

1 **Controls on surface warming by winter Arctic moist intrusions in idealized**  
2 **large-eddy simulations**

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4 Antonios Dimitrelos,<sup>a</sup> Rodrigo Caballero<sup>a</sup> and Annica M.L. Ekman<sup>a</sup>.

5 <sup>a</sup> *Department of Meteorology and Bolin Centre for Climate Research, Stockholm University, Stockholm, Sweden*

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10 *Corresponding author: Antonios Dimitrelos, antonios.dimitrelos@misu.su.se*

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## ABSTRACT

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The main energy input to the polar regions in winter is the advection of warm, moist air from lower latitudes. This makes the polar climate sensitive to the temperature and moisture of extra-polar air. Here, we study this sensitivity from an air-mass transformation perspective. We perform simulations of an idealized maritime air mass brought into contact with sea ice employing a three-dimensional large-eddy simulation model coupled to a one-dimensional multilayer sea ice model. We study the response of cloud dynamics and surface warming during the air-mass transformation process to varying initial temperature and humidity conditions of the air mass. We find in all cases that a mixed-phase cloud is formed, initially near the surface but rising continuously with time. Surface warming of the sea ice is driven by downward longwave surface fluxes, which are largely controlled by the temperature and optical depth of the cloud. Cloud temperature, in turn, is robustly constrained by the initial dewpoint temperature of the air mass. Since dewpoint only depends on moisture, the overall result is that surface warming depends almost exclusively on initial humidity and is largely independent of initial temperature. We discuss possible climate implications of this result, in particular for polar amplification of surface warming and the role played by atmospheric energy transports.

### 1. Introduction

The episodic influx of warm, moist air into the Arctic during winter has a strong surface warming effect on day-to-day timescales (H.-S. Park et al. 2015, D.-S. R. Park et al. 2015, Graversen and Burtu 2019, Cardinale and Rose 2022). Around a third of the total moisture advection into the Arctic is concentrated in so-called moist intrusions—filamentary synoptic-scale structures that penetrate deep into the Arctic Ocean basin (Doyle et al. 2011, Woods et al. 2013, Liu and Barnes 2015). Elevated atmospheric temperature, humidity and cloud cover within moist intrusions generate local energy flux anomalies into the surface through increased downward longwave and turbulent fluxes (Woods and Caballero 2016, Liu et al. 2018, Sokolowsky et al. 2020, You et al. 2022) leading to local surface temperature warming of 15°C or more over high-Arctic pack ice (Persson et al. 2017, Messori et al. 2018). The past several decades have seen an increase in the frequency of moist intrusions during winter. This trend, and related increasing trends in moisture influx and downward longwave radiation over the Arctic, can statistically explain a substantial fraction of the Arctic warming and sea-ice decline observed in that season (D.-S. R. Park et al. 2015, Woods and Caballero 2016, Gong et al. 2017, Lee et al. 2017).

44 This previous work suggests that moist intrusions may play a role in long-term Arctic  
45 amplification—the enhanced warming of the Arctic relative to lower latitudes that is a robust  
46 expectation for the response to global radiative forcing over the coming century (Forster et al.  
47 2021). Conventional top-of-atmosphere (TOA) feedback analysis in climate models points to  
48 two leading causes of Arctic amplification: surface-albedo feedback associated with sea-ice  
49 retreat, and positive lapse-rate feedback associated with weakening of the climatological low-  
50 level temperature inversion (Pithan and Mauritsen 2014, Goosse et al. 2018, Hahn et al. 2021).  
51 These two feedbacks are interconnected, since Arctic lapse-rate change is partly attributable to  
52 sea-ice retreat and the resulting warming of the lower troposphere driven by increased upward  
53 surface energy fluxes (Feldl et al. 2020). Consistently, Arctic warming is observed to maximise  
54 in regions of greatest sea-ice loss during late fall and early winter, when energy absorbed by  
55 the ocean in summer is released back into the atmosphere (Screen and Simmonds 2010, Previdi  
56 et al. 2021).

57 However, substantial amplification occurs even in regions of the Arctic where full ice cover  
58 survives into a warmer climate, as well as over land (Taylor et al. 2022, their Fig. 10). The  
59 Antarctic continent is also robustly expected to show amplified warming in the long term  
60 (Forster et al. 2021, Hahn et al. 2020). Mechanisms other than the direct, local effect of sea-ice  
61 retreat must be invoked to explain amplification in these regions. Lapse-rate feedback in these  
62 regions is positive in fall and winter, with magnitude comparable to that in sea-ice retreat  
63 regions (Boeke et al. 2020). Water vapor and cloud feedbacks, on the other hand, are generally  
64 considered to be weak in the Arctic (Pithan and Mauritsen 2014, Goosse et al. 2018, Hahn et  
65 al. 2021, Middlemas et al. 2020). Weak cloud feedbacks may be due to underrepresentation of  
66 Arctic cloud liquid water content, a bias common to many climate models (Pithan et al. 2014,  
67 2016; Middlemas et al. 2020) and also cloud-resolving models (Klein et al. 2009).

68 Advective transport processes are likely to be particularly important in warming polar pack-  
69 ice and continental regions. Arctic amplification is partly due to the asymmetric impact of  
70 advective exchange between polar and lower latitudes (Taylor et al. 2022): the Arctic responds  
71 strongly to midlatitude radiative forcing, while the midlatitudes respond negligibly to Arctic  
72 forcing (Stuecker et al. 2018, Semmler et al. 2020). Global forcing therefore has a double—  
73 local and remote—impact on the Arctic. Midlatitude forcing can only make itself felt in the  
74 Arctic through changes in advective transport. Climate models subject to global forcing  
75 robustly show increased latent heat transport to the Arctic, but offset by a similar-sized decrease

76 in dry static energy transport. This compensation results in small or even negative change in  
77 total atmospheric transport (Hwang et al. 2011, Hahn et al. 2021). The strong Arctic response  
78 to midlatitude forcing can be reconciled with small change in total energy transport if the Arctic  
79 is much more sensitive to latent than to dry energy transport (Graversen and Burtu 2016,  
80 Yoshimori et al. 2017, Graversen and Langen 2019). However, the precise mechanisms  
81 explaining this different sensitivity remain unclear.

82 Moist intrusions are sites of concentrated moisture and cloudiness anomalies tied to  
83 transport from lower latitudes. A deeper mechanistic understanding of the processes occurring  
84 within moist intrusions may therefore yield insight into the role played by transport in Arctic  
85 amplification. Here, we study the air-mass transformation process within idealized moist  
86 intrusions using a column-model framework. The column is initialized with temperature and  
87 moisture profiles representative of subpolar marine air and allowed to evolve freely, aiming to  
88 capture the Lagrangian air-mass transformation process as the column is advected into the  
89 Arctic and cools by longwave radiative emission and interaction with a cold surface. Our study  
90 extends a long tradition of such Arctic column-model work (Wexler 1936, Herman and Goody  
91 1976, Curry 1983, Cronin and Tziperman 2015, Pithan et al. 2014, 2016). Differently from  
92 previous work, we employ a fully 3-dimensional large-eddy simulation (LES) model to capture  
93 cloud and turbulence dynamics with as much realism as possible.

94 The LES model is coupled at its bottom boundary to a simple thermodynamic sea ice model  
95 which assumes complete and uniform ice cover (see Section 2). Our results can therefore say  
96 nothing about the potential role of moist intrusions in driving reduced sea-ice cover, and they  
97 miss the important role of surface fluxes through leads and newly-open water in generating  
98 Arctic clouds (Kay and Gettelman 2009). Instead, the results are relevant to regions with  
99 persistent dense sea-ice cover, and to some extent also land, which like sea ice has low heat  
100 capacity and limited surface fluxes. As noted above, these regions also experience amplified  
101 warming. We also neglect solar radiation, so our results are most relevant for polar winter.

102 We focus on the question of how the initial temperature and humidity of the air column  
103 separately affect the subsequent cloud evolution and surface impacts during the air-mass  
104 transformation process. One might a priori expect that, for a given humidity, an initially warmer  
105 air mass would produce greater surface warming—both by producing warmer clouds with  
106 greater surface radiative impact, and by enhancing sensible heat flux into the ice. Our key  
107 result, presented in Section 3, is that this is not the case: initial temperature makes little

108 difference to surface warming. Instead, surface warming is controlled mostly by initial  
109 humidity. As discussed in Sections 4 and 5, the main reason for this behavior is that cloud and  
110 sub-cloud temperatures are constrained by the air mass's initial dewpoint temperature, which  
111 depends only on its humidity. In Section 6 we summarize our conclusions and discuss their  
112 potential implications for Arctic climate and amplification.

113

## 114 **2. Methods**

### 115 *a. Large-eddy simulation model*

116 We employ the MISU-MIT Cloud and Aerosol model (MIMICA, Savre et al. 2014), a  
117 three-dimensional LES model using a 1.5 order subgrid-scale turbulence closure scheme. The  
118 surface turbulent fluxes are calculated using Monin-Obukhov similarity theory (Garrat 1994).  
119 The model includes a two-moment bulk microphysics scheme, where prognostic equations for  
120 the mass mixing ratio and number concentration of hydrometeors are solved. Five types of  
121 hydrometeors are considered: cloud droplets, raindrops, pristine ice crystals, snow, and  
122 graupel. In this study, the snow and graupel categories are excluded, since we found that  
123 aggregation, riming and accretion did not affect the results. The size distributions of the  
124 hydrometeors are prescribed by gamma functions (Savre et al. 2014) and their terminal fall  
125 speeds are described by simple power laws (Pruppacher and Klett 1997). The ice crystal habit  
126 was defined to be plate, in agreement with the cloud layer temperatures obtained during the  
127 simulations. The warm microphysics interactions are parameterized according to Seifert and  
128 Beheng (2001) and the supersaturation is explicitly calculated at every model time step  
129 (Morrison and Grabowski, 2008). The number of activated cloud condensation nuclei (CCN)  
130 is calculated as in Khvorostyanov and Curry (2005) and all CCN are assumed to consist of  
131 ammonium sulfate. The ice crystal number concentration (ICNC) is relaxed towards a fixed  
132 background value according to Morrison et al. (2011). A multiband 2-4 stream radiative solver  
133 is used to calculate the radiative flux densities (Fu and Liou 1993). The radiative solver takes  
134 into account the mixing ratio of all hydrometeor types. Prescribed vertical profiles of  
135 parameters that describe the atmosphere are used to calculate radiative fluxes from the domain  
136 top to the top of the atmosphere. Lateral boundary conditions are periodic and a sponge layer  
137 is applied at the domain top to damp any gravity waves. For the simulations in this study, the  
138 length and width of the domain is set to 6 km, while its vertical extent is 4 km. The horizontal

139 grid spacing is set to 62.5 m, and the vertical grid is split into two zones. The layer from the  
140 surface up to 2.5 km has a resolution of 15 m. The upper part of the domain has a higher  
141 resolution of 7.5 m. This grid division prevents numerical instabilities originating from gravity  
142 waves formed at cloud top when the cloud dissipates, which occurs at heights above 2.5 km.

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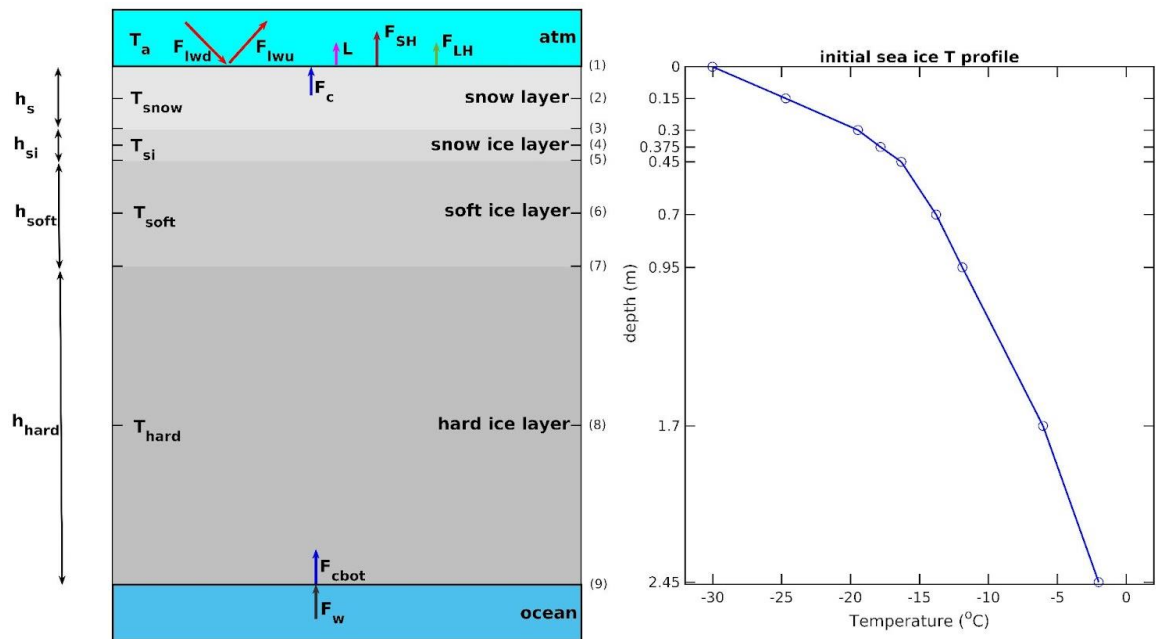
#### 144 *b. Sea ice model*

145 A 1-dimensional thermodynamic sea ice model was developed and coupled to MIMICA in  
146 order to study the surface and subsurface warming effect of the clouds. The model is an  
147 upgraded version of that used in Dimitrelos et al. (2020), which was a one-layer slab sea ice  
148 model. Here, the sea ice model includes four layers to better represent heat conduction within  
149 the sea ice and energy exchange with the atmosphere. The surface layer is assumed to be snow  
150 while the underlying layers are ice of different characteristics. A schematic of the model is  
151 presented in Figure 1. The model solves energy balance equations at the layer interfaces and  
152 heat conduction within the layers. A detailed description of the model equations and parameter  
153 values is provided in the Appendix.

154 The atmosphere and sea ice interact through the surface energy balance equation

$$155 \quad F_{LWd} - F_{LWu} + F_{SH} + F_{LH} + F_C = 0, \quad (1)$$

156 where  $F_{LWd}$  and  $F_{LWu}$  are surface downward and upward longwave fluxes respectively,  $F_{SH}$  and  
157  $F_{LH}$  are turbulent sensible and latent heat fluxes, described through a bulk aerodynamic  
158 approximation (see Appendix) and  $F_C$  is the surface conductive flux, which depends on the  
159 temperature difference between the surface and the underlying snow layer. Horizontal-mean  
160 values of near-surface air properties and radiative fluxes are used as fluxes into the sea ice  
161 model, while the surface temperature and upward fluxes computed by the sea ice model are  
162 provided as input to the atmospheric model uniformly at all grid points. Solar radiative fluxes  
163 are absent as our simulations target polar night.



164

165 Fig. 1. (Left) Schematic of the sea ice model. Symbol definitions and a detailed description  
 166 of the model is provided in the Appendix. (Right) Initial temperature profile in the sea ice  
 167 model.

168

169 An initial sea ice temperature profile is defined through an offline simulation of the sea ice  
 170 model with  $F_{Lwd}$  fixed at  $170 \text{ W m}^{-2}$  (matching observed clear-sky values in the high Arctic,  
 171 Persson et al. 2017) while the air temperature at 10 m altitude is set to be  $0.5^\circ\text{C}$  warmer than  
 172 the surface temperature, yielding surface turbulent fluxes  $\sim 1 \text{ W m}^{-2}$ . The sea ice model is run  
 173 under these conditions until all layers reach the steady-state temperature profile shown in  
 174 Figure 1b. In this state, surface temperature is  $-30^\circ\text{C}$ , net longwave radiative flux  $F_{Lwd} - F_{Lwu}$   
 175  $= -12 \text{ W m}^{-2}$  while  $F_c = 11 \text{ W m}^{-2}$ .

176

### 177 c. Simulation setup

178 Each simulation is initialized with the atmospheric temperature and humidity profiles  
 179 specified below, intended to represent the typical conditions of subpolar marine air. The sea-  
 180 ice model is initialized with temperature profile shown in Figure 1b, meant to represent  
 181 climatological conditions for the winter high Arctic. No external lateral boundary fluxes are  
 182 imposed (the flow is re-entering at the lateral boundaries). There are limitations to the realism  
 183 of this approach. An advected air column will in reality continuously encounter unperturbed  
 184 sea ice, rather than always interacting with the same sea ice as in our simulations. Also, a real

185 air column will generally be deformed by large-scale wind shear rather than conserve its  
186 vertical coherence. Nonetheless, we employ this approach in the interest of simplicity and  
187 consistency with previous work.

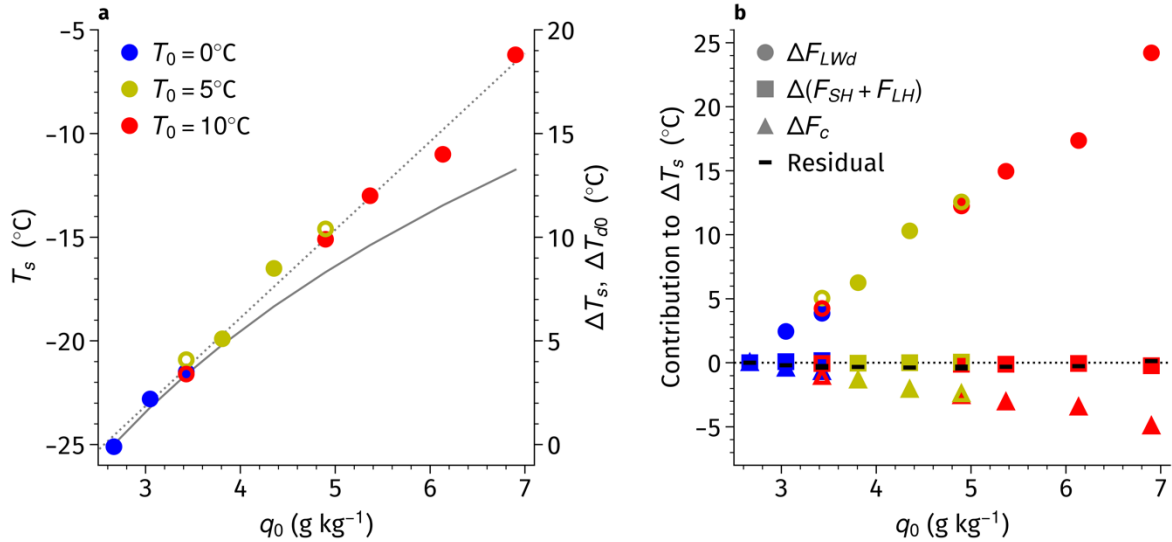
188 In all simulations, the initial atmospheric temperature profile takes the form  $T = T_0 - \Gamma z$ ,  
189 where  $z$  is height and the lapse rate  $\Gamma = 8^\circ\text{C km}^{-1}$  in all cases (examples of this structure are  
190 shown in Figure 5d-f, lines marked with a 0). The relative humidity profile takes the same  
191 form,  $\text{RH} = \text{RH}_0 - \Gamma_{RH} z$ , with  $\Gamma_{RH} = 15\% \text{ km}^{-1}$ . The simulations are distinguished only by the  
192 initial surface values  $T_0$  and  $\text{RH}_0$ . We perform simulations with all combinations of three  $T_0$   
193 values (0, 5 and  $10^\circ\text{C}$ ) and three  $\text{RH}_0$  values (70, 80 and 90%), for a total of nine simulations.  
194 These values are chosen to roughly capture typical subpolar maritime conditions in the modern  
195 (Ali and Pithan, 2020) and warmer future climates. To identify these simulations we use the  
196 notation  $T_x\text{RH}_y$ , where  $x$  represents the  $T_0$  value and  $y$  represent the  $\text{RH}_0$  value, so e.g. our  
197 coldest and driest simulation, with  $T_0 = 0^\circ\text{C}$  and  $\text{RH}_0 = 70\%$  (to be used as a reference case in  
198 Section 3) is referred to as T0RH70.

199 A further set of six simulations was designed to test the sensitivity to changing initial  
200 *specific* humidity at fixed initial temperature and vice-versa. Three of these simulations  
201 (denoted T0Lo, T5Lo and T10Lo) have initial  $T_0 = 0, 5$  and  $10^\circ\text{C}$  respectively but all have the  
202 same initial specific humidity profile as in T0RH90. The other three (T0Hi, T5Hi and T10Hi)  
203 all have the higher initial specific humidity profile of T5RH90. Note that T0Lo is actually the  
204 same as T0RH90 while T5Hi is the same as T5RH90; also, the T0Hi simulation was omitted  
205 as its initial temperature and humidity values imply supersaturation. We thus have a total of 12  
206 distinct simulations.

207 All simulations are initially cloud-free and assume a fixed number concentration of CCN  
208 ( $30 \text{ cm}^{-3}$ ) and ICNC ( $1 \text{ liter}^{-1}$ ). These values are realistic for the winter Arctic (Mauritsen et al.  
209 2011; Wendisch et al., 2019). An initial vertically uniform mean horizontal wind of  $5 \text{ m s}^{-1}$  is  
210 applied to all experiments, and the winds are nudged to their initial value throughout the  
211 simulation. All simulations assume zero divergence and large-scale subsidence. Shortwave  
212 radiation is zero in all cases as we are assuming polar night. Each simulation is run for 5 days,  
213 the typical observed transit time of moist intrusions across the Arctic basin (Woods and  
214 Caballero 2017).

215





216

217 Fig. 2. (a) Time-mean ice-surface temperature  $T_s$  (left axis) as a function of initial surface  
 218 specific humidity  $q_0$  for all simulations. Dotted line shows the linear regression of  $T_s$  against  
 219  $q_0$ . The right axis shows  $\Delta T_s$ , the change in  $T_s$  from its value in the reference case T0RH70.  
 220 Solid line (right axis) shows  $\Delta T_{d0}$ , the change in initial surface dewpoint  $T_{d0}$  from its value in  
 221 the reference case. (b) Decomposition of the surface temperature response into contributions  
 222 from downward longwave flux (circles), turbulent fluxes (squares) and conductive flux  
 223 (triangles), see Equation (2) in text. All values are plotted as differences from the reference  
 224 case T0RH70. The residual (thick black dashes) is the difference between  $\Delta T_s$  derived using  
 225 (2) and the actual  $\Delta T_s$  in the simulations. Colors indicate the initial air surface temperature  $T_0$   
 226 (see legend in panel a). Open symbols indicate the T5Lo, T5Hi and T10Lo cases.

227

### 228 3. Surface warming response

229 Our simulations aim to capture the situation where a moist intrusion carrying subpolar  
 230 marine air reaches unperturbed sea ice that is at the typical climatological temperature of pack  
 231 ice in the winter high Arctic. Figure 2a plots the time-mean ice-surface temperature  $T_s$  over the  
 232 5-day duration of each simulation as a function of the initial surface specific humidity  $q_0$ .  
 233 Depending on the initial atmospheric conditions, the surface warms by 5–25°C above its initial  
 234 temperature of  $-30^\circ\text{C}$  over the course of the air-mass transformation process. This magnitude  
 235 of warming is consistent with surface temperature anomalies typically observed during moist  
 236 intrusion events in the wintertime high Arctic (Woods and Caballero 2016, Messori et al.  
 237 2018).

238 The results in Figure 2a show that increasing initial humidity drives increased surface  
 239 warming, following an approximately linear relationship (dotted line). We also note that  
 240 simulations with the same initial humidity but different initial temperatures have very similar

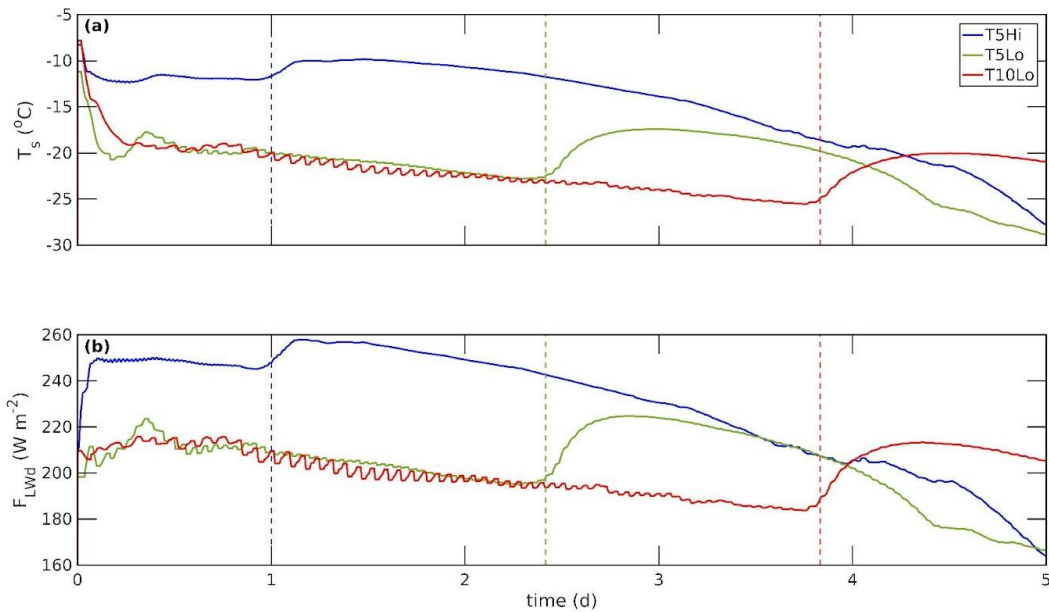
241 surface warming: specifically, T0Lo, T5Lo, and T10Lo (which all have initial  $q_0$  of around 3.5  
242  $\text{g kg}^{-1}$ ) all result in  $T_s$  of about  $-21^\circ\text{C}$ , while T5Hi and T10Hi ( $q_0 \approx 5 \text{ g kg}^{-1}$ ) both yield  $T_s$  of  
243 around  $-15^\circ\text{C}$ . The surface temperature response—at least in the time-mean—is therefore  
244 controlled almost entirely by the initial specific humidity, with initial temperature playing a  
245 negligible role. Put another way, two air-mass transformation processes starting with the same  
246 relative humidity but different temperatures have different surface impacts only by virtue of  
247 their different specific humidity, not directly because of their different temperature.

248 To determine the proximate causes for the surface temperature response, we decompose it  
249 into contributions from different surface flux terms by linearising the surface energy balance  
250 Equation (1) around a reference surface temperature (Lee et al. 2017):

$$251 \quad \Delta T_s \approx (\Delta F_{LWd} + \Delta F_{SH} + \Delta F_{LH} + \Delta F_C) / 4\epsilon\sigma T_s^3, \quad (2)$$

252 where  $\Delta T_s$  is the difference in time-mean  $T_s$  between a given simulation and a reference  
253 simulation (taken as T0RH70, our coldest and driest case). Differences in downward longwave  
254 radiative flux  $\Delta F_{LWd}$ , turbulent sensible and latent heat fluxes  $\Delta F_{SH}$  and  $\Delta F_{LH}$ , and conductive  
255 heat flux  $\Delta F_C$  at the surface (again time-averaged over the first 5 days of each simulation) are  
256 computed in a similar manner. Figure 2b shows the terms on the r.h.s. of (2) for each simulation.  
257 The residual in (2), i.e. the difference between the sum of the four terms on the r.h.s. and the  
258 model-produced  $\Delta T_s$  is very small, implying that (2) provides an adequate approximation.

259 Figure 2b shows that the longwave and conductive terms scale roughly linearly with initial  
260 humidity, while the turbulent flux terms change little across the simulations. The only positive  
261 term is downward longwave radiation, which is therefore the sole driver of increased surface  
262 warming with increasing humidity, and is only partly offset by the increasingly negative  
263 conductive term, i.e. by increased cooling of the surface through heat transfer into the  
264 underlying snow and ice. The dominant role of downward longwave radiation in driving  
265 surface temperature change is consistent with observational work over pack ice in winter (e.g.  
266 Lee et al. 2017). Cases with the same initial specific humidity show very similar values of  
267  $\Delta F_{LWd}$ ,  $\Delta F_{SH}$  and  $\Delta F_C$ , so initial humidity is the dominant control not just on the overall surface  
268 temperature response, but on individual surface energy budget terms as well.



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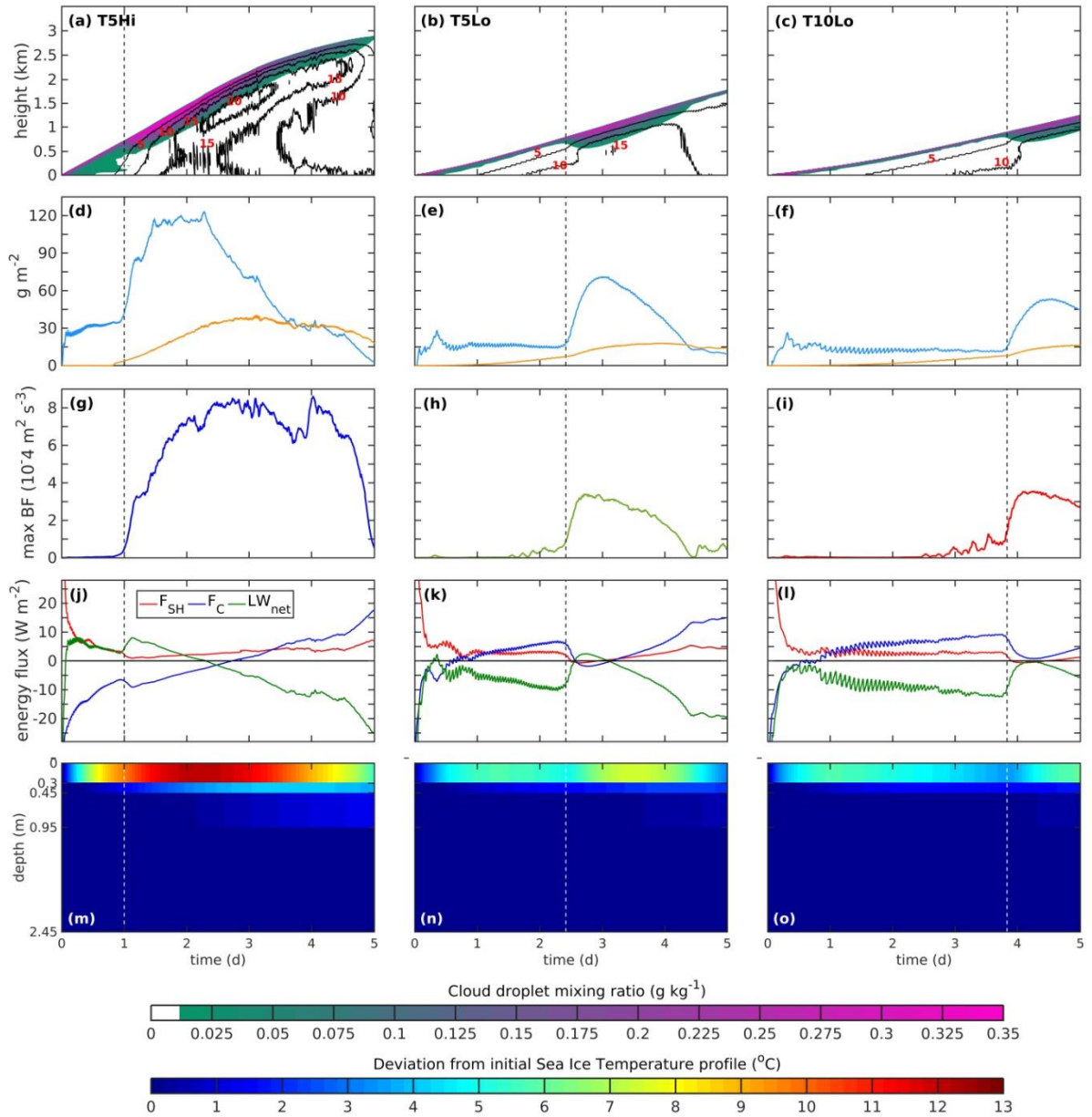
270 Fig. 3. Time evolution of horizontally-averaged (a) surface temperature  $T_s$  and (b) surface  
 271 downward longwave flux  $F_{LWd}$  for T5Hi (blue lines), T5Lo (green) and T10Lo (red). Vertical  
 272 dashed lines in corresponding colors mark the transition from the stable to the convective  
 273 regime.

274

#### 275 4. Cloud dynamics and the role of initial dewpoint temperature

276 To better understand the results of the previous section, we select three specific simulations  
 277 for closer examination: two with equal initial temperature but different initial humidity (T5Lo  
 278 and T5Hi), and another, T10Lo, with the same initial humidity as T5Lo but higher initial  
 279 temperature. These simulations are indicated by open symbols in Figure 2.

280 Figure 3 shows the time evolution of surface temperature and downward longwave flux in  
 281 the three simulations. Comparing Figures 3a and 3b, it is clear that surface temperature closely  
 282 tracks the downward longwave flux not just in the time mean, as shown in the previous section,  
 283 but at every instant. This is because of the low effective heat capacity of the surface, which  
 284 allows surface temperature to respond very quickly to changes in surface energy fluxes, a point  
 285 we return to in Section 5. Since we expect downward longwave radiation to be heavily  
 286 influenced by the presence and nature of clouds in the air column, we devote the rest of this  
 287 section to an analysis of cloud evolution during the simulations. Note for example that surface  
 288 temperatures show sudden upward jumps at specific stages during each simulation (dashed  
 289 lines in Figure 3); these correspond to cloud regime transitions discussed below.



290

291 Fig. 4. Time evolution of horizontal-mean (a-c) cloud liquid water mixing ratio (shading)  
 292 and ice crystal mixing ratio (contours at intervals of  $5 \text{ mg kg}^{-1}$ ); (d-f) liquid water path (blue  
 293 line) and ice water path (yellow); (g-i) maximum value of horizontally-averaged turbulent  
 294 buoyancy flux; (j-l) surface net longwave flux (green lines), sensible heat flux (red) and  
 295 conductive flux (blue); (m-o) mid-layer temperatures in the ice model, shown as the difference  
 296 from the initial temperature profile (Figure 1b). Dashed vertical lines in each panel denote the  
 297 transition from the stable to the convective regime. Left, middle and right columns show results  
 298 for T5Hi, T5Lo and T10Lo respectively.

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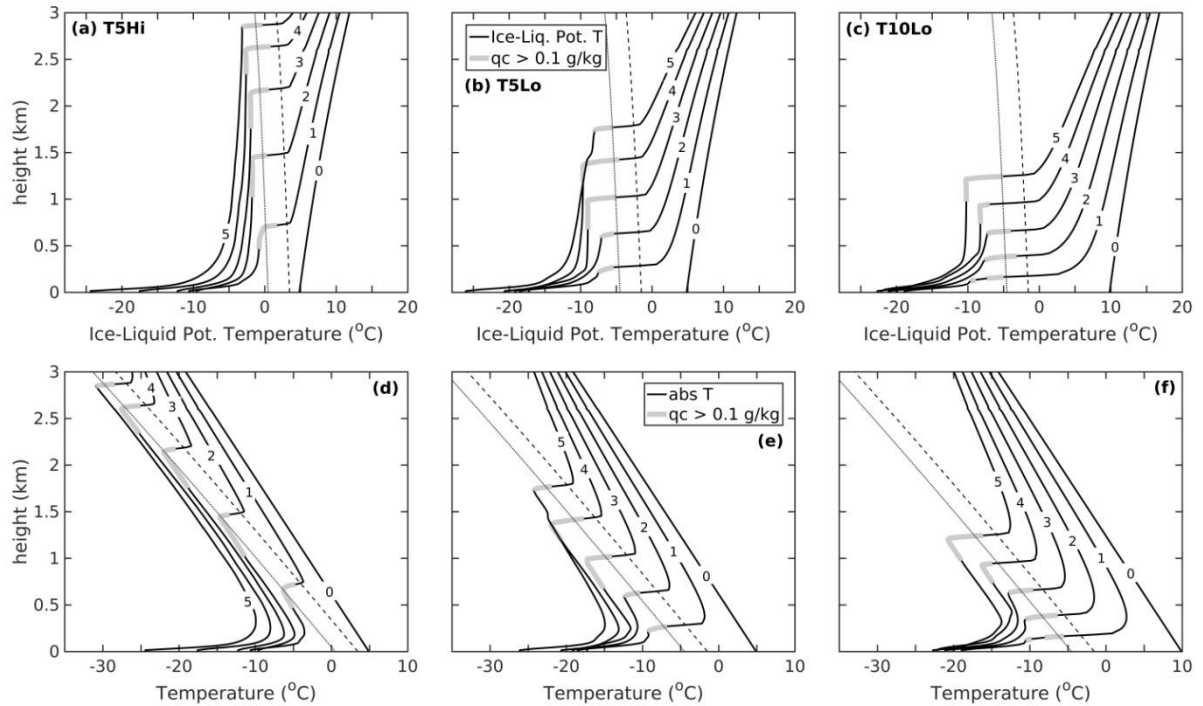
### 300 a. Cloud regimes

301 Figure 4a-c presents the time evolution of cloud condensate in the three simulations. All  
 302 three exhibit cloud tops initially near ground level but steadily rising throughout the 5 day

303 period. This behavior is common to all simulations conducted in the present study. Note that  
304 the cloud elevates most rapidly in the moister case T5Hi, while among the two drier cases it  
305 rises fastest in the initially colder one (T5Lo). We examine the reasons for these different  
306 elevation rates below.

307 Another feature common to all three cases is that buoyancy-driven turbulence (as measured  
308 by the maximum positive turbulent buoyancy flux, Figure 4g-i) is initially near zero,  
309 transitioning sharply to larger values only some time into the simulations. We can therefore  
310 distinguish two distinct stages of cloud evolution: an initial stable, non-convective regime,  
311 followed by a turbulent convective regime. For reference, dashed lines in Figure 3 show the  
312 approximate time at which this transition occurs in each simulation. In the late stages of the  
313 simulations, the buoyancy flux decreases to near-zero once again as the cloud dissipates, and  
314 we can distinguish a third, decay stage (visible for T5Hi and T5Lo, but a longer simulation  
315 would be required to capture it in T10Lo). We focus below on the stable and convective  
316 regimes.

317 Profiles of ice-liquid-water potential temperature at different times in the simulations  
318 (Figure 5a-c) show that the entire column is indeed statically stable during the stable regime,  
319 but in the convective regime it becomes neutrally stable within a layer immediately below the  
320 cloud, remaining strongly stable near the surface. The convective regime profiles are consistent  
321 with vigorous convection driven by cloud-top radiative cooling and mixing below the cloud,  
322 yielding the neutrally-stable profile there, but convection does not penetrate all the way to the  
323 ground because of strong near-surface stability. We can thus characterize the convective regime  
324 as a stratocumulus-topped convective layer overlying a decoupled surface layer, a regime well  
325 known from previous observational and modeling studies of polar clouds (Shupe et al. 2013;  
326 Solomon et al. 2011; Svensson and Mauritsen 2020). The stable state with a fog or thin stratus  
327 cloud is less well characterized observationally, though fogs or very low, thin stratus are often  
328 observed near the sea ice margin (Sotiropoulou et al. 2016).



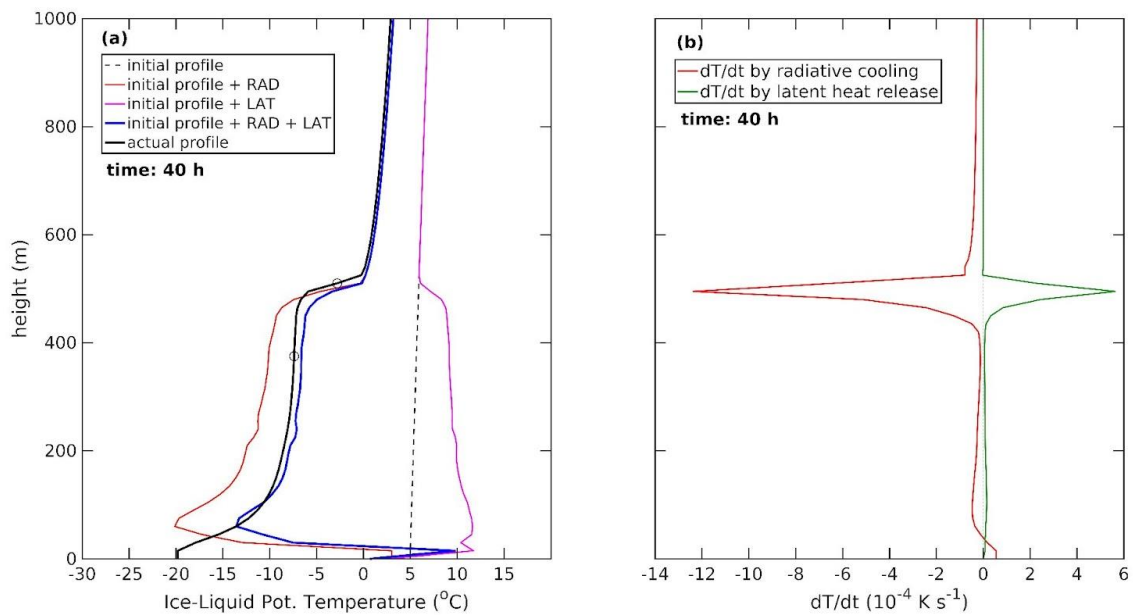
329

330 Fig. 5. Profiles of horizontal-mean ice-liquid potential temperature (top row) and absolute  
 331 temperature (bottom) at selected times; numbers within each line show time in days from the  
 332 start of each simulation. Gray shading indicates cloud (liquid water mixing ratio larger than  
 333  $0.1 \text{ g kg}^{-1}$ ). Thin dashed lines show (a-c) initial dewpoint ice-liquid potential temperature and  
 334 (d-f) initial dewpoint temperature. Thin solid lines show the corresponding profile minus  $3^\circ\text{C}$ .  
 335 Left, middle and right columns show results for T5Hi, T5Lo and T10Lo respectively.

336

337 *b. The stable regime*

338 In the stable (stratus) regime cloud-top lifting is radiatively driven (Herman and Goody  
 339 1976). In the initial clear-sky state, atmospheric radiative and sensible cooling maximizes near  
 340 the surface, and near-surface air cools rapidly until its temperature drops below the dewpoint.  
 341 A cloud (or fog) then forms and further cooling is slowed by latent heat release. This cloudy  
 342 layer becomes opaque to longwave radiation, shifting the radiative cooling peak upward to the  
 343 interface with the overlying clear air. The clear-air layer just above the cloud top then cools  
 344 below its dewpoint and becomes cloudy; once sufficient condensate has formed to render it  
 345 opaque, radiative cooling again shifts upwards and the whole process repeats in the layer above.  
 346 As a result, cloud top in the stable regime rises in a layer-by-layer process controlled only by  
 347 the local radiative cooling and latent heating within each layer.



348

349 Fig. 6. Example of the roles played by radiative cooling and condensational heating in  
 350 determining the atmospheric temperature profile. (a) Horizontal-mean ice-liquid potential  
 351 temperature profile after 40 hours in the T5Lo simulation (black solid line), compared with the  
 352 profile that would result if radiative cooling had acted alone (red line), if latent heating had  
 353 acted alone (magenta line), and if the sum of radiative and latent heating had acted alone (blue  
 354 line). Thin dashed line displays the initial ice-liquid potential temperature. Circles mark cloud  
 355 top and base. (b) Instantaneous horizontal-mean radiative cooling rate (red line) and latent  
 356 heating rate (green line) at  $t = 40$  hours in the T5Lo simulation.

357

358 Support for this conceptual picture comes from Figure 6a, which shows the time-integrated  
 359 radiative cooling and latent heating after 40 hours of the T5Lo simulation. The combined effect  
 360 of these two tendencies yields a temperature profile that closely matches the actual simulated  
 361 profile except near the surface. This close match implies that the sub-cloud temperature  
 362 structure in the stable regime is determined almost entirely by local radiation and latent heat  
 363 release, with no role for turbulent mixing except near the surface, where mechanical turbulence  
 364 generates sensible heat flux from the atmosphere toward the colder surface below.

365 The simulations all exhibit substantial drizzle at peak rates of over  $1 \text{ mm day}^{-1}$  during the  
 366 stable regime, along with some ice precipitation, which rapidly deplete cloud condensate and  
 367 dissipate the cloud except in the thin layer where cloud formation is actively occurring. The  
 368 resulting cloud is thus thin, filling a layer of only  $\sim 100$  m thickness (Fig. 4a-c) with a liquid  
 369 water path of  $20\text{--}30 \text{ g m}^{-2}$  (Fig. 4d-f). A sensitivity study in which drizzle is artificially  
 370 suppressed (Dimitrelos et al. 2020) shows the entire layer from surface to cloud top fills with

371 cloud condensate, driving higher surface temperature during the stable regime. Arctic low  
372 stratus clouds are commonly observed to produce supercooled drizzle, at least in spring and  
373 summer (Lawson et al. 2001, Tjernström 2007). We are not aware of observed drizzle rates  
374 which could help constrain this aspect of our simulations, however.

375 The picture outlined above implies that cloud temperature is, to a first approximation,  
376 determined by the dewpoint temperature of the initial maritime air mass. Both radiative cooling  
377 and latent heating rates drop to near-zero below the cloud, as shown in Figure 6b. The  
378 temperature in a given layer will therefore remain close to its value at the time of cloud  
379 formation, even after the cloud has shifted upward. The entire sub-cloud temperature  
380 structure—except near the surface, where cooling by sensible heat flux divergence is  
381 important—remains close to the original dewpoint temperature profile. This fact is highlighted  
382 in Fig. 5d-f, where dashed lines show the initial dewpoint temperature and thin solid lines show  
383 a temperature profile 3°C colder than the dewpoint; the latter gives a reasonable match to cloud  
384 temperature throughout the simulation in all cases.

385 We can therefore interpret the air-mass transformation process during the stable regime  
386 simply as a readjustment of the sub-cloud temperature to a profile matching the initial dewpoint  
387 profile but shifted a few degrees colder. The initial temperature profile is in this sense  
388 irrelevant, since the dewpoint is controlled only by initial humidity. This helps explain why the  
389 surface temperature impact in our simulations (Figure 2) is controlled only by initial humidity  
390 while initial temperature plays a marginal role.

391 Initial temperature does play a role however in controlling the rate of ascent of the cloud:  
392 for a given initial humidity, a warmer initial temperature will need to cool for a longer time to  
393 reach the dewpoint; hence the slower rate of ascent in the T10Lo simulation than in T5Lo.  
394 Initial temperature also controls the strength of the cloud-top temperature inversion: for a given  
395 initial humidity, an initially warmer profile will generate a stronger inversion, since the  
396 inversion strength is approximately the difference between temperature and dewpoint.

397

### 398 *c. The convective regime*

399 The initial dewpoint temperature profiles are slightly unstable at all heights, and  
400 increasingly so at higher levels (Figure 5a-c). Cooling by sensible heat transfer to the surface  
401 keeps the temperature profiles stable at lower levels, so the cloud must rise to some height



402 (around 750 m in all three cases) before convection can set in. As the cloud enters the  
 403 convective (stratocumulus) regime it continues to rise, at a rate now set by cloud-top turbulent  
 404 entrainment since there is no large-scale subsidence in these simulations (Mellado 2017). The  
 405 cloud deepens substantially (Figure 4a-c) and liquid water path rises sharply to peak values in  
 406 the range 60–120 g m<sup>-2</sup> (Figure 4d-f), while cloud liquid content reaches ~0.35 g kg<sup>-1</sup> (Figure  
 407 4a-c); such values are consistent with observations in the high Arctic (Verlinde et al. 2007;  
 408 Persson et al. 2017; Silber et al. 2021; Shupe et al. 2008). As a result, cloud base becomes  
 409 lower and therefore warmer, while the cloud itself becomes optically thicker. Both these effects  
 410 help explain the sudden upward jump in downward longwave radiation seen in Figure 3b as  
 411 the cloud transitions from the stable to the convective regime.

412 Drizzle ceases in the convective regime and ice precipitation takes over as the main cloud  
 413 sink. Accumulated over the entire cloud life cycle, ice precipitation is in fact the dominant  
 414 cloud sink, as expected for Arctic clouds (Morrison et al. 2012). Ice water content of up to  
 415 ~0.02 g kg<sup>-1</sup> can be seen within and below the liquid cloud layer (Figure 4a-c), consistent with  
 416 observed values (Shupe et al. 2008). Our simulations exclude ice aggregation into graupel or  
 417 snow, so all ice precipitation occurs as a result of ice particle growth by vapor deposition to  
 418 sufficient size to settle out of the cloud. A sensitivity study in which aggregation is enabled  
 419 shows that the greater particle sizes lead to enhanced settling rates, but this does not strongly  
 420 affect the rate of primary ice particle production from supercooled liquid, so that the depletion  
 421 rate of cloud liquid water (and therefore the liquid water path) is not strongly affected.

422 Once in the convective regime, entrainment and mixing provide an additional source of  
 423 energy to the cloud layer. Cloud temperature could therefore depart substantially from its  
 424 previous stable-state value, which as argued above is set by the initial air mass dewpoint. It  
 425 turns out however that the departure is small—a modest cooling of ~2°C (Figure 5a-c; note that  
 426 the transition from stable to convective regimes occurs after 1, 2.4 and 3.8 days for T5Hi, T5Lo  
 427 and T10Lo respectively). As a result, cloud temperature is still to a first approximation  
 428 controlled by initial dewpoint even in the convective regime.

429 This behavior can be understood by considering the bulk energetics of the well-mixed sub-  
 430 cloud layer (Stevens 2006):

$$431 \quad \frac{ds}{dt} = \frac{E\Delta s - \Delta F_{rad} - F_b}{h}, \quad (3)$$

432 where  $s$  is the liquid-water moist static energy ( $s = C_p T + gz - L_v q_l$ , where  $C_p$  is the isobaric

433 specific enthalpy,  $T$  is the air temperature,  $g$  is the gravitational acceleration,  $z$  is the height  
434 above the surface,  $L_v$  is the enthalpy of evaporation and  $q_l$  is the liquid-water specific humidity)  
435 averaged over the depth  $h$  of the well-mixed layer (which spans the region between the base of  
436 the cloud-top inversion and the top of the surface inversion, Figure 5d-f),  $E$  is the entrainment  
437 velocity at cloud top,  $\Delta s$  is the jump in  $s$  across the cloud-level inversion,  $\Delta F_{\text{rad}}$  is the net  
438 radiative divergence across the layer and  $F_b$  is the turbulent heat flux across the bottom of the  
439 layer. Neglecting  $F_b$ , the ratio  $\alpha = E \Delta s / \Delta F_{\text{rad}}$  therefore controls the rate at which the layer  
440 gains or loses energy: if  $\alpha = 1$ ,  $s$  will remain constant at its initial value, while  $\alpha < 1$  implies  
441 cooling. Observational estimates in subtropical stratocumulus clouds indicate  $\alpha$  is typically  
442 close to but somewhat smaller than 1 (Stevens 2006). Direct computation in our simulations  
443 (Table 1) shows that the same is true here, with  $\alpha$  in the range 0.7–0.9 for the three simulations  
444 considered. Moreover, the values of  $ds/dt$  shown in Table 1 imply cooling rates around 0.5–2  
445 K day<sup>-1</sup>, consistently with the modest cooling of the temperature profiles after entry into the  
446 convective regime (Figure 5a-c). We do not compute  $F_b$  explicitly, but its magnitude is  
447 estimated by the residual of the other terms of the equation, showing this term is generally  
448 much smaller than the other terms on the r.h.s. of (3).

449

Experiment	$\frac{ds}{dt}$	$\frac{E\Delta s}{h}$	$-\frac{\Delta F_{\text{rad}}}{h}$	$\alpha$	Residual
T5Hi (24 – 52 h)	-0.003	0.09	0.10	0.9	0.007
T5Lo (58 – 86 h)	-0.019	0.10	0.14	0.7	0.021
T10Lo (92 – 120 h)	-0.024	0.10	0.14	0.7	0.016

450 Table 1. Values of the energetic tendency terms for the well-mixed sub-cloud layer in the  
451 convective regime, Equation (3) (J kg<sup>-1</sup> s<sup>-1</sup>). All terms are averaged over a 28 hour time  
452 period after the onset of the convective regime in T5Hi, T5Lo, and T10Lo cases.

453

## 454 5. Surface energy balance and ice temperature evolution

455 With the insight into cloud evolution gained in the previous section, we can understand the  
456 main features of surface temperature and downward longwave evolution shown in Figure  
457 3. First, Figure 3 shows that following an initial shock—in which the large initial imbalance  
458 between atmosphere and surface drives a large upward jump in surface temperature and  
459 downward longwave radiation—both  $T_s$  and  $F_{\text{Lwd}}$  settle into a general long-term downward

18

460 trend. This trend can be attributed to the fact that the cloud is rising throughout the simulations,  
461 cooling as it follows the initial dewpoint temperature profile (Figure 5d-f). This leads to  
462 decreasing longwave emission from the cloud, decreasing  $F_{LWd}$  and cooling  $T_s$ . Cloud ascent is  
463 faster in T5Hi (because of the weaker cloud-top inversion, as discussed in Section 4) than in  
464 the other two simulations and thus the downward trend is stronger in that case.

465 Second, the downward trend discussed above is interrupted by upward jumps coinciding  
466 with the transition to the convective regime. As noted in Section 4.3, the cloud becomes thicker  
467 in the convective regime, leading to increased  $F_{LWd}$ . The different timing of these jumps is  
468 because the cloud must rise to some critical height above the surface before convection can set  
469 in, so the transition happens earlier the faster the cloud ascends.

470 Third, and most important, T5Hi has higher  $F_{LWd}$  and  $T_s$  than the two drier simulations  
471 through most of the 5-day duration. We attribute this difference to the higher cloud temperature  
472 (at given elevation) in the T5Hi case, due to its higher initial dewpoint temperature.

473 Another notable feature of the simulations is the persistent surface inversion seen in Figure  
474 5d-f. Temperature profiles like those in Figure 5, with a shallow surface-based inversion  
475 overlaid by an elevated inversion, are commonly observed in the winter high Arctic  
476 (Tjernström and Graversen 2009; Zhang et al. 2022). The surface inversion matches the  
477 relatively cold surface temperature—controlled by the surface energy balance (1)—to the  
478 warmer air temperature aloft, which is separately controlled by the initial dewpoint  
479 temperature. Near-surface shear-produced turbulence transfers sensible heat down to the  
480 surface at a rate of around  $5 \text{ W m}^{-2}$  on average (Figure 4j-l), with little difference among the  
481 three cases.

482 The conductive flux  $F_C$  is initially negative in all three simulations (Figure 4j-l), implying  
483 energy flux downward from the surface into the snow layer, and explaining the initial warming  
484 of that layer (Figure 4m-o).  $F_C$  becomes positive in the latter stages of the simulations: as the  
485 cloud rises,  $F_{LWd}$  drops and  $T_s$  cools, energy initially stored in the snow layer is returned to the  
486 atmosphere. The warming of the ice layers below the snow is limited in all cases. T5Hi shows  
487 a peak snow warming of around  $13^\circ\text{C}$ , while the ice immediately below warms by only about  
488  $5^\circ\text{C}$  (Figure 4m). These results are quantitatively consistent with the observational results of  
489 Persson et al. (2017; their Fig. 6), providing confidence in our sea ice model. It appears that,  
490 given the thermal inertia and conductivity of the ice, the time scale of a single air-mass  
491 transformation process is simply too short to allow the deeper ice layers to respond; a rapid

492 succession of similar events would be required to produce deeper effects in the ice, as found  
493 observationally by Persson et al. (2017).

494

## 495 **6. Conclusions, discussion, and implications**

496 We have studied the air-mass transformation process of an initially warm, cloud-free  
497 maritime air column in contact with initially cold sea ice under polar night conditions, using  
498 an atmospheric LES model coupled to a thermodynamic sea ice model in a set of simulations  
499 designed to test the sensitivity to initial air-mass temperature and humidity. We summarize our  
500 main results as follows:

- 501 1. For all initial temperature and humidity conditions considered, a mixed-phase cloud forms  
502 initially near the surface and then rises continuously at a rate of several hundred meters per  
503 day until it dissipates.
- 504 2. The cloud passes through two stages during its life cycle: an initial stable, drizzling stratus-  
505 like regime, followed by a convective stratocumulus-like regime.
- 506 3. The initially cold surface warms substantially over the course of the air-mass  
507 transformation process. Warming affects the topmost snow layer, but does not penetrate  
508 into the deeper sea ice layers; on the 5-day timescale considered, sea ice behaves as a thin  
509 slab of modest heat capacity.
- 510 4. Surface warming is due mostly to surface downward longwave radiation, which depends  
511 on the temperature and opacity of the cloud.
- 512 5. Cloud temperature is constrained to lie close to the initial dewpoint temperature at the same  
513 height—the cloud is always a few degrees colder than the initial dewpoint, but this offset  
514 changes little along the life cycle. Since the dewpoint depends only on humidity, memory  
515 of the initial temperature is lost.

516 The cloud evolution described in point 1 is broadly similar to that in an Arctic moist-  
517 intrusion event tracked with Lagrangian tracers in reanalysis data (You et al. 2022). It is also  
518 consistent with previous quasi-Lagrangian column-model studies (Wexler 1936, Herman and  
519 Goody 1976, Curry 1983, Cronin and Tziperman 2015, Pithan et al. 2016). In Curry (1983)  
520 convection is not represented and the simulations are permanently in the stable regime. Cronin  
521 and Tziperman (2015) show results for a case directly comparable to our TORH80 case. Their

522 results show that a mixed-phase cloud initially develops but collapses after only two days,  
523 while it persists for 5 days in our simulation. This difference could be due to differences in the  
524 treatment of dynamics (their model uses a convective parameterization) or microphysics, and  
525 would deserve closer study.

526 The overarching conclusion from our results is that surface warming over the air-mass  
527 transformation process modelled here is almost entirely controlled by initial air-mass humidity,  
528 regardless of initial temperature. The leading-order mechanism explaining this behavior is that  
529 initial humidity controls cloud temperature—via the dewpoint constraint—and cloud  
530 temperature controls longwave emission to the surface, which in turn controls surface  
531 temperature.

532 Taken at face value, this mechanism implies that surface warming during air-mass  
533 transformation should scale as initial dewpoint temperature. This is not the case, however: as  
534 shown by the solid line in Figure 2a, dewpoint temperature is an approximately logarithmic  
535 function of specific humidity (the inverse of the approximately exponential growth of  
536 saturation humidity with temperature dictated by the Clausius-Clapeyron relation). But surface  
537 warming actually increases roughly linearly with initial humidity. This happens because  
538 increasing humidity has additional effects which enhance surface warming above the dewpoint  
539 constraint. First, increasing humidity yields clouds with greater liquid water path and thus  
540 greater emissivity, enhancing downward longwave radiation particularly for the thin clouds of  
541 the stable regime.

542 Second, increasing humidity extends the total lifetime of the cloud, as is evident comparing  
543 Figures 4a and 4b. The mechanisms that terminate the cloud life cycle were examined in detail  
544 in a previous paper using a similar model framework (Dimitrelos et al. 2020). There, we found  
545 that the convective cloud dissipates when it ascends far enough that air entrained from above  
546 is too dry to balance moisture loss by ice crystal precipitation, leading to diminished cloud  
547 liquid water and a shutdown of convective destabilization by cloud-top radiative cooling. With  
548 a moister initial profile, the convective cloud can rise higher before it dissipates, lengthening  
549 the life cycle. The cloud spends more time in the convective regime, where surface effects are  
550 enhanced, leading to additional time-mean warming. These additional effects are less robust  
551 than the dewpoint constraint, and will depend on details of the microphysical processes—  
552 particularly cloud depletion by drizzle in the stable regime and by ice precipitation in the  
553 convective regime. It is therefore possible that the linear scaling of surface warming with

554 humidity is a fortuitous result of the specific parameter choices and modelling assumptions  
555 made here, and other choices could lead to different scaling (which could be faster or slower  
556 than linear).

557         Nonetheless, taking the linear scaling found here at face value has an interesting implication  
558 for Arctic amplification (at least over persistent sea ice or land in winter). In a warming climate,  
559 marine air masses are expected to warm while maintaining roughly constant relative humidity,  
560 implying exponentially-increasing specific humidity following Clausius-Clapeyron scaling.  
561 The linear scaling then implies that sea ice or land subject to moist intrusions will also warm  
562 exponentially. We can quantify this effect by defining an amplification factor  $A = \Delta T_s / \Delta T_{do}$ ,  
563 where  $\Delta T_{do}$  and  $\Delta T_s$  are the changes in surface dewpoint and surface temperature defined in the  
564 caption to Figure 2. We find  $A = 1.2$  on average across the simulations. Since we expect marine  
565 air masses flowing into the poles to warm at roughly the same rate as sea surface temperature  
566 (SST) at their origin, and we note that dewpoint is linear in temperature assuming fixed relative  
567 humidity, a  $1^\circ\text{C}$  warming of midlatitude/subpolar SST would give a typical  $1.2^\circ\text{C}$  surface  
568 warming when the air masses move over sea ice or land. This is modest compared to the  
569 observed Arctic warming of  $\sim 2^\circ\text{C}$  per degree of midlatitude warming, but could be a  
570 contributing factor. If linear scaling continues to hold at higher temperatures, the effect would  
571 be larger and could be important in explaining warm winter continental interiors in warm  
572 paleoclimates (Cronin and Tziperman 2015).

573         Our results also have implications for the role of atmospheric energy transport in polar  
574 amplification. As noted in the Introduction, recent work indicates that changes in the moist  
575 component of polar MSE convergence have a much bigger impact on surface warming than  
576 changes in the dry component (Graversen and Burtu 2016, Yoshimori et al. 2017, Graversen  
577 and Langen 2019). Our results provide a potential explanation for this difference in the surface  
578 effects of dry versus moist energy transport: when air masses are advected into the polar region,  
579 memory of their original temperature is quickly lost by cloud-top radiation to space and plays  
580 little role in determining surface warming. We therefore expect changes in the dry component  
581 of MSE convergence to play a minor role in driving surface temperature change compared to  
582 the moist component.

583         To make the connection explicit, suppose our simulations represent a moist intrusion which  
584 enters a region of Arctic pack ice at time  $t=0$  and exits at time  $t=5$  days. The MSE convergence  
585 into the region by this moist intrusion event is  $[h(t=0) - h(t=5 \text{ days})] / (5 \text{ days})$ , where  $h$  is the

586 column-integrated MSE content. We take the dry and moist contributions to MSE as  $C_p T + gz$   
 587 and  $L_v q$  respectively, where  $T$  and  $q$  are temperature and specific humidity and other symbols  
 588 are defined as in Equation (3), and integrate from the surface to 3500 m. The results (Table 2)  
 589 show that the initially warmer simulation T10Lo converges more MSE than the colder  
 590 simulation T5Lo, but the two give almost exactly the same surface warming (Figure 2a). On  
 591 the other hand, the initially moister simulation T5Hi converges *less* MSE than T5Lo, but  
 592 produces surface warming that is around  $5^\circ\text{C}$  *greater* (Figure 2a).

593

	T5hi	T5lo	T10Lo	T5Hi–T5Lo	T10Lo–T5Lo
$C_p T + g z$	98	109	120	–11	11
$L_v q$	22	14	11	8	–3
$C_p T + g z + L_v q$	120	123	131	–3	8

594 Table 2. Mean rate of vertically-integrated moist static energy convergence over the  
 595 course of each simulation (first three columns), and differences between simulations (last two  
 596 columns). All values are in  $\text{W m}^{-2}$ .

597

598 The climate implications sketched above are necessarily speculative at this point, and future  
 599 work could explore whether the dewpoint constraint on cloud temperature found here can be  
 600 identified in reanalysis products and climate models, for instance using Lagrangian tracers to  
 601 link Arctic clouds to their maritime air masses of origin. It would also be of interest to extend  
 602 the modelling framework to include a heterogeneous sea-ice model with multiple ice categories  
 603 including open water, which would allow us to study the two-way interaction between clouds  
 604 and sea ice (Kay and Gettelman 2009, Morrison et al. 2019). In addition, depletion of CCN by  
 605 scavenging and precipitation could affect cloud opacity and lifetime, and it would be interesting  
 606 to assess these effects by replacing our simple assumption of fixed CCN concentration with  
 607 fully interactive aerosols.

608

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616 *Data availability statement.*

617 The data used in this study is uploaded at the website <https://zenodo.org/> with assigned doi:  
 618 10.5281/zenodo.6347108. For questions about the model code, please contact  
 619 annica@misu.su.se.

620

621

## APPENDIX

622

### Sea ice model

623 The sea ice model has four homogeneous layers of different density  $\rho$ , heat conductivity  $k$ ,  
 624 specific heat capacity  $c$ , and vertical extent  $h$ . The uppermost layer consists of snow. Below  
 625 lies a thinner layer of snow which has a higher density and heat conductivity. This layer is  
 626 named “snow ice” to distinguish it from the snow layer. The snow ice layer has the same  
 627 specific heat as the layer of snow. Beneath the snow ice layer, two thick ice layers are placed  
 628 which are distinct from each other due to their different densities and heat conductivities. The  
 629 first layer is named “soft ice” and its density increases linearly downwards, whereas the  
 630 opposite applies to its heat conductivity. The bottom layer is called “hard ice” and it has the  
 631 same heat conductivity as the value at the bottom of the “soft ice” layer. The density, heat  
 632 conductivity, specific heat, and thickness of snow (snow ice, “soft ice”, “hard ice”) are denoted  
 633 as  $\rho_s$  ( $\rho_{si}$ ,  $\rho_{soft}$ ,  $\rho_{hard}$ ),  $k_s$  ( $k_{si}$ ,  $k_{soft}$ ,  $k_{hard}$ ),  $c_s$  ( $c_{si}$ ,  $c_{soft}$ ,  $c_{hard}$ ), and  $h_s$  ( $h_{si}$ ,  $h_{soft}$ ,  $h_{hard}$ ). The values of  
 634 the aforementioned parameters are listed in Table A1. The surface roughness height is set to  
 635 0.0004 m, which reflects a flat snow-covered surface (Stull 1988).

636 The time evolution of temperature at layer midpoints and interfaces (9 levels in total, see  
 637 Figure 1) is computed by integrating the following finite-difference energy transfer equations:

638

$$639 \quad F_{LWd} - F_{LWu} + F_{SH} + F_{LH} + F_C + L = 0 \quad (A1)$$

$$640 \quad \rho_s c_s \frac{T_{snow}(t) - T_{snow}(t-dt)}{dt} = k_s \frac{T_{int1} - 2T_{snow} + T_s}{h_s^2} \quad (A2)$$



641 
$$k_s \frac{T_{int1} - T_{snow}}{\frac{h_s}{2}} = k_{si} \frac{T_{si} - T_{int1}}{\frac{h_{si}}{2}} \quad (A3)$$

642 
$$\rho_{si} c_{si} \frac{T_{si}(t) - T_{si}(t-dt)}{dt} = k_{si} \frac{T_{int2} - 2T_{si} + T_{int1}}{h_{si}^2} \quad (A4)$$

643 
$$k_{si} \frac{T_{int2} - T_{si}}{\frac{h_{si}}{2}} = k_{soft} \frac{T_{si} - T_{int2}}{\frac{h_{soft}}{2}} \quad (A5)$$

644 
$$\rho_{soft2} c_{soft} \frac{T_{soft}(t) - T_{soft}(t-dt)}{dt} = k_{soft} \frac{T_{int3} - 2T_{soft} + T_{int2}}{h_{soft}^2} \quad (A6)$$

645 
$$k_{soft} \frac{T_{int3} - T_{soft}}{\frac{h_{soft}}{2}} = k_{hard} \frac{T_{hard} - T_{int3}}{\frac{h_{hard}}{2}} \quad (A7)$$

646 
$$\rho_{hard} c_{hard} \frac{T_{hard}(t) - T_{hard}(t-dt)}{dt} = k_{hard} \frac{T_{bot} - 2T_{hard} + T_{int3}}{h_{hard}^2} \quad (A8)$$

647 
$$k_{hard} \frac{T_{bot} - T_{hard}}{\frac{h_{hard}}{2}} = F_w + \rho_{hard} L_f \frac{dh_{hard}}{dt} \quad (A9)$$

648

Sea ice layer	Density (kg m <sup>-3</sup> )	Heat conductivity (W m <sup>-1</sup> K <sup>-1</sup> )	Specific heat (J kg <sup>-1</sup> K <sup>-1</sup> )	Thickness (m)
snow	350	0.31	2090	0.3
snow ice	600	0.5	2090	0.15
soft ice (depth: 0.45 m)	750	1	2108	0.5
soft ice (depth: 0.7 m)	800	1.5	2108	
soft ice (depth 0.95)	850	2	2108	
hard ice	900	2	2108	1.5

649 Table A1. Characteristics of sea ice model layers.

650

651 Here the temperatures in the middle of the snow, snow ice, soft, and hard ice layers are  
652 named  $T_{snow}$ ,  $T_{si}$ ,  $T_{soft}$ ,  $T_{hard}$ , respectively.  $T_s$  is the temperature at the surface while  $T_{bot}$  is the  
653 temperature at the bottom of the sea ice, which is fixed at the freezing point of salt water (–  
654 2°C).  $T_{int1}$ ,  $T_{int2}$ , and  $T_{int3}$  are the temperatures at the interfaces of the snow and the snow ice

655 layer, snow ice and soft ice layers, and soft ice and hard ice layers, respectively. Time is  
656 indicated by  $t$ , and  $dt = 60$  s is the time step.  $F_W$  is the net flux of heat from the ocean to the sea  
657 ice bottom (Untersteiner et al. 1986) and is set to  $2 \text{ W m}^{-2}$ .  $P_{soft2}$  is the density of the soft ice at  
658 0.7 m depth.  $F_{cbot}$  in Figure 1a is the term on the l.h.s of equation (A8).

659 The surface energy flux terms in Equation (A1) include the upward longwave radiative flux  
660  $F_{Lwu} = \varepsilon \sigma T_s^4$ , where  $\varepsilon$  is the snow emissivity (0.92) and  $\sigma$  is the Stefan-Boltzmann constant; the  
661 sensible and latent turbulent fluxes  $F_{SH} = \rho_a c_p C_s u (T_a - T_s)$  and  $F_{LH} = \rho_a L_v C_e u (q_a - q_s)$  respectively,  
662 where the bulk transfer coefficients  $C_s = 1.2 \cdot 10^{-3}$  and  $C_e = 0.55 \cdot 10^{-3}$  for sensible and latent heat,  
663 respectively (Thorpe et al. 1973) and the temperature and specific humidity at the surface and  
664 at 15 m altitude, denoted  $T_s$ ,  $q_s$  and  $T_a$ ,  $q_a$  respectively; the surface conductive heat flux  
665  $F_C = k_s (\partial T_{snow} / \partial z)_s \approx k_s (T_{snow} - T_s) / (h_s / 2)$ , and the latent heat involved in surface melting  
666  $L = \rho_s L_f (dH/dt)_s \approx \rho_s L_f (H(t) - H(t-dt)) / dt$  where the total ice depth  $H = h_s + h_{si} + h_{soft} + h_{hard}$ .  $L_v$  and  $L_f$   
667 are the latent heat of vaporization and fusion, respectively.

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