

Missing snowmelt runoff following drought explained by root-zone storage deficits

Dana A Lapidés^{1,2}, W Jesse Hahm², Daniella M Rempe³, David N Dralle¹

¹ Pacific Southwest Research Station, USDA Forest Service, Davis, CA, USA

² Department of Geography, Simon Fraser University, Burnaby, BC, Canada

³ University of Texas, Austin, Austin, TX, USA

This pre-print is not peer-reviewed. This pre-print will be submitted to *PNAS*.

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Dana A Lapides^{a,b,1}, W Jesse Hahm^b, Daniella M Rempe^c, and David N Dralle^a

^aPacific Southwest Research Station, United States Forest Service, Davis, CA, USA; ^bDepartment of Geography, Simon Fraser University, Burnaby, BC, Canada; ^cUniversity of Texas, Austin, Austin, TX, USA

This manuscript was compiled on March 4, 2022

Water resources management in mountainous regions hinges on forecasting runoff during annual snowmelt periods. However, extreme droughts driven by climate change are altering snowpack-runoff relationships. The current megadrought in the Western United States provides a case in point: in 2021 in California, the historically reliable relationship between April 1 snowpack and runoff failed—much less streamflow arrived than was predicted. Several factors have been proposed to account for this ‘missing’ streamflow, including: evapotranspiration, rainfall, snowmelt rate, and a dry subsurface. Here, we introduce a model that includes each of these mechanisms and, by applying the model at 13 basins in the Sierra Nevada, we find that root-zone storage deficits (i.e., the net depletion of plant-accessible water from soil and weathered bedrock via evapotranspiration) lead to the most important snowmelt runoff reductions in years following drought. By accounting for the deficit in a model for snowmelt runoff, overprediction of total 2021 streamflow decreased from 100% to 12%. Our findings indicate that the relationship between snowpack and runoff in mountain watersheds will evolve as plant ecosystems respond to climate change and alter subsurface water storage dynamics. Through this climatic transition, root-zone storage deficits will play an essential role in snowmelt runoff prediction. Fortunately, deficits can be readily calculated prior to snowmelt using publicly available hydrologic datasets.

Sierra Nevada | Forecasting | Water resources | Evapotranspiration | Snowpack

Introduction

Mountains are considered the water towers of the world (2, 3), with mountain snowpack acting as an essential water reservoir for 1.9 billion people globally (4). However, the accessibility of this water depends on how snowmelt runoff is generated. Historically, managers have relied on statistical relationships between snowpack and subsequent runoff for forecasting (5), but changes in climate can alter these relationships. Recently, following a severe drought in California, streamflow forecasts from historically reliable snowpack-runoff relationships (6) far exceeded actual streamflow (see for example, Figure 1a-b). This led scientists and the public alike (e.g., 7, 8) to wonder—where did the missing snowmelt go?

Previous work has proposed that shifts in streamflow generation from a given water input (snowpack) arise from changes in: (i) evapotranspiration (ET) due to: changes in evaporative demand (9–11), snowmelt rate (12), and/or vegetation community (13–15); or (ii) antecedent conditions (e.g., 10, 16–18), which can be described by prior water inputs or direct observation of subsurface moisture. Both of these factors can be tied to a form of runoff generation in which significant runoff is generated only after infiltrating water replenishes subsurface

storage (19, 20), rather than infiltration-excess overland flow (21). During the growing season, moisture is withdrawn from the root-zone primarily through ET, such that by the onset of winter a moisture deficit (see Figure 1d) has accrued in the subsurface (20, 22–33). Infiltrating water goes first to replenishing this moisture deficit and then towards generating streamflow. Less water input prior to snowmelt (i.e., winter rainfall) or more evapotranspiration can limit how quickly the storage deficit is replenished—the precondition for significant streamflow generation. In this way, subsurface moisture conditions interact with above-ground factors to mediate runoff generation from snowpack.

While there are distributed datasets for precipitation and ET, subsurface moisture conditions remain difficult to quantify at large spatial scales. Deficits in the root zone occur in both soils and underlying weathered bedrock, which can account for a large portion of root-zone water storage (23, 30, 34, 35). Although soil moisture data are broadly available, storage in weathered bedrock is less easy to monitor. There are currently no real-time, widespread monitoring systems for bedrock water storage. Storage changes recorded by GRACE (36) are not finely resolved and include water storage effects (e.g., deep groundwater) that may not be relevant to the root-zone, and modeled subsurface water storage is contingent on the reliability of model parameterization, which is typically limited to soils, not bedrock.

Given that the root-zone storage deficit emerges from the

Significance Statement

More frequent droughts and increasing temperatures imposed by climate change threaten snowpacks, which sustain mountain water resources globally. Following a recent drought in California, the traditionally used model for snowmelt runoff failed. Here, we present a model that reveals the essential role of root-zone storage dynamics in snowmelt runoff. Through transpiration, montane forests generate water storage deficits in the soils and weathered bedrock that comprise the root zone. These deficits must be replenished by rain and snowmelt before significant runoff generation can occur. Overprediction of 2021 post-drought runoff in California can be primarily attributed to unprecedented root-zone storage deficit magnitudes. Adding a measure of deficit reduced 2021 streamflow prediction error from 100% to 12%.

DND conceived of the study. DAL and DND formulated the hydrological model. DAL compiled data, conducted analyses, generated graphics, and wrote the initial manuscript. WJH and DND reviewed analysis code. All authors were involved in idea generation, significant manuscript revision, and review of the final manuscript.

The authors have no conflicts of interest to declare.

¹To whom correspondence should be addressed. E-mail: dlapides@sfu.ca

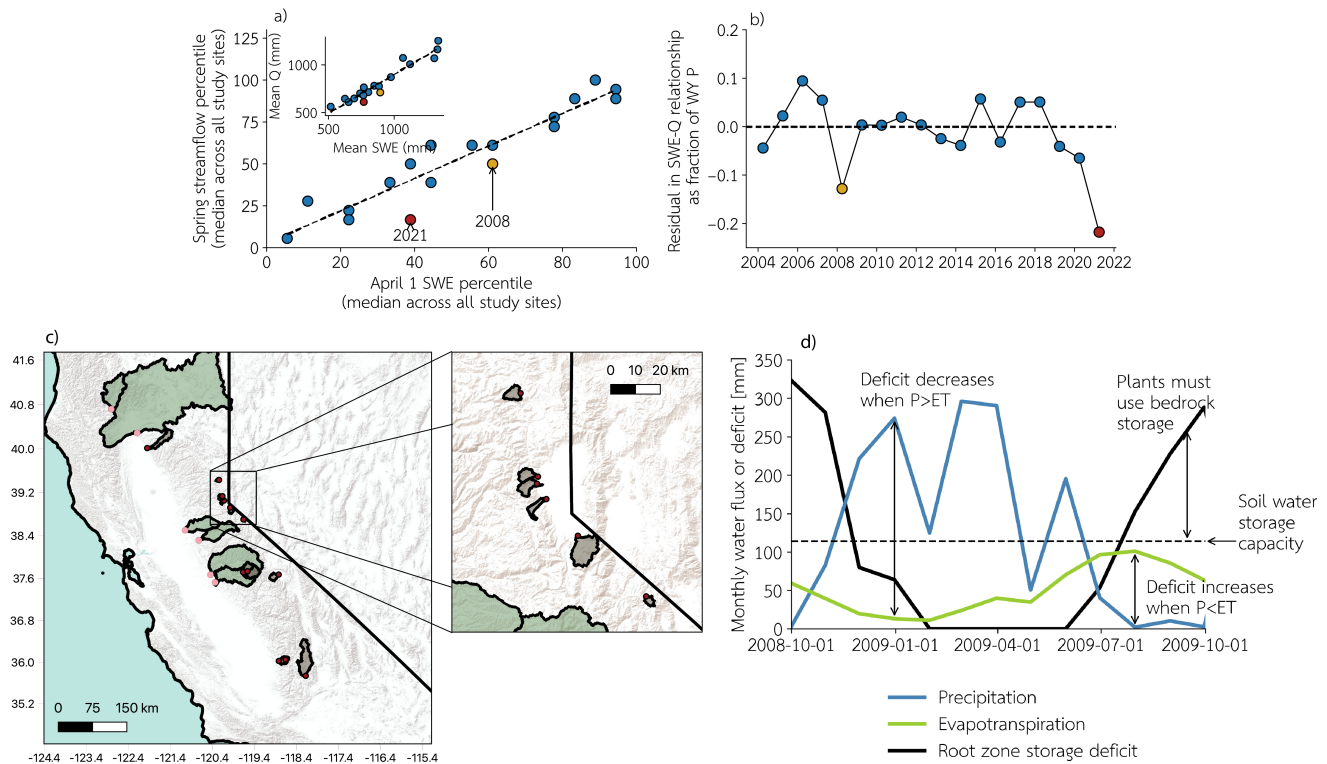


Fig. 1. (a) Linear relationship between April 1 snow water equivalent (SWE) and spring (April-July) streamflow summarized at 13 study sites as the relationship between median April 1 SWE percentile and median spring streamflow percentile for each year within the study period (2003-2021). This regression model is of a similar form to the one used by the California Department of Water Resources to produce streamflow forecasts. Inset shows the same plot for the mean value of April 1 SWE and spring streamflow among the 13 study sites. Points that fall above the dashed line are years where the linear model under-predicts streamflow, and points that lie below the line are years where the linear model over-predicts streamflow. 2021 and 2008 are highlighted as particularly large negative residuals. SWE data is from SNODAS (1). (b) Median residual in the SWE-spring streamflow relationship among the 13 study sites as a fraction of April 1 SWE. (c) Map of study watersheds in the Sierra Nevada. Red dots mark gage locations at watershed outlets for pristine sites shaded in grey, and pink dots for basins important for water supply shaded in green. (d) Explanatory plot for root zone storage deficit for one water year. At the beginning of the wet season, the deficit decreases (storage fills up) until storage plateaus at a maximum value, after which the deficit remains 0 until ET exceeds P again in the dry season, and the deficit grows until the beginning of the next wet season. When deficit exceeds the soil water storage capacity, this indicates that plants have accessed water stored below soil in weathered bedrock.

50 balance between incoming and outgoing water fluxes, changes
 51 in the deficit can be inferred using flux timeseries. Spatially
 52 distributed, running, near real-time plant-driven water stor-
 53 age dynamics throughout both soil and bedrock can thus be
 54 quantified from precipitation and ET timeseries (37–39).
 55 Considering storage deficits in runoff prediction (40) or as a
 56 harbinger of drought (41, 42) is not new, but the widespread
 57 availability of ET and precipitation datasets (37), snow cover
 58 data (38), and distributed snow water equivalent (SWE) now
 59 allows for widespread monitoring of deficits in mountainous
 60 regions.

61 Here, we seek to understand how subsurface water stor-
 62 age dynamics—in combination with other previously studied
 63 mechanisms—inform forecasting of snowmelt runoff by explor-
 64 ing the fate of the ‘missing’ 2021 snowmelt runoff in California.

65 Conceptual model for runoff generation in mountainous 66 regions

67 We introduce a mass-balance model for snowmelt driven runoff
 68 in a Mediterranean environment (wet winter, dry growing
 69 season) that explicitly incorporates the root-zone water storage
 70 deficit to explore the following potential explanations for runoff
 71 reduction:

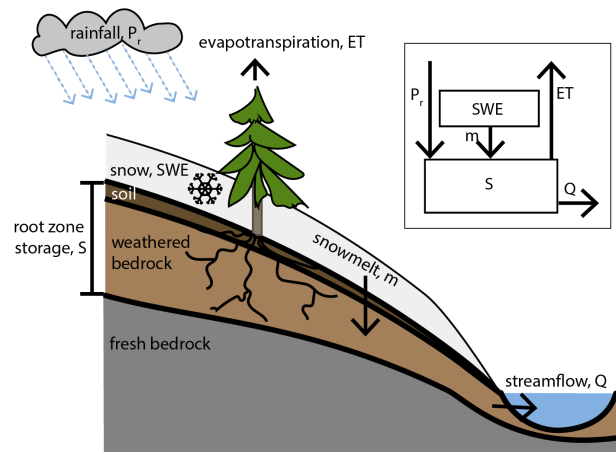


Fig. 2. Conceptual hillslope diagram of mountain hydrology. Thin soils cover a deep, weathered bedrock zone that plants access throughout the dry season. Snow accumulates during the winter and melts into the subsurface, while rain directly replenishes the subsurface. Evapotranspiration reduces water in storage, and streamflow is generated once a subsurface storage deficit is replenished. The inset diagram shows the two modeled water reservoirs (snow and root zone storage) and fluxes (rainfall, snowmelt, evapotranspiration, and streamflow).

- 72 1. Less rainfall fell than usual during the winter or spring
- 73 2. Snowmelt rate was slower than usual
- 74 3. Evaporative demand was higher than usual during the
- 75 winter
- 76 4. Evaporative demand was higher than usual during the
- 77 spring
- 78 5. The root-zone water storage deficit at the start of the wet
- 79 season was larger than usual.

80 In the model, the subsurface is treated as a single root-zone
 81 storage reservoir that represents conceptually a thin soil layer
 82 underlain by deep weathered bedrock (Figure 2), as is common
 83 in forested mountainous environments (30, 43–45). The model
 84 does not specify where water is stored within the subsurface
 85 or its energy state (e.g., saturated versus unsaturated). Fluxes
 86 act on the storage reservoir through three hydrological sea-
 87 sons: a winter wet season when rain enters storage and snow
 88 accumulates, a snowmelt season when rain and snowmelt enter
 89 storage, and a dry summer season. ET draws from storage at
 90 different rates in each season. Starting at the beginning of the
 91 wet season, there is a deficit generated by the previous dry
 92 season that shrinks with water input during the winter wet
 93 season and snowmelt periods (Figure 1d). Once the deficit
 94 is reduced to 0, streamflow is generated (such as in the ‘fill
 95 and spill’ mechanism or observed delays in wet season runoff;
 96 19, 20). As ET begins to exceed snowmelt and rain in the
 97 spring, streamflow stops, and the deficit grows again until
 98 the start of the next wet season. Snowmelt runoff emerges as
 99 the net melt season water input (snowmelt and precipitation
 100 less ET) once the deficit has been met. Within the resulting
 101 expression, each of the hypotheses suggested above appear as
 102 variables. See Supplemental Information S2 for more details
 103 on the mass balance model. We validate our mass balance
 104 model against observed spring streamflow at 13 pristine sites
 105 in the Sierra Nevada (see Supplemental Information S1 for
 106 details on site selection and Supplemental Information S8 for
 107 additional analyses on 6 basins essential to California’s water
 108 supply), and then develop a multiple linear regression model
 109 to quantify which drivers have the largest impact on snowmelt
 110 runoff.

111 Observations validate a conceptual model for snowmelt 112 runoff based on root zone storage dynamics

113 The mass balance model of root zone storage (Equation 1)
 114 accurately predicts measured spring streamflow ($R^2 = 0.84$
 115 for one-to-one line, see Figure 3a) at 13 sites in the Sierra
 116 Nevada (grey sites in Figure 1c). Panels b-e plot these same
 117 predictions in parameter space (compare scatter color to back-
 118 ground). Good model performance despite a lack of tunable
 119 parameters suggests that the primary mechanisms for spring
 120 streamflow generation at the study sites are accounted for in
 121 our conceptual framework.

122 Root zone storage deficit is important for determining 123 runoff efficiency

124 We regressed spring runoff (April-July, proxy for snowmelt
 125 runoff) on the variables identified in the storage-based mod-
 126 eling framework (Equation 2) to compute typical effect sizes

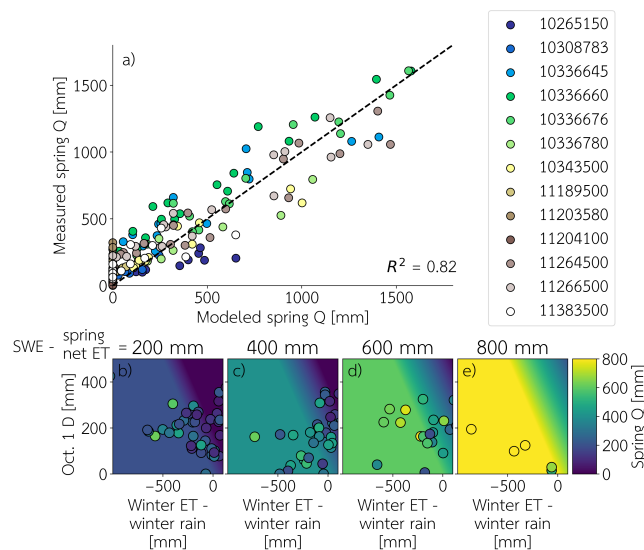


Fig. 3. (a) Comparison between measured spring streamflow at each study site and predicted streamflow based on Equation 1. Legend refers to USGS streamgauge ID. (b-e) Heatmaps showing how modeled streamflow varies based on each model parameter. Within each panel: winter ET - winter rain increases moving right, and October 1 deficit increases vertically. Moving to the right between panels, April 1 SWE - (spring ET - spring rain) increases. Points plotted on heatmaps represent a single water year for a study site and are colored by measured spring streamflow. Points are plotted on the heatmaps if $SWE - ET_{net} N_{melt}$ is within 100 mm of the value labeled for each panel.

127 for each forcing variable. This allowed us to quantitatively
 128 rank the importance of different physical drivers of snowmelt
 129 runoff generation during years following both wet (above 75th
 130 percentile of annual precipitation) and dry (below 25th per-
 131 centile of annual precipitation) years in Figure 4. Variables
 132 are described for the water balance feature they represent,
 133 but actual variables (except SWE) are normalized by WY P
 134 or, in the case of melt rate, net spring ET. In both wet and
 135 dry years, rainfall has the largest impact on model outcomes
 136 (after snowpack), but in dry years, a shift in the dominant
 137 hydrological processes makes the deficit nearly as important
 138 as rainfall. See Supplemental Tables 3 and 4 for effect sizes
 139 for all variables at all sites on wet and dry years. Besides a
 140 few exceptions for individual basins, the sign for each effect
 141 size matches the expected sign based on hypothesized model
 142 mechanisms at all sites (see Supplemental Table 3), providing
 143 further evidence for the proposed mechanisms. No more than
 144 one site shows an unexpected sign for any parameter except for
 145 the melt rate, which has an unexpected sign at 4 sites. Given
 146 the melt rate’s low effect sizes and unexpected effect signs, we
 147 conclude melt rate is relatively insignificant in comparison to
 148 other explanatory variables. The median R^2 value for multiple
 149 linear regression models across the study sites is 0.93.

150 A linear model may not account for complex interactions
 151 between the hydrologic processes used in the regression. Thus,
 152 we also trained a single random forest model to predict spring
 153 streamflow at all sites based on the same set of input param-
 154 eters (model performance $R^2 = 0.98$). Results from the random
 155 forest analysis also support the hypothesized mechanisms, and
 156 contribution of parameters to model outputs as measured by
 157 feature importance confirms that October 1 deficit and spring
 158 net ET are important drivers of snowmelt runoff, whereas the
 159 melt rate is less important. See Supplemental Information S6

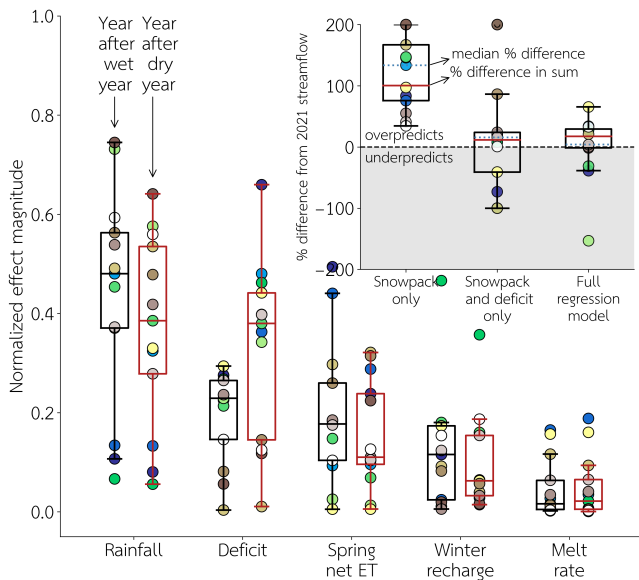


Fig. 4. Normalized effect magnitude of each variable included in the multiple linear regression for snowmelt runoff at all sites, comparing the set of years following wet years to years following dry years during the study period. Snowpack is excluded from this plot but is generally the most important variable. Variable names are described for the water balance feature they represent, but rainfall, deficit, spring net ET, and winter recharge are relative to water year precipitation in the model to reduce correlation among variables, and melt rate is relative to spring net ET. Box and whisker plot shows median value across all sites. Effect size is the coefficient for a given variable multiplied by the median absolute value of the variable for years following wet (black) or dry (red) years. Normalization is achieved by scaling the effect sizes for each site so that their absolute values sum to 1, and the magnitude of these normalized values is reported. The inset plot shows performance of regression models at 13 study sites for the year 2021. A linear regression model using only April 1 SWE overpredicts the total 2021 spring streamflow at all sites by 100% (median 134%), while the full linear regression model or a model using April 1 SWE and October deficit as a fraction of winter precipitation overpredicts the total by 17 or 12% (median of 15 or 4%), respectively. Legend is the same as for Figure 3.

and deficit 15%.

Discussion and conclusions

We fit a multiple linear regression model based on a validated conceptual framework to rank the impact of different hydrological drivers on snowmelt runoff. Our findings indicate that the phenomenon of “missing” streamflow in the 2021 water year is primarily attributable to an unusually large root zone storage deficit at the start of the wet season. Adding a term to describe root zone storage deficit decreased total overprediction of 2021 snowmelt runoff in a linear regression model from a 100% to 12%, an essential improvement for water resources management. Among the terms indicated to be most important by effect size in the multiple linear regression model, only the October 1 deficit is knowable prior to the snowmelt season and therefore potentially available for forecasting.

In some sense, the result that the deficit is important is not surprising since managers and researchers have long recognized the qualitative importance of subsurface moisture conditions for subsequent runoff (e.g., personal communication with Sean de Guzman, chief of the California Department of Water Resources Snow Surveys and Water Supply Forecasting Section, and 20, 22–33, 40). However, incorporating root zone dynamics into models remains challenging due to data limitations on water storage in weathered bedrock. Despite great community interest, the task of operationalizing (or even quantifying the importance of) the deficit remains formidable. The presented model quantitatively captures the expected importance of subsurface moisture conditions for runoff forecasting, providing a possible solution to the problem of unreliable runoff prediction that requires minimal inputs and few assumptions (37, 38). The framework is especially useful following dry years, when the impact of the deficit on snowmelt runoff production is increased. Methods that can account for deep drying in the root zone following drought will be essential under increasingly volatile and extreme future climate scenarios (47). A further implication of our findings that the deficit is key to forecasting water supply is that most runoff generation must be primarily through the subsurface, as suggested in our conceptual model, rather than through infiltration-excess overland flow, which should be minimally sensitive to subsurface moisture conditions.

We selected a set of basins that were minimally disturbed to test our model. However, given that the deficit is calculated using remotely-sensed evapotranspiration, it should be sensitive to spatial variation in land-cover or forms of disturbance, such as fire, that are known to impact patterns of plant water use (48–51). This suggests our model may be applicable to larger and more complex basins. We therefore also applied the model to six watersheds central to California’s water supply (see green basins in Figure 1c and Supplemental Information S1 for additional site information). As shown in Figure S5, adding a term to a linear regression model to represent the deficit improves error in prediction of 2021 streamflow from a median of 143% error to 2% error.

Development of reliable, large-scale ET and P datasets is needed to improve representation of root zone storage deficits in models and predictive frameworks. Beyond streamflow forecasting, deficit approaches are relevant to prediction of ecosystem drought vulnerability (52–54), groundwater quality (55), and carbon cycling (56). As disparate research commu-

for more details.

A linear regression model using only snowpack (Figure 1a), similar to the regression model currently used to predicted snowmelt runoff in California (46), replicates the 2021 “missing” streamflow phenomenon with a similar magnitude of error in 2021 (6). By adding a term representing the deficit (linear regression using only snowpack and deficit), model performance improves to a median of $R^2 = 0.85$, a median improvement of 0.05 over a model using only snowpack. For site-specific details, see Supplemental Table 3.

While the improvements in R^2 may appear modest, the more complex linear regression models perform significantly better at capturing streamflow on anomalous years (See Supplemental Information Figure S4 for details on improved model performance on a year with underprediction). The inset in Figure 4 shows predictions for 2021 streamflow at all sites using the full multiple linear regression model, snowpack and deficit only, and snowpack only. Each regression model is trained on data from the full study period. Using only snowpack, the model over-predicts the 2021 total streamflow from all sites by 100%. Using the full regression model, total streamflow is only over-predicted by 17%, and with snowpack and deficit it is over-predicted by only 12%. For each site, the median overprediction using a regression model with only snowpack is 134%, with the full regression model 4%, and with snowpack

245 nities coalesce around a need to simulate root zone storage
 246 deficits and associated bedrock storage, the conceptual frame-
 247 work presented in this study provides a roadmap for extending
 248 our models and considering how changing patterns in deficits
 249 may impact our predictions.

250 Materials and methods

Table 1. Table of notation.

Variable	Dimensions	Description
Q	L	Total runoff during snowmelt period
SWE	L	Snowpack at start of snowmelt period
P	L	Water year total precipitation
m	L/T	Snowmelt rate
ET_w	L	Total winter ET
P_w	L	Total winter rainfall
ET_{net}	L/T	Spring ET rate - spring rainfall rate
N_{melt}	T	Length of snowmelt period
D_{Oct1}	L	Deficit at start of wet season

251 **Mass-balance snowmelt runoff model.** Here we expand upon
 252 a stochastic hydrological model (52) that incorporates storage
 253 as a simple 1-d bucket to describe annual runoff dynamics
 254 and plant water availability in Mediterranean catchments. In
 255 the original model, precipitation P [L] contributes water to
 256 storage during the wet season, and evapotranspiration ET [L]
 257 removes water from storage primarily during the dry season.
 258 Streamflow is generated only if the subsurface storage reservoir
 259 is full.

260 The expanded model consists of three different seasons, as
 261 described above in the ‘Conceptual model for runoff generation
 262 in mountainous regions’ section. By tracking a mass balance
 263 through these seasons, we derived an expression for streamflow
 264 during the snowmelt period (Q [L]):

$$265 \quad Q = \begin{cases} \text{if } P_w - ET_w > D_{Oct1} : \\ \quad \max(0, SWE - ET_{net}N_{melt}) \\ \text{otherwise:} \\ \quad \max(0, SWE - ET_{net}N_{melt} - \\ \quad \quad D_{Oct1} + (P_w - ET_w)) \end{cases} \quad [1]$$

266 Notation is defined in Table 1. Both conditions are bounded by
 267 zero since streamflow cannot be negative. A negative value for
 268 either condition indicates that water demand from ET exceeds
 269 water availability from rain and snowmelt, so streamflow must
 270 be zero. In Equation 1, all of the mechanisms proposed for
 271 missing snowmelt appear: ET appears in ET_{net} and ET_w ,
 272 rain appears in ET_{net} and P_w , snowmelt rate appears in
 273 $N_{melt} = SWE/m$, and the deficit appears as D_{Oct1} . For a
 274 full description of the model, see Supplemental Information
 275 S2 and S3.

A regression model for snowmelt-driven runoff. We performed
 exploratory data analysis to determine which mechanisms
 listed in the ‘Conceptual model for runoff generation in moun-
 tainous regions’ section best explain snowmelt runoff at the
 study sites (shaded in grey in Figure 1c). See Supplemental
 Information S1 for details on study sites and site selection cri-
 teria, and Supplemental Information S5 for additional details
 on exploratory analysis. To determine which mechanisms have

the most explanatory power for deviations from the snowpack-
 runoff relationship, we developed a multiple linear regression
 equation at each study site:

$$Q = C_1 SWE + C_2 \frac{D_{Oct1}}{P} + C_3 \frac{ET_{net}N_{melt}}{P} + \\ C_4 \frac{ET_w - P_w}{P} + C_5 \frac{P_w + P_s}{P} + C_6 \frac{m}{ET_{net}} + C_7, \quad [2]$$

where C_1, \dots, C_7 are fitted parameters.

Each variable other than SWE is expressed as a fraction
 of water year precipitation (except for m/ET_{net}). This has
 the effect of minimizing correlation between variables since
 many model variables are correlated with water year P . In
 Equation 2, $ET_{net}N_{melt}/P$ and $(ET_w - P_w)/P$ capture ef-
 fects of variable ET (Hypotheses 3 and 4 in the conceptual
 runoff model section), $(P_w + P_s)/P$ captures effects of variable
 rainfall (Hypothesis 1), m/ET_{net} captures effects of variable
 snowmelt rate (Hypothesis 2), and D_{Oct1}/P captures effects
 of variable root zone storage deficit (Hypothesis 4). We also
 used a random forest model to corroborate the findings of
 this regression approach; see Supplemental Information S6 for
 additional details.

Data sources and data processing. Streamflow data were ob-
 tained from the National Water Information System (NWIS,
 57) using the package hydrofunctions (<https://hydrofunctions.readthedocs.io/en/master/>). Daily snow water equivalent was obtained using SNODAS (1). Precipitation data were obtained from PRISM (58). Evapotranspiration and temperature data were obtained from PML V2 (59–61) and MODIS (62). PRISM, MODIS, and PML V2 were accessed via the Google Earth Engine Python API (63). Evaporative stress index (ESI) data were obtained from ClimateServ (64–67). ESI provides a measure of ET anomalies over time using thermal satellite imagery. A higher ESI indicates a larger positive ET anomaly, whereas lower or negative values indicate depressed ET. For comparison with root zone storage deficit, we included soil water storage capacity (68) as processed by McCormick et al. (30).

For the majority of the study period, we use the PML V2 data set for ET. This data set, when combined with PRISM, captures subsurface storage deficits consistent with field measurements (30). Since PML V2 is not yet available through the 2021 water year, we extended the PML V2 data set using MODIS ET. We bias-corrected MODIS ET to PML V2 using a basin-specific linear relationship for each study watershed. For most watersheds, the correlation between PML V2 and MODIS ET is strong (median $R^2 > 0.4$, see Supplementary Code (69)).

Snowmelt rate was calculated from daily SNODAS data as in Barnhart et al. (12):

$$m = \frac{\sum |\min(\Delta SWE_t, 0)|}{\sum \Delta_t}, \quad [3]$$

where the numerator is the sum of all daily differences in SWE on days when SWE decreases, and Δ_t is 1 on days when SWE decreases and otherwise 0.

The root zone storage deficit was calculated following Wang-Erlandsson et al. (37) and Dralle et al. (38). The only difference here is that instead of using only precipitation and evapotranspiration (37) or approximating information about

326 snow using snow cover (38), we used SNODAS data directly
327 to represent accumulation and melt of snowpack. For a full de-
328 scription of deficit calculations, see Supplemental Information
329 S3.

330 **ACKNOWLEDGMENTS.** We would like to thank Sean de Guzman,
331 chief of the California Department of Water Resources Snow Surveys
332 and Water Supply Forecasting Section, for providing insight into
333 how runoff is forecast in California. Funding was provided by Simon
334 Fraser University, a Natural Science Engineering Research Council
335 of Canada Discovery Grant, the US National Science Foundation-
336 supported Eel River Critical Zone Observatory (EAR 1331940),
337 and the USDA Forest Service Pacific Southwest Research Station
338 with funds administered by Oak Ridge Institute for Science and
339 Education (ORISE).

340 Open research

341 Data and code generated for this publication are available in an on-
342 line data repository (69, https://github.com/lapides/CA_missing_freshet,
343). Raster maps of percentiles of April 1 SWE are available at <https://www.hydroshare.org/resource/4b940b8593a4416e954a47bbbc58c568/>
344 (70). Primary analyses are available as Google Colab notebooks:
345 (i) exploration of relationship between April 1 SWE and spring
346 runoff at each study site (<https://colab.research.google.com/drive/1tv8kble9EY3vFdAQzBJfE7RmDpM9uQG?usp=sharing>), (ii) calcula-
347 tion of all quantities used in analysis and exploring the four hypothe-
348 ses stated at the end of the introduction (https://colab.research.google.com/drive/1hq-qqllR_LuEyZ5s5RPddnqDLBo4M309?usp=sharing),
349 (iii) development of a random forest model and a mul-
350 tiple linear regression model for spring streamflow and
351 examines the results (<https://colab.research.google.com/drive/1jPtdeESsGPiB2H6MC-W7metpISqe799?usp=sharing>), (iv) implemen-
352 tation of the model described in Section (<https://colab.research.google.com/drive/197Hglpe3kkThdblSFz-9U9h63lvdQzE9?usp=sharing>), and (v)
353 exploring predictive improvement by adding the deficit at 6 econom-
354 ically import watersheds in California (https://colab.research.google.com/drive/1_igz4g_mbTntAkPZv3SjGwnYIRUEEBFE?usp=sharing).

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1

2 **Supplementary Information for**

3 **Missing snowmelt runoff following drought explained by root-zone storage deficits**

4 **Dana A Lapidés, W Jesse Hahm, Daniella M Rempe, David N Dralle**

5 **Dana A Lapidés.**

6 **E-mail: dlapides@sfu.ca**

7 **This PDF file includes:**

8 Supplementary text

9 Figs. S1 to S6

10 Tables S1 to S4

11 SI References

12 **Supporting Information Text**

13 **Site description and site selection**

14 California experiences a Mediterranean climate with cool, wet winters and hot, dry summers. In much of California, wet season
 15 precipitation arrives as rain, but mountainous regions such as the Sierra Nevada predominantly receive snow. Mediterranean
 16 regions generally have highly variable annual precipitation (1) and are subject to rapid switches between drought and flood
 17 conditions (2, 3). California has a particularly variable climate due to the added influence of complex topography (4). In the
 18 past decade, California has experienced extreme drought (5–7) that resulted in extensive wildfires (8, 9) and tree mortality
 19 (10–12), and periods of extraordinarily high precipitation (13, e.g., winter 2016-2017;) that resulted in widespread flooding (13)
 20 and landslides (14).

Site	Stream name	Gage location	Area [km ²]	MAP [mm]	Snow percent	Mean Annual Q [mm]
Pristine basins:						
10336780	Trout Creek	-119.972, 38.9199	95	893	67	315
10336645	General Creek	-120.118, 39.0518	19	1202	58	740
10336660	Blackwood Creek	-120.162, 39.1074	29	1486	59	1018
10336676	Ward Creek	-120.157, 39.1321	25	1549	61	885
10343500	Sagehen Creek	-120.237, 39.4315	27	976	65	319
10308783	Leviathan Creek	-119.656, 38.7012	11	635	60	50
11383500	Deer Creek	-121.948, 40.0140	539	1484	32	499
11189500	SF Kern River	-118.173, 35.7374	1373	477	36	72
11204100	SF Tule River near Reservation	-118.813, 36.0241	248	798	25	128
11203580	SF Tule River near Cholollo Camp	-118.654, 36.0482	52	996	44	278
11266500	Merced River at Pohono Bridge	-119.666, 37.7168	831	1213	60	685
11264500	Merced River at Happy Isles Bridge	-119.558, 37.7315	469	1199	68	673
10265150	Hot Creek	-118.817, 37.6688	177	814	72	262
Basins essential for California water supply:						
11525500	Trinity River	-122.804, 40.7193	1862	1445	17	405
11377100	Sacramento River	-122.187, 40.2885	23051	972	27	426
11270900	Merced River	-120.332, 37.5216	2748	1032	29	399
11289650	Tuolumne River	-120.442, 37.6663	3983	1098	37	222
11319500	Mokelumne River below Merced Falls	-120.720, 38.3127	1408	1265	38	612
11335000	Cosumnes River	-121.045, 38.5002	1388	1073	13	292

Table S1. Catchment attributes for study sites. Streamflow and basic site information are from NWIS (15), and climate information are derived from GAGES-II (16).

21 To explore drivers of low streamflow in 2021 in California, we examined a set of minimally disturbed, gauged watersheds in
 22 the Sierra Nevada (Figure 1c in main text). Sites were selected in the Sierra Nevada that met the following criteria:

- 23 1. no upstream dams (16),
- 24 2. >20% precipitation falls as snow annually on average (16),
- 25 3. watershed boundaries were delineated in NHD+ (17),
- 26 4. <5% developed land cover (18),
- 27 5. <5% cultivated land cover (18),
- 28 6. <35% burned area between 1990 and 2020 (19),
- 29 7. <20% logged area (20),
- 30 8. at least 10 years with continuous streamflow from April 1 - September 1 (15),
- 31 9. streamflow record includes 2021 (15).

32 All gages that met these criteria were reviewed manually to ensure hydrographs appear unmodified and snowmelt-dominated.
 33 We identified 13 catchments that met the selection criteria (Table S1), spread throughout the Sierra Nevada. The sites
 34 encompass a range in size from 11 to 1,373 km², annual precipitation from 369 to 979 mm, and a mean streamflow from 0.3 to
 35 190 m³/s. About half of the sites drain to the west, while the remaining sites (primarily those in the Tahoe area) drain to
 36 the east. Additionally, six basins essential to California's water supply were also included to demonstrate applicability of the
 37 presented methods to larger and more complex basins (bottom of Table S1).

38 Model description

39 Hahm et al. (21) developed a stochastic hydrological model incorporating root zone storage as a simple 1-d bucket that
 40 describes annual runoff dynamics in Mediterranean catchments. Similar to Figure 2 in the main text, the model describes
 41 a landscape with thin soil but a substantial weathered bedrock zone that stores plant-accessible water. The entire soil and
 42 weathered bedrock zone is treated as a single plant-accessible storage reservoir S [L]. During the wet season, precipitation P
 43 [L] contributes water to storage, and evapotranspiration ET [L] removes water from storage primarily during the dry season.
 44 Streamflow is generated only if the subsurface storage reservoir is full.

45 Hahm et al. (21), however, did not consider the scenario in which deficits were not replenished and could carry over between
 46 years. Evidence from field observations of soil and rock moisture and tree mortality (22, 23) and from water balance approaches
 47 using satellite data products (24–26) shows that root zone storage deficits can grow over multiple years, meaning that the
 48 deficit can vary substantially between years in a way that is important for vegetation response. Fowler et al. (27) also recently
 49 found that many hydrological models that lack the ability to generate multi-year deficits are unable to simulate streamflow
 50 conditions through multi-year droughts in Australia. Changes in subsurface storage (and deficit) give watersheds “memory”
 51 of prior precipitation that can persist. Peterson et al. (28) found that more than 8 years after the Millennium Drought in
 52 southeastern Australia, many watersheds had not returned to pre-drought conditions. They inferred that enhanced evaporation
 53 due to warmer conditions slowed recharge to the subsurface so that deficits generated during the Millennium Drought still
 54 were not satisfied. Thus, changes in ET can impact streamflow generation and also provide a feedback that strengthens the
 55 importance of subsurface storage deficit on streamflow.

56 Here, we extend the model presented by Hahm et al. (21) to allow for both multi-year deficit accrual and snow. To allow for
 57 multi-year deficit accrual, we explicitly track a timeseries of annual October 1 deficit so that initial water year conditions may
 58 vary between years, and to account for snow, we add a snowmelt period following the wet season (during which rain enters
 59 storage and snow accumulates), with the April 1 snowpack SWE [L] delivered at a rate of m [L/T]. Hahm et al. (21) assumed
 60 that cumulative wet season ET is constant from year to year, an assumption that was meant to reflect the fact that ET is
 61 energy-limited during the cold wet season in California. When considering the snowmelt period, though, ET total may not be
 62 constant between years since the length of the snowmelt period can vary substantially depending on the snowmelt rate m [L/T]
 63 and the size of the snowpack SWE . This dynamic can be accounted for in the snowmelt period by considering ET during the
 64 melt period and post-snowmelt growing period as energy-determined rates ET_s [L/T] and ET_{summer} [L/T] that last for the
 65 duration of the melt period and summer respectively. Then, the total warm season $ET_{warm} = N_{melt}ET_s + N_{warm_dry}ET_{summer}$
 66 [L], where N_{melt} [T] and N_{warm_dry} [T] are the lengths of the melt period and post-snowmelt growing season, respectively.

Thus, the extended model includes three seasons with distinct fluxes: a winter wet season, a snowmelt period, and a
 snowmelt-free growing season:

$$S_{Apr1} = \min(S_{max}, \max(0, S_{Oct1} + P_w - ET_w)), \quad [1]$$

$$S_{Aug1} = \min(S_{max}, \max(0, S_{Apr1} + SWE - (ET_s - P_s)N_{melt})), \quad [2]$$

$$S_{Oct1} = \max(0, S_{Aug1} - ET_{summer}N_{warm_dry}), \quad [3]$$

67 where S_{Apr1} [L] is the root zone storage at the start of the snowmelt period, S_{Aug1} [L] is the root zone storage at the start of
 68 the post-snowmelt growing period, and S_{Oct1} [L] is the root zone storage at the start of the winter wet season. S_{max} [L] is
 69 the size of the root-zone storage, ET_w [L] is winter ET, and P_w [L] and P_s [L/T] are winter and spring rainfall. Storage is
 70 constrained between 0 and S_{max} , so ET cannot occur if storage is empty, and streamflow is generated if storage is full, which
 71 can happen during the winter wet season or during the snowmelt period. Equation 1 describes the winter wet season when rain
 72 increases storage and ET draws from storage, Equation 2 the melt period when SWE melts into storage and a net ET flux
 73 draws from storage, and Equation 3 the post-melt growing season when ET draws from storage. For simplicity, we define a
 74 single term $ET_{net} = ET_s - P_s$ that describes the potential net ET during the melt period, and ET_{summer} can be considered in
 75 the same way in regions with significant precipitation during the growing season.

76 In the present study, we are interested in streamflow produced during the snowmelt period. By using the mass balance from
 77 Equations 1-3, streamflow during the snowmelt period is given by:

$$Q = \begin{cases} \max(0, SWE - ET_{net}N_{melt}), & \text{if } P_w - ET_w > D_{Oct1} \\ \max(0, SWE - ET_{net}N_{melt} - D_{Oct1} + (P_w - ET_w)), & \text{otherwise} \end{cases} \quad [4]$$

79 where Q [L] is total streamflow due to snowmelt, and D_{Oct1} [L] is the root zone storage deficit ($S_{max} - S_{Oct1}$) at the end of
 80 the preceding dry season. Both conditions are bounded by 0 since streamflow cannot be negative. A negative value for either
 81 condition indicates that water demand from ET exceeds water availability from rain, snowmelt, and storage, so streamflow
 82 must be 0.

83 In Equation 4, there are at most three terms that can cause the relationship between SWE and Q to be non-unique:
 84 (i) the total net ET flux during the melt period ($(ET_s - P_s)N_{melt}$), which is impacted indirectly by the melt rate m since
 85 $m = SWE/N_{melt}$, (ii) the root zone storage deficit at the end of the dry season D_{Oct1} (referred to as Oct. 1 deficit), which is
 86 driven by ET, precipitation, and runoff dynamics during prior years, and (iii) winter recharge ($P_w - ET_w$). Increasing total
 87 ET during the snowmelt period ($ET_{net}N_{melt}$) reduces streamflow generation. This ET term can be increased by increasing
 88 vegetation demand (increased ET_{net}), reducing spring rainfall (increased ET_{net}), or by slowing down the snowmelt rate m
 89 (increased length of N_{melt} for the same SWE). While increasing the October 1 deficit reduces streamflow generation, increasing

winter recharge ($P_w - ET_w$) can increase streamflow generation. This can be achieved either by increasing P_w (decreasing annual snow fraction since SWE remains constant) or decreasing ET_w (reducing winter ET), so long as storage is not already being filled up. See Supplemental Information S2 for a visual demonstration of how each parameter impacts Q . Any of these mechanisms could impact performance of a linear regression model for streamflow based only on April 1 SWE.

Subsurface deficit calculations

To estimate a storage deficit in the subsurface (D), we adapted the method presented by Wang-Erlandsson et al. (25) and updated to account for snow cover by Dralle et al. (29). In this method, root zone storage deficit is calculated as the running difference between fluxes leaving (F_{out} [L/T]) and entering (F_{in} [L/T]) the system during a time interval defined by the sampling frequency of remotely sensed products. Generally, F_{out} is set equal to ET , neglecting streamflow, and F_{in} is set equal to precipitation. Dralle et al. (29) used snow cover data from satellite products to adjust fluxes in snow-dominated regions. Here, since we have access to explicit information on snow through SNODAS (30), we incorporate snow directly into the mass balance approach by defining F_{in} as

$$F_{in} = P_r + Q_m, \quad [5]$$

where P_r is precipitation falling as rain determined as precipitation when SWE does not increase, and Q_m is given by decreases in SWE. More precisely,

$$P_{r,t_n} = P_{t_n} - \max(\text{SWE}_{t_n} - \text{SWE}_{t_{n-1}}, 0), \quad [6]$$

where P_i is the total precipitation falling in timestep i and SWE_i is the SWE at time step i and

$$Q_m = \max(\text{SWE}_{t_{n-1}} - \text{SWE}_{t_n}, 0). \quad [7]$$

Following the deficit tracking procedure presented by Wang-Erlandsson et al. (25), we proceed by calculating the difference between F_{out} and F_{in} over a time interval from t_n to t_{n+1} :

$$A_{t_n \rightarrow t_{n+1}} = \int_{t_n}^{t_{n+1}} (F_{out} - F_{in}) dt. \quad [8]$$

This accumulated difference ($A_{t_n \rightarrow t_{n+1}}$) is a *deficit*, so the signs of fluxes are reversed compared to a traditional mass balance. If the accumulated difference is negative, then no deficit has been accrued in the time step. So, a lower bound on root zone storage deficit for each time step is given by the maximum value of zero and the running sum of accumulated differences:

$$D(t_{n+1}) = \max(0, D(t_n) + A_{t_n \rightarrow t_{n+1}}) \quad [9]$$

Runoff is not needed to calculate accurate deficits. Runoff is not included in the storage calculations but is itself a loss term that draws from storage and could theoretically increase root-zone storage deficits. However, water drains out of the root-zone and generates streamflow with a temporal delay that could be weeks to months. Incorporating streamflow into deficit calculations thus should not be accomplished using measured streamflow fluxes but rather using a drainage term, which is not straightforward to monitor. Lack of knowledge of the drainage term is not particularly problematic, however, as the drainage flux should have a minimal impact on deficit growth. Significant drainage occurs only when the deficit is small or zero and is driven by water inputs (snowmelt or precipitation). In general, drainage fluxes are smaller than the water fluxes that generate drainage, and ET is small relative to water inputs, meaning that the net change to the deficit would be negligible. Since the deficit is (or is nearly) zero when drainage occurs, neglecting drainage would not cause the deficit to shrink artificially, and the relative magnitudes of fluxes suggest that the deficit also would not grow with the inclusion of drainage. As a result, neglecting drainage in deficit calculations should not have a significant impact on calculated root-zone storage deficits.

Factors that impact spring streamflow generation

Panel	S_{max}	ET_{warm}	ET_w	μ	sd	snowfrac	m
a	1,000	10-300	0	400	100	1	10
b	300	800	0	700	150	0.7	10-50
c	1,000	350	0	400	100	1	10
d	300	300	0	400	100	0.25-1	10

Table S2. Parameter values used to generated each subfigure in Figure S1: S_{max} is maximum root zone storage; PET is total potential evapotranspiration in the warm season; ET_w in the winter; μ and sd are parameters for the gamma distribution for annual precipitation; snowfrac is the fraction of annual precipitation that falls as snow; and m is the snowmelt rate.

As described in the main text, the relationship between April 1 SWE and spring streamflow is not unique. Within a mass balance framework, there are four factors that can drive lower spring streamflow: (a) more net spring ET (ET-rain), (b) a slower snowmelt rate, (c) a larger root zone storage deficit, or (d) less rainfall. Figure S1 uses the mass balance model to show directly how each of these four factors affects the resulting spring streamflow. For this exercise, we use this total ET_{warm} to set an average rate of ET during the warm season that is applied to both the snowmelt period and post-snowmelt growing season. We

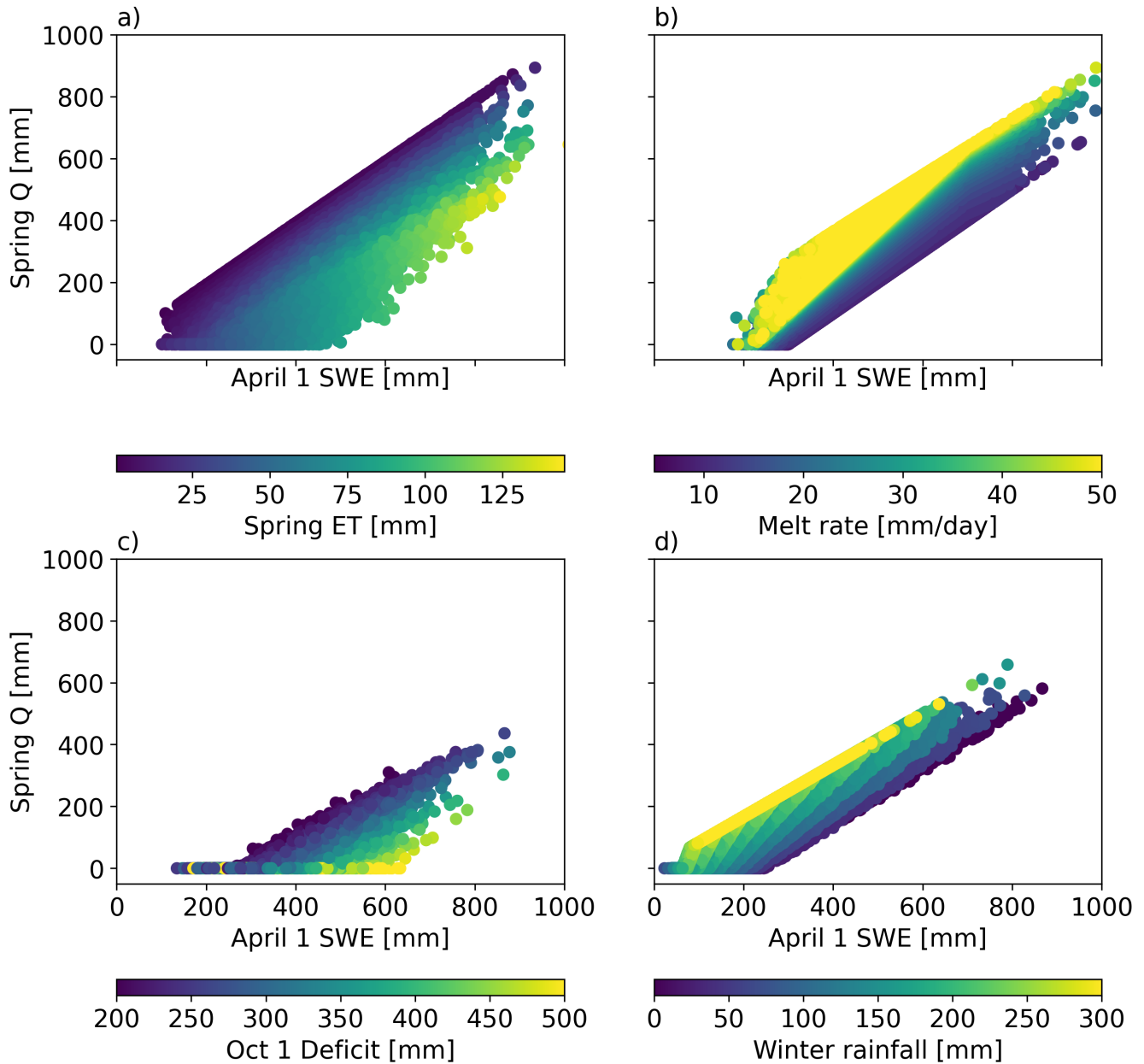


Fig. S1. Differences in (a) spring evapotranspiration (ET), (b) snow melt rate, (c) root zone storage deficit, and (d) winter rainfall can result in different spring streamflow from the same April 1 SWE, as shown by Monte Carlo simulations with annual precipitation P selected from a gamma distribution and April 1 SWE given as a fraction of P . Parameters used to generate this figure are shown in Table S2. Melt rate is calculated assuming a 180 day warm season.

132 apply Equations 1-3 to track storage through time. Parameters S_{max} , ET_w , and $ET_{warm} = ET_s N_{melt} + ET_{summer} N_{warm_dry}$
 133 are the same each year, while P_w , P_s , SWE , and the partitioning of ET_{warm} between the snowmelt period and the snow-free
 134 growing season vary between years. A spinup period of 100 years is used to generate initial conditions. For each year, we
 135 select an annual precipitation from a gamma distribution. Since spring rainfall is included in the term ET_{net} , we do not
 136 explicitly include that rainfall in the annual precipitation. Instead, we allow SWE and P_w to add to the gamma-selected
 137 annual precipitation, with the partition described by a fraction ($snowfrac$). This setup still results in a gamma distribution for
 138 annual precipitation since the spring rainfall is constant. Throughout the simulation period, we track storage deficits generated
 139 at the end of each growing season, SWE , and snowmelt runoff calculated for each year using Equation 4. Parameters used to
 140 generate the figure are in Table S2.

141 Exploratory analysis of variables that impact melt period streamflow

142 We performed exploratory data analysis to quantify the importance of each variable that appears in Equation 4 for explaining
 143 residuals in snowpack-runoff relationships. This analysis was used to select a minimal set of variables that both encompass

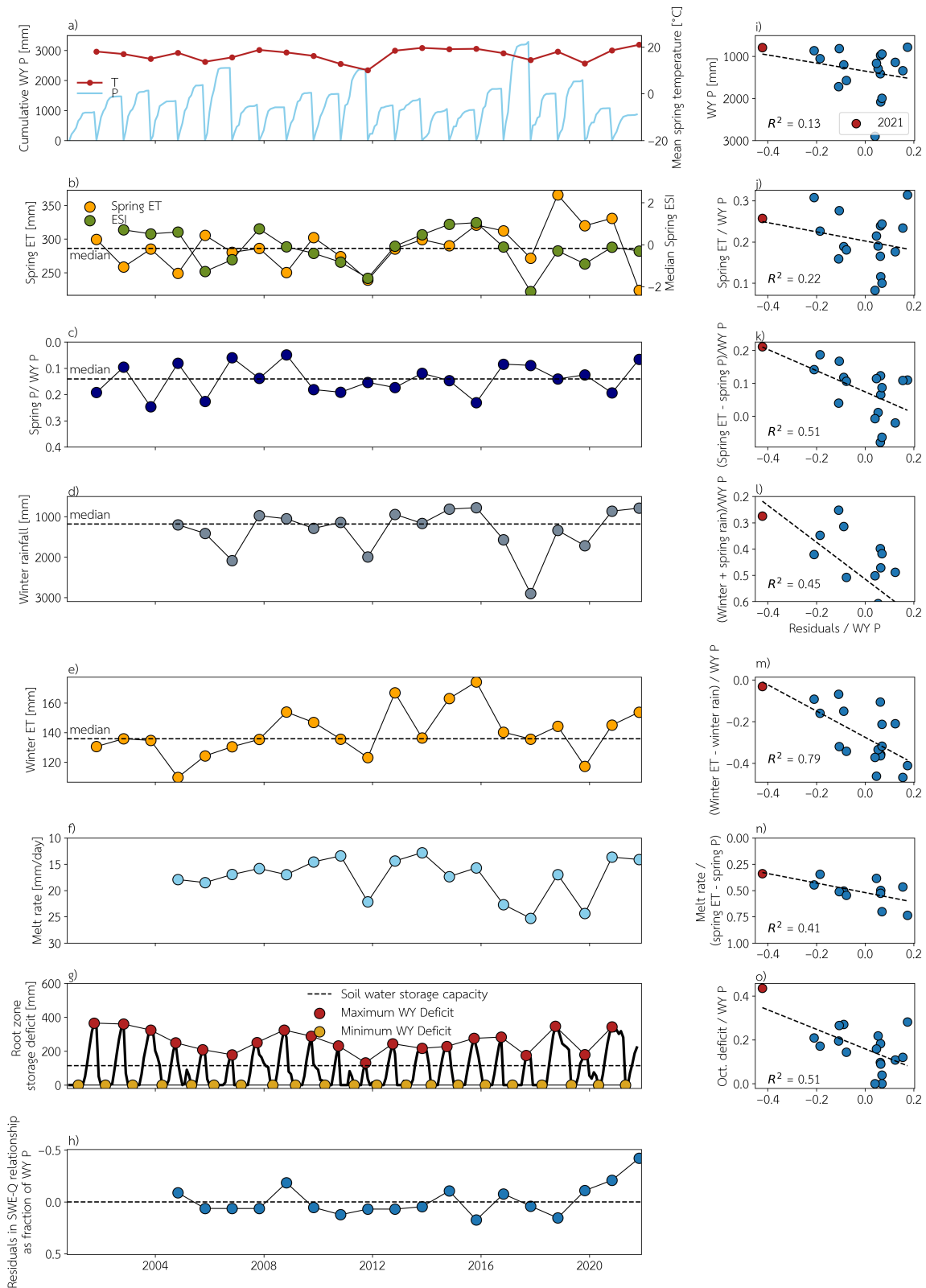


Fig. S2. Water year data for one representative study site (Ward Ck). Spring ET and spring P are for the months April-July. All panels are oriented so that moving vertically in the panel theoretically results in less spring streamflow. In particular, note that the y-axes for panels c, e, h, i, and m and the x-axis for panels g-k are reversed. As a result, all relationships in panels g-k should appear negative. Red scatter points in panels g-k mark the 2021 water year.

144 all of the proposed mechanisms for failure of the SWE-Q model but minimizes correlation between variables. To do this, we

Table S3. Parameters for the multiple linear regression model to predict spring streamflow. For parameter descriptions, see Table 1 in the main text. Parameter values are shown multiplied by median absolute variable values among (top) top 25th percentile wettest years and (below) driest 25th percentile of water years and shown in units of mm for comparison. Values marked by an asterisk indicate that the sign is opposite to the expected sign based on hypothesized mechanisms. Parameter columns are listed in order of decreasing median effect size, so SWE has the largest effect size, and m/ET_{net} the smallest across the study sites.

Site	SWE	$\frac{P_w + P_s}{P}$	$\frac{D_{Oct1}}{P}$	$\frac{ET_{net} N_{melt}}{P}$	$\frac{ET_w - P_w}{P}$	$\frac{m}{ET_{net}}$
Wet years						
10336780	560	63	-15	2*	-0	-1*
10336645	929	61	-18	-2	-6	-10*
10336660	1195	21	-82	-2	-109	9
10336676	1268	144	-72	-5	-50	3
10343500	715	37	-28	0	-41	-78*
10308783	43	18	-19	-29	-5	53
11383500	118	236	-23	-6	-82	-2*
11189500	94	-26*	-0	-9	-9	8
11204100	31	212	-12	-25	12*	1
11203580	83	160	-17	-32	-42	6
11266500	1000	59	-15	-5	-36	22
11264500	927	77	-13	-10	-6	8
10265150	56	6	-3	-2	-12	1
Median	560	61	-17	-5	-12	3
Dry years						
10336780	156	126	-66	4*	-2	-1*
10336645	144	124	-99	-27	-38	-5*
10336660	472	21	-217	-96	-89	6
10336676	573	145	-199	-84	-38	2
10343500	240	73	-92	1	-32	-29*
10308783	2	20	-39	-64	-2	21
11383500	34	215	-63	-57	-57	-1*
11189500	9	-33*	-1	-23	-3	5
11204100	1	210	-49	-87	4*	0
11203580	6	162	-62	-134	-10	2
11266500	197	87	-112	-29	-25	17
11264500	225	118	-87	-18	-2	10
10265150	12	8	-61	-28	-2	1
Median	144	118	-66	-29	-10	2

Table S4. Performance of the multiple linear regression model to predict spring streamflow. For parameter descriptions, see Table 1 in the main text. R^2 values are shown for full model, a model using only April 1 SWE and D_{Oct1} / P_w as variables, and a model only using April 1 SWE. The latter two models can both be run prior to snowmelt.

Site	R^2 (all params)	R^2 (SWE, $\frac{D_{Oct1}}{P_w}$)	R^2 (SWE)
10336780	0.94	0.90	0.87
10336645	0.93	0.90	0.88
10336660	0.96	0.93	0.87
10336676	0.98	0.95	0.88
10343500	0.98	0.79	0.73
10308783	0.87	0.64	0.63
11383500	0.78	0.58	0.49
11189500	0.87	0.75	0.83
11204100	0.91	0.72	0.49
11203580	0.92	0.73	0.64
11266500	0.96	0.92	0.91
11264500	0.93	0.90	0.89
10265150	0.81	0.71	0.65
Median	0.93	0.85	0.83

145 wanted to select only one variable to represent each proposed mechanism. Exploratory analysis was used to find one variable
146 for each mechanism that most strongly correlates with residuals in the SWE-Q model.

147 Figure S2h shows the time series of residuals in the April 1 SWE-spring Q relationship (referred to hereafter as the SWE-Q
148 relationship). Across all sites, 2021 generally stands out as the largest negative residual as a fraction of WY P (note reversed
149 y-axis). See the data supplement to review residual timeseries for all study sites (31). This finding indicates that less streamflow

150 arrived than expected, and the missing streamflow was a substantial portion of the water budget. Based on the parsimonious
151 model described in the main text, we explore four hypotheses to explain why 2021 spring streamflow was lower than expected
152 at the 13 study sites. Results are shown in Figure S2 for Ward Creek (site 10336676), but results across the study sites are
153 qualitatively similar (31, see data supplement;). We selected Ward Creek since it has the highest-performing multiple linear
154 regression model but is otherwise representative of the trends and site characteristics across the study sites.

155 **Hypothesis 1: ET was larger than usual.**

156 **Spring net ET was unusually high.** In 2021, spring ET was lower than usual (Figure S2b) despite high spring temperatures (Figure
157 S2a). The Evaporative Stress Index (ESI) data indicate that plants were water-stressed in 2021 (Figure S2b). While ET
158 was not higher than usual, spring ET accounted for a larger fraction of the annual water budget than usual since annual
159 precipitation was very low (Figure S2a). However, spring ET alone does not explain the magnitude of the residual from the
160 SWE-Q relationship in 2021. Spring ET / WY P explains only 22% of variance in the residuals at Ward Creek (Figure S2j),
161 compared to 13% explained just by WY P (Figure S2i). Over all sites, the median R^2 is 22% for Spring ET / WY P.

162 Spring rain accounted for a much smaller fraction of annual precipitation than usual in 2021, about half of the median
163 (Figure S2c). As with spring ET in 2021, though, spring P fraction was not outside the range of previously observed values.

164 Since net spring ET (ET_{net}) is defined as the difference between spring ET and spring rain, the deviations in the individual
165 terms are combined in ET_{net} . Neither spring ET nor spring rain were outside the range observed in prior years, but ET_{net} was
166 unprecedented in 2021 (red scatter point in Figure S2k). ET_{net} both singles out 2021 as a unique year and explains 51% of
167 variance in the residuals at Ward Creek (Figure S2i). Across all sites, the median R^2 value between residuals and ET_{net} is 0.38.

168 **Winter recharge was unusually low.** A primary control on winter recharge is winter rainfall P_w since snow does not recharge until it
169 melts. Winter rainfall in 2021 was lower than usual, among the lowest winter rainfall years in the study period (Figure S2d)
170 but not outside the range of previously observed values. The other factor controlling winter recharge is winter ET. While
171 spring ET was low in the 2021 WY, this was not the case for winter ET, which was higher than normal (Figure S2e). This
172 finding is exaggerated as a fraction of WY P since 2021 was a dry year (Figure S2m). As with ET_{net} , $(ET_w - P_w) / WY P$
173 singles out 2021 as a particularly extreme year with the highest relative ET_w in the study period, an observation that holds for
174 9 of the 13 study sites, and accounts for 79% of variance in the residuals at Ward Creek. Across all study sites the median
175 variance explained is 38%, indicating that winter recharge has a predictive power similar to spring net ET.

176 **Hypothesis 2: Winter and spring total rainfall was lower than usual.** Both winter rainfall and spring rainfall were lower than
177 usual in the 2021 water year. When combining all winter-spring rain (similar to a snow fraction), rain / WY P explains 45% of
178 the variance in the residuals in the SWE-Q relationship at Ward Creek (Figure S2l). Across all sites, the median is 25%.

179 **Hypothesis 3: Melt rate was unusually slow.** By examining Figure S2f, it is clear that the melt rate in 2021 was slower than
180 usual at Ward Creek, among the slowest melt rates observed in the time period 2003-2021, although not outside the previously
181 observed range. A slow melt rate can reduce streamflow by allowing plants to take greater advantage of snowmelt for ET,
182 which means that it is not melt rate alone but its ratio to ET_{net} that drives the impact of melt rate on streamflow generation,
183 since $m = SWE/N_{melt}$ (see Equation 4). In 2021, the ratio m/ET_{net} was the smallest observed during the study period, and it
184 explains 41% of the variance in the residuals at Ward Creek (Figure S2n). At all other study sites, though, m/ET_{net} generally
185 explains less than 20% of variance or even less than 5% for most sites, with a median of 6%.

186 **Hypothesis 4: Root zone storage deficit was unusually large.** Each year, the root zone storage deficit grows during the dry
187 season and shrinks during the wet season (black line in Figure S2g). The maximum deficit each year (red dots, estimated
188 by October 1 deficit for all analyses for simplicity), provides information about how much water was removed from storage
189 during the preceding dry season(s) by ET. Note that the October 1 deficit is always larger than the soil water storage capacity,
190 indicating that plants access water stored in weathered bedrock. The minimum deficit each year (yellow dots) provides
191 information about wet season replenishment of root zone storage. For Ward Creek shown in Figure S2g, the minimum deficit is
192 always 0, but it can be nonzero and even grow across multiple years at other sites—see the data supplement for study sites that
193 demonstrate deficit carry-over between years (31). In 2021, a large deficit was generated—among the largest during the study
194 period. As with the other hypothesis variables, though, the significance of the 2021 deficit is much clearer when compared
195 to the annual water budget. Figure S2o shows that the deficit as a fraction of the annual precipitation was more than 50%
196 larger than the largest observed value in previous years. Thus, the deficit strongly identifies 2021 as an outlier, consistent with
197 observations of substantial missing streamflow, and the root zone storage deficit explains 51% of the variance in residuals in the
198 SWE-Q relationship at Ward Creek. At nearly all study sites, the October 1 deficit in 2021 was the largest or second-largest
199 deficit recorded in the study period (as a fraction of WY P). Some sites have R^2 values greater than 0.7, while others have
200 values less than 0.1, with a median of 0.32.

201 These exploratory analyses motivated the choice of variables included in the multiple linear regression model. The outcomes
202 of the multiple linear regression are summarized in Table S3 for (top) wet years and (bottom) dry years. Performance
203 comparison between different linear regression models is in Table S4.

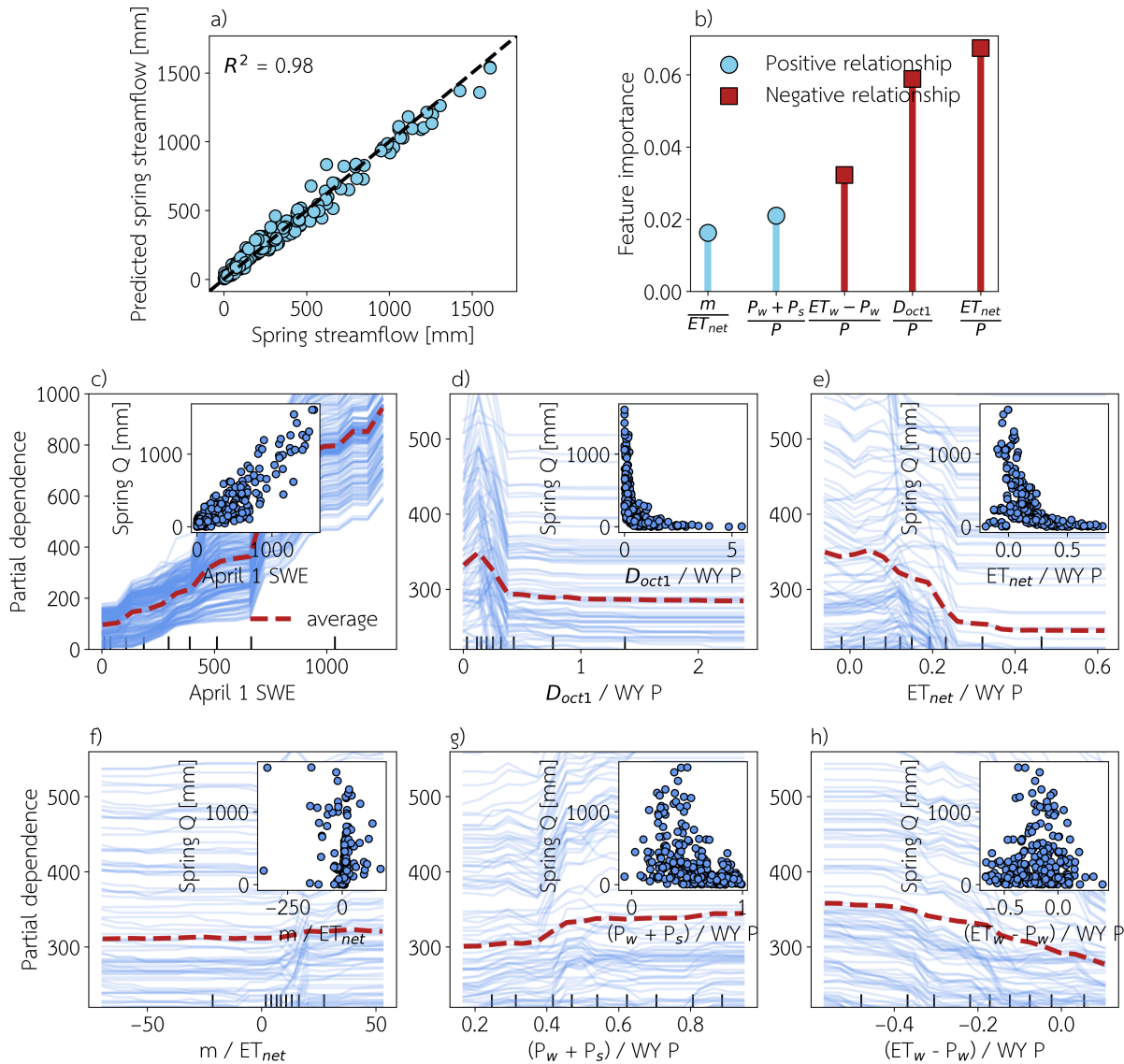


Fig. S3. (a) Performance of random forest model for spring streamflow trained for all study sites. (b) Feature importance for parameters included in random forest model, except for April 1 SWE, which is significantly more important than all other parameters. (c)-(h) are partial dependence plots with the average partial dependence shown as a red dashed line. Panels (d)-(e) are zoomed in, which excludes some of the blue lines but allows for the functional shape of the relationships to be more clearly seen. For comparison, scatter data for the relationship between each parameter and measured spring streamflow is shown as an inset to each subplot.

204 **A random forest model for spring streamflow**

205 In this study, we developed a multiple linear regression model for each study site to explain spring streamflow production from
 206 snowmelt. However, while the model presented in the main text shows linear relationships among all variables for idealized
 207 catchments, the relationships between each investigated variable may not be linear for real catchments. To capture more
 208 complex relationships among the variables, we also developed a random forest model, using the same set of variables described
 209 in Table 1 in the main text. Since random forest models are data-driven and flexible, we chose to train a single random forest
 210 model using data from all sites. Performance of the random forest model was exceptional (Figure S3a, $R^2 = 0.98$), and feature
 211 importance (Figure S3b) supports similar conclusions to the effect size results using the multiple linear regression model. The
 212 exact ordering of feature importance is not identical to the ordering implied by the multiple linear regression, but both models
 213 support the conclusion that the melt rate does not provide much predictive power, and the deficit provides a substantial
 214 amount of predictive power. Partial dependence plots (Figure S3c-h) shows the functional form of the learned relationship
 215 between each variable and the output (spring streamflow). These functional forms are nearly monotonic, with small deviations
 216 from monotonic behavior likely due to co-variability of variables with parameters not included in the model. In all cases, the
 217 general direction of the relationship matches our hypotheses in the main text: (c) higher SWE results in higher streamflow, (d)
 218 larger deficit results in smaller streamflow, (e) more spring ET results in less streamflow, (f) a faster melt rate results in more
 219 streamflow, (g) more rainfall results in more streamflow, and (h) less winter ET results in more streamflow. Insets show the

220 raw data used to train the model. For the most predictive variables, the learned relationship is clearly visible in scatter plots of
 221 raw data as well, providing additional confidence in the results.

222 **Including the deficit in a model for snowmelt runoff improves performance on under-predicted years**

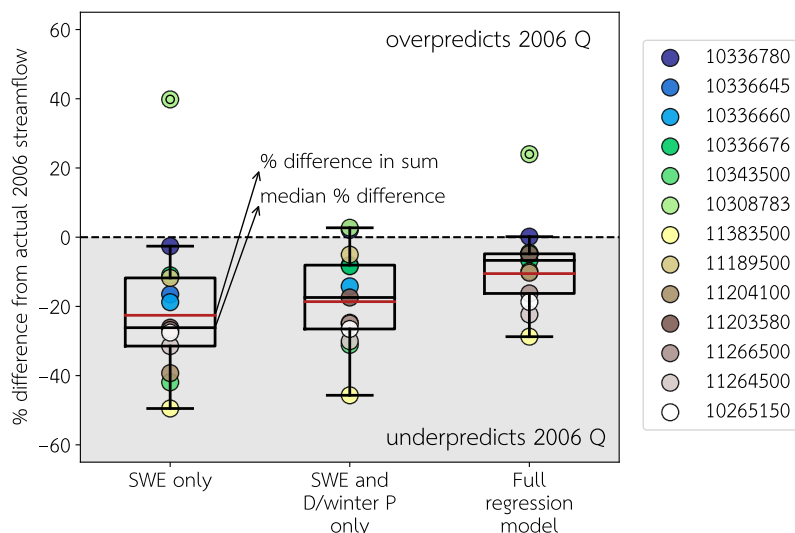


Fig. S4. Performance of regression models at 13 study sites for the year 2021. A linear regression model using only April 1 SWE underpredicts the total 2006 spring streamflow at all sites by 23% for total streamflow across all sites (median 26%), while the full linear regression model or a model using April 1 SWE and October deficit as a fraction of winter precipitation underpredicts the total by 19 or 11% (median of 17 or 7%), respectively.

223 In the main text, we explored the importance of the deficit for capturing snowmelt runoff on years with anomalously low
 224 runoff. Here, we explore the importance of the deficit for capturing snowmelt runoff on years with anomalously high runoff, such
 225 as 2006. Figure S4 demonstrates that including the deficit drastically reduces the extent to which streamflow is underpredicted
 226 from a median of 26% to 17%. While not as striking as the result for 2021, this difference is still important for management
 227 applications and demonstrates that the deficit can improve predictions for all anomalous years, not just overpredicted years.

228 **Including the deficit in a model for snowmelt runoff improves performance on larger, disturbed basins of economic
 229 importance**

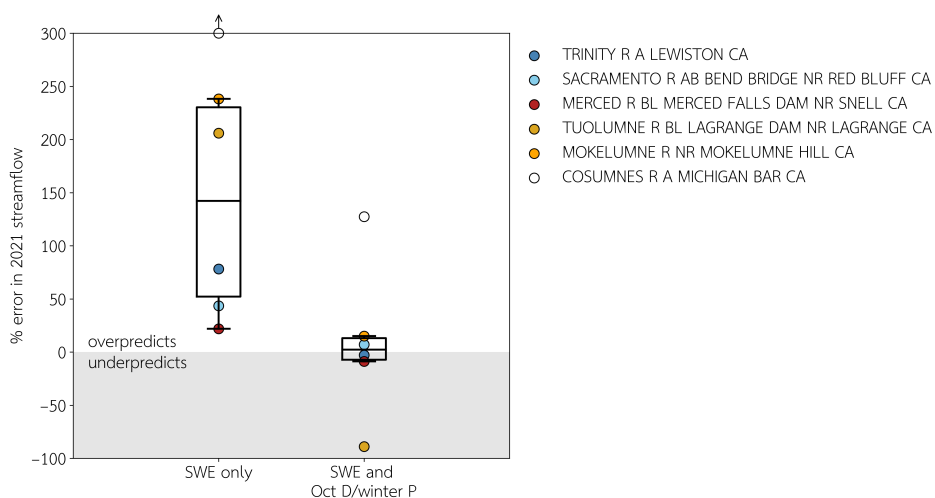


Fig. S5. Comparison of performance of a linear regression model based only on April 1 SWE and one using both April 1 SWE and October 1 Deficit / winter precipitation. Error is reduced from a median of 143% to 2%.

230 For this study, we selected a set of minimally disturbed watersheds to test our model. However, the basins where snowmelt
 231 runoff predictions matter for water supply are much larger, more complex, and more disturbed than the study sites. To

232 demonstrate that our model is still relevant to these basins, we tested whether adding October 1 Deficit to a linear model
 233 for snowmelt runoff for these basins. The improvement in model performance applies also to these larger, more complex and
 234 disturbed basins (Figure S5), reducing median model error from 143% to 2%. Outliers for Cosumnes and Tuolumne only appear
 235 to have large percent error since the actual streamflow is very small.

236 **Where are deficits important for snowmelt runoff generation?**

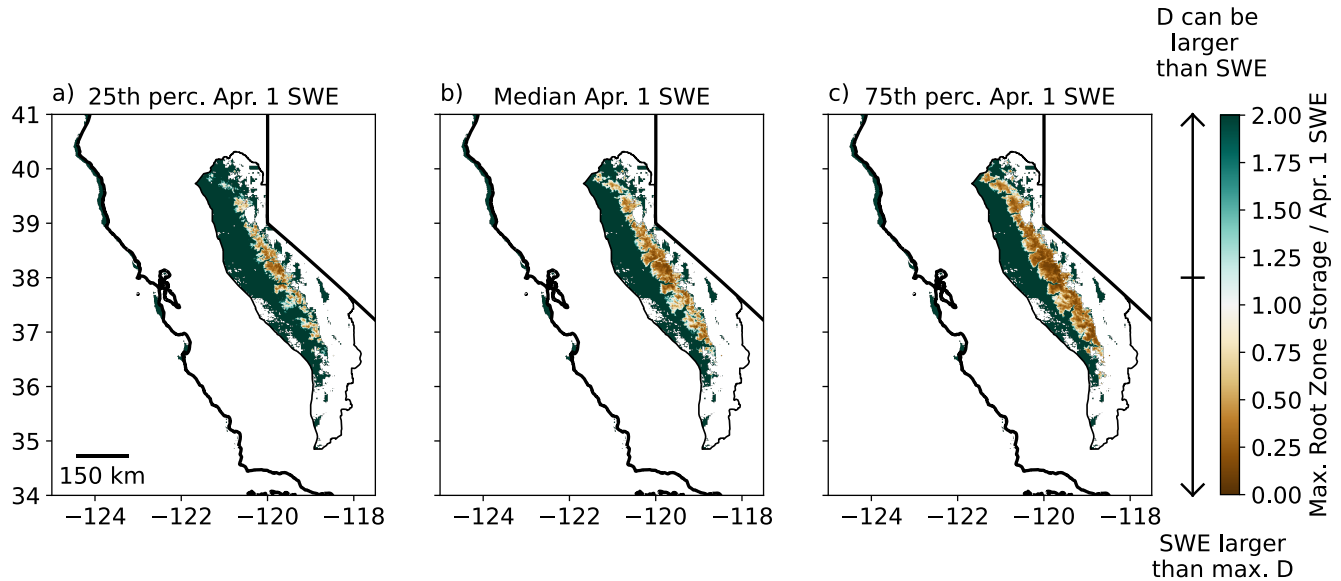


Fig. S6. Ratio between maximum root zone storage deficit from 2003-2017 (as calculated by Dralle et al. (29)) and a) 25th percentile, b) median, and c) 75th percentile of April 1 SWE between 2003 and 2021. Results shown only in the Sierra Nevada. White space is missing data. In greener regions, subsurface processes are more likely to cause a linear model for spring streamflow based on April 1 SWE to over-predict streamflow. In browner regions, subsurface processes are likely to have less impact on model performance. Sierra boundary polygon from Conservation Biology Institute (32).

237 The root zone storage deficit is likely to be important anywhere in the Sierra Nevada where deficits can be substantial
 238 relative to snowmelt inputs, meaning that the potential importance of the root zone storage deficit for impacting streamflow
 239 generation extends beyond the study sites to much of the mountainous regions in California. The maximum observed deficit
 240 from 2003-2017 (29) is a significant fraction of or much larger than the 25th percentile April 1 SWE from 2003-2021 across
 241 nearly all of the mountainous regions of California (Figure S6a). Even comparing the maximum deficit to (b) median April 1
 242 SWE or (c) the 75th percentile of April 1 SWE, the deficit can be a substantial part of the water budget. As a result, adding a
 243 deficit term to an empirical model for spring streamflow is likely to be important across the Sierra Nevada.

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