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1	Trench migration and slab buckling control the formation of the
2	Central Andes
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10	
11	Abstract
12	The formation of the Central Andes dates back to ~50 Ma, but its most pronounced phase,
13	including the growth of the Altiplano-Puna Plateau and pulsatile tectonic shortening phases,
14	occurred within the last 25 Ma. The reason for this evolution remains unexplained. Using
15	geodynamic numerical modeling we infer that the primary cause of the pulses of tectonic
16	shortening and growth of Central Andes is the changing geometry of the subducted Nazca
17	plate, and particularly the steepening of the mid-mantle slab segment which results in a
18	slowing down of the trench retreat and subsequent shortening of the advancing South America
19	plate. This steepening first happens after the end of the flat slab episode at ~25 Ma, and later
20	during the buckling and stagnation of the slab in the mantle transition zone. The Intensity of
21	the shortening events is enhanced by the processes that mechanically weaken the lithosphere
22	of the South America plate, which were suggested in previous studies. These processes

new modeling results are consistent with the timing and amplitude of the deformation from
geological data in the Central Andes at the Altiplano latitude.

include delamination of the mantle lithosphere and weakening of the foreland sediments. Our

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27 Introduction

28 The Central Andes is a natural laboratory to study inherent tectonics and geodynamics processes. Although the subduction of the oceanic Nazca plate below the South American 29 30 plate has been ongoing since ~200 Ma, most of the Andean orogen formed in the last ~15 Ma. 31 The Central Andes hosts the second largest plateau in the world, the Altiplano-Puna plateau, which is on average ~4 km high and extends over an area of 500,000 km² (Fig. 1ab). This 32 33 unusually short growth time is recorded by the geological shortening rate (Oncken et al., 2006, 34 2012). A few different mechanisms are thought to have contributed to the shortening of the 35 Central Andes at the Altiplano latitude (~21°S):

The westward absolute motion of the South American plate (~2 cm/yr) provides the
main shortening force (Silver et al., 1998; Sobolev & Babeyko, 2005; Martinod et al., 2010;
Husson et al., 2012), where the relative velocity between the trench and the plate determines
the tectonic stress regime (Lallemand et al., 2005; Funiciello et al., 2008; Lallemand et al.,
2008; Holt et al., 2015). Slower trench migration as a consequence of the slab anchoring in
the lower mantle over the last ~40 Ma (Faccenna et al., 2017; Schepers et al., 2017) is argued
to have initiated the shortening in the Central Andes.

A high interplate friction of ~0.05-0.07 due to the low supply of sediments at the trench
promotes the stress transfer from the slab to the overriding plate, increasing the shortening
rate (Lamb & Davis, 2003; Sobolev & Babeyko, 2005; Sobolev et al., 2006; Gerbault et al.,
2009; Heuret et al., 2012; Tan et al., 2012; Cosentino* et al., 2018; Horton 2018; Muldashev
& Sobolev, 2020; Brizzi et al., 2020).

The *weakening of the continental lithosphere* that results from the eclogitization of the
mafic lower crust (Sobolev & Babeyko, 1994; Babeyko et al., 2006) and the delamination of
the lithospheric mantle (Kay & Mahlburg Kay, 1993; Beck & Zandt, 2002; Beck et al., 2015)
helps strain to localize and thereby increases the shortening.

• Weak sediments in the foreland help initiate simple shear shortening by starting the underthrusting of the Brazilian Cratonic shield (Allmendinger & Gubbels, 1996; Allmendinger et al., 1997; Kley, 1999; Babeyko & Sobolev, 2005; Gao et al., 2021; Liu et al., 2022).

55 Despite the multitude of proposed shortening mechanisms, none adequately explain the 56 evolution and variability of deformation in the Central Andes during the last ~35 Ma (Fig. 1c). 57 However, the quality of the shortening rate compilation from Oncken et al (2006-2012, Fig. 1c) 58 offers a solid base to investigate this problem through geodynamic models. Although the data 59 may carry intrinsic uncertainties from using different measurement methods, it shows a 50 systematic consistency in shortening amplitudes across time and latitude.

61 Shortening rates along the Altiplano section at 21°S are the most temporally resolved and 62 suggest four different phases of deformation in the last ~50 Ma (Fig. 1c). Between ~50 to 33 63 Ma (*Phase 1*), the shortening rate linearly increased to ~3.5 mm/yr before suddenly escalating 64 at ~33 Ma to ~8 mm/yr. From ~33 to 15 Ma (*Phase 2*), the shortening rate fluctuated in a range 65 between ~4 and ~7 mm/yr that eventually narrowed to ~6 mm/yr. From ~15 to 7 Ma (Phase 66 3), shortening pulsed to a maximum of \sim 11 mm/yr before dropping back to \sim 5 mm/yr. From 67 ~7 Ma to present day (*Phase 4*) the shortening rate again pulsed to a maximum of ~16 mm/yr 68 before dropping back to the ~8 mm/yr seen at present-day.

Utilizing high-resolution geodynamic models, with buoyancy-driven subduction, and validating them through geological shortening data from the Central Andes, this study sheds light on a new mechanism that provides an explanation for the variability of the shortening rate. The models additionally address the gap in deformation intensity between 10 and 4 Ma and the decline in intensity to present-day levels. Our results suggest that a complex interaction between the oceanic and continental plates controls the timing and variability of the deformation in the Central Andes since the Oligocene.



Fig. 1 a Structural map of the Central Andes (modified from Oncken et al., 2006), overlain 77 with the extent of the active magmatic arc (red) and the foreland areas with thin-skinned 78 (yellow) and thick-skinned (light-blue) deformation. Blue shaded areas indicate the 79 80 neighbouring flat-slab regions. White arrows show the present day absolute plate velocity (Becker et al., 2015). b Schematic tectonics of the Altiplano transect at 21°S (dashed 81 82 rectangle in a), modified from Oncken et al. (2006) and Armijo et al. (2015). The question mark 83 indicates an unclear presence of the lithosphere. c Estimated shortening rate evolution of the 84 Altiplano transect. WC: Western Cordillera; AP: Altiplano Plateau; EC: Eastern Cordillera; SB: 85 Subandean Ranges.

86 **Results**

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Numerical model set up. We used the finite element software ASPECT (Bangerth et al., 87 2021) to develop a visco-plastic subduction model S1 that simulates ductile and brittle 88 89 deformation. Subduction is initiated by prescribing an oceanic plate velocity of 7 cm/yr in the 90 first 6.5 My, which represents the plate velocity between 35-30 Ma (Sdrolias and Müller, 2006). Then, the oceanic plate freely sinks through the mantle due to slab pull. The continental plate 91 is prescribed with a trenchward velocity of 2 cm/yr, corresponding to the average plate velocity 92 93 during the last 40 Ma. As gaps in the Andean volcanic activity at ~35 Ma suggest a phase of 94 flat slab subduction (Barazangi & Isacks, 1976; Ramos & Scientific, 2002; Ramos & Folguera, 95 2009), we initialized the model with a flat-subduction stage (Fig. 2a). After initialization (Fig. 2b), the flat slab segment is ~250 km long at ~100 km depth, similar to the current Pampean 96 flat slab (Marot et al., 2014). 97

The geometry of the continental plate is based on structural reconstructions and crustal balance estimations during the Oligocene (Hindle et al., 2005; Sobolev et al., 2006; Armijo et al., 2015). For the shortening analysis, we differentiated two continental domains: the orogen and the thicker foreland. We used an oceanic lithospheric thickness consistent with a 40 My old (Maloney et al., 2013) plate near the trench (Turcotte et al., 2002). We assumed a steadystate geotherm for the lithosphere and an adiabatic temperature profile for the asthenosphere and let the temperature re-equilibrate during initialization.

105 Four key ingredients are used to simulate plate interaction in Model S1: First, a high-106 resolution (1 km) visco-plastic subduction interface with a low effective friction (0.05), causing 107 the brittle-ductile transition to occur at ~45 km depth. Second, the implementation of the 108 Gabbro-Eclogite-Stishovite phase transitions for the oceanic crust, and the Olivine -109 Wadsleyite-Ringwoodite-Post Spinel transitions for the asthenosphere and lithospheric mantle 110 (Arredondo & Billen, 2016, 2017; Faccenda & Dal Zilio, 2017). Third, the use of a deformable 111 mesh to simulate the topography (see Methods for details). Fourth, self-consistent subduction 112 that is buoyancy-driven.



Fig. 2 Model setup. T_{pot} is the mantle potential temperature. a shows the initial state of
the model. b is the zoom-in area of plate interface during the initial flat slab subduction
stage.

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118 **Numerical model results.** From ~7 to ~11 My (Fig. 3a), subduction evolves dynamically. 119 The slab steepens and accelerates, slowing down the trench retreat. Part of the continental 120 mantle starts delaminating as plastic strain localizes in the top of the continental crust. During 121 this time, topographic uplift is restricted to the Central domain. At ~10 My, the block of 122 continental lithosphere consisting of eclogitized lower crust and mantle delaminates and sinks 123 with the slab. At ~10.5 My, the slab velocity decreases as trench retreat reinitiates. From ~11 124 to ~20 My (Fig. 3b), relatively fast slab rollback continues as the slab sinks into the transition 125 zone. At ~18 My, the slab reaches the lower mantle but does not immediately penetrate into 126 it, instead it is deflected and slowly traverses horizontally along the 660-km phase transition. 127 At ~20 My, the slab buckles by folding twice to the west and to the east at the transition zone 128 as the trench continues to retreat.

At ~23.5 My, the upper mantle slab-segment steepens and halts trench retreat (hereby referred to as trench blockage). At this time, slab velocity increases and strain localizes on the previous faults and in the eastern orogenic domain. Simultaneously, the lithospheric mantle successively delaminates in the east as the deformation intensifies and migrates west towards the foreland (Fig. 3c). Underthrusting of the cratonic shield initiates at ~26 My during the delamination of the mantle lithosphere. The eastern domain uplifts from ~20 to 24 My, then slightly subsides at ~24 Ma.

From ~25 to ~31 My the topography significantly uplifts and reaches elevations similar to the present-day (Fig. 4). At ~29 My, active deformation in the foreland decreases and trench retreat reinitiates as the new slab segment reaches the lower mantle transition trenchward of the older, stalled, slab segment. After this time, topography no longer significantly changes (Fig. 4). At ~30 My, the slab buckles a second time followed by another stage of trench

blockage at ~35 My (Fig. 3d) as the slab steepens and accelerates. By ~33.5 My, the cratonic shield has re-initiates underthrusting beneath the orogenic domain. At ~37.5 My foreland deformation becomes less efficient and the mantle wedge starts to delaminate as trench retreat reinitiates. Overall, the trench retreats ~340 km, the orogen shortens ~200km and because of underthrusting the foreland shortens by ~105 km (Fig. 7ab, movie S1).



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Fig. 3 Evolution of the subduction model S1. UPM, TZ and LM are the upper mantle, transition zone and lower mantle, respectively. a The steepening of the slab is associated with the continental lithospheric mantle removal. b The slab freely sinks and flattens at lower mantle transition. c The slab buckles, the continent delaminates, the deformation migrates eastward and the foreland underthrusts. d The slab buckles a second time and the foreland underthrusts.

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155 We have also ran the 5 alternative simulations to Model S1: (i) three models with variable 156 interplate friction coefficient (0.015, 0.035, 0.06; model S2a-c, supplementary Fig. 2, 3, movie 157 S2a-c); (ii) one model without eclogitization of the lower crust to illustrate its importance for the weakening of the overriding plate and for the localization of the deformation (model S3, 158 159 supplementary Fig. 2, 5, movie S3); and (iii) one model to evaluate the importance of higher heat flow and lower crustal viscosity related to partial melting (model S4; supplementary Fig. 160 2, 5, 6, movie S4). The description of these models is detailed in the Supplementary material 161 162 (see supplementary information).

163 Discussion

Our results suggest that the timing of the shortening events is a direct consequence of the interaction between the buckling subducting plate and the weakened overriding plate. We distinguish four notable deformation phases that correspond in amplitude, timing and space to the shortening rate from the geological compilation (Onken et al., 2012). Overall, deformation migrates across the orogenic domain to the eastern foreland.

Phase I : Central domain deformation (~6.5 to ~11 My, Fig. 4): Plastic strain is localized
in the Central domain due to flat slab steepening and the partial removal of the lithosphere.

Phase II : *Eastern Cordillera domain* deformation (~11 to ~20 My, Fig. 4): Distributed
plastic strain slowly accumulates in the east. No significant deformation is observed in the
continent due to efficient trench retreat.

Phase III : Deformation migrates from the Eastern Cordillera to the foreland domain (~20 to ~29 My, Fig. 4): Strain intensifies in the Eastern Cordillera domain and migrates to the foreland, where the Brazilian Cratonic shield starts to underthrust below the orogen. The delamination follows this migration.

Phase IV : Foreland domain deformation (~29 to ~38 My, Fig. 4): Underthrusting of the
shield slows down. At ~33.5 My, it re-accelerates until ~35 My before decelerating until 38 My.

The compressive stress generated by the difference of velocity between the trench and the overriding plate is accommodated in one of two ways: 1) orogenic shortening, 2) underthrusting of the foreland. The effectiveness of deformation localization depends on the strength of the overriding plate and the interplate coupling. Here, we discuss the key processes that affect the strength of the overriding plate, the subduction and deformation dynamics of the slab, and, finally, the interaction between the two plates.



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Fig. 4 Topographic evolution of the reference model, with deformation phase timings
shown using dotted black lines, and key features of each phase are written in black. Lv
is for Longitudinal Valley.

190

191 Overriding plate

Delamination. Extensive lithospheric delamination is known to have taken place under the Altiplano-Puna plateau (Kay & Kay, 1993; Beck & Zandt, 2002; Beck et al., 2015) and contributed to present-day elevations (Garzione et al., 2006, 2008, 2017; Wang et al., 2021). This process is thought to be the result of the eclogitization of the mafic lower crust and lithospheric mantle, which is likely facilitated by the hydration of the sub-lithosphere from the ~200 Ma subduction history (Babeyko et al., 2002, 2006), and thick (~45 km) initial crust at 198 ~30 Ma (Hindle et al., 2005; Sobolev et al., 2006; Armijo et al., 2015). Model S3 demonstrates 199 that without eclogitization delamination and shortening are inhibited (supplementary Fig. 2). 200 Moreover, the lithospheric removal due to eclogitization leads to a localization of deformation 201 and subsequent weakening in the overriding plate. Nevertheless, model S4 shows that a very 202 weak orogenic domain localizes too much deformation in the orogen and does not guarantee 203 the migration of the deformation to the foreland (supplementary Fig.5).

204 Due to flat slab steepening in Phase I, we observe two delamination stages after the first 205 lithospheric removal of the overriding plate. First, the initial removal exposes the crust at the 206 western edge that is directly in contact with the asthenosphere, thereby increasing its 207 temperature and decreasing the viscosity at its base. As a result, the lower crust delaminates 208 faster in the west, causing it to asymmetrically drip to the east (i.e., Stage 1 in Fig. 5a). The 209 pure shear deformation localizes in the orogenic domain until delamination is complete. 210 Second, when the viscous deformation of the orogen connects with the plastic deformation of 211 its foreland at 26 My, the foreland underthrusts beneath the orogen due to weak sediments. 212 This results in orogenic thickening and a switch from pure shear to simple shear shortening. 213 Consequently, deformation migrates to the east causing delamination to accelerate (Stage 2 214 in Fig. 5b).



Fig. 5 showing the two stages of delamination. a Stage 1: Asymmetric delamination,
 facilitated by the heating and thickening of the continental crust. b Stage 2: Delamination

acceleration, accompanied by migration of the deformation to the foreland and initiationof the foreland underthrusting.

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221 Mechanical weakening of the foreland sediments. In the Altiplano, the presence of 222 weak sediments is the key factor in switching deformation from pure to simple shear at ~10 223 Ma. Simple-shear shortening is associated with higher strain localization over fewer faults and 224 the formation of deep low-angle detachments. In the foreland, these faults are situated at the 225 base of the sediment cover and are characteristic of the thin-skin deformation style. Porous 226 sediment layers, in particular the paleozoic layers (Allmendinger and Gubbels, 1996), may 227 have accumulated enough fluids at the front of the orogen to reduce their frictional strength to 228 ~0.05 or less and initiate the underthrusting of the Brazilian cratonic shield (Babeyko et al., 229 2006).

This thin-skin style of deformation is often opposed to the thick-skin style, where strain is more distributed throughout the domain and may involve basement rock. At the latitude of the Puna, thick-skin deformation resulted in a final shortening amount much lower than in Altiplano (~150 km versus ~300 km; Kley et al., 1999; Sobolev and Babeyko, 2005; Babeyko & Sobolev, 2005). This shortening difference suggests that forces were accommodated elsewhere, which we suggest to be the retreating trench.

Commonly, thick-skin deformation is thought to result from the reactivation of pre-existing normal faults that formed in past extensional events (Carrera and Muñoz, 2013). The weak faults localize strain faster and enhance the shortening magnitude. However, their reactivation could also compete against an efficient switch from pure to simple shear deformation.

In the reference model, underthrusting takes place in two stages. The first stage happens during trench blockage at ~20.5 My, causing the deformation to migrate to the foreland. When the active brittle shear zone, from the failure of the foreland sediments, connects to the ductile shear zone accommodating the on-going delamination underthrusting becomes more efficient. The delamination also facilitates the underthrusting of the Brazilian cratonic shield that meets less resistive forces. Underthrusting of the shield forces the upper crust to viscously flow and
thicken. The topography uplifts, reaching present-day elevations (~4 km) at ~31 My (~7 Ma
ago). A second stage of underthrusting occurs in the last ~4 Ma when the trench again
becomes blocked, but this event does not significantly change the topography (Fig. 4).

249

250 Subducting plate

251 While the absolute motion of the South American plate provides the main force (Martinod 252 et al., 2010; Husson et al., 2012) for the tectonic shortening, the magnitude of the compressive 253 stress in the South American plate margin is determined by the the resistance of the Nazca plate (i.e., by the ability of the trench to retreat Lallemand et al., 2005; Funiciello et al., 2008; 254 255 Lallemand et al., 2008; Holt et al., 2015). In the central Andes, the trench has migrated west 256 over the last ~40 Ma as a result of the rollback and subsequent sinking of the bending slab in 257 the asthenosphere, as well as the forced trench retreat from the excess velocity of the 258 overriding plate (Schepers et al., 2017). Recent studies have proposed that the trench velocity 259 can also be affected by deep subduction dynamics (Faccenna et al., 2017; Briaud et al., 2020). 260 In this section, we discuss the implications of these subduction dynamics.





Fig. 6 Subduction dynamics. Black triangle and circle indicate the position of the trench
and the foreland edge, respectively. Colored circles indicate slab evolution in figure 8. a
Steepening and sinking of the flat slab leads to an increase of plate velocity and slows
down the trench. b The slab front is impeded in the viscous lower mantle transition zone.
c The stagnant slab folds, meanwhile the trench retreats. d The slab folds but the lack
of obstacle leads to its steepening.

268

269 Flat slab steepening. The cause of flat subduction is still debated. It likely results from 270 the shallowing of the slab from long lasting subduction, as well as greater buoyancies related 271 to the Juan Fernandez ridge (Schellart, 2020; Schellart & Strak, 2021) that has migrated to 272 the south in the last ~35 Ma (Fig. 1; Yáñez et al., 2001; Bello-González et al., 2018). In this 273 study, we are interested in the consequence of slab steepening after the passage of the ridge. 274 Our models suggest that a flat slab at ~100 km depth, analogous to the Pampean flat slab, 275 could scrape the base of the lithosphere. Eventually at ~7 My, the slab steepens and 276 accelerates as the trench becomes blocked (Fig. 6a). The continental mantle coupled to the 277 flat slab segment is pulled down and viscously accommodates the deformation. When the 278 lower crust eclogitizes, plastic strain localizes in the top portion of the crust, slab steepening 279 then accelerates due to the eclogitization until, eventually, parts of the lithosphere are 280 removed. This flat subduction plays a key role in triggering the initial weakening of the overriding plate, and is facilitated by lower-crustal eclogitization . 281

Buckling instability cycles. We identified two buckling cycles, at ~20 My and at ~30 My.
Within each cycle, three main events are distinguished that may affect the trench migration
rate:

285 (1) Slab impediment (Fig. 6b) takes place when the slab meets viscous resistance. This 286 is the case when the slab is impeded by the viscous lower mantle at the beginning of a buckling 287 cycle (~17 My and ~29.5 My), or before steepening. For instance, when the slab reaches the 288 viscous lower mantle it does not immediately penetrate it. The first slab segment in contact 289 with the lower mantle slows down and viscously resists the new, still sinking, segment. This 290 difference of velocity between the two segments is accommodated through bending in the 291 slab. During these slab impediment events the dip of the slab becomes shallower and the 292 trench continues retreating. This mechanism differs from slab anchoring (Faccenna et al.,

2017), in which the difference of velocity between the two segments is too small to cause thefolding of the slab.

(2) Slab folding (Fig. 6c) events occur when, after slab impediment, the slab dip flips in
the transition zone. The now shallower slab dip enable the trench retreat, though no significant
deformation is observed. Each buckling cycle consists of two folding events, the first to the
west and the second to the east at ~20, 21 My and ~30, 33 My.

299 (3) Slab steepening (Fig. 6d) is a drastic event that occurs at the end of a buckling cycle 300 after the second folding event, (~23.5 My and ~33.5 My). Chronologically, the sinking slab 301 meets resistance from the last fold to the east (i.e., Impediment) and bends to the west as for 302 the first folding event. However, the overriding plate has forced the trench to retreat during the 303 previous events, which, prevents the slab from piling up. The slab continues to sink in the 304 transition zone, steepens and accelerates. The trench slows down and blocks the overriding 305 plate that shortens to accommodate the ongoing deformation. When the trench is blocked the 306 horizontal stress in the overriding plate can reach values of ~350 MPa (supplementary Fig. 1, 307 movie S1b). Overall, slab shallowing is associated to periods of trench retreat related to the 308 folding events, whereas slab steepening is associated to periods of trench blockage following 309 folding events folding events.

310 Interaction between overriding and subducting plates

311 Interplate coupling. Our models predict that an effective friction of 0.35 to 0.05 is required 312 in the Central Andes to obtain significant deformation that is consistent with previous 313 estimates (Sobolev and Babeyko, 2005; Sobolev et al., 2006). Higher friction values result in 314 lower oceanic-plate velocities. The effective friction is dependent on the sediment thickness 315 at the trench, which at present day may vary from ~0.5 km to ~2 km in the Central and 316 Southern Andes, respectively (Lamb and Davis, 2003). This latitudinal variation results from 317 the efficiency at which the surface processes supply sediments to the trench. In the last ~6 318 Ma, glacial erosion supplied a large amount of sediments to Southern Andes trench. Whereas in the Central Andes, the internal drainage of the Altiplano-Puna plateau is related to low
erosional rates that have contributed to sediment starvation at the trench (Lamb & Davis,
2003).

322 Slab buckling and overriding plate interaction. The unusual timing of the growth of the 323 Andes results from a sequence of events generated by plate interactions. While subduction 324 dynamics exert a major control on the deformation of the sinking plate by blocking trench 325 migration, the strength of the overriding plate ultimately controls where strain localizes and 326 forces the trench to retreat when it is not blocked. This plate strength is evolving, first, with the 327 passage of the flat slab that may have initially weakened the lithosphere through partial 328 removal of the mantle lithosphere, and through thermal weakening related to crustal exposure 329 near the hotter asthenosphere (Isacks, 1988), and second, by triggering the subsequent 330 delamination (see previous section).

331 Pulsatile behavior in the deformation of the Nazca plate is observed in paleoelevation 332 reconstructions (Boschman, 2021; Garzione et al., 2008), the magmatic activity (Decelles et 333 al., 2009), and from stable isotope data (Leier et al., 2013), We suggest that buckling 334 instabilities in a subducting plate offer a plausible explanation in the variability and timing of 335 the Nazca plate deformation during the last ~20 Ma as well as the present-day deep seismicity 336 distribution (supplementary Fig.7b). We find that shortening rate pulses occur at the end of 337 each buckling cycle when slab steepening inhibits trench retreat (Fig. 7cd), and that these pulses reproduce similar signals to what is seen in the geological data. Additionally, in the last 338 339 \sim 2 Ma the geological data shows a decrease in the shortening rate, which is also predicted by 340 our model through underthrusting. At later stages, the trench retreat resumes and 341 underthrusting loses its efficiency, which could indicate the beginning of a new buckling cycle.

Previous studies have suggested that the lower mantle viscosity and the dip, age, thickness and strength of the oceanic plate may affect the buckling periodicity and timing of slab stagnation in the transition zone (Ribe et al., 2007; Lee & King, 2011; Quinteros et al.,

2010; Quinteros & Sobolev, 2013; Marquardt & Miyagi, 2015; Cerpa et al., 2017; Briaud et
al., 2020). Analyzing the variety of interchangeable parameters affecting the buckling process
exceeds the scope of this study.

348 Previous seismic tomography studies indicate two large negative seismic anomalies near 349 the transition zone (at depths of 600 km and 900 km) that are attributed to slab accumulations 350 (Widiyantoro, 1997; Liu, 2003; Chen et al., 2019). The deeper accumulation may relate to a 351 slab anchoring (Faccenna et al., 2017, Supplementary Fig.7), suggesting that previous 352 accumulation cycles could have occurred before and have "avalanched" in the lower mantle 353 (Briaud et al., 2020; Hu & Gurnis, 2020), wherein they may have become detached from the 354 shallower slab. Indeed, over the last ~200 Ma guick alternations between compressive and 355 extensive phases (e.g., the compressive peruvian phase or extensive Salta rift between ~120 356 Ma and ~60 Ma; Faccenna et al., 2017) may indicate that slab buckling events have happened 357 earlier in the subduction history. However, because of the absence of an efficient weakening mechanism to trigger delamination and too thin crust to facilitate eclogitization, the orogen 358 359 experienced no significant deformation. Potentially, we suggest that these avalanche events 360 may have repeated at least 3 times over the last ~90 Ma, as suggested by the 3 cycles of 361 convergence rate recognized in Martinod et al., (2010).

362 Conclusion.

363 In this study, we propose that dynamic slab mechanics result in cycles of slab buckling 364 that can explain the the timing and amplitude of the tectonic shortening pulses seen in in the 365 Central Andes since the Late Eocene. Using geodynamic numerical models, we infer that the 366 primary cause of these pulses that contributed to the growth of the Central Andes is the 367 evolving geometry of the subducting Nazca plate. Inparticular, the steepening of the slab near the transition zone slows down the trench retreat and subsequent shortening of the advancing 368 South American plate. This steepening first occurs after the end of the flat slab episode at ~25 369 370 Ma. By eroding the lower part of the mantle lithosphere, this episode predisposes the margin for the next deformation phases by decreasing its strength. Later, slab steepening occurs following the buckling of the slab in the mantle transition zone. This new bucklingsteepening mechanism sheds light on the causes of the rapid pulsatile growth of the Central Andes during the last ~20 Ma, and the model evolution is consistent with geological data (Oncken et al., 2012) and with the timing of uplift (Garzione et al., 2017) of the Altiplano plateau.





Fig. 7 Summary exposing the relation between continental plate deformation (**a** and **b**) and oceanic plate dynamics (**b** and **c**) for the reference model. Background colors indicate the shortening phases. Colored pills indicate the slab evolution stage as in figure 6. **a** Smoothed shortening rate for the orogenic and foreland domain (see data acquisition and processing for details). **b** Cumulative shortening for the orogenic and foreland domain and cumulative trench retreat. Numbers indicate the shortening phases. **c** Velocity of the oceanic plate (black line) and trench migration rate (purple line). **d** Average slab dip for different depth intervals.

385 Methods

Governing equations We used the geodynamic finite element code ASPECT (Advanced Solver for Problems in Earth's ConvecTion, version 2.3.0-pre, Bangerth et al., 2021; Kronbichler et al., 2012; Heister et al., 2017; Rose et al., 2017) to setup a 2D subduction model (e.g., Faccenna et al., 2017). The model solves three conservation equations for the momentum (1), mass (2) and energy (3) and the advection and reaction equations for the different compositional fields. The energy equation includes the radiogenic heating, shear heating and adiabatic heating.

$$-\nabla \cdot (2\eta \dot{\varepsilon}) + \nabla p = \rho g , \qquad (1)$$

394

$$\nabla \cdot \boldsymbol{u} = 0 \quad , \tag{2}$$

395
$$\rho. Cp. \left(\frac{\partial T}{\partial t} + \boldsymbol{u} \cdot \nabla T\right) - \nabla \cdot k \nabla T = \rho H + (2\eta \boldsymbol{\epsilon}) : \boldsymbol{\epsilon} - \alpha T \boldsymbol{u} \cdot \boldsymbol{g}, \qquad (3)$$

$$\frac{\partial ci}{\partial t} + \boldsymbol{u} \cdot \nabla ci = qi, \qquad (4)$$

397 with the deviatoric strain rate tensor $\dot{\varepsilon} = \frac{1}{2} \cdot (\nabla \boldsymbol{u} + (\nabla \boldsymbol{u})^T, \boldsymbol{u} = \boldsymbol{u}(\vec{x,t})$ the velocity field, $p = p(\vec{x,t})$ is the pressure, $T = T(\vec{x,t})$ is the temperature, Cp is the heat capacity, ρ is the 399 density, ρ is the reference density, k is the conductivity, α is the thermal expansivity, H is the 400 radiogenic heat production, η is the viscosity, t is the time, ci is the composition and qi is the 401 reaction rate.

Although the model is incompressible, we wanted to simulate realistic phase transformations that require a temperature and pressure dependent compressible density formulation, therefore, we used the equation of state of Murnaghan (5) (Murnaghan, 1944). Previous studies have shown compressibility to have a small effect on mass conservation for subduction models, suggesting that it can likely be neglected (Fraters, 2014).

407
$$\rho f = \rho refi \left(1 + \left(P - \left(\frac{\alpha i}{\beta i} \right) \cdot \left(T - Tref \right) \right) \cdot ki \cdot \beta i \right)^{1/ki}, \tag{5}$$

408 Where ρf is the final density and $\rho refi$ is the reference density for each composition at 409 surface pressures and a surface temperature of 293 K (Tref). αi is the thermal expansivity, βi 410 is the isothermal compressibility, and ki is the isothermal bulk modulus pressure derivatives.

We used a visco-platic material model that allows for viscous (ductile) and plastic (Brittle) deformation (Glerum et al., 2018). The viscous regime is handled using a harmonic average of contribution dislocation and diffusion creep (6), whereas the plastic regime uses the Drucker-Prager criterion. The dominant mechanism (viscous vs. plastic) is determined through the yield stress.

416
$$\eta_{\text{diff}|\text{disl}} = 0.5 A_{\text{diff}|\text{disl}}^{(-1/n)} d^m \dot{\varepsilon}_e^{(1-n)/n} \exp(\frac{Q_{\text{diff}|\text{disl}} + P.V_{\text{diff}|\text{disl}}}{nRT}), \tag{6}$$

A is the prefactor rescaled from uniaxial experiment, n is the stress exponent, d and m are the grain size and grain size exponent, ε_e is the square root of deviatoric strain rate, Q is the Energy of activation, V is the volume of activation, P the pressure, R the gas constant and T the temperature. Dislocation is independent of the grain size so d^m is removed from the equation. For a 2d model the yield stress σy is equivalent to the mohr Coulomb surface criterion (7).

423
$$\sigma y = C.cos(F) + P.sin(F), \tag{7}$$

424

Where C is the Cohesion, P the pressure and F the internal friction angle in radian. We also included linear plastic strain softening of the friction and cohesion that depends on the strain accumulation over time (supplementary, Table 1).

428 The effective viscosity is then calculated by

429
$$\eta = \frac{\sigma y}{2\epsilon},$$
 (8)

430 **Model set up.** We split the box into 2 sub-boxes; a 96 km thick (in depth) box that 431 represents the lithosphere, and an 804 km thick box that represents the asthenosphere. This 432 gives us more flexibility by allowing us to set independent boundary conditions for each box 20 433 on the east and west boundaries. For example, we prescribe the lithosphere velocities 434 whereas the asthenosphere uses the initial lithostatic pressure to simulate an open boundary. 435 The final box is 2592 x 900 km (calculated to have square cells, and an aspect ratio of ~1:3 436 or ~1:6 if we only consider the upper mantle; Gerya, 2019). The adaptive mesh refines based 437 on the compositional fields and the strain rate. Additionally, the asthenospheric mantle 438 resolution is resolved to a fixed resolution of 32 km and the slab's mantle lithosphere at 4 km. 439 The topography is uplifted and advected using the ASPECT-FaStscape coupling (Braun & 440 Willet, 2013; Bovy, 2021; Neuharth et al., 2021a; 2021b). This method allows us to track and store the topography for analysis. However, other than a very small (~1e-6 m²/yr) diffusion 441 442 coefficient that does not affect the results presented here, we exclude surface processes.

Subduction interface. Our models use a visco-plastic subduction interface based on the
weakest quartzite rheological flow law from Ranalli (1997). This rheology was shown to be
efficient in modeling a quartz-dominated "melange" at the interface (Sobolev et al., 2006;
Muldashev & Sobolev, 2020).

447 **Rheology.** (Supplementary Table. 1) The oceanic plate is composed of an 8 km oceanic 448 crust (3000 kg/m³) divided into 5 km of weak wet quartzite (Ranalli, 1997) and 3 km of mafic 449 diabase (Mackwell et al., 1998). The oceanic mantle consists of 73 km of dry olivine (Hirth & 450 Kohlstedt, 2004), and is compositionally lighter than the asthenosphere (pAsthenosphere - 20 451 kg/m³). The lithosphere is given an initial dip of ~15° to facilitate the initial flat slab stage (Van Hunen et al., 2004; Huangfu et al., 2016; Liu & Currie, 2016; Dai et al., 2020). A 12 km thick 452 453 "ridge" (2800 kg/m³) of weak quartz (Ranalli, 1997) is placed along the dipping subduction 454 interface to aid in subduction initialization.

The geometry and length of the continent are based on a structural reconstruction and a volume conservation at 30 Ma (Armijo et al., 2015; Sobolev et al., 2006) that have been calibrated to have an ~850 km long continent when the model is restarted after the initialization phase. In the central domain, the upper crust (2800 kg/m³) is a 33 km thick layer 459 of wet quartzite (Gleason & Tullis, 1995) and the lower crust (3000 kg/m³) is a 12 km thick 460 layer of diabase (Mackwell et al., 1998). The continental mantle (3280 kg/m³) is wet olivine 461 (Hirth & Kohlstedt, 2004) and 45 km thick. In the cold forearc the continental mantle thickens to 65 km. In the foreland, sediments (2670 kg/m³) are 5 km thick (Gleason & Tullis, 1995). 462 463 The upper crust and the lower crust are 12 and 10 km thick, respectively. The depleted Brazilian cratonic shield (3240 kg/m³) is considered dry olivine (Hirth & Kohlstedt, 2004) and 464 extends to a depth of 130 km. The foreland is thicker than the central domain and therefore 465 466 colder (Sobolev et al., 2006; Ibarra & Prezzi, 2019; Ibarra et al., 2019).

Asthenospheric densities are recalculated so that the final density after considering the pressure and temperature matches the PREM model (Dziewonski & Anderson, 1981). To simulate the rheology of the hydrated mantle wedge in the upper mantle, we use wet olivine laws for dislocation and diffusion (Hirth & Kohlstedt, 2004) (3300 kg/m³). We prescribed constant viscosity for the transition zone (410-520 km ~6.75e20 Pa.s and 520-660 km ~1.05e21 Pa.s) and the lower mantle (~7.5e21 Pa.s) based on the Steinberger & Calderwood (2006) viscosity profile.

The main phase transitions were consider for the mantle are the Olivine-Wadsleyite at 410 km depth (Clapeyron slope, λ , of 2 MPa/K), Wadsleyite-Ringwoodite at 520 km ($\lambda = 3.5$ MPa/K) and Post-spinel at 660 km ($\lambda = -0.5$ MPa/K; Quinteros & Sobolev, 2013). Gabbro-eclogite transition (+450 kg/m³) is completed at pressures of ~1.9 GPa (~60 km depth) and 800°C for the oceanic crust and ~1.2 GPa (~40 km depth) and 700°C for the lower crust (Babeyko et al., 2006; Sobolev & Babeyko, 1994; Sobolev et al., 2006). Coesite-Stishovite phase transition also takes place at a pressure of ~9 GPa (~270 km depth) (Faccenda & Dal Zilio, 2017).

Initialization. Our goal is to investigate the temporal variation of the overriding plate shortening starting from flat subduction. For that reason, we do not allow plastic strain to accumulate during initialization. To initiate the flat slab, we prescribed a ~400 km long plateau domain that corresponds to the dipping part of the slab, in which we split the 73 km oceanic 485 lithosphere into 43.8 km of depleted "Harzburgite" (3233 kg/m³) and 51.1 km of "Lherzolite"
486 (3300 kg/m³; Arredondo & Billen, 2017). This gives an average density of ~3260 kg/m³.
487 Additionally, during initialization there is no eclogitization in the "ridge".

488 We pushed the oceanic plate at 7 cm/yr, similar to the absolute orthogonal velocity of the 489 Nazca plate at ~35 Ma, and we pushed the overriding plate at 2 cm/yr (Sdrolias & Müller, 490 2006). The left asthenosphere boundary is open whereas the right and the bottom are set to 491 free slip to avoid any "artificial mantle wind" that could arise from pressure perturbations. 492 During initialization, we use a fully viscous interface to achieve flat subduction without any 493 significant deformation in the overriding plate. We set the minimum viscosity to 1e20 Pa.s for 494 the first 1 My in order to dampen the high velocities that could arise from isostatic rebound. 495 After 1 My the minimum viscosity is switched to 1e19 Pa.s. The interface viscosity is set to 496 5e19 Pa.s as this gives the minimum coupling strength required for flat subduction. As the 497 slab warms, the oceanic crust eclogitized and its tip steepens, the initialization stops when the 498 slab tip reaches 300 km depth.

When the model is retarted after the flat subduction phase, the interface is set to include visco-plastic deformation. The "ridge" density is set to 3000kg/m³ to prevent relamination and eclogitization of the continent when the temperatures overcome a blocking temperature of 700°C (Sobolev & Babeyko, 1994; Babeyko et al., 2006) . The minimum viscosity is set to 2.5e18 Pa.s. The "Harzburgite" and "Lherzolite" density are changed to represent normal oceanic mantle (3280 kg/m³), and the left boundary is fully open.

505 **Data acquisition and processing.** Shortening for the main orogenic domain is aquired 506 by tracking the extremities of the upper crust at the surface, from the trench to the sediments 507 in the foreland. Underthrusting is obtained by tracking the difference between the eastern 508 extremity of the orogenic domain and the western extremity of the craton. Next, to find the 509 shortening rate we divided the total shortening by the timestep. To be comparable to the 510 geological shortening rate that has a temporal resolution between 1 to 5 My (Oncken et al.,

511 2006) we smoothed the solution using a 5 My moving average filter. The position of the trench 512 corresponds to the lowest point of the topography. We determine the position of the trench 513 using the minimum topography in the model, and then determine the velocity by dividing the 514 change of position by the time step. The noise observed in the solution (e.g Fig.7) is caused 515 by the difference of resolution at the trench; we applied a moving average filter of 200 ka to 516 reduce it without losing the main signal. Note that we refer to the plastic strain rate and the 517 viscous strain rate whereas they are the second invariant of the square root of the deviatoric 518 strain rate in the plastic and viscous domain, respectively. The plastic strain refers to the 519 integrated plastic strain rate over time and allows us to identify places that were already 520 deformed and weakened.

521 Model limitations. The main limitation of our model is its two-dimensionality. The use of 522 2D modeling is appropriate for the Central Andes, where toroidal flow affecting the edges of 523 the Nazca plate can be neglected. However, Hindle et al., (2005) estimated latitudinal crustal 524 flow to contribute between ~10% to 30% of the present day crustal thickness of the Central 525 Andes. In our models the crustal thickening is mainly caused by intraplate shortening. As a 526 result, the lithospheric thickness of the orogen in our models is lower than the actual crustal 527 thickness of the Central Andes. For example, in model S1 the final orogenic lithosphere 528 thickness is ~57 km, whereas it should increase to ~62-74 km taking into account the 529 latitudinal component.

In model S1 the final dip of the slab is steeper than in seismic tomography (supplementary Fig. 7a), which plausibly indicates the occurrence of deep mantle flow that is not considered in our model, or that trench retreat is underestimated (supplementary Fig. 7b). Buckling of the slab could provide an explanation for the deep seismicity distribution (supplementary Fig. 7b). Alternatively, Model S2a (interplate friction 0.015) indicates that a slight change of effective low friction at the interface can result in a shallower slab due to efficient trench retreat (supplementary Fig. 2).

We find that with an interplate effective friction of 0.05 (supplementary Fig.4), the maximum amplitude of the modeled subduction velocity is lower than the absolute normal velocity of the Nazca plate (~12.5 cm/yr at ~20 Ma, Sdrolias & Müller, 2006). This suggests that because we neglect 3D effects, we may overestimate the average interface friction resulting in reduced velocities relative to the paleomagnetic data.

542

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553 **Data availability**

554 The input files to reproduce the results of this paper are available at 555 <u>https://doi.org/10.5880/GFZ.2.5.2022.001</u>. Figures in the paper were made with Paraview and 556 Illustrator. The color scales were taken from Crameri (10.5281/zenodo.5501399).

557 **Code availability**

558 The ASPECT on code is open source and hosted github https://github.com/geodynamics/aspect. The models where run with the ASPECT version 559 560 2.3.0-pre built with the 9.2.0 version of Deal.ii. We have modified the main ASPECT branch to 561 implement new custom plugins necessary for the model set up and the prostprocessing

562 accessible from <u>https://github.com/Minerallo/aspect/tree/Paper_slab_buckling_Andes</u>. The 563 input parameters files and initial temperature and composition are also available from 564 <u>https://doi.org/10.5880/GFZ.2.5.2022.001</u>.

565 Author contributions

566 M.P is the main investigator of this work, he built and ran the simulation, analyzed the data

and led the writing of the manuscript. S.V.S contributed to the design of the model as well as

the data interpretation and discussion. S.L contributed to the writing of the manuscript. D.N

569 contributed to the model building and design, and developed the coupling between ASPECT

and FASTSCAPE that handle the mesh deformation.

571 Appendix or supplementary information

- 572 Supplementary information
- 573 Movie S1, S1b, S2a, S2b, S2c, S3, S4

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