

1 **Basement sliding and the formation of fault systems on Mt Etna volcano**

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7 Basement sliding and the formation of fault systems on Mt Etna volcano

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14 Abstract

15 The influence of faulting on the eruptive mechanisms of Mt Etna has been intensively studied,
16 especially regarding the importance of regional tectonics, magma pressure, gravitational spreading
17 and east flank instability. Here we examine the influence of an additional process: the wholesale
18 sliding of the Etna massif along its sloping basement. Using laboratory analogue experiments, we
19 create a series of model volcanoes on sloping basements, with obstructions to represent the
20 mountains and hills surrounding Etna, and an unconstrained downslope edge to represent the
21 unbuttressed seaward slopes. We find that analogues of all the Etna fault systems can be produced
22 in the same model. Furthermore, we find that the relative velocities of transcurrent faulting and
23 extension of each model flank fault system match those of Mt Etna in every case. We also find
24 convincing evidence that gravitational spreading of the summit cone, combined with downslope
25 sliding, controls the position of future eruptive vents around the summit, by creating faults and
26 fractures that form paths of least resistance for magma intrusions. The intruding magma in turn
27 augments fracture opening by an order of magnitude, in a feedback process that dominates within
28 the summit graben. We conclude that gravitational spreading and sliding are the dominant
29 processes in creating faults at Etna, and that these two processes, augmented by magma pressure,
30 are responsible for the rapid seaward movement of the eastern slopes, tectonically cut off from the
31 stable western flanks. The influence of regional tectonism is up to two orders of magnitude lower.
32 The conceptual model derived here could make an important contribution to the investigation and
33 monitoring of eruptive, seismic and landslide hazards, by providing a unified mechanical system that
34 can be used to understand deformation.

35 KEYWORDS:

36 Volcano Tectonics

37 Gravitational spreading

38 Etna volcano

39 Basement sliding

40 Volcano instability

41 Eruption mechanism

42 Faulting

43 **Figures 1 and 2 in colour**

44

45 1. Introduction

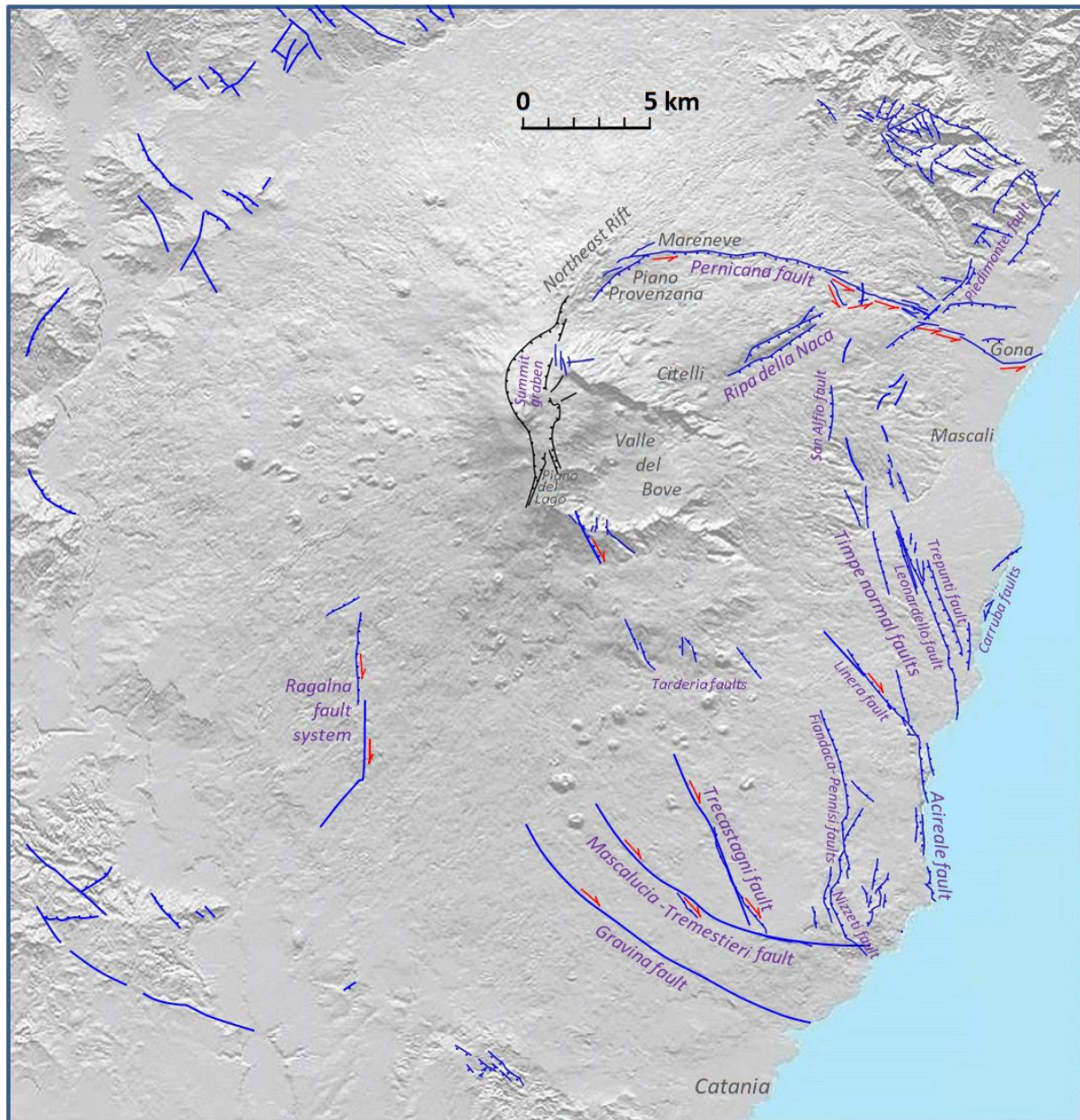
46 The flanks of Mt Etna are dissected by large numbers of active fault systems, particularly on the
47 eastern side of the volcano. These faults are key to understanding the tectonic and eruptive
48 mechanisms of one of the world's most active volcanoes. In the past, the disposition of Etna faults
49 were ascribed to stress regimes associated with the regional tectonic setting of eastern Sicily
50 (Rittmann 1973, Grindley 1973, Lo Giudice et al 1982). More recently, the effect of magma pressure
51 accompanying dyke intrusion over a long period has been recognised (Walker 1992, Tibaldi and
52 Gropelli 2002, Solaro et al 2010, Murray 2019). The advent of gravitational spreading as a major
53 volcanic process (Borgia 1992, 1994) and the recognition of the east flank instability of Etna (Murray
54 et al. 1994, Rasa et al 1996, Rust & Neri 1996, Acocella et al. 2013, Urlaub et al 2018) has led to
55 greater understanding of how these faults are related to gravitational stresses induced as the Etna
56 edifice deforms under its own weight.

57 In this paper, we consider the additional effect of the downslope sliding of the entire massif of Etna
58 (Murray et al. 2018). We create a mechanical analogue model set that incorporates the structural
59 environment of Etna (its edifice, the substrata, and bounding mountains and coastal conditions)
60 and follows the faults naturally generated by consequent combined gravity spreading and sliding.
61 Using this series of laboratory analogue models, we successfully simulate all the major fault systems
62 of Etna. We demonstrate why they are there, and how they interact with each other. This provides a
63 structural system on which to place observations of deformation, and to frame eruption, landsliding
64 and seismic hazards.

65

66 2. The fault systems of Etna

67 There are several major zones of faulting on Etna, illustrated in the map of Fig. 1. Either side of the
68 summit are the north-south bounding faults of the cryptic summit graben. On the flanks, in
69 clockwise order from the north, there are the Pernicana fault system, an arcuate left-lateral strike-
70 slip fault running from the Northeast Rift, curving round towards the east, and then ESE towards the
71 Mediterranean sea. Southeast of the Pernicana fault is the Ripa della Naca, a prominent step fault
72 system running northeast from Citelli, downthrown towards the southeast. Running down the east
73 flank of Etna is a series of normal faults trending about N100° W to N-S, known as the Timpe system.
74 At their south end one of them becomes the Acireale fault, a prominent coastal fault downthrown
75 eastward into the Mediterranean sea. South of Etna are three prominent right-lateral strike-slip
76 fault systems, the Trecastagni fault, the Mascalucia-Tremestieri fault, and the Gravina fault, the most
77 southerly fault on Etna. On a similar alignment are the Tarderìa faults, briefly exposed nearer the
78 summit cone. Finally, much further west are the north-south right-lateral strike-slip Ragalna faults.



79

80 **Fig. 1** Map of the faults of Mt Etna and its immediate surroundings, based on maps in Rust & Neri 1996, Rasa
 81 et al. 1996, Monaco et al. 1997, Froger et al. 2001, Neri et al. 2004, Branca et al. 2011a, and Murray 2019.
 82 Blue lines are the faults, with ticks on the downthrow side, and black lines the bounding faults of the summit
 83 graben. Red arrows indicate the direction of strike-slip movement at transcurrent faults.

84 2.1 Summit Graben

85 The most active Etna faults, at least in terms of their cumulative movement, are those bounding the
 86 cryptic summit graben (Murray 2019). Mean annual rates of graben subsidence 1975-2018 vary
 87 from 88 mm y⁻¹ north of the summit to 61 mm y⁻¹ south of it. Extension across the graben is even
 88 greater, at 229 mm y⁻¹ (north) to 179 mm y⁻¹ (south of summit). Episodes of graben sinking and
 89 widening have been contemporary with flank eruptions, notably 1981, 1983, 1985, 1989, 1991-3,
 90 2002-3 and 2008-9. In each case, sinking and extension accelerates rapidly at the start of the
 91 eruption, and then returns to slower rates over 1 to 3 years. This extension is magma-assisted as
 92 opening dykes force the rocks apart, creating slivers of uplift close to the dyke in a few cases. The

93 greatest and most widespread sinking and extension occurred during the north-south bilateral
94 eruption of 2002-2003, when most measuring stations both north and south of the summit were
95 affected.

96 2.2 The Pernicana Fault

97 This is the most active of the Etna flank faults. It originates at the Northeast Rift, whose eastern side
98 is the site of a listric fault (well seen in the Piano Provenzana) kinematically connected to the
99 Pernicana fault (Gropelli & Tibaldi 1998). Near its proximal end, movements are clearly visible where
100 the fault crosses roads. Serious cracking has been caused during local earthquakes on at least
101 twelve occasions 1980-2020 south of Mareneve (Obrizzo et al. 2001, Bonaccorso et al. 2013) and
102 smaller seismic events occur with monthly frequency (Lo Giudice & Rasa 1992). The southerly dip-
103 slip component of this fault has been documented meticulously by repeated occupations of a precise
104 levelling traverse installed in 1980 (Obrizzo et al. 2001). These show a total of 940 mm subsidence
105 1980-2019 of the southern side of the fault at this location, or a mean annual drop of 24 mm y^{-1}
106 (Murray 2019). The left-lateral strike-slip component is of a similar order, averaging about 26 mm y^{-1}
107 (Neri et al. 2004), though this can briefly accelerate up to 100 mm y^{-1} following eruptions
108 (Bonaccorso et al 2006, Bonforte 2008). The fault can be traced as far as the sea, in the
109 displacement it has caused to walls, buildings and road edges (Tibaldi & Gropelli 2002). The left-
110 lateral movement remains about the same all along the fault, though sticking in some places more
111 than others. Near the sea at Gona it has moved 1370 mm in about 70 years, or 20 mm y^{-1} (Neri et al.
112 2004), and Garduño et al. (1997) find values of $26 \pm 5 \text{ mm y}^{-1}$ Since 1874. It has been continuing at
113 these rates throughout the Holocene: matching up points on a cinder cone near Mareneve dated at
114 13,700 years B.P., Tibaldi & Gropelli (2002) derive a strike-slip displacement of 370 metres, giving a
115 mean value of $27 \pm 7 \text{ mm y}^{-1}$.

116 2.3 The Ripa della Naca and nearby faults of similar orientation

117 Marked by a prominent southeast-facing fault scarp over 100 m high, the Ripa della Naca has been
118 inactive for the past 15,000 years (Tibaldi & Gropelli 2002). Nevertheless, the Ripa della Naca faults
119 played a critical part in the 1928 eruption, creating a preferential pathway for magma that erupted
120 above the town of Mascali, completely destroying it in less than a week (Branca et al. 2017).

121 In a similar orientation, but 5 km east, lies the Piedimonte fault, also downthrown southeastward.
122 This fault is active, with vertical slip rates of 1 to 2 mm y^{-1} throughout the past 500 kyr, (Monaco et al
123 1997, Tibaldi & Gropelli 2002), and it cuts across the Pernicana fault. The Carruba faults also share
124 the same orientation, but are 12 km southeast of the Ripa della Naca, by the sea.

125 2.4 The Timpe fault systems

126 “Timpe” (singular: “timpa”), is a local name for the prominent seaward-facing fault scarps down the
127 lower east flanks of Etna. They are oriented between north-south and northwest-southeast, and
128 whilst most are normal faults downfaulted towards the east, there are also some downthrown west,
129 including the San Alfio fault (Fig 1), the most northerly, which has had vertical slip velocities 1 to 2
130 mm y^{-1} over the past 80,000 years (Monaco et al 1997), and extension a fraction of this. In places
131 the westward and eastward-facing faults form graben and horsts. They include the parallel Trepunti
132 and Leonardello faults which together form a 5 km graben. The most westerly Timpe fault, the

133 Linera fault, is oriented N 40° W, and has a slight right-lateral component of movement. At its
134 southern end it runs into the Acireale fault, which forms a prominent north-south cliff 50-100 m high
135 for 7 km down the coastline. Most of these faults are associated with shallow seismicity, and have
136 had vertical slip rates of between 1 and 2 mm y⁻¹ over the past 1 to 168 kyr (Monaco et al 1997).
137 Like the Pernicana fault, much higher rates are possible over short time periods: Azzaro et al (2020)
138 recorded over 100 mm of vertical displacement at the San Leonardello fault associated with seismic
139 and creep events in 2009 and 2016. The Timpe fault system probably relates to the instability of the
140 submarine margin (e.g. Argani et al 2013, Chiocci et al 2011, Gross et al 2016, Azzarro et al 2020).

141 2.5 The Mascalucia-Tremestieri, Trecastagni & Gravina fault systems

142 There are at least three transcurrent faults, all with right-lateral slip, that make up a southern
143 boundary to the sector of eastward movement of the east flank of Etna. In this way they correspond
144 to the Pernicana fault in the north, though since the strain release is taken up by 3 faults, they are
145 individually less active than the Pernicana fault, with smaller annual rates of displacement. The
146 Mascalucia-Tremestieri fault is at least 12 km long, and has a similar radius of curvature to the
147 Pernicana fault, though curving northwards rather than southward. Gross et al (2016), using High
148 resolution 2D seismic data, demonstrate that a prolongation of this fault extends a further 12 km
149 eastward beyond the shoreline. The Gravina fault is the most southerly of the Etna faults, lying
150 parallel to the Mascalucia-Tremestieri fault but about 3 km south of it.

151 The Fiandaca-Pennisi faults, which form part of the Timpe system in its northern part, intertwine
152 with the Nizzeti fault at its southern end. The Nizzeti fault joins the Mascalucia-Tremestieri fault
153 close to the Mediterranean shore, curving round southeastwards as it does so. The Trecastagni fault
154 is also similar to the Timpe system in orientation, running about N 20° W, but has a right-lateral
155 motion, and runs into the Mascalucia-Tremestieri fault at its southern end. Its northwestern end
156 possibly continues into the western Tarderìa fault, and perhaps even further into the right-lateral
157 strike-slip dry fissure on the outer southern slope of the Valle del Bove that accompanied the start of
158 the 1989 eruption (Ferrucci et al 1993). The central and eastern Tarderìa faults may perhaps
159 connect with other faults in the outer southern Valle del Bove wall, and may extend further south
160 into the area between the Fiandaca-Pennisi Faults and Trecastagni faults, but possible exposures are
161 covered by the 1792-3 and 1634-6 flows in the north, and by flows of medieval age to the south.

162 The creep of this system of southern faults was followed seismically in the 1980s by Lo Giudice &
163 Rasa (1992) and in the 1990s using radar interferometry by Froger et al (2001) and Ranvier (2004),
164 also by Urlaub et al (2018) in 2016-2017. Phases of creep and seismicity lasting up to 16 months
165 were observed. The radar interferograms show clearly that both the Mascalucia-Tremestieri and
166 Gravina Faults extend further northwest than was previously suspected from geological exposures,
167 the former connecting to the southern rift zone of the volcano, strengthening its correspondence to
168 the Pernicana fault in the north. Velocities of right-lateral creep 1993-1997 were of the order of 15
169 mm y⁻¹ for the Gravina fault, 10 mm y⁻¹ for the Mascalucia-Tremestieri fault, and 5 mm y⁻¹ for the
170 Trecastagni fault (read from Ranvier 2004 interferogram map). Similar values were found by Solaro
171 et al. (2010), and Bonforte et al (2011), analysing a range of interferograms 1995-2000, derived
172 annual right-lateral displacements of 5 mm y⁻¹ for the Gravina fault, and 15 mm y⁻¹ for the
173 Mascalucia-Tremestieri fault, at a time when the Pernicana fault showed stronger left lateral
174 displacement of 25 mm y⁻¹. As with other faults, much higher rates are possible during single events:

175 in May 2017, 40 mm right-lateral slip in 8 days was measured across the seaward extension of the
176 Mascalucia-Tremestieri fault (Urlaub et al. 2018).

177 2.6 Ragalna faults

178 These are the furthest southeast of any of the active Etna fault systems. The main faults are
179 downthrown on the east side, with long term dip-slip movement of up to 1.4 mm y^{-1} , and are the site
180 of periodic earthquakes and aftershocks, as in 1977-78 (Cristofolini et al 1981), and 1991 (Ferruci &
181 Patane 1993). Both these events gave fault-plane solutions revealing right-lateral displacement.

182 Rust & Neri (1996) and Neri et al (2007) argue that the Ragalna faults mark the western boundary of
183 the unstable eastern sector of the volcano, corresponding to the Pernicana fault system in the
184 northeast. If this is the case, it places the western boundary 12 km further west than the
185 Mascalucia-Tremestieri/Gravina fault systems favoured by previous workers.

186

187 3. Laboratory analogue modelling

188 We have created analogue models of the fault systems described above in the laboratory, following
189 the same methodology as the experiments originally carried out by Merle & Borgia (1996) which
190 have now become standard practice in studies of this kind (Merle & L  nat 2003, Wooller et al. 2004).
191 In most previous studies, the approach has been to make models of general cases. The approach
192 differs here, in that we aim to model a specific case, by scaling the main material properties and
193 topographic features of Etna, and then observing the resulting structures produced and their
194 evolution. Our model setup, designed to achieve this specific case is shown in Figs 2 and 3.

195 3.1 Model configurations

196 The sub-volcanic basement on which Etna lies is represented by a layer of silicon putty, a ductile
197 material that slowly flows (Merle & Borgia 1996) until its surface becomes level, which happens after
198 about 12 hours under ambient conditions. The putty is placed on a flat board on a bench, and left to
199 find its own level. To stop the putty flowing off the board, in most experiments a rampart of sand is
200 placed all around the edges, which in some places will later also represent the mountains around
201 Etna. The model is then left overnight, by which time the putty has formed a lake surrounded by
202 sand ramparts. Next, a layer of sand is placed over the putty layer. Its surface is levelled by dragging
203 a long straight edge across the top of the sand layer, the straight edge being supported by horizontal
204 guides either side of the model to keep it level. This layer of sand, which behaves as a brittle
205 material, represents the extensive apron of lavas that surround the summit cone of Etna below
206 about 2000 m.

207 The summit of Etna is represented by a sand cone, placed off-centre to the north as on Etna, and the
208 entire model is sprinkled with plaster powder, to make surface fractures visible, and dusted with
209 pepper to provide identifiable reference points for measurement. The model is then tilted slightly to
210 the right, to represent the sloping basement of Etna, and the sand at the bottom of the slope
211 removed, to represent the unbuttressed Mediterranean side of Etna. Some surrounding sand is also
212 removed from the top right edge, corresponding to where the Peloritan Mountains end, and in some

213 experiments along the bottom right edge, to represent the end of the low range of hills south of
214 Etna.

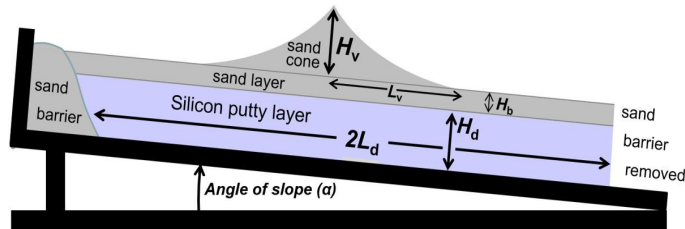
215 The model starts to visibly deform and change shape after a few minutes, and surface cracks appear
216 after 15 to 20 minutes. These develop into faults and graben which become more pronounced and
217 numerous as the experiment progresses. The experiment is usually stopped after an hour or so,
218 though occasionally it is left to run for two or three hours.

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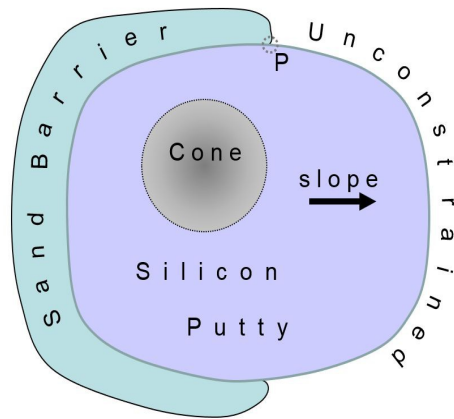
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230 **Fig.2. Top:** Cross section of the experimental setup for the analogue modelling. **Bottom:** plan view.

231 Dimensions and material properties are matched to those of Etna. See text and Tables I and II for details.

232

233

234 **Table I** Values for the geometric variables and material properties (defined below in Section 3.2) in
235 nature and the analogue laboratory experiments.

236	Variable	Units	Etna	Laboratory
237	H_v	m	1100 - 1600	0.027 - 0.060
238	L_v	m	3200 - 6000	0.046 - 0.089
239	H_b	m	200 - 900	0.01 - 0.02
240	H_d	m	>89	0.009 - 0.025
241	L_d	m	>32,000	0.11 - 0.27
242	α	degrees	0° - 4°	0° - 2°.7
243	ρ_v	kg m ⁻³	2500	1400
244	ρ_b	kg m ⁻³	2500	1400
245	ρ_d	kg m ⁻³	1900	1000
246	Φ	degrees	35°	35°
247	μ_d	Pa s	10 ¹⁹	2 × 10 ⁵
248	g	m s ⁻²	9.81	9.81
249	T	s	10 ¹²	2.1 × 10 ³ - 11.76 × 10 ³

250 3.2 Scaling Analysis

251 The parameters used in the scaling analysis are illustrated in fig. 2. The relative dimensions and
252 position of the cone, thickness of the sand and silicon putty layers etc. are chosen to match those of
253 Etna, and varied in the different models where a range of values exist, or if values are only known
254 within given limits. Table I gives a list of geometric variables and material properties for the principal
255 parameters of Etna, as far as these are known, and the model. Geometric variables include the sand
256 cone height (H_v) and radius (L_v), the thickness of the sand layer (H_b) and silicon putty layer (H_d), the
257 radius of the silicon putty layer (L_d) and the angle of substrate dip (α). Material properties include
258 the densities of the sand cone (ρ_v), the sand layer (ρ_b) and the silicon putty layer (ρ_d), the angle of
259 internal friction of the sand (Φ), and the viscosity of the silicon putty (μ_d). The force of gravity (g) is
260 included, and the time span of deformation (T). The scaling method of Merle and Borgia (1996) is
261 used, but with an extra term for substratum slope introduced by Wooller et al. (2004). The
262 laboratory variables scale up to those on Mt. Etna within the uncertainty of the latter values. Etna
263 values for H_b and H_d are derived from Branca and Ferrara (2013); other properties from Wooller et al.
264 (2004) and Merle and Borgia (1996).

265 3.3 Laboratory Experiments

266 Altogether, 14 laboratory experiments were carried out, each one using slightly different values for
267 the thickness of the layers of sand and silicon putty, the summit cone height and shape, the slope
268 angle of the basement, the angle of the spreading sector, and the position of the summit cone. The
269 top part of Table II shows details of each experiment.

270 The sand layer and the Silicon putty layer are varied in thickness, because the apron of lavas and the
271 layer of ductile sediments beneath Etna also vary in thickness (Branca & Ferrara 2013). Different
272 values for the summit cone height and base width reflect the varying height of Etna's summit over
273 the past 300 years, and the north-south elongation of Etna's summit cone. The slope of the
274 basement also varies from around 0° beneath the northwest flank to 4° in parts of the eastern
275 flanks. The time for Etna to deform is fixed at 10^{12} seconds, or just over 30,000 years. This is a time
276 period long enough for all major structures to form at the observed natural displacement rates, and
277 is a time period over which Etna has largely maintained its present position and shape (Branca et al
278 2011b, De Beni et al. 2011).

279 The sand cone was circular in most experiments, as this was easiest to standardise by pouring sand
280 through a funnel, but in 3 experiments the cone was roughly elongated (e.g. Fig. 3). The placement
281 of the cone on the sand layer was critical to the formation of some of the fracture fields, and is listed
282 in table II as N-S eccentricity and E-W eccentricity. A range of values was tried in each case,
283 including dead central for experiment 1.

284

285 **Table II (Top)** Values for the geometric variables in each of the 14 analogue laboratory experiments. **(Bottom)**
 286 Results of each of the 14 experiments. A tick (✓) indicates that a feature resembling the fault is present in the
 287 model, a query (?) means that a similar feature is present but slightly different from the fault on Etna.
 288 Numbers of fault systems definitely present in each model are given at the bottom, and as a percentage of all
 289 the Etna fault systems named in Fig. 1. Numbers of experiments which contained each of the Etna fault
 290 systems are given in the columns to the right, with percentages of the total number of experiments also.

Experiment No:	1	2	3	4	5	6	7	8	9	10	11	12	13	14	MEANS
Duration (minutes)	79	57	133	81	133	121	196	111	79	75	51	60	65	35	91 minutes
Silicon putty thickness mm	14	21.5	25	14	21	21	14	11	9	9	14	12	16	21	16 mm
Sand apron thickness mm	12	20	12	12	15	20	10	10	15	11 - 5	20 - 8	20 - 8	20 - 0	20	14 mm
Cone height mm	27	45	27	27	34	45	60	45	45	45	45	45	45	45	41 mm
Cone base width mm	97	95	106	91	92	161	170	150	159	162	178	150	140	154	136 mm
Flared cone?	no	yes	no	yes	no	yes	yes	yes	yes	yes	yes	yes	yes	yes	
Elongate cone	no	no	no	no	no	no	no	no	no	yes	yes	no	no	yes	
Basement slope	2°	(4°)	1°	2°	2°	2°	2°	2°	2°	2°	2°	2°	2°	2°	1.9
N-S eccentricity { central = 0	0	39	33	23	13	39	25	30	22	23	47	24	42	24	27
E-W eccentricity { edge = 100	0	22	18	0	16	47	33	35	30	33	54	60	39	4	28
Basement N-S mm	270	613	350	280	300	260	480	370	460	470	340	380	380	280	374 mm
Basement E-W mm	270	380	380	280	380	300	480	400	540	540	390	450	330	220	381 mm
Notes		slope in one step	south edge free				base is 7mm E - W valley	slope steepens to east		sand layer thins to E	sand layer thins to E	sand layer thins to SE	sand layer thins to SE	"V.d.B." scooped out after 30m	
ETNA FAULTS REPRESENTED IN THE ABOVE MODELS:															
															TOTALS
Summit graben width:	0.31	0.30		0.38	0.38	0.28	0.30	0.24	0.28	0.20	0.28	0.38	0.30	0.22	%
											(2 graben)				0.30
Summit graben	✓	✓	?	?	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	12 86%
Pernicana fault	✓	?	?	?	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	11 79%
Piedimonte fault			?		?	✓			✓		✓	✓	✓	✓	5 36%
Ripa della Naca	?	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	12 86%
Trepunti fault		✓	✓		✓	✓	✓	✓				?	✓	✓	7 50%
Leonardello fault		✓	✓		✓	✓	✓	✓				?	✓	✓	7 50%
Acireale fault	?	?			✓	✓	✓	✓		?	?	✓			4 29%
Nizzeti faults			?	✓	?	✓	✓					?			3 21%
Trecastagni fault			✓		✓	✓	✓	✓	✓		✓		✓		8 57%
Mascalucia-Tremestieri fault	✓		?		✓	✓	✓	✓	✓		✓				7 50%
Tardereria fault	✓	✓	✓	✓	?	✓	✓	✓	✓	✓	✓	✓	✓	✓	13 93%
Ragalna fault system	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	11 79%
Carruba faults	✓		✓			✓	✓	?			✓	✓		✓	6 43%
San Alfio fault	✓	✓	✓	✓	✓	✓	✓	✓				✓	?		9 64%
Linera fault			✓			✓	✓	✓				✓	✓		6 43%
Gravina fault	✓					✓	✓				✓				4 29%
TOTALS (certain):	8	7	9	4	9	13	15	13	9	4	10	5	10	10	56%
% Etna faults in model:	50%	44%	56%	25%	56%	81%	94%	81%	56%	25%	63%	31%	63%	63%	56%

291

292

293 **4. Results**

294 Table II (bottom) shows the results of each experiment. Ticks indicate the fault system is present in
 295 the experiment. A question mark is given if the faults had a noticeably different orientation, or were
 296 weak or more pronounced or more extensive than on Etna. All the faults named in Fig. 1 appeared
 297 in at least 4 of the 14 simulations, and faults corresponding to the summit graben, Pernicana fault,
 298 Ripa della Naca, Tardereria faults and the Ragalna fault were present in 11 or more of the 14
 299 simulations. The width of the summit graben in each model is given at the top, as a fraction of the
 300 summit cone width. Fig. 3 illustrates experiment 11, both at the start, and after running for 50
 301 minutes, when many analogues of the above faults are visible. It should be noted that the faults
 302 ticked in Table II were not necessarily visible at every stage of the experiment: some changed
 303 character or were obscured by later fault development. Fig. 5 (middle row) shows experiment 7

304 after 43 minutes, and also after 237 minutes. New faults have appeared, straight faults have
305 become curved, and fault movement has increased at some faults, and decreased at others.



306
307 **Fig. 3.** Experiment No. 11 (left) at the start, and (right) after running for 50 minutes. Slope of the base is 2° to
308 the right. In this experiment, an attempt has been made to represent the north-south elongation of the
309 summit cone of Etna, with the Northeast Rift. The dashed line down the right side of the left image shows
310 where the sand barrier has just been removed, creating an unconstrained lower edge. After 50 minutes, the
311 underlying ductile silicon putty has spread towards the unconstrained sector (arrows), carrying the right side of
312 the sand cone and apron with it, creating a north-south summit graben and fault patterns similar to those of
313 Etna.

314 4.1 Model to Etna fault correspondence

315 We now examine each of the fault systems appearing in the models, and how these correspond to
316 what actually happens on Etna. For convenience, the direction of downwards slope toward the right
317 edge of each model is referred to as east, and the other edges accordingly, even though the
318 direction of basement slope on Etna is closer to east-southeast.

319 4.1.1 Summit graben

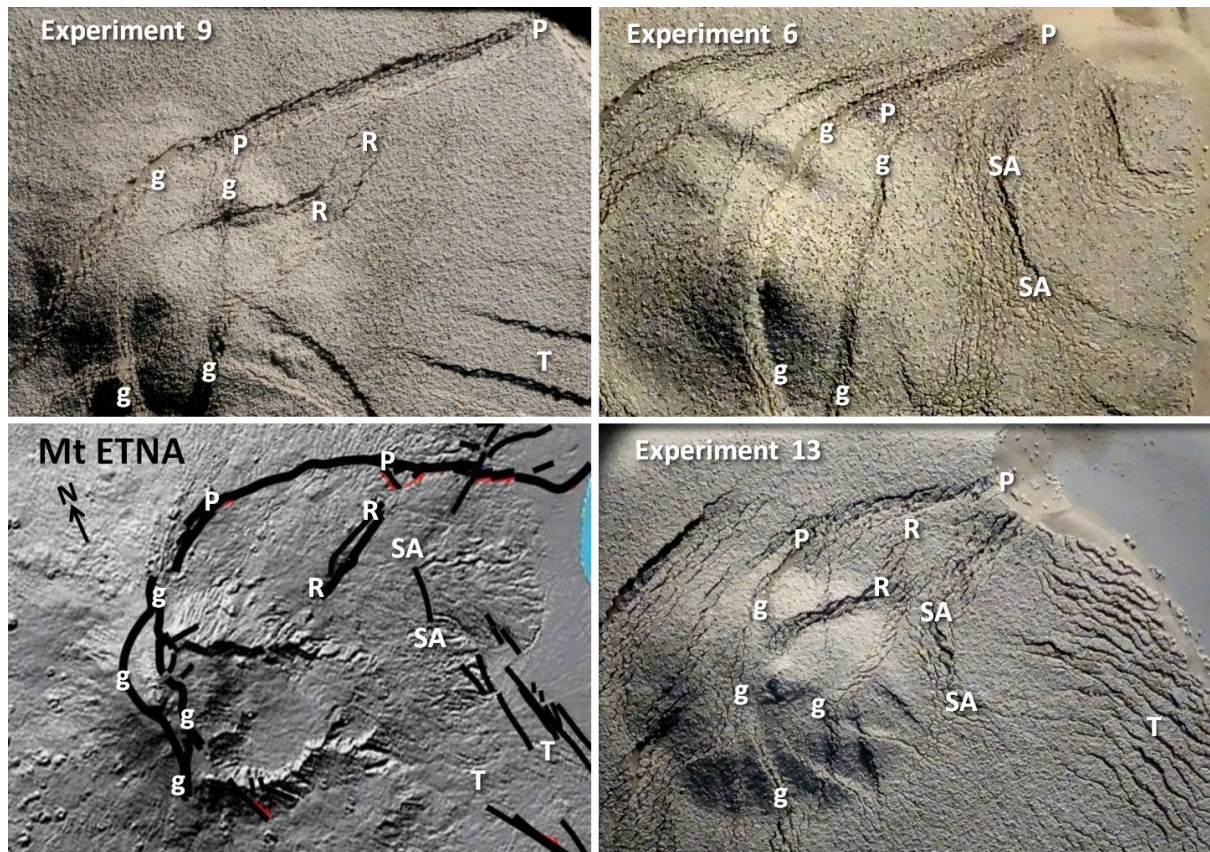
320 Figs 3 and 4 show well-defined north-south summit graben in every experiment. This is usually the
321 first feature to develop, and becomes wider and more pronounced as the experiment progresses.
322 These graben are the result of two processes operating in every model. The stresses within a
323 gravitationally spreading sand cone inevitably result in the formation of leaf graben (Merle & Borgia
324 1996). At the same time, downslope sliding increases tensional stress in the east-west direction,
325 thus augmenting the formation of leaf graben oriented north-south, but suppressing those oriented
326 east-west. There is an interplay between these two processes, so if gravitational spreading
327 dominates then leaf graben can form in other directions than north-south. In experiments 12 and 13
328 (Figs. 4 bottom right and 5 top left), an attempt was made to represent the thinning of the lava
329 apron towards the sea by thinning the sand apron from 20mm beneath the sand cone to 8 mm and 0
330 mm respectively at the eastern unconstrained edge. This differential load on the silicon putty

331 beneath the cone has meant that spreading of the cone is relatively greater in these models,
332 producing leaf graben oriented 10° to 30° from the north-south graben (model 12), and at around
333 50° , 120° and 150° in model 13. Some of the bounding faults of these graben are at similar positions
334 and orientations to fractures formed during the 1983, 1985, 1989, 1991–3 and 2001 flank eruptions.

335 4.1.2 Pernicana Fault system

336 A fault starting at the northern end of the summit graben is present in most models, usually
337 departing northeastwards and curving round to the east. This fault marks the boundary between
338 restraining stresses caused by the topography to the north and the unrestrained eastern sector.
339 Gravitational spreading of the sand cone northwards is obstructed by the presence of the sand
340 ramparts representing the Peloritani mountains. This obstruction creates a relatively stable area to
341 the left of point P in Fig. 2 (bottom). However, to the right of point P there is no such obstruction, so
342 the cone can continue to spread freely in this direction. The dichotomy between these conflicting
343 stresses is resolved in the formation of a left-lateral strike-slip fault, corresponding to the Pernicana
344 fault.

345 Fig. 4 shows the regions north of the sand cone in three of the experiments listed in Table II.
346 Analogues of the Pernicana fault, marked P at each end, are visible in all of them. In each case at the
347 lower left end they merge into the summit graben bounding faults (marked g) and terminate distally
348 at the top edge of the model at the point where the sand rampart obstructing the putty has been
349 removed. Experiment 9 has a single well-defined straight fault, whereas experiment 13 has a curving
350 broad area of en échelon fissuring indicating left-lateral movement, and a parallel fault system at the
351 northwest foot of the sand cone. Experiments 6 and 13 have additional parallel faults, some of them
352 close to the eruptive fissure positions of 1947 and 2002.



353
 354 **Fig. 4.** Regions to the northeast of the sand cone in three experiments, with lighting from the east or
 355 northeast, together with a map of faults in the equivalent region of Mt Etna (lower left), rotated so that the
 356 downslope direction matches that of the models. The Pernicana fault is marked P P, the Ripa della Naca R R,
 357 the San Alfio fault SA SA, Timpe faults T and the summit graben bounding faults g g, and analogues of these
 358 faults in the various experiments are similarly labelled. See text for details.

359 4.1.3 Ripa della Naca and San Alfio faults

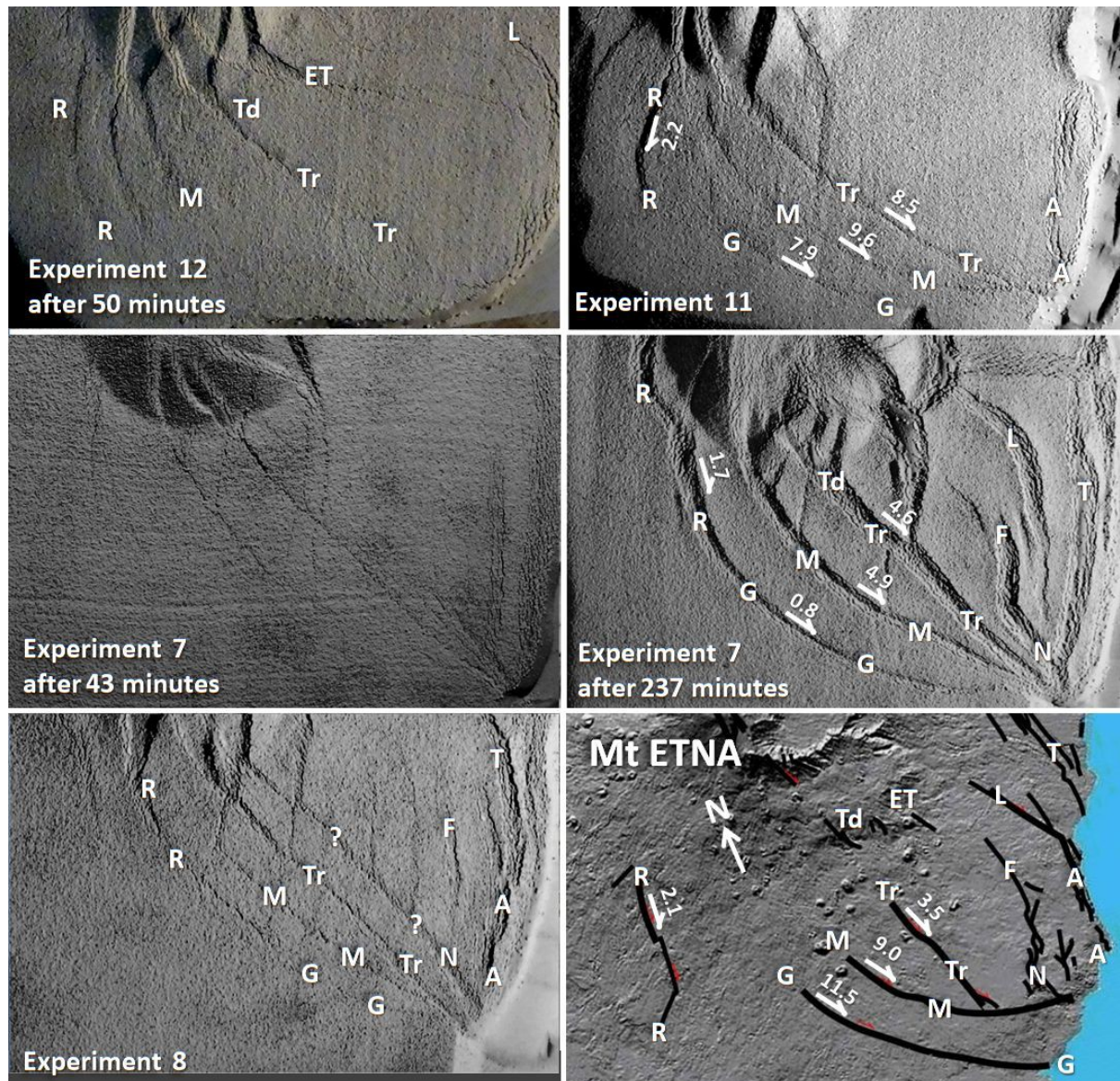
360 Faults resembling the Ripa della Naca are seen in models 9 and 13 (Fig. 4), though in both cases they
 361 are small compared to the prominent fault scarp on Etna. They appear to have formed in response
 362 to the accumulating tensional stress as the silicon putty spreads downslope. West dipping faults
 363 aligned north-south appear further east in experiments 6 and 13 marked SA in Fig. 4. They appear to
 364 be transpressional, at least in experiment 6, and are close to the San Alfio fault in position,
 365 orientation and dip direction. They lie at the downslope foot of the sand cone, where slope-assisted
 366 gravitational spreading comes against the slower-moving sand apron.

367 4.1.4 Timpe faults

368 In most experiments, as soon as the sand barrier is removed from the downslope edge of the model
 369 and the silicon putty starts to flow and spread out down this unconstrained slope, northwest-
 370 southeast tensional cracks start to appear at the east edge in similar orientation and position to
 371 Timpe faults such as Trepunti, Leonardello and Linera, although these model faults are much more
 372 numerous in most experiments than on Etna. They are marked T in Fig. 4, experiments 9 and 13.
 373 The orientation of the model Timpe faults further west seem to be particularly sensitive to small
 374 relative changes in tensional stresses caused by downslope flow of the silicon putty, and those
 375 caused by spreading following the removal of sand from the northeast and southeast edges. For

376 example, in some models where longer sections of the north and south sand barriers are removed,
 377 almost east-west cracks and graben develop (marked T in experiment 9, Fig. 4)..

378 North-south faults corresponding to the Acireale fault have developed at the lower right edge of
 379 experiments in Fig. 5, where they are marked A. As on Etna, these model faults show a primarily
 380 extensional motion, without a strike-slip component in most cases.



381

382 **Fig. 5.** Fault formation in the southeastern sector of four of the experiments listed in Table II, plus a map of faults on Mt
 383 Etna in the equivalent location (bottom right), rotated so that the downslope direction matches that of the experiments.
 384 Basement slope of the models is 2° to the right (east), and lighting from the east or south. Prominent strike-slip faults are
 385 marked with their slip direction and annual rate of slip in mm y⁻¹, having been scaled as described in the text. Field
 386 measurements of strike-slip rates at the equivalent Etna faults are shown in the map bottom right. Experiment 7 is shown
 387 at two stages 194 minutes apart, and many changes in fault configurations are visible. Faults south of the sand cone have
 388 become more curved, and right-lateral strike-slip movement is evident in many places. New tensional faults have also
 389 appeared southeast of the sand cone. As in Fig. 4, the faults are labelled with their Etna equivalents, A A referring to the
 390 Acireale fault, ET to the eastern Tarderìa fault, G G to the Gravina fault, L to the Linera fault, M M the Mascalucia-
 391 Tremestieri fault, N the Nizzeti fault, R R the Ragalna fault, F the Fiandaca-Pennisi Faults, T to the Timpe faults, Td to the
 392 two western Tarderìa faults, and Tr to the Trecastagni fault. See text for further details.

393

394 4.1.5 Mascalucia-Tremestieri, Trecastagni & Gravina fault systems

395 Curved faults of similar orientation are found in the same position as the Mascalucia-Tremestieri
396 fault in about half of the experiments in Table II; the appearance of these model faults can be seen,
397 marked M, in the simulations of Fig. 5. In each case they extend much further towards the cone
398 than the surface exposures on Etna, joining the bounding faults of the summit graben. This
399 corresponds to the similar northward extension of the Mascalucia-Tremestieri fault as far as the
400 southern rift visible in radar interferograms (Froger et al. 2001, Ranvier 2004, Bonforte et al. 2011).
401 A feature marked Tr corresponding to the straighter Trecastagni fault is also visible to the north, that
402 joins the Mascalucia-Tremestieri fault analogue close to the bottom right corner of the models, this
403 junction being rather further east than the real Trecastagni fault. In most models a fainter and more
404 discontinuous curved fault G southeast of the Mascalucia-Tremestieri fault analogue and parallel to
405 it matches the position of the Gravina fault. Although experiments 7 and 11 (top and middle right,
406 fig. 5) reproduce the three systems reasonably correctly, experiment 8 (Fig. 5 lower left) has an
407 additional fault, marked ? that has not been observed on Etna, though one section of it (marked N)
408 where it is joined to the north by a north-south fault F does resemble the Nizzetti fault in position
409 and orientation, where it is joined by the southward extension of the Fiandaca-Pennisi Faults.

410 Like their counterparts on Etna, all three of these faults show distinct right lateral motion during the
411 lifetime of each experiment. This is particularly well visible in the end state of experiment 7 (middle
412 right Fig. 5), where the less pronounced north-south faults crossing each of them have been clearly
413 displaced right-laterally. Again, their functioning seems to be identical to the corresponding trio of
414 faults on Etna: similar to the Pernicana fault in the north, they mark the boundary between the
415 relatively stationary western sector of the flanks where downslope and spreading motion are of
416 similar magnitude and cancel each other out, and the rapid surface motion on the eastern side,
417 where spreading and downslope sliding motion are summed.

418 In all of the models, these faults develop initially from fractures on the south slopes of the sand
419 cones, which appear quickly soon after the start. These fractures then spend a much longer time
420 gradually propagating southeastwards in the later stages of the experiment. In the picture of
421 Experiment 12 after 50 minutes (Fig. 5 lower left), an analogue of the Trecastagni fault is starting to
422 develop, but a Mascalucia-Tremestieri analogue has a long way still to go, and there is no clear sign
423 of an analogue of the Gravina fault. On Etna, all faults on the summit cone or close to it have a
424 higher chance of being buried under recent lavas or ash falls, so may not be visible unless very
425 recent.

426 4.1.6 Ragalna faults

427 Faulting of similar appearance and position to the Ragalna fault system is present in all but two of
428 the experiments. In Fig. 5 these have been marked R. In the final phase of one of these simulations,
429 experiment 7, this fault system has joined the Gravina fault to form a single curved fault marking the
430 southwestern boundary of tectonic activity in the model. This is in line with the conclusions of Rust
431 & Neri (1996), though it should be noted that this does not happen in experiments 7 or 11.

432 4.2 Relative velocities of fault displacement in models and on Etna

433 Measurements of strike-slip displacement and extension were made across model faults that were
434 well matched in shape and position to those of Etna. Two experiments, 7 and 11, gave good
435 analogues of most of the major faulting systems so that comparative velocities throughout the
436 model could be derived for each fault system.

437 The left-lateral displacement of analogues of the Pernicana fault was clearly visible in most
438 experiments, and rates of slip ranged from 9 to 33 mm h⁻¹, with an average of 19.8 mm h⁻¹. These
439 millimetres per hour values were converted to millimetres per year displacement velocities
440 measured in the field on Etna (see above, sections 2.2 to 2.6), which are best represented by the
441 value of 27 mm y⁻¹ measured throughout the Holocene (Tibaldi & Gropelli 2002). Using the simple
442 relation v_f / v_m , where v_f is the observed field strike-slip velocity of the Pernicana fault and v_m is the
443 strike-slip velocity of its model counterpart gives a factor of x11950 model to field, which is used to
444 scale the measurements of the other model fault systems in Table III and the rest of this section.

445 The images of the three parallel model faults similar to the Trecastagni, the Mascalucia-Tremestieri
446 and the Gravina fault were also measured (Fig. 5). Model faults simulating the Trecastagni fault gave
447 scaled right-lateral strike-slip velocities of 4.6 to 8.5 mm y⁻¹, which compare with 2 to 5 mm y⁻¹ for
448 the Trecastagni fault itself. In both model and field measurements, these values are lower than
449 those of the Pernicana fault displacement velocity. Scaled displacement values for the model
450 Mascalucia-Tremestieri fault are slightly larger at 4.9 to 9.6 mm y⁻¹, as are field values of 8 to 15 mm
451 y⁻¹. Analogues of the Gravina fault, the most southerly of the three, showed different scaled strike-
452 slip velocities between models, varying from no detectable slip to 7.9 mm y⁻¹. Field displacement
453 measurements of the Gravina fault itself also varied, from 5 to 15 mm y⁻¹.

454 Model analogues of the main north-south faults of the Ragalna fault system provided measurements
455 of both extension across the fault and right-lateral strike-slip where this was detectable. Scaled
456 extension varied between 1 and 2.6 mm y⁻¹, and strike-slip from 0 to 1.7 mm y⁻¹. Field
457 measurements of extension were between 3.5 and 4 mm y⁻¹, and strike-slip 4 to 5 mm y⁻¹.

458 The sum of the mean velocities of the three southern right-lateral transcurrent faults in the models
459 totals 21.7 mm y⁻¹; or 23.8 mm y⁻¹ if the western (corresponding to Ragalna) fault is included. This
460 compares to field measurements totalling 24 mm y⁻¹, or 28.5 mm y⁻¹ including the Ragalna faults. It
461 is interesting that both model and field values summed are close to those of the Pernicana fault and
462 its equivalent in the north, emphasising the point made earlier that the three or four southern faults
463 fulfil the same function as the Pernicana fault in the north, with the strain release being distributed
464 between three or four faults instead of one.

465 The extension across the north-south summit graben, visible across the sand cone of every
466 experiment, was difficult to measure in many cases because the cone became broken up by the
467 faulting, making reference points impossible to locate in successive images. Two models, 7 and 11,
468 could be used, which gave scaled extension rates of 27 and 28 mm y⁻¹, of similar order to the
469 Pernicana fault analogues. By contrast, the summit graben of Etna shows long term extension 1980-
470 2018 of 179 to 229 mm y⁻¹. This is an order of magnitude greater than the Pernicana fault, so the
471 model summit graben have much smaller relative extension rates than on Etna. The measurements
472 of each of the model faults described above are given in Table III below, and compared to
473 measurements from their counterparts on Etna, with references to field measurements in each case.

474 **Table III** Measured displacement rates for model faults and their counterparts on Mt Etna. Model
 475 values in mm y^{-1} are scaled up to Etna values in mm y^{-1} by using the Holocene values for the
 476 Pernicana fault strike slip rate as a standard, giving a conversion factor of x11950 model to Etna.

Model Fault	Type of Displacement	Exp 7 last	Exp 11 last	Exp 7 first	Exp 9 last	Exp 11 last	Exp 13 last	Mean Raw	Mean model values scaled	Etna field	period of time	References
		191 m	19 m	46 m		44 m		mm h^{-1}	mm y^{-1}	mm y^{-1}		
Summit graben opening north	extension	5.9		17.4		22.7		20.0	27.2	229	1980-2018	Murray 2019
Summit graben opening south	extension			16.9		24.5		20.7	28.2	179	1980-2018	
Mean Summit Graben	extension							20.4	27.7	204	± 25	
Pernicana: Monte Pizzillo	left-lateral						18.8	18.8	25.6	26 ± 5	1874-1996	Garduno et al. 1997
Pernicana: Piano Provenzana	left-lateral									27 ± 7	13700 y	Tibaldi & Gropelli 2002
Pernicana: Mareneve	left-lateral	9.1	27.9	21.6	15.5	17.3		18.3	24.9	19	pre 2002	Neri et al 2004
Pernicana: Presa	left-lateral		33.2	17.6	15.0	21.4	19.8	21.4	29.1	8 to 18	pre 2002	Neri et al 2004
Mean Pernicana	Left-lateral							19.8	27.0	27.0	± 9	
Trecastagni: at Trecastagni	Right lateral	5.0	13.5	1.3		6.2		6.5	8.8	2 to 5	1993-1997	Froger et al 2001, Ranvier 2004
Trecastagni: at Catania plain	Right lateral	3.3	10.6	5.4		6.0		6.3	8.6			
Mean Trecastagni	Right-lateral							6.4	8.7	3.5	± 2	
Mascalucia-Tremestieri: at Tremestieri	Right lateral	4.0	4.7	3.4		4.3		4.1	5.6	8 to 10	1993-1997	Froger et al 2001, Ranvier 2004, Bonforte et al 2011
Mascalucia-Tremestieri: at Catania plain	Right lateral	3.2	6.8	3.6		9.4		5.7	7.8	15	1995-2000	Bonforte et al 2011
Mean Mascalucia-Tremestieri	Right-lateral							4.9	6.7	9	± 4	
Gravina: near Gravina	Right lateral	3.1	10.9	0.0		5.4		4.9	6.6	8 to 15	1993-2000	Froger et al 2001, Ranvier 2004, Bonforte et al 2011
Gravina: at Catania plain	Right lateral	2.9	7.9	1.1		5.8		4.4	6.0	5	1995-2000	Bonforte et al 2011
Mean Gravina	Right-lateral							4.6	6.3	11.5	± 6	
Ragalna: north	extension		2.9					2.9	4.0		1999-2005	Neri et al 2007
Ragalna: south	extension	2.6	2.1	0.8		1.9		1.8	2.5	3.5 to 4	1999-2005	Neri et al 2007
Ragalna: south	Right-lateral	1.7		1.3		1.6		1.5	2.1	4 to 5	1999-2005	Neri et al 2007
Mean Ragalna	Right-lateral							1.5	2.1	4.5	± 0.5	
Mean Ragalna	extension							2.0	2.8	3.8	± 0.3	

477

478 In this table, none of the field measurements except the Pernicana fault and the summit graben
 479 cover a period of more than six years of cumulative movement, so may not be representative of
 480 annual rates measured over long periods of time. Despite this caveat, in general there is a similar
 481 picture of distribution of velocities in the various sectors of the volcano with one exception: the
 482 summit graben. The comparatively much higher rates of extension across the summit graben of
 483 Etna are doubtless due to the additional force of magma pressure from the persistent injection of
 484 dykes, mainly oriented north-south, as discussed below in section 5.2. All the clear-cut extension
 485 events across the graben occurred during the injection of new dykes at the start of fissure eruptions,
 486 when sudden increases of up to 4.42 metres occurred during a single eruption (Murray 2019). The
 487 magma pressure from dyke injection created narrow areas of surface uplift alongside the dykes,
 488 though in most cases these disappeared in subsequent subsidence & events.

489

5. Discussion

490

5.1 Limitations of our approach

491 There are many limitations to the application of our experimental approach to the real situation on
492 Etna. The volcanic edifice is built on basement topography that is not a sloping plane, but a pre-
493 existing landscape with hills and valleys (Ogniben 1966, Branca & Ferrara 2013). Also, the basement
494 is made up of different rock units, each with its own different properties, rather than a layer of
495 uniform thickness and material behaviour. The lava apron surrounding the Etna summit cone is also
496 not of uniform thickness, but thins steadily away to nothing in distal regions, except to the east
497 where it fills a prominent sub-Etnean valley (Branca & Ferrara 2013). Neither is the summit cone of
498 Etna conical, but elongated north-south due to the Northeast Rift and the Piano del Lago, and with
499 the 5 km wide and 1 km deep valley of the Valle del Bove on its eastern side. Another major
500 difference is that our models contain no equivalent of magma pressure, which clearly increases
501 gravitational spreading at the summit in the long term (Murray 2019). There is also the fact that the
502 continuing activity and output of the volcano and consequent rebuilding of the summit cone during
503 the structural evolution of its flanks is not represented in the model. Despite these limitations, the
504 models do provide the general structural and lithological context of Etna, and thus a generalised
505 understanding of the fault systems produced by the specific configuration. They provide the
506 mechanics of the general framework, but not the intricate details, and below we describe various
507 modifications that take into account some details, and produce better fits.

508 5.1.1 Basement topography representation

509 Attempts were made to mitigate the effects of some of these drawbacks in some of the models.
510 Firstly, the fact that the basement beneath Etna steepens from west to east (Branca & Ferrara 2013)
511 is represented in experiment 2 by a step on the east side of the sand cone. The silicon putty was
512 allowed to flow off the eastern edge of the board representing the basement onto the bench below.
513 This rather crude attempt to create slope change in a single step was not particularly successful, with
514 less than half the Etna faults represented. In experiment 8, the basement board was curved so that
515 the slope gradually increased eastward from about 1° to 3° , which is closer to the real situation on
516 Etna. This produced a much better fit, with analogues of 80% of Etna faults appearing.

517 In experiment 7, the downslope valleys in sub-Etna topography were represented by slightly curving
518 the basement board to make an east-west valley 7 mm deep. This experiment was also one of the
519 most successful, with 94% of Etna fault systems represented in the model (Fig. 5). Four experiments
520 were adapted to better correspond to the thinning of the lava apron away from the summit cone.
521 Although still planar rather than conical, the surface of the sand apron was thinned to the east in
522 experiments 10 and 11, and to the southeast in experiments 12 and 13. In experiment 13 the sand
523 apron thins to zero in the southeast corner (equivalent to the position of Catania on Etna). These
524 experiments had mixed success, with experiments 10 and 12 at 25% and 30%, but 11 and 13 both
525 achieving analogues of over 60% of Etna faults (Figs. 4 and 5).

526 5.1.2 Representation of Etna's irregular summit cone

527 In three of the experiments, 10, 11 & 14, an elongated sand cone was created to better represent
528 the present shape of Etna, and in experiment 14 a valley was scooped out of the east side of the
529 sand cone to represent the Valle del Bove. These too had mixed success, but 11 and 14 both showed
530 reasonable representations of 63% of the Etna faults.

531 5.2 Magma pressure and persistent dyke intrusion

532 Despite these drawbacks, it is clear that the configuration of most of the faults on Etna can be
533 explained by the interaction of two processes alone: the gravitational spreading of the Etna summit
534 cone (Borgia et al 1992) combined with the seaward sliding of the entire Etna massif down its
535 sloping basement (Murray et al. 2018). But a third process, magma pressure, is required to explain
536 the fact that the lateral east-west expansion of the summit graben of Etna exceeds that of the
537 models by an order of magnitude. Further clues as to how gravitational and magmatic processes
538 interrelate were mentioned in sections 4.1.1 and 4.1.2, where it was noted that some of the
539 bounding faults of summit graben in the analogue experimental models are at similar positions and
540 orientations to fractures formed during the 1947, 1983, 1985, 1989, 1991–3, 2001 and 2002 flank
541 eruptions of Etna. This is good evidence that the combination of spreading and downslope sliding is
542 controlling the position of future eruptive vents by creating fractures and weaknesses that become
543 the paths of least resistance for later intruding magmas, not just for north-south eruptive fissures,
544 but in other orientations as well. This assertion carries with it the implication that forecasting the
545 location of future eruptive vents might be possible by GNSS monitoring of an array of stations in the
546 summit region, to detect sectors where circumferential strain is increasing, and therefore likely to
547 favour crack propagation and intrusion (Wadge, 1976).

548 The constant intrusion of radial dykes over time, particularly near the summit but including those
549 that feed eruptions low down on the flanks, means that space must be found for these dykes
550 (Walker 1992). Such intrusions always exert magma pressure normal to the dyke, which persistently
551 results in widening (Murray 1990, 1994) that in turn results in overall expansion of the volcano.
552 Magma pressure from the intrusions is therefore substantially augmenting extension velocity in a
553 single feedback loop.

554 The addition of material at the cone summit whilst the experiment was continuing, to represent the
555 persistent continuing output of the Etna, would have increased the mass of the summit cone, which
556 in turn would have had the effect of increasing the gravitational spreading in the later stages of the
557 experiment. This and the similar effects of magma pressure may have altered the configuration of
558 some of the faults as a result, increasing the effects of spreading at the expense of the effects of
559 sliding.

560 5.3 Regional tectonics; East flank instability

561 Regarding the effect of regional tectonism, it is true that the millennial fault displacements of those
562 Sicilian faults that pass beneath the Etna summit and flanks must be continuing. An idea of how
563 much these movements contribute to observed fault displacement at the surface may be gauged
564 from field determinations of displacements around Etna, which vary from 0.9 to 1.4 mm y⁻¹ for the
565 Holocene (Valensise and Pantosti, 1992; Monaco et al.,1997). They are therefore smaller than all
566 the field-measured slip rates of the Etna fault systems, by up to two orders of magnitude. This is
567 strong evidence that regional movements are minor effects, largely overwhelmed by the processes
568 described in this paper.

569 The instability of the eastern flank has dominated thinking on the structure of Etna over the past
570 three decades. The analogue modelling described in this paper provides a framework to understand
571 this phenomenon. Fig. 3 shows a typical experiment at the start (left) and after 50 minutes (right).
572 Gravitational spreading has been obstructed by the sand barrier to the north, west and south of the
573 sand cone, but allowed to flow freely down the slope to the east. This dichotomy is emphasised by

574 the fact that all westward spreading is upslope, where sliding and spreading are operating in
575 contrary directions so tend to cancel each other out, whereas to the east of the cone, spreading and
576 downslope motion are summed. The outcome has been the almost stationary regions all around the
577 cone except eastward, in which direction progress over the ductile layer beneath has been rapid.
578 The inevitable consequence has been arcuate faulting of the brittle sand apron to the northeast and
579 south of the cone, tectonically cutting off an easterly mobile sector. This simple combination of
580 events offers both a description and explanation of the rapid eastward movement of the eastern
581 flanks of Etna, and the difference between this and the comparatively stable ground to the north
582 and west, a dichotomy known as the east flank instability.

583

584 6 Conclusions

585 The structural faults on the summit and flanks of Mt Etna volcano originate principally from the two
586 processes of gravitational spreading and the sliding of the Etna massif down its sloping basement. As
587 such, Etna behaves as a mountain built on foundations unable to support it. What happens in the
588 upper magmatic system is controlled by this framework.

589 The Peloritani mountains obstruct the gravitational spreading of the Etna cone northwards, and the
590 southeast end of this mountain range marks the end point of obstruction. The abrupt change
591 between stationary ground to the north, and the rapid eastward movement to the south where
592 downslope sliding is added to gravitational spreading of the cone, results in the creation of the
593 Pernicana fault, whose left-lateral strike-slip movement has the highest average
594 velocity of the flank fault displacements of Etna.

595 The three transcurrent faults southeast of Etna, the Trecastagni, Mascalucia-Tremestieri and Gravina
596 faults, are the result of a similar situation, in which the low range of hills along the southern edge of
597 Etna also obstructs spreading, but being nearly twice as far from the summit cone, the tectonic
598 strain release is spread between three faults, whose right-lateral displacement is individually less
599 than the Pernicana, but summed together they are of similar velocity.

600 Together, the Pernicana and these southern faults tectonically cut off the more stable regions
601 southwest, west and north of the volcano from the mobile eastern sector, generally termed the east
602 flank instability.

603 The Ragalna fault system has started to propagate from the foot of the summit cone in a similar way
604 to the three southern transcurrent faults, but as yet has failed to reach as far, but may do so in the
605 future.

606 The Timpe faults result from the extension created by the downslope sliding and spreading of the
607 eastern flank, as well as the submarine instability of the Etnean continental margin. Their
608 orientation is sensitive to local changes in spreading direction.

609 The summit graben of Etna is the product of gravitational spreading of the cone, producing leaf
610 graben that are suppressed in the east-west direction by tension consequent upon downslope
611 sliding, but augmented in the north-south direction.

612 The ability of simple non-magmatic analogue models to recreate all the Etnean faults is significant, in
613 that it shows clearly that the faulting and deformation has its origin in gravity.

614 The additional process of the intrusion of magma is also controlled by gravitational spreading and
615 sliding, which creates the cracking and faulting that intruding magma later follows. It might
616 therefore be possible to detect the location of future eruptive vents by monitoring of an array of
617 geodetic stations in the summit region to detect sectors of increasing tensional strain.

618 Lateral magmatic pressure from intrusive episodes dominates extension velocities at the summit of
619 Etna, increasing graben opening by an order of magnitude compared to the scaled velocities of the
620 analogue models in a feedback loop. On the flanks, model velocities of fault displacement match
621 field measurements from Etna, indicating the limited effects of magma pressure elsewhere.

622 The faulting provides the framework in which to understand other Etnean activity, notably the
623 hazards of eruptions, earthquakes and landslides.

624

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