

1           **Understanding process controls on groundwater recharge**  
2           **variability across Africa through Recharge Landscapes**

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## 23 **Abstract**

24 Groundwater is critical in supporting current and future reliable water supply throughout  
25 Africa. Although continental maps of groundwater storage and recharge have been  
26 developed, we currently lack a clear understanding on how the controls on groundwater  
27 recharge vary across the entire continent. Reviewing the existing literature, we synthesize  
28 information on reported groundwater recharge controls in Africa. We find that 15 out of 22 of  
29 these controls can be characterised using global datasets. We develop 11 descriptors of  
30 climatic, topographic, vegetation, soil and geologic properties using global datasets, to  
31 characterise groundwater recharge controls in Africa. These descriptors cluster Africa into 15  
32 Recharge Landscape Units for which we expect recharge controls to be similar. Over 80% of  
33 the continents land area is organized by just nine of these units. We also find that aggregating  
34 the Units by similarity into four broader Recharge Landscapes (Desert, Dryland, Wet tropical  
35 and Wet tropical forest) provides a suitable level of landscape organisation to explain  
36 differences in ground-based long-term mean annual recharge and recharge ratio estimates.  
37 Furthermore, wetter Recharge Landscapes are more efficient in converting rainfall to  
38 recharge than drier Recharge Landscapes as well as having higher annual recharge rates. In  
39 Dryland Recharge Landscapes, we found that annual recharge rates largely varied according  
40 to mean annual precipitation, whereas recharge ratio estimates increase with increasing  
41 monthly variability in P-PET. However, we were unable to explain why ground-based  
42 estimates of recharge signatures vary across other Recharge Landscapes, in which there are  
43 fewer ground-based recharge estimates, using global datasets alone. Even in dryland regions,  
44 there is still considerable unexplained variability in the estimates of annual recharge and  
45 recharge ratio, stressing the limitations of global datasets for investigating ground-based  
46 information.

47 **Keywords:** Groundwater recharge, Africa, recharge controls, ground-based estimates,  
48 landscapes, comparative hydrology

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## 50 **1 Introduction**

51 With an estimated storage of 0.66 million km<sup>3</sup>, groundwater is the largest store of freshwater  
52 in Africa and its development is fundamental for securing current and future water supply  
53 (MacDonald et al., 2012). With such volume, groundwater in Africa exceeds the estimated  
54 annual volumes of streamflow by a factor of 100 (MacDonald et al., 2012). High inter-annual  
55 variability of streamflow in dryland river basins s the challenges of securing water supply  
56 solely from surface water sources (Conway et al. 2009; Siam and Eltahir 2017; Sidibe et al.  
57 2019). For example, in the Sahel and Southern Africa, standard deviations in annual river  
58 flows can be up to 100% of the long term mean flow (Dettinger and Diaz 2000), and Siam  
59 and Eltahir, (2017) have already shown that inter-annual streamflow variability has increased  
60 with climate change in the Nile basin. In agriculture-dependent economies such as those in  
61 rural Africa, economic growth is hampered by such uncertain water supply due to the strong  
62 inter-annual variability in rainfall; for example Ethiopia may have 38% less economic growth  
63 than it would have under average rainfall conditions (Hall et al. 2014). Poor investments in  
64 reservoir infrastructure in much of Africa mean that per capita storage is low and does not  
65 sufficiently alleviate the problem of variability (Hall et al. 2014). Furthermore, in regions  
66 where streamflow predominantly varies at decadal timescales, such as in the Sahel, persistent  
67 dry periods can lead to long-term shortages in surface water supply (Conway et al. 2009;  
68 Sidibe et al. 2019). Increased use of groundwater could therefore reduce vulnerability to  
69 climate driven surface water shortages, particularly in rural communities (Calow et al., 1997;

70 Lapworth et al., 2013; MacDonald & Calow, 2009) and generally improve water accessibility  
71 (Robins et al., 2006).

72 Yet, our understanding of the spatial variability of groundwater recharge processes across  
73 Africa remains limited, constraining our ability to plan for the sustainable use of this resource  
74 (MacDonald et al., 2021). Recent studies have tried to overcome this problem in multiple  
75 ways: [1] Scaling up knowledge from a limited number of detailed local studies. Cuthbert *et*  
76 *al.* (2019b) used multi-decadal groundwater level timeseries in conjunction with local  
77 knowledge to develop site specific conceptual models which allowed the authors to highlight  
78 a relationship between climate and recharge frequency, sensitivity to precipitation and  
79 dominant recharge mechanisms. However, this approach relies heavily upon rare long-term  
80 data as well as local knowledge and therefore it is challenging to transfer findings to larger  
81 scales or different regions. [2] Most studies have based their continental scale estimates on  
82 process-based models. Global scale hydrological models and land surface models can  
83 estimate groundwater recharge rates across large spatial domains (Reinecke et al. 2021).  
84 However, these models largely rely upon global datasets for their parameterisation with only  
85 very limited levels of evaluation against hydrologic fluxes – especially fluxes rarely  
86 estimated locally such as groundwater recharge (Bierkens, 2015; Telteu *et al.*, 2021;  
87 Wagener *et al.*, 2021). Global models thus far also include only a limited number of process  
88 representations and neglect regionally dominant controls, such as karst (Hartmann et al.,  
89 2015; Hartmann et al., 2014) or dryland-specific hydrological processes (Quichimbo et al.  
90 2021). [3] Most recently, MacDonald et al. (2021) used 134 ground-based annual recharge  
91 estimates compiled from the literature along with global datasets to develop a continental  
92 statistical model. This model enabled them to estimate long-term groundwater recharge rates  
93 across Africa using mean annual precipitation without qualitative inclusion of different  
94 recharge processes.

95 Here, we want to improve our understanding of the hydrologic controls governing the spatial  
96 variability of groundwater recharge (MacDonald et al., 2021) across Africa, utilizing the  
97 wider knowledge on controlling processes gained throughout the literature. We specifically  
98 aim to answer three questions: (i) What are the dominant controls on groundwater recharge  
99 already identified across Africa in previous studies? (ii) Using global datasets only, what  
100 descriptors of controlling processes can we define, and which regions of Africa should have  
101 similar recharge controls when clustered using these descriptors? (iii) How do these regions  
102 for which we expect similar controls compare to ground-based recharge observations? Due to  
103 the limited amount of ground-based data on groundwater recharge in Africa, we adopt an  
104 approach which builds strongly on our a priori understanding of recharge controls in Africa  
105 identified from the literature. In doing so we build on previous efforts by Scanlon et al.  
106 (2006) who synthesized qualitative local knowledge of recharge processes for the world's dry  
107 regions. In keeping with the database compiled by (MacDonald et al., 2021), we only review  
108 the controls on recharge which is distributed throughout the landscape and exclude recharge  
109 from large discrete features such as rivers or lakes. We follow the ideas of Winter's concept  
110 of hydrological landscapes (Winter 2001) and define Recharge Landscape Units to represent  
111 areas for which we expect similar recharge controls. We then compare these areas against an  
112 openly available, comprehensive and thoroughly quality assured dataset of ground-based  
113 recharge estimates in Africa, recently published by MacDonald et al. (2021).

114

## 115 **2. Review of process controls on groundwater recharge across Africa**

116 Most of the existing knowledge base on groundwater recharge processes, controls and rates in  
117 Africa comes from a relatively small number of case studies investigating recharge at the  
118 field, catchment, or sometimes regional scale. These studies use a wide range of methods to

119 understand recharge processes throughout the continent, with approaches often varying  
120 according to environmental setting, data availability and the objective of the individual  
121 studies (MacDonald et al. 2021). Details of the strengths and weaknesses of the different  
122 methods can be found in Scanlon et al. (2002) and Healy (2010). We organize the review of  
123 controls into four domains: climate and weather, topography, landcover/use, and soils and  
124 geology. The aim of this review is firstly to identify dominant controls on groundwater  
125 recharge, and secondly to understand whether these controls have clear positive or negative  
126 relationships with groundwater recharge, or if their relationship with recharge is ambiguous.  
127 We are considering processes that govern the potential recharge of an aquifer, which can be  
128 less than the actual recharge since some potential recharge is rejected if the aquifer is full. We  
129 show a summary of this review in Figure 1. An extended version of the review can be found  
130 in the supplemental information.

### 131 *Climate and weather*

132 Annual scale components of the water-energy balance are a first order control on the spatial  
133 variability of groundwater recharge (Kim and Jackson, 2012; Mohan *et al.*, 2018; Cuthbert *et*  
134 *al.*, 2019b; MacDonald *et al.*, 2021), as they control the quantity of water available to be  
135 partitioned into groundwater recharge, as well as the energy available to partially control  
136 atmospheric losses (Budyko, 1974). Hence studies in Africa show variability of annual  
137 recharge rates along a climate gradient, largely defined by precipitation due to the generally  
138 high levels of energy available (MacDonald et al. 2021). In an upland catchment of  
139 Cameroon where rainfall exceeds 3000 mm/year, estimated recharge rates exceed 900  
140 mm/year (Kamtchueng et al. 2015), in comparison to recharge rates between 160 mm/year  
141 and 330 mm/year in the Ethiopian Highlands where annual rainfall is approximately 1300  
142 mm/year (Azagegn et al. 2015; Banks et al. 2021; Demlie 2015). Groundwater resources  
143 throughout the deserts, which receive very little annual rainfall (Nicholson 2000), are

144 recharged at rates below 5 mm/year (Foster et al., 1982; Dabous and Osmond, 2001; Zouari  
145 et al., 2011), or may not even be actively recharged (Befus et al. 2017). In these regions deep  
146 ‘fossil’ groundwaters recharged prior to the Holocene dominate aquifer stores (Sturchio et al.,  
147 2004; Guendouz et al., 2006; Abotalib et al., 2016; Jasechko et al., 2017).

148 Groundwater recharge volumes are often biased towards the rainy season as elevated rainfall  
149 is required to overcome high rates of evapotranspiration (Bromley *et al.*, 1997; Demlie *et al.*,  
150 2007; Walraevens *et al.*, 2009; Mechal et al., 2015), and greater monthly and daily  
151 precipitation intensity leads to a more efficient conversion of rainfall to recharge (Jasechko  
152 and Taylor 2015; Owor et al. 2009; Taylor and Howard 1996). Groundwater level  
153 observations in the Makutapora wellfield, Tanzania, suggest that recharge is dependent upon  
154 months with the most extreme (> 95<sup>th</sup> percentile) rainfall (Taylor et al. 2013) often enhanced  
155 by the El Nino Southern Oscillation and the Indian Ocean Dipole. However, the multiple  
156 climate oscillations known to affect climate patterns in Africa (Brown et al., 2010) can have  
157 opposing effects in different parts of the continent (Nicholson and Kim 1997). Nonetheless,  
158 wetting and drying cycles are being reflected in observed groundwater hydrographs  
159 throughout Africa (Taylor *et al.*, 2013; Cuthbert *et al.*, 2019b; Kolusu *et al.*, 2019), showing  
160 both seasonally extreme recharge events as well as recharge events which are more episodic  
161 in nature.

162 Episodic rainfall events are particularly important in arid landscapes where recharge often  
163 depends upon a small number of days of intense rainfall (Vogel and Van Urk, 1975; Mazor *et al.*  
164 *al.*, 1977; Van Tonder and Kirchner, 1990; Nkotagu, 1996; De Vries et al., 2000; Xu and  
165 Beekman, 2003; Wanke et al., 2008). Döll and Fiedler (2008) stressed the importance of  
166 heavy rainfall events in semi-arid and arid regions as they modelled groundwater recharge  
167 globally, applying a rainfall threshold of 10 mm/day to drylands, below which they assumed  
168 recharge would not occur. They identified this threshold via an independent analysis of 25

169 chloride profile estimates of annual recharge distributed throughout the world as well as  
170 regional model estimates of recharge in Death Valley, California.

171 In summary, annual and seasonal precipitation as well as heavy rainfall events have a positive  
172 relationship with groundwater recharge in Africa – largely driving inter- and intra-annual  
173 recharge variability, while the amount of energy available from radiation has a negative  
174 relationship with groundwater recharge. However, the influence of large-scale climate  
175 oscillations on groundwater recharge in Africa is less clear as their effect on climate patterns  
176 vary regionally.

### 177 *Topography*

178 Topographic slope controls the movement of water across the land surface and therefore  
179 controls water infiltration into the subsurface and groundwater recharge, with gentler slopes  
180 promoting more recharge than steeper slopes (Simmers 1990). The role of slope in  
181 controlling groundwater recharge has been discussed throughout many different regions of  
182 Africa, including Ethiopia (Gebreyohannes et al. 2013), Nigeria (Abdullateef et al. 2021;  
183 Fashae et al. 2014), Botswana (Lentswe and Molwalefhe 2020) and Algeria (Boufekane et  
184 al., 2020). Yet interestingly, McKenna and Sala (2018) found that recharge beneath flat  
185 playas in the south-western United States is greater when they are surrounded by steeper  
186 slopes which promote greater run-on onto the playa.

187 In dry regions, intense rainfall events are important drivers of focused recharge through flash  
188 flooding (Sultan et al. 2000) and the formation of ephemeral water bodies and depression  
189 storage (Lehner and Döll, 2004) , i.e. in areas where water accumulates on the land surface.

190 In Africa’s dry regions, alluvial aquifers underlying dry riverbeds are recharged episodically  
191 or perhaps seasonally by river transmission losses following heavy rainfall (Tantawi, El-  
192 Sayed and Awad, 1998; Sultan *et al.*, 2000; Gheith and Sultan, 2002; Benito *et al.*, 2010;



193 Walker et al., 2019; Seddon *et al.*, 2021). These storms can activate focused recharge  
194 mechanisms despite negligible diffuse recharge in interfluvial regions due to high evaporation  
195 (Favreau et al. 2009). In endoreic arid basins, surface water can also accumulate in salt pans  
196 which typically occupy topographic depressions (Lehner and Döll 2004). (De Vries et al.,  
197 2000) use chloride profiles to show that in the eastern fringes of the Kalahari Desert, recharge  
198 is enhanced under these pans, with estimated annual rates of 50mm in comparison to 7mm for  
199 the surrounding landscape.

200 Therefore, slope generally has a negative relationship with groundwater recharge since it will  
201 provide an easier flow path for water to move downhill, whereas topographic depressions  
202 have a positive relationship with (focused) groundwater recharge because they allow water to  
203 accumulate.

#### 204 *Landcover/use*

205 Landcover and use varies considerably across the African continent. Bare soils (33% of  
206 Africa's land area) occupy most of northern Africa as well as parts of southern and eastern  
207 Africa, whilst grasslands (15.4%), shrublands (13.4%) and agriculture (11.6%) are largely  
208 distributed throughout the Sahel and Southern and Eastern Africa, and forests and woodland  
209 (26%) spread across western, central and south-eastern regions (Mayaux *et al.*, 2004;  
210 Tsendbazar et al., 2017; Xiong *et al.*, 2017). These vegetation patterns influence the spatial  
211 variability of groundwater recharge (Kim and Jackson 2012) through their control over  
212 transpiration, interception and soil evaporation fluxes (Gordon *et al.*, 2005; Schlesinger and  
213 Jasechko, 2014; Good et al., 2015).

214 An estimated 7% of the continent's precipitation returns to the atmosphere via interception  
215 evaporation, mostly occurring in the densely forested regions of Central Africa where this  
216 flux can exceed 10% of the precipitation input (Miralles et al. 2010; Zhang et al. 2016; Zheng

217 et al. 2017). Globally, we could not find any studies directly discussing the relationship  
218 between rainfall interception and groundwater recharge. However, it seems reasonable to  
219 assume that by limiting the amount of precipitation reaching the land surface, interception  
220 consequently reduces groundwater recharge.

221 An estimated 49% and 21% of precipitation over Africa returns to the atmosphere via  
222 transpiration and bare soil evaporation, respectively (Zhang et al. 2016). The bulk of  
223 continental transpiration is associated with the tropical forests (Gordon *et al.*, 2005; Good et  
224 al., 2015), where tall vegetation with deep rooting systems increases the capacity of root-zone  
225 moisture storage (Nijzink et al. 2016) and the access to deeper groundwater (Barbeta and  
226 Peñuelas 2017). When investigating groundwater recharge at regional and catchment scales,  
227 studies often find that recharge rates are lower in areas which are forested than in areas which  
228 are unforested or have bare soils (Gebreyohannes et al. 2013; Houston 1982; Howard and  
229 Karundu 1992; Stone and Edmunds 2012). Furthermore, the presence of woodland or forest  
230 can restrict groundwater recharge to years of particularly high rainfall, even when recharge in  
231 grass, crop or unvegetated parts of the catchment occurs annually (Houston 1982; Howard  
232 and Karundu 1992). In the Kalahari Desert, dense bush and tree savannah is believed to  
233 transpire much of the annual rainfall during the long dry season, leading to very little  
234 recharge (De Vries et al., 2000; Sibanda et al., 2009). Similarly, chloride profiles in Senegal,  
235 suggest that groundwater recharge rates decline as vegetation density increases (Edmunds  
236 and Gaye 1994). Land clearing, often for agricultural expansion, can also enhance  
237 groundwater recharge rates by reducing evapotranspiration (Taylor and Howard 1996; Været  
238 et al. 2009).

239 Land clearing for agriculture does not only affect recharge through changes to  
240 evapotranspiration, it can also alter the mechanisms through which recharge occurs, by  
241 altering soil surface properties (Wirmvem et al. 2015) as well as runoff run-on processes

242 (Leduc et al., 2001; Leblanc *et al.*, 2008; Favreau *et al.*, 2009; Ibrahim *et al.*, 2014; Wirmvem  
243 *et al.*, 2015). Agricultural land adjacent to many of Africa's largest lakes and rivers is  
244 regularly equipped for irrigation (Siebert et al. 2015). Excess irrigation water can infiltrate  
245 into the soil and percolate to the aquifer, increasing groundwater recharge rates (Bouimouass  
246 et al. 2020; Scanlon et al. 2007). Nonetheless, as irrigation technologies become more  
247 efficient, recharge via irrigation excesses is expected to decline (Scanlon et al. 2007).

248 Urban settings only account for less than 0.01% of the African landscape (Zhou et al. 2015).  
249 Although, urbanisation is typically perceived as reducing groundwater recharge by reducing  
250 the permeable surface area, recharge rates in urban areas can be as high as or even higher  
251 than nearby rural areas (Lerner 2002; Sharp 2010). Urbanization can dampen existing  
252 recharge mechanisms, but it can also introduce new mechanisms such as localised recharge  
253 where there is little drainage infrastructure (Lerner 2002; Sharp 2010), as well as leakages  
254 from on-site sanitation (Foster et al., 1999; Diouf, 2012; Lapworth *et al.*, 2017) and piped  
255 distribution networks if such water supply is available.

256 In short, we find that the transpiration and canopy storage controls of different landcovers  
257 show a negative relationship with groundwater recharge, whereas the additional supply of  
258 water to agricultural land through irrigation has a positive relationship with recharge. Effects  
259 of urbanisation on groundwater recharge on the other hand are more ambiguous.

## 260 *Soils and Geology*

261 Soils with larger sand fractions are more permeable and support higher recharge rates than  
262 finer clay soils. In a global scale meta-analysis of recharge estimates, Kim and Jackson  
263 (2012) show that on average sandy soils are 50% more efficient in converting water input  
264 into groundwater recharge. Similar results are found at regional and catchment scales in  
265 Senegal, Sudan and Zimbabwe, whereby higher recharge rates are estimated in areas where

266 the sand fraction is a more dominant component of the soil (Abdalla 2009; Butterworth et al.  
267 1999; Edmunds and Gaye 1994). Lower recharge rates are found in clayey soils as the  
268 vertical percolation of water through the soil profile is restricted (Attandoh et al. 2013;  
269 Edmunds et al. 1992) and soil moisture is more exposed to evapotranspiration (Mensah et al,  
270 2014; Yidana and Koffie, 2014; Kotchoni et al., 2018).

271 However, soil texture alone fails to recognise structural soil properties which enable  
272 infiltration via preferential flow paths which bypass the soil matrix (Beven and Germann  
273 1982). Macropores in the soil structure allow infiltration to bypass vegetation rooting zones  
274 and impermeable soil layers (De Vries et al., 2000; Mazor, 1982; Van Tonder & Kirchner,  
275 1990; Xu & Beekman, 2003) and facilitate recharge in conditions which would otherwise be  
276 prohibitive. These preferential flow paths are an important mechanism for groundwater  
277 recharge across a range of contrasting environmental settings. In the Botswanan Kalahari  
278 Desert, semi-arid Tanzania and the tropical highlands of Ethiopia, the contribution of  
279 preferential flows to groundwater recharge is approximately 24%, 60% and 36%, respectively  
280 (Demlie et al. 2007; Nkotagu 1996; de Vries and Gieske 1990).

281 Rock fracturing (Nkotagu, 1996; Xu and Beekman, 2003; Adams et al., 2004; Kebede *et al.*,  
282 2005; Kamtchueng *et al.*, 2015) and vertical conduits in karstic rock (Farid *et al.*, 2014;  
283 Hartmann *et al.*, 2014, 2017; Chemseddine et al., 2015; Ayadi *et al.*, 2018; Leketa *et al.*,  
284 2019) also provide preferential flow paths for groundwater recharge. In dry landscapes such  
285 as the Kalahari Desert, rock fracturing at bedrock outcrops and isolated rock formations  
286 called inselbergs (Burke 2003) can locally enhance groundwater rates (Mazor, 1982;  
287 Butterworth *et al.*, 1999; Brunner *et al.*, 2004; Wanke et al., 2008). The distribution and  
288 geometry of the superficial geology can also have a marked impact on recharge pathways and  
289 rates in conjunction with the underlying bedrock and distribution of stream networks (Zarate

290 et al. 2021). Similar observations have been made regarding focused recharge opportunities  
291 for water in karstic regions (Hartmann et al. 2017).

292 Soil perturbations such as crusting, cementation, compaction, weathering, and tillage can also  
293 have a significant impact on recharge rates. Whilst studies mostly find that soil crusting  
294 (Favreau et al. 2009; Jacks and Traoré 2014; Wakindiki and Ben-Hur 2002), cementation  
295 (Nash et al., 1994; De Vries et al., 2000; Xu and Beekman, 2003; Francis *et al.*, 2007) and  
296 compaction (Hamza and Anderson, 2005; du Toit et al., 2009) reduce the permeability of soil  
297 layers and hence reduce groundwater recharge, the effects of deeply weathered soils known  
298 as laterites (Bromley *et al.*, 1997; Rueedi *et al.*, 2005; Cuthbert and Tindimugaya, 2010;  
299 Bonsor et al., 2014) and agricultural tilling practices (Abu-Hamdeh, 2004; Osunbitan et al.,  
300 2005; Spaan et al., 2005; Strudley et al., 2008; Thierfelder and Wall, 2009; Abidela Hussein  
301 *et al.*, 2019) on recharge are much less clear.

302 Therefore, in summation we find that, soil grain sizes, bedrock outcrops and properties which  
303 promote preferential flow paths, such as soil macropores, rock fractures and karst geology,  
304 have a positive relationship with groundwater recharge. Some soil perturbations such as  
305 compaction, cementation and crusting have a negative relationship with groundwater  
306 recharge, whereas others, including tilling and soil laterization, have a less clear relationship  
307 with recharge.

### 308 *Interactions between controls*

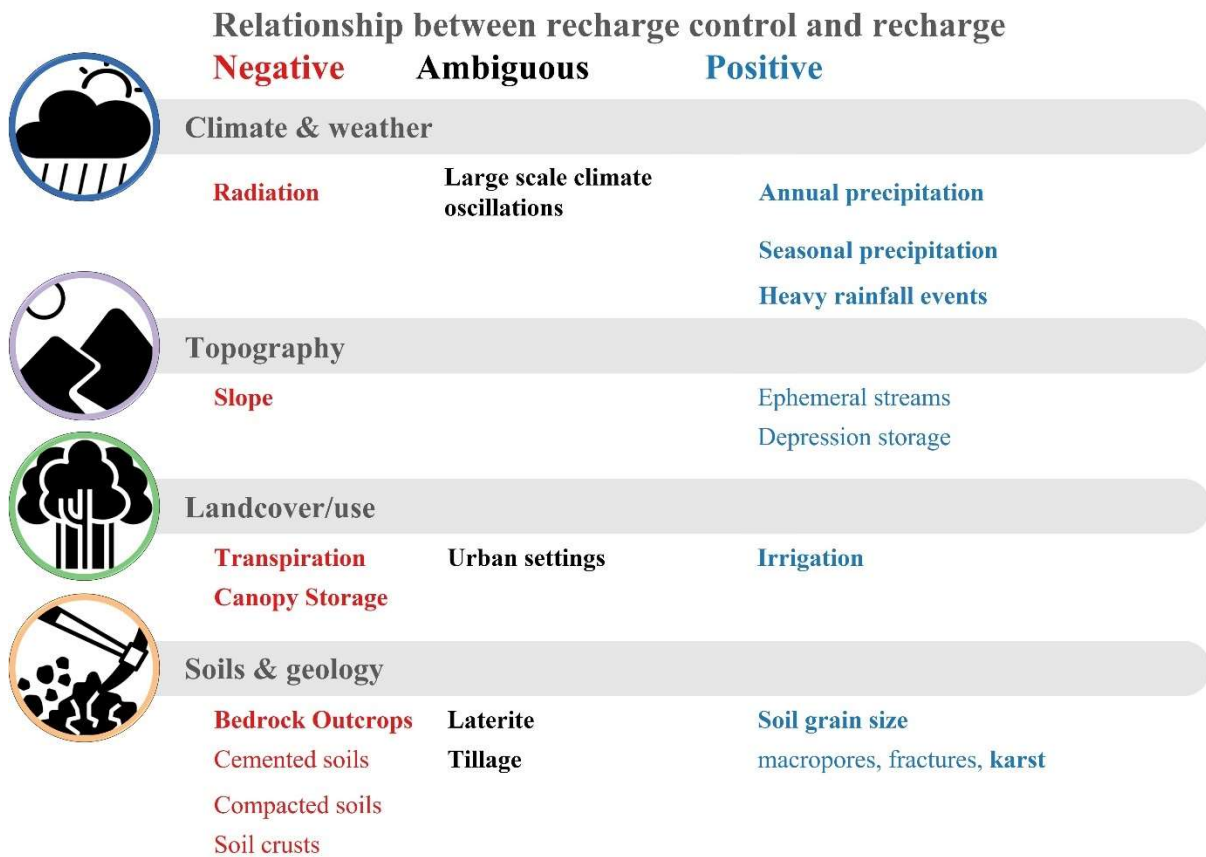
309 Up to now we have largely looked at landscape properties and their control over recharge  
310 processes independently, in reality, groundwater recharge is a function of the interactions  
311 between these controls. Hence at the continental scale, we would typically expect to find  
312 some of the lowest recharge rates in areas with the most freely draining soils, as these regions  
313 also have the lowest precipitation volumes. By identifying patterns in the landscape, i.e.

314 climate, topography, vegetation, soils and geology, we can begin to conceptualise recharge  
315 processes of different environmental settings found in Africa. We can find these patterns as  
316 landscapes are continuously co-evolving (Troch et al. 2013) via an array of physical and  
317 biological processes which effect the uplift and deformation of bedrock and the erosion,  
318 transportation and deposition of sediments (Dietrich and Perron 2006; Reinhardt et al. 2010).  
319 This co-evolution, explains why we typically expect to find certain landscapes throughout the  
320 continent, including rainforests, tropical woodlands and savannas and deserts.

321 We often regard climate as an external force driving the hydrological system, but it also  
322 controls the spatial and temporal patterns of landcover (Zhou et al., 2014; Hawinkel et al.,  
323 2016; Bouvet et al., 2018; Measho et al., 2019; Ndehedehe et al., 2019) and soils (Jenny  
324 1941; Towett et al. 2015). Climate and vegetation patterns as well as soil properties are also  
325 strongly affected by local topography. In mountainous areas we see vegetation becoming  
326 shorter and less dense above the treeline, as temperatures decline and thinning soils make  
327 ground conditions less stable (Harsch et al., 2009; Egli and Poulénard, 2016). Increased  
328 precipitation and runoff due to orographic forcing as well as steeper slopes, promote more  
329 active erosion and sediment transport fluxes at elevation and therefore prevents the  
330 accumulation of soils (Acosta et al. 2015). In contrast, at lower elevations, vegetation can  
331 assist the accumulation of soils by reducing surface water erosion and promoting infiltration  
332 (Acosta et al. 2015; Descheemaeker et al. 2006; Descroix et al. 2009; Thompson et al. 2010).  
333 In water limited regions, vegetation density often increases in topographic depressions such  
334 as ephemeral streams, as accessibility to groundwater may be locally improved (Morin et al.,  
335 2009; Steward et al., 2012; Ndehedehe et al., 2019; Grodek et al., 2020).

336

337 *Summary*



339

340 Figure 1. Summary of groundwater recharge controls for Africa identified in the literature. Controls are colour coded  
 341 according to their relationship with recharge with red and blue representing negative and positive relationships, respectively.  
 342 Bold font highlights controls which we can characterise using global datasets.

343

### 344 3. Materials and methods

#### 345 3.1 Global Datasets

346 We used nine global datasets to characterize the previously identified groundwater recharge  
 347 controls. Furthermore, controls were only integrated into our classification if the literature  
 348 indicated it had a clear positive or negative relationship with groundwater recharge and it  
 349 could be characterized using global datasets. The datasets used and the indices calculated are  
 350 summarized in Table 1.

351 Indices describing annual and seasonal climate attributes mostly characterise first-order  
 352 estimates of the water potentially available for groundwater recharge (P-PET) annually and

353 seasonally as well as its variability. This also builds on previous work by Wolock et al.  
354 (2004) who used P-PET as the climatic index to delineate hydrological landscapes in the  
355 United States. We characterised heavy rainfall across Africa using a threshold of 10 mm/day.  
356 Several studies in Africa (Döll and Fiedler 2008; Owor et al. 2009; Taylor and Howard 1996)  
357 have found annual recharge has a stronger correlation with the average volume of rainfall per  
358 year on days with at least 10 mm of rain, than with mean annual precipitation and hence we  
359 selected this as threshold for heavy rainfall in Africa. Though we acknowledge the rainfall  
360 threshold for recharge occurrence likely varies across the continent. We characterized the  
361 influence of landcover on groundwater recharge via transpiration and canopy storage  
362 processes, by attributing vegetation specific transpiration coefficients to a landcover dataset  
363 and by looking at the Leaf Area Index, respectively. This approach is also often taken when  
364 parameterizing these processes in continental scale hydrological modelling (Telteu *et al.*,  
365 2021). To avoid having multiple indices to describe soil textures we instead calculated the  
366 ratio of soils which promote infiltration (i.e., sand) to those which restrict infiltration (i.e., silt  
367 and clay) (Saxton *et al.*, 1986; Wösten et al., 2001). We used the depth to bedrock dataset of  
368 (Pelletier et al. 2016) to highlight bedrock outcrop regions and the world map of carbonate  
369 rock outcrops (Williams and Ford 2006) to highlight the extent of carbonate rock outcrops.

370

371 Table 1. Details of the recharge control indices we defined to characterise recharge controls across Africa and the global  
372 datasets we used to calculate them.

Attribute	Description	Units	Period	Data source	Reference
<b>Climate attributes</b>					
P-PET	Mean annual precipitation minus mean annual PET.	mm/year	1979-2015	1. MSWEP v1.2 (Precipitation)	1. (Beck et al. 2017)
P-PET in season	Mean annual volume of precipitation in excess to PET in months considered in-season. A month is considered in-season when P exceeds PET.	mm/year	1979-2015	Spatial res.: 0.25° Temporal res.: Daily  2. CRU v4 (PET)	2. (Harris et al., 2020)



$\sigma$ (P-PET)	The standard deviation of monthly P-PET	mm/month	1979-2015	Spatial res.: 0.5°	Temporal res.: Monthly
P10	The average volume of rainfall per year on days with at least 10 mm of rain.	mm/year	1979-2015		

#### Topography attributes

Slope	Geodesic slope of the DEM using a 3 by 3 moving window.	Degrees	-(Lehner, Verdin, and Jarvis 2013)	HydroSHEDS Spatial res.: 15 arc seconds	(Lehner et al., 2013)
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#### Landcover/use

Kveg	Vegetation coefficient related to transpiration. Vegetation-specific annual values (L. J. Gordon et al. 2005) applied to a landcover classification. Mean value from 1992-2005.	-	1992-2015	ESA-CCI v2.0.7 Spatial res.: 300m Temporal res.: Yearly	(Defourny et al. 2017)
LAI	Mean leaf area index (based on 12 monthly means from 1981-2015)	-	1981-2015	GIMMS-LAI3g v2 Spatial res.: 0.25° Temporal res.: Monthly	(Mao and Yan. 2019)
Irrigation	Area equipped for irrigation multiplied by the fractional area actually irrigated.	km <sup>2</sup>	2005	Global Map of Irrigation Areas Spatial res.: 5 arc minutes	(Siebert et al., 2013)

#### Soil attributes

Sand / (Clay + Silt)	The ratio of sand (>0.05mm) to silt (0.002-0.05mm) and clay (<0.002mm) in the fine earth fraction of the top 2m of the soil profile. Proportions of each soil texture are by weight. Take the depth weighted harmonic mean across intervals of 0-5cm, 5-15cm, 15-30cm, 30cm-60cm, 60-100cm, 100-200cm.	-	-	SoilGrids250m Spatial res.: 250m	(Hengl et al. 2017)
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#### Geology attributes

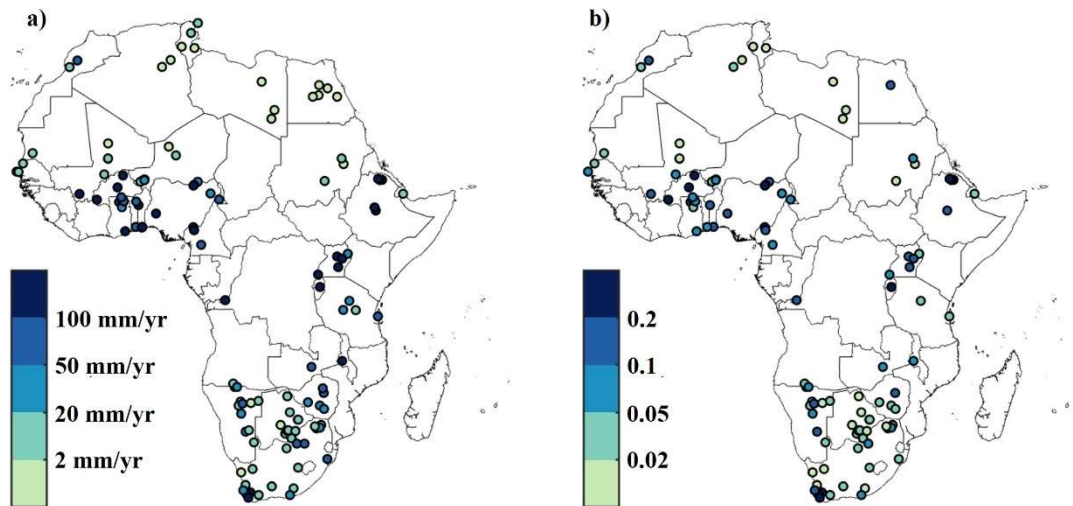
Depth to bedrock	Average soil and sedimentary deposit thickness. Maximum of 50m.	m	-	Gridded Thickness of Soil, Regolith and	(Pelletier et al. 2016)
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				Sedimentary Deposit Layers Spatial res.: 30 arc seconds	
Karst	Extent of carbonate rock outcrop areas.	-	-	World Map of Carbonate Rock Outcrops V3.0	(Williams and Ford 2006)

373

### 374 **3.2 Ground-based annual recharge and recharge ratio estimates**

375 We used the database compiled by MacDonald et al. (2021) of long-term mean annual  
376 recharge estimates compiled from case studies in the literature. We selected this database  
377 above other meta-datasets (Moeck et al. 2020; Mohan et al. 2018) because of its focus on  
378 Africa, the thorough quality assurance conducted throughout its compilation, and the  
379 additional meta-data provided. Additional screening removed data points where the site co-  
380 ordinates and date of the study period were not provided. Finally, we removed estimates  
381 dated prior to 1979 or after 2015, as they would not correspond to the timing of the climate  
382 datasets we used. Ultimately, we were left with 129 ground-based estimates of annual  
383 groundwater recharge distributed across Africa. 111 of these sites/studies also reported  
384 corresponding mean annual precipitation rates, so we could estimate long-term mean  
385 recharge ratios at these locations (Figure 2).



386

387 Figure 2. The remaining annual recharge and recharge ratio estimates collected from case studies in the literature by  
 388 MacDonald et al. (2021), after initial screening of the data. The recharge ratio is defined as the fraction of precipitation being  
 389 converted to recharge (recharge / precipitation).

### 390 3.3 Fuzzy Clustering

391 To delineate regions with expected similar recharge control indices (i.e., Recharge Landscape  
 392 Units) we use a fuzzy c-means clustering algorithm (Bezdec 1981). This fuzzy clustering  
 393 algorithm allows for pixels to belong to multiple units simultaneously, albeit with varying  
 394 degrees of membership, thus enabling us to study the gradual transition between units (e.g.,  
 395 reflecting different landscapes). The degree of overlap in membership allowed us to  
 396 determine the uniqueness of each delineated Recharge Landscape Unit. The degree of  
 397 membership is dependent upon how close in value each pixel's recharge control indices are to  
 398 the centroid of each unit, which is regarded as being representative for a unit. Membership  
 399 scores vary from 0 to 1, with 0 representing no similarity and 1 suggesting the pixel's  
 400 recharge control indices are equal to the values of the unit's centroid. Further details on the  
 401 algorithm and on application details are provided in the supplemental material. Ultimately,  
 402 we attributed each pixel to the unit with which it has the highest degree of membership,  
 403 which we refer to as its primary unit.

### 404 3.4 Random Forests

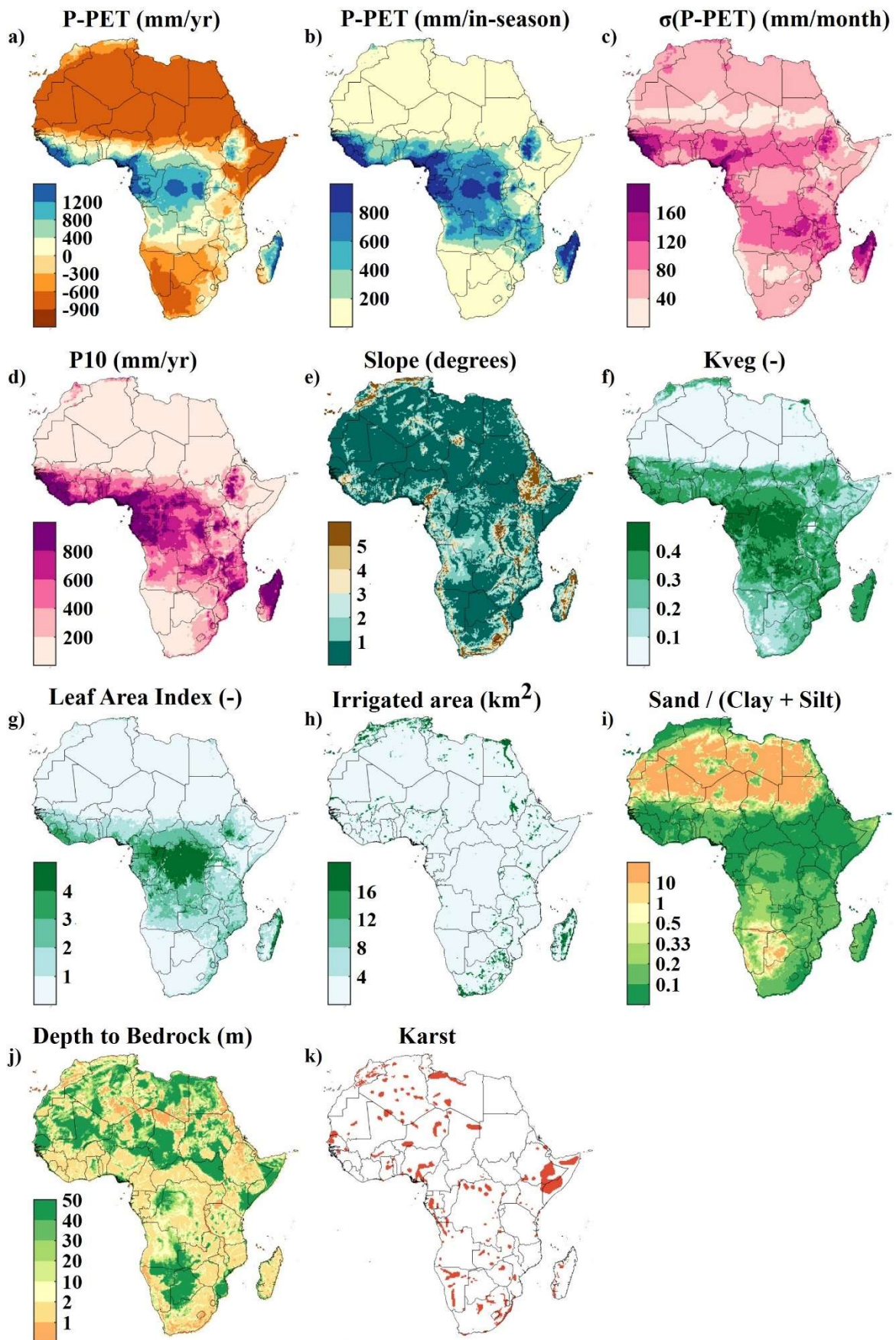
405 We used classification-based Random Forests to expand our classification for recharge  
406 controls in Africa to the rest of the world. Random Forests is a machine learning algorithm  
407 which combines multiple trees to produce an ensemble of predictions (Breiman 2001;  
408 Breiman et al. 1984), which link predictor variables (recharge control indices) to a response  
409 (Recharge Landscape Units). Each individual tree develops rules for predicting responses  
410 which are structured as a binary decision tree composing of nodes and branches. At each  
411 node a conditional binary split is applied to one of the predictor variables. The split forms  
412 two branches which link to nodes in the overlying stratum. This splitting continues until the  
413 terminal node (the leaf) is met and the outcome is predicted. Each classification tree in the  
414 ensemble model is trained on observations (Pixels of classification for recharge controls in  
415 Africa) which were randomly selected with replacement from a sub-sample of 70% of the  
416 total observations ('in-bag' observations). The random forest model consists of 25 trees each  
417 with a maximum of 400 decision splits. Increasing the number of trees or decision splits did  
418 not significantly improve model performance. Addor et al., (2018) previously used Random  
419 Forests to predict observed streamflow signatures across the USA and Stein et al., (2021)  
420 used random forests to explore how climate and catchment attributes influence flood  
421 generating processes.

## 422 **4 Results**

### 423 **4.1 Recharge Landscape Units outline regions with similar recharge** 424 **controls in Africa**

425 Based on our review in section 2, we defined and calculated 11 indices to characterise the  
426 different controls on distributed groundwater recharge we identified in our review (Figure 1).  
427 To avoid using redundant information for each control, we checked the correlations between  
428 each of the indices initially considered and removed indices such that none of the indices for

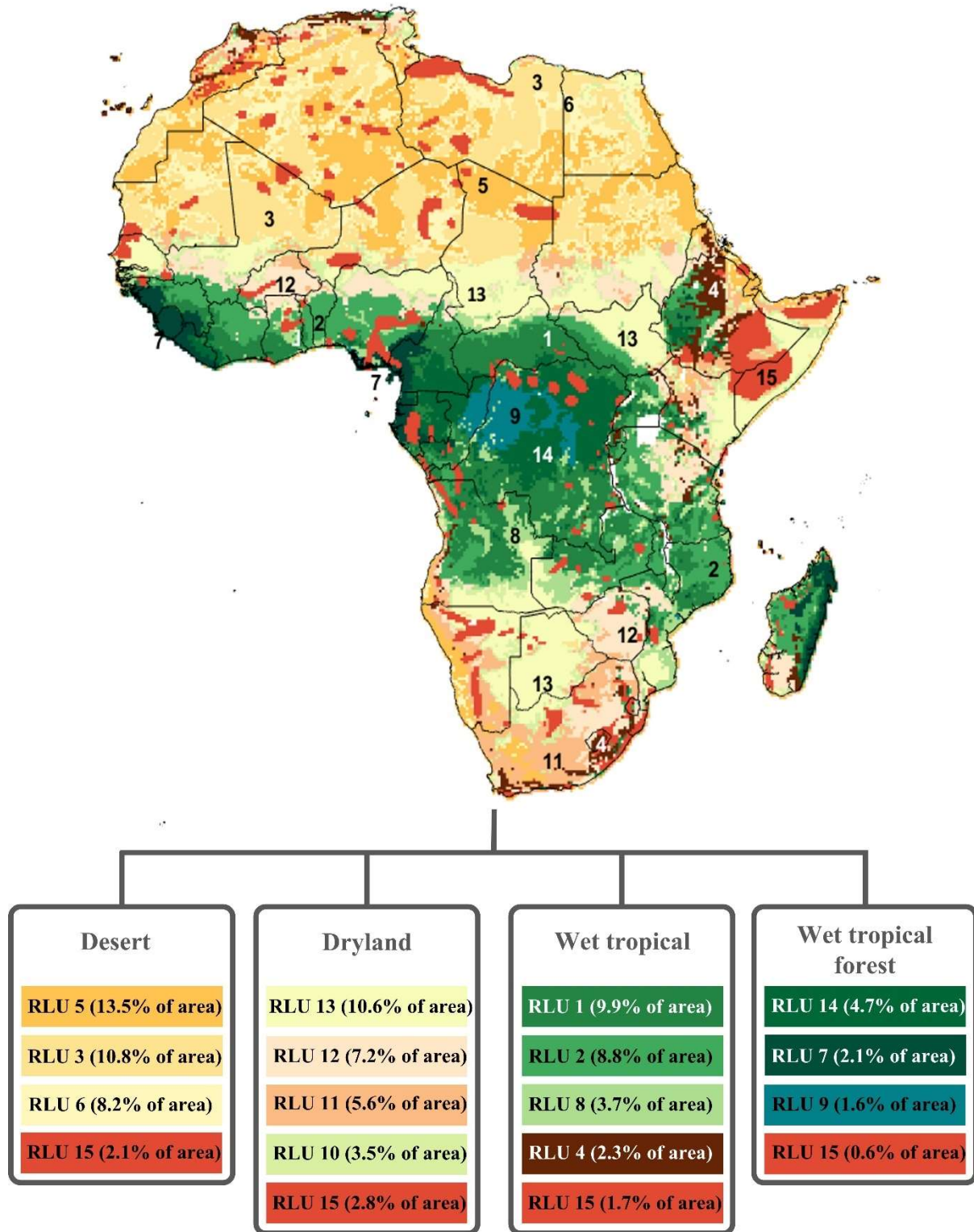
429 a given control had Pearson correlation coefficients greater than or equal to 0.7 with one  
430 another (see supplemental information) (Dormann et al. 2013).



432 Figure 3. 11 recharge control indices characterising controls identified in the literature using global datasets. a) P-PET; b) P-  
433 PET in-season; c)  $\sigma$ (P-PET); d) P10; e) Slope; f) Kveg; e) Leaf Area Index; h) Irrigated area; i) Sand / (Clay + Silt); j) Depth  
434 to bedrock; k) Karst. The definitions of each index the datasets used for their characterisation are stated in Table 1.

435 The cluster analysis combines the 11 indices into 15 Recharge Landscape Units with similar  
436 recharge control indices of which 9 cover over 80% of the African land area (Figure 4). We  
437 initially identified 14 units using fuzzy clustering, as additional units did not greatly reduce  
438 the dissimilarity within individual units. The 15<sup>th</sup> unit which delineates potential karst regions  
439 was manually superimposed. Even though we expect recharge to vary significantly between  
440 the different settings in which karst is found, we delineate the group as a whole, because we  
441 expect the recharge mechanism associated to karst environments to be a dominant control on  
442 recharge processes. We can see the continent has been roughly organised into very dry  
443 regions in the north and south of the continent and wetter regions spanning from West Africa  
444 down through Central Africa towards Mozambique and Madagascar. Even though the spatial  
445 organisation of the units suggest proximity is a reasonable indicator for similarity, we do find  
446 regions with similar recharge control indices which are also far away from each other. For  
447 example, hyper arid regions with shallow soils can be found along Namibia's coastline as  
448 well as the coastlines of Egypt and Sudan and throughout the Sahara Desert (unit 5) and  
449 extremely wet regions can be found on the coast of West Africa and eastern Madagascar (unit  
450 7). Likewise dry highland regions with high slope can be found in South Africa, the East  
451 African Rift, Ethiopian Highlands and in the Atlas Mountains (unit 4) and flat regions with  
452 thick soil profiles can be found throughout the Sahel, South Sudan and the Kalahari basin  
453 (unit 13). In contrast, we also find Recharge Landscape Units which appear to represent  
454 unique and spatially concentrated areas, such as the Congo Basin Rainforest (units 9 and 14),  
455 as well as regions where properties appear more diverse with multiple units appearing within  
456 smaller areas, such as Madagascar and Ethiopia.





457

458

459

Figure 4. Map of the 15 Recharge Landscape Units of our classification for a priori understanding of recharge controls in Africa. We group the Recharge Landscape Units into broader groups of similar units which we call Recharge Landscapes.

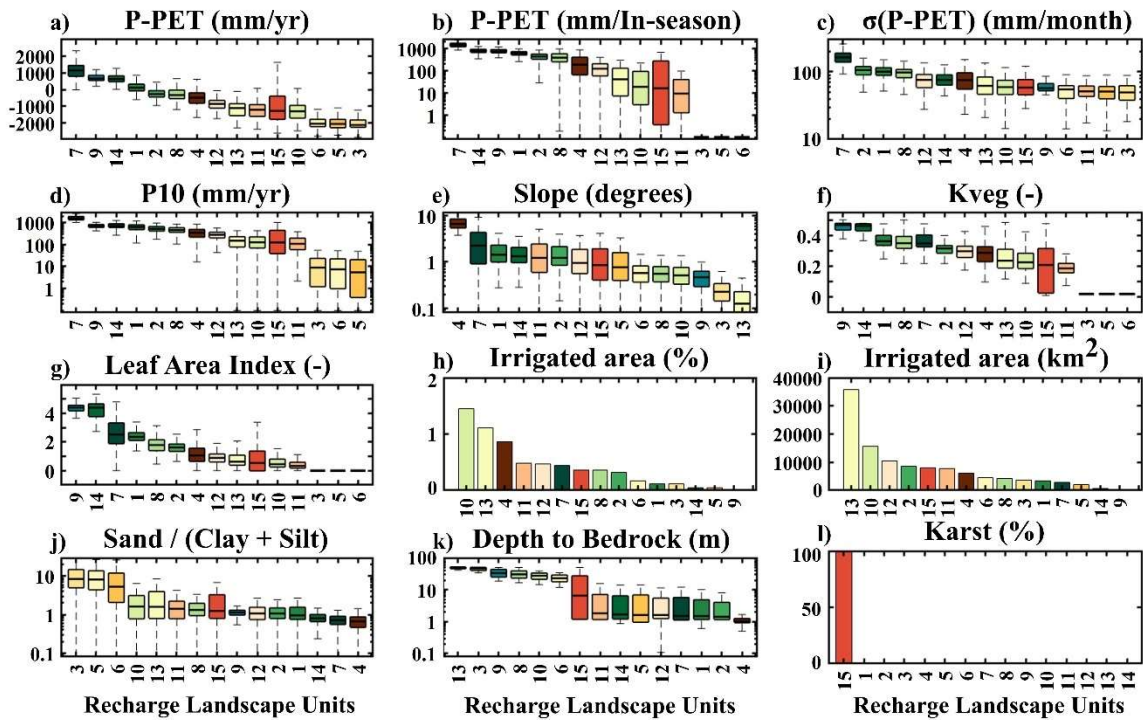
460



461 We found that grouping Recharge Landscape Units into broader Recharge Landscapes  
462 suitably organises the African landscape into regions with noticeably different distributions  
463 of long-term average annual recharge and recharge ratio (Figure 6). These broader Recharge  
464 Landscapes also aggregate Recharge Landscape Units with similar recharge control indices,  
465 as shown by the boxplots in Figure 5. For each index, boxplots are organized by the median  
466 values of each unit, ordered from left to right in descending order. In Dryland and Wet  
467 tropical Recharge Landscapes, we see that climate and weather, landcover and soil texture  
468 indices transition smoothly across all units. Units within Wet tropical forest Recharge  
469 Landscape are typically associated to high Kveg and Leaf Area index values and fine soil  
470 textures, whilst units of the Desert Recharge Landscape have low Kveg and Leaf Area values  
471 as well as predominantly sandy soils. Similarly, most units have similar topographic slopes  
472 except for unit 3, 4 and 13 which represent highland and flat plain regions. There is a clear  
473 divide in the depth of soils in each of the units, with six of the units showing deeper soil  
474 profiles and 8 showing a tendency towards shallow soils. We can see that unit 15 which  
475 represents karst regions occurs in a wide range of different climate, topographical, landcover  
476 and soil settings. Irrigated areas do not contribute to large areas of any of our Recharge  
477 Landscape Units.

478 Desert Recharge Landscapes could only be further differentiated by their depth to bedrock,  
479 while other landscape types were dis-aggregated by climate seasonality, slope, landcover and  
480 slope, as well as the depth to bedrock. Desert Recharge Landscape Units are differentiated  
481 according to depth to bedrock is less than 13.5 m (unit 5), where the bedrock depth is  
482 between 13.5 m and 33.9 m (unit 6) and where the depth to bedrock is greater than 33.9 m  
483 (unit 3). This reflects differences in topography throughout Desert Recharge Landscapes, as  
484 mountainous Desert Recharge Landscapes with greater slopes also have smaller bedrock  
485 depths. Dryland Recharge Landscapes are also largely dis-aggregated according to the depth

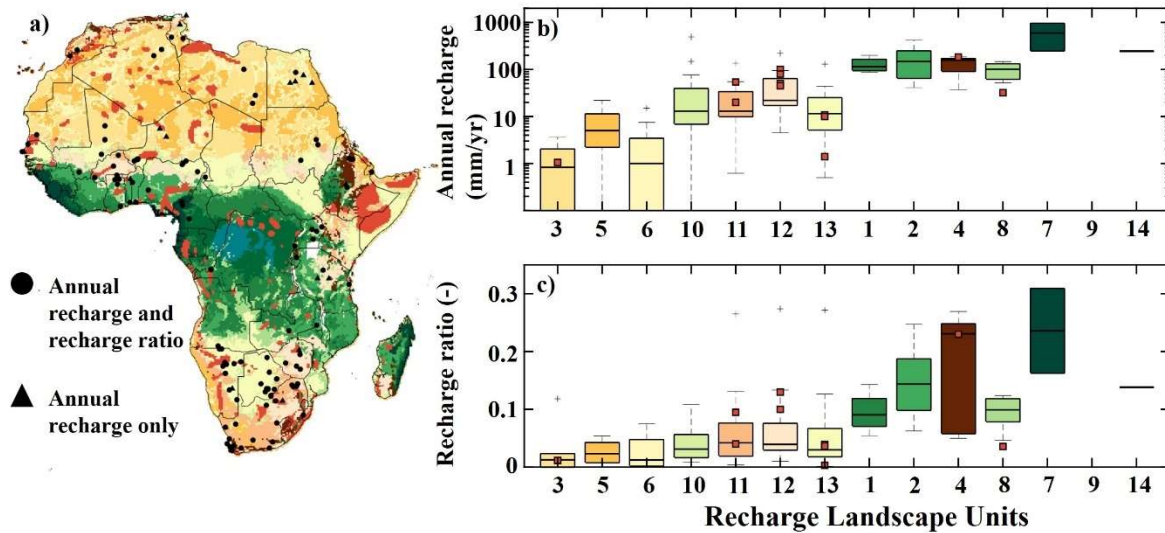
486 to bedrock, with unit 13 representing where bedrock depth is greater than 37m, unit 10 where  
 487 bedrock depth is between 16.3m and 37m and units 11 and 12 where the bedrock depth is less  
 488 than 16m.



489  
 490 Figure 5. Boxplots showing the index values in each of the Recharge Landscape Units we identified. Boxplots are organised  
 491 from left to right in descending order of the median values in each unit. We show irrigated area as both the total area  
 492 irrigated within the Recharge Landscape Units (h) and as a percentage of the areas for each Recharge Landscape Unit (i).

493 Ground-based estimates of annual recharge (recharge ratio) are bias towards drier settings  
 494 with 20 (15), 66 (58), 28 (25) and 3 (3) data points in Desert, Dryland, Wet tropical and Wet  
 495 tropical forest Recharge Landscapes, respectively. Recharge Landscapes which have high  
 496 annual recharge rates also have higher recharge ratios suggesting that as well as being  
 497 generally wetter, they are more efficient in converting that rainfall into recharge (Figure 6).  
 498 We also investigated the possible influence of the different groundwater recharge estimation  
 499 methods to see whether this explained any of the variability in annual recharge and recharge  
 500 ratio estimates within the individual spatial units (see supplemental information). However,  
 501 in agreement with (MacDonald et al. 2021) we did not find a relationship between the

502 estimation methods used and the recharge signatures. Below we discuss the larger Recharge  
 503 Landscapes.



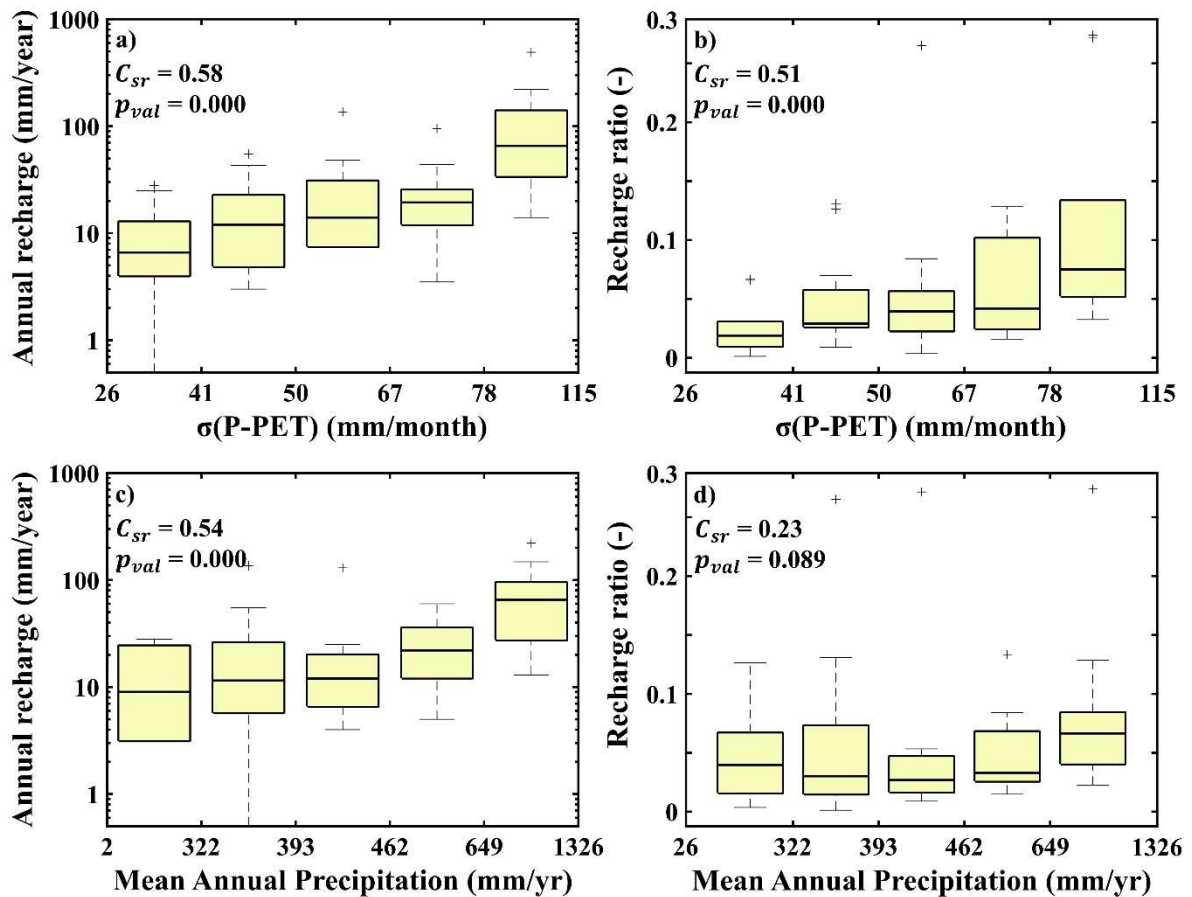
504  
 505 Figure 6. a) Map of ground-based estimate data points distributed across the Classification of recharge controls in Africa.  
 506 Boxplots of the ground-based estimates of long-term mean annual recharge (b) and recharge ratio (c) found in each of the  
 507 Recharge Landscape Units. No data points are located within Unit 9 and hence it is not shown. Only one data point is located  
 508 within Unit 14. Unit 15 representing karst does not have its own boxplot. Instead, we have superimposed (red dots) these  
 509 data points above the units which they would have otherwise been attributed to.

510 *Desert (RLU 3, 5, 6)*

511 Desert Recharge Landscapes are characterised by low moisture availability (P-PET), low  
 512 vegetation cover (kveg) and very high sand content in its soils (Figure 5). These properties  
 513 lead to the lowest annual recharge and recharge ratio estimates occurring in Africa, as 80% of  
 514 annual recharge (recharge ratio) estimates in Desert Recharge Landscapes are below  
 515 5mm/year (4%). Low recharge ratios in these units suggest that even when rain does fall,  
 516 much of the water stored in the sandy soils is subsequently evaporated with very little deeper  
 517 drainage occurring. We also find ground-based recharge estimates in Desert Recharge  
 518 Landscapes show very little variability. Although we find marginally greater annual recharge  
 519 rates and recharge ratios in unit 5, we cannot explain why, and differences may not be  
 520 significant as there are only 20 data points across this region.

521 *Dryland (10, 11, 12, 13)*

522 About 51% of the 129 ground-based estimates are sited in Dryland Recharge Landscapes  
 523 where water is generally only available for recharge seasonally (units 10, 11, 12 and 13). 70%  
 524 of these sites have annual recharge rates between 3-30 mm/year and a further 18% of these  
 525 sites have rates between 30-100 mm/year. Typically, in these regions less than 10% of  
 526 rainfall is converted to recharge, with only 9 of the 58 sites recording higher recharge ratios.  
 527 In this Recharge Landscape, we find that long-term estimates of annual recharge vary  
 528 according to mean annual precipitation, whereas recharge ratios are greater at sites with  
 529 greater monthly variability in P-PET (Figure 7).



530

531 Figure 7. Boxplots showing how ground-based estimates of mean annual recharge (a, c) and recharge ratio (b, d) vary  
 532 according to monthly variability of P-PET and Mean Annual Precipitation in Dryland Recharge Landscapes. Recharge  
 533 signatures are binned according to percentiles (0-20; 20-40; 40-60; 60-80; 80-100) of the controlling variable. In the top left  
 534 corner of each sub-plot, we show the spearman rank correlation and the p-value for testing the hypothesis of no correlation.

535 *Wet tropical (1, 2, 4, 8)*

536 18 (26) out of 28 annual recharge estimates in the Wet tropical landscapes (units 1, 2, 7, 8)  
537 exceed 100mm/year (50mm/year). These sites are also the more efficient in converting  
538 rainfall to recharge with 56% (92%) of them having recharge ratios greater than 10% (5%).  
539 The wetter as well as seasonal periods of heavy monsoon rain allows deeper drainage, despite  
540 increased partitioning of rainfall at the land surface by vegetation, steeper terrain, and less  
541 permeable soils. Most of the variability between and within Wet tropical landscape units is  
542 attributed to differences in annual and seasonal scale water excess (P-PET) and heavy rainfall  
543 events (P10).

544 Differences in annual recharge and recharge ratio estimates of units 1 (median annual  
545 recharge 115mm/year; median recharge ratio 9%) and 2 (median annual recharge  
546 148mm/year; median recharge ratio 14%) could be attributed to greater LAI and Kveg  
547 properties in unit 2. However, when comparing the properties of the individual sites we do  
548 not find this relationship. Highland areas (unit 4) show a particularly large variability in the  
549 fraction of precipitation being converted to recharge. This perhaps reflects the high degree of  
550 variability we can expect in highland regions depending upon landscape positioning.

#### 551 *Wet tropical forest (7, 9, 14)*

552 These areas are characterised by the highest vegetation cover (LAI) and moisture availability  
553 (P-PET). We only have three ground-based estimates of annual recharge and recharge ration  
554 within this Recharge Landscape: 2 two in unit 7 and one in 14. The highest annual recharge  
555 estimate in our database is located in unit 7, with 31% of rainfall being converted to recharge  
556 to allow a rate of 941 mm/yr. Referring to existing literature, we find that in addition to high  
557 annual precipitation rates (3050 mm/yr) extensive bedrock fracturing near the land surface  
558 enables rapid infiltration and recharge (Kamtchueng et al. 2015).

#### 559 *Karst – present across the other Landscapes (15)*

560 We do not find a clear pattern whereby the presence of karst at a site indicates higher annual  
561 recharge rates or recharge ratios than other sites within a similar setting (Figure 6). When  
562 investigating the individual studies, which according to our global dataset are located in karst  
563 geology, some studies did not report the presence of karst. Highlighting, the limitations of  
564 global datasets when investigating ground-based and regional recharge processes. Within  
565 settings defined as karst by global datasets, annual recharge rates and recharge ratios increase  
566 with increasing annual scale P-PET (see supplemental information).

567

## 568 **5 Discussion**

### 569 **5.1 Which regions of Africa show similar recharge controls when clustered** 570 **using descriptors derived from global datasets?**

571 We find 15 Recharge Landscape Units within which we expect recharge processes to be  
572 similar, according to our clustering result. Only 9 Recharge Landscape Units are needed to  
573 characterize over 80% of the continent's land area. We have further aggregated our 14 (out of  
574 15) Recharge Landscape Units into four Recharge Landscapes, largely according to climate.  
575 These Recharge Landscapes are Desert, Dryland, Wet tropical and Wet tropical forest, which  
576 account for 32.5%, 26.9%, 24.6% and 8.4% of Africa's land area respectively (total of 92.4).  
577 An additional 7.25% of the continent's land area is defined by its geology (i.e. karst) and can  
578 be found distributed across each of the four previously mentioned Recharge Landscapes (as  
579 we would expect according to previous studies, e.g. Hartmann *et al.*, 2017). At the resolution  
580 of our classification, climate indices have strong positive correlations with landcover indices  
581 (pearson correlation coefficient  $> 0.7$ ). It is not surprising that our Recharge Landscapes  
582 strongly resemble previous climate classifications (Peel *et al.*, 2007; Knoben *et al.*, 2018),  
583 because climate is a dominant control on the long-term evolution of land surface and near

584 surface landscape characteristics including topography (Chen et al. 2019), soils and  
585 vegetation (Pelletier et al. 2013).

586 Our Recharge Landscapes broadly resembles the ecozones in classifications by Olson *et al.*  
587 (2001) and Jasechko *et al.* (2014), which identify five and three different regions across  
588 Africa respectively. They are also similar to the five regions delineated by MacDonald *et al.*  
589 (2021) when using aridity classes to investigate the spatial variability of recharge across  
590 Africa. Unlike Olson *et al.* (2001) and Jasechko *et al.* (2014) we do not aggregate deserts and  
591 xeric shrublands, which we instead include in our Dryland Recharge Landscapes. Hence our  
592 Desert Recharge Landscapes more closely align with the hyper-arid regions delineated by  
593 MacDonald *et al.* (2021), whilst our Dryland Recharge Landscapes also align with their arid  
594 and semi-arid regions. By separating dry systems according to the occurrence of vegetation,  
595 we differentiate between regions where transpiration has a greater effect on recharge  
596 processes (Scott *et al.*, 2006; Cavanaugh et al., 2011; Gebreyohannes *et al.*, 2013).

597 Consequently, we organise the Kalahari Desert as a Dryland, as it is affected by transpiration  
598 (Foster et al. 1982). Our Dryland Recharge Landscapes can be found throughout the desert,  
599 shrubland and tropical biomes of classifications by Olson *et al.* (2001) and Jasechko *et al.*  
600 (2014). Thus, previous ecozone classifications may have delineated these regions too broadly.

601 We also see that by identifying Dryland Recharge Landscapes with low slope and high  
602 bedrock depths (RLU 13), we identified a landscape unit where large seasonal wetlands are  
603 likely to occur (Olson et al. 2001). These wetlands include the Okavango delta, the Kafue and  
604 Barotse floodplains in Southern Africa; the Sudd Swamps in Eastern Africa; and the inland  
605 Niger delta, Hadejia-Nguru wetlands and wetlands of Southern Chad in the Sahel. Such  
606 wetlands can be significant sources of annually occurring focused groundwater recharge,  
607 given soil conditions do not restrict infiltration (Edmunds et al., 1999; Wolski et al., 2006).  
608 Unlike the classifications of Olson et al. (2001), Jasechko et al. (2014) and MacDonald *et al.*

609 (2021), we further disaggregate Desert Recharge Landscapes according to depth to bedrock.  
610 In Desert Recharge Landscapes, shallow bedrock depths largely align with mountainous  
611 regions, which are often regarded as important recharge zones for current episodic recharge  
612 events (Gheith and Sultan 2002; Sultan et al. 2007) and more regular recharge events in  
613 previous paleoclimate periods (Sturchio et al. 2004). Our Wet tropical forest Recharge  
614 Landscapes largely align with the tropical and subtropical moist forests shown in Olson *et al.*  
615 (2001). Though further disaggregation into units identifies unique regions such as the Swamp  
616 forests of the Congo Basin and regions with extreme monsoonal rainfall in the Gulf of  
617 Guinea. In contrast, neither Jasechko *et al.* (2014) nor MacDonald *et al.* (2021) identify the  
618 forested regions of their tropical and humid classes, respectively.

619

## 620 **5.2 How do regions with similar controls compare to ground-based** 621 **recharge estimates?**

622 In Africa, Recharge Landscapes with greater long-term mean annual recharge rates are also  
623 more efficient in converting precipitation to recharge, as shown by the higher long-term mean  
624 recharge ratio estimates. We do not know whether this relationship is found across other  
625 continents or regions as previous studies investigating the controls on ground-based recharge  
626 estimates across large spatial scales assess the spatial variability of annual recharge rates only  
627 (Moon et al., 2004; Mohan *et al.*, 2018; Moeck *et al.*, 2020; MacDonald *et al.*, 2021).

628 Investigating how recharge signatures interact in space allowed us to advance our  
629 conceptualisations of recharge processes across Africa. Though comparative hydrology is  
630 only just starting to be recognised by observational investigations within the groundwater  
631 community (Haaf et al. 2020; Heudorfer et al. 2019), it is well established within the surface  
632 water community (Addor et al. 2018; Sawicz et al. 2011, 2014) and has already been used in



633 global scale groundwater investigations using global scale modelling products (Cuthbert *et*  
634 *al.*, 2019a).

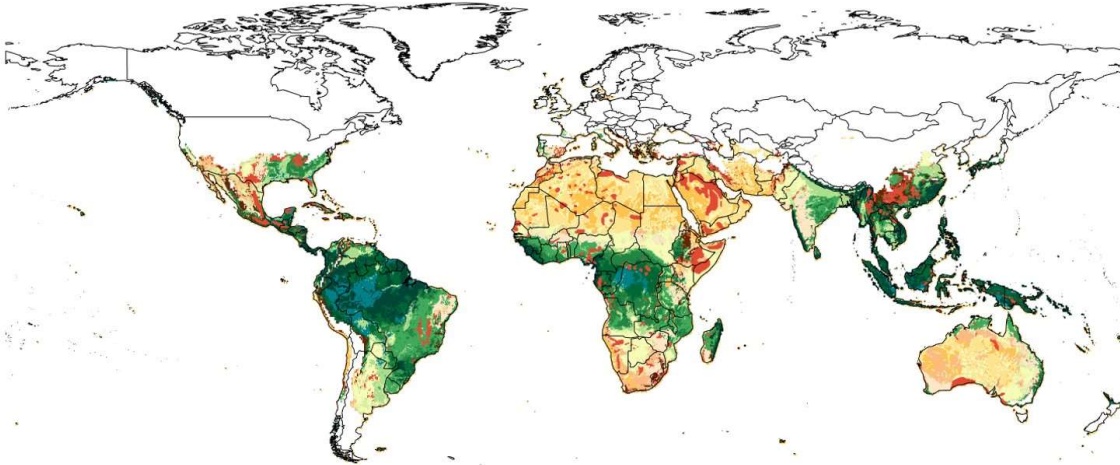
635 Even though we can explain the variability of ground-based estimates of annual recharge and  
636 recharge ratio between different Recharge Landscapes, we have very limited ability to  
637 explain why they vary within Recharge Landscapes using global datasets. Wet tropical and  
638 Wet tropical forest Recharge Landscapes receive higher rates of annual recharge and are also  
639 more efficient in converting precipitation to recharge than Dryland and Desert Recharge  
640 Landscapes, as shown by the higher recharge ratio estimates in these places. This is not  
641 surprising, as heavy seasonal, monthly and daily rainfall is already known to be important for  
642 recharge processes in both tropical and dry regions of Africa (Döll and Fiedler 2008;  
643 Jasechko and Taylor 2015; Owor *et al.* 2009; Taylor *et al.* 2013). Furthermore, in agreement  
644 with Taylor *et al.* (2013), we find that mean annual recharge ratios in Dryland Recharge  
645 Landscapes, increase with monthly variability in P-PET. However, interactions with other  
646 large-scale physical or biological indices offer little further explanation for why ground-based  
647 estimates of annual recharge and recharge ratio vary within individual Recharge Landscapes.  
648 For the most part, our inability to explain the spatial variability of ground-based recharge  
649 estimates within Recharge Landscapes stresses the limitations of global datasets for  
650 describing the complex interactions between landscape properties and how they control more  
651 local recharge processes. Previous studies trying explain the spatial variability of recharge  
652 processes at continental and global scales also mostly establish relationships with broad  
653 climate and eco-hydrological patterns (Jasechko *et al.*, 2014; Cuthbert *et al.*, 2019b;  
654 MacDonald *et al.*, 2021). Furthermore, MacDonald *et al.* (2021) found that there are spatial  
655 correlations in long-term average recharge rates across Africa up to distances of 900 km,  
656 which cannot yet be explained by environmental properties. Ultimately, this suggests a gap

657 between what we can learn from local insight and from large scale regionalization, regarding  
658 the interaction of environmental properties and their control over recharge processes.

659

### 660 **5.3 Looking ahead**

661 Given the limited explanatory power of global datasets as shown in our and other previous  
662 studies, it is likely that continental and global scale modelling of groundwater recharge can  
663 benefit from the implementation of landscape-based conceptualisations of recharge processes  
664 and controls (Gao et al. 2018). Hartmann et al. (2015) showed (for carbonate rock regions  
665 across Europe and Northern Africa) that even relatively simple process conceptualizations  
666 capture main differences in recharge dynamics between different large landscape groups.  
667 Such conceptual models characterize largely our prior understanding of groundwater recharge  
668 in different landscapes. This is likely to be particularly important in data sparse regions where  
669 we cannot reasonably rely upon model parameterisation schemes that rely heavily on the  
670 reliability of soils and other data (Wagener et al. 2021). Adding information through the  
671 definition of simple system conceptualizations, would enable us to further combine expected  
672 hydrologic behaviour of the landscape with widely available datasets (e.g. Cuthbert *et al.*,  
673 2019b). By focussing on regionally dominant recharge controls, we can develop more  
674 parsimonious mathematical models that are also more appropriate for the data scarcity found  
675 in many places (Sarrazin *et al.*, 2018), or specific hydrologic processes of most relevance  
676 (Quichimbo et al. 2021).



677

678 Figure 8. Application of the recharge landscape classification framework to domains outside of the study region. We used a  
 679 random forest to transfer our Recharge Landscape Units across the rest of the world, with the previously discussed recharge  
 680 control indices acting as predictor variables. The random forest model is an ensemble of 25 classification trees each with a  
 681 maximum of 400 decision splits. The model was trained on data points in Africa which were randomly selected with  
 682 replacement from a sub-sample of 70% of the Africa data points ('in-bag'). Model testing on 'out of bag' data points found a  
 683 misclassification rate of just 4%. Areas shown in white are significantly dissimilar to the study region. The criterion for this  
 684 separation was having mean temperatures below 13.5°C or above 35.5°C and snow fractions above 0.1. We estimated snow  
 685 fractions by using a simple temperature threshold. Precipitation on days with an average temperature below 1°C is regarded  
 686 as entirely snowfall whereas it is entirely rainfall on days with an average temperature above 1°C (Berghuijs et al., 2014).  
 687 We use a global gridded dataset of daily temperature provided by the Climate Prediction Center, NOAA  
 688 (NOAA/OAR/ESRL PSD). Further details are provided in the supplemental information.

689

690 The value of comparative hydrology in this context could lie in identifying regions of  
 691 similarity beyond the direct study domain. As discussed here, specific studies with ground-  
 692 based estimates of groundwater recharge are rare – certainly across Africa. Figure 8 shows  
 693 how the classification approach introduced here would classify other regions of the world if  
 694 applied globally. All areas shown in white are significantly dissimilar to our study domain  
 695 and hence unsuitable for comparison. However, areas in colour map onto some areas in our  
 696 domain and thus offer the potential for transferability of knowledge gained from outside our  
 697 direct study domain. For example, studies in karst regions (shown in red) might complement  
 698 the rather sparse ground-based measurements available inside Africa, thus offering an  
 699 opportunity to expand on existing datasets like that compiled by MacDonald et al. (2021).

700

701 **6 Conclusions**

702 We set out to study the variability of groundwater recharge across Africa through the use of a  
703 classification of groundwater recharge controls as landscape elements, utilising global  
704 datasets to characterize our *a priori* understanding following an extensive literature review.  
705 Our final classification consists of 15 recharge landscape units which are similar across the  
706 11 indices we used to describe recharge controls across the continent. We aggregated these  
707 Recharge Landscape Units into four larger Recharge Landscapes, including Desert, Dryland,  
708 Wet tropical, and Wet tropical forest, which broadly agrees with classifications by Olson *et*  
709 *al.* (2001) and Jasechko *et al.* (2014). Karstic environments are treated separately, scattered  
710 across each of the Recharge Landscapes we have found.

711 A classification approach has allowed us to consolidate most of the findings from previous  
712 studies into a spatial representation of expected recharge controls across the African  
713 continent. Much of our previous understanding of recharge processes in Africa was point or  
714 plot based, originating from the case studies which have assessed recharge processes and  
715 controls throughout the region. We hypothesize that the small number of Recharge  
716 Landscapes needed to characterize the broader recharge controls of the African landscape, is  
717 explained by the dominance of climatic controls, likely connected with the co-evolution of  
718 vegetation, soils, and topography. These Recharge Landscapes were useful in organising  
719 ground-based estimates of annual recharge and recharge ratio. Yet, in exception of Dryland  
720 Recharge Landscapes, we were not able explain the variability of estimated recharge  
721 signatures within each of the Recharge Landscapes using global datasets alone.

722 This result highlights the limits of using global datasets to decipher the complex interactions  
723 of landscape properties in controlling recharge processes. Nonetheless, future data-based  
724 modelling of groundwater recharge at continental scales could be advanced by using methods  
725 which explore the relationships between controls and recharge within regions of similarity,  
726 instead of across the entire continent (MacDonald *et al.* 2021). Further advancement is also

727 likely to come from the development of system conceptualizations which allow us to add  
728 more information than that embedded in global datasets (Wagener et al. 2021). This would  
729 lead to a convergence of top-down strategies (such as classification) with other more bottom-  
730 up approaches like the one taken by Cuthbert *et al.* (2019b). Further expanding the study  
731 domain using similarity principles might offer a strategy for expanding existing strategies.  
732 Furthermore, considering the co-evolution of multiple landscape properties could help further  
733 separate the hydrologically relevant behaviour of different places (Troch et al. 2013), which  
734 in turn could help the predictive ability of global datasets used in model parameterisations.  
735 Currently such expected hydrologic behaviour (derived from literature reviews), is only  
736 considered through the definition of appropriate predictor variables.

737 Finally, as meta-analysis databases become more common in continental and global scale  
738 hydrological studies (Moeck et al. 2020; Wang et al. 2020), we would like to stress the  
739 importance of thorough quality assurance in their initial development. Our findings from  
740 these studies depend upon strong underlying datasets and it is unlikely future studies will  
741 assess the quality of these datasets when investigating or expanding upon them. For the same  
742 reasons, the initial development of these databases should also ensure that additional meta-  
743 information is comprehensive.

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## 1357 **Supplemental information**

### 1358 **S1 Extended review of process controls on groundwater recharge across** 1359 **Africa**

1360 Most of the existing knowledge base on groundwater recharge processes, controls and rates in  
1361 Africa comes from a relatively small number of case studies investigating recharge at the  
1362 field, catchment, or sometimes regional scale. We take an approach similar to that of Scanlon  
1363 et al. (2006), where we record and describe the controls and mechanisms which the literature  
1364 reports as controlling recharge processes. However, we look across the entire continent and  
1365 not just dryland systems as is done by Scanlon et al. (2006).

1366 A wide range of methods have been used to understand recharge processes throughout the  
1367 continent, with approaches often varying according to environmental setting, data availability  
1368 and the objective of the individual studies (MacDonald et al. 2021). The most commonly  
1369 used field methods depend on chemical and isotopic tracers to understand recharge rates,  
1370 controls and mechanisms; observations of water table fluctuations, dissolved anthropogenic  
1371 gases or more rarely baseflow separation of river flows are also used. Others also take  
1372 modelling approaches including soil moisture balance approaches or water balance  
1373 modelling. Details of the strengths and weaknesses of the different methods can be found in  
1374 Scanlon et al. (2002) and Healy (2010). A small number of studies have begun to use findings  
1375 from these approaches to investigate how and why groundwater recharge processes vary  
1376 across continental or global scales or within certain eco-hydrological zones. Generally, these  
1377 studies have approached this by compiling datasets of recharge estimates from across the  
1378 world (Kim and Jackson 2012; Moeck et al. 2020) or by trying to understand recharge  
1379 mechanisms in greater detail at a much small number of sites (Mark O. Cuthbert et al. 2019;  
1380 Jasechko et al. 2014; Jasechko and Taylor 2015).

1381 We organize the review of controls into four domains: climate/weather, topography,  
1382 landcover/use, soils/geology. We initially try to understand the independent effects of each  
1383 control to recharge and later discuss the interaction of each of the controls and how they  
1384 define different landscapes in which recharge processes may differ.

#### 1385 **S1.1 Climate and weather**

1386 The frequency with which groundwater recharge occurs generally varies in-line with the  
1387 climate gradient (Cuthbert *et al.*, 2019). In humid and sub-humid regions, recharge reliably  
1388 occurs seasonally or at least inter-annually. In contrast, groundwater stores in arid  
1389 environments are much more dependent upon episodic rainfall events of great intensity and  
1390 may largely contain water recharged in previous pluvial flood periods (Sturchio et al. 2004;  
1391 Sultan et al. 1997). We therefore use the timescale at which groundwater recharge occurs as

1392 a means of organising our findings on the role of climate and weather in controlling  
1393 groundwater recharge in Africa.

1394 Annual scale components of the water-energy balance are considered a first order control on  
1395 the spatial variability of groundwater recharge (Cuthbert *et al.*, 2019; Kim and Jackson, 2012;  
1396 Mohan *et al.*, 2018), as they control the quantity of water available to be partitioned into  
1397 groundwater recharge, as well as the energy available to control atmospheric losses (Budyko,  
1398 1974). Globally, (Kim and Jackson 2012) found annual scale climate controls explained 41%  
1399 of the spatial variability in recharge rates they compiled from the literature, more than any  
1400 other type of control. In the desert regions of Northern and Southern Africa, where annual  
1401 rainfall is typically less than 400 mm/year (Nicholson 2000), recharge estimates generally  
1402 remain below 5mm/year (Dabous and Osmond 2001; Foster *et al.* 1982; Zouari, Trabelsi, and  
1403 Chkir 2011). Whilst in the central Highlands of Ethiopia, where annual rainfall can be as high  
1404 as 1300mm/year, recharge rates of 160 mm/year to 330 mm/year have been reported  
1405 (Azagegn *et al.* 2015; Banks *et al.* 2021; Demlie 2015). Recharge rates of up to 940mm/year  
1406 have been reported in a tropical upland catchment in Cameroon, where annual rainfall is in  
1407 excess of 3000mm/year (Kamtchueng *et al.* 2015). Across a broad range of aridity conditions,  
1408 Cuthbert *et al.* (2019) observe increasing annual recharge with annual precipitation in excess  
1409 of some site specific threshold, though this relationships is not evident at the most humid or  
1410 arid sites. In the Botswanan Kalahari, groundwater recharge is believed to depend upon  
1411 annual rainfall exceeding 450mm/year, with water in excess to evaporative demand being  
1412 stored in thick sands and used by vegetation in subsequent dry periods (Foster *et al.* 1982).  
1413 Exceeding these annual rainfall thresholds can often depend upon intense seasonal rains in  
1414 which much of the years precipitation is concentrated (Mechal, Wagner, and Birk 2015;  
1415 Taylor *et al.* 2013; Wirmvem *et al.* 2015).

1416 Across Africa, groundwater recharge volumes are biased towards rainy season as elevated  
1417 rainfall is required to overcome high rates of evapotranspiration (Bromley *et al.* 1997; Demlie  
1418 *et al.* 2007; Jasechko *et al.* 2014; Walraevens *et al.* 2009). Where precipitation follows a  
1419 bimodal regime, such as in the equatorial regions (Knoben *et al.*, 2019), the season with the  
1420 longest duration often produces the most groundwater recharge (Kebede *et al.*, 2005; Owor *et al.*  
1421 *et al.*, 2009; Mechal *et al.*, 2015). Throughout these seasons, elevated precipitation intensities  
1422 lead to a more efficient conversion of rainfall to recharge (Jasechko *et al.* 2014; Jasechko and  
1423 Taylor 2015). In Uganda, monsoon rains in excess of 10mm/day lead to seasonally enhanced  
1424 recharge, contributing significantly to annual groundwater renewal (Owor *et al.* 2009; Taylor  
1425 and Howard 1996). At sites in Ethiopia, Tanzania and Mali, recharge mainly occurs in  
1426 months where rainfall is in excess of 240mm, 210mm and 140mm, respectively (Jasechko  
1427 and Taylor 2015). Groundwater level observations in the Makutapora wellfield, Tanzania,  
1428 suggest recharge is dependent upon months with the most extreme (>95<sup>th</sup> percentile) rainfall  
1429 (Taylor *et al.* 2013).

1430 Furthermore, Taylor *et al.* (2013) found that five of the seven largest recharge events  
1431 coincided with seasonal rains enhanced by the El Nino Southern Oscillation and the Indian  
1432 Ocean Dipole. The Pacific Decadal and North Atlantic Oscillations are also known to effect  
1433 climate patterns in Africa, albeit in different regions (Brown, de Beurs, and Vrieling 2010).  
1434 These large-scale climate oscillations, which are driven by variations in sea surface  
1435 temperature, are also known to have opposite effects to climate in different parts of the  
1436 continent. For example, in East Africa, El Nino (La Nina) events are associated to increased

1437 wetting (drying), in contrast to drying (wetting) in Southern Africa (Nicholson and Kim  
1438 1997). Cycles of wetting and drying are being reflected in observations of the groundwater  
1439 hydrograph throughout Africa (Taylor *et al.*, 2013; Cuthbert *et al.*, 2019; Kolusu *et al.*, 2019),  
1440 showing both seasonally extreme recharge events as well as recharge events which are more  
1441 episodic in nature.

1442 Episodic rainfall events are particularly important in arid landscapes where recharge often  
1443 depends upon a small number of days of intense rainfall (Vogel and Van Urk 1975; Wanke,  
1444 Dünkeloh, and Udluft 2008). These more intense rainfall events can enable the rapid  
1445 infiltration of water via preferential flow paths, thus limiting the influence of  
1446 evapotranspiration during percolation (Mazor *et al.* 1977; Nkotagu 1996; Sibanda, Nonner,  
1447 and Uhlenbrook 2009; Van Tonder and Kirchner 1990; De Vries, Selaolo, and Beekman  
1448 2000; Xu and Beekman 2003). Döll and Fiedler (2008) stressed the importance of heavy  
1449 rainfall events in semi-arid and arid regions as they modelled groundwater recharge globally,  
1450 applying a rainfall threshold of 10mm/day to drylands, below which recharge could not  
1451 occur. Having identified this threshold via an independent analysis of 25 chloride profile  
1452 estimates of annual recharge distributed throughout the world and regional model estimates  
1453 of recharge in Death Valley, California.

1454 Throughout Africa's driest regions, its deserts, groundwater resources are not being actively  
1455 recharged. Desert aquifers are typically dominated by deep 'fossil' groundwater resources;  
1456 groundwater which was recharged over 12,000 years ago prior to the beginning of the  
1457 Holocene (Sturchio *et al.*, 2004; Guendouz *et al.*, 2006; Abotalib *et al.*, 2016; Jasechko *et al.*,  
1458 2017). Across the Sahara, paleoclimate regimes have alternated between wet and dry cycles  
1459 (Abotalib *et al.* 2016). In wet glacial periods, intensified paleo-winds brought moisture  
1460 westerly across the desert from the Atlantic Ocean (Abouelmagd *et al.* 2012; Sultan *et al.*  
1461 1997), condensation of which subsequently led to the rising of groundwater levels and the  
1462 development of paleo-surface waters such as lakes and swamps (Causse 1989). Between wet  
1463 cycle glacial periods, the intensification of paleo-monsoons recharged aquifers (Pachur and  
1464 Hoelzmann 2000; Yan and Petit-Maire 1994). Since the last glacial maximum, only an  
1465 estimated 1% of groundwater volumes in these environments have been turned over, as  
1466 rainfall renewal rates are extremely low (Befus *et al.* 2017).

## 1467 **S1.2 Topography**

1468 Landscape topography organizes the landscape into regions of predominantly diffuse,  
1469 focused and mountain system recharge as discussed in the beginning of this section (Scanlon  
1470 *et al.*, 2006). Diffuse groundwater recharge we generally perceive to occur in plainlands and  
1471 places which may only gently undulate, as rainfall infiltration into the subsurface can occur  
1472 throughout the landscape with limited effects from topographic gradients (Yenehun *et al.*,  
1473 2017; Moeck *et al.*, 2020; Tilahun *et al.*, 2020). Though the dominance of each recharge  
1474 mechanism within a region also depends upon the interaction of topographic features with  
1475 other recharge controls such as climate, landcover and soil. For example, in arid regions,  
1476 episodic storms can reactivate ephemeral rivers and water can infiltrate as focussed recharge,  
1477 despite negligible diffuse recharge in interfluvial regions due to high evaporation (Favreau *et*  
1478 *al.*, 2009; Cuthbert *et al.*, 2019).

### 1479 **S1.2.1 Focussed Recharge**



1480 21% of precipitation in Africa is partitioned to runoff which then feeds the continent's  
1481 surface water systems of rivers, wetlands and lakes (Schmied et al. 2016). When connected to  
1482 the groundwater system this can lead to focussed recharge, though not all groundwater-  
1483 surface interactions promote this recharge mechanism. In systems where the aquifer and  
1484 surface water store are connected by a continuous saturated zone, both groundwater  
1485 exfiltration (gaining) and surface water infiltration (losing) may occur (Brunner, Cook, and  
1486 Simmons 2009; Ivkovic 2009; Winter et al. 1998). In some rivers, the state of losing or  
1487 gaining stream can vary between the different reaches of the river, whilst in wetlands and  
1488 lakes the direction of flow can even vary across different parts of the bed (Sophocleous 2002;  
1489 Winter et al. 1998). These states are not stationary but can vary in time and depend on the  
1490 net-direction of hydrostatic forces acting at the bed of the surface water body (Winter et al.  
1491 1998). Surface and groundwater systems are disconnected when water tables are sufficiently  
1492 deep that alterations to its position do not influence the infiltration rate (Brunner et al. 2009;  
1493 Winter et al. 1998). In disconnected systems, surface waters generally lose water to the  
1494 groundwater system (Ivkovic 2009). The connectivity between groundwater and surface  
1495 water systems is largely controlled by climate, as in humid environments water tables are  
1496 typically shallower (M. O. Cuthbert et al. 2019).

1497 Satellite observations now show how the occurrence of water bodies in Africa has changed  
1498 over the last 30 years (Pekel et al. 2016). Water bodies, which were once perennial have since  
1499 become seasonal or ephemeral and vice versa. Further still, the distribution of surface water  
1500 across the continent has changed, with the new water bodies being formed and previous ones  
1501 disappearing (Donchyts et al. 2016). The occurrence of water bodies thus provides a useful  
1502 starting point for organising the relationship between surface waters and focused groundwater  
1503 recharge.

#### 1504 *Perennial*

1505 Perennial streams predominantly gain water from groundwater inflows, i.e. baseflow  
1506 (Gordon, McMahon, and Finlayson 2004). This may be well founded, as Beck et al. (2013)  
1507 show that streamflow in dry Southern African regions are less dependent upon groundwater  
1508 inflows than streams in tropical regions of the continent. However, the river Nile which is a  
1509 perennial stream sourced in the Ethiopian Highlands, losses water to the groundwater system  
1510 in the arid downstream reaches of Sudan and Egypt (Abdalla 2009). Therefore, transmission  
1511 losses from perennial rivers likely depends upon local climate conditions.

1512 Lakes store 0.03 million km<sup>3</sup> of the Africa's water (Shiklomanov and Rodda 2004), a volume  
1513 approximately 7.5 times the magnitude of annual freshwater renewability on the continent  
1514 (FAO 2018; MacDonald et al. 2012; UNEP 2010) and almost entirely attributed to the Great  
1515 Lakes of Eastern Africa. Owor et al., (2011) used piezometric data to investigate groundwater  
1516 flows along the northern shores of Lake Victoria and Lake Kyoga in Uganda. They found that  
1517 the along the stretches of shoreline they studied groundwater predominantly discharged into  
1518 the lake. On the North Western Ethiopian Plateau, Kebede et al., (2005) suggest that  
1519 groundwater was flowing towards Lake Tana due to the dipping of geology towards the lake.  
1520 Other Lakes such as Lake Naivasha in Kenya and the Kosi Bay Lakes in South Africa exhibit  
1521 though flow processes where regional groundwater discharges into the lake but then  
1522 reinfilters into the groundwater system at a different part of the lake bed (Ojiambo et al.,  
1523 2001; Ojiambo et al., 2003; Weitz and Demlie, 2014, 2015; Ndlovu and Demlie, 2016). 21%

1524 of Lake Chad's inflows are estimated to recharge the surrounding unconfined shallow aquifer  
1525 (Isiorho et al., 1996; Edmunds et al., 1999). However, climate forcing and abstractions have  
1526 caused the surface area of the lake to shrink to less than 10% of its extent in the 1960s  
1527 (Mahmood and Jia 2019), leading to declines in regional groundwater resources (Leblanc et  
1528 al. 2007).

1529 There are 726 reservoirs in Africa, with a storage approximately equal to 3% of the  
1530 continent's lake storage (Lehner et al. 2011) and there are many more either planned or under  
1531 construction (Zarfl et al. 2014). Existing reservoir storage is mostly concentrated in Southern  
1532 Africa, although some of the largest reservoirs are along the Nile (High Aswan dam) and  
1533 Volta (Akosombo dam) rivers as well as the Zambezi (Kariba dam). In dry countries such as  
1534 Tunisia and Egypt, stable isotopes show reservoir storage is recharging local aquifers and  
1535 mixing with groundwater recharged thousands of years ago (Aly et al., 1993; Dassi et al.,  
1536 2005). Recently, (Abdelmohsen et al. 2019) used stable isotopes, borehole observations and  
1537 observations from the GRACE satellite mission to find that the High Aswan Dam is  
1538 influencing groundwater stores up to 280km away. They suggest the reservoir and the deep  
1539 Nubian Sandstone Aquifer System are connected to one another through highly fractured and  
1540 karstified bedrock, with the reservoir being a consistent source of groundwater recharge in  
1541 both wet and dry periods. In contrast, groundwater recharge from the Bamendjin dam in  
1542 tropical and mountainous north western Cameroon is restricted to within 100m (Wirmvem et  
1543 al. 2015).

#### 1544 *Seasonal*

1545 Seasonal wetlands often exist in climates and landscapes that prohibit rapid infiltration or  
1546 lead to groundwater exfiltration (Winter et al. 1998). In a global review of flood plain and  
1547 headwater wetlands, Bullock and Acreman, (2003) struggled to conclude whether seasonal  
1548 wetlands enhanced groundwater recharge. Of their 69 references, only six discussed increases  
1549 in recharge due to wetland conditions, whereas nine highlighted reductions in recharge. A  
1550 further 32 simply stated that recharge takes places.

1551 Seasonal inundation of some of Africa's large floodplains and inland deltas creates periodic  
1552 opportunities for extensive groundwater recharge. During seasonal flooding of the Okavango  
1553 delta in Botswana, the inundated surface area of the flood plain increases from 5,000 km<sup>2</sup> to  
1554 up to 12,000 km<sup>2</sup>. As the alluvial fan primarily consists of highly permeable sands, the  
1555 groundwater table can rise from a depth 3-5m to the surface within a few days. Infiltration  
1556 accounts for 90% of losses from the delta of which 80% drain laterally to the surrounding  
1557 drylands (Ramberg et al., 2006; Wolski et al., 2006). On the other hand, recharge rates under  
1558 the seasonally inundated Hadejia-Nguru floodplain in northern Nigeria, are less than  
1559 1mm/year, as extensive clay cover prevents infiltration (Carter and Alkali, 1996; Edmunds et  
1560 al., 1999; Goes, 1999). Recharge to the regional aquifer is instead largely attributed to  
1561 transmission losses directly from the Komadugu river running through the wetland, which  
1562 equates to 160-260 million m<sup>3</sup> of aquifer recharge per year or 30% to 40% of the rivers total  
1563 discharge (Genthon et al. 2015). Therefore, highlighting both streambed transmission losses  
1564 and floodplain inundation as mechanisms through which seasonally high river flows can lead  
1565 to groundwater recharge and that floodplain inundation further relies upon favourable soil  
1566 conditions for recharge to occur.

1567 Headwater wetland systems often occur in topographic depressions where most of the year's  
1568 annual precipitation falls in a short wet season, leading to seasonal inundation. Names used to  
1569 describe these wetland systems vary regionally and include, Dambos, Bolis, Bas-fonds,  
1570 Mbuga or Vleis, though they are all frequently associated to crystalline basement geology  
1571 (Faulkner and Lambert 1991; von der Heyden 2004; Séguis et al. 2011; Wright 1992). These  
1572 wetlands are typically fed by groundwater recharged upslope as well as direct rainfall and  
1573 saturation excess runoff and evapotranspiration are the dominant wetland outflows (Faulkner  
1574 and Lambert 1991; Giertz and Diekkrüger 2003; McCartney 2000; Wright 1992). Infiltration  
1575 below the wetland is frequently impeded by poorly draining clays which are responsible for  
1576 the waterlogged conditions (McCartney et al., 1998; Séguis et al., 2011; von der Heyden,  
1577 2004). Groundwater fed wetlands can also occur along riparian channels as is the case in the  
1578 Nyabisheki catchment of south western Uganda (Howard and Karundu 1992). These  
1579 wetlands can extend up to several kilometres away from the stream channel and are  
1580 characterized by phreatophyte plants which are capable of transpiring water at the full rate of  
1581 potential evapotranspiration. Here evaporation and transpiration from the wetlands act as a  
1582 direct loss to the aquifer system (Howard and Karundu 1992).

### 1583 *Ephemeral*

1584 In extremely dry landscapes such as the Sahara Desert, intense rainfall events are important  
1585 drivers for focused recharge through flash flooding (Sultan et al. 2000) and the formation of  
1586 ephemeral water bodies (Lehner and Döll 2004). In Northern Africa, the term Wadi refers to  
1587 a dry riverbed which only receives flow sporadically or perhaps seasonally with similar dry  
1588 river valleys also being characteristic of basins in southern Africa (Benito et al., 2010;  
1589 Walker et al., 2019). These riverbeds are usually underlain by an alluvial aquifer system  
1590 which gets recharged through riverbed transmission losses during heavy rainfall events  
1591 (Tantawi et al., 1998; Sultan et al., 2000, 2007; Gheith and Sultan, 2002). In a wadi system of  
1592 Egypt's Eastern Desert, Gheith and Sultan, (2002) estimate that transmission losses from a  
1593 flooding event in the Red Sea Hills ranged from 21% to 31% of the precipitated volume.  
1594 With similar rainfall events occurring once every 40 months. Annual flood waves through the  
1595 Kuiseb River, Namibia, recharge the alluvial aquifer in the lower reaches of river as it crosses  
1596 the Namib Desert (Benito et al. 2010; Morin et al. 2009). Stable isotope signatures of  
1597 groundwater within alluvial aquifers of arid environments frequently show signs of  
1598 fractionation as recharging water is affected by evaporation prior to infiltration (Tantawi et  
1599 al., 1998; Abdalla, 2009). In endoreic arid basins, surface water can also accumulate in salt  
1600 pans which typically occupy topographic depressions (Lehner and Döll 2004). (De Vries et  
1601 al., 2000) use chloride profiles to show that in the eastern fringes of the Kalahari Desert,  
1602 recharge is enhanced under these pans, with estimated annual rates of 50mm in comparison to  
1603 7mm for the surrounding landscape.

### 1604 **S1.2.2 Mountains System Recharge**

1605 The most well-known mountain ranges in Africa include the Atlas Mountains and Red Sea  
1606 hills in Northern Africa, the Drakensberg Mountains and Table Mountain Group of South  
1607 Africa, as well as the East Africa and Ethiopian rift systems. Other prominent mountain  
1608 ranges can be found along the Nigeria-Cameroon border, Madagascar and across the Sahara  
1609 (Smethurst 2000). These mountain blocks can be dominant sources of groundwater recharge  
1610 to adjacent basin aquifers (Markovich et al., 2019; Meixner et al., 2016). We discuss the two

1611 components of groundwater recharge to basin aquifers proposed by Markovich et al. (2019),  
1612 Surface Mountain Front Recharge and Mountain Block Recharge.

1613 Surface Mountain Front Recharge is the infiltration from mountain-sourced perennial or  
1614 ephemeral streams, through the basin fill at the mountain front, once streams have exited the  
1615 mountain block (Markovich et al. 2019). Markovich et al. (2019) define the mountain front as  
1616 the intersection between the land surface and the line of contact between mountain bedrock  
1617 and basin fill. Though definitions can also encompass information about vegetation, soils,  
1618 bedrock faulting and may refer to the mountain front as a transition zone instead of as a line  
1619 or point (Wilson and Guan 2004). Bouimouass et al. (2020) used water table fluctuations and  
1620 environmental tracers to investigate the effects of agricultural management on mountain front  
1621 recharge in the High Atlas Mountains of central Morocco. They found that irrigation  
1622 practices are altering the dominant recharge processes along the mountain front, though  
1623 mountain front stream losses are still significant in snowmelt or flooding periods.

1641 Mountain Block Recharge is the groundwater flow from the mountain block to the adjacent  
1642 lowland aquifer, which can either occur diffusely across the entire mountain front, or in  
1643 focused locations of high geologic permeability (Markovich et al. 2019). Researchers often  
1644 use stable isotopes to determine the elevation at which precipitation recharged the  
1645 groundwater system and hence identify groundwater recharged in the mountain block  
1646 (Jasechko 2019). Combining this with groundwater age information can help understand the  
1647 degree of mixing between younger and older groundwaters, but they don't give explicit  
1648 information about the location of infiltration or how groundwater travels to the basin aquifer  
1649 (Bouchaou et al. 2008; Boukhari et al. 2015; Diamond and Harris 2000). Therefore many of  
1650 these findings are highly speculative (Markovich et al. 2019). Kebede et al. (2008) used  
1651 stable isotopes and groundwater ages from carbon dating to investigate groundwater flow  
1652 along two transects in the Ethiopian Rift. They find that geological faults can acts as both  
1653 barriers and conduits for Mountain Block Recharge to the basin aquifer, suggesting that older  
1654 isotopically depleted groundwater in the basin indicates faults acting as conduits for recharge.  
1655 Mechal et al. (2016) later explored Mountain Block Recharge in Ethiopian Rift by coupling a  
1656 semi-distributed soil moisture balance model with a groundwater model. They found that  
1657 groundwater models which explicitly represented faults as both barriers and conduits for  
1658 Mountain Block Recharge had improved model performance when compared to hydraulic  
1659 heads at 72 observations wells. Furthermore, they found that an estimated 25% of recharge in  
1660 the Gidabo Basin infiltrates to deeper groundwater flows that contribute to Mountain Block  
1661 Recharge, with the remainder draining to streams.

### 1662 **S1.3 Landcover/use**

1663 Tree cover, shrubland and bare soils are the three most dominant landcovers across Africa  
1664 (Tsendbazar et al., 2017). Desert regions such as the Sahara, the Namib and the horn of  
1665 Africa are unsurprisingly dominated by bare soils and sparse vegetation. In contrast dense  
1666 rainforest can be found in central equatorial regions of the continent and tropical woodland  
1667 spreading into West and South Eastern Africa (Hansen et al., 2013; Mayes et al., 2015;  
1668 Tsendbazar et al., 2017) and shorter shrub, grass or crop cover is distributed across the Sahel  
1669 and much of Eastern and Southern Africa (Tsendbazar et al., 2017). Urban settings account  
1670 for less than 0.01% of the African landscape and are currently expanding at rates slower than  
1671 the rest of the world (van Vliet 2019; Zhou et al. 2015).

1672 *Forests and woodland*

1673 Tall vegetation landcovers, such as dense rainforest, open forests and woodlands cover ~26%  
1674 of Africa's land surface (Mayaux et al. 2004). Trees interact with the water cycle by  
1675 increasing transpiration and interception and reducing evaporation (Gordon et al., 1992; Fan  
1676 et al., 2013; Schlesinger and Jasechko, 2014; Goodet et al., 2015; Zhang et al., 2016).  
1677 Atmospheric losses due to transpiration dominate across the African continent. On average  
1678 49%, 21% and 7% of precipitation returns to the atmosphere via transpiration, bare soil  
1679 evaporation and interception, respectively (Zhang et al. 2016). Once rainfall has entered the  
1680 soil zone, vegetation roots can increase the permeability of soils and infiltration (Burgess et  
1681 al. 2001). Deep rooting systems increase the capacity of root-zone moisture storage (Nijzink  
1682 et al. 2016) and access to deeper groundwater (Barbeta and Peñuelas 2017), which leads to  
1683 the bulk of continental transpiration being associated to tropical forests (Gordon et al., 1992;  
1684 Good et al., 2015). However, increased canopy cover and shading lead to modest soil and  
1685 surface water evaporation rates (Good et al., 2015) and moderately enhanced interception  
1686 (Zhang et al. 2016). Hence, transpiration dominates the evapotranspiration flux in forested  
1687 environments (Schlesinger and Jasechko 2014).

1688 Globally, (Kim and Jackson 2012) show that woodlands have some of the lowest conversion  
1689 rates of water input (precipitation + irrigation) to recharge, at just 6%. This is also confirmed  
1690 in catchment scale studies, which frequently find lower recharge rates in forested parts of the  
1691 catchment (Gebreyohannes et al. 2013; Houston 1982; Howard and Karundu 1992). Soil  
1692 moisture balance modelling estimates the mean annual recharge rate for a Zambian catchment  
1693 to be 281mm/year, though under open forest cover recharge estimations fell to 80mm/year  
1694 (Houston 1982). In the Ethiopian Rift, Gebreyohannes et al. (2013) estimate mean  
1695 groundwater recharge under forest cover to be 5mm/year whilst under agriculture and bare  
1696 soils they find mean estimates of 86mm/year and 64mm/year respectively. Furthermore, the  
1697 presence of woodland or forest can restrict groundwater recharge to years of particularly high  
1698 rainfall, even when recharge in grassed, cropped or unvegetated parts of the catchment occurs  
1699 annually and may exceed 200 mm/year (Houston 1982; Howard and Karundu 1992).  
1700 Deforestation and the removal of tree cover can enhance groundwater recharge rates by  
1701 reducing evapotranspiration (Taylor and Howard 1996; Været et al. 2009). In Uganda,  
1702 (Taylor and Howard 1996) find that deforestation for agricultural expansion has led to an  
1703 increase in recharge rates from 110mm/year to 240mm/year. While in South Africa, pine tree  
1704 cover was removed to promote groundwater recharge and discharge to Lake St Lucia (Været  
1705 et al. 2009).

1706 Most of the continents' losses from interception evaporation occur in the densely forested  
1707 regions of Central Africa (Miralles et al. 2010; Zhang et al. 2016; Zheng et al. 2017). In these  
1708 densely forested regions, the evaporation flux of intercepted rainfall can approach rates of  
1709 300mm/year and exceed 10% of the precipitation input. Furthermore, canopy storage in these  
1710 regions is largely continuous, unlike in areas with deciduous vegetation where it can vary  
1711 (Kahiu and Hanan 2018). We could not find any studies directly discussing the relationship  
1712 between rainfall interception and groundwater recharge in Africa or elsewhere. However, it  
1713 seems reasonable to assume that by limiting the amount of rainfall that reaches the land  
1714 surface, interception is consequently reducing the amount of groundwater recharge which can  
1715 occur.

1716 *Grasslands/shrublands/agriculture*

1717 Shorter landcover types such as grasslands, shrublands and agriculture, are largely distributed  
1718 throughout the Sahel and Southern and Eastern Africa (Tsendbazar et al. 2017), each  
1719 accounting for an estimated 15.4%, 13.4% and 11.6% of the African land area respectively  
1720 (Mayaux et al. 2004; Xiong et al. 2017). Managed agricultural land also extends into  
1721 Northern Africa, along the banks of the river Nile and along the coastline (Xiong et al. 2017).  
1722 Kim and Jackson (2012) show that globally, grasslands and croplands are more efficient in  
1723 converting a water input (precipitation + irrigation) to recharge than woodlands, with rainfall-  
1724 recharge rates of 8%, 11% and 6%, respectively. However, they also found shrublands  
1725 heathlands and savannas only convert 5% of rainfall to recharge.

1726 In semi-arid West Africa, Ilstedt et al., (2016) discuss the trade-off between the infiltration  
1727 promotion properties of trees and their interception and transpiration functions. In the  
1728 Kalahari Desert, dense bush and tree savannah is believed to transpire much of the annual  
1729 rainfall during the long dry season, leading to very little recharge (De Vries et al., 2000;  
1730 Sibanda et al., 2009). Especially as trees in these drier landscapes can develop deep root  
1731 systems allowing them to access the water table (Sibanda et al., 2009; Addai et al., 2016).  
1732 This agrees with chloride profiles in Senegal, which suggest that as vegetation density  
1733 increases, annual rates of groundwater recharge decline (Edmunds and Gaye 1994). However,  
1734 Bargués Tobella et al., (2014) find that trees in dryland settings can increase soil infiltration  
1735 and preferential flow.

1736 Kim and Jackson (2012) show croplands throughout the world are more efficient in  
1737 converting rainfall to recharge than grasslands, woodlands shrublands and savannas.  
1738 Although, the impact of land clearing on recharge varies across climate zones and indigenous  
1739 vegetation types. In an inter-mountain basin in tropical Cameroon, Wirmvem et al. (2015)  
1740 believe that deforestation for agriculture has enhanced rapid infiltration mechanisms by  
1741 increasing the number of openings in the soil. In contrast, extensive land clearing of natural  
1742 savanna for agriculture in semi-arid South West Niger has led to soil crusting on slopes,  
1743 which in turn has led to increases in seasonal runoff and drainage density (Leduc et al., 2001;  
1744 Leblanc et al., 2008; Favreau et al., 2009). Increased seasonal ponding has since led to the  
1745 continuous rising of the water table between 1963 and 2007, despite a monsoon rainfall  
1746 deficit from 1970 to 1998, as pre and post clearing recharge rates for the area are 2mm/year  
1747 and 25mm/year, respectively.

1748 Adjacent to many of Africa's largest lakes and rivers, such as lake Chad and the rivers Nile,  
1749 Senegal, Niger and Orange, agricultural land is being equipped for irrigation (Siebert et al.  
1750 2015). Excess irrigation water can infiltrate into the soil and percolate to the aquifer,  
1751 increasing groundwater recharge rates. This type of recharge can be particularly important in  
1752 semi-arid and arid environments which have very little natural water input from precipitation  
1753 (Kim and Jackson 2012). However, groundwater fed irrigation often leads to a net decline in  
1754 aquifer storage (Guendouz *et al.*, 2006; Bouchaou *et al.*, 2008; Tarki et al., 2012; Wada et al.,  
1755 2012), unlike surface water fed irrigation which enhances natural recharge with water  
1756 transferred from streams (Awad et al. 1995; Bouimouass et al. 2020; Scanlon et al. 2007). As  
1757 irrigation technologies become more efficient, recharge via irrigation excesses will decline  
1758 (Scanlon et al. 2007), which could also help reduce the salinization of groundwater by excess  
1759 irrigation water (Bouchaou et al. 2008; Foster et al. 2018).

## 1760 *Bare Soil*

1761 Approximately 33% of Africa's land surface, mostly in North Africa's Sahara Desert, is  
1762 classified as bare soil (Mayaux et al. 2004), with additional unvegetated landscapes along the  
1763 Ethiopian, Somalian and Namibian coastlines. These desert landscapes mostly consist of  
1764 stony pavements and desert dunes (also known as Ergs), as there is insufficient water to  
1765 support vegetation (Crouvi et al. 2010; Fujioka and Chappell 2011; Kocurek 1988). Diffuse  
1766 groundwater recharge is not actively occurring in many desert landscapes as precipitation  
1767 rates are extremely low (Guendouz et al. 2006; Sturchio et al. 2004), but in less water  
1768 stressed environments, bare soils can promote groundwater recharge as the transpiration  
1769 fluxes are negligible (Gebreyohannes et al. 2013; Stone and Edmunds 2012).

## 1770 *Urban settings*

1771 40% of the Sub-Saharan population is living in urban areas (World Bank 2019) and many  
1772 cities depend on groundwater for domestic water supply (Adelana et al. 2008). Understanding  
1773 groundwater recharge to urban aquifers is therefore important when assessing groundwater  
1774 availability for a large proportion of the African population.

1775 The typical perception of urbanisation is that it reduces the permeable surface area and  
1776 therefore reduces groundwater recharge, yet recharge rates in urban areas can be as high as or  
1777 even higher than nearby rural areas (Lerner 2002; Sharp 2010). Large landscape alterations  
1778 through urbanization can dampen and modify existing recharge mechanisms as well as  
1779 introduce new recharge mechanisms. Localised recharge along the edges of roads, pavements  
1780 and buildings can occur in municipal areas with very little drainage infrastructure (Lerner  
1781 2002; Sharp 2010). Leaking wastewater from on-site sanitation can be a source of  
1782 groundwater recharge and pollution, degrading urban groundwater quality (Diouf 2012;  
1783 Foster, Morris, and Chilton 1999; Guendouz et al. 2006; Lapworth et al. 2017). And if piped  
1784 water supply is available, leakage from pressurized distribution networks can recharge the  
1785 urban aquifer.

## 1786 **S1.4 Soils/geology**

### 1787 *Soil texture (grain size)*

1788 Soils with larger sand fractions are more permeable and support higher recharge rates than  
1789 finer clay soils. In a global scale meta-analysis of recharge estimates, Kim and Jackson  
1790 (2012) show that on average sandy soils are 50% more efficient in converting water input  
1791 into groundwater recharge. Similar results are found at regional and catchment scales in  
1792 Senegal, Sudan and Zimbabwe, whereby higher recharge rates are estimated in areas where  
1793 the sand fraction is a more dominant component of the soil (Abdalla 2009; Butterworth et al.  
1794 1999; Edmunds and Gaye 1994). In soils with high clay content, not only is the vertical  
1795 percolation of water through the soil profile restricted (Attandoh et al. 2013; Edmunds et al.  
1796 1992), but soil moisture is more exposed to evapotranspiration fluxes ( Mensah et al, 2014;  
1797 Yidana and Koffie, 2014; Kotchoni et al., 2018). Often basic soil information such as soil  
1798 texture is used to characterize the permeability of soils (Saxton et al., 1986; Wösten et al.,  
1799 2001), though Gutmann and Small (2007) question the ability of soil textures alone for  
1800 determining soil permeability.

1801

1802 *Preferential flow*

1803 Soil texture alone fails to recognise structural soil properties which enable infiltration via  
1804 preferential flow paths which bypass the soil matrix (Beven and Germann 1982). Macropores  
1805 in the soil structure allow water to infiltrate preferentially through the subsurface, bypassing  
1806 vegetation rooting zones and reducing the influence of evapotranspiration. In most soils, the  
1807 primary influence to soil structure is biological activity through the formation of biopores and  
1808 soil aggregation (Bargués Tobella et al. 2014; Beven and Germann 1982; Flury et al. 1994),  
1809 though several abiotic processes are also influential (Oades 1993). The contribution of  
1810 preferential flow to groundwater recharge can be estimated by comparing from saturated and  
1811 unsaturated zone analysis (Cuthbert and Tindimugaya 2010; Demlie 2015; de Vries and  
1812 Gieske 1990). Preferential flow path recharge mechanisms are important in contrasting  
1813 environmental settings. In the Botswanan Kalahari Desert, semi-arid Tanzania and the  
1814 tropical highlands of Ethiopia, preferential flow can account for as much as 24%, 60% and  
1815 36% of total recharge estimates, respectively (Demlie et al. 2007; Nkotagu 1996; de Vries  
1816 and Gieske 1990).

1817 Preferential flow paths may partly explain why Mazor (1982) identified more active recharge  
1818 in the Kalahari when dating groundwaters using tritium and carbon dating, despite the  
1819 previous perception that it was negligible. In South Africa's Karoo basin, Van Tonder and  
1820 Kirchner (1990) found that groundwater levels rose following a flood event, despite neutron  
1821 probe measurements showing very little soil matrix flow very little soil matrix flow. Hence  
1822 implying most of the recharge occurs via preferential flow paths. In Ethiopia, Demlie et al.  
1823 (2007) suggest that as soils become deeper, the importance of preferential flow paths for  
1824 enabling recharge increases.

1825 Rock fracturing can also create pathways through which water can rapidly pass through the  
1826 subsurface and recharge aquifers (Nkotagu, 1996; Xu and Beekman, 2003; Adams et al.,  
1827 2004; Kebede et al., 2005; Azagegn et al., 2015). Extensive faulting and fracturing in the  
1828 catchment of Lake Nyos, in the highland tropical north west of Cameroon, allows for  
1829 approximately 30% of rainfall to be converted into recharge, with an estimated annual  
1830 recharge rate of 941mm/year (Kamtchueng et al. 2015). In hard rock terrains of Southern  
1831 Africa, van Wyk et al., (2012) suggest that a network of vertical and sub-vertical joints and  
1832 fractures reaching depths of 45m, transports infiltrating rainfall to the water table within  
1833 hours.

1834 Another relevant geological unit related to preferential flows is karst, which can create  
1835 significant subsurface heterogeneity of flowpaths. Vertical conduits in the karstified rock  
1836 enable rapid recharge mechanisms whereby water does not occupy the soil zone for long  
1837 times (Chemseddine et al., 2015), exposure to evapotranspiration is minimised and very little  
1838 runoff is generated (Ayadi et al. 2018; Farid et al. 2014; Holland and Witthüser 2009; Leketa  
1839 et al. 2019). Karst refers to a distinctive geological landform in which water is drained  
1840 through subsurface channel and cavity features formed through the dissolution of soluble  
1841 rocks such as carbonates and evaporites (Bakalowicz 2005; Ford and Williams 2007). This  
1842 karstification leads to a highly heterogenous subsurface with extreme variations in hydraulic  
1843 conductivity and storage due to the previously mentioned weathering processes (Ford and  
1844 Williams 2007). Regional scale hydrological modelling of carbonate regions in Northern



1845 Africa and Europe shows that by not characterizing the sub-surface heterogeneity of karst  
1846 systems in global models, current estimates of annual recharge from global models could be  
1847 grossly underestimating recharge in these landscapes (Hartmann et al. 2017).

### 1848 *Bedrock outcrops*

1849 In dry landscapes, bedrock outcrops are important for enhancing groundwater recharge  
1850 because of rock fractures. In the Kalahari Desert, recharge under the bedrock outcrops can be  
1851 up to six times larger than neighbouring areas with greater soil depths, with estimated rates  
1852 reaching 75mm/year (Brunner et al. 2004; Mazor 1982; Wanke et al. 2008). Soils can become  
1853 thinner with increasing elevation, which in turn can lead to more effective conversion of  
1854 rainfall to recharge and higher annual rates, as Van Tonder and Kirchner (1990) found in two  
1855 Karoo aquifers in South Africa. Isolated rock formations called inselbergs are widely  
1856 distributed across crystalline continental shields and have been formed by erosion of the  
1857 surrounding landscape (Burke 2003). Groundwater responses under inselbergs are generally  
1858 much faster than in the broader surroundings, as infiltration through the fractured rock is  
1859 easier and less exposed to evaporation (Brunner et al. 2004; Butterworth et al. 1999).

### 1860 *Soil perturbation*

1861 Crusting, cementation, weathering, tillage and compaction are soil perturbations at the  
1862 surface that can have significant impact on recharge rates.

1863 (i) In arid and semi-arid regions soil crusting can prevent precipitation from infiltrating into  
1864 the soil where it has fallen (Wakindiki and Ben-Hur 2002), but often leads to runoff run-on  
1865 process which cause surface water accumulation and focussed recharge (Favreau et al. 2009;  
1866 Jacks and Traoré 2014). Surface crusting occurs because of the disaggregation of soil  
1867 particles at the surface via the impact of raindrops (Agassi et al., 1981; Thierfelder et al.,  
1868 2013; Jacks and Traoré, 2014) or immersion in water (Nciizah and Wakindiki 2015).

1869 (ii) The cementation of soil layers by secondary minerals (minerals that occur due to the  
1870 weathering of primary minerals) such as silica and calcium is common in dry landscapes with  
1871 large evaporation fluxes (Francis et al. 2007). Collectively referred to as duricrusts (Nash et  
1872 al., 1994; Nash and Shaw, 1998), these cemented layers reduce the permeability of the soil  
1873 and promote water logging (Francis et al. 2007). However, preferential flow paths can bypass  
1874 these layers to permit groundwater recharge (De Vries et al., 2000; Mazor, 1982; Van Tonder  
1875 & Kirchner, 1990; Xu & Beekman, 2003).

1876 (iii) Deeply weathered soils known as laterite are found extensively across tropical regions of  
1877 Africa (Bonsor et al., 2014) and the world (FAO, 2001; McFarlane, 1970). The weathering  
1878 process creates distinct soil horizons which are responsible for extremely non-linear  
1879 variations in soil permeability with depth (Bonsor et al. 2014). Clays at the base of the laterite  
1880 can inhibit recharge to the underlying bedrock aquifer (Bonsor et al. 2014), while tubular  
1881 voids in the upper horizons can allow fast recharge to the overlying regolith aquifer (Bromley  
1882 et al. 1997; Cuthbert and Tindimugaya 2010) as wells as rapid shallow sub-surface flows to  
1883 the stream (Bonsor et al. 2014). When the upper soil horizon does not contain large voids,  
1884 low soil permeability can cause a runoff run-on process leading to focussed recharge (Rueedi  
1885 et al., 2005).

1886 (iv) Tillage is the practice of mechanically loosening soil within the crop rooting zone in  
1887 preparation for agricultural activities. The current understanding of how tillage effects soil  
1888 infiltration rates is still rather unclear as findings from studies can be inconsistent (Strudley,  
1889 Green, and Ascough 2008), with evidence for both increases (Mrabet, 2002; Spaan et al.,  
1890 2005) and decreases (Lal, 1976; Osunbitan et al., 2005) in infiltration, depending upon the  
1891 soil type (Thierfelder and Wall 2009), the equipment being used (Abu-Hamdeh 2004) and  
1892 tilling depth (Hussein et al., 2019).

1893 (iv) Soil degradation caused by soil compaction affects approximately 0.6% of Africa's land  
1894 area (Oldeman et al., 2007) due to livestock and wildlife (du Toit et al., 2009; Howison et al.,  
1895 2017) or human activity (Randrup, 1997; Hamza and Anderson, 2005; Umer et al., 2019). It  
1896 reduces the infiltration capacity of the soil (Hamza and Anderson 2005; du Toit et al. 2009),  
1897 thus reducing groundwater recharge and increasing runoff, especially in regions where  
1898 infiltration excess is the dominant runoff mechanism (Alaoui et al. 2018).

## 1899 **S1.5 Managed Aquifer Recharge**

1900 Managed Aquifer Recharge refers to a range of methods used to intentionally increase  
1901 groundwater recharge, which include, modifying the channel streambed, bank filtration,  
1902 recharge wells, spreading water or reservoir releases (Dillon et al. 2019; Stefan and Ansems  
1903 2018). These methods can help maximise natural water storage, support groundwater  
1904 dependent ecological systems and help manage groundwater quality and the aquifer (Stefan  
1905 and Ansems 2018). Current capacity for Managed Aquifer Recharge is lower in Africa than  
1906 in all other regions of the world and accounts for just 0.2% of groundwater use in Southern  
1907 Africa (Dillon et al. 2019). Highlighting one possible opportunity for advancing groundwater  
1908 security in Africa (Grönwall and Oduro-Kwarteng 2018). In a rural Ethiopian catchment,  
1909 (Walraevens et al. 2015) find that hill slope runoff captured by trenches and infiltration ponds  
1910 can contribute between 30% and 50% of recharge to the local aquifer, which supports  
1911 community scale irrigation practices. Sand storage dams, which store stream flows in  
1912 sediments accumulated behind the small dams, can capture water from flash flooding events  
1913 and minimise storage losses by evaporation (Hut et al. 2008). Abiye et al., (2009) even  
1914 suggest that Managed Aquifer Recharge could be a preferred discharge option for treated  
1915 urban wastewater effluents in Addis Ababa, instead of returning flows to more polluted  
1916 surface waters.

## 1917 **S1.6 Interactions between controls**

1918 Up to now we have largely looked at landscape properties and their control over recharge  
1919 processes independently, in reality, groundwater recharge is a function of the interactions  
1920 between these controls. Hence at the continental scale, we would typically expect to find  
1921 some of the lowest recharge rates in areas with the most freely draining soils, as these regions  
1922 also have the lowest precipitation volumes. By identifying patterns in the landscape, i.e.  
1923 climate, topography, vegetation, soils and geology, we can begin to conceptualise recharge  
1924 processes of different environmental settings found in Africa. We can find these patterns as  
1925 landscapes are continuously co-evolving (Troch et al. 2013) via an array of physical and  
1926 biological processes which effect the uplift and deformation of bedrock and the erosion,  
1927 transportation and deposition of sediments (Dietrich and Perron 2006; Reinhardt et al. 2010).

1928 This co-evolution, explains why we typically expect to find certain landscapes throughout the  
1929 continent, including rainforests, tropical woodlands and savannas and deserts.

1930 We often regard climate as an external force driving the hydrological system, but it also  
1931 controls the spatial and temporal patterns of landcover (Zhou et al., 2014; Hawinkel et al.,  
1932 2016; Bouvet et al., 2018; Measho et al., 2019; Ndehedehe et al., 2019) and soils (Jenny  
1933 1941; Towett et al. 2015). Climate and vegetation patterns as well as soil properties are also  
1934 strongly affected by local topography. In mountainous areas we see vegetation becoming  
1935 shorter and less dense above the treeline, as temperatures decline and thinning soils make  
1936 ground conditions less stable (Harsch et al., 2009; Egli and Poulénard, 2016). Increased  
1937 precipitation and runoff due to orographic forcing as well as steeper slopes, promote more  
1938 active erosion and sediment transport fluxes at elevation and therefore prevents the  
1939 accumulation of soils (Acosta et al. 2015). In contrast, at lower elevations, vegetation can  
1940 assist the accumulation of soils by reducing surface water erosion and promoting infiltration  
1941 (Acosta et al. 2015; Descheemaeker et al. 2006; Descroix et al. 2009; Thompson et al. 2010).  
1942 In water limited regions, vegetation density often increases in topographic depressions such  
1943 as ephemeral streams, as accessibility to groundwater may be locally improved (Morin et al.,  
1944 2009; Steward et al., 2012; Ndehedehe et al., 2019; Grodek et al., 2020).

## 1945 **S1.7 Summary**

1946 Summarizing our review, we believe that 15 of the 22 recharge controls identified have a  
1947 clear positive (blue) or negative (red) relationship with recharge (Figure 1). Before  
1948 integrating any of the recharge controls into our classification shown in the results section,  
1949 they were screened against three criteria. Firstly, there needed to be a clear, well-founded and  
1950 direct relationship between the recharge control and recharge. We do not include elevation as  
1951 a control on groundwater recharge, although others have (Moeck et al. 2020), as we argue it  
1952 only indirectly influences recharge through its relationship with climate, slope, landcover,  
1953 soils and geological characteristics. Secondly, only controls which could be identified by  
1954 global datasets were included. Finally, as our focus is on diffuse groundwater recharge, we  
1955 exclude controls which were not found to directly effect this recharge mechanism.

1956

## 1957 **S2. Fuzzy clustering**

1958 To delineate regions with similar recharge control indices we use the fuzzy c-means  
1959 clustering algorithm (Bezdek 1981) implemented in Matlab as the function fcm. At first the  
1960 membership of pixels to each of the units is randomly initialized. The algorithm starts by  
1961 randomly assigning the membership of pixels to each of the units. It then continues to  
1962 iteratively calculate the centroid of each unit, the membership of each pixel to each of the  
1963 units and an objective function which we are trying to minimise. This continues until the  
1964 difference between consecutive objective function scores falls below a user-specified  
1965 threshold or until a maximum number of iterations (also specified by the user) has been  
1966 reached. To prevent biasing the clustering process to the recharge control index with the  
1967 largest range, we first standardized all indices between 0 and 1.

1968

1969 
$$c_j = \frac{\sum_{i=1}^D \mu_{i,j}^m x_i}{\sum_{i=1}^D \mu_{i,j}^m}$$

1970

1971 
$$\mu_{i,j} = \frac{1}{\sum_{k=1}^N \left( \frac{\|x_i - c_j\|}{\|x_i - c_k\|} \right)^{\frac{2}{m-1}}}$$

1972

1973 
$$OF_m = \sum_{i=1}^D \sum_{j=1}^N \mu_{i,j}^m \|x_i - c_j\|^2$$

1974 where,

- 1975 • OF is the clustering objective function.
- 1976 • m is a fuzzy exponent parameter.
- 1977 • N is the number of units specified.
- 1978 • D is the number of data points.
- 1979 •  $x_i$  is the  $i^{\text{th}}$  data point.
- 1980 •  $c_j$  is the centroid of the  $j^{\text{th}}$  unit.
- 1981 •  $\mu_{i,j}$  is the membership of  $x_i$  to the  $j^{\text{th}}$  cluster. For a given data point, the sum of the
- 1982 membership values to each of the units is equal to one.

1983 Algorithm parameters include the number units (N) used to delineate similar regions as well as a  
 1984 fuzzy exponent parameter (m), which determines the fuzziness of boundaries between units  
 1985 (Schwämmle and Jensen 2010). We tested different combinations of the number of units (1 to 40) and  
 1986 the fuzzy exponent (1.05 to 3) to observe how this effected the objective function of the clustering  
 1987 algorithm as well as the median membership of pixels to their primary unit of the realized  
 1988 classification. We specified 14 units and a fuzzy exponent of 1.25, as this minimised the objective  
 1989 function to a level similar to classifications with much higher units, whilst still finding pixels with a  
 1990 high degree of membership to their primary unit.

1991 As the initial centroids of each unit are randomly assigned before being iteratively manipulated  
 1992 towards their final positions, their final positions and hence the classification can vary with each  
 1993 initiation of the clustering algorithm. We therefore used a multistart framework (20 initialisations) to  
 1994 test the robustness of our results, using the Adjusted Rand Index (Hubert and Arabie 1985) to assess  
 1995 the similarity between each of the realized classifications. Scores of 0 indicate no similarity between  
 1996 two classification schemes, whereas a score of 1 implies that the two classification schemes are  
 1997 identical.

1998

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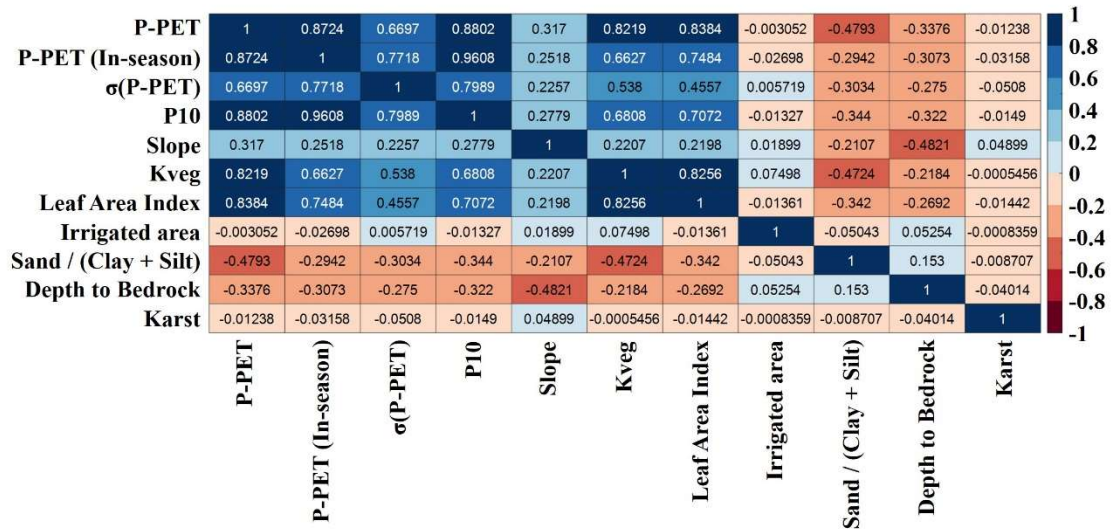
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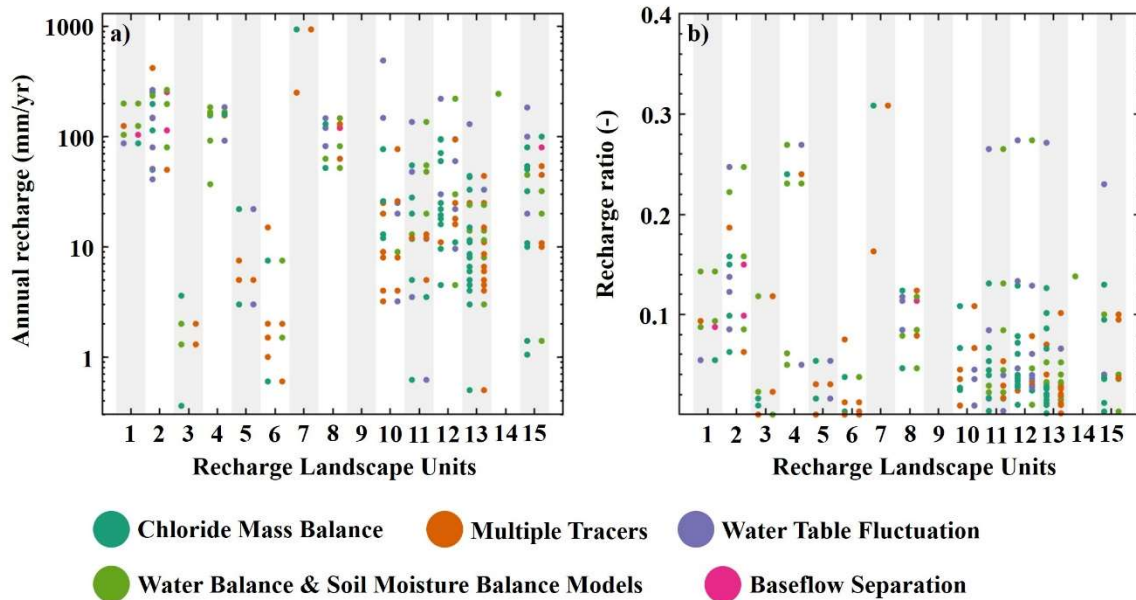
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### S3. Supporting results



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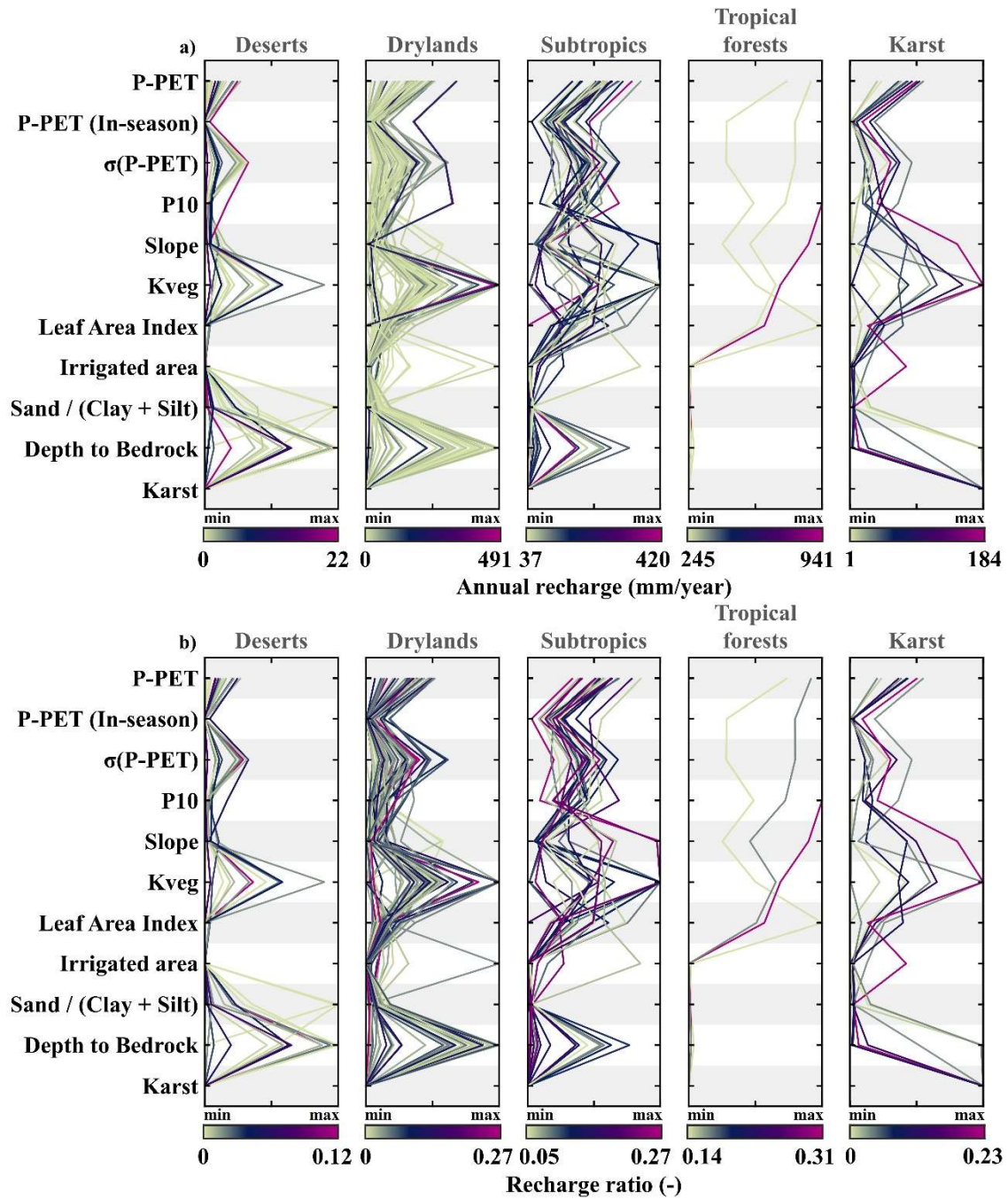
Figure 9. Pearson correlation coefficients between the different recharge control indices we used to develop our classification of recharge controls across Africa. Coefficients relate to the linear relationships between indices across Africa only.



2012  
2013  
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2015  
2016

Figure 10. a) Local estimates of annual recharge and b) local estimates of recharge ratio. Estimates are organised according to the Recharge Landscape Unit they are located in, and colour coded according to estimation approach. Most data points are represented by two side-by-side markers reflecting the primary (left) and secondary (right) estimation method. Estimates which are found using one approach only are represented by a single marker.

2017 From figure 2 we cannot see any clear separation of annual recharge or recharge ratio estimates  
 2018 according to the different estimation methods used. Therefore, we assume that the estimation method  
 2019 is not the primary reason for variability in annual recharge and recharge ratio estimates in within  
 2020 Recharge Landscape Units.

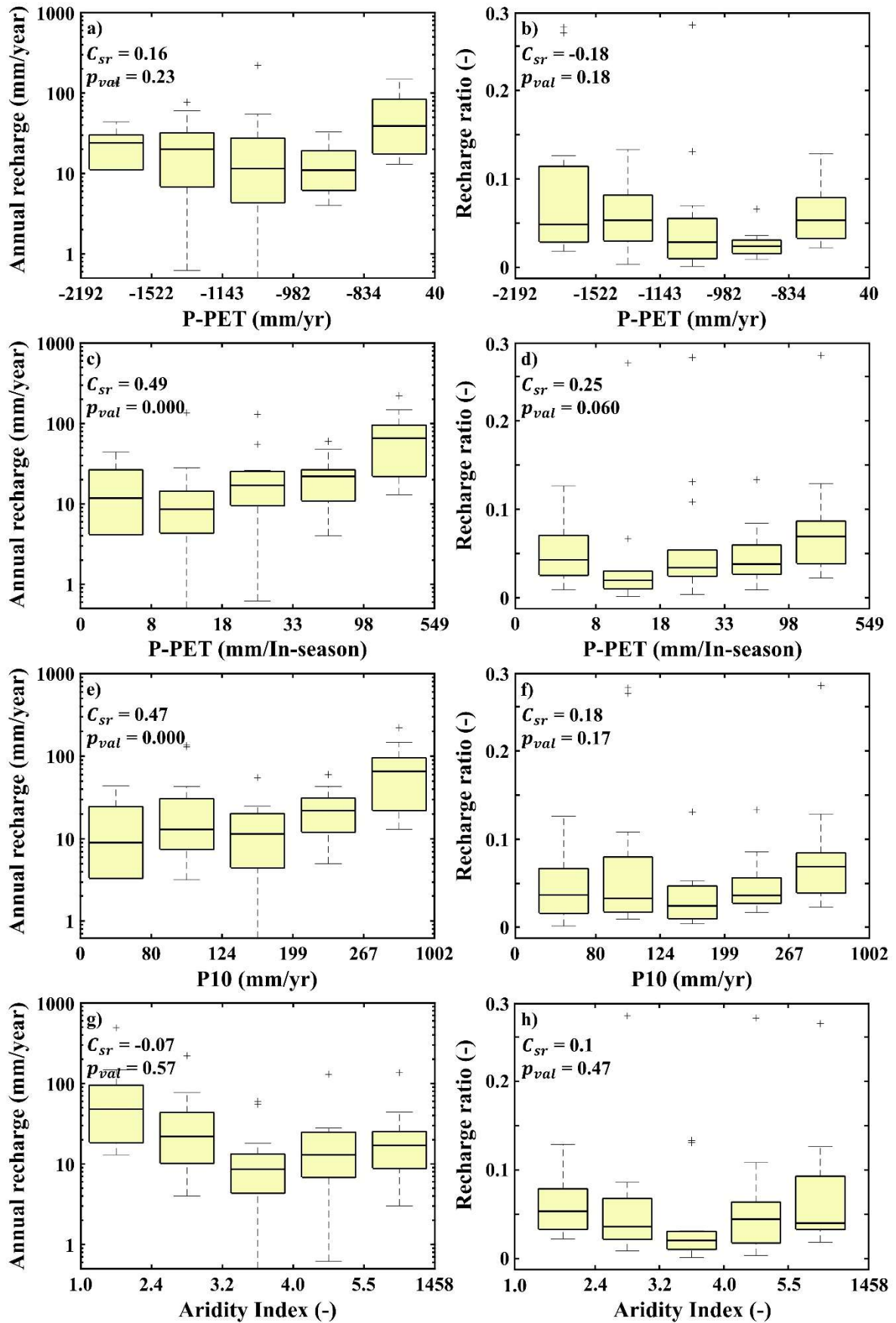


2021  
 2022 Figure 11. Parallel co-ordinate plot showing the scaled recharge control indices at each individual site organised into its  
 2023 corresponding Recharge Landscape. Indices at each site are scaled between 0 (minimum) and 1 (maximum) using the index  
 2024 values corresponding to our local estimate sites. Each line represents an individual site and colour coding reflects a)  
 2025 annual recharge and b) recharge ratio. The colour axis for each subplot is specific to the range of local annual recharge or recharge  
 2026 ratio estimates in that landscape. We separate out sites in karst settings to explore what causes recharge variation within  
 2027 these environments.

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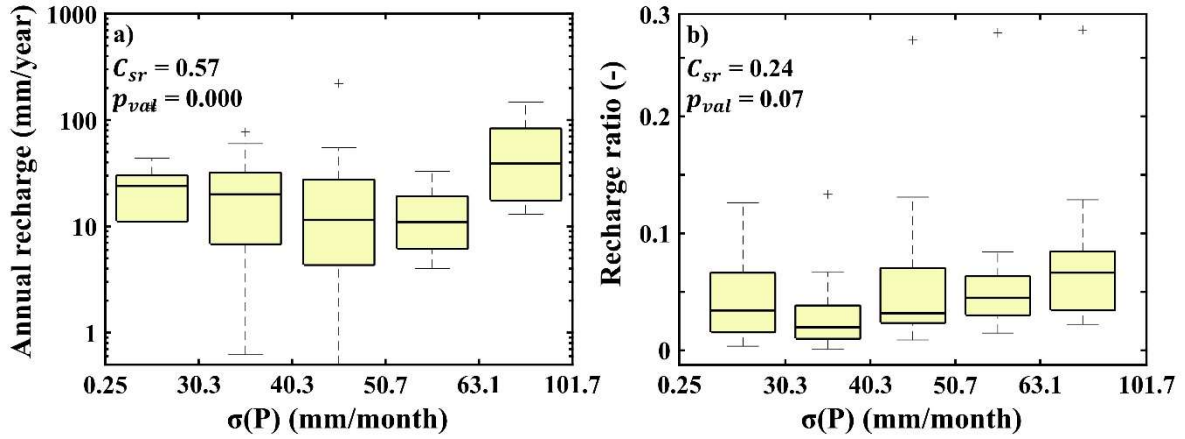
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Figure 12. Boxplots showing how ground-based estimates of mean annual recharge vary according to (a) P-PET; (c) P-PET in-season; (e) P10; (g) Aridity Index (i.e., PET/P) in *Dryland Recharge Landscapes*. Boxplots showing how ground-based

2033 estimates of mean annual recharge ratios vary according to (b) P-PET; (d) P-PET in-season; (f) P10; (h) Aridity Index (i.e.,  
 2034 PET/P) in *Dryland Recharge Landscapes*. Recharge signatures are binned according to percentiles (0-20; 20-40; 40-60; 60-  
 2035 80; 80-100) of the controlling variable. In the top left corner of each sub-plot, we show the spearman rank correlation and the  
 2036 p-value for testing the hypothesis of no correlation.

2037



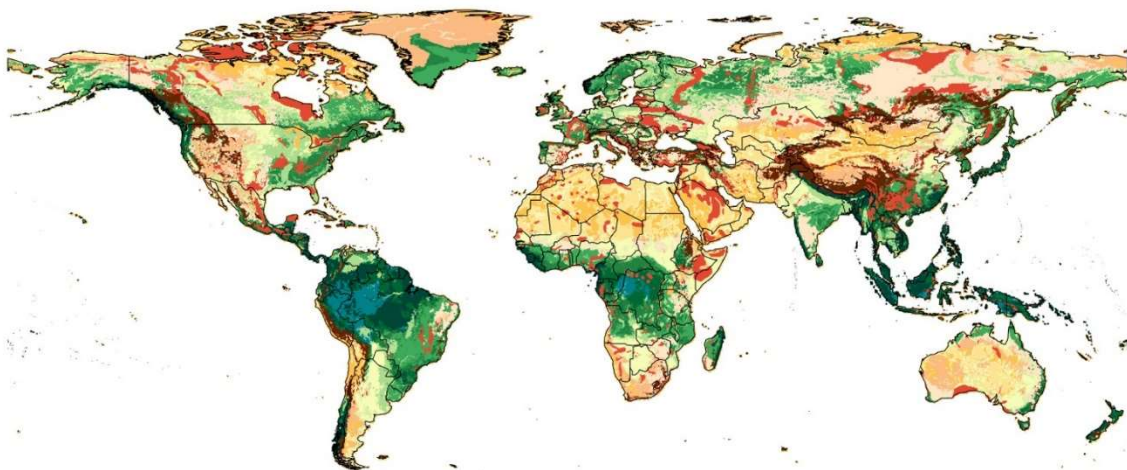
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2039 Figure 13. Boxplots showing how ground-based estimates of mean annual recharge (a) and recharge ratio (b) vary according  
 2040 to monthly variability of precipitation in Dryland Recharge Landscapes. Recharge signatures are binned according to  
 2041 percentiles (0-20; 20-40; 40-60; 60-80; 80-100) of the controlling variable. In the top left corner of each sub-plot, we show  
 2042 the spearman rank correlation and the p-value for testing the hypothesis of no correlation.

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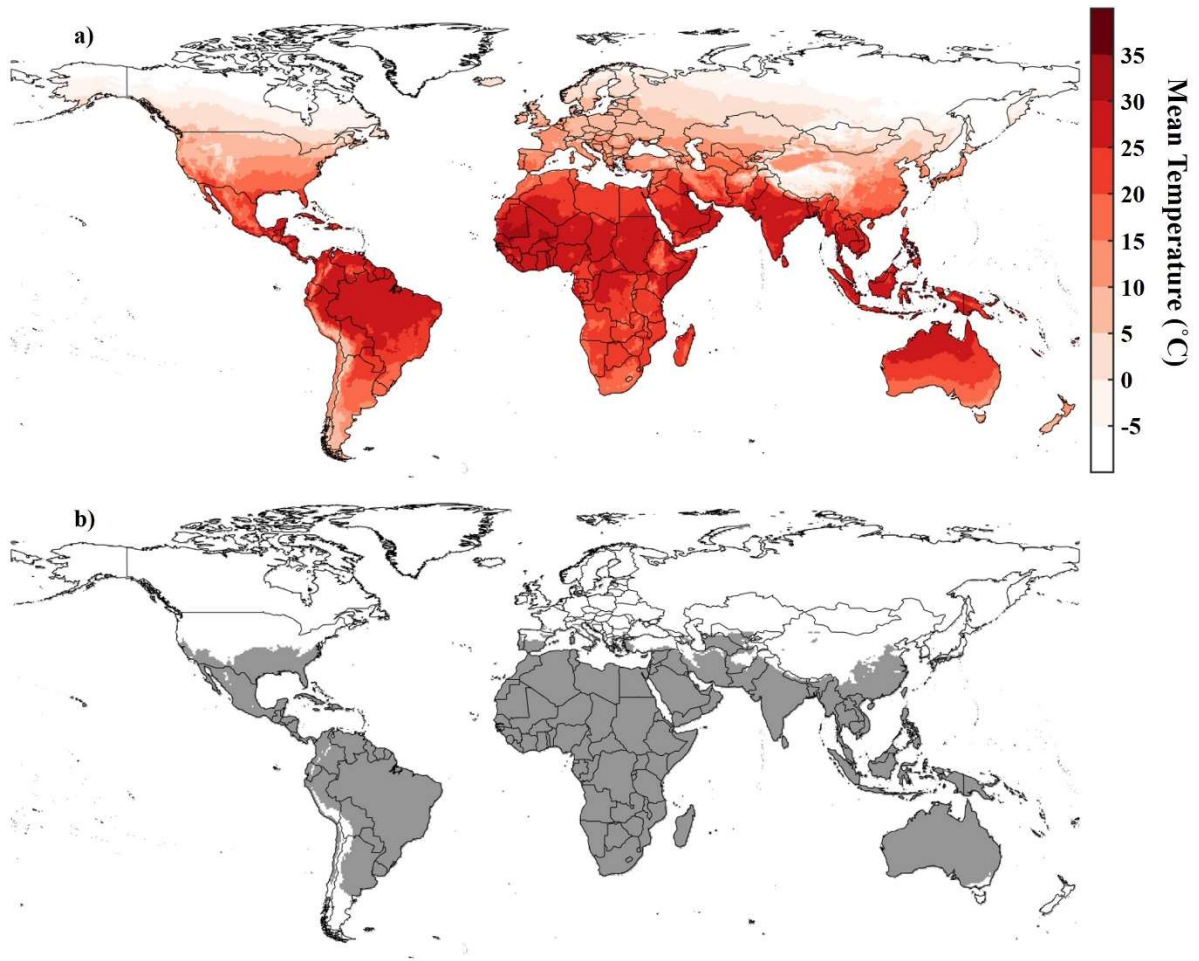
2045 **S4. Expanding our classification for diffuse groundwater recharge controls**  
 2046 **in Africa to the rest of the world**



2047

2048 Figure 14. Transferal of Recharge Landscape Units to domains outside Africa using the random forest model and still  
 2049 including areas which we defined as significantly dissimilar to Africa according to temperatures and snow fractions.

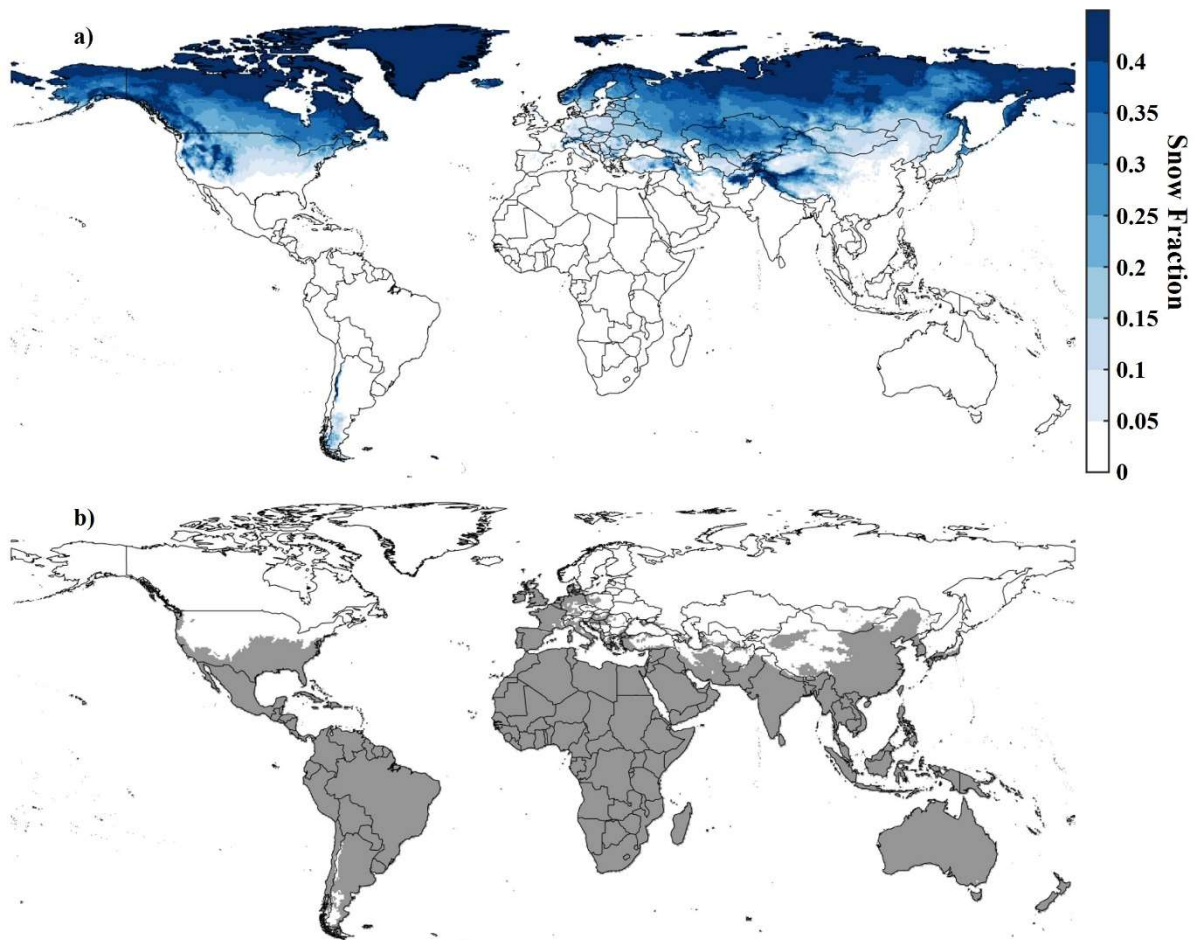




2050

2051 Figure 15. a) Mean temperature in degrees Celsius; b) Regions included in global classification of Recharge Landscape  
 2052 Units according to mean temperatures. Areas outside of Africa are excluded if the mean temperature is regarded as an  
 2053 outlier, whilst all pixels within Africa are included. We defined outliers as pixels where the temperature is below  $Q_1 -$   
 2054  $(1.5 \times IQR)$  or above below  $Q_3 + (1.5 \times IQR)$ . Where  $Q_1$  is the 25<sup>th</sup> percentile of mean temperatures within Africa,  $Q_3$  is  
 2055 the 75<sup>th</sup> percentile of mean temperatures within Africa and IQR is the inter-quartile range mean temperatures within Africa.  
 2056 We use a global gridded dataset of daily temperature provided by the Climate Prediction Center, NOAA  
 2057 (NOAA/OAR/ESRL PSD).

2058



2059

2060 Figure 16. a) Snow fraction (Mean annual snowfall/Mean annual precipitation); b) Regions included in global classification  
 2061 of Recharge Landscape Units according to Snow fractions. Areas outside of Africa are excluded if the snow fraction is above  
 2062 0.1. The maximum snow fraction we find in Africa is 0.087. We estimated snow fractions by using a simple temperature  
 2063 threshold. Precipitation on days with an average temperature below 1°C is regarded as entirely snowfall whereas it is entirely  
 2064 rainfall on days with an average temperature above 1°C (Berghuijs et al., 2014; Stein et al., 2020). We use a global gridded  
 2065 dataset of daily temperature provided by the Climate Prediction Center, NOAA (NOAA/OAR/ESRL PSD).

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