

# 1 Progressive weakening within the overriding plate during dual inward dipping subduction

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## 6 Highlights:

7 1. Investigate dual inward dipping subduction models implementing composite rheology

8 2. Self-consistently forms a fixed boundary condition and strong convective mantle flow

9 3. Yielding and dislocation creep are the dominant extensional deformation mechanisms

10 4. Strain rate-induced weakening plays a dominant role in initiating viscosity reduction

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## 13 **Abstract**

14 Dual inward dipping subduction often produces complex deformation patterns in the overriding  
15 plate. However, the geodynamic process of how dual inward dipping subduction relates to this  
16 deformation remains unclear, as previous investigation all applied a compositional or Newtonian  
17 rheology. Here we apply a composite viscosity, dependent on multiple parameters, e.g.,  
18 temperature, pressure, strain rate etc., in 2-D thermo-mechanical numerical modelling to  
19 investigate how dual inward dipping subduction modifies the rheological structure of the overriding  
20 plate. Three variables are investigated to understand what controls the maximum degree of  
21 weakening in the overriding plate. We find that reducing the initial length or thickness of the  
22 overriding plate, and increasing the initial thickness of the subducting plate can enhance the  
23 viscosity reduction within the overriding plate during subduction. The progressive weakening can  
24 result in a variety of stretching states ranging from 1) little or no lithosphere thinning and extension,  
25 to 2) limited thermal lithosphere thinning, and 3) localised rifting followed by spreading extension.  
26 Compared with single sided subduction, dual inward dipping subduction further reduces the  
27 magnitude of viscosity of the overriding plate. It does this by creating a dynamic fixed boundary  
28 condition for the overriding plate, and forming a stronger upwelling mantle flow which induces  
29 progressive weakening in the overriding plate. Investigation on the evolution of dominant  
30 deformation mechanism shows that dislocation and yielding contribute most to viscosity reduction,  
31 which can update to rifting and spreading extension in the overriding plate. The progressive  
32 weakening is mainly driven by the ever-increasing strain rate, which is also a precondition for  
33 initiating thermal weakening, strain localisation, lithosphere thinning and formation of new plate  
34 boundaries.

35 **Keywords:** dual inward dipping subduction; composite viscosity; strain localisation; feedback  
36 weakening; numerical modelling.

## 37 **1. Introduction**

38 Subduction can pose a fundamental tectonic overprint on the overriding plate by generating a  
39 volcanic arc (Perfit et al., 1980; Straub et al., 2020), back-arc basin (Uyeda, 1981), orogeny  
40 (Faccenna et al., 2021), or even continental breakup (Dal Zilio et al., 2018). Most subduction zones  
41 involve only one subducting slab. Here we consider multiple subducting slabs, in particular dual  
42 inward dipping subduction. Dual inward dipping subduction, or bi-vergent subduction occurs when  
43 the overriding plate is decoupled with two subducting slabs dipping towards each other. It is one of  
44 the four most commonly described subduction zones with multiple slabs, i.e., inward-dipping, same-  
45 dip, outward-dipping and oppositely dipping adjacent subduction zones (Holt et al., 2017; Király et  
46 al., 2021).

47 Dual inward dipping subduction zones are found in areas which exhibit complex geodynamic  
48 processes in their geological history. Seismic tomography shows that dual inward dipping  
49 subduction exists at the Caribbean plate between the Cocos slab and Lesser-Antilles subduction  
50 zone (Van Benthem et al., 2013), South-East Asia between the Philippine and the Sumatra  
51 subduction (Hall and Spakman, 2015; Huang et al., 2015; Maruyama et al., 2007), and the region  
52 between Tonga and New Hebrides subduction zones (van der Meer et al., 2018). In combination  
53 with seismic tomography, recent plate reconstructions have made it more evident that dual inward  
54 dipping subduction could have existed in some regions in the past (Faccenna et al., 2010; Hall and  
55 Spakman, 2015) constrained by suture zone petrology demonstrating the existence of paleo-  
56 subduction. A good example is the North China Craton. Suture zone studies reveal that multiple  
57 inward dipping subduction may have surrounded the North China Craton from Early Paleozoic to

58 Tertiary (Santosh, 2010; Windley et al., 2010).

59 Global strain rate map shows that a high strain rate belt is often observed in the back-arc region of  
60 the single sided subduction zone, while the distribution of high strain rate area gets complex in dual  
61 inward dipping subduction zones (Figure 2 in Kreemer et al., 2014). In detail, when the trenches  
62 between two subduction zone are far away from each other, the high strain rate belt is prone to  
63 stay with its nearest trench. While when the trenches are close to each other, a greater area of high  
64 strain rate is observed. The difference implies that dual inward dipping subduction may be more  
65 efficient in deforming the overriding plate relative to single sided subduction. Despite these  
66 observations, dual inward dipping subduction is still poorly understood in terms of how it differs  
67 from single sided subduction in deforming or weakening the overriding plate.

68 Numerical investigations have been conducted to understand the dynamics of dual inward dipping  
69 subduction. Research shows that the initial slab dip of the subducting plate affects the upper mantle  
70 dynamic pressure between the convergent slabs and stress state within the overriding plate (Holt  
71 et al., 2017). Varying the distance between the trenches, convergence rate, and asymmetry of  
72 subducting plates can alter the topography of the overriding plate (Dasgupta and Mandal, 2018).  
73 The thickness of the plates and the lithosphere to asthenosphere viscosity ratio are all tested to  
74 investigate their effect on the slab geometry and the magnitude of mantle upwelling flow underlying  
75 the overriding plate (Lyu et al., 2019).

76 These pioneering investigations show that dual inward dipping subduction can generate a variety  
77 of upper mantle flow patterns which regulate the stress state and topography of the overriding plate.  
78 However, previous models all applied a simplified constant viscosity or Newtonian rheology for both

79 plates and convective mantle flow, i.e., the viscosity is neither temperature nor stress-dependent.  
80 Mineral deformation experiments indicate that viscosity varies as a function of multiple parameters,  
81 e.g., temperature, pressure, stress, strain rate etc. (Bürgmann and Dresen, 2008; Burov, 2011;  
82 Hirth and Kohlstedt, 2003; Karato, 2010; Lynch and Morgan, 1987). Thus, previous dual inward  
83 dipping subduction models with simplified rheology were unable to fully reflect the weakening  
84 process, e.g., high strain rate in the back-arc region, due to slab rollback and induced mantle wedge  
85 flow.

86 Single sided subduction models incorporating composite rheology, e.g., dislocation creep, diffusion  
87 creep, yielding etc., has improved our understanding of subduction's impact upon the overriding  
88 plate (e.g., Alsaif et al., 2020; Čížková and Bina, 2013; Garel et al., 2014; Schliffke et al., 2022;  
89 Suchoy et al., 2021). It has not been investigated before, to the best of our knowledge, in terms of  
90 which rheology law dominates the weakening process observed in the overriding plate or how  
91 different deformation mechanisms interplay with each other during subduction.

92 In this research, a series of 2-D thermo-mechanical models incorporating composite rheology laws  
93 are run to investigate how dual inward dipping subduction differs from single sided subduction in  
94 deforming the overriding plate. We also identify the dominant deformation mechanism that induces  
95 progressive weakening and investigate the interplay among different deformation mechanisms  
96 applied.

## 97 **2. Methods**

98 We ran the thermally-driven dual inward dipping subduction models using the code Fluidity (Davies

99 et al., 2011; Kramer et al., 2012), a finite-element control-volume computational modelling  
100 framework, with an adaptive mesh that is set up to capture evolving changes with a maximum  
101 resolution of 0.4 km in this research.

## 102 2.1 Governing equations and rheology setup

103 Under the Boussinesq approximation (McKenzie et al., 1974), the equations governing thermally  
104 driven subduction process are derived from conservation of mass, momentum, and energy, for an  
105 incompressible Stokes flow

$$\partial_i u_i = 0, \quad (1)$$

$$\partial_i \sigma_{ij} = -\Delta \rho g_j, \quad (2)$$

$$\frac{\partial T}{\partial t} + u_i \partial_i T = \kappa \partial_i^2 T, \quad (3)$$

106 in which  $u$ ,  $g$ ,  $\sigma$ ,  $T$ ,  $\kappa$  are the velocity, gravity, stress, temperature, and thermal diffusivity,  
107 respectively (Table 1). In particular, the full stress tensor  $\sigma_{ij}$  consists of deviatoric and lithostatic  
108 components via

$$\sigma_{ij} = \tau_{ij} - p \delta_{ij}, \quad (4)$$

109 where  $\tau_{ij}$  represents the deviatoric stress tensor,  $p$  the dynamic pressure, and  $\delta_{ij}$  the Kronecker  
110 delta function.

111 The deviatoric stress tensor and strain rate tensor  $\dot{\epsilon}_{ij}$  are related according to

$$\tau_{ij} = 2\mu\dot{\epsilon}_{ij} = \mu(\partial_j u_i + \partial_i u_j), \quad (5)$$

112 with  $\mu$  the viscosity. The density difference due to temperature is defined as

$$\Delta\rho = -\alpha\rho_s(T - T_s), \quad (6)$$

113 where  $\alpha$  is the coefficient of thermal expansion,  $\rho_s$  is the reference density at the surface

114 temperature  $T_s$  (Table 1).

Table 1. Key parameters used in this research.

Quantity	Symbol	Units	Value
Gravity	$g$	$m s^{-2}$	9.8
Gas constant	$R$	$J K^{-1} mol^{-1}$	8.3145
Mantle geothermal gradient	$G$	$K km^{-1}$	0.5 (UM) 0.3 (LM)
Thermal expansivity coefficient	$\alpha$	$K^{-1}$	$3 \times 10^{-5}$
Thermal diffusivity	$\kappa$	$m^2 s^{-1}$	$10^{-6}$
Reference density	$\rho_s$	$kg m^{-3}$	3300
Cold, surface temperature	$T_s$	$K$	273
Hot, mantle temperature	$T_m$	$K$	1573
Maximum viscosity	$\mu_{max}$	$Pa \cdot s$	$10^{25}$
Minimum viscosity	$\mu_{min}$	$Pa \cdot s$	$10^{18}$
<b>Diffusion Creep<sup>a</sup></b>			
Activation energy	$E$	$kJ mol^{-1}$	300 (UM) 200 (LM)
Activation volume	$V$	$cm^3 mol^{-1}$	4 (UM) 1.5 (LM)
Prefactor	$A$	$Pa^{-n} s^{-1}$	$3.0 \times 10^{-11}$ (UM) $6.0 \times 10^{-17}$ (LM)
	$n$		1
<b>Dislocation Creep (UM)<sup>b</sup></b>			
Activation energy	$E$	$kJ mol^{-1}$	540
Activation volume	$V$	$cm^3 mol^{-1}$	12
Prefactor	$A$	$Pa^{-n} s^{-1}$	$5.0 \times 10^{-16}$
	$n$		3.5
<b>Peierls Creep (UM)<sup>c</sup></b>			
Activation energy	$E$	$kJ mol^{-1}$	540
Activation volume	$V$	$cm^3 mol^{-1}$	10
Prefactor	$A$	$Pa^{-n} s^{-1}$	$10^{-150}$
	$n$		20
<b>Yield Strength Law<sup>d</sup></b>			
Surface yield strength	$\tau_0$	$MPa$	2
Friction coefficient	$f_c$		0.2
	$f_{c,weak}$		0.02 (weak layer)
Maximum yield strength	$\tau_{y,max}$	$MPa$	10,000

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<sup>a</sup> The rheology parameter of diffusion creep is guided by previous mineral deformation experiments (Hirth and Kohlstedt, 2003, 1995a; Ranalli, 1995). The UM and LM stands for “upper mantle” and “lower mantle,” respectively. <sup>b</sup> The activation parameters and stress-dependent exponent used for dislocation creep are in agreement with previous mineral deformation experiments (Hirth and Kohlstedt, 1995b). <sup>c</sup> The parameterisation (based on Kameyama et al., 1999) makes Peierls creep tend to be weaker than yielding in the upper mantle, thus enabling trench retreat and creating richer slab morphology in the upper mantle (Garel et al., 2014). <sup>d</sup> A very high maximum yield strength value is used here to ensure that yielding only dominates at the depth of crustal scale. A friction coefficient of 0.2 is following numerical models (Garel et al., 2014; Gülcher et al., 2020), and it is intermediate between lower values of previous subduction models (Crameri et al., 2012; Di Giuseppe et al., 2008) and the actual friction coefficient of the Byerlee law (Byerlee, 1978).



125 The key rheology difference of the model setup with previous dual inward dipping subduction  
 126 models (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019) is that the magnitude of  
 127 viscosity throughout the model can self-consistently evolve during subduction. The governing  
 128 rheological laws are identical throughout the model domain, though the rheology parameters we  
 129 use differ to match different deformation mechanisms potentially dominating at different depths in  
 130 the Earth. In detail, a uniform composite viscosity is used to take account of four deformation  
 131 mechanisms under different temperature-pressure conditions: diffusion creep, dislocation creep,  
 132 Peierls mechanism, and yielding (Garel et al., 2014). The effective composite viscosity in the  
 133 computational domain is given by

$$\mu = \left( \frac{1}{\mu_{diff}} + \frac{1}{\mu_{disl}} + \frac{1}{\mu_P} + \frac{1}{\mu_y} \right)^{-1}, \quad (7)$$

134 where  $\mu_{diff}$ ,  $\mu_{disl}$ ,  $\mu_y$  define the creep viscosity following

$$\mu_{diff/disl/P} = A^{-\frac{1}{n}} \exp\left(\frac{E + PV}{nRT_r}\right) \dot{\epsilon}_{II}^{\frac{1-n}{n}}, \quad (8)$$

135 in which  $A$  is a prefactor,  $n$  the stress component,  $E$  the activation energy,  $P$  the lithostatic  
 136 pressure,  $V$  the activation volume,  $R$  the gas constant,  $T_r$  the temperature obtained by adding  
 137 an adiabatic gradient of 0.5 K/km in the upper mantle and 0.3 K/km in the lower mantle to the  
 138 Boussinesq solution (Fowler, 2005),  $\dot{\epsilon}_{II}$  the second invariant of the strain rate tensor. Note that in  
 139 the lower mantle only diffusion creep applies and the lower mantle is 30 times more viscous than  
 140 the upper mantle. While the fourth deformation mechanism, yielding, is defined by a brittle-failure  
 141 type yield-stress law as

$$\mu_y = \frac{\tau_y}{2\dot{\epsilon}_{II}}, \quad (9)$$

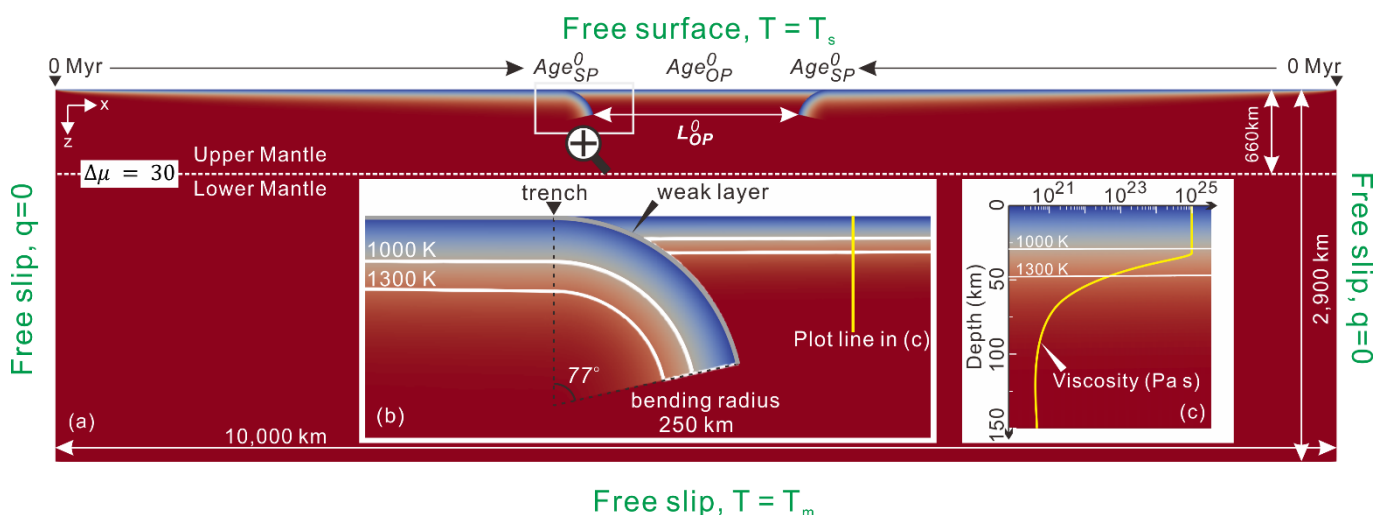
142 with  $\mu_y$  the yielding viscosity and  $\tau_y$  the yield strength.  $\tau_y$  is determined by

$$\tau_y = \min(\tau_0 + f_c P, \tau_{y,max}), \quad (10)$$

143 with  $\tau_0$  the surface yield strength,  $f_c$  the friction coefficient,  $P$  the lithostatic pressure, and  
 144  $\tau_{y,max}$  the maximum yield strength (Table 1).

## 145 2.2 Model setup

146 The computational domain is 10,000 km by 2,900 km, with  $x$  (width) coordinates and  $z$  (depth)  
 147 coordinates extending from the surface to the bottom of the lower mantle (Figure 1). Such a wide  
 148 domain reduces the influence of side and bottom boundary conditions (Chertova et al., 2012). The  
 149 thermal boundary conditions at the surface and bottom are defined by two isothermal values:  $T =$   
 150  $T_s$  and  $T = T_m$  for surface and base of lower mantle respectively, while the sidewalls are insulating.  
 151 As for mechanical boundary conditions, a free-surface is applied at the top boundary to facilitate  
 152 trench mobility, while the other boundaries are free-slip.



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Figure 1. Dual inward dipping model geometry and initial setup illustrated with the initial temperature field as the background. (a)

155 The whole computational domain.  $Age_{SP}^0$  and  $Age_{OP}^0$  represent the initial ages of subducting plate and overriding plate at trench.  
156 The viscosity jump ( $\Delta\mu$ ) between upper and lower mantle at 660 km transition zone is set up with a fixed value of 30. To be noted,  
157  $L_{OP}^0$  represents the distance between the leading tip edges of the slabs initially penetrating into the upper mantle.  $L_{OP}^0$  roughly  
158 equals the length of the overriding plate with constant thickness, excluding the overriding plate above the interface with the bending  
159 slab. (b) Enlarged area of the trench zone where the bending slab meets the flat overriding plate. The 1100 K and 1300 K isotherms  
160 are marked in white contours. (c) Vertical profile of viscosity against depth within the overriding plate. The plot line is 400 km away  
161 from the initial trench.

162 To simplify the complexity of the model, a laterally symmetric dual inward dipping subduction is  
163 applied, i.e., the model is strictly symmetric along the vertical middle line of the domain (5000 km  
164 away from the side boundaries) in all aspects, e.g., the geometry and rheology properties.  $Age_{SP}^0$   
165 and  $Age_{OP}^0$  represent the initial ages of subducting plate and overriding plate at the trench, where  
166 the two plates meet at the surface. Laterally on the surface, the age of the subducting plates  
167 increases linearly with their distance away from the mid-ocean ridge on either side. While vertically,  
168 the age of the plate at surface defines the initial thermal structure through a half-space cooling  
169 model (Turcotte and Schubert, 2014),

$$T(x, z) = T_s + (T_m - T_s) \operatorname{erf} \left( \frac{z}{2\sqrt{\kappa Age^0(x)}} \right), \quad (11)$$

170 with  $x$  the distance away from the mid-ocean ridge,  $\operatorname{erf}$  the error function,  $z$  the depth,  $\kappa$  the  
171 thermal diffusivity. All parameters are listed in Table 1. The whole overriding plate is set up with a  
172 constant age. Thus, the thermal structure within the overriding plate is laterally homogeneous. The  
173 bottom of thermal lithosphere is defined as the isotherm of 1300 K, where the temperature gradient  
174 starts to drop quickly (Garel and Thoraval, 2021). The initial thickness of the subducting plate ( $H_{SP}^0$ )  
175 and overriding plate ( $H_{OP}^0$ ) can be calculated using

$$H_{Plate}^0 = \text{erfinv}((T_{1300K} - T_s)/(T_m - T_s)) * 2 * \sqrt{\kappa * Age_{Plate}^0(x)}, \quad (12)$$

176 where  $H_{Plate}^0$  is the initial thickness of the plate thermal lithosphere and *erfinv* is the inverse error  
 177 function.

178 The free surface boundary condition together with the mid-ocean ridge setup allows the subducting  
 179 slabs, the overriding plate and therefore the trench to move freely as subduction evolves. To initiate  
 180 self-driven subduction without implementing external forces, the subducting plate is set up with a  
 181 bend into the mantle and an 8 km thick low-viscosity decoupling layer on the top. This weak layer  
 182 has the same rheology as the rest of the domain, other than its maximum viscosity is  $10^{20}$  Pa s,  
 183 and its friction coefficient is 0.02 (i.e., an order of magnitude lower). The initial bending radius is  
 184 250 km and the slab bends over 77 degrees from the trench (Figure 1).


### 185 **2.3 Model variables**

186 Three variables are investigated here: the initial length of the overriding plate ( $L_{OP}^0$ ), the initial  
 187 thickness of the subducting plate ( $H_{SP}^0$ ) and overriding plate ( $H_{OP}^0$ ) (Table 2). These are parameters  
 188 also varied in previous research and therefore will allow easier comparison.  $H_{SP}^0$  and  $H_{OP}^0$  are  
 189 dependent on plate age and calculated using Equation (12). The magnitude of  $L_{OP}^0$  that has been  
 190 tested in previous models ranging from 500 km to 4000 km (Dasgupta and Mandal, 2018; Holt et  
 191 al., 2017; Lyu et al., 2019), and the result shows that  $L_{OP}^0$  greater than 2500 km has little impact  
 192 on the result (Lyu et al., 2019). Here  $L_{OP}^0$  is tested in the range from 500 km to 1600 km. The values  
 193 of  $H_{SP}^0$  and  $H_{OP}^0$  that has been tested before ranges from 75-125 km and 75-150 km separately  
 194 and those models suggest that  $H_{SP}^0$  is more important in deciding the magnitude of upwelling

195 mantle flow than  $H_{OP}^0$  (Lyu et al., 2019). So the range of  $H_{SP}^0$  is extended to 94-141 km (equivalent  
 196 half-space age 90-200 Ma, Table 2) while the range of  $H_{OP}^0$  is narrowed down to 67-100 km (45-  
 197 100 Ma).

198 Table 2. List of model setup.

Model name	$L_{OP}^0$ (km)	$H_{SP}^0$ (km)	$H_{OP}^0$ (km)	$Age_{SP}^0$ (Ma)	$Age_{OP}^0$ (Ma)
$H_{SP}^0 = 94 \text{ km}$	500	94	67	90	45
$H_{SP}^0 = 100 \text{ km}$	500	100	67	100	45
$H_{SP}^0 = 111 \text{ km}$	500	111	67	125	45
$H_{SP}^0 = 122 \text{ km}$	500	122	67	150	45
$H_{SP}^0 = 141 \text{ km}$	500	141	67	200	45
$H_{OP}^0 = 67 \text{ km}$	500	141	67	200	45
$H_{OP}^0 = 70 \text{ km}$	500	141	70	200	50
$H_{OP}^0 = 74 \text{ km}$	500	141	74	200	55
$H_{OP}^0 = 77 \text{ km}$	500	141	77	200	60
$H_{OP}^0 = 100 \text{ km}$	500	141	100	200	100
$L_{OP}^0 = 500 \text{ km}$	500	141	67	200	45
$L_{OP}^0 = 600 \text{ km}$	600	141	67	200	45
$L_{OP}^0 = 700 \text{ km}$	700	141	67	200	45
$L_{OP}^0 = 800 \text{ km}$	800	141	67	200	45
$L_{OP}^0 = 1000 \text{ km}$	1000	141	67	200	45
$L_{OP}^0 = 1200 \text{ km}$	1200	141	67	200	45
$L_{OP}^0 = 1600 \text{ km}$	1600	141	67	200	45

199 Models are named with the variable tested, e.g.,  $H_{SP}^0 = 94 \text{ km}$  and  $H_{SP}^0 = 122 \text{ km}$  corresponds to the initial subducting plate  
 200 thickness of 94 km and 122 km separately, while the initial overriding plate length and thickness in both models remain the same as  
 201 500 km and 67 km. 

## 202 3. Results

### 203 3.1 Varying viscosity in an evolving model: an example

204 The thermal-mechanical model setup of this research enables self-consistent subduction. Similar  
 205 to the self-consistent single subduction numerical and analogue models, subduction initiates as  
 206 negative buoyancy pulls the slab to sink into the deeper mantle, followed by a second stage when  
 207 slab starts to interact with the lower mantle (e.g., Capitanio et al., 2010; Gerya et al., 2008; Schellart

208 and Moresi, 2013). We next describe in detail the dynamic evolution of dual inward dipping  
209 subduction for the model ' $L_{OP}^0 = 1200 \text{ km}$ '.

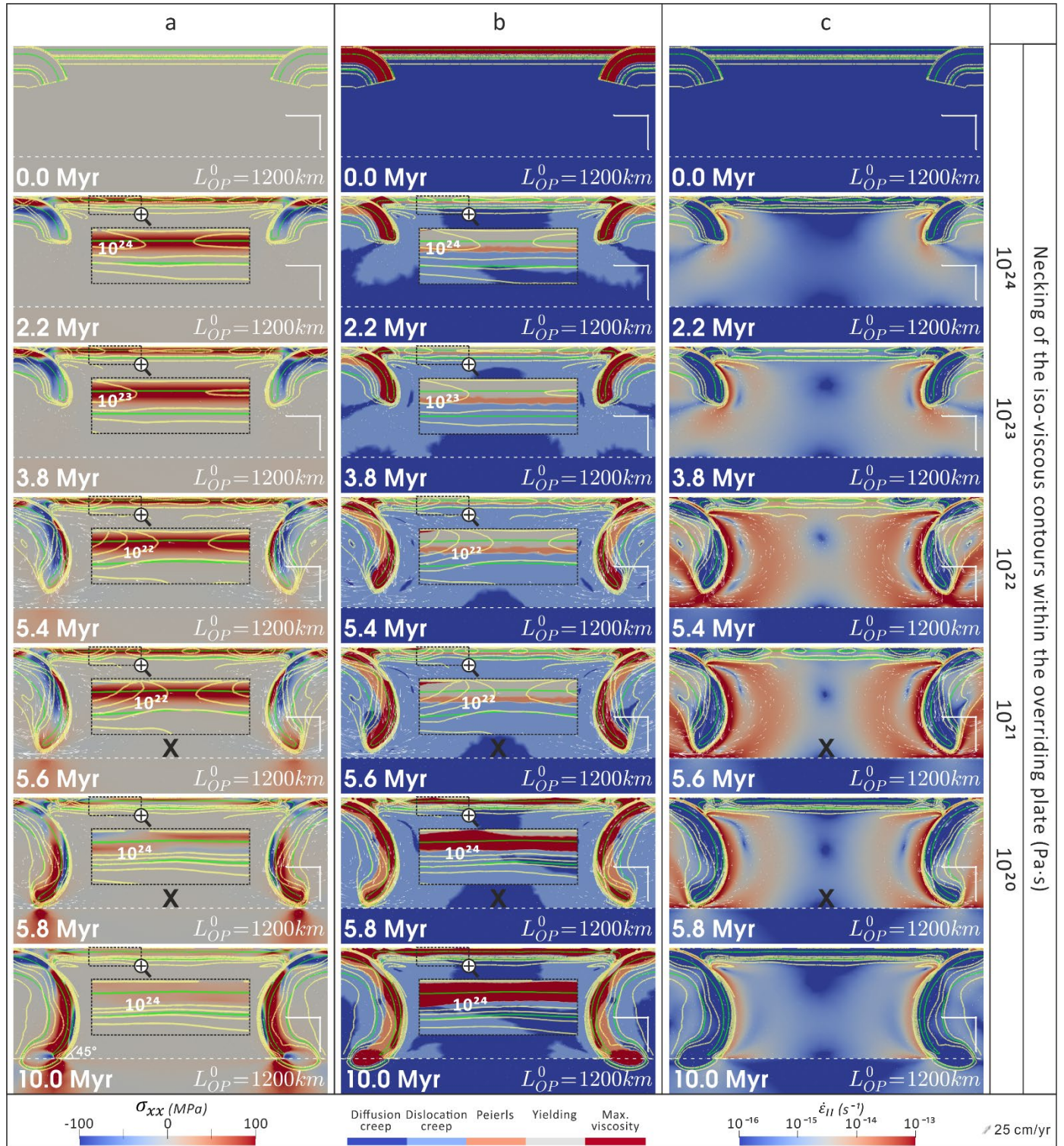
### 210 **3.1.1 Subduction through the upper mantle**

211 When slabs subduct through the upper mantle, symmetric subduction develops about the midline  
212 of the overriding plate ( $\sim 5000 \text{ km}$  away from the side boundaries). As more slab is pulled into the  
213 mantle, the negative buoyancy grows gradually. It takes  $\sim 5.8 \text{ Myr}$  before the slab starts to interact  
214 with the lower mantle (Figure 2).

215 Convective mantle wedge flow is generated as the subducting slab bends and sinks in the upper  
216 mantle. The size of the convective cell grows with time and forms a crescent shape as wide as  
217  $\sim 500 \text{ km}$  before the slab reaches the depth of lower mantle. The convective cell is composed of a  
218 narrow downwelling flow coupling close to the sinking slab and a wide upwelling flow further away.  
219 The upwelling flow fades gradually as its distance away from the subducting slab increases. In the  
220 model ' $L_{OP}^0 = 1200 \text{ km}$ ', the two sets of wedge flow have little interaction and can be considered as  
221 two separate units. This is because the length of the overriding plate is  $1200 \text{ km}$ , which is greater  
222 than two times the width ( $\sim 500 \text{ km}$ ) of a convection cell.

223 The overriding plate exhibits a widespread extensional stress field as a result of continuous  
224 subduction and the induced convective mantle wedge flows. Only a limited area close to the  
225 interface with the bending slabs develops compression (Figure 2, a). The widespread extensional  
226 stress field implies that the overriding plate has an overall stretching tendency. Within the overriding  
227 plate, the governing deformation mechanism is spatially layered (Figure 2, b). At depths shallower

228 than 30 km within the overriding plate, yielding (brittle or plastic) deformation dominates. Underlying  
229 the yielding layer lies ~10 km thick Peierls creep layer. While for depths from ~40 km to the bottom  
230 of the thermal lithosphere deformation is dominated by dislocation creep. High strain rate areas are  
231 observed within and underneath the overriding plate (Figure 2, c). The thermal thickness of the  
232 overriding plate, defined by the 1300 K isotherm contour, remains nearly constant throughout the  
233 simulation.



234  
 235 Figure 2. The Simulation screenshots of model ' $L_{OP}^0 = 1200 \text{ km}$ '. (a) Horizontal stress component where positive value represents  
 236 stretching and negative value denotes compression. (b) Temporal evolution of the governing deformation mechanism, which is  
 237 defined as the rheology law that yields the minimum magnitude of viscosity in a specific region. (c) Magnitude of second invariant  
 238 of strain rate. The progressive weakening process within the overriding plate is demonstrated by the necking of the iso-viscous  
 239 contours. The 5 groups of yellow contours encompassing the plates in each screenshot are iso-viscous contours of  
 240  $10^{20}, 10^{21}, 10^{22}, 10^{23}, 10^{24} \text{ Pa} \cdot \text{s}$  from outward to inward. Screenshot with a bold 'X' underlying the overriding plate means there is  
 241 no necking developing in that timestep for the iso-viscous contour whose value is noted on the right-hand side, e.g.,  $10^{21} \text{ Pa} \cdot \text{s}$ .  
 242 The two sets of green solid lines are 700 K and 1300 K isotherm contours to image the geometry of the plate. The transition zone  
 243 at the depth of 660 km is marked by the horizontal white dashed line. The white right-angle scale bar lying above the right end of  
 244 the transition zone represents 200 km in both directions. The bottom left corner caption shows the elapsed simulation time and  
 245 bottom right corner is the name of the model.



246 The non-Newtonian rheology laws applied define viscosity as a function of multiple variables, e.g.,  
247 temperature, lithostatic pressure, stress, strain rate etc. As subduction initiates, it creates rheology  
248 heterogeneities within what initially was a laterally homogeneous overriding plate, allowing part of  
249 it to become weaker than other parts. To visualize the variation in lithosphere viscosity, several  
250 levels of iso-viscous contours are plotted, e.g.,  $10^{24}, 10^{23}, 10^{22}, 10^{21} Pa \cdot s$  (Figure 2). Here, the  
251 overriding plate weakening is defined as the viscosity reduction process, and the weakening level  
252 is defined as the maximum order of viscosity magnitude drop. That is, weakening level 'I', 'II', 'III',  
253 'IV' represents that the iso-viscous contour  $10^{24}, 10^{23}, 10^{22}, 10^{21} Pa \cdot s$  is necked within the  
254 overriding plate respectively. It shows that the homogeneous overriding plate is gradually  
255 segmented into three strong cores connected with two low viscosity necking regions. Strain is likely  
256 to localise upon these two necking areas and continuously lower the magnitude of viscosity therein.  
257 The minimum viscosity achieved in the overriding plate for model ' $L_{OP}^0 = 1200 km$ ' is  $10^{21}-10^{22} Pa \cdot$   
258  $s$  (weakening level 'III'). The distance between these two necking regions is  $\sim 620 km$  (Figure 2, a,  
259 3.8Myr). These necking regions match well with the high strain rate areas developed in the  
260 overriding plate. The initial result suggests that high strain rate may play an important role in  
261 softening the overriding plate during dual inward dipping subduction.

### 262 **3.1.2 Subduction into the lower mantle**

263 Due to the viscosity jump at transition zone, subduction approaching the lower mantle would  
264 experience a short period of deceleration, where mobility of the slab tends to slow down and the  
265 induced mantle wedge flow becomes mild. Meanwhile, the necking of the iso-viscous contours is  
266 reversed by a cooling and strengthening process within the overriding plate (Figure 2). At the end

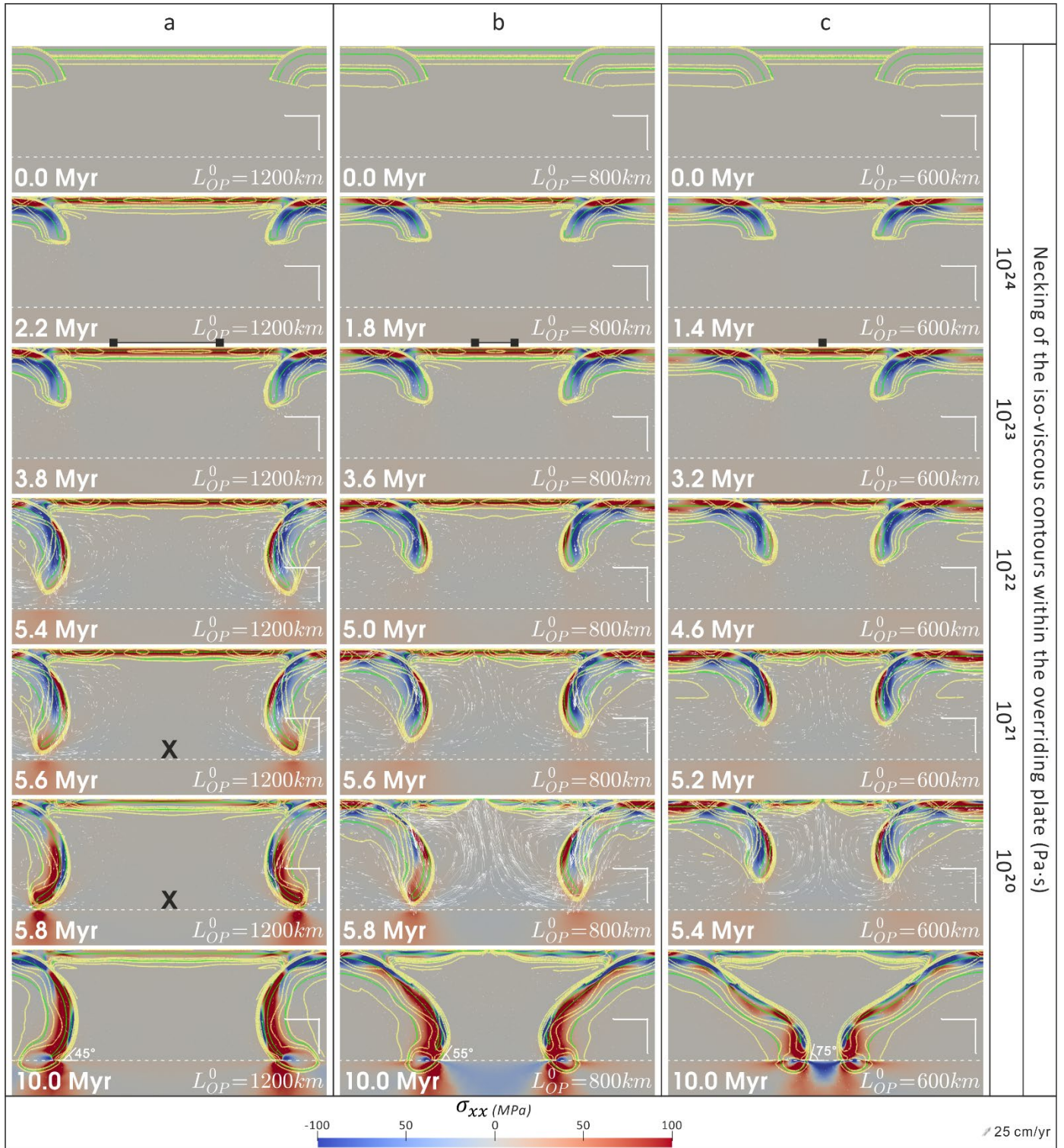
267 of the 10 Myr simulation, the dip between the top of bending slab and the transition zone is  $\sim 45^\circ$   
268 and the total trench retreat is  $\sim 100$  km.

### 269 **3.2 Length of the overriding plate**

270 The first series of models investigate decreasing the initial length of the overriding plate ( $L_{OP}^0$ ) from  
271 1600 km to 500 km, while keeping the initial thickness of the subducting and overriding plate as  
272 141 km and 67 km separately. As  $L_{OP}^0$  decreases, the two symmetric subducting slabs become  
273 closer. The two separate convective mantle wedge flows start to combine with each other and form  
274 a stronger joint upwelling flow underneath the overriding plate (Figure 3). Consequently, as  $L_{OP}^0$  is  
275 reduced, the two separate necking areas within the overriding plate get closer and merge into a  
276 single one in the end. Also as  $L_{OP}^0$  is reduced it takes less time to lower each level of viscosity  
277 within the overriding plate. Besides, the progressive weakening process can go further and neck  
278 the  $10^{21}$  Pa s iso-viscous contour (weakening level 'IV') when  $L_{OP}^0$  reaches  $\leq 800$  km, initiating  
279 significant lithosphere thinning and even rifting or spreading extension within the overriding plate  
280 (Figure 3, b-c). In this paper, we define rifting as a process where plate's thermal thickness reduces  
281 to  $\sim 0$  km. It is noted that the rifting process in this research does not include melting behaviour. The  
282 significant extension usually lasts less than 1 Myr before it gradually stops after the slab reaches  
283 the depth of the lower mantle, but it causes substantial changes to the dual inward dipping  
284 subduction system. For example, significant slab rollback starts to develop, creating a flattening  
285 slab geometry in the upper mantle and steepening dip angle ( $45^\circ$  to  $75^\circ$ , Figure 3) at the transition  
286 zone depth by the end of the 10 Myr simulation.

287 It is noted that the continuous thinning of the thermal lithosphere only initiates when the iso-viscous

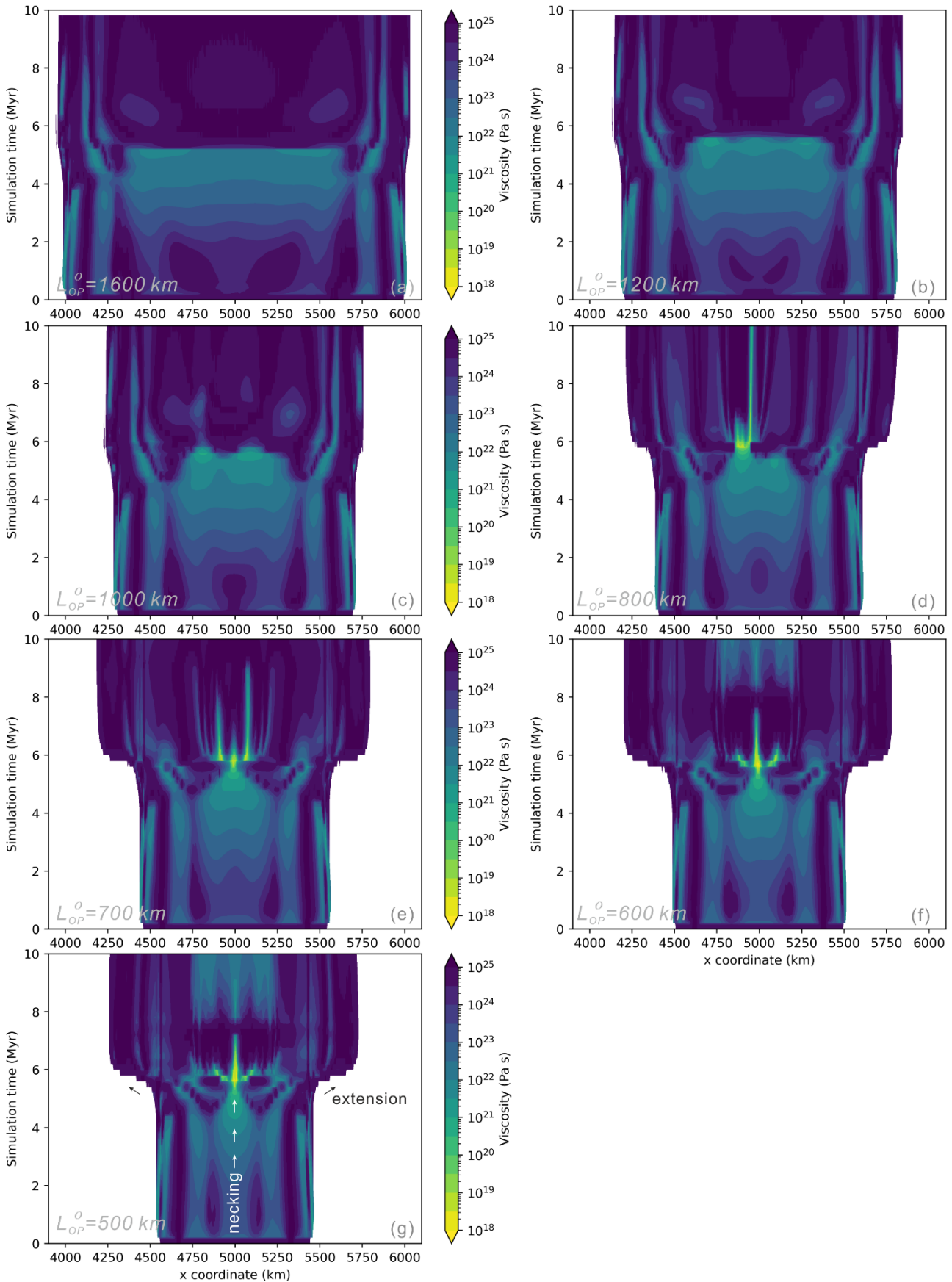
288 contour of  $10^{21} \text{ Pa} \cdot \text{s}$  starts to neck, indicating a good coupling of the thermal lithosphere and the  
289 rheology boundary layer (usually defined as the depth of  $10^{21} \text{ Pa} \cdot \text{s}$ ) in the model. All models  
290 necking  $10^{21} \text{ Pa} \cdot \text{s}$  will continue to neck lower magnitudes of viscosity, e.g.,  $10^{20}, 10^{19} \text{ Pa} \cdot \text{s}$ .  
291 Simultaneously, the upwelling hot mantle flow can then ascend to fill the thinning region and create  
292 a new plate boundary (rifting extension) or even new sea floor (spreading extension) after it  
293 ascends to the surface. Thus, the ability to neck  $10^{21} \text{ Pa} \cdot \text{s}$  (or not) can be used as a key  
294 diagnostic to predict whether a new spreading ridge (new plate boundary) develops within the  
295 overriding plate (or just limited thinning).



296 Figure 3. Progressive weakening of the overriding plate during dual inward dipping subduction with decreasing length of the  
 297 overriding plate, (a) model ' $L_{OP}^0 = 1200 \text{ km}$ ', (b) model ' $L_{OP}^0 = 800 \text{ km}$ ', (c) model ' $L_{OP}^0 = 600 \text{ km}$ '. The location of necked regions in  
 298 the overriding plate are marked with black squares. A detailed explanation of the contours and symbols could be found in the caption of  
 299 Figure 2.  
 300

301 To take a closer look at the extension behaviour within the overriding plate, we plot the evolving  
 302 magnitude of viscosity at 5km depth (Figure 4). The filled region in the figure represents the  
 303 overriding plate, therefore its widening represents extension within the overriding plate. As the initial

304 length of the overriding plate ( $L_{OP}^0$ ) decreases from 1600 km to 500 km, the total extension in the  
305 10 Myr simulations increases from ~100 km (Figure 4, a-c) to 400-600 km (Figure 4, d-g). In detail,  
306 it is noted that extensional behaviour only become observable after the iso-viscous contour of  
307  $10^{23} Pa \cdot s$  is necked, i.e., after weakening level 'II' is achieved. Extension combining with  
308 lithospheric thinning only becomes significant when the iso-viscous contour  $10^{21} Pa \cdot s$  is necked,  
309 i.e., when weakening level 'IV' is achieved. The highest weakening level achieved within the  
310 overriding plate increases from 'II' ( $L_{OP}^0 = 1600 km$ ) to 'III' ( $L_{OP}^0 = 1000 km$ ) and on to 'IV' ( $L_{OP}^0 \leq$   
311  $800 km$ ). During the spreading extension period, a highly centralised spreading centre (Figure 4,  
312 d,f-g) is observed in the middle of the overriding plate (Figure 4, d, f-g), while multiple spreading  
313 centres are observed to accommodate the extension in model ' $L_{OP}^0 = 700 km$ ' (Figure 4, e).



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316  
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319

Figure 4. Temporal evolution of viscosity magnitude along the depth of 5 km within the overriding plate for models with different length of the overriding plate. (a) Model ' $L_{OP}^0 = 1600 \text{ km}$ '. (b) Model ' $L_{OP}^0 = 1200 \text{ km}$ '. (c) Model ' $L_{OP}^0 = 1000 \text{ km}$ '. (d) Model ' $L_{OP}^0 = 800 \text{ km}$ '. (e) Model ' $L_{OP}^0 = 700 \text{ km}$ '. (f) Model ' $L_{OP}^0 = 600 \text{ km}$ '. (g) Model ' $L_{OP}^0 = 500 \text{ km}$ '. All models have the same setup of  $H_{SP}^0$  (141 km) and  $H_{OP}^0$  (67 km). The edge of the filled contour in the lateral direction represents the interface between the overriding plate and subducting plate. The white arrows display the necking process of the overriding plate.

### 320 3.3 Thickness of the overriding plate

321 The second series of models increase the initial thermal thickness (defined by the 1300 K contour)  
322 of the overriding plate ( $H_{OP}^0$ ) from 67 km to 100 km (Figure 5), while keeping the subducting plate's  
323 thickness ( $H_{SP}^0$ ) and the length of the overriding plate ( $L_{OP}^0$ ) constant (Table 2). As  $H_{OP}^0$  increases,  
324 the maximum weakening level developed within the overriding plate drops from 'IV' ( $H_{OP}^0 = 67 \text{ km}$ )  
325 to 'III' ( $H_{OP}^0 = 74 \text{ km}$ ) and less than 'I' ( $H_{OP}^0 = 100 \text{ km}$ ). The time it takes to lower each order of  
326 viscosity magnitude increases, indicating a slower progressive weakening.

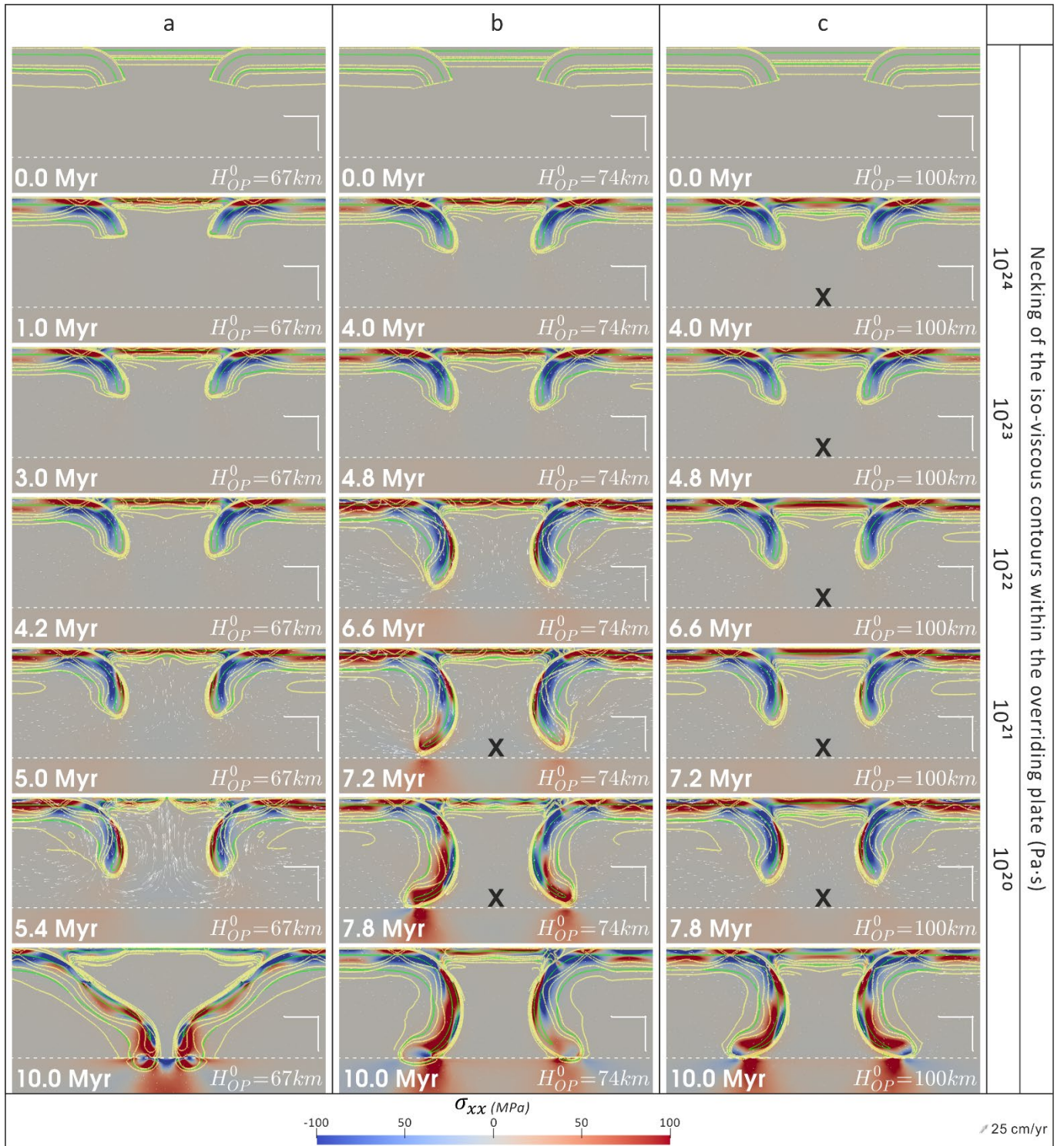
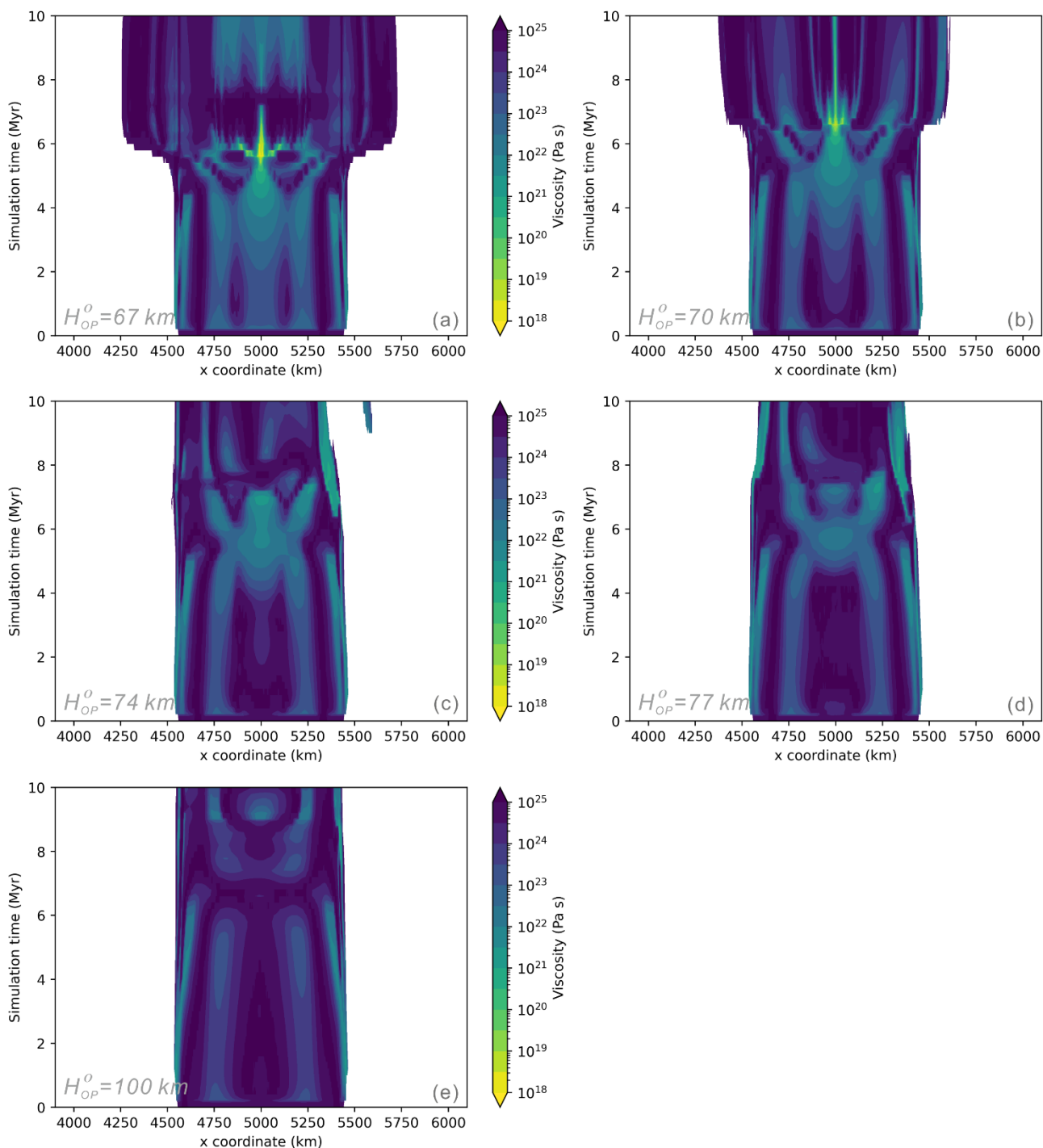


Figure 5. Progressive weakening, illustrated by visualising the stress  $\sigma_{xx}$ , of the overriding plate during dual inward dipping subduction with increasing thickness of the overriding plate, (a) model ' $H_{OP}^0 = 67 \text{ km}$ ', (b) model ' $H_{OP}^0 = 74 \text{ km}$ ' and (c) model ' $H_{OP}^0 = 100 \text{ km}$ '. A detailed explanation of the contours and symbols could be found in the caption of Figure 2.

Besides, the total extension decreases from  $\sim 600 \text{ km}$  ( $H_{OP}^0 = 67 \text{ km}$ , Figure 6, a) to  $\sim 350 \text{ km}$  ( $H_{OP}^0 = 70 \text{ km}$ , Figure 6, b) and ultimately to  $\sim 0 \text{ km}$  ( $H_{OP}^0 = 100 \text{ km}$ , Figure 6, c-e). The maximum viscosity reduction in both the primary and secondary necking regions decreases as  $H_{OP}^0$  increases, while



334 the lateral distance away from the trench of necking regions are equal, showing no correlation with  
 335  $H_{OP}^0$ .



336  
 337 Figure 6. Temporal evolution of viscosity along a horizontal line at the depth of 5 km in the overriding plate. (a) Model ' $H_{OP}^0 = 67 \text{ km}$ '.  
 338 (b) Model ' $H_{OP}^0 = 70 \text{ km}$ '. (c) Model ' $H_{OP}^0 = 74 \text{ km}$ '. (d) Model ' $H_{OP}^0 = 77 \text{ km}$ '. (e) Model ' $H_{OP}^0 = 100 \text{ km}$ '. All models have the same  
 339  $H_{SP}^0$  (141 km) and  $L_{OP}^0$  (500 km). The edge of the contour filling in the lateral direction represents the interface between the  
 340 overriding plate and subducting plate.

341 To investigate the details of the progressive weakening in the necking region, 5 diagnostics are  
 342 evaluated along the vertical slice in the middle of the overriding plate (5000 km away from both  
 343 side boundaries). This is where the necking belt develops in models with  $L_{OP}^0$  of 500 km. The  
 344 diagnostics are integrated along the vertical slice and then divided by the thickness of the plate  
 345 (Equation (13)),

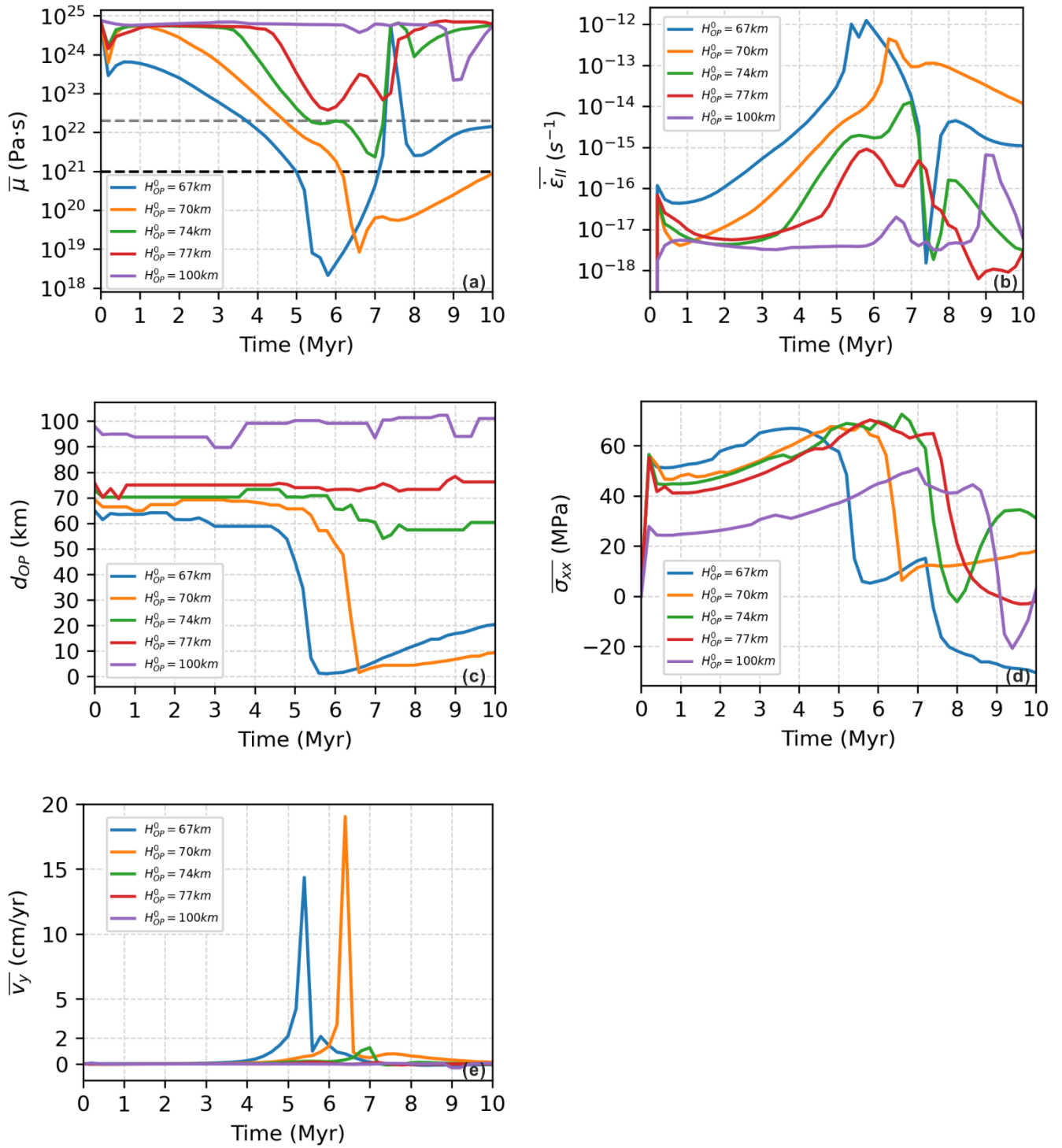
$$\bar{D} = \frac{1}{H_{OP}} \int_0^{H_{OP}} D \, dy, \quad (13)$$

346 in which  $D$  represent the diagnostic. The averaged results include magnitude of viscosity ( $\bar{\mu}$ ),  
 347 second invariant of strain rate ( $\bar{\dot{\epsilon}}_{II}$ ), lithosphere thickness ( $\bar{d}_{OP}$ ), horizontal stretching stress  
 348 component ( $\bar{\sigma}_{xx}$ ), vertical velocity component ( $\bar{v}_y$ ), and temperature ( $\bar{T}$ ).

349 Take the model ' $H_{OP}^0 = 67 \text{ km}$ ' for example (blue line), the evolution of the 6 diagnostics during dual  
 350 inward dipping subduction is analysed (Figure 7). There is gradual increase of  $\bar{\dot{\epsilon}}_{II}$  and  $\bar{\sigma}_{xx}$ , and  
 351 gradual decrease of  $\bar{\mu}$  during the simulation between 1 Myr to 4 Myr. While  $\bar{d}_{OP}$ ,  $\bar{T}$  and  $\bar{v}_y$   
 352 remains nearly constant. From 4 Myr to 5 Myr,  $\bar{\dot{\epsilon}}_{II}$  and  $\bar{\mu}$  keep a similar slope trend as before. But  
 353  $\bar{\sigma}_{xx}$  stops increasing and starts to decrease gently.  $\bar{v}_y$  starts to increase and  $\bar{d}_{OP}$  starts to  
 354 decrease, while  $\bar{T}$  experiences little change. During the rifting and spreading extension between  
 355 5 Myr to 6 Myr, all diagnostics are varying more rapidly, with  $\bar{\mu}$ ,  $\bar{d}_{OP}$  and  $\bar{\sigma}_{xx}$  dropping and  $\bar{\dot{\epsilon}}_{II}$ ,  $\bar{v}_y$   
 356 and  $\bar{T}$  climbing steeply. Afterwards, the weakening process is replaced by a strengthening process  
 357 where  $\bar{\mu}$  and  $\bar{d}_{OP}$  both increase while  $\bar{\dot{\epsilon}}_{II}$  and  $\bar{v}_y$  decrease gradually.

358 As the thickness of the overriding plate increases from 67 km to 100 km, the magnitude of viscosity  
 359 drop in the necking area decreases. In detail, the plotting (Figure 7, a, grey dashed line) shows that

360 if  $\bar{\mu}$  in the necking area of the overriding plate is above  $\sim 2 \times 10^{22} Pa \cdot s$ , there is no lithospheric  
361 thinning in the necking region (Figure 7, c, purple and red lines). In the timesteps when  $\bar{\mu}$  is in the  
362 range of  $10^{21} - 2 \times 10^{22} Pa \cdot s$ , thinning starts to build up, but it's not weak enough to have rifting  
363 extension (Figure 7, c, green line). Only when the  $\bar{\mu}$  drops below  $10^{21} Pa \cdot s$  does significant  
364 thinning develop within the overriding plate (Figure 7, c, blue and orange lines). The results of  $\bar{\mu}$   
365 confirms that the iso-viscous contour  $10^{21} Pa \cdot s$  can be used to predict if rifting or spreading  
366 extension develops during the dual inward dipping subduction. It also reveals a more precise  
367 maximum viscosity below which the thinning of lithosphere develops.



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370

371

Figure 7. Temporal evolution of averaged diagnostics along the vertical slice in the middle of the overriding plate, (a) viscosity ( $\bar{\mu}$ ), (b) second invariant of strain rate ( $\bar{\epsilon}_{II}$ ), (c) lithosphere thickness ( $\bar{d}_{OP}$ ), (d) horizontal stretching stress component ( $\bar{\sigma}_{xx}$ ) and (e) vertical velocity component ( $\bar{v}_y$ ). Positive value of  $\bar{v}_y$  represent upward motion.

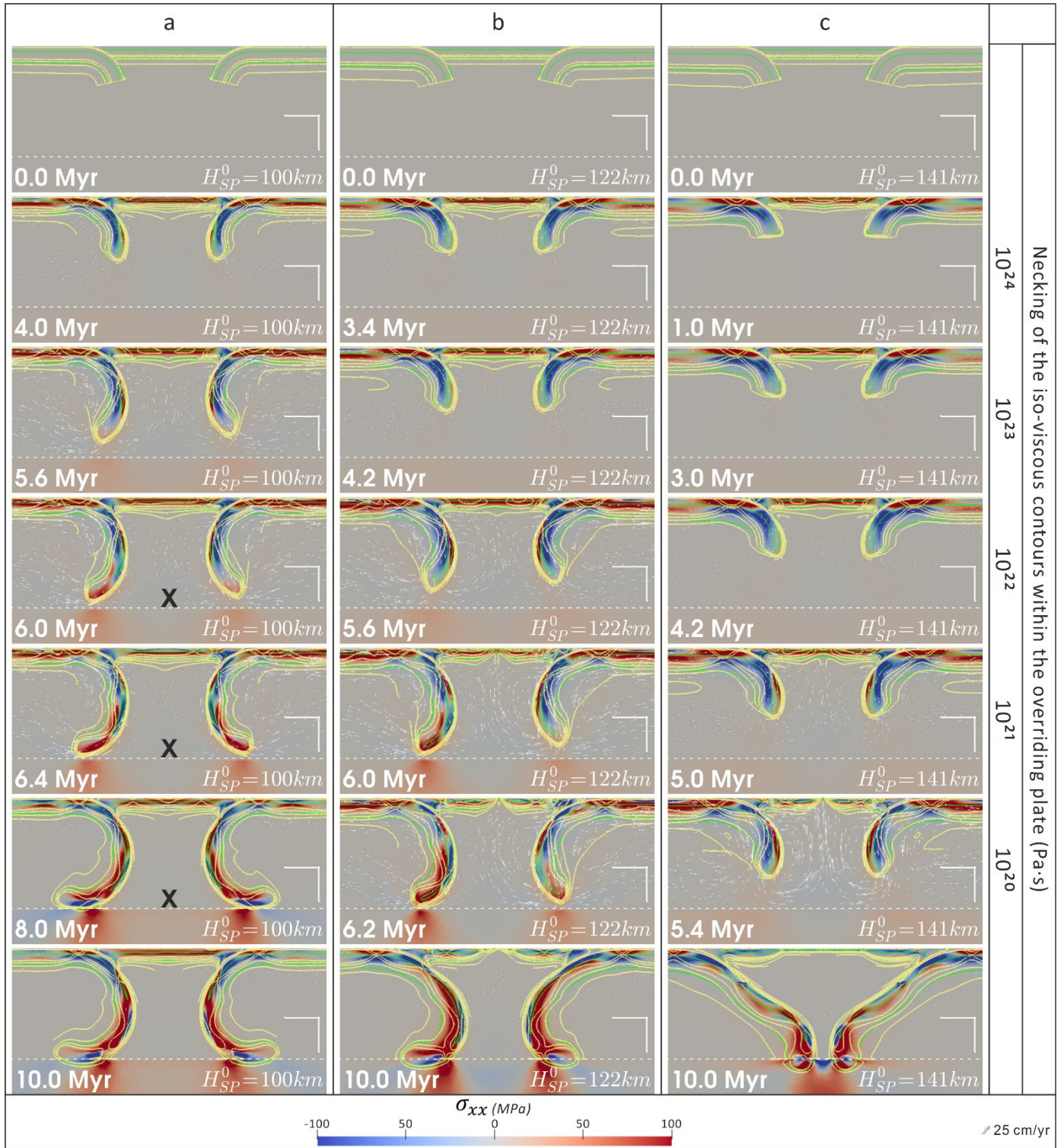
372

### 3.4 Thickness of the subducting plate

373

The third series of models investigate increasing the initial thermal thickness (again as defined by

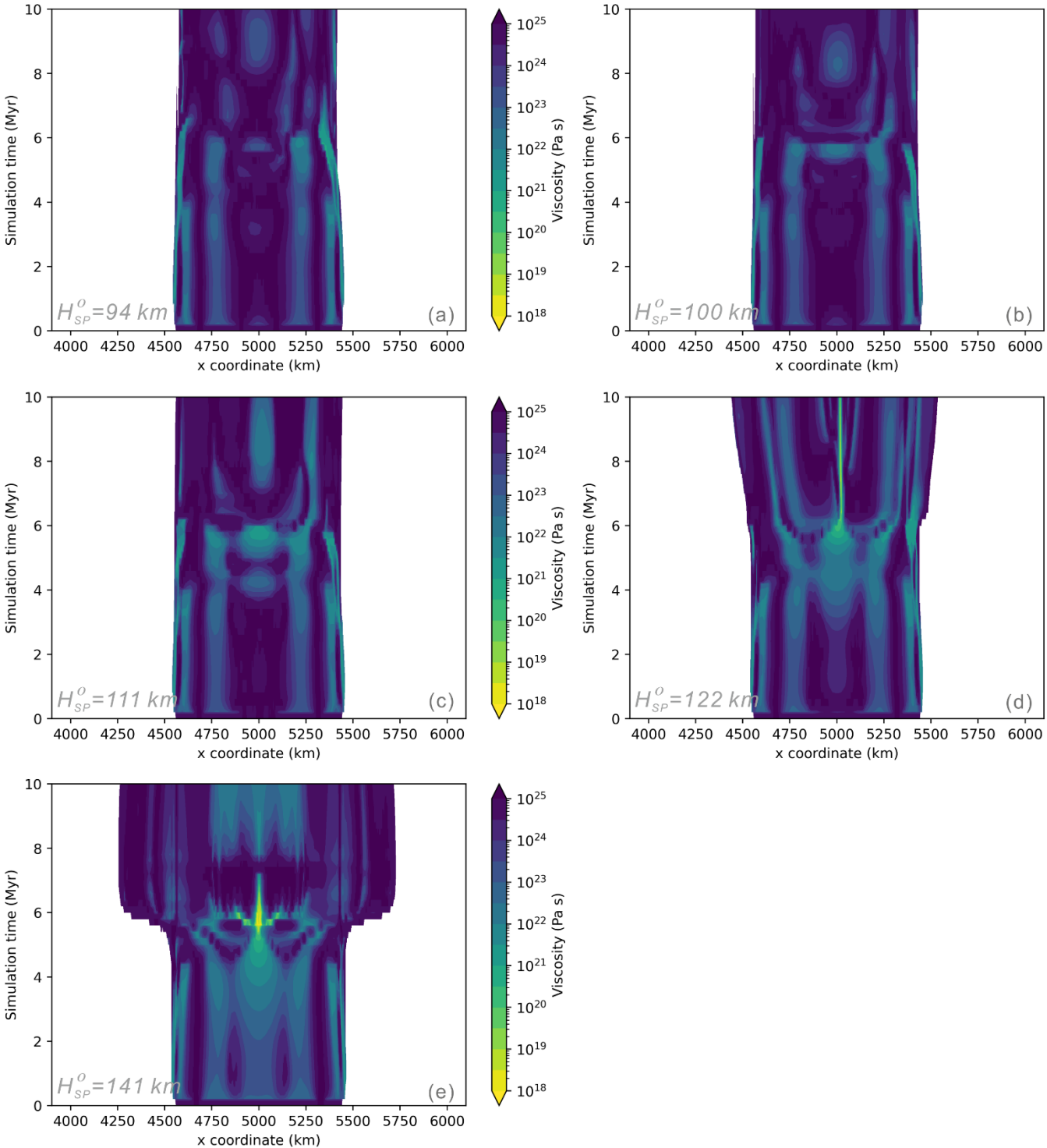
374 the 1300 K contour) of the subducting plate ( $H_{SP}^0$ ) from 94 km to 141 km (Figure 8), while keeping  
375 the overriding plate's thickness ( $H_{OP}^0$ ) and the length of the overriding plate ( $L_{OP}^0$ ) constant. As  $H_{SP}^0$   
376 increases, the maximum weakening level developed within the overriding plate increases from 'I'  
377 ( $H_{SP}^0 = 94 \text{ km}$ ) to 'III' ( $H_{SP}^0 = 122 \text{ km}$ ) and 'IV' ( $H_{SP}^0 = 141 \text{ km}$ ). The time it takes to lower each order  
378 of viscosity magnitude decreases, indicating a faster progressive weakening.



379  
 380 Figure 8. Progressive weakening of the overriding plate during dual inward dipping subduction with increasing age of the subducting  
 381 plate. (a) Model ' $H_{SP}^0 = 100 \text{ km}$ '. (b) Model ' $H_{SP}^0 = 122 \text{ km}$ '. (c) Model ' $H_{SP}^0 = 141 \text{ km}$ '. A detailed explanation of the contours and  
 382 symbols could be found in the caption of Figure 2.

383 Besides, the total extension increases from  $\sim 0 \text{ km}$  ( $H_{SP}^0 \leq 111 \text{ km}$ , Figure 9, a-c) to  $\sim 200 \text{ km}$  ( $H_{SP}^0 =$   
 384  $122 \text{ km}$ , Figure 9, d) and ultimately to  $\sim 600 \text{ km}$  ( $H_{SP}^0 = 141 \text{ km}$ , Figure 9, e). The maximum viscosity  
 385 reduction in both the primary and secondary necking regions increases as  $H_{SP}^0$  increases, while

386 the lateral distance away from the trench of necking regions are equal, showing little correlation  
 387 with  $H_{SP}^0$ .



388  
 389 Figure 9. Temporal evolution of viscosity along a horizontal line at the depth of 5km in the overriding plate. (a) Model ' $H_{SP}^0 = 94 \text{ km}$ '.  
 390 (b) Model ' $H_{SP}^0 = 100 \text{ km}$ '. (c) Model ' $H_{SP}^0 = 111 \text{ km}$ '. (d) Model ' $H_{SP}^0 = 122 \text{ km}$ '. (e) Model ' $H_{SP}^0 = 141 \text{ km}$ '. Both models have the  
 391 same  $H_{OP}^0$  (67 km) and  $L_{OP}^0$  (500 km). The edge of the contour filling in the lateral direction represents the interface between the  
 392 overriding plate and subducting plate.

### 393 3.5 Regime of stretching state

394 A variety of deformation patterns and stretching state within the overriding plate have been  
 395 observed when varying  $L_{OP}^0$ ,  $H_{OP}^0$  and  $H_{SP}^0$ . Several diagnostics are reported together to quantify  
 396 the deformation developed within the overriding plate during the 10 Myr simulation (Table 3). The  
 397 detail of each diagnostic is described as follows.

398 Table 3. Summary of diagnostics for all models. For further description of the diagnostics please see the main text.

Model name	weakeni ng level	$t_{\text{rift}}$ (Myr)	$t_{660}$ (Myr)	$l_{n2n}$ (km)	total strain
$H_{SP}^0 = 94 \text{ km}$	I	-	6.6	0	1%
$H_{SP}^0 = 100 \text{ km}$	III	-	6.4	0	2%
$H_{SP}^0 = 111 \text{ km}$	III	-	6.4	0	21%
$H_{SP}^0 = 122 \text{ km}$	IV	6.2	6.4	0	110%
$H_{SP}^0 = 141 \text{ km}$	IV	5.4	6.0	0	2800%
$H_{OP}^0 = 67 \text{ km}$	IV	5.4	6.0	0	2800%
$H_{OP}^0 = 70 \text{ km}$	IV	6.4	6.6	0	1300%
$H_{OP}^0 = 74 \text{ km}$	III	-	7.2	0	30%
$H_{OP}^0 = 77 \text{ km}$	II	-	7.6	0	4%
$H_{OP}^0 = 100 \text{ km}$	I	-	8.8	0	1%
$L_{OP}^0 = 500 \text{ km}$	IV	5.4	6.0	0	2800%
$L_{OP}^0 = 600 \text{ km}$	IV	5.4	6.0	0	690%
$L_{OP}^0 = 700 \text{ km}$	IV	5.6	6.0	130	630%
$L_{OP}^0 = 800 \text{ km}$	IV	5.8	6.0	231	14% <sup>a</sup>
$L_{OP}^0 = 1000 \text{ km}$	III	-	6.0	282	15%
$L_{OP}^0 = 1200 \text{ km}$	III	-	5.8	621	11%
$L_{OP}^0 = 1600 \text{ km}$	II	-	5.4	937	4%

399 <sup>a</sup> The total strain listed here is calculated along the middle vertical slice (5000 km away from side boundaries). For models  $L_{OP}^0 \geq$   
 400 800 km, the necking zones are away from this middle vertical slice. So, the total strain could be underestimated for these models.  
 401 Considering that only model ' $L_{OP}^0 = 800 \text{ km}$ ' achieved weakening level 'IV', the corrected total strain along its necking zone is ~600%.  
 402 While the underestimation for other models is moderate and will not change the conclusion of this research.

403 As introduced in section 3.1.1, weakening levels 'I', 'II', 'III', 'IV' are determined by the minimum  
 404 viscosity contour which is necked in the overriding plate during subduction. The higher the  
 405 weakening level, the stronger the localised rheology modification observed within the overriding  
 406 plate. All three groups of dual inward dipping subduction models manage to yield a variety of



407 weakening levels in the overriding plate (Figure 10, a-c).

408  $t_{\text{rift}}$  indicates the timestep when the overriding plate develops rifting extension (weakening level  
409 'IV'), and a void value means that model fails to generate rifting extension within the overriding  
410 plate. It shows that only models achieving weakening level 'IV' develop rifting extension.  $t_{\text{rift}}$   
411 increases with thicker or longer overriding plate, and decreases with thicker subducting plate.

412  $t_{660}$  equals how much time the subducting plate (defined by its 1300 K isotherm) takes to sink to  
413 the depth of 660km. It is most sensitive to the variation of  $H_{OP}^0$ , while varying  $L_{OP}^0$  and  $H_{SP}^0$   
414 generates less than ~1 Myr difference of  $t_{660}$  compared with a ~3 Myr difference when modifying  
415  $H_{OP}^0$ .

416  $l_{n2n}$  is the horizontal distance between necking centres which may develop rifting extension during  
417 dual inward dipping subduction, i.e., secondary necking regions are excluded. The value of  $l_{n2n}$  is  
418 0 km if there is only one necking centre within the overriding plate.  $l_{n2n}$  starts to increase with  $L_{OP}^0$   
419 when  $L_{OP}^0$  is greater than ~700 km.  $l_{n2n}$  in Table 3 is recorded at the timestep of 4.4 Myr. It should  
420 be noted that,  $l_{n2n}$  may vary with time and the difference is at most ~250 km (Figure 4).

421 Total strain is calculated by integrating the average strain rate ( $\overline{\dot{\epsilon}_{II}}$  based on Equation (13)) with  
422 time throughout the 10 Myr simulation. All three groups of models generate a variety of total strain  
423 at the end of the simulation (Figure 10, d-f). For all models that develop rifting extension, the total  
424 strain is greater than 100%. Total strain in the range of 5% to 100% is observed from limited thinning  
425 up to significant extension. For models where the total strain is less than 5%, the weakening  
426 deformation is hardly observable in the overriding plate.

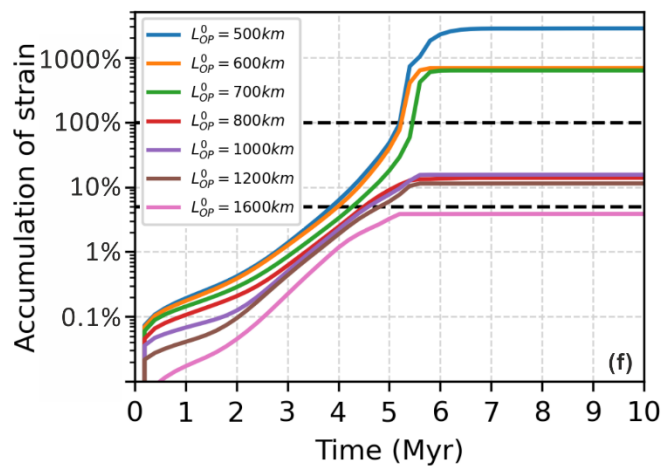
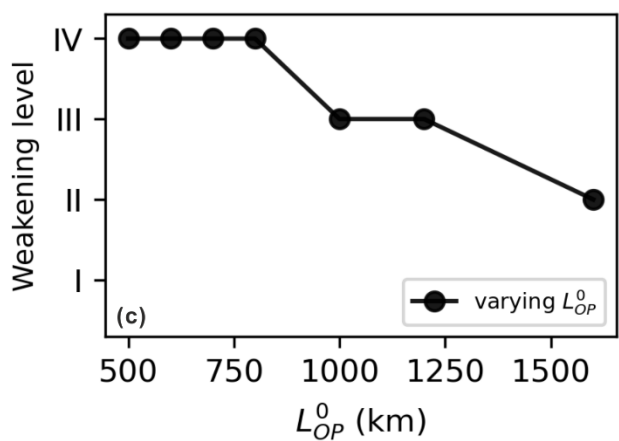
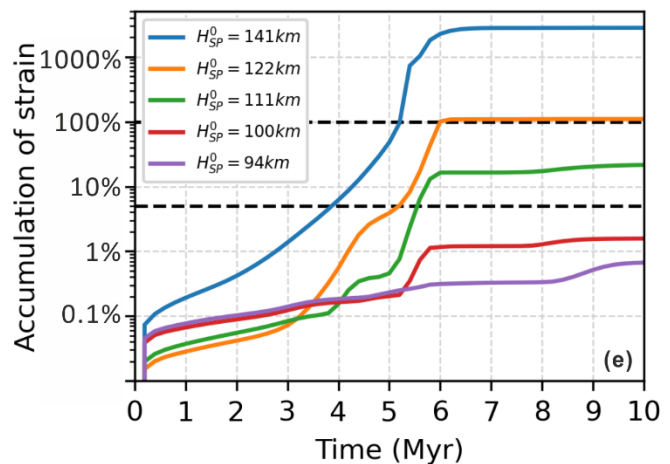
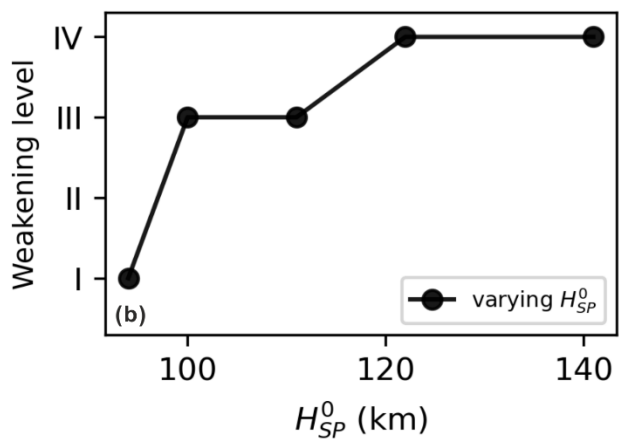
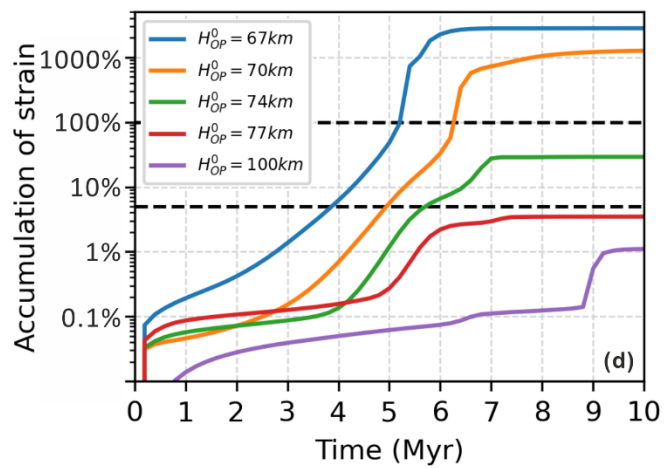
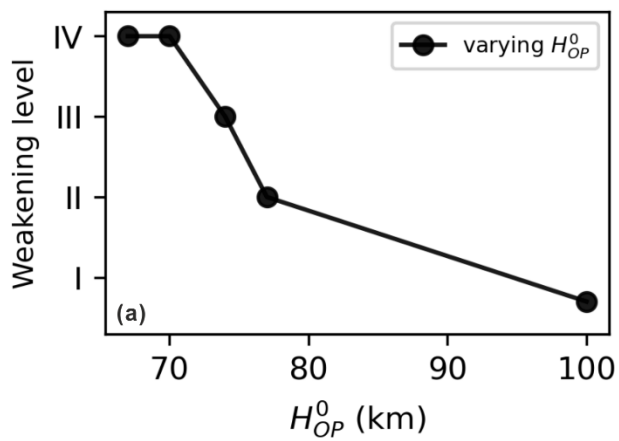


Figure 10. Key diagnostics used to characterise the rheology modification within the overriding plate. (a-c) Weakening level developed within the overriding plate. (d-f) Accumulation of strain in the middle of the overriding plate (5000 km away from the side boundaries).

By combining all the qualitative and quantitative diagnostics presented in these results, we classify three stretching states: 1) little or no lithosphere thinning and extension, discriminated by low weakening level up to level 'II', little total strain up to 5% and almost no thermal lithosphere thinning

434 in the necking area; 2) limited lithosphere thinning and extension, identified by medium weakening  
435 level up to level 'III', medium total strain up to 30%, and limited thermal lithosphere thinning, e.g.,  
436 ~15 km thinning for model ' $H_{OP}^0 = 74 \text{ km}$ ' (Figure 7, c); 3) rifting and spreading extension,  
437 characterised by high weakening level up to level 'IV', high total strain over 100%, and total thinning  
438 of the thermal lithosphere during rifting extension.

## 439 **4. Discussion**

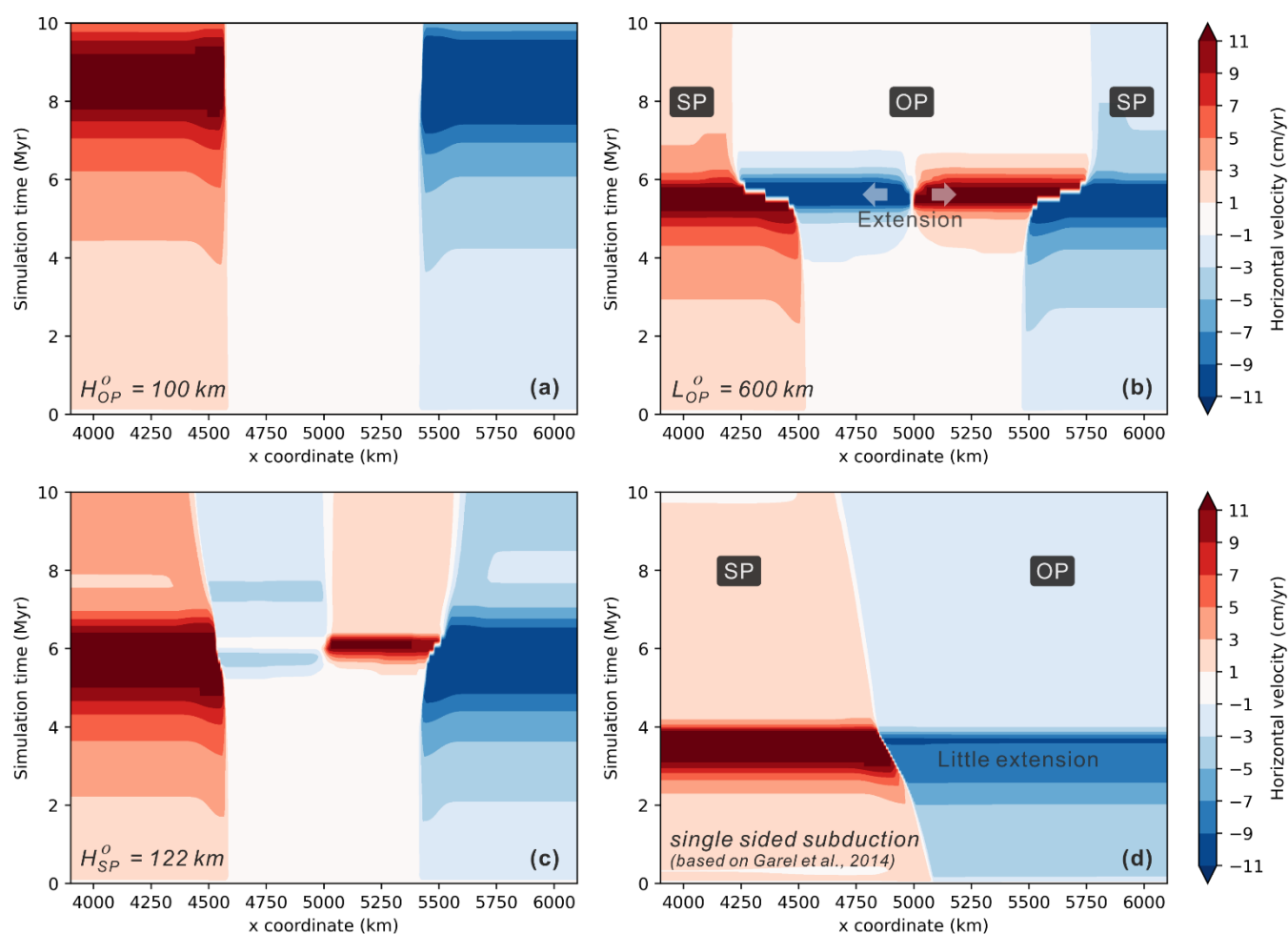
440 The results show that dual inward dipping subduction can induce progressive weakening within a  
441 uniform overriding plate. With appropriate conditions, tested in this research, e.g., thick enough  
442  $H_{SP}^0$ , thin enough  $H_{OP}^0$ , short enough  $L_{OP}^0$ , different levels of stretching state, ranging from no  
443 thinning nor extension to rifting and spreading extension, can develop within the homogeneous  
444 overriding plate. The role that dual inward dipping subduction plays during the progressive  
445 weakening and the origin of the softening process are worth discussion.

### 446 **4.1 The role dual inward dipping subduction plays**

#### 447 ***4.1.1 Creating fixed trailing boundary condition for the overriding plate***

448 Due to the symmetric model setup, subducting plates on both sides are prone to advance or retreat  
449 simultaneously. This creates roughly equal, symmetric and competing force from both ends of the  
450 overriding plate during subduction. As a result, the mobility of the overriding plate is inhibited, as  
451 indicated by the low velocity (<1 cm/yr, white contour) region within the overriding plate (Figure 11,  
452 a-c). It would be as if the mechanical boundary condition on the overriding plate was fixed. As the

453 overriding plate keeps weakening during dual inward dipping subduction, divergent velocity  
 454 difference can build up within the overriding plate, indicating initiation of extension (Figure 11, b-c).



455  
 456 Figure 11. Temporal evolution of horizontal velocity component along a lateral slice, x coordinate from 3900km to 6100 km, at the  
 457 depth of 20 km from the surface. (a) model ' $H_{OP}^0 = 100 \text{ km}$ ', (b) model ' $L_{OP}^0 = 600 \text{ km}$ ', (c) model ' $H_{SP}^0 = 122 \text{ km}$ ', (d) single sided  
 458 subduction with a mobile overriding plate referring to (b) model ' $L_{OP}^0 = 600 \text{ km}$ '. The contour filling represents the variation of  
 459 horizontal component of velocity vector throughout the 10 Myr simulation. Positive value means right-ward motion and negative  
 460 value is left-ward motion. The white area represents that the plate is nearly stagnant. And the edge of the white area marks the  
 461 interface between the subducting plate and overriding plate or rifting and spreading centre within the overriding plate. SP and OP  
 462 are short for subducting plate and overriding plate separately.

463 Previous studies on single-sided subduction cases have implied that the mobility of the overriding  
 464 plate plays an important role in producing extension, especially in the back-arc region of the  
 465 overriding plate. A mobile overriding plate can move as a whole to inhibit the build-up of deviatoric  
 466 stress within the plate (Capitanio et al., 2010; Chen et al., 2016; Garel et al., 2014; Holt et al., 2015;

467 Nakakuki and Mura, 2013), while the immobile overriding plate can facilitate strain localisation  
468 which accounts for the increased degree of deformation in the overriding plate compared with  
469 mobile plates (Capitanio et al., 2010; Chen et al., 2016; Erdős et al., 2021; Nakakuki and Mura,  
470 2013; Yang et al., 2019).

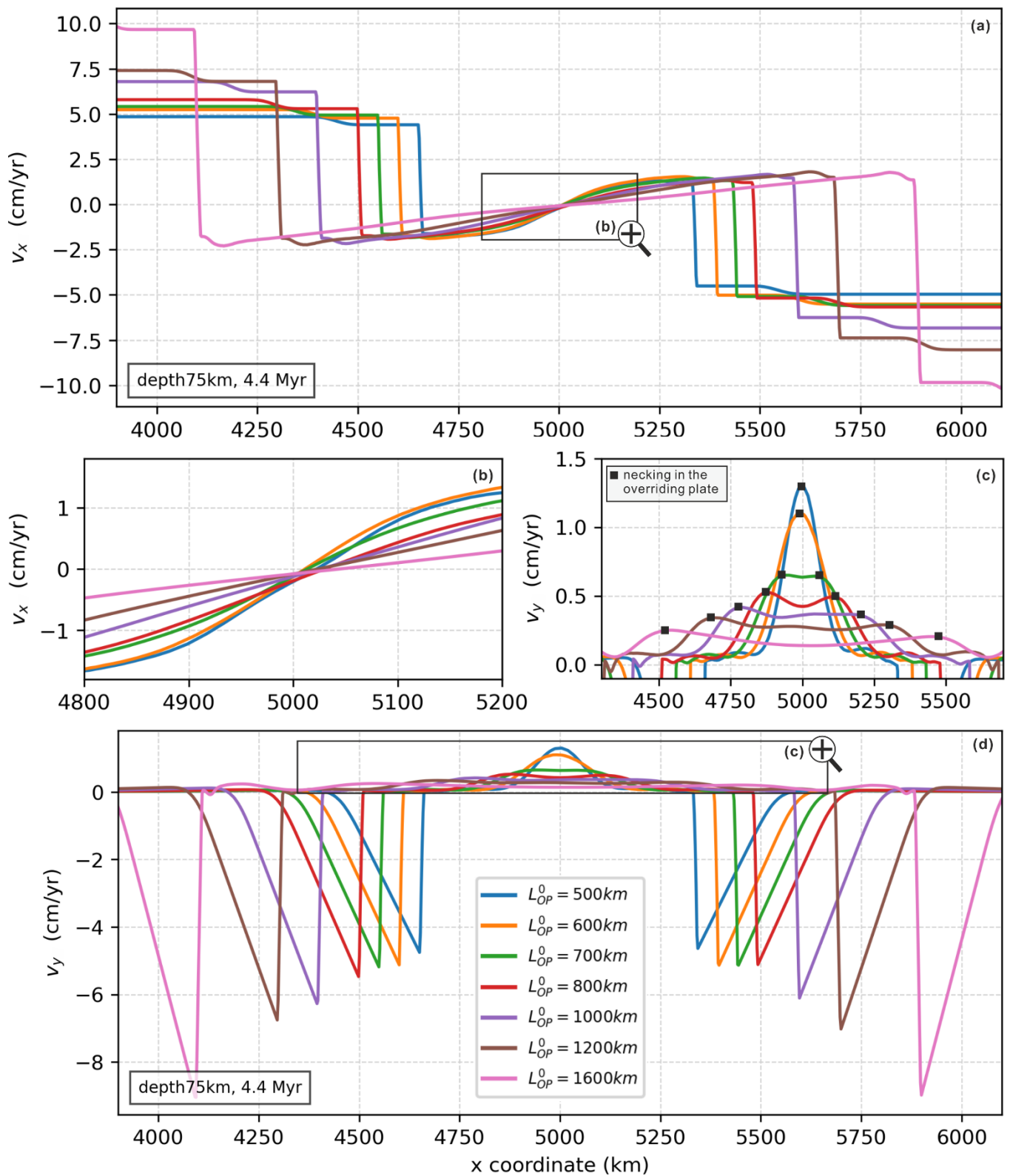
471 To investigate the role of fixed trailing boundary condition in promoting extension, we consider a  
472 single sided subduction (SSS) with a free and mobile overriding plate, based on previous single  
473 subduction research (Garel et al., 2014). The SSS has the same parameter with dual inward dipping  
474 subduction model ' $L_{OP}^0 = 600 \text{ km}$ ' in every aspect, e.g., rheology, initial subduction plate thickness  
475 (141 km) and initial overriding plate thickness (67 km) at the trench, except that there is only one  
476 subducting plate and the overriding plate holds a mobile side boundary. The results show that much  
477 less extension, evidenced by the magnitude of divergent velocity difference, is observed in the  
478 overriding plate of SSS model (Figure 11, d) relative to that in the model ' $L_{OP}^0 = 600 \text{ km}$ ' (Figure 11,  
479 b). Thus, the lack of mobility of the overriding plate plays a key role in promoting the degree of  
480 weakening during dual inward dipping subduction.

#### 481 **4.1.2 Stronger poloidal return flow**

482 Our results show that varying the size of the overriding plate can affect the degree of extension  
483 within the overriding plate. Previous research shows that subduction can induce poloidal mantle  
484 return flow, which has been suggested to account for extensional deformation within the overriding  
485 plate, e.g., back-arc extension, supercontinent breakup (Chen et al., 2016; Dal Zilio et al., 2018;  
486 Erdős et al., 2021; Gerardi and Ribe, 2018; Sleep and Toksöz, 1971). Previous research also  
487 implies that, a) increasing the thickness of the subducting plate ( $H_{SP}^0$ ) can increase the net negative

488 buoyancy thus leading to a stronger poloidal flow (Garel et al., 2014); b) lowering the thickness of  
489 the overriding plate ( $H_{OP}^0$ ) can not only increase the net negative buoyancy by increasing the  
490 hanging slab area in the upper mantle, but also reduces the dissipation during subduction along  
491 the interface between two plates (Erdős et al., 2021). These two mechanisms also come into play  
492 for dual inward dipping subduction models.

493 In addition, dual inward dipping subduction models can yield a third way to strengthen the return  
494 flow. This is by combining the two separate poloidal convection flows, one from each subducting  
495 plate, as the length of the overriding plate ( $L_{OP}^0$ ) shortens (Figure 3). This is shown for example by  
496 the velocity variation in both the horizontal ( $v_x$ ) and vertical ( $v_y$ ) direction at the depth of 75 km  
497 (Figure 12). For all dual inward dipping subduction models, the magnitude of  $v_x$  decreases  
498 gradually from  $\sim 2.5$  cm/yr in the mantle wedge corner to 0 cm/yr underlying the middle part ( $\sim 5000$   
499 km away from side boundaries) of the overriding plate (Figure 12, a). As  $L_{OP}^0$  changes, models with  
500 shorter overriding plate have greater  $v_x$  gradient along the lateral slice (Figure 12, b). The  
501 maximum magnitude of  $v_y$  increases from  $\sim 0.25$  cm/yr to  $\sim 1.3$  cm/yr, implying a faster upwelling  
502 flow, as the length of the overriding plate ( $L_{OP}^0$ ) shortens (Figure 12, c-d). It is also noted that the  
503 necking area developed within the overriding plate (e.g., Figure 3) lies right above the maximum  
504 upwelling component of the return flow (Figure 12, c). The observation indicates a spatial  
505 correlation between the stronger poloidal return flow and the progressive weakening in the  
506 overriding plate.



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Figure 12. Velocity variation along a slice at the depth of 75 km, which is  $\sim 8$  km below the 1300 K isotherm of the overriding plate, after 4.4 Myr simulation. (a, d) Horizontal and vertical component of velocity along the slice. (b) and (c) are zoom-in of (a) and (d) under the middle part of the overriding plate ( $5000 \pm 200$  km and  $5000 \pm 650$  km away from the side boundaries respectively). Positive value means rightward or upward motion, and negative value represents leftward or downward motion. In (c), the horizontal coordinate of the necked region in the overriding plate is plotted as black square to visualize its spatial correlation with upwelling component of mantle wedge flow. All plots share the same legend listed in (d).

514 Previous research on subduction-induced continental breakup implies that spreading extension  
515 develops above where the upwelling mantle flow diverges, flow which creates the highest shear  
516 stress gradient at the bottom of the overriding plate (Dal Zilio et al., 2018). This does not fully agree  
517 with our simulation results with varying  $L_{OP}^0$ . The divergent return flow is defined as the place where  
518  $v_x$  changes direction. It always lies under the middle part (~5000 km away from the side boundaries)  
519 of the overriding plate for all models (Figure 12, a). However, the weakening area does not always  
520 develop in the middle of the overriding plate, e.g., when  $L_{OP}^0 \geq 800$  km. Instead, it correlates better  
521 in space with the highest upwelling mantle flow velocity (Figure 12, c). The lateral distance from the  
522 highest upwelling component to the nearest trench is measured (Figure 12, d), and it is noted that  
523 the distance remains relatively constant at ~370 km for all models at 4.4 Myr. This suggests that  
524 the wavelength of the slab induced mantle wedge flow is not related with varying  $L_{OP}^0$ .

525 An interesting observation is that the subducting slab's sinking velocity increases ( $t_{660}$  decreases)  
526 with longer  $L_{OP}^0$  (Table 3), while the poloidal mantle flow gets weaker and the maximum weakening  
527 level in the overriding plate decreases. From the perspective of energy conservation, all the  
528 dynamic processes, e.g., plate motion, mantle convection, internal deformation etc., originates from  
529 the potential energy of the subducting slabs. This implies that more of the potential energy transfers  
530 into kinetic energy of the subducting plates instead of being consumed as dissipation energy in the  
531 overriding plates, as  $L_{OP}^0$  increases.

## 532 **4.2 Overriding plate weakening mechanism**

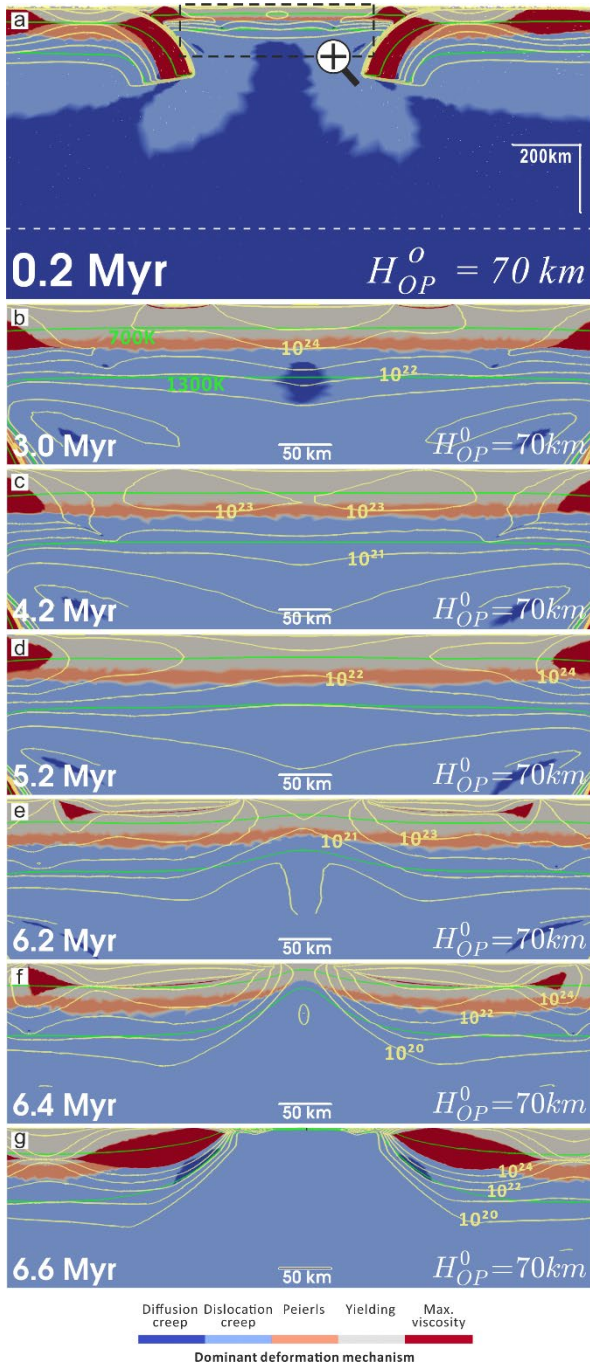
533 As introduced in the methods, we applied composite rheology which incorporates four deformation  
534 mechanisms everywhere in the simulation domain. Here, the dominant deformation mechanism



535 (DDM) is defined as the rheology law that yields the minimum magnitude of viscosity at a certain  
536 point. We try to understand the temporal and spatial evolution of the DDM within the overriding  
537 plate, especially in the region where strain localisation takes place. Then we evaluate the  
538 contribution of each deformation mechanism in promoting strain localisation within the overriding  
539 plate.

#### 540 **4.2.1 Dominant deformation mechanism analysis**

541 The reference model ' $L_{OP}^0 = 1200 \text{ km}$ ' with limited extension has shown that the DDM is stratified  
542 with yielding, Peierls creep and dislocation creep as the depth increases within the overriding plate  
543 (Figure 2, b). Here, we further investigate how the DDM evolves in models that develop rifting and  
544 spreading extension within the overriding plate, e.g., model ' $H_{OP}^0 = 70 \text{ km}$ '. Therein, the temporal  
545 phases show that the DDM is also spatially layered (Figure 13), with yielding initially dominating  
546 from the surface to the depth of  $\sim 35 \text{ km}$ , underlain by Peierls creep dominating for the next  $\sim 10 \text{ km}$   
547 and then dislocation creep dominating for  $\sim 25 \text{ km}$  (Figure 13, b-d). Among all the DDM at different  
548 depths throughout the simulation, yielding is always the thickest and dislocation creep comes as  
549 the second. To be noted, the DDM of diffusion creep with limited area is observed around the bottom  
550 of the overriding plate during the initial plate weakening (Figure 13, b), and it is completely replaced  
551 by dislocation creep after 3.6 Myr. During the thinning process of the overriding plate, the  
552 deformation mechanism of Peierls creep gives way to yielding and dislocation creep as DDM  
553 (Figure 13, d-g). The replacement and interplay among different DDM will be discussed in the next  
554 subsection.



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Figure 13. Temporal evolution of the dominant deformation mechanism within the overriding plate in model  $H_{OP}^0 = 70 \text{ km}$ . The dashed zoom-in block in (a) shows the location of screenshots in (b-g). The progressive weakening process within the overriding plate is demonstrated by the necking of the iso-viscous contours. The 5 groups of yellow contours encompassing the plates in each screenshot are iso-viscous contours of  $10^{20}, 10^{21}, 10^{22}, 10^{23}, 10^{24} \text{ Pa} \cdot \text{s}$  from outward to inward. The two sets of green solid lines are 700 K and 1300 K isotherm contours to image the geometry of the thermal plate. The bottom left corner caption shows the elapsed simulation time and bottom right corner is the name of the model.

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While we do not implement a multi-material approach to define the rheology of different layers in the lithosphere, the uniform compositional rheology law self-consistently generates the layered structure in Figure 13. In detail, yielding only dominates over other creep mechanisms in the cold

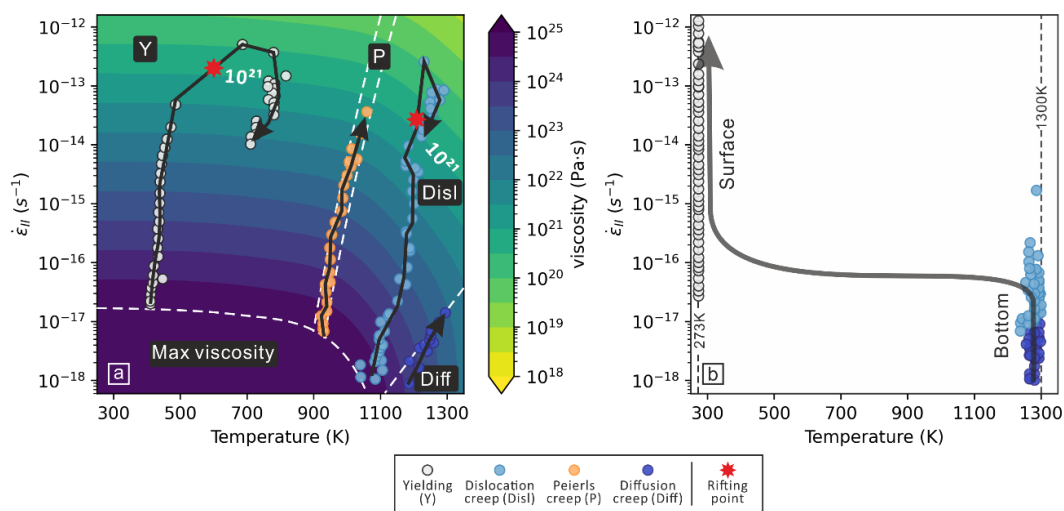
565 regions, corresponding to the crustal depth range. While dislocation and diffusion creep dominate  
566 over yielding in the hot bottom region, equivalent to the depth range of mantle lithosphere. The  
567 continuous necking process shows that the viscosity reduction initiates from the surface (yielding)  
568 and the bottom of the plate (dislocation creep). Then the viscosity contour necks in the middle depth  
569 of the plate as seen in Figure 13, (b-e). This suggests that yielding and dislocation creep play the  
570 dominant role in promoting the continuous weakening of the overriding plate.

#### 571 **4.2.2 Weakening contribution analysis**

572 The previous section has shown that the DDM may vary at different depth range within the  
573 overriding plate. To evaluate the contribution of each DDM to inducing rifting and spreading  
574 extension for each timestep, we slice the overriding plate vertically through its middle where the  
575 most intensive necking takes place. Then we group the points along the midline by the type of DDM.  
576 Two kinds of calculation are conducted. 1) For the points with the same DDM, we calculate at each  
577 timestep the arithmetic average of the strain rate and temperature state which can be used to  
578 compute the viscosity through Equation (8). 2) We compute the minimum viscosity among all points  
579 along the midline, and define the DDM that yields the minimum viscosity as the Minimum Viscosity  
580 Dominant Deformation Mechanism (MVDDM). To be clear, the DDM is calculated at each point  
581 independent of other points, while the MVDDM is calculated using all relevant points along the  
582 midline.

583 One diagnostic to evaluate the contribution of deformation mechanisms to plate weakening is to  
584 quantify how much (order of) viscosity reduction each DDM achieves. For model ' $H_{OP}^0 = 70 \text{ km}$ ',  
585 both yielding and dislocation creep reduces the viscosity to lower than  $10^{21} \text{ Pa} \cdot \text{s}$  (Figure 14, a),

586 which is the critical magnitude to initiate rifting and spreading extension (Figure 7, a). While Peierls  
 587 creep can reduce viscosity to the range of  $10^{21}$ - $10^{22}$  Pa·s, which can enable limited thinning but  
 588 it fails to induce rifting extension. Diffusion creep induces the least viscosity reduction to  
 589  $\sim 5 \times 10^{22}$  Pa·s, which suggests that it only softens the plate for further deformation through limited  
 590 viscosity reduction. For models that do not develop rifting or spreading extension, the temporal  
 591 paths of the DDM are similar with model ' $H_{OP}^0 = 70$  km' except that the minimum viscosity is never  
 592 less than  $10^{21}$  Pa·s. Another diagnostic to evaluate the contribution of deformation mechanisms  
 593 to plate weakening is how long it stays active. We note that yielding and dislocation creep are two  
 594 types of DDM that are active throughout the simulation (Figure 14, a), while diffusion creep and  
 595 Peierls creep disappears as DDM along the midline after 3.6 Myr and 6.4 Myr separately (Figure  
 596 13, b, f).



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 598 Figure 14. Scatter plots of the dominant deformation mechanism along the midline of the overriding plate (5000 km away from both  
 599 side boundaries), i.e., the main necking region. (a) The temporal path of each dominant deformation mechanism (DDM) is plotted  
 600 on a phase diagram, where the magnitude of viscosity is calculated based on Equation (7). The phase diagram is divided by the  
 601 white dashed lines into four domains based on the calculation of which component deformation mechanism yields the minimum  
 602 viscosity at the given strain rate, temperature and depth. The fifth domain marks the maximum viscosity. To be noted, the depth  
 603 used to create the viscosity contour is 50 km, which may not reflect the complete temporal path but it helps to demonstrate how  
 604 viscosity will evolve. The scatter points are taken from the model ' $H_{OP}^0 = 70$  km', and each point is calculated by averaging the strain  
 605 rate and temperature state at each timestep for the portion of the midline that holds the same dominant deformation mechanism. (b)  
 606 The evolution of the dominant deformation mechanism that yields the minimum viscosity (MVDDM) throughout the midline of the  
 607 overriding plate. Scatter points are taken from 5 models with varying thickness of the overriding plate ( $H_{OP}^0$ ).

608 To be noted, we observe an accelerating viscosity reduction in the range of  $10^{20}$ - $10^{22}$   $Pa \cdot s$  for  
609 the DDM of yielding and dislocation creep (Figure 14, a). That is when plate thinning, rifting and  
610 spreading extension take place. The accelerating viscosity reduction suggests that the overriding  
611 plate falls into positive feedback weakening loops as strain localises in the necking region. Such  
612 self-strengthening weakening feedback loop when necking develops into a rifting centre is also  
613 reported in previous research using power-law viscous creeping flow law with an exponent  $> 1$   
614 (Wenker and Beaumont, 2018). As in the case of uniaxial stretching, the plate strength is  
615 proportional to  $\bar{\mu} \times H_{OP}$  (Ribe, 2001), both of which in our models are reducing during the plate  
616 thinning process. Since the plate strength measures the very resistance to the underlying mantle  
617 flow, the reduction of viscosity and plate thickness will incur further plate weakening. The  
618 continuous plate strength reduction during dual inward dipping subduction may end up with the  
619 formation of new plate boundaries.

620 The location of the weakest point (with the least viscosity) along the midline migrates from the  
621 bottom of the overriding plate to the surface as dual inward dipping subduction proceeds (Figure  
622 14, b). Correspondingly, the MVDDM changed from diffusion creep and dislocation creep at the  
623 bottom of the plate to yielding at the surface. Such a transition is observed no matter whether only  
624 rifting or full spreading extension develops within the overriding plate. The result indicates that the  
625 transition is enabled as long as the strain rate can keep increasing during subduction (Figure 14,  
626 b). Though, only a high enough strain rate ( $\sim 10^{-13} s^{-1}$ ) can lower the viscosity ( $\sim 10^{21} Pa \cdot s$ )  
627 sufficiently through yielding and dislocation creep to induce rifting and spreading extension (Figure  
628 14, a).

629 While the rheology law (Equation (8-9)) of the four deformation mechanisms shows that the  
630 magnitude of viscosity is dependent on evolving temperature, strain rate, and lithostatic pressure,  
631 the diagram (Figure 14, a) indicates that the viscosity reduction is mainly driven by the ever-  
632 increasing strain rate relative to the much gentler impact of increasing thermal gradient and  
633 decreasing lithostatic pressure due to plate thinning. The dominant role of strain rate-induced  
634 weakening over thermal weakening is also reported in the interaction between upwelling plumes  
635 and overlying lithosphere (Burov and Guillou-Frottier, 2005). That is to say, the rheology and  
636 buoyancy parameters will be more important than the heat conduction parameters in producing  
637 different levels of rheology weakening within the overlying plate. The continuously growing strain  
638 rate can also explain the replacement of diffusion creep by dislocation creep as the DDM at the  
639 bottom of the overriding plate. While the replacement of Peierls creep by yielding or dislocation  
640 creep as DDM during the plate thinning process is likely due to both increasing strain rate and  
641 temperature at the intermediate depth. In addition, the strain rate induced weakening is also a  
642 precondition to initiate thermal weakening, lithosphere thinning, strain localisation and formation of  
643 new plate boundaries (eg. Fuchs and Becker, 2021, 2019; Gueydan et al., 2014).

#### 644 **4.3 Limitations**

645 The major contribution of this work is incorporating a composite rheology which depends on multiple  
646 parameters, e.g., temperature, strain rate etc., for the dual inward dipping subduction models.  
647 However, previous research indicates that viscosity can also be affected by hydrous fluids, partial  
648 melting, and grain size of minerals in subduction zones (Bercovici et al., 2015; Braun et al., 1999;  
649 England and Katz, 2010; Montési and Hirth, 2003). In particular, grain size reduction is likely to take

650 place when strain builds up and it may make diffusion creep become the dominant deformation  
651 mechanism, overtaking dislocation creep, in the mantle lithosphere (Gueydan et al., 2014; Ruh et  
652 al., 2022). Taking all these parameters into consideration is likely to strengthen the feedback  
653 weakening process within the overriding plate during dual inward dipping subduction, while at the  
654 cost of making the computation much more expensive (Foley, 2018).

655 Subduction can generate convective mantle flow that includes both poloidal and toroidal  
656 components. The 2D models tested here neglect the effects of toroidal flow and the third dimension.  
657 This could amplify the magnitude of poloidal flow and its weakening effect applied within the  
658 overriding plate. Considering that poloidal component dominates over toroidal component when  
659 slab subducts through the upper mantle (Funiciello et al., 2004), and it is the poloidal cell that  
660 provides the relevant traction driving the deformation within the overriding plate (Király et al., 2017;  
661 Schellart and Moresi, 2013), the lack of toroidal flow would only have limited impact on the  
662 progressive weakening presented.

663 Modern plate tectonic framework only provides limited examples of dual inward dipping subduction  
664 (see examples listed in introduction). Even though it is becoming more evident that dual inward  
665 dipping subduction also exists throughout plate tectonic history, the poor constraints on the state  
666 of paleo subduction zone systems, e.g., the thickness of the subducting and overriding plate, the  
667 distance between the trenches etc., makes an accurate and precise comparison with real-world or  
668 extinct dual inward dipping subduction zone very tricky. This research is designed as generic  
669 modelling rather than specific modelling. That is to say, the research does not try to match the  
670 results with any specific dual inward dipping subduction zone. Instead, it is designed to test the

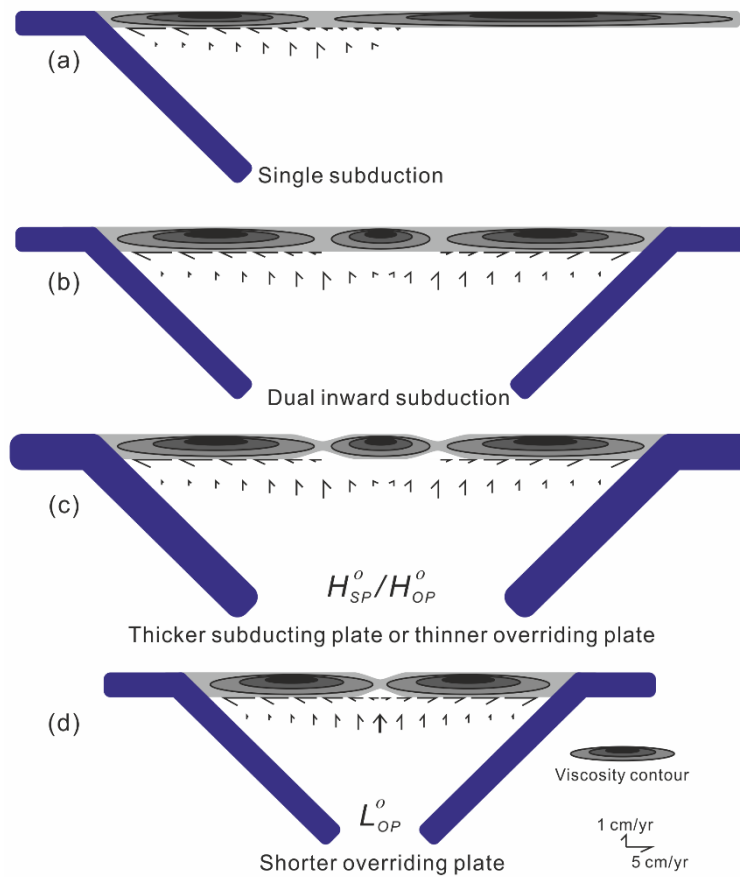
671 weakening potential dual inward dipping subduction can induce in the overriding plate under  
672 different model configuration.

673 Bearing all the limits in mind, we cautiously compare our model predictions with observations in the  
674 Caribbean Sea plate, which has experienced dual inward dipping subduction since at least ~60 Ma  
675 (Boschman et al., 2014; Braszus et al., 2021), with the Farallon plate (subsequently, Cocos and  
676 Nazca plates) subducting at the Central America Trench in the west and Proto-Caribbean plate  
677 (followed by Atlantic plate) subducting at the Lesser Antilles Trench in the east. One interesting  
678 observation from plate reconstruction is that the distance between the two trenches seems to have  
679 increased since the establishment of the dual inward dipping subduction (Barrera-Lopez et al., 2022;  
680 Boschman et al., 2014; Braszus et al., 2021; Romito and Mann, 2021), suggesting that the  
681 Caribbean plate has undergone extension. The extension includes the formation of multiple basins  
682 throughout the Caribbean Sea plate, e.g., Tobago Basin, Grenada Basin, Venezuela Basin and  
683 Colombia Basin since ~60 Ma (Allen et al., 2019; Braszus et al., 2021; Romito and Mann, 2021).  
684 The effect of the fixed boundary condition may play a role in promoting the extension, which may  
685 originate from the development of the Caribbean Large Igneous Province (Pindell et al., 2006), and  
686 multiple periods of back-arc extension as the Lesser Antilles Trench continuously retreats (Steel  
687 and Davison, 2021). We note that there is uncertainty in plate reconstructions and limited evidence  
688 on the timing of extension. Therefore, we must consider this comparison as somewhat speculative.  
689 Further the two-dimensional nature of the models might not be a good representation of the  
690 dynamics on the eastern side of the Caribbean plate with its narrow subduction zone.

#### 691 **4.4 Synoptic summary**



692 The thermo-mechanical modelling here provides a generic understanding of the progressive  
693 weakening developed within a varying viscosity overriding plate during dual inward dipping  
694 subduction. To summarise, dual inward dipping subduction holds a stronger tendency to weaken  
695 the overriding plate compared with single sided subduction. This is achieved by creating a fixed  
696 trailing boundary condition for the overriding plate and generating a stronger poloidal return flow  
697 underlying the overriding plate (Figure 15). The stronger poloidal mantle flow is exhibited as a  
698 higher horizontal velocity gradient and higher maximum magnitude of upwelling component  
699 underlying the overriding plate. It can also initiate a higher degree of viscosity reduction, strain  
700 localisation and lithosphere thinning or even spreading extension within the overriding plate.  
701 Besides, a dual inward dipping subduction system with thinner and shorter overriding plate, and  
702 thicker subducting plate is likely to induce a higher degree of viscosity reduction within the  
703 overriding plate (Figure 15, b-d).



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Figure 15. Synoptic comparison of different model setup's role in affecting the necking behaviour developed within the overriding plate. (a) Single sided subduction (Garel et al., 2014). (b) Dual inward dipping subduction. (c) Thickness of the subducting plate or overriding plate ( $H_{SP}^o, H_{OP}^o$ ). (d) Length of the overriding plate ( $L_{OP}^o$ ).

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## 5. Conclusion

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These 2-D thermo-mechanical numerical models demonstrate that dual inward dipping subduction

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can generate progressive weakening by lowering viscosity within the overriding plate on a ~10 Myr

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time scale. Three variables are investigated to understand what controls the maximum degree of

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weakening. It shows that the initial length ( $L_{OP}^o$ ) and thickness ( $H_{OP}^o$ ) of the overriding plate are

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negatively correlated with the maximum degree of weakening. While the initial thickness of the

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subducting plate ( $H_{SP}^o$ ) positively relates to the maximum weakening level. The progressive

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weakening can result in a variety of irreversible stretching states ranging from 1) little or no

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lithosphere thinning and extension, to 2) limited thermal lithosphere thinning, and 3) localised rifting

717 followed by spreading extension.

718 Comparing with single-sided subduction, dual inward dipping subduction can reduce the magnitude  
719 of viscosity to a lower level within the overriding plate. Two aspects are analysed. On the one hand,  
720 a dual inward dipping subduction set-up effectively creates a dynamic fixed boundary condition for  
721 the middle (overriding) plate. This inhibits the mobility of the plate and helps promote localised  
722 strain to accommodate the slab rollback tendency on both sides. On the other hand, when the initial  
723 length of the overriding plate is short enough ( $L_{OP}^0 \leq 800 \text{ km}$ ), dual inward dipping subduction can  
724 form a united upwelling mantle flow which interacts with the bottom of overriding plate and  
725 generates a stronger viscosity perturbation within it than single sided subduction models. As a result,  
726 dual inward dipping subduction can induce higher degrees of extension in the overriding plate  
727 compared with single sided subduction.

728 Yielding and dislocation creep are the dominant deformation mechanisms that initiates rifting and  
729 spreading extension. The progressive weakening is mainly driven by the ever-increasing strain rate,  
730 which is also a precondition for initiating thermal weakening, strain localisation, lithosphere thinning  
731 and formation of new plate boundaries.

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