

Weak, Seismogenic Faults Inherited From Mesozoic Rifts Control Mountain Building in the Andean Foreland

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1 Key Points:

- 2 • Weak faults inherited from Mesozoic rifts in the forelands of the northern and southern Andes
3 break the entire crust to 30–45 km depth.
- 4 • In the central Andean plateau, the rifts are internal to the mountain range, and the forelands
5 are underthrusting beneath the plateau.
- 6 • The mechanisms that can account for frictionally-weak faults in the dry, granulitic lower crust
7 remain unclear.

8 Key Words:

- 9 • 7230 Seismicity and Tectonics
- 10 • 8102 Continental orogenic belts and inversion tectonics
- 11 • 8163 Rheology and friction of fault zones
- 12 • 8004 Dynamics and mechanics of faulting
- 13 • 8045 Role of fluids

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16 *for publication in Geophysics, Geochemistry, Geosystems.*

17 Abstract

18 New earthquake focal mechanism and centroid depth estimates show that the deformation style in
19 the forelands of the Andes is spatially correlated with rift systems that stretched the South American
20 lithosphere in the Mesozoic. Where the rifts trend sub-parallel to the Andean range front, normal faults
21 inherited from the rifts are being reactivated as reverse faults, causing the 30–45 km thick seismogenic
22 layer to break up. Where the rift systems are absent from beneath the range front, the seismogenic
23 layer is bending and being thrust beneath the Andes like a rigid plate. Force-balance calculations show
24 that the faults inherited from former rift zones have an effective coefficient of static friction $\mu' < 0.2$.
25 In order for these frictionally-weak faults to remain seismogenic in the lower crust, their wall rocks are
26 likely to be formed of dry granulite. Xenolith data support this view, and suggest that parts of the
27 lower crust are now mostly metastable, having experienced temperatures at least 75–250 °C hotter
28 than present. The conditions in the lower crust make it unlikely that highly-pressurised free water, or
29 networks of intrinsically-weak phyllosilicate minerals, are the cause of their low effective friction, as,
30 at such high temperatures, both mechanisms would cause the faults to deform through viscous creep
31 and not frictional slip. Therefore pre-existing faults in the Andean forelands have remained weak and
32 seismogenic after reactivation, and have influenced the style of mountain building in South America.
33 However, the controls on their mechanical properties in the lower crust remain unclear.

34 Plain Language Summary

35 Some mountain belts are narrow and linear in map view, whilst others are wide and curved. The
36 Andes are unique in that they are linear in some parts, but curved in others. In this study, I show
37 that the distribution and types of earthquakes along the margins of the Andes vary systematically
38 with the shape of the mountain belt. Where the mountains are linear, the rocks along the edge of
39 the mountain belt are breaking in earthquakes along pre-existing, frictionally-weak faults. Where the
40 mountains are curved, the rocks along the edge of the mountain belt do not contain any weak faults
41 and they are being thrust beneath the Andes like a rigid plate. Therefore, the properties of faults in
42 the rocks along the margins of the Andes have controlled the shape and evolution of the mountain belt.
43 Notably, the earthquake-generating faults are much weaker than predicted by laboratory experiments.
44 No existing theory can account for the weakness of these seismogenic faults in the extremely dry,
45 strong lower crust.

46 1 Introduction

47 The frictional properties of faults in the forelands of mountain ranges play a key role in controlling the
48 style and location of mountain building [Jackson, 2002; Butler et al., 2006]. Where faults are too strong
49 to rupture in response to the forces acting through the lithosphere, the foreland may behave as a rigid
50 plate and be thrust beneath the mountain range below a shallowly-dipping décollement. In contrast,
51 where faults are weak enough to rupture in response to the forces acting through the lithosphere,
52 the foreland may break up, creating a region of distributed shortening and intense seismicity. These
53 contrasting styles of mountain building have been recognised along the eastern margin of the Andes
54 mountains [Jordan et al., 1983; Kley et al., 1999], making the Andean forelands a unique environment
55 to study fault mechanics and its influence on the structure and evolution of mountain ranges.

56 Our understanding of fault friction remains rooted in the results of laboratory experiments. Lab
57 measurements of the static coefficient of friction (μ) for most rock types are consistently between
58 0.6 and 0.85, a widely-applied result known as ‘Byerlee’s Law’ [Byerlee, 1978]. However, *in-situ*
59 estimates of the effective coefficient of static friction (μ') on seismogenic faults are between 0.05 and
60 0.3 [Copley, 2018]. The differences between the laboratory and *in-situ* estimates of static friction
61 have been accounted for by invoking either: (1) highly-pressurised pore fluids, often assumed to be
62 water, that reduce the effective stresses within the cores of active faults [Hubbert and Rubey, 1959],
63 or (2) networks of intrinsically-weak phyllosilicates produced through water-mediated alteration of the
64 rocks in the cores of active faults [Imber et al., 1997]. Geological evidence of both transiently-high
65 fluid pressures and phyllosilicate-rich lithologies is widespread within ancient continental fault zones
66 exhumed from depths of less than 20 km, indicating that water-assisted processes may be critical to
67 generating frictionally-weak faults in the upper crust [e.g. Sibson, 1990; Collettini et al., 2019].

68 Despite the consensus regarding the geological controls on fault mechanics in the upper crust, the
69 mechanics of seismogenic faults in the lower crust remain enigmatic. For example, along the margins
70 of the Andes mountains in central Peru, seismogenic faults cutting through the ~ 40 km thick foreland
71 crust have been shown to have a low effective coefficient of friction compared to Byerlee’s Law [Wim-
72 penny et al., 2018]. Unlike most continental fault zones, which only generate earthquakes in the upper
73 10–20 km of the crust, these faults in central Peru remain seismogenic into the lower crust. Because
74 the faults remain seismogenic at such high pressures and temperatures, the lower crust that surrounds
75 them is thought to be formed of a load-bearing network of anhydrous minerals that contains little
76 or no free pore water [Yardley and Valley, 1997; Jackson et al., 2004]. Solid-state creep rates in the

77 anhydrous mineral network will be highly sensitive to even trace amounts of inter- and intra-crystalline
78 water ingress [Bürgmann and Dresen, 2008], and the stable hydrous mineral assemblages that form in
79 the lower crust will be different to those present within the shallow crust [e.g. Andersen et al., 1991].
80 As a result, there is reason to question whether the same water-assisted mechanisms that have been
81 invoked to account for weak faults in the upper crust are also applicable to these seismogenic, lower-
82 crustal fault zones. Vast areas of anhydrous rocks regularly form the forelands of the highest mountain
83 ranges on Earth [Jackson et al., 2021; Weller et al., 2021]. Therefore, developing an understanding
84 of the mechanics of fault zones within the anhydrous lower crust, like those in central Peru, is key to
85 constructing physical models of the growth and evolution of mountain ranges.

86 In this study, I determine the mechanical properties and geological history of the fault zones along
87 the eastern margins of the Andes, and explore how these faults influence the distribution and style of
88 mountain building. I begin by using new estimates of the focal mechanisms and centroid depths of
89 earthquakes to map out variations in the style of crustal shortening throughout the Andean forelands.
90 I then compare the pattern of seismicity with the location of pre-existing faults in the South American
91 foreland lithosphere. I place bounds on the frictional properties of the seismogenic faults within
92 the Andean forelands using force-balance calculations, and use published xenolith thermobarometry
93 and thermo-kinematic modelling to constrain the geological conditions in the lower crust through
94 which the seismogenic faults cut. Finally, I discuss the implications of these findings for structural
95 inheritance and the evolution of mountain ranges, and the mechanisms that may account for the
96 frictional properties of faults within the anhydrous lower crust.

97 **2 Seismicity in the Forelands of the Andes**

98 To determine the focal mechanisms and centroid depths of moderate-magnitude earthquakes in the
99 Andean forelands, I used waveform modelling of teleseismic P and SH waves and their depth phases
100 (pP , sP and sS). For earthquakes of $M_w \gtrsim 5.4$, I fit the shape and amplitude of the long-period
101 (15–100 s) teleseismic P and SH waves using the body-waveform inversion algorithm of Zwick et al.
102 [1994]. This method has been used extensively in the region [e.g. Devlin et al., 2012] and yields
103 earthquake centroid depth estimates with uncertainties of ± 2 –5 km. For earthquakes of $4.8 < M_w <$
104 5.4 typically only the P waves, and the pP and sP depth phases, are clear on teleseismic seismograms.
105 I calculated the depths of these smaller-magnitude earthquakes by fitting synthetic waveforms to
106 either broadband vertical-component seismograms, or to a stack of short-period vertical-component

107 seismograms recorded at small-aperture seismic arrays [e.g. Craig et al., 2012]. This method can
108 typically constrain the centroid depth to within $\pm 1\text{--}3$ km. All of these methods have been described
109 extensively in the literature [e.g. Molnar and Lyon-Caen, 1989; Taymaz et al., 1990; Craig et al., 2011],
110 therefore further details regarding the data processing, inversion strategy, the velocity structure used
111 and the modelling uncertainties are provided in Supplementary Text S1.

112 In addition to my own modelling of 45 new earthquakes (see Supplementary Table 1 and Supplementary
113 Figures 4–50), I compiled 108 earthquake focal mechanisms and centroid depths derived using similar
114 methods from the literature [Suarez et al., 1983; Chinn and Isacks, 1983; Kadinsky-Cade et al., 1985;
115 Assumpção and Suarez, 1988; Assumpção and Araujo, 1993; Alvarado et al., 2005; Alvarado and Beck,
116 2006; Meigs and Nabelek, 2010; Devlin et al., 2012; Wimpenny et al., 2018]. Microseismicity located
117 using local seismometer networks provide additional constraints on the depth extent of seismicity
118 within the forelands [Smalley and Isacks, 1990; Cahill et al., 1992; Dorbath et al., 1986; Legrand et al.,
119 2005; Dimate et al., 2003; Vaca et al., 2019]. The resulting compilation of earthquakes is shown in
120 Figure 1 along with the distribution of the Moho depth in the forelands, which varies between 35
121 km and 48 km [Assumpção et al., 2013; Poveda et al., 2015; Condori et al., 2017]. I describe the
122 along-strike variation in the earthquake focal mechanisms and centroid depths below.

123 Throughout the northern Andes of Venezuela, Colombia and Ecuador, \sim N-S to \sim NE-SW striking
124 reverse and strike-slip faulting is mostly concentrated along the range front and extends from 5–49
125 km depth. Aftershocks recorded by temporary seismometer deployments in Colombia [Dimate et al.,
126 2003] and Ecuador [Legrand et al., 2005] following M_w 6 earthquakes located microseismicity down
127 to 30 km. Seismicity deeper than 30 km is only found in a cluster of four earthquakes with centroid
128 depths between 34 km and 49 km depth that ruptured faults within the Garzon Massif of south-central
129 Colombia (Figure 1b,c). These four earthquakes do not appear to be representative of the depth extent
130 of seismicity across the whole northern Andes, as elsewhere both moderate-magnitude earthquakes
131 and microseismicity are consistently confined to depths of less than 30 km.

132 Earthquakes east of the range front in Colombia have shallow (<20 km) normal-faulting mechanisms,
133 indicating that the top of the foreland crust is in extension. These normal-faulting earthquakes have
134 previously been interpreted to reflect the bending of the foreland lithosphere under the weight of the
135 Andes [Wimpenny et al., 2018]. At a similar distance east of the range front of the Ecuadorian Andes,
136 the shallow crust at 15 km depth is in compression. Therefore the stress state within the top 20 km
137 of the foreland crust varies along strike, as well as perpendicular to strike.

138 In northern and central Peru, the forelands are characterised by reverse-faulting earthquakes that
139 extend throughout the crust from 5–42 km depth. The same depth distribution of earthquakes has
140 also been observed by a temporary seismometer deployment that recorded microseismicity down to 45
141 km depth beneath the forelands of central Peru [Dorbath et al., 1986; Suárez et al., 1990]. Although
142 the majority of the earthquakes are concentrated beneath the steep topography along the eastern
143 margin of the Andes, a significant number lie well into the foreland forming a ~ 300 km-wide zone of
144 distributed compressional deformation. This zone of distributed deformation coincides with crystalline
145 basement highs that have been uplifted relative to the foreland basin sediments during the Neogene
146 (e.g. the Contoya Arch; see Kley et al. [1999]).

147 There have been few earthquakes in the sub-Andean fold-thrust belt that wraps around the margins of
148 the central Andean plateau in southern Peru and Bolivia. The largest earthquakes accommodate low-
149 angle thrust faulting at depths ≤ 20 km, whilst the lower 10–20 km of the foreland crust has experienced
150 only one moderate-magnitude earthquake in the last 50 years — a reverse-faulting earthquake at 31
151 km depth. A 7 km deep, normal-faulting earthquake east of the range front in southern Peru indicates
152 that the shallow part of the foreland crust is in extension. Geodetic, seismological and structural
153 observations suggest that the foreland crystalline basement is underthrusting the central Andean
154 plateau along a shallowly-dipping décollement in this region [Allmendinger and Gubbels, 1996].

155 A sharp transition in the foreland seismicity occurs across the Bolivia-Argentina border. At latitude
156 23°S , the foreland transitions from being predominantly aseismic within the Bolivian sub-Andes to the
157 north, to experiencing frequent $\sim\text{N-S}$ striking reverse- and low-angle thrust-faulting earthquakes in the
158 Santa Barbara Ranges to the south. Across the same section of foreland, the trains of closely-spaced
159 anticlines that characterise the surface morphology in the Bolivian sub-Andes abruptly stop, and
160 the foreland structures transition southwards into widely-spaced, east and west-verging reverse faults
161 in the Santa Barbara Ranges [Kley and Monaldi, 2002]. Microseismicity and moderate-magnitude
162 earthquakes beneath Santa Barbara have been recorded down to 35 km depth [Cahill et al., 1992].

163 South of latitude 26°S , the seismicity becomes more spatially distributed over an area that stretches
164 300–400 km from the margins of the Andes into the foreland, coincident with the basement uplifts of
165 the Sierra Pampeanas [Jordan et al., 1983]. The focal mechanisms indicate that the earthquakes are
166 predominantly on $\sim\text{N-S}$ striking reverse-faults, with a component of strike-slip faulting on $\sim\text{N-S}$ or
167 $\sim\text{E-W}$ striking planes. Most of the moderate-magnitude seismicity and microseismicity is concentrated
168 between 10 km and 30 km depth, but seismicity does extend to a maximum of 40 km depth beneath
169 the forelands [Smalley and Isacks, 1990].

170 The along-strike variability in the depth distribution of seismicity within the Andean forelands is
171 consistently mirrored by the microseismicity recorded by local seismometer networks, indicating that
172 the variations are real and are not related to limited sampling of infrequent moderate-magnitude
173 earthquakes (Figure 2). The centroid depth distributions show a single peak within the mid-crust
174 [Chinn and Isacks, 1983], and all of the seismicity is contained within a single layer that is similar in
175 thickness to the crust (Figure 2). These observations are consistent with faults supporting the forces
176 acting through the foreland lithosphere via resistance to slip in a seismogenic layer, which varies from
177 30 km thick in the northern Andes to 40–45 km thick in the central Peru and the southern Andes.
178 The seismogenic layer is underlain by a mostly aseismic mantle lithosphere [e.g. Maggi et al., 2000b].
179 In addition to the along-strike variability in the thickness of the seismogenic layer (Figure 1c), there
180 is clear map-view variability in the frequency and spatial distribution of earthquakes that correlates
181 with the deformation style in the foreland. I explore this link further in the next section.

182 **3 Relationship Between Seismicity and Foreland Structure**

183 The influence of pre-Andean deformation structures, particularly those associated with Mesozoic rift-
184 ing, on the location and style of active shortening is seen throughout the Andean forelands [e.g. Kley
185 et al., 2005; Mora et al., 2006]. These continental rifts were active between the late Permian and the
186 Cretaceous, and developed in response to the break up of Pangea [Spikings et al., 2016]. Figure 3
187 shows the locations of the major Mesozoic rift-related faults mapped by Ramos [2009] and McGroder
188 et al. [2015] based on seismic reflection data and geological outcrop, and their relationship with the
189 foreland seismicity and the structural style of deformation.

190 Within northern and central Peru, and in the Sierra Pampeanas of Argentina, the Mesozoic rift
191 systems form ~300 km wide belts of range-parallel faults that extend from the eastern margin of the
192 high Andes into the foreland. In these regions, the forelands are associated with distributed upper- and
193 lower-crustal compressional earthquakes that closely follows the map-view shape of the rift systems.
194 At the surface the deformation is mostly characterised by ‘thick-skinned’ structures, with crystalline
195 basement being exhumed towards the surface along steeply-dipping reverse faults [Kley et al., 1999].
196 In the Marañon Basin of northern Peru and the Argentinian Precordillera, the rift systems trend
197 beneath superficial ‘thin-skinned’ fold-thrust belts characterised by closely-spaced trains of anticlines
198 formed of sediments, whilst the lower crust beneath these fold-thrust belts remains highly seismogenic.
199 In the northern Andes of Ecuador, Colombia and Venezuela, the Mesozoic rift systems trend through

200 the mountain range and parallel to the eastern range front of the Andes, but do not extend more
201 than ~ 50 km into the forelands. The distribution of seismicity mirrors this pattern, with reverse
202 and strike-slip faulting earthquakes mostly clustering beneath the range front. In some cases, recent
203 earthquakes beneath the range front can even be directly linked to inverted normal fault structures
204 mapped at the surface or in seismic reflection profiles [e.g. Legrand et al., 2005; Mora et al., 2006].

205 Beneath the Santa Barbara Ranges of northern Argentina, the western branch of the Salta Rift consists
206 of basins bound by \sim N-S striking normal faults that trend beneath the Andean range front. The same
207 region has experienced a number of moderate-magnitude earthquakes on \sim N-S striking reverse faults
208 and shows evidence for normal-fault reactivation in outcrop [Kley et al., 2005]. However, in the eastern
209 branch of the Salta Rift, where the rift-related faults strike \sim E-W and are almost perpendicular to
210 the range front, there have been no recent moderate-magnitude earthquakes. Therefore, the Mesozoic
211 rifts appear to only be associated with moderate-magnitude earthquakes if the inherited normal faults
212 and rift fabrics strike sub-parallel to the range front.

213 In contrast to the northern and southern Andes, within southern Peru and Bolivia the Mesozoic rift
214 systems trend through the interior of the central Andean plateau [e.g. Sempere et al., 2002], and
215 rifted basement is mostly absent beneath the range front (Figure 3a). Around the margins of the
216 plateau, the thin-skinned sub-Andean fold-thrust belt has experienced far fewer earthquakes than the
217 northern and southern Andean forelands, and the largest earthquakes are shallow, low-angle thrust
218 faulting events. East of the range front, there have only been two earthquakes; the shallowest being
219 a normal-faulting earthquake with a centroid depth of 7 km, and the deepest being a reverse-faulting
220 earthquake with a centroid depth of 31 km. The same pattern of seismicity has been recognised in
221 the Indian forelands south of Tibet [e.g. Molnar et al., 1977], and is interpreted to reflect bending of
222 the lithosphere in response to the vertical load of the mountain belt.

223 The remarkable spatial correlation between regions of frequent lower-crustal earthquakes, the Mesozoic
224 rift systems, and the shortening style within the forelands suggests a physical link. The simplest
225 explanation is that, along the margins of the northern and southern Andes, range-parallel normal
226 faults inherited from the Mesozoic rifts are being reactivated throughout the crust as reverse faults,
227 causing the whole seismogenic layer to break up in compression. A summation of the earthquake
228 moment tensors in these regions using the method of Kostrov [1974] suggests that 40–100% of the
229 range-perpendicular shortening rates measured from GPS can be accounted for by seismogenic slip on
230 faults (Table 1). In contrast, around the central Andean plateau the range-perpendicular shortening
231 rates from recent seismicity are 0.5–25% of the rates inferred from GPS (Table 1). Beneath the

232 sub-Andes, the foreland is presumably too strong to deform significantly in response to the forces
 233 associated with mountain building. Therefore, instead of the foreland seismogenic layer breaking up
 234 in compression, it is bending and being thrust beneath the central Andean plateau as a relatively rigid
 235 plate. Shortening is accommodated by slip on a décollement that separates the rigid foreland from
 236 the overlying sub-Andean fold-thrust belt [Allmendinger and Gubbels, 1996].

237 4 Strength of Inherited Faults in the Forelands

238 The pattern of seismicity in the forelands of the northern and southern Andes demonstrates that faults
 239 inherited from Mesozoic rifts are breaking in reverse-faulting earthquakes, implying that the forces
 240 acting on these structures exceeds their frictional resistance to slip. In this section, I estimate the
 241 forces acting on these faults and place bounds on their frictional properties.

242 Gravity acting on differences in the thickness and density of the crust and mantle lithosphere between
 243 the Andes and its forelands generates a horizontal buoyancy force F_b that must be balanced by a
 244 horizontal force acting through the foreland lithosphere F_f , and resistance to deformation within the
 245 mountains [Molnar and Lyon-Caen, 1988]. It is likely that many parts of the Andes are close to
 246 the state of $F_b \approx F_f$, as the highest portions of the mountain range have relatively flat, plateau-like
 247 topography [Lamb, 2006]. In addition, in the most rapidly-deforming areas of the high Andes, such as
 248 the Cordillera Blanca in central Peru and in the Altiplano of southern Peru, seismicity rates and fault
 249 slip rates imply that deviations from $F_b = F_f$ are $\lesssim 0.5\text{--}0.7 \times 10^{12}$ N per metre along-strike, which
 250 is $\lesssim 10\text{--}25\%$ of F_b [Wimpenny et al., 2020]. Therefore, to place a bound on the forces acting through
 251 the forelands F_f , I calculated the buoyancy forces F_b in seven different regions of the Andes using the
 252 method described in Copley and Woodcock [2016], with the range of parameters given in Table 2. The
 253 seven different regions were selected to encompass sections of the Andes where deformation within the
 254 mountains and forelands, and the height of the mountains, are relatively continuous along-strike.

255 Within Ecuador, Colombia and northern Peru, where the Andes are 2.8–3.5 km high, the calculated
 256 buoyancy forces are $3\text{--}4 \times 10^{12}$ N/m (Figure 4a). In Ecuador there is evidence for shortening within
 257 the high Andes [Alvarado et al., 2014], suggesting the buoyancy forces slightly under-estimate the
 258 horizontal force acting through the foreland lithosphere in this region. In central Peru, southern Peru,
 259 Bolivia, and the Puna plateau of Argentina, where the Andes are 3.8–4.5 km high, the calculated
 260 buoyancy forces are $5\text{--}6.5 \times 10^{12}$ N/m (Figure 4a). In these areas where the buoyancy forces are
 261 largest, the high Andes are either undeforming or extending [Mercier et al., 1992; Cladouhos et al.,

1994; Lamb, 2000], implying that the buoyancy forces slightly over-estimate the horizontal force acting through the foreland lithosphere.

Faults in the forelands will only break in earthquakes if their static frictional resistance to slip is overcome. Therefore, a bound on μ' on faults along the margins of the northern and southern Andes can be estimated from the condition that the horizontal force supported by the foreland seismogenic layer F_{sl} must be less than F_f in these regions [e.g. Copley et al., 2011]. The value of μ' represents the effective coefficient of friction averaged over the fault plane and will be an upper bound, as shear zones beneath the brittle faults will also support some of the force acting through the lithosphere.

The horizontal force that can be transmitted through the seismogenic layer of thickness T_s that contains faults that dip at an angle θ relative to the vertical is given by [Turcotte and Schubert, 2002]:

$$F_{sl} = \frac{\mu' \rho g T_s^2}{\sin 2\theta - \mu'(1 + \cos 2\theta)}, \quad (1)$$

where g is the acceleration due to gravity and ρ is the average density of the layer. Figure 4b shows the predictions of Equation 1 plotted against estimates of F_f and T_s for the seven different regions of the Andes. In the regions where the foreland seismogenic layer is breaking up in compressional earthquakes on inherited normal faults (Colombia, Ecuador, northern Peru, central Peru and the Puna/Sierra Pampeanas of Argentina), Figure 4b demonstrates that the effective coefficient of friction on these inherited faults is consistently $\mu' < 0.2$, and may well be $\lesssim 0.1$. This is equivalent to the faults supporting average shear stresses $\bar{\tau} < 150$ MPa in regions with a 45 km thick seismogenic layer and < 100 MPa in regions with a 30 km thick seismogenic layer. If the faults were any stronger, the forces acting through the foreland would not be large enough to overcome the frictional resistance to slip and break the seismogenic layer in compressional earthquakes. Notably, areas with the thicker foreland seismogenic layer support the higher mountain ranges, as predicted by Maggi et al. [2000a].

Around the margins of central Andean plateau in south Peru and Bolivia, where there are no pre-existing normal faults and the foreland is being thrust beneath the mountain range, the relationship between F_f and T_s is less clear (Figure 4b). It is possible that in these regions either: (1) the seismogenic layer is thicker than estimated by the deepest earthquakes, (2) that μ' on any faults is larger than ~ 0.1 – 0.2 , or (3) that any faults present may be severely mis-oriented relative to the range front strike for re-activation (Figure 4b) [e.g. Sibson, 1995]. All of these mechanisms would lead to a seismogenic layer that is stronger than the forces acting through the foreland, meaning that the layer is thrust coherently beneath the plateau, as opposed to breaking up through slip on faults. With this

291 configuration of deformation the force transmitted into the mountain range is no longer limited by
 292 faults within the foreland lithosphere, but by the strength of faults along the top of the underthrusting
 293 foreland and the viscosity of the plateau interior [Ainscoe et al., 2017; McKenzie et al., 2019].

294 Many of the earthquakes in the forelands of the northern and southern Andes are M_w 5–6 and do not
 295 necessarily break the full seismogenic layer at any one time. In the locations of these smaller-magnitude
 296 earthquakes, the forces acting through the lithosphere may get focused onto strong asperities, whilst
 297 the remainder of the fault zone supports shear stresses well below the frictional resistance to slip. The
 298 force required to break an asperity with down-dip width W and centroid depth z_c can be calculated
 299 from Equation 1 by replacing the T_s^2 term with $2z_c W \cos \theta$. Even in the extreme case where all of
 300 the force acting through the foreland F_f is focused onto the rupture area of a foreland earthquake,
 301 the constraints on the size of this force require that $\mu' \lesssim 0.3$ – 0.4 in order to generate the $M_w \sim 6$
 302 earthquakes near the base of the 30–45 km thick seismogenic layer (Figure 4c). However, the extreme
 303 differences in the stress state in the seismogenic layer assumed by this model are unlikely given that
 304 strain must accumulate relatively evenly throughout the layer to load and break the faults, and to
 305 account for the frequent earthquakes over the seismogenic layer’s entire thickness (Figure 4d).

306 5 A Dry, Metastable Lower Crust Beneath the Andean Forelands

307 The frictionally-weak faults within the forelands of the northern and southern Andes remain seismo-
 308 genic throughout the crust. In this section, I discuss how the depth extent of the seismicity, and the
 309 geological history of the inverted rift basins in the forelands, can be used to place constraints on the
 310 properties of the lower crust through which these weak faults cut.

311 A recent re-assessment of the depth distribution of earthquakes within the continents revealed a bi-
 312 modal pattern that depends on the geological history of the region. Within the Phanerozoic mobile
 313 belts (e.g. Tibet, the Aegean, the Basin and Range) faults only remain seismogenic to depths of 10–20
 314 km [Maggi et al., 2000b]. The depth extent of seismicity in these settings is thought to be limited by
 315 the onset of thermally-activated creep in hydrated, quartz-dominated rocks at temperatures of 300–
 316 400 °C [Sibson, 1982]. However, within and along the margins of the Precambrian shields that have
 317 resisted significant deformation throughout the Phanerozoic (e.g. India, Africa, Eurasia), seismicity
 318 occurs to depths of 40–60 km, extending through the lower crust and, occasionally, into the upper
 319 mantle [Maggi et al., 2000b; Jackson et al., 2008]. Temperatures within the seismogenic lower crust
 320 of the Precambrian shields are 400–600 °C [McKenzie et al., 2005]. Therefore, rocks in the lower

321 crust beneath the Precambrian shields remain seismogenic and mechanically strong to far higher
322 temperatures than beneath the Phanerozoic mobile belts, indicating that there is some compositional
323 difference between these regions that accounts for their contrasting mechanical properties.

324 Jackson et al. [2004] argued that the lower crust beneath the Precambrian shields can remain seis-
325 mogenic and mechanically strong at such high temperature because of its anhydrous ('dry') min-
326 eralogy. Where sections of the Precambrian lower crust outcrop at the surface, they are typically
327 formed of dry, granulite-facies rocks [Fountain and Salisbury, 1981]. These ancient granulites formed
328 as a result of high-temperature ($>800\text{--}900\text{ }^{\circ}\text{C}$) metamorphism, possibly during mountain building
329 [McKenzie and Priestley, 2016], that stripped the rocks of hydrous minerals through melting [Burton
330 and O'Nions, 1990], leaving behind vast areas with an anhydrous, load-bearing mineral assemblage of
331 mainly feldspars and pyroxenes. Psuedotachylytes provide evidence that dry granulite can be seismo-
332 genic at lower-crustal conditions [Lund et al., 2004; Hawemann et al., 2018], but even trace amounts
333 of water ingress into these rocks leads to viscous creep and mylonite formation that overprints the an-
334 cient granulitic fabrics [Austrheim and Boundy, 1994; Menegon et al., 2017]. These field observations
335 are consistent with laboratory experiments that show the creep strength of feldspars and pyroxenes
336 is drastically reduced by a few hundredths of a weight percent of structurally-bound water at lower-
337 crustal temperatures [Mackwell et al., 1998; Rybacki and Dresen, 2004]. Therefore, a seismogenic and
338 mechanically strong lower crust, like that beneath the Andean forelands, is often considered to be a
339 proxy for a dry, granulitic lower crust [Sloan et al., 2011; Craig and Jackson, 2021].

340 Direct evidence of the composition of the seismogenic lower crust beneath the Andean forelands
341 comes from xenoliths contained within late Cretaceous basalts that are inter-bedded with the syn-
342 rift sediments of the Metán-Alemania Basin — a sub-basin of the western branch of the Salta Rift
343 [Lucassen et al., 1999] (see Figure 3a for location of the Salta Rift). The xenolith suite consists of
344 pristine felsic and mafic granulites that equilibrated at temperatures of $800\text{--}900\text{ }^{\circ}\text{C}$ and pressures
345 of $0.95\text{--}1.05\text{ GPa}$ ($\sim 34\text{--}38\text{ km}$). Peridotite xenoliths in the same suite also record temperatures of
346 $1000\text{--}1200\text{ }^{\circ}\text{C}$ at pressures of $1.2\text{--}1.6\text{ GPa}$ in the shallow lithospheric mantle [Lucassen et al., 2005].
347 Whole-rock Sm-Nd ages of the crustal xenoliths are $80\text{--}90\text{ Ma}$, which are thought to date the timing
348 of their exhumation during syn-rift volcanism [Lucassen et al., 1999]. These observations suggest that,
349 in the same depth range there is present-day seismicity beneath the Salta Rift, the lower crust was at
350 granulite-facies conditions in the late Cretaceous (see Figure 2 for depth-range comparison).

351 Present-day conditions within the lower crust beneath the Salta Rift will be lower in pressure and
352 temperature than those during rifting, as a result of the thinning of the radiogenic crust and conductive

353 cooling of the lithosphere [Sandiford and Powell, 1986]. To estimate the evolution of the P - T conditions
354 beneath the Salta Rift, I ran a series of numerical calculations that simulate the late Cretaceous rifting
355 and subsequent post-rift cooling based on the 1-D thermo-kinematic numerical model of Bown and
356 White [1995] (see Supplementary Text S2 for details of the model set-up). I used a grid-search approach
357 to find models that matched the P - T - t constraints from xenolith thermobarometry, the history of syn-
358 and post-rift sedimentation within the Metán-Alemania basin [Salfity and Marquillas, 1994; Starck,
359 2010], and the present-day crust and lithospheric mantle thickness. I then used the models that fit
360 these varied data to explore the possible P - T - t evolution of the seismogenic lower crust (Figure 5).

361 During rifting, the geotherm was perturbed away from a steady state and rocks were advected towards
362 the surface causing a pressure decrease (ΔP). The amplitude of ΔP is controlled primarily by the
363 amount of crustal stretching. At this time the lower crust was hot enough to undergo the dehydration-
364 melting reactions necessary to form granulite-facies rocks at depths of ~ 35 km (Figure 5a). The high
365 lower-crustal temperatures could be achieved in the modelling by significant syn-rift thinning of the
366 lithospheric mantle [e.g. Hopper et al., 2020], or an initially hot geotherm due to a thick radiogenic
367 crust or thin lithospheric mantle. However, the limited amount of felsic magmatism recorded within
368 the syn-rift sediments [Salfity and Marquillas, 1994] implies that the lower crust was already mostly
369 dry by the Cretaceous in order to avoid widespread melting. Subsequent post-rift cooling over ~ 80 – 90
370 Myrs led to a decrease in temperature (ΔT) throughout the lower crust. For rocks exhumed to a
371 depth of 35 km, the models estimate that $\Delta T = 75$ – 250 °C and $\Delta P = 0.15$ – 0.25 GPa (Figure 5b,c).
372 Estimates of the present-day temperatures at 35 km depth beneath the Salta Rift are 600–700 °C
373 (Figure 5a), with the lower crust still cooling towards steady state.

374 The xenolith data and rift models suggest that, if the lower crust beneath the western branch of the
375 Salta Rift was not already formed of dry granulite, then the P - T conditions in the late Cretaceous
376 will have led to widespread granulite-facies metamorphism. A modern analogue of the Cretaceous
377 Salta Rift may be the Rio Grande Rift [Cipar et al., 2020]. The lower crust has subsequently cooled to
378 amphibolite-facies conditions. Despite the significant changes in P and T , the dry granulites within the
379 lower crust are likely to have remained metastable, as the possible retrograde reactions have sluggish
380 kinetics in the absence of the volatiles that were driven off by melting and melt segregation along their
381 prograde path [Brown, 2002]. The geological evolution of the Salta Rift is therefore consistent with
382 the view that, where the lower crust is seismogenic along the margins of the Andes, it is formed of
383 dry, granulitic rocks that preserve a metastable mineral assemblage [Jackson et al., 2021].

384 6 Discussion

385 6.1 Structural Inheritance and Mountain Building in the Andes

386 Along-strike differences in the mechanical properties of the foreland lithosphere inherited from past
387 deformation episodes have been suggested to influence the first-order structure of the Andes [e.g. Watts
388 et al., 1995; Kley et al., 1999]. I have shown that, where Mesozoic rifts lie along the range front, the
389 foreland crust is highly seismogenic and is deforming entirely in compressional earthquakes (Figure 6,
390 top). Frictionally-weak reverse faults are accommodating the majority of the shortening within the
391 foreland crust, though these faults are occasionally obscured at the surface by aseismic fold-thrust
392 belts formed in the sedimentary cover. Where shortening is taking place on inherited faults that
393 cut through the whole crust, the Andes form a narrow, linear mountain belt, and the strength of
394 seismogenic layer is controlling the height of the mountains (Section 4).

395 Where the Mesozoic rifts are internal to the mountain range, the foreland crust is relatively aseismic. I
396 have demonstrated that the pattern of earthquakes implies the seismogenic layer is too strong to break
397 up in compression, so is bending and underthrusting the mountain range coherently beneath a low-
398 angle décollement (Figure 6, bottom). Where the foreland is underthrusting the mountain range, the
399 Andes form a high elevation, low-relief plateau that is gently curved in plan view. In this setting, the
400 force balance is no longer limited by the strength of the foreland seismogenic layer, but by the strength
401 of faults along the top of the underthrusting lithosphere and the viscous resistance to underthrusting
402 beneath the plateau [e.g. Ainscoe et al., 2017; McKenzie et al., 2019].

403 The interpretation described above implies that the mechanical properties of the rifted lithosphere have
404 not only affected the style of active deformation in the forelands, but also the structure and shape
405 of the mountain belt. Therefore, determining why the seismogenic layer in the rifted lithosphere can
406 break up, whilst in un-rifted lithosphere it cannot, is key to understanding the controls on the growth
407 and evolution of the Andes.

408 One possibility is that the seismogenic layer is thicker around the margins of the central Andean
409 plateau than in the northern and southern Andes, meaning it is too strong to break. This hypothesis
410 can be tested using the depth distribution of earthquakes. Seismicity within the forelands of the
411 northern and southern Andes extends down to 30–45 km, whilst around the margins of the central
412 Andean plateau seismicity has only been recorded to 31 km depth. The sparse seismicity in the
413 forelands of the plateau, however, precludes a robust estimate on the seismogenic thickness, and 31

414 km is a lower bound. Local seismicity studies [e.g. Smalley and Isacks, 1990; Suárez et al., 1990] are
415 needed to provide a more robust estimate of the seismogenic thickness in this area. Nonetheless, on the
416 basis of the available observations, although along-strike variability in the thickness of the seismogenic
417 layer is controlling the height of the Andes mountains in some areas, it does not appear to control the
418 shortening style in the Andean forelands.

419 Along-strike changes in the thickness of the foreland lithosphere determined from surface-wave to-
420 mography [e.g. Priestley and McKenzie, 2013] also do not appear to correlate with the shape of the
421 Andes, or the style of shortening in the forelands (Supplementary Figure 3). However, the horizontal
422 resolution of the tomography (~ 300 km), and the possibility of horizontal smearing of velocity anoma-
423 lies associated with the subducting Nazca Plate, precludes any confident comparison of lithospheric
424 thickness and shortening style in the Andean forelands.

425 The explanation that is most consistent with the observations available is that the small amount of
426 extension during Mesozoic rifting (β -factor < 1.2 – 1.3) formed or reactivated weak, \sim N–S striking
427 faults in the otherwise strong, dry crust along the western margin of the Precambrian shields in South
428 America. The thermal effects from rifting in all but the youngest of the rift systems will have dissipated
429 through conductive cooling over the last ~ 100 – 300 Myrs. As a result, the presence or absence of weak
430 faults that are well-oriented relative to the range front for failure is likely the primary control on the
431 along-strike variability in the style of shortening along the margins of the Andes.

432 The locus of shortening in the Andes is stepping eastwards through time [McQuarrie, 2002; Barke and
433 Lamb, 2006; Oncken et al., 2006]. Therefore, the difference between the linear, narrow mountain ranges
434 of the northern and southern Andes, and the wide, curved central Andean plateau, may simply reflect
435 mountain ranges in different stages of their evolution [Wimpenny et al., 2018]. Once shortening within
436 the forelands of the northern and southern Andes advances eastwards out of the Mesozoic rift systems
437 and onto un-rifted foreland lithosphere, then the deformation style may switch from crustal shortening
438 along inherited faults to continental underthrusting [Kley et al., 1999]. The onset of underthrusting
439 in Bolivia is believed to have led to rapid crustal thickening along the margin of the Andes and the
440 formation of the central Andean plateau in the late Miocene [Barke and Lamb, 2006]. In this sense,
441 the mechanical properties of the foreland lithosphere inherited from Mesozoic rifting have imparted a
442 strong influence on the growth and evolution of the Andes by controlling the style of shortening along
443 the margins of the mountain range [Allmendinger et al., 1983; Watts et al., 1995; Kley et al., 1999].
444 This study advances our understanding of this process by demonstrating that the frictional properties
445 of faults, and the strength of the seismogenic layer in the foreland lithosphere, are the key mechanical

446 properties in controlling these various styles of mountain building in the Andes.

447 **6.2 Weak, Seismogenic Faults in a Strong, Dry Lower Crust**

448 Two mechanisms have been invoked to account for weak faults in the upper crust: (1) highly-
449 pressurised water within the fault core, and (2) intrinsically-weak phyllosilicate minerals within faults
450 that are produced through water-mediated alteration of the fault rock. However, the lower crust is
451 thought to be extremely dry in order to remain mechanically strong and seismogenic [Jackson et al.,
452 2004]. In this section, I critically assess whether the same, water-mediated mechanisms weakening
453 faults in the upper crust could control the frictional properties of seismogenic faults in the lower crust.

454 The dry, granulitic wall rocks inferred to surround faults in the forelands of the Andes will be
455 metastable at lower-crustal conditions, and will readily react with free water to form new, stable
456 mineral assemblages. The composition of the lower crust should therefore act as a sink of water and
457 buffer the water pressure to far below lithostatic over time, except in regions of pervasive water influx
458 and retrogression [Yardley and Valley, 1997]. The rates of hydration reactions from natural analogues
459 suggest water can be consumed by dry granulitic rocks at mid-crustal temperature conditions at $\sim 10^{-8}$
460 $\text{g/cm}^2/\text{s}$ [Whyte et al., 2021]. Without some mechanism that can isolate free water within the fault
461 core from the reactive wall rocks, it is therefore unlikely that a pervasive water phase at 60–80% of
462 lithostatic pressure is the cause of the frictionally-weak faults in the lower crust.

463 If pervasive water influx does occur into a fault zone formed of dry granulite at amphibolite-facies
464 conditions, it will lead to water-consuming reactions that form hydrous minerals, particularly am-
465 phiboles and phyllosilicates [e.g. Beach, 1976; Andersen et al., 1991]. A common feature of exhumed
466 granulite terrains are shear zones that contain aligned hydrous minerals surrounded by anhydrous wall
467 rocks that preserve ancient fabrics [e.g. Sørensen, 1983; Newton, 1990; Austrheim and Boundy, 1994;
468 Getsinger et al., 2013; Menegon et al., 2017]. This widespread observation implies that water ingress
469 into fault zones during deformation leads to reaction softening and the onset of viscous creep at lower-
470 crustal conditions, precluding the accumulation of elastic strain and frictional slip. The seismogenic
471 fault zones in the Andean forelands are interpreted to have been re-activated following Mesozoic rift-
472 ing, and presumably have a protracted history of deformation over millions of years that would have
473 caused water ingress and reaction softening if there was water available in the lower crust. Therefore
474 an alternative, water-absent mechanism may be necessary to account for the frictional properties of
475 faults within the lower crust of the Andean forelands. Below I discuss some possible alternatives.

476 Shear zones within lower-crustal terrains often form networks of fine-grained or hydrated rocks in out-
477 crop that wrap around rigid, undeformed blocks [e.g. Sørensen, 1983]. It is possible that earthquakes
478 nucleate at stress concentrations in these mechanically heterogeneous fault zones by rupturing the
479 rigid blocks (Figure 6, box 1). Although this mechanism can certainly account for small earthquakes
480 [Campbell et al., 2020], for moderate-magnitude earthquakes with kilometre-sized rupture areas like
481 those in the Andean forelands, even if all of the force acting through the lithosphere were focused
482 onto the rupture area, the faults must still have an effective coefficient of friction less than half that
483 predicted by Byerlee's Law (see the calculations presented in Section 4). Otherwise, the faults within
484 the rigid blocks would be too strong to break, given the constraints on the size of the force acting
485 through the foreland lithosphere.

486 Alternatively, fluids rich in non-hydrous volatile phases (e.g. N_2 , CO_2) may be present as inter-
487 granular films and in pores in lower-crustal fault zones [Andersen et al., 1990]. Non-hydrous volatiles
488 can reduce the activity of water in any fluid that may exist, which helps stabilise the anhydrous
489 mineral assemblage of granulites that is needed for elastic strain to accumulate at high temperatures.
490 The volatiles may also become highly-pressurised through deformation compaction and reduce the
491 effective stresses within the fault zone without lowering the creep strength of the rock (Figure 6, box
492 2). Few experimental constraints exist on the influence of non-hydrous volatiles on creep in silicate
493 minerals, which limits any quantitative test of this mechanism. Nonetheless, evidence from exhumed
494 psuedotachylytes suggest that CO_2 -rich fluids are associated with frictional slip in mafic granulites at
495 lower-crustal conditions [Sørensen et al., 2019].

496 It is also possible that the conditions under which friction is measured in the laboratory are just too far
497 removed from those experienced by lower-crustal fault zones, and that dry fault rocks are intrinsically
498 frictionally-weak at high confining pressures and temperatures (Figure 6, box 3). For example, if
499 frictional resistance is governed by microscopic surface roughness, then the high temperatures and
500 long inter-event times in the lower crust may allow asperities on fault surfaces to relax through
501 localised creep, producing smooth and frictionally-weak faults. This explanation circumvents the need
502 for a free fluid phase all together, and would account for the observation that psuedotachylytes can
503 occur in completely dry lower-crustal rocks [Hawemann et al., 2019; Dunkel et al., 2021]. However, the
504 same asperity relaxation effects have been shown to cause a transition from velocity-weakening (i.e.
505 seismogenic) to velocity-strengthening (i.e. aseismic) slip behaviour in olivine aggregates deformed at
506 high temperatures [Boettcher et al., 2007], which may in fact preclude lower-crustal seismicity.

507 It therefore remains unclear which, if any, of these mechanisms may account for the mechanical prop-

508 erties of faults in the Andean forelands. Testing the different hypotheses shown in Figure 6 using
509 geological observations is difficult, as the various mechanisms are highly dependent on the mineralogy,
510 fluid availability and fluid composition, which will all vary along the fault. In contrast, the geophysical
511 constraints developed in this study reflect fault-averaged mechanical properties over length-scales of
512 kilometres, which undoubtedly smooth out complex, outcrop-scale structure and processes. Nonethe-
513 less, a few simple conclusions regarding the mechanics of lower-crustal fault zones can be drawn from
514 this discussion: (1) frictionally-weak faults may remain seismogenic in the continental lower crust af-
515 ter multiple episodes of reactivation separated by millions of years, and (2) water-assisted weakening
516 mechanisms like those inferred to be active in the upper crust are unlikely to operate on seismogenic
517 faults within a dry lower crust.

518 **7 Conclusions**

519 I have shown that the distribution of seismicity along the margin of the Andes is correlated with the
520 locus of Mesozoic rift systems that stretched the foreland lithosphere prior to the Andean orogeny.
521 Where the rift systems lie along the margins of the mountain belt, the whole 30–45 km-thick seismo-
522 genic layer is shortening by slip on inherited normal faults. Where these inherited faults are absent,
523 or mis-oriented relative to the shortening direction, the foreland is bending and being underthrust
524 beneath the Andes. I have estimated the forces acting on the inherited faults, and demonstrated that
525 they have an effective coefficient of static friction $\mu' < 0.2$, which is significantly lower than predicted
526 by laboratory experiments. The mechanisms that have been proposed to generate weak, seismogenic
527 faults in the upper crust are typically related to a free water-phase. I argue that these water-assisted
528 mechanisms alone are unlikely to weaken faults in the seismogenic lower crust due to its dry, gran-
529 ulitic composition. Therefore, although the frictional properties of faults within the Andean forelands
530 appear to be important in controlling the style of mountain building, the geological controls on their
531 mechanical properties remain enigmatic.

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536 **Data Availability**

537 Waveform data used in this study was is freely available from the Incorporated Research Institute for
538 Seismology (IRIS) data management centre. The computer codes used to perform the force-balance
539 calculations and the 1-D thermo-kinematic modelling are available from: [https://github.com/
540 samwimpenny/forelands_2021](https://github.com/samwimpenny/forelands_2021). All earthquake focal mechanism data will be uploaded to the gWFM
541 catalogue available at: https://comet.nerc.ac.uk/gwfm_catalogue/gWFM_catalogue.html

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Tables

Location	v_x [mm/yr]	W [km]	$\dot{\varepsilon}_{xx}^g$ [10^{-8} 1/yr]	T_s [km]	$\dot{\varepsilon}_{xx}^q$ [10^{-8} 1/yr]	$\dot{\varepsilon}_{xx}^q/\dot{\varepsilon}_{xx}^g$ [%]
Northern and Southern Andes						
S. Pampeanas	6±1	400±50	1.6±0.4	40	1.50	70–130
C. Peru	3±1	350±50	0.9±0.4	45	0.50	40–100
N. Peru	3±1	350±50	0.9±0.4	40	0.85	65–170
Ecuador	5±1	300±50	1.8±0.8	30	2.70	170–270
Central Andean Plateau						
Bolivia	5±1	200±50	2.8±1.2	40	0.02	0.5–1
S. Peru	4±1	200±50	2.0±1.2	40	0.20	6–25

Table 1: Comparison of geodetic and seismic deformation rates in the Andean forelands. v_x is the range-perpendicular shortening rate inferred from the GPS measurements of Kendrick et al. [2001], Nocquet et al. [2014] and Kendrick et al. [2006], and W is the width of the deforming zone measured perpendicular to the range front. $\dot{\varepsilon}_{xx}^g$ is the average horizontal strain rate perpendicular to the range, and is equivalent to v_x/W . $\dot{\varepsilon}_{xx}^q$ is the range-perpendicular horizontal strain rate inferred from a summation of earthquake moment tensors using a shear modulus of 30 GPa and the seismogenic thickness T_s .

Region	z_{lm} [km]	z_{lf} [km]	z_{cm} [km]	z_{cf} [km]	Δh [km]	F_b [TN/m]
Colombia	150–175	125–150	60–65	30–35	2.7–3.0	3.4±0.4
Ecuador	100–150	125–150	50–60	30–35	2.8–3.0	3.2±0.3
N. Peru	100–150	125–150	50–55	35–40	2.8–3.2	3.7±0.4
C. Peru	100–150	125–175	65–75	35–40	3.8–4.2	5.4±0.6
S. Peru	150–175	125–150	70–75	35–40	4.0–4.3	5.7±0.6
Bolivia	150–200	125–150	70–75	35–40	3.5–3.8	5.1±0.5
Puna	150–175	125–150	70–75	35–40	4.0–4.5	5.9±0.7

Table 2: Parameter range used to calculate the buoyancy force F_b at different points along-strike. z_{lm} = lithosphere thickness beneath the mountains, z_{lf} = lithosphere thickness beneath the forelands, z_{cm} = crustal thickness beneath the mountains, z_{cf} = crustal thickness beneath the forelands, and Δh is the height difference between the mountain range and foreland. The mean of F_b and the 95th percentile range of models are quoted. The fixed parameters are: crustal density = 2800 kg/m³, mantle density = 3330 kg/m³, density difference between depleted mantle lithosphere and asthenosphere = -50 kg/m³, crustal thermal expansivity = 3×10^{-5} W/m/K, Moho temperature beneath the mountains 700–1000 °C, and Moho temperature beneath the forelands = 600–700 °C.

Figures

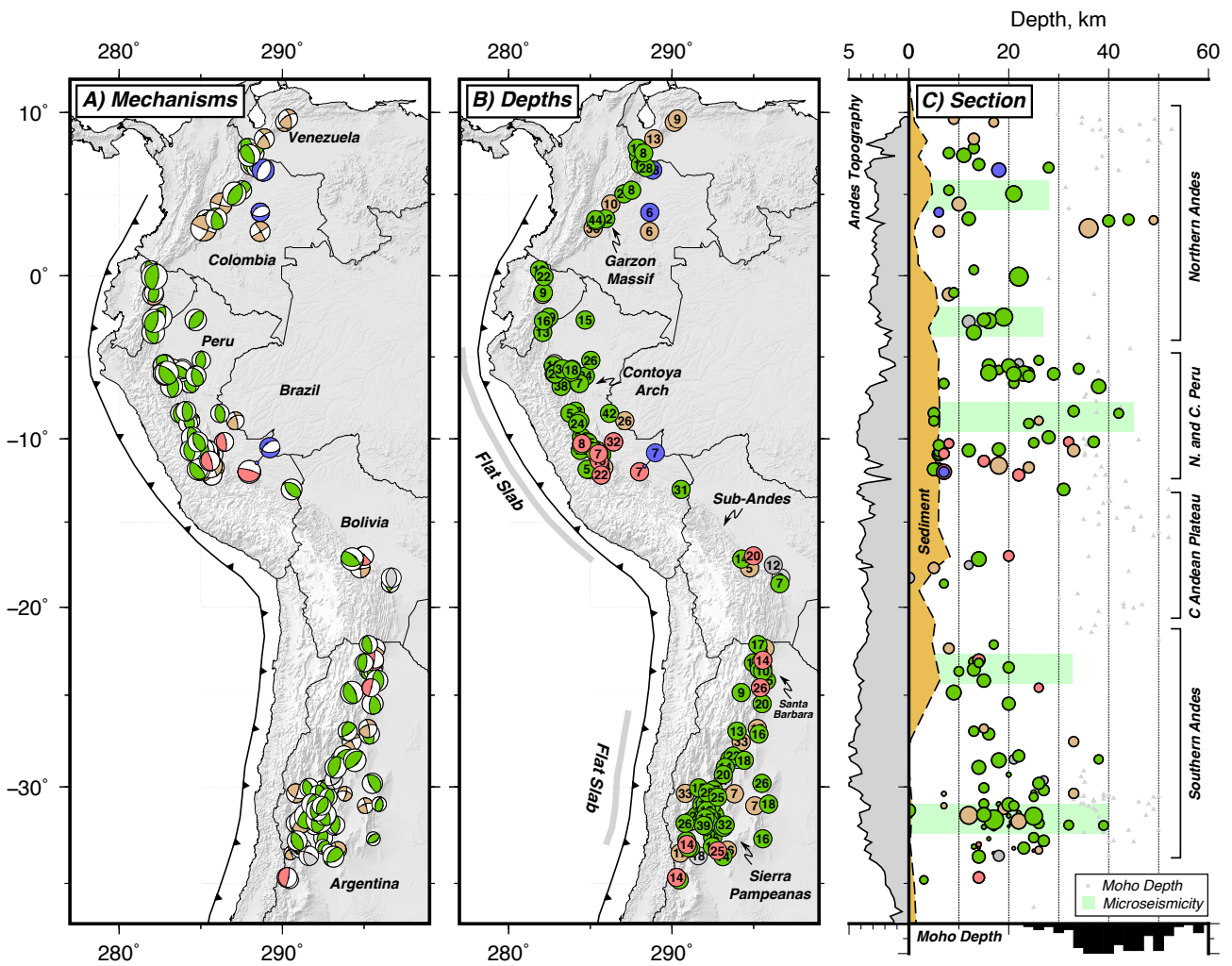


Figure 1: Well-constrained focal mechanisms and centroid depths for earthquakes in the forelands of the Andes. (a) Earthquake mechanisms coloured by the mechanism type, with reverse faults in green, low-angle thrusts in red, normal faults in blue and strike-slip faults in brown. (b) Earthquake centroid depths in kilometres. (c) Section of the centroid depth distribution in the forelands along-strike. Grey circles in (c) are events that do not have a well-constrained focal mechanism. Each circle is scaled in size by the earthquake magnitude. Green bars represent the depth extent of microseismicity from local earthquake and aftershock surveys. The Moho depth variation in the foreland is shown by grey triangles and is taken from receiver function studies [Assumpção et al., 2013; Poveda et al., 2015; Condori et al., 2017]. The sediment thickness in the foreland is taken from Golonka et al. [1995].

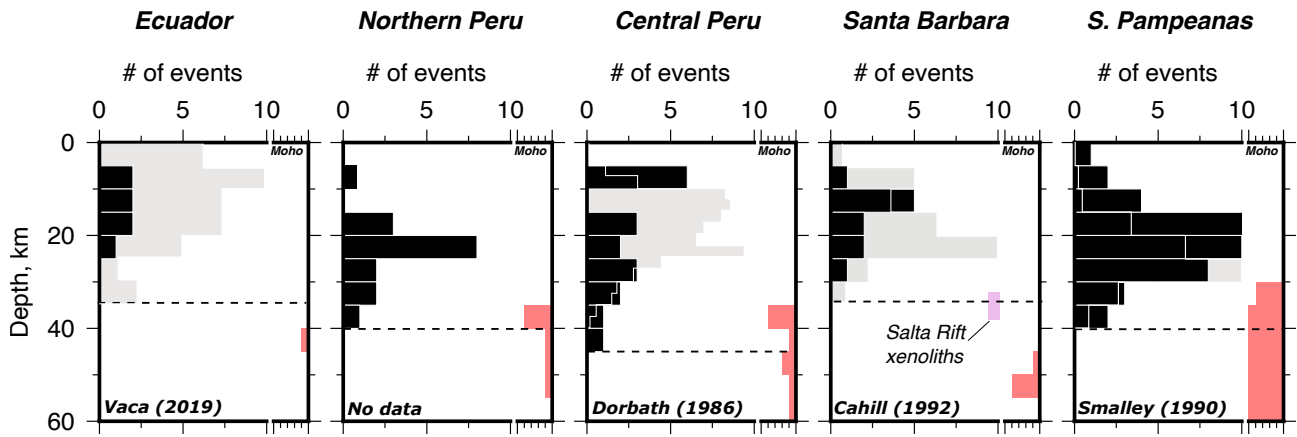


Figure 2: Histograms of the centroid depths of moderate-magnitude earthquakes (black bars), and regional and local microseismicity studies (grey bars with white outline), in different sections of the forelands. The maximum number of microseismic events in each region is normalised to 10 to display the relative distribution with depth. The source of the microseismicity data is shown in the bottom left of each plot. A histogram of the Moho depth in each region is also shown by the red bars on the right of each plot. The horizontal black-dashed line marks the thickness of the seismogenic layer T_s to the nearest 5 km. The depth range of granulite xenoliths erupted from the Salta Rift (discussed in Section 5) are shown by a purple bar.

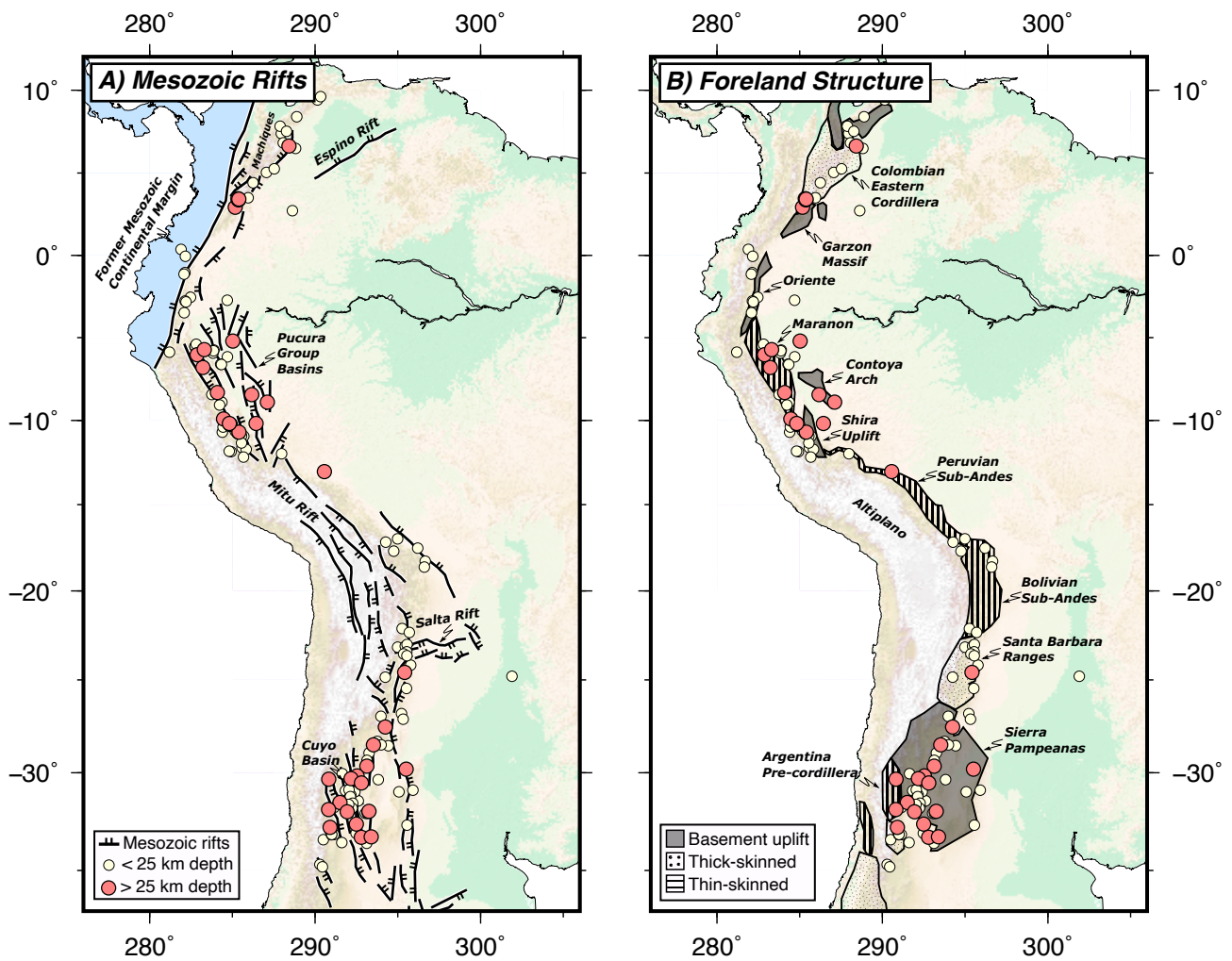


Figure 3: Earthquake distribution compared to the loci of Mesozoic rifts (a) and the structural style of shortening in the Andean forelands (b). The simplified traces of the Mesozoic rifts in (a) are taken from Ramos [2009] and McGroder et al. [2015]. Earthquakes are shown by circles and are coloured light red if they have a centroid depth > 25 km. Rift-related faults running through the Chilean forearc are omitted for clarity. In (b) the along-strike variability in the structural style of foreland deformation is split into three different styles: thin-skinned, thick-skinned and basement uplifts. The deformation style is taken from Kley et al. [1999].

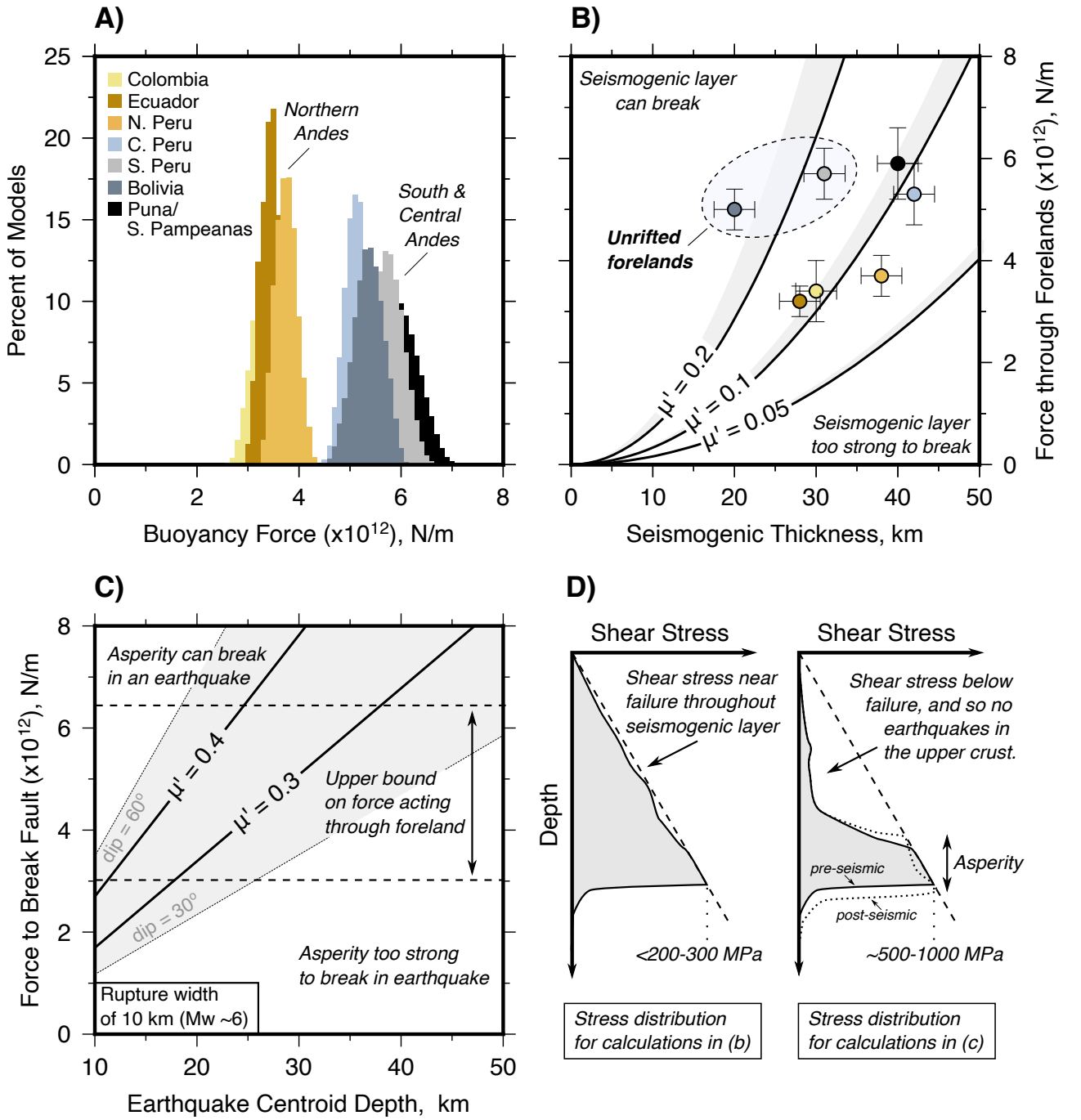


Figure 4: Calculations of the forces acting through the foreland lithosphere and the frictional properties of the foreland faults. (a) Histogram of the buoyancy force F_b acting between the mountains and forelands in seven different regions of the Andes (parameters in Table 2). (b) Seismogenic thickness T_s against the estimate of the force acting through the foreland lithosphere F_f . The uncertainty bars are ± 3 km in T_s and the 95-th percentile of the models in F_f . The thick black lines show the force required to break the seismogenic layer F_{sl} for a given T_s along reverse faults with a 45° dip. Grey-shaded regions show the range of F_{sl} for fault dips between 30° and 60°. (c) Calculation for the force required to break fault asperities in the forelands of the Andes in a $M_w \sim 6$ earthquake at a given centroid depth, assuming a dip of 45° (thick black lines) or 30–60° (grey-shaded region). Horizontal-dashed lines show the force available to break the asperity from (a). (d) Schematic diagram showing the stress distribution with depth along an active fault assumed in the calculations shown in (b) and (c).

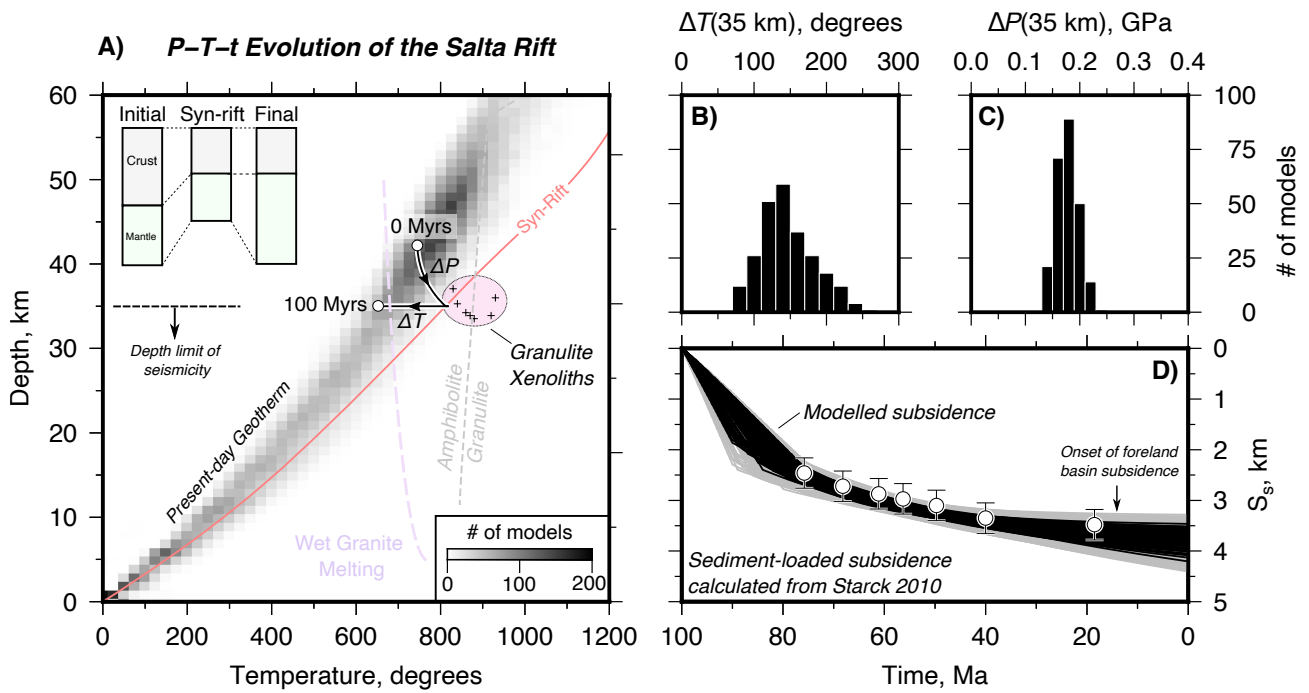


Figure 5: Theoretical estimates of the pressure-temperature-time (P - T - t) evolution for rocks in the lower crust beneath the Salta Rift calculated using thermo-kinematic models that fit the sediment-loaded subsidence history within the Metán-Alemania Basin and the temperature constraints from xenolith thermobarometry. (a) Example P - T - t history for rocks exhumed to 35 km depth (black line) and the associated syn-rift geotherm (light red line) from one particular model. The range of possible present-day geotherms is shown by the density plot in the background, which is calculated from all of the models that match the geological constraints and the observed subsidence history (S_s) to within $\chi^2 < 3$ (see Supplementary Text S2). (b) Distribution of the temperature decrease ΔT for a rock volume exhumed to 35 km depth, and (c) distribution of the equivalent pressure decrease ΔP assuming a crustal density of 2800 kg/m^3 . (d) Decompacted sediment-loaded subsidence history (S_s) in the Metán-Alemania Basin from Starck [2010] (white dots) and the model predictions (S_m). Black lines = models that fit to within $\chi^2 < 1$, grey lines = models that fit to within $\chi^2 < 3$.

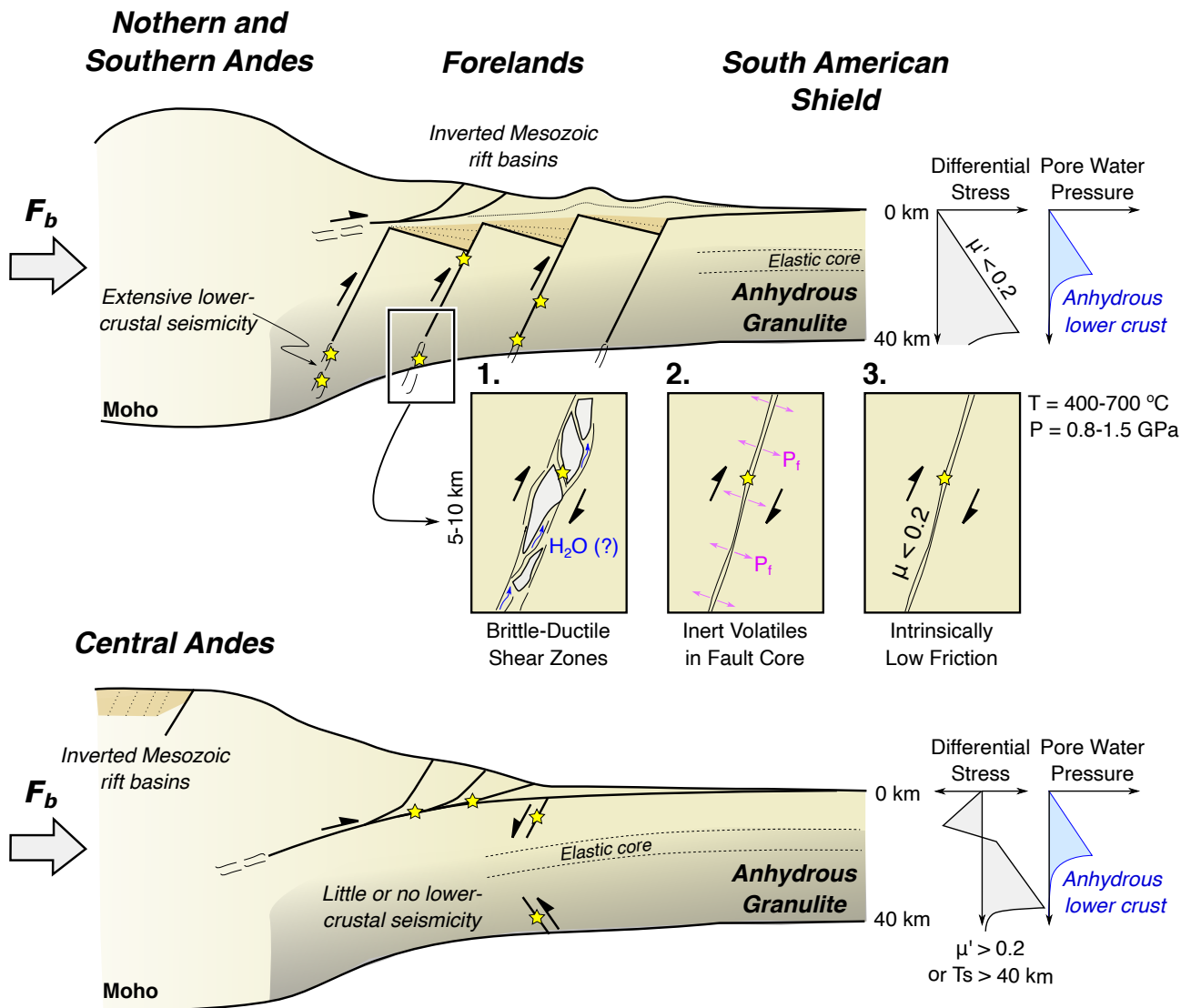


Figure 6: Sketches of the two contrasting styles of shortening in the forelands of the northern and southern Andes (top) and the central Andes (bottom). In the northern and southern Andes, frictionally-weak faults inherited from Mesozoic rift systems that cut through the lower crust are breaking in earthquakes down to ~ 40 – 45 km depth. Three different mechanical explanations for how these deep faults may be both seismogenic and frictionally weak are shown, with each of these mechanisms being consistent with the strong, dry lower crust beneath the Andean forelands. Along the margins of the central Andean plateau, there has been little or no recent seismicity in the lower crust, and the foreland is thought to underthrusting the high plateau. In this area the Mesozoic rift basins can be identified within the interior of the mountain range. The top of the foreland crust is in extension and its base is in compression, suggesting the seismogenic layer is bending beneath the mountains.