Protecting rivers by integrating supply-wastewater infrastructure planning and coordinating operational decisions

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5 Key words:

- 6 Integrated water management; Systems analysis; Water system boundaries; Urban water cycle;
- 7 Water quality and pollution control; Water resource system modelling; Wastewater modelling;

8 Word Count (excluding abstract, figures, captions, tables, titles and references); 3999

9 Abstract

Placing water quality in rivers at the centre of water infrastructure planning and management is an
 important objective. In response there has been a range of 'whole system' analyses. Few studies,

12 however, consider both abstraction (water removed from rivers) and discharge (water returned) to

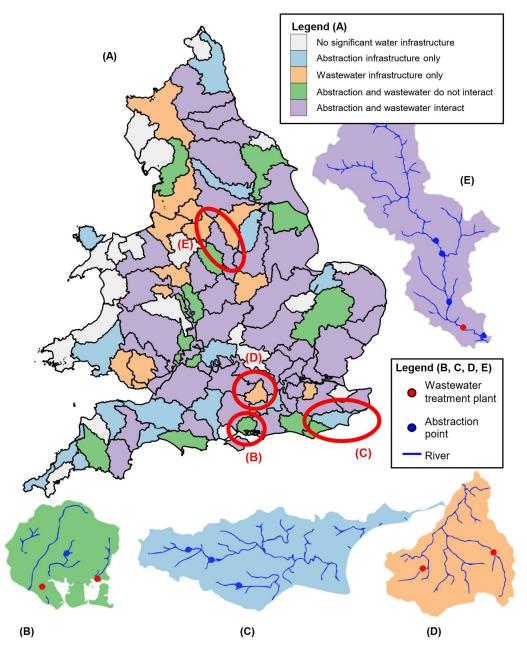
13 inform the future planning of water systems. In this work we present a systems approach to analysing

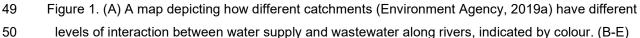
- 14 future water planning options where system development prioritises the water quality of the receiving
- 15 river. We provide a theoretical demonstration by integrating water supply and wastewater
- 16 infrastructure, and downstream river water quality, on an open-source, stylised, systems model for
- 17 London, UK, at a citywide scale. We show that models which consider either supply or wastewater
- 18 separately will underestimate impacts of effluent on the water quality, in some cases by amounts that
- 19 would require £1 billion worth of infrastructure equivalent to mitigate. We highlight the utility of the
- 20 systems approach in evaluating integrated water infrastructure planning using both socio-economic
- 21 and environmental indicators. Through this approach we find unintended impacts from planning
- 22 options on downstream river quality; including benefits from water demand management and
- rainwater harvesting, and costs from wastewater reuse. Finally, we present a novel management
- 24 planning option between supply and wastewater, which we refer to as Abstraction-Effluent Dilution
- 25 (AED), that is, to reduce river abstractions during high precipitation events to dilute untreated sewer
- spills. The AED option is found to provide up to £200 million worth of equivalent infrastructure in river
- 27 quality improvements and has minimal impact on the reliability of water supply while requiring only a
- change in operational decision making. This proof-of-concept study highlights that seeing our water
- 29 systems differently with this holistic approach could fundamentally change the way we think about
- 30 future water infrastructure planning so that it works both for people and the environment.

31 Introduction

- 32 The impact of water infrastructure on river quality has long been a key element in the wider discussion
- around water planning and management (Gleick, 2003; Vörösmarty et al., 2010). Without due
- 34 consideration to environmental impacts, water infrastructure cannot be described as sustainable
- 35 (Loucks, 2000). This desire to put the environment central to planning can be facilitated by a systems
- 36 modelling approach (Coombes & Kuczera, 2002; Kasprzyk et al., 2018). When the planning focus
- 37 changes, specifically to the river quality in this work, the system boundaries may need to be expanded

- 38 (Vogel et al., 2015). There is a growing literature showing how the expanding of system boundaries
- 39 changes the behaviour of modelled processes in water systems (Coombes et al., 2016) and even to
- 40 the extent that would require a system to be managed differently (Dobson et al., 2019).
- 41 We look to the urban water system to illustrate this point. It covers rivers, groundwater, wastewater
- 42 and water supply systems. Each of these systems are typically managed separately yet most of them
- 43 are operationally connected; for example, water supply abstractions reduce river flows and thus
- 44 increase the concentration of wastewater effluent discharge in a river. To illustrate this, we show how
- 45 wastewater and water supply infrastructure interact in catchments across England and Wales in
- 46 Figure 1. It shows that over half of the catchments have large wastewater plants (serving >100,000
- 47 people) and water supply abstractions (>2MI/d) along the same rivers.

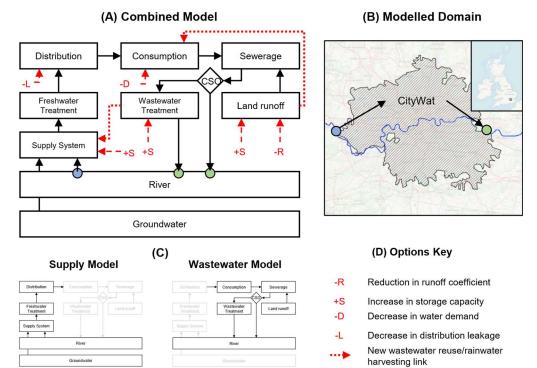




- 51 Catchments that illustrate different levels of interaction; rivers are shown as blue lines (Ordnance
- 52 Survey, 2019), wastewater treatment plants serving >100,000 people as red points (European
- 53 Commission, 2016) and water supply abstractions >2MI/d as blue points (Environment Agency, 2015).
- 54 Despite the interdependency apparent in Figure 1, the UK's supply and wastewater planning
- 55 processes remain distinctly segregated. In water supply, infrastructure projects are currently
- 56 evaluated by their impact on continuity of supply, relying on licensed abstraction limits to account for
- 57 river quality (Cook et al., 2017). In wastewater, there is a focus on the occurrence and severity of both
- volume and pollutant content of effluent discharges rather than considering the waters that receive
- them (Water UK, 2019). Biases in river quality estimation is to be expected for any water
- 60 infrastructure project if planning remains separate but the real system is connected. Some
- 61 infrastructure projects, such as wastewater reuse, to be accurately assessed will inherently require
- 62 conceptualisation over the entire urban water cycle (Behzadian & Kapelan, 2015).
- 63 Models that capture interactions between different water system processes fall broadly under
- 64 'integrated water management' models (Rahaman & Varis, 2005; Mitchell, 2006). These types of
- models are most commonly found as joint sewerage network and urban runoff models (Bach et al.,
- 66 2014; Salvadore et al., 2015). However, other examples of integrated water management models
- 67 exist, for example: supply-drinking water quality (Mortazavi-Naeini et al., 2019), household waste-
- 68 sewerage-runoff (Bailey et al., 2019), supply-sewerage-runoff-treatment (Rozos & Makropoulos,
- 69 2013; Behzadian & Kapelan, 2015; Coombes et al., 2016), and supply-river quality (Paredes-Arquiola
- et al., 2014). As more systems are included and larger geographical areas are covered, the
- complexity of the system representations tends to be reduced, leading to a lumped "directed-graph"
- approach to modelling urban water cycles. This approach was pioneered by the Aquacycle software
- 73 (Mitchell et al., 2001) and with recent implementations such as CityDrain3 (Burger et al., 2016),
- however, these have been constrained to the wastewater system only.
- 75 In this paper we illustrate a case for a wider systems view of the urban water cycle in water planning
- and management. We argue that unintended consequences can be incurred by the choice of
- 77 modelled processes resulting in bias for estimating river quality, and that unexpected benefits may be
- revealed when the system is considered in an integrated fashion. This case is based on three
- 79 hypotheses. First, we assume that if a city's supply and wastewater systems abstract water and
- 80 discharge into connected rivers but are modelled separately, then their estimations of river quality will
- 81 be significantly different than if they had been modelled together. Next, we propose that reducing
- 82 water supply abstractions during high precipitation events will dilute sewer spills, reducing the
- 83 concentration of untreated effluent during spill events to an extent that it could complement
- 84 infrastructure-based options. The approach might also have interactions with flood risk, but this is
- 85 outside the scope of this study. Finally, we argue that water infrastructure planning options will impact
- state variables across the wider water system revealing co-benefits and trade-offs in integrated water
- 87 planning. These hypotheses can only be tested in an integrated model that spans the urban water
- system. Thus, we also present an open-source lumped water management model of a stylised,
- 89 London-based system.

90 Methods

- 91 CityWat: an open-source water management model of London
- 92 To investigate these hypotheses, a daily timestep, open-source lumped water management model of
- 93 a stylised, London-based system (CityWat hereafter) has been developed for this work see
- 94 acknowledgements for its Python model code, with equations described in supporting material S1. We
- 95 note that, although targeted to London, CityWat is modular and can easily be rearranged and
- 96 generalised to a range of cities. The processes represented in CityWat for this study are depicted in
- 97 Figure 2A.



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Figure 2. (A, top left) In black is a schematic depicting the processes and data flow represented in
CityWat, planning options are highlighted in red. Abstraction and discharges points are indicated in
blue and green respectively (B, top right) The region represented by our model, with abstraction and
discharge locations indicated by circles. (C, bottom left) Two framings of the water system, a supplyonly model and wastewater-only model. (D, bottom right) The key for the different generic planning
options included in our study, which are linked into CityWat illustrated in red in Figure 2A.

105 Each process in CityWat is represented by a lumped model at city scale), shown in Figure 2B. For 106 example, supply reservoirs are aggregated into one London-wide reservoir. This lumping ensures a 107 efficient and easy to understand water management model, and it also enables sharing of parameter 108 information openly without privacy or national security concerns. River flows and groundwater 109 availability are represented by data (detailed in Supplementary Material S2). We note that this is a 110 significant simplification of the real system. There are multiple abstractions and discharge points 111 within the modelled region that CityWat aggregates together as well as upstream processes that 112 model does not represent in detail. Thus, simulation results should be interpreted as a proof-of-113 concept rather than assessment or critique of current system operation.

- 114 Water system parameters (e.g. capacities of reservoirs or treatment plants) can generally be found
- openly at city-scale and are described in supporting material S2. Where this is not possible
- reasonable estimates have been made. S2 indicates the reasoning behind, and supporting sources
- 117 for these estimates. Input data, i.e. flow and precipitation, have been sourced from the national river
- flow archive (Centre for Ecology and Hydrology, 2020) and HadUK (Hollis et al., 2019) respectively.
- 119 London is fortunate in its environmental data records and so the simulation period spans the period
- between 1903-2018. In the Experimental Setup, we verify how effectively the model simulates historic
- 121 data.
- 122 Impact of water system boundaries on modelled river quality
- 123 The first hypothesis in our case for expanding the model boundaries was that: if a city's supply and 124 wastewater systems abstract and discharge water into connected rivers but are modelled separately, 125 then their estimates of river quality at the downstream boundary will be significantly different than if 126 they had been modelled together. To test this, we treat different models of the system in question 127 each as a plausible representation of the system. Treating a model in this way can be referred to as a 128 'framing' of the system (Quinn et al., 2017). Thus, we formulate three framings of London's water 129 system. The first is the integrated water system, unchanged from Figure 2A. This combined framing 130 represents the systems view of the urban water cycle. The second is the supply-only portion of the 131 system including processes between the river and point of water consumption by customers, depicted 132 in Figure 2C, left. This is a water supply framing of the water cycle. The third is the wastewater-only 133 portion of the system including processes between the waste production of customers to wastewater 134 treatment work effluent, depicted in Figure 2C, right. This is a wastewater framing of the water cycle. 135 We hypothesise that downstream river water quality could be a key indicator to assess the 136 performance of the system as a whole. We propose concentration-based metrics formulated from the
- 137 proportion of downstream river flow. The raw river water, treated effluent and untreated effluent
- proportion of downstream river flow. The raw river water, treated effluent and untreated effluent
 proportions are used to illustrate differences in simulated river guality between framings. Given
- 139 CityWat's lumped scale, any metric that quantifies the impact of the urban water system on
- 140 downstream river quality will ultimately be some derivative of these three proportions. As an example
- of this derivation, we also include phosphorus concentration, which is conceptualised as the
- 142 phosphorus concentration of raw river water, treated and untreated effluent blended in proportion to
- their volumetric presence in the river. We chose phosphorus because it is a significant pollutant in the
- River Thames and has high concentrations in sewage that are reduced significantly by treatment
- 145 (Jarvie et al., 2006).
- To ensure that we consider socio-economic factors as well as environmental, we have also included two metrics for reliability of water supply. These are total supply reservoir volume over time and the level of water use restrictions (e.g. a level 3 restriction allows enforcement of hosepipe bans while a level 4 restriction allows standpipe use). Water use restrictions are based on reservoir levels,
- described in Mortazavi-Naeini et al. (2019). These metrics do not perfectly capture the complexity of
- the water resources planning process in the UK (Cook et al., 2017), but we believe serve as an
- adequate proxy in this proof-of-concept study.

- 153 Evaluation of planning options from an environmental perspective
- 154 It became clear when viewing the urban water cycle from a systems perspective, which the combined
- 155 CityWat framing provides, that there were potential opportunities to improve river quality through a
- 156 joint management approach. As anticipated in our second hypothesis, we have proposed using water
- 157 supply abstractions to manage untreated effluent spill events, which we term 'Abstraction Effluent-
- 158 Dilution' (AED). The working principle behind this option is illustrated in Figure 3. In the Experimental
- 159 Setup section, we perform a pilot experiment to design the implementation of this option.

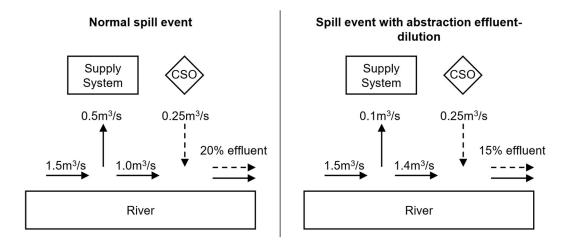


Figure 3. A simplified system schematic that illustrates the working principle of abstraction effluent dilution, with the raw water flows (normal arrows) and untreated spill flows (dashed arrows)
 represented for a normal spill event (left) and spill event with abstraction effluent-dilution (right). The
 values shown are for illustrative purpose only and not representative of the case study.

165 Besides AED, we also examine conventional water infrastructure options. In the UK, the water supply

planning process (termed water resources management planning (Cook et al., 2017)) has been in

167 place since the privatisation of the water industry in 1985, with the feasibility of several project options

168 (e.g. new reservoirs, leakage reduction targets) already assessed. In contrast, the wastewater

planning process (termed drainage and wastewater management planning (Water UK, 2019)) is still

being developed. Thus, we select a range of feasible options for supply and commonly proposed

options for wastewater planning to test in CityWat alongside AED, which we summarise in Table 1.

Sector	Option	Description in model	Cost	Option impact
Integrated	Abstraction	Minimise abstractions when	Negligible	Dilutes untreated effluent from
	Effluent-	precipitation is high and supply		spill events
	Dilution	reservoirs are nearly full		
Supply	Wastewater	Allows treated effluent to be re-	£2m/(Ml/d)	Adds 150MI/d in wastewater
	Reuse	abstracted for supply	(Environment	reuse capacity
			Agency,	
			2019b)	
Supply	New	Increase supply reservoir capacity	£12,500/MI	24,000MI increase in reservoir
	reservoir		(Borgomeo et	capacity
			al., 2018)	
Supply	Demand	Reduce per-household water	Negligible (in	10% reduction in household
	reductions	demand	comparison to	consumption (achievable by
			other options)	2035, (Environment Agency,
				2019b))
Supply	Leakage	Reduce level of leakage in the	£1.6m/(Ml/d)	190MI/d reduction in leakage
	reduction	distribution network	(NERA, 2019)	(about 35%, achievable by 2035
				(Environment Agency, 2019b))
Wastewater	Green roofs	Reduces equivalent impermeable	£100/m ²	3km ² of green roofs installed,
		area by green roof area multiplied by	(AECOM,	covering 2% of London's roof
		50% (the assumed runoff reduction)	2017a)	area
Wastewater	Rainwater	Creates volume that can store	£280/(400L	Units installed on all of London's
	harvesting	rainwater on roofs and be redirected	unit) (AECOM,	roofs, 700,000 units providing
		to household demand	2017a)	280MI of storage
Wastewater	Stormwater	Increases storage for water that	£2m/MI	Increase temporary stormwater
	storage	reaches treatment works but cannot	(AECOM,	storage by 150MI.
	tanks	be treated that day	2017b)	
			1	

172Table 1: A summary of the different options we test in CityWat using a historical demand scenario173(described in Supplemental Material S1), how they are implemented and at what scale.

174 In order to compare options from both sectors, we have gathered estimates of unit costs and

implement each option with a 'budget' of £300million. Some options are constrained by factors other

than cost (e.g. demand reductions, whose cost to implement is negligible in comparison with

177 infrastructure projects), thus we provide realistic estimates for these instead. The options we include

are illustrated in Figure 2A and 2D.

179 Our third hypothesis is that infrastructure options impact state variables in the systems they exist in,

180 but also those that they interact with, which could have implications for assessing systems level

181 benefits of proposed schemes. Thus, we compare all metrics for all options.

182 Experimental Setup

183 Verification of the CityWat model

184 CityWat is a stylised model and primarily illustrative, with parameter values estimated based on

openly available data to capture the behaviour of key system processes. We have performed a model

186 verification based on the supply reservoir volume data shared in Mortazavi-Naeini et al. (2019), Figure

- 187 4. We see that CityWat simulates reservoir volumes broadly in line with other, more complex models
- 188 of the London's supply system (Nash-Sutcliffe Efficiency = 0.85). The worst model performance is
- during the 1976 drought. We expect this is due to the lack of emergency supply sources represented
- 190 in CityWat in contrast to the models it is being compared against. We do not include these emergency
- 191 supply sources since information about them cannot be made open-source for security reasons and
- the complexity of decision-making during droughts is increased involving many factors that cannot be
- 193 modelled in CityWat.

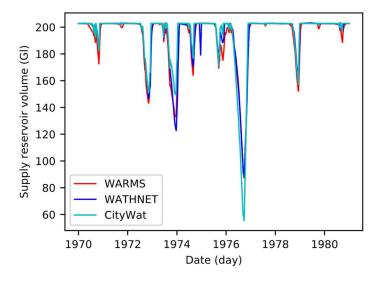
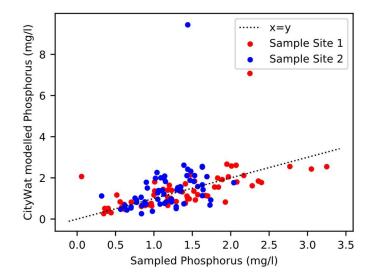


Figure 4. A comparison of active supply reservoir volume for three different daily simulation models of
 London's water supply. WARMS (red) is the water company model of the system. WATHNET (blue)
 represents a research water supply model that has been based on WARMS, implemented in the
 WATHNET supply simulation software (Kuczera, 1992). CityWat (cyan) is the model presented in this
 study.

200 In Figure 5 we compare simulated downstream phosphorus from CityWat with 123 water quality 201 samples at two sampling sites downstream of the modelled region, using data from the WIMS archive (Environment Agency, 2020). The agreement between modelled and sampled phosphorus indicates 202 203 that CityWat's estimates of treated effluent discharge are reasonable and therefore it is accurately 204 representing wastewater system processes. The two outliers, when CityWat simulates much higher 205 levels of phosphorus than the samples, occur during untreated spill events, which the samples do not 206 capture. When these spill events are removed the correlation coefficient with sample site 1 is 0.75 207 and 0.51 with sample site 2.



na

Figure 5. A comparison of phosphorus simulated by CityWat (y-axis) and 123 water quality samples (x-axis) at two different locations (indicated by colour) taken between 2000-2018.

211 The only openly available data on spill events that the authors could identify was that the average

annual spill of untreated effluent is between 32-40Mm³/y (Hamilton, 2013). CityWat spills 36Mm³/y on

213 average over the entire simulation period, within the estimated value.

214 Creating an Abstraction Effluent-Dilution rule

215 In the Methods section we introduced the concept of abstraction effluent-dilution (AED). We now

216 provide a pilot experiment that examines when storm spill events occur to see if they follow any clear

217 patterns to design an AED rule. In Figure 6 we plot the severity of simulated spills (indicated by

218 proportion of river that is untreated effluent) against precipitation data and simulated supply reservoir

volume. We note that, were AED tested in operational conditions, more complex design would be

220 required than the heuristic we present here to safeguard supply security and prevent other risks such

as flooding.

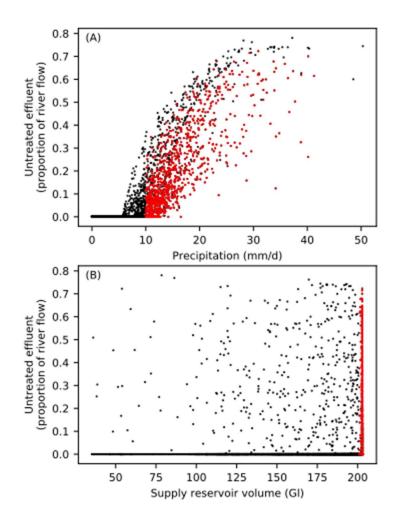


Figure 6. A scatter plot with daily climate data (A) and modelled storage (B), over the period 1903-2018, on the x-axis with modelled untreated effluent, as simulated by CityWat, on the y-axis. Red points are those that occur on days when both precipitation is greater than 10mm/d and supply reservoirs are greater than 99% full.

Inspecting precipitation, we see that severe spill events typically occur on days when precipitation is
>10mm (upper panel, Figure 6, 80% of points that are greater than 0 on the y-axis occur with x-values
greater than 10). We also see that most spill events are occurring when supply reservoirs are nearly
full (lower panel, Figure 6, 70% of points that are greater than 0 on the y-axis occur with x-values
greater than 200).

232 Thus, when the model is run using the 'abstraction effluent-dilution' (AED) option, water supply

abstractions on the River Thames are minimized when both reservoirs are >99% full and precipitation

is >10mm. We would not expect this to have a significant impact on reliability of water supply since

there are few days (highlighted in red in Figure 6) that meet these criteria and if reservoirs are nearly

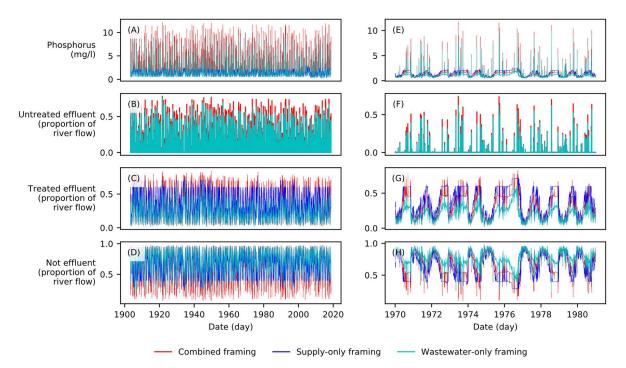
full then under-abstracting is likely to be low risk. Yet abstraction can significantly reduce the flow (up

to 5GI/d), so we expect that 'leaving it in' could significantly dilute untreated effluent.

To test how effective AED is, we examine simulated phosphorus levels. We also test the water supply reliability metrics to check whether the option would put water supply at risk.

240 Results

- 241 Estimates of the impact of model boundaries on water quality
- 242 In Figure 7A-D we plot river quality state variables at the point of downstream discharge estimated by
- 243 the different framings, showing distinct differences between them. We present a subsection of Figure
- 244 7A-D over a shorter period in Figure 7E-H to better observe patterns in the timeseries.



245

Figure 7. (A-D) Downstream river quality represented by daily effluent and phosphorus concentration timeseries under the three different model framings (represented by different colours) for the simulation period (1903-2018). (E-H) the same as (A-D) but for a subsection of the simulation period (1970-1980).

- 250 A significant discrepancy occurs in the supply-only framing. Since it does not represent storm spill
- 251 events it will not simulate any concentration of untreated effluent (Figure B, no blue) and so
- 252 underestimates phosphorus concentrations (Figure A, blue never rises above 1.5mg/l).
- 253 The wastewater-only framing overestimates downstream river quality in all metrics. By ignoring river

abstractions it underestimates treated and untreated effluent concentrations (cyan is lower than red in

Figures B, C) and overestimates raw river water concentration (Figure D). This results in

- underestimating the impact of spill events, although not necessarily their occurrence (red and cyan
- 257 peaks to line up in Figures B, C). If this framing were used to inform future planning, the equivalent
- stormwater storage required to reduce untreated effluent spills to these underestimated levels would
- be 600Ml. Following Table 1, this could exceed £1billion of infrastructure investment.
- 260 Abstraction effluent-dilution effectiveness
- 261 In Figure 8 we plot phosphorus concentration and reservoir volume simulation results both without
- and with abstraction effluent-dilution (AED).

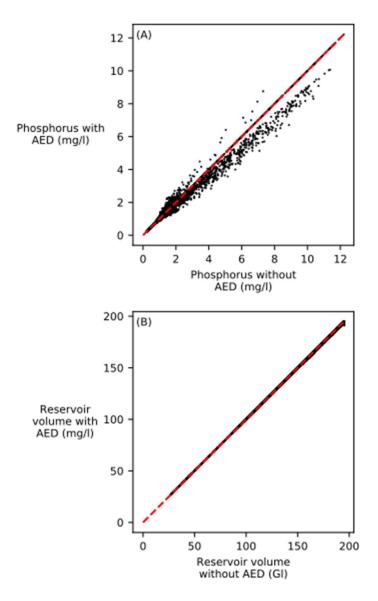


Figure 8. Downstream river quality represented by phosphorus concentration (upper) and water supply reliability represented by reservoir volume (lower) both with (y-axis) and without (x-axis) the abstraction effluent-dilution option (AED) for the simulation period (1903-2018). The red dashed line is x=y.

268 We see in the phosphorus levels (upper panel) that AED reduces the severity of spill events (most points are either on or below x=y). Although AED does not alleviate spills completely, particularly 269 270 when the reservoirs are at low levels, the volume of stormwater storage that would be required to 271 achieve the same improvement is 200MI, costing £100millions. When inspecting reservoir volumes 272 (lower panel) we also see a small negative impact (points are slightly below x=y). These lower 273 volumes increase the level of restrictions by an additional six days of level one restrictions over the 274 entire simulation period. Since these do not cause actual disruptions, only representing awareness 275 campaigns (Mortazavi-Naeini et al., 2019), we can consider this impact negligible.

- 276 Systems assessment of water management options
- 277 In Figure 9, we plot how the different options change state variables that indicate system performance
- averaged over the entire timeseries. A greener colour indicates an improvement (e.g. reduction in
- 279 water-use restrictions or increase in proportion of downstream river flow that is not effluent), grey
- 280 indicates no change and pink indicates a worsening (e.g. increase in untreated effluent).

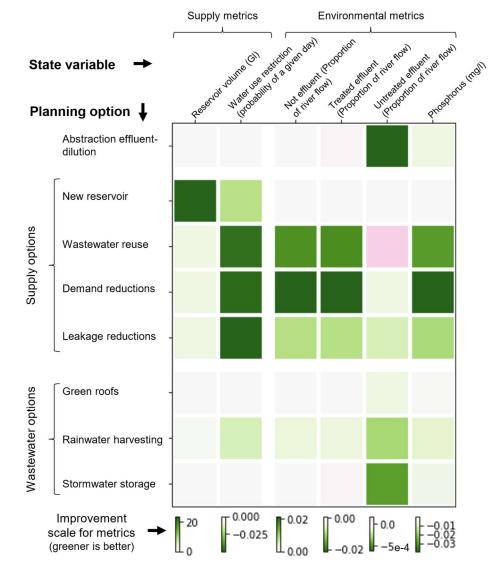




Figure 9. Colour grid showing how different options compare to each other with respect to absolute change various state variables averaged over the entire timeseries, 1903-2018, (greener indicates a greater improvement while more pink indicates a decrease in performance).

285 In context, we see that the abstraction effluent-dilution option (first row) of minimizing abstraction

286 during high precipitation is an effective method to reduce untreated effluent concentration (i.e. first

row, fifth column is the deepest green).

Among the water supply options, we see improvement in all water supply metrics (first and second columns), but also that they interact with water quality metrics. This interaction occurs through two mechanisms: changing the amount of water abstracted and changing the amount of treated effluent

- discharged. Each of wastewater reuse, demand reductions and leakage reductions interact with riverquality via these mechanisms, but they do so differently.
- 293 Wastewater reuse (third row) improves quality metrics (except during spill events) by reducing treated
- 294 effluent discharge and reducing the need for river abstraction. It does, however, also increase the
- concentration of untreated effluent during storm spill events (i.e. third row, fifth column is pink). This
- 296 occurs because a portion of treated stormwater is being directed to the supply system rather than
- diluting the untreated storm spill effluent.
- 298 Demand reductions (fourth row) improve downstream river quality outside of spill events in the same
- 299 way reducing household effluent and reducing river abstractions. Demand reductions do not change
- 300 untreated effluent concentration since the amount of treated stormwater discharged during spill
- 301 events is unchanged.
- 302 Leakage reductions (fifth row) reduce river abstractions but do not change treated effluent output.
- 303 Therefore, their impact on raw water, treated effluent and phosphorus is not as strong as demand
- reduction or wastewater reuse (i.e. third, fourth and sixth columns in the fifth row are less green than
- in the third and fourth rows). However, leakage reductions do interact with spill events due to reduced
- 306 abstractions and unchanged treated effluent output, diluting untreated effluent during spills (i.e. fifth
- 307 column is light green).
- 308 A new reservoir simulated with historical water demand (second row) does not change abstractions or
- 309 effluent discharge so does not interact with water quality downstream of the CityWat model domain.
- 310 Wastewater planning options have less impact on the wider urban water cycle targeting primarily
- 311 untreated effluent concentration.
- 312 The exception is rainwater harvesting, which impacts both supply and wastewater metrics (seventh
- 313 row, green in all columns). If implemented at a city scale, it may reduce water use restrictions by
- 314 supplying 90% of outdoor water demand not met by rainfall. However, this supply occurs
- disproportionately outside of drought conditions since harvesting tanks dry up during severe droughts,
- 316 so the impact is not as significant as it might be. This repurposing of rainfall reduces river abstractions
- 317 and treated effluent discharge so improves river quality outside of spill events. The impact on
- 318 untreated effluent is smaller than we might expect, given the large storage capacity provided, since
- 319 the storage is often full when storms that trigger spill events occur.
- 320 Green roofs (sixth row) reduce untreated effluent by reducing runoff from roofs that would go to
- 321 sewers; however, this impact is relatively small compared to other options because the proposed area
- is small (2% of London's rooftop area, compared to 100% for rainwater harvesting, Table 1).
- 323 Stormwater storage (eighth row) behaves as expected, reducing untreated effluent but without wider
- 324 impacts beyond that.

325 Discussion

- 326 Our case for a wider systems view of the urban water cycle in planning and management was based
- 327 on three hypotheses. The first was that abstracting and discharging into the same river while planning
- 328 wastewater and supply separately will induce model errors in estimating downstream river quality. In

- 329 our proof-of-concept analysis (Figure 7) we find this error to be significant. We believe this provides
- evidence that by explicitly accounting for the river state, we can identify unforeseen environmental
- 331 risks. Abstraction licences for water suppliers are intended to safeguard UK rivers, however, as
- 332 Figure 1 highlights, water quality on most rivers is not solely dependent on supply-side actions.
- 333 Meanwhile, proposed metrics for wastewater system performance in Water UK (2019), typically only
- 334 consider the time of year when discharges are made, not accounting for flows in their receiving waters
- 335 nor the operation of the supply system. The results presented here provide evidence that the use of
- in-river water quality metrics are required to account for the environment in water planning.
- The second hypothesis was that our proposed joint management option, abstraction effluent-dilution (AED), could significantly reduce the concentration of spilled untreated effluent. Our results in Figures 8 and 9 show that it achieves a performance comparable to infrastructure-based options, despite only being a new channel for information in operations. In Figure 8 we see that it attains these gains without reducing supply reliability, even though it occasionally limits abstraction. We also highlight that
- a water company has complete control of this option, unlike some of the other analysed options (e.g.
- 343 demand reductions or rainwater harvesting). Thus, we argue that AED could be added to the water
- 344 companies' portfolio of future interventions, albeit with more nuanced design than the simple heuristic345 presented here to account for factors such as flood risk management.
- 346 The final hypothesis was that planning options will impact state variables across the wider urban
- 347 water cycle. Figure 9 shows evidence of this. We see how supply-side options may improve river
- 348 quality by reducing abstractions. We also see that wastewater reuse may worsen the impact of
- 349 untreated effluent spills by redirecting stormwater that would be released as treated effluent (diluting
- the spill) back into the supply system. Accounting for these systems level interactions in cost-benefit
- analysis could have a significant impact on long-term planning decisions for water infrastructure.

352 Future direction and concluding remarks

- This work demonstrates the case for integration and provides a proof-of-concept for achieving it. However, we recognise the presented top-down approach is not a panacea for water planning and management, nor that the planning options assessment we perform should be taken as literal recommendations for future investment. Although CityWat's model simulations have been compared against historic reservoir volume and river samples of phosphorus (Figures 4, 5) showing good agreement considering the model's simplicity, the field of integrated modelling research has yet to
- converge on a suitable technique to reliably validate these types of models (Voinov & Shugart, 2013;
- Belete et al., 2017; Tscheikner-Gratl et al., 2019). Additionally, we use simplistic representations of
- any individual modelled process in comparison to the state-of-the-art.
- 362 CityWat's lumped approach also assumes homogeneity in a heterogeneous system. This prevents
 363 assessment of small-scale interventions, impacts of upstream water quality on abstractions and the
- 364 role of options that improve system connectivity, such as the Thames Tideway Tunnel project (Loftus
- 365 & March, 2019). This project will link up London's storm spill overflows to its largest wastewater
- treatment works, and so cannot be represented by a city-scale lumped model.

- In addition, our assessment is based on water management criteria only, and the approach should be
- 368 extended to include wider benefits of multifunctional infrastructure such as green roofs (Ossa-Moreno
- et al., 2017; Hattab et al., 2020). Finally, the modelling approach is yet to be tested on how it could be
- 370 used for flood risk management (Rezazadeh Helmi et al., 2019) and planning under deep uncertainty
- 371 (Erfani et al., 2018; Babovic & Mijic, 2019).
- 372 In a survey of water managers, Höllermann & Evers (2017) found that model boundaries were the
- 373 most commonly cited source of uncertainty. We hope that the scientific and wider communities
- interested in the sustainability of water systems will continue to build evidence for the importance of
- 375 system boundaries on model simulations, and study how best to carry out integrated modelling to
- support the water industry in a future with fewer boundaries and one in which the environment is
- 377 placed central to planning and management.

378 Acknowledgements

- 379 The research reported in this paper was taken as part of the CAMELLIA project (Community Water
- 380 Management for a Liveable London), funded by the Natural Environment Research Council (NERC)
- 381 under grant NE/S003495/1. The models and data in this work can be found at
- 382 http://dx.doi.org/10.5281/zenodo.3764678 and will continue to be updated at
- 383 <u>https://github.com/barneydobson/citywat</u>. The views expressed in this paper are those of the authors
- alone, and not the organisations for which they work. The authors are grateful to Prof. Adrian Butler
- and Prof. David Balmforth for comments on an earlier version of the manuscript that have improved
- the paper.

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