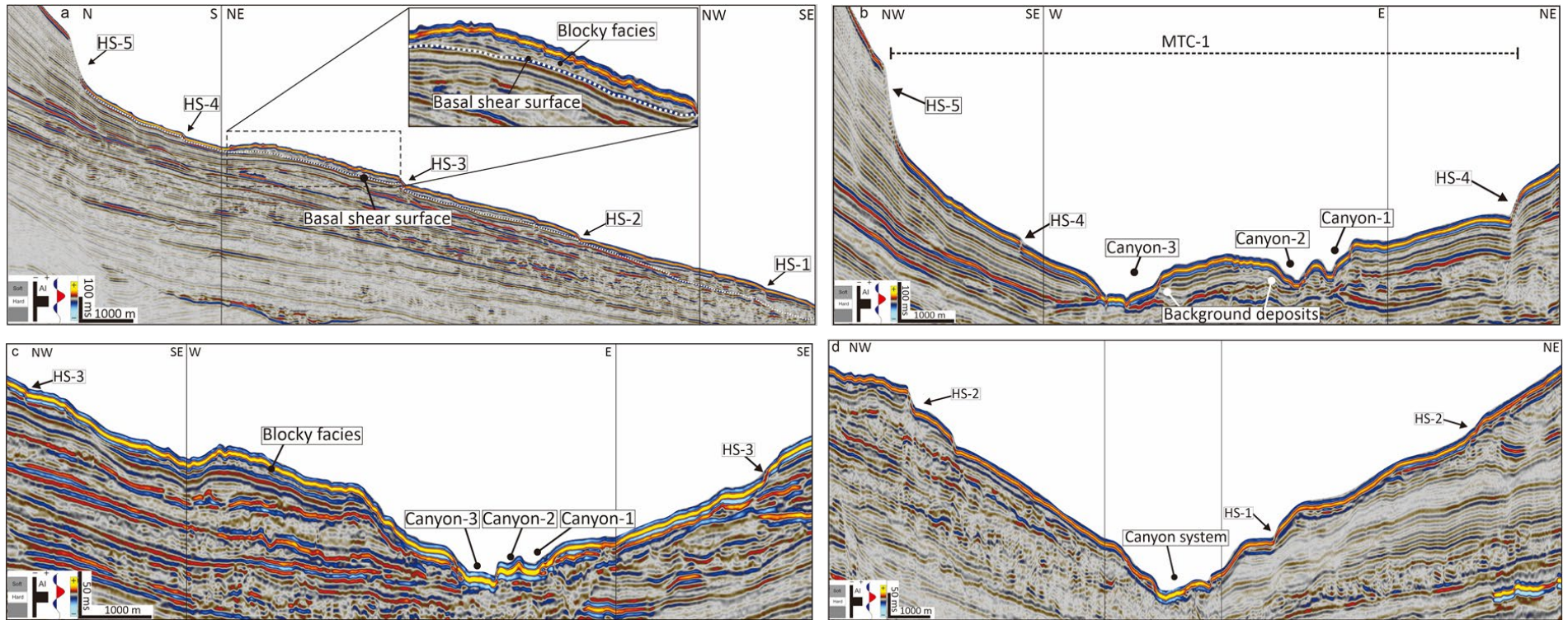


Figure 9

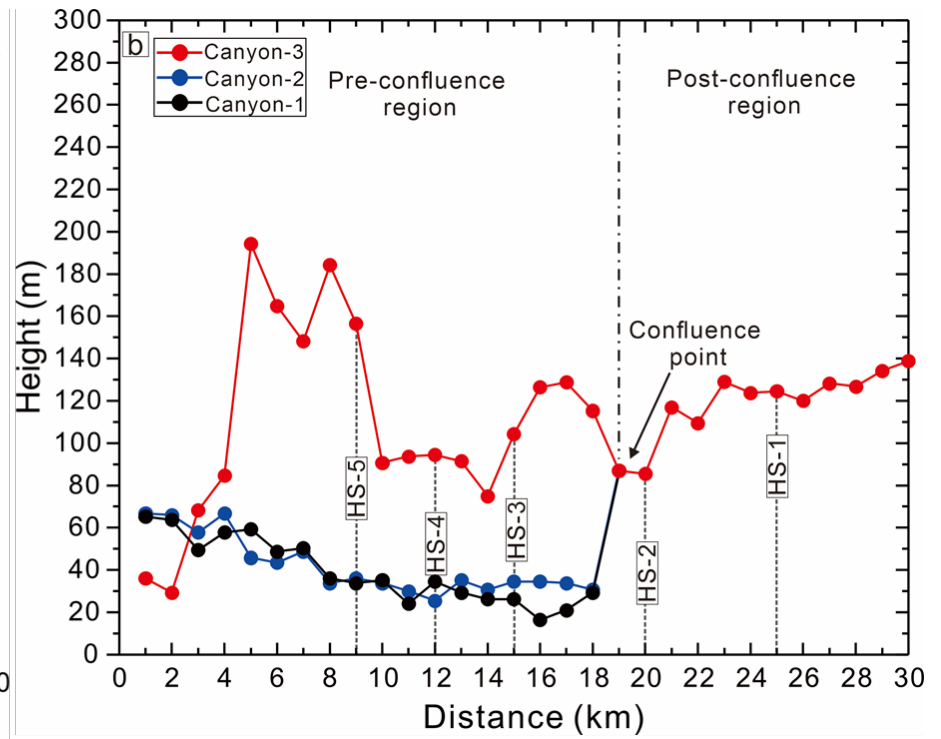
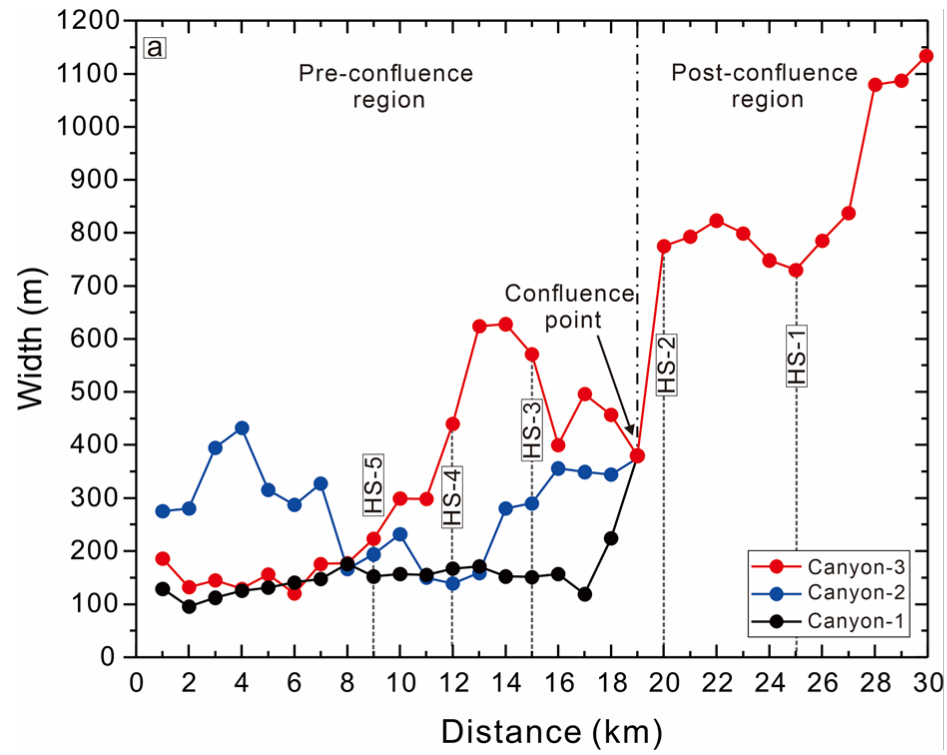




Figure 10

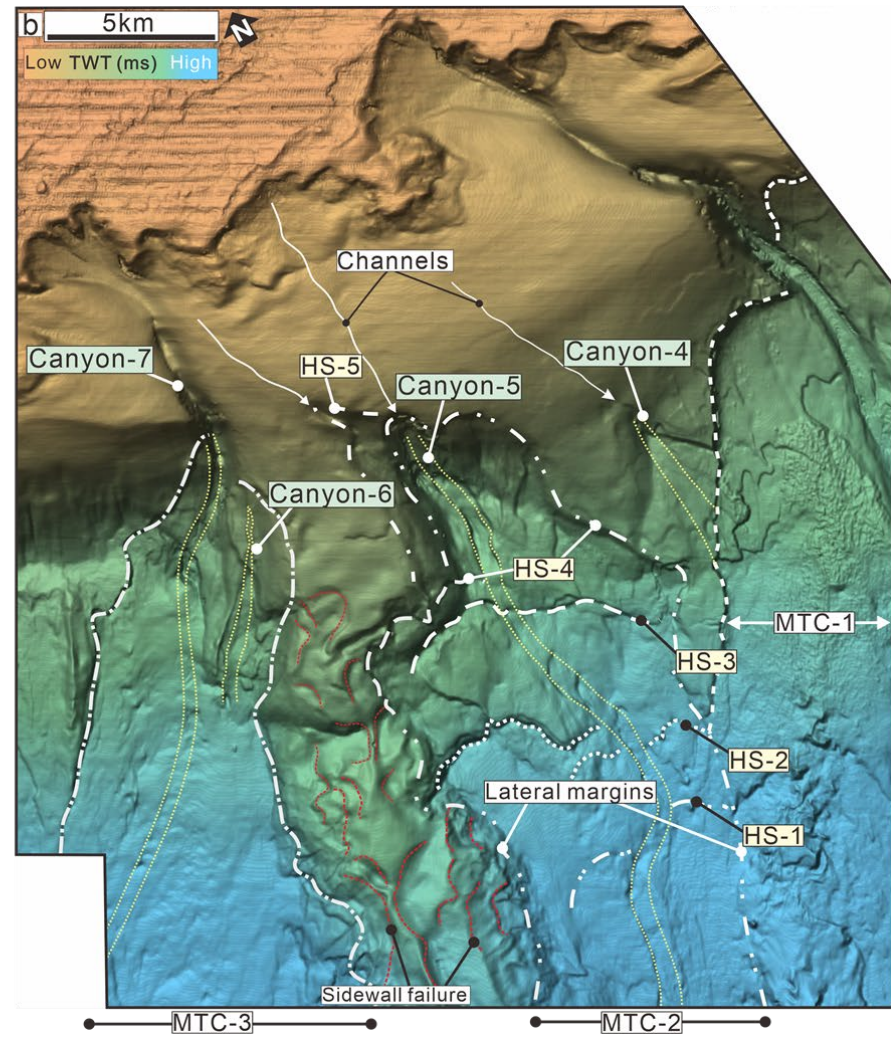
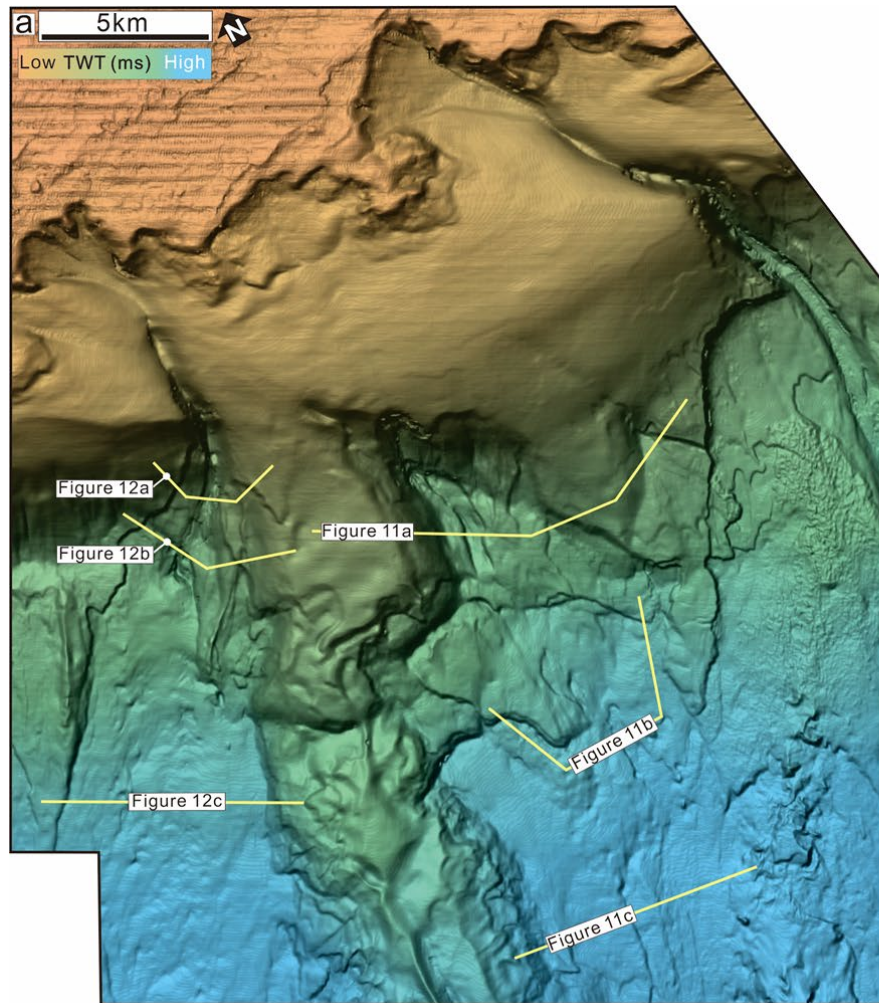


Figure 11

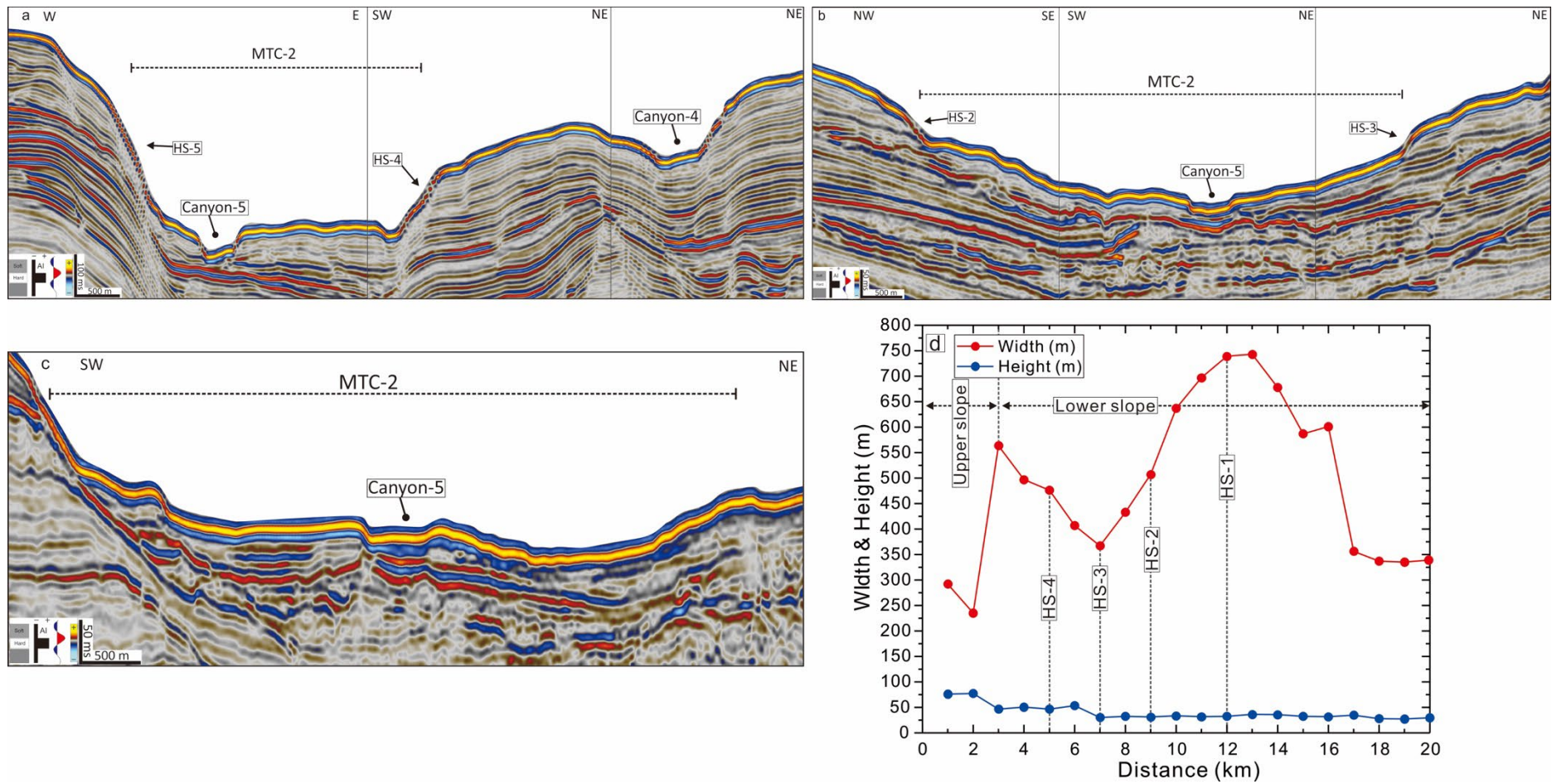




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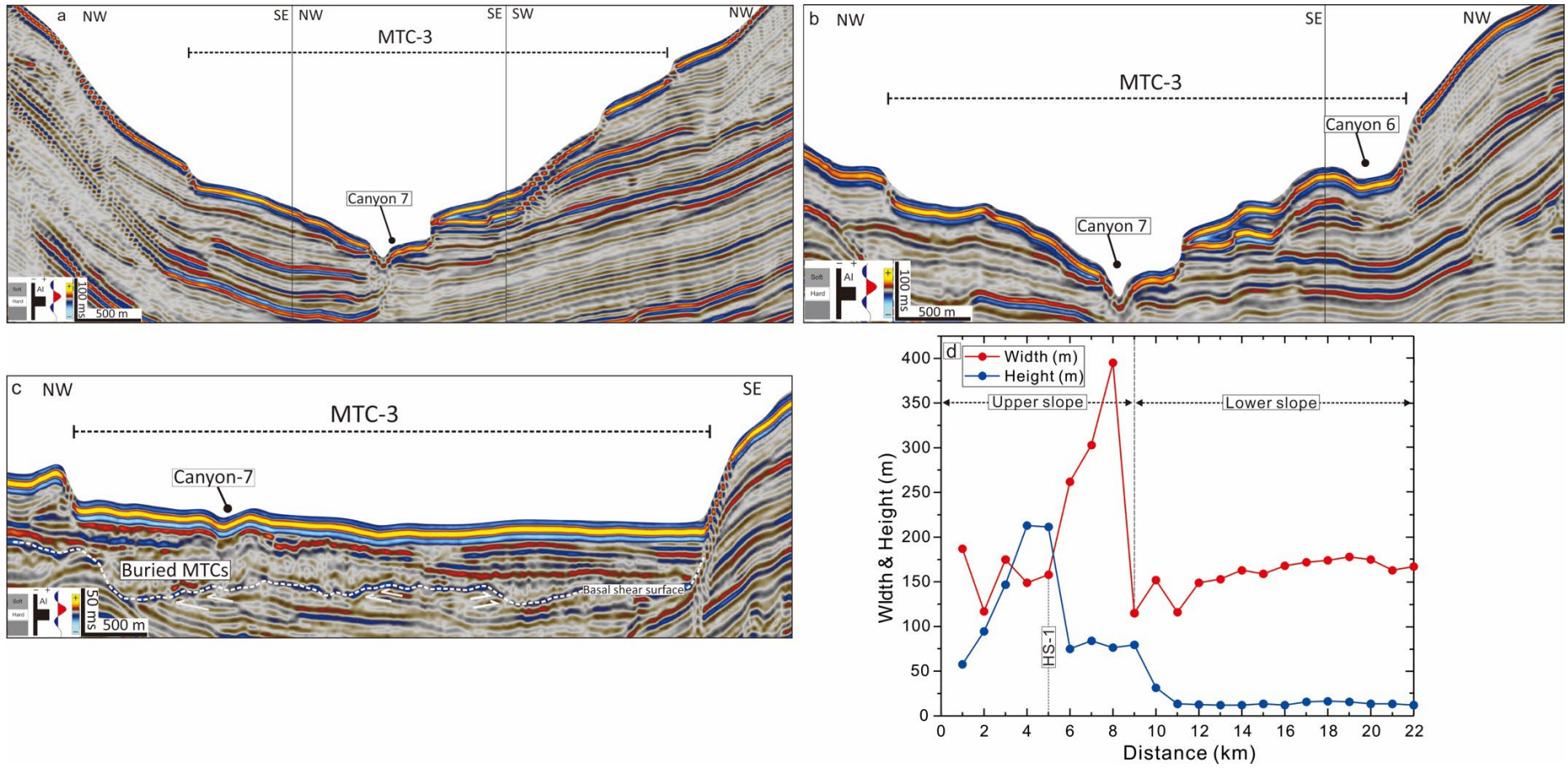




Figure 13

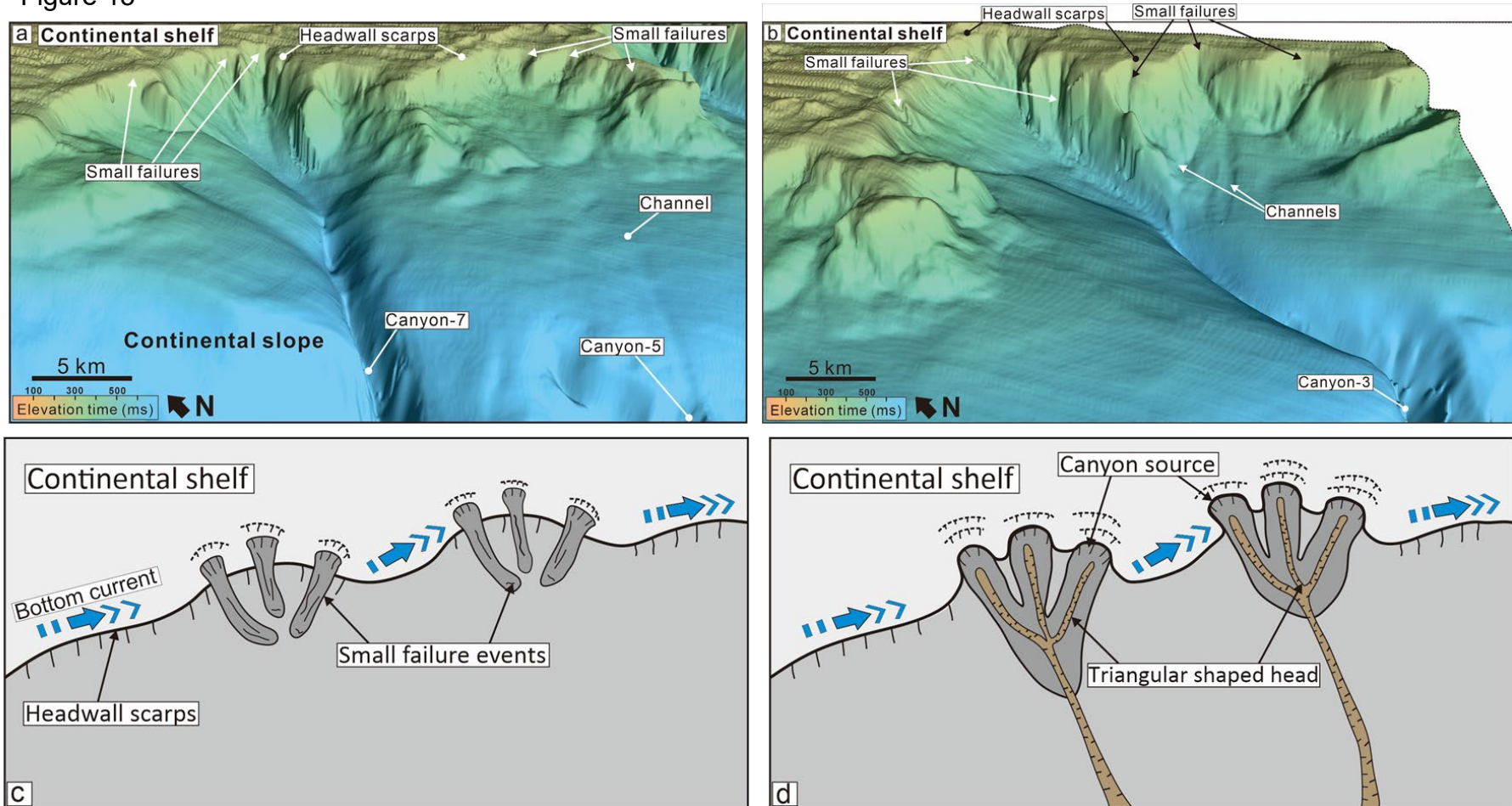
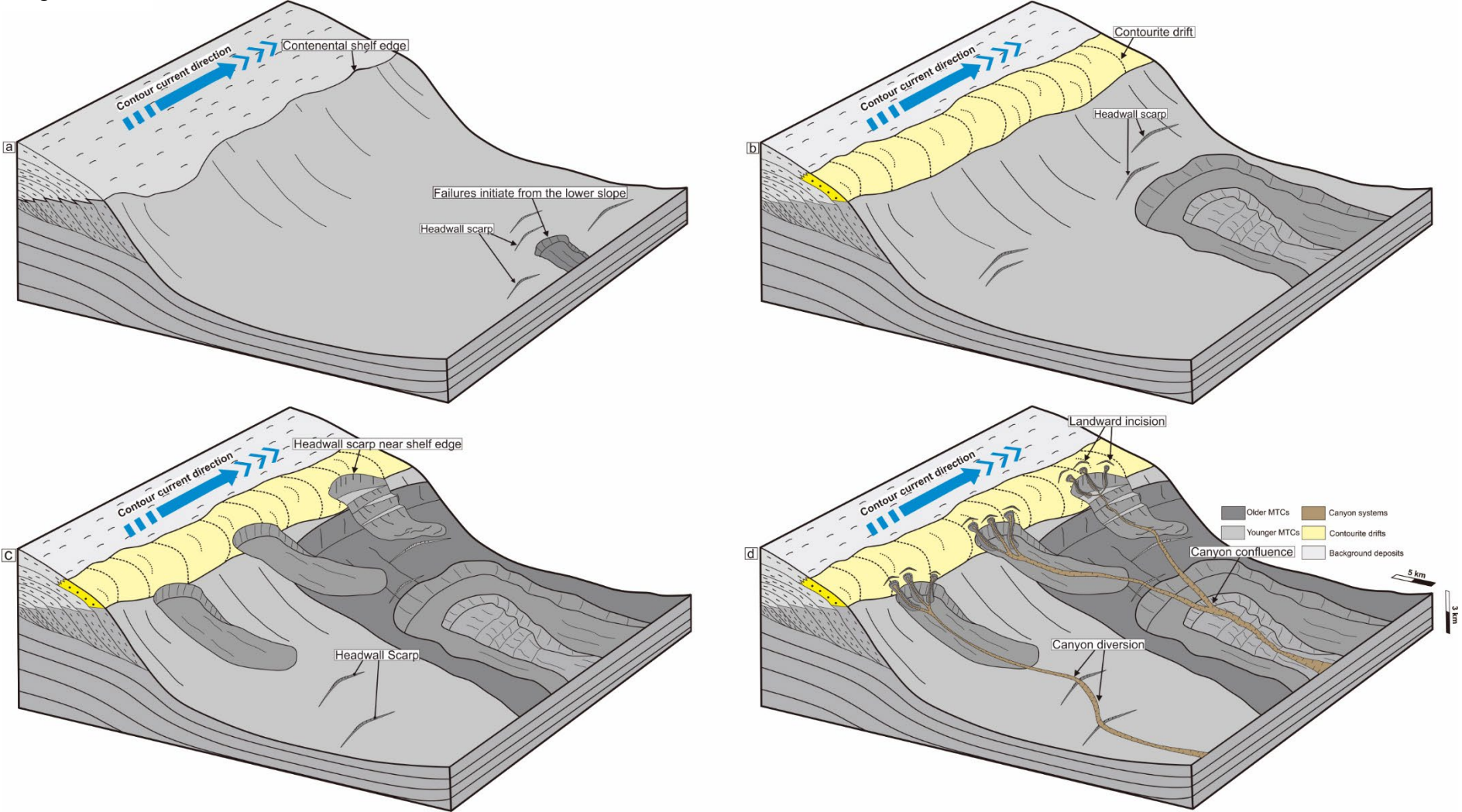


Figure 14



Classification	MTC	Headwall scarps	Canyons	Influences imposed on canyons
Type-1	MTC-1	HS-1 to HS-5	Canyons 1-3	Canyon confluence, widening and deepening
	MTC-2	HS-1 to HS-5	Canyon-5	Canyon transport direction diversion
Type-2	MTC-3	None	Canyons 6-7	No canyon confluence nor diversion

Table 1. Classification of MTCs. Note that MTCs are the abbreviation of mass-transport complexes, and HS equals headwall scarps.