# 1 Progressive weakening within the overriding plate during dual inward dipping subduction

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### 5

# 6 Highlights:

- 7 1. Investigate dual inward dipping subduction models implementing composite rheology
- 8 2. Self-consistently forms a fixed boundary condition and strong convective mantle flow
- 9 3. Temperature dependent rheologies are critical to weaken the lithosphere's hot bottom
- 10 4. Yielding mechanism is critical to weaken the cold layer in the lithosphere

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# 13 Abstract

14 The evolution of dual inward dipping subduction (DIDS) is crucial to understand multiple slab 15 interaction. Yet, how DIDS influences the thermo-mechanical behaviour of the overriding plate 16 remains unclear, as previous DIDS investigations all applied a compositional or Newtonian rheology 17 that excludes temperature dependency. Here we apply a composite rheology, including 18 temperature dependent creep deformation mechanisms, in 2-D thermo-mechanical numerical 19 modelling to investigate how DIDS modifies the rheological structure of the overriding plate. Three 20 variables on plate sizes are investigated to understand what may control the maximum degree of 21 plate weakening. We find that reducing the initial length or initial thickness of the overriding plate 22 and increasing the initial thickness of the subducting plate can enhance the viscosity reduction 23 within the overriding plate. The progressive weakening can result in a variety of stretching states 24 ranging from 1) little or no lithosphere thinning and extension (<5% accumulation of strain), to 2) limited thermal lithosphere thinning (<30% accumulation of strain), and 3) localised rifting followed 25 26 by spreading extension. Compared with single sided subduction, DIDS further reduces the 27 magnitude of viscosity in the overriding plate. It does this by creating a dynamic fixed boundary 28 condition for the overriding plate and forming a stronger upwelling mantle flow, both of which 29 promote the progressive weakening in the overriding plate. The result implies that these generic 30 DIDS effects are important aspects to consider to understand extension developed in natural DIDS 31 cases. We also demonstrate that both temperature dependent creep rheologies and yielding 32 deformation mechanism contribute significantly to the continuous viscosity reduction. The finding 33 may also have a broader implication for more general processes that involve plate scale weakening, strain localisation and the formation of new plate boundaries. 34

Keywords: dual inward dipping subduction; composite rheology; viscosity reduction; strain
 localization; spreading extension.

# 37 1. Introduction

Subduction can pose a fundamental tectonic overprint on the overriding plate by generating a 38 39 volcanic arc (Perfit et al., 1980; Straub et al., 2020), back-arc basin (Uyeda, 1981), orogeny 40 (Faccenna et al., 2021), or even continental breakup (Dal Zilio et al., 2018). Most subduction zones 41 involve only one subducting slab. Here we consider multiple subducting slabs, in particular dual 42 inward dipping subduction (DIDS). DIDS occurs when the overriding plate is decoupled with two 43 subducting slabs dipping towards each other. It is one of the four most commonly described 44 subduction zones with multiple slabs, i.e., inward-dipping, same-dip, outward-dipping and 45 oppositely dipping adjacent subduction zones (Holt et al., 2017; Király et al., 2021).

Seismic tomography shows that DIDS exists at the Caribbean plate between the Cocos slab and 46 47 Lesser-Antilles subduction zone (Van Benthem et al., 2013), and in South-East Asia between the Philippine and the Sumatra subduction (Hall and Spakman, 2015; Huang et al., 2015; Maruyama 48 49 et al., 2007). In combination with seismic tomography, recent plate reconstructions have made it 50 more evident that DIDS could have developed in some regions in the past (Faccenna et al., 2010; 51 Hall and Spakman, 2015) constrained by suture zone petrology demonstrating the existence of 52 paleo-subduction. One extinct DIDS example is the North China Craton. Suture zone studies reveal 53 that multiple inward dipping subduction may have surrounded the North China Craton from Early 54 Paleozoic to Tertiary (Santosh, 2010; Windley et al., 2010).

55 Global Strain Rate Map (Kreemer et al., 2014) shows that high strain rate regions in the overriding 56 plate is often wider and spatially more complex in multiple slab subduction zones, including the 57 DIDS cases. Besides, crustal scale seismic surveys in the Caribbean Sea have found a good amount of extensional basins spreading widely across the overriding plate since the establishment
of dual inward dipping subduction at ~70 Ma (e.g. Boschman et al., 2014; Braszus et al., 2021).
Despite these observations, the role dual inward dipping subduction may play to form the high
strain rate region, extensional basins or spreading centres remain unclear.

62 Numerical investigations have been conducted to understand the dynamics of dual inward dipping 63 subduction. Research shows that the initial slab dip of the subducting plate affects the upper mantle 64 dynamic pressure between the convergent slabs and stress state within the overriding plate (Holt et al., 2017). Varying the distance between the trenches, convergence rate, and asymmetry of 65 66 subducting plates can alter the topography of the overriding plate (Dasgupta and Mandal, 2018). The size of the overriding plate, the viscosity ratio of overriding plate over asthenosphere and lower 67 68 mantle over upper mantle are tested to investigate their impact upon the slab geometry and the 69 magnitude of mantle upwelling flow underlying the overriding plate (Lyu et al., 2019).

70 These pioneering investigations show that dual inward dipping subduction can generate a variety 71 of upper mantle flow patterns which regulate the stress state and topography of the overriding plate. 72 However, these models all applied a constant viscosity or Newtonian rheology that excludes 73 temperature dependency for both plates and convective mantle flow during simulation. Mineral 74 deformation experiments indicate that viscosity varies as a function of multiple parameters, e.g., 75 temperature, pressure, stress, strain rate etc. (Bürgmann and Dresen, 2008; Burov, 2011; Hirth and 76 Kohlstedt, 2003; Karato, 2010; Lynch and Morgan, 1987). Thus, previous dual inward dipping 77 subduction models with simplified rheology were unable to fully reflect the weakening process 78 within the overriding plate, e.g., high strain rate spreading centres in the back-arc region.

Single sided subduction models considering temperature dependent composite rheology, e.g., dislocation creep, diffusion creep, yielding etc., has improved our understanding of subduction's impact upon the overriding plate (Alsaif et al., 2020; Bessat et al., 2020; Čížková and Bina, 2013; Garel et al., 2020, 2014; Schliffke et al., 2022; Suchoy et al., 2021). However, it remains much less explored in terms of evaluating different deformation mechanism's contribution to the weakening process observed in the overriding plate, or how different deformation mechanisms interplay with each other during subduction.

In this research, a series of 2-D thermo-mechanical models incorporating temperature dependent rheology laws are run to investigate how dual inward dipping subduction may differ from single sided subduction and previous DIDS models in deforming the overriding plate. We also identify and quantify different dominant deformation mechanisms' contribution to induce progressive weakening in the overriding plate and investigate the interplay among different deformation mechanisms.

# 91 **2. Methods**

We ran the thermally-driven dual inward dipping subduction models using the code Fluidity (Davies et al., 2011; Kramer et al., 2012), a finite-element control-volume computational modelling framework. Our model incorporated an adaptive mesh that can accurately capture the dynamic changes in velocity, temperature, and viscosity etc., with a maximum resolution of 0.4 km.

#### 96 2.1 Governing equations and rheology setup

97 Under the Boussinesq approximation (McKenzie et al., 1974), the equations governing thermally

- 98 driven subduction process are derived from conservation of mass, momentum, and energy, for an
- 99 incompressible Stokes flow

$$\partial_i u_i = 0, \tag{1}$$

$$\partial_i \sigma_{ij} = -\Delta \rho g_j, \tag{2}$$

$$\frac{\partial T}{\partial t} + u_i \partial_i T = \kappa \partial_i^2 T, \tag{3}$$

100 in which u, g,  $\sigma$ , T,  $\kappa$  are the velocity, gravity, stress, temperature, and thermal diffusivity, 101 respectively (Table 1). In particular, the full stress tensor  $\sigma_{ij}$  consists of deviatoric and lithostatic 102 components via

$$\sigma_{ij} = \tau_{ij} - p\delta_{ij},\tag{4}$$

103 where  $\tau_{ij}$  represents the deviatoric stress tensor, p the dynamic pressure, and  $\delta_{ij}$  the Kronecker 104 delta function.

105 The deviatoric stress tensor and strain rate tensor  $\dot{\epsilon}_{ij}$  are related according to

$$\tau_{ij} = 2\mu \dot{\varepsilon}_{ij} = \mu (\partial_j u_i + \partial_i u_j), \tag{5}$$

106 with  $\mu$  the viscosity. The density difference due to temperature is defined as

$$\Delta \rho = -\alpha \rho_s (T - T_s),\tag{6}$$

- 107 where  $\alpha$  is the coefficient of thermal expansion,  $\rho_s$  is the reference density at the surface
- 108 temperature  $T_s$  (Table 1).

109 Table 1. Key parameters used in this research.

| Quantity                            | Symbol        | Units               | Value                      |  |
|-------------------------------------|---------------|---------------------|----------------------------|--|
| Gravity                             | g             | $m  s^{-2}$         | 9.8                        |  |
| Gas constant                        | R             | $J K^{-1} mol^{-1}$ | 8.3145                     |  |
| Mantle reathermal gradient          | G             | $K \ km^{-1}$       | 0.5 (UM)                   |  |
| Mantle geothermal gradient          | G             | κ κπ                | 0.3 (LM)                   |  |
| Thermal expansivity coefficient     | α             | $K^{-1}$            | $3 \times 10^{-5}$         |  |
| Thermal diffusivity                 | κ             | $m^2 s^{-1}$        | 10 <sup>-6</sup>           |  |
| Reference density                   | $ ho_s$       | $kg m^{-3}$         | 3300                       |  |
| Cold, surface temperature           | $T_s$         | Κ                   | 273                        |  |
| Hot, mantle temperature             | $T_m$         | Κ                   | 1573                       |  |
| Maximum viscosity                   | $\mu_{max}$   | $Pa \cdot s$        | 10 <sup>25</sup>           |  |
| Minimum viscosity                   | $\mu_{min}$   | $Pa \cdot s$        | 10 <sup>18</sup>           |  |
| Diffusion Creep <sup>a</sup>        |               |                     |                            |  |
| Activation anormy                   | _             | 1-1                 | 300 (UM)                   |  |
| Activation energy                   | E             | $kJ mol^{-1}$       | 200 (LM)                   |  |
|                                     | N/            |                     | 4 (UM)                     |  |
| Activation volume                   | V             | $cm^3 mol^{-1}$     | 1.5 (LM)                   |  |
| Prefactor                           | Α             | $Pa^{-n} s^{-1}$    | $3.0 \times 10^{-11}$ (UM) |  |
|                                     |               |                     | $6.0 \times 10^{-17}$ (LM) |  |
|                                     | n             |                     | 1                          |  |
| Dislocation Creep (UM) <sup>b</sup> |               |                     |                            |  |
| Activation energy                   | E             | kJ mol⁻¹            | 540                        |  |
| Activation volume                   | V             | $cm^3 mol^{-1}$     | 12                         |  |
| Prefactor                           | A             | $Pa^{-n} s^{-1}$    | $5.0 \times 10^{-16}$      |  |
|                                     | n             |                     | 3.5                        |  |
| Peierls Creep (UM) <sup>c</sup>     |               |                     |                            |  |
| Activation energy                   | E             | $kJ mol^{-1}$       | 540                        |  |
| Activation volume                   | V             | $cm^3 mol^{-1}$     | 10                         |  |
| Prefactor                           | A             | $Pa^{-n} s^{-1}$    | $10^{-150}$                |  |
|                                     | n             |                     | 20                         |  |
| Yield Strength Law <sup>d</sup>     |               |                     |                            |  |
| Surface yield strength              | $	au_0$       | МРа                 | 2                          |  |
| Friction coefficient                | $f_c$         |                     | 0.2                        |  |
|                                     | fc,weak       |                     | 0.02 (weak layer)          |  |
| Maximum yield strength              | $	au_{y,max}$ | МРа                 | 10,000                     |  |

110 <sup>a</sup> The rheology parameter of diffusion creep is guided by dry olivine deformation experiments (Hirth and Kohlstedt, 2003, 1995a; 111 Ranalli, 1995). The UM and LM stands for "upper mantle" and "lower mantle," respectively. <sup>b</sup> The activation parameters and stress-112 dependent exponent used for dislocation creep are in agreement with dry olivine deformation experiments (Hirth and Kohlstedt, 113 1995b). <sup>c</sup> The parameterisation (based on Kameyama et al., 1999) makes Peierls creep tend to be weaker than yielding in the upper 114 mantle, thus enabling trench retreat and creating richer slab morphology in the upper mantle (Garel et al., 2014). <sup>d</sup> A very high 115 maximum yield strength value is used here to ensure that yielding only dominates at the depth of crustal scale. A friction coefficient 116 of 0.2 is following numerical models (Garel et al., 2014; Gülcher et al., 2020), and it is intermediate between lower values of previous 117 subduction models (Crameri et al., 2012; Di Giuseppe et al., 2008) and the actual friction coefficient of the Byerlee law (Byerlee, 118 1978).

119 The key rheology difference of the model setup with previous dual inward dipping subduction 120 models (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019) is that the magnitude of viscosity here considers temperature dependent creep deformation mechanisms (Figure S1). The 121 122 governing rheological laws are identical throughout the model domain, though the rheology parameters we use differ to match different deformation mechanisms potentially dominating at 123 124 different depths in the Earth. In detail, a uniform composite viscosity is used to take account of four deformation mechanisms under different temperature-pressure conditions: diffusion creep, 125 126 dislocation creep, Peierls mechanism, and yielding (Garel et al., 2014). The effective composite 127 viscosity in the computational domain is given by

$$\mu = \left(\frac{1}{\mu_{diff}} + \frac{1}{\mu_{disl}} + \frac{1}{\mu_P} + \frac{1}{\mu_y}\right)^{-1},\tag{7}$$

128 where  $\mu_{diff}$ ,  $\mu_{disl}$ ,  $\mu_{v}$  define the creep viscosity following

$$\mu_{diff/disl/P} = A^{-\frac{1}{n}} exp\left(\frac{E+PV}{nRT_r}\right) \dot{\varepsilon}_{II}^{\frac{1-n}{n}},\tag{8}$$

in which *A* is a prefactor, *n* the stress component, *E* the activation energy, *P* the lithostatic pressure, *V* the activation volume, *R* the gas constant,  $T_r$  the temperature obtained by adding an adiabatic gradient of 0.5 K/km in the upper mantle and 0.3 K/km in the lower mantle to the Boussinesq solution (Fowler, 2005),  $\dot{\varepsilon}_{II}$  the second invariant of the strain rate tensor. Note that in the lower mantle only diffusion creep applies and the lower mantle is 30 times more viscous than the upper mantle. While the fourth deformation mechanism, yielding, is defined by a brittle-failure type yield-stress law as

$$\mu_{\mathcal{Y}} = \frac{\tau_{\mathcal{Y}}}{2\dot{\varepsilon}_{II}},\tag{9}$$

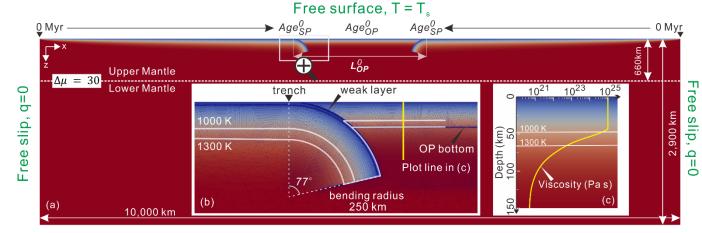
136 with  $\mu_y$  the yielding viscosity and  $\tau_y$  the yield strength.  $\tau_y$  is determined by

$$\tau_y = \min(\tau_0 + f_c P, \tau_{y,max}), \tag{10}$$

137 with  $\tau_0$  the surface yield strength,  $f_c$  the friction coefficient, *P* the lithostatic pressure, and  $\tau_{y,max}$ 138 the maximum yield strength (Table 1).

## 139 2.2 Model setup

The computational domain is 10,000 km by 2,900 km, with x (width) coordinates and z (depth) 140 141 coordinates extending from the surface to the bottom of the lower mantle (Figure 1). Such a wide 142 domain reduces the influence of side and bottom boundary conditions (Chertova et al., 2012). The 143 thermal boundary conditions at the surface and bottom are defined by two isothermal values: T = $T_s$  and  $T = T_m$  for surface and base of lower mantle respectively, while the sidewalls are insulating. 144 145 As for mechanical boundary conditions, a free-surface is applied at the top boundary to facilitate trench mobility, and create more accurate topography and lithosphere stress state, while the other 146 147 boundaries are free-slip.



#### Free slip, $T = T_m$

Figure 1. Dual inward dipping model geometry and initial setup illustrated with the initial temperature field as the background. (a) The whole computational domain.  $Age_{SP}^{0}$  and  $Age_{OP}^{0}$  represent the initial ages of subducting plate and overriding plate at trench. The viscosity jump ( $\Delta\mu$ ) between upper and lower mantle at 660 km transition zone is set up with a fixed value of 30. To be noted,  $L_{OP}^{0}$  represents the initial length of the overriding plate measured by the lateral distance between the two trenches. (b) Enlarged area of the trench zone where the bending slab meets the flat overriding plate. The 1100 K and 1300 K isotherms are marked as white contours. The unstructured initial mesh after refinement is displayed as dark blue triangular meshes. (c) Vertical profile of viscosity against depth within the overriding plate. The plot line is ~300 km away from the initial trench.

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156 To simplify the model, a laterally symmetric dual inward dipping subduction is applied. The model 157 is strictly symmetric along the vertical middle line of the domain (5000 km away from the side boundaries) in all aspects, e.g., the geometry and rheology properties.  $Age_{SP}^{0}$  and  $Age_{OP}^{0}$ 158 159 represent the initial ages of subducting plate and overriding plate at the trench, where the two plates 160 meet at the surface. Laterally on the surface, the age of the subducting plates increases linearly with their distance away from the mid-ocean ridge on either side. While vertically, the age of the 161 plate at surface defines the initial thermal structure through a half-space cooling model (Turcotte 162 163 and Schubert, 2014),

$$T(x,z) = T_s + (T_m - T_s)erf\left(\frac{z}{2\sqrt{\kappa Age^0(x)}}\right),$$
(11)

164 with *x* the distance away from the mid-ocean ridge, *erf* the error function, *z* the depth,  $\kappa$  the 165 thermal diffusivity. All parameters are listed in Table 1. The whole overriding plate is set up with a 166 constant age. Thus, the thermal structure within the overriding plate is laterally homogeneous. The 167 bottom of the thermal lithosphere is defined as the isotherm of 1300 K, where the temperature 168 gradient starts to drop quickly (Garel and Thoraval, 2021). The initial thickness of the subducting 169 plate ( $H_{SP}^0$ ) and overriding plate ( $H_{OP}^0$ ) can be calculated using

$$H_{Plate}^{0} = erfinv((T_{1300K} - T_{s})/(T_{m} - T_{s})) * 2 * \sqrt{\kappa * Age_{Plate}^{0}(x)},$$
(12)

170 where  $H_{Plate}^{0}$  is the initial thickness of the plate thermal lithosphere and *erfinv* is the inverse error 171 function.

172 The free surface boundary condition together with the mid-ocean ridge setup allows the subducting 173 slabs, the overriding plate and therefore the trench to move freely as subduction evolves. To initiate 174 self-driven subduction without implementing external forces, the subducting plate is set up with a bend into the mantle and an 8 km thick low-viscosity decoupling layer on the top. This weak layer 175 176 has the same rheology laws as the rest of the domain, other than its maximum viscosity is 10<sup>20</sup> Pa s, and its friction coefficient is 0.02 (i.e., an order of magnitude lower). Such unique rheological 177 properties of the weak layer are graded out below a depth of 200 km to boost simulation efficiency, 178 since the main objective of the weak layer is to decouple the subducting plate from the overriding 179 plate at their interface. The initial slab bending radius is 250 km and initially the slab bends over 77 180 181 degrees from the trench (Figure 1).

The resolution of the adaptive mesh ranges from 0.4 km to 200 km. The initial maximum resolution is in the weak zone (Figure 1, b), and the minimum resolution of 200 km is in the lower mantle. The meshes are refined by the spatial gradients of the velocity, temperature, viscosity, and material

#### 186 2.3 Model variables

Three variables are investigated here: the initial length of the overriding plate  $(L_{OP}^0)$ , the initial 187 thickness of the subducting plate  $(H_{SP}^0)$  and overriding plate  $(H_{OP}^0)$  (Table 2). These are parameters 188 also varied in previous research and therefore will allow easier comparison.  $H_{SP}^0$  and  $H_{OP}^0$  are 189 dependent on plate age and calculated using Equation (12). The magnitude of  $L_{OP}^0$  that has been 190 191 tested in previous models ranges from 500 km to 4000 km (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019), and the result shows that  $L_{OP}^0$  greater than 2500 km has little impact 192 on the result (Lyu et al., 2019). Here  $L_{OP}^0$  is tested in the range from 1000 km to 2100 km. The 193 values of  $H_{SP}^0$  and  $H_{OP}^0$  that has been tested before ranges from 75-125 km and 75-150 km 194 separately and those models suggest that  $H_{SP}^0$  is more important in deciding the magnitude of 195 upwelling mantle flow than  $H_{OP}^0$  (Lyu et al., 2019). So the range of  $H_{SP}^0$  is extended to 94-141 km 196 (90-200 Ma, Table 2) while the range of  $H_{OP}^0$  is narrowed down to 67-100 km (45-100 Ma). 197

198 Table 2. List of model setup.

| Model name              | $L_{OP}^0$ (km) | $H_{SP}^0$ (km) | $H_{OP}^0$ (km) | $Age^0_{SP}$ (Ma) | $Age^0_{OP}$ (Ma) |
|-------------------------|-----------------|-----------------|-----------------|-------------------|-------------------|
| $H_{SP}^0 = 94 \ km$    | 1000            | 94              | 67              | 90                | 45                |
| $H_{SP}^0 = 100 \ km$   | 1000            | 100             | 67              | 100               | 45                |
| $H_{SP}^{0} = 111 \ km$ | 1000            | 111             | 67              | 125               | 45                |
| $H_{SP}^0 = 122 \ km$   | 1000            | 122             | 67              | 150               | 45                |
| $H_{SP}^0 = 141  km$    | 1000            | 141             | 67              | 200               | 45                |
| $H_{OP}^0 = 67 \ km$    | 1000            | 141             | 67              | 200               | 45                |
| $H_{OP}^0 = 70 \ km$    | 1000            | 141             | 70              | 200               | 50                |
| $H_{OP}^0 = 74 \ km$    | 1000            | 141             | 74              | 200               | 55                |
| $H_{OP}^0 = 77 \ km$    | 1000            | 141             | 77              | 200               | 60                |
| $H_{OP}^0 = 100 \ km$   | 1000            | 141             | 100             | 200               | 100               |
| $L_{OP}^0 = 1000 \ km$  | 1000            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 1100 \ km$  | 1100            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 1200 \ km$  | 1200            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 1300 \ km$  | 1300            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 1500 \ km$  | 1500            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 1700 \ km$  | 1700            | 141             | 67              | 200               | 45                |
| $L_{OP}^0 = 2100 \ km$  | 2100            | 141             | 67              | 200               | 45                |

Models are named with the variable tested, e.g.,  $H_{SP}^0 = 94 \, km$  and  $H_{SP}^0 = 122 \, km$  corresponds to the initial subducting plate thickness of 94 km and 122 km separately, while the initial overriding plate length and thickness in both models remain the same as 500 km and 67 km.

# 202 **3. Results**

#### 203 **3.1 Varying viscosity in an evolving model: an example**

The thermal-mechanical model setup of this research enables self-consistent subduction, subduction that is driven just by the model's internal buoyancy and not by velocity boundary conditions. Similar to the self-consistent single subduction numerical and analogue models, subduction initiates as negative buoyancy pulls the slab to sink into the deeper mantle, followed by a second stage when slab starts to interact with the lower mantle (e.g., Capitanio et al., 2010; Gerya et al., 2008; Schellart and Moresi, 2013). We next describe in detail the dynamic evolution of dual inward dipping subduction for the model  $L_{OP}^{0} = 1700 \text{ km}'$ .

#### 211 **3.1.1 Subduction through the upper mantle**

When slabs subduct through the upper mantle, symmetric subduction develops about the midline of the overriding plate (~5000km away from the side boundaries). As more slab is pulled into the mantle, the negative buoyancy grows gradually. It takes ~5.8 Myr before the slab starts to interact with the lower mantle (Figure 2).

A pair of convective mantle flows with opposite sense of rotation are generated as the subducting 216 slabs bend and sink in the upper mantle. The size of the convective cell grows with time and forms 217 a crescent shape as wide as ~500 km before the slab reaches the depth of lower mantle. The 218 convective cell is composed of a narrow downwelling flow coupling close to the sinking slab and a 219 wide upwelling flow further away. The upwelling flow fades gradually as its distance away from the 220 subducting slab increases. In the model  $L_{OP}^{0} = 1700 \ km'$ , the two sets of wedge flow have little 221 222 interaction and can be considered as two separate units. This is because the length of the 223 overriding plate is 1700 km, which is greater than two times the width (~500 km) of a convection 224 cell.

The overriding plate exhibits a widespread tensile deviatoric stress field as a result of continuous subduction and the induced convective mantle wedge flows. Only a limited area close to the interface with the bending slabs develops compression (Figure 2, a). The widespread positive normal deviatoric stress field ( $\tau_{xx}$ ), up to ~100 MPa, implies that the overriding plate holds an overall stretching tendency. Within the overriding plate, the governing deformation mechanism is spatially layered (Figure 2, b). At depths shallower than 30 km within the overriding plate, yielding (pseudoplastic) deformation dominates. Underlying the yielding layer lies ~10 km thick Peierls creep layer. While for depths from ~40 km to the bottom of the thermal lithosphere deformation is dominated by dislocation creep. High strain rate areas are observed within the overriding plate where iso-viscos contour necks (Figure 2, c). The thermal thickness of the overriding plate, defined by the 1300 K isotherm contour, remains nearly constant throughout the simulation.

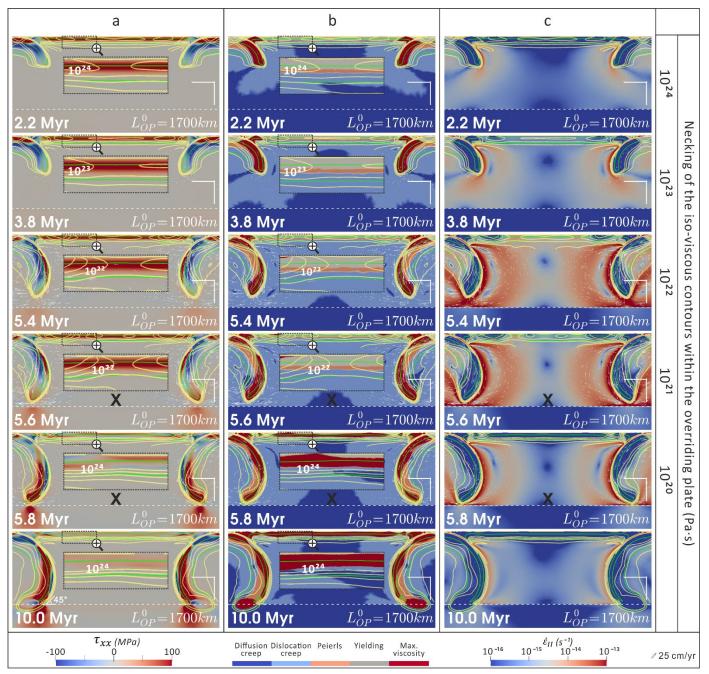


Figure 2. The simulation screenshots of model  $L_{OP}^0 = 1700 \ km'$ . (a) Deviatoric normal stress component  $(\tau_{xx})$  where positive value represents tensile and negative value denotes compressive. (b) Temporal evolution of the dominating deformation mechanism, which is defined as the rheology law that yields the minimum magnitude of viscosity in a specific region. (c) Second invariant of strain rate ( $\dot{\epsilon}_{II}$ ). The progressive weakening process within the overriding plate is demonstrated by the necking of the iso-viscous contours. The 5 groups of yellow contours encompassing the plates in each screenshot are iso-viscous contours of  $10^{20}, 10^{21}, 10^{22}, 10^{23}, 10^{24} \ Pa \cdot s$  from outward to inward. The bold 'X' underlying the overriding plate denotes the absence of

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viscosity reduction for the iso-viscous contour, whose value is stated on the far right-hand side of Figure 2, i.e.,  $10^{20}$ ,  $10^{21} Pa \cdot s$  for model  ${}^{L_{OP}^{0}} = 1700 \text{ km}$ . Also, the first screenshot with bold 'X' notes the maximum viscosity reduction that model ' $L_{OP}^{0} = 1700 \text{ km}$ ' can achieve is  $10^{21}-10^{22} Pa \cdot s$  throughout the 10 Myr simulation. The two sets of green solid lines are 700 K and 1300 K isotherm contours to image the thermal geometry of the plate. The transition zone at the depth of 660 km is marked by the horizontal white dashed line. The white right-angle scale bar lying above the right end of the transition zone represents 200 km in both directions. The bottom left corner caption shows the elapsed simulation time and bottom right corner is the name of the model.

As subduction initiates, it creates rheology heterogeneities within what initially was a laterally 249 homogeneous overriding plate, allowing part of it to become weaker than the other parts. To 250 251 visualize the variation in lithosphere viscosity, several levels of iso-viscous contours are plotted, e.g.,  $10^{24}$ ,  $10^{23}$ ,  $10^{22}$ ,  $10^{21}$ ,  $10^{20} Pa \cdot s$  (Figure 2, light yellow contours). As viscosity is a direct 252 253 indicator of lithosphere's resistance to deformation at a given rate, here, we define the progressive 254 weakening in the overriding plate as continuous viscosity reduction, i.e., necking of iso-viscous contours. The weakening level is defined as the maximum order of viscosity magnitude drop. That 255 is, weakening level 'I', 'II', 'IV' represents that the iso-viscous contour  $10^{24}$ ,  $10^{23}$ ,  $10^{22}$ ,  $10^{21}Pa$ . 256 s is necked within the overriding plate respectively. The minimum viscosity achieved in the 257 overriding plate for model ' $L_{OP}^0 = 1700 \ km$ ' throughout the 10 Myr simulation is  $10^{21}$ - $10^{22} \ Pa \cdot s$ , 258 259 i.e., weakening level 'III'. The screenshots show that the homogeneous overriding plate is gradually 260 segmented into three strong cores connected with two low viscosity necking centres (Figure 2, a-261 b), where minimum viscosity develops and high strain rate is likely to localise (Figure 2, c). It is 262 noted that the two necking centres and high strain rate regions are spatially coupled with the underneath convective mantle wedge flow induced by the two slabs (Figure 2, c), suggesting a 263 264 possible causal connection between plate weakening and the slab induced mantle convection.

#### 265 **3.1.2 Subduction into the lower mantle**

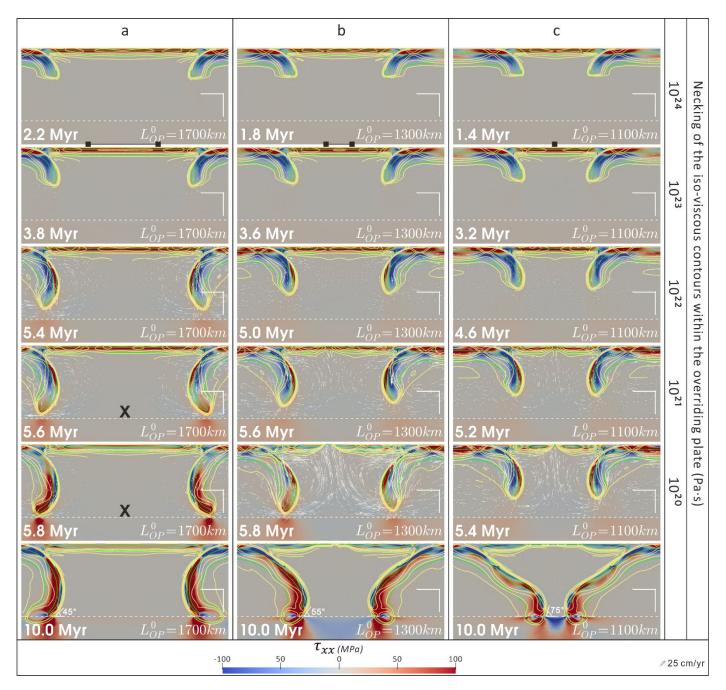
266 Due to the viscosity jump at the transition zone, subduction approaching the lower mantle would

experience a short period of deceleration, where slab sinking rate and mantle wedge flow rate both reduce. Meanwhile, the necking of the iso-viscous contours is reversed by a cooling (plate thickening) and strengthening ( $\dot{\varepsilon}_{II}$  reduction and viscosity increase) process within the overriding plate (Figure 2, 5.6-5.8 Myr). Besides, the high tensile  $\tau_{xx}$  reduces and it becomes more heterogenous within the overriding plate. At the end of the 10 Myr simulation, the dip between the top of bending slab and the transition zone is ~45°.

#### 273 3.2 Length of the overriding plate

The first series of models investigate shortening the initial length of the overriding plate  $(L_{OP}^0)$  or the 274 initial distance between the two trenches at the surface from 2100 km to 1000 km, while keeping 275 the initial thickness of the subducting and overriding plate as constants. As  $L_{OP}^0$  shortens, the two 276 277 symmetric subducting slabs get closer, and the slab induced two separate mantle wedge flow cells start to combine with each other gradually, forming a joint and stronger diverging mantle flow 278 279 underneath the overriding plate (Figure 3, a-c, 5.6 Myr). Above the convective upper mantle, the 280 two separate necking centres (Figure 3, black squares) in the overriding plate get closer and merge into a single one when  $L_{OP}^0$  is less than ~1200 km. As  $L_{OP}^0$  is reduced, it also takes less time to 281 lower each level of viscosity within the overriding plate (Figure 3, a-c). More importantly, the 282 283 progressive weakening process can go further and neck the  $10^{21} Pa s$  iso-viscous contour (weakening level 'IV') when  $L_{OP}^{0}$  is less than ~1300 km, initiating significant lithosphere thinning 284 285 and even rifting and spreading extension within the overriding plate (Figure 3, b-c). In this paper, we define rifting as a process where the plate's thermal thickness reduces to ~0 km, forming a mid-286 ocean ridge like structure and dividing the overriding plate into two separable plates. Spreading 287 288 extension corresponds to complete lithosphere separation after rifting and generation of a new

- 289 oceanic floor. It is noted that the rifting and spreading are characterized as thermal structures in
- 290 this research, ant it does not include melting behaviour.



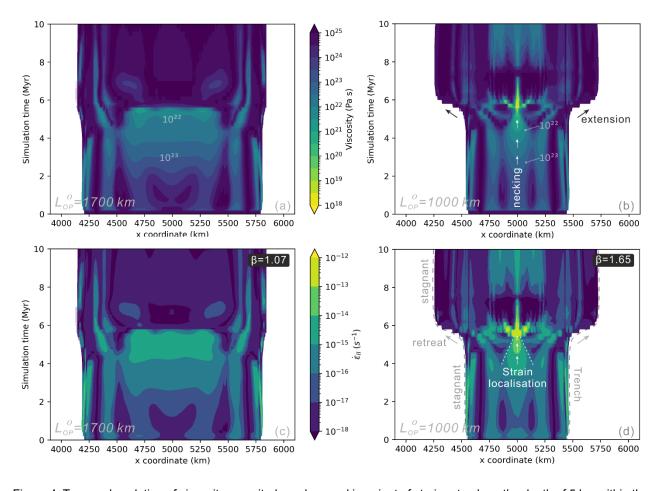
291

Figure 3. Progressive weakening of the overriding plate during dual inward dipping subduction with decreasing length of the overriding plate, (a) model  $L_{OP}^0 = 1700 \text{ km}'$ , (b) model  $L_{OP}^0 = 1300 \text{ km}'$ , (c) model  $L_{OP}^0 = 1100 \text{ km}'$ . Section view is illustrated by the deviatoric normal stress field ( $\tau_{xx}$ ). Necking centres with minimum viscosity in the overriding plate are marked as black squares, which shows that the two separate necking regions tend to get closer and merge into a single one as the length of the overriding plate shortens from 1700 km to 1100 km. A detailed explanation of the contours and other symbols can be found in the caption of Figure 2.

298 The extension deformation is favoured by the overall tensile deviatoric stress in the overriding plate

before the slab reaches the lower mantle (Figure 3). While the extension usually only lasts less than 1 Myr, it induces substantial modification to the dual inward dipping subduction system. For example, significant slab rollback starts to develop, creating a flattening slab geometry in the upper mantle and steepening dip angle (45° to 75°, Figure 3) at the transition zone depth by the end of the 10 Myr simulation.

304 To take a closer look at the extension behaviour and its connection with viscosity reduction within the overriding plate, we plot the evolving magnitude of viscosity and second invariant of strain rate 305 at 5 km depth (Figure 4). The filled region in the figure represents the overriding plate, therefore its 306 307 widening represents extension within it. The stretching factor  $\beta$  (McKenzie, 1978), defined as the final length of the overriding plate divided by its initial length, is used to quantify the bulk extension 308 that develops within the overriding plate. As  $L_{QP}^{0}$  shortens, the stretching factor ( $\beta$ ) of the overriding 309 plate increases from 1.07 (Figure 4, a, c) to 1.65 (Figure 4, b, d) over the 10 Myr simulations, and 310 the corresponding total extension increases from ~100 km to ~600 km. Meanwhile, the highest 311 weakening level achieved within the overriding plate increases from level 'III' ( $L_{OP}^0 = 1700 \ km$ ) to 312 level 'IV' ( $L_{OP}^0 \leq 1300 \ km$ ). The contour maps show that notable extension during 5-6 Myr ties 313 temporally and spatially with the necking of iso-viscous contour  $\leq 10^{22} Pa \cdot s$  (Figure 4, a, b). 314 During the same time, the ever-increasing strain rate tends to narrow in width and localise around 315 the middle of the overriding plate where minimum viscosity is located (Figure 4, d), indicating the 316 317 presence of strain localisation in the OP. The strong spatial correlation between areas of high strain 318 rate and low viscosity is not surprising according to how viscosity is defined with Equation (8,9), while we recognise the continuous necking of viscosity magnitude below  $\sim 10^{22} Pa \cdot s$  acts as a 319 320 good indicator for identifying the occurrence of strain localisation.



321 322

Figure 4. Temporal evolution of viscosity magnitude and second invariant of strain rate along the depth of 5 km within the overriding plate for models with different initial length of the overriding plate. (a, c) Model  $L_{OP}^0 = 1700 \text{ km}'$ . (b, d) Model  $L_{OP}^0 = 1000 \text{ km}'$ . The edge of the filled contour in the lateral direction represents the interface between the overriding plate and subducting plate, i.e., the trench. The white arrows indicate the necking process or strain localisation within the overriding plate.  $\beta$  is stretching factor, calculated as the final OP length divided by the initial length at the depth of 5 km.

The edge of the filled contour in the lateral direction represents the interface between the overriding plate and subducting plate, i.e., the trench. It is noted that stagnant trenches are observed throughout the simulation, except for a short period (~1 Myr) of trench retreat coupling with OP extension when plate weakening reaches level 'III' (viscosity reduced to less than  $10^{22} Pa \cdot s$ ) in the overriding plate (Figure 4, a-b). The stagnant trenches indicate that dual inward dipping subduction self-consistently forms a "fixed" side boundary condition for the overriding plate.

#### 333 3.3 Thickness of the overriding plate

334 The second series of models increase the initial thermal thickness (defined by the 1300 K contour) of the overriding plate  $(H_{OP}^0)$  from 67 km to 100 km, while keeping the initial subducting plate 335 thickness and the initial length of the overriding plate as constants. As  $H_{OP}^0$  increases, the time it 336 337 takes for the slab to sink to the depth of 660 km gradually increases from 6.0 Myr to 8.8 Myr (Figure 5). Meanwhile, the maximum weakening level developed within the overriding plate drops from 'IV' 338  $(H_{OP}^0 = 67 \text{ km})$  to 'III'  $(H_{OP}^0 = 74 \text{ km})$  and less than 'I'  $(H_{OP}^0 = 100 \text{ km})$ . The time it takes to lower 339 each order of viscosity magnitude also increases, indicating that thicker overriding plate is more 340 341 resistant to deformation during subduction. Besides, the overall tensile deviatoric stress state in the overriding plate (Figure 5, a-b) is replaced by a more heterogeneous stress state when slabs sink 342 343 in the upper mantle (Figure 5, c).

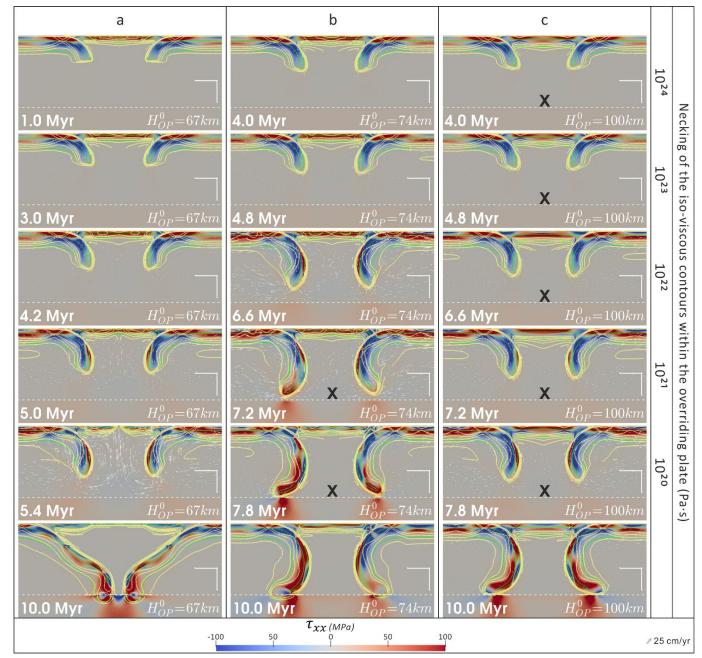


Figure 5. Progressive weakening of the overriding plate during dual inward dipping subduction with increasing thickness of the overriding plate. (a) Model  ${}^{\prime}H^{0}_{OP} = 67 \text{ km}$ , (b) model  ${}^{\prime}H^{0}_{OP} = 74 \text{ km}$  and (c) model  ${}^{\prime}H^{0}_{OP} = 100 \text{ km}$ . Section view illustrates the deviatoric normal stress field ( $\tau_{xx}$ ). A detailed explanation of the contours and symbols can be found in the caption of Figure 2.

As  $H_{0P}^{0}$  thickens, the stretching factor ( $\beta$ ) of the overriding plate decreases from 1.65 (Figure 6, a) to 1.01 (Figure 6, b) over the 10 Myr simulation. The corresponding total extension decreases from ~600 km to ~10 km. It is noted that model ' $H_{0P}^{0} = 100 \text{ km}$ ' holds stagnant trenches throughout the 10 Myr simulation, indicating the presence of a seemingly immovable boundary condition ("fixed") imposed on the overriding plate during dual inward dipping subduction.

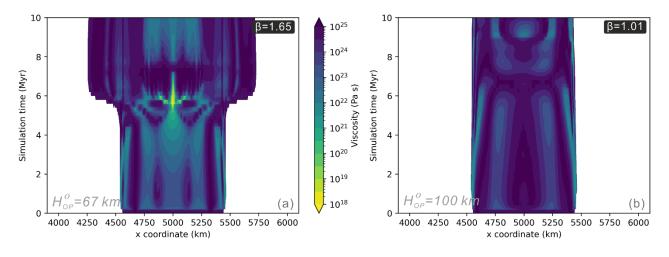


Figure 6. Temporal evolution of viscosity along a horizontal line at the depth of 5km in the overriding plate for models with different initial thickness of the overriding plate. (a) Model  ${}^{\prime}H^{0}_{OP} = 67 \text{ km}^{\prime}$ . (b) Model  ${}^{\prime}H^{0}_{OP} = 100 \text{ km}^{\prime}$ . The edge of the contour filling in the lateral direction represents the interface between the overriding plate and subducting plate.

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Both the section view and contour map show that the highest viscosity reduction occurs along the midline of the overriding plate in models with varying  $H_{OP}^0$ . To investigate the progressive weakening in the necking centre, diagnostics are tracked along the vertical slice in the middle of the overriding plate (5000 km away from both side boundaries). Three diagnostics are integrated along the vertical slice and then divided by the thickness of the plate (Equation (13)),

$$\overline{D} = \frac{1}{H_{OP}} \int_0^{H_{OP}} D \, dy, \tag{13}$$

in which *D* represent the diagnostic. The averaged results include magnitude of viscosity ( $\bar{\mu}$ ), second invariant of strain rate ( $\bar{\epsilon}_{II}$ ), deviatoric normal stress component ( $\bar{\tau}_{xx}$ ). The real-time lithosphere thickness ( $H_{OP}$ ) in the middle of the overriding plate and the magnitude of subduction rate at trench ( $v_{SP}$ ) are also recorded for further analysis (Figure 7).

Broadly, the evolution of mean viscosity ( $\bar{\mu}$ ) in the overriding plate correlates well with the variation of the other diagnostics. Take the model ' $H_{OP}^0 = 67 \ km$ ' for example (blue line or points in Figure 7). There is gradual decrease of  $\bar{\mu}$ , and gradual increase of  $\overline{\tau_{xx}}$ ,  $\overline{\dot{\epsilon}_{II}}$  and  $v_{SP}$  during the simulation 368 between 1 Myr to 2.5 Myr, while  $H_{OP}$  remains nearly constant. During 2.5 Myr to 5 Myr,  $\bar{\mu}$  as a function of time remains a consistent negative slope as before, while  $\overline{\tau_{xx}}$  starts to decrease gently 369 370 after peaking at ~4 Myr. Meanwhile,  $H_{OP}$  as a function of time starts to decrease with a gradually 371 steepening negative slope. During the rifting and spreading extension between 5 Myr to 6 Myr, all diagnostics are varying more rapidly, with  $\bar{\mu}$ ,  $H_{OP}$ ,  $\overline{\tau_{xx}}$  dropping sharply and  $\overline{\dot{\epsilon}_{II}}$  climbing steeply 372 (log scale). Then the slab reaches the depth of 660 km (Figure 7, marked by downward triangles), 373 374 and starts to interact with the lower mantle. The weakening process is replaced by a gradual cooling and strengthening process in the overriding plate, where  $\bar{\mu}$  and  $H_{OP}$  both increase while  $\overline{\tau_{xx}}$  and 375  $\overline{\dot{\varepsilon}_{II}}$  decrease in magnitude. 376

As the thickness of the overriding plate increases from 67 km to 100 km, the minimum  $\bar{\mu}$  observed 377 in the necking centre increases from  $\sim 2 \times 10^{18} Pa \cdot s$  to  $\sim 2 \times 10^{23} Pa \cdot s$ , which suggests that a 378 thicker overriding plate is more resistant to deformation induced by the dual inward dipping 379 subduction. In detail, Figure 7-a (grey dashed line) shows that if  $\bar{\mu}$  is above  $\sim 2 \times 10^{22} Pa \cdot s$ , there 380 381 is little lithospheric thinning (< 5 km) in the necking centre (Figure 7, a, c). In the timesteps when  $\bar{\mu}$ is in the range of  $10^{21} - 2 \times 10^{22} Pa \cdot s$ , thinning (< 25 km) starts to build up, but it is not weak 382 383 enough to develop rifting extension (Figure 7, c, green line). Only when the  $\bar{\mu}$  drops below  $10^{21} Pa \cdot s$  does spreading extension (Figure 7, c, blue and orange lines) develop within the 384 overriding plate. It is noted in Figure 7-b,  $\overline{\tau_{xx}}$  reduction may develop when spreading extension 385 386 occurs in the overriding plate (Figure 7, b, blue and orange lines), while the other cases witness  $\overline{\tau_{xx}}$  reduction only after slab reaches the depth of 660 km (Figure 7, b). These results confirm that 387 the evolution of viscosity magnitude is a good indicator for the various progressive weakening 388 389 developed in the overriding plate during subduction.

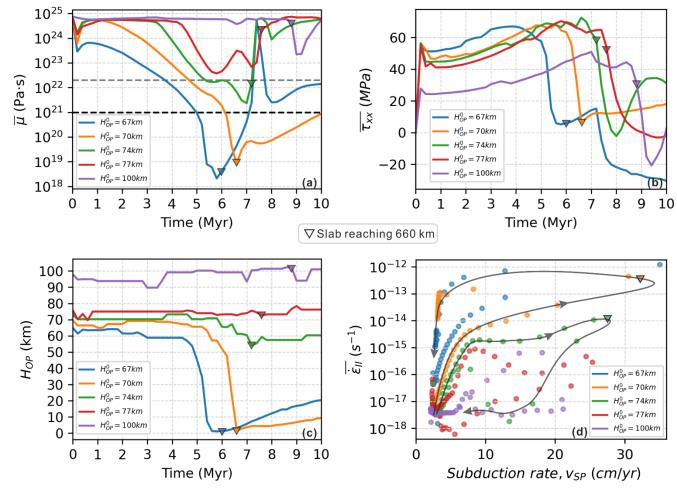


Figure 7. Temporal evolution of diagnostics for models with varying initial overriding plate thickness. (a) viscosity ( $\bar{\mu}$ ), (b) deviatoric normal stress component ( $\bar{\tau}_{xx}$ ), (c) real-time lithosphere thickness ( $H_{OP}$ ), (d) second invariant of strain rate ( $\bar{\xi}_{II}$ ) against subduction rate ( $v_{SP}$ ) at trench. In d, each scatter point represents 0.2 Myr over 10 Myr simulation. The downward triangles mark the timestep when the slab reaches the depth of 660 km.

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395 In Figure 7-d, each scatter point represents 0.2 Myr simulation. The temporal path shows that as the initial thickness of the overriding plate reduces,  $\overline{\dot{\epsilon}_{II}}$  in the overriding plate becomes increasingly 396 397 sensitive to increasing subduction rate before the slab sinks to the depth of 660 km. Then the temporal path inflects upwards to higher  $\overline{\dot{\epsilon}_{II}}$  for models that induce spreading extension (model 398  $H_{SP}^{0} = 67 \ km'$  and  $H_{SP}^{0} = 70 \ km'$ ), and downwards for models that fail to spread. The upward 399 400 inflection suggests that once extension initiates, it does not take much subduction rate to maintain 401 the high strain rate developed in the overriding plate. As slabs slowly sink to the lower mantle, the magnitude of subduction rate and  $\overline{\dot{\epsilon}_{II}}$  both gradually decrease, and the temporal path returns to 402 403 the starting point for all models.

In the models with varying  $H_{OP}^{0}$ , the maximum subduction rate ranges from 20 to 35 cm/yr, while the corresponding maximum  $\overline{\dot{\varepsilon}_{II}}$  in the overriding plate ranges from  $10^{-15}$  to  $10^{-12} s^{-1}$ . The general positive correlation between these two variables suggests that maximum subduction rate may play an important role in weakening the overriding plate. Over the 10 Myr simulation, only ~15% scatter points fall in the range where subduction velocity is over 10 cm/yr, implying that high strain rate deformation correlated with high subduction rate only lasts for a short period.

### 410 **3.4 Thickness of the subducting plate**

The third series of models investigate increasing the initial thermal thickness (defined by the 1300 411 K contour) of the subducting plate  $(H_{SP}^0)$  from 94 km to 141 km, while keeping the initial overriding 412 plate thickness and the initial length of the overriding plate as constants. As  $H_{SP}^0$  increases, the 413 414 time it takes for the slab to sink to the depth of 660 km gradually reduces from 6.6 Myr to 6.0 Myr. Meanwhile, the maximum weakening level developed within the overriding plate increases from 'II' 415  $(H_{SP}^0 = 100 \text{ km})$  to 'III'  $(H_{SP}^0 = 122 \text{ km})$  and 'IV'  $(H_{SP}^0 = 141 \text{ km})$ . Besides, the time it takes to lower 416 each order of viscosity magnitude reduces, indicating a faster progressive weakening as  $H_{SP}^0$ 417 thickens (Figure 8, b-c). In contrast to models with varying  $H_{OP}^0$ , varying  $H_{SP}^0$  does not affect the 418 overall tensile deviatoric stress state developed in the overriding plate before slabs reach the lower 419 420 mantle.

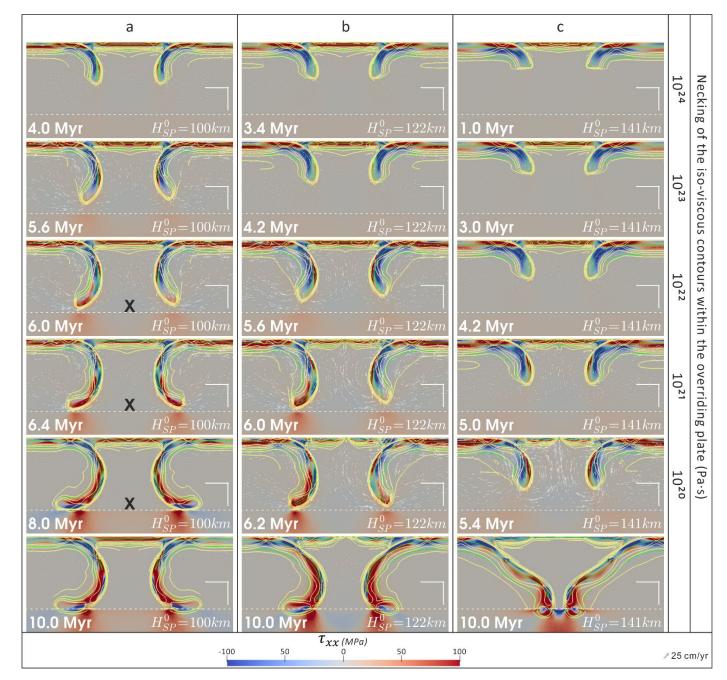


Figure 8. Progressive weakening of the overriding plate during dual inward dipping subduction with increasing age of the subducting plate. (a) Model  ${}^{\prime}H^0_{SP} = 100 \text{ km}^{\prime}$ . (b) Model  ${}^{\prime}H^0_{SP} = 122 \text{ km}^{\prime}$ . (c) Model  ${}^{\prime}H^0_{SP} = 141 \text{ km}^{\prime}$ . A detailed explanation of the contours and symbols can be found in the caption of Figure 2.

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As  $H_{SP}^{0}$  increases, the stretching factor ( $\beta$ ) of the overriding plate increases from 0.98 (Figure 9, a) to 1.65 (Figure 9, b) over the 10 Myr simulations, and the corresponding total extension increases from -15 km ( $H_{SP}^{0} \le 100 \text{ km}$ ) to 600 km ( $H_{SP}^{0} = 141 \text{ km}$ ). In line with models testing different  $L_{OP}^{0}$ and  $H_{OP}^{0}$ , stagnant trenches and "fixed" boundary effect for the overriding plate are also observed here. The only difference is that cases with thin  $H_{SP}^{0} (\le 100 \text{ km})$  may develop a small amount of

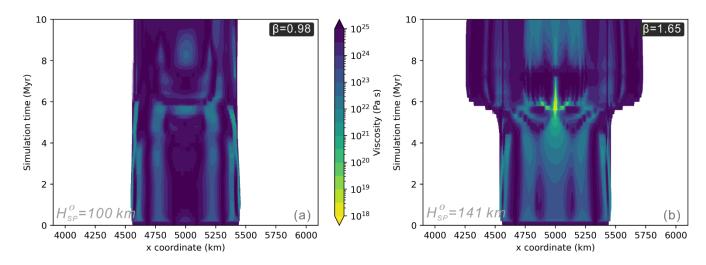


Figure 9. Temporal evolution of viscosity along a horizontal line at the depth of 5km in the overriding plate with different initial thickness of the subducting plate. (a) Model  $H_{SP}^0 = 100 \text{ km}^2$ . (b) Model  $H_{SP}^0 = 141 \text{ km}^2$ . The edge of the contour filling in the lateral direction represents the interface between the overriding plate and subducting plate.

# 435 **3.5 Regime of stretching state**

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A variety of deformation patterns and stretching state within the overriding plate have been observed when varying  $L_{OP}^0$ ,  $H_{OP}^0$  and  $H_{SP}^0$ . Several diagnostics are reported together to quantify the deformation developed within the overriding plate during the 10 Myr simulation (Table 3). The detail of each diagnostic is described as follows. 440 Table 3. Summary of diagnostics for all models. For further description of the diagnostics please see the main text.

| Madal name             | weakening | $t_{ m rift}$ | t <sub>660</sub> | $\overline{v}_{sink}$ | β    | accumulation |
|------------------------|-----------|---------------|------------------|-----------------------|------|--------------|
| Model name             | level     | (Myr)         | (Myr)            | (cm/yr)               |      | of strain    |
| $H_{SP}^0 = 94 \ km$   | I         | -             | 6.6              | 7.0                   | 0.95 | 1%           |
| $H_{SP}^0 = 100 \ km$  | II        | -             | 6.4              | 7.2                   | 0.98 | 2%           |
| $H_{SP}^0 = 111 \ km$  | III       | -             | 6.4              | 7.2                   | 1.00 | 21%          |
| $H_{SP}^0 = 122 \ km$  | IV        | 6.2           | 6.4              | 7.2                   | 1.25 | 110%         |
| $H_{SP}^0 = 141  km$   | IV        | 5.4           | 6.0              | 7.7                   | 1.65 | 2800%        |
| $H_{OP}^0 = 67 \ km$   | IV        | 5.4           | 6.0              | 7.7                   | 1.65 | 2800%        |
| $H_{OP}^0 = 70 \ km$   | IV        | 6.4           | 6.6              | 7.0                   | 1.41 | 1300%        |
| $H_{OP}^0 = 74 \ km$   | III       | -             | 7.2              | 6.4                   | 1.19 | 30%          |
| $H_{OP}^0 = 77 \ km$   | II        | -             | 7.6              | 6.1                   | 1.03 | 4%           |
| $H_{OP}^0 = 100 \ km$  | I         | -             | 8.8              | 5.2                   | 1.01 | 1%           |
| $L_{OP}^0 = 1000 \ km$ | IV        | 5.4           | 6.0              | 7.7                   | 1.65 | 2800%        |
| $L_{OP}^0 = 1100 \ km$ | IV        | 5.4           | 6.0              | 7.7                   | 1.60 | 690%         |
| $L_{OP}^0 = 1200 \ km$ | IV        | 5.6           | 6.0              | 7.7                   | 1.49 | 630%         |
| $L_{OP}^0 = 1300 \ km$ | IV        | 5.8           | 6.0              | 7.7                   | 1.36 | 14%ª         |
| $L_{OP}^0 = 1500 \ km$ | 111       | -             | 6.0              | 7.9                   | 1.09 | 15%          |
| $L_{OP}^0 = 1700 \ km$ | III       | -             | 5.8              | 7.9                   | 1.07 | 11%          |
| $L_{OP}^0 = 2100 \ km$ | II        | -             | 5.4              | 8.5                   | 1.04 | 4%           |

<sup>a</sup> The accumulation of strain is calculated along the middle vertical slice (5000 km away from side boundaries) within the overriding plate. For models  $L_{OP}^0 \ge 1300 \text{ km}$ , the necking centres are away from this middle vertical slice (Figure 3, b). So, the accumulation of strain could be underestimated for these models. Considering that only model ' $L_{OP}^0 = 1300 \text{ km}$ ' achieved weakening level 'IV', the corrected accumulation of strain along its necking centre is around 600%. The underestimation for other models is moderate and will not change the conclusion of this research.

As introduced in section 3.1.1, weakening levels 'I', 'II', 'II', 'IV' are determined by the minimum viscosity contour which is necked in the overriding plate during subduction. The higher the weakening level, the stronger the localised rheology modification observed within the overriding plate. All three groups of dual inward dipping subduction models manage to yield a variety of weakening levels in the overriding plate (Figure 10, a-c).

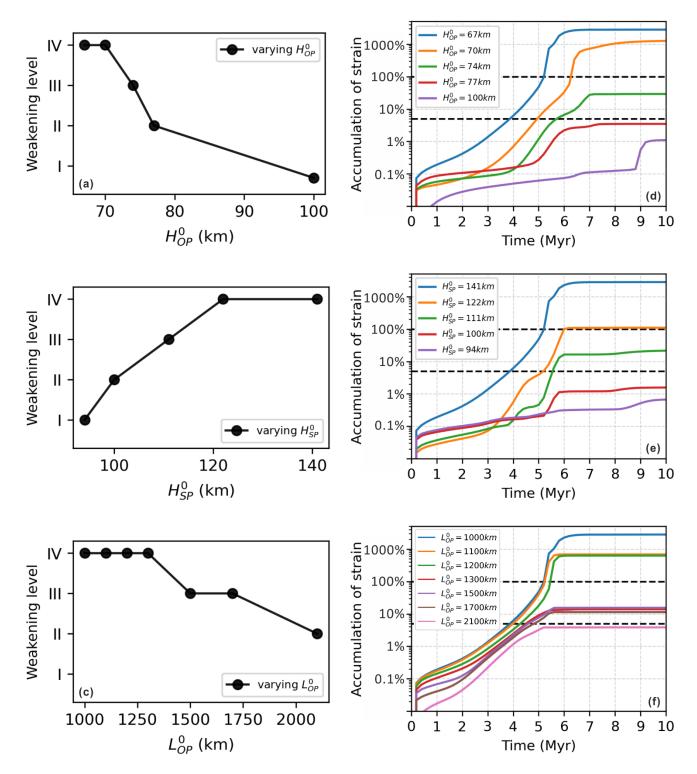
 $t_{rift}$  indicates the timestep when the overriding plate develops rifting extension (weakening level (IV'), and a void value means that model fails to generate rifting extension within the overriding plate.  $t_{rift}$  increases with thicker or longer overriding plate, and it decreases with thicker subducting plate.

 $t_{660}$  equals how much time the subducting plate (defined by its 1300 K isotherm) takes to sink to 455 the depth of 660km. It is most sensitive to the variation of  $H_{OP}^0$ , while varying  $L_{OP}^0$  and  $H_{SP}^0$ 456 generates less than ~1 Myr difference of  $t_{660}$  compared with a ~3 Myr difference when modifying 457  $H_{OP}^{0}$ .  $\bar{v}_{sink}$  is the average sinking speed before slab reaches the lower mantle, and it equals 460 458 km (the vertical distance from the initial slab tip depth to the depth of 660 km) divided by  $t_{660}$ .  $\bar{v}_{sink}$ 459 may range from 5.2 to 8.5 cm/yr, and it correlates positively with the weakening level in models that 460 vary  $H_{SP}^0$  and  $H_{OP}^0$ . In contrast, increasing  $L_{OP}^0$  can generate higher  $\bar{v}_{sink}$ , which, however, fails to 461 462 induce greater weakening level in the overriding plate.

The stretching factor  $\beta$  (McKenzie, 1978), defined as the final length of the overriding plate divided by its initial length, is used to quantify the overall extension that develops within the overriding plate in 10 Myr simulation.  $\beta$  ranges from 0.95 to 1.04 for models that only develop weakening level 'I' or 'II'. In models that develop weakening level 'III',  $\beta$  ranges from 1 to 1.19.  $\beta$  can go up from 1.25 to 1.65 in models that develop weakening level 'IV'.

468 While the stretching factor ( $\beta$ ) quantifies the bulk extension in the OP, it fails to reflect the fact that strain is likely to concentrate around the middle of the overriding plate with high strain rate instead 469 of evenly distributed across the OP. To quantify the deformation accumulated along the midline of 470 the overriding plate, we integrate the average second invariant of strain rate ( $\overline{\dot{\epsilon}_{II}}$  based on Equation 471 (13)) with time throughout the 10 Myr simulation. All three groups of models generate a wide range 472 473 of accumulation of strain at the end of the simulation (Figure 10, d-f). For all models that develop rifting or spreading extension, i.e., weakening level 'IV', the accumulation of strain is greater than 474 100%. Accumulation of strain falls in the range of 5% to 100% for models that only reach weakening 475 level 'III'. Models achieving weakening level 'II' and 'I', yield less than 5% strain accumulation, where 476

the deformation is hardly observable in the overriding plate. The magnitude of accumulation of strain is significantly higher than the strain ( $\beta$ -1) derived from the streching factor  $\beta$  in models that reach weakening level 'III' and level 'IV', confirming that strain localisation characterizes the OP extension during dual inward dipping subduction.



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Figure 10. Key diagnostics used to characterise the rheology modification within the overriding plate. (a-c) Weakening level developed within the overriding plate. (d-f) Accumulation of strain in the middle of the overriding plate (5000 km away from the side

All the diagnostics tie closely with the weakening level developed in the OP. By combining the 485 486 gualitative and guantitative diagnostics presented in the results, we classify three stretching states in the overriding plate during the dual inward dipping subduction: 1) little or no lithosphere thinning 487 and extension, discriminated by low weakening level up to level 'II',  $\beta$  up to 1.04, accumulation of 488 strain up to 5% and almost no thermal lithosphere thinning in the necking centre; 2) limited 489 490 lithosphere thinning and extension, identified by medium weakening level up to level 'III',  $\beta$  up to 491 1.19, accumulation of strain up to 30%, and limited thermal lithosphere thinning, e.g., ~15 km thinning for model  $H_{OP}^0 = 74 \ km'$  (Figure 7, c); 3) rifting and spreading extension, characterised by 492 493 high weakening level up to level 'IV',  $\beta$  greater than 1.25, accumulation of strain over 100%, and total thinning of the thermal lithosphere during rifting extension. 494

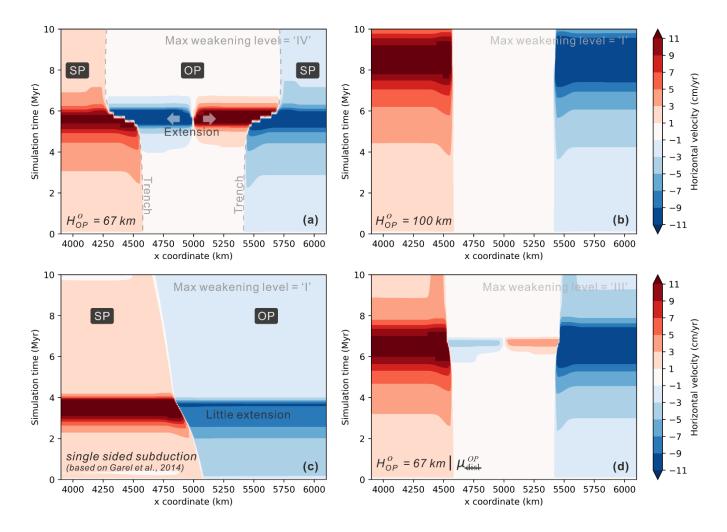
# 495 4. Discussion

The results show that dual inward dipping subduction can induce progressive weakening within a homogeneous overriding plate. With appropriate conditions, tested in this research, e.g., thick enough  $H_{SP}^0$ , thin enough  $H_{OP}^0$ , short enough  $L_{OP}^0$ , different levels of stretching state, ranging from no thinning nor extension to rifting and spreading extension, can develop within the overriding plate. The role that dual inward dipping subduction plays during the progressive weakening and the rheological origin of the weakening process are worth discussion.

# 502 **4.1 The role of dual inward dipping subduction**

### 503 4.1.1 Fixed overriding plate boundary condition

504 The stagnant tendency of the trenches in the viscosity contour maps (Figure 4, Figure 6, Figure 9) show that dual inward dipping subduction can self-consistently form a fixed lateral boundary 505 condition for the overriding plate. This is due to the symmetric model setup, where subducting 506 507 plates on both sides are prone to (at least initially) advance or retreat simultaneously. It creates roughly equal, symmetric and competing force from both ends of the overriding plate during 508 subduction. As a result, the mobility of the overriding plate is inhibited, as indicated by the low 509 velocity (<1 cm/yr, white contour) region within the overriding plate before extension develops 510 511 (Figure 11, a-b). It would be as if the mechanical boundary condition on the overriding plate was fixed. As the overriding plate keeps weakening during dual inward dipping subduction, divergent 512 513 velocity can build up within the overriding plate, indicating initiation of extension (Figure 11, a). Then, 514 a short period (~1 Myr) of fast trench retreat is accommodated by ~600 km extension within the overriding plate. 515



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Figure 11. Temporal evolution of horizontal velocity component along a horizontal slice, x coordinate from 3900km to 6100 km, at the depth of 20 km from the surface. (a) model  ${}^{\prime}H^{0}_{OP} = 67 \text{ km}^{\prime}$ , (b) model  ${}^{\prime}H^{0}_{OP} = 100 \text{ km}^{\prime}$ , (c) single sided subduction with a mobile overriding plate referring to (a), (d) model  ${}^{\prime}\mu^{OP}_{dissl}$  identical with (a) except that it excludes dislocation creep for the overriding plate. The contour filling represents the variation of horizontal component of velocity vector throughout the 10 Myr simulation. Positive value means right-ward motion and negative value is left-ward motion. The white area represents that the plate is nearly stagnant. And the edge of the white area marks the interface between the subducting plate and overriding plate or rifting and spreading centre within the overriding plate. SP and OP are short for subducting plate and overriding plate separately.

Previous studies on single-sided subduction cases imply that the mobility of the overriding plate plays an important role in producing extension, especially in the back-arc region of the overriding plate. A mobile overriding plate can move as a whole to inhibit the build-up of deviatoric stress within the plate (Capitanio et al., 2010; Chen et al., 2016; Garel et al., 2014; Holt et al., 2015; Nakakuki and Mura, 2013), while the immobile overriding plate can facilitate strain localisation which accounts for the increased degree of deformation in the overriding plate compared with mobile plates (Arcay et al., 2008; Capitanio et al., 2010; Chen et al., 2016; Erdős et al., 2021; 531 Nakakuki and Mura, 2013; Sternai et al., 2014; Yang et al., 2019).

To investigate the role of fixed trailing boundary condition in promoting extension, we consider a 532 533 single sided subduction (SSS) model with a free and mobile overriding plate, based on previous 534 SSS research (Garel et al., 2014). The SSS model has the same parameters as the dual inward dipping subduction model  $H_{0P}^0 = 67 \ km$  in every aspect, e.g., rheology, initial subduction plate 535 536 thickness (141 km) and initial overriding plate thickness (67 km) at the trench, except that there is 537 only one subducting plate and the overriding plate holds a mobile side boundary. During the 10 Myr simulation, the SSS model only reached weakening level 'I', much lower than weakening level 'IV' 538 in its reference model  $H_{OP}^0 = 67 \text{ km}$ . The results also show that much less extension, evidenced 539 by the absence of divergent velocity, is observed in the overriding plate of SSS model (Figure 11, 540 c) relative to that in the model  $H_{OP}^0 = 67 \ km'$  (Figure 11, a). Thus, the lack of mobility of the 541 overriding plate plays a key role in promoting the degree of weakening during dual inward dipping 542 543 subduction (DIDS).

544 Previous research also find that symmetric DIDS configuration can limit the trench mobility 545 (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019), yet its role in promoting extension in the overriding plate has not been addressed. As mentioned before, previous DIDS models all 546 547 apply a rheology law that excludes thermal effects for the plates and mantle during simulation. This is likely to create a strong overriding plate where strain or extension can hardly develop in hotter 548 regions during subduction (Figure 11, b). As it takes extension to accommodate the space trench 549 retreat creates within a fixed OP, trenches tend to remain stagnant across all previous DIDS models 550 throughout the simulation. 551

552 To validate this hypothesis, we run an additional model that reduces the thermal dependency for the rheology law applied in the overriding plate. In model  $\mu_{disl}^{OP}$ , we removed dislocation creep, a 553 554 thermal dependent deformation mechanism (Equation (8)), from the composite rheology for the overriding plate while keeping every other aspect the same as model ' $H_{OP}^0 = 67 \ km$ '. The result 555 shows that ~60 km of total extension with maximum weakening level 'III' is observed in the 556 overriding plate (Figure 11, d), only 10% of the total extension (trench retreat) relative to the 557 reference model ' $H_{OP}^0 = 67 \ km$ '. According to how bulk viscosity is calculated with Equation (7), it 558 559 will become even more difficult to deform the overriding plate, if other thermal dependent deformation mechanisms, i.e., Peierls creep and diffusion creep, are removed from the composite 560 rheology for the overriding plate. Bearing this in mind, the result of additional model ' $\mu_{disl}^{OP}$ ' reveals 561 why previous DIDS models (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019), only 562 have nearly stagnant trench and fail to induce spreading extension in the overriding plate, even 563 though the fixed overriding plate boundary effect is also observed. The result also highlights that 564 incorporating thermal dependent rheology can significantly reduce the strength of the overriding 565 plate during dual inward dipping subduction. A detailed evaluation on each deformation 566 mechanism's role in weakening the overriding plate will be discussed in section 4.2. 567

To summarise, dual inward dipping subduction can self-consistently form a fixed boundary condition for the overriding plate. This creates an environment promoting the development of strain localisation in the stagnant overriding plate relative to single sided subduction with a free mobile boundary condition. In addition, the incorporation of thermal dependent creep rheology allows higher weaken level to develop in the OP and it facilitates the formation of spreading extension within the OP during dual inward dipping subduction.

Our results show that varying the size of the overriding plate can affect the degree of extension 575 576 developed within the overriding plate. Previous research on single sided subduction shows that sinking slab can induce vigorous mantle return flow, which has been suggested to account for 577 extensional deformation within the overriding plate, e.g., back-arc extension, supercontinent 578 579 breakup (Chen et al., 2016; Dal Zilio et al., 2018; Erdős et al., 2021; Gerardi and Ribe, 2018; 580 Husson, 2012; Sleep and Toksöz, 1971; Sternai et al., 2014). Multiple parameters can influence the magnitude of slab induced mantle wedge flow: a) age-dependent thickness of the subducting 581 plate  $(H_{SP}^{0})$  is associated with the magnitude of slab net negative buoyancy (e.g., Garel et al., 2014) 582 that correlates positively with the intensity of mantle wedge flow (Capitanio and Faccenda, 2012); 583 b) lowering the thickness of the overriding plate  $(H_{OP}^0)$  can not only increase the net negative 584 buoyancy by increasing the hanging slab area in the upper mantle, but also reduce the shear force 585 along the interface between two coupled plates (Hertgen et al., 2020), thus generating faster 586 subduction rate and inducing stronger mantle wedge flow. These two parameters are tested in this 587 research with a dual inward dipping subduction (DIDS) setup, and they also show effective control 588 on the subduction rate ( $t_{660}$  and  $\bar{v}_{sink}$  in Table 3) which correlates positively with the maximum 589 weakening level (Table 3) and the second invariant of strain rate in the overriding plate (Figure 7, 590 d). Thickening  $H_{SP}^0$  or thinning  $H_{OP}^0$  can increase the velocity of descending slab is also reported 591 592 in previous DIDS models (Lyu et al., 2019). It is also noted that higher slab sinking rate can induce 593 stronger mantle wedge flow, which accounts for growing tensile deviatoric stress in the overriding plate. DIDS models in (Dasgupta and Mandal, 2018) use a velocity boundary condition for the 594 595 subducting plate, so subduction rate is prescribed and constant ranging from 1-5 cm/yr for five

596 models. The results also show that higher subduction rate can induce stronger mantle wedge flow 597 which creates increasing tensile stress field in the central part of the OP. Nevertheless, the potential 598 of slab induced mantle wedge flow in weakening (e.g., thinning and stretching) the overriding plate, 599 is not addressed in previous DIDS models, as no significant deformation that relates to spreading 600 extension is observed in the OP throughout the simulation. This could be ascribed to the lack of 601 thermal dependency for the rheology law applied, as indicated in Figure 11 (a, d). The topic will be 602 further discussed in section 4.2.

Apart from varying  $H_{SP}^0$  and  $H_{OP}^0$ , we find that increasing the initial length of the overriding plate 603 604  $(L_{OP}^{0})$  can also increase the subduction rate (Table 3). However, it fails to induce higher weakening level within the overriding plate. Actually, a negative correlation between subduction rate ( $\bar{v}_{sink}$ ) and 605 the OP weakening level is observed instead. As  $H_{OP}^0$  remains constant in models with varying  $L_{OP}^0$ , 606 the OP's strength or its resistance to deformation induced by the underlying mantle flow remains 607 unchanged. In this case, it suggests that  $L_{OP}^{0}$  may dominate over subduction rate in affecting the 608 intensity of slab induced mantle wedge flow or its ability to weaken the OP. To evaluate how  $L_{OP}^{0}$ 609 610 modifies the intensity of slab induced mantle wedge flow, we quantify the vertical  $(v_{y})$  and horizontal 611  $(v_x)$  component of velocity field in the mantle along a lateral slice at the depth of 75 km (Figure 12), which is ~8 km below the overriding plate (bottom defined by 1300 K isotherm). It shows that the 612 gradient of diverging mantle flow (slope of  $v_x$ ,  $\nabla v_x$ ) increases gradually underlying the OP midline 613 as  $L_{OP}^0$  shortens (Figure 12, c-d), suggesting a growing shear stress applied at the bottom of the 614 stagnant OP. It is also noted that models with  $L_{OP}^0 \ge 1500 km$  tend to form two separate mantle 615 return flow cells, above which two individual necking centres develop symmetrically along the 616 617 midline of the overriding plate (Figure 12, a). In contrast, slab induced convective mantle flows tend

to unite with each other and act upon a single necking centre in models with  $L_{OP}^0 \leq 1200 \ km$ , 618 619 generating higher magnitude of upwelling component of mantle flow (Figure 12, a). Resulting from 620 the stronger diverging mantle flow underlying the OP, higher magnitude of strain rate and weakening level develop in models with shorter  $L_{OP}^0$  (Figure 12, d). Considering the slowing down 621 of the slab sinking rate as  $L_{OP}^0$  shortens, previous research suggests that the stronger mantle 622 wedge flow can generate greater velocity gradients in the direction perpendicular to the slab surface, 623 creating a strong shear field that can flatten the slab dip (Dasgupta and Mandal, 2018; Enns et al., 624 625 2005; Holt et al., 2015). The flattened slab dip is also observed in Figure 3 over the 10 Myr simulation. Another possible explanation is that the sinking rolling back subducting slabs require 626 flow from the deeper mantle to enter the mantle wedge for conservation of mass. This becomes 627 628 harder as the gap between the slabs becomes narrower. Therefore, this reduces how guickly the slabs with shorter  $L_{OP}^0$  can sink. Here, we propose that the distance between slabs can play a 629 dominant role over the slab sinking rate in regulating the mantle wedge flow intensity, which affects 630 the mantle flow's potential to weaken the overriding plate and modulate the slab motion. 631

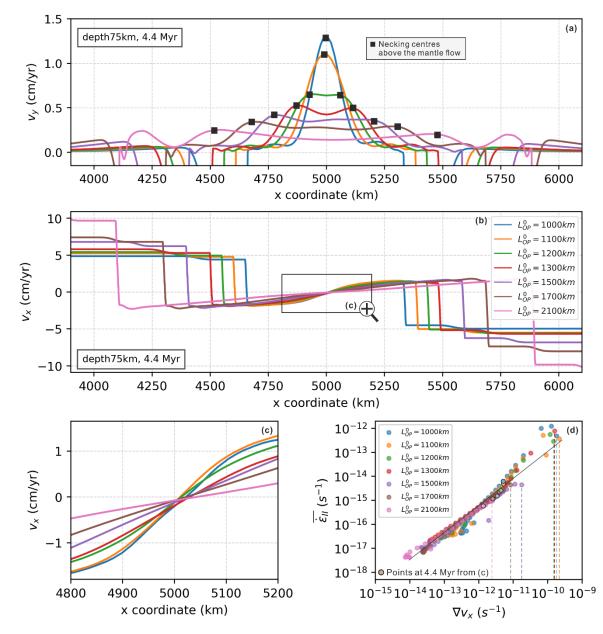


Figure 12. Intensity of mantle flow and its correlation with plate weakening in the overriding plate. (a-b) Vertical and horizontal velocity component of mantle flow along a slice at the depth of 75 km, ~8 km below the overriding plate, after 4.4 Myr simulation. Positive value means rightward or upward motion, and negative value represents leftward or downward motion. In (a), the horizontal coordinate of the necking centres in the overriding plate is plotted as black square to visualize its spatial correlation with upwelling component of mantle wedge flow. (d) Linear correlation in log scale between the progressive weakening in the overriding plate  $\langle \bar{\varepsilon}_{II},$ defined by Equation (13)) and the velocity gradient of the divergent mantle flow underlying the overriding plate midline ( $\nabla v_x$ ). The maximum velocity gradient of divergent mantle flow in the range of  $t_{660}$  for each model is marked by a vertical dashed line.

632

640 Our result is consistent with previous DIDS models, which also find that reducing the  $L_{OP}^{0}$  can slow 641 down the sinking rate. This effect is supported by evidence such as increased  $t_{660}$  (Lyu et al., 2019) 642 and gentler slab dip in the upper mantle (Dasgupta and Mandal, 2018). Besides, the effect of 643 creating a stronger mantle flow as  $L_{OP}^{0}$  reduces is addressed to account for growing tensile deviatoric stress in the OP (Dasgupta and Mandal, 2018; Lyu et al., 2019). Yet, no significant extension in the OP correlating with the strengthened mantle flow was observed. This may be because previous DIDS models do not consider thermal dependency for the rheology, making the OP too strong to be stretched as suggested in Figure 11 (a, d). A detailed evaluation on the role of temperature dependent rheology in assisting plate weakening in the overriding plate will be discussed in section 4.2.

A similar effect is also reported in other multiple slab subduction models, where mantle flow induced by two neighbouring 3D slabs with opposite dips tends to merge with each other and forms a stronger one as the lateral distance between the two slabs reduces (Király et al., 2016). It is also noted that the integrated mantle flow can regulate the slab motion and trench retreat velocity (Király et al., 2016).

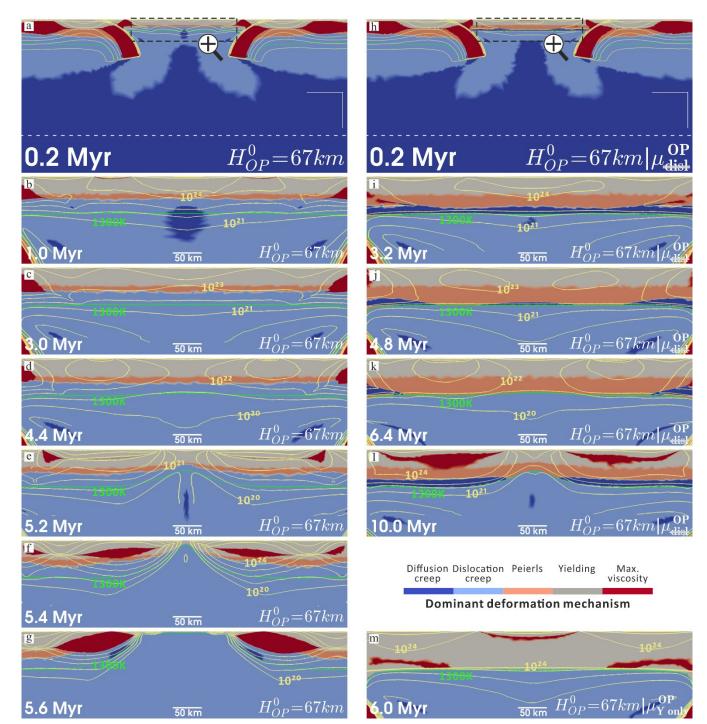
In brief, previous research on subduction shows that the slab induced return flow can apply basal traction, which can propagate upwards and create high enough tensile deviatoric stress that overcome the strength of the overriding plate (e.g. Capitanio et al., 2010; Dal Zilio et al., 2018; Holt et al., 2015; Husson, 2012; Sternai et al., 2014; Yang et al., 2019). A united, thus stronger return flow is likely to strengthen the mantle flow's ability to weaken the overriding plate during dual inward dipping subduction (Figure 12, d).

# 661 4.2 Overriding plate weakening mechanism

662 As introduced in the methods, we applied composite rheology which incorporates four deformation 663 mechanisms everywhere in the simulation domain. Here, the dominant deformation mechanism (DDM) is defined as the rheology law that yields the minimum magnitude of viscosity at a certain point, similar with deformation mechanism partitioning in previous research (Bessat et al., 2020; Garel et al., 2020; Ruh et al., 2022). We try to understand the temporal and spatial evolution of the DDM within the overriding plate, especially around the midline of the OP where strain localisation tends to develop. Then we evaluate the contribution of each deformation mechanism in promoting viscosity reduction within the overriding plate, especially the temperature dependent creep rheologies.

### 671 4.2.1 Dominant deformation mechanism analysis

Model  $L_{OP}^{0} = 1700 \ km$  with limited extension has shown that the DDM is stratified with yielding, 672 Peierls creep and dislocation creep as temperature increases with depth in the overriding plate 673 674 (Figure 2, b). Here, we further investigate how the DDM evolves in models that develop rifting and spreading extension within the overriding plate, e.g., model  $H_{0P}^0 = 67 \ km'$ . Therein, the temporal 675 676 phases show that the DDM is also spatially layered (Figure 13, a-g), with yielding initially dominating 677 from the surface to the depth of ~35 km, underlain by Peierls creep dominating for the next ~10 km 678 and then dislocation creep dominating for ~25 km (Figure 13, b-d). Among all the DDM at different depths throughout the simulation, the DDM of yielding layer is always the thickest and the DDM of 679 680 dislocation creep layer comes as the second. To be noted, the DDM of diffusion creep with limited area is observed around the bottom of the overriding plate during the initial plate weakening (Figure 681 13, b), and it is completely replaced by dislocation creep after 3 Myr. During the thinning process 682 of the overriding plate, the deformation mechanism of Peierls creep gives way to yielding and 683 dislocation creep as DDM (Figure 13, d-g). The replacement and interplay among different DDM 684 will be discussed in the next subsection. 685



686

687 Figure 13. The temporal and spatial evolution of the dominant deformation mechanism in the overriding plate during progressive 688 weakening, represented by the continuous necking of viscosity contours. The model in the left column is model ' $H_{0P}^{0} = 67 \text{ km}$ ' (a-g). 689 The two models in the right column are identical with the left one except that one excludes dislocation creep for the overriding plate 690 (model ' $\mu_{disl}^{OP}$ , h-I) and the other only keeps yielding deformation mechanism for the overriding plate (model ' $\mu_{Y only}^{OP}$ , m). The dashed 691 zoom-in block in (a) and (h) shows the location of screenshots in (b-g) and (i-l) separately. The progressive weakening process 692 within the overriding plate is demonstrated by the necking of the iso-viscous contours. The 5 groups of yellow contours 693 encompassing the plates in each screenshot are iso-viscous contours of  $10^{20}$ ,  $10^{21}$ ,  $10^{22}$ ,  $10^{23}$ ,  $10^{24}$  Pa · s from outward to inward. 694 The bottom of the overriding plate is defined by the green iso-thermal contour of 1300 K. The bottom left corner caption shows the 695 elapsed simulation time and bottom right corner is the name of the model.

696 While we do not implement a multi-material approach to define the rheology of different layers (e.g.,

697 crust) in the lithosphere, the uniform compositional rheology law self-consistently generates the 698 layered structure in Figure 13. In detail, yielding only dominates over other creep mechanisms in 699 the cold regions, corresponding to the crustal depth range. The temperature-dependent creep 700 mechanisms dominate over yielding in the hot bottom region, equivalent to the depth range of mantle lithosphere. The continuous necking process shows that the viscosity reduction initiates 701 702 from the surface (yielding) and the bottom of the plate (dislocation or diffusion creep). Then the viscosity contour necks in the middle depth (Peierls creep) of the plate as seen in Figure 13, (b-e). 703 704 This suggests that both thermal dependent rheology and non-thermal dependent rheology (yielding) 705 contribute to the progressive weakening in the overriding plate (OP). To confirm whether rifting and 706 spreading extension still occur in the OP when thermal component of rheology is reduced or removed, we run two additional models. These models are identical with model ' $H_{OP}^0 = 67 \ km$ ', 707 except that one excludes dislocation creep for the overriding plate (model ' $\mu_{disl}^{OP}$ ') and the other only 708 keeps the yielding deformation mechanism for the overriding plate (model ' $\mu_{Yonlv}^{OP}$ '). 709

The result shows that the maximum weakening level additional model  $\mu_{disl}^{OP}$ , achieves is level 'III', 710 i.e., it fails to neck the iso-viscous contour of  $10^{21} Pa \cdot s$  or generate spreading extension before 711 712 the slab reaches the 660 km at ~7 Myr (Figure 13, h-I). It indicates that dislocation creep's role in inducing OP spreading extension is irreplaceable. Based on this result and how the bulk viscosity 713 is calculated (Equation (7)), removing any further thermal dependent creep deformation 714 715 mechanisms, i.e., Peierls creep and diffusion creep, will only create an even stronger overriding 716 plate, i.e., no ridge formation or spreading extension will ever occur. Here, we demonstrate this prediction by presenting model ' $\mu_{Y,only}^{OP}$ ' where the model has a strong lithospheric bottom, and it 717 718 fails to even neck the iso-viscous contour of  $10^{24} Pa \cdot s$  (weakening level 'l') in the OP after 6 Myr simulation (Figure 13, m). The result of additional model ' $\mu_{disl}^{OP}$ ,' and model ' $\mu_{Yonly}^{OP}$ ,' also reveal why previous DIDS models, without considering temperature dependent rheology, fail to induce any significant extension or trench retreat in the overriding plate (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019).

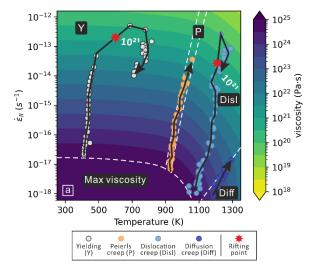
723 Another end member of rheology setup would be only incorporating temperature dependent creep 724 rheology and excluding yielding. Such rheology setup is commonly used in large-scale mantle 725 convection models, which focus on studying deformation in the upper and lower mantle. Composite rheology incorporating dislocation creep and diffusion creep is usually considered, and it will 726 generate a strong layer ( $\mu \ge 10^{24} Pa \cdot s$ ) with extremely low strain rate ( $\le 10^{-18} s^{-1}$ ) in cold 727 regions (less than ~600 K) near the surface (Schulz et al., 2019). Similar stronger surface layer are 728 widely observed when only dislocation and diffusion creep are considered for the composite 729 rheology (Asaadi et al., 2011; Becker, 2006; Becker et al., 2008). We do not run models with only 730 temperature dependent creep rheology laws here, but we briefly investigate the case by plotting 731 732 the viscosity contour map as a function of temperature, second invariant of strain rate, and depth (lithostatic pressure) in the supporting material (Figure S1, g-i). It also suggests a strong layer in 733 734 the cold region (less than 600 K) near the surface, and it takes several orders of magnitude higher strain rate to reduce viscosity to lower than  $10^{21} Pa \cdot s$  relative to cases that consider both yielding 735 and temperature dependent rheology (Figure S1, d-f). As model ' $\mu_{Y only}^{OP}$ ' has demonstrated that it 736 737 takes viscosity reduction from both the surface and the bottom to develop continuous necking, a lack of viscosity reduction around the surface will inhibit the development of plate weakening in the 738 739 OP. Thus, we conclude that it takes both yielding and temperature dependent rheology laws to 740 promote continuous viscosity reduction and induce spreading extension in the overriding plate.

### 741 4.2.2 Weakening contribution analysis

The previous section has shown that the DDM may vary at different depth range within the 742 overriding plate. To evaluate the contribution of each DDM to inducing rifting and spreading 743 744 extension throughout the simulation, we slice the overriding plate vertically through its midline 745 where the most intensive necking and strain localisation take place. Then we group the points along 746 the midline by the type of DDM at each timestep. For each group with the same DDM, we calculate 747 at each timestep the arithmetic average of the second invariant of strain rate and temperature state, which are then plotted on the viscosity contour map computed through Equation (7-9). As the 748 749 magnitude of viscosity is not sensitive to lithostatic pressure in the depth range of 70 km (Figure S1, e-f), we use the depth of 50 km to create the background viscosity contour map (Figure 14, a). 750

751 One way to evaluate the contribution of deformation mechanisms to plate weakening is to quantify how much (order of) viscosity reduction each DDM achieves. For model ' $H_{OP}^0 = 70 \ km$ ', both 752 yielding and dislocation creep reduce the viscosity to magnitude lower than  $10^{21} Pa \cdot s$  (Figure 14, 753 754 a), which is the critical magnitude to initiate rifting and spreading extension (Figure 7, a). This indicates that yielding and dislocation creep play an active role throughout the plate weakening 755 756 process, which includes lithospheric thinning, rifting and spreading extension within the OP. Peierls creep can reduce viscosity to the range of  $10^{21}$ - $10^{22} Pa \cdot s$  (Figure 7, a), suggesting that it also 757 758 contributes substantially to induce plate thinning. The absence of Peierls creep in the necking centre when rifting and spreading extension develops in the OP implies that it is secondary 759 deformation mechanism relative to yielding and dislocation creep as strain localizes in this stage. 760 Diffusion creep induces the least viscosity reduction to  $\sim 5 \times 10^{22} Pa \cdot s$ , which suggests that it only 761 762 softens the plate's bottom layer for further deformation. For models that do not develop rifting or

- spreading extension, the temporal paths of the DDM are similar with model  $H_{OP}^0 = 70 \ km'$  except
- that the minimum viscosity is never less than  $10^{21} Pa \cdot s$ .



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Figure 14. Temporal path of each dominant deformation mechanism over the background viscosity contour map along the midline of the overriding plate, i.e., the necking centre in model  ${}^{\prime}H^{0}_{OP} = 70 \text{ km}^{\prime}$ . The background viscosity contour map is calculated based on Equation (7-9) with prescribed lithostatic pressure at the depth of 50 km. The phase diagram is divided by the white dashed lines into five domains based on the calculation of which deformation mechanism yields the minimum viscosity at the given second invariant of strain rate, temperature. Each scatter points represents a timestep.

To be noted, we observe an abrupt accelerating viscosity reduction in the range of  $10^{20}$ - $10^{22} Pa \cdot s$ 771 for the DDM of yielding and dislocation creep (Figure 14, a). Concurrently, the strain rate also jumps 772 773 to a faster rate, displayed by the less dense scatter of points (each represents a timestep). As demonstrated in Figure 4 (b, d), the necking of iso-viscous contour of  $10^{22} Pa \cdot s$  also indicates the 774 development of strain localisation with narrowing width of high strain rate region in the OP. The 775 776 accelerating viscosity reduction implies that the overriding plate along its midline (necking centre) 777 falls into positive feedback between plate weakening and strain localisation. A similar feedback 778 process is widely observed in simulations that involve plate-scale weakening and generation of new plate boundaries (Fuchs and Becker, 2022, 2019; Gueydan and Précigout, 2014; Wenker and 779 Beaumont, 2018). In the case of uniaxial stretching, the plate strength is proportional to  $\bar{\mu} \times H_{OP}$ 780 (Ribe, 2001), both of which in our models are reducing during the plate thinning process. 781

782 Considering that the plate strength measures the very resistance to the underlying mantle flow, the reduction of viscosity and plate thickness will incur further plate weakening, which in turn allows 783 higher strain rate to develop. As multiple rheology laws (yielding, Peierls creep and dislocation 784 785 creep) are strain rate dependent here, the ever-increasing strain rate can induce further viscosity reduction, which marks another round of feedback weakening. It has been proposed that the power 786 law exponent over 1 for the creep mechanisms can promote the development of strain localisation 787 (Wenker and Beaumont, 2018), which can abruptly accelerate plate weakening by inducing 788 789 nonlinear decay of the plate strength (Brune et al., 2016). The interpretation is consistent with our results. Here, we use power law exponent of 3.5 and 20 for dislocation creep and Peierls creep 790 mechanism (Garel et al., 2014; Maunder et al., 2020), which yields a similar variation of effective 791 792 viscosity with published dislocation and Peierls creep laws (Hirth and Kohlstedt, 2003; Kameyama 793 et al., 1999; Katayama and Karato, 2008).

While the rheology law (Equation (8-9)) of the four deformation mechanisms shows that the 794 795 magnitude of viscosity is dependent on evolving temperature, strain rate, and lithostatic pressure, the diagram indicates that the viscosity reduction is most sensitive to the ever-increasing strain rate, 796 797 which results from both yielding and temperature dependent creep deformation mechanisms (Figure 14, a). Specifically, the incorporation of temperature dependent rheology enables the 798 thermally activated strain rate weakening, which is key to weaken the lithosphere hotter than ~900 799 800 K and induce spreading extension within the OP. This is a major improvement in rheology and its impact on promoting extension within the OP relative to the previous DIDS models that do not 801 consider thermal effects (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019). The 802 803 results also demonstrate that the slab induced mantle flow weakens the mantle lithosphere mainly

by inducing thermally activated strain rate weakening rather than by heating up the lithosphere via conduction. The dominant role of strain rate induced weakening over heat conduction (a much slower process) is also reported in the interaction between upwelling plumes and overlying lithosphere (Burov and Guillou-Frottier, 2005). That is to say, the rheology and buoyancy parameters will be more important than the heat conduction parameters in producing different levels of rheological weakening within the overlying plate.

### 810 4.3 Limitations

Relative to the previous dual inward dipping subduction models, a major improvement of this work 811 is incorporating a composite rheology that considers temperature dependent creep deformation 812 mechanisms, which promotes the continuous viscosity reduction and the development of strain 813 814 localisation in the overriding plate. Though, we recognise that many other processes, which we do not consider, can also affect the rheological evolution of the lithosphere, e.g., migration of hydrous 815 fluids, partial melting, and grain size evolution (Arcay et al., 2008; Braun et al., 1999; England and 816 817 Katz, 2010; Fuchs and Becker, 2019; Hicks et al., 2023; Montési and Hirth, 2003). For example, grain size reduction is likely to take place when strain builds up and it may make diffusion creep 818 become the dominant deformation mechanism, replacing dislocation creep, in the mantle 819 820 lithosphere (Gueydan et al., 2014; Ruh et al., 2022). Taking all these parameters into consideration is likely to generate a more realistic simulation but at the cost of making the computation much 821 more expensive for plate scale simulation (e.g., Dannberg et al., 2017). 822

823 Subduction can generate convective mantle flow that includes both poloidal and toroidal 824 components. The 2D models tested here neglect the effects of toroidal flow and the third dimension. This could amplify the magnitude of poloidal flow and its weakening effect applied within the overriding plate. Considering that the poloidal component dominates over the toroidal component when slab subducts through the upper mantle (Funiciello et al., 2004) and the magnitude toroidal component correlates positively with trench retreat rate (e.g., Li et al., 2014; Schellart and Moresi, 2013), the lack of toroidal flow would have limited impact on the progressive weakening presented here as trench retreat is insignificant before spreading extension initiates.

## 831 4.4 Implication for natural DIDS case

Bearing all the limits in mind, we cautiously compare our model predictions with observations in the Caribbean Sea plate. While we do not aim to reproduce the current tectonic framework in the Caribbean Plate, we still find some significant implications in applying our research to understand specific features in this region, e.g., widespread extensional basins, active (back-arc) spreading centres etc.

The Caribbean plate has established dual inward dipping subduction (DIDS) since 90-70 Ma 837 (Boschman et al., 2014; Braszus et al., 2021; Riel et al., 2023), with the Farallon plate (subsequently, 838 Cocos and Nazca plates) subducting at the Central America Trench in the west and Proto-839 Caribbean plate (followed by Atlantic plate) subducting at the Lesser Antilles Trench in the east. 840 841 One intriguing observation from plate reconstruction is the apparent increase in the distance between the two trenches from ~1100 km to over 2000 km since the establishment of the dual 842 inward dipping subduction (Barrera-Lopez et al., 2022; Boschman et al., 2014; Braszus et al., 2021; 843 Riel et al., 2023; Romito and Mann, 2021), implying that the Caribbean plate has undergone 844 extension. The extension includes the formation of multiple extensional (back-arc) basins 845

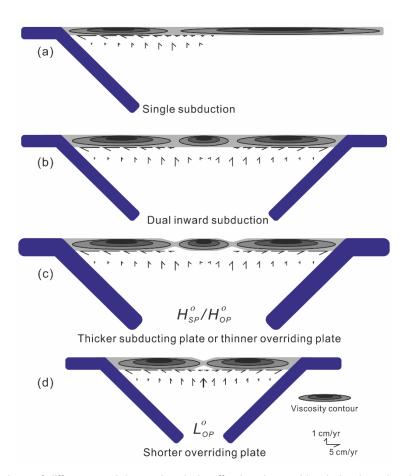
846 throughout the Caribbean Sea plate, e.g., Tobago Basin, Grenada Basin, Venezuela Basin and Colombia Basin since ~60 Ma (Allen et al., 2019; Braszus et al., 2021; Romito and Mann, 2021; 847 Steel and Davison, 2021). Our generic models demonstrate that DIDS can self-consistently form 848 fixed boundary conditions, which can promote plate weakening and strain localisation within the 849 OP. Furthermore, DIDS can lead to the formation of a united, thus stronger mantle flow that can 850 strengthen its ability to weaken the overriding plate. These two effects have become active since 851 the formation of dual inward dipping subduction framework at 90-70 Ma, and they can be important 852 853 aspects to consider to understand extensional deformation history in this region.

On the other hand, we acknowledge that the development of the Caribbean Large Igneous Province 854 (CLIP) at ~140-70 Ma (Hoernle et al., 2004) may have also contributed significantly to the 855 widespread extensional units in this region (Pindell et al., 2006). Further discussion on either 856 subduction or CLIP dominates the regional tectonic framework goes beyond the scope of this 857 research, while we recognise that the establishment of DIDS since 90-70 Ma can form an 858 environment that promote the upwelling flow in the upper mantle, as indicated by a recent numerical 859 860 study that relates the CLIP event with subduction initiation when DIDS is established in this region 861 (Riel et al., 2023).

We note that there is uncertainty in plate reconstructions on plate sizes and limited evidence on the timing of extension. Therefore, we must consider this comparison as somewhat more generic and qualitative rather than specific and quantitative.

# 865 5. Conclusion

866 Relative to the previous dual inward dipping subduction (DIDS) models, the 2-D thermo-mechanical models here demonstrate that DIDS, after considering temperature dependent rheology, can 867 868 induce progressive weakening in an initially homogeneous overriding plate by lowering its viscosity and forming high strain rate necking centres within it. Three variables on plate sizes are investigated 869 870 to understand what may control the maximum degree of plate weakening. It shows that the initial length  $(L_{OP}^{0})$  and thickness  $(H_{OP}^{0})$  of the overriding plate are negatively correlated with the maximum 871 degree of weakening (Figure 15). While the initial thickness of the subducting plate  $(H_{SP}^0)$  positively 872 873 relates to the maximum weakening level. The progressive weakening can result in a variety of irreversible stretching states ranging from 1) little or no lithosphere thinning and extension (<5% 874 accumulation of strain), to 2) limited thermal lithosphere thinning (<30% accumulation of strain), 875 876 and 3) localised rifting followed by spreading extension.



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Figure 15. Synoptic comparison of different model setup's role in affecting the necking behaviour developed within the overriding
 plate. (a) Single sided subduction. (b) Dual inward dipping subduction. (c) Thickness of the subducting plate or overriding plate

Relative to single-sided subduction with a mobile overriding plate, DIDS can reduce the magnitude 881 882 of viscosity to a lower level within the overriding plate. It achieves this by effectively creating a dynamic fixed boundary condition for the middle (overriding) plate (Figure 15). This inhibits the 883 884 mobility of the plate and helps promote localised strain to accommodate the slab rollback tendency on both sides. Besides, when the initial length of the overriding plate is short enough  $(L_{QP}^0 \leq$ 885 886 1300 km), dual inward dipping subduction can form a united, thus stronger upwelling mantle flow 887 which can reduce the viscosity of the overriding plate to a lower magnitude than models with longer  $L_{OP}^{0}$ . While the DIDS effect of self-consistently forming a fixed boundary condition and generating a 888 889 stronger upwelling mantle flow are also reported in previous DIDS models, their role in promoting 890 extension in the overriding plate has been neglected. This research implies that the generic connections between the DIDS plate sizes and plate weakening, along with the DIDS impact on 891 limiting the trench mobility and generating stronger mantle flow are important aspects to consider 892 to understand extensional deformation history in natural DIDS cases. 893

894 We also demonstrate that the incorporation of temperature dependent creep rheologies is critical 895 to enable thermal-activated weakening in the lithosphere hotter than ~900 K. In addition to the 896 strain rate weakening induced by yielding in the cold proportion of the lithosphere, a continuous viscosity reduction followed by the development of strain localisation is observed in the overriding 897 898 plate as rifting and spreading extension develops. Both the temperature dependent creep 899 deformation mechanisms and yielding deformation mechanism contribute significantly to the continuous viscosity reduction, which is also likely to be promoted by the positive feedback between 900 901 plate weakening and strain localisation. Removing the thermal dependent creep deformation

902 mechanisms for the overriding plate will create a strong mantle lithosphere that fails to develop 903 rifting or spreading extension within it. This reveals why previous DIDS models, without considering 904 creep rheology, fail to induce significant extension within the overriding plate. The finding may also 905 have a broader rheological implication for more general processes that involve plate scale 906 weakening, strain localisation and the formation of new plate boundaries.

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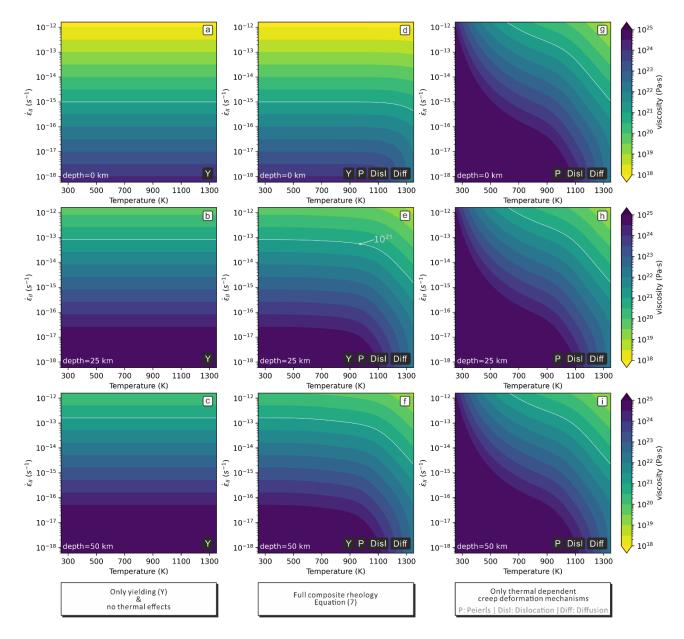
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# 1135 Supporting material



1136

Figure S1. Viscosity contour map calculated based on Equation (7-10) for three groups of rheology setup at the depth of 0 km, 25 km and 50 km. (a-c) Only yielding without considering temperature dependent rheology. (d-f) Full composite rheology considering yielding, Peierls creep, dislocation creep and diffusion creep. (g-i) Only temperature dependent creep deformation mechanisms. The white viscosity contour marks the magnitude of  $10^{21} Pa \cdot s$ .

1141

1142 Text S1

Figure S1 displays three series of rheology setup's role in affecting the magnitude of viscosity, which depends on second invariant of strain rate, depth (lithostatic pressure) and temperature. It is noted that the magnitude of viscosity become much less sensitive to the depth change from 25 km to 50 km. This justifies our usage of fixed depth of 50 km for the background viscosity contour map of Figure 14 in the paper.

1148 The first column (Figure S1, a-c) tries to replicate the rheology setup in the previous dual inward 1149 dipping subduction models that do not consider temperature dependent rheology (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019). We have run an additional model  $\mu_{Yonly}^{OP}$ , which 1150 is identical with model  $H_{0P}^{0} = 67 \ km'$ , except that the rheology excludes all creep rheology laws 1151 1152 and only keeps yielding deformation mechanism for the overriding plate (Figure S1, a-c). The model even fails to neck the iso-viscous contour of  $10^{24} Pa \cdot s$  after 7 Myr simulation, which indicates the 1153 1154 vital role of incorporating temperature dependent rheology laws to induce plate weakening in the 1155 hot bottom layer of the lithosphere.

The second column (Figure S1, d-f) consists of four composite deformation mechanisms: yielding, Peierls creep, dislocation creep and diffusion creep. This is the rheology law we have used in this research following (Garel et al., 2014). Relative to the first column, incorporating temperature dependent rheology can significantly reduce the viscosity for the hot regions (> ~900 K) within the overriding plate.

1161 The third column (Figure S1, g-i) excludes yielding and keeps all the creep deformation

mechanisms. We do not run dual inward dipping subduction model with this rheology setup. Instead, we demonstrate with the viscosity contour map that it tends to form a super strong cold layer (< ~600 K) in the lithosphere, which will take extremely high strain rate (>  $10^{-12} s^{-1}$ ) to reduce the viscosity to magnitude lower than  $10^{21} Pa \cdot s$ . That means no plate-scale rifting or spreading extension can hardly occur in the overriding plate during subduction, as there is a lack of deformation mechanism, e.g., yielding, that can effectively weaken the cold layer in the plate.

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