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# 9 Title: No unique scaling law for igneous dikes

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11 Authors: S.P.A Gill<sup>1</sup>, R.J. Walker<sup>2,3\*</sup>, K.J.W. McCaffrey<sup>4</sup>, C. Greenfield<sup>3</sup>

- 12
- 13 Affiliations: <sup>1</sup>University of Leicester, School of Engineering, University Road, Leicester, LE1 7RH, UK.
- 14 <sup>2</sup>Department of Earth and Planetary Sciences, University of California, Davis, 1 Shields Avenue, Davis,
- 15 CA 95616, US. <sup>3</sup>University of Leicester, School of Geography, Geology, and the Environment,
- 16 University Road, Leicester, LE1 7RH, UK. <sup>4</sup>Durham University, Department of Earth Sciences, Science
- 17  $\,$  Labs, South Road, Durham, DH1 3LE, UK.
- 18
- 19
- 20 \*Corresponding author: rjwalker@ucdavis.edu
- 21 Author contacts:
- 22 <u>spg3@leicester.ac.uk</u>
- 23 k.j.w.mccaffrey@durham.ac.uk
- 24 <u>cat.greenfield@leicester.ac.uk</u>
- 25
- 26 Author twitter handles:
- 27 @DrRJWalker
- 28 @k\_mccaffrey
- 29 @CatGreenfield

### 30 No unique scaling law for igneous dikes

- 31 S.P.A Gill<sup>1</sup>, R.J. Walker<sup>2,3</sup>, K.J.W. McCaffrey<sup>4</sup>, C. Greenfield<sup>3</sup>
- <sup>1</sup>University of Leicester, School of Engineering, University Road, Leicester, LE1 7RH, UK.
- 33 <sup>2</sup>Department of Earth and Planetary Sciences, University of California, Davis, 1 Shields Avenue, Davis, CA
- 34 95616, US
- <sup>3</sup>University of Leicester, School of Geography, Geology, and the Environment, University Road, Leicester, LE1
- 36 7RH, UK
- <sup>4</sup>Durham University, Department of Earth Sciences, Science Labs, South Road, Durham, DH1 3LE, UK.
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### 39 Abstract

- 40 In linear elastic fracture mechanics (LEFM), veins, dikes, and sills grow in length when the stress intensity factor  $K_I$  at the tip reaches a critical value: the host rock fracture toughness  $K_{IC}$ . 41 42 This criterion is applied broadly in LEFM models for crack growth and assumes that the 43 pressure inside the crack is uniform. When applied to intrusion length versus thickness scaling, a significant issue arises in that derived  $K_{IC} = 300 \text{ to } 3000 \text{ MPa} \sqrt{m}$ , which is about 100– 44 45 1000 times that of measured  $K_{IC}$  values for rocks at upper crustal depths. The same scaling relationships applied to comparatively short mineral vein data gives  $K_{IC} < 10 MPa \sqrt{m}$ , 46 approaching the expected range. Here we propose that intrusions preserve non-equilibrated 47 48 pressures as cracks controlled by kinetics, and therefore cannot be treated in continuum with 49 fracture-controlled constant pressure (equilibrium) structures such as veins, or many types of 50 scaled analogue model. Early stages of dike growth (inflation) give rise to increasing length 51 and thickness, but magma pressure gradients within intrusions may serve to drive late-stage 52 lengthening at the expense of maximum thickness (relaxation). For cracks in 2D, we find that 53 intrusion scaling in non-equilibrium growth is controlled by the magma injection rate and initial 54 dike scaling, effective (2D) host rock modulus, magma viscosity and cooling rate, which are 55 different for all individual intrusions and sets of intrusions. A solidified intrusion can therefore 56 achieve its final dimensions via many routes, with relaxation acting as a potentially significant 57 factor, hence there is no unique scaling law for intrusions.
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# 59 **1. Introduction**

A common method of characterising dike geometry is to plot their measured maximum thickness (*T*) against their horizontal length (*L*) (Fig. 1: see Schultz et al. (2008) and references therein). A similar method has been applied widely to fault systems to determine critical mechanical controls on intraplate fault evolution, in which the maximum displacement  $D_{max}$ 

is related to L by  $D_{max} = \gamma L^n$ , where typically n = 1 (Cowie and Scholz, 1992; Schultz et al., 64 2008), with the difference being that  $D_{max}$  is a shear displacement whereas T is opening 65 displacement. This exponent indicates a power law  $D_{max} - L$  relationship (with scatter), which 66 67 is inferred to represent scaling under constant stress loading (Scholz, 2008, 2019). For dikes and other opening mode fractures (e.g., joints, veins, and sills) T-L scaling is typically shown 68 as n = 0.5 (i.e.  $T = \alpha \sqrt{L}$ ; Olson, 2003) albeit with significant scatter in aspect ratio at all data-69 70 rich length scales (Fig. 1A). In contrast to the frictional control for shear faults, this square root 71 scaling would be consistent with growth under conditions of constant rock properties, including 72 material fracture toughness  $K_{Ic}$  (Scholz, 2010); cracks would become unstable under constant 73 stress loading, therefore implying growth under constant displacement boundary conditions 74 (Segall, 1984). Understanding scaling relationships therefore has significant implications for 75 the mechanics of intrusions and other opening mode fractures.

Opening displacement (thickness) versus length (T-L) data for dikes (and veins, sills, 76 77 etc., but here we focus on dikes) are universally interpreted using a linear elastic 2D pressurised 78 crack model. The model assumes mechanical equilibrium, such that the stress intensity,  $K_I$ , at the tip of the dike is equal to the mode I fracture toughness of the country rock,  $K_{Ic}$  (i.e., the 79 ability of a material containing a crack to resist fracture). Magma flows within a conduit down 80 81 a pressure gradient, so a static (equilibrium) condition necessarily requires that the magma 82 pressure, P, is uniform within the dike, as shown in Fig. 1B. In reality, the ability of a magma 83 to flow to relieve overpressure and achieve equilibrium will be directly dependent on magma 84 viscosity, for which there is a significant range in nature (McLeod and Tait, 1999), rising 85 sharply towards solidus temperatures. The failure condition at constant pressure is 86

$$K_{I} = P \sqrt{\frac{\pi L}{2}} = K_{Ic}.$$

$$88 \tag{1}$$

89 The maximum thickness would be at the centre of this 2D dike and is given by

90

91 
$$T = \frac{2}{E'} PL,$$
92 (2)

93 where  $E' = \frac{E}{1-\nu^2}$  is the (2D) plane strain modulus of the country rock, *E* is the Young's 94 modulus and  $\nu$  is the Poisson's ratio. Combining Equations 1 and 2 gives the classic 95 relationship between dike thickness and length (Olson, 2003)

(3)

96 97

97 
$$T = \alpha L^{0.5},$$
  
98

99 where the constant of proportionality is  $\alpha = \sqrt{\frac{8}{\pi} \frac{K_{Ic}}{E'}}$ . Figure 1A suggests that  $10^{-2} < \alpha < 1 \sqrt{m}$ 100 encompasses the range of dikes observed in the field.

101 Measured thickness to length ratios are generally consistent with reasonable magma 102 excess pressure estimates using Equation 2, in the range of 1–10 MPa (Rubin, 1995), but the 103 large areas over which that pressure operates in a constant pressure model results in extremely 104 large stress intensity at the tip, which then requires excessively large fracture toughness to 105 stabilise the crack (Fig. 1A). It is widely acknowledged that this model-predicted value for 106 fracture toughness is much larger than the expected values for the host rock (Rivalta et al., 2015; Cruden et al., 2017), with model results typically in the region of  $K_{Ic} = 300 - 1000$ 107 3000 MPa  $\sqrt{m}$  on Earth (Schultz et al., 2008), with estimates up to  $K_{Ic} = 15,000 MPa \sqrt{m}$ 108 on Mars (Rivas-Dorado et al., 2021); these predictions are compared to ~1  $MPa\sqrt{m}$  in nature 109 110 (Atkinson, 1984). To appreciate how unphysical these calculated values are, the fracture toughness of all classes of material are shown in Figure 1C, where  $\alpha \approx 10^{-5} \sqrt{m}$  for technical 111 ceramics including glass, and  $\alpha \approx 10^{-4} \sqrt{m}$  for building materials such as concrete and brick, 112 with the very highest values of  $\alpha \approx 10^{-3} \sqrt{m}$  for high-performance structural materials such 113 as metal alloys. Predicted dike-model values of  $K_{lc}$  are 2–3 orders of magnitude above the 114 expected and measured range for rocks, and significantly above the toughest known materials, 115 such as maraging steel (175 MPa  $\sqrt{m}$ ) and titanium alloys (up to 107 MPa  $\sqrt{m}$ ). Measured  $K_{IC}$ 116 for upper crustal rocks (0–5 km) ranges from about  $0.5 - 3 MPa\sqrt{m}$  (Stoeckhert et al., 2015), 117 118 hence the equilibrium model of Equation 1 cannot explain what is physically observed using 119 realistic material parameters. This problem is compounded given that the fracture toughness of 120 brittle materials should reduce with length scale, due to the increased probability of 121 encountering larger and larger pre-existing defects, from micro-cracks up to faults (Schultz 122 1993). The high toughness of metals shown in Figure 1C is due to their plasticity, and it could 123 be argued that rock plasticity and/or increasing depth/temperature should increase  $K_{Ic}$ (Heimpel and Olson, 1994; Balme et al., 2004; Stoeckhert et al., 2015), but this is not enough 124 125 to span the expectation gap, especially at the shallow crustal emplacement depths of the dikes 126 plotted in Figure 1A. The GPa-scale values calculated for  $K_{Ic}$  are for already-long intrusions, 127 which is particularly problematic in that the stress intensity is proportional to the crack length

128 (Equation 1), hence longer intrusions should be easier to grow than short intrusions; the model effectively predicts that it is impossible to grow a short intrusion since  $K_I \ll K_{Ic}$  at shorter 129 length scales. Any alternative model must therefore predict a stress intensity at the dike tip that 130 is within a realistic fracture toughness range for rocks in the upper crust—on the order of  $K_{Ic} \approx$ 131 1 MPa  $\sqrt{m}$ —which is the purpose of this paper. However, the existing toughness-controlled 132 133 growth model would appear to be inappropriate. As an illustration, taking a typical dike from Figure 1 with T = 6m and L = 1 km, the equilibrium model predicts that a host rock with 134  $K_{Ic} \approx 1000 MPa\sqrt{m}$  is required to sustain this dike. If we keep the magma volume (area in 135 the 2D case) constant, and reduce the fracture toughness to  $K_{Ic} \approx 1 MPa \sqrt{m}$  then Equation 3 136 predicts that this dike would have dimensions of  $T = 6 \ cm$  and  $L = 100 \ km$  at equilibrium. 137 138 This shape is never likely to be achieved of course, since magma flow would cease due to 139 solidification, and the final dike would be one that is frozen into a non-equilibrium state.

Here we revisit the assumptions of dike scaling laws, reapplying principles of kinetic (viscous) and fracture controls on crack growth. We find that dikes and veins do not occupy the same T - L scaling continuum because of the fundamental controls on their growth and preservation in the rock record. Furthermore, the conditions of individual dike systems are sufficiently variable that no two systems are likely to follow the same scaling trajectory.

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# 146 **2. Kinetic-dominated versus toughness-dominated growth**

147 Equation 1 assumes the dike is in an equilibrium state when it solidifies, i.e. the fluid (magma) 148 has had time to redistribute itself within the fracture to remove all pressure gradients. This 149 toughness-dominated assumption is reasonable for low viscosity fluids in small fractures, since 150 the short distances involved mean that fluid pressure can equilibrate quickly. However, as 151 noted above, non-equilibrated fracture geometry results in the prediction of unphysically high 152 fracture toughness, so it is necessary to look at alternative explanations. The principal variable 153 in linear elasticity that has influence on  $K_I$ , and that can be changed, is the excess magma pressure distribution, p(x), where  $-\frac{L}{2} \le x \le \frac{L}{2}$  is the lateral distance from the centre of the 154 dike. The exact pressure profile in the dike is represented analytically by a series expansion 155 (Spence and Sharp, 1985) but can be illustrated in simpler terms using the approximate solution 156 157 of Spence and Turcotte (1985). They introduced a linear variation in the pressure such that  $p(x) = P + \Delta P \left| \frac{2x}{L} \right|$ , where P is the pressure at the centre of the dike and  $P + \Delta P$  is the pressure 158



resulting in a negative tip pressure of -0.57P, as shown in Supplementary Material. This is 160



161

162 Figure 1: (A) Dike scaling relationship plot of maximum thickness (maximum opening displacement) 163 versus length. Contours for fracture toughness  $K_{Ic}$  are from Cruden et al. (2017) and based on Young's 164 Modulus E = 100 GPa. Graben data (Rivas-Dorado et al., 2021) refers to dike dimensions, based on 165 calculations using graben widths. Sudan, Deccan, Karoo, and Ethiopia, and Shiprock dike data are from Olson (2003) and Cruden et al. (2017), and Culpeper and Florence Lake vein data from Olson (2003). 166 167 Sandstone (SST) dike data from Vétel and Cartwright (2010): PGIC, Panoche Giant Intrusion Complex 168 (California, USA) (B) Pressure and dike thickness profiles for toughness-controlled (upper image) and 169 kinetic-controlled (lower image) models, showing the mode I stress intensity at the dike tips,  $K_I$ , in both 170 cases (C) Materials Selection Chart (adapted from Ashby, 2009) showing  $K_{IC}$  vs E for a range of 171 materials. Note the position of  $K_{IC}$  values predicted from equilibrium-based intrusion scaling 172

relationship models relative to the position occupied by natural rocks.

173

174 illustrated in the lower diagram in Figure 1B. For a pressure that continually decreases away 175 from the centre, which is consistent with magma flowing toward the dike extremities, the 176 excess pressure at the tip must always be negative for  $K_I = 0$  (note, this is only a negative 177 excess pressure, and once lithostatic pressure  $P_L$  is included, the total pressure is still positive 178 and therefore compressive). This alternate extreme is referred to as kinetic-dominated 179 behaviour, whereby a dike propagates in a non-equilibrium state determined by the rate at 180 which magma is emplaced and redistributed within the fracture. It assumes that the fracture 181 toughness is negligible compared to the large forces involved in dike propagation, such that it 182 can be assumed that  $K_{Ic}$  is effectively zero. The primary assumption behind this model is that 183 the crack tip must remain magma-filled, whereby any (low pressure) cavity that developed 184 would quickly be filled or closed due to the large pressure difference between the magma or 185 host rock and the cavity (Rubin, 1995). This model was first employed by Delaney and Pollard 186 (1981), and it is generally accepted that the precise tip conditions in this respect are not that important (Rubin, 1995); e.g., the existence of tip cavities that are small compared to the dike 187 188 length do not change the  $K_{IC} = 0$  assumption.

189 The kinetic-dominated versus toughness-dominated argument has been discussed in the 190 literature for some time (see Rivalta et al, 2015). It is therefore useful to quantify the predicted T-L scaling response where these regimes apply. To do this we utilise the simple 2D 191 192 analytical approximation of Spence and Turcotte (1985), which allows for both finite toughness 193 and finite viscosity, to model the growth of a (2D) dike with linearly increasing volume (area)  $V_{2D} = Qt$ , where Q is the injection rate (in units  $m^2 s^{-1}$ ) as a function of time. Firstly, it is of 194 particular interest to note that this allows us to obtain the same scaling relationship as Equation 195 196 3 (see Supplementary Material)

197

198 $T = f(\lambda). \alpha L^{0.5}$ 199 (4)

but with a different constant of proportionality, where  $f(\lambda)$  is a dimensionless scaling function which is a function of the dimensionless scaling parameter

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203 
$$\lambda = \left(\frac{L_K}{L_\eta}\right)^{\frac{1}{2}} = \frac{K_{IC}}{\left(Q\eta E'^3\right)^{\frac{1}{4}}}$$

204

(5)

where  $L_K = \left(\frac{\kappa_{Ic}}{E'}\right)^2$  is the toughness-dominated length scale, and  $L_\eta = \left(\frac{Q\eta}{E'}\right)^{\frac{1}{2}}$  is the kineticdominated length scale. In the latter, Q is the constant growth rate  $(m^2 s^{-1})$  and  $\eta$  is magma viscosity (*Pa.s*). The parameter  $\lambda$  is the key measure of the balance between toughnesscontrolled ( $\lambda \gg 1$ ) and kinetic-controlled ( $\lambda \ll 1$ ) growth.

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Figure 2. Plot of f versus  $\lambda$ . Thin blue line is the full solution (see Equation A.9 in Supplementary Materials). Thick red line is purely viscous model ( $K_{Ic} = 0$ ) (see Equation A.10).

214

A plot of  $f(\lambda)$  versus  $\lambda$  is shown as the blue line in Figure 2. It shows that f = 1 where toughness dominates, as expected from Equation 3, and that  $f \gg 1$  where kinetic effects dominate. The red line shows the predictions for the purely kinetic regime ( $K_{Ic} = 0$ ) for which

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219  
$$T = \left(\frac{6}{\pi}\right)^{\frac{1}{4}} \left(\frac{Q\eta}{E'}\right)^{\frac{1}{4}} L^{0.5}$$
(6)

in contrast to the toughness-dominated prediction of Equation 3. It is clear that systems are kinetics-dominated for  $\lambda < 0.2$  and toughness-dominated for  $\lambda > 0.4$  where f = 1. There is a small transition region in between, but it appears this is not significant enough to warrant a combined model; i.e., it is sufficient to use a toughness-dominated or a kinetics-dominated model. It is useful now to estimate where a particular system sits on this continuum, particularly when reflecting on the scaling relationships between laboratory models and natural fracture systems.

For dikes, if we assume  $Q = 10^{-2} - 1 m^2/s$ ,  $\eta = 10^2 - 10^8 Pa.s$ ,  $K_{Ic} = 10^6 Pa \sqrt{m}$  and 228  $E' = 1 - 10 \ GPa$ , then we get  $10^{-4} < \lambda < 10^{-1}$  (Fig. 2). Spence and Turcotte (1985) used 229 lower viscosities and estimated  $\lambda \approx 5 \times 10^{-3}$ , but in any case, it is clear from Figure 2 that 230 231 dikes are very strongly dominated by kinetics. Parameter  $\lambda$  can have a wide range of values, 232 depending on the specific conditions under which a dike was emplaced, and predicts, therefore, 233 a wide range of observed dike aspect ratios, consistent with the wide scatter in the observed 234 data. This model suggests that rapid emplacement (large Q) of a viscous magma (large  $\eta$ ) into 235 a compliant host (low E') leads to the growth of a relatively short and thick dike (small  $\lambda$  and large f). The chosen viscosity range is high for a basaltic magma (typically taken as  $\eta =$ 236  $10^2$  Pa. s), but in line with phenocryst-rich andesite or rhyolite magmas (Takeuchi, 2011 and 237 238 references therein). Viscosity is a strong function of temperature, hence the viscosity of even 239 basaltic magmas will approach such a high value as they approach solidus temperatures; a 240 condition that becomes more likely towards the periphery of an intrusive system. In this model, 241 low pressure gradients and slower plug-flows would reduce the effective channel width of the 242 conduit, consistent with a higher viscosity.

243

244 In vein systems formed by hydrofracture (for purposes of comparison to Figure 1A, we are 245 referring exclusively to syntaxial immobile vein systems; e.g., Bons et al., 2012), the viscosity of water at room temperature is  $\eta = 10^{-3} Pa$ . s and much lower at higher temps. The final area 246 (2D volume) is about  $10^{-6} - 10^{-3} m^2$ . The potential host lithologies are the same as for dikes, 247 248 hence host rock fracture toughness and modulus are as above. Such a low viscosity fluid in a 249 fracture of such small volume equilibrates almost instantly, and therefore it must be toughness-250 controlled. In large veins (>1 m aperture), complete sealing by mineral precipitation within the 251 vein may occur very slowly or not at all (over years to millions of years; e.g., the calcite infills 252 dated by Roberts and Walker, 2016) allowing ample time for the hydrofracture to relax towards 253 equilibrium (if this was required). Hence veins are expected to be very strongly toughness-254 dominated ( $\lambda \rightarrow \infty$ ).

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In the context of kinetic versus toughness-controlled growth, it is also of interest to consider scaled analogue (laboratory) models, which each use different host materials and magma analogues. Here we focus on examples that aim to model dike ascent and materials that have measured values for fracture toughness: those that use a gelatin host analogue, typically with a low viscosity liquid (water or paraffin oil). For instance, using the constant flux experiments

of Taisne and Tait (2011), the injection rate (which is in  $m^3/s$  so converted here to 2D by 261 dividing by the dike width, which is roughly the same as the height) is  $Q = 10^{-5} m^2/s$  and 262  $\eta = 10^{-4} - 10^{-1}$  Pa.s. The fracture toughness is not given, but can be determined from their 263 Equation 7 and Figure 2 to be  $K_{Ic} = 33 Pa\sqrt{m}$ , and  $E' = 10^3 Pa$ . This gives  $1 < \lambda < 10$ . As 264 265 with veins, this system is strongly toughness-dominated. Other analogue systems may fall outside of this range, such as those using granular mixtures (Schmeidel et al., 2017) or low-266 267 concentration laponite gels (Arachchige et al., 2021), and/or viscous fluids. The scaling mismatch in properties is noted elsewhere, in that for gelatine  $\frac{K_{IC}}{E'} = 10^{-2} - 10^{-1}$  (Kavanagh 268 et al., 2013) whereas for rocks  $\frac{K_{IC}}{E'} = 10^{-4}$ . This has the effect of increasing  $\lambda$  in those gelatine 269 analogue models well into the fracture-controlled and equilibrium regime, and away from the 270 271 region of natural dikes.

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Taking f = 1 for veins in Figure 2 yields a prediction of  $\alpha \approx 10^{-3}\sqrt{m}$  for the host rock in 273 274 these cases. Host rock data determined from laboratory tests for the dike and vein systems in Figure 1A suggests that  $\alpha \approx 10^{-4} \sqrt{m}$  in all cases. However, there is some evidence that 275 modest increases in fracture toughness (by a factor of 3–5) could be possible at depth (Fialko 276 277 and Rubin, 1997; Stoeckhert et al, 2016). Decreases in modulus are also possible at larger 278 scales (Schultz, 1993) although this increase in compliance is largely due to the activity of joints which may be suppressed at depth. So we take  $\alpha = 10^{-3}\sqrt{m}$  as the reference point. This 279 is still consistent with the ranges assumed above, e.g.  $K_{IC} = 1 MPa \sqrt{m}$  and E' = 1 GPa. 280 281 Plotting Equation 3 for values of  $f(\lambda)$  on a thickness versus length diagram (Fig. 3), veins exist at about  $f \approx 1$  and dikes are about f = 10 - 1,000. The exact results and position for dikes 282 283 will therefore be dependent not only on the host rock properties, but also the magma flow rate 284 and viscosity. Hence each dike system is unique and has the potential to occupy a different 285 contour in  $f(\lambda)$ . This finding becomes apparent on closer inspection of individual datasets for 286 dikes in Figure 1A. Although the data are very scattered, power law fits are plotted with the 287 n = 0.5 exponent for all data (Olson, 2003). The Shiprock dikes (n = 0.44), Ethiopia dikes 288 (n = 0.48) and Martian Elysium dikes (n = 0.43) each fit the n = 0.5 model of Equation 3 289 reasonably well. On the other hand, the Karoo dikes give n = 0.3, the Sudan dikes n = 0.22, 290 and the Deccan dikes n = 0.06, potentially indicating different conditions of emplacement for 291 each set. In any case, dikes, veins, and analogue models, are not part of the same continuum 292 and cannot be linked in these thickness versus length scaling plots.



Figure 3. Interpretation of dike scaling observations using Equation (4) in terms of predicting toughness-dominated (f = 1) versus kinetic-dominated (f > 1) growth.

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Before progressing further, it is useful to reflect on the importance of the 2D nature of the model presented. Savitski and Detournay (2002) developed a higher order 3D model for kinetic-dominated growth in a penny-shaped crack increasing in volume over time as  $V_{3D} =$ qt, where q is a constant with units of  $m^3/s$ . Taking the length to be the dike diameter, the scaling relationship, in this case

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$$T = 3.0 \left(\frac{q\eta}{E'}\right)^{\frac{1}{4}} L^{0.25}$$
(7)

gives a lower exponent of 0.25. However, this apparent conflict between the 2D and 3D models 304 is easily resolved, as the final 2D model volume is  $V_{2D} \approx \pi . \frac{TL}{4}$  and the final 3D model volume 305 is  $V_{3D} \approx \frac{4\pi}{3} \cdot \frac{TL^2}{8}$  meaning that  $q = \frac{2}{3}LQ$ , where L is the final length of the dike. Substituting this 306 scaling relationship in Equation (7) reproduces Equation (6) but with a different pre-factor (2.7) 307 for the different geometry. The change in pre-factor is of little consequence, but this does raise 308 309 the question about whether the magma injection rate depends on the final length of the dike, 310 i.e. by inference, the volume of magma emplaced. In part this would depend on whether the 311 entire magma volume is available throughout dike growth, and/or how long q can be physically

312 sustained through magma supply. This is a question that cannot easily be answered, as it 313 depends on many factors, such as the size of the magma packet that feeds the dike and its rate 314 of ascent. However, it does not seem unreasonable to envisage that a large dike ( $L \approx 100 \text{ km}$ ) might be fed somewhat more rapidly than a small dike  $(L \approx 10 m)$  due to the enormous 315 316 difference in the quantity of magma involved. The model of a size-invariant magma line source 317 (Q per metre) in this case appears to be more appropriate than a size-invariant point source (q). 318 As the former is more consistent with observations than the latter, we will proceed to develop 319 the 2D model further, whilst noting that the 3D scaling can be obtained with the substitution of  $q = \frac{2}{3}LQ.$ 320

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322 To summarise, the predictions of Figure 2 and the observations in Figures 1A and 3 both 323 support the conclusion that dikes grow as non-equilibrium structures in the kinetic-dominated 324 regime. Therefore, we now assume  $K_{lc} = 0$  for the remainder of this paper. When considering 325 non-equilibrium growth we propose that a dike extends in two phases: (1) an inflation phase, 326 where the volume of magma in the dike increases over time; followed by (2) a relaxation phase, 327 where the magma volume is fixed but the dike continues to extend, accommodated by magma 328 flow, until it freezes. It is of interest to determine whether relaxation plays a significant role in 329 dike scaling, but also to check that a dike cannot reach equilibrium within the predicted 330 relaxation time. A similar model has been proposed previously for progression of horizontal 331 sheet intrusions in T - L space, from (thick-short) laccolith to (thin-long) sill geometries (Bunger and Cruden, 2011) driven by magma body forces (the weight of the magma), but this 332 333 does not apply in dikes.

334

## **335 3.** Models for non-equilibrium inflation and relaxation phases

336 In the context of the observed order of magnitude variation in the scaling relationship 337 observations of Figure 1A, here we wish to develop a simple analytical solution which is a 338 reasonable approximation of the full solution. The work of Spence and Turcotte (1985) 339 provides a good starting point for this. The novelty of the approach here is to extend their 340 previous analysis for kinetics-dominated growth to allow a general expression for the volume 341 evolution,  $V_{2D}(t)$ , such that non-linear inflation and relaxation can be considered, as illustrated 342 in Figures 4 and 5. To model *inflation*, we assume power law growth with exponent s, such that  $V_{2D}(t) = Qt^s$  (Fig. 4), then the T - L relationship of Equation 6 can now be written in a 343 344 more general form (see Supplementary Material) as

(8)

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346 
$$T = \left(\frac{6}{\pi}\right)^{\frac{1-m}{2}} \left(\frac{(3s+1)Q\eta}{4E'}\right)^{\frac{1+m}{6}} L^m$$

347

348 where  $m = \frac{3\alpha - 1}{3\alpha + 1}$ . Note that the exponent reduces to  $m = \frac{1}{2}$  when s = 1 and Equation 6 is 349 recovered.





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Figure 4. Schematic illustration of linear dike growth (e.g., Spence and Turcotte, 1985) in the which the 2D volume (area) relates to the injection rate, Q, as a function of time, t, relative to a non-linear magma injection model that uses a power law for the inflation stage up to time  $t_0$ , followed by an additional—constant volume—relaxation period of  $t_r$  before the dike solidifies.

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To model the relaxation stage, we assume volumetric inflation ends at time  $t = t_0$  with a final 358 magma volume of  $V_{2D}(t_0) = V_0 = Qt_0^s$ . The dike can still evolve over time even without the 359 360 addition of further magma, just at a much-reduced rate. If this evolution occurs for an additional 361 relaxation time  $t_r$  then the total time is  $t = t_0 + t_r$ . In the Supplementary Material we find that during inflation the length increases as  $t^{\frac{1}{6}+\frac{s}{2}}$  and during relaxation the length still increases but 362 more slowly, tending towards  $t^{\frac{1}{6}}$  when  $t_r \gg t_0$ . Similarly, the thickness increases during 363 inflation as  $t^{-\frac{1}{6}+\frac{s}{2}}$  and decreases during relaxation, tending towards  $t^{-\frac{1}{6}}$  when  $t_r \gg t_0$ . As such, 364 lengthening due to relaxation occurs at its fastest immediately following inflation, and will 365 366 slow rapidly (e.g., Fig. 5). Even without accounting for the effect of cooling on viscosity, this means that a dike will not have sufficient time to reach equilibrium before it solidifies. 367



Figure 5. An example inflation-relaxation sequence, showing the temporal evolution of dike length, L, dike thickness, T, dike pressure, P, whereby a short, thick dike is rapidly injected over 4 days with an exponent of s = 1, leading to an increase in both its maximum (central) thickness and its length. At the end of the inflation phase,  $t_0$ , the dike relaxes the magma pressure over the following 16 days ( $t_r$ ) by a further increase in length, necessarily accommodated by a decrease in dike maximum thickness to conserve magma volume.

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To estimate the relaxation time, we use the widely adopted model of Turcotte and Schubert(2002) for solidification of a dike:

380

$$t_r = \frac{T^2}{16\kappa\beta^2}$$

(9)

382

where  $\beta$  is the Stefan constant and  $\kappa$  is the thermal diffusivity. Morita et al. (2006) calculated a value of  $\beta = 0.36$  and  $\kappa = 10^{-6} m^2 s^{-1}$ . This predicts a 1 m thick dyke will take roughly 5.5 days to solidify, whereas a 5 m thick dyke would take 140 days. We now have two different time scales: (1) inflation during magmatic volume increase  $(t_0)$ ; and (2) relaxation during constant magmatic volume  $(t_r)$ . As the dike thickness reduces during relaxation, such that  $T(t_r)$ , Equation (9) represent a quartic equation in terms of  $t_r$  (see Equation C.2 in Supplementary Material).

390

# 391 **4.** Theory vs Observations

392 Figure 6 illustrates a number of different dike growth and relaxation trajectories in T - L space. 393 In Figure 6A we follow the observations of Morita et al. (2006) and take a magma injection 394 rate Q = 1, injection rate exponent s = 0.65, plane strain modulus E' = 10 GPa, magma viscosity  $n = 10^8 Pa. s$ , and thermal diffusivity  $\kappa = 10^{-6} m^2/s$ . The blue line in Figure 6A, 395 and subsequent plots, shows the inflation trajectory, with points along it showing the dike 396 397 dimensions after different growth periods. For s = 0.65 this has an exponent (slope) of m =398 0.33. Once a dike stops increasing in volume, it progresses downward and to the right 399 (increasing L at the expense of T) along its relaxation trajectory (the dashed lines connecting 400 points). This terminates in the green line, which signifies the end of the solidification time 401 predicted by Equation (9). The green line represents an upper bound on the relaxation time, as 402 it does not take into account cooling during growth, or any increase in viscosity during 403 relaxation, though again it is noted that relaxation will be fastest when it starts. The full extent 404 of relaxation is therefore hard to determine, but it is expected that a dike of a given volume will 405 form somewhere between the blue line and the green line. In Figure 6A, it can be seen that 406 these conditions envelop a significant portion of the observed dikes. A dike reaches the first 407 point (a length of 59 m and a thickness of 2.8 m) in 20 minutes. The upper bound on its 408 relaxation time is then about 4 days, substantially longer than the growth time. In this case, 409 neglecting cooling during inflation is reasonable. As dikes get larger, the inflation time 410 increases relative to the relaxation time, as the thickness is not increasing as rapidly as the 411 volume. For the dike observed in Morita et al. (2006) inflation takes 8 days but relaxation could 412 be as long as 100 days thereafter. The very largest dike shown in Figure 6A grows to a length 413 of 63 km over 40 years, but with only a comparatively short 8 years to relax. In this case, 414 neglecting cooling during inflation is not reasonable, but as the amount of relaxation 415 undertaken is insignificant this is not important. The relaxation curve always has a higher 416 exponent (slope) than the inflation line, and in this case the exponent increases to 0.55 for the 417 smallest dikes, converging back to 0.33 for the largest dikes. Figure 6B shows that reducing the viscosity to  $\eta = 10^6 Pa.s$  drops the inflation and relaxation curves downwards, towards 418 some of the thinner dike sets. In Figure 6C, a higher magma injection rate of  $0 = 10 m^2 s^{-0.65}$ 419 420 moves the growth curve upwards to encompass some of the thicker observed dikes, showing 421 that the effect of relaxation could be quite substantial even in the larger length scales under this 422 scenario. Figure 6D models rapid linear (s = 1) growth, for which nearly all the observed dikes 423 sit between the inflation and relaxation curves. Figure 6E shows the effect of using a much 424 lower exponent of s = 0.5 which now shows the effects of slower inflation, i.e. insignificant relaxation for large dikes. Finally, Figure 6F shows that decreasing the thermal diffusivity to  $\kappa = 10^{-7} m^2/s$  leads to slower cooling and a wider zone between the inflation and relaxation curves as would be expected. Conversely, an increase in the thermal diffusivity will lead to a reduction in the zone of possibility for observed dikes.





430 431 Figure. 6. Dike inflation and relaxation plots for different parameters: (A) Q = 1, s = 0.65, E' =432 1 GPa,  $\mu = 10^8 Pa.s$  and  $\kappa = 10^{-6} m^2/s$ . Plots in B-F show effects of changing individual parameters relative to (A), with: (B) reduced  $\mu = 10^6 Pa.s$ ; (C) higher growth rate  $Q = 10 m^2 s^{-0.65}$ ; 433 (D) higher growth exponent (and rate) with 0 = 1 and s = 1; (E) lower growth exponent s = 0.5 with 434 increased Q = 10 (note that without increasing Q, growth takes hundreds of years); (F) lower thermal 435 diffusivity (which affects cooling rate) with  $\kappa = 10^{-7} m^2/s$ . Observed dikes are expected to lie in the 436 437 region between the upper (solid blue) and lower (dashed green) lines under the stated conditions. The 438 Shiprock dikes are shown separately here as they are individual echelon surface segments of a larger 439 underlying dike (Scholz, 2010) and hence are not necessarily expected to comply with the model 440 presented here.

441

442

## 443 **5.** Comparison with natural intrusions

In our model, dikes can extend their length in two stages: (1) an inflation stage in which both length and thickness increase, and (2) a constant volume relaxation stage, in which length can 446 only grow at the expense of maximum thickness. In reality the relaxation stage is likely to be 447 highly variable, and dependent on the details of the cooling and solidification processes. The 448 model shown here assumes that cooling initiates at the onset of the second stage, whereas for 449 major dikes it is much more likely that parts will cool during the initial stage of volume 450 increase, due to contact conduction with the host rock walls. The temperature distribution 451 within the magma will therefore be a minimum at the walls and increase to a maximum at the 452 centre. The picture is further complicated by the potential for temperature gain through the 453 latent heat of crystallisation, and the increase in magma viscosity with crystal content. Here it 454 is assumed that dike relaxation stops once it has solidified in the middle, at the position of 455 maximum thickness, but this is not necessarily the case. Solidification within intrusions can be 456 unevenly distributed, leading to localisation of magma flow into channels (e.g., Holness and 457 Humphreys, 2003). This localised flow of hot magma can lead to remobilisation of accreted 458 materials of variable viscosity across the conduit (e.g., Walker et al., 2017). Towards the tips, 459 where the dike is much thinner, freezing could occur more rapidly. If the dike length is still 460 extending at a sufficient rate (Delaney and Pollard, 1981) the magma at the tip will continue to 461 be refreshed by an influx of hot material, preventing freezing. As such, the exact criteria that 462 determines when a dike stops lengthening requires further investigation. The relaxation 463 trajectories for length and thickness evolution shown as dashed green lines in Figure 6 therefore represent the maximum bound for relaxation. In nature then, some intermediary position of 464 465 relaxation is probable, since a dike will undergo cooling during ascent, reheating as the magma crystallises, and further cooling to an ambient geotherm, set within host rocks and accreted 466 467 dike margins that have variable thermal diffusivity properties. Relaxation presents, therefore, an additional process that will result in T - L scatter for individual dikes within a larger 468 469 volcanic system. The history of the freezing process will also determine the final internal pressure distribution, which will not be uniform or linear. This will be expressed in the final 470 471 shape of the intrusion, which could result in a form between that of a lenticular geometry with 472 tapered tip profiles, and the elliptical to superelliptical profiles associated with an equilibrium 473 pressure distribution shown in Figure 1B (Spence and Turcotte, 1985; see e.g., the schematic 474 illustrations in Fig. 5). This change in shape at the tip due to local magma redistribution in the 475 final stages of freezing may change the stress distribution and failure mechanism at the tip 476 (Walker et al., 2021; Stephens et al., 2021), which may affect intrusion lengthening, thereby introducing further scatter in T - L space. 477

479 The question remains whether relaxation is evident during active intrusion and within the rock 480 record. In active systems, Morita et al. (2006) provide some evidence for two stage growth in 481 their study of earthquake swarms during dike intrusion in Izu Peninsula, Japan. From geodetic 482 observations, they show that volume increase during dike growth occurred over 14 days, 483 whereas associated seismicity occurred for 20 days. Based on their dimensions, the relaxation 484 model here would have a conservative prediction for relaxation on the order of 100 days 485 (maximum 400 days), which is far in excess of the six days indicated in the Morita et al. (2006) 486 study. There are two immediate explanations for the discrepancy: (1) our model is an 487 overestimate because there is likely to be significant cooling and potential solidification during 488 the volumetric growth of the dike; and (2) fracture growth to accommodate relaxation may fall 489 below seismicity detection limits (i.e., it becomes aseismic), particularly if growth is 490 accommodated by dominantly tensile failure in the host rock (i.e., non-double couple 491 mechanisms) as opposed to the shear-fracturing (double-couple mechanisms) shown in most 492 dike seismicity studies. The latter explanation appears to be the case even for the volumetric 493 inflation stages elsewhere, such as the Bárðabunga-Holuhran diking event in Iceland 494 (Sigmundsson et al., 2015). Emplacement of the Bárðabunga-Holuhran dike induced earthquakes during growth laterally and towards the surface for about two weeks (Ágústsdóttir 495 496 et al., 2016), followed by a six-month eruption phase, and a further six months of post eruption 497 seismicity along the length of the dike section (Woods et al., 2019). Ágústsdóttir et al. (2016) 498 interpret post-eruption earthquakes detected at 5-7 km depth as representing late-stage 499 equilibration of magma pressure in the dike; i.e. relaxation. Geodetic measurements indicate 500 that seismicity did not capture all pre-eruptive dike growth at shallow depths, including that 501 necessary for magma to reach the surface (Sigmundsson et al., 2015), hence it is conceivable 502 that some late stage growth may also go undetected in the shallow crust, particularly where 503 dike lengthening is accommodated by tensile failure of the host rock (Rubin et al., 1998; 504 Ágústsdóttir et al., 2016) or by dilatation of existing structures (Taisne et al., 2011). In any 505 case, it is worth noting that eruption will have served to reduce excess magma pressure in the 506 remaining dike, in which case the actual period of relaxation should be greatly reduced 507 compared to the timescales predicted in Figure 6. In addition, the formation of a graben above 508 the dike would likely place further constraints on the dike's ability to relax, as thickness 509 reduction could require reactivation, and potentially inversion, of the graben fault system.

511 Lengthening during the relaxation stage may be a cryptic feature in the rock record also, since 512 the diagnostic feature of relaxation is lengthening without volume increase, requiring thinning 513 at some position along the dike (e.g., Daniels et al., 2012). Field-based studies of frozen 514 intrusions have shown the potential for late-stage lengthening at preserved tip zones, that can 515 be identified from overprinting textures and tip zone deformations (Stephens et al., 2021; 516 Walker et al., 2021). The tip forms of such intrusions are typically blunted, with squared-ends 517 and a relatively constant thickness compared to the bladed geometry that should result from 518 rock splitting. In a linear elastic framework, this constant thickness would represent a constant 519 magma pressure. However, such examples are commonly associated with distributed shear 520 faulting at the tip, within the intruded host rock, which is interpreted to represent the magma 521 front moving forward as a viscous indentor (Spacapan et al., 2017; Galland et al., 2019). This 522 may still represent a constant pressure in the conduit, but could represent a plug flow of 523 relatively cool and high viscosity magma. As noted above, introducing a plug flow regime is 524 equivalent to changing the effective channel thickness in the model, and would therefore 525 influence the relaxation model. Viscous indentation is also a relatively inefficient growth 526 mechanism, particularly compared to elastic (tensile) splitting of the host rock, since the newly 527 created fracture surfaces remain in contact and maintain a residual friction. Although a dike 528 may grow by this mechanism over short distances, it is also possible that residual magma 529 pressure may activate a new and more efficient pathway elsewhere on the dike (Walker et al., 530 2021), leading to only very local lengthening, and reducing the likelihood of observing the true 531 maximum length dimension of the dike. In any case, these features are not necessarily uniquely 532 related to a relaxation stage of growth, and further study would be required to constrain the 533 distribution of such features at the periphery of individual dikes relative to changes in the 534 thickness.

535

### 536 **6.** Conclusions

Toughness-dominated models for dike growth predict unreasonably large values for the rock fracture toughness, based on the assumption that magma pressure is constant within the dike, despite the need for pressure gradients to drive magma flow. Here we apply a kineticdominated analytical approach to consider the evolution of 2D dike geometry. Dike growth can be split into two stages, with a volume growth inflation stage characterised by lengthening and thickening, followed by a relaxation stage in which pressure gradients are relieved within the dike, leading to lengthening at the expense of maximum thickness. By changing the controlling

- 544 parameters within a reasonable natural range, we find that the final length to thickness ratio for
- 545 dikes can be achieved through multiple routes, rather than a unique power law relationship.
- 546
- 547

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- 671

#### 672 Supplementary material: No unique scaling law for igneous dikes

673

#### A. Kinetics-dominated versus toughness-dominated growth for linear pressure model 674

Spence and Turcotte (1985) developed a first-order approximate model for the growth of a 2D 675 dike of length L and thickness T in a linear elastic host with plane strain modulus  $E' = \frac{E}{1-v^2}$ 676 677 fracture toughness  $K_{Ic}$ , and magma viscosity  $\eta$ . This analysis is re-evaluated here for the purposes of dike scaling interpretation, and to investigate the criteria for transition between 678 679 toughness and kinetic-controlled dike formation.

680

#### 681 Stress intensity at the dike tip

The mode I stress intensity at the tip of a crack of length L = 2a subject to an internal pressure 682 683 distribution p(x) is given by

684

685 
$$K_{I} = \frac{1}{\sqrt{\pi a}} \int_{-a}^{a} p(x) \sqrt{\frac{a+x}{a-x}} dx$$
686 (A.1)

686

A simple linear approximation to the pressure distribution is proposed such that p(x) = P + p(x)687  $\Delta P \left| \frac{x}{a} \right|$ . In this case equation (A.1) gives 688

689

$$K_I = \sqrt{\frac{a}{\pi}} (\pi P + 2\Delta P)$$

691

692 The condition for fracture propagation is  $K_I = K_{Ic}$ . Given the central magma pressure P, it is 693 then required that

(A.2)

$$\Delta P = \frac{\pi}{2} \left[ \frac{K_{IC}}{\sqrt{\pi a}} - P \right]$$

$$(A.3)$$

for dike propagation to occur. In the kinetic-controlled limit we can assume that the material 696 resistance of the host rock is negligible ( $K_{Ic} = 0$ ) which yields the result that  $\Delta P = -\frac{\pi}{2}P$ . 697 698

699 Spence and Turcotte (1985) analysis

700 The volume (area) of the 2D dike is assumed to evolve as a prescribed function of time, V =

Qt, where  $Q(m^2/s)$  is a constant, and the magma pressure is assumed to be linear, such that 701

 $p(x) = P\left[1 - \frac{\pi}{2} \left| \frac{x}{a} \right| \right]$  as derived above. [Note the problem with the exact pressure distribution 702 was solved numerically by Spence and Sharp (1985) for self-similar dike evolution with 703  $V(t) = Qt^{\alpha}$ , but here we pursue an analytical approximation]. From their analysis we have the 704 following parameters from their equations (24) to (26) 705

706 
$$\gamma = \frac{2K}{(6Q\eta E')^{\frac{1}{4}}} = \left(\frac{4}{3\pi}\right)^{\frac{1}{4}} \frac{(6A_0^3 - 1)}{(1 + 12A_0^3)^{\frac{3}{4}}}$$

707 
$$k = \frac{(1+12A_0^3)^{\frac{1}{2}}}{\sqrt{12\pi}A_0^2}$$

708 
$$K = \frac{K_{IC}}{\sqrt{\pi}}$$

709

such that the parameter introduced in our equation (5) is defined in terms of these as 710

711

712 
$$\lambda = \frac{K_{Ic}}{(Q\eta E')^{\frac{1}{4}}} = \frac{6^{\frac{1}{4}}\sqrt{\pi}}{2}\gamma = \left(\frac{\pi}{2}\right)^{\frac{1}{4}}\frac{(6A_0^3 - 1)}{(1 + 12A_0^3)^{\frac{3}{4}}}$$
713 (A.5)

(A.4)

(A.6)

(A.7)

713

714 The dike length and thickness are given in terms of the parameters in (A.4) by equations (27) 715 and (28) in Spence and Turcotte (1985)

716

717 
$$L = \frac{2}{6^{\frac{1}{6}}} \cdot kQ^{\frac{1}{2}} \left(\frac{E'}{\eta}\right)^{\frac{1}{6}} t^{\frac{2}{3}}$$

718

719 
$$T = 2.6^{\frac{1}{6}} kA_0 Q^{\frac{1}{2}} \left(\frac{\eta}{E'}\right)^{\frac{1}{6}} t^{\frac{1}{3}}$$

720

where 
$$t$$
 is time. These can be combined to give

722

723 
$$T = 24^{\frac{1}{4}}k^{\frac{1}{2}}A_0\left(\frac{Q\eta}{E'}\right)^{\frac{1}{4}}L^{\frac{1}{2}}$$

724

725 Following equation (4) we write this as

 $f = \sqrt{\frac{\pi}{8}} \cdot 24^{\frac{1}{4}} \frac{k^{\frac{1}{2}}A_0}{\lambda} = \frac{(1+12A_0^3)}{2(6A_0^3-1)}$ 

(A.8)

(A.9)

727 
$$T = f \cdot \sqrt{\frac{8}{\pi} \frac{K_{Ic}}{E'} L^{\frac{1}{2}}}$$

728

729 such that

730

732

733

734 This is the blue line shown in Figure 2.

735

Now, in the *kinetic-controlled limit*  $(\lambda \to 0)$  we have  $\gamma \to 0$  so (A.1) gives  $6A_0^3 = 1$  such that 736 737

$$f = \sqrt{\frac{3\pi}{32}}\lambda^{-1}$$

$$(A.10)$$

740 This is the red line shown in Figure 2 and shows that the kinetic-controlled limit is valid for  $\lambda < 0.2$ . Given 2D volume (area)  $V_{2D} = Qt$  we can also write this as 741 742

743 
$$L = \sqrt{\frac{6}{\pi}} \left(\frac{E'}{Q\eta}\right)^{\frac{1}{6}} V_{2D}^{\frac{2}{3}} = \sqrt{\frac{6}{\pi}} \left(\frac{V_{2D}^2}{L_{\eta}}\right)^{\frac{1}{3}} \qquad T = \sqrt{\frac{6}{\pi}} \left(\frac{Q\eta}{E'}\right)^{\frac{1}{6}} V_{2D}^{\frac{1}{3}} = \sqrt{\frac{6}{\pi}} \left(L_{\eta}V_{2D}\right)^{\frac{1}{3}}$$
744 (A.11)

744

745 and

746

748 (A.12)

 $T = \left(\frac{6}{\pi}\right)^{\frac{1}{4}} \left(L_{\eta}L\right)^{\frac{1}{2}}$ 

- which only depends on the kinetic length scale  $L_{\eta}$  as expected. 749
- 750

751 In the *toughness-controlled limit* ( $\lambda \rightarrow \infty$ ) we note that equations (34) and (35) in Spence and 752 Turcotte (1985) are wrong, as they show a  $\eta$  dependence which should not be there in this

regime. Carrying out the algebraic substitutions correctly, the actual result is as follows. In the

754 limit of  $\lambda \to \infty$  we get 755  $\lambda = \left(\frac{3\pi}{9}\right)^{\frac{1}{4}} A_0^{\frac{3}{4}}$ 756 757 (A.13) 758 then 759  $k = \left(\frac{3}{8\pi^2}\right)^{\frac{1}{6}} \cdot \lambda^{-\frac{2}{3}}$ 760 761 (A.14) 762 giving, from (A.6), 763  $L = \left(\frac{2}{\pi}\right)^{\frac{1}{3}} \lambda^{-\frac{2}{3}} Q^{\frac{1}{2}} \left(\frac{E'}{n}\right)^{\frac{1}{6}} t^{\frac{2}{3}} = \left(\frac{2}{\pi}\right)^{\frac{1}{3}} \left(\frac{QE'}{K_{IC}}\right)^{\frac{2}{3}} t^{\frac{2}{3}}$ 764 765 (A.15) 766 and  $T = 2\left(\frac{2}{\pi}\right)^{\frac{2}{3}} \lambda^{\frac{2}{3}} Q^{\frac{1}{2}} \left(\frac{\eta}{E'}\right)^{\frac{1}{6}} t^{\frac{1}{3}} = 2\left(\frac{2}{\pi}\right)^{\frac{2}{3}} \left(\frac{Q^{\frac{1}{2}} K_{Ic}}{E'}\right)^{\frac{2}{3}} t^{\frac{1}{3}}$ 767 768 (A.16) Writing this in terms of  $V_{2D} = Qt$  gives 769 770  $L = \left(\frac{2}{\pi}\right)^{\frac{1}{3}} \left(\frac{E'}{K_{IC}}\right)^{\frac{2}{3}} V_{2D}^{\frac{2}{3}} = \left(\frac{2}{\pi}\right)^{\frac{1}{3}} \left(\frac{V_{2D}^2}{L_{K}}\right)^{\frac{1}{3}} \qquad T = 2\left(\frac{2}{\pi}\right)^{\frac{2}{3}} \left(\frac{K_{IC}}{E'}\right)^{\frac{2}{3}} V_{2D}^{\frac{1}{3}} = 2\left(\frac{2}{\pi}\right)^{\frac{2}{3}} (L_K V_{2D})^{\frac{1}{3}}$ 771 772 (A.17) 773 774 Combining these gives our equation (3) 775

776 
$$T = \sqrt{\frac{8}{\pi}} (L_K L)^{\frac{1}{2}} = 1.60 (L_K L)^{\frac{1}{2}}$$

777

(A.18)

which only depends on the toughness length scale as expected, and yields f = 1 in this case as required.

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# 782 **B. Non-linear inflation and relaxation model**

The aim here is to extend the analysis of Spence and Turcotte (1985), which has been reevaluated in appendix A, to be applicable to the general case where V(t) is a general function of time. Here an approximate analytical solution is derived using a variational method for kinetic processes defined by Cocks et al. (1998). This postulates that the best estimate of a kinetic field minimises a variational functional

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where  $\Psi$  is a dissipation potential and  $\dot{G}$  is the rate of change of Gibbs free energy. In this case, the dissipation is due to magma flow. The Gibbs free energy is the driving force for this flow. In general it has two contributions

 $\Pi = \Psi + \dot{G}$ 

 $G = U_{e} + 2\Gamma L$ 

(**B**.1)

(B.2)

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where  $U_e$  is the change in elastic strain energy in the host rock due to changes in the dike geometry and/or magma pressure (equivalent to the energy release rate for crack growth), and  $2\Gamma L$  is the fracture energy, where  $\Gamma \approx \frac{K_{I_c}^2}{2E'}$  is the (constant) energy per unit area of fracture and 2L is the area of the crack face created as two crack faces are produced by splitting. Here the analysis is limited to the kinetic-controlled regime such that the second term is omitted, i.e.  $\Gamma = 0$ .

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804

805 Gibbs free energy, G

Here we utilise the fact that in linear elasticity the change in elastic strain energy,  $U_e = \frac{1}{2}\Omega$ , is half the work done by the applied load. Here, this is the work done by the internal pressure, p(x), in generating an opening thickness, h(x)

(B.3)

(B.6)

(B.7)

810 
$$\Omega = \int_{-a}^{a} p(x)h(x)dx$$

811

812 The deformed shape for the assumed kinetic-controlled pressure profile, written as  $p(\xi) =$  $P\left[1-\frac{\pi}{2}|\xi|\right]$  is given by equation (20) in Spence and Turcotte (1985) as 813 814

815 
$$h(\xi) = \frac{2PL}{E'} \left[ \sqrt{1 - \xi^2} + \frac{1}{2} \xi^2 \ln \left( \frac{1 - \sqrt{1 - \xi^2}}{1 + \sqrt{1 - \xi^2}} \right) \right]$$
816 (B.4)

816

where  $\xi = x/a$ . Note that the definition of maximum thickness, T = h(0), recovers equation 817 (2). The volume of the magma-filled crack is 818 819

820 
$$V(t) = \frac{L}{2} \int_{-1}^{1} h(\xi) d\xi = 1.051 \frac{PL^2}{E'} = 0.525 LT$$
821 (B.5)

821

822 Evaluation of the integral (B.3) gives

823

 $\Omega = 0.527 \frac{P^2 L^2}{F'} = \tilde{\beta} P V$ 824

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where  $\tilde{\beta} = 0.502$ . This scaling is universal, with only the exact value of the pre-factor 826  $\tilde{\beta}$  depending on the choice of pressure distribution within the dike. Note that for a uniform 827 pressure of p(x) = P the pressure term can be moved out of the integral in (B.3) such that  $\Omega =$ 828 829 PV in this case. Hence it is expected that the actual distribution will produce a pre-factor somewhere between these two cases, i.e.  $\tilde{\beta}$  is between 0.5 and 1.0. 830

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#### 833 Dissipation potential, $\Psi$

834 The average magma flux through the dike at a distance x from the centre assumes laminar flow 835 such that magma flows down the pressure gradient

 $j(x) = -kf_n$ 

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where  $k(x) = \frac{h(x)^3}{12n}$  is the permeability of the magma channel and  $f_p = \frac{\partial p}{\partial x}$  is the driving force 839 840 for flow per unit volume. Following Cocks et al. (1998) we write this in terms of a dissipation 841 rate per unit volume  $\psi$  such that 842  $f_p = -\frac{\partial \psi}{\partial i}$ 843 844 (B.8)The total dissipation can then be determined from (B.7) and (B.8) to be 845 846  $\Psi = \int_{-a}^{a} \psi dx = \frac{1}{2} \int_{-a}^{a} \frac{j^2}{k} dx$ 847 848 (B.9) The flux is related to the dike shape. For  $0 \le x \le a$  we have 849 850  $j(x) = -\int_0^x \frac{\partial h}{\partial t} dx + j_0$ 851 852 (B.10) where the flux at the centre of the dike is  $j(0) = j_0$ . It is tempting to determine the flux using 853 854 the dike profile defined by (B.4) for the linear pressure gradient, but this is not possible as the 855 chosen pressure distribution is not an exact solution. In practice the pressure gradient f at the 856 tip must be infinite to generate a finite flux where the dike thickness h is zero (Rubin, 1995).

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864 
$$j(x) = -\int_0^x \frac{\partial \tilde{T}}{\partial t} dx + j_0 = -c\dot{T}x + j_0$$

where c = 0.525. Now (B.10) becomes

If (B.4) is used then the dissipation potential is infinite at the tip. To simply generate an estimate

of the dissipation, we therefore assume a simple rectangular dike shape, whereby the dike is of

length L and average thickness  $\tilde{T}$  where volume conservation requires that  $V = L\tilde{T}$ . This will

provide the correct scaling, and the contribution from the actual shape can be calibrated later

from the solution of Spence and Turcotte (1985). Equation (B.5) yields the relation  $\tilde{T} = cT$ ,

(B.11)

865

866 where  $j_0 = \frac{1}{2}\dot{V} > 0$  is the rate of change of half the magma volume in the growing dike. Given 867  $\dot{V} = c(\dot{T}L + T\dot{L})$  we can write this as

868 
$$j(x) = cT\dot{a}\left(\frac{x}{a}\right) + j_0\left(1 - \frac{x}{a}\right)$$
869 (B.12)
870 To be be a first of the firs

To calculate (B.9) we assume an average permeability  $\tilde{k} = d \cdot \frac{T^2}{12\eta}$  where the pre-factor d is to 870 be determined based on the dike shape. The dissipation potential is therefore 871 872

873 
$$\Psi = \frac{L}{12\tilde{k}} \left[ \left( cT\dot{L} \right)^2 + cT\dot{L}\dot{V} + \dot{V}^2 \right]$$
874 (B.13)

875

Variational functional,  $\Pi$ 876

877 We write 
$$P = \frac{E'T}{2L} = \frac{E'V}{2cL^2}$$
 from equation (2) such that (B.6) becomes  $\Omega = \frac{\tilde{\beta}E'V^2}{2cL^2}$  and hence  
878

879 
$$\dot{G} = \frac{1}{2}\dot{\Omega} = \frac{\tilde{\beta}E'V^2}{2cL^2} \left[\frac{\dot{V}}{V} - \frac{\dot{L}}{L}\right]$$

(B.14)

880

881 The variational functional (B.1) can therefore be written as

882

883 
$$\Pi = \frac{L}{12\tilde{k}} \left[ \left( cT\dot{L} \right)^2 + cT\dot{L}\dot{V} + \dot{V}^2 \right] + \frac{\tilde{\beta}E'V^2}{2cL^2} \left[ \frac{\dot{V}}{V} - \frac{\dot{L}}{L} \right]$$
884 (B.15)

884

As  $\dot{V}$  is prescribed in this analysis, the only kinetic degree-of-freedom is  $\dot{L}$  whose optimal 885 solution minimises (B.15) such that  $\frac{\partial \Pi}{\partial i} = 0$ . This gives 886

887 
$$\frac{L}{12\tilde{k}} [2c^2 T^2 \dot{L} + cT \dot{V}] = \frac{\tilde{\beta} E' V^2}{2cL^3}$$
888 (B.16)

888

Now, as we have already seen,  $\dot{V} = c [T\dot{L} + L\dot{T}]$ . To make simple analytical progress, we 889 follow Spence and Turcotte (1985) by looking for power law solutions where  $T = hL^m$ , where 890 h and m are constants. This yields  $L\dot{T} = mT\dot{L}$  and thus  $\dot{V} = c(m+1)T\dot{L}$  such that (B.16) 891 892 becomes

894 
$$\frac{(3+m)c^2T^2L}{12\tilde{k}}\dot{L} = \frac{\tilde{\beta}E'V^2}{2cL^3}$$

(B.17)

896 Substituting for 
$$\tilde{k} = d.\frac{(cT)^3}{12\mu}$$
 and  $T = \frac{V}{cL}$  we get  
897  
898  $\dot{L} = \frac{d\tilde{\beta}E'V^3}{2c(3+m)\mu L^5}$   
899 (B.18)

900 Rearranging and integrating over time gives

901 
$$L(t) = A \left(\frac{E'S}{\eta}\right)^{\frac{1}{6}}$$
902 (B.19)

902

where the pre-factor  $A = \left(\frac{3d\tilde{\beta}}{4c(3+m)}\right)^{\frac{1}{6}}$  and we have introduced the variable 903

904

905 
$$S(t) = 4 \int_0^t V(t)^3 dt$$
906 (B.20)

906

The pre-factor is calibrated using the linear growth case of V = Qt examined by Spence and 907 Turcotte (1985) for which  $m = \frac{1}{2}$  and A = 1.38. 908

909

910 General solution

911 We determine how the length, maximum thickness and maximum pressure in the dike evolve over time from (B.19) for a general volumetric time evolution V(t) as 912

913

914 
$$L(t) = 1.38 \left(\frac{E'S}{\eta}\right)^{\frac{1}{6}} \qquad T(t) = 1.38V \left(\frac{\eta}{E'S}\right)^{\frac{1}{6}} \qquad P(t) = 0.94V \left(\frac{E'^2\eta}{S}\right)^{\frac{1}{3}}$$
915 (B.21)

915

916 This assumes a self-similar shape for the dike during growth, although this will not necessarily 917 be completely true during the relaxation phase, which is complicated by freezing.

918

919 Inflation stage solution for power law magma injection

If we assume power law growth during the inflation phase, such that  $V(t) = Qt^s$ , then (B.21) 920

921 can be expressed as

923 
$$L(t) = 1.38Q^{\frac{1}{2}} \left(\frac{\hat{\beta}E'}{\eta}\right)^{\frac{1}{6}} t^{\frac{3s+1}{6}} \quad T(t) = 1.38Q^{\frac{1}{2}} \left(\frac{\eta}{\hat{\beta}E'}\right)^{\frac{1}{6}} t^{\frac{3s-1}{6}} \quad P(t) = 0.94 \left(\frac{E'^2\eta}{\hat{\beta}}\right)^{\frac{1}{3}} t^{-\frac{1}{3}}$$
924 (B.22)

where  $\hat{\beta} = \frac{4}{3s+1}$ . Note that the scaling is identical to the exact solution of Spence and Sharp 925 926 (1985). Now, the thickness-length relationship can be written in a more general form as 927

928 
$$T = 1.38^{1-m} \left(\frac{\eta}{\hat{\beta}E'}\right)^{\frac{1+m}{6}} L^m$$
929 (B.23)

929

where  $m = \frac{3s-1}{3s+1}$ . Note that Equation 6 is recovered if s = 1 when the exponent reduces to m =930  $\frac{1}{2}$ . 931

932

### 933 Relaxation stage solution

If we assume growth ends at time  $t = t_0$  then the final magma volume is  $V(t_0) = V_0 = Qt_0^s$ . 934 The dike can still evolve over time even without magma emplacement, although at a much-935 reduced rate. If this evolution occurs for an additional relaxation time  $t_r$  such that  $t = t_0 + t_r$ , 936 937 then from (B.20) we have

938 
$$S = 4V_0^3 \left( t_r + \frac{t_0}{3s+1} \right)$$
939 (B.24)

in (B.21). We can see that during volumetric growth the length increases as  $t^{\frac{1}{6}+\frac{s}{2}}$  but during 940 constant volume relaxation it increases more slowly, tending towards  $t^{\frac{1}{6}}$  when  $t_r \gg t_0$ . 941 Similarly, the thickness increases during volumetric growth as  $t^{-\frac{1}{6}+\frac{s}{2}}$  and decreases during 942 constant volume relaxation, tending towards  $t^{-\frac{1}{6}}$  when  $t_r \gg t_0$ . 943

944

#### **C. Solidification time** 945

An upper estimate for the time for relaxation (before freezing) is obtained from equation (9) 946 947

 $t_r = \frac{T(t_r)^2}{16\kappa\beta^2}$ 948

949 (C.1)  $t_r^3 \left( t_r + \frac{t_0}{3s+1} \right) = \frac{1.38^6 V_0^3}{4(16\kappa\beta^2)^3} \left( \frac{\eta}{E'} \right)$ 

(C.2)

where the final thickness  $T(t_r)$  is given by (B.21) and (B.24). These equations can be rearranged into a quartic in  $t_r$ 

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954

which can be solved numerically.

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957

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