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## Components and Tidal Modulation of the Wave Field in a Semi-Enclosed Shallow Bay

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## 13 Abstract

14 The wave field in coastal bays is comprised of waves generated by far-off storms and waves  
15 generated locally by winds inside the bay and regionally outside the bay. The resultant wave field  
16 varies spatially and temporally and is expected to control morphologic features, such as beaches  
17 in estuaries and bays (BEBs). However, neither the wave field nor the role of waves in shaping  
18 BEBs have been well-studied, limiting the efficacy of coastal protection and restoration projects.  
19 Here we present observations of the wave field in Tomales Bay, a 20 km long, narrow,  
20 semi-enclosed embayment on the wave-dominated coast of Northern California (USA) with a tidal  
21 range of 2.5 m. We deployed pressure sensors in front of several beaches along the linear axis of  
22 the bay. Low-frequency waves ( $4 * 10^{-2} - 2.5 * 10^{-1}$  Hz or 4-25 s period) dissipated within 4 km of  
23 the mouth, delineating the "outer bay" region, where remotely-generated swell and  
24 regionally-generated wind waves can dominate. The "inner bay" spectrum, further landward, is  
25 dominated by fetch-limited waves generated within the bay with frequency  $\geq 2.5 * 10^{-1}$  Hz. The  
26 energy of both ocean waves and locally-generated wind waves across all sites were modulated by  
27 the tide, owing to tidal changes in water depth and currents. Wave energies were typically low at  
28 low tide and high at high tide. Thus, in addition to fluctuations in winds and the presence of  
29 ocean waves, tides exert a strong control on the wave energy spectra at BEBs in mesotidal  
30 regions. In general, it is expected that events that can reshape beaches occur during high wind or  
31 swell events that occur at high-tide, when waves can reach the beaches with less attenuation.  
32 However, no such events were observed during our study and questions remain as to how rarely  
33 such wind-tide concurrences occur across the bay.

34 **Keywords**— sheltered beach, low-energy beach, shallow-water waves, spectral analysis, wind waves

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## 36 1 Introduction

37 Beaches in estuaries and bays (BEBs) are widespread throughout the world, yet many questions  
38 remain as to the dominance of various forces on their geomorphology and stability (*Jackson et al.,*  
39 *2002*). Beyond geologic controls, BEB dynamics are a product of wave activity, much like for open-  
40 ocean beaches. However, due to the persistent lack of ocean swell energy, periodic local storm

41 events (*Gallop et al., 2020b*) and other mechanisms (such as tidal currents, surge, or infragravity  
42 waves) may play larger morphologic roles than on open coast beaches (*Vila-Concejo et al., 2020*).  
43 Additionally, waves that cause morphologic change to BEBs may only occur at particular water  
44 levels, based on tidal stage or river outflow (*Eliot et al., 2006*).

45 The wave field inside an embayment is a combination of both locally-generated waves and  
46 those that enter from the ocean. Local wave production inside bays is often limited by fetch (*Jack-*  
47 *son et al. (2002)*), while longer-period ocean-originating waves are generally dissipated as they  
48 enter through the mouth. As waves of all frequencies travel through an embayment, they are sub-  
49 ject to a variety of forces that modify the water surface spectra, including refraction, diffraction,  
50 dissipation by bottom friction, and interactions with currents (*Davidson et al., 2008*). The effect  
51 of bottom friction on waves is inversely related to water depth as they travel over shallows and  
52 may drive nonlinear interactions between different parts of the spectrum (*Zhu et al., 2020*). Addi-  
53 tionally, tidal currents interact with waves and contribute to bulk transport *Davidson et al. (2008)*.  
54 Thus, there are tidal timescales relevant to the wave energy delivered to the shore.

55 The relative importance of ocean-originating waves versus local waves varies spatially and tem-  
56 porally in response to various controls. In this paper, we use surface wave spectra to quantify the  
57 wave fields at four pressure sensors installed offshore of beaches inside Tomales Bay, California,  
58 whose linear geometry is in-line with the dominant wind direction. We delineate the drivers of  
59 wave motion across three ranges of frequencies, corresponding to locally-generated wind waves,  
60 ocean swell, and infragravity motions. We thus address four objectives: (1) to investigate the dom-  
61 inance of wind chop in the bay; (2) to establish how far swell and infragravity waves penetrate past  
62 the mouth; (3) to examine how tidal stage and currents affect the combined wave field; and (4) to  
63 explore the beach-building implications of the observed wave fields.

## 64 **1.1 Regional Context**

65 Tomales Bay is a long, shallow embayment on the northern California coast, USA (Fig. 1), approx-  
66 imately 20 km long, 2 km wide, and only 6 m deep on average, although a channel of up to 18 m  
67 deep persists near the mouth (*Anima et al., 2008*). The bay is a near linear rift valley of the San  
68 Andreas Fault, which runs the length of the bay, delineating the boundary between the Pacific and  
69 North American plates, aligned approximately 320° from north.

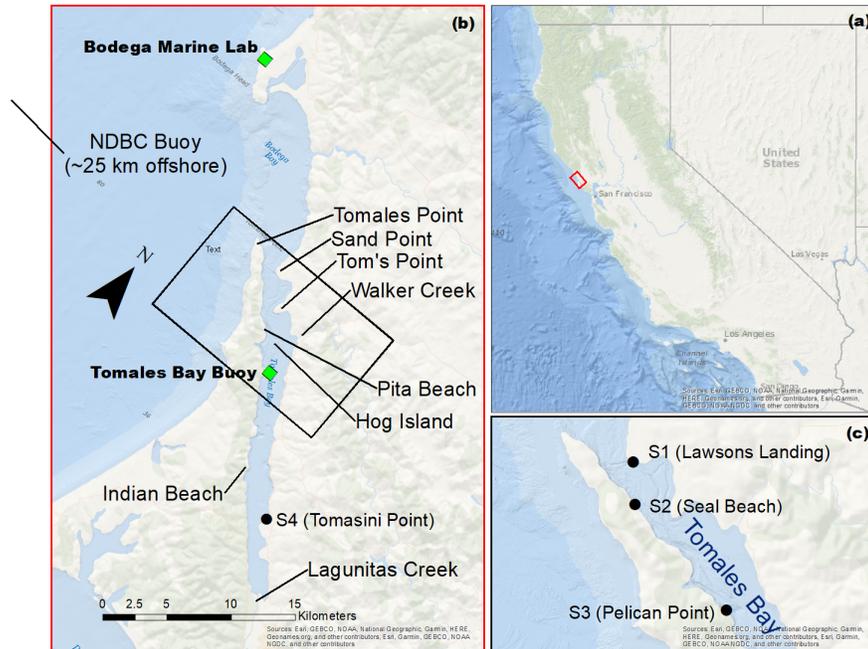
70 Weather patterns in the area follow a Mediterranean climate with a dry summer and fall and  
71 wet winter and spring. Our study period, September-November 2019 had no rain events. Winds  
72 during this storm-free period were dominated by a daily sea breeze of afternoon onshore winds  
73 and evening or early-morning calm. The summer wave climate is mostly northwest wind swell,  
74 with some long-period south or southwesterly swells arriving from the south Pacific. In the winter,  
75 storms in the north Pacific deliver larger and longer-period waves from the northwest.

76 Inside Tomales Bay, there are many sandy beaches which are "low-energy," in line with the  
77 characterization by *Jackson et al. (2002)*; they are subject to small wave heights and rare local  
78 storm events in the rainy season. These beaches are generally small (<300 m in length, typically  
79 <15 m in width) pocket beaches between rocky outcrops or headlands. Sediment inputs include  
80 small, steep watersheds on both sides of the bay, and Lagunitas Creek (at the head of the Bay) and  
81 Walker Creek (Fig. 1) which contribute mostly fine sand and coarse silt (*Anima et al., 2008*). Tides in  
82 Tomales Bay are semi-diurnal with 1.76 m between mean higher-high and mean lower-low water  
83 *NOAA (2020)*, and the data recorded during our study period reflected this.

## 84 **2 Methods**

### 85 **2.1 Sensor Deployment**

86 RBRsolo<sup>3</sup> D sensors recording continuously at 2 Hz were installed at Lawsons Landing, Seal Beach,  
87 Pelican Point, and Tomasini Point (locations hereafter named S1, S2, S3, and S4, respectively) (Fig-  
88 ure 1). S4 was deployed on 29 August, the other three on 27 September 2019. All four were re-



**Figure 1.** Locations of instruments (S1-S4) installed in Tomales Bay (b, c) as well as some geographic reference points. Only the outer bay is visible in (c). Context in California given in (a).

**Table 1.** Distance from the mouth was measured along the main axis of the bay from Tomales Point (Fig. 1). Distances between a sensor and its respective beach measured perpendicular to the beach front, to the upper beach.

Sensor Name	Dist. from Mouth	Dist. from Beach	Elev. Above Bed
Lawsons Landing (S1)	2.2 km	43 m	460 cm
Seal Beach (S2)	3.4 km	28 m	14 cm
Pelican Point (S3)	7.7 km	22 m	20 cm
Tomasini Point (S4)	17 km	104 m	5 cm

89 covered on 24 November 2019. S1 was zip-tied to the southwestern-most piling on the Lawsons  
 90 Landing Pier, whereas the other three were zip-tied to screw anchors installed in the bay floor.  
 91 More data on sensor locations are in Table 1.

## 92 2.2 Weather and Buoy data

93 The Bodega Marine Lab (BML) maintains the Bodega Ocean Observing Node (BOON), a suite of  
 94 observing sensors at BML, in addition to various buoys. For our analysis, we used (non-gust) wind  
 95 speed and direction data collected at BOON on land at Bodega Marine Lab, as well as by the Toma-  
 96 les Bay Buoy (TBB), anchored near Pelican Point inside Tomales Bay (Fig. 1). We also used wave  
 97 data from Buoy 46013 (before it went adrift in 2021), managed by the National Data Buoy Center  
 98 (NDBC), directed by the National Oceanic and Atmospheric Administration (NOAA) (NDBC, 2020).  
 99 We used significant wave height ( $H_s$ ), dominant wave period ( $T_p$ ) and dominant wave direction  
 100 data from Buoy 46013, offshore of Bodega Head, approximately 25 km northwest of the mouth of  
 101 Tomales Bay. All data were logged hourly, with details included in Table 2.

102 Wind speed data were collected from the TBB, but direction data were compromised (Mar-  
 103 cel Losekoot, personal communication). Therefore we compared wind direction data measured

**Table 2.** Sources for data related to winds and offshore waves near Tomales Bay.

Parameter	Collection Agency	Location	Regularity
Wind Speed (non-gust)	BML	Tomales Bay Buoy	On The Hour
Wind Direction	BML	Bodega Head	On The Hour
Barometric Pressure	BML	Bodega Head	On The Hour
Water Salinity	BML	Tomales Bay Buoy	On The Hour
Water Temperature	BML	Tomales Bay Buoy	On The Hour
Offshore $H_s$	NOAA	NDBC Buoy 46013	Hourly at :40
Offshore $T_p$	NOAA	NDBC Buoy 46013	Hourly at :40
Offshore Wave Direction	NOAA	NDBC Buoy 46013	Hourly at :40

104 on Bodega Head by BML and Weatherunderground meteorological stations at Hog Island Oyster  
 105 Company and Tomales Bay Oyster Company, who maintain stations between S3 and S4. Wind di-  
 106 rection data were consistent across all three sites but the BML data had the fewest gaps, so we  
 107 used wind direction data from BML and wind speeds from the TBB. However, the TBB had a gap  
 108 in wind speed data between October 29th and November 16th 2019 thus we avoid this period in  
 109 our analysis.

### 110 2.3 Data Processing and Calculations

111 Raw pressure data from the sensors,  $p_r(t)$ , were converted to water depth,  $h(t)$ , by subtracting  
 112 barometric pressure,  $p_b(t)$ , from the nearest hour. These values were converted to hydrostatic  
 113 depth  $h(t)$  using  $h(t) = \frac{p_r(t) - p_b(t)}{\rho(t)g} + z_I$  (where  $z_I$  is the instrument height above bed and  $g$  is gravitational acceleration).

$$h(t) = \frac{p_r(t) - p_b(t)}{\rho(t)g} + z_I \quad (1)$$

114 Water density values,  $\rho(t)$ , were calculated following *Millero et al. (1980)* which made use of the  
 115 water temperature and salinity data from the nearest hour.

116 At each hour, a centered three-hour window of the water depth time series was broken into 45-  
 117 minute non-overlapping "instances" which were then de-trended. These 45 minute windows were  
 118 long enough to capture representative averages of infragravity-band energies, but short enough  
 119 so that depth timeseries were approximately linear. The Fast Fourier Transform was applied to  
 120 each instance, yielding a power density spectrum of the depth signal  $S_d(f)$ , with frequency ranging  
 121 from the inverse instance length to the Nyquist frequency.

122 The pressure sensors were bottom-mounted and thus have varying depths below the water  
 123 surface based on tidal stage. The sensors' ability to detect pressure changes due to surface heights  
 124 varies with depth below surface. Thus, we transformed each instance's depth spectrum into a  
 125 surface height spectrum spectra  $S_\eta(f)$  via

$$S_\eta(f) = \left[ \frac{N(f)}{K_p(f)} \right]^2 S_d(f) \quad (2)$$

126 where  $N$  is an empirical correction factor that we set equal to 1 (per *Bishop and Donelan (1987)*,  
 127 Equation 8), and  $K_p(f)$  is the pressure response factor. This method is supported by *Ellis et al.*  
 128 *(2006)* who used it to adjust wave spectra from boat wakes. For each instance, the mean water  
 129 depth was calculated and used alongside the sensor height above the bed to complete the calcu-  
 130 lations. Following these corrections, we took the arithmetic mean of the four instances' spectra  
 131 to represent the water surface energy density spectrum of each window. The spectral curves pre-  
 132 sented throughout the paper are the variance-preserving spectra so as to more easily visualize the  
 133 frequency ranges that drive the most variation. Each ensemble was classified as either "Low Tide,"  
 134 "High Tide," "Flooding," or "Ebbing," based on the slope of and proximity of peaks and troughs in  
 135 the depth signal over the ensemble. Categories were balanced to roughly equate the counts in

136 each category over the entire study period. Differences described in Section 3.3 were the averages  
 137 for each category across the entire study period.

138 At high water levels, small waves may not have penetrated deeply enough to be measured  
 139 by the sensor. Therefore in order to avoid making calculations based off sensor noise in these  
 140 scenarios, we used a high-frequency cutoff of

$$f = \sqrt{\frac{g}{4\pi(h - H_S)}} \quad (3)$$

141 following *Foster-Martinez et al. (2018)*, as the upper limit of frequency that penetrates to the  
 142 depth of the sensor, based on linear wave theory. As a rough estimate of the worst case (deepest  
 143 sensor, S1), motions on the order of 0.625 Hz (1.6 s) may not be well-captured at high tides.

144 Our significant wave height values  $H_S$  are  $H_{m_0}$  values, found via

$$H_S = 4\sqrt{m_0} \quad (4)$$

145 where  $m_0$  is the 0th spectral moment. To break  $H_S$  into sub-components by wave type, we  
 146 integrated within specified frequency bands that are detailed in Section 2.4, similar to *Hughes et al.*  
 147 *(2014)*.

148 We compared our calculated  $H_S$  values to those predicted by Equations 3-28a, 3-33, 3-34, 3-36  
 149 and 3-37 in the Shore Protection Manual (1984), which apply in deep-water fetch-limited and fully-  
 150 developed wave heights and periods for a given fetch and sustained wind speed, replicated here as  
 151 Equations 5 and 6. In these formulas,  $U_A$  is an adjusted wind speed ( $U_A = 0.71U^{1.23}$ ) in m/s, where  
 152  $U$ , the wind speed, is also in m/s;  $g$  is gravitational acceleration, and  $F$  is fetch length in meters.

$$\frac{gH_S}{U_A^2} = 1.6 * 10^{-3} \left( \frac{gF}{U_A^2} \right)^{1/2} \quad (5)$$

$$\frac{gT_m}{U_A} = 2.857 * 10^{-1} \left( \frac{gF}{U_A^2} \right)^{1/3} \quad (6)$$

153 When investigating loss of wave height due to frictional dissipation, we considered the exponen-  
 154 tial decay form used by *Foster-Martinez et al. (2018)*, developed for marsh-edge but also applied  
 155 to mudflats:

$$\frac{H_S}{H_{S,ref}} = e^{-k_i x} \quad (7)$$

156 where  $H_{S,ref}$  is a reference wave height before dissipation,  $k_i$  is a frictional dissipation rate (in  
 157 1/m), and  $x$  is meters of distance traveled by a wave.

158 Using the dispersion relationship  $f^2 = gk \tanh(kh)$ , we found the wavenumbers ( $k$ ) for a given  
 159 frequency ( $f$ ) which were used to calculate phase and group speeds ( $C_p$  and  $C_g$  respectively) of  
 160 waves in water depth  $h$ .

$$C_p = \text{sqr}t\left(\frac{g}{k} \tanh(kh)\right) \quad (8)$$

161

$$C_g = C_p * \left( \frac{1}{2} + \frac{kh}{\sinh(2kh)} \right) \quad (9)$$

162 The wave power on a per-frequency basis was calculated as

$$\bar{P}(f) = \bar{\epsilon}(f) * C_g(f) \quad (10)$$

163 We calculated bottom velocities and shear stresses,  $u_b$  and  $\tau_b$ , respectively, following *Wiberg and*  
 164 *Sherwood (2008)* for consideration of onset of sediment motion where  $h$  is water depth (m) and  $k$   
 165 is the wavenumber (1/m).

$$u_b = \frac{H_S \pi}{T \sinh kh} \quad (11)$$

$$\tau_b = \frac{\rho f_w}{2} u_b^2 \quad (12)$$

166 We used  $f_w = 2Re_w^{-0.5}$  as the wave friction factor (per *Nielsen (1992)*) which assumes a laminar  
 167 wave boundary layer, as all measurements (except for 2% of those at S4) met the  $Re_w < 3 * 10^5$   
 168 criterion.

169 We then calculated the Shields Parameter  $\tau_*$  using Equation 13 to evaluate the onset of granular  
 170 motion under the waves per *Madsen and Grant (1975)*.

$$\tau_* = \frac{\tau_b}{(\rho_s - \rho)gD_{50}} \quad (13)$$

171 Where  $\rho_s$  is the sediment density,  $\rho$  is water density, and  $D_{50}$  is the median grain size.

## 172 2.4 Frequency Band Classification

173 We established cutoffs in frequency to delineate wave types to separate waves generated inside  
 174 the bay by local winds (which may include some high-frequency wind waves generated offshore,  
 175 although expected to dissipate as they travel through shoals and strong currents at the mouth)  
 176 from remotely generated swell waves and regionally generated low-frequency wind waves that  
 177 propagate into the Bay. In the following we will use swell waves to refer to both true ocean swell  
 178 as well as low-frequency wind waves generated by strong and spatially extensive regional winds  
 179 along the coast of California. Infragravity oscillations may be generated offshore or as swell waves  
 180 shoal by various mechanisms (“infragravity waves” or “IGW”), as discussed in *Bertin et al. (2018)*.

181 Assuming that waves generated by local winds in Tomales Bay are fetch-limited, we used Equa-  
 182 tion 6 to suggest a maximum possible wave period for waves generated by N/NW winds. We used  
 183 1.1 km as the fetch between the mouth of Tomales Bay and S2, and 10.2 km as the fetch between  
 184 Hog Island (see Fig. 1) and S4, an expanse free of major shoals and points. A sustained maximum  
 185 wind speed of 6 m/s led to a calculated 2.5 s as maximum generated period for wind waves. How-  
 186 ever, with peak sustained winds of 6 m/s during our deployment, we saw high wave energy at  
 187 frequencies as low as 0.25 Hz (4 s) at S4. As the fetch may be slightly larger if we consider the fetch  
 188 from Tom’s Point (Fig. 1), and wind speeds occasionally higher, a 4s cutoff would classify most of  
 189 the waves generated inside the bay as locally-generated wind waves. Equation 5 suggested a max-  
 190 imum wave height of 33 cm generated by fetch-limited conditions at S4. We did not record  $H_s$   
 191 values this large at S4, and address this discrepancy in Section 4.1.

192 Waves with frequencies lower than 0.25 Hz (4 s) but higher than 0.04 Hz (25 s) are classified  
 193 as swell. The cutoff separating swell from infragravity is based on *Okiihiro and Guza (1995)* and  
 194 *Bertin et al. (2018)*. The maximum dominant wave period measured during our study period at  
 195 NDBC Buoy 46013 was 21.5 s (0.0465 Hz), within our cutoff. We applied the long-period limit of  
 196 IGW motions at 300 s ( 0.003 Hz) due to its agreement with *Okiihiro and Guza (1995)*, *Williams and*  
 197 *Stacey (2016)*, and *Beach and Sternberg (1992)*.

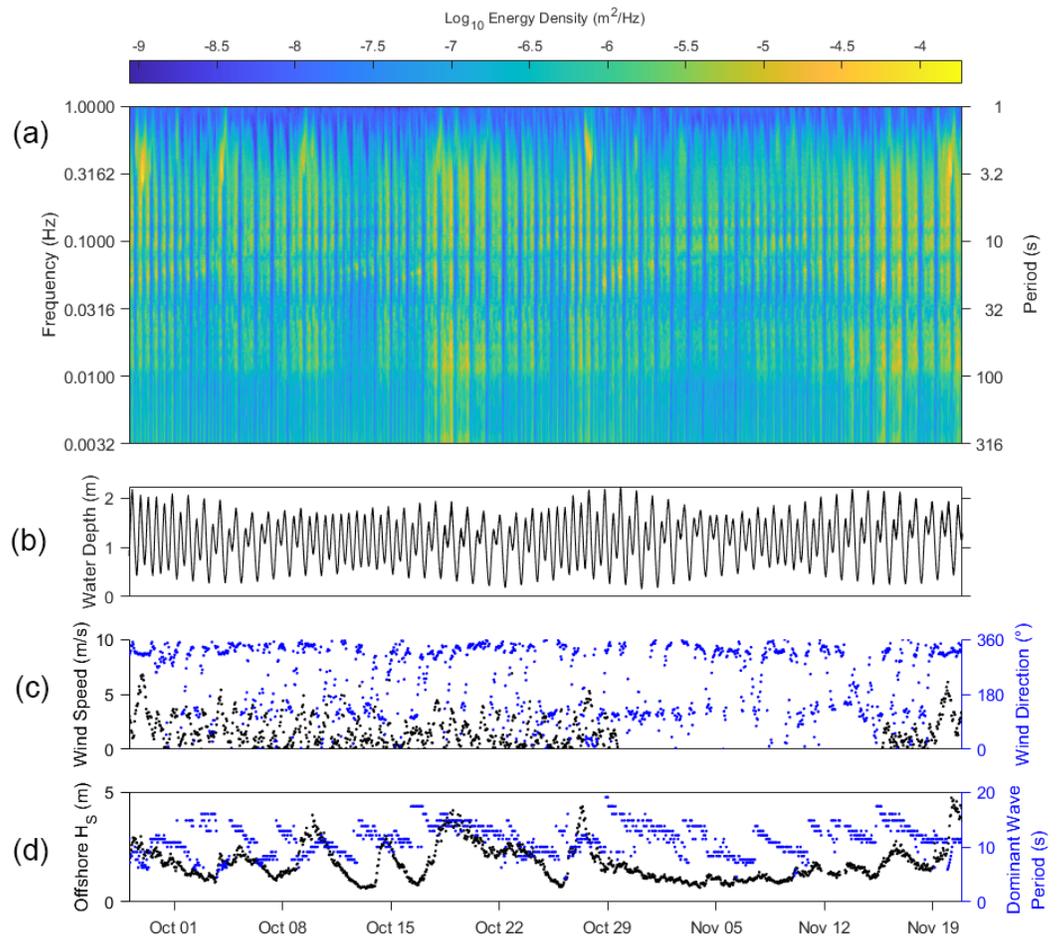
## 198 2.5 Sediment Grain Size

199 Surface sediment samples ( 3 cm deep) were collected by hand during initial sensor installation  
 200 in June 2019 at the beaches near S1, S2, and S3, with three samples per site chosen at random  
 201 from the upper beach. The samples were dried in an oven at 90°F overnight and then sieved using  
 202 Hogentogler meshes selected to focus on fine-to-coarse sand to develop grain size distributions  
 203 by mass. Mesh sizes used were 16, 11.2, 8, 5.6, 4, 2.8, 2, 1.4, 1, 0.71, 0.5, 0.355, 0.25, 0.18, 0.125,  
 204 0.09, and 0.063 mm, which are approximately evenly-spaced increments in phi space (*Wentworth,*  
 205 *1922*). Values presented are the mean of the three D50 values (from the three samples). There  
 206 were no sediment samples taken at the beach at S4.

207 **3 Results**

208 **3.1 Overview of Observed Wave Field**

209 The most common wave direction at the offshore buoy was 313°, aligned with the regional shore-  
210 line (Fig. 1), and the distribution of directions was almost exclusively between 295 and 320°, only  
211 deviating significantly during conditions with small waves. Also visible in Fig.2d, wave height  $H_S$  at  
212 the offshore buoy stayed above 1 m and dominant wave period  $T_p$  was nearly always above 8 s.  
213 Modal wave conditions were punctuated by low-frequency swell events with  $H_S > 2$  m. The buoy  
214 recorded a maximum  $H_S$  of 4.73 m and maximum period  $T_p$  of 19 s during our study period. As ex-  
215 pected for waves generated remotely,  $T_p$  at the buoy decreased during these swell events. During  
216 swell events with wave heights  $> 2.5$  m and dominant wave periods  $> 12$  s at the buoy, there was  
217 a concurrent increase in wave energy across a broad range of lower frequencies (i.e. infragravity  
218 waves) at the sensors. In addition to swell events, high-frequency wave events occurred during  
219 wind events (i.e., regionally generated wind waves) with wave heights of 2 m and wave period  $< 8$   
220 s. Together these swell waves and regional wind waves comprise the ocean waves incident on the  
221 mouth of Tomales Bay.



**Figure 2.** Wave energy density spectra at S2 (a), water depth at S2 (b), in-bay wind conditions (c), and offshore wave conditions (d) plotted over time during our study period in 2019.

222 When winds in Tomales Bay exceed 5 m/s they also occur regionally, resulting in high-frequency  
223 local wind waves in the bay concurrent with low-frequency regional wind waves generated outside  
224 the Bay (Fig.2c). Between these synoptic wind events a daily sea-breeze pattern was observed with  
225 calm mornings (wind speed < 2 m/s) followed by higher winds in the late afternoon and evening  
226 (speeds typically 3-4 m/s). Winds were mostly northerlies orientated with the longitudinal axis of  
227 the Bay (Fig. 1), with directions between 270 and 360°N and centered on 310. At times weaker  
228 southerly winds were observed, also oriented along the Bay (direction 120°N). Weak winds (<2  
229 m/s) did not always align with the Bay.

230 The time-averaged spectral power level was less than  $1 * 10^{-5} \text{ m}^2/\text{Hz}$  at all four sites over our  
231 study period. From Fig. 2a, it is evident that waves were modulated by tides and punctuated by  
232 occasional events when spectral power levels exceeded  $1 * 10^{-4.5} \text{ m}^2/\text{Hz}$  at peak frequencies (hence-  
233 forth referred to as "high-energy events"). These events are driven by particular combinations of  
234 tide, wind, and swell conditions, outlined below and discussed in Sections 4.1 and 3.2.

235 Spectra from S1 (Lawsons Landing, closest to the mouth) displayed very low high-frequency en-  
236 ergy, likely due to the sensor being deployed on a south-facing beach, protected from ocean waves  
237 and not exposed to locally generated waves during northerly winds. However, low-frequency  
238 ocean waves can refract around Sand Point (see Fig. 1) and were observed at this sensor. Low-  
239 frequency energies at S1 were higher and behave differently than those at any other sites within  
240 the Bay. In this paper we focus on data from S2 (Seal Beach) as more representative of ocean-wave-  
241 influenced beaches near the mouth of Tomales Bay. Waves at S3 (Pelican Point) exhibited similar  
242 wind-related patterns as S4 (Tomasini Point), but with lower energy due to shorter fetch. Thus, to  
243 represent wind-dominated beaches further landward in the Bay, we focus on data from S4.

244 The ocean-wave-influenced site at S2 in outer Tomales Bay was regularly exposed to waves  
245 with frequencies less than  $0.25 \text{ Hz}$  and the spectra exhibited broad peaks centered around 0.017  
246 Hz, 0.06 Hz, 0.1 Hz, and 0.15 Hz, with no peak at higher frequencies (Fig. 3d). In contrast, the wind-  
247 wave-influenced site at S4 exhibited a unimodal spectral curve, with spectral peak between 0.3  
248 and 0.6 Hz (centered at  $0.4 \text{ Hz}$ ). This spectral peak was an order-of-magnitude higher than that  
249 observed at S3 or S2.

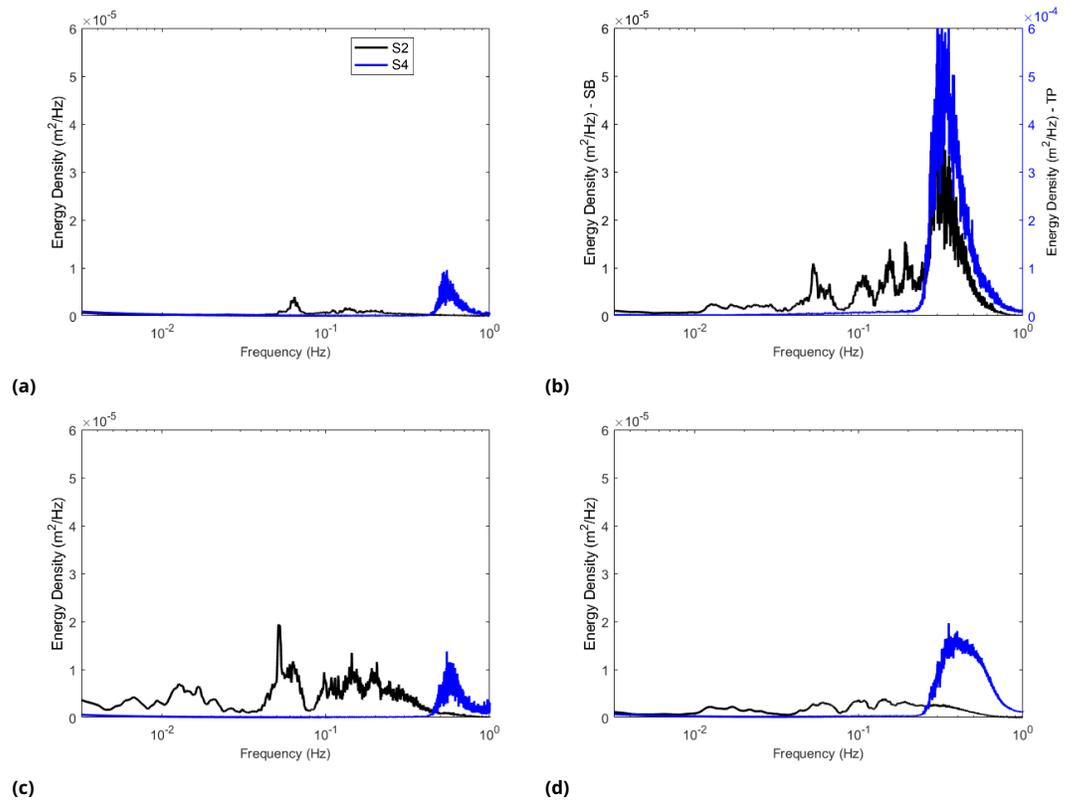
### 250 3.2 Temporal Variability in Wave Spectra

251 The modal wave conditions described above were punctuated by high-energy events from swell ar-  
252 riving at the mouth or by wind events that generated both regional wind waves outside the mouth  
253 and local wind waves inside the Bay. Here we compare wave spectra for calm conditions (October  
254 12; Fig. 3a), windy conditions (> 5 m/s, September 28-29; Fig. 3b), and big-swell conditions ( $H_s > 2$   
255 m at offshore buoy, November 15-16; Fig. 3c). On days with both high winds and big swell, wave  
256 spectra were linear combinations of those from windy and big-swell days. During calm conditions  
257 (October 12), wave energy was low across all frequencies at both S2 and S4 (Fig. 3a), with a weak  
258 swell peak at S2 centered at 0.064 Hz and a weak wind-wave peak at S4 centered at 0.55 Hz.

#### 259 The Wave Field during Wind Events

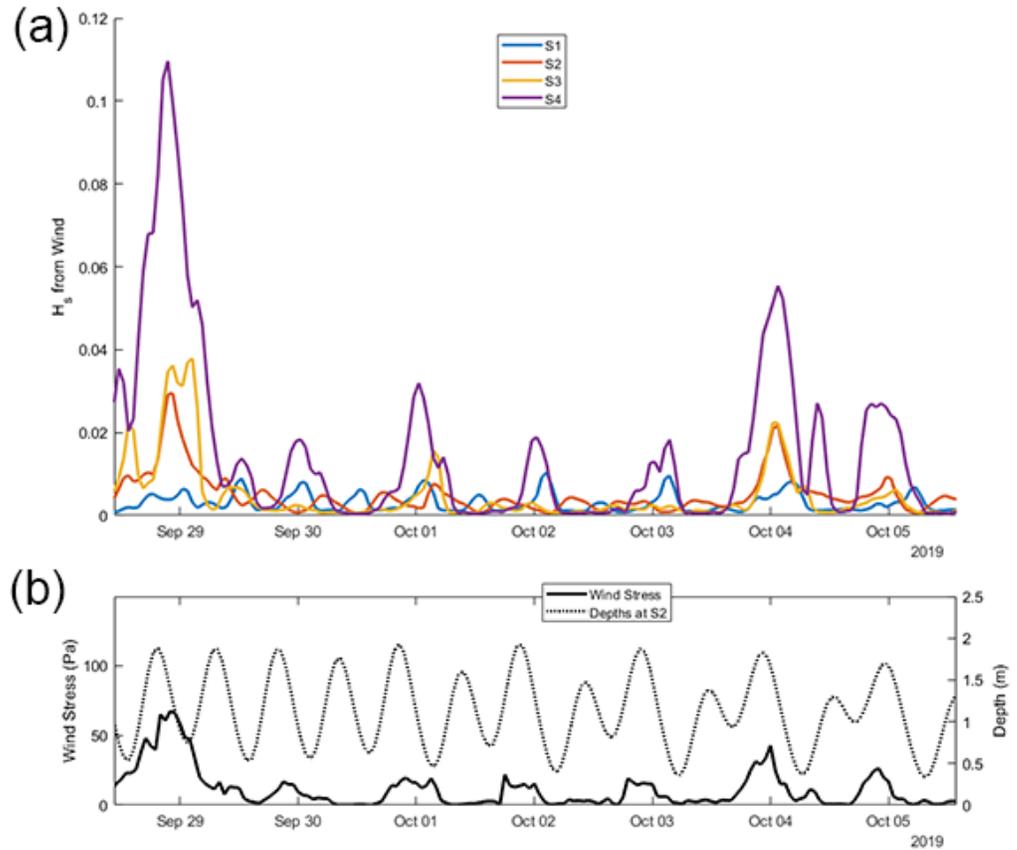
260 The prevailing northerly winds in Tomales Bay had marked diurnally variability (Fig. 2 and Fig. 4),  
261 accounting for higher-than-background wind-wave  $H_{s,wind}$  values (Fig 4) in the late afternoon and  
262 evening (Fig. 4a). These diurnal patterns were more prominent at sites further inside the bay (e.g.  
263 S3 and particularly S4). The wind-wave spectral peak is evident at all sites and most pronounced  
264 at site S4 (Fig. 3b), which is exposed to the longest fetch for northerly winds. On September 28,  
265 winds >5 m/s were sustained all day. The spectral peak at S2 was centered around 0.45 Hz with  
266 peak energy of  $3 * 10^{-5} \text{ m}^2/\text{Hz}$  whereas at S4 the peak was centered at 0.33 Hz and peak energy is  
267  $55 * 10^{-5} \text{ m}^2/\text{Hz}$ .

268 Wave spectra during other wind events exhibited similar spectral peaks (e.g., October 4 and  
269 November 19). However, the wind-wave field also developed almost every afternoon, most pro-  
270 nounced at S4 but also at S3 and S2 when winds were stronger, (Fig. 4). Note that peaks in  $H_{s,wind}$



**Figure 3.** Spectra from S2 and S4 on calm (a), windy (b) (note different axis scale for S4), and high-swell (c) days, as well as the study average (d).

271 were not always exactly concurrent with peak wind stress. Peaks in  $H_{S,wind}$  were also observed at  
 272 S1, but with shifting phase relative to diurnal peaks in winds and waves at other sites. Wind wave  
 273 peak heights coincided with stronger falling tides, occurring diurnally during spring tides and semi-  
 274 diurnally during neap tides, demonstrating that ebb tide currents alter the propagation of wind  
 275 waves in the outer Bay.



**Figure 4.** Peaks in wind wave height are evident in the  $H_{S,wind}$  timeseries over a sample of our study period at all four sensor locations. High wind waves ("wind events") were generally synchronized across the sensor sites with the exception of S1, which may only have sensed small wind waves at low tides.

#### 276 The Wave Field during Swell Events

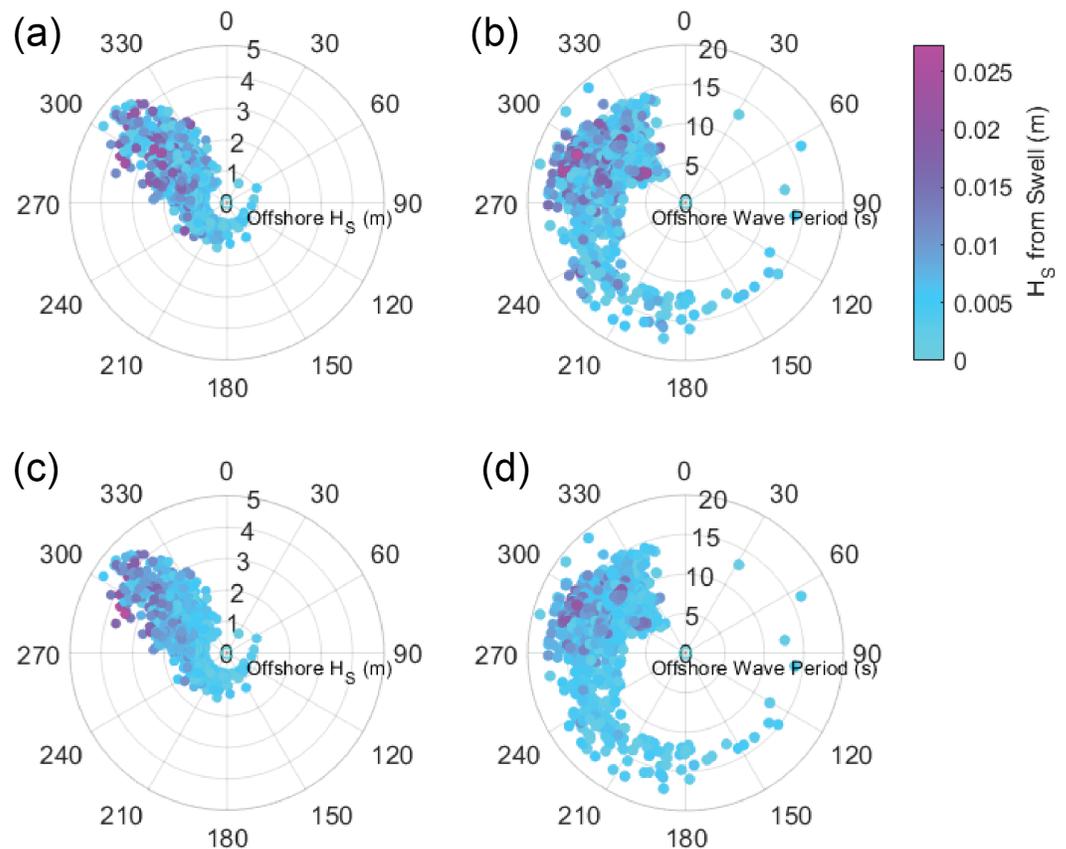
277 During our study period, monthly average significant wave height at the offshore buoy was 1.8-  
 278 2.5 m and average wave period was 10.4-12.3 s (0.081-0.096 Hz) (NDBC, 2020). On November 15  
 279 the wind was weak, but significant swell was recorded at the offshore buoy (wave height 2.5 m,  
 280 period 15 s), resulting in low-frequency spectral peaks at S2, centered at 0.0615 Hz, 0.0993 Hz,  
 281 and 0.1434 Hz (Fig. 3c) and the absence of any wind-wave energy. At site S4, beyond the reach  
 282 of ocean waves, a weak wind-wave peak was observed and the wave field was similar to that of a  
 283 calm day (Fig. 3a). The spectral peaks at S2 represent swell and infra-gravity-wave periods, which  
 284 are remarkably consistent over time (Fig. 2) and evident also in the average spectrum at S2 (Fig.  
 285 3d).

286 Throughout our study period, swell and infra-gravity energy were observed at S1 and S2 (2.2  
 287 and 3.4 km from Tomales Point, respectively) but not at S3 or S4. Further, no swell or infra-gravity-

288 wave energy was recorded by a sensor deployed between S2 and S3 during summer (Wall Beach,  
289 7.0 km from Tomales Point). Beyond S2, no wave energy was recorded at periods longer than 4s.

290 Large values in swell- and infragravity-band energies were observed at sites close to the mouth  
291 (S1 and S2) and coincided with large waves at the offshore buoy (Fig. 5 and Fig. 6). Swell and infra-  
292 gravity waves at S2 were small ( $H_s < 2.5$  cm), but strongest when offshore ocean waves exhibited  
293 dominant direction from 285-315°N,  $H_{S, \text{buoy}}$  between 3-4 m, and 13.5-16 s as the dominant period  
294 (Fig. 5). During our study period, 4 m and 16 s were the largest and longest-period waves recorded  
295 at the offshore buoy.

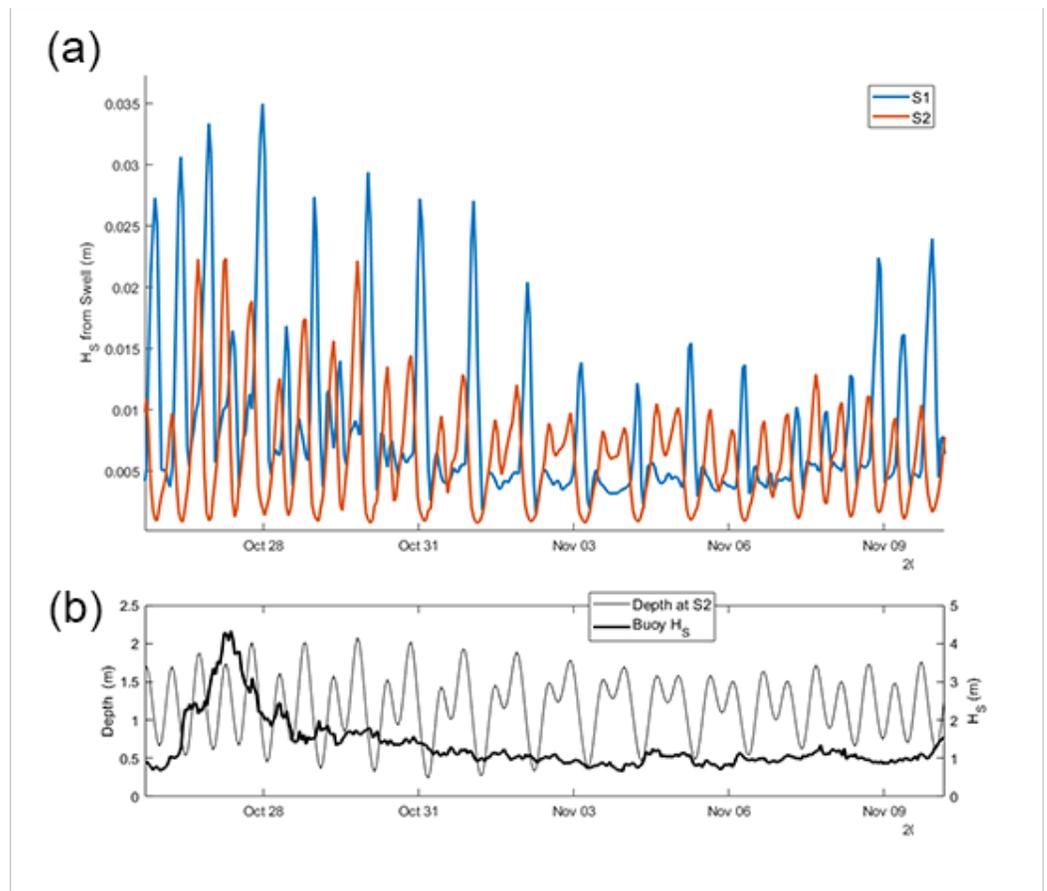
296 Swell energy varies on diurnal/tidal time scales (Fig. 6a), but variability is different at S2 and S1.  
297 At S1, swell wave peaks align with larger falling tides (as do wind wave peaks), resulting in diurnal  
298 peaks during spring tides and twice-a-day peaks during neap tides. In contrast, S2 swell wave peaks  
299 align with high tide phase (high water levels). These tidal controls are further explored in Sections  
300 3.3, 4.2, and 4.3.



**Figure 5.** Each 45 min window in our study period is plotted as a point. Swell wave—(a) and (b)—and IGW—(c) and (d)—wave heights at S2 were dependent on offshore wave height and period. Additionally, large offshore waves and large  $H_{S, \text{IGW}}$  and  $H_{S, \text{swell}}$  values only arise from the northwest, which is also the orientation of Tomales Bay.

### 301 3.3 Tidal Modulation of Wave Field

302 In addition to fluctuations in wave sources, waves at beaches in Tomales Bay varied significantly  
303 with the tides, due to the effect of changes in water depth and currents associated with tides.  
304 Spectra calculated across different tidal conditions show highest ocean wave energy at S2 during  
305 high tides, and lowest energy during ebb and low tides (Fig. 7). However, the highest frequency,  
306 local wind waves at both S2 and S4 show most energy during ebb tides—while lower energy at high



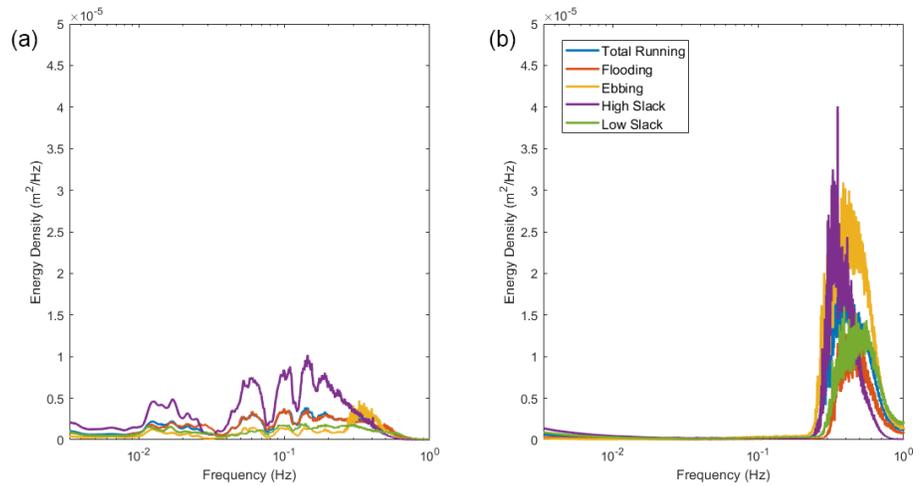
**Figure 6.**  $H_{S,swell}$  at S1 and S2 over some select days (a), along with corresponding water depths and wave heights at the NDBC Buoy (b). The significant wave heights at S1 and S2 follow the arriving swell, with strong modulation by tidal conditions.

307 tides may be explained by the depth of the sensor (an imperfect correction is given by Equation 2),  
 308 ebb-tide energy is notably greater than flood-tide energy (same water depth). This is most striking  
 309 at S4 for wave frequencies  $> 0.3$  Hz (Fig. 7b).

310 During low-slack and flooding conditions, the peak of S4's spectrum reduced in magnitude and  
 311 width, and its center moved upwards in frequency to near 0.5 Hz. At high-slack, the spectrum more  
 312 skewed towards lower frequencies than other conditions, with a center at 0.34 Hz. Note also that  
 313 for S4 at high-slack, frequencies higher than 0.785 Hz have near-zero variances. This is the cut-off  
 314 frequency (Equation 3) at a depth of 1.3 m. The sensor was under this much water for 52% of the  
 315 high tide bins, so we attribute this drop in energy to the sensor's inability to pick up high-frequency  
 316 waves at high tide. This may mean our  $H_{S,wind}$  values at S1 are artificially low. However, if we  
 317 assume that the energy values above 0.785 Hz are comparable to their average across other tidal  
 318 conditions, they would only contribute only  $<2\%$  to the total energy in the wind band, both during  
 319 normal and windy conditions.

### 320 **3.4 Sediment Size & Sediment Entrainment by Waves**

321 The average  $D_{50}$  of the three beaches were 0.21, 0.29, and 0.63 mm with  $D_{84} - D_{16}$  spread values of  
 322 0.10, 0.24, and 2.5 at S1, S2, and S3, respectively. Distributions at these four sites were unimodal  
 323 with peaks in the sand range (0.062 - 2 mm). Sediment that passed through the smallest 0.063 mm  
 324 mesh was considered "fine" and did not contribute more than 0.01% of the total sample weight in  
 325 any sample. Some distributions were pure sand while the S3 samples had tails in the grain size dis-  
 326 tribution with fine-to-coarse pebble contributions (reflected by the larger spread metric). Although



**Figure 7.** Tidal stage and currents affect the shape of the average spectral curves in different ways at S2 (a) and S4 (b).

327 no sediment was collected at the beach corresponding to S4, on field visits it was observed to be  
 328 highly mixed with mud, sand, and pebble-size grains.

329 We considered grain entrainment as an indicator of beach-building potential. The  $\tau_b$  values  
 330 via Equation 12 at S4 reached peaks of 0.18 Pa, typically at times with lower water levels (<0.6  
 331 m water depth at the sensor) and high winds (>4 m/s), where wind waves contributed over 70%  
 332 of the total spectral energy. During calm-wind periods bed stress values were low with peaks of  
 333  $\tau_b \approx 0.05$  Pa that occurred only at very low water levels. Background conditions between peaks  
 334 had  $\tau_b$  values near 0.01 Pa. These bed stress values of 0.18, 0.05, and 0.01 Pa would cross the  
 335 critical  $\tau_{*c}$  (Equation 13) threshold of 0.047 for grain sizes of 0.24 mm, 0.067 mm, and 0.013 mm  
 336 respectively, all within a fine sand-silt range.

337 In contrast,  $\tau_b$  values at S2 reached peak values of only 0.035 Pa, lower than at S4, with peaks  
 338 typically at higher water levels (>1.6 m at the sensor) when  $H_s$  values at the offshore buoy were >2  
 339 m. These bed stresses should initiate motion of an 0.047 mm-diameter particle (coarse silt) at the  
 340 sensor. Based on the  $D_{50} = 0.29$  mm value from the beach behind S2,  $\tau_{*c}$  values (from Equation 13)  
 341 never crossed the critical threshold of 0.047 during our study period, with  $\tau_{*c}$  peaking at values near  
 342 0.01. On average, wind waves contributed 25% of the total spectral energy at S2, and up to 42% on  
 343 windy days (24-hour averages). There is clear differentiation in proportion of swell and infragravity  
 344 wave energy contributions by depth: for high water levels (>1.6 m at sensor), swell contributed the  
 345 most to the total spectral energy (>60%); at low water levels (<0.8 m at sensor), the same is true  
 346 for infragravity waves.

#### 347 4 Discussion

348 Spatial and temporal changes in the spectra of wave energy at beaches in Tomales Bay depend  
 349 on the multiple processes, including wave generation, propagation, and dissipation. Wave sources  
 350 are local wind-wave generation in the Bay and intrusion of ocean waves into the Bay. Dissipation  
 351 en-route from source to beach is controlled by water depth and strong currents, both controlled  
 352 by tides, and work done on the beaches depends on the dissipation of wave energy adjacent to or  
 353 on the beach itself. We discuss these processes below.

##### 354 4.1 Generation of Local Wind Waves

355 This study shows that, for mesotidal environments, tidal stage is an important control on the re-  
 356 lationship between wind stress and wave heights. High wind speeds have the potential to de-

357 velop large wind waves, and the largest waves at our sensors were recorded when high winds co-  
358 curred with high water levels (Fig. 4). This finding agrees with the conceptual model explained  
359 in *Pereira et al. (2020)* and results by *Trindade et al. (2016)*, who found that  $H_s$  and wave period  
360  $T_m$  increased with water depth over macrotidal mudflats due to increased dissipation at low water  
361 levels. Thus, wave metrics near the beach vary on a tidal timescale if wind forcing is held constant.  
362 This effect cannot be explained purely by longer fetch at high water: using Equations 5 and 6  
363 (from *Coastal Engineering Research Center (1984)*) and assuming a minimum beach slope of 0.03  
364 within the intertidal zone, even an exaggerated tidal range of 2.5 m (close to a maximum spring  
365 tide) would drive a less-than 100 m lengthening of the fetch. Over the 10.2 km fetch between Hog  
366 Island and S4 (Fig. 1), the changes in predicted  $H_{m0}$  and  $T_m$  are  $< 1\%$ . Over the 1.0 km fetch be-  
367 tween Hog Island and S3, both  $H_{m0}$  and  $T_m$  would change by  $< 8\%$ . As such, the stronger control  
368 mechanisms on wave development are likely bottom dissipation or wave amplification by opposing  
369 currents (*Davidson et al., 2008*).

370 It appears that fetch-limited wind waves in Tomales Bay dissipate much of their energy over  
371 shoals and low-tide terraces before they reach beaches. Wave heights predicted using equations  
372 from the Shore Protection Manual (*Coastal Engineering Research Center, 1984*) in Section 2.4  
373 (i.e. 0.33 m at S4) were much higher than those calculated from our data; we observed maximum  
374  $H_{S,wind}$  values at S4 of 0.11 m (at high tide) and 0.06 m (at low tide) during hours of  $>5$  m/s winds  
375 centered around 22:00 on September 28th. By assuming that the predicted wave heights were  
376 generated, we can quantify exponential decay in wave height over mudflats and seagrass meadows  
377 before reaching our sensor locations. Using the framework from *Foster-Martinez et al. (2018)* and  
378 Equation 7, with  $x$  and  $k_i$  as free parameters, and assuming that the decay constant is  $\mathcal{O}(10^{-3})$   
379 (from *Foster-Martinez et al. (2018)*), our mudflat/shoal length must extend 100-1700 m from the  
380 sensor. Bathymetry from Tomales Bay by *Anima et al. (2008)* confirms that there is nearly 500 m  
381 of shallow ( $<1$  m) depth (MLLW) off of Tomasini Point, and at least another 500 m of  $<2$  m depths  
382 beyond that, confirming our order-of-magnitude comparison. At S4, observed waves remained  
383 well below the predicted fetch-limited wave height, but wave height versus wind speed data fit  
384 the curve predicted by Equation 5 if we account for 500 m of friction attenuation with a  $k_1$  value  
385 of  $4 * 10^{-3} m^{-1}$ . An expanse of seagrass that fronts the mudflat may justify this higher  $k_1$  value.  
386 Our observed energy density values of 0.39 Hz during high wind events at S2 and S4 (1.5 cm<sup>2</sup>/Hz  
387 and 15 cm<sup>2</sup>/Hz respectively) are comparable to those in *Collins (1972)* for similar fetch lengths and  
388 5 m/s winds in shallow water. This implies that wave dissipation by bottom friction may lead to  
389 substantive discrepancies between observed wave heights and those predicted by Equation 5. .

## 390 4.2 Intrusion of Ocean Waves

391 Our findings show that swell and infragravity waves were fully attenuated beyond 3.4 km from  
392 Tomales Point, due to dissipation as they propagate over shoals and through narrow channels. Vi-  
393 sual observations suggest that there is a marked decrease in ocean wave energy in the vicinity of  
394 Sand Point Fig. 1). Swell and infragravity energy observed in the outer Bay was partially controlled  
395 by the height and period of offshore waves observed at the offshore buoy (as in Fig. 5). Oceanic  
396 swell has been observed on mudflat-fronted shorelines in nearby San Francisco Bay and similarly  
397 correlated with offshore wave energy by *Talke and Stacey (2003)*. *Hughes et al. (2014)* point to a  
398 linear relationship between energy levels from swell and IGW versus deep water wave height, but  
399 in our study there was only a rough relationship (Fig. 5). Instead, most of the variation in  $H_{S,swell}$   
400 appeared controlled by tidal patterns, i.e., 1 m "baseline"  $H_s$  values at the offshore buoy were  
401 sufficient to account for swell effects at S2 (Fig. 6). Highest swell and infragravity wave energy was  
402 observed at S2 at high tide, in contrast to *Okiihiro and Guza (1995)* who found that infragravity  
403 energy (0.003-0.04 Hz) was lowest at high tide across sites in the Southern California Bight. How-  
404 ever, they suggest that this tidal modulation in energy at their 8-30m deep offshore sensors is  
405 controlled by tidal changes in beach face slope and reflection of infragravity waves. Due to the  
406 dissipative and low-slope nature of the coastline inside Tomales Bay, it is unlikely that reflection is

407 important and the observed tidal fluctuations are explained by changes in dissipation. With water  
408 depths in Tomales Bay ranging from <1 m in the shoal areas to 20 m in the narrow channel (*Anima*  
409 *et al., 2008*), infragravity waves are expected to experience attenuation due to bottom friction or  
410 by transferring their energy to higher frequencies, a mechanism suggested by *Bertin et al. (2018)*.  
411 This attenuation is exacerbated during low tides as can be seen in the vertical banding in Fig. 2a.  
412 We can infer that the outer Bay beaches are influenced more by swell waves that arrive routinely  
413 at high tide, in contrast to inner Bay beaches that require an alignment of both high tides and local  
414 wind events to receive substantial wave energy.

### 415 **4.3 Tidal Effects on Waves**

416 Tidal currents are known to modify spectral distributions and wave energy (e.g. *Huang et al. (1972)*,  
417 *Dodet et al. (2013)*), especially in bays where ebbing currents may “block” swell and infragravity  
418 waves from entering the inlet (*Chen et al. (1998)* and *Bertin et al. (2018)*). In our study, ebbing tidal  
419 currents amplified high-frequency spectral energies across the entire embayment. Tidal currents  
420 in Tomales Bay reach a maximum of about 1 m/s in the channels of the outer bay (*Gross and*  
421 *Stacey, 2004*). During maximum ebb tide currents, waves with group celerity less than 1 m/s may  
422 not be able to enter Tomales Bay — this celerity corresponds with waves with frequency above  
423 0.78Hz (1.28 s) as solved using Equations 9 and 8 using 11 m as a reference depth of the mouth of  
424 Tomales Bay (*Anima et al., 2008*). This value is close to our Nyquist limit, and the energy attributable  
425 to frequencies higher than this represents only  $10^{-5} m^2/Hz$  for all sites in the average conditions  
426 during the deployment. Thus, at most, wave-blocking at the mouth may preclude high-frequency  
427 wind waves from entering the Bay, which are expected to make small contributions to the total  
428 wave energy in the Bay, including sites close to the mouth.

429 Waves that are not blocked may be steepened by opposing currents, an effect that increases  
430 the surface variance (wave height and energy density) but does not modify wave power (*Dodet*  
431 *et al., 2013*). However, we found the wave power spectrum  $\bar{P}(f)$  followed similar patterns to the  
432 energy density spectrum across the four categories of tidal conditions, indicating that the loss in  
433 wave power for swell and infragravity waves during ebbing conditions was likely due to dissipa-  
434 tion driven by the opposing flow - although wave steepening does not alter wave power in an  
435 inviscid model, the enhanced energy density can be expected to lead to more rapid dissipation  
436 of wave energy. This counter-current dissipation effect is most pronounced at S2, which is ad-  
437 jacent to the main tidal channel and most exposed to currents. Cumulative dissipation along the  
438 wave path will also be increased due to increased travel time during opposing currents. Waves may  
439 also shed energy through additional nonlinear wave-current interactions, which may be frequency-  
440 dependent. *Huang et al. (1972)* analytically construct a dispersion relationship when a tidal current,  
441  $u$ , is present. They suggest that, with tides entering an embayment from the ocean, ebbing tidal  
442 currents “spread” the energy in a spectral peak across a wider frequency band, whereas flooding  
443 tidal currents sharpen peaks into narrower bands. We do not see these effects in our spectra, how-  
444 ever we found ebbing conditions increased energy in the wind wave band (between 0.25 and 0.7  
445 Hz, generally) for the sites farthest from the mouth (from S2 to S4).

### 446 **4.4 Beach Building**

447 The waves observed during our study were too small to move sediment and build their respec-  
448 tive beaches (Sec. 3.4). The BEBs in Tomales Bay likely have relict morphologies created by prior  
449 high-energy events in winds and swells. Morphologies determined by prior high-energy events are  
450 common among BEBs and have been reported by authors including *Costas et al. (2005)*, *Fellowes*  
451 *et al. (2021)*, and *Gallop et al. (2020a)*. If different particle sizes can be resuspended indepen-  
452 dently, then fine sediment may have been resuspended during wave conditions observed in this  
453 study. Visual observations of near-beach turbidity corroborates this phenomenon, but these fines  
454 are not contributing to beach building. For sites closer to the mouth (e.g. S2), swell-frequency en-  
455 ergy dominated the spectrum and swell-driven bed stresses dominated during higher water levels.

456 In contrast, infragravity waves contributed a large proportion of the total spectral energy during  
457 lower levels, but due to their small wave heights and long periods,  $u_b$  and  $\tau_b$  values remained below  
458 thresholds for sediment motion.

459 The distance between the sensor and the beach may be critical depending on the beach-fronting  
460 bathymetry. In our study, S4 was over 110 meters from the beach due to a low-slope fronting mud-  
461 flat. Thus, low water levels at the sensor may represent conditions during which no waves reach  
462 the beach. Mid- to high-water tidal stages may be the only times during which locally-generated  
463 wind waves can reach the beach at S4. At lower water levels, these short, shallow waves are likely  
464 attenuated by the mudflats and fronting subtidal vegetation, as found in San Francisco Bay by *Lacy*  
465 *and MacVean (2016)*.

466 Given the characteristic differences discussed in Section 3.1, data from S2 serves as a template  
467 of a sand-dominated near-channel beach in the Bay, and is close enough to the mouth to be influ-  
468 enced by swell—an "outer bay" beach. Data from S4, on the other hand, represents a model of a  
469 mudflat-fronted beach deep within the Bay, where effects of swell are absent and tidal currents are  
470 weak; at these "inner bay" beaches, wave energy is due to locally-generated wind waves. This in-  
471 ner/outer distinction in Tomales Bay is supported by hydrodynamic modeling in *Gross and Stacey*  
472 *(2004)* and sedimentation patterns detailed by *Rooney and Smith (1999)* and can be expected to  
473 be observed in other semi-enclosed bays where ocean waves are absent from an "inner bay".

474 At both S2 and S4, beach-building conditions most likely occur at high tide, because there is  
475 less attenuation of wave energy. For the outer-bay beach (S2), this permits more swell to enter  
476 the bay and impact the beach; for the inner-bay beach (S4), the same is true for locally-generated  
477 wind waves. Some beaches in Tomales Bay serve to protect low-lying back-barrier marshes, such  
478 as at Pita Beach and Indian Beach (Fig. 1), 5.3 and 13.5 km from the mouth, respectively, suggest-  
479 ing that beaches with back-barrier marshes can emerge in both swell-dominated and wind-wave-  
480 dominated areas of the bay (i.e., outer bay and inner bay BEBs).

481 Sediment availability and the general geologic context also serve as strong controls on beach  
482 location and morphology (*Gallop et al., 2020a*). Broadly, Tomales Bay acts as a littoral-cell adja-  
483 cent system of shoals with fluvial input from Walker Creek near the mouth and marine sediments  
484 extending as far as Hog Island (*Johnson and Beeson, 2019*); a deep sink in the central bay; and  
485 a second sediment supply via the Lagunitas Creek delta at the southern end of the Bay (*Rooney*  
486 *and Smith, 1999*). For beaches in central Tomales Bay, with no connection to the flood-tide or flu-  
487 vial deltas, and only very small adjacent watersheds, available sediment may be limited to local  
488 input (i.e., shoreline erosion). The beach at Tomasini Point (S4) exhibits a high incidence of coarse  
489 pebbles, and may be undergoing winnowing during even mild winds at high tides (wind waves  
490 resuspend fines that are transported away by tidal currents). Some replacement of the fines may  
491 occur during floods or due to fluvial inputs, but resolving this question and others around sediment  
492 provenance requires additional work outside the scope of this study.

## 493 5 Conclusions

494 Waves that build beaches in estuaries and bays (BEBs) may enter through the mouth or be gen-  
495 erated within the bay by local winds. Observations from mesotidal Tomales Bay, California, show  
496 that the dominance of locally-generated wind waves increased with distance from the mouth, con-  
497 sistent with a longer in-bay fetch for strong prevailing winds. In contrast, the influence of oceanic  
498 swell and infragravity waves did not extend beyond Seal Beach, 3.4 km from the mouth, separating  
499 the swell-influenced "outer bay" beaches from wind-wave dominated "inner bay" beaches. High-  
500 tide water levels allowed swell and infragravity waves to impact BEBs with less dissipation than  
501 low-tide conditions. At all sites in the bay, energy at frequencies  $> 0.4$  Hz increased during ebbing  
502 currents, and energy was reduced across the entire spectrum during low-tide water levels. No  
503 wave events capable of moving the beach sediment at our sensor sites were observed during our  
504 study period, suggesting that diurnal winds and weak summer swells do not alter the morphology  
505 of the BEBs. Our study highlights the need to differentiate beach-building events by tidal stage

506 in shallow bays and to determine the spatial range of influence by ocean-originating waves on  
507 embayed shorelines.

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