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1 How do tectonics influence the initiation and evolution of submarine
2 canyons? A case study from the Otway Basin, SE Australia

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8 **Abstract**

9 The architecture of canyon-fills can provide a valuable record of the link between tectonics,
10 sedimentation, and depositional processes in submarine settings. In this study, we investigate the
11 role of plate tectonics in the initiation and evolution of submarine canyons. We demonstrate that
12 plate tectonic-scale events (i.e. continental breakup and shortening) have a first-order influence on
13 submarine canyon initiation and development. Initially, the Late Cretaceous (c.65 Ma) separation
14 of Australia and Antarctica resulted in extensional fault systems, which then formed a steep stair-
15 shaped paleo-seabed. Subsequently, the Late Miocene (c.5 Ma) collision of Australia and Eurasia
16 has resulted in substantial uplift and exhumation in the SE Australian continental margin. These
17 tectonic events have resulted in elevated seismicity that ultimately gave rise to the gravity-driven
18 processes (i.e. turbidity currents and mass wasting processes) and formed the canyon base. The
19 inherited stair-shaped topography then facilitated gravity-driven processes which established a
20 mature sediment conduit extending from the shallow marine shelf to the abyssal plain. We indicate
21 that the canyon stratigraphic architecture can be used as an archive to record tectonic movements.
22 Moreover, the factors which preconditioned and triggered gravity-driven processes can also induce
23 canyon initiation and facilitate canyon development.

24 Keywords: Submarine buried canyons; Mass wasting processes; Canyon-fill; Tectonic activity

25 **1. Introduction**

26 Submarine canyons are ubiquitous in deep-water settings and have long been considered as one
27 of the major conduits for transporting sediment from the shelf edge, across the continental slope,

28 and into the deeper abyssal plain (Shepard, 1981; Normark et al., 2003; Antobreh and Krastel,
29 2006). They are normally characterised by U-shaped cross-sectional geometries, that represents
30 incision of hundreds of metres into the underlying stratigraphy, extend for several kilometres in
31 width, and up to hundreds of kilometres long (i.e. Lewis and Barnes, 1999; Baztan et al., 2005; Su
32 et al., 2020). When buried, the coarse-grained canyon-fill (i.e. sand-rich turbidites) may act as
33 reservoirs for hydrocarbons and/or long-term carbon storage, in many submarine settings, (e.g.
34 the South China Sea (Gong et al., 2011; Su et al., 2014), the Gulf of Mexico (Posamentier and Kolla,
35 2003), and SE offshore Australia (Moore et al., 2000; Tassone et al., 2014)).

36 The architecture and stratigraphic evolution of buried canyons contain a rich record of tectonics,
37 sedimentation, and depositional process interactions in submarine settings. Previous studies have
38 examined the evolution of canyon-fills from large-scale outcrop analogues, which provided
39 valuable information on sedimentary facies and generally 2D depositional models of canyon-fills
40 (i.e. Champion et al., 2003; Di Celma, 2011; Hodgson et al., 2011; Zecchin et al., 2011; McArthur and
41 McCaffrey, 2019; Zecchin and Caffau, 2020; Janocko and Basilici, 2021). In addition, seismic
42 reflection data-based studies have contributed significantly to our understanding of the three-
43 dimensional architectural and long-term evolutionary trends of the canyon-fills (i.e. Rasmussen,
44 1994; Gong et al., 2011; He et al., 2013; Maier et al., 2018; Su et al., 2020). However, very few
45 published studies have investigated how regional tectonics (i.e. plate tectonic-scale events)
46 influence the initiation and evolution of submarine canyons. The controlling mechanisms of
47 tectonic events behind the submarine canyons initiation and canyon-fills evolution remain poorly
48 constrained.

49 This study focuses on the Otway Basin, located on the south-eastern Australian margin (Figure 1a).
50 The modern seabed bathymetry is characterised by a low gradient (0.4° to 1°) continental shelf,
51 and a steep (10° to 30°) continental slope that is transacted by multibranched canyons and regional
52 distributed mass-transport complexes (MTCs) (Figure 1b; Leach and Wallace, 2001; Wu et al., 2022).
53 In this study, we present two deeply buried, isolated cut and fill canyons (BC-1 and BC-2) that were
54 formed in the Late Miocene, at a time when the Australian Plate collided with the Eurasian Plate.
55 The high-resolution seismic reflection data from offshore SE Australia have provide an opportunity
56 to constrain the relationship between plate tectonics and submarine canyon systems. We aim to:
57 (i) investigate the canyon architectural evolution and depositional processes, and (ii) infer the

58 influences of regional tectonics on canyon initiation and evolution. The detailed examination
59 conducted in this study has important implications for understanding the principle controls of
60 plate-scale tectonics in submarine canyons initiation and evolution, as well as provide an analogue
61 for comparison to other submarine canyon systems.

62 2. Geological setting

63 **2.1 Structural Framework**

64 The Otway Basin is an NW-striking rift basin located on the south-eastern South Australia passive
65 margin (Figure 1a, 1b). The basin was initiated by rifting during the Late Jurassic to early Palaeogene
66 and formed due to the eventual continental separation between Antarctica and Australia during
67 the break up of Gondwana at the end of the Cretaceous (Figure 2; Willcox and Stagg, 1990;
68 Perincek and Cockshell, 1995; Norvick and Smith, 2001; Krassay et al., 2004). Since the Jurassic,
69 the Otway Basin has had two significant phases of extensional tectonism, including a Late Jurassic-
70 Early Cretaceous rifting phase and a Late Cretaceous rifting phase (Perincek and Cockshell, 1995;
71 Krassay et al., 2004). The post-rift stage of the Otway Basin commenced in the Late Cretaceous,
72 and most of the major faulting and tectonic activities associated with the final separation of
73 Australia and Antarctica Plate ceased at that time (Krassay et al., 2004; Holford et al., 2014). The
74 Late Jurassic- Early Cretaceous rifting phase has created an intense faulting event that affects the
75 entire Cretaceous succession (Figure 3; Moore et al., 2000). The Cretaceous fault systems are
76 generally NW-SE striking normal faults with an average dip of 60° (Figure 3; Ziesch et al., 2017).
77 These extensional faults normally terminate in the overlying thin Cenozoic succession and are
78 characterised with high-angle extensional faults that dominant the shallower part of the basin,
79 lower angle listric faults are common in the deeper part of the basin (Figure 3). The final breakup
80 of the Australia and Antarctica Plates has resulted in a regionally distributed unconformity surface
81 (Horizon H1 in this study) which separates the underlying Cretaceous and overlying Cenozoic
82 successions (Figure 2, Figure 3; Krassay et al., 2004).

83 During the Late Miocene to Early Pliocene, the collision between the Indo-Australian plate and the
84 Eurasian Plate generated long-wavelength (of the order 10³ km) intraplate forces (Hillis et al., 2008;
85 Tassone et al., 2012; Tassone et al., 2014). With the continuous collision, the NE boundary of the

86 Australian Plate exhibits complex structural styles, with the oceanic crust being subducted at
87 Sumatra-Java Trench and the continental crust colliding along the Banda Arc and New Guinea
88 (Figure 1a; Hillis et al., 2008). The collisional plate boundary segments have exerted an important
89 control on the intraplate forces (Hillis et al., 2008). The Southern Australia margin has recorded the
90 intraplate forces and experienced intensive uplift, exhumation, and deformation as indicated by
91 both structural and sedimentological studies (Figure 3; Dickinson et al., 2002; Sandiford, 2003; Hillis
92 et al., 2008). In Otway Basin, the distant intraplate forces reached their peak during Late Miocene
93 to Early Pliocene, as evidenced by c. 5% crustal shortening yield strain rates (Cooper and Hill, 1997;
94 Hillis et al., 2008; Holford et al., 2011), elevated levels of seismicity (Holford et al., 2011; Tassone
95 et al., 2014), and substantial exhumation and uplift with magnitudes as high as c. 1 km within the
96 Late Miocene-Early Pliocene successions (Holford et al., 2010). The onset of this late Miocene
97 phase is marked by a regional unconformity (Horizon H2 in this study; Figure 2) that can be traced
98 for more than 1500 km along the deep-water sedimentary basins in SE Australia (Dickinson et al.,
99 2002; Tassone et al., 2014).

100 **2.2 Sedimentology**

101 The study interval lies in the Cenozoic stratigraphy in a passive continental margin setting. During
102 the Cenozoic, the Otway Basin was in an open marine depositional environment, characterised by
103 marine-related, calcareous-rich sediments (McGowran et al., 2004). The strata of the Cenozoic
104 succession comprises of: the Wangerrip Group, the Nirranda Group, the Heytesbury Group, and
105 the Whalers Bluff Formation (Figure 2; Perincek and Cockshell, 1995; Krassay et al., 2004; Totterdell
106 et al., 2014). The Wangerrip Group (late Palaeocene to middle Eocene) represents the beginning
107 of the passive margin sedimentation after the cessation of Late Cretaceous rifting (Figure 2). It
108 unconformably overlies the regional Late Cretaceous unconformity (Horizon H1) and comprises of
109 siliciclastic rich sediments (Figure 2). The Nirranda Group (middle Eocene to early Oligocene) is a
110 succession of fine-grained siliciclastic in the lower section and marls in the upper section (Figure
111 2). The Heytesbury Group (late Oligocene to late Miocene) is deposited in fully marine conditions
112 dominated by a combination of calcareous mudstone and mixed marine limestone (Figure 2; Ziesch
113 et al., 2017). The Late Miocene to Pliocene tectonic inversion induced the deposition of fluvial
114 sands, which continues up to the present day (Norvick and Smith, 2001). The Whalers Bluff
115 Formation (WBF, Pliocene-Recent; Figure 2) mainly consists of siliciclastic-rich sediments and is

116 mostly developed near the continental slope area (Tassone et al., 2011).

117 3. Dataset and Methodology

118 **3.1 Dataset**

119 A Geoscience Australia Database is used as the primary data source for this work, including high-
120 resolution 2D and 3D seismic reflection data that was acquired by Santos in 2002 (Figure 1b). The
121 2D seismic data covers an area of approximately 5500 km², with the dominant frequency ranging
122 from 20 to 30 Hz in the interval of interest. The 3D seismic-reflection dataset (OS02 3D) covers an
123 area of c. 773 km², with a bin spacing of 25 m × 12.5 m (inline × crossline), and a dominant
124 frequency of 35 Hz within the interval of interest. The 3D seismic data is zero-phase and presented
125 in SEG normal polarity with an increase in acoustic impedance expressed as a positive amplitude
126 (Figure 4). Given an average velocity of 2450 m/s within the interval of interest, we estimate the
127 vertical resolution of the 2D seismic data ranges from approximately 20-30 m, and the 3D seismic
128 data is approximately 17.5 m. The seismic reflection data encompass the modern continental shelf
129 to continental slope area (Figure 1b). As shelf progradation occurs seaward since Miocene times,
130 the Miocene shelf edge is located c. 50 km landward of the modern shelf edge (Figure 1b; Leach
131 and Wallace, 2001). Therefore, the seismic datasets provide the opportunity to investigate the
132 evolutionary history of buried canyons and the tectonic features in a deep submarine setting.

133 **3.2 Methodology**

134 The age of the buried canyons was determined by correlations with offset wells (Figure 3) described
135 in nearby studies (Leach and Wallace, 2001). We identified and interpreted three key horizons (H1,
136 H2, and seabed), based on their high continuity (which extend throughout the study area) and
137 strong amplitude. Schlumberger Petrel Software® is used to interpret seismic reflection data for
138 this study. Horizon H1 (Figure 3, Figure 4) is a regionally mappable unconformity that has been
139 correlated to the Late Cretaceous unconformity surface (Holford et al., 2014), and which records
140 the eventual separation of the Australian and Antarctic Plates (Krassay et al., 2004; Holford et al.,
141 2014). Horizon H2 (Figure 4, Figure 5) is another regionally mappable unconformity that has been
142 tied to the Late Miocene unconformity surface, which formed due to the tectonic uplift and the
143 associated canyon erosion (Holdgate et al., 2000; Dickinson et al., 2001; Leach and Wallace, 2001).

144 Five seismic facies are identified based on their external geometry, internal configuration, seismic
145 amplitude, continuity, and seismic reflection termination patterns (Figure 6). The seismic facies
146 interpretation is further guided by comparing their expression with previous seismic facies analysis
147 schemes developed for buried submarine canyon-fills in the nearby area (Leach and Wallace, 2001)
148 and in similar basin settings (Mayall et al., 2006; Gong et al., 2011; Mauffrey et al., 2017; Maier et
149 al., 2018). A variance (coherency) attribute was calculated to illustrate and delineate the
150 morphology and internal structures of the intra-canyon depositional elements. Variance attribute
151 calculates the variability of a trace to its neighbour over a particular sample interval and produces
152 interpretable lateral changes in acoustic impedance (Van Bemmelen and Pepper, 2000), low variance
153 response represents similar traces, and high variance response represents discontinuities (Brown,
154 2011). Therefore, coupled with seismic facies analyses, variance attributes can contribute to better
155 imaging and mapping intra-canyon deposits.

156 4. Result

157 **4.1 Seismic facies**

158 *Seismic facies-1: Turbidite complexes*

159 Seismic facies-1 (SF-1) consists of parallel to sub-parallel, continuous, high amplitude seismic
160 reflections (Figure 6). SF-1 typically displays onlapping or pinching out geometries toward the
161 canyon sidewalls (Figure 6). SF-1 can be observed in most of the canyon cross-sectional profiles, it
162 comprises approximately 5-10% of the buried canyon stratigraphy. SF-1 is c. 60-90 m thick and
163 preferentially occurs at the base of the canyon fill. It is abundant in the lower section of the buried
164 canyons and becomes less obvious in the middle and upper sections. Based on the seismic
165 characteristics and previous seismic facies-based studies, SF-1 is interpreted as primarily coarse-
166 grained turbidite complexes, representing multiple episodes of turbidity currents deposition (i.e.
167 Cross et al., 2009; Gong et al., 2011; Wu et al., 2022).

168 *Seismic facies-2: Background slope deposits*

169 Seismic facies-2 (SF-2) is characterised by sheet-like, medium- to low-amplitude, laterally
170 continuous reflections that cap SF-1 and SF-3 (Figure 6). SF-2 consists of a flat base and top surface
171 with fair cross-sectional continuity, and no erosive features have been observed. In general, the SF-
172 2 preferentially occurs at the middle part of the canyon-fill, and makes up c. 20% of the buried

173 canyon stratigraphy. The thickness of SF-2 is constant, ranging from 150-190 m. Based on the
174 seismic characteristics and previous seismic facies-based studies, SF-2 is interpreted as a mix of
175 fine-grained turbidite complexes and mud-rich hemipelagic deposits (Symons et al., 2017; Maier
176 et al., 2018), representing a low-energy depositional environment (Prather et al., 1998).

177 *Seismic facies 3: Mass transport complexes (MTCs)*

178 Seismic facies-3 (SF-3) consists of a discontinuous to chaotic reflection package with high- to
179 medium-amplitude seismic reflections (Figure 6). The SF-3 is c. 170-300 m thick, has a rugose top
180 surface and a relatively flat base surface (Figure 6). It dominates the middle to upper canyon-fill,
181 representing nearly 60% of the buried canyon stratigraphy. The chaotic nature of the SF-3,
182 combined with the rugose upper surface, indicates SF-3 has been remobilised and transported,
183 mostly as MTCs (Prather et al., 1998; Posamentier, 2005; Steventon et al., 2019; Wu et al., 2019;
184 Nugraha et al., 2019). Based on its seismic reflection character, we propose that SF-3 was initially
185 deposited as and ultimately sourced from the remobilisation of SF-1 and SF-2.

186 *Seismic facies 4: Turbidite channel*

187 Seismic facies-4 (SF-4) is defined by medium-high amplitude, and has a bowl-shaped external form
188 with an erosional base (Figure 6). Internal reflections of SF-4 are characterised by chaotic, medium
189 amplitude reflection. Seismic reflections outside the SF-4 are of fair continuity and medium-high
190 amplitude reflections (Figure 6). The thickness of SF-4 ranges from 130-170 m, it is observed
191 primarily in the upper section of the canyon-fill, representing c. 5% of the buried canyon
192 stratigraphy. The internal amplitudes of SF-4 are higher than that of the surrounding seismic facies,
193 which indicates a higher acoustic impedance contrast when compared with surrounding facies. The
194 erosional nature at the base of SF-4 suggests incisions, and the high amplitude of the fill might
195 suggest that SF-4 is dominated by sandstone-rich deposits. We interpret SF-4 as turbidite channel
196 deposits, as indicated by studies from Perov and Bhattacharya (2011) and Posamentier and Kolla
197 (2003).

198 *Seismic facies 5: Contourite channel*

199 Seismic facies-5 (SF-5) is defined by sub-parallel to wavy, low-high amplitude seismic reflections,
200 with truncated internal reflections (Figure 6). SF-5 can be easily recognised in the uppermost
201 section of the buried canyons, near the continental shelf edge, having an elongated mounded
202 shape and an adjacent concave moat (Figure 6). Based on its seismic reflection character, SF-5 is

203 interpreted as contourites that are affected/reworked by contourite currents (Stow et al., 2002;
204 Stow and Faugères, 2008; Rebesco et al., 2014).

205 **4.2 The buried canyons**

206 The buried canyons (BC-1 and BC-2) are deposited in the Oligocene to Miocene Heytesbury Group,
207 and belong to Miocene Canyon systems which are defined by Leach and Wallace (2001). The BC-1
208 and BC-2 are S-oriented in the upper segment and SSW-oriented in the Lower segment (Figure 7a,
209 7b). The BC-1 is broad U-shaped canyons in seismic cross-section, ranging from c. 3 km to 5 km
210 wide and cutting approximately c. 300 m to 500 m deep (Figure 8a-d). It is bounded by a lower
211 undulatory erosional surface (Horizon H2) that truncates the underlying strata, showing a distinct
212 seismic amplitude with negative polarity (Figure 4, Figure 8). The U-shaped erosional surfaces
213 represent the oldest period of erosion and can be identified on most of the canyon cross-sectional
214 profiles. The canyon sidewalls are steep, ranging from 20° to more than 30° (Figure 8). A series of
215 sliding blocks are locally modified and truncated the steep canyon sidewalls, and located along the
216 canyon margin (Figure 8c, 8d). The sliding blocks are bounded by strong amplitudes, with a negative
217 polarity surface at the top, and positive polarity surface at the base (Figure 8d). The intra-sliding
218 blocks are characterised by chaotic seismic facies that are similar to those defining canyon sidewall
219 strata outside of the sliding blocks (Figure 8d). Moreover, the top and the base surfaces of the
220 sliding blocks can be correlated into the canyon sidewall strata (Figure 8d).

221 The seismic facies assemblage of the sliding blocks is similar and can be correlated to the
222 undeformed strata adjacent to the canyon walls, and is therefore interpreted to fail along the
223 canyon sidewalls (Figure 8d). After the formation of the U-shaped erosional canyon base, the
224 accommodation is filled by several different seismic facies. Based on the seismic facies infill pattern
225 and their location toward the slope, the BC-1 is divided into two transverse segments (Upper
226 segment and Lower segment; Figure 7b). The Upper segment starts from the upper (NW) gap of
227 the 3D seismic data to the lower edge of the paleo-slope. The Lower segment covers most of the
228 3D seismic data area, expanding from the lower slope to the abyssal plain. In the following section,
229 we take BC-1 (the biggest buried canyon in the study area) as an example to further investigate its
230 facies association and infill patterns.

231 **4.3 Canyon architecture**

232 *Upper segment*

233 The upper segment of the BC-1 truncates into the paleo-lower continental slope (Figure 7a, 7b).
234 The maximum width and relief of the buried canyon is c. 3 km and 300 m, respectively. In this
235 segment, the lowermost and the uppermost section of the buried canyon is commonly filled with
236 SF-1, suggesting that turbidite complexes are the most dominant depositional elements during the
237 initial and final phase of the buried canyon-fill (Figure 8a, 8b). The upper section of the buried
238 canyon filled is commonly SF-2, suggesting that hemipelagic deposits are deposited shortly after
239 the turbidite complexes (Figure 8a, 8b). In the uppermost part of the canyon-fill, the SF-5 are
240 present, indicating the contourite current activities have influenced the final stage of the canyon-
241 fill (Figure 8a).

242 *Lower segment*

243 The Lower segment is SSW-oriented and constitutes the portion of the buried canyons where major
244 accumulation took place. The width of the canyon in this segment is up to c. 7 km, with maximum
245 sidewall reliefs of c. 500 m (Figure 8c, 8d). In the 3D seismic data area, most of the canyon-fill of
246 the BC-1 is characterised by SF-3, which can constitute more than 70% of the canyon stratigraphy,
247 with only thin (c. 30 m) deposition of SF-1 and SF-2 deposited in the middle or upper parts of the
248 stratigraphy (Figure 8c, 8d). Further downslope, regional 2D seismic lines image several other
249 buried canyons that deposited in the deeper submarine setting, with the percentage of the SF-3
250 infill increasing to nearly 90% of the total canyon stratigraphy (Figure 9a, 9b). The large percentage
251 SF-3 infill show MTCs are the largest component of the Lower segment infill. A relatively thin fill (c.
252 90 m to 200 m) of turbidite complexes and background slope deposits appears in the upper section
253 of the buried canyons.

254 The thick accumulation of MTCs indicate the Lower segment represents the part of the buried
255 canyons where the intensity of erosion reached its peak (Figure 9a, 9b). The lower section of the
256 canyon-fill was likely eroded by MTCs and preserved as erosional remnants scattered throughout
257 the canyon-fill lower section (Figure 8c). The presence of the erosional remnants indicates the
258 erosive MTCs has been initiated and transported from the Upper segment, and ultimately
259 deposited in the Lower segment where extensive erosion is normal.

260 **4.4 Intra-canyon MTCs**

261 Several vertically stacked MTCs have been observed from the seismic sections cutting through the
262 Lower segment of the BC-1. We map three seismically distinctive MTCs (MTC-1 to MTC-3; Figure

263 8c, 8d) to investigate the morphological and kinematic properties of these intra-canyon MTCs.

264 MTC-1 is bounded by horizons H2.1 and H2.2, it is laterally confined by the canyon base surface
265 (Horizon H2) and mainly consisting of chaotic seismic facies with high amplitude seismic reflections
266 (Figure 8c, 8d). MTC-1 is 90 to 130 m thick, being thickest near the canyon centre, and progressively
267 thins towards and onlaps onto the canyon sidewalls (Figure 8c, 8d). In plain view, the distribution
268 of MTC-1 is spatially confined within the BC-1, the lateral margins of MTC-1 follow an NNE-
269 orientated direction, coinciding with the orientation of BC-1 sidewalls (Figure 10a). MTC-2 is 120
270 to 190 m thick and bounded by horizon H.2.2 and H2.3, and it contains chaotic seismic facies with
271 medium amplitude reflections (Figure 8c, 8d). The lateral margins of MTC-2 follows an NNE-
272 orientated direction, subparallel to the orientation of canyon sidewalls. Similar to MTC-1, MTC-2 is
273 laterally confined by the Horizon H2 and spatially distributed within the area of the BC-1 (Figure
274 10b). MTC-3 is 130 to 210 m thick, and it is bounded by horizon H2.3 and H2.4, mainly consisting
275 of chaotic seismic facies with medium amplitude reflections (Figure 7c, 7d). MTC-3 has NNE-
276 orientated lateral margins, and the distribution of this MTC is nearly the same extent as the BC-1
277 (Figure 10c).

278 The orientation of NNE-striking lateral margins suggests these MTCs were transported towards the
279 SSE. Although there is no direct evidence indicating the source area of MTCs, the overall
280 distribution (confined within the lower section of canyon-fills) and the nature of the seismic facies,
281 together suggest MTC-1, MTC-2, and MTC-3 may derive from the failures of turbidite complexes or
282 background sediments that originally deposited in the Upper canyon segment. The strictly confined
283 nature of the intra-canyon MTCs indicates that the distribution of these failures is controlled by the
284 canyons' morphology (i.e. the width and the height). Compared with MTC-1, the areal extent of
285 MTC-2, and MTC-3 is larger, which suggests mass failure processes become more dominant with
286 the evolution of canyon-fill. We interpret that the reoccurrence of vertically stacked intra-canyon
287 MTCs is associated with the intensive tectonic activities (i.e. faulting and tilting) in the continental
288 shelf area during Late Miocene to Pliocene faults reactivation, which has been variously ascribed
289 to the contemporaneous collision of Australia's northern margin with the island arc in New Guinea
290 (Figure 1a; Hill et al., 1995).

291 5. Discussion

292 **5.1 Sedimentological evolution of buried canyons**

293 Based on the canyon-fill pattern, the evolutionary model of the buried canyons identified in the
294 Otway Basin can be summarised into three stages: (i) mass wasting processes dominated the
295 erosional-depositional stage, (ii) turbidites dominated the depositional stage, and (iii) a mixed mass
296 wasting and -turbidite dominated erosional-depositional stage.

297 At the initial erosional stage, the morphology of the U-shaped canyon base has been attributed to
298 the erosion by multiple mass failure events, as recorded by the thick deposition of MTCs in the
299 distal section of the canyon-fill (Figure 9a, 9b). Another interpretation for the formation of the
300 canyon base is the turbidity currents shaped the canyon and where then remobilised as MTCs. As
301 turbidite complexes (or channel lags; Mayall et al., 2006) were observed at the lowermost section
302 of the canyon-fill (Figure 9a). Due to the steep angle of the canyon sidewall (dips from c.40° to 60°),
303 sidewall initiated sliding also occurs at the initial erosional stage. In the second depositional stage,
304 processes such as turbidity currents and background sedimentations take place. The repeated
305 cutting and filling by turbidity currents is one of the major features of canyon-fill, and the canyon-
306 fill remains stable, similar to depositional patterns that have been recorded in other fills (i.e.,
307 Deptuck et al., 2007; Gong et al., 2011; Liang et al., 2020). In the final erosional-depositional stage,
308 the canyon fill is dominated by the deposition of MTCs and turbidite channels. Contourite currents
309 may play a role in the final stage of the canyon evolution. However, it is confined to the upper slope
310 region, where the contourite currents are stronger than other current regimes (i.e. turbidity or
311 mass-transport processes). For example, in the Upper segment of the canyon-fill (Figure 8a), the
312 uppermost of the canyon-fill contains a large portion of contourite drifts and shows a distinct
313 pattern similar to the examples from the South China sea and offshore Argentina where contourite
314 activities are intense (i.e. He et al., 2013; Warratz et al., 2019).

315 **5.2 Origin of the buried canyons**

316 The causal mechanisms by which submarine canyons in the shallow submarine settings are
317 initiated are generally a combination of near shelf-edge fluvial erosion during periods of relative
318 sea-level fall/and or higher sediment flux (i.e. Posamentier et al., 1991) and retrogressive slope
319 failure events occurring near the upper slope (i.e. Coleman et al., 1983; Goodwin and Prior, 1989;

320 Pratson and Coakley, 1996; He et al., 2014). The strong contourite current, tidal current activities,
321 and hurricanes and typhoons, that occur near the coast may also play a role in the canyon initiation
322 (i.e. Shepard et al., 1974; Sequeiros et al., 2019).

323 In the study area, the oldest buried Miocene canyons are tied to occur near the base of the
324 Heytesbury Group (Leach and Wallace, 2001). Therefore, the initiation of the buried canyons likely
325 started during the Late Miocene, when cool-water carbonates dominated (Leach and Wallace,
326 2001). The canyon bases are with high rugosity and show clear erosional features (Figure 8d) that
327 are similar to those (i.e. grooves or scours) observed from the basal shear surface of MTCs (i.e. Bull
328 et al., 2009; Butler et al., 2016). The Lower segment of the buried canyon-fill is characterised by a
329 dominant deposition of MTCs, and the proportion of MTCs infill constantly increases toward to the
330 farther distal part. Therefore, the origin of the buried canyons is tied to the occurrence of erosive
331 gravity-driven processes (most likely mass wasting processes) during Late Miocene.

332 ***5.3 How do Late Miocene tectonics dictate the canyon initiation?***

333 During Late Miocene, the SE Australia margin has experienced an extremely intense episode of
334 uplift event, where the study area has experienced the most (Dickinson et al., 2001; Dickinson et
335 al., 2002; Tassone et al., 2012). The driving mechanism for this uplifting episode is crustal
336 shortening controlled intra-plate stresses, triggered by the northward movement of the Australia
337 Plate towards the subduction zones along the northern boundary of the Indo-Australia plate
338 (Figure 1a; i.e. Sandiford, 2007; Hillis et al., 2008). Such a momentous uplift event has generated a
339 significant net exhumation around the deep-water Otway Basin during Late Miocene. For example,
340 the gross exhumation in the submarine Otway Basin is more than 1000 m during Late Miocene to
341 Pliocene (Green et al., 2004), near the study area, the gross exhumation could reach more than
342 1500 m (Duddy, 1997; Tassone et al., 2014). The significant exhumation has created an increase in
343 onshore sediment supply and elevated levels of seismicity in the continental region (Dickinson et
344 al., 2001). These changes have increased sediment instability in the upper slope and, consequently,
345 gave rise to mass failure events (Sandiford, 2003; Sandiford et al., 2004). The above mentioned
346 processes associated with the continental margin uplifting are marked by a regional erosion surface
347 that can be traced for c. 1500 km along with SE Australia (Dickinson et al., 2002; Tassone et al.,
348 2012). This is especially the case in the deep submarine where the regional erosion surface is
349 corresponded to the extremely irregular canyon base surface (Horizon H2), and the canyon-fills are

350 observed to display a thick package of chaotic seismic facies, indicating deposition of MTCs (Figure
351 9).

352 The Late Miocene erosion period corresponds to the time when the entire shelf was exposed and
353 thus heavily incised by frequent deposition of MTCs, that were transported down to the deeper
354 part of the basin. We infer that mass failures during episodes of intense tectonic, rather than other
355 factors, caused of incision on the continental slope to initiate the development of buried canyons.
356 The Late Miocene tectonics have helped establish a mature sediment conduit system that
357 extended from shallower marine down to the abyssal plain.

358 ***5.4 How do Late Cretaceous tectonics influenced the canyon evolution?***

359 The late Cretaceous fault systems are generally NW-SE striking (Figure 11a, 11b; Ziesch et al., 2017).
360 The dip- and cross-seismic sections have revealed these faults cutting vertically beneath the
361 thalwegs of the BC-1 and BC-2 (Figure 4b, 5b, 9b). The seismic dip line along the canyon axis shows
362 the presence of the faults has created a stair-shaped structure within the Lower segment, which is
363 truncated by the canyon (Figure 5b, 9b). The seismic dip line along the area outside the buried
364 canyons show that after deposition of the pre-canyon succession (sedimentation between horizon
365 H1-H2), the paleo-seafloor (at the time of H2) may have inherited the geometry created by the
366 Cretaceous fault systems, showing a stair-shaped structure with a high-gradient (Figure 11c). This
367 can be clearly seen from the onlapping patterns of sediments onto the local topographically high
368 created by buried faults (Figure 11c).

369 The stair shaped geometry is interpreted as the hanging walls of the deeply sourced fault systems
370 may have created a local structure high on the Late Cretaceous seafloor when horizon H1 is
371 deposited. The footwalls of the deeply sourced fault systems have created a local structure low on
372 the Late Cretaceous seafloor (Figure 12a). After the burial, the buried footwalls acted as a local
373 high (buried hanging walls are locally low), causing an elevation difference between two adjacent
374 footwalls and hanging walls (Figure 12a). When the canyon initiates, the stair-shape paleo
375 geometry can cause an immediate increase in currents (e.g., turbidity currents or debris flow)
376 energy and erosivity, thus facilitating the canyon development (Figure 12b). The subsequent
377 canyon-fills was also influenced by the inherited topography created by the previous canyon infill
378 and the stair-shape canyon base (Figure 12c). In modern analogues, the local gradient variation of
379 the seabed has played a key role in canyon evolution (e.g., expansion in canyon width and depth),

380 as demonstrated by modern canyon systems (Qin et al., 2017; Wu et al., 2022). Therefore, we
381 suggest that the late Cretaceous fault-controlled zones may have pre-determined the location of
382 the canyons by facilitating the erosional downcutting during the formation of the canyon base, this
383 influence has not been instantaneous, instead the impact on the canyon evolution can be felt as
384 late as tens of million years (or more).

385 **5.5 Implication**

386 Previous studies show that the tectonically active settings tend to develop small-scale, short-lived
387 canyons (Eyles and Lago, 1998), while canyons in tectonically stable passive margin settings tend
388 to develop relatively large scale canyons which are active for longer periods (Coleman et al., 1983).
389 However, we reveal that in the tectonically active regions, uplift and tilting due to tectonic
390 deformation induce an increased in sediment supply and seismicity, which can promote mass
391 failure events thus contribute significantly to the formation of large-scale submarine canyons.
392 Therefore, we indicate that the factors which preconditioned and triggered mass-transport
393 complexes can also induce canyon initiation and facilitate canyon development. We suggest that
394 the plate tectonic scale events (i.e. continental breakup and shortening) have a first-order influence
395 on the submarine canyon initiation and evolution. The impact from the regional tectonics to the
396 buried canyons can be instantaneous (i.e. directly trigger canyoning processes), or their influence
397 can also be postponed (i.e. indirectly influence the seabed topography thus the canyon geometry).

398 **6. Conclusion**

399 1. The interpretation of the seismic data reveals that the sedimentological evolution of buried
400 canyons can be divided into: (i) a mass wasting processes dominated the erosional-depositional
401 stage, (ii) a turbidite dominated depositional stage, and (iii) a mixed mass wasting processes-
402 turbidites dominated erosional-depositional stage. We indicate that in the deeper submarine
403 settings (i.e. lower continental slope or abyssal plain), the interplay of turbidity currents and mass
404 failure events control the canyon sedimentological and architectural patterns.

405 2. The intimate association of the buried canyon base with the Late Miocene uplift events suggest
406 that canyon inception was triggered by Miocene uplifting and associated upper slope instability.
407 We suggest that repeated mass failure is the most likely driving mechanism of the buried canyon

408 inception, in conjunction with increased sediment flux due to exhumation of the margin.
409 3. We interpret the extensional faults associated with the late Cretaceous plate separation
410 between Australia and Antarctica as responsible for the inception and evolution of the buried
411 canyons by increasing the steepness of the paleo-seabed, thus controlling the canyon geometry
412 and location.
413 4. Plate-scale tectonic events have a close link with the submarine canyon initiation and evolution
414 processes. The influence from regional tectonic movements to the initiation of canyons may have
415 been almost instantaneous (i.e. directly triggering canyoning processes), or their influence can also
416 be delayed (i.e. indirectly influence the canyon geometry).

417 Figure Caption

418 Figure 1. Location map of the study area. (a) Regional map of Australia. The black box marks the
419 area shown in Figure 1b. Solid triangles indicate the direction of subduction, black arrows indicate
420 slab pull forces, white arrows indicate resisting continent-continent collisional forces.
421 Abbreviations: CB=collisional boundary, SZ=subduction zone; IA=island arc. Figure 1a is modified
422 from Hillis et al. (2008). (b) Zoom-in map of the study area showing the location of the city of
423 Portland and the Otway Basin. The white lines represent 2D seismic reflection data, the black
424 dotted line represents the location of the modern shelf edge and the inferred location of the
425 Miocene continental shelf edge (modified from Leach and Wallace, 2001), and the red box
426 represents the extent of the 3D seismic reflection dataset. The GEBCO_2014 bathymetry map was
427 sourced from <https://www.ngdc.noaa.gov/maps/autogrid/>.

428 Figure 2. Stratigraphic and tectonic event chart for the study area, showing the key horizons
429 mapped in the seismic data and major tectonic events in the study interval. This figure is modified
430 from Krassay et al. (2004) and Perincek and Cockshell (1995). Horizon H1 has been correlated to
431 the late-Cretaceous unconformity surface from Holford et al. (2014). Horizon H2 has been
432 correlated to the late-Miocene unconformity surface from Hillis et al. (2008).

433 Figure 3. Large scale regional seismic section across the inner SE Australian shelf to the deeper
434 abyssal plain, highlighting unconformity surfaces (H1 and H2), and basinward thickening of the
435 Upper Cretaceous mega-sequence. See the location of this regional seismic profile in Figure 1b.

436 Figure 3 is originally downloaded and modified from the Regional Geology of the Otway Basin
437 report, Geoscience Australia online Repository.

438 Figure 4. (a) W-E seismic cross-section through the 3D seismic data area (see Figure 1b for location).
439 (b) Interpreted seismic cross-section, showing the key horizons, major faults, and the location of
440 the buried canyons.

441 Figure 5. (a) N-S seismic dip-section through the 3D seismic data area (see Figure 1b for location).
442 (b) Interpreted seismic dip-section, showing the key horizons and the major faults.

443 Figure 6. General seismic facies characteristics observed in this study.

444 Figure 7. (a) Isopach map of Horizon H2 within OS02 3D area. (b) interpreted sketch of Figure 7a,
445 showing the morphology of buried canyons (BC-1 and BC-2).

446 Figure 8. (a) Seismic section across the Upper Segment of the BC-1, showing the location of BC-1
447 and Horizons H1 and H2, (b) Seismic section across the Upper Segment of BC-1, (c) Seismic section
448 across the Lower Segment of BC-1, (d) Seismic section across the Lower Segment of the BC-1. See
449 the location of this figure in Figure 7b, and see the uninterpreted, clean seismic sections in the
450 supplementary material.

451 Figure 9. (a) 2D seismic section in the deep submarine settings imaging the buried canyons. (b) 2D
452 seismic section shows the dip-section of the buried canyons. See location of this figure from Figure
453 1b, the uninterpreted seismic sections are available in the supplementary material.

454 Figure 10. (a) Variance attribute calculated on horizon H2.1, showing a map view of MTC-1. Note
455 that the white dotted lines indicate the boundary of the buried canyon, and the yellow dotted lines
456 indicate the boundary of MTCs. (b) Variance attribute calculated on horizon H2.2, showing a map
457 view of MTC-2. (c) Variance attribute calculated on horizon H2.3, showing a map view of MTC-3.
458 See the location of this figure in Figure 7b.

459 Figure 11. (a) Variance attribute map calculated on the horizon a (see the location of this horizon
460 in Figure 11c), showing the extensional faults formed during the Late Cretaceous. (b). Interpreted
461 view of Figure 11a, showing the location of the extensional faults (white dotted lines) and the
462 location of the buried canyons (blue dotted lines). (c) Seismic cross-section through the area
463 outside of the buried canyons (see Figure 11b for location). See an uninterpreted version of Figure
464 11c in the supplementary material.

465 Figure 12. Schematic figure showing the evolution model of the buried canyons. (a) Schematic

466 figure showing the regionally distributed Late Cretaceous extensional faults. (b) Schematic figure
467 showing the initiation of the MTCs that formed the canyon bases during the Late Miocene. (c)
468 Schematic figure showing the canyon-fill pattern after the formation of the canyon base.

469 Data Access

470 The data used in this study can be requested from the Geoscience Australia Repository
471 <https://www.ga.gov.au/data-pubs>. In this study we used OS02 3D survey and OS02 2D survey. The
472 GEBCO_2014 bathymetry map is downloaded from <https://www.ngdc.noaa.gov/maps/autogrid/>.

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667

Figure 1

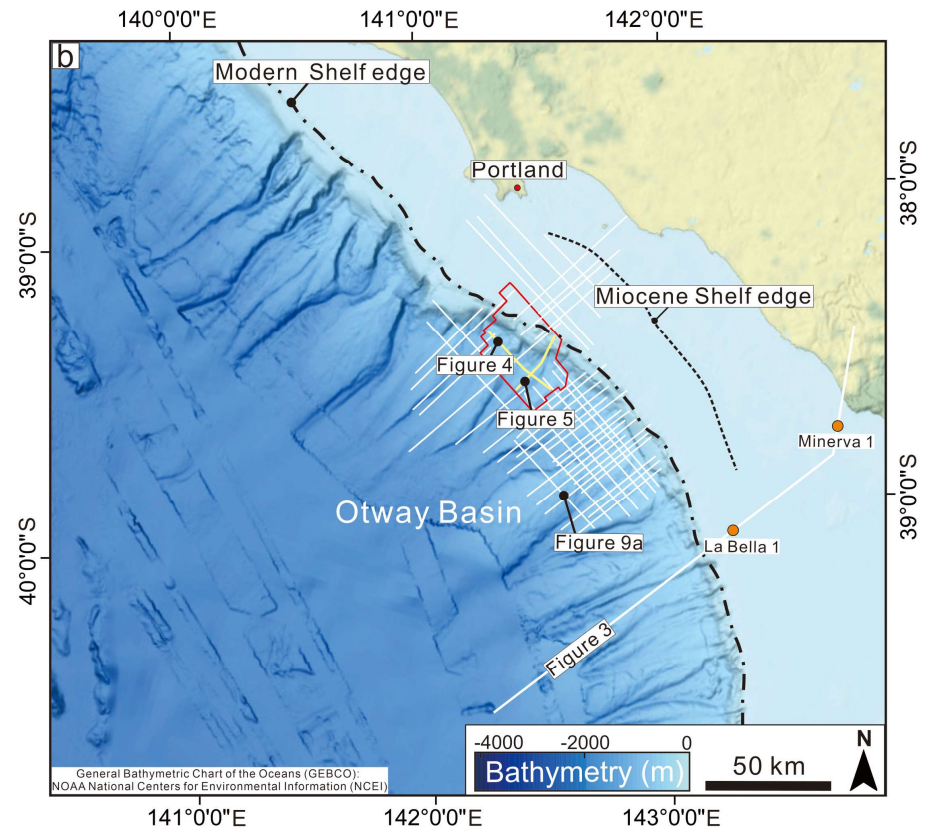
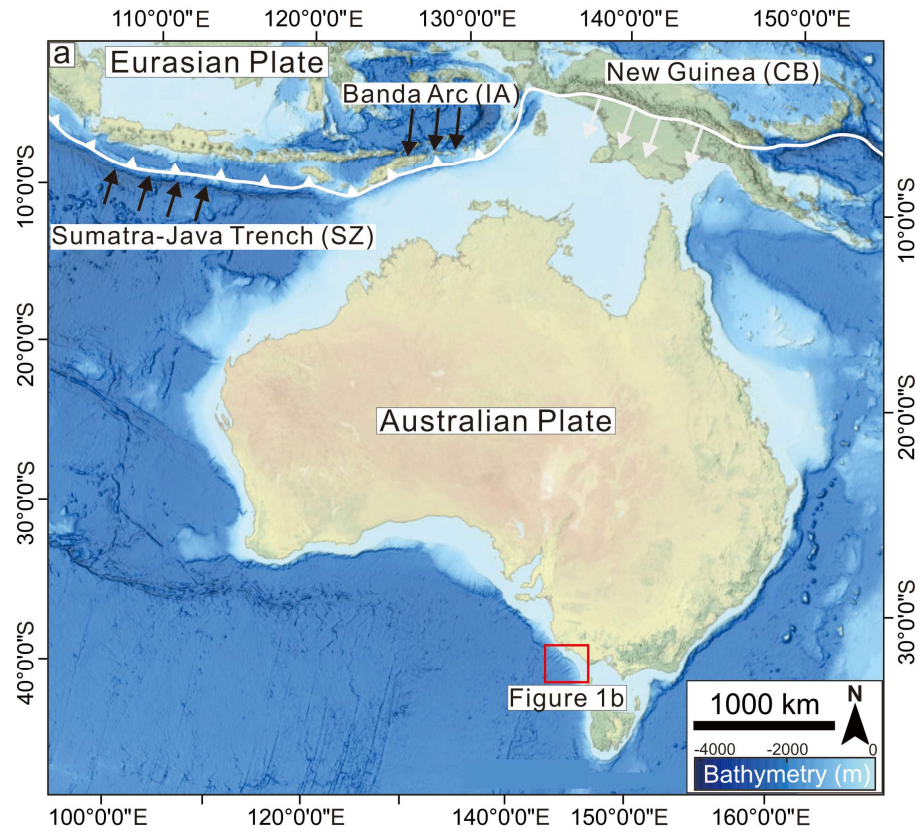


Figure 2

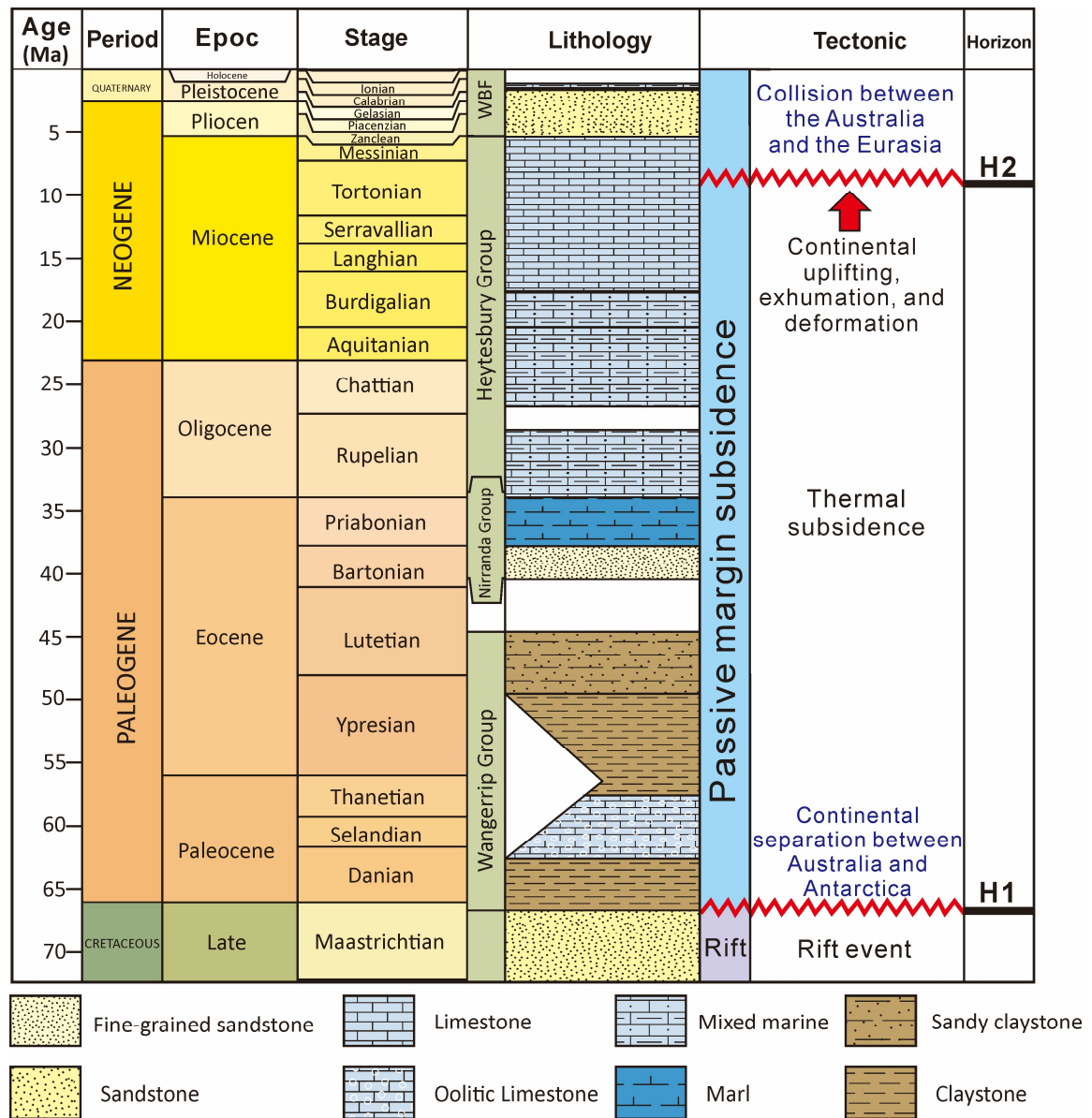


Figure 3

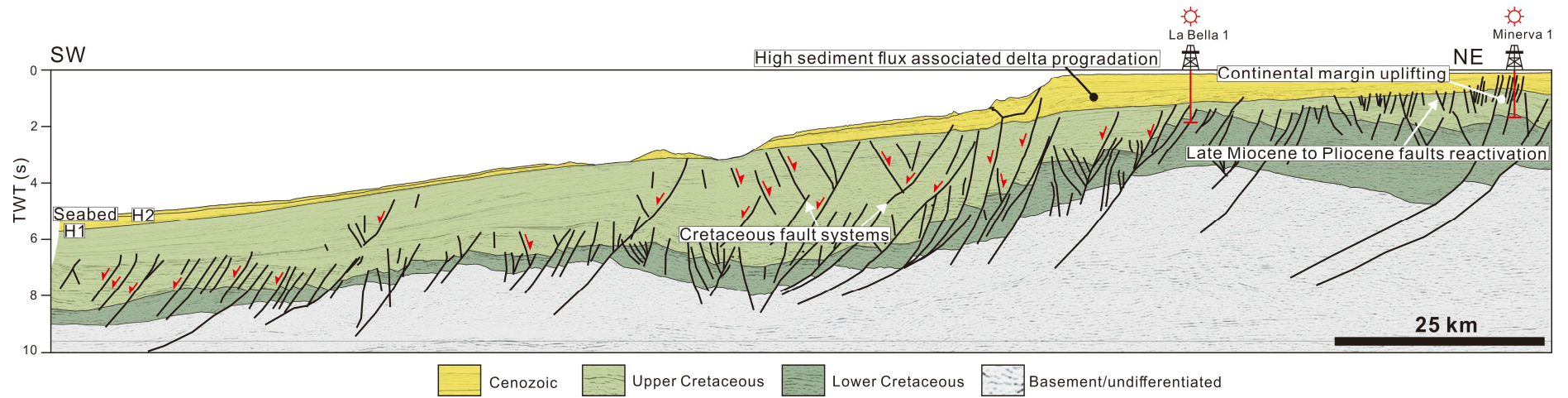


Figure 4

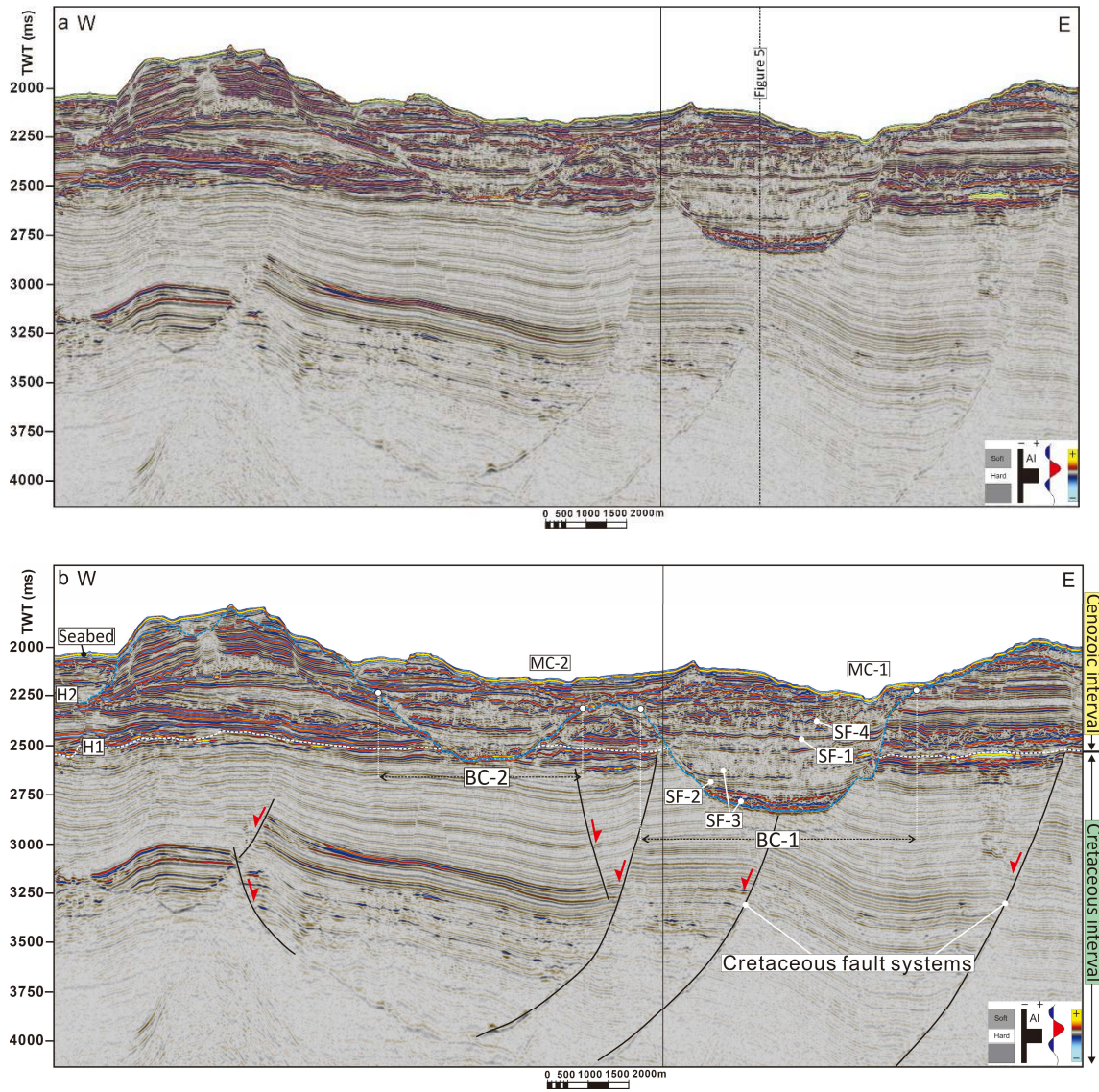


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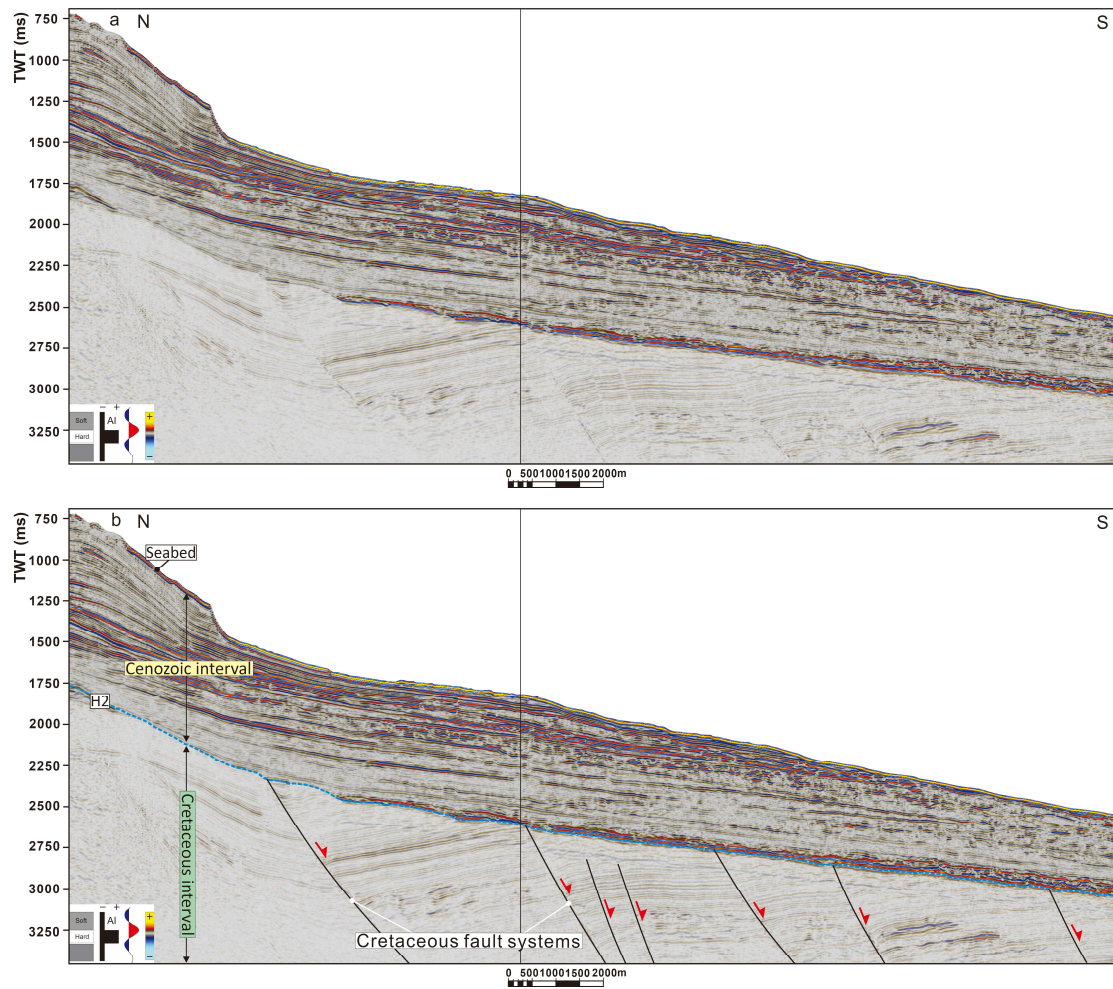


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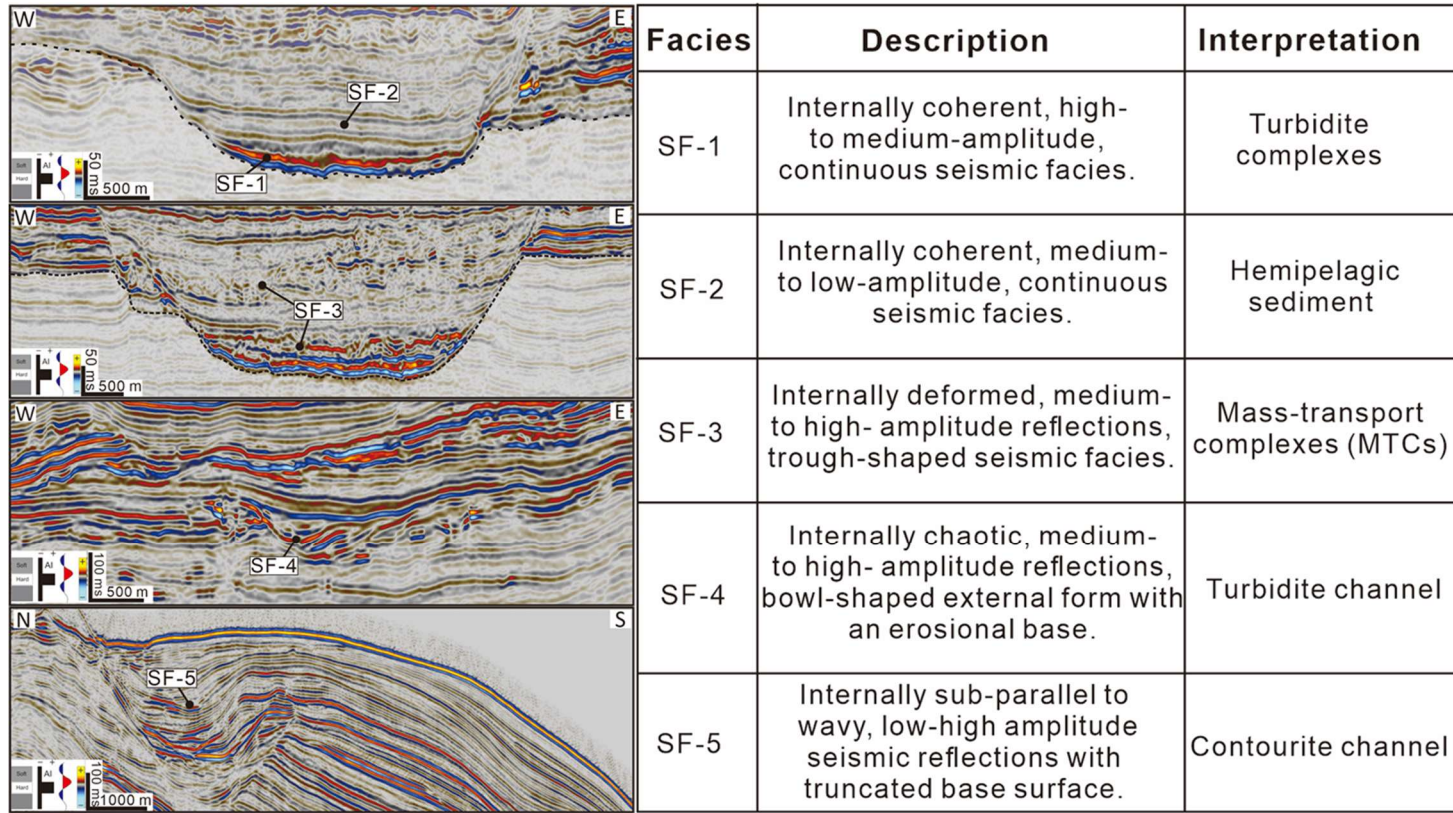


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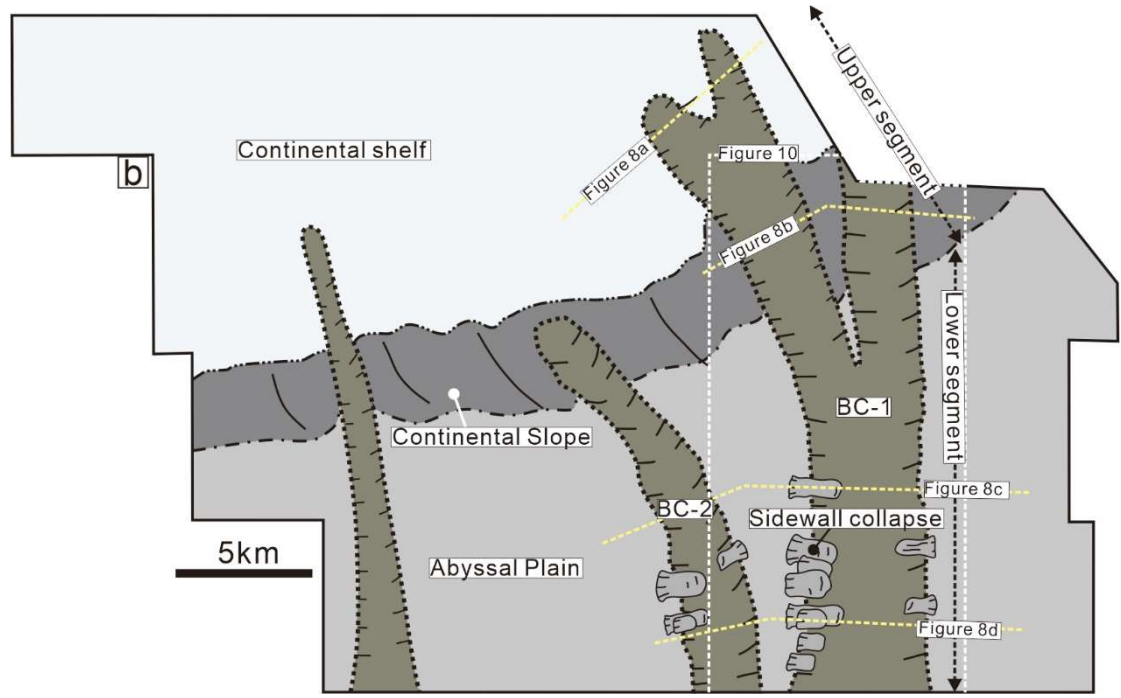
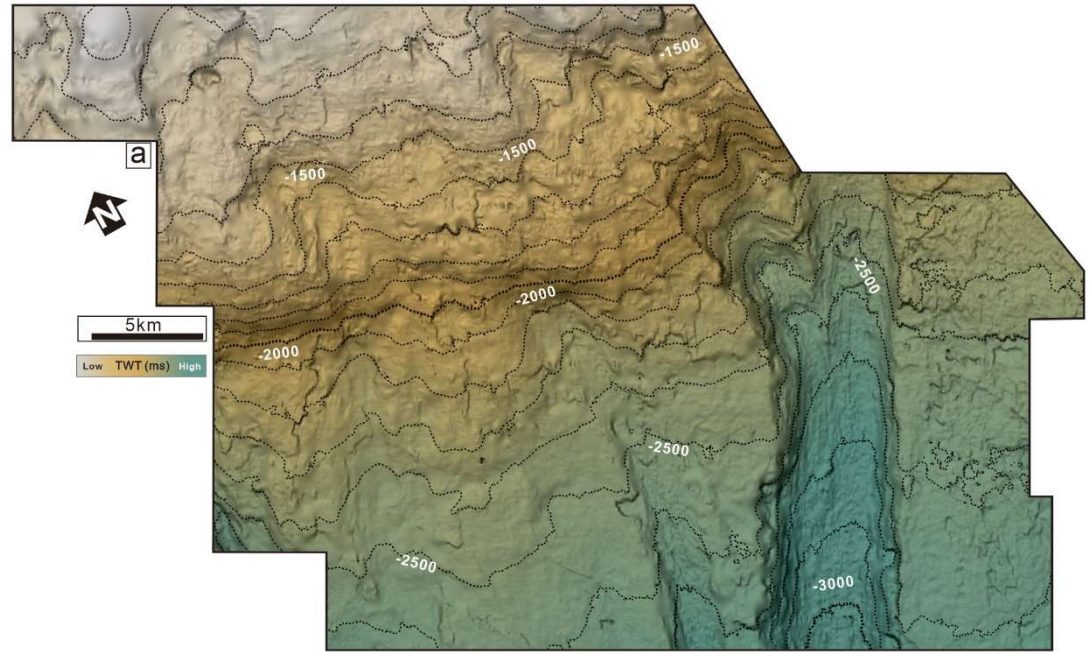


Figure 8

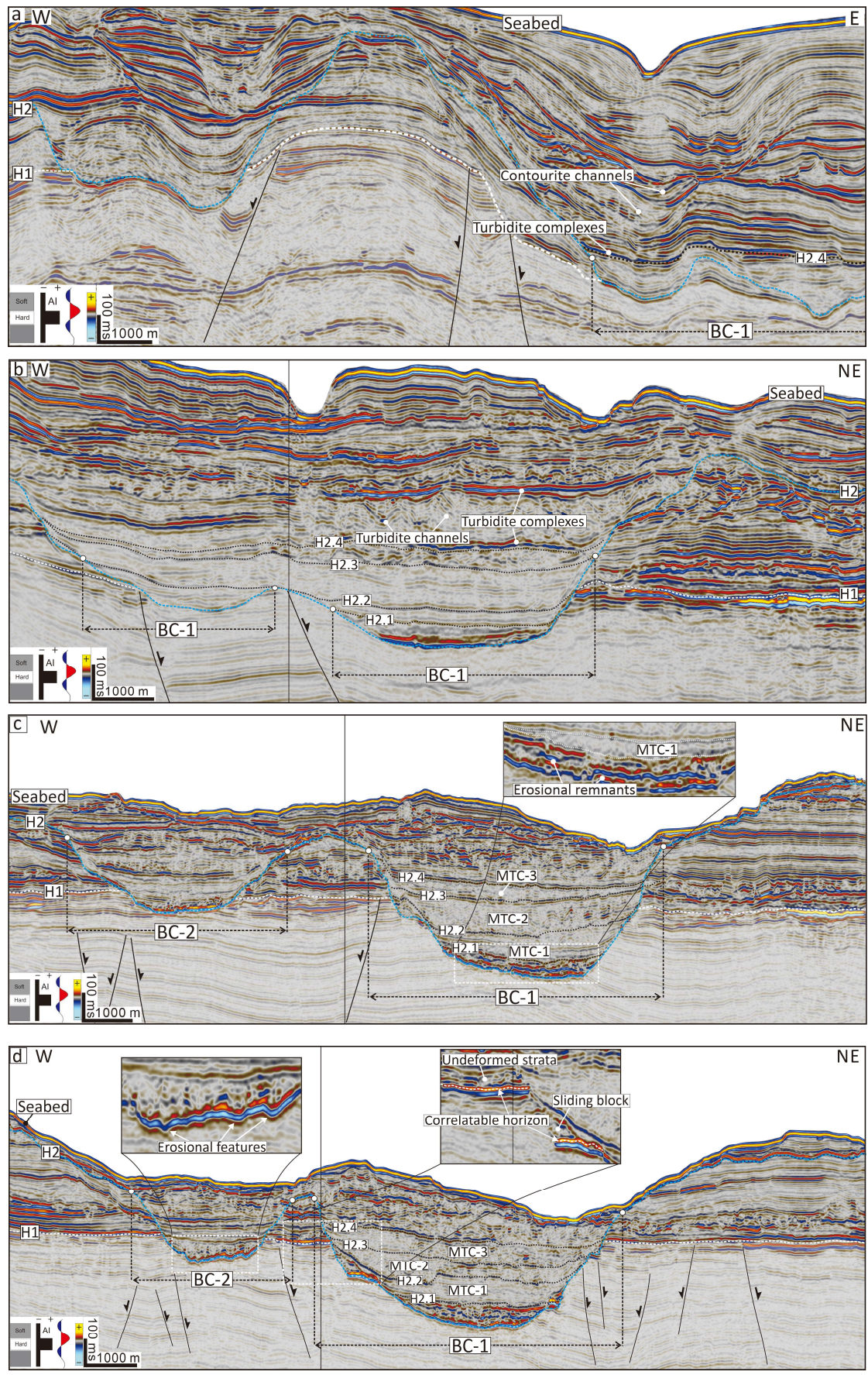


Figure 9

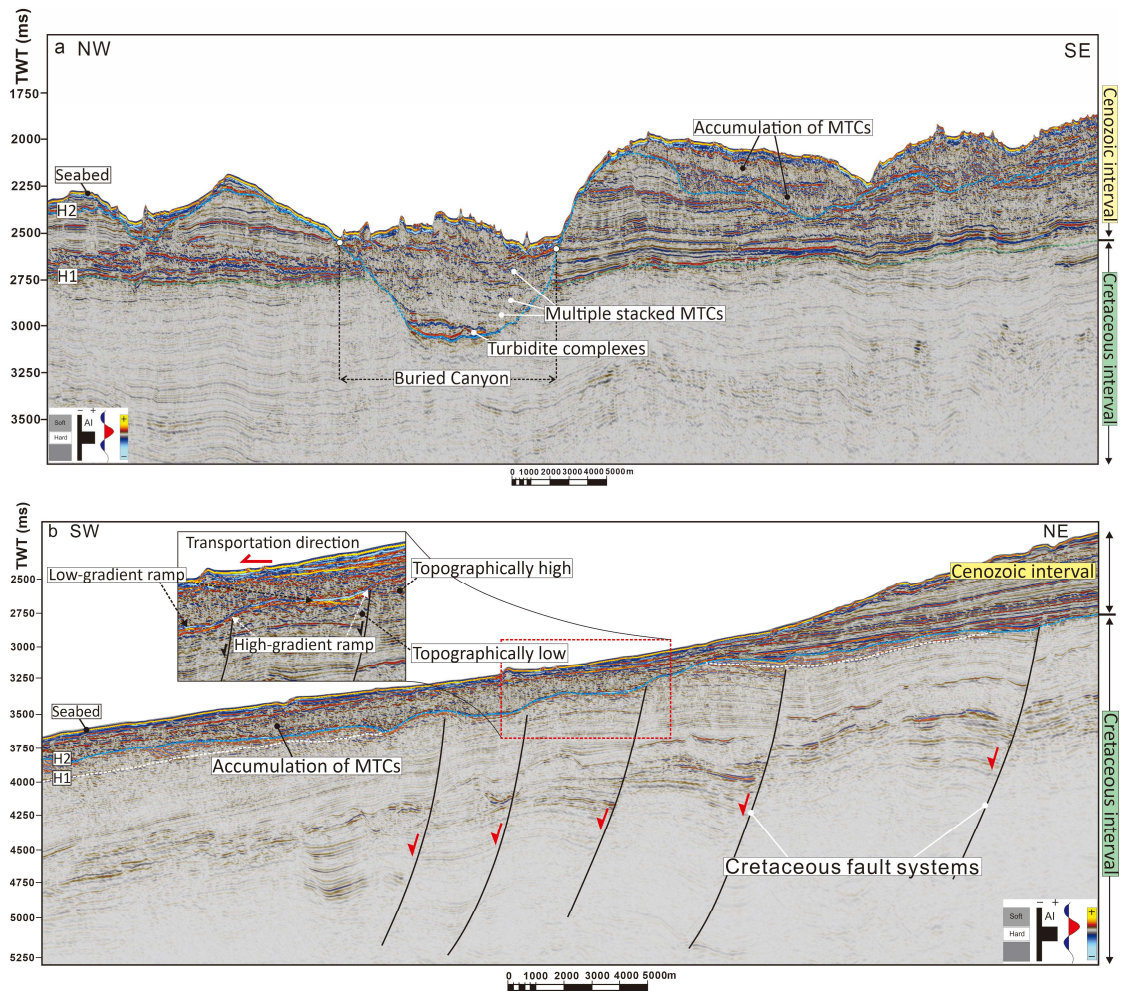


Figure 10

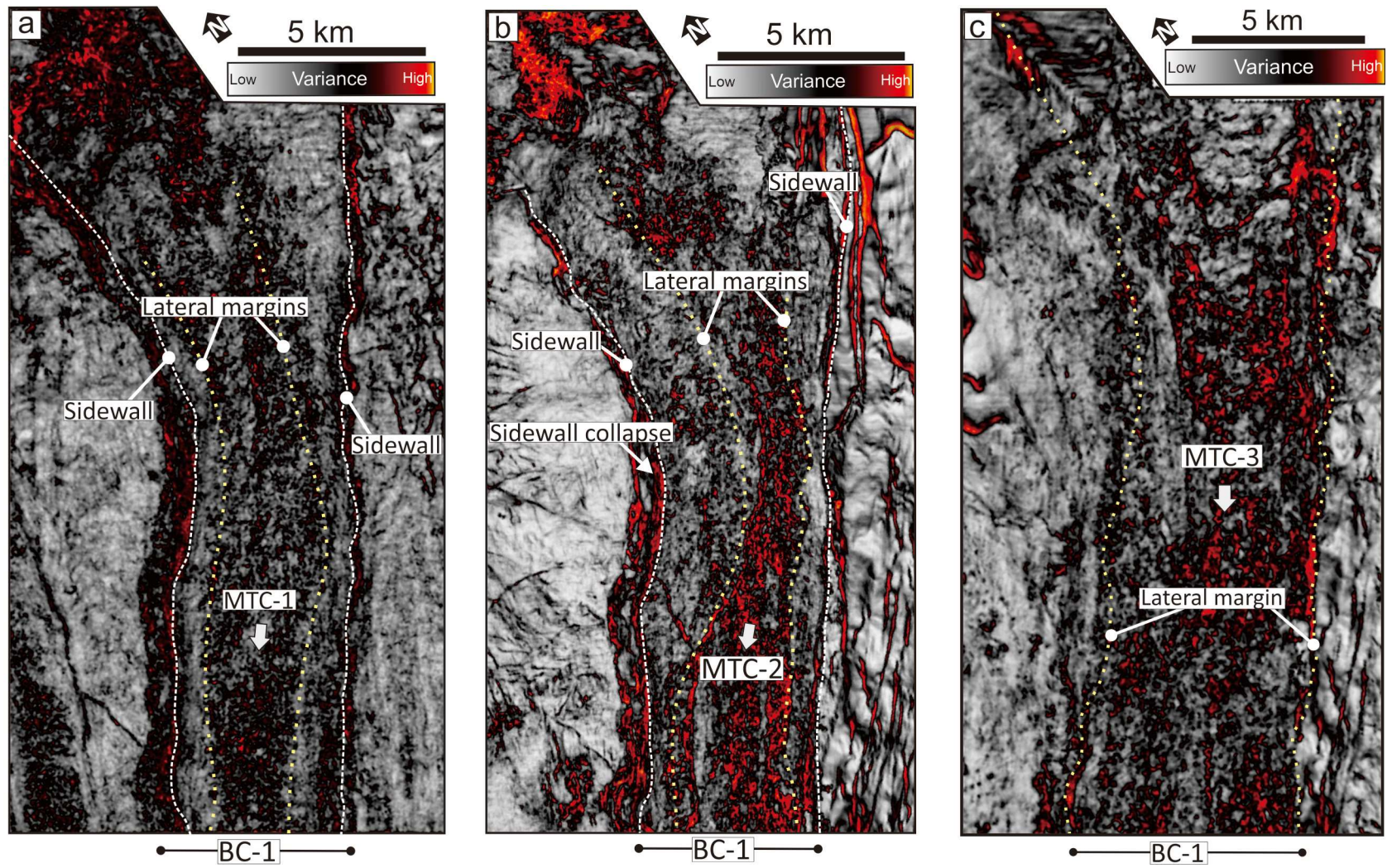
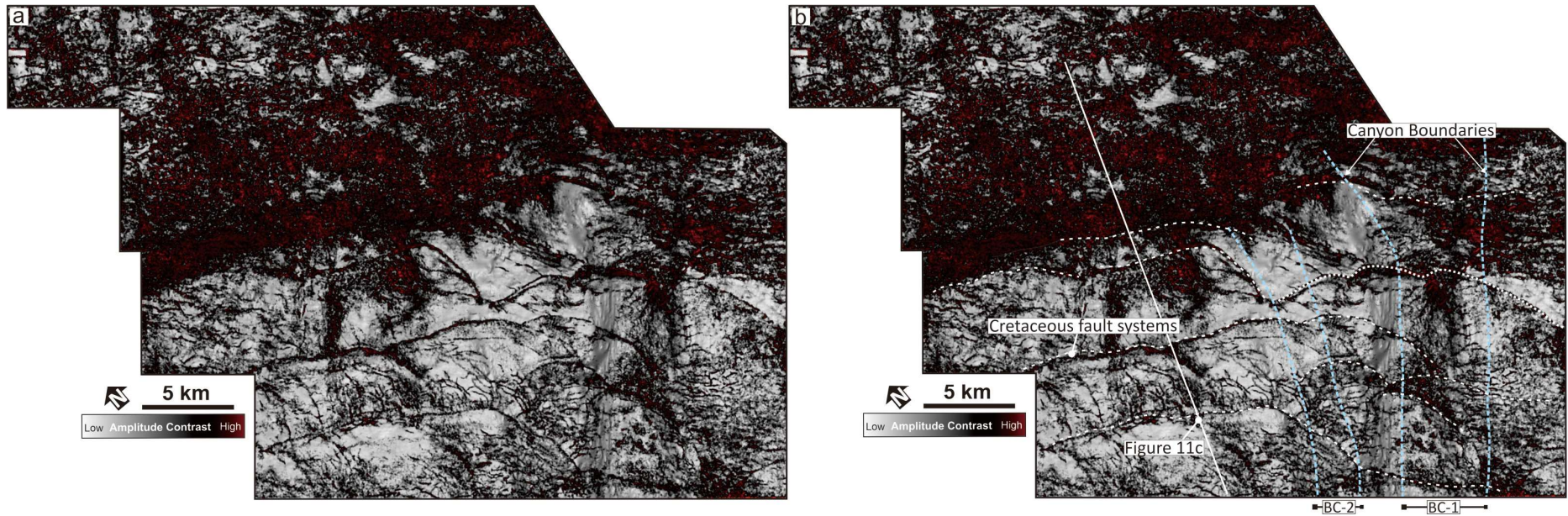


Figure 11



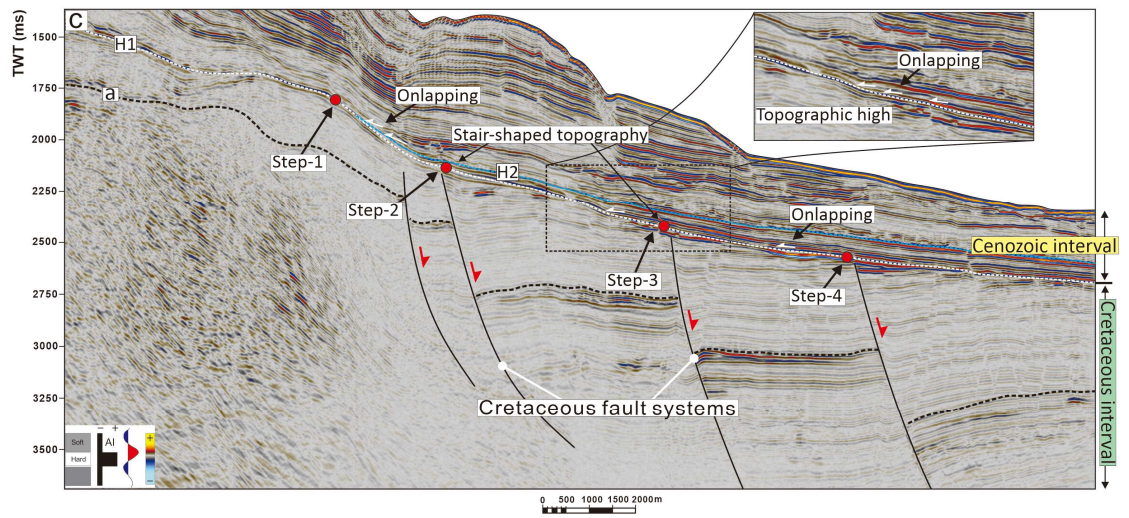
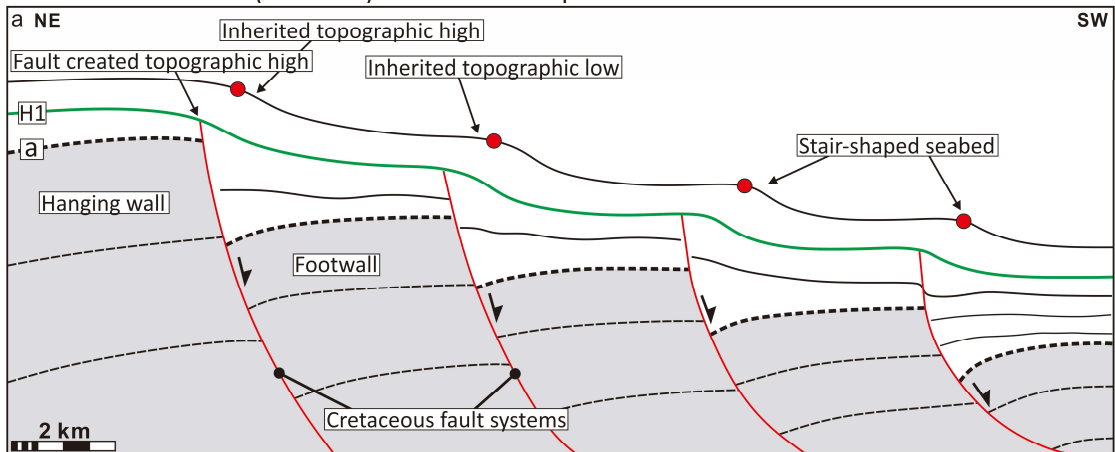
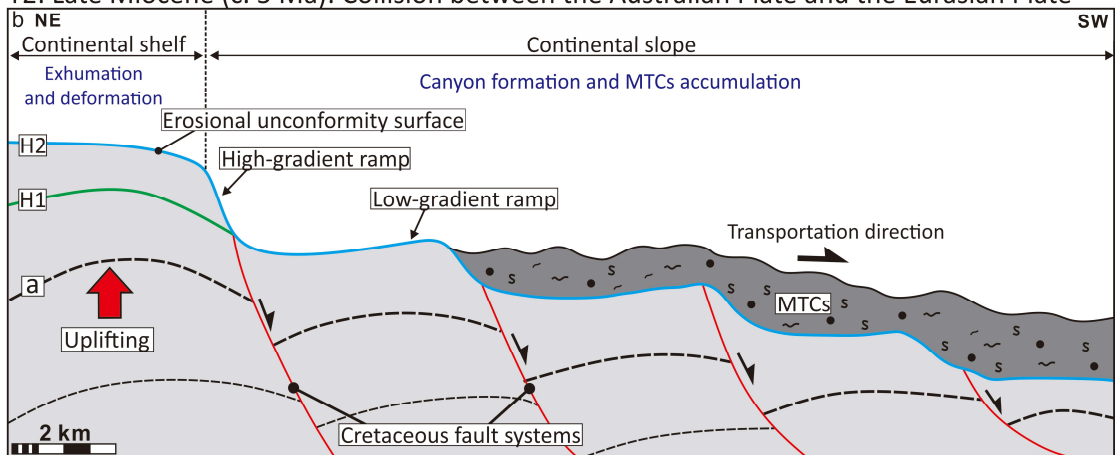


Figure 12

T1: Late Cretaceous (c. 65 Ma): Continental separation between Australia and Antarctica



T2: Late Miocene (c. 5 Ma): Collision between the Australian Plate and the Eurasian Plate



T3: Post Late Miocene

