

1 **Simulated decline of a northern forest due to anthropogenic controls on the regeneration-**  
2 **mortality balance**

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24 **Abstract**

25           The population structure of forests is shaped by balancing the opposing forces of  
26 regeneration and mortality, each of which influence C turnover rates and are sensitive to climate.  
27 Regeneration underlies the migrational potential of forests to climatic change and remains  
28 underserved in modeling studies. Our objective was to test the hypothesis that warming may  
29 reduce tree regeneration rates while amplifying fire regimes, producing forest loss. Absent sites  
30 within dispersal limits, trees may fail to track the velocity of warming, producing a decline in  
31 forested area. Long-term implications include changes to biogeochemical and energetic balances,  
32 species composition, and evolutionary trajectories. We performed hybrid model simulations to  
33 assess the resilience of forests to past-century conditions over the next fifty years in western  
34 Canada. We conducted simulations at a species-level taxonomic resolution to capture  
35 genotypic/phenotypic variability in response to climate. A recent shift toward small, frequent,  
36 human-caused fires and warming-reduced regeneration diminished species migration potential.  
37 The simulated rate of forest migration lagged behind temperature equilibria by 319 m yr<sup>-1</sup>.  
38 Understanding species migrational potential is particularly critical for northern forests, which  
39 have warmed at a rate twice the global mean. Our findings highlight the effect of diminished  
40 regeneration due to climatic change, a process neglected in current global-scale terrestrial  
41 biosphere models used in climate studies. We suggest that future terrestrial biosphere model  
42 studies incorporate these demographic rates in their findings on global change, as they carry  
43 substantial climatic and evolutionary implications.

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46

47 **Introduction**

48           Seasonal fire and climate cycles played a central role in the evolution of boreal forests.  
49 Here, large stand-replacing fires have been the dominant disturbance type for millennia (Rowe  
50 and Scotter 1973; Davis and Shaw 2001; Rogers et al. 2015), while temperatures historically  
51 reached cold extremes. In North America, boreal tree species evolved a diversity of adaptations  
52 to fire, including vegetative resprouting, cone serotiny, aerial seed banks, fire-enhanced  
53 regeneration, and increased flammability (Schwilk and Ackerly 2001; Keeley et al. 2014;  
54 Pounden et al. 2014; Rogers et al. 2015). These adaptations are believed to impart trees with a  
55 competitive advantage in the successional phases of disturbance and regeneration.

56           Trees in the Canadian boreal exhibit a high degree of intraspecific genetic variation and  
57 local adaptation (Davis and Shaw 2001). Trees respond to periodic climatic cycles *in situ* via  
58 phenotype plasticity (i.e., gene expression) and to long-term climatic change through emigration  
59 (Aitken et al. 2008; Matzke and Mosher 2014). Extreme events beyond physiological tolerances  
60 produce damage or mortality. While the distribution of tree species correlates well with historical  
61 climate at coarse spatiotemporal scales, disturbance responses dominate fine-scale patterns  
62 (Prentice 1986). Trees often lag behind climatic optima (Bertrand et al. 2011), requiring a semi-  
63 sequential process of mortality, reproduction, dispersal, and regeneration to transition forest  
64 composition toward optimality.

65           When the land velocity of temperature or moisture changes outpace the availability of  
66 sites for recruitment given disturbance patterns and dispersal rates (Clark et al. 1998), climatic  
67 change may occur too rapidly for tree migration to track warming. Given a failure to migrate, *in*  
68 *situ* regeneration conditions can become increasingly suboptimal (Nitschke and Innes 2008).  
69 When multiple species fail to track warming, absent new migrants, forest decline can occur,

70 which may explain recently observed reduced forest cover for the greater Rocky Mountain  
71 region (Hogg et al. 2008; van Mantgem et al. 2009; Allen et al. 2010; Michaelian et al. 2011;  
72 Mascaro et al. 2011; Martínez-Vilalta et al. 2012; Vilà-Cabrera et al. 2013; Worrall et al. 2013;  
73 Cohen et al. 2016). Over longer timescales, gradual forest decline may give way to  
74 compositional or landcover change. Reduced mortality rates (e.g., due to increased fire  
75 suppression) may inhibit compositional changes, obfuscating latent migration processes  
76 underway. This may result in more pronounced compositional changes when disturbance rates  
77 recover to pre-suppression levels.

78         Due to the accelerated pace of high-latitude warming, boreal climatic conditions are  
79 shifting northward at a rate of 430 m yr<sup>-1</sup> (Loarie et al. 2009; Hamann et al. 2015). The rate of  
80 recent warming surpasses observed Quaternary rates of species range shifts inferred from the  
81 pollen record (Davis and Shaw 2001), while landscape fragmentation may pose a further  
82 constraint on climatic optimality (Lazarus and McGill 2014). While direct measurements of  
83 species migrations and other forest dynamics remain limited by the large spatiotemporal scales  
84 of the processes involved, simulation models provide a useful tool for discerning likely historical  
85 and future patterns. The combination of simulation models and adaptive management (Holling  
86 1978) may eventually facilitate ecological optimization of management goals, such as  
87 maximizing carbon storage, structural heterogeneity, or tree species diversity.

88         Here, forest compositional and structural changes given past-century climate and fire  
89 trends are simulated for 50 years in the Alberta study area, beginning at year 2000 conditions.  
90 This was done to infer the resilience of contemporary forests given the persistence of past-  
91 century climate and fire patterns. We focus on recent observations rather than future climate and  
92 fire projections to minimize the uncertainty regarding the likelihood of the simulated conditions

93 by utilizing well-described historical periods. Our hybrid forest landscape model was initialized  
94 at year 2000 conditions using modeled tree species distributions (Gray and Hamann 2012)  
95 classified into Canadian landcover classes, given the high quality of data available for this  
96 period. Four historical joint climate-fire-anthropogenic periods were used to simulate past-  
97 century forest successional trajectories. Differences in stand conditions were assessed after 50  
98 years of simulation, with the initial ten years used for model spin-up. By simulating these four  
99 historical scenarios, we assess the resilience of extant forests to the persistence of past-century  
100 climate, fire, and anthropogenic trends.

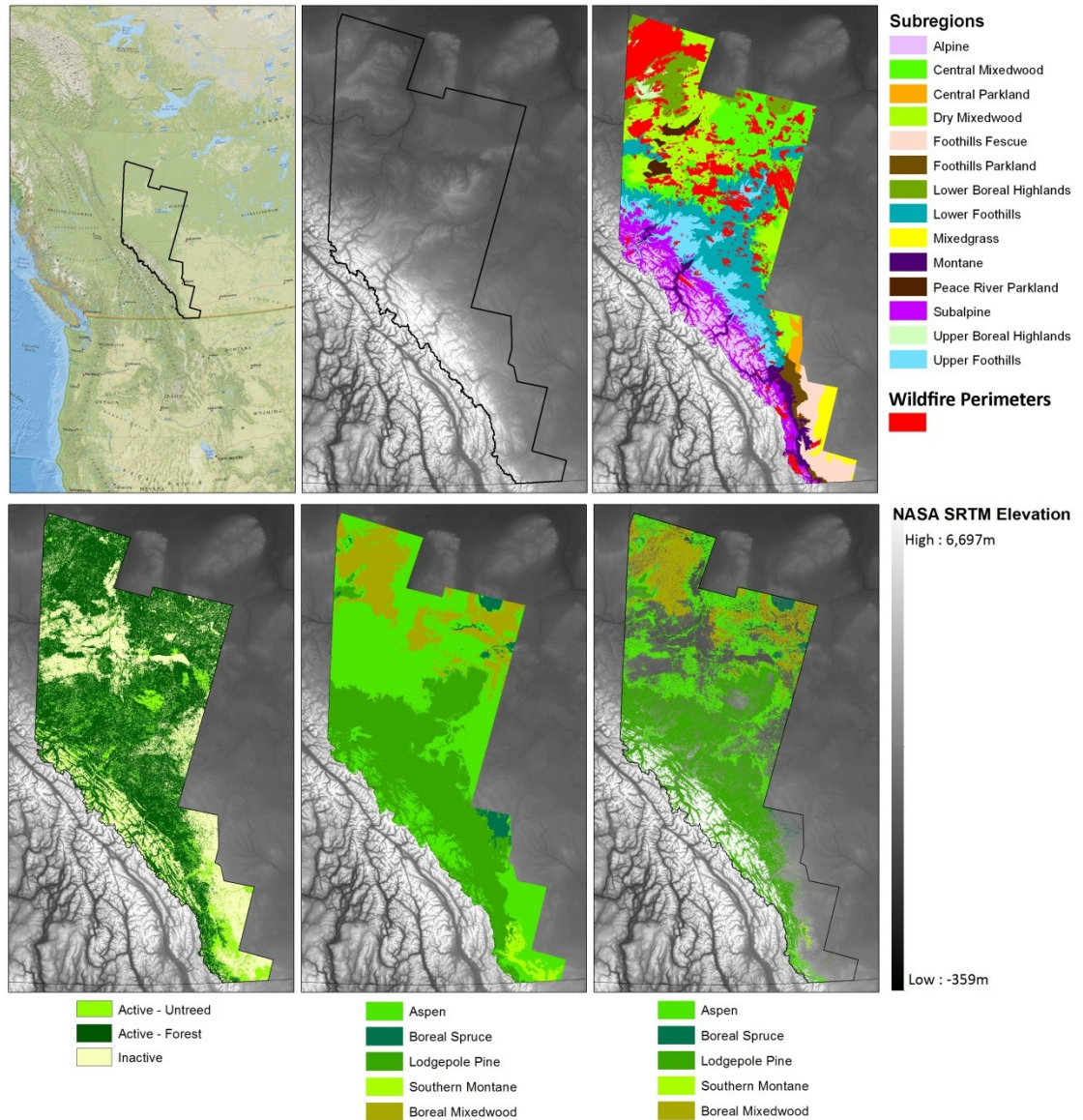
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## 102 **Material and methods**

103 We combined the Tree and Climate Assessment Establishment Model, TACA-EM  
104 (Nitschke and Innes 2008), within the second LANDscape DIsturbance and Succession model,  
105 LANDIS-II (Scheller et al. 2007), to simulate forest dynamics across a 25.2 Mha landscape in  
106 the southern Canadian Rocky Mountain region at one-hectare resolution. While the western  
107 Alberta study area is comprised of 25.2 M one-ha cells, 71% or 18 M cells are active (containing  
108 natural vegetation), after masking out developed land, waterbodies, and bare rock (Figure 1). The  
109 study area represents an elevational and latitudinal gradient between boreal, montane Cordilleran  
110 forests, and prairie. Here, differences in elevation-related climatic and edaphic patterns are  
111 believed to control vegetation species assemblages through disturbances and soil moisture effects  
112 (White and Mathewes 1986; Hogg 1994; Moss 2012). Using the Natural Regions and Subregions  
113 of Alberta (Natural Regions Committee 2006), the majority of the study area is located in the  
114 Boreal region (46.2% of the study area), followed by the Foothills (25.5%) and Rocky Mountain  
115 (19.5%) regions. The Parkland and Grassland regions together comprise less than 10% of the

116 study area, making over 90% of the study area boreal and montane, characterized by a strong  
 117 east-west elevational gradient (Erickson et al. 2015).

118



119

120 Figure 1. Study area, historical wildfire perimeters, biogeoclimatic subregions, and landscape

121 initialization process: (top-left) regional context; (top-middle) NASA SRTM topography

122 (meters); (top-right) historical wildfire perimeters overlaid on biogeoclimatic subregions;

123 (bottom-left) inactive (static) sites and active (dynamic) sites with and without trees; (bottom-

124 middle) rules-based forest composition classification; (bottom-right) forest composition classes  
125 with inactive sites masked from the landscape initialization; all raster data used in the model was  
126 resampled to 100-meter resolution and co-registered.

127  
128 The TACA-EM and LANDIS-II models and their parameterization are detailed in the  
129 supplementary materials. Both models previously underwent validation and sensitivity analysis  
130 in North America (Mladenoff et al. 1993; Scheller and Mladenoff 2004; Scheller et al. 2007;  
131 Nitschke and Innes 2008; Nitschke et al. 2008, 2012; Simons-Legaard et al. 2015). Within  
132 LANDIS-II, two types of wildfire models were applied: (1) a simple statistical fire-spread model;  
133 (2) a semi-mechanistic cost-path fire-spread model incorporating fire weather inputs and  
134 landcover change to dynamically update site fuel conditions. The semi-mechanistic fire model  
135 was developed from forest fire data in Canada (Wagner 1977; Van Wagner 1987, 1989; Forestry  
136 Canada Fire Danger Group 1992). For each fire model, a stochastic optimization algorithm based  
137 on stochastic gradient descent (Widrow and Hoff 1960) was applied for parameter tuning,  
138 overcoming a long-standing practical limitation to large-scale simulations (He and Mladenoff  
139 1999). The overall simulation framework is shown in the supplementary materials (Figure S1).

140 TACA-EM was run separately for each of the climate scenarios and biogeoclimatic  
141 regions similar to previous research (Erickson et al. 2015). The resultant tree species  
142 establishment probabilities were fed into LANDIS-II together with the other parameters for each  
143 scenario. Simulations were run for 50 years at annual resolution, with the first 10 years used for  
144 model spin-up. Model spin-up was used to produce empirical disturbance-driven age class  
145 patterns given the importance of fire in shaping these patterns (Boyчук and Perera 1997). The  
146 LANDIS-II model was initially run at 500 m resolution to accelerate convergence of parameter

147 optimization using stochastic gradient descent (Widrow and Hoff 1960), or SGD, a variant of the  
148 steepest descent method (shown in yellow in Figure S1). In SGD, parameter values are updated  
149 in the direction and magnitude, or generally, gradient  $\nabla$  of reduced model error, iteratively  
150 updated with stochasticity based on previous simulations. The SGD algorithm relies on  
151 principles common to iterative gradient-based optimization methods (Supplementary materials).

152 In this work, we utilized the inherently stochastic nature of the LANDIS-II model to  
153 provide stochastic updates, while the gradient direction  $\vec{p}_i$  and step width  $l_i$  were calculated by  
154 hand after each simulation iteration. The SGD optimization method is widely used alongside the  
155 backpropagation algorithm (Dreyfus 1962; Linnainmaa 1970) in deep learning for training  
156 artificial neural networks (LeCun et al. 2015). Final model optimization runs were conducted at  
157 100 m resolution to balance computational cost and the grain size needed to capture the effects of  
158 fine-scale disturbance patterns, approaching the resolution limits of the LANDIS-II model.

159

### 160 ***Historical fire regimes***

161 Regional fire regime parameters were derived from the Canadian National Fire Database  
162 (NFDB) spatial wildfire data. The NFDB database was produced from an analysis of airborne  
163 and satellite imagery together with field plot data. Fire rotation period (FRP), or fire cycle, is one  
164 of the most commonly applied metrics to indicate the severity of fire regimes, with lower values  
165 indicating greater severity. FRP is typically calculated alongside the mean fire return interval  
166 (MFRI), or average time between fires for a given area or individual site – an indicator of fire  
167 frequency. FRP is the mean time required for the sum of fire sizes within an area to equal that  
168 area in size, calculated over a given time interval. Hence, FRP is the area-normalized MFRI.  
169 Applied to individual sites, FRP is simply equal to MFRI. By normalizing for area, FRP provides



170 more information about fire regimes at scales greater than the individual site without requiring  
171 additional data collection. MFRI values calculated for areas of different sizes are not directly  
172 comparable, unless normalized for area, which produces FRP values. Accordingly, we focus on  
173 FRP in our historical fire regime analysis. While other changes in the distribution of fires provide  
174 added information, FRP provides a single robust indicator of fire regimes. Calculations for FRP  
175 and MFRI are given below.

176

177 
$$FRP = Time\ Interval / (Sum\ of\ Fire\ Sizes\ or\ Sites\ Burned\ in\ Area / Area\ Size)$$

178 
$$MFRI = Time\ Interval / Number\ of\ Fires\ in\ Site\ or\ Area$$

179

#### 180 ***Model scenarios***

181 Fourteen 50-year simulations were run at an annual resolution, corresponding to four  
182 historical periods, three model configurations, and two extremes scenarios, to determine forest  
183 resilience under the persistence of past-century climate and fire trends with year 2000 initial  
184 conditions. A 50-year simulation duration was selected for its relevance to management  
185 timescales and to balance initial conditions and model behavior (e.g., equilibrium at 500-year  
186 timescales), as error propagates over time in simulations, increasing uncertainty. Historical  
187 climate and fire conditions were classified into the following three 30-year periods: Pre-  
188 Suppression Era (1923-1952), Early Suppression Era (1953-1982), and Global Change Era  
189 (1983-2012), corresponding to changes in fire suppression, climate, and human activity. A Most  
190 Recent Decade (2003-2012) scenario was included to encapsulate current regimes, based on an  
191 observed inflection point in fire frequency and size.

192           With the exception of the Extremes scenarios, each of the four scenarios was run under  
193 three different model configurations: (1) Succession only (*ao*); (2) Succession with Base Fire  
194 (*ao-bf*); (3) Succession with Dynamic Fire (*ao-dffs*). This was done to control for the effects of  
195 climate and fire on forest structural and compositional change. For the two Extremes scenarios,  
196 Pre-Suppression Era fire regimes – the most severe burn rate – were applied to Most Recent  
197 Decade climatic conditions – the warmest conditions – to determine the relative contributions of  
198 climate and fire on forest compositional and structural change in the most extreme cases. The  
199 simulation scenarios (configuration and period combinations) are abbreviated as shown in the  
200 supplementary information (Table S1).

201           For each scenario, spatiotemporal metrics indicative of directional change at the  
202 landscape scale were tracked, including latitudinal and elevational variation in species  
203 regeneration and relative abundance, as well as changes to forest structure (inferred from site age  
204 classes) and area. A focus on climate and fire is intended to represent changes to the two primary  
205 controls on boreal forest dynamics.

206

## 207 **Results**

### 208 ***Three-fold decline in fire rotation period despite warming***

209           Fire rotation period (FRP) increased by 298% between the 30-year Pre-Suppression  
210 (1923-1952) and Global Change (1983-2012) periods, indicating an approximate three-fold  
211 reduction in fire regime severity during a period of known warming. FRP increased by 166%  
212 between the Pre-Suppression and Early Suppression (1953-1982) periods, before increasing by  
213 another 50% between the Early Suppression and Global Change periods. FRP was effectively  
214 unchanged between the Global Change and Most Recent Decade (2003-2012) periods, deviating

215 by -0.1% compared to the 30-year mean. However, Most Recent Decade fires were nearly twice  
216 as frequent at half the mean fire size (MFRI  $\Delta = -45\%$ ; annual frequency  $\Delta = +82\%$ ; MFS  $\Delta = -$   
217 43.3%).

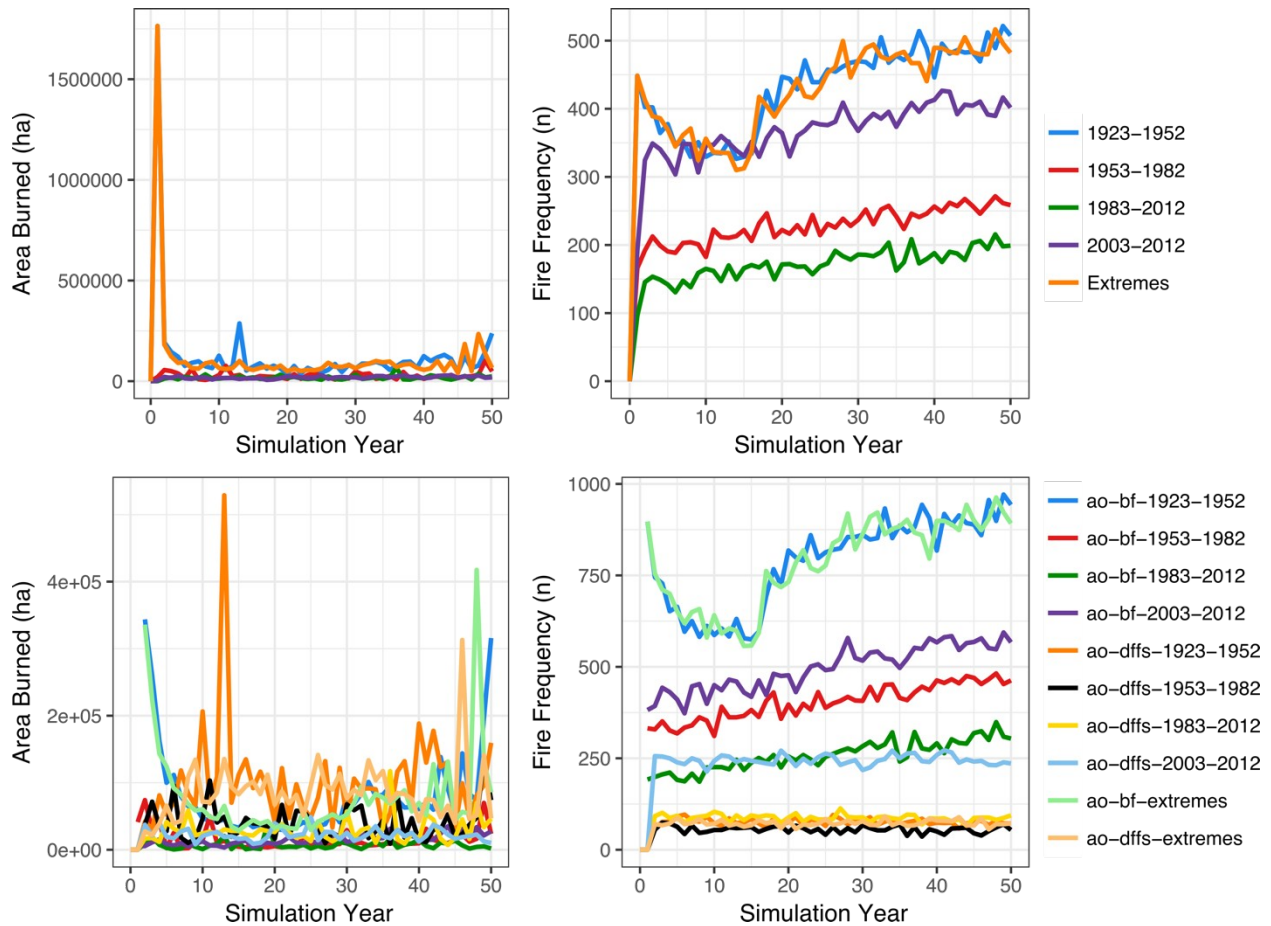
218         Adjusted for area, across all periods, the Boreal region had the shortest fire rotation  
219 period (FRP), followed by the Foothills and Rocky Mountain regions. The lower-elevation  
220 Parkland region had the longest FRP, followed by the Grassland region. The Boreal shows the  
221 greatest area burned and, by a lower margin, greatest proportion of the study area. FRP increased  
222 across the three periods, indicative of diminished burning. Between the Pre-suppression and  
223 Global Change Eras, FRP lengthened the most in the Boreal and Foothills regions while  
224 declining the most in the Parkland and Rocky Mountain regions. An analysis at the finer scale  
225 shows an acute increase in the FRP for the Peace River Parkland and Dry Mixedwood  
226 subregions, while the Upper Foothills subregion declined. All higher elevation subregions  
227 showed intensifying burning indicative of warming (Supplementary material, Figure S2).

228         Our stochastic gradient descent-based optimization algorithm markedly improved fire  
229 model calibration ( $\overline{R^2} = 0.96$ ;  $\overline{\Delta R^2} = +0.14$ ), yielding simulation results closely matching  
230 observations from the Canadian National Fire Database. Based on a visual analysis of simulation  
231 results for maximum cohort age classes resulting from fire region parameterizations, the boreal  
232 region exhibited the greatest fire-related structural (i.e., mean and standard deviation of site age  
233 class) change across scenarios. Frequent large fires during the Pre-Suppression Era produced a  
234 homogeneous structural patchwork of forests, while frequent small fires in the two most recent  
235 scenarios produced a diffuse forest landscape age pattern and decline in area burned,  
236 corresponding to observed empirical changes.

237           While base fire better fit aggregate 30-year statistics for observed fire regimes, time-  
238 series comparisons between simulated and observed regimes showed that dynamic fire better  
239 captured the mean and variability for both annual fire frequency and area burned. Wavelet  
240 spectra for annual 1-D time-series showed higher and lower wavelet dissimilarity (Rouyer et al.,  
241 2008) for dynamic fire area burned and fire frequency, respectively, compared to base fire  
242 (Supplementary material, Table S5). Wavelet spectra decompose the variance of 1-D time-series  
243 over a 2-D time-frequency plane and can be used to analyze the covariance of non-stationary  
244 signals with noise (Rouyer et al., 2008).

245           In the dynamic fire model results, iteratively updated landscape fuel conditions, based on  
246 2012-2013 fire weather, reduced fire frequency and area burned in each scenario compared to  
247 base fire. For the most severe fires, in the Pre-Suppression Era, base fire model results showed  
248 large fires during the initial simulation year and relatively flat activity until simulation year ~ 45.  
249 The temporal distribution of fire frequency was more stable and realistic in the dynamic fire  
250 scenarios due to the fuel-limited semi-mechanistic model design, while base fire exhibited brute-  
251 force application of statistical model parameters (Figure 2).

252



253

254 Figure 2. Simulated annual fire regimes by period (top) and scenario (bottom): (top-left) annual  
 255 simulated area burned by period; (top-right) annual simulated fire frequency by period; (bottom-  
 256 left) annual simulated area burned by scenario; (bottom-right) annual simulated fire frequency by  
 257 scenario; refer to Table S1 for scenario codes

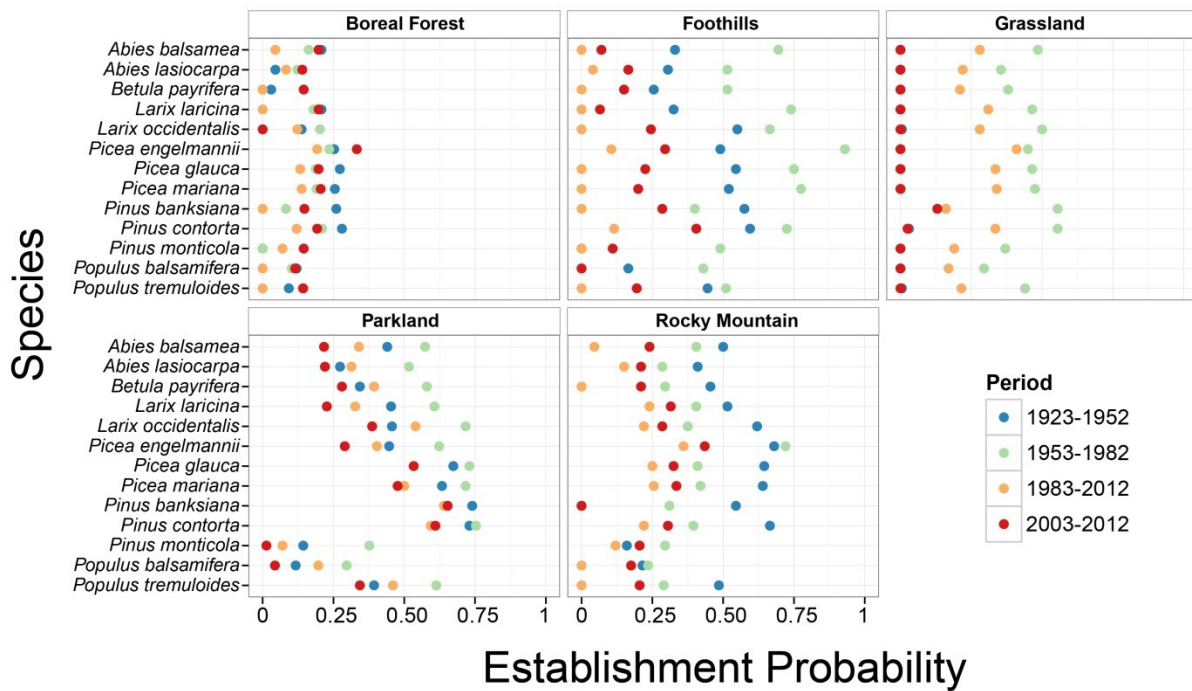
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259 ***Reduced regeneration potential under warming***

260 TACA-EM model results indicate that conditions for tree regeneration were increasingly  
 261 suboptimal, declining across the 1923-2012 study period (Figure 3). Optimal regeneration  
 262 conditions occurred most frequently in the Rocky Mountain, Parkland, and Foothills regions.  
 263 The Boreal region remained the most stable, while Montane regions maintained higher overall  
 264 regeneration potential. An increased frequency and depth of modeled drought, due to changes to

265 soil water balance, most limited regeneration conditions in the Grassland region, where fluvial  
 266 and aeolian soils are abundant. These results were critical to changes observed in LANDIS-II  
 267 simulations, due to interactions between mortality and regeneration.

268



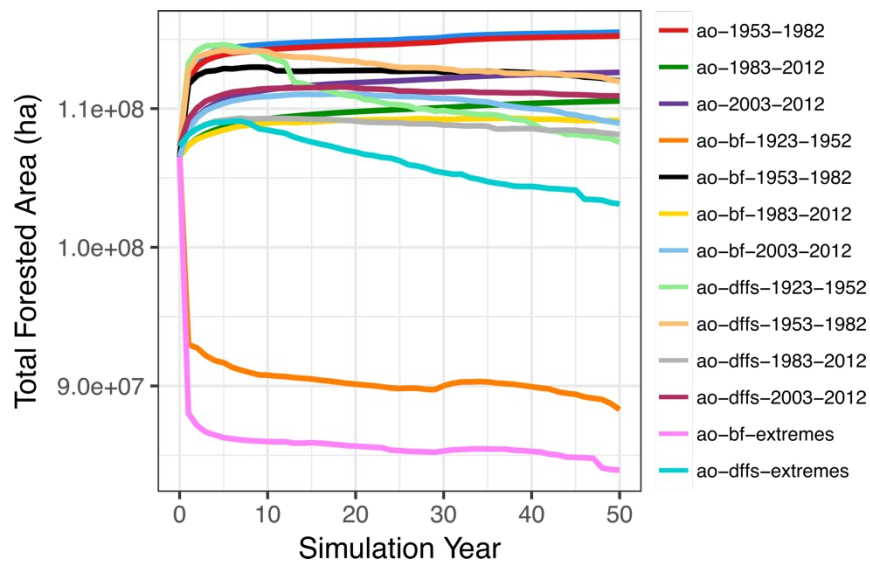
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270 Figure 3. Probability of tree species regeneration for thirteen subregions within five  
 271 biogeoclimatic regions, 1923 to 2012: blue = 1923-1952; green = 1953-1982; orange = 1983-  
 272 2012; red = 2003-2012

273

274 LANDIS-II model results showed a decline in forested area for the most severe fire  
 275 scenarios and an increase in forested area for mild disturbance scenarios. Forest decline indicates  
 276 a failure to regenerate post-disturbance and/or an annual rate of burning outpacing the rate of  
 277 regeneration. Resprouting and serotinous species regenerate post-fire each simulation year, the  
 278 latter requiring that seed availability and establishment conditions be met. An initial rapid

279 increase in forested area for most scenarios is attributable to recruitment into sites classified as  
 280 open (i.e., untreed active cells) in the initial landscape. The maximum total forested area was  
 281 38% greater than the minimum area, resulting from differences in fire regime severity and  
 282 regeneration suitability. For the Pre-Suppression Era and Extremes scenarios, base fire produced  
 283 the largest disturbances and thus the greatest change in forested area. While greater fire  
 284 disturbances removed more species-age cohorts, warming climatic conditions reduced post-fire  
 285 regeneration, further diminishing the forested area (Figure 4).  
 286



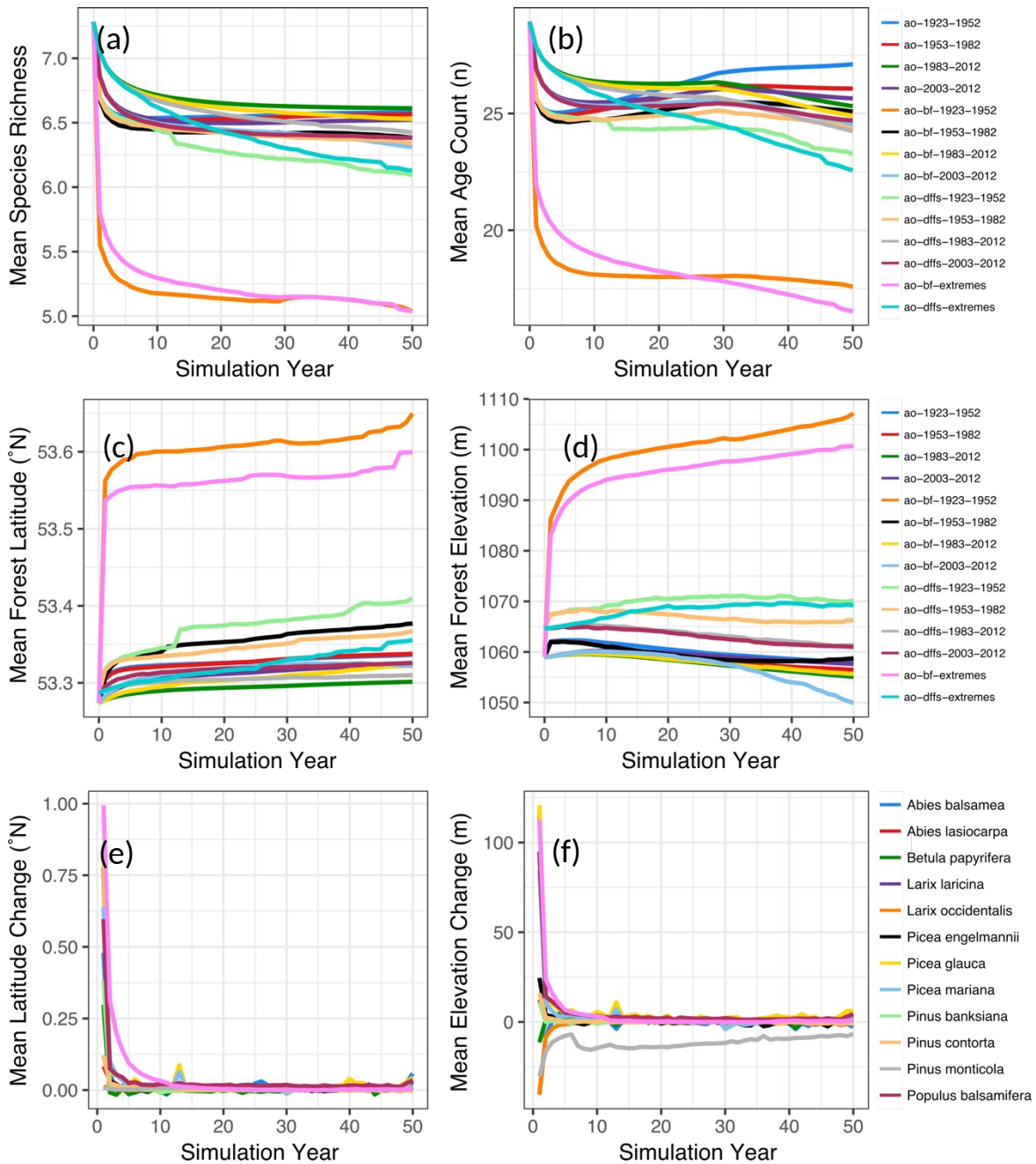
287  
 288 Figure 4. Annual total forested area (number of 1-ha pixels) by year and scenario; includes  
 289 forests of all age classes; refer to Table S1 for scenario codes  
 290

291 While warming reduced the likelihood of regeneration over the simulation period,  
 292 variation in fire regimes produced more rapid changes, with the interaction of the two processes  
 293 explaining changes in forested area. Results showed minor declines in the abundance of *Picea*,  
 294 *Larix*, and *Betula* genera, and minor increases in *Pinus*, across the simulation period. Species  
 295 richness declined for all scenarios, declining the most under more severe disturbances (Figure

296 5a). The mean number of age classes present at one-hectare sites followed similar patterns but  
297 recovered over time for succession-only scenarios (Figure 5b). The central tendency (i.e.,  
298 median) of the spatial distribution of forests mildly increased in latitude and elevation under the  
299 most severe disturbances. While the mean forest latitude increased for all scenarios modeled,  
300 mean forest elevation was generally flat or declined (Figures 5c and 5d).

301





302

303 Figure 5. Individual and ensemble (i.e., simulation mean) model results by scenario and species:

304 (a) species richness by scenario; (b) age class count by scenario; (c) mean forest latitude by

305 scenario in WGS84 decimal degrees; (d) mean forest elevation by scenario; (e) incremental mean

306 forest latitude change by species in WGS84 decimal degrees; (f) incremental mean forest

307 elevation change by species; refer to Table S1 for scenario codes

308           A downhill mean forest distribution shift was shown for the Global Change and Most  
309 Recent Decade periods (Figure 5d). This is explained by an increase in high-elevation burning  
310 and reduction in regeneration suitability here, due to modeled water holding capacity limitations  
311 of rocky soils for the Montane region. A previous analysis of the region showed that available  
312 water storage capacity was the most important model predictor of regeneration here (Erickson et  
313 al. 2015). In the Pre-Suppression and Extreme scenarios, the spatial distribution of forests shifted  
314 uphill on average due to high fire mortality rates at low elevations that surpassed the rate of  
315 regeneration. The difference in mean forest elevation between the two scenarios indicates that  
316 warming climatic conditions slowed rather than accelerated an uphill mean distribution shift  
317 (Figure 5d).

318           Latitudinal and elevational changes were produced by the spatiotemporal distribution of  
319 fire mortality more than climate over the 50-year simulation period. This is evidenced by large  
320 incremental changes in species elevation and latitude in the initial simulation years (Figures 5e  
321 and 5f), when disturbances were greatest in magnitude. While this spin-up period is often  
322 omitted from simulation studies, it is shown here to make model behavior transparent. The  
323 weaker effect of warming is also evident in a comparison of Extremes scenarios with Pre-  
324 suppression Era fire scenarios, which differed only in climate. Species responses varied in mean  
325 latitudinal and elevational distribution shifts resulting from fire. Rather than attributable to life  
326 history strategy or functional type, differences in species response appear primarily attributable  
327 to the initial location of species. This is evidenced by the observation that the greatest mean  
328 species distribution shifts were shown by species endemic to the boreal region, in the  
329 northeastern portion of the study area, where the rate of burning was greatest. These changes  
330 were particularly evident for the large fires of the base fire scenarios (Figures 5e and 5f).

331 ***The velocity of warming outpaced tree migration four-fold***

332 In the full ensemble results (the mean of all scenarios), the mean latitude of forests  
333 shifted mildly poleward while the mean elevation was static (mean latitude = +111 m yr<sup>-1</sup>; mean  
334 elevation = -0.02 m yr<sup>-1</sup>). All periods showed agreement in a mean latitudinal increase in forests,  
335 which may partially be explained by recruitment during the model spin-up period. Forest  
336 composition remained stable under the two most recent scenarios (Global Change and Most  
337 Recent Decade), but less stable during previous scenarios (Pre-Suppression and Early  
338 Suppression) due to greater disturbances. Extreme scenarios combining the most area burned  
339 with the warmest climatic conditions showed the most rapid changes in forest demographics  
340 (Figure 5b) and composition (Supplementary material, Figure S3).

341 Ensemble model results showed agreement in forested area decline (Supplementary  
342 material, Figure S3). An analysis of simulated annual mean incremental changes in area burned,  
343 fire frequency, and, forest latitude and elevation (Supplementary material, Figure S4) using  
344 Spearman's rank correlation coefficient ( $\rho$ ) to probe for monotonicity showed that latitudinal and  
345 elevational changes were strongly correlated ( $\rho = 0.71$ ), positively correlated with area burned ( $\rho$   
346 = 0.49;  $\rho = 0.34$ ), and negatively correlated with fire frequency ( $\rho = -0.34$ ;  $\rho = -0.50$ ). Total  
347 forested area was negatively correlated with fire frequency more than area burned ( $\rho = -0.54$ ;  $\rho =$   
348 -0.11) (Supplementary material, Figure S3c).

349 The periodicity (i.e., autocorrelation) of elevational changes was strongly correlated with  
350 changes to fire frequency ( $\rho = 0.90$ ). The periodicity of changes in total forested area was  
351 negatively correlated with changes to area burned, fire frequency, and, latitude and elevation ( $\rho =$   
352 -0.32;  $\rho = -0.31$ ;  $\rho = -0.06$ ;  $\rho = -0.24$ ). The periodicity of changes in mean forest latitude and  
353 elevation were positively correlated with changes in area burned and fire frequency, with forest

354 elevation and fire frequency showing the highest correlation (Supplementary material, Figure  
355 S3d).

356         During the model spin-up decade, where age class distributions were initially  
357 homogeneous, distributional shifts occurred at their most rapid rate. This result was produced by  
358 a combination of high severity boreal fires given an even availability of fuels and frequent initial  
359 recruitment events. Given an even initial age class distribution, the sexual maturity of trees had  
360 equally even coverage, facilitating seed dispersal. These spin-up patterns are critical to note for  
361 their role in influencing the interpretation of simulation results. Following the 10-year model  
362 spin-up period, all scenarios with fire showed a mild decline in forested area (Figure 4).

363

## 364 **Conclusions**

365         A combination of reduced regeneration potential and more severe fires produced a  
366 significant reduction to the total forested area in simulations. This suggests that declining  
367 modeled regeneration potential together with reduced burning in the Global Change Era may  
368 potentially diminish the ability of tree species to track the velocity of warming. The simulated  
369 mean northward shift in forest distribution was  $319 \text{ m yr}^{-1}$  slower than the velocity of climate  
370 change (Loarie et al. 2009; Hamann et al. 2015), with agreement shown across simulations. Even  
371 under the greatest burning and thus migration rates, northward forest migration lagged  $291 \text{ m yr}^{-1}$   
372 behind warming. As exhibited by the Extremes scenarios, migration rates were highest in periods  
373 where disturbance rates were the most severe, despite cooler temperatures. This is indicative of  
374 reduced competitive limitations to migration.

375         Changes in the distribution of tree species and forests were primarily attributable to fire.  
376 Forests tended to shift toward higher latitudes and lower elevations across simulation scenarios,

377 while higher fire mortality reduced species and age-class diversity. The mean of the spatial  
378 distribution of forests increased in elevation and latitude when disturbance severity was highest,  
379 facilitating more rapid migrations with the removal of stands. Although shifts in mean spatial  
380 distribution were mild, they are notable given the simulation period of fifty years, short relative  
381 to the duration of successional processes. Despite being confined within a fixed study area at a  
382 regional scale, changes in the mean spatial distribution of forests may be indicative of broader  
383 migrational patterns. Changes in the mean spatial distribution of forests are potentially more  
384 robust than range minima or maxima as indicators of migrational change, given the larger sample  
385 sizes involved and reduced sensitivity to episodic events produced by leptokurtic (i.e., heavy-  
386 tailed) seed dispersal kernels.

387         In addition to fire suppression (Cumming 2005), recent changes to forest demographics  
388 may partially explain the empirically observed increase in fire rotation period in Alberta (Zhang  
389 et al. 2015), due to the bottom-up nature of fire mortality (i.e., younger cohorts are more  
390 susceptible to mortality for a given fire intensity, limiting fire crowning through ladder fuels in  
391 the absence of young trees). Fire suppression, forest aging, reduced recruitment rates, and related  
392 fire energetic constraints may together explain the modest increase in area burned under  
393 warming (Kelly et al. 2013; Héon et al. 2014; Zhang et al. 2015). This dynamic was reproduced  
394 in simulations with the elimination of young trees during the model spin-up period, yielding  
395 older and less diverse stands with a reduced rate of burning. Simulation results also suggest that,  
396 while secondary to fire, declining regeneration potential may play a role in the decline in forested  
397 area observed for the Global Change Era in the adjacent montane Western United States (Cohen  
398 et al. 2016).

399           Some studies have indicated increased forest carbon sequestration under global change  
400 conditions (Chen et al. 2006; Fang et al. 2014), while a recent tree-ring analysis indicates no  
401 effect of warming on biomass increment in the Canadian boreal (Girardin et al. 2016). These  
402 projections fail to account for expected changes to fire regimes and tree regeneration under  
403 warming. Empirical evidence shows diminished recruitment rates for the region (Zhang et al.  
404 2015), in agreement with TACA model results, while burning is projected to increase under  
405 warming in the short-term (Flannigan et al. 2001; Groot et al. 2003), before being limited by  
406 energetic constraints (Héon et al. 2014). The presented simulation results indicate that the  
407 interaction of higher burn rates and diminishing regeneration potential may offset any potential  
408 gains to carbon storage over centennial time-scales by reducing the forested area. This dynamic  
409 may also offset increases to forest biomass attributable to stand aging (Huang et al. 2013; Uyeda  
410 et al. 2017).

411           Diminished resilience, or capacity of forests in their current state to respond elastically to  
412 perturbation, is evidenced by changes to regeneration, which regulates forest change at a basal  
413 physiological level. Although variability in interspecific regeneration potential was evident for  
414 the region, the dominant regeneration signal across the study period was a long-term decrease in  
415 forested area, in agreement with recent ground plot- and remote sensing-based findings on  
416 recruitment and forest cover across the greater region (Bond-Lamberty et al. 2014; Zhang et al.  
417 2015; Cohen et al. 2016). While Zhang *et al.* (2015) attributed reduced growth and recruitment  
418 rates in western Canada primarily to competition and secondly to climate, their analysis focused  
419 on undisturbed sites, making growth and recruitment rates primarily a function of stand  
420 development.

421           Meanwhile, fire is the dominant driver of mortality in boreal forests (Rowe 1961; Rowe  
422 and Scotter 1973; Bond-Lamberty et al. 2007), providing sites for recruitment (Clark 1991;  
423 Lavoie and Sirois 1998; Johnstone et al. 2010; Bond-Lamberty et al. 2014), while competition  
424 and climate cannot be disentangled. Competitive or mutualistic interactions are a function of  
425 climate space, evident in phenotype plasticity (i.e., gene expression) and evolutionary legacies  
426 (Aitken et al. 2008). The TACA model results presented herein suggest an alternative  
427 interpretation of the results of Zhang *et al.* (2015), as our modeling results indicate that  
428 diminished recruitment rates in the western Canadian boreal are due to a climatically-induced  
429 decline in regeneration potential.

430           Combined with available empirical evidence for Canada (Leithead et al. 2012; Fisichelli  
431 et al. 2014; Zhang et al. 2015; Cohen et al. 2016), our results suggest that a mild decline in  
432 forested area observed for some parts of intermountain western North America in recent decades  
433 may be attributable to a combination of increased fire regime severity (i.e., mortality) and  
434 diminished regeneration potential. Future studies should explore the interplay of disturbance,  
435 dispersal, and regeneration rates in changes to forested area observed for northwest North  
436 America. Empirical studies should focus on biome interfaces experiencing the highest rate of  
437 forest change. Simulation studies should expand beyond current species ranges to incorporate  
438 shifts at the edge of range limits. Improved spaceborne monitoring, data assimilation, and  
439 landscape genetic analyses will be important to understanding these dynamics, granting  
440 ecological forecasting greater predictive power. By conducting experiments *in silico*, the  
441 resilience of forests can be tested under different future scenarios, enabling managers to adjust  
442 policies to achieve desired targets. These applications may be considered first steps toward  
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459

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789

### 790 **Supplementary Material**

791 The TACA-EM model is designed to assess climate change impacts on the regeneration  
792 niche, the niche most sensitive to climatic change (Nitschke and Innes 2008). In TACA-EM,  
793 regeneration is a function of the biophysical space of each species in relation to soils and daily  
794 weather. Each year is simulated at daily resolution to capture phenologically driven regeneration  
795 events. Phenology is an important driver of species distributions (Chuine and Beaubien 2001)  
796 and plant fitness (Chuine 2010), necessitating its inclusion. Regeneration carries particular  
797 importance in global change studies, as it regulates directional change at the lowest level  
798 (Fisichelli et al. 2014).

799 The LANDIS-II model is a hybrid model (i.e., empirical, process-based, and stochastic)  
800 of forest dynamics (Kimmins et al. 2010) designed to operate at a stand resolution (~one hectare)  
801 and a landscape scale (~10<sup>6</sup> hectares) (Scheller et al. 2007). The LANDIS-II model applies life  
802 history strategies within a cellular automaton (von Neumann 1966) using a stochastic number  
803 generator and rules-based processes within and across two-dimensional probability matrices or  
804 grids. We combined TACA-EM within LANDIS-II to generate species regeneration probabilities  
805 under different conditions. Within LANDIS-II, fire is modeled as a blend of stochastic,

806 empirical, mechanistic, and rules-based processes. By coupling TACA-EM with LANDIS-II, we  
807 are able to explicitly model forest dynamics under global change.

808

## 809 **TACA-EM**

810

### 811 **Model Overview**

812         The TACA-EM model simulates tree species regeneration as a function of climatic and  
813 edaphic conditions relative to species biophysical constraints, as previously described (Nitschke  
814 and Innes 2008). TACA-EM relies upon empirically derived biophysical relationships for  
815 specific regeneration processes in a process-based approach. The model incorporates species  
816 parameters for growing degree days, temperature thresholds, chilling requirements, bud break,  
817 drought, and frost. Modeled species navigate biologically relevant thresholds in order to  
818 regenerate each year. The overall regeneration probability is the sum of these probabilities  
819 divided by the number of scenarios in each simulation, producing an average probability for a  
820 given decade. Unlike Bayesian methods, TACA-EM explicitly represents biological processes  
821 known to be critical to regeneration. As such, TACA-EM is more likely to maintain model  
822 realism under novel conditions where *a priori* information is unavailable.

823

### 824 **Model Requirements**

825         In addition to species biophysical parameters, TACA-EM requires daily weather, soils, and  
826 solar radiation parameters for each site modeled. The parameters are decadal-scale daily  
827 resolution temperature minima and maxima, precipitation, soil moisture regime, soil texture,  
828 rooting zone depth, coarse fragment percent, percolation rate, an optional nitrogen modifier for  
829 productivity, and latitude. Within TACA-EM, solar radiation and evapotranspiration are

830 calculated using existing formulations (Hargreaves and Samani 1985; Waring and Running  
831 1998). Soil available water storage capacity and annual heat moisture index are modeled using  
832 equations incorporating vapor pressure deficit (Wang et al. 2006). Available water storage  
833 capacity is the most sensitive model variable; when it falls to zero, soils are assumed to be at the  
834 permanent wilting point, below the minimum amount of soil moisture required to prevent  
835 permanent turgor loss. TACA-EM produces a drought index as a function of the actual-to-  
836 potential evapotranspiration ratio within a soil moisture submodel. The model includes species-  
837 specific phenology events, such as bud break, winter hardening, growing season length, and the  
838 occurrence of physiological drought and frost at critical times during development.

839

#### 840 **Model Parameterization**

841 We wrote custom R functions (R Core Team 2015) to download and process NOAA  
842 Global Historical Climate Network-Daily (GHCN-Daily) data (Menne et al. 2012), subsequently  
843 released within the *rnoaa* package (Chamberlain et al. 2016). Our scripts produce daily  
844 resolution temperature and precipitation values for each biogeoclimatic region as the mean of all  
845 station values within a region for each day. We utilized the expectation-maximization (EM)  
846 algorithm (Dempster et al. 1977) to impute missing values with the R *FastImputation* package,  
847 based on Amelia-II (Honaker et al. 2011).

848 We used the National Soil Database Soil Landscapes of Canada (SLC) v3.2 (Soil  
849 Landscapes of Canada Working Group 2010) to generate soil parameters for Natural Subregions  
850 of Alberta (Natural Regions Committee 2006) (Supplementary material, Table S1). Soil texture,  
851 rooting zone depth, coarse fragment percent, and available water soil capacity were derived from  
852 the SLC database for the dominant soil types. We used the Canadian System of Soil

853 Classification (Soil Classification Working Group 1998) to produce textural classes for these soil  
854 types before deriving percolation rates for textural classes from the literature (Derr et al. 1969).  
855 Elevational and latitudinal parameters were sourced from a provincial report (Natural Regions  
856 Committee 2006) and verified in ArcGIS using 90-meter NASA SRTM data. Our TACA-EM  
857 parameterization method is the first to offer climate and soils parameters Canada-wide.

858 Tree species biophysical attributes were derived from previous studies (Nitschke and  
859 Innes 2008; Nitschke et al. 2012) and the literature. Species parameters include physiological  
860 base temperature, heat sum for bud break, chilling requirements, minimum temperature, drought  
861 tolerance, growing-degree-day minima and maxima, frost tolerance, frost season, wet soils, and  
862 heat moisture index responses (Supplementary material, Table S2).

863 Tree species biophysical parameters were derived from the following literature sources:  
864 balsam fir (*Abies balsamea*) (Burns and Honkala 1990; Thompson et al. 1999; Greenwood et al.  
865 2008); subalpine fir (*Abies lasiocarpa*) (Edwards 1982; Leadem 1989; Burns and Honkala 1990;  
866 Li et al. 1994; Thompson et al. 1999; Klinka et al. 2000; Nitschke and Innes 2008; Nitschke et al.  
867 2012); white birch (*Betula papyrifera*) (Bevington and Hoyle 1981; Bevington 1986; Burns and  
868 Honkala 1990; Thompson et al. 1999; Klinka et al. 2000; Nitschke and Innes 2008; Grenier and  
869 Sirois 2009); tamarack (*Larix laricina*) (Pitel and Cheliak 1986; Burns and Honkala 1990;  
870 Thompson et al. 1999; Klinka et al. 2000); western larch (*Larix occidentalis*) (Burns and  
871 Honkala 1990; Sorenson 1990; Li et al. 1994; Carlson 1994; Thompson et al. 1999; Klinka et al.  
872 2000; Nitschke and Innes 2008); Engelmann spruce (*Picea engelmannii*) (Woodard 1983; Burns  
873 and Honkala 1990; Thompson et al. 1999; Klinka et al. 2000; Nitschke and Innes 2008); white  
874 spruce (*Picea glauca*) (Burns and Honkala 1990; Li et al. 1994; Thompson et al. 1999; Klinka et  
875 al. 2000; Renault et al. 2000; Nitschke and Innes 2008; Nitschke et al. 2012); black spruce

876 (*Picea mariana*) (Farmer et al. 1984; Burns and Honkala 1990; Thompson et al. 1999; Klinka et  
877 al. 2000; Sirois 2000; Meunier et al. 2007; Nitschke and Innes 2008); jack pine (*Pinus*  
878 *banksiana*) (Burns and Honkala 1990; Thompson et al. 1999; Klinka et al. 2000; Renault et al.  
879 2000; Greenwood et al. 2002); lodgepole pine (*Pinus contorta*) (Barton 1930; Woodard 1983;  
880 Burns and Honkala 1990; Li et al. 1994; Thompson et al. 1999; Klinka et al. 2000; Nitschke and  
881 Innes 2008; Nitschke et al. 2012); western white pine (*Pinus monticola*) (Barton 1930; Leadem  
882 1985; Burns and Honkala 1990; Li et al. 1994; Thompson et al. 1999; Klinka et al. 2000;  
883 Feurtado et al. 2004; Nitschke and Innes 2008); balsam poplar (*Populus balsamifera*) (Burns and  
884 Honkala 1990; Thompson et al. 1999; Klinka et al. 2000; Nitschke and Innes 2008; Wolken et al.  
885 2010; Nitschke et al. 2012); trembling aspen (*Populus tremuloides*) (Burns and Honkala 1990;  
886 Thompson et al. 1999; Klinka et al. 2000; Nitschke and Innes 2008; Wolken et al. 2010;  
887 Nitschke et al. 2012).

888

## 889 **LANDIS-II**

890

### 891 **Model Overview**

892       The LANDIS-II model is based on the JABOWA-FORET genre of gap models and  
893 LANDSIM (Mladenoff and He 1999). The model incorporates dynamics important at the  
894 landscape scale. The LANDIS-II model core is the central hub of a modular system that allows  
895 users to specify submodels at a user-defined time-step. In LANDIS-II, each grid cell in the  
896 landscape matrix is either active or inactive. Inactive cells are static and active cells are dynamic.  
897 Active grid cells can be forested or non-forested. Grasslands are typically the only active non-  
898 forested cells, where trees may establish given favorable conditions. Each active forested grid  
899 cell is a stand of trees comprised of horizontally homogeneous species-age cohort classes

900 (Scheller et al. 2007). To better represent variation in regional climate, soils, and fire, we divided  
901 the landscape into biogeoclimatic regions. In our simulations, we utilize three submodel  
902 configurations: Age-Only Succession, Base Fire, and the Dynamic Fuels and Fire System.

903 We used the Age-Only Succession submodel to model light, reproduction, ontogeny,  
904 senescence, seed dispersal, and interspecific competition. In LANDIS-II, light is modeled as a  
905 function of the maximum shade tolerance for sexually mature species present at a site. The  
906 presence of shade tolerant species, which fare poorly in open stands (Spies and Franklin 1989),  
907 are used as an indicator of poor light conditions. When fires initiate within a cell, younger, more  
908 shade tolerant species typically have higher mortality rates, increasing modeled light values.

909 Reproduction is limited by propagule presence and light availability given regeneration  
910 probabilities output from TACA-EM. Fire directly interacts with regeneration through mortality,  
911 resprouting, and serotiny. Cohort mortality is a function of species maximum age, with an  
912 increasing probability of mortality once species reach 80% of their maximum age. Seed dispersal  
913 is represented by a two-part negative exponential probability distribution with a leptokurtic  
914 dispersal kernel (Ward et al. 2004), based on observed migration rates (Clark et al. 1998).  
915 Interspecific competition occurs through the intersection of species life history attributes,  
916 establishment probabilities, and local disturbance patterns.

917 We apply two conceptually different LANDIS-II extensions for modeling wildfire: Base  
918 Fire and the Dynamic Fuels and Fire System. Base Fire is an empirically based stochastic fire-  
919 growth model that reproduces parameterized statistical distributions, with variability a result of  
920 its stochastic core. In contrast, the Dynamic Fuels and Fire System is a semi-mechanistic  
921 stochastic fire-growth model that uses topography, fuel conditions, fire weather, and empirical  
922 fire distributions to shape fire patterns. The Dynamic Fuels and Fire System is conceptually

923 analogous to Prometheus in Canada (Tymstra et al. 2010) and FARSITE in the US (Finney  
924 2004), which are based on the Fire Behaviour Prediction (FBP) System (Forestry Canada Fire  
925 Danger Group 1992) and BEHAVE (Andrews and Chase 1989), respectively. In both LANDIS-  
926 II fire models, fires begin through separate ignition and initiation events (Yang et al. 2004).  
927 Mean fire return intervals (Pickett and Thompson 1978) are used to model fire frequency.

928         In the Base Fire model, the frequency of ignitions follows a Poisson distribution. Fire  
929 initiation is based on Bernoulli trials, with ignition probability a function of time-since-last-fire.  
930 Fire sizes are drawn from a log-normal distribution (Yang et al. 2004), producing periodic large  
931 fires. Fire shape is a product of a stochastic percolation algorithm representing wind vectors.  
932 Inactive cells may act as fire breaks, stopping fire spread before reaching its target size. Fire  
933 severity is determined by fuel and wind curves representing fuel buildup and decay; a site's  
934 position on these curves is determined by time-since-last-fire. Fire is modeled as a bottom-up  
935 disturbance, whereby younger cohorts have a higher probability of mortality (He and Mladenoff  
936 1999).

937         The Dynamic Fuels and Fire System is a process-based fire model that uses a semi-  
938 mechanistic representation of fire growth (Sturtevant et al. 2009). Similar to Base Fire, fires are  
939 hierarchically split into ignition and initiation events (Yang et al. 2004). Ignition frequency also  
940 follows a Poisson distribution, with cells in each fire region selected stochastically. Unlike Base  
941 Fire, fire initiation is modeled probabilistically based on site fuel conditions, calculated using  
942 cohort information and daily weather data within FBP and Fire Weather Index (FWI) Systems  
943 equations (Van Wagner 1987; Forestry Canada Fire Danger Group 1992). Fire sizes are drawn  
944 from a log-normal distribution, while users can alternatively specify duration-based sizes. Fire  
945 shape is modeled using fuel-specific rate-of-spread equations (Hirsch 1993) and a modified

946 minimal-travel-time cost-path method. The minimum-travel-time method is based on Huygens'  
947 Principle of wave propagation, also used in Prometheus and FARSITE. Yet, LANDIS-II  
948 implements the most efficient algorithm of the three fire simulators (Finney 2002).

949 In the Dynamic Fuels and Fire System, the fire spread algorithm contains two core  
950 components: wind bias and fuel conditions. Wind bias has an ellipsoidal shape with the length  
951 and width based on the magnitude of a wind velocity vector (Finney 2002). Fuel-based spread is  
952 a function of fuel class, wind speed, and topography, using FBP System fuel classes. A cost  
953 surface is created using the inverse rate-of-spread to calculate a minimum-travel-time path. The  
954 cumulative minimum-travel-time and fire size selected determine the shape of each fire,  
955 producing improved disturbance pattern realism.

956 The probability of fire sizes being selected from the log-normal size distribution are  
957 classified into five equally spaced bins. These bins correspond to five fire weather bins, based on  
958 the logic that larger fires occur during more severe fire weather conditions. The fire weather bins  
959 are typically parameterized by classifying FWI values. The seasonal distribution of fire  
960 frequency is represented probabilistically, incorporating leaf status. Detailed model information  
961 and equations are provided in the literature (Forestry Canada Fire Danger Group 1992; Finney  
962 2002; Sturtevant et al. 2009). Model requirements are provided in the supplementary materials.

963

## 964 **Model Requirements**

965 The LANDIS-II model core requires a list containing life history attributes for tree  
966 species. These attributes include species longevity, sexual maturity age, shade tolerance, fire  
967 tolerance, seed dispersal, vegetative reproduction, and serotiny. The model core also requires a  
968 matrix and lookup table specifying tree species-age cohort classes present in each cell. Cohort



969 classes are dynamically updated at each time step. A biogeoclimatic regions matrix and  
970 corresponding lookup tables are optional. A succession model table requires species regeneration  
971 probabilities.

972         The Base Fire model requires statistical fire distributions for fire regime regions,  
973 typically set to the biogeoclimatic region matrix. Base Fire requires parameters for the mean,  
974 minimum, and maximum event size, ignition probability ( $\lambda$ ), and the mean fire rotation period  
975 for each of the fire regions. These parameters require adjustment to reproduce empirical fire  
976 distributions, typically achieved by manual approximation of the ignition probability and fire  
977 rotation period parameters (Syphard et al. 2007). To produce the desired fire regimes with high  
978 accuracy, we applied gradient descent for parameter optimization.

979         The Dynamic Fuels and Fire System (Dynamic Fire) model similarly requires fire  
980 regions, typically using the biogeoclimatic region matrix, and a corresponding lookup table. The  
981 model requires the expected mean ( $\mu$ ), standard deviation ( $\sigma$ ), and maximum fire size for the log-  
982 normal distribution. The model also requires seasonal foliar moisture content (FMC) low and  
983 high averages, proportion of fires during high FMC conditions, open fuels class designation, and  
984 the annual frequency of fire initiation for each region. Dynamic Fire also requires a fire  
985 seasonality table containing leaf status, proportion of fires, percent curing, and fire-day-length-  
986 proportion parameters for each season. The model additionally requires a fuel-type table based  
987 on FBP System classes, which consists of parameters for base type, surface type, initiation  
988 probability, three fuel type-specific rate-of-spread constants, buildup index (BUIs in the FBP  
989 System), maximum buildup effect ( $q$  in the FBP System), and crown base height, used to modify  
990 the initial spread index. The equations requiring these parameters have previously been described  
991 (Forestry Canada Fire Danger Group 1992; Finney 2002; Sturtevant et al. 2009).

992           The Dynamic Fire model’s fire damage table requires parameters for the upper bound of  
993 the cohort age range and the minimum difference between fire severity and tolerance for  
994 mortality to occur. An initial weather database incorporating daily fire weather data, including  
995 fine fuel moisture code, buildup index, wind speed velocity, fire weather index, fire weather  
996 index bin, season, and ecoregion, is used to modify fuel conditions. To model the effects of  
997 topography on fire shape, users may input percent-slope and upslope-azimuth matrices, which  
998 we include using 90-meter NASA SRTM elevation data. The Dynamic Fire model requires a fuel  
999 coefficient for each species and a maximum-site-hardwood-percentage to be classified as a  
1000 coniferous fuel group. The optional Dynamic Fuels submodel, which we include, requires a fuel  
1001 type classification table in order to reclassify site fuel conditions following succession and/or  
1002 disturbance. The table contains parameters for base fuel type, age range, and species  
1003 presence/absence. A disturbance conversion table can optionally be used to allow other  
1004 disturbance types to modify the site fuel classification (Sturtevant et al. 2009).

1005

### 1006 **Model Parameterization**

1007           To parameterize the LANDIS-II model core, we used local species parameters derived  
1008 from the literature and species compendiums (Burns and Honkala 1990; Farrar 1995). We  
1009 applied Ward’s leptokurtic double-exponential seed dispersal algorithm within the succession  
1010 model (Ward et al. 2004). To parameterize the initial landscape, we used a rules-based  
1011 classification of species distributions for western North America (Gray and Hamann 2012).  
1012 While bioclimatic envelope, or climate-equilibrium, models may not be suitable for forecasting  
1013 under novel conditions, they can be used to accurately predict existing species distributions – a  
1014 parameter difficult to estimate using remote sensing.

1015 We classified modeled species frequency values into species cohorts using FBP System  
1016 classes (Forestry Canada Fire Danger Group 1992). We binned forested sites into the following  
1017 classes (FBP System code): Aspen (D-1); Boreal Spruce (C-2); Lodgepole or Jack Pine (C-3/C-  
1018 4); Douglas-fir (C-7); Boreal Mixedwood (M-1/M-2). We set each site to even 0, 30, 60, and 90  
1019 year old age classes, relying on model spin-up to create historical forest structure patterns, given  
1020 an absence of reliable forest age maps. For biogeoclimatic regions, we used a provincial  
1021 classification scheme (Natural Regions Committee 2006).

1022 We combined and reclassified Landcover for Agricultural Regions of Canada  
1023 (Agriculture and Agri-Food Canada 2012) and Earth Observation for Sustainable Development  
1024 of Forests (Wulder et al. 2007) data, each set to year 2000 conditions. We defined three base cell  
1025 states: active-treed, active-untreed, and inactive. We classified the initial landscape to active-  
1026 treed cells where species cohorts were present. We set herb, grassland, and shrubland landcover  
1027 classes, to active-untreed, while setting agriculture, annual cropland, perennial crops and pasture,  
1028 wetland, water, exposed land, snow/ice, rock/rubble, and built-up cells to inactive. Hence, forests  
1029 and fires could expand into open natural areas given suitable conditions, but not into developed  
1030 or resource-limited sites.

1031 For Base Fire, we utilized historical fire data from the Canadian National Fire Database  
1032 (Canadian Forest Service 2015) for 1923 to 2012, analyzed in a parallel study (Erickson et al., In  
1033 Review). For the fire regions, we used the biogeoclimatic regions. We used the default fuel curve  
1034 table to represent five fire severity classes, as it was created based on Canadian forests. We wrote  
1035 R functions (R Core Team 2015) to calculate the mean, minimum, and maximum fire size,  
1036 ignition probability, and fire rotation period for each fire region.

1037           We developed a new parameter optimization technique for both fire models based on  
1038 stochastic gradient descent (Widrow and Hoff 1960). First, coarse-resolution (500 m cell)  
1039 simulations are run for the maximum duration (~1,000 years) to efficiently estimate the model  
1040 signal for a given parameter space. Fire parameters for each region are then updated using the  
1041 difference between actual and simulated values, before a new simulation begins. The process is  
1042 repeated until the difference between actual and simulated fire regimes reaches a minimum.  
1043 Specifically, the ignition probability is adjusted for each region until the simulated fire frequency  
1044 is within 1% of the target range before the same optimization process is applied to *k* values,  
1045 equivalent to the fire rotation period. We then computed final optimizations by running  
1046 simulations at full resolution for the target duration, often producing little difference. Our fire  
1047 parameter optimization method conceptually fuses sparse approximation with Monte Carlo  
1048 signal processing methods and gradient descent. Our method reliably produced fire frequencies  
1049 within 1% of the target values and area burned  $R^2$  values over 0.99, compared to unoptimized  
1050 values of 20% and 0.75, respectively. If fire regimes deviate significantly from a log-normal size  
1051 distribution, Base Fire will be limited in its ability to reproduce them, but we did not experience  
1052 that here.

1053           For Dynamic Fire, we wrote R scripts (R Core Team 2015) to calculate the expected  
1054 mean, standard deviation, maximum fire size, and annual fire frequency for each region and  
1055 period. We wrote functions derived from the FBP System to calculate seasonal foliar moisture  
1056 content (FMC) values. To do so, we calculated the regional minimum FMC date based on the  
1057 mean latitude, longitude and elevation, before calculating the mid-season FMC using ordinal  
1058 dates for the vernal equinox, summer solstice, autumnal equinox, and winter solstice. We used

1059 these values to calculate the proportion of fires occurring during the high FMC period. Low and  
1060 high FMC thresholds were set to 25% and 75% of the maximum, respectively.

1061 We used biogeoclimatic regions as the fire regions matrix. For percent ground slope and  
1062 uphill azimuth, we used NASA SRTM3 version 2 data. For the fire seasons table, we set the leaf  
1063 status for spring, summer, and fall to leaf-off, leaf-on, and leaf-off, respectively. We calculated  
1064 the proportion of fires during each season by using a subset of the fire database, with dates  
1065 converted to ordinal dates and seasons. The percent curing values were calculated as a function  
1066 of FMC values, using a grassland curing index equation (Dilley et al. 2004), with the mean index  
1067 value used to represent each season. Fire day length proportion was set to the standard value of  
1068 one.

1069 We calculated an initial fire weather database using Alberta Agriculture and Rural  
1070 Development's historical fire weather station data. We selected fire weather stations with the  
1071 shortest Euclidean distance to the centroid of each region. We used daily resolution fire weather  
1072 data for the April 2012 through March 2013 fire weather season to represent recent climatic  
1073 influences on fuels, as historical fire weather data is unavailable here. The weather metrics used  
1074 include precipitation, mean temperature, mean humidity, wind speed at 10m above ground, and  
1075 wind direction at 10m above ground. We used the R *mtsdi* package (Junger and de Leon 2012) to  
1076 impute missing values for the period, using the default EM algorithm and splines method. We  
1077 then used the R *fwi.fbp* package (Wang et al. 2014) to calculate daily fine fuel moisture content,  
1078 build-up index, and fire weather index using standard equations (Van Wagner 1987). We  
1079 segmented fire weather index values into five bins based on quantile groups using the R *Hmisc*  
1080 package (Harrell and Dupont 2015).

1081 To parameterize the fuel type table, we used FBP System fuel classes and parameters  
1082 developed for Canada (Forestry Canada Fire Danger Group 1992). These parameters include  
1083 base type, surface type, initiation probability,  $a$ ,  $b$ , and  $c$  rate-of-spread parameters, a  $q$  depth  
1084 dryness parameter, build-up index, maximum build-up effect, and crown base height. We set the  
1085 fuel types not currently present in the landscape to inactive. We used a standard fire damage  
1086 table, with probability of mortality inversely related to cohort age. The table includes species-  
1087 specific fire tolerances, with standard transitions at 20%, 50%, 85% and 100% age percent of  
1088 longevity.

1089 We used the optional dynamic fuels submodel, reclassifying site fuel conditions at the  
1090 end of each simulation year. This enables fire behavior to more realistically respond to  
1091 succession and disturbance. To parameterize the fuels model, we assigned species an even fuel  
1092 reclassification weighting coefficient of 1.0. We set deciduous species maximum composition  
1093 for conifer stands to the standard 10%. We based the fuel type reclassification table on the FBP  
1094 System, utilizing its definitions for species composition and age classes (Forestry Canada Fire  
1095 Danger Group 1992).

1096 Tree species life history attributes for LANDIS-II (Supplementary material, Table S3)  
1097 were derived from the following sources: balsam fir (*Abies balsamea*) (Xu et al. 2010); subalpine  
1098 fir (*Abies lasiocarpa*) (Burns and Honkala 1990; Farrar 1995); paper birch (*Betula papyrifera*)  
1099 (Peterson et al. 1997; Government of Alberta 2009); larch (*Larix laricina*) (Burns and Honkala  
1100 1990; Farrar 1995); western larch (*Larix occidentalis*) (Burns and Honkala 1990); Engelmann  
1101 spruce (*Picea engelmannii*) (McCune and Allen 1985; Burns and Honkala 1990; Government of  
1102 Alberta 2009); white spruce (*Picea glauca*) (Dobbs 1976; Groot et al. 2003; Government of  
1103 Alberta 2009); black spruce (*Picea mariana*) (Stanek 1961; Burns and Honkala 1990);

1104 Government of Alberta 2009); jack pine (*Pinus banksiana*) (Flannigan and Wotton 1994; Farrar  
1105 1995; Government of Alberta 2009); lodgepole pine (*Pinus contorta*) (Lotan and Perry 1983;  
1106 Parminter 1984; Burns and Honkala 1990; Farrar 1995); western white pine (*Pinus monticola*)  
1107 (Burns and Honkala 1990; Owens and Bruns 2000; Organisation for Economic Co-operation and  
1108 Development 2006);; balsam poplar (*Populus balsamifera*) (Burns and Honkala 1990; Farrar  
1109 1995); trembling aspen (*Populus tremuloides*) (DeByle and Winokur 1985; Burns and Honkala  
1110 1990; Jelinski et al. 1992; Huang et al. 1992; United States Forest Service 2013).

1111

## 1112 **Gradient-based Optimization**

1113 Generally, gradient-based strategies search for a minimum to a  $D$ -dimensional target  
1114 (also objective or cost) function  $f(\vec{x})$  approximated by a terminated Taylor series expansion  
1115 around  $\vec{x}_0$ :

$$1116 \quad f(\vec{x}_0 + \vec{x}) \approx f(\vec{x}_0) + (\nabla f(\vec{x}_0))^T \vec{x} + \frac{1}{2} \vec{x}^T \nabla^2 f(\vec{x}_0) \vec{x}$$

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1118 Optimization is performed iteratively by beginning at an initial value  $x_{i=0}$  and computing  
1119 its target function  $f(\vec{x}_i)$  value, before searching for a minimum in the following loop:

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- 1121 1. Check for convergence of  $f(\vec{x}_i)$  per a given criterion; if fulfilled, accept  $\vec{x}_i$
- 1122 2. Compute the gradient direction  $\vec{p}_i$  and step width or learning rate  $l_i$
- 1123 3. Evaluate the new point in the parameter space  $\vec{x}_{i+1} = \vec{x}_i + \vec{p}_i \cdot l_i$ ; compute the target  
1124  $f(\vec{x}_{i+1})$  and return to step 1

1125

1126 A first-order linear approximation can be used to compute the step direction  $p_i$  of the  
1127 target function:

$$1128 \quad f(\vec{x}_0 + \vec{p}) \approx f(\vec{x}_0) + (\nabla f(\vec{x}_0))^T \vec{p}$$

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1130 This results in the following step direction  $p_i$ , known as the steepest descent method:

$$1131 \quad \vec{p} = -\nabla f(\vec{x}_0)$$

1132

1133 The gradient vector is orthogonal to the plane tangent to the isosurfaces of the function  
1134  $f(\vec{x})$ . Gradient descent adds stochasticity to the optimization process in order to improve search  
1135 space coverage and convergence toward a global minimum, avoiding local minima or saddle  
1136 points. The standard gradient descent algorithm updates the parameters  $\vec{x}$  of target function  $f(\vec{x})$ :

$$1138 \quad \vec{x} = \vec{x} - l \nabla_x E(f(\vec{x}))$$

1139

1140 The expectation  $E$  is calculated by evaluating the gradient over the entire training dataset.

1141 Stochastic gradient descent removes the expectation in the update and instead computes the

1142 gradient of parameters using one to few training samples.

1143

1144 The SGD update is as follows:

$$1145 \quad \vec{x} = \vec{x} - l \nabla_x f(\vec{x}; x_i, y_i)$$

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1149 **Tables**

1150 Table S1. Simulation scenario codes based on model configuration and period

LANDIS-II Configuration	Period	Abbreviation
Age-only succession	1923-1952	ao-1923-1952
Age-only succession	1953-1982	ao-1953-1982
Age-only succession	1983-2012	ao-1983-2012
Age-only succession	2003-2012	ao-2003-2012
Age-only succession with base fire	1923-1952	ao-bf-1923-1952
Age-only succession with base fire	1953-1982	ao-bf-1953-1982
Age-only succession with base fire	1983-2012	ao-bf-1983-2012
Age-only succession with base fire	2003-2012	ao-bf-2003-2012
Age-only succession with dynamic fire	1923-1952	ao-dffs-1923-1952
Age-only succession with dynamic fire	1953-1982	ao-dffs-1953-1982
Age-only succession with dynamic fire	1983-2012	ao-dffs-1983-2012
Age-only succession with dynamic fire	2003-2012	ao-dffs-2003-2012
Age-only succession with base fire	Extremes	ao-bf-extremes
Age-only succession with dynamic fire	Extremes	ao-dffs-extremes

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1152 Table S2. Soils parameters used in TACA-EM

Natural Subregion	Soil Texture	Rooting Zone Depth (m)	Coarse Fragment %	AWSC (mm/m)	Field Capacity (mm/m)	Percolation (mm/day)	Latitude
Alpine	-	-	-	-	-	-	-
Central Mixedwood	SICL	1.0	5%	452	560	93.1	55°
Central Parkland	CL	1.0	5%	341	470	122.6	50°
Dry Mixedwood	CL	1.0	5%	341	470	122.6	55°
Foothills Fescue	CL	1.0	5%	341	470	122.6	50°
Foothills Parkland	CL	1.0	20%	341	470	103.2	50°
Lower Boreal Highlands	CL	1.0	20%	341	470	103.2	55°
Lower Foothills	CL	1.0	5%	341	470	122.6	55°
Mixedgrass	CL	1.0	5%	341	470	122.6	50°
Montane	L	1.0	20%	377	460	66.4	50°
Peace River Parkland	CL	1.0	5%	341	470	122.6	55°
Subalpine	L	1.0	20%	377	460	66.4	50°
Upper Boreal Highlands	CL	1.0	20%	341	470	103.2	55°
Upper Foothills	CL	1.0	5%	341	470	122.6	55°

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Table S3. Tree species biophysical parameters used in TACA-EM

Species	Model Code	Physiological Base Temperature (°C)	Heat Sum for Bud Burst (GDD)	Chilling Requirement (Days)	Minimum Temperature (°C)	Drought Tolerance	GDD Minimum	GDD Maximum	Frost Tolerance	Frost Season	Weight Soils	Heat Moisture Index
<i>Abies balsamea</i>	Sp1	2.8	121	49	-62	0.20	560.0	2,386	0.9	305	0.55	41.4
<i>Abies lasiocarpa</i>	Sp3	2.6	119	70	-67	0.25	197.6	5,444	0.9	320	0.75	28.7
<i>Betula papyrifera</i>	Sp5	3.7	231	77	-80	0.30	236.8	4,122	0.9	285	0.30	40.0
<i>Larix laricina</i>	Sp7	2.9	111	42	-76	0.20	150.8	3,331	0.9	300	0.75	33.8
<i>Larix occidentalis</i>	Sp8	3.4	180	70	-40	0.40	163.2	3,057	0.7	305	0.05	38.7
<i>Picea engelmannii</i>	Sp9	3.1	145	49	-64	0.25	74.4	2,150	0.9	335	0.50	28.7
<i>Picea glauca</i>	Sp10	2.7	147	42	-69	0.34	129.6	3,459	0.9	305	0.50	43.2
<i>Picea mariana</i>	Sp11	3.0	123	56	-69	0.30	144.0	3,060	0.9	305	1.00	42.7
<i>Pinus banksiana</i>	Sp13	2.8	108	56	-85	0.50	830.0	2,216	0.9	320	0.30	37.9
<i>Pinus contorta</i>	Sp14	2.9	116	63	-85	0.42	185.6	3,374	0.9	320	0.50	37.9
<i>Pinus monticola</i>	Sp15	4.4	468	98	-85	0.25	211.2	3,554	0.75	305	0.50	25.8
<i>Populus balsamifera</i>	Sp17	2.1	93	49	-80	0.13	126.0	7,852	0.9	290	0.55	59.0
<i>Populus tremuloides</i>	Sp18	3.5	189	70	-80	0.40	226.8	4,414	0.9	284	0.30	40.0

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Table S4. Tree species life history attribute parameters used in LANDIS-II

Species	Longevity	Sexual Maturity Age	Shade Tolerance	Fire Tolerance	Effective Seed Dispersal Distance	Maximum Seed Dispersal Distance	Vegetative Reproduction Probability	Sprouting Minimum Age	Sprouting Maximum Age	Post-Fire Regeneration
<i>Abies balsamea</i>	150	25	5	1	30	160	-1	-1	-1	None
<i>Abies lasiocarpa</i>	200	20	4	2	30	80	0.05	20	200	None
<i>Betula papyrifera</i>	150	15	2	1	100	200	0.5	1	60	Resprout
<i>Larix laricina</i>	150	10	1	3	38	60	0.05	10	150	None
<i>Larix occidentalis</i>	400	15	1	5	100	240	-1	-1	-1	None
<i>Picea engelmannii</i>	720	15	3	2	46	183	0.05	15	720	None
<i>Picea glauca</i>	350	25	3	2	100	300	0.05	25	350	None
<i>Picea mariana</i>	150	30	4	1	260	260	0.05	30	200	Serotiny
<i>Pinus banksiana</i>	200	10	2	4	37	60	-1	-1	-1	Serotiny
<i>Pinus contorta</i>	200	5	2	4	27	200	-1	-1	-1	Serotiny
<i>Pinus monticola</i>	350	7	3	3	120	800	-1	-1	-1	None
<i>Populus balsamifera</i>	200	9	2	3	50	3000	0.5	9	200	Resprout
<i>Populus tremuloides</i>	200	2	1	4	uni	5000	0.95	1	200	Resprout

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Table S5. Fire regime statistics by period for the study area

Period	Burned (ha)	Area (ha)	FRP	MFRI	MFS
1923-1952	3,224,691	24,972,634	232.326	0.011	1,148.394
1953-1982	1,211,806	24,972,634	618.234	0.020	811.115
1983-2012	809,967	24,972,634	924.950	0.020	545.066
2003-2012	270,287	24,972,634	923.931	0.011	308.899

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Table S6. Simulated and observed fire time-series statistics; WD = wavelet dissimilarity

Period	Simulation	Mean <sub>area</sub>	SD <sub>area</sub>	r <sub>area</sub>	WD <sub>area</sub>	Mean <sub>freq.</sub>	SD <sub>freq.</sub>	r <sub>freq.</sub>	WD <sub>freq.</sub>
1923-1952	Base Fire	+70,282	+375,027	-0.10	49.594	+696.8	+82.9	0.42	46.976
1923-1952	Dynamic Fire	-18,385	-168,825	-0.14	48.496	+54.3	-8.8	0.05	39.841
1953-1982	Base Fire	-27,265	-66,458	0.25	46.235	+357.6	+17.5	-0.29	42.179
1953-1982	Dynamic Fire	-3,338	-59,027	0.07	48.456	+39.9	-2.7	0.11	40.394
1983-2012	Base Fire	-20,689	-51,047	0.21	47.059	+202.3	-5.7	0.68	38.613
1983-2012	Dynamic Fire	-1,529	-46,255	-0.02	47.939	+55.4	-14.9	0.17	36.923
2003-2012	Base Fire	-18,363	-40,783	-0.06	15.150	+352.4	-4.6	0.07	14.528
2003-2012	Dynamic Fire	-5,121	-31,994	-0.58	15.377	+152.6	+42.8	0.13	14.360

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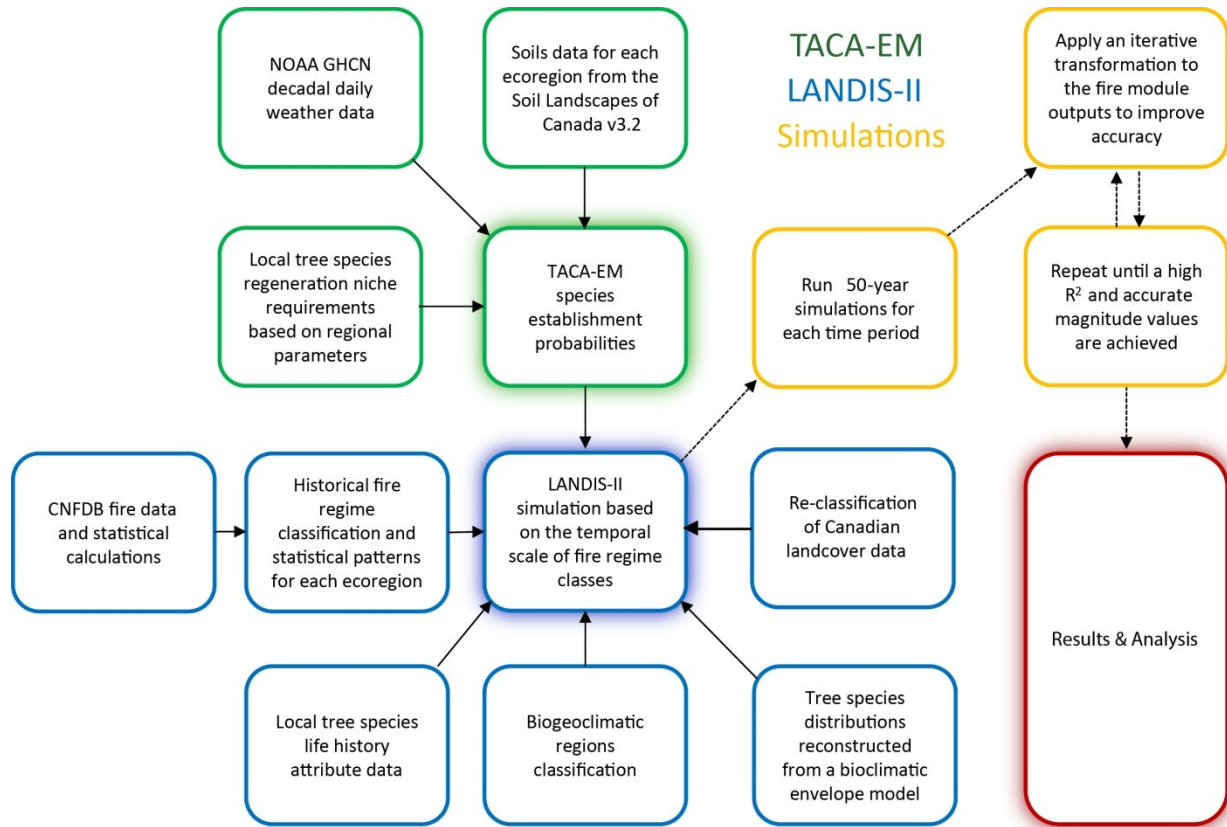
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1181 **Figures**



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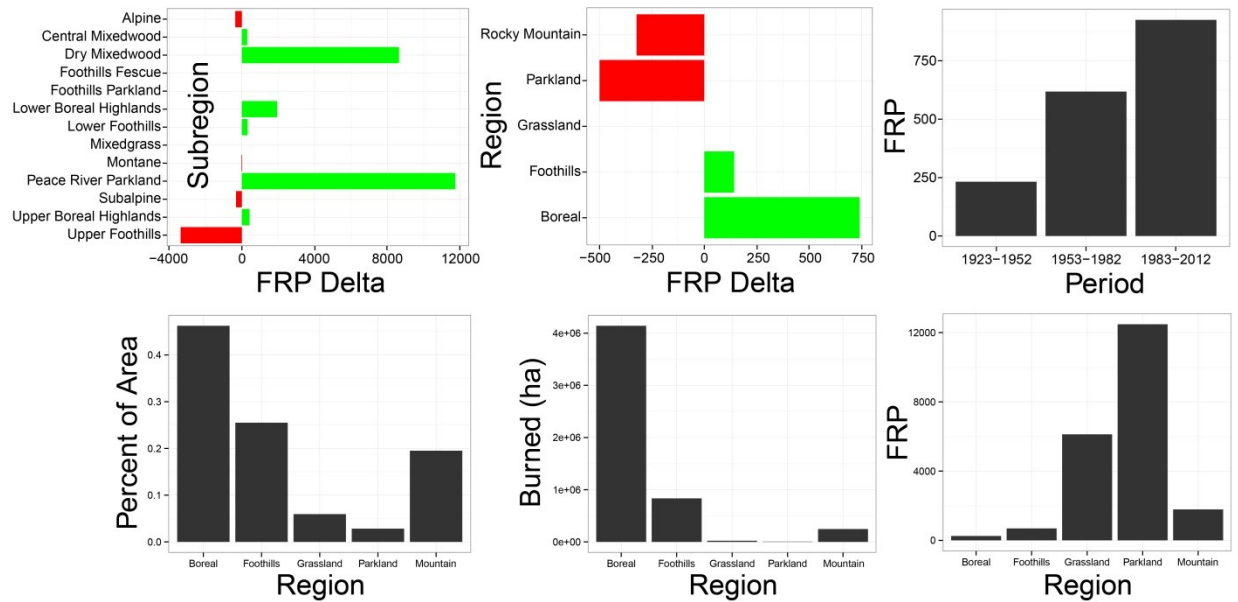
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Figure S1. Overall simulation framework used in this study

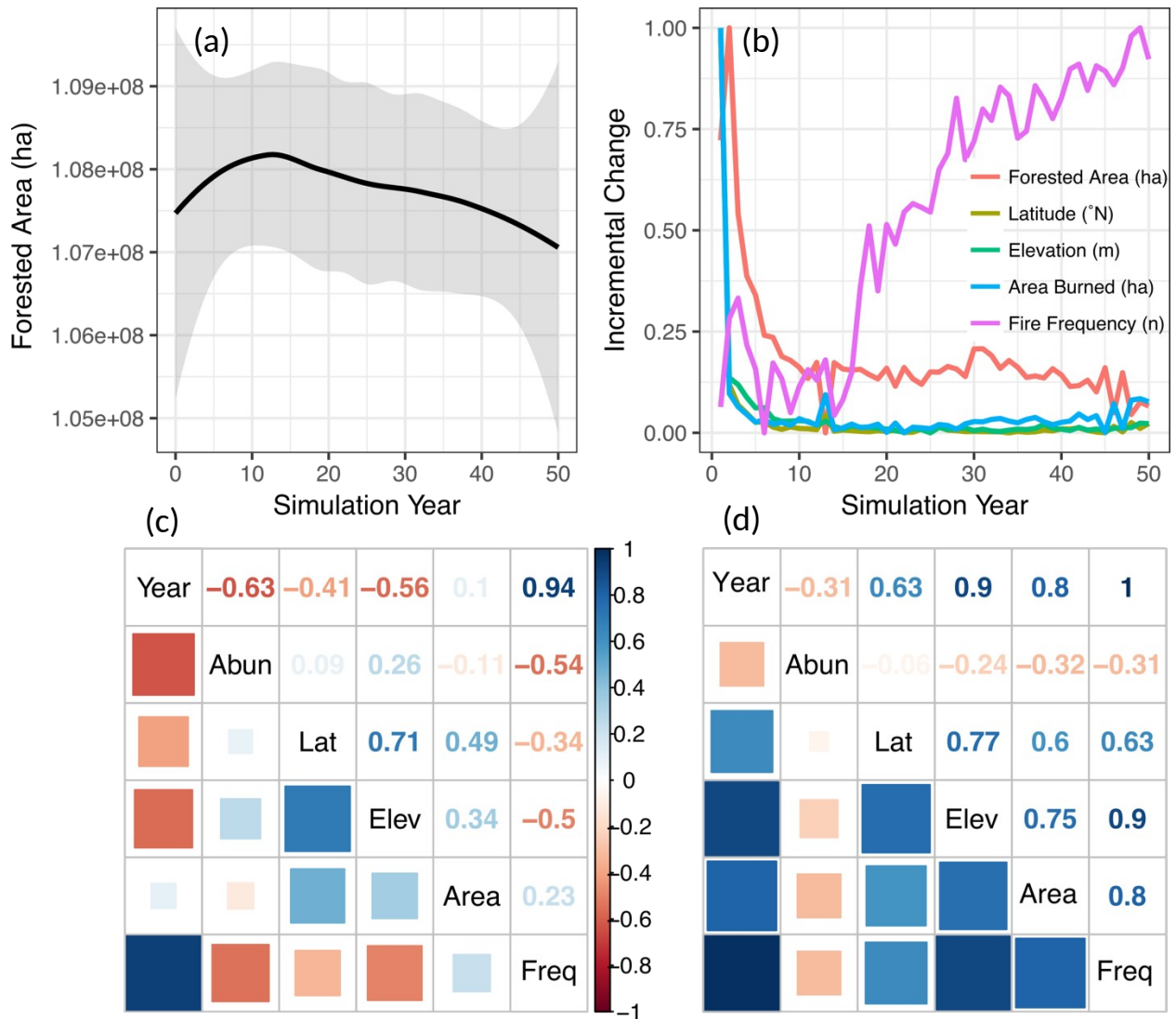


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Figure S2. Historical fire statistics by region and time period; change metrics are computed between the periods 1923-1952 and 1983-2012: (top-left) Fire rotation period (FRP) change by subregion; (top-middle) FRP change by region; (top-right) FRP by period; (bottom-left) fraction of area burned by region; (bottom-middle) total area burned by region; (bottom-right) FRP by region; Montane region = Rocky Mountain; red = decline; green = increase

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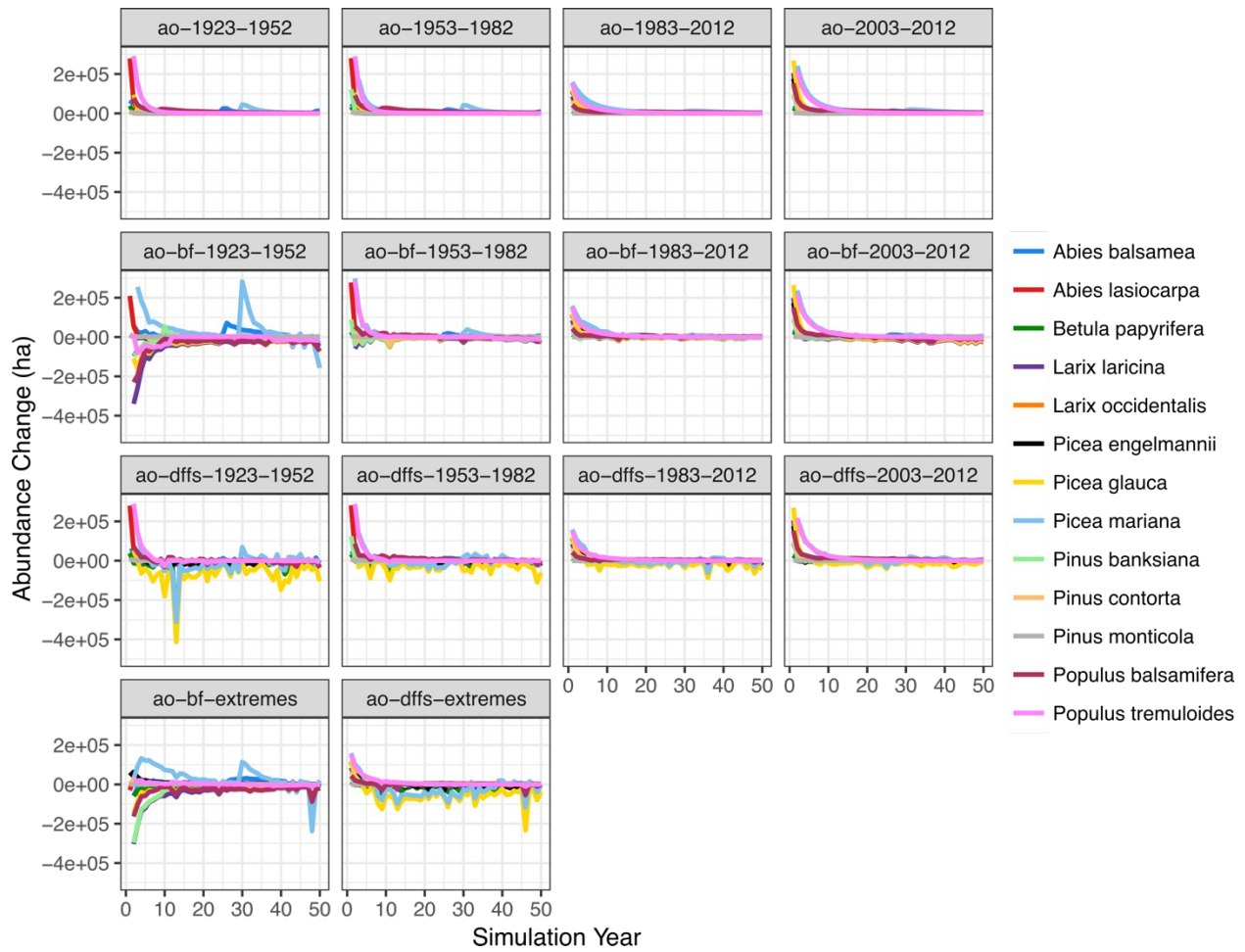
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Figure S3. Mean annual simulated forest change: (a) total forested area for all scenarios with a 95% confidence interval; (b) re-scaled forested area, latitude, elevation, area burned, and fire frequency for all scenarios; (c) Spearman's  $\rho$  for re-scaled metrics; (d) Spearman's  $\rho$  for autocorrelations of re-scaled metrics; Abun = forest area; Lat = forest latitude; Elev = forest elevation; Area = area burned; Freq = fire frequency



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1202 Figure S4. Simulated annual incremental change in species abundance by scenario; refer to Table

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S1 for scenario codes

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