

1 This is a non-peer reviewed preprint submitted to EarthArXiv. It is has been  
2 submitted to Renewable Energy.

3

## 4 **Linking technical geothermal potential from borehole** 5 **heat exchangers and heating demand scenarios on a** 6 **regional scale**

7 Johannes M. Miocic<sup>1,2</sup>, Marc Krecher<sup>3</sup>

8 <sup>1</sup>Energy and Sustainability Research Institute, University of Groningen, Energy Academy, Nijenborgh 6,  
9 9747 AG Groningen, The Netherlands

10 <sup>2</sup>Institute of Earth and Environmental Sciences, University of Freiburg, Albertstr. 23b, 79104 Freiburg,  
11 Germany

12 <sup>3</sup>bnNetze GmbH (Badenova Group), Tullastr. 61, 79108 Freiburg, Germany

13 *Correspondence to:* j.m.miocic@rug.nl

### 14 **Abstract**

15 Extracting shallow geothermal energy using borehole heat exchangers (BHEs) can help decarbonising  
16 the residential heating sector. To assist urban planners and policy makers in developing carbon-neutral  
17 heating plans the regional technical shallow geothermal potential must be analysed. Here, we propose  
18 a methodology to estimate the technical geothermal potential of BHE fields on a regional scale while  
19 taking potential thermal interference between BHEs, geological conditions, as well as space available  
20 for BHE installation into account. The number of BHEs placed is maximized and heat extraction rate  
21 from each BHE is optimized taking regional regulations into account. When the methodology is applied  
22 to the German state of Baden-Württemberg on a building-block scale, results suggest an annual  
23 technical potential of 33.5 TWh. This technical geothermal potential is then linked to heating demand  
24 scenarios per building block and the results show that, depending on the renovation status of the  
25 buildings, between 44 % and 93 % of all building blocks can be heated using only BHEs. This allows for  
26 the identification of building blocks in which BHEs are not able to meet the heating demand and where  
27 other means of heat supply will be needed.

28

29 **Keywords:** Shallow geothermal energy; Borehole heat exchangers; Technical potential estimation;  
30 Heating demand

### 31 **1 Introduction**

32 In order to achieve greenhouse gas emission reduction targets set out in the Paris agreement  
33 (UNFCCC, 2015) and the EU's climate neutrality goal by 2050 as outlined in the European Green Deal  
34 (European Parliament, 2020), a large-scale transformation to renewable energies in the heating and  
35 cooling sector is needed. Energy used for heating and cooling accounts for the majority of the final  
36 energy use per household (79 % in EU households), and about 30 % of all energy consumed in the

37 European Union is used for space heating and hot water generation (Fleiter et al., 2017). The bulk  
38 energy used in the heating and cooling sector is still generated from fossil fuels (75% of heating and  
39 cooling in the EU in 2018), and in order to meet the climate and energy goals, on both EU and country  
40 level, the sector is in high need for decarbonisation and reduction of energy consumption. Widely  
41 discussed options for heating and cooling in future renewable energy systems include district heating  
42 (Lund et al., 2010), decentralization of energy systems (Orehounig et al., 2015), and the widespread  
43 use of heat pumps (Lund, 2007). For the latter, shallow geothermal energy systems are particularly  
44 appealing as they are more efficient than water-air heat pumps as the ground has a more stable  
45 temperature than the ambient air. Therefore, a widespread global roll-out of shallow geothermal energy  
46 utilization would allow for a strong carbon emission reduction of the heating sector (Lund and Boyd,  
47 2016).

48 Shallow geothermal energy systems provide heating and/or cooling by exchanging heat with the shallow  
49 subsurface either via an open system, where ground water is accessed and acts as a heat carrier, or a  
50 closed system, where a synthetic heat carrier fluid is circulated through a closed tubing system in the  
51 ground for heat exchange. Both open and closed systems employ heat pumps to extract heat from the  
52 carrier fluid and supplying heating applications. While horizontal closed-loop systems can be installed,  
53 more commonly ground source heat pumps (GSHP) are set up with vertical boreholes heat exchangers  
54 (BHE). GSHPs are particularly interesting for areas with a low heat demand density for which a  
55 connection to a district heating network is not economically or environmentally efficient (Tissen et al.,  
56 2021).

57 In recent years, the sustainability and long-term effects of shallow geothermal energy usage has been  
58 addressed in many studies. High BHE densities can lead to interference between single boreholes  
59 (Meng et al., 2019; Vienken et al., 2015; Zhang et al., 2018) and decreasing ground temperatures may  
60 lead to a decrease in GSHP efficiency over time (Li et al., 2014; Patton et al., 2020). When shallow  
61 geothermal systems are also used for space cooling heat is introduced into the subsurface which leads  
62 to increased groundwater temperatures which in turn may lead to subsurface urban heat islands under  
63 densely populated areas (Menberg et al., 2013; Rivera et al., 2017). Numerous studies have explored  
64 the feasibility of geothermal use in urban areas with various approaches (Casasso and Sethi, 2016; Luo  
65 et al., 2018; Noorollahi et al., 2017), often using geographic information systems in combination with  
66 analytical or numerical models. These studies can support urban planners and policy makers, however  
67 to identify areas particularly suitable for GSHPs and to determine spatially defined regional differences  
68 regional studies of the technical geothermal potential are needed.

69

70 To date most studies that assess the regional-scale potential of geothermal energy estimate the  
71 theoretical potential, which is defined as the physically available energy in a given ground volume (Bayer  
72 et al., 2019), instead of the technical potential, which is the technically extractable heat with  
73 consideration of the built environment and the interference between boreholes. Such studies include the  
74 estimation of thermal conductivity on a large-scale as the entire European continent (Bertermann et al.,  
75 2015) or ground temperatures across the whole of Canada (Majorowicz et al., 2009). Other studies  
76 quantify regional technical potential of single boreholes with different approaches (Casasso and Sethi,  
77 2016; Galgaro et al., 2015; Tissen et al., 2019a) but lack to take the interaction and interference between

78 boreholes into account. Only one recent study estimates the technical potential on a regional scale  
79 (Walch et al., 2021), however it fails to link the geothermal potential to the heating demand.

80

81 A different approach was developed in 2014 for the purposes of municipal energy consulting by the  
82 regional energy supplier badenova AG & Co. KG (Krecher 2014). Based on a self-developed GIS-based  
83 analysis tool, it was possible to calculate the maximum possible energy output of borehole heat  
84 exchangers to satisfy the energy demand on the residential building level. Borehole and geothermal  
85 probe parameters are adopted to the surrounding conditions, taking also the interference between  
86 boreholes into account. This makes it possible to promote the use of geothermal heating at the level of  
87 residential quarters, considering local risks regarding the geological and subsoil conditions to reduce  
88 the inhibition threshold of the applicants. Based this previous work, we estimate the technical geothermal  
89 potential of vertical heat exchangers of GSHPs on a more regional level and compare it to three different  
90 demand scenarios for residential buildings. We calculate the maximum number of BHEs that can be  
91 placed on a building block scale while taking the build environment into account. For the technical  
92 geothermal potential, the thermal interference between BHEs is included by estimating g-functions on a  
93 building-block level which allows for a rapid calculation of the technical geothermal potential. Heat  
94 extraction rates are maximised while considering federal and state restrictions on BHE depth as well as  
95 ground and fluid temperatures. Within this study, vertical closed-loop GSHP systems which is the most  
96 widely used type of system in Germany are considered. Groundwater flow, possible re-charging of the  
97 subsurface with heat from solar thermal generators and space cooling during summer days are  
98 neglected in the presented model and thus the estimated geothermal potential can be regarded as  
99 conservative. This potential is then linked to three different demand scenarios which take building  
100 renovation status into account. This allows for identification of building blocks in which GSHP systems  
101 can supply all the demanded heat as well as for determination of building blocks for which even for a  
102 low heat demand scenario additional means of heating supply are needed.

## 103 **2 Material and Methods**

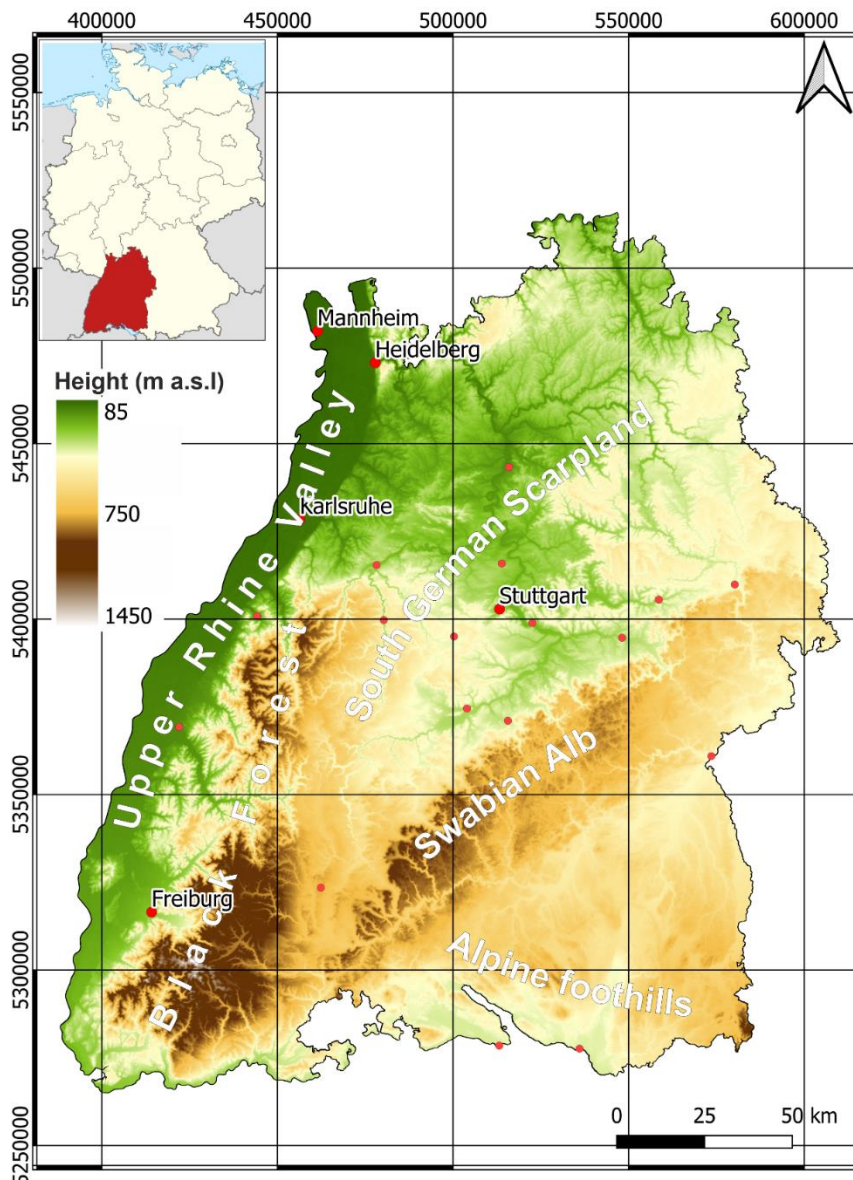
### 104 **2.1 Study area**

105 The state of Baden-Württemberg is located in the south-west of Germany and is its third largest state  
106 both by population (11.07 million) and area (35.751 km<sup>2</sup>) (Fig. 1). It has a diverse landscape, with  
107 dominant features being (1) the Upper Rhine Valley in the west, (2) the mountain range of the Black  
108 Forest which rises to the east of the valley, (3) the south German Scarplands north and east of the  
109 mountain range, (4) the high plateau of the Swabian Alb in the east of the Scarplands, (5) and the  
110 foothills of the Alps in the south-east of the state. This landscape is the result of a hundreds of million  
111 years long geological evolution and outcropping rocks cover most of the geological periods, ranging  
112 from Pre-Cambrian to Quaternary rocks (Geyer et al., 2011). The highest elevations can be found in the  
113 Black Forest (1493 m) while in the northern Upper Rhine Valley has elevations as low as 85 m above  
114 sea level. The location within Europe results in a more maritime climate in the west of the state and a  
115 continental climate in the east of the state. The Upper Rhine Valley has some of the warmest annual

116 mean temperatures of all of Germany (>10° C) while in the Black Forest mean annual temperatures can  
 117 be lower than 4°C.

118 The state has adopted legislation to reduce its greenhouse gas emissions by 42 % by 2030 and by 90 %  
 119 by 2050 compared to emission in 1990. One key aspect of the legislation is that all municipalities have  
 120 to (1) report their energy usage annually and municipalities with a population >20.000 (2) have to  
 121 develop a municipal heating plan (KSG BW, 2013). These heating plans will form the base for climate-  
 122 neutral heating supply in 2050. In 2017 around 90 % of the final energy consumption of households in  
 123 Baden-Württemberg was from fossil fuels, with heating predominantly supplied by heating oil and natural  
 124 gas (Schweizer, 2019). In 2019 around 43.000 GSHP systems were operating in the state, with annually  
 125 around 3000 more systems being installed. The implementation of new GSHP systems must follow  
 126 particularly strict regulations in this region due to several prominent damage events where improper  
 127 installation of BHEs in areas with complex geology led to surface uplift/subsidence (Baden-  
 128 Württemberg, 2018).

129



130  
 131 **Figure 1:** Map of the state of Baden-Württemberg. Inset illustrates the location of the study area within Germany.

## 132 2.2 Input data

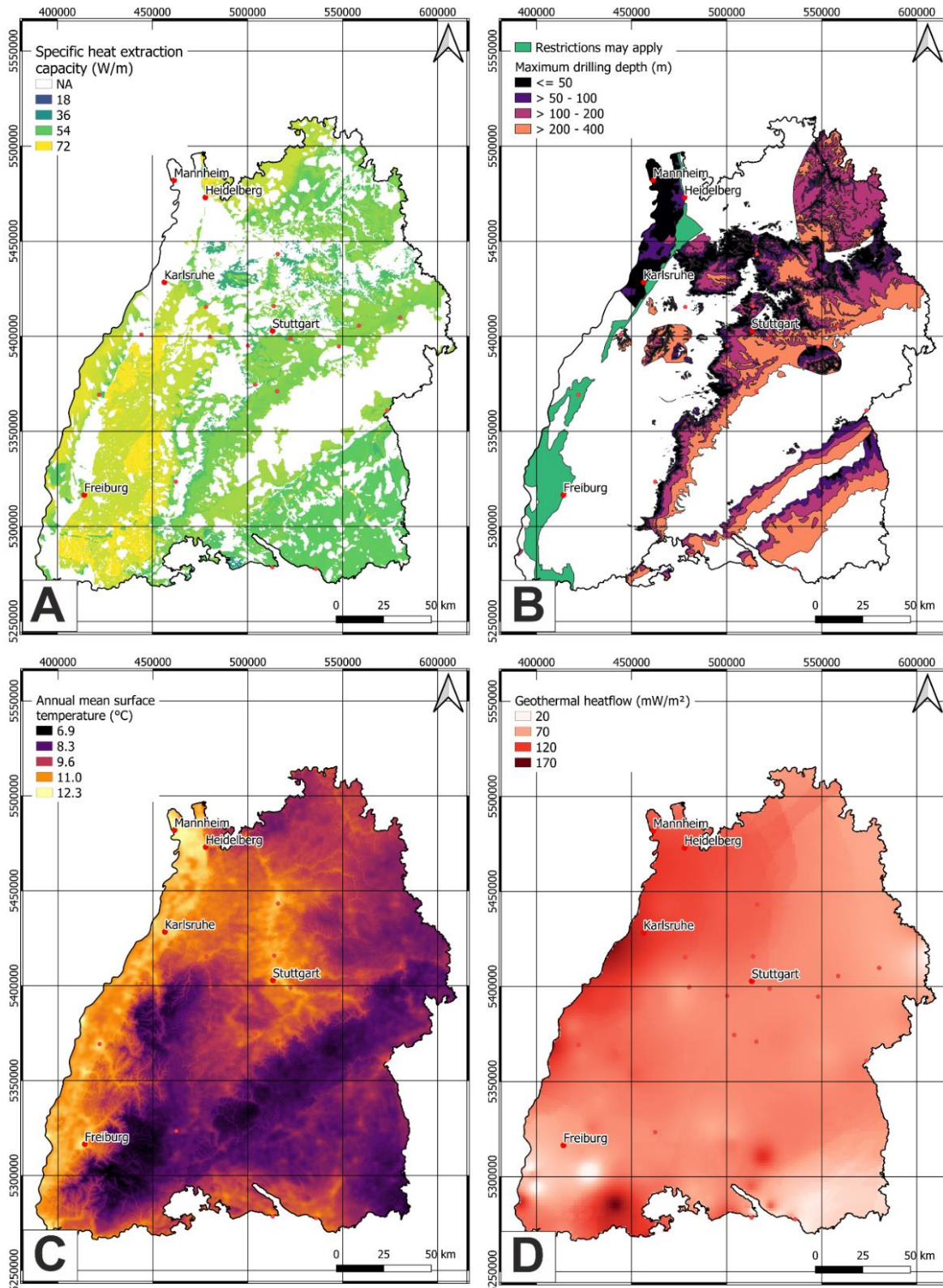
133 Heating demand of residential buildings in Baden-Württemberg was provided as shape file on a building-  
134 bloc scale by the ministry of environment, climate and energy. The same data can be accessed, but not  
135 downloaded, online ([www.energieatlas-bw.de](http://www.energieatlas-bw.de)). This heating demand data is based on a census on  
136 building type, year of construction and living area in the year 2011 as well as studies on heating demand  
137 of building types and ages. The Energieatlas provides three different heating demand scenarios: “as is”  
138 which reflects the heating demand at the year of construction but with coated double glazing  
139 independently of the construction year, “conventional renovation” which assumes a 12 cm insulation of  
140 roof and walls as well as coated double glazing, and “forward-looking renovation” which roughly  
141 translates to a KfW-55 efficiency house with a wall insulation of 18 cm, roof insulation of 24 cm, triple  
142 glazing, and a heat pump for heating and hot water.

143 Data on building layouts, roads, railways, and surface waters are freely accessible as vector data from  
144 the OpenStreetMap (OSM) Project and were downloaded for the whole state from the Geofabrik servers  
145 (<https://download.geofabrik.de/>) on the 25.05.2020.

146 Annual average surface temperatures (C°, mean of 2002-2012) with a spatial resolution of 250 x 250 m  
147 are based on MODIS data (Metz et al., 2014) and raster data is available processed and ready to use  
148 from the Hotmaps project (Fig. 2c, [www.hotmaps.eu](http://www.hotmaps.eu) (accessed 28.05.2020)).

149 Terrestrial heat flow (W/m<sup>2</sup>) was interpolated from data available at the IHFC Global Heat Flow Database  
150 (<https://ihfc-iugg.org/products/global-heat-flow-database>) using the 2018 release, which is based on an  
151 earlier version (Global Heat Flow Compilation Group, 2013).

152 The maximum heat extraction rate (W/m borehole length) for different borehole lengths and usage times,  
153 as well as areas with restrictions for BHE installation (due to ground water protection areas or the  
154 presence of swellable rocks in the subsurface) were provided as raster data by the state office for  
155 geology, resources, and mining (Figs. 2a, b). This data is also accessible online in the information  
156 system for shallow geothermal energy (ISONG, <https://isong.lgrb-bw.de/>). ISONG data is based on a  
157 3D geological model for the whole state of Baden-Württemberg, the maximum heat extraction data is  
158 calculated following VDI 4640. It is notable that for about 1/3 of the state’s area BHE installations are  
159 restricted due to the geological setting or ground water protection areas.



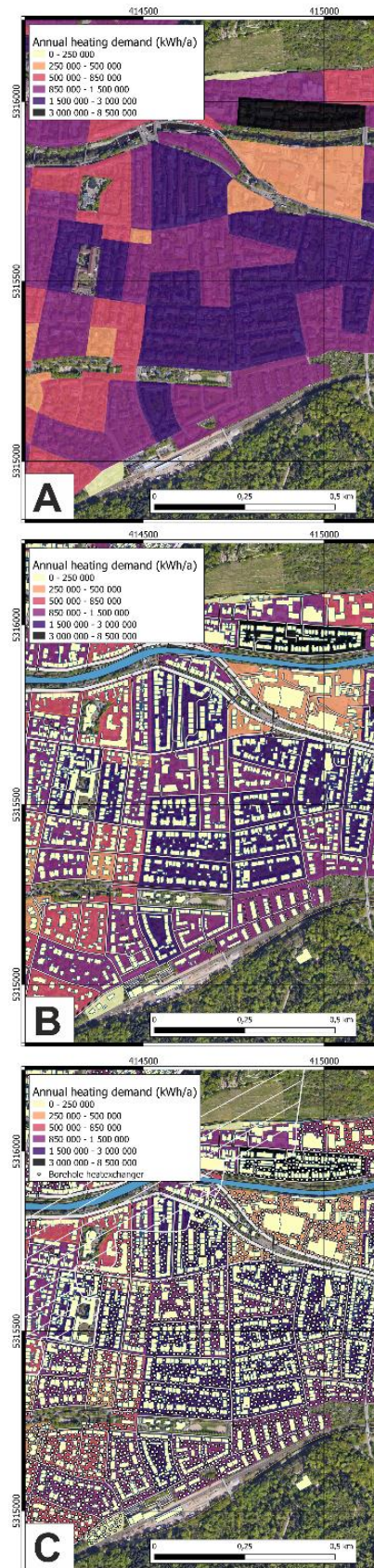
160

161 **Figure 2:** Maps illustrating input data. (A) Specific heat extraction capacity from ISONG. Note that white areas are  
 162 groundwater protection areas where BHE installation is not allowed. These areas are not included in the study. (B)  
 163 Maximum drilling depth and areas where the subsurface setting allows BHEs only after individual examination. (C)  
 164 Annual mean surface temperature based on MODIS data. (D) Terrestrial geothermal heat flow from the global heat  
 165 flow database.

166 **2.3 Methods**

167 **2.3.1 Calculation of available space for BHEs**

168 For the area of each building block (as defined in the Energieatlas) which does not fall into restricted  
169 regions (Fig. 2a), the area which could be used for BHE installation was determined by excluding  
170 buildings, roads and railways, footpaths and surface water (OSM data). A buffer of 3 m was placed  
171 around each building to ensure that BHEs could technically be placed, which is larger than the minimum  
172 distance of 2 m recommended by the German technical guideline VDI 4640 part 2 (VDI, 2019) and  
173 similar to other studies (Miglani et al., 2018; Zhang et al., 2014). As road and railway data is provided  
174 as vectors only, buffers were also placed around these, with the width of the buffer depending on the  
175 type of road as defined by OSM contributors. It is assumed that BHEs can be placed beneath pavements  
176 and parking areas (Zhang et al., 2014). In the resulting area per building block (Fig. 3) the maximum  
177 number of BHEs were distributed using a 10 m spacing, which is the minimum distance required by the  
178 regional law, and the QGIS “random points in polygons” algorithm. We use this approach instead of a  
179 grid-based approach often used in other studies (Schiel et al., 2016; Tissen et al., 2019b; Walch et al.,  
180 2021; Zhang et al., 2014) as this allowed for a better utilization of the available space. A total of 129.488  
181 building blocks were analysed. The data used for BHE distribution and heat supply computations include  
182 raster, areal, and line data. Modification and computation of spatial data was done using QGIS (v. 3.14)  
183 and all input data was transformed into WGS 84 (EPSG:4326) prior to modification.



184

185 **Figure 3:** Maps illustrating the process of distributing BHEs: (A) shows the annual heating demand per building  
 186 block. (B) For each building block unsuitable areas (buildings, roads, railways, waterways) are excluded. (C) BHEs  
 187 are randomly distributed with a 10 m spacing to maximise the amount of BHEs available per building block. The  
 188 striped area indicates a complex geological setting as defined by ISONG and thus this area is excluded from the  
 189 study.



### 190 2.3.2 Calculation of the technical geothermal potential and heat supply rate

191 The geothermal potential of the BHEs fields and associated heat pumps are calculated for each field as  
192 follows (e.g. Tissen et al., 2019b):

$$193 \quad E_{BHE} = \sum_i^n q_{BHE,i} \times l_{BHE,i} \times t_h / \left(1 - \frac{1}{COP}\right) \quad (1)$$

194 where  $q_{BHE}$  is the heat extraction rate of each BHE,  $l_{BHE}$  the length of each BHE,  $t_h$  is the operational  
195 time and COP the coefficient of performance of the heat pump. The heat extraction rate of each BHE in  
196 a steady state can be divided into three main components (Koenigsdorff, 2011):

$$197 \quad q_{BHE}(t) = q_0 + q_p \times \sin\left(\frac{2\pi t}{t_p}\right) + q_{peak}(t) \quad (2)$$

198 Where  $q_0$  is the stationary component, which includes the impact of the extraction rate on the subsurface  
199 over long periods of time as well as the interaction between multiple BHEs,  $q_p$  is the annual periodic  
200 component, which captures the fact that heating will mainly take place in the winter, and  $q_{peak}$  is the peak  
201 load over small periods of time. Each extraction component results in a change of the subsurface  
202 temperature at the borehole wall compared to the undisturbed subsurface temperature ( $T_0$ ). The time-  
203 dependent change of the subsurface temperature ( $\Delta T$ ) depends on the dimensions of the BHE field and  
204 can be calculated using g-functions (Eskilson, 1987):

$$205 \quad \Delta T = T_{BHE} - T_0 = \frac{q_{BHE}}{2\pi\lambda_e} \times g(Es, r_b/l, B/l) \quad (3)$$

206 with  $\lambda_e$  being the heat conductivity of the subsurface,  $g$  being the g-function which depends on the  
207 Eskilson number  $Es$ , which is the dimensionless ratio of real time to the physical time constant of the  
208 borehole, the ratio of BHE radius ( $r_b$ ) to BHE length ( $l$ ) and the ratio of BHE spacing ( $B$ ) to BHE depth.  
209 In this study g-functions for each BHE field are estimated by using a range of stationary end values  
210 ( $\ln(Es)=3$ ) which depend on the number of BHEs in the field (Table 1). The undisturbed subsurface  
211 temperature  $T_0$  can be estimated using mean annual surface temperature ( $T_s$ ), the heat conductivity of  
212 the subsurface  $\lambda_e$  and the terrestrial heat flow density ( $q_{geo}$ ):

$$213 \quad T_0 \approx T_s + \frac{l}{2} \times \frac{q_{geo}}{\lambda_e} \quad (4)$$

214 While equation 3 calculates the temperature difference between borehole wall and the subsurface, the  
215 temperature difference between undisturbed soil and the borehole fluid (brine) is also of importance and  
216 can be calculated as follows:

$$217 \quad \Delta T_{brine} = q_j \times (R_j + R_b) \quad (5)$$

218 Where  $q_j$  is one of the heat extraction components,  $R_j$  the correlating thermal resistivity, and  $R_b$  the  
219 borehole specific thermal resistivity which depends on the used cement and the borehole radius. The  
220 thermal resistivities  $R_0$ ,  $R_p$ , and  $R_{peak}$  are functions of the borehole radius  $r_b$  and the heat conductivity of  
221 the subsurface  $\lambda_e$  and can be defined as follows (Eskilson, 1987; Koenigsdorff, 2011):

$$222 \quad R_0 = \frac{1}{2\pi\lambda_e} \times \left[ g(\ln(Es) = 3, (r_b/l)) - \frac{r_b}{l \times 0.0005} \right] \quad (6)$$

223 Where the g function is taken from published tables (e.g. Eskilson, 1987).

$$224 \quad R_p = \frac{1}{2\pi\lambda_e} \times \sqrt{\left(\ln\left(\frac{2}{r_{pb}}\right) - \gamma\right)^2 + \frac{\pi}{16}} \quad \text{with } r_{pb} = r_b \times \sqrt{2}/d_p \text{ and } d_p = \sqrt{a \times t_p/\pi} \quad (7)$$

225

$$R_{peak} = \frac{1}{2 \pi \lambda_e} \times \left[ \ln \left( \frac{\sqrt{4 \times a \times t_{peak}}}{r_b} \right) - \gamma/2 \right] \quad (8)$$

227 With a being the thermal conductivity of the subsurface:

$$a = \frac{\lambda_e}{\rho_e \times c_p} \quad (9)$$

229 For this study the volumetric heat capacity ( $\rho_e \times c_p$ ) is assumed to be 2.18 MJ/(m<sup>3</sup>/K) (Koenigsdorff et  
 230 al., 2006). Based on equations 1-9 an R code, similar to the GEO-HAND<sup>light</sup> tool  
 231 (<https://innosued.de/energie/geothermie-software-2/>), was developed. It optimizes heat extraction rates  
 232 from a given borehole field while taking the guideline VDI 4640 (VDI, 2019) as well as state specific  
 233 guidelines on BHEs (Baden-Württemberg, 2018) into account. These include that the maximum  
 234 temperature difference between brine when it enters the borehole and the undisturbed subsurface  
 235 temperature cannot exceed 17 °K and that the same temperature difference during continuous operation  
 236 may not exceed 11 °K. Additionally, the temperature of brine entering the borehole may not be  
 237 below -3°C to prevent freezing of the subsurface. For simplicity, the conservative model assumes no  
 238 groundwater flow, and within each building block the geological data is assumed to be constant, which  
 239 is true for >95% of cases.

240 Besides the maximum geothermal potential ( $E_{max}$ ), which utilizes all placeable BHEs of a building block,  
 241 the number of BHEs needed to supply heat for the three different heating demand scenarios (see section  
 242 2.2.) as well as the number of BHEs needed per building for each of these scenarios were calculated.

243

244 **Table 1: Parameters used to determine heat extraction rates.**

Parameter	Value		Source
COP	4.3		State guidelines
BHE length (l)	max = 100 m		ISONG
BHE spacing (B)	10 m		State guidelines
BHE radius (r <sub>b</sub> )	0.065 m		DN40 U pipe
Heat extraction rate (q)	23-72 W/m		Target variable
Operation (t)	1800 h/year		Heating only
Volumetric heat capacity ( $\rho_e c_p$ )	2.18 MJ/(m <sup>3</sup> /K)		Koenigsdorff et al., 2006
Heat conductivity ( $\lambda$ )	2.25 W/mK		Simplified after ISONG
Thermal resistivity borehole	0.1 mK/W		
t <sub>p</sub>	8760 h		One year
t <sub>peak</sub>	24 h		One day
g-functions (ln(Es)=3, r <sub>b</sub> /H = 0.0005, B/H)	No. BHEs	Value	
	1	6.6	
	2	7.2	
	2-5	9	
	5-16	12.2	
	16-18	13.7	
	18-50	17.8	

	50-100	21	
	100-150	30	
	>150	50	

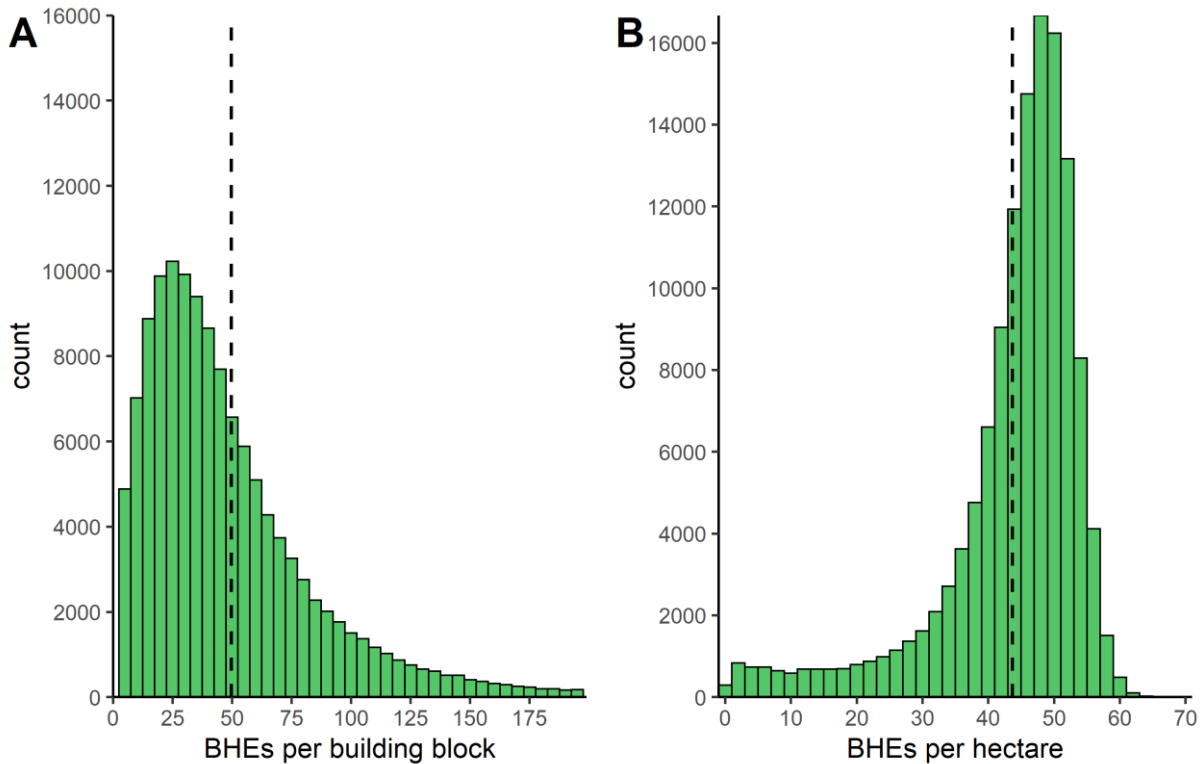
245

246 **3 Results and discussion**

247 **3.1 BHE placement**

248 In the 129.488 building blocks a total of around 6.426.000 BHEs could be placed, with an average of  
 249 49.6 BHEs per building block and a median of 39 BHEs per building block (Fig. 4a). Considering that  
 250 the area of building blocks varies from less than 500 m<sup>2</sup> to close to 1 million m<sup>2</sup>, analysing the number  
 251 of BHEs per hectare gives a better understanding of the BHE density, which averages at 43.6 BHEs per  
 252 hectare and has a median of 46.5 BHEs per hectare (Fig. 4b). The vast majority of BHEs has a depth  
 253 of 100 m with only around 40.000 BHEs being in areas where the drilling depth is limited to 50 m.

254



255

256 **Figure 4:** Histograms illustrating (A) the number of BHEs per building block and (B) the number of BHEs per building  
 257 block normalized to area. Dashed lines indicate the mean number of BHEs.

258 Utilizing OSM data for renewable energy planning is widely used when more detailed official  
 259 standardized data is not available (Alhamwi et al., 2017; Chu and Hawkes, 2020), however it comes  
 260 with limitations. In our study a buffer of the same width was placed around all roads of the same OSM  
 261 class and thus it is assumed that all roads of the same type have the same width in the whole study  
 262 area. While cross-checks with satellite imagery show that in most cases the used width is acceptable,  
 263 there are instances where roads are much wider or smaller than assumed in the model. This  
 264 subsequently impacts the number of BHEs which are placeable within the building blocks affected. It

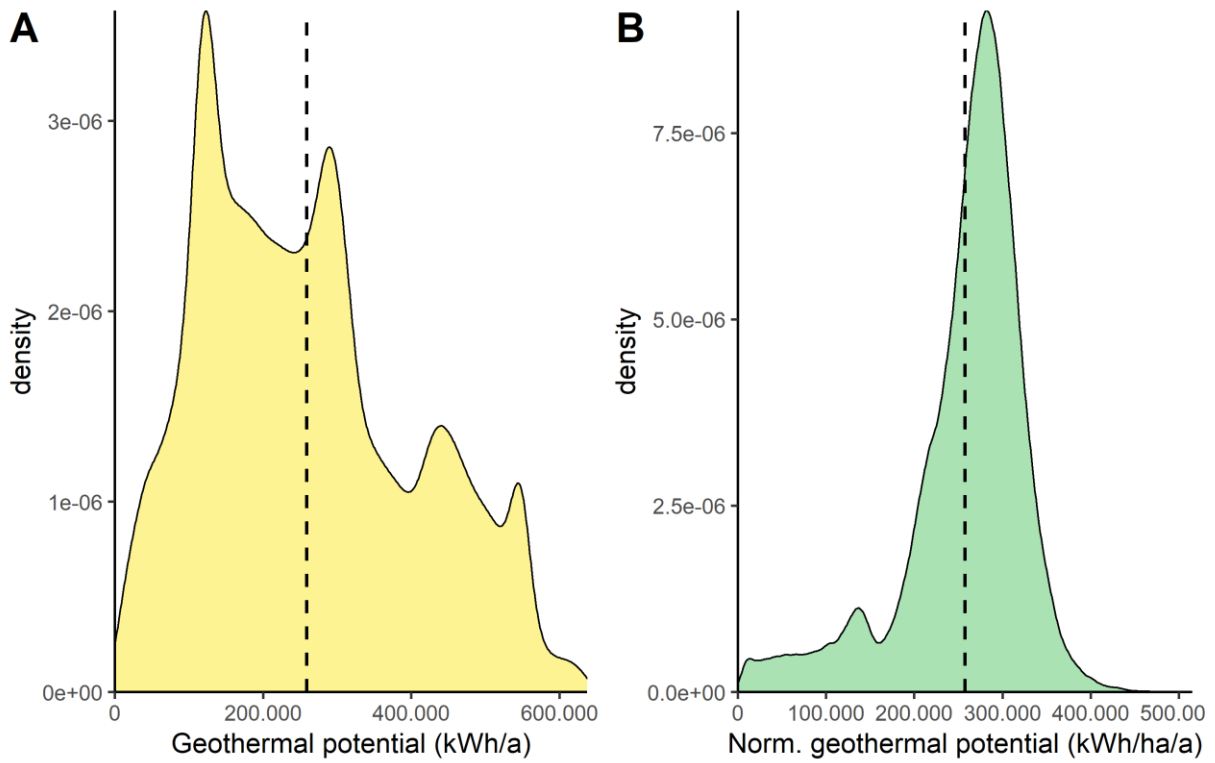
265 should also be noted that the building block area defined by the Energieatlas, which is the area used to  
266 distribute BHEs, generally does not extend more than a few meters from the buildings within the building  
267 block. However, for building blocks located at the edge of villages or cities, adjacent undeveloped space  
268 could be used for BHE placement. This would significantly increase the number of potentially placable  
269 BHEs.

### 270 **3.2 Technical geothermal potential**

271 The technical geothermal potential of building blocks ranges from 0 to more than 600.000 kWh per year,  
272 and averages at 257.000 kWh per year and hectare when the building block areas are normalised (Fig.  
273 5). Overall, the technical geothermal potential of the whole state yields an annual total of 33.5 TWh.  
274 There are no regional trends visible within the geothermal potential and the geothermal potential of a  
275 building block is largely controlled by the building density of the building block, with building blocks with  
276 a high number of buildings per hectare having a low geothermal potential and building blocks with a low  
277 building density exhibiting high geothermal potentials (Fig. 6). City centres with a high building density  
278 thus have a low geothermal potential while residential areas at the fringes of a city or in rural areas  
279 generally have higher geothermal potentials (Fig. 7).

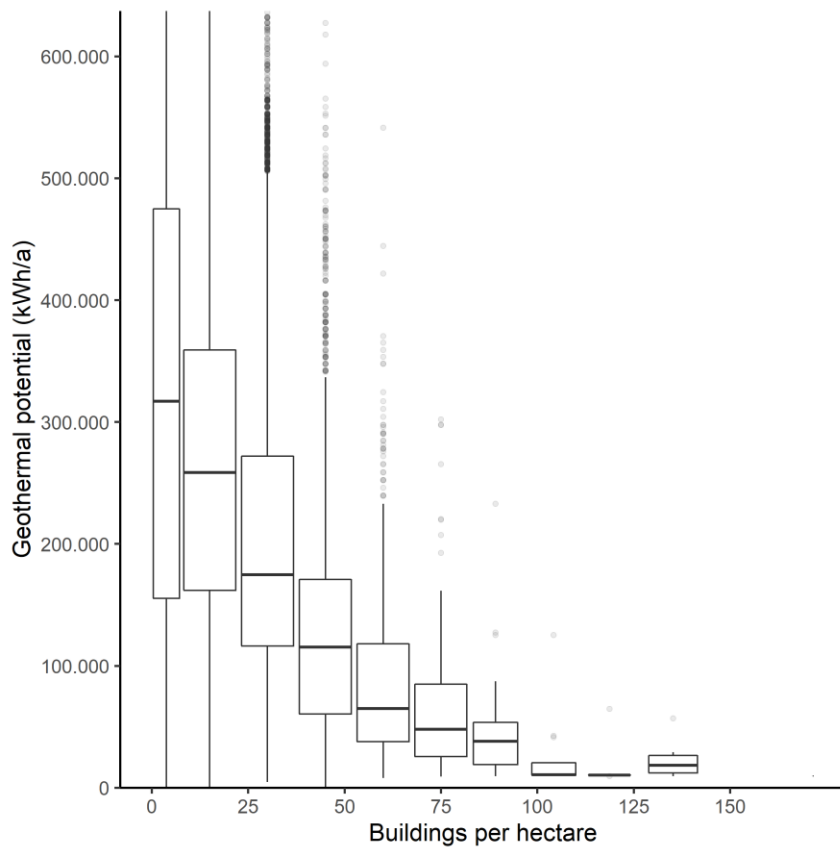
280 The technical geothermal potential with a mean of 25.7 kWh/m<sup>2</sup>/a (Fig. 5b) is in the same order of  
281 magnitude as the technical geothermal potential of a regional study in Northern Switzerland where  
282 Walch et al. (2021) estimate it to be 16.4 kWh/m<sup>2</sup>/a. The difference is likely due to a range of factors,  
283 including differences in the geological settings, the BHE distribution algorithm, as well as the correction  
284 for thermal interference between neighbouring BHEs and BHE fields. The fact that the technical  
285 geothermal potential correlates with the building density of the building blocks is not surprising, as  
286 building blocks with few buildings generally have more space available for BHEs. To identify areas which  
287 are well suited for GSHPs to supply heat using building density may thus be a good approach.

288 Identified technical geothermal potentials are likely to be underestimated for the rural areas and slightly  
289 overestimated in urban areas due to the BHE placing method and its shortcomings. It should be noted  
290 that groundwater flow has been excluded from this study, as this is quite complex on a regional scale.  
291 Consideration of groundwater flow would increase the technical geothermal potential for most building  
292 blocks which would similarly increase (up to 40 %) for urban areas if the urban heat island effect would  
293 be included (Menberg et al., 2013; Rivera et al., 2017). While our modelling approach takes the thermal  
294 interference of neighbouring BHEs into account, which is often not considered even on a district (Tissen  
295 et al., 2019b; Zhang et al., 2014) or city scale (Schiel et al., 2016), it does so by using an estimated g-  
296 function based on the number of BHEs per building block. It thus does not consider the effect of BHEs  
297 on neighbouring building blocks which will also interfere. Future work should thus include this effect and  
298 may also calculate the true interference per building block by calculating the g-function for each BHE  
299 field, e.g. using available Python libraries (Cimmino, 2018). Overall, the technical geothermal potentials  
300 provided in this study are conservative estimates which can be used for regional and local planning of  
301 using renewable heating energy but are no replacement for a detailed study prior to constructing  
302 individual BHE fields.



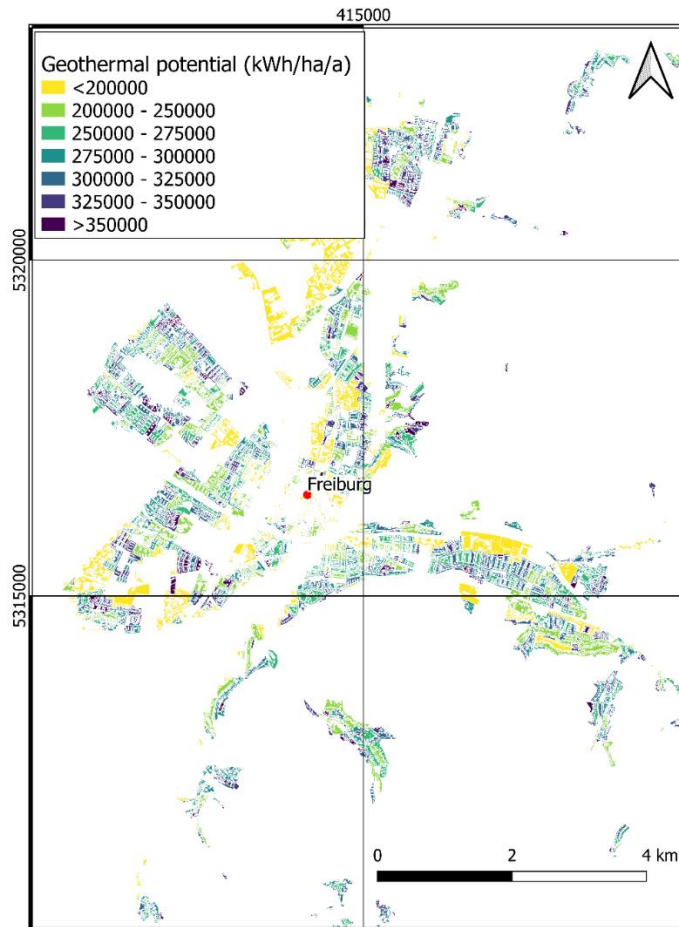
303

304 **Figure 5:** Density plots showing (A) the geothermal potential per building block and (B) the geothermal potential  
 305 normalised to area (hectars). Dashed lines indicate the mean geothermal potential.



306

307 **Figure 6:** Boxplot illustrating that the geothermal potential per building block is largely dependent on the building  
 308 density, with building blocks with a low building density generally exhibiting higher geothermal potentials than  
 309 building blocks with a high building density.



310

311 **Figure 7:** Map illustrating the geothermal potential for parts of the city of Freiburg. Note how the centre of town  
 312 (around the town name) has a low potential while residential areas at the edge of town have higher potentials.

313

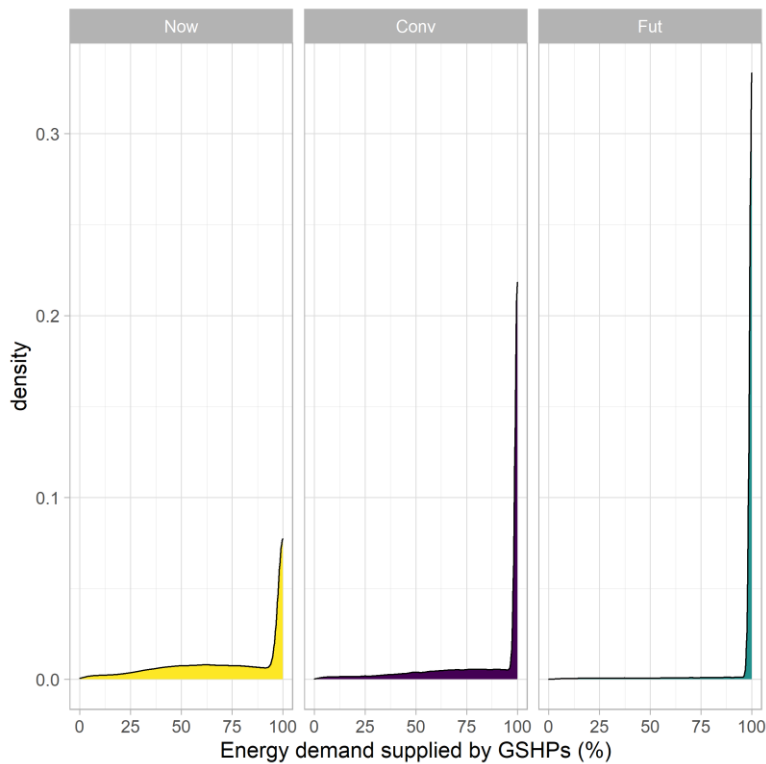
### 314 3.3 Heating supply rates

315 The geothermal potential per building block calculated above can be contrasted with the heating demand  
 316 of the different building status scenarios included in the Energieatlas data (Fig. 8). For the heating  
 317 demand scenario “as is” the heating demand of about 44 % of all building blocks can be covered by  
 318 GSHPs alone. This number increases to 65 % in the “conventional renovation” scenario and to 93 % of  
 319 all building blocks in the “forward-looking renovation” scenario. While in the “as is” scenario mainly  
 320 building blocks with a low heating demand can be supplied exclusively by GSHPs, in the “forward-  
 321 looking renovation” scenarios even building blocks with a heating demand of 1000 MWh/a can be heated  
 322 solely by GSHPs (Fig. 9). The number of BHEs needed to successfully heat a building exclusively by  
 323 shallow geothermal energy also drastically decreases from the “as is” with a mean of 6.2 BHEs to the  
 324 “forward-looking renovation” scenario where on average only 1.3 BHEs per building are needed  
 325 (Fig. 10).

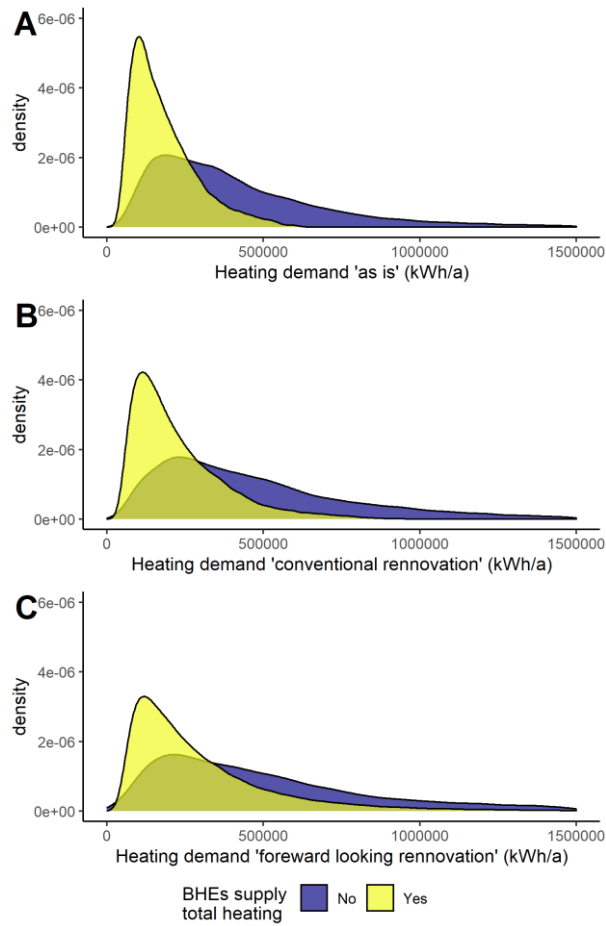
326 On a regional scale it becomes clear that the minimum drilling depth exerts a strong control whether the  
 327 heat demand of a building block can be covered by GSHPs or not: for the “as is” and “conventional  
 328 renovation” scenarios many of the building blocks for which heat demand cannot be covered by GSHPs  
 329 are located in the South German Scarpland around Stuttgart where maximum drilling depth is often

330 restricted to 50 m (Fig. 11, Fig. 2b). Additionally, building blocks for which heat cannot be supplied only  
 331 by GSHPs in all scenarios are located in densely populated areas.

332 The heating supply rates of 44 % for the “as is” building renovation scenario is similar to what has been  
 333 observed in other studies for urban areas: Schiel et al. (2016) estimate 40 % of parcels in a German  
 334 urban area could be supplied with GSHPs while Zhang et al. (2014) report that 69 % of the heating  
 335 demand of the district of Westminster, UK, could be supplied by GSHPs. For another urban quarter in  
 336 Germany Tissen et al. (2019b) estimate 22-34 % of the heating demand could be supplied by BHEs  
 337 before and 47-71 % after building renovation. It is noteworthy that in our study not only urban quarters  
 338 but also rural areas are included and the heating supply rate still does not increase. This is likely due to  
 339 the fact that the heating supply for the “as is” scenario in urban areas comes closer to the study of Tissen  
 340 et al (2019) and is significantly higher in rural areas. The higher heating supply rates of 65 % and 93 %  
 341 for the renovated building scenarios are in line with the results of Tissen et al. (2019). The significant  
 342 decrease in needed BHEs per building to cover the heating demand in the “forward-looking renovation”  
 343 scenario as compared to the “as is” scenario is also interesting from a cost perspective: the on average  
 344 five saved BHEs per building would, when construction costs of 60 €/m are assumed, save 30.000 € of  
 345 BHE installation cost per building which could be invested into the building renovation.



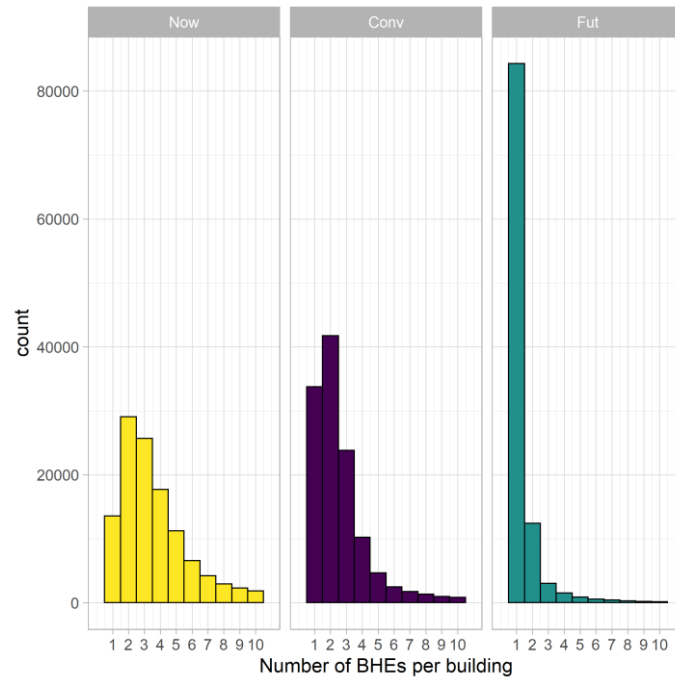
346  
 347 **Figure 8:** Density plots of the annual heating demand of all building blocks covered by GSHPs for the three demand  
 348 scenarios (Now= “As-is”, Conv =”Conventional renovation”, Fut =”forward-looking renovation”).



349

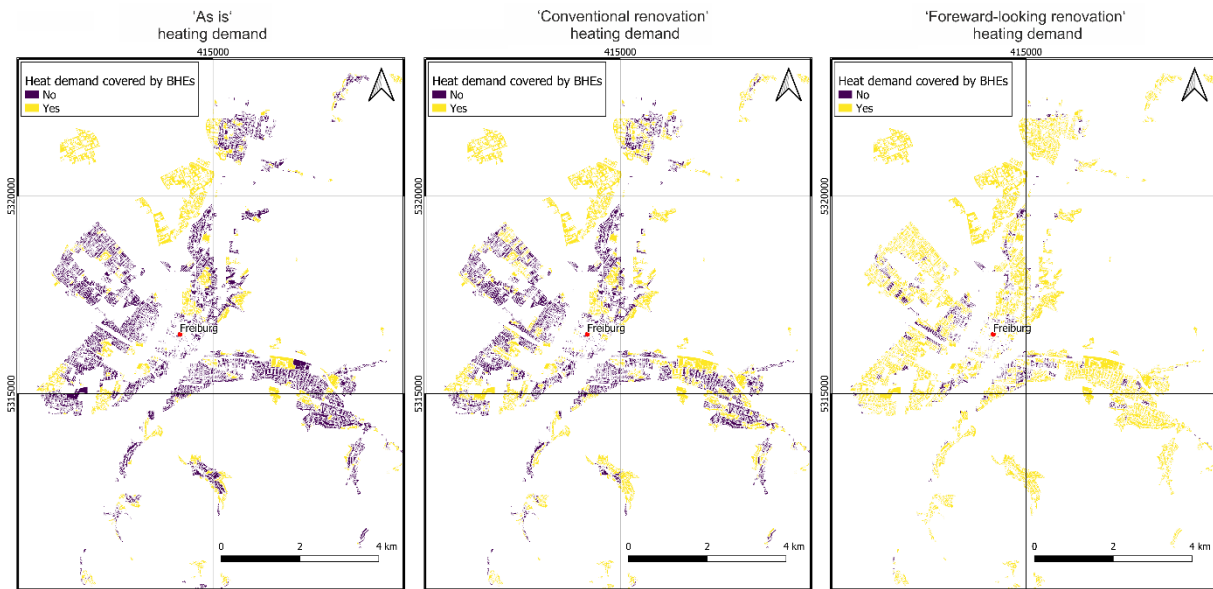
350 **Figure 9:** Density plots illustrating the heating demand of building blocks where BHEs can supply to total heating  
 351 demand for the three different energy demand scenarios.





353

354 **Figure 10:** Barplots illustrating the number of BHEs needed per building to cover the heat demand for the three  
 355 different renovation scenarios. Note how forward-looking renovations (Fut) decrease the amount of BHEs needed  
 356 drastically (Now= "As-is", Conv ="Conventional renovation", Fut ="forward-looking renovation").



357

358 **Figure 11:** Maps illustrating for which building blocks of the city of Freiburg heat demand can be covered by GSHPs  
 359 for the three different heat demand scenarios.

360 **4 Practical implications and future work**

361 Regional scale estimations of the technical geothermal potential are required for urban and rural  
 362 planning, policy making, and the development of regulations (Walch et al., 2021). For the state of Baden-  
 363 Württemberg large municipalities with more than 20.000 inhabitants must develop a heating plan which

364 will form the base for climate-neutral heating supply in 2050. The geothermal potential and heating  
365 supply rates provided in this study will assist the urban planners and policy makers involved in the  
366 heating plan development to estimate the potential of GSHPs in any neighbourhood in the state.  
367 Additionally, it can be used to identify (urban) areas in which GSHPs are no option even for renovated  
368 buildings due to the building density and geological setting and where other means of heat supply will  
369 be needed. To ensure access to the data from this study it will be stored with the state energy bureau  
370 which will provide it to the municipalities.

371 Future work will aim to improve the estimation of a technical geothermal potential on a large scale (state,  
372 country) by addressing several of the limitations highlighted in this study, including using official land  
373 register data for BHE distribution, implementing heat transfer from groundwater flow in the model as  
374 well as including the urban heat island effect. For areas in which BHE are not an option due to  
375 groundwater protection areas or due to the geological setting the use of horizontal shallow geothermal  
376 systems should be analysed. Other practical factors such as additional costs arising from using drilling  
377 equipment on steep slopes and the suitability of the building ground should also be considered.  
378 Understanding and quantifying the uncertainties of all included data and the modelling approach will  
379 also significantly improve the reliability of the technical geothermal potential on a regional scale. By  
380 using machine learning approaches the (geological) input data needed for the model may be estimated  
381 on a regional (Bourhis et al., 2021) or country (Assouline et al., 2019) scale, which indicates that  
382 continental scale technical geothermal potential studies are possible in the near future.

383 Heating demand data, which is necessary for heating plan development and the utilization of renewable  
384 technologies, also must be improved. The heating demand scenarios used in this study can only be the  
385 first step towards more detailed models in which the true demand of each residential building is included.  
386 Energy efficiency renovations to the “forward-looking renovation” standard used in this study may not  
387 be realizable for reasonable costs for many buildings, particularly of half-timbered buildings which are  
388 common in Central Europe.

## 389 **5 Conclusions**

390 In this study the technical geothermal potential from ground-source heat pumps for individual building-  
391 blocks on a regional scale is estimated and the thermal energy that these vertical borehole heat  
392 exchangers is linked to the heat demand of the individual building blocks for different demand scenarios.  
393 The proposed method to estimate the geothermal potential takes the available area for borehole  
394 installation, the technical and geological parameters of the boreholes, and the thermal interference  
395 between boreholes into account as well as restrictions on borehole and heat extraction parameters  
396 governed by state and federal law.

397

398 Our results provide a first estimate of the technical potential of shallow geothermal energy in the state  
399 of Baden-Württemberg. Depending on the demand scenario between 44 % and 97 % of all building  
400 blocks can be supplied with sufficient energy from ground-source heat pumps. Particularly rural and  
401 suburban areas have high heating supply rates even in high demand scenarios. This work can be used  
402 to assess the techno-economic aspects of a wide-spread rollout of borehole heat exchangers and will

403 be used for the required heating plans each municipality in the state has to develop. As such is  
404 contributes to the development of low-carbon heating sectors in Baden-Württemberg by highlighting  
405 where shallow geothermal energy can play a larger role and by highlighting (urban) areas where other  
406 heat sources, such as district heating networks, are needed.

407

#### 408 **Acknowledgments**

409 JMM was partly funded by the RES\_TMO project, which is co-funded by the EU programme Interreg V  
410 Upper Rhine through the European Regional Development Fund (EFRE/FEDER) for the period  
411 1.02.2019 – 31.01.2022 under the grant reference (Ref: 4726/6.3.).

412

#### 413 **References**

- 414 Alhamwi, A., Medjroubi, W., Vogt, T., Agert, C., 2017. GIS-based urban energy systems models and  
415 tools: Introducing a model for the optimisation of flexibilisation technologies in urban areas.  
416 *Applied Energy* 191, 1–9. <https://doi.org/10.1016/j.apenergy.2017.01.048>
- 417 Assouline, D., Mohajeri, N., Gudmundsson, A., Scartezzini, J.-L., 2019. A machine learning approach  
418 for mapping the very shallow theoretical geothermal potential. *Geothermal Energy* 7, 19.  
419 <https://doi.org/10.1186/s40517-019-0135-6>
- 420 Baden-Württemberg, 2018. Leitlinien Qualitätssicherung Erdwärmesonden (LQS EWS).
- 421 Bayer, P., Attard, G., Blum, P., Menberg, K., 2019. The geothermal potential of cities. *Renewable and*  
422 *Sustainable Energy Reviews* 106, 17–30. <https://doi.org/10.1016/j.rser.2019.02.019>
- 423 Bertermann, D., Klug, H., Morper-Busch, L., 2015. A pan-European planning basis for estimating the  
424 very shallow geothermal energy potentials. *Renewable Energy* 75, 335–347.  
425 <https://doi.org/10.1016/j.renene.2014.09.033>
- 426 Bourhis, P., Cousin, B., Rotta Loria, A.F., Laloui, L., 2021. Machine learning enhancement of thermal  
427 response tests for geothermal potential evaluations at site and regional scales. *Geothermics*  
428 95, 102132. <https://doi.org/10.1016/j.geothermics.2021.102132>
- 429 Casasso, A., Sethi, R., 2016. G.POT: A quantitative method for the assessment and mapping of the  
430 shallow geothermal potential. *Energy* 106, 765–773.  
431 <https://doi.org/10.1016/j.energy.2016.03.091>
- 432 Chu, C.-T., Hawkes, A.D., 2020. A geographic information system-based global variable renewable  
433 potential assessment using spatially resolved simulation. *Energy* 193, 116630.  
434 <https://doi.org/10.1016/j.energy.2019.116630>
- 435 Cimmino, M., 2018. Fast calculation of the g-functions of geothermal borehole fields using similarities in  
436 the evaluation of the finite line source solution. *Journal of Building Performance Simulation* 11,  
437 655–668. <https://doi.org/10.1080/19401493.2017.1423390>
- 438 Eskilson, P., 1987. Thermal analysis of heat extraction boreholes (PhD). University of Lund, Lund.
- 439 European Parliament, 2020. The European Green Deal European Parliament resolution of 15 January  
440 2020 on the European Green Deal (2019/2956(RSP)).
- 441 Fleiter, T., Elsland, R., Rehfeldt, M., al, et, 2017. Profile of heating and cooling demand in 2015.  
442 Karlsruhe.
- 443 Galgaro, A., Di Sipio, E., Teza, G., Destro, E., De Carli, M., Chiesa, S., Zarrella, A., Emmi, G., Manzella,  
444 A., 2015. Empirical modeling of maps of geo-exchange potential for shallow geothermal energy  
445 at regional scale. *Geothermics* 57, 173–184. <https://doi.org/10.1016/j.geothermics.2015.06.017>
- 446 Geyer, M., Nitsch, E., Simon, T., Geyer, O.F., Gwinner, M.P., 2011. *Geologie von Baden-Württemberg*,  
447 5th ed. Schweizerbart'sche, E., Stuttgart.
- 448 Global Heat Flow Compilation Group, 2013. Component parts of the World Heat Flow Data Collection.  
449 <https://doi.org/10.1594/PANGAEA.810104>
- 450 Koenigsdorff, R., 2011. Oberflächennahe Geothermie für Gebäude. Fraunhofer IRB.
- 451 Koenigsdorff, R., Heinrich, S., Sedlak, M., 2006. Test und Weiterentwicklung des Programms GEOSYST  
452 und Bemessung von Erdwärmesondenfeldern mit einem daraus abgeleiteten  
453 Handrechenverfahren. Presented at the Otti-Profiforum Oberflächennahe Geothermie, Freising.
- 454 Krecher, M., Vorstellung eines „Erdwärmescreenings“ als ganzheitliche Planungsgrundlage für den  
455 Ausbau der Geothermie (Beispiel: Südlicher Oberrhein). M.Sc. Thesis, University of Koblenz-  
456 Landau, pp. 146.
- 457 KSG BW, 2013. Landesrecht BW KSG BW | Landesnorm Baden-Württemberg | Gesamtausgabe |  
458 Klimaschutzgesetz Baden-Württemberg (KSG BW) vom 23. Juli 2013 | gültig ab: 31.07.2013.

459 Li, M., Li, P., Chan, V., Lai, A.C.K., 2014. Full-scale temperature response function (G-function) for heat  
460 transfer by borehole ground heat exchangers (GHEs) from sub-hour to decades. *Applied Energy*  
461 136, 197–205. <https://doi.org/10.1016/j.apenergy.2014.09.013>

462 Lund, H., 2007. Renewable energy strategies for sustainable development. *Energy, Third Dubrovnik*  
463 *Conference on Sustainable Development of Energy, Water and Environment Systems* 32, 912–  
464 919. <https://doi.org/10.1016/j.energy.2006.10.017>

465 Lund, H., Möller, B., Mathiesen, B.V., Dyrelund, A., 2010. The role of district heating in future renewable  
466 energy systems. *Energy* 35, 1381–1390. <https://doi.org/10.1016/j.energy.2009.11.023>

467 Lund, J.W., Boyd, T.L., 2016. Direct utilization of geothermal energy 2015 worldwide review.  
468 *Geothermics* 60, 66–93. <https://doi.org/10.1016/j.geothermics.2015.11.004>

469 Luo, J., Luo, Z., Xie, J., Xia, D., Huang, W., Shao, H., Xiang, W., Rohn, J., 2018. Investigation of shallow  
470 geothermal potentials for different types of ground source heat pump systems (GSHP) of Wuhan  
471 city in China. *Renewable Energy* 118, 230–244. <https://doi.org/10.1016/j.renene.2017.11.017>

472 Majorowicz, J., Grasby, S.E., Skinner, W.R., 2009. Estimation of Shallow Geothermal Energy Resource  
473 in Canada: Heat Gain and Heat Sink. *Nat Resour Res* 18, 95–108.  
474 <https://doi.org/10.1007/s11053-009-9090-4>

475 Menberg, K., Bayer, P., Zosseder, K., Rumohr, S., Blum, P., 2013. Subsurface urban heat islands in  
476 German cities. *Science of The Total Environment* 442, 123–133.  
477 <https://doi.org/10.1016/j.scitotenv.2012.10.043>

478 Meng, B., Vienken, T., Kolditz, O., Shao, H., 2019. Evaluating the thermal impacts and sustainability of  
479 intensive shallow geothermal utilization on a neighborhood scale: Lessons learned from a case  
480 study. *Energy Conversion and Management* 199, 111913.  
481 <https://doi.org/10.1016/j.enconman.2019.111913>

482 Metz, M., Rocchini, D., Neteler, M., 2014. Surface Temperatures at the Continental Scale: Tracking  
483 Changes with Remote Sensing at Unprecedented Detail. *Remote Sensing* 6, 3822–3840.  
484 <https://doi.org/10.3390/rs6053822>

485 Miglani, S., Orehounig, K., Carmeliet, J., 2018. A methodology to calculate long-term shallow  
486 geothermal energy potential for an urban neighbourhood. *Energy and Buildings* 159, 462–473.  
487 <https://doi.org/10.1016/j.enbuild.2017.10.100>

488 Noorollahi, Y., Gholami Arjenaki, H., Ghasempour, R., 2017. Thermo-economic modeling and GIS-  
489 based spatial data analysis of ground source heat pump systems for regional shallow  
490 geothermal mapping. *Renewable and Sustainable Energy Reviews* 72, 648–660.  
491 <https://doi.org/10.1016/j.rser.2017.01.099>

492 Orehounig, K., Evins, R., Dorer, V., 2015. Integration of decentralized energy systems in  
493 neighbourhoods using the energy hub approach. *Applied Energy* 154, 277–289.  
494 <https://doi.org/10.1016/j.apenergy.2015.04.114>

495 Patton, A.M., Farr, G., Boon, D.P., James, D.R., Williams, B., James, L., Kendall, R., Thorpe, S.,  
496 Harcombe, G., Schofield, D.I., Holden, A., White, D., 2020. Establishing an urban geo-  
497 observatory to support sustainable development of shallow subsurface heat recovery and  
498 storage. *Quarterly Journal of Engineering Geology and Hydrogeology* 53, 49–61.  
499 <https://doi.org/10.1144/qjegh2019-020>

500 Rivera, J.A., Blum, P., Bayer, P., 2017. Increased ground temperatures in urban areas: Estimation of  
501 the technical geothermal potential. *Renewable Energy* 103, 388–400.  
502 <https://doi.org/10.1016/j.renene.2016.11.005>

503 Schiel, K., Baume, O., Caruso, G., Leopold, U., 2016. GIS-based modelling of shallow geothermal  
504 energy potential for CO2 emission mitigation in urban areas. *Renewable Energy* 86, 1023–1036.  
505 <https://doi.org/10.1016/j.renene.2015.09.017>

506 Schweizer, I., 2019. Entwicklung des Energieverbrauchs in Baden-Württemberg Ergebnisse der  
507 Energiebilanzen. *Statistisches Monatsheft Baden-Württemberg* 51–59.

508 Tissen, C., Benz, S.A., Menberg, K., Bayer, P., Blum, P., 2019a. Groundwater temperature anomalies  
509 in central Europe. *Environ. Res. Lett.* 14, 104012. <https://doi.org/10.1088/1748-9326/ab4240>

510 Tissen, C., Menberg, K., Bayer, P., Blum, P., 2019b. Meeting the demand: geothermal heat supply rates  
511 for an urban quarter in Germany. *Geothermal Energy* 7, 9. <https://doi.org/10.1186/s40517-019-0125-8>

512

513 Tissen, C., Menberg, K., Benz, S.A., Bayer, P., Steiner, C., Götzl, G., Blum, P., 2021. Identifying key  
514 locations for shallow geothermal use in Vienna. *Renewable Energy* 167, 1–19.  
515 <https://doi.org/10.1016/j.renene.2020.11.024>

516 UNFCCC, 2015. Adoption of the Paris Agreement.

517 VDI (Ed.), 2019. VDI 4640 Blatt 2 - Thermische Nutzung des Untergrunds - Erdgekoppelte  
518 Wärmepumpenanlagen. VDI-Gesellschaft Energie und Umwelt.

519 Vienken, T., Schelenz, S., Rink, K., Dietrich, P., 2015. Sustainable Intensive Thermal Use of the Shallow  
520 Subsurface—A Critical View on the Status Quo. *Groundwater* 53, 356–361.  
521 <https://doi.org/10.1111/gwat.12206>

522 Walch, A., Mohajeri, N., Gudmundsson, A., Scartezzini, J.-L., 2021. Quantifying the technical  
523 geothermal potential from shallow borehole heat exchangers at regional scale. *Renewable*  
524 *Energy* 165, 369–380. <https://doi.org/10.1016/j.renene.2020.11.019>

525 Zhang, C., Wang, Y., Liu, Y., Kong, X., Wang, Q., 2018. Computational methods for ground thermal  
526 response of multiple borehole heat exchangers: A review. *Renewable Energy* 127, 461–473.  
527 <https://doi.org/10.1016/j.renene.2018.04.083>

528 Zhang, Y., Soga, K., Choudhary, R., 2014. Shallow geothermal energy application with GSHPs at city  
529 scale: study on the City of Westminster. *Géotechnique Letters* 4, 125–131.  
530 <https://doi.org/10.1680/geolett.13.00061>

531