## Matched Field Processing for complex Earth structure

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#### Abstract

Matched Field Processing (MFP) is a technique to locate the source of a recorded wave 5 field. It is the generalization of beamforming, allowing for curved wavefronts. In the standard 6 approach to MFP, simple analytical Green's functions are used as synthetic wave fields that 7 the recorded wave fields are matched against. We introduce an advancement of MFP by 8 utilizing Green's functions computed numerically for real Earth structure as synthetic wave 9 fields. This allows in principle to incorporate the full complexity of elastic wave propagation, 10 and through that provide more precise estimates of the recorded wave field's origin. This ap-11 proach also further emphasizes the deep connection between MFP and the recently introduced 12 interferometry-based source localisation strategy for the ambient seismic field. We explore this 13 connection further by demonstrating that both approaches are based on the same idea: both 14 are measuring the (mis-)match of correlation wave fields. To demonstrate the applicability 15 and potential of our approach, we present two real data examples, one for an earthquake in 16 Southern California, and one for secondary microseism activity in the Northeastern Atlantic 17 and Mediterranean Sea. Tutorial code is provided to make MFP more approachable for the 18 broader seismological community. 19

#### 20 Keywords

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<sup>21</sup> Seismic noise, Seismic Interferometry, Interferometry, Wave propagation

# <sup>22</sup> 1 Introduction

The ambient seismic field has become an attractive target of seismological studies over the last two
 decades (Nakata et al., 2019). Interferometry of this complex wave field, combined with increased

station density, has enabled detailed studies of Earth's structure (e.g., Shapiro et al., 2005; Lu et al., 25 2018; Schippkus et al., 2018) and its temporal changes (e.g., Brenguier et al., 2008; Hadziioannou 26 et al., 2011). Such studies rely most commonly on seismic wave fields generated by the interaction 27 between the oceans and the solid Earth, so-called microseisms. Understanding the exact mechanism 28 for this interaction has been a challenge for more than half a century (Longuet-Higgings, 1950; 29 Hasselmann, 1963; Ardhuin et al., 2015) and some open questions remain, e.g., about the emergence 30 of Love waves in the secondary microseism (Ziane & Hadziioannou, 2019; Gualtieri et al., 2020). 31 More recently, other sources such as trains (Fuchs et al., 2017; Brenguier et al., 2019; Liu et al., 32 2021), wind turbines (Stammler & Ceranna, 2016; Hu et al., 2019), direct wind-land interaction 33 (Johnson et al., 2019), rain (Dean, 2019), and rivers (Burtin et al., 2008; Smith & Tape, 2019) 34 have become the focus of several studies investigating high-frequency seismic noise. 35

To study all of these sources in detail and understand their mechanisms, precise knowledge of 36 their locations is necessary. Dense installations of seismic stations near known sources can provide 37 intriguing insight into the sources' interactions with the solid Earth (Riahi & Gerstoft, 2015), and 38 can give evidence for previously unrecorded interactions (Schippkus et al., 2020). Installations like 39 these are not widely available, though. For other sources, it may not be technically feasible to install 40 stations close to all expected source locations, e.g., in the deep oceans to study ocean microseisms 41 or in the Earth's subsurface. Beyond the interest in the fundamental principles of seismic wave 42 generation by different sources, studies that rely on interferometry of the ambient seismic field to 43 gain knowledge about Earth's structure ideally incorporate a priori knowledge of source locations to 44 account for the potential bias introduced by their spatial distribution (Fichtner et al., 2017; Sergeant 45 et al., 2020). Strategies of earthquake seismology to locate seismic sources, such as travel-time 46 inversion, are not applicable to ambient seismic noise due to the complexity of the analysed wave 47 field. There is not one single dominant source (e.g., an earthquake or explosion) that results in 48 clearly identifiable and thus exploitable phase arrivals in seismograms across several stations. 49

Instead, strategies have emerged that aim to quantify the angle of arrival of seismic energy in recorded seismograms, emitted by sources of unknown type (Fig. 1a,b). Polarization analysis exploits the particle motion of the seismic wave field at one location  $\vec{x}_j$ , resolved by three-component seismometers (Fig. 1a, e.g., Schimmel & Gallart, 2003). Depending on the analysed wave type, the particle motion gives an indication of the angle of arrival. When combining results from multiple stations, this analysis can be used to triangulate the source location  $\vec{x}_s$  (e.g., Schimmel et al., 2011). However, a number of assumption have to be made, e.g., great-circle propagation, as well as proper <sup>57</sup> identification and clear separation of wave types. This approach can be a first step in understanding <sup>58</sup> the recorded wave field, but is often quite tricky in practice, especially on recordings of ambient <sup>59</sup> seismic noise (Gal & Reading, 2019).

Beamforming is a source localisation approach based on the assumption that seismic waves 60 propagating across seismic arrays can be treated as plane waves, if their wavelengths are much larger 61 than the aperture of the array (Fig. 1b). To test whether a candidate plane wave - characterised by 62 its horizontal slowness or equivalently arrival angle and apparent velocity - was recorded on the array, 63 expected relative time delays  $\Delta t(\vec{x}_i, \vec{x}_k)$  between the stations are computed and corrected for. This is 64 called delay-and-sum beamforming, where each seismogram is shifted in time and summed together, 65 forming the beam (Rost & Thomas, 2002). The quality of the beam is evaluated, giving the so-called 66 beampower. Other formulations of this method exist, e.g., an equivalent cross-correlation approach 67 (Ruigrok et al., 2017). Beamforming has been widely adopted by the seismological community and is 68 currently the standard tool for identifying sources of the ambient seismic field (Gal & Reading, 2019, 69 and references therein). Recent advances focus on incorporating three-component seismograms 70 (Riahi et al., 2013; Juretzek & Hadziioannou, 2016, 2017), avoiding bias introduced by averaging 71 across broad frequency bands (Gal et al., 2014), or estimating surface wave anisotropy directly from 72 beamforming (Löer et al., 2018). Beamforming has its main advantages in computational speed, 73 little if any data processing, and high time resolution. Its main drawbacks all result from the plane-74 wave assumption: sources have to be far from the array, the wave field has to be strictly coherent 75 across stations, and the array geometry limits the resolution capabilities (Rost & Thomas, 2002). 76 For a recent review of beamforming and polarization analysis see Gal & Reading (2019). 77

A new source localisation strategy based on seismic interferometry has been introduced in recent 78 years as an attractive alternative, sometimes referred to as kernel-based source inversion (Ermert 79 et al., 2016). The goal of this approach is not to determine the angle of arrival, but to directly 80 quantify the distribution of seismic sources in space. Interferometry of the ambient seismic field 81 recorded on multiple stations gives new wave fields, propagating to and from the respective reference 82 stations (Fig. 1c, Aki, 1957; Wapenaar et al., 2010; Campillo & Roux, 2015; Fichtner et al., 2017). 83 An inhomogeneous distribution of sources results in asymmetric cross-correlation functions, indicated 84 by the thickness of the wave fronts in Fig. 1c (Paul et al., 2005). In practise, this asymmetry is 85 usually quantified by comparing the causal and acausal part of each correlation function. In the 86 interferometry-based approach, synthetic cross-correlation functions are computed for a given source 87 distribution and compared against cross-correlation functions from real data. The mismatch between 88

the two is evaluated (e.g., by quantifying amplitude asymmetry), the source model perturbed, and a best-fit source distribution is found via gradient descent in an iterative manner (Ermert et al., 2016). Recent work has focused on improving efficiency (Igel et al., 2021b), the mismatch measure (Sager et al., 2018), or expanding the method to multiple frequencies (Ermert et al., 2021). The advantages of this approach are the stability of results, not as strict requirements on station geometry, and a comprehensive theoretical foundation. Its disadvantages lie in computational cost, treatment of recorded data, through that introduction of assumptions, and loss of temporal resolution.

Another approach that has gained some popularity in seismology in recent years is Matched 96 Field Processing (MFP). MFP is the generalisation of beamforming to allow arbitrary wavefronts 97 (Baggeroer et al., 1993). This approach has been developed in ocean acoustics, where coherency 98 of the wave field emitted by transient sources is high even for stations far away. Candidate sources 99 are defined in space and absolute travel times  $t(\vec{x}_i, \vec{x}_s)$  are computed based on true distance to the 100 source (Fig. 1d). Synthetic wave fields are computed for these travel times and matched against 101 the recorded wave field. In the seismological context, MFP has been applied succesfully on local 102 (Corciulo et al., 2012; Umlauft & Korn, 2019; Umlauft et al., 2021) and regional scale (Gal et al., 103 2018). Recent developments in MFP include the development of different beamformers (e.g., Zhu 104 et al., 2020), improved estimation of travel times (Gal et al., 2018), or estimating synthetic wave 105 fields empirically (Gibbons et al., 2017). MFP is an attractive strategy for source localisation of the 106 ambient seismic field. It allows for curved wavefronts, is based on only few assumptions, requires no 107 intermediate step such as pre-processing of recordings, and retains computational efficiency. While 108 the plane-wave assumption is neglected in MFP, coherency of the wave field across stations is still 109 required for good results. This poses challenges when analysing recordings for stations that are not 110 close together, and especially so for ambient seismic noise. 111

In this paper, we introduce an advancement of MFP to incorporate real Earth structure and account for the complexity of seismic wave propagation. In the following, we introduce the standard MFP approach, demonstrate its shortcomings, and present our solution by incorporating realistic Green's functions. We discuss implications of our approach, strategies to cope with some of them, how different disciplines and localisation approaches intersect, and finally demonstrate the applicability of our approach on two real data examples.

## **118 2 Matched Field Processing**

The MFP algorithm is straight-forward: For a given potential source location, a synthetic wave field is computed and matched against the recorded wave field, i.e., the seismograms, taking coherency of the wave fields across stations into account. This match is evaluated and compared against other potential source locations. The potential source location with the highest score or beampower (representing the best-matching synthetic wave field) is the resolved source location.

More precisely, spectra  $d(\omega, \vec{x}_j)$  are computed from the recorded seismograms at each receiver position  $\vec{x}_j$ . The cross-spectral density matrix is computed as

$$K_{jk}(\omega) = d^*(\omega, \vec{x}_j) d(\omega, \vec{x}_k), \tag{1}$$

with \* denoting the complex conjugate.  $K_{jk}(\omega)$  holds all information about the recorded wave field and encodes its coherency across stations; it contains the cross correlations of the seismograms from all station pairs. Following Bucker (1976), auto correlations are excluded, i.e., only components  $k \neq j$  are computed and later utilized. This is particularly useful in the context of ambient seismic noise, because noise wave fields are likely to be only weakly coherent across stations.

The synthetic wave field, i.e., the seismograms expected at each station from the candidate source, is represented through synthetic spectra  $s(\omega, \vec{x}_j, \vec{x}_s)$ , with  $\vec{x}_s$  the source position and  $\vec{x}_j$  the receiver position. In principle, these could be estimated in the time domain, but MFP computations are done in frequency domain for simplicity and computational speed. More on how these are computed in practise in section 2.1 and onwards.

The match of the two wave fields represented through  $K_{jk}(\omega)$  and  $s(\omega, \vec{x}_j, \vec{x}_s)$  is then estimated through a so-called beamformer or processor. The most straight-forward beamformer is the conventional beamformer, which in its most compact form in vector notation is often written as (e.g., equation 25 in Baggeroer et al., 1993)

$$B = \mathbf{s}^* \cdot \mathbf{K} \cdot \mathbf{s},\tag{2}$$

with *B* the beampower score for a potential source location. In literature, this beamformer is sometimes called Bartlett processor, although the origin of this name is unclear (e.g., Gal & Reading, 2019), linear beamformer (e.g., Baggeroer et al., 1993), or frequency-domain beamformer (DeMuth, 143 1977). We express *B* more explicitly, excluding auto correlations, for clarity as

$$B = \sum_{\omega} \sum_{j} \sum_{k \neq j} s_j^*(\omega, \vec{x}_j, \vec{x}_s) K_{jk}(\omega) s_k(\omega, \vec{x}_k, \vec{x}_s).$$
(3)

Other estimators of beampower exist, and their development is an active field of research (e.g., 144 Capon, 1969; Schmidt, 1986; Cox et al., 1987; Cox, 2000; Gal et al., 2014; Zhu et al., 2020). 145 Beamformers are often classified into conventional (eq. 3), adaptive (e.g., Capon, 1969; Cox et al., 146 1987; Cox, 2000) and sub-space beamformers (e.g., Schmidt, 1986). Adaptive beamformers aim to 147 increase resolution of the beampower distribution by increasing sensitivity to signal, but inherently 148 rely on high signal-to-noise ratio (SNR). The increased resolution is also accompanied by increased 149 computational cost, e.g., the Capon beamformer involves computing the inverse of  $K_{ik}(\omega)$  (Capon, 150 1969). Sub-space detectors such as MUSIC (Schmidt, 1986) involve computation of the eigenvectors 151 of  $K_{ik}(\omega)$ , and making a selection of those for further computations based on which eigenvectors 152 contribute to the signal and which are "noise". Corciulo et al. (2012) used a similar approach and 153 were able to resolve multiple sources this way. One of the expressed goals of the approach we 154 introduce in this paper is to be able to locate sources of ambient seismic noise, and as such SNR 155 is by definition low. Beamformers beyond the conventional beamformer may not be appropriate for 156 this, because they either require high SNR or a choice of what part of the cross-spectral density 157 matrix is signal and what is "noise". Krim & Viberg (1996) have addressed the question of which 158 beamformer performs best under what circumstances for standard MFP before. A detailed analysis 159 of this in the context of our approach we introduce here is beyond the scope of this paper. 160

## <sup>161</sup> 2.1 Synthetic wave field in the standard approach

In practise, assumptions and simplifications about structure and wave propagation have to be made in order to compute the synthetic wave fields  $s(\omega, \vec{x}_j, \vec{x}_s)$  that the recorded data are matched against. In most seismological and almost all ocean acoustics applications so far, simple analytical Green's functions of the form

$$s(\omega, \vec{x}_j, \vec{x}_s) = e^{-i\omega t(\vec{x}_j, \vec{x}_s)},\tag{4}$$

are used, with  $t(\vec{x}_j, \vec{x}_s)$  the travel time of the investigated wave between source and receiver (Fig. 1d). In some seismological studies, the addition of an amplitude term  $A(\vec{x}_j, \vec{x}_s)$  that accounts for geometrical spreading and/or inelastic attenuation has been discussed (Corciulo et al., 2012; Bowden et al., 2020). The goal of such a term would be to increase the accuracy of the synthetic wave field by incorporating some of the seismic waves' propagation behaviour. Neglecting the amplitude term entirely, as is usually done, makes standard MFP equivalent to delay-and-sum beamforming without the plane-wave assumption (Bucker, 1976). More on this in section 2.4.

<sup>173</sup> In the simplest possible study target, i.e., a single stationary source in an isotropic, homogeneous

medium with constant velocity v = const and only straight-ray propagation of a single phase, the 174 travel time is simply  $t(\vec{x}_i, \vec{x}_s) = \Delta x/v$ . This requires prior knowledge of v and the assumption that 175 v = const is a good approximation of the medium. In seismology, this approach has been successfully 176 demonstrated on local scale (e.g., Corciulo et al., 2012; Umlauft & Korn, 2019; Umlauft et al., 2021), 177 where propagation effects due to heterogeneous Earth structure can be neglected. Without any prior 178 knowledge of the velocity structure, another approach is to treat v as an additional dimension in the 179 parameter space that needs to be explored, though this can become computationally quite expensive 180 and may require sampling strategies other than a standard grid search (Gradon et al., 2019). 181

On regional scale, Gal et al. (2018) estimated  $t(\vec{x}_r, \vec{x}_s)$  from already available phase velocity 182 maps using Fast Marching Method (Sethian, 1999), which accounts for off-straight-ray propagation 183 of surface waves, and by that incorporating some complexity of wave propagation in real Earth 184 structure. This approach also inherently incorporates frequency-dependent effects, i.e.,  $t(\vec{x}_r, \vec{x}_s)$ 185 becomes  $t(\vec{x}_r, \vec{x}_s, \omega)$ . Gal et al. (2018) used this to study the primary (~16 sec. period) and 186 secondary ( $\sim 8$  sec. period) microseism separately by estimating phase travel times from their 187 respective phase velocity maps. This approach requires knowledge of the analysed wave type. Gal 188 et al. (2018) solve this by rotating seismograms into the radial/transverse-system and assuming 189 that for the chosen frequencies (microseism), surface waves are dominant and only recorded on their 190 respective component (Love on transverse, Rayleigh on radial). This is a reasonable assumption, 191 commonly made when analysing ocean microseism (Nakata et al., 2019), but may not always be 192 appropriate depending on the study target. Incorporating multiple phases (e.g., a mix of body 193 and surface waves) at the same frequency is not straight-forward with the standard approach and 194 clearly requires further assumptions about the number of phases and their respective travel times, 195 increasing the parameter space considerably. Furthermore, when investigating frequencies at which 196 the identification of wave types may be challenging, this strategy potentially misses or misattributes 197 important information in the recorded wave field and may bias results. Approaching the complexity 198 of wave propagation in real Earth structure in this manner seems quite cumbersome and impractical, 199 as it ends up requiring manual consideration of all of these effects. 200

### 201 2.2 Numerical synthetic wave fields for complex Earth structure

We propose to use Green's functions computed numerically for Earth structure directly as the synthetic wave field  $s(\omega, \vec{x}_r, \vec{x}_s)$  ("our approach") instead of the analytical form described above (eq. 4, "standard approach"). Effects such as dispersion and multiple wave types are then inherently accounted for, even for simple 1D media. If the Green's function are computed for a 3D Earth, further
 effects such as focusing and defocusing, wave-type conversion, and coupling can all be accounted
 for, increasing the precision of this approach further.

We demonstrate our method with synthetic examples for a broadband and a narrowband explosion 208 source (Fig. 2). The setup consists of two small arrays of three stations each that record the wave 209 field emitted by a seismic source located at the surface between them. The medium is a 3D 210 axisymmetric Earth (Nissen-Meyer et al., 2014), based on PREM (Dziewonski & Anderson, 1981). 211 The "recorded" seismograms are computed for the same model and incoherent noise is added. With 212 the standard MFP approach (assuming  $t(\vec{x}_r, \vec{x}_s) = \Delta x/v$ ), locating the source precisely is quite 213 challenging for both broad- and narrowband sources (Fig. 2a, c). The resolved location is clearly 214 sensitive to the chosen velocity of the medium v. When the chosen velocity is too low, the resolved 215 source lies further away than the real source. When it is too high, the resolved source lies closer. 216 This applies to both broadband and narrowband sources (Fig. 2a, c). For the broadband source, 217 the highest frequency available in the numerical Green's functions is 0.2 Hz. The error in location 218 introduced for v = 3.0 km/s is smaller for the broadband source than for the narrowband source. 219 This occurs, because the broadband wave field contains phases that are of different type and travel 220 with different velocities, and v = 3.0 km/s is a good estimate for at least some of them. For 221 the narrowband wave field, which contains mainly Rayleigh waves at 0.13-0.15Hz, v = 3.0 km/s is 222 already clearly too slow. For surface waves in particular, a different choice of velocity v for each 223 analysed frequency band would seem appropriate due to their dispersive nature (Gal et al., 2018). 224

With Green's functions computed numerically for the same Earth structure, the location of the 225 source is resolved precisely for both broad- and narrowband sources (Fig. 2b, d). This is unsurprising, 226 given that we are essentially matching the synthetic wave field against itself with some noise. But 227 this is also exactly the intent behind the approach: matching the recorded wave field with a more 228 realistic synthetic wave field. Our simple synthetic tests show that the standard approach can be 229 imprecise for locating realistic sources in slightly complex media, even under ideal conditions, and is 230 highly dependent on choosing the correct velocity. With our approach, we do not have to consider 231 frequency-dependant effects explicitly as long as the numerical Green's functions applied are a good 232 representation of elastic wave propagation. 233

MFP for narrowband sources results in prominent side lobes of beampower, regardless of approach (Fig. 2c,d). These are interference patterns that emerge because MFP is ultimately a correlationbased measure of waveform fit (more on that in section 2.4). The exact shape and position of

sidelobes depends on the station distribution and wavelength of the investigated wave, while the 237 correct location does not. Sidelobes will be suppressed, if a wide frequency band is used (Fig. 2a,b) 238 or several runs of MFP for narrow neighbouring frequency bands are stacked (Umlauft et al., 2021). 239 MFP originated as a narrowband localisation technique (Bucker, 1976) and has been adopted for 240 broadband sources thereafter (e.g., Baggeroer et al., 1993; Brienzo & Hodgkiss, 1993; Soares & 241 Jesus, 2003), where the suppression of sidelobes plays a role. This has some implications for the 242 resolution capability of MFP, which depends heavily on whether the analysed source emits a wide 243 frequency band or not. These interference patterns can also be thought of as a trade-off between 244 spatial and frequency resolution of MFP. Using more precise Green's functions has in principle no 245 impact on this. 246

The basic idea of incorporating more realistic Green's functions in MFP is not new. In ocean 247 acoustics, waveforms are coherent across large distances due wave propagation being focused in the 248 SOFAR channel, but MFP results can be highly sensitive to acoustic wave velocities (Tolstoy, 1989), 249 similar to what we have shown in Figure 2. Bathymetry and multiple reflections may complicate 250 the recorded wave field even further and impact MFP performance significantly, and thus should 251 ideally be incorporated (e.g., D'Spain et al., 1999). For elastic waves in solid Earth structure, 252 further effects would need to be considered, as described above. One approach to this is empirical 253 Matched Field Processing (Gibbons et al., 2017). Gibbons et al. (2017) estimate empirical Green's 254 functions for each station from recordings of known sources by computing the principal eigenvector 255 of the covariance matrix of the incoming wave field for two nearly identical sources. They have 256 demonstrated their approach in the context of mining blasts. The obvious limitation is that such 257 template sources are required, which allows its application only for certain scenarios. 258

Our approach does not have this limitation. Using numerically computed synthetic wave fields, 259 we can place candidate sources wherever we want. Our approach is then mainly limited by the 260 accuracy of the numerical model and computation strategy. Improving MFP in this way has only 261 become possible recently thanks to efforts by other authors to improve the computation of databases 262 of Green's functions for real Earth structure and provide them to the community (e.g., Nissen-Meyer 263 et al., 2014; van Driel et al., 2015; Krischer et al., 2017; Heimann et al., 2017). Computing 264 Green's functions for complex Earth structure is expensive, which is why we rely in our analysis on 265 pre-computed databases using instaseis (van Driel et al., 2015). Green's functions databases for 266 realistic Earth structure up to frequencies of the secondary microseism are available for download at 267 IRIS-DMC (Hutko et al., 2017) or Pyrocko Green's Mill (Heimann et al., 2017). 268

### 269 2.3 On amplitudes in MFP

The standard approach does not include an amplitude term. When it is incorporated, it ideally 270 describes the two contributions for geometrical spreading and inelastic attenuation on the ampli-271 tudes (e.g., Bowden et al., 2020). Computing both requires assumptions about wave type and the 272 attenuation properties of the Earth, again increasing the parameter space. Bowden et al. (2020) 273 show in a synthetic example that first applying and later correcting for this amplitude term does not 274 improve source locations compared to neglecting it from the beginning. It merely tests whether the 275 assumed wave type and quality factor are correct, which poses the danger that wrong assumptions 276 may bias results in real data studies, but also opens the opportunity to constrain anelastic properties 277 of the Earth, if the source locations are already well-known. More importantly though, Bowden 278 et al. (2020) also showed that computing MFP results including the amplitude term in the synthetic 279 Green's function without correcting for it is equivalent to mapping out the sensitivity kernel for the 280 given station-source distribution. As the authors have pointed out, MFP and interferometry-based 281 localisation are closely connected (more on this in section 2.4). MFP without correcting for am-282 plitudes is not useful for directly locating sources (as the highest score is no longer necessarily at 283 the source location), but can be an appropriate starting model for the interferometry-based strategy 284 (lgel et al., 2021a). 285

A strategy similar to the interferometry-based scheme, where the source strength at a position 286 is perturbed and the fit between model and data is evaluated, is not viable for MFP itself. The 287 beampower at a potential source location scales linearly with the absolute amplitudes of the recorded 288 seismograms. This is the case, even if the match in amplitude decreases, because MFP is ultimately 289 summing over correlations of waveforms. For this reason, other measures of waveform-similarity 290 that account for a mismatch in amplitude are commonly applied in other approaches, e.g., in full 291 waveform inversion (Yong et al., 2019, and references therein). Accounting for this behaviour directly 292 in MFP is currently not possible, because it is inherent to how beamformers are designed. 293

Therefore, a strategy is required to correct for amplitude terms. Numerically computed Green's functions for real Earth structure inevitably contain amplitude terms. Several approaches may appear reasonable to correct for them: correcting for amplitude decay (Fig. 3b), time-domain normalisation (Fig. 3c), and spectral whitening (Fig. 3d). Without any treatment of amplitudes, the beampower distribution is heavily biased by distance to stations (Fig. 3a). This effect is more pronounced compared to Bowden et al. (2020), because our Green's functions also contain body waves. Only a zoomed-in view allows to see the distribution of beampowers with a linear colorscale. The retrieved source location without amplitude treatment is close to one of the stations nearest to the actual
 source at the center.

Applying a correction factor for geometrical spreading of surface waves as has been demonstrated 303 by Corciulo et al. (2012) corrects for some but not all of the amplitude bias (Fig. 3b). The 304 beampower peak is still found near a station, because body waves are not corrected for. It is not 305 clear how a single correction term could be designed to correct for both body and surface waves 306 simultaneously. When we neglect the near-station beampowers, we find a local maximum (small red 307 circle) near the correct source location. We are not able to resolve the source location correctly. 308 For now, we advise against application of a correction term for amplitude decay, because it requires 309 assumptions about wave type and the medium's inelastic properties, opening up room for error 310 and bias as demonstrated here. When synthetic Green's function contain only a single wave type, 311 applying a correction term is a viable strategy as shown by Bowden et al. (2020). In real applications 312 and without prior knowledge of the source location (which defeats the purpose of MFP), such bias 313 seems not trivial to resolve. More drastic approaches to dealing with amplitude-induced bias are 314 necessary. 315

Time-domain normalisation aims to completely remove the impact of amplitudes by converting 316 the synthetic wave fields to time domain  $s(t, \vec{x}_i, \vec{x}_s)$  and dividing those by their maximum amplitude. 317 With this approach, we resolve the beampower peak close to the true source (Fig. 3c), but introduce 318 ripple-shaped artefacts in the entire beampower distribution. Time-domain normalisation is only then 319 equivalent to properly removing the effect of amplitude decay, if waveforms did not change their 320 shape across stations. Elastic wave propagation in realistic Earth structure results in the emergence of 321 different phases depending on source-receiver distance, changes to the waveforms due to dispersion, 322 as well as their amplitudes being affected differently by decay. These effects introduce the observed 323 pattern, which is undesirable. 324

Spectral whitening or frequency-domain normalisation is the process of dividing the frequency 325 spectrum by its amplitude spectrum, a technique commonly applied in processing of ambient seismic 326 noise records for interferometry (Bensen et al., 2007; Fichtner et al., 2020). Neglecting amplitudes 327 as done in the standard approach is equivalent to whitening of synthetic Green's functions. In fact, 328 whitening of the synthetic wave field is applied in early formulations of standard MFP (equation 24 329 in Baggeroer et al., 1993). In the context of interferometry of the ambient seismic field, whitening 330 is often performed with a water-level or smoothed amplitude spectrum to stabilise the procedure 331 numerically and not over-emphasize frequencies that carry no useful information (Bensen et al., 332

<sup>333</sup> 2007). Because we treat the synthetic spectra only, we are not concerned with smoothing of the <sup>334</sup> amplitude spectrum before division and artefacts that whitening may introduce in real data and <sup>335</sup> directly perform whitening as

$$s_{\text{white}}(t, \vec{x}_j, \vec{x}_s) = \frac{s(t, \vec{x}_j, \vec{x}_s)}{|s(t, \vec{x}_j, \vec{x}_s)|}.$$
(5)

This approach successfully retrieves the correct source location and does not appear to introduce any unwanted biases (Fig. 3d).

From our tests, whitening the spectra of the synthetic wave fields (Fig. 3d) appears to be the 338 most advantageous approach, and follows the original formulation of MFP (Baggeroer et al., 1993). 339 It introduces no alteration of the recorded data, eliminates attenuation and spreading effects, removes 340 potential issues caused by source strength, and successfully retrieves the true source location. With 341 this approach, all sources are weighted equally likely, regardless of distance to the receivers, as long 342 as their wave fields are well-recorded on all stations. This may not always be an advantage, e.g., in 343 global-scale studies, where the convergence of the wave field at the source's antipode may introduce 344 bias. By whitening we also lose the ability to, in principle, constrain anelastic parameters of the 345 Earth, but it is not clear to us how that could be approached for numerically computed Green's 346 functions that contain all wave propagation effects. 347

### <sup>348</sup> 2.4 Naming conventions and conceptual approaches to MFP

To illustrate how literature from multiple discplines intersects, we want to take a moment to clarify different naming conventions and how MFP can be understood conceptually in different ways.

In this paper, we have used language that describes the results of MFP as the distribution of beampower retrieved from matching recorded wave fields with synthetic wave fields or Green's functions  $s(\omega, \vec{x}_j, \vec{x}_s)$  for candidate source locations. This language, particularly Green's functions, is natural for seismologists (e.g., Gibbons et al., 2017; Umlauft & Korn, 2019), though rarely also used in ocean acoustics studies (e.g., Li et al., 2021).

In ocean acoustics, other terminology is more common for some of these concepts. The distribution of beampowers may be called ambiguity surface (Bucker, 1976), intended to express the emergence of sidelobes for narrowband sources (Fig. 2c,d).  $s(\omega, \vec{x}_j, \vec{x}_s)$  is sometimes called steering vector, expressing the idea that the array is "steered" towards the source during beamforming or MFP, or replica vector, communicating that the vector represents a replica of the expected wave field (Baggeroer et al., 1993).

Still, both the seismological and ocean acoustics communities understand MFP as matching of 362 wave fields; this idea is the original concept introduced by Bucker (1976), and gives an intuitive 363 understanding of the physics involved. Above, we mentioned that array beamforming for plane waves 364 is a special case of MFP. For plane-wave beamforming, Green's functions of the form  $s(\omega, \vec{x}_i, \vec{x}_s) =$ 365  $e^{-i\omega t(ec{x}_j,ec{x}_s)}$  are used, and only the manner in which  $t(ec{x}_j,ec{x}_s)$  is estimated is adapated to use relative 366 distances perpendicular to the plane wavefront (Fig. 1b) instead of distances to potential source 367 locations (Fig. 1d). In that case, MFP is exactly delay-and-sum beamforming, regardless of whether 368 plane waves or curved wavefronts are used (Bucker, 1976). In this formulation (what we called the 369 "standard approach" to MFP) the Green's function can be understood in two ways: It is the wave 370 field emmitted by a point source (the impulse response), if the medium is an isotropic, homogeneous 371 half-space. It also represents a phase shift (or time-delay), if it is convolved with a waveform. 372

<sup>373</sup> Understanding beamforming as convolution leads to another way of conceptualising MFP. We <sup>374</sup> rewrite equation 3, omitting the variables  $(\omega, \vec{x}_j, \vec{x}_s)$  for readability, as

$$B = \sum_{\omega} \sum_{j} \sum_{k \neq j} s_{j}^{*} d_{k}^{*} d_{j} s_{k} = \sum_{\omega} \sum_{j} \sum_{k \neq j} (s_{j}^{*} s_{k}) (d_{k}^{*} d_{j}).$$
(6)

Here,  $d_k^* d_j$  is the correlation of the recorded wave fields and  $s_j^* s_k$  correlation of the synthetic wave fields for each station pair k, j. The "matching" of wave fields in MFP is exactly this: convolution of their correlation wave fields.

This is particulary relevant, because it further demonstrates the close connection between MFP 378 and the interferometry-based localisation strategy, and gives a different perspective to the insights 379 provided by Bowden et al. (2020). In both approaches, cross-correlation functions of recorded data 380 and of synthetic data are computed and compared against each other. The main difference between 381 them lies in how exactly cross-correlation functions are computed and how the (mis-)fit between 382 the two is evaluated. It is then not surprising that MFP results are a good starting model for 383 interferometry-based localisation (Igel et al., 2021a); in a very real sense MFP is interferometry-384 based localisation, just with less processing and a different mismatch measure. Bowden et al. (2020) 385 have described this connection more mathematically: starting from cross-correlation beamforming 386 (Ruigrok et al., 2017), a simple change in the order of operations - from shifting waveforms first and 387 then computing the cross-correlation coefficient to first computing the correlation function and then 388 measuring at the corresponding time lag - creates an equivalency (under certain conditions) between 389 MFP and interferometry-based source inversion. This description and the one we introduce above 390 result in the same realisation: fundamentally, there currently exists only one approach for locating 391

<sup>392</sup> sources of ambient seismic noise in the sense that the coherency of the recorded wave field across <sup>393</sup> stations is the most important aspect that enables source localisation. That both approaches are <sup>394</sup> fundamentally the same may not be intuitive at first, especially considering the strikingly different <sup>395</sup> sketches to illustrate them (Fig. 1c,d), and the different language both communities use.

To retrieve "reliable" cross-correlation functions of the recorded data in ambient noise seismology, 396 processing and stacking over time is common (Fichtner et al., 2020). Such cross-correlation functions 397 are often called estimated or empirical Green's functions, relying on assumptions of homogeneous 398 noise source distribution or wave field equipartition (e.g., Nakata et al., 2019). These are not to 399 be confused with what Gibbons et al. (2017) called empirical Green's functions. Note that Fichtner 400 et al. (2017); Ermert et al. (2016) and others purposefully avoid naming and understanding cross-401 correlation functions as empirical Green's functions and do not rely on the above assumptions. The 402 fact that these assumptions are incorrect is in fact what enables the interferometry-based strategy. 403

MFP is similarly not concerned with such assumptions about the equipartion or source distribution 404 of the recorded wave field. Furthermore, it foregoes processing of seismograms for stability entirely, 405 allowing for high time-resolution and avoiding artefacts potentially introduced by the processing 406 (Fichtner et al., 2020). Importantly though, the mismatch measure employed in MFP does not 407 allow iterative inversion by source-strength perturbation, because convolution (or correlation) does 408 not account for amplitude mismatch. If signals are in phase, increasing amplitudes of one results 409 in linearly-scaling beampowers regardless of how well the waveforms fit. At this point, it is clear 410 that both communities may benefit from each other, as has already rightfully been pointed out by 411 Bowden et al. (2020). It is in principle possible to employ strategies of the ambient seismic noise 412 community to "improve" the correlation functions  $d_k^* d_i$ . A detailed analysis of the advantages and 413 disadvantages this would bring, and what exactly "improving" would mean in the context of MFP is 414 beyond the scope of this paper. Similarly, increasing the accuracy of MFP in a seismological context 415 and discussing its fundamental ideas and limitations, as is the intent of this paper, may benefit 416 developments in the larger field of ambient seismic noise localisation. 417

### 418 2.5 Limitations of MFP

Above, we have already explored the advantages and limitations of using numerically computed synthetic wave fields (Fig. 2) and amplitudes (Fig. 3) in MFP, as well as the emergence of striped interference patterns for narrowband sources (Fig. 2). MFP shows further undesired behaviour under certain conditions that we encounter in real-world applications. Some of these are more straight-forward to understand in the conceptual framework of convolution introduced above.

#### 424 2.5.1 Source-Station Geometry

For beamforming, the impact of an array's geometry on its resolution capability is well studied, and 425 quantified by the array-response function (Rost & Thomas, 2002). Standard MFP becomes plane-426 wave beamforming for very large distances between source and array, because accounting for curved 427 wavefronts has negligible impact on travel times. In that case, the lessons learned in beamforming, 428 e.g., what wavelengths are resolvable without aliasing, apply one-to-one. When MFP is considered 429 as an approach, the source-station geometry should be such that accounting for curved wavefronts 430 actually has useful impact on the results, i.e., the difference in expected travel times compared to 431 plane waves is much larger than the expected measurement error. Because MFP is not bound to the 432 plane-wave assumption, there is no meaningful difference between treating a collection of stations 433 as an array or a network. Still, the inter-station distance should not be much smaller than the 434 investigated wavelength or incoherent noise may prevent being able to reliably resolve the source 435 location. In MFP, quantifying resolution in a similar manner to the array-response function for 436 beamforming is an unsolved problem, because the parameter space is much larger. 437

Closely related to these considerations is that high waveform coherency is required across stations, 438 regardless of approach. In standard MFP or beamforming, i.e.,  $s(\omega, \vec{x}_j, \vec{x}_s) = e^{-i\omega t(\vec{x}_j, \vec{x}_s)}$ , coherency 439 means retaining the exact shape of the waveforms across stations, because waveforms are simply 440 shifted in time. In our approach, waveform coherency takes a slightly different meaning, because 441 elastic wave propagation can change the shape of recorded waveforms significantly. So instead, 442 waveforms need to be coherent after elastic wave propagation effects have been accounted for, in 443 our approach via synthetic Green's functions for real Earth structure. This is an important distinction, 444 as our approach losens the requirements on station geometry for MFP quite drastically. In principle, 445 stations on opposite sides of the Earth can be used simultaneously for MFP, as long as the wave 446 propagation effects are properly incorporated and the signal is recorded above the noise-level on 447 both stations. Therefore, our approach improves upon this limitation of MFP, and we make use of 448 this in the real data examples below. 449

#### 450 2.5.2 Station Density

451 Station density has direct impact on the retrieved beampower distribution that is worth pointing out 452 explicitly (Fig. 4a). In a synthetic test, we place four times the stations, with the same coordinates, on the right side than we do on the left (Fig. 4b). The beampower distribution shows a visual bias towards the top-left, caused simply by the presence of more stations that recorded the signal in the bottom-right. While in the ideal scenario here, the exact source location is still resolved correctly, interpreting this distribution without prior knowledge of the sources in a real-world application seems challenging. This bias in MFP results follows directly from understanding MFP as the sum over convolutions of correlated wave fields, as described above. Regions with higher station density are then inherently weighted higher and cause the observed effect.

This goes beyond increased resolution due to better suppression of incoherent noises, and is an 460 effect that essentially all real-world applications of MFP will have to take into consideration. We have 461 tested two possible approaches to correct for this without success. Introducing a coherency-weight 462 where stations that recorded similar waveforms are down-weighted to counter-act the described 463 behaviour, does not improve the retrieved beampower distribution. This approach further loses the 464 advantages that multiple measurements at similar positions can reduce impact of incoherent noise. 465 A different approach may be to homogenise the station distribution, but this often excludes high-466 quality stations from the analysis, especially for permanent arrays. The loss in quality recordings 467 does not seem to be desirable here. 468

#### 469 2.5.3 Multiple Sources

Single sources can cause prominent interference patterns for narrowband sources (Fig. 2c,d), which 470 depend on station geometry and frequency band. This leads to even more complex, secondary 471 interference when multiple sources are active at the same time. In a synthetic test, we place two 472 narrowband sources that excite identical wave fields simultaneously (Fig. 4b). The second source 473 is placed such that it lies at the edge of a sidelobe of the first source (Fig. 2d). From the retrieved 474 distribution of beampowers it is not at all obvious that two and only two sources are active here, 475 and instead this may be misidentified as a single source close to the left array (Fig. 4b). The 476 new beampower peak is entirely an interference artefact. This smearing of resolved source locations 477 clearly relates to the wavelength of the investigated waves, and similar issues are well-known in the 478 beamforming community, as described above. When the two sources placed are broadband instead 479 (Fig. 4c), one may interpret the beampower distribution as two sources. The true locations are not 480 recovered, with a smaller error for the closer source. Similar problems, such as smeared beampower 481 distributions can occur for single sources that move during the investigated time frame (Li et al., 482 2021). 483

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These effects briefly demonstrate the, in our view, most important limitation of MFP: the 484 concrete interpretation of individual MFP results. Interpretation seems quite challenging when either 485 stations are distributed heterogeneously or multiple sources are nearby and may have interfering 486 sidelobe patterns. Both conditions are true for most real-world applications. This is one of the main 487 reasons other beamformers are being developed (e.g., Capon, 1969; Schmidt, 1986; Cox et al., 1987; 488 Cox, 2000; Gal et al., 2014; Zhu et al., 2020). In future work, exploring their applicability to and 489 further developing them in the context of elastic waves propagating in real Earth structure, seems 490 like a clear way forward. A convenient way of quantifying MFP's resolution would be exceptionally 491 useful, but is not known to us. Here the interferometry-based localisation strategy shows its strength, 492 with a clear strategy for iteratively getting better estimates of source location. 493

#### 494 2.5.4 Time window length

In MFP, a choice has to be made on how long of a time window is analysed. The basic requirement 495 is that the time needs to be long enough to record the correlated wave field propagating across all 496 stations, which can be estimated roughly from expected wave velocities. Because MFP is based 497 on correlation wavefields, by default the entirety of the chosen time window influences the result. 498 This is easier to understand with the delay-and-sum concept, where waveforms are shifted in time 499 and summed. Because the entire waveforms are used to compute the sum, all of the waveform 500 plays a role. This limits the time resolution of MFP and has implications depending on the type 501 of source one aims to investigate. If a source is exciting energy repeatedly, the wave field contains 502 more and more of that source's energy the longer the time window is and thus gets weighted higher 503 and higher. This is very useful for stationary "noise" sources. For impulsive sources that act rarely, 504 this can be a disadvantage and time windows should be chosen as small as possible for them. To 505 address this issue, the concept of a windowing function as developed for the interferometry-based 506 localisation strategy (Bowden et al., 2020), may be an opportunity to increase MFP's time resolution 507 even further in the future. 508

## **3** Demonstration on real data

<sup>510</sup> We demonstrate our approach on two real data examples.

### **3.1 2008** Chino Hills Earthquake

First, we benchmark our approach with an earthquake in Southern California, the  $M_W = 5.4$  Chino 512 Hills earthquake of 2008-07-29 (Fig. 5). When applying the standard MFP approach, with an 513 assumed velocity v = 3.2 km/s (the best fit in the synthetic test in Fig. 2), we find a relatively good 514 location of the earthquake with 7.7 km distance to the location in the CI catalog (Fig. 5a, SCEDC, 515 2013). The good fit here confirms what other authors have found before: standard MFP can 516 already perform quite well in seismological studies (Gal et al., 2018; Umlauft & Korn, 2019; Umlauft 517 et al., 2021). When we replace  $s(\omega, \vec{x}_i, \vec{x}_s)$  with numerical Green's functions (our approach) for an 518 explosive source mechanism, we at first find a decrease in location accuracy (Fig. 5b). The retrieved 519 location is 18.3 km away from the CI location. When we incorporate the moment tensor solution 520 from the CI catalog (SCEDC, 2013), trivial to do with our approach, we find an improvement in 521 location accuracy with a distance of only 1.9 km to the CI location (Fig. 5c). This demonstrates one 522 of the potential use cases for MFP with numerical Green's functions: Searching for the best-fitting 523 moment tensor may help constrain the source mechanism of unknown weak sources. A related 524 strategy has been employed by Umlauft et al. (2021). The authors flipped the sign of waveforms, 525 based on visual inspection and expert judgement, before applying MFP. The spatial distribution of 526 whether a waveform had to be flipped or not to increase waveform-coherency across stations, gives 527 hints on the radiation pattern and thus source mechanism of the seismic sources, in their case stick-528 slip tremor at the base of a glacier. In such a scenario, where clear identification of phase arrivals is 529 difficult, our approach may be a more systematic approach and help give improved estimates of the 530 source mechanism. 531

## 532 3.2 Secondary Microseism

In a second example, we locate seismic sources in the secondary microseism frequency band (0.13)533 to 0.15 Hz) in the Northeastern Atlantic and Mediterrenean Sea using 342 stations distributed over 534 Europe during the first week of February 2019 (Fig. 6). Three snapshots of beampower distributions 535 are compared against hindcasts of significant wave height (WaveWatch III, Ardhuin et al., 2011). 536 On first order, we find a good match between the standard approach (v = 3.2 km/s, left), our 537 approach (middle), and the distribution of significant wave height (right). A good match between 538 seismic wave excitation and ocean wave activity is expected for the secondary microseism. The com-539 mon explanation is that ocean gravity waves at the water surface, propagating in roughly opposite 540 direction, interact and cause a standing wave that generates a vertically-propagating pressure wave 541

field in the water column. This pressure wave field then interacts with the ocean bottom, generating
seismic waves in the solid Earth (Hasselmann, 1963; Ardhuin et al., 2015).

The similarity between the standard approach and our approach is high (Fig. 6 left and middle). 544 This is not surprising for a number of reasons. The sources we image here are generally far away 545 from most stations and towards one direction, West. The difference in waveforms recorded across 546 all stations then becomes relatively small. If sources were closer to all stations, as e.g., for the Chino 547 Hills earthquake (Fig. 5), improving the accuracy of the synthetic wave field has larger impact. 548 Furthermore, the Green's function we rely on are based on an axisymmetric 1D Earth. Therefore we 549 do not yet incorporate the full complexity of elastic wave propagation in this demonstration. Partic-550 ularly relevant are likely the European shelf areas and the structure and velocity contrast between 551 oceanic and continental crust. Finally, because we investigate the secondary microseism, we are 552 limited to a narrow frequency band and cannot benefit from utilising broadband seismic waveforms, 553 for which we believe our approach should perform a lot better than the standard approach. Still, 554 we do find that beampower distributions retrieved with our approach are more focused on specific 555 regions compared to the standard approach. We do not yet feel comfortable in judging whether 556 these differences are certain to be an improvement in source estimation. 557

Our synthetic tests (Fig. 2) and the Chino Hills earthquake example (Fig. 5) suggests that 558 our approach can be more precise in locating the sources. However, we have to be careful with 559 interpreting these patterns, as we have also demonstrated in synthetic tests (Fig. 4). If our approach 560 will prove to be more precise also for microseisms, we may find that seismic waves are excited in 561 specific regions in the oceans and not distributed homogeneously beneath storm systems. It is 562 important to note here that for now we use an explosion source mechanism for the synthetic wave 563 fields to locate the microseism, which we have already shown to be inadequate for an earthquake 564 (Fig. 5). In the future, we require a strategy to describe and incorporate a source mechanisms 565 appropriate for microseisms. Some insight in how that could be approached has been given by 566 Gualtieri et al. (2020) and this is certainly an attractive prospect and may help better understand 567 the exact excitation mechanism. 568

## **4** Conclusions

570 Matched Field Processing (MFP) is generalized Beamforming for arbitrary wave fields, removing 571 the need for the plane-wave assumption. It is one of the current approaches to locating sources of <sup>572</sup> ambient seismic noise (Fig. 1). In this study, we advance MFP to better incorporate elastic wave <sup>573</sup> propagation in the Earth by using Green's functions numerically computed for real Earth structure <sup>574</sup> directly as the synthetic wave field that the data is matched against.

<sup>575</sup> When amplitudes are considered in MFP, results are biased by amplitude effects such as geo-<sup>576</sup> metrical spreading and anelastic attenuation. In the standard approach, this is usually neglected <sup>577</sup> through spectral whitening of the synthetic wave field. We find that this strategy performs best for <sup>578</sup> us as well, and that trying to correct for spreading and attenuation via an amplitude term, as has <sup>579</sup> been suggested before, may not be advisable (Fig. 3). This is especially the case for our approach, <sup>580</sup> where multiple wave types can be considered simultaneously.

Two examples on real data showcase the potential of our approach (Figs. 5, 6). In principle, we 581 can use it to search for the source mechanism of a seismic source, as suggested by the improved source 582 location after incoporating the earthquake's moment tensor (Fig. 5). This could be particularly 583 useful in the context of tremor activity, where source mechanism determination is challenging with 584 classical approaches. In a second example, we locate sources of the secondary microseism in the 585 Northern Atlantic and Mediterrenean Sea (Fig. 6). Results from our approach match the standard 586 approach's results closely, likely due to source geometry, narrow frequency band, and our reliance on 587 Green's functions computed for an axisymmetric Earth. Our approach retains the advantage that is 588 not biased by author choice of a constant velocity, and potentially provides higher resolution. 589

<sup>590</sup> We clarify conceptual approaches to MFP and its close connection to the interferometry-based <sup>591</sup> localisation. The striking similarity between them suggests that it may be a worthwhile endeavour to <sup>592</sup> unify them in the future, or at least provide a framework to let the different communities benefit from <sup>593</sup> each others' work. MFP in particular would benefit tremendously from an approach for quantifying <sup>594</sup> its resolution. The lack of such a measure is currently its major disadvantage.

Future advances focused on MFP for real Earth structure could be on more precise Green's 595 functions databases, or investigating the performance of beamformers particularly for elastic wave 596 propagation. With current tools, there is the potential for reasonably sized databases that incorporate 597 true 3D Earth structure when limitting source locations to be only at the surface. More precise 598 Green's functions should also incorporate a better description of the microseism source mechanism, 599 different for the primary and secondary microseism. Our approach could improve MFP with few and 600 sparsely distributed stations, because it is less reliant on waveform-coherency across seismic stations 601 in its strict sense. While seismometer density is improving worldwide consistently, regions with sparse 602 deployments and without purposefully built arrays are still the norm. Furthermore, tremor activity 603

<sup>604</sup> such as volcanic tremor is often challenging to locate with classical approaches. Particularly in such <sup>605</sup> regions and study targets, MFP is a powerful strategy for localising the origin of seismic energy.

## **Data and Materials**

We provide all data and code used to generate the figures in this paper to make it entirely reproducible (https://github.com/seismology-hamburg/schippkus\_hadziioannou\_2022). There, we also provide a minimal working MFP example based on synthetic data and the standard approach to make the method more accessible for students and researchers interested in MFP. The MFP computations in this study rely on Python code developed for this work, which we make available under MIT license at https://github.com/seismology-hamburg/matched\_field\_processing.

Seismic data used in this study was provided by network operators of international, national, and 613 regional seismic networks in Europe and America (California Institute of Technology and United 614 States Geological Survey Pasadena, 1926; ZAMG - Zentralanstalt für Meterologie und Geody-615 namik, 1987; Institut fuer Geowissenschaften, Friedrich-Schiller-Universitaet Jena, 2009; University 616 of Leipzig, 2001; Ruhr Universitaet Bochum (RUB Germany), 2007; RESIF, 2018; Instituto Português 617 do Mar e da Atmosfera, I.P., 2006; Istituto Nazionale di Oceanografia e di Geofisica Sperimentale -618 OGS, 2016; Norsar, 1971; KNMI, 1993; MedNet Project Partner Institutions, 1988; Instituto Dom 619 Luiz (IDL) - Faculdade de Ciências da Universidade de Lisboa, 2003; San Fernando Royal Naval 620 Observatory (ROA) et al., 1996; INGV Seismological Data Centre, 1997; Albuquerque Seismological 621 Laboratory (ASL)/USGS, 1988; Scripps Institution of Oceanography, 1986; University of Genoa, 622 1967; Institut De Physique Du Globe De Paris (IPGP) & Ecole Et Observatoire Des Sciences De La 623 Terre De Strasbourg (EOST), 1982; GEOFON Data Centre, 1993; Dublin Institute for Advanced 624 Studies, 1993; Charles University in Prague (Czech) et al., 1973; University of Zagreb, 2001; Swiss 625 Seismological Service (SED) At ETH Zurich, 1983; Department of Earth and Environmental Sci-626 ences, Geophysical Observatory, University of Munchen, 2001; Royal Observatory of Belgium, 1985; 627 Slovenian Environment Agency, 1990; RESIF, 1995; Federal Institute for Geosciences and Natural 628 Resources, 1976) and accessed through ORFEUS, EIDA, and IRIS via obspy (Krischer et al., 2015). 629 Colormaps used in this study are perceptually uniform (Crameri et al., 2020). 630

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# **Figures**



Figure 1: Current approaches to locating sources of the ambient seismic field. Wavefronts are marked blue. a) Polarization Analysis: The polarization of the wave field on individual three-component seismometers gives an indication of direction of propagation. Triangulation allows source localisation. b) Beamforming: Seismograms on multiple stations are shifted in time corresponding to candidate plane-waves, and summed over. c) Interferometry-based strategy: Compare cross-correlation functions computed from seismograms of multiple stations with synthetically computed cross-correlation functions for a given source distribution. Cross-correlation functions are sensitive to the source distribution and are asymmetric (indicated by thickness of wavefront), if sources are distributed heterogeneously. d) Matched Field Processing is generalized Beamforming, sampling candidate source locations. Allows for curved wavefronts.



Figure 2: Synthetic demonstration for two three-station arrays locating an explosion source. The grid point with the highest beampower is the estimated source location (red circle). Left: broadband source. a) Standard approach, with travel times estimated for constant velocity. The retrieved source location is sensitive to the chosen velocity. b) Our approach, with numerical Green's functions as synthetic wave fields. Source is precisely located. Right: narrowband source (0.13-0.15Hz). c) Standard approach. Emergence of sidelobes due to interference. d) Our approach in the same narrow frequency band. Source is precisely located.



Figure 3: Strategies for treating amplitude information. a) No amplitude treatment. b) Correction for geometrical spreading of surface waves. Smaller red circles mark local beampower maxima. c) Time-domain normalisation of numerical Green's function (GF). d) Spectral whitening (frequency-domain normalisation) of numerical Green's function (GF).



Figure 4: Some limitations of MFP, regardless of Green's function formulation. a) Impact of station density. Placing four times as many stations on the right side (indicated by larger triangles) results in visual bias of potential source locations. True source location is still resolved. b) Two narrowband (0.13 to 0.15 Hz) sources active at the same time. Beampower distribution does not represent source locations well. Global beampower maximum is an interference artefact. c) Same as b), but for a broadband source. Smaller red circles mark local beampower maxima. Closer to real source locations, but still not well-resolved.



Figure 5: Location of the 2008-07-29 Chino Hills earthquake from the CI catalog (white star, SCEDC, 2013) and MFP (red circle) at 15.5km depth. MFP results were obtained using stations of the Southern California Seismic Network (black triangles) and frequencies from 0.1 to 0.2 Hz. a) Beampower distribution with simple analytical Green's functions, assuming v = 3.2 km/s. 7.7 km distance to the CI location. b) Beampower distribution using numerical Green's functions for an explosive source mechanism. 18.3 km distance to the CI location. c) Beampower distribution using numerical Green's functions for the moment tensor solution in the CI catalog (SCEDC, 2013). 1.9 km distance to the CI location.



Figure 6: MFP results for the secondary microseism (0.13 to 0.15 Hz) during the first week of February 2019 for three time windows (rows). 342 stations distributed over Europe were used (black triangles). Left: MFP using analytical Green's functions, assuming v = 3.2 km/s. Middle: MFP using numerically computed Green's functions (our approach). Right: Maps of significant wave height hindcasts, provided by WaveWatch III (Ardhuin et al., 2011).