

1 *This is a non-peer reviewed preprint which has been submitted to Environmental Science*
2 *and Pollution Research Journal*

3
4 **Quantitative and distributive measurement of ambient air pollution**
5 **for global burden of disease**

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23
24 **Abstract**

25 Air quality impacts human health from multiple perspectives. Ambient air pollution
26 (AAP) exposure poses a great contribution to the global burden of disease (BoD). The
27 United Nations launched the Sustainable Development Goals (SDGs) to evaluate
28 sustainability levels and improve human living environments. In particular, the two
29 indicators 3.9.1 and 11.6.2, i.e. fine particulate matters (PM_{2.5} and PM₁₀) and relative
30 disease mortality are listed to illustrate the development goals for the air environment.
31 At present, countries around the world have adopted measures to mitigate AAP, and a
32 quantitative evaluation of the effectiveness is necessary. Thus, statistics for AAP and
33 BoD across the global 183 countries were analyzed to help assess the gap between the
34 status quo and SDGs in this study. We offer a new perspective on BoD estimation
35 research - proportional data (AAP-caused disease burden / total environment-caused

36 disease burden) in grouped global countries (according to their geographical and
37 economic conditions) were adopted to substitute the absolute value in this study, which
38 is more reasonable for comparative analysis. The overlap of economic and geographic
39 distribution shows that the heaviest BoD is concentrated in high-income and Middle
40 Eastern regions. Concerning the type of disease burden, acute lower respiratory
41 infections (ALRI) and ischemic heart disease (IHD) are two major contributors to BoD,
42 and the worldwide deaths and Disability Adjusted Life Years (DALYs) caused by them
43 need to be taken seriously. Generally, this study provides novel evidence for the
44 formulation of air pollution control and management measures to reduce the related
45 disease burden in global regions. To reduce the future BoD, different strategies should
46 be designed depending on the order of driving factors in regions. Even though the
47 triggers of BoD are quite different across the globe, the correlation analysis results
48 inform that reducing emissions along with CO₂ from social operations at the source is
49 the most direct and effective path in areas with a high density of susceptible populations.
50 **Keywords:** Ambient air pollution; Particulate matters; Global burden of disease;
51 Sustainable Development Goals.

52

53 **1 . Introduction**

54 Environmental pollution poses a great threat to human health since the industrial
55 revolution (Landrigan et al. 2016). According to the estimation of the World Health
56 Organization (WHO), environment attributable deaths reached 12.6 million in 2012
57 across the world (WHO 2016a). In contrast to many other environmental problems,
58 exposure to ambient air pollution (AAP) occurs during the whole lifespan and is
59 currently an intractable global problem (Schikowski et al. 2014), especially in emerging
60 countries with dense populations and rapid industrial development (Anser et al. 2020).
61 Exposure to a polluted climatic environment for a long time is the trigger for a series of
62 respiratory and cardiovascular diseases. Currently, the AAP is considered to be one of
63 the major contributors to the global burden of disease (BoD), i.e. lung cancer, stroke,
64 etc. (Hassoun et al. 2019).

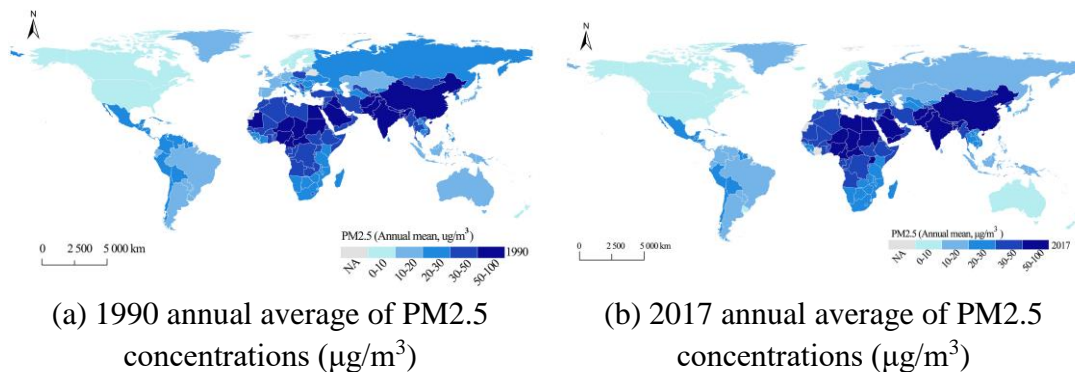
65 Nitrogen dioxide (NO₂), sulfur dioxide (SO₂), carbon monoxide (CO), particulate
66 matter with a median aerodynamic diameter <10 μm (PM₁₀), and fine particulate
67 matter <2.5 μm (PM_{2.5}) are typical air pollutants that can cause significant negative
68 influences on our ambient air quality (Committee on Environmental Health 2004).
69 Multiple research evidence from global regions has shown that these air pollution
70 factors are closely related to the incidence of diseases. In Iran, PM₁₀ and SO₂ with
71 concentrations exceeding 10 μg/m³ increased the hospitalization rate for respiratory
72 disease by 0.44% (Khaniabadi et al. 2019). In China, Liu et al. (2014) studied
73 atmospheric pollution in seven Northeastern Chinese cities and asthma-related
74 symptoms in more than 23,000 Chinese children and found that each 10 μg/m³ increase
75 in NO₂ concentration link to an adjusted prevalence of 1.25% for diagnosed asthma in
76 3 to 6 year-old children. Similar linkages also occur in developed regions. The AAP
77 leads to an annual mortality rate of 133 per 100,000 people and a 2.2-year reduction in
78 the mean life expectancy in EU-28 countries (Lelieveld et al. 2019).

79 To reduce the concentration of pollutants in the air environment, most global
80 countries have taken the necessary steps to limit and replace human activities that
81 produce serious pollution (Mejia 2020). For instance, the policy evidence in a
82 Tasmanian city shows that replacing burning wood as the main heater with electricity
83 in winter can significantly reduce PM_{2.5} by about 39% (Fuller and Font 2019). In the
84 UK, the introduction of the *UK Clean Air Act* has resulted in great mitigation of SO₂
85 from coal-fired power plants (Carnell et al. 2019). On a global scale, Jacobson (2017)
86 has drawn roadmaps to show that by 2050, a transition to 100% clean, renewable, and
87 sustainable power for all energy uses in 139 countries to reduce the excess emission is
88 a feasible schedule. However, there is a gap in a few developing countries to reach this
89 ambitious global project due to their unsatisfactory air pollution control policies
90 (Lelieveld and Pöschl 2017). For instance, Sub-Saharan Africa is a typical region with
91 poor air environment protection policies, city authorities take little management of
92 vehicle emissions, municipal solid waste (MSW), and solid fuel use into their policy
93 decisions, which are major contributors to worsening air pollution (Henneman et al.

94 2016; Amegah and Agyei-Mensah 2017). Beyond the impact on health, economic
95 development can also be affected by AAP. It is estimated that by 2060, the costs of AAP
96 control gradually increase to 1% of global GDP, with the highest GDP losses in some
97 developing regions, e.g. China, the Caspian region, and Eastern Europe (Lanzi et al.
98 2018).

99 Against the background of global urban expansion and industrial development, the
100 outdoor air environment has undergone a great deterioration. The main contributors to
101 the global AAP are PM2.5 and PM10, and their concentrations showed a trend of
102 increase or decrease in different countries during the last two decades, which implicitly
103 affects the dynamic of BoD. As shown in Fig.1, significant reductions in PM2.5
104 concentrations were only shown in Australia, Russia, and some European and Southeast
105 Asian countries. Coincidentally, by comparing the research of Richards and Belcher
106 (2019) on vegetation coverage in 4,256 cities around the world, we superficially found
107 that the dynamics in particulate matter and the changes in global urban vegetation
108 coverage are roughly consistent in geographic space. Overall, the particulate matter
109 problem may have improved through sustainable human activities, but the current
110 situation is still far from reaching the goal of risk-free human health.

111



112 Figure 1. Global annual mean levels of particulate matter population-weighted
113 concentrations

114

115 2 . Literature review

116 Air environment control and management have become a serious issue and a

117 research hotspot, as it poses challenges regarding human health and sustainable
118 development. An increasing number of studies have paid attention to the relations
119 between human disease burden and AAP, only in the 20 years from 1998 to 2017, 2,179
120 related researches could be retrieved from the Web of Science Core Collection (Dhital
121 and Rupakheti 2019).

122 The previous research on the association between ambient particulate matter and
123 the disease burden provides a reliable basis for the further analysis of this study. Their
124 starting point includes two perspectives - environment management and epidemiology.
125 Their findings explained the links between the diffusion mechanisms of air particulate
126 matter and the incidence of diseases caused by it. In detail, Kim et al. (2015)
127 summarized the typical law of the particulate matter impact on health through historical
128 literature - as particles decrease in size, it is hypothesized to increase their ability to
129 penetrate the lower airways and burden of respiratory and cardiovascular health.
130 Hamanaka and Mutlu (2018) also conducted a systematic review and meta-analyze to
131 link the particulate pollution exposure to morbidity and mortality in the human
132 cardiovascular system from an endocrinological perspective. Their general evidence
133 suggests that there is no “safe” level of particulate pollution exposure unless we put
134 efforts to manage the climatic environment and reduce particulate pollution production
135 and exposure. Miri et al. (2016) built AirQ models to investigate the health effects of
136 multiple air pollutants at a city level. The quantitative results showed that suspended
137 particles of PM_{2.5} and PM₁₀ have the greatest adverse effect on people’s health (in
138 terms of respiratory and cardiovascular diseases) between NO₂, SO₂, O₃, and particulate
139 pollution.

140 Besides, case studies from countries around the world also prove a strong link
141 between the two. The U.S. cohort study of Bowe et al. (2019b) illustrated that PM_{2.5}
142 exposure is associated with the excess burden of death owing to multiple chronic
143 diseases, and racial and socioeconomic disparities in the burden are evident. The
144 sources of PM_{2.5} are almost cigarette smoking, industrial emissions, or the burning of
145 wood and dung for fuel (Arnold 2014). Evidence from Brazil and China suggested

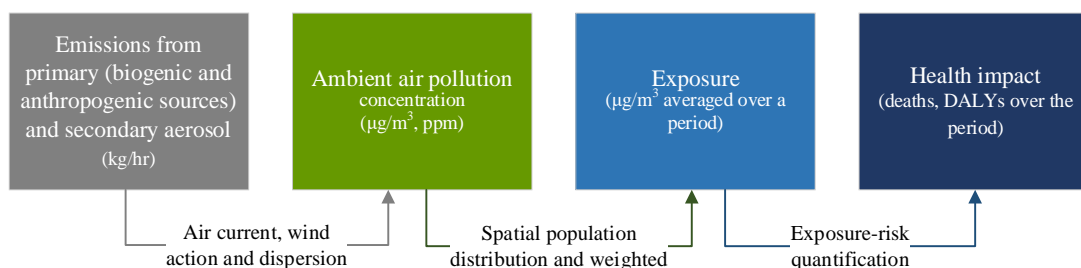
146 together that PM10 exposure increases respiratory and cardiovascular morbidity, with
147 years of life lost (YLL) being more sensitive than mortality in the assessment (Chen et
148 al. 2017; Zeng et al. 2017; Abe et al. 2018).

149 The literature has highlighted high-risk disease burdens caused by AAP in specific
150 geographic regions or countries but without a comprehensive focus on the comparative
151 Global Burden of Disease (BoD) (Kim and Johnston 2011). In 2015, the United Nations
152 Sustainable Development Goals (SDGs) were developed to address challenges related
153 to poverty, inequality, climate change, environmental degradation, prosperity, and peace
154 and justice at the United Nations Sustainable Development Summit (Schmidt-Traub et
155 al. 2017; Haines et al. 2017). The combination concern of air environment quality and
156 human health are included in both goals of “3.9.1 Mortality rate attributed to household
157 and ambient air pollution” and “11.6.2 Annual mean levels of fine particulate matter
158 (e.g. PM2.5 and PM10) in cities (population-weighted)” (UNSD 2017). SDGs put
159 forward strict requirements for air quality. To quantitatively evaluate the status quo of
160 AAP based on the standards proposed by SDGs and offer optimal management
161 measures for the air environment, here we aim to conduct an updated analysis on spatial
162 differences and connections between BoD and AAP on a global scale.

163 3 . Methods

164 As shown in Fig.2, it illustrates the system flow from the generation of emissions
165 to effects on human health (Awe et al. 2015). Simply, air pollution derives from the
166 spread of various emissions, and human exposure to ambient pollution further causes
167 health effects. This study gathered AAP data from different emissions and BoD data
168 from various diseases to help clarify their relations.

169



170

Figure 2. The linkage from emissions to the burden of disease

2.1 The study scope and data source

In this study, spatial and temporal analysis is carried out from AAP and BoD perspectives. The detailed research area is as follows:

(1) Global AAP analysis. The outdoor air pollution data from a total of 193 countries and regions across the world were included in this section. Specifically, following the definition of WHO, in order to provide an accurate figure of BoD attributed to AAP, the measured AAP here is ambient air particulate pollution, and the impacts on health from other air pollutants such as nitrogen oxides and ozone are excluded (WHO 2016b).

The air particulate pollution data refers to the population-weighted exposure to ambient PM_{2.5} and PM₁₀, which is calculated by weighting annual concentrations by the populations of urban and rural areas. The World Bank Open Database (WBOD) and WHO Database (see Tables S.3-S.4 in Supporting Information (SI)) offer the statistics of global PM₁₀ and PM_{2.5} exposure data with the time ranges from 1990 to 2017 and 1990 to 2011, respectively (see Table 1). Primary data of WHO and WB are derived from official reporting from member countries. The Data Integration Model for Air Quality (DIMAQ) data from over two decades can help depict global dynamics in air particulate pollution (already shown in Fig.1).

(2) AAP attributable BoD. The assessment of environment- and AAP-associated BoD is available for 183 countries and regions (see Table 1). Geographically, it includes 47 Asian countries, 39 European countries, 54 African countries, 21 North American countries, 12 South American countries, and 10 Oceanian countries. According to the income classification of WB, it includes 30 low-income countries, 52 lower-middle-income countries, 51 upper-middle-income countries, and 50 high-income countries.

When comparing and analyzing the BoD results, we follow the classification method proposed by the WHO, which is death and Disability Adjusted Life Year (DALY). Compared with the death that can directly assess the health impact caused by AAP, DALY is an indicator that reflects the long-term impact of AAP on human health.

200 As for the type of BoD, based on the statistical approach of WHO provided by
 201 epidemiologists, ALRI (acute lower respiratory infections), lung cancer, COPD
 202 (chronic obstructive pulmonary disease), stroke, and IHD (ischemic heart disease) are
 203 chosen as the BoD assessment indicators with enough epidemiological evidence.

204

205 Table 1 Detailed study scope and data categories

Data type	Data sub-type		Time frame	Classification	Sub-classification
(1) AAP data*	PM2.5		1990-2017	Geographical**	Asia, Europe, Africa, North America, South America, Oceania
	PM10		1990-2011		
(2) BoD data*	Death	Lung cancer, Cataract, IHD, Stroke, COPD, ALRI	2016	Income** (based on world bank regions)	Low income, Lower middle income, Upper middle income, High income
	DALY				

206 * Data sources: AAP data gathered from World Bank Open Database and WHO
 207 Database, BoD 2016 data collected from WHO Database.

208 ** The detailed country list can be found in Tables S.1-S.2 in SI.

209

210 2.2 Data analysis

211 Based on the ground measurement data for PM2.5 and PM10, derived from
 212 monitors in global 2972 cities or towns, therefore, the ambient air quality measurements
 213 can cover almost all major regions and countries of the world. Similar to BoD exposure
 214 estimation in previous years, the mean of gridded values is also used in order to provide
 215 estimates at a high spatial resolution - $0.1^\circ \times 0.1^\circ$ resolution globally. According to the
 216 description in Table 1, the linkage between disease burden and AAP is assessed via
 217 AAP attributable BoD, and its spatial dynamics are discussed in terms of disease type,
 218 geographical regions, and income distributions.

219 In the temporal analysis of exposure AAP, Eq.1 shows the dynamic of AAP value
 220 over time in a specific area.

221
$$V_{i-x-mn} = \frac{A_{i-x-n}}{A_{i-x-m}} - 1 \quad (1)$$

222 where V refers to the dynamic of population-weighted concentration of type i AAP
223 in region x between two statistical years (year m and n , $n > m$). A is the
224 population-weighted concentration of type i AAP (namely PM2.5 or PM10 in this
225 study) in region x in year m and n .

226 In the spatial analysis of BoD, Eq.2 shows the contribution of AAP to the BoD in
227 a single year as a percentage of the BoD caused by the total environment. As the total
228 population of different countries and regions varies greatly, this study uses the
229 proportion of BoD caused by AAP in the BoD caused by the total environmental impact
230 instead of the absolute number, which can produce a more reasonable comparison.

$$231 R_{ho-x-m} = \frac{B_{AAP-ho-x-m}}{B_{Envi-ho-x-m}} \quad (2)$$

232 where R refers to the ratio of AAP attributable to different health impacts to
233 environmental attribution. Similarly, subscripts of disease burden (B) mean the
234 attribution factors of the burden of disease (AAP or environment) and their health
235 impact (ho , death or DALY) in region x in year m .

236 After the calculation in different regions, the linkage between BoD and AAP can
237 be shown in comparisons.

238 2.3 Correlation analysis

239 The AAP from complex sources indirectly leads to BoD. After a general
240 understanding of BoD distribution, the identification of possible drivers for BoD is the
241 purpose of correlation analysis. The analysis is to test whether there is some dependency
242 relation between the driving factor variables (X_1 – X_7) and the burden of disease (Y_1 – Y_2)
243 and to determine the degree of the dependency relation (Zhao et al. 2016). As the BoD
244 analysis in this study adopts calculated proportion, the potential factors are also selected
245 using ratio data rather than absolute data. We compiled data on population density (X_1)
246 (UN DESA 2019), Human Development Index (HDI, X_2) (UNDP 2020), Gini
247 coefficient (X_3) (WBOD 2020), urbanization rate (by urban population rate) (X_4) (US
248 CIA 2020), forest coverage rate (X_5) (UN FAO 2020), and fossil CO₂ emissions (t CO₂
249 emissions per km² land area and t CO₂ emissions per capita, X_6 and X_7) from global
250 countries to cover socioeconomic and natural factors (European Commission 2018).

251 Subsequently, the correlations between these factors and AAP attributable death rate
252 and DALY rate are calculated respectively.

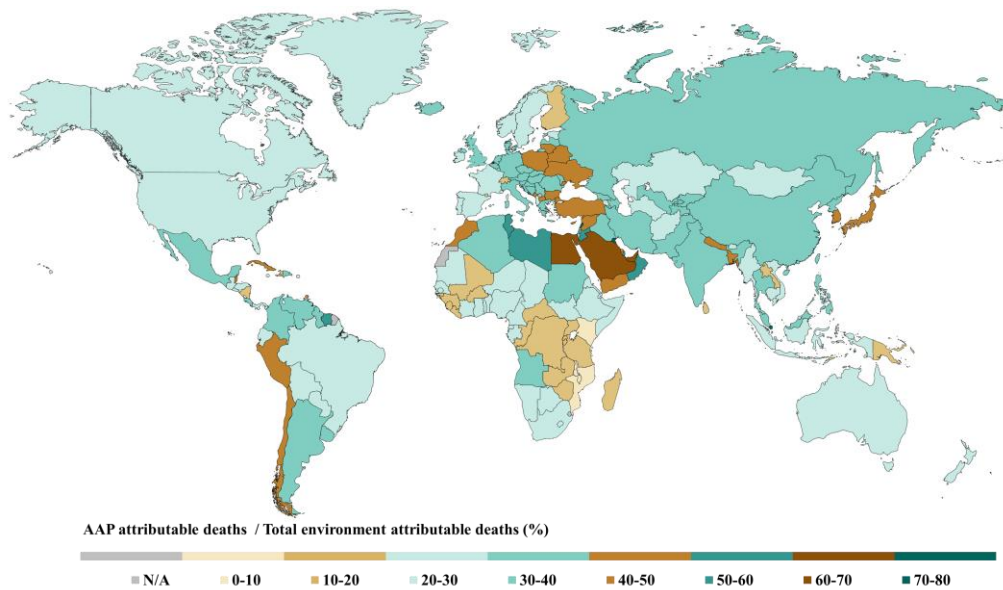
253 **3 Results**

254 According to the spatio-temporal dynamics of AAP attributable to BoD, the spread
255 of results among regions and economies highlights the impacts of AAP on human health.

256 *3.1 AAP-caused BoD distribution*

257 As the description in the previous section (*Materials and methods*), the BoD
258 analysis is divided into death and DALY. The two types of AAP-caused BoD
259 distributions are displayed in [Figs.3-4](#). As shown in [Fig.3](#), AAP poses a greater threat
260 to human health than other environmental factors. The highest mortality is over 50% in
261 2016 (AAP caused / total environment caused), and it generally concentrates on the
262 Middle East (part of West Asia and Northern Africa) and some of Eastern Europe and
263 South America (Peru, Chile, and Suriname) regions, in Lebanon even reaches 79%.
264 While the lowest is under 20%, mainly in the Central and East Africa region. The
265 potential attribution of high AAP-caused mortality burden in partial areas mainly to the
266 following reasons: (1) As shown in [Fig.1](#), Southeast Asia and the Middle East are the
267 worst regions affected by PM_{2.5} as well as PM₁₀ pollution, and fine particulate matters
268 have been identified is one of the major threats to the atmospheric environment and
269 sources of human premature mortality (Lelieveld et al. 2015; Cheng et al. 2016). (2)
270 Most countries in South America and the Middle East region are under accelerated
271 industrial development or intensive construction, which leads to the expansion of cities
272 and the increase of population density in urban areas, and urban metabolism and
273 industrial production are the main sources of particulate matter emissions (Yang et al.
274 2018). (3) The age structure of the population is also a driving factor (Dicker et al.
275 2018), evidence has shown that AAP exposure caused premature mortality in adults is
276 higher than in children (Chowdhury et al. 2020). (4) Other factors e.g. lower
277 government expenditure on public health and air environment protection, serious
278 desertification in the Middle East, and relatively less-developed health system in some
279 South America and Eastern Europe regions such as Peru and Ukraine (Luck et al. 2014;

280 Málaga et al. 2017).



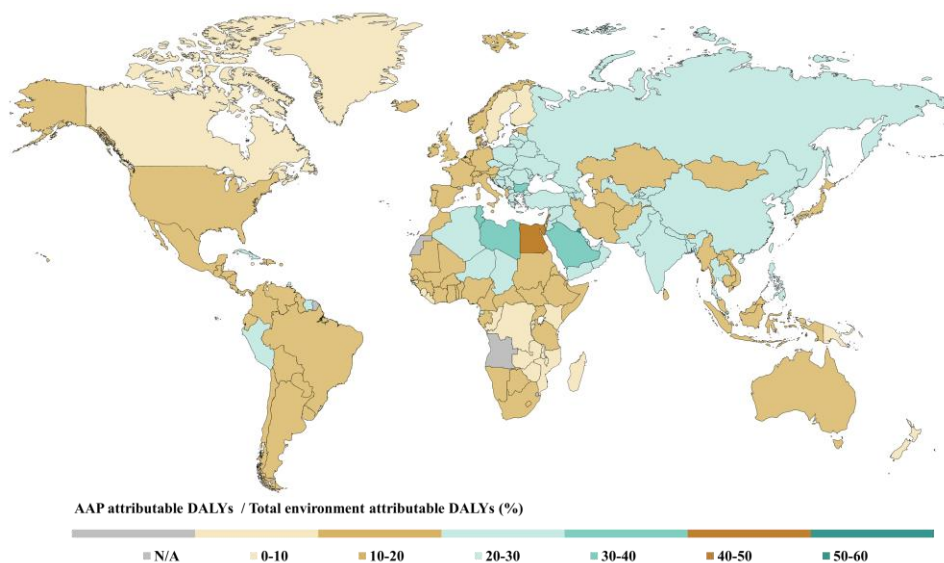
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282 Figure 3. The proportion of AAP attributable deaths in the total environment
283 attributable deaths

284

285 In Fig.4, compared to the mortality burden, the situation of AAP-caused DALY is
286 at a more acceptable level. The distribution shows that the highest DALY loss rate of
287 over 30% (AAP caused / total environment caused) still occurs in the Middle East area,
288 it even reached 55% in Kuwait. Whilst the lowest is under 10% in Canada, Nordic, and
289 the Southern Africa region. As one of the reasons mentioned earlier, the urban
290 environment of these Middle Eastern countries is characterized by desertification and
291 aridity, and these geographical characteristics can easily lead to a series of dust events
292 (Castree et al. 2018). There is no doubt that high concentrations of particulate matter
293 owing to dust events cause health impacts, for instance, the high particulate matter
294 concentration brought by the Middle East Dust event in Ahvaz, Iran (from April to
295 September 2010), resulted in total estimated mortality of 1,131 cases and morbidity of
296 8,157 cases (Shahsavani et al. 2012; Bowe et al. 2019a). The main result of AAP-caused
297 DALYs is the incidence of chronic disease (e.g. COPD and asthma), and early diagnosis
298 of chronic diseases often place higher requirements on the local medical and health
299 conditions, which are all objective causes in this region (Ginsberg et al. 2016; Lelieveld

300 et al. 2018; Eguiluz-Gracia et al. 2020).



301

302 Figure 4. The proportion of AAP attributable DALYs in the total environment
303 attributable DALYs

304

305 3.2 BoD 2016 analysis

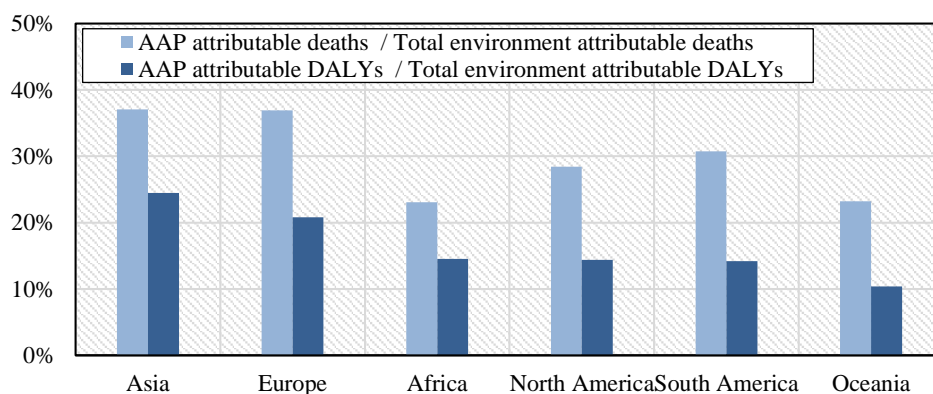
306 In the previous section, the general outline of AAP-caused BoD is introduced. In
307 fact, the multiple diseases caused by BoD are also closely related to the geographical,
308 political, economic, and other factors in different regions of the world.

309 3.2.1 Geographic-based analysis

310 As illustrated in Fig.5, the overall distribution of death and DALY is analogous.
311 Nevertheless, the situation of death owing to AAP exposure is more serious than DALY
312 across the world, and the gap between these two proportions is even over 16% in Europe
313 (16.1%) and South America (16.5%). Even if high mortality and DALY loss rates have
314 been noted in some regions, AAP poses a higher human health risk in Europe and Asia
315 in terms of overall geographic distribution. Similar results also appeared in the research
316 of other scholars, the calculation of Lelieveld et al. (2015) showed that outdoor air
317 pollution (mostly by PM2.5) leads to 3.3 million premature deaths per year worldwide,
318 predominantly in Asia. PM2.5 pollution is the most elementary environmental threat
319 factor for deaths and DALYs from respiratory and cardiovascular diseases, which tend
320 to occur a high incidence of these two types of disease in densely populated areas such

321 as Asia and aging population areas such as Europe (Small et al. 2018; Marois et al.
 322 2020). As the research of Rajagopalan et al. (2018) estimates, short-term elevations in
 323 PM2.5 concentration increase the cardiovascular diseases risk by 1% to 3% within
 324 several days. Whilst the region with the highest disease burden includes North Africa,
 325 the situation in Africa as a whole is more optimistic due to the neutralization of the low
 326 burden value of the vast African regions below North Africa (see Figs.3-4).

327



328

329 Figure 5. AAP attributable burden of disease by geographical distribution

330

331 Specifically, the incidence of the diseases triggered by particulate pollution varies
 332 among regions, mainly cardiovascular and respiratory diseases (see Fig.6). ALRI and
 333 IHD are the two leading AAP-caused BoD that poses the greatest threat to human health,
 334 regardless of the region. It is worth noting that in Africa, the ALRI has a huge
 335 contribution to DALY and mortality burden, which are approximately 70% and 50%
 336 respectively. One of the underlying reasons may be that frequent desert dust events in
 337 Africa have contributed to the increase of ambient particulate matter concentration and
 338 thus affected human respiratory health (De Longueville et al. 2014). As for the other
 339 diseases, IHD poses the highest health risk in all continents except Africa, and
 340 epidemiological statistics have proved that both long-term and short-term ambient
 341 particulate matter exposure will increase the risk for IHD {Citation}. Lung cancer is a
 342 serious disease, equipped with complex pathogenesis, and is often caused by the
 343 accumulation and deterioration of other relative diseases, its contribution to BoD is only
 344 the most insignificant proportion (Durham and Adcock 2015; King 2015).

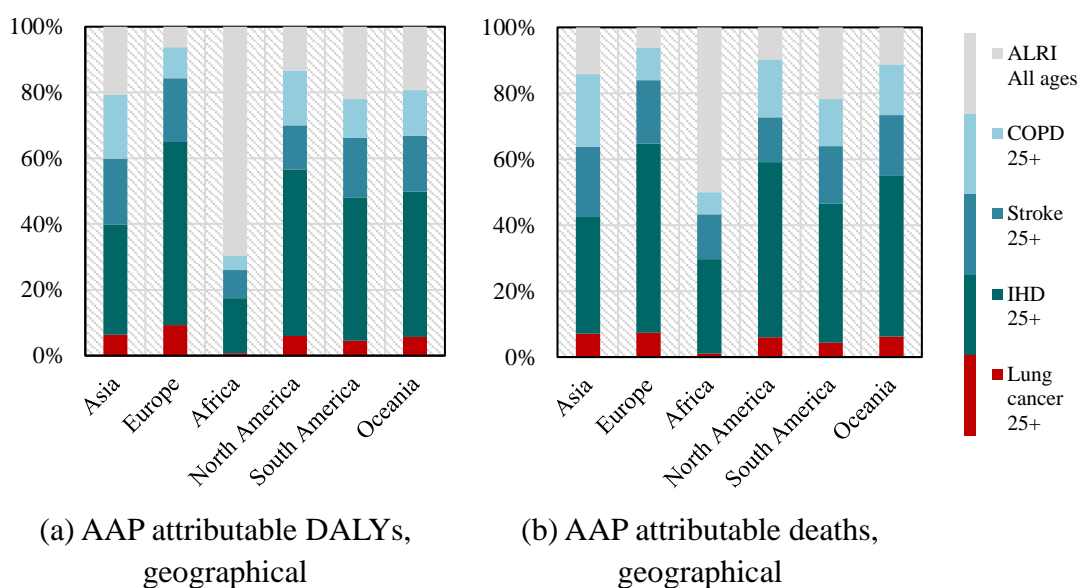


Figure 6. Distribution of disease by geographical distribution

346

347

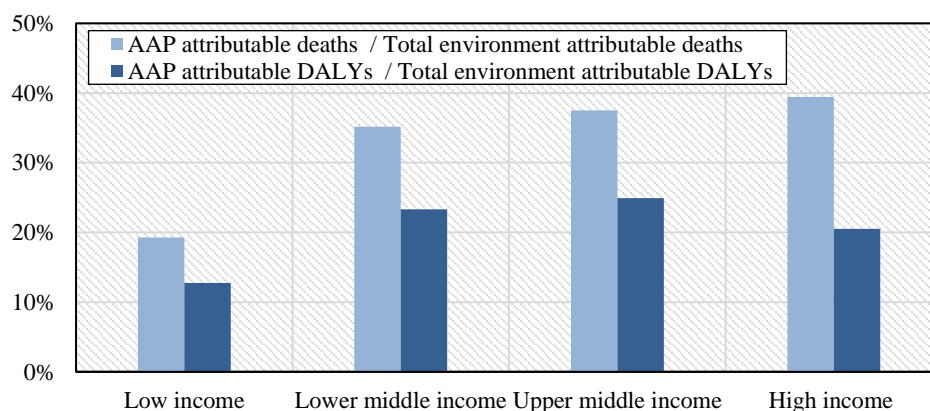
3.2.2 Income-based analysis

349 In terms of BoD's distribution from the economic perspective, [Figs.7-8](#) indicate
 350 the details. It can be seen in [Fig.7](#), that the death rate due to AAP-caused disease is
 351 proportional to income globally, and the peak of DALY is concentrated in developing
 352 regions. One of the reasons for the significant differences in the burden of disease
 353 among income groups is that environmental threats in low-income countries are
 354 complex compared with high-income countries. Overall environmental health impacts
 355 are higher in low-income countries, but multi-source environmental factors diluted
 356 shares of AAP. For instance, the degree of water pollution in an area is generally
 357 considered to be inversely proportional to the development of the area (Schwarzenbach
 358 et al. 2010). Another non-negligible driving factor is booming industrial development
 359 in middle-income countries, cheap conventional energy structures are used in industrial
 360 production, which has caused the air pollution emission plight of these countries (Kofi
 361 Adom et al. 2012). London used to be a proper example, in the early twentieth century,
 362 a type of air pollution called the London Fog due to the extensive use of coal in the field
 363 of daily life and industrial production, and Hanlon (2018) counted that high-pollution
 364 air exposure accounted for at least one out of every 200 deaths in London during that

365 period. Besides, it is noteworthy that the gap between AAP-caused mortality and DALY
366 burden is inversely proportional to the national economy. The mainstays of chronic
367 disease treatment are standard and low-cost medications that are sadly insufficiently
368 used in patients who live in low- and middle-income regions. The fiscal revenue of
369 these countries to support the establishment of a complete health system is obstructive,
370 and followed citizens cannot enjoy regular public medical services for their chronic
371 diseases (Moran et al. 2014).

372 Also, we consider that some interfering and co-existing factors in alliance with
373 AAP cause chronic diseases and affect the results of the disease burden, such as COPD
374 and smoking, IHD, and obesity, which may contribute to the higher burden in high-
375 income countries (Yusuf et al. 2020).

376



377

378 Figure 7. AAP attributable burden of disease by income distribution

379

380 Combined with the results in Fig.8, it can be seen that the mortality and DALY
381 loss rate of serious diseases caused by AAP (such as lung cancer) are both proportional
382 to income, which is one of the reasons for the results of high mortality in high-income
383 regions (see Fig.7). In the analysis of DALYs and deaths, it can be seen that their
384 distribution is roughly similar except for the slight difference in proportion. Obviously,
385 the distribution of disease burden is closely related to income (see Fig.8).

386 The distribution of the major AAP-caused disease burdens has shown regularity
387 across world economies. The burden of IHD & lung cancer, COPD & stroke, and ALRI

388 are prevalent in high-income, middle-income, and low-income, respectively. The death
 389 and DALY burden of AAP-caused IHD both have reached 46% in high-income regions,
 390 and ALRI is the contributor to half of the burden in low-income regions (70% and 48%
 391 to DALY and death burden respectively). In 2018, the WHO estimated the global health
 392 situation and IHD ranked first among the top 20 causes. However, when subdivided
 393 into different economies, the impact of IHD on health is not significant in low-income
 394 countries, which is similar to the BoD caused by AAP (WHO 2018). According to the
 395 findings of Shi et al. (2017), ALRI tends to occur in people with weakened immune
 396 systems. In low-income regions, exposure to AAP and a certain percentage of the
 397 undernourished population allows ALRI to infect. Due to the lack of a well-developed
 398 health care system, many patients who cannot be treated in time could pose a significant
 399 impact on BoD in low-income regions. Besides, previous research told that low
 400 socioeconomic status is also associated with BoD, compared with higher
 401 socioeconomic status, the mortality of ALRI significantly increased by 62% odds
 402 among young patients (Sonogo et al. 2015).

403

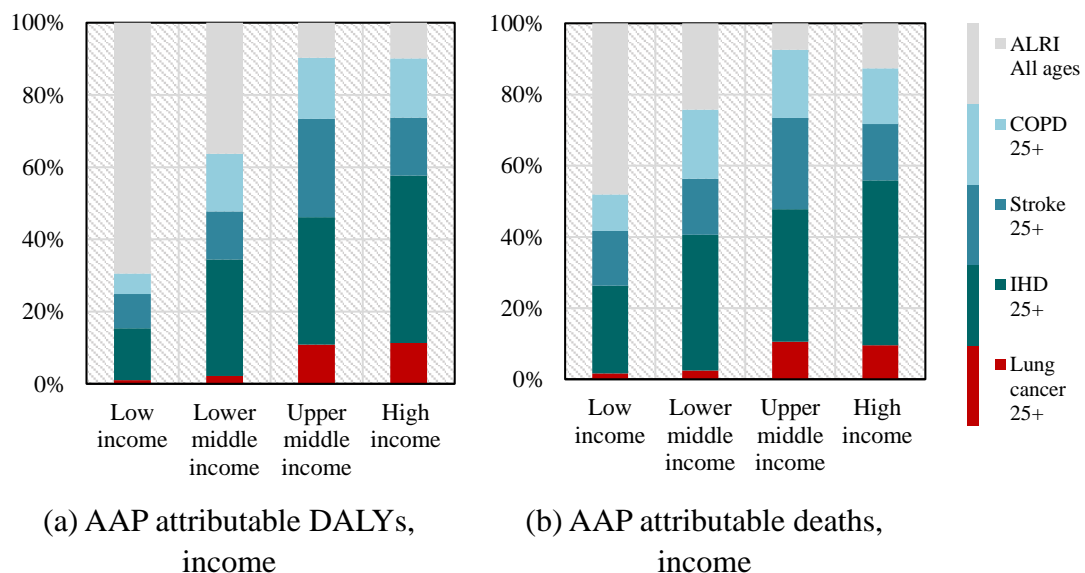


Figure 8. Distribution of disease by income distribution

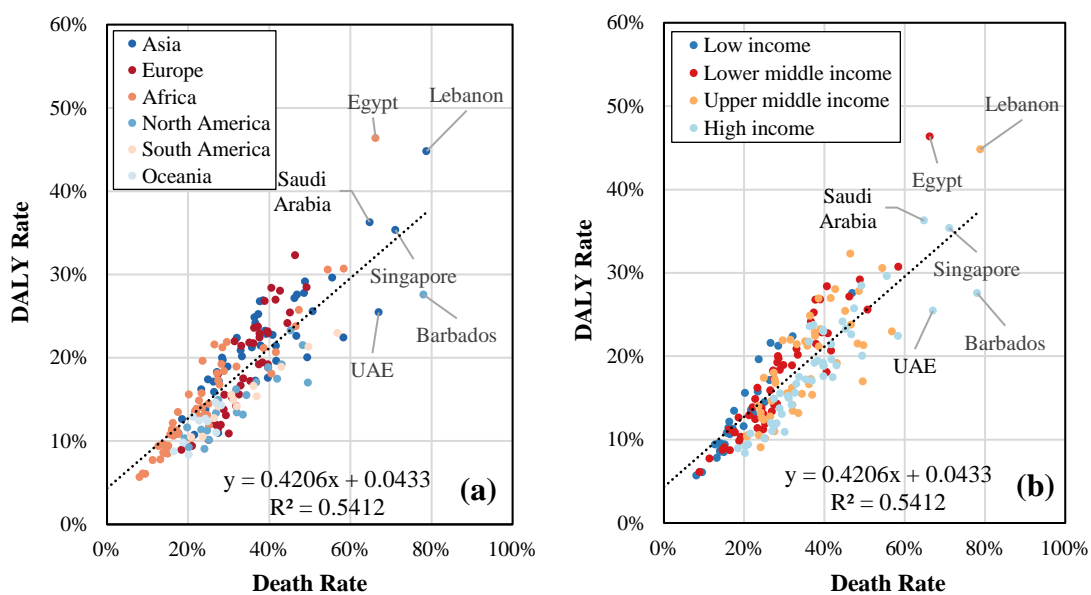
404

405

406 3.3 Correlations

407 Combining the display of Fig.9 and Figs.3-4, a consistent result can be found that

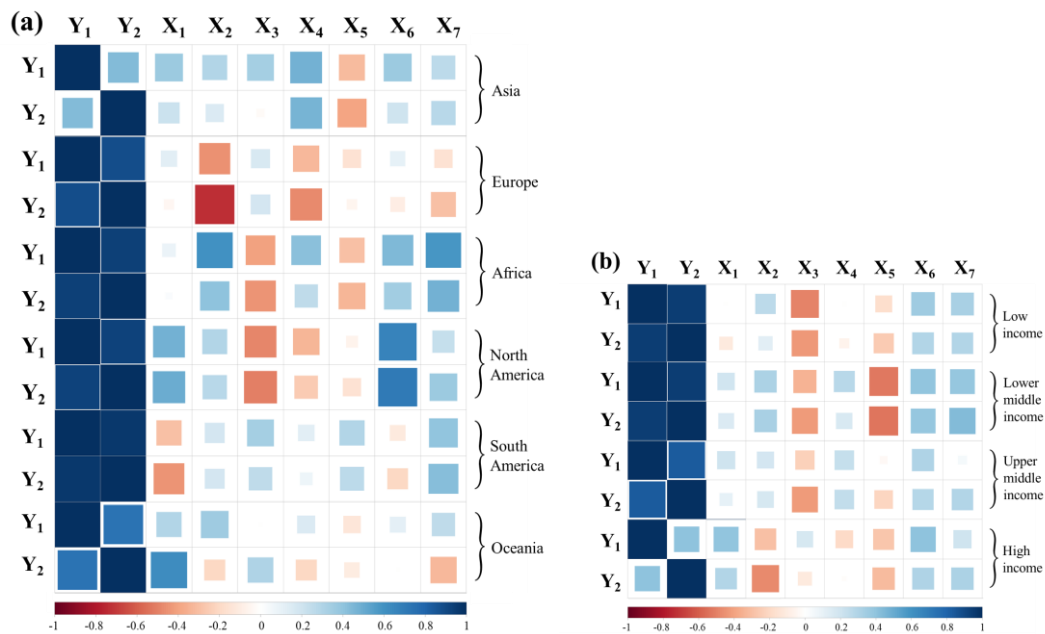
408 the high-income region of Asia, particularly the Middle East (including Egypt, UAE,
 409 Saudi Arabia, and Lebanon), is still the "worst-hit" region in terms of disease burden.
 410 Fig.9(a) and (b) also confirm the changes in Fig.5 and Fig.7, they suggest that AAP-
 411 caused two types of disease burden (death and DALY) are well correlated in all regions.
 412 In other words, changes in mortality and DALY loss rates generally follow the same
 413 trend. In the previous part, the underlying causes of the death and DALY burden are
 414 analyzed in combination with the disease type and distribution area. A more detailed
 415 driver correlation analysis is shown in Figs.10-11.



416 Figure 9. Correlation between AAP attributable death rate and DALY rate by
 417 geographical and income distribution (countries without available data for correlations
 418 are not shown in this Figure)

419
 420 The correlations between the BoD and various factors are shown in Figs.10, and
 421 they may help further mathematically verify the speculation of BoD causes in previous
 422 sections. The Y_1 and Y_2 are death rate and DALY rate, respectively. Among these
 423 correlation analyses, the correlation coefficients vary in different country groups. As
 424 shown in Fig.10a, among the considered socioeconomic and natural possible drivers,
 425 the highest correlated factors with disease burden in Asia, Europe, Africa, North
 426 America, South America, and Oceania are urbanization rate (X_4), HDI (X_2 , negative

427 correlation), per capita fossil CO₂ emissions (X_7), per km² land area fossil CO₂
 428 emissions (X_6), per capita fossil CO₂ emissions (X_7), and population density (X_1),
 429 respectively. The per capita fossil CO₂ emissions (X_7) show the strongest
 430 comprehensive correlation with the burden of disease (the sum of the absolute values
 431 of the correlations) in contrast to the other columns, which may potentially be because
 432 the emissions of CO₂ and particulate matter from social operations are mixed and
 433 accompanied. In addition, the total correlations of various factors are most significant
 434 in terms of mortality in Asia and DALY rates in North America from the horizontal
 435 perspective.



436
 437 Figure 10. Detailed correlations between AAP-caused BoD and socioeconomic and
 438 natural factors (a) by geographical distribution; (b) by income distribution

439
 440 Since the country groups by income are more compact than those by geography,
 441 the correlation analysis results are more obvious. In Fig.10b, urbanization rate (X_4)
 442 correlate very weakly with BoD longitudinally, in contrast to the strong correlation
 443 between per capita fossil CO₂ emissions (X_7) and BoD. Besides, the Gini coefficient
 444 (X_3) and forest coverage rate (X_5) present the negative correlations with disease burden.
 445 In terms of row order, the negative relation is striking, with the Gini coefficient (X_3),
 446 forest coverage rate (X_5), Gini coefficient (X_3), and HDI (X_2) contributing the greatest

447 correlation with disease burden in low-, lower-middle-, upper-middle-, and high-
 448 income countries, respectively. The combined results of [Figs.10](#) may reflect that the
 449 BoD of the income-based group is more sensitive to socioeconomic factors, whilst the
 450 geographical group is to natural factors.

451 **4 Discussion**

452 In recent decades, there is a great improvement in our air environment. It can be
 453 seen in [Table 2](#), that PM10 and PM2.5 pollution have changed in a positive direction in
 454 most areas. The PM pollution in Europe has been mitigated dramatically, whilst the PM
 455 concentrations in lower-middle-income and African regions are no sign of improvement.
 456 Compared with the target value of the WHO, PM2.5 and PM10 are both substandard,
 457 although PM concentrations in some regions (income distribution) are well above the
 458 WHO's proposed minimum requirement (IT-1, 35 $\mu\text{g}/\text{m}^3$), and according to the target
 459 proposed by SDG 11.6.2, there is still a long way to reach the goal of air environment
 460 sustainability.

461

462 **Table 2 Variations of PM10 and PM2.5 concentration (population-weighted) in**
 463 **different global regions**

Region	PM10 ($\mu\text{g}/\text{m}^3$)			PM2.5 ($\mu\text{g}/\text{m}^3$)				
	2010	2015	Change (%)	1990	2000	2010	2017	Change (%)
Low income	N/A	267.8	N/A	42.9	42.8	41.3	43.2	0.7
Lower middle income	92.1	101.9	10.9	60.4	61.7	67.3	64.4	6.6
Upper middle income	59.7	50.5	(15.0)	43.1	44.8	49.4	38.7	(10.1)
High income	36.3	39.1	8.3	16.6	16.2	16.7	14.7	(11.7)
Asia	93.8	99.1	5.7	39.0	38.9	40.6	37.0	(5.3)
Europe	33.8	28.8	(14.8)	19.0	17.3	17.2	14.2	(25.1)
Africa	94.3	96.0	1.8	38.5	37.8	34.8	38.5	0.0
North America	38.7	39.9	3.1	21.2	21.8	21.7	17.6	(16.7)
South America	53.5	44.9	(16.1)	20.7	21.5	22.2	17.5	(15.5)
Oceania	14.1	12.8	9.2	12.6	12.9	12.9	10.6	(16.4)
WHO interim target-1 (IT-1)	70			35				
WHO interim target-2 (IT-2)	50			25				
WHO interim target-3	30			15				

(IT-3)		
WHO air quality guideline (AQG)	20	10

464

465 For the indicator of SGD 3.9.1, the results in [Fig.5](#) and [Fig.7](#) show that the AAP-
466 caused death burden is still high and the high mortality caused by AAP-caused diseases
467 such as IHD and ALRI should be taken seriously, and worse, Lelieveld et al. (2015)
468 project the AAP-caused premature mortality could double by 2050 under a business-
469 as-usual particulate matter emission scenario. Besides, the health risks for children
470 caused by AAP should not be ignored either (Lee and Kim 2018), Lelieveld et al. (2018)
471 estimated that AAP-caused children under-five mortality accounted for 5% of the total
472 AAP-caused mortality.

473 Some researchers have estimated that future PM concentrations will continue to
474 increase in emerging regions (Chowdhury et al. 2018). Meanwhile, the threat to human
475 health posed by the AAP-caused BoD is also unoptimistic in the future. There are
476 multiple proven factors are driving the results, e.g. indiscriminate burning of waste
477 outdoors in India (Jerrett 2015), massive dust carried by winds from the Sahara and
478 lacking enough health interventions in Africa (Heft-Neal et al. 2018), and the public
479 health system and citizen income of low-income regions are not enough to support long-
480 term treatment of AAP-caused chronic diseases (Nugent 2019). Local authorities
481 should put control policies for these obstacles forward immediately. The Massachusetts
482 case shows a negative relation between PM_{2.5} concentration and the recycling rate of
483 MSW. Governments should take responsibility for better management of waste to
484 improve air quality (Giovanis 2015). Evidence from Africa and Iraq suggests that in
485 reducing particulate matter policies should be made for controlling the further
486 expansion of deserts and reducing the eco-hazardous human activity, e.g. over-burning
487 of agricultural biomass (Chudnovsky et al. 2017; Bauer et al. 2019). With the more
488 national and regional governments enqueue to reduce AAP and the associated BoD,
489 higher requirements for industrial production and human activity will be put forward.

490 By summarizing the pollution process in [Fig.2](#), it is found that reducing emissions

491 and controlling human exposure risk are two options that should be two significant
492 paths to reduce the AAP-caused burden of disease. The control of land desertification
493 to reduce the frequency of dust events and the use of clean energy can significantly
494 reduce particulate matter at the source. But the growing global aging has provided
495 increased susceptible populations to pollution (Wang et al. 2019). The extension of the
496 healthcare coverage and provision of affordable diagnosis and treatment of related
497 chronic diseases are the basic remedy to lighten BoD in the hard-to-change aging
498 situation.

499 In addition to the above factors, this study also sorted out the spatial drivers of AAP-
500 caused disease burden according to the correlation analysis. Reducing CO₂ emissions
501 is feasible to access for countries around the world to ease the burden of disease. In
502 particular, recently some great powers have put forward their ambitious plans to control
503 CO₂ emissions, which are expected to provide a great opportunity to reduce the AAP-
504 caused burden of disease. For instance, China has announced an aim to hit peak
505 emissions before 2030 and for carbon neutrality by 2060 (Mallapaty 2020). When the
506 statistical data are further refined, the correlation analysis including medical conditions,
507 population age structure, and other factors can also be carried out systematically. But
508 we should at least attach importance to the factors that have been tested so far that harm
509 air quality, and work to reduce the burden of disease caused by air pollution.

510 In conclusion, the global air pollution statistics combined with the relative disease
511 data have been used in this study to analyze the AAP and BoD situation and distribution.
512 The results show that the BoD caused by AAP (including ALRI, lung cancer, COPD,
513 stroke, and IHD) is related to geography and income distribution. ALRI and IHD are
514 two main AAP-caused diseases that contribute to BoD around the world. Generally, the
515 burden of the disease tends to increase in affluent areas, but the reason is complex, it
516 might include the level of CO₂ emissions, forest coverage rate, population density
517 government policies, etc. These negative circumstances show that there is still a
518 distance to reach the SDGs and fully protect human health from the adverse effects of
519 air pollution, lots further studies need to be developed in the near future.

520

521 **Funding**

522 There is no funding for this research.

523 **Competing Interests**

524 The authors declare no competing interests.

525 **Availability of data and materials**

526 The data of the study are available on request.

527

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