Ageostrophic contribution by the wind and waves induced flow to								
2	stirring in the Mediterranean Sea							
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ABSTRACT: We study the impact of the Ekman currents and Stokes drift on the horizontal mixing 14 and transport properties of the Mediterranean Sea. FSLE at the ocean surface are computed over the 15 whole basin using 25 years of satellite altimetry derived geostrophic currents, 10-m wind velocity 16 and wave fields. We find that the transport pathways unveiled by the geostrophic Lagrangian 17 Coherent Structures (LCS) are significantly modified by the ageostrophic currents (i.e. Ekman 18 and Stokes induced velocities), often leading to a decrease of the retention capacity of the eddies. 19 An exhaustive assessment of the regional dependence and temporal variability of the FSLE shows 20 an increase of the horizontal mixing activity, due to the ageostrophic component, up to 37% in 21 regions such as the Gulf of Lion or the Aegean Sea, during the seasons where wind and waves are 22 intense and persistent. Positive trends in the total FSLE (up to 1.2% of the value of FSLE per year 23 in some regions) suggest that Mediterranean Sea has experienced a significant increase in mixing 24 activity over the last decades. Ageostrophic features are considered to play a role in determining 25 the properties of the relative dispersion. Through the analysis of the Lagrangian Anisotropy Index 26 (LAI) using virtual and real pair of drifters, we observe that the particle dispersion is mainly 27 dominated by the zonal flow, and that the ageostrophic currents induce meridional dispersion, 28 particularly in regions where wind and wave are intensified. 29

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30 1. Introduction

Accurate assessment of surface velocities is fundamental for the analysis of the energy budgets 31 at the ocean interface, as well as, for the measurement and subsequent prediction of fluid particle 32 motions in the ocean, with implications in activities such as the mitigation of oil spills (Abascal 33 et al. 2009; Sayol et al. 2014), the fate of marine debris (Onink et al. 2019), or to determine 34 the connectivity patterns among different ocean regions (Rossi et al. 2014; Ser-Giacomi et al. 35 2021), among many others. In particular, transport and mixing properties in the upper layers have 36 profound consequences on the biogeochemical cycles and the dynamics of marine species, whose 37 knowledge is therefore crucial to understand the mechanisms regulating marine ecosystem (Lévy 38 et al. 2018; Legrand et al. 2019; Hernández-Carrasco et al. 2020). 39

Ocean dynamics is mainly driven by the geostrophic currents and, up to a significant extent, modulated by the wind-driven Ekman velocities and the wave-induced Stokes drift (Polton et al. 2005; McWilliams and Restrepo 1999; Suzuki and Fox-Kemper 2016). These components contribute to the variability of the dynamics at the upper ocean layers with different spatial and temporal scales. While geostrophic currents are related to mesoscale and slow processes, the ageostrophy associated with wind and waves, induces high-frequency modifications to the large scale motions (Hui and Xu 2016; Morales-Márquez et al. 2020).

Several works have analyzed the contribution of wind and waves on surface currents (Hui and 47 Xu 2016; Onink et al. 2019). The first attempt to understand the ageostrophic component at the 48 ocean surface by the wind stress was developed more than a century ago through the Ekman's 49 seminal paper (Ekman 1905). Since then, many works improved the classical Ekman model 50 modifying the parameterization of the vertical structure of the wind forcing through different eddy 51 viscosity profiles (Welander 1957; Price et al. 1987; Wenegrat and McPhaden 2016) or including 52 the effects of waves (Huang 1979; Polton et al. 2005; McWilliams et al. 2012). Previous studies 53 using an expression for the total surface currents as a linear combination of both geostrophic and 54 ageostrophic (Ekman and Stokes) components based on available observations, have shown the 55 important role of the wind and wave induced velocities in the global surface dynamics (Sudre et al. 56 2013; Ardhuin et al. 2009; Hui and Xu 2016). 57

The growing evidence that Ekman and Stokes velocity components have a strong impact on surface ocean dynamics has raised increasing interest in better understanding the effect of these

ageostrophic currents on the fate of transported particles (Onink et al. 2019; Dobler et al. 2019). 60 Previous studies have pointed up that Ekman currents play an important role in the accumulation 61 of microplastics in the main subtropical ocean gyres (Onink et al. 2019). Indeed, the wave-62 induced velocity dramatically affects the direction of the Lagrangian trajectories of marine debris 63 advected by the geostrophic currents in the southern Indian basin (Dobler et al. 2019). The 64 precise contribution of each velocity component on the lateral stirring and relative dispersion 65 remains unknown. The Lagrangian dynamics of the ocean flow can be readily explored by 66 the Finite Size Lyapunov Exponents (FSLE) (Hernández-Carrasco et al. 2011). FSLE is the 67 usual method used to analyze the dispersion properties of the turbulent flow (Lacorata et al. 68 2001), as well as, to reveal relevant spatial structures in ocean flows (d'Ovidio et al. 2004), i.e. 69 the Lagrangian Coherent Structures (LCS). LCS strongly organize the transport in a dynamical 70 fluid system (see Haller (2015) for a review). The importance of the LCS in the structuring of 71 the biogechemical properties and ocean ecosystems have been largely demonstrated in previous 72 studies. For example, the LCS obtained from ridges of FSLE have been correlated with filaments 73 of remote-sensed chlorophyll (Chl a) (Lehahn et al. 2007; Hernández-Carrasco et al. 2018, 2020), 74 primary production (Hernández-Carrasco et al. 2014), sea bird foraging behavior (Kai et al. 2009), 75 and with the modelled extension of oxygen minimum zones (Bettencourt et al. 2015). 76

In this work we analyze the impact of the Ekman and Stokes components on the geostrophic LCS 77 and on dispersion properties at the ocean surface in the Mediterranean Sea. Recently, Morales-78 Márquez et al. (2020) by solving the momentum equation in the steady state for wind and waves 79 (Polton et al. 2005), presented a regionalization of the Mediterranean Sea surface dynamics as 80 a function of the relative importance of the geostrophic and ageostrophic components. It was 81 reported a high ageostrophic contribution to the kinetic energy at the eastern and northwestern 82 basin, while the geostrophic component dominates the dynamics in the Alboran, the Algerian and 83 the Ionian sub-basins. Here, based on the same formulation, LCS and relative dispersion from 84 FSLE are computed for the geostrophic and ageostrophic velocity components using operational 85 available products with the aim to investigate the transport and mixing properties of the different 86 Mediterranean sub-basins. 87

This paper is organized as follows: Section 2 describes the data and contains a brief summary of the formulation used to obtain the velocity field. Section 3 exposes the applied methodology to ³⁰ analyze the case study. In Section 4, the acquired results in this report are reported and discussed.

And, finally, Section 5 concludes the work with some highlighted points.

92 **2. Data**

93 Velocity fields

Sea surface currents are obtained following the methodology described in Morales-Márquez 94 et al. (2020). We use a modified Ekman model for the surface currents, which includes the 95 balance between Coriolis forces due to the mean and wave-induced motions and the surface wind 96 and wave stress (Huang 1979; Polton et al. 2005). In order to find analytical solutions for the 97 surface currents, that allows us using available wind and waves data from synoptic observations, 98 we propose a simplified model, considering the steady state of the conservative wave-averaged 99 Boussinesq horizontal momentum equation, within a uniform and steady surface gravity wave field 100 (McWilliams and Fox-Kemper 2013) and in the presence of surface wind stress, and a small Rossby 101 number R = U/fL. Following (Huang 1979; Polton et al. 2005; Morales-Márquez et al. 2020) 102 these equations can be described using the complex notation (*i.e.*, $\mathbf{U} \equiv u + iv$ and $\nabla = \frac{\partial}{\partial x} + i\frac{\partial}{\partial y}$) as: 103

$$if(\mathbf{U}_T + \mathbf{U}_s) = -\frac{1}{\rho_w} \nabla P + \frac{1}{\rho_w} \frac{\partial \tau}{\partial z} - \mathbf{T}_{wds},\tag{1}$$

where ρ_w is the water density, U_s is the wave-induced Stokes drift, *i f* U_s the Coriolis-Stokes 104 forcing, and where the horizontal mixing has been neglected and the vertical one is given by the 105 stress τ . With this simplification, we focus on the main current removing the small-scale Langmuir 106 vortices given by the vortex-force term and neglecting non-linear advection terms. The momentum 107 equations are split into a mean geostrophic and ageostrophic balances. Hence, the total velocity 108 field, U_T , can be approximated as the sum of the geostrophic U_g related to the pressure term and 109 the ageostrophic components resulting from wind and waves stress, U_a . At this point, we remark 110 that the Lagrangian velocity contribution (\mathbf{U}_s) in the pressure gradient term is neglected since 111 the geostrophic velocity is obtained from altimetry which averages all small contributions. The 112 Stokes Coriolis force by itself is neither geostrophic nor ageostrophic. It might be considered 113 as part of a "wavy geostrophic balance" including both Coriolis terms and the pressure gradient 114 on the right hand side of eq. (1) (McWilliams and Fox-Kemper 2013; Suzuki and Fox-Kemper 115

2016, e.g.), or alternatively as part of a "wavy Ekman balance" involving Stokes Coriolis, vertical 116 mixing, the surface wind stress as a boundary condition, and momentum transfer due to waves 117 [e.g.](McWilliams et al. 2014). In this paper, we take Stokes Coriolis and wave radiation and mixing 118 effects to all be included in the latter collection of terms and thus as an ageostrophic contribution 119 to the velocity. Under these assumptions and in the limit of an Ekman depth much larger than 120 the Stokes layer depth ($h_{Ek} \gg h_{St}$), as occurs in the Mediterranean Sea (Sayol et al. 2016), the 121 effect of waves on the Eulerian currents can be modeled through a modification of the surface 122 boundary condition (Polton et al. 2005; Wenegrat and McPhaden 2016). Using the Ekman-Stokes 123 stress, $\tau = \rho A_z(z) \frac{\partial U_a}{\partial z}$, directly in the momentum equation, being A_z the turbulent eddy viscosity, 124 considered as a specific parameter, allows approximating the equation for the ageostrophic current 125 as, (Huang 1979; Polton et al. 2005; Morales-Márquez et al. 2020): 126

$$\mathbf{i}f\mathbf{U}_{a} = \frac{\partial}{\partial z} \left(\mathbf{A}_{z} \frac{\partial \mathbf{U}_{a}}{\partial z} \right) - \mathbf{i}f\mathbf{U}_{s} - \mathbf{T}_{wds}.$$
 (2)

In this work, the momentum transfer from waves to the mean flow due to dissipation of wave energy (\mathbf{T}_{wds}) is neglected and the vertical viscosity profile (A_z) is assumed to be vertically uniform and only dependent on wind speed with the relation $1.2 \cdot 10^{-4} U_{10}^2 \text{ m}^2 \text{ s}^{-1}$ (Ekman 1905; Santiago-Mandujano and Firing 1990) for the whole basin. According to Polton et al. (2005) and Morales-Márquez et al. (2020), the momentum equation (Eq. (2)) can be solved as a two points boundary value problem with the modified Ekman-Stokes stress condition at the free surface and a vanishing condition at $z = -\infty$, obtaining the following analytical solution:

$$\mathbf{U}_{a}(z) = \frac{\boldsymbol{\tau}_{w}}{\rho_{w}\mathbf{A}_{z}m}e^{mz} + \frac{\frac{\partial \mathbf{S}}{\partial \mathbf{X}}}{\rho_{w}\mathbf{A}_{z}m}e^{mz} + \frac{m^{2}\mathbf{U}_{s0}}{4k^{2} - m^{2}}e^{2kz} - \frac{2km\mathbf{U}_{s0}}{4k^{2} - m^{2}}e^{mz},$$
(3)

where τ_w is the wind stress, $\frac{\partial S}{\partial X}$ the radiation stress due to the waves at the sea surface, *k* the wavelength, $\mathbf{U}_{s0} = \mathbf{U}_{s(z=0)}$ and $m = \sqrt{if/A_z} = (1+i)\sqrt{f/(2A_z)}$. The analytical solution with the Coriolis–Stokes forcing is shown to be in agreement with velocity profiles from reported observational data, improving the standard Ekman model (Polton et al. 2005). Following the same order of the components in Eq. (3), and depending on the physical forcing, the ageostrophic component can be split into:

$$\mathbf{U}_{a}(z) = \mathbf{U}_{E}(z) + \mathbf{U}_{\tau_{s}}(z) + \mathbf{U}_{S}(z) + \mathbf{U}_{ES}(z)$$
(4)

where $\mathbf{U}_{E}(z)$ represents the classical Ekman component, $\mathbf{U}_{\tau_{s}}(z)$ accounts for the surface current induced by the wave radiation stress, $\mathbf{U}_{S}(z)$ is the Stokes component, and $\mathbf{U}_{ES}(z)$ is the Ekman-Stokes component that accounts for the interaction between wind and waves acting in the entire Ekman layer (Polton et al. 2005). Here, \mathbf{U}_{a} is integrated over 1 meter depth since the mean Stokes layer depth is generally smaller than 2 m in the Mediterranean Sea (Sayol et al. 2016).

To obtain the ageostrophic component, we use waves and 10 -m height wind derived from the ERA-Interim reanalysis product, which uses a WAM wave model with the assimilation of available measurements ERS1 satellite wave height data (Janssen et al. 1997). These data are extracted from local GRIB code of the European Centre for Medium-Range Weather Forecasts (ECMWF). This reanalysis product has a temporal resolution of 6 hours from 1979 to 2019 and a spatial resolution of 1/8° both in latitude and longitude over the Mediterranean Sea. A detailed description of these products can be found in Berrisford et al. (2011).

The geostrophic component is obtained from the equilibrium between the Coriolis force and the pressure gradients in the momentum equation for a steady, homogeneous and Boussinesq flow:

$$u_g = -\frac{g}{f} \frac{\partial(\text{SSH})}{\partial y}, \quad v_g = \frac{g}{f} \frac{\partial(\text{SSH})}{\partial x}$$
 (5)

where SSH is the Sea Surface Height, *g* the acceleration of gravity and *f* the Coriolis parameter. In this work we use the absolute geostrophic velocity fields provided by Copernicus Marine Environment Monitoring Service (CMEMS) through the product *Mediterranean Sea Gridded L4 SSH*. These data have a daily temporal resolution, and are interpolated each 6 hours, in accordance with the ageostrophic velocities (see below), to compute the total velocity field in a regular mesh of $1/8^{\circ}$ over the entire Mediterranean Sea.

In recent years, some authors, such as McWilliams et al. (2015) and Wenegrat and McPhaden (2016), have included an additional ageostrophic component caused by the geostrophic stress in the Ekman model. This term is a large contributor to the ocean dynamics at low latitudes, while the ¹⁶³ Coriolis-Stokes stress has a higher influence at higher latitudes, as in the case of the Mediterranean ¹⁶⁴ Sea (Wenegrat and McPhaden 2016). Over the very surface layers of this basin, the geostrophic ¹⁶⁵ stress value is 5 times smaller than Coriolis-Stokes stress, involving less than 3% in spring and ¹⁶⁶ summer and than 8% in winter and autumn of the wind stress strength (Figure 9 of Wenegrat and ¹⁶⁷ McPhaden 2016). In the light of the small geostrophic stress input on the surface dynamics at ¹⁶⁸ the Mediterranean Sea, the results of this study can be assumed immutable if this component is ¹⁶⁹ considered.

170 Lagrangian drifter data

We use data from a total of 690 (15 SVP (Surface Velocity Program) and 675 CODE (Coastal Ocean Dynamics Experiment)) surface drifters deployed between 1994 and 2005 by several institutions operating in the Mediterranean Sea and collected by the Italian National Institute of Oceanography and Experimental Geophysics (OGS) (Hansen and Poulain 1996; Menna et al. 2017).

The velocity fields obtained in the previous subsection, are validated with this drifters-database, resulting an averaged separation distance between real and virtual drifter trajectories smaller when the virtual trajectories are calculated with total velocity field (including wind and waves-induced currents) rather than only geostrophic field (Morales-Márquez et al. 2020).

180 Dynamical regions of the Mediterranean Sea

We evaluate the transport and mixing properties in the six dynamically homogeneous regions 181 of the Mediterranean Sea (shown in Figure 1) reported in Morales-Márquez et al. (2020). These 182 regions (henceforth SOM-regions: R1, R2, R3, R4, R5 and R6) are unveiled through a Self-183 Organising Maps (SOM) analysis (machine-learning algorithm applied to an artificial neural net-184 work) based on the homogeneous contribution of the geostrophic and Ekman- and Stokes-induced 185 currents to the total kinetic energy. The regions where the mesoscale ageostrophic kinetic energy 186 is significant are identified especially in R1, while the regions where the geostrophic dominates the 187 dynamics behavior are defined as R2 and R3. Being R4, R5 and R6 intermediate regions where, in 188 some occasions, the ageostrophic component controls the surface ocean circulation. More details 189 about this regionalization can be found in Morales-Márquez et al. (2020). 190



FIG. 1. Map of the Mediterranean Sea showing the main oceanographic features and the regions extracted through the SOM analysis applied to the total kinetic energy computed from the coupled geostrophic and ageostrophic (i.e. Ekman and Stokes induced currents) velocity fields. Figure adapted from Morales-Márquez et al. (2020).

3. Lagrangian dynamics

¹⁹⁶ Neglecting diffusion effects, the trajectory of an infinitesimal and neutrally buoyant particle ¹⁹⁷ advected in a Lagrangian flow field $\mathbf{U}(\mathbf{r}, t)$ can be computed integrating the equation of motion

$$\mathbf{r}(t) = \mathbf{U}(\mathbf{r}(t), t). \tag{6}$$

Here, we only consider two-dimensional fields, i.e. $\mathbf{r}=(x, y)$ with x and y the longitudinal and latitudinal coordinates, and where the ageostrophic velocity fields given by Eq. (3) are integrated in the vertical dimension, z, over the first meter. The position of the particle between two consecutive times t and $t + \Delta t$ is obtained integrating Eq. 6:

$$\mathbf{r}(t+\Delta t) = \mathbf{r}(t) + \int_{t}^{t+\Delta t} \mathbf{U}(\mathbf{r}(t), t) dt.$$
(7)

²⁰² Owing to the temporal and spatial discretization of the data sets an interpolation scheme has to be ²⁰³ carried out to obtain the flow velocity $\mathbf{U}(\mathbf{r}(t), t)$ at the particle location (see Sayol et al. (2014); Van Sebille et al. (2018) for a comparison on numerical procedures). Trajectories given by Eq. (7)
 are integrated using a fourth-order Runge-Kutta scheme with a bilinear spatial interpolation of the
 velocity field and an integration time step of 1 hour, thus minimizing the numerical diffusion.

In order to analyze the influence of wind and waves on the total transport at the sea surface, the motion of the particles is computed using both the total and the geostrophic velocitiy fields:

$$\frac{d\mathbf{r}_T(t)}{dt} = \mathbf{U}_T(\mathbf{r}_T(t), t) = \mathbf{U}_g(\mathbf{r}_T(t), t) + \mathbf{U}_a(\mathbf{r}_T(t), t),$$
(8)

209 and

$$\frac{d\mathbf{r}_g(t)}{dt} = \mathbf{U}_g(\mathbf{r}_g(t), t).$$
(9)

210 Relative dispersion statistics

For the Lagrangian dynamical system defined in Eq. (6), a suitable metric to study the scaling properties of the relative dispersion is the averaged Finite-Size Lyapunov Exponent (FSLE) (Aurell et al. 1997), defined as:

$$\lambda(\delta,\alpha) = \left\langle \frac{1}{\tau(\delta,\alpha\delta)} \right\rangle \ln \alpha, \tag{10}$$

where δ is the initial separation between a pair of particles, α the amplification factor of separation and $\tau(\delta, \alpha \delta)$ the growth time of the separation distance between two particles from δ to $\alpha \delta$. The bracket <> represents the average over a large number of realizations (pair of trajectories) for an initial separation δ .

From the computation of FSLE over a wide range of initial separations ($\lambda(\delta)$ vs. δ) it can be 218 inferred the contribution to relative dispersion by different length scales of motions. Information 219 about the physical mechanism (e.g. diffusion, turbulence or chaotic advection) and the size of 220 the flow structures that govern the Lagrangian dispersion processes, can be obtained from the 221 dispersion regimes associated with the different scaling laws of FSLE (Artale et al. 1997; Aurell 222 et al. 1997; Lacorata et al. 2001) identified by the exponent μ in the scaling $\lambda \sim C\delta^{\mu}$. $\mu=0$ 223 describes an exponential separation associated with non-local chaotic advection, that is, where 224 larger structures than the analyzed separation scale between trajectories govern the dispersion 225 (Boffetta et al. 2000); μ =-2/3 corresponds to a Richardson dispersion scaling associated with a 226 turbulent cascade (Richardson 1926); μ =-1 indicates a ballistic dispersion regime associated with 227

²²⁸ a constant shear flow, showing that the trajectories are located in different currents; and μ =-2 ²²⁹ represents a standard diffusion regimen associated with uncorrelated pair velocities, in which a ²³⁰ particle trajectory independently separates from the other as a random walk (Taylor 1921).

To statistically assess the contribution of the different velocity components (geostrophic and 231 ageostrophic) to the total relative dispersion, we compute λ (Eq. (10)) and compare the results with 232 the real drifters trajectories. The large number of uniformly distributed pairs of passive particle 233 trajectories considered in the analysis, eliminates a possible bias due to the initial conditions (Artale 234 et al. 1997). A total of 3098 pairs of particles are randomly released in each of regions identified 235 in Morales-Márquez et al. (2020). The initial position of the particle #1 for each of the pairs of 236 particles is randomly selected inside the regions, as well as the time when the particle is launched 237 throughout the time period of study. The position of the particle #2 is chosen with a random 238 angle with respect to particle #1 and within a distance $5 \le \delta \le 300$ km. In order to analyze the 239 sensitivity of the FSLE spectrum to the orientation of the sampling, we perform an experiment 240 launching pair of drifters separated zonally and meriodinaly (Fig. 2). The values of the maximum 241 Lyapunov exponents at small scales are significantly larger for meridional (~ 0.16 davs^{-1}) than for 242 longitudinal (~ 0.13 days^{-1}) initial separations Fig. 2 (red and blue lines respectively). Indeed, 243 the scaling exponents obtained from the best fit of the FSLE curves at large scales shows a scaling 244 exponent associated with a shear diffusion for meridional ($\mu = -1.21$) and zonal ($\mu = -0.93$) 245 separation. Thus, sampling a wide range of directions minimizes possible anisotropic effects due 246 to the direction of the initial separation vector. To resolve the relative dispersion associated with 247 small coherent features and to avoid problems related to the time step of particle advection at small 248 scales, the value of the amplification rate of separation α (Eq.(10)) must be smaller than 2 and not 249 too close to 1. Here, we selected a fixed value of α as $\sqrt{2}$ (Lacorata et al. 2001; Haza et al. 2008). 250 The analysis is also applied to the OGS drifters dataset described in section 2 with a drogue of 251 1 meter depth, considering only the pairs of drifters that are inside each region at least during 2 252 days. Since the Lagrangian model used to compute trajectories considers passive and infinitesimal 253 particles, we neglected possible wind drag and other factors that modify the motion of the real 254 drifter, assuming therefore trajectories of real drifting buoys as the best approximation of passive 255 particle motion in the real ocean flow. 256



FIG. 2. FSLE spectrum, $\lambda(\delta)$ (in days⁻¹) for different zonal (blue line) and meridional (red line) spatial scales (δ , in km) calculated with virtual drifters advected in the total velocity field U_T and without measuring the total final distance along an specific direction. The scaling exponents associated with ballistic/shear (-1) and Richardson (-2/3) dispersion regimes are included in the plot with dashed grey lines.

In a two-dimensional surface ocean flow, the characteristic scales in the Lagrangian dispersion can be analyzed independently for longitudinal and latitudinal directions splitting the FSLE into the zonal and meridional components as,

$$\lambda_x(\delta_x, \alpha) = \left\langle \frac{1}{\tau(\delta_x, \alpha \delta_x)} \right\rangle \ln \alpha, \tag{11}$$

264 and

$$\lambda_{y}(\delta_{y},\alpha) = \left\langle \frac{1}{\tau(\delta_{y},\alpha\delta_{y})} \right\rangle \ln \alpha, \tag{12}$$

²⁶⁵ being δ_x and δ_y the initial distances between pair of particles separated in the longitudinal or in the ²⁶⁶ latitudinal direction. It should be noted that the final distance, in both definitions $\alpha \delta_x$ and $\alpha \delta_y$, is ²⁶⁷ measured specifically along one direction: longitudinal and latitudinal, respectively.

The anisotropy in the dispersion process can be measured computing the difference between the zonal and meridional dispersion rates at a given scale (δ), through the Lagrangian anisotropy index (LAI) defined in Espa et al. (2014) as,

$$LAI = \frac{\lambda_x(\delta_x) - \lambda_y(\delta_y)}{\lambda_x(\delta_x) + \lambda_y(\delta_y)},$$
(13)

where $\delta_x = \delta_y = \delta$ and α have the same values to calculate $\lambda_x(\delta_x)$ and $\lambda_y(\delta_y)$. This dimensionless index varies between -1 and 1, depending on whether the dispersion is dominated by latitudinal or longitudinal flows, respectively. Perfect isotropy is thus represented by zero value.

274 Lagrangian Coherent Structures

The FSLE technique can also be used to identify dynamical flow structures acting as barriers to transport of tracers (Boffetta et al. 2001; d'Ovidio et al. 2004; Hernández-Carrasco et al. 2011), the so-called Lagrangian Coherent Structures (LCS). In this case, we compute the minimum time that two fluid particles initially separated a distance δ_0 need to be finally separated a distance δ_f . At the position **r** and time *t*, the FSLE, is given by:

$$FSLE(\mathbf{r}, t, \delta_0, \delta_f) = |\tau|^{-1} \ln \frac{\delta_f}{\delta_0}.$$
(14)

We remark that averages are not performed in this definition of the FSLE in order to have an 280 explicit spatial-time dependence, in contrast with the original definition (Eq. 10), as well as, that 281 α has to be large enough ($O(10^1)$) to adequately distinguish regions of maximum stretching in the 282 FSLE field. The largest Lyapunov values are organized along characteristic lines, namely LCS, 283 which identify relevant oceanic structures like fronts, eddy boundaries and filaments. Since fluid 284 particles can not cross them, such lines strongly constrain and organize the fluid motion around 285 them, providing a kind of transport "template" (Shadden et al. 2005; Haller 2015). The minimum 286 time τ is computed by integrating the trajectories of the four neighboring points of the analyzed 287 one located at **r** and by selecting the associated particle that separates faster to a distance δ_f . 288

In this paper, LCS are computed from instantaneous FSLE maps using ~ $3 \cdot 10^6$ pairs of backward 289 trajectories initialized in a regular grid of $\delta_0 = 1/64^\circ$ over the entire Mediterranean Sea and with 290 a final distance of $10\delta_0$. Each daily FSLE map is computed using 44032 pairs of trajectories 291 located in a regular grid with $1/8^{\circ}$ of spatial resolution, where the final fixed distance is 1° . The 292 time averaged values in each grid point are computed only considering the days when the pair of 293 particles trajectories do not reach the beach. In this way, there are some grid points where the 294 time-average value is calculated with less amount of data, such as the points located near the coast 295 of the Alboran Sea. 296

²⁹⁷ Similarly to LAI, we compute the Lagrangian Coherent Structure Anisotropy (LCSA), as:

$$LCSA(\mathbf{r},t,\delta,\alpha) = \frac{FSLE_x(\mathbf{r},t,\delta_x,\alpha) - FSLE_y(\mathbf{r},t,\delta_y,\alpha)}{FSLE_x(\mathbf{r},t,\delta_x,\alpha) + FSLE_y(\mathbf{r},t,\delta_y,\alpha)},$$
(15)

where FSLE_x (FSLE_y) are the Finite Size Lyapunov exponent obtained evaluating the pair separation along the longitudinal, δ_x , (latitudinal, δ_y) directions. This expression allows an assessment of the spatial variability of the effect of the flow anisotropy on the LCS. Depending on whether LCSA is positive or negative, the LCS is given by a higher contribution of the longitudinal or latitudinal separation of the trajectories, respectively. Note that to compute the LCSA we use large values of α (\gg 2) as used before for the LCS estimation.

4. Results and discussion

³⁰⁵ Ageostrophic induced leakage across mesoscale LCS

In this section, we analyze how ageostrophic Ekman and Stokes induced currents influence 306 transport pathways in the upper ocean. We first compare the shape of the LCS derived from 307 the total velocity field (henceforth LCS_T) and from the geostrophic velocities (LCS_g) at a given 308 time. Figures 3 a) and b) display an example of instantaneous maps of FSLE computed from total 309 velocity (FSLE_T) and from the geostrophic velocity field (FSLE_g), respectively, for 19 January of 310 2005 at 12 : 00 UTM. FSLE_T and FSLE_g values are in the same range, between 0 and 0.6 days⁻¹ 311 (mixing time-scales of days/weeks) typical of mesoscale horizontal stirring (Hernández-Carrasco 312 et al. 2012). A similar large scale pattern of intricate Lyapunov lines, associated with fronts 313 and mesoscale eddy-like structures is exhibited, also when including the ageostrophic velocities. 314

However, some discrepancies in the shape and intensity, as well as, in the position of LCS_T with respect to the LCS_g , are clearly evident in Fig. 3, c) where we present the difference between both ($FSLE_T$ - $FSLE_g$) maps. Numerous LCS derived from $FSLE_g$ (in blue) are shifted, modified in intensity, or even totally dissipated when considering the total currents (in red).



FIG. 3. Spatial distribution of backward FSLE (days⁻¹) in the Mediterranean Sea corresponding to January 19, 2005 at 12 : 00 UTM computed using 2791665 pairs of trajectories with a) total velocity fields, U_T, and b) geostrophic velocity fields, U_g. c) Difference between total and geostrophic FSLE fields shown in a) and b) (FSLE_T - FSLE_g). d), e) and f) are zooms in on the Ionian Sea of the FSLE_T, FSLE_g and its difference, respectively. The initial separation is $\delta_0 = 1/64^\circ$ and the final separation, $\delta_f = 10\delta_0$.

The impact of the ageostrophic currents on the LCS are evidenced if we zoom in on specific 324 regions. Figures 3, d), e) and f) show large differences in the Lagrangian transport pattern obtained 325 from both velocity fields. The shape of mesoscale vortexes and filaments are drastically altered in 326 the FSLE_T map, as seen in the eddies over the Ionian Sea (e.g., the eddy centered at 18E - 33N), 327 or even suppressed, as in the case of the filament-like LCS located over the northeast and central 328 regions of the Ionian Sea. Additional examples showing that this ageostrophic modification of 329 the LCS is not an isolated event are reported in the Appendix (Figure 4). This suggests that the 330 ageostrophic currents could play an important role in the spreading of tracers in the ocean. 331



FIG. 4. Spatial distribution of backward FSLE (days⁻¹) in the Ionian Sea corresponding to February 5, 2014 at 6 : 00 UTM, computed from a) total velocity field, U_T , and b) geostrophic velocity field, U_g . c) Difference between maps a) and b). The initial separation is $\delta_0 = 1/64^\circ$ and the final separation, $\delta_f = 10\delta_0$.

To better illustrate the effect of the wind and waves induced currents on the Lagrangian dis-335 tribution of transported material, we compare the evolution of a set of passive tracer trajectories 336 advected in U_T with the same set of particles trajectories (released with the same initial conditions), 337 advected in the geostrophic velocity field U_g (Figure 5). While tracers advected in the geostrophic 338 field (cyan points) remain inside the mesoscale eddy, tracers inside total currents (pink points) 339 leave the eddy, and eventually spread across the southwestern Mediterranean, toward the Alboran 340 Sea. This suggests that wind and waves induced circulation could significantly impact on the per-341 meability of the Lagrangian Coherent Structures (i.e. intense fronts and eddies) obtained from the 342 geostrophic currents. The Ekman and Stokes developed motions permit a leak across the mesoscale 343 transport barriers identified by the geostrophic LCS. It implies that, while the geostrophic transport 344

³⁴⁵ barrier constrains strongly the motion of the particles inside the eddy when they are advected in ³⁴⁶ the geostrophic flow, it becomes permeable when adding the ageostrophic component to the total ³⁴⁷ currents. This fact could have profound consequences on the connectivity patterns, as well as, ³⁴⁸ the retention capacity of eddies, which can be substantially influenced by the wind and waves ³⁴⁹ conditions. There are obvious impacts of this result to dispersion and mitigation of pollutants and ³⁵⁰ other flotsam, as barriers to transport are not detectable by SSH alone.



FIG. 5. Evolution during one month (a) January 26, b) January 31, c) February 5, d) February 10, e) February 15 and f) February 20, 2005) of two sets of 10000 passive tracers launched with the same initial conditions in the interior of a mesoscale eddy. One set is advected by the geostrophic field (in cian) and the other set is advected by the total velocity field (in pink). The attracting geostrophic LCS are displayed in the background in gray (darker grey for more intense LCS).

356 Horizontal stirring variability

We further analyze the low-frequency signature, large-scale signal, of the horizontal stirring by 357 computing the time average of $FSLE_T$ and $FSLE_g$ over the 25-years of data. Areas with large 358 values of averaged FSLE identify zones with more persistent horizontal stirring (d'Ovidio et al. 359 2004; Hernández-Carrasco et al. 2012). Fig. 6 shows that regions with more mesoscale activity 360 are located in the Alboran Sea (associated with the Alboran gyres variability, with values of FSLE_T 361 around 0.6 days⁻¹) and the Gulf of Lion (associated with the Northern current), the Algerian 362 basin (related to the instabilities of the Algerian Current) and the south of Crete in the eastern 363 Mediterranean (associated with the variability of the intense gyres south of Crete, with values 364 around 0.3 days⁻¹). The impact of the ageostrophic component on the horizontal stirring can be 365 inferred by computing the difference between the time average of $FSLE_T$ and $FSLE_g$. The areas of 366 more intense horizontal stirring due to ageostrophic mesoscale activity are characterized by large 367 values of the relative difference between temporal averages of the total and the geostrophic FSLE 368 with respect to the total FSLE, computed for each position (\mathbf{r}) as: 369

$$\%FSLE_a(\mathbf{r}) = \frac{1}{T} \sum_{k=1}^{T} \frac{FSLE_T(\mathbf{r}, k) - FSLE_g(\mathbf{r}, k)}{FSLE_T(\mathbf{r}, k)} \cdot 100,$$

where T is the time period over which the time series are evaluated, being different in each position 370 (**r**) depending on the simultaneous availability of both FSLE_T and FSLE_g fields (e.g. T = 8927 daily 371 time steps corresponds to 24 years, if there exit values of $FSLE_T$ and $FSLE_g$ fields over the whole 372 period of study at the same pixel). This allows knowing how is the ageostrophic contribution with 373 respect to the total FSLE. Figure 6 b) shows that areas where the mesoscale activity is increased by 374 the effect of Ekman and Stokes (in red) are located in the Gulf of Lion and south of Crete. Regions 375 where wind and waves have a suppressing effect on the geostrophic horizontal stirring (in blue) are 376 observed in the western part of the Mediterranean Sea, near Sardinia and west Sicily, as well as, in 377 the middle of the Eastern Mediterranean basin. 378

The average of FSLE is also calculated seasonally from 1994 to 2018 in order to characterize the regional impact of the intra-annual variability of the wind and waves conditions on the LCS. We only focus on the winter-summer differences (not shown all the seasons). The averaged FSLE_T over winter months (December-January-February-March) is shown in Fig. 6, c) and over summer



FIG. 6. Spatial distribution of the time average of backward FSLE_{*T*}, in $days^{-1}$, over: a) the 24 years of data (from 1994 to 2018); c) only averaging over winter months (DJFM); and e) only averaging over summer months (JJAS). Contribution of the ageostrophic currents proportional to the total horizontal stirring in % (FSLE_{*T*} -FSLE_{*g*})/FSLE_{*T*}), for b) the total period; d) for winter; and f) for summer. The initial separation is $\delta = 1/8^{\circ}$ and the final separation, $r\delta = 1^{\circ}$.

(June-July-August) in Fig. 6, e). Clear differences between seasons are appreciated in the Gulf of 388 Lion, Alboran Sea, Algerian basin and at the south of Crete, with higher mixing activity during 389 summer. Note that in winter the mesoscale activity is almost cancelled in the Gulf of Lion. 390 Similarly, we compute the normalized contribution of ageostrophic currents to horizontal mixing 391 for winter (Fig. 6, d) and summer (Fig. 6, f). In winter, the ageostrophic component mainly 392 exhibits an inhibitory effect of stirring, particularly significant in regions where the intense mistral 393 and tramontane winds are developed in winter (Obermann et al. 2018; Soukissian et al. 2018), i.e. 394 west Sicily and Sardinia, and the coastal region of the Gulf of Lion. Conversely, an increase of 395 the mesoscale activity is observed over the south of Crete, the Adriatic and Aegean Sea, and in 396 the south part of the Gulf of Lion. In summer, Ekman and Stokes currents substantially impact on 397

the geostrophic horizontal stirring (with an increase up to 50% of FSLE_{*T*}) around Crete and the Aegean Sea, likely caused by the persistent northerly etesian winds that prevail over the eastern Mediterranean during summer (Zecchetto and De Biasio 2007; Soukissian et al. 2018), and in the northwestern Mediterranean where, although characterized by low values of the total mesoscale activity, an increase is observed induced by the ageostrophic currents likely due to occasional intense mistral winds blowing during this season (Small et al. 2012).

A convenient quantity to characterize mixing activity in a specific region, is the spatial average 404 of FSLE at a given time which allows to study the temporal variability over different regions. Here, 405 we are interested in the variability of the horizontal mixing in the SOM-regions shown in Fig. 1. 406 The time evolution of the spatial average of the $FSLE_T$ and $FSLE_g$ over the whole Mediterranean 407 Sea is shown in Figure 7, a). Both $FSLE_T$ and $FSLE_g$ show a high temporal variability, with 408 larger values of $FSLE_T$ than $FSLE_g$ most of the time, in particular during the 1997-1999 and 2012-409 2014 periods, and a global contribution of 6% of the total FSLE coming from the ageostrophic 410 component. As expected (and in agreement with Fig. 6), we observe high stirring values (mean 411 FSLE of ~ 0.23 days⁻¹) corresponding to regions characterized by the major mesoscale features, 412 such as the persistent intense mesoscale eddies, i.e. Alboran and Crete gyres (R3), and jets, i.e. 413 Algerian Current (R2). Intermediate mixing values correspond to northwestern and eastern basins 414 (R1 and R6) while central basin (R5) and Adriatic and Tyrrhenian Sea (R4) display significantly 415 lower values. While events of maximum ageostrophic contribution (up to 37% of the total FSLE 416 in Fig. 6, d) occur in the northwestern regions (R1), the average contribution is larger ($\sim 9\%$) in 417 the eastern basin (R6) and north-central basin, including the Adriatic, Tyrrenian and north Ionian 418 seas (R4), and lower ($\sim 3\%$) in the Aegean Sea and the south Ionian Sea (R5). Intermediate 419 mean contributions ($\sim 5\%$) are found in R1, R2 and R3. In contrast to the results obtained in 420 Morales-Márquez et al. (2020), where it was reported a high ageostrophic impact on the total 421 kinetic energy in R1, we obtain that wind and waves induced currents do not play an important 422 role in the mixing activity in this region. This suggests that mesoscale variability generated by the 423 ageostrophic component is more significant in the eastern basin and in the north and central part 424 of the Mediterranean Sea than in the rest of the regions. 425

Another interesting feature depicted from the mean FSLE time series is the general positive trend experienced in the Mediterranean basin, suggesting a continuous increase of the global

mixing activity. Trends of the horizontal stirring are computed based on a linear regression of the 433 residual component of FSLE time series after it has been decomposed as a seasonal signal plus a 434 residual component. The global linear trend in the Mediterranean basin for the 1994-2018 period 435 is $1.27 \cdot 10^{-3}$ days⁻¹/year for FSLE_T and $1.32 \cdot 10^{-3}$ days⁻¹/year for FSLE_g, which is equivalent to a 436 mean mixing increase of 0.8% per year. Regional differences are evident. Higher positive trends 437 marking the central basin of the Mediterranean, i.e. the south Ionian Sea and the Aegean Sea (R5) 438 with values of $1.67 \cdot 10^{-3}$ days⁻¹/year (equivalent to 1.2% per year), the Algerian basin (R2) with a 439 value of $1.66 \cdot 10^{-3}$ days⁻¹/year (0.8% per year) and the core of the major gyres (R3) with $1.63 \cdot 10^{-3}$ 440 days⁻¹/year (0.7% per year). This implies a substantial FSLE_T increase in these regions that can 441 reach around 0.2 days⁻¹ in 100 years (twice the current mixing level). Slightly lower positive 442 signal is found in the eastern basin (R6) with a value of $1.33 \cdot 10^{-3}$ days⁻¹/year (0.7% per year), 443 and the lowest inter-annual variations are located in the northwestern Mediterranean and in the 444 Tyrrhenian and Adriatic Sea (R1 and R4) with an increase of $(0.82 \text{ and } 0.78) \cdot 10^{-3} \text{ days}^{-1}/\text{year}$ (0.5) 445 and 0.4% per year). FSLE_T shows a trend slightly higher than FSLE_g in R1, R2 and R5, suggesting 446 an increase of the mixing activity induced by the ageostrophic component in these regions. The 447 obtained positive trends are globally less pronounced than those reported in Ser-Giacomi et al. 448 (2020) obtained for future climate projections of mixing. 449

An additional feature that can be observed is a marked seasonal signal in the time series of both 450 geostrophic and total mixing activity. Further information about this seasonal variability can be 451 obtained by analyzing the mean climatology of FSLE using the 24 years of data. In general over 452 the whole Mediterranean basin, the lower FSLE values are found in summer, being constant along 453 the rest of the year, and with the larger difference between $FSLE_T$ and $FSLE_g$ in the autumn-winter 454 period (Fig. 8 a), likely induced by the intense wave and wind conditions developed during autumn 455 and winter. However, as evidenced in Fig. 8 (panels R1-R6), each SOM-region shows different 456 seasonal behaviour. While minimum values are reached in summer in all the regions, maximum 457 mixing are found in different months. Regions where the mixing activity is more intense, e.g. 458 Alboran Sea (R3) and south Crete, exhibit the highest seasonal variability. The northwestern 459 Mediterranean (R1), associated with the Northern Current, shows maximum values in the mixing 460 activity during spring and autumn and minimum values during summer and winter. This fact is 461 closely associated with the main wind climate of the Mediterranean Sea exposed in Soukissian et al. 462

(2018), since even if the windiest season is winter, the ageostrophic component has the opposite 463 direction of geostrophy in this region (R1), removing part of the mixing activity there. The most 464 active currents, in terms of mixing, identified by R2 and R3 present only one maximum in spring 465 and autumn, respectively, and practically constant values during the rest of the year. The eastern 466 basin, mostly identified by R6, presents constant values except in summer. The north of the central 467 basin and the Adriatic Sea (R4) present maximum values in autumn, while the south of the central 468 basin and the Aegean Sea (R5) show two peaks during winter and spring. R2, R3 and R5 barely 469 show a seasonal impact of the ageostrophic component in the total mixing. It is worth noting that 470 while region R1 exhibits an important ageostrophic contribution to mixing in autumn and spring, 471 in R4 and R6 this occurs in autumn and summer, coinciding with the presence of the persistent and 472 intense regional winds (tramontane in R1 and etesian winds in R6). 473

477 Dispersion properties

We further analyze the effect of wind and waves induced current on the surface dispersion 478 properties over different regions of the Mediterranean Sea. Following other authors (Corrado et al. 479 2017; Lacorata et al. 2019), we evaluate the dynamical importance of the ageostrophic currents in 480 particle dispersion by computing the averaged FSLE (λ) at different spatial scales using Eq. (10) 481 (see Material and Methods section). Unlike Bouzaiene et al. (2020), we perform the average of 482 λ not over the conventional Mediterranean sub-basins but over the dynamically coherent SOM-483 regions shown in Fig. 1. In Fig. 9, a), we show the FSLE analysis averaging only over the pair 484 of particles launched during the same period when the pair of real drifters are available in each 485 SOM-region, and being advected by the total velocity field (solid lines) and geostrophic velocity 486 field (dashed lines). The FSLE curves show that the exponential separation rate ($\lambda(\delta) \sim \text{constant}$) 487 denoted by λ_M (maximum mesoscale Lyapunov exponent) varies for the different regions (see 488 Table 1). The largest λ_M values are found for R2 and R3, regions characterized by the major 489 Mediterranean mesoscale features: gyres, fronts and jets (Algerian current, Alboran gyres, etc.) 490 with a value around $1.8 \cdot 10^{-1}$ days⁻¹, followed by R1 and R6 (regions experiencing a significant 491 impact of wind stress) with $\lambda_M \sim 1.35 \cdot 10^{-1} \text{days}^{-1}$, and the lowest λ_M corresponding to R4 and 492 R5 with $1 \cdot 10^{-1}$ days⁻¹. Similar values (same order of magnitude) were reported in Lacorata et al. 493

TABLE 1. Values of λ_M (· 10⁻¹ days⁻¹), δ_M (in km) and the slopes (μ) resulting from the best fitting of the FSLE curves obtained using pairs of virtual drifters advected in U_g (referred as to λ -U_g), U_T (λ -U_T) and pairs of real drifters (λ -Drifters), and of their corresponding zonal and meridional component (λ_x and λ_y), computed for each SOM-region of the Mediterranean Sea. In all cases the obtained correlation coefficients (R²) are larger than 0.95 except for the fit of λ -Drifters in R1 (R² = 0.89) and λ_y -U_T and λ_x -Drifters in region R2 (R² = 0.90 and 0.92, respectively). Slopes associated with Richardson (shear) [standard] turbulent dispersion are indicated in bold black (in italic).

		λ - Ug	λ - U _T	λ_x - U _T	λ_y - U _T	λ - Drifters	λ_x - Drifters	λ_y - Drifters
	λ_M	1.30	1.36	1.22	1.11	7.96	18.33	14.21
R1	μ	-0.72	-0.67	-0.99	-0.79	-0.65	-0.56	-0.97
	δ_M	40.48	35.88	80.01	50.54			
	λ_M	1.73	1.79	1.64	1.56	10.49	21.50	23.27
R2	μ	-0.72	-0.74	-0.67	-0.91	-0.77	-0.82	red-1.37
	δ_M	61.48	61.73	72.32	60.03			
	λ_M	1.77	1.85	1.66	1.56	20.38	29.72	24.20
R3	μ	-0.96	-0.66	-0.43	-0.87	-1.47	-1.26	N/A
	δ_M	83.95	69.05	80.94	85.13			
	λ_M	1.00	1.03	0.82	0.74	6.91	15.94	12.34
R4	μ	-0.66	-0.70	-0.89	-1.42	-0.82	-0.91	-0.84
	δ_M	38.79	41.06	73.28	80.69			
	λ_M	1.01	1.01	0.88	0.77	10.24	23.13	18.04
R5	μ	-0.97	-0.92	-0.75	-0.63	-0.99	-1.02	-1.03
	δ_M	60.92	59.85	68.99	49.52			
	λ_M	1.29	1.30	1.28	0.99	7.72	22.50	32.95
R6	μ	-0.86	-0.73	-0.50	-1.09	-1.36	-1.31	-2.10
	δ_M	57.92	54.03	45.05	66.83			

(2019), where $\lambda(\delta)$ was computed averaging for the whole Mediterranean Sea. The same ranking in the λ values is observed using real drifters (see Fig. 9, b).

⁵⁰⁹ Comparing the FSLE curves obtained from geostrophic currents with the obtained for total ⁵¹⁰ currents, we observe that both λ_M are rather similar over R5 and R6 and slightly higher as ⁵¹¹ computed from the total velocities in regions R1, R2, R3 and R4. This suggests that wind and waves induced currents have more impact on the dispersion of tracers over these Mediterranean
Sea regions, being less pronounced in the eastern sub-regions.

The spatial scale identifying the transition between the exponential and the power law separation rate, denoted as δ_M , is different in each region. This scale could give some insight about the minimum size of the mesoscale structures governing the relative dispersion. In R1, δ_M is around 36km, followed by R4 $\delta_M \sim$ 41km, R6 \sim 54km, R5 \sim 60km and R2 \sim 62km, and finally in R3 \sim 69km.

The best-fitting of the regional FSLE_T and FSLE_g curves (λ -U_T and λ -U_g, respectively) at larger 519 scales return values of the slopes spanning from -0.97 to -0.66 (see Table 1). In all the regions 520 the relative dispersion obtained from both U_T and U_g is associated with a Richardson's turbulent 521 diffusion (scaling rate of -2/3), except for R3, R5 and R6 obtained from U_g and for R5 obtained 522 from U_T , where the scaling law is rather related to a ballistic or shear dispersion (scaling rate of 523 -1). It means that in R1, R2 and R4 the main contributors to the separation rate at these large scales 524 are structures with size comparable with the separation itself. Note that, in general, the obtained 525 slope is slightly steeper for λ -U_g than for λ -U_T, particularly larger over R3, where the regime 526 dispersion at large scales moves from being associated with a Richardson turbulent dispersion in 527 the total field to a shear dispersion in the geostrophic velocity field. 528

The relative dispersion for the real drifters is calculated selecting all the simultaneously available 529 drifters in each SOM-region at least during 2 consecutive days. In Fig. A2 in the Appendix is 530 shown the number of drifter pairs transects available for each scale and region. Similarly to the 531 obtained for virtual drifters, R3 shows the higher value of λ_M , followed by R2 and R5; and finally 532 R1, R6 and R4, although all the λ curves converge at large scales. The FSLE spectrum in regions 533 R2, R3 and R6 suggest a plateau between 5 and 20 km (Fig. 9, b), associated with a mesoscale 534 exponential separation, and a ballistic/shear dispersion at scales larger than 20 km, although this 535 has been taken with caution due to the small number of pairs used in the average, particularly in 536 R3 and R6 (Fig. A2 of Appendix). This FSLE plateau at small scales observed in regions R2 and 537 R3 reflects the absence of relevant submesoscale features due to limited grid resolution and the 538 dominance of the mesoscale structures in the dispersion, i.e. the major Mediterranean mesoscale 539 eddies and the intense jets, such as the Algerian current and its propagation toward the Ionian sea. 540 The other regions, R1 and R4 present a relative dispersion behavior associated with a Richardson 541

scaling and, R5 associated with shear dispersion, in agreement with the results obtained in Lacorata et al. (2019) for the global Mediterranean analysis (see Table 1). Comparing these results with the obtained from virtual drifters, we observe that, as expected, the coupled geostrophic and Ekman-Stokes model underestimates relative dispersion at small scales (range \sim [1^{*}80]) km as reported in Lacorata et al. (2019).

547 Anisotropy of the Mediterranean Sea flow

In this section, we study the anisotropy of the flow in the different regions of the Mediterranean Sea based on the analysis of the relative dispersion along orthogonal flow components. In particular, we compute the longitudinal and latitudinal FSLE given by Eqs. (11) and (12) where the initial and final separations of the trajectory pairs are evaluated exclusively along one of the orthogonal components. This allows to assess the contribution of the zonal and meridional separation rate to the total dispersion.

We start analyzing the scaling properties of the dispersion for total velocity field U_T in both 554 directions. In Fig. 10, blue and red lines show the zonal and meridional FSLE spectrum ($\lambda_x(\delta_x)$) 555 and $\lambda_{v}(\delta_{v})$), respectively, calculated with U_T for each SOM-region (R1-R6). Anisotropy of the 556 flow is reflected in the different behavior of the zonal and meridional components of the relative 557 dispersion. In all regions, values of λ_M are larger for the zonal component than for the meridional 558 (up to 20% greater). Values of λ_M range between $(0.82-1.66) \cdot 10^{-1} \text{days}^{-1}$ for the zonal FSLE 559 curves and between $(0.74-1.56) \cdot 10^{-1}$ days⁻¹ for the meridional FSLE (see Table 1 and Fig. 10). 560 Note that λ_M values are larger when considering the total separation distance than only considering 561 the separation along one of the orthogonal directions. This shows that while the leading expansion 562 direction of the separation vector is not only aligned along one exclusive orthogonal direction but 563 a combination of both. In general, the zonal component of the flow has a higher impact on the 564 relative dispersion than the meridional, being more significant at larger scales. As a consequence, 565 the spreading of tracers is more oriented along the zonal direction than along the meridional. 566

⁵⁷⁴ Regions R1, R2 and R5 show a δ_M significantly greater for the zonal than for the meridional ⁵⁷⁵ FSLE, up to 30 km of difference in R1. This suggests that in these regions the coherent structures ⁵⁷⁶ governing the zonal dispersion are larger than the meridional structures. In fact, these regions are ⁵⁷⁷ dominated by intense and large currents flowing zonally, e.g., Northern Current, Algerian Current, etc. While the slopes obtained from the best-fitting of the λ_x is closer to a Richardson dispersion type slope, λ_y curves show a slope associated with shear dispersion, except for R1, where we find the opposite, and for R5 where both components follow the Richardson's law. This slight departure from the Richardson-like dispersion in the latitudinal FSLE suggests that particles are dispersed in this direction due to the effect of a latitudinal shear produced by separated currents along the latitude, e.g. in R2 the Algerian Current and its associated re-circulation sub-currents.

Next, we compute the LAI (eq. (13)) for each scale and region to further characterize the 584 difference between the zonal and meridional FSLE and to identify the characteristic scales of 585 the flow anisotropy. We report in Fig. 11 the scale dependence of LAI obtained for the FSLE 586 of the total velocity field U_T . While each region shows a different degree of anisotropy, over 587 the small-scales range, in general LAI is constant with relatively small positive values, and over 588 large-scales LAI increases as the separation distance grows. This confirms that at large scales the 589 longitudinal flows have more impact on the dispersion processes than the latitudinal component, in 590 particular in regions R1, R2, R4 and R6. Regions where the flow anisotropy is weaker are R3 and 591 R5. To identify the threshold scales δ_A at which the presence of anisotropy becomes relevant in the 592 dispersion processes we use the following criteria: we consider the spatial scale at which the LAI 593 departures from the constant range values. We found different δ_A values depending on the region. 594 The regions more affected by the Ekman and Stokes induced currents (R1 and R5) present small δ_A 595 values (~ 15 km), while the region R6, regions where geostrophic dynamics is dominant (R2 and 596 R3) and R4 are characterized with large values of δ_A (~ 40, 30, 80 and 120 km, respectively). Fig. 597 A1 of Appendix shows the LAI obtained for the available real drifters in each region. It should 598 be noted that the scarcity of drifters trajectories produces a large statistical errors, practically over 599 all the separation scales, and a robust characterization of the scale dependence of LAI can not be 600 properly addressed (see Fig. A3 in the Appendix for more details about the number of available pair 601 of real drifters used in these computations). The anisotropy from front-wave interactions predicted 602 in Suzuki and Fox-Kemper (2016) — sharper fronts in the down-Stokes direction and weaker fronts 603 in other directions— is not expected to be estimated by this method, as the Stokes-front coupling 604 using the 2D flow variables is not sufficient to drive frontogenesis. 605



FIG. 7. Time evolution, from 1994 to 2018, of the spatial average of daily FSLE_T (bold pink lines) and FSLE_g (dashed black lines), in days⁻¹, over the whole Mediterranean Sea (panel a); and over the SOM-regions (panels R1-R6) shown in Fig. 1. The linear trends of the FSLE time series, expressed in days⁻¹/year, are included in each plot. Only trends with a significance $p \le 0.01$ are included. FSLE is computed using $\delta_0 = 1/8^\circ$ and $\delta_f =$ 1°.



FIG. 8. Mean annual cycle of daily FSLE in days⁻¹ (climatological daily mean over 24 years of data): a) for the whole Mediterranean Sea; R1-R6) for the SOM-region (bold pink lines correspond to FSLE_T, and black-dashed lines to FSLE_g). FSLE is computed using the $\delta_0 = 1/8^\circ$ and $\delta_f = 1^\circ$.



FIG. 9. FSLE curves $(\lambda(\delta))$, in days⁻¹, at different spatial scales, in km, (δ) calculated with a) virtual drifters advected in the total velocity field (solid line) and in the geostrophic field (dashed line); b) with the real drifters. Each color corresponds to the averaged FSLE value over all the pairs of virtual drifters homogeneously launched in the SOM-regions identified in Fig. 1, and deployed at the same time period of the available pairs of real drifters in the corresponding SOM-region. The scaling exponents associated with ballistic/shear (-1) and Richardson (-2/3) dispersion regimes are included in the plot with dashed grey lines.



FIG. 10. Zonal (blue lines) and meridional (red lines) FSLE curves (in days⁻¹) given by $\lambda_x(\delta_x)$ and $\lambda_y(\delta_y)$, respectively (see Eqs. 11 and 12), at different spatial scales (δ_x and δ_y , in km) calculated for pairs of virtual drifters advected in the total velocity field U_T. Each subplot (R1-R6) corresponds to the averaged FSLE values over all the pairs of virtual drifters launched in the SOM-regions identified in Fig. 1, and deployed at the same time period of the available pairs of real drifters in the corresponding SOM-region. The scaling exponents associated with ballistic/shear (-1) and Richardson (-2/3) dispersion regimes are included in the plot with dashed grey lines.



FIG. 11. Scale dependence of LAI computed with virtual drifters advected in the total velocity field for each SOM-region of the Mediterranean Sea. Colors correspond to SOM-regions identified in Fig. 1. The dashed black line represent the isotropy (LAI=0).

We finally study the effect of the flow anisotropy on the Lagrangian Coherent Structures given 609 by LCSA, and on the mixing activity obtained from $\langle LCSA \rangle_T$, where the brackets represent a 610 temporal average over the time period T. To focus the analysis on the typical size of the mesoscale 611 structures, LCSA is computed for final separation scales of 1°. In Fig. 12, a) the spatial distribution 612 of $\langle LCSA \rangle_T$ averaged over the 24 years of U_T data is depicted. Positive values (in red) indicates 613 that the mixing activity is dominated by the longitudinal FSLE and negative $\langle LCSA \rangle_T$ (in blue), 614 by the latitudinal FSLE. In general, we find that, across the Mediterranean basin, the zonal LCS are 615 more significant than the meridional, in particular in the Eastern basin and the Adriatic Sea where 616 <LCSA $>_T$ reaches values up to 0.5. This suggests that the zonal flow plays an important role in 617 the mixing activity in Mediterranean Sea. The meridional FSLE dominates in specific regions, 618 such as the northwestern basin and the south of Sicily, with the highest negative $\langle LCSA \rangle_T$ located 619 along the Northern current, with values around -0.5. This implies that while in the eastern basin, 620 the intense LCS (and thus transport barriers) are meridionally oriented (and the fluid flow is thus 621 stretched with more intensity along the meridional direction), in the northwestern basin the LCS 622 are zonally oriented. In the central basin (Ionian Sea) the flow is more isotropic. To identify 623 regions showing seasonal variability of the anisotropy, we compute the average of the LCSA over 624 the winter months (Fig. 12, c) and over the summer period (Fig. 12, e) across the 24 years of data. 625 Slight seasonal differences are found in specific locations, such as along the Adriatic Sea and the 626 Balearic Sea with an intensification of the longitudinal mesoscale mixing, while it is weakened at 627 the surroundings of Create in summer, because this is time when etesian wind is stronger there. 628



FIG. 12. Maps of the time average of LCSA computed over the 24 years of data (from 1994 to 2018); for the a) U_T, b) the difference between the LCSA obtained for U_T and for U_g. c) and d) are the same as a) and b) but only averaged over winter; and e) and f) the same as a) and b) but only averaged over summer. The initial resolution is $\delta = 1/8^{\circ}$ and the final resolution, $r\delta = 1^{\circ}$.

In order to further study the anisotropy of the flow associated with ageostrophic component, we 633 compute the difference of the $\langle LCSA \rangle$ obtained for U_T with the $\langle LCSA \rangle$ for U_g . The spatial 634 distribution of the 24 years average of this difference is plotted in Fig. 12, b). We observe that 635 while the ageostrophic FSLE are rather isotropic (LCSA = 0) in the Ionian Sea, the Algerian basin 636 and the most easterly part, significant negative <LCSA> values are located over the northwestern 637 basin, north of Sicily and south of Crete. In these regions, the wind and waves main direction is 638 North-South, which explains the ageostrophic contribution to the latitudinal mixing (Zecchetto and 639 De Biasio 2007; Obermann et al. 2018). Positive ageostrophic contribution to <LCSA> values 640 are concentrated along the Northern current and the Aegean Sea, suggesting that Ekman currents 641 and Stokes drift induces a zonal flow which has a large impact on the mixing properties in these 642 regions. Furthermore, this ageostrophic meridional increase (zonal increase) of mixing is more 643 intense during winter over the Strait of Sicily (Norther current) (see Fig. 12, d), and more intense 644 at the south of Crete in summer (Fig. 12, f). This seasonal variability is in agreement with 645 the seasonal intensification of the corresponding regional winds (Zecchetto and De Biasio 2007; 646 Obermann et al. 2018). 647

648 5. Conclusions

⁶⁴⁹ With this work, we have analyzed the horizontal mixing and transport properties at the upper ⁶⁵⁰ layer of the Mediterranean Sea associated with the wind and waves generated fluid particle motions. ⁶⁵¹ We have combined data from real drifters trajectories and the output of a Ekman modified model ⁶⁵² applied to 24 years of satellite altimetry observations and winds and waves derived from the ERA-⁶⁵³ interim reanalysis data. Although we have used data from drifters, in the present work we are not ⁶⁵⁴ interested in reproducing the submesoscale dispersion, but large-scale features of the flow.

We have found that the ageostrophic component not only can drastically modify the mesoscale LCS, but also the direction of the tracer spreading and the retention capacity of geostrophic eddies. Consequently, this fact makes us also question about the classical view of the role of some oceanographic features, such as geostrophic transport barriers identified through LCS, in governing the spreading of particles or even in controlling the connectivity of the flow across different oceanic regions.

FSLE strongly vary depending on the region. The main hot spots of horizontal mixing in 661 the Mediterranean Sea are associated with the major Mediterranean mesoscale features. The 662 horizontal mixing is not very intense in the majority of the Mediterranean basin, but it concentrates 663 at some specific locations, such as inside the main mesoscale gyres (e.g. in the Alboran and Crete 664 gyres) and other mesoscale features active enough to produce high stirring as the topographic 665 generated eddies originated from the interaction of boundary geostrophic flows (e.g. the Algerian 666 and Northern boundary currents) with steep topographic slopes. Wind and wave induced mixing 667 is significant in the northwestern basin and the south of Crete with a contribution up to 37%, but 668 also showing a suppressing effect of mixing activity in the north part of the Algerian basin and 669 Sicily. 670

As depicted from our results, the sub-regions unveiled from the SOM analysis of the total kinetic 671 energy exhibit a different annual cycle of the horizontal mixing, as measured by averaged FSLE. 672 While all regions show a minimum values in summer time, maximum mixing activity occurs in 673 different months depending on the region. The strongest seasonal variability are identified in the 674 northwestern basin and the Ionian and Aegean Seas. Regions characterized by high intensity of 675 horizontal mixing, i.e. Alboran Sea, Algerian and Eastern basin, also present the lowest seasonal 676 variability, due to the presence of quasi-permanent mesoscale features. The wind and waves 677 induced mixing is also reflected in the seasonal variation of the ageostrophic contribution to the 678 FSLE, clearly appreciated in the western basin and in the Aegean Sea during the periods of mistral, 679 tramontane and etesian winds intensification. 680

We have found important inter-annual variations (positive trends) in the mixing activity, that can 681 reach values up to 1.07% year⁻¹ in regions such as the Alboran, Algerian basin and the south of 682 the Ionian Sea. Otherwise, northwestern basin, the Tyrrenian, Adriatic and the north of the Ionian 683 Sea experience a lower, 0.5%, mixing interannual increase. The global linear trend of $FSLE_g$ is 684 higher than $FSLE_T$, suggesting a decline of mixing induced by wind and wave currents. FSLE 685 trends could be explained by the relatively larger interannual intensification of the eddy amplitude 686 and higher variability, relative to a smaller contribution from the wind and wave stress. Note that 687 the intensity of geostrophic velocities are associated with larger SSH gradients of eddies with a 688 large increase in amplitude. The FSLE trend could have significant consequences in the transport 689 of essential oceanic variables, such as heat, carbon, etc., with climatic implications. Consequently, 690

determining changes to the FSLE field is fundamental to our understanding of the Mediterranean Sea and its potential response to climate change. Further studies should be performed in order to unravel the physical mechanism and forcings leading this mixing activity variation, for instance analyzing correlations with the regional wind stress and their long-term variability.

The Lagrangian dispersion in each region has been characterized from virtual and real pairs of 695 trajectories. The scale-dependence property of λ allowed us to estimate the maximum dispersion 696 value and the scaling exponents of the pair dispersion spectrum, useful to determine the physical 697 processes controlling the dispersion. The provided information was used to infer the typical 698 scales of the flow structures governing the dispersion in each region. The obtained results from 699 the synthetic trajectories show an exponential regimen at small-scales associated with chaotic 700 advection in all the regions (as expected because of the coarser resolution of the gridded data), 701 followed by a Richardson like dispersion regime (consistent with a 2D inverse cascade) at large 702 scale also in all the Mediterranean, except for the south of Ionian and the Aegean Sea, which are 703 characterized by a shear turbulent diffusion due to separation of particles by uncorrelated currents. 704 Regions with the higher dispersion level are those dominated by the major mesoscale features, in 705 agreement with the regions of high mixing activity. The same regional pair dispersion hierarchy is 706 found for the real drifter trajectories confirming the results obtained from the virtual trajectories. 707 Additionally, we have found similar dispersion regimens, except for the region dominated by the 708 major mesoscale features where the low number of drifters produces a large standard error in the 709 fitting of the slope. 710

The anisotropy analysis of the relative dispersion reveals the existence of higher contribution of 711 the zonal flow to the dispersion properties in the Mediterranean basin except for the surroundings of 712 Gulf of Lion and Sicily, which are characterized by a higher meridional dispersion. As shown in the 713 results the mesoscale coherent structures are larger along the zonal direction than in the meridional. 714 The temporal averaged mixing anisotropy in the Mediterranean Sea is broadly longitudinal (positive 715 LCSA values), revealing that the zonal flow dominates the mesoscale mixing activity. However, 716 there is a central region that can be considered isotropic regarding mixing properties. During winter 717 seasons, the anisotropy at the western basin is intensified, while for summer periods is higher in 718 the eastern; being crucial the ageostrophic component contribution. In general, the ageostrophic 719

⁷²⁰ component induces an increase of the meridional mixing, likely due to the north-south wind and

⁷²¹ wave intensification.

These transport properties have profound consequences on the regional distribution of quantities

⁷²³ of biological or physical interest, providing novel insights on the distribution of drifting organisms,

₇₂₄ pollutants and, more generally, any tracer that is transported by the flow.

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Data availability statement. All data are accessible from https://apps.ecmwf.int/ datasets/data/interim-full-daily/levtype=sfc/ and from https://resources. marine.copernicus.eu/?option=com_csw&view=details&product_id=SEALEVEL_MED_ PHY_L4_REP_OBSERVATIONS_008_051. Drifters dataset is a product provided by OGS on personal request (Menna et al. 2017).

APPENDIX

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FIG. A1. Scale dependence of LAI computed with drifters for each SOM-region of the Mediterranean Sea.
 Colors correspond to SOM-regions identified in Fig. 1. The dashed black line represent the isotropy (LAI=0).



FIG. A2. Number of tracks of pairs of real drifters that are inside each region at least during 2 days used in the
regional dispersion analysis shown in Fig. 9, b.



FIG. A3. Number of tracks of pairs of drifters that are inside each SOM-region identified in Fig. 1 at least
 during 2 days used.

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