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Issue Paper/

Groundwater in complex adaptive social-ecological systems

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- 1 **Article Impact Statement:** A call to embrace complexity and interdisciplinarity in groundwater
- 2 sustainability work through a social-ecological systems approach.

3 **Abstract**

4 Groundwater is embedded in social and ecological systems but the complexity of these
5 interactions is not well represented in conventional approaches to study and promote
6 groundwater sustainability. We argue an important shift is necessary in groundwater
7 sustainability thinking: towards prioritizing the functions of groundwater, and the services that
8 make it a critical resource, and away from focussing on the resource in isolation. We articulate a
9 new perspective for groundwater sustainability based around the concept of groundwater-
10 connected systems—any system with dynamic relationships with groundwater, which can
11 include ecological, social, and Earth systems. We draw from the emerging field of sustainability
12 science and consider groundwater-connected systems to be specific forms of both social-
13 ecological systems and complex adaptive systems. We argue this interdisciplinary systems-based
14 perspective is necessary to connect existing research domains and paradigms, and provides
15 additional concepts and tools from the established literatures of social-ecological systems and
16 complex adaptive systems to improve how we study and manage groundwater-connected
17 systems for resilience and sustainability. We provide a theoretical foundation for this
18 perspective and discuss its practical implications which span data collection initiatives, scientific
19 investigations, governance, and management approaches. Amidst calls for innovation in the
20 groundwater field, we argue that embracing the groundwater-connected system perspective can
21 underpin a methodologically pluralistic, interdisciplinary, community-wide, and bold new wave
22 of groundwater research to better understand and promote groundwater sustainability in the
23 complex systems groundwater is embedded within.

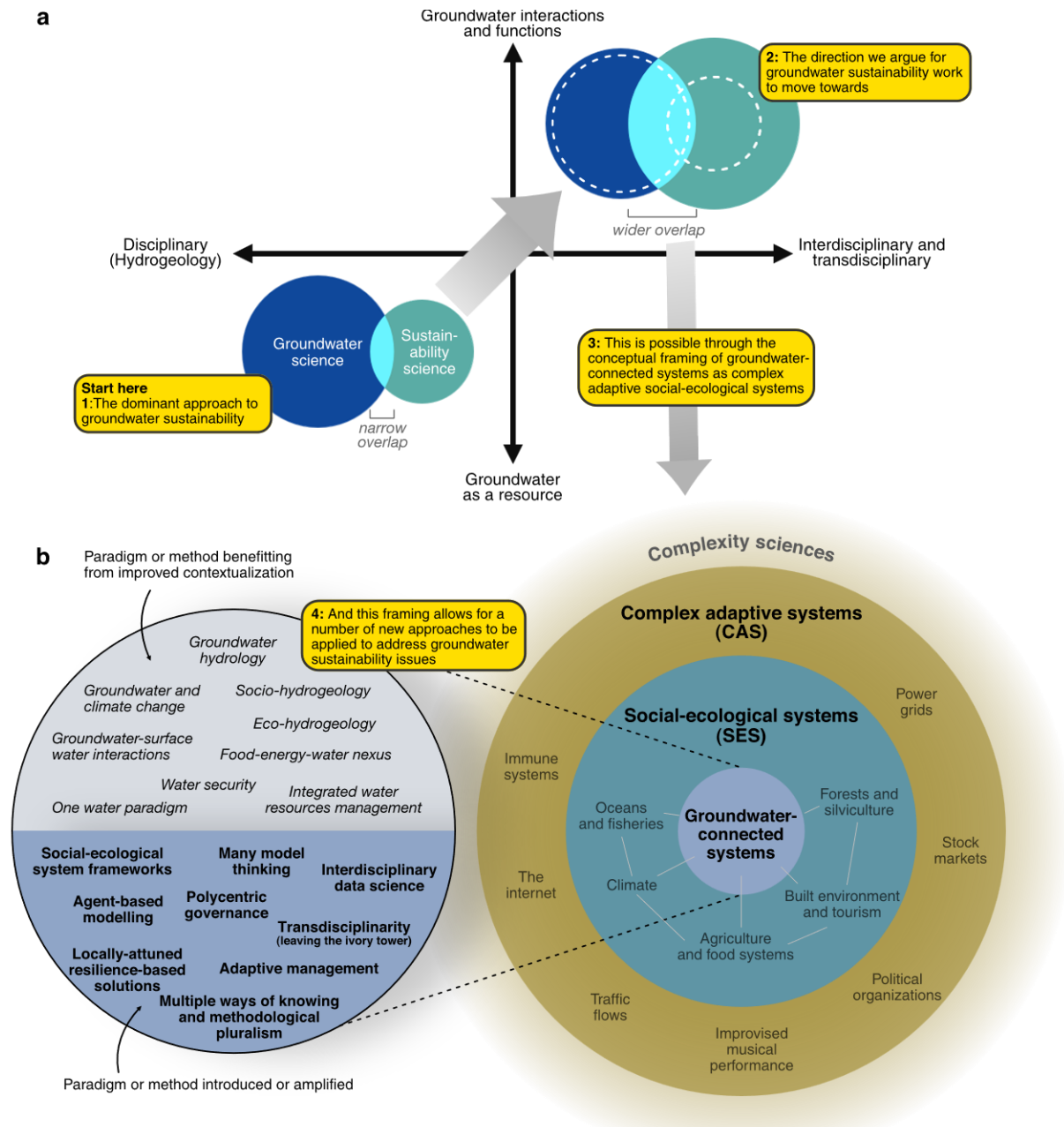
24 **A systems perspective for groundwater sustainability**

25 Groundwater sustainability can be understood as maintaining the state of groundwater such that
26 it continues to provide long term and widespread benefits to human well-being while preserving
27 its ecological and Earth system functions. This description may not appear novel but it does
28 reflect an important shift in approaching groundwater sustainability: prioritizing the *functions*
29 and *interactions* of groundwater, and the services that make it a critical resource, instead of
30 focussing on the resource itself. There has been great progress in developing awareness and a
31 better understanding of groundwater interactions with social (Re 2015), ecological (Cantonati et
32 al. 2020), and Earth (Gleeson et al. 2020) system processes, as well as the dependency of
33 groundwater itself on these processes. Combined, these interactions affirm and elevate the
34 importance of using and managing groundwater on the basis of its core functions and services for
35 long-term sustainability and resilience of integrated hydrological, social, ecological, and Earth
36 systems. Yet, despite an accelerating research agenda on groundwater sustainability as a topic
37 (Elshall et al. 2020), integrated systems, function-oriented, and interdisciplinary approaches to
38 groundwater sustainability are underrepresented in the literature. This underrepresentation is
39 possibly due to the complex and uncomfortable nature of this perspective: much more than
40 hydrogeology expertise is required, though it remains foundational and necessary.

41 In this paper, we elucidate on, advocate for, and describe the implications of this new
42 framing. We first describe the complexity and breadth of challenges that characterize the
43 groundwater sustainability problem space, which continues to expand as groundwater
44 interactions with diverse systems and processes continue to be revealed. We then highlight core
45 elements of sustainability science whose benefits have yet to be realized within groundwater
46 sustainability topics. We proceed to articulate our framing of groundwater as a resource

47 embedded in complex adaptive social-ecological systems. Lastly, we discuss the implications of
48 this perspective on data collection, scientific investigations, governance, and management. Our
49 motivation is not to refine perceptions of the broad and severe impacts of groundwater misuse,
50 but rather to highlight how a wider, more complex, interdisciplinary, and systems approach to
51 groundwater makes available a suite of new (or existing but underutilized) concepts and method
52 to promote transformations towards sustainability and resilience in complex groundwater-
53 connected systems.

54 This perspective entails moving the field of groundwater sustainability from a
55 predominantly disciplinary pursuit—focussed on groundwater as an isolated resource and one
56 dominated by hydrogeologists’ perspectives, methods, and paradigms—to an interdisciplinary
57 pursuit focussed on preserving core functions of groundwater relationships within larger,
58 interconnected social-ecological systems through interdisciplinary and transdisciplinary methods
59 and collaborations (Figure 1). The framing and approaches we present are not a criticism or a
60 call to replace existing research methods or programs tackling issues pertaining to groundwater
61 sustainability. Rather, we offer this framing as a more expansive, overarching perspective that
62 can complement existing approaches and paradigms, such as socio-hydrology, integrated water
63 resources management, nature-based solutions, and the food-energy-water nexus (Gain et al.
64 2021). We will start by summarizing key concepts already established within the sustainability
65 science field but that may be new to groundwater researchers and practitioners. These concepts
66 can help tie together the many perspectives, methods, efforts, and skill sets required to promote
67 groundwater sustainability in the Anthropocene.



68 **Figure 1.** Framing groundwater sustainability as an integration of groundwater science and
 69 sustainability science, and viewing groundwater resources through the lens of groundwater-
 70 connected systems. (a) We argue for approaches targeting groundwater sustainability to move
 71 from disciplinary pursuits focusing on groundwater as a resource to inter- and transdisciplinary
 72 collaborations that focus on understanding and maintaining groundwater interactions and
 73 functions. (b) This new perspective is enabled by focusing on groundwater-connected systems
 74 and evaluating these systems as social-ecological systems and complex adaptive systems. Doing

75 so introduces or amplifies new methods and paradigms for data, research, governance, and
76 management. To support interpretation of this figure, consult the yellow text boxes in sequential
77 order.

78 **Groundwater sustainability as a wicked, interdisciplinary pursuit**

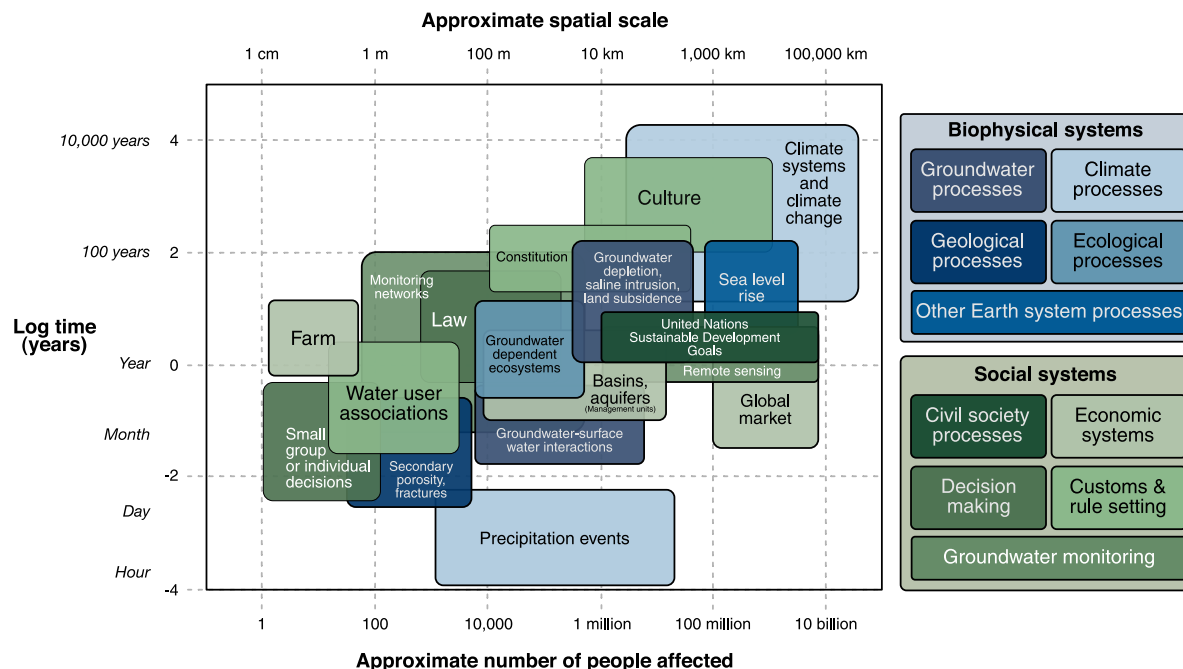
79 Groundwater sustainability is a wicked problem (Lönngrén and van Poeck 2021): those with no
80 single solution, where nontrivial, complex system dynamics formed by interactions across social,
81 economic, and environmental dimensions can turn well-intended interventions into undesirable
82 consequences. Wicked problems are not easily ‘solved’, with no finite set of potential solution
83 strategies or clear stopping criteria, and thus require sustained efforts to manage or mitigate their
84 consequences (Crowley and Head 2017). Drivers of groundwater depletion and misuse are also
85 complex and diverse, and the challenge of steering groundwater systems on pathways towards
86 sustainability is, as a result, well reflected in the literature (e.g. see Aeschbach-Hertig and
87 Gleeson 2012; Ostrom 1993; Zellner 2008; Zwarteveen et al. 2021). Impacts of groundwater
88 misuse are also widely discussed in the literature, including a number of recent review articles
89 (e.g. Bierkens and Wada 2019; Gleeson et al. 2020; Lall et al. 2020).

90 Important groundwater and groundwater-connected processes occur across a wide range
91 of spatial and temporal scales. These include biophysical (i.e. physical and ecological) and social
92 (including technological and economic) systems and processes, and span well-head (local), to
93 catchment, aquifer, and transboundary domains, to the global scale, and across seasonal to
94 century and longer time ranges (Figure 2). Interactions between these processes of dramatically
95 different spatial and temporal scales are at the root of the wicked nature of groundwater
96 sustainability challenges.

97 Given the diversity and interconnectedness of drivers of hydrogeological processes, the
98 relevant scientific disciplines currently contributing towards critical insights to characterize and
99 remedy groundwater challenges are also numerous. We may readily identify hydrology, geology,
100 climatology, ecology, sociology, economics, and policy science domains. Though this list is far
101 from comprehensive, it underscores the need for greater interdisciplinarity in groundwater
102 research. Furthermore, transdisciplinary work in groundwater sustainability is necessary for
103 solutions to address the core needs of stakeholders and promote social and ecological well-being
104 through place-based strategies (Re 2021; Castilla-Rho et al. 2020). These transdisciplinary
105 interactions between academics and stakeholders can create synergistic interactions across
106 knowledge systems and worldviews. Yet, as we argue here, making such interactions fruitful
107 demands entirely different skill sets to those used in traditional, discipline-specific groundwater
108 research when can benefit from a reframed conceptualization and approach to groundwater
109 sustainability research.

110 Groundwater sustainability should be understood as a problem-oriented domain. Such
111 problem-oriented sustainability work has been described as undisciplinary, defined as: “problem-
112 based, integrative, interactive, emergent, [and] reflexive science, which involves strong forms of
113 collaboration and partnership” (Robinson 2008; Haider et al. 2018). We note, however, that
114 existing work framed in this fashion has predominantly focussed on resources and sustainability
115 issues other than groundwater. We find it compelling to contrast this understanding of
116 “undisciplinary” science with H. Schwartz’ *Groundwater* editorial “Zombie-Science and
117 Beyond” (2013) where stagnation within hydrogeological research was questioned with a call for
118 innovation through non-traditional approaches. We argue that embracing such an
119 “undisciplinary” approach to addressing the wicked problems associated with groundwater

120 sustainability can serve as one key area for growth in the groundwater field, with marked
 121 benefits for groundwater sustainability efforts that are becoming more urgent (Famiglietti 2014).



122 **Figure 2.** Spatial, temporal, and social scales of biophysical and social processes of
 123 groundwater-connected systems. The processes shown are meant to be illustrative of the
 124 diversity of processes across scales rather than being exhaustive.

125 **Box 1:** Definitions of key terminology, as used in this paper.

Complex adaptive system: A system of interacting components which are “defined more by the interactions among their constituent components than by the components themselves” (Preiser et al. 2018). Complex adaptive systems can take many forms, but are generically comprised of relational networks, which have adaptive capacities, possess dynamic processes, are open systems with context-dependent and emergent behaviour (Preiser et al. 2018).

Social-ecological system: An integrated system formed by interactions between social and biophysical systems (Berkes and Folke 1998). Social-ecological systems can possess complex

system attributes including thresholds, feedbacks, non-linear processes, path dependent behaviour, and are understood to exist within the context of external social, economic, and political settings and in relation to ecosystem processes (Ostrom 2009). Social-ecological systems are specific forms of complex adaptive systems.

Groundwater-connected system: Any system that has a dynamic relationship(s) with groundwater. Groundwater-connected systems thus encompass interactions and relationships identified through both groundwater-based socio-hydrology and eco-hydrology literature. Borrowing from the complex adaptive system literature, the groundwater-connected system perspective focuses not on evaluating the hydrogeological system itself, but rather on understanding the properties and dynamics of the interactions between groundwater and connected systems. Groundwater-connected systems are specific forms of social-ecological systems.

126 **Interfacing sustainability science with groundwater**

127 Sustainability science, as a discipline, has emerged in the last two decades in response to the
128 myriad ways humans are challenging physical and ecological limits across local to global scales
129 (Kates 2011). Sustainability-focused groundwater research is increasingly being conducted
130 (Elshall et al. 2020) and overlap in these studies with core sustainability science concepts (such
131 as proposing groundwater sustainability definitions, footprinting analysis, and interdisciplinary
132 problem-oriented collaborations) is natural and already occurring (Gleeson et al. 2020; Barthel
133 and Seidl 2017). However, explicitly tethering core sustainability science concepts, theories,
134 frameworks, and approaches to the groundwater sustainability literature and discourse can only

135 assist in providing additional tools to address groundwater sustainability challenges by tapping
136 into a wider, deeper, and more developed literature.

137 Sustainability science is framed as a consilient, problem-based, and undisciplinary
138 domain (Ayres et al. 2001; Kates 2011; Haider et al. 2018; Jerneck et al. 2011). Its consilient
139 nature emphasizes the collaboration needed between disciplines to support stronger, more
140 substantiated outcomes that resolve concepts and theories across the concerned disciplines. The
141 sustainability science literature is large, diverse, and pluralistic (Bettencourt and Kaur 2011) and
142 there is no unifying theory that underpins the discipline. Core facets of sustainability science
143 include the philosophical and ethical foundations of sustainability definitions, the identification
144 of goals and targets that indicate progress towards sustainable development, the development of
145 frameworks, and the application of these frameworks to develop theories and models (McGinnis
146 and Ostrom 2014) to assess systems whose sustainability is of concern.

147 As resource-based sustainability issues are fundamentally rooted at the interface of
148 human and environmental systems, a central concept that permeates the sustainability science
149 discourse is that of social-ecological systems (de Vos et al. 2019). Social-ecological systems
150 (Box 1) offer a way of viewing human-environmental interactions as a single, interconnected
151 system with physical, ecological, and social components (Berkes and Folke 1998; Chapin et al.
152 2009). Naturally, there has been significant uptake of the social-ecological system concept across
153 sustainability science, and myriad frameworks have emerged to study social-ecological systems
154 (Binder et al. 2013). Social-ecological systems are also understood to be complex adaptive
155 systems (Box 1; Preiser et al. 2018). This framing locates sustainability science as a complexity
156 discipline, characterized by systems with dynamic processes, deep uncertainty, emergent and
157 context-dependent behaviour.

158 We note that sustainability-focused groundwater research is rarely approached from an
159 explicit social-ecological perspective (though there are exceptions, e.g.: Delgado-Serrano and
160 Borrego-Marin 2020; Bouchet et al. 2019; Barreteau et al. 2016; Carpenter et al. 2015), and to
161 the best of our knowledge no foundational literature explicitly discusses the varied advantages of
162 adopting this perspective. However, groundwater sustainability research has become increasingly
163 interdisciplinary, as evidenced by the emergence of socio-hydrology (Sivapalan et al. 2012),
164 socio-hydrogeology (Re 2015), and eco-hydrogeology (Cantonati et al. 2020) disciplines. It has
165 been argued that socio-hydrology itself corresponds closely with social-ecological system
166 approaches (Madani and Shafiee-Jood 2020), however we suggest that the more expansive
167 concept of social-ecological systems is better equipped to include interactions with and between
168 ecological and Earth systems processes that may be underemphasized in socio-hydrological
169 studies. Furthermore, as there has yet to be widespread uptake of groundwater topics in the
170 socio-hydrological literature (see Troy et al. 2015), there remains significant room for growth
171 and application of socio-hydrological research that expands to accommodate a social-ecological
172 system perspective.

173 In order to advance this social-ecological perspective in groundwater sustainability
174 research, a conceptual shift that focuses on groundwater-connected systems (Box 1) rather than
175 on groundwater resources in isolation is necessary. This shift is consistent with recent calls to
176 adopt a “One Water” paradigm (Villholth 2021), but elaborates with greater specificity about
177 groundwater processes and connections within this general perspective. Approaching
178 groundwater-connected systems as complex adaptive social-ecological systems can have
179 profound implications on the approach of data collection and scientific investigations that can
180 provide unique and important insights to better guide governance and management. Furthermore,

181 the social-ecological system perspective (itself developed through inter- and transdisciplinary
182 work) can prove useful in facilitating collaborations and communication between the many
183 research domains across the groundwater sustainability problem space. Our view is that aligning
184 diverse inputs through a common paradigm and its associated language can support the type of
185 undisciplinary collaborations required to achieve diverse and multi-faceted groundwater-based
186 and groundwater-related sustainability goals (Guppy et al. 2018; Di Baldassarre et al. 2019).

187 **Groundwater-connected systems as complex adaptive social-ecological** 188 **systems**

189 Through the groundwater-focused socio-hydrology and eco-hydrogeology literature, human-
190 groundwater and ecological-groundwater connections are becoming more apparent. Social and
191 ecological systems, and together as social-ecological systems, have been identified and studied
192 as complex adaptive systems (Levin 2005; Berkes and Folke 1998; Levin et al. 2013; Preiser et
193 al. 2018). Because groundwater is embedded in social and ecological systems, we can lean on
194 this existing literature framing social and ecological systems as complex adaptive systems to
195 argue that groundwater should also be viewed as such. However, there is scant evidence that this
196 perspective has gained attention or traction in the traditional groundwater hydrology research
197 community to date. Furthermore, noting that ecological and social processes themselves form
198 coupled systems, a more encompassing approach that considers the interactions between these
199 systems and the underlying physical environment, including Earth system processes, is
200 warranted. These premises combine to form the basis for our thesis: *that it is advantageous for*
201 *groundwater sustainability efforts to focus on groundwater-connected systems, rather than*
202 *groundwater resources, and to consider these groundwater-connected systems as complex*

203 *adaptive social-ecological systems*. To connect theoretical foundations with empirical evidence
204 to support this perspective, we map (see Figure 3) components, attributes, and dynamics of
205 groundwater-connected systems to fundamental principles and elements of social-ecological
206 systems (Figure 3a-b) and complex adaptive systems (Figure 3c-d), each described in individual
207 sub-sections below. We subsequently discuss the implications of this conceptual approach in the
208 section that follows.

209 *Groundwater-connected systems as social-ecological systems*

210 To substantiate our claim that groundwater-connected systems form social-ecological systems,
211 we rely on the social-ecological systems framework as it is the predominant framework used in
212 the study of social-ecological systems (Partelow 2018). In the sustainability literature, a
213 framework is the “most general form of conceptualization; provid[ing] checklists or building
214 blocks for consideration in constructing theories or models” (Clark and Harley 2020). The social-
215 ecological systems framework (McGinnis and Ostrom 2014) is particularly versatile to study
216 complex coupled human-environmental sustainability issues as it is structured to enable
217 consideration of social and ecological components in equal depth, is multi-scalar, enables
218 consideration of interactions and feedbacks between social and ecological processes, and
219 provides a thorough index of social-ecological system components to possibly consider in an
220 individual system’s study (Binder et al. 2013). In the social-ecological system framework, the
221 highest level system elements are resource systems, resource units, governance systems, and
222 actors; and the interactions between these elements occur in the context of related ecosystems,
223 and social, economic, and political settings (Ostrom 2007; McGinnis and Ostrom 2014).
224 Interactions and feedbacks between social and biophysical systems occur across a multitude of
225 time and space scales. These interactions constitute the core consideration of social-ecological

226 system analysis (Reyers and Selomane 2018), and are represented in the social-ecological
227 systems framework as action situations. The social-ecological system concepts is versatile and
228 has been used across forestry (e.g. Fischer 2018), fishery (e.g. Arlinghaus et al. 2017), and water
229 resource studies (e.g. Gain et al. 2021), in the form of qualitative and quantitative analysis, meta-
230 analysis, comparative analysis of multiple complex adaptive system studies, as a deliberation
231 tool, and as an underlying mental model (Partelow 2018).

232 In Figure 3a and 3b, we place features and processes of groundwater-connected systems
233 within the general social-ecological system framework. This conceptualization of a groundwater-
234 connected environment with physical, ecological, and social processes with local to global
235 dimensions presents an improved systems-oriented foundation to understand and address
236 complex groundwater sustainability challenges. We attribute groundwater-connected system
237 features and elements across the social-ecological system framework categories to substantiate
238 the inherent social-ecological system nature of groundwater-connected systems. In work framing
239 other resource systems as social-ecological systems, the social-ecological nature of these systems
240 is implicitly revealed through evidence of feedbacks, time lags, non-linearities, system diversity,
241 and cross-scale dynamics (e.g. Fischer 2018; Reyers and Selomane 2018). However, we
242 understand these attributes to be more indicative of the nature of social-ecological systems as
243 complex adaptive systems and thus we discuss these attributes of groundwater-connected
244 systems in the following sub-section: *Groundwater-connected systems as complex adaptive*
245 *systems*. The social-ecological system framework element attributions we associate with
246 groundwater-connected systems are meant to be simply illustrative of this conceptual alignment
247 and are not comprehensive or immutable.

248 The outcomes we identify in our groundwater-connected systems conceptual model
249 concern water, food, and energy security, biosphere integrity and planetary health, and Earth
250 system stability. For instance, two of the example outcomes we list include ‘*wells running dry*
251 *and reduced water security*’ and ‘*transgressed environmental flow needs*’. We use these
252 examples to overview how the social-ecological systems perspective facilitates a more holistic
253 understanding of how such outcomes materialize.

254 In the case of ‘*wells running dry and reduced water security*’ (which has been
255 documented across the United States and its potential at the global scale: Perrone and Jasechko
256 2019; Jasechko and Perrone 2021), potential causal mechanisms of falling water tables (i.e.
257 reductions in groundwater storage, represented as a *resource unit* in Figure 2b) include the
258 conventional drivers of groundwater behaviour: geology, topography and climate (the *resource*
259 *systems*), as well as human activity (e.g. agricultural irrigation, the dominant driver of
260 groundwater use and consumption globally; Siebert et al. 2010) which is represented under the
261 *actor* category. Yet, these are not the only processes and conditions that can contribute to falling
262 water tables. Absent or ineffective regulations on groundwater use and a lack of policy
263 coordination between food, water, and energy goals are common in areas experiencing
264 groundwater depletion (e.g. Molle and Closas 2020; Villholth and Conti 2018; Jakeman et al.
265 2016). External *economic and political settings* complicate and hinder the ease of implementing
266 policy transformations for sustainability, such as the role of global food trade networks driving
267 unsustainable groundwater irrigation practices (Dalin et al. 2017) or the history of agricultural
268 energy subsidization (Scott and Shah 2004). Insufficient groundwater monitoring programs, data
269 sharing, infrastructure, and funding (aspects and products of *governance systems*) undermine
270 anticipatory capacity of both well owners and governments, and the ability to adapt to trends in

271 water tables will differ based on the wealth of well-owners that, for instance, dictates who can
272 afford to drill deeper wells that keep pace with falling water tables. When groundwater depletion
273 occurs in proximity to connected surface water bodies or terrestrial groundwater-dependent
274 ecosystems, these interactions can also lead to transgressed environmental flow needs and
275 degraded groundwater-dependent ecosystems. The ability to consider intersections and
276 interactions between outcomes in groundwater-connected system processes is an advantage of
277 adopting the social-ecological system paradigm, which can continue be applied to consider
278 cascading impacts (e.g. see Rocha et al. 2018; Xu et al. 2021) such as impacted ecosystem
279 services or cultural impacts of altered hydrological processes (e.g. Anderson et al. 2019).

280 Our inclusion of nature-based solutions (e.g. managed aquifer recharge) represents one of
281 many possible examples of human interventions that can trigger positive change and promote
282 sustainability in groundwater-connected systems. Thus, a social-ecological system
283 conceptualization of groundwater-connected systems can act as a tool for more comprehensive,
284 systems-based, solution-oriented, and place-based (locally attuned) approaches to groundwater
285 sustainability. This perspective supports a thematic shift in the groundwater sustainability
286 dialogue, turning away from affirmations of the ‘groundwater crisis’ and towards leveraging
287 groundwater sustainability ‘bright spots’ (cf. Bennett et al. 2016) of promising system
288 transformations.

289 *Groundwater-connected systems as complex adaptive systems*

290 While contemporary social-ecological studies have grown increasingly untethered from their
291 complex adaptive system foundation (Preiser et al. 2018), we seek to align our framing of
292 groundwater-connected systems with the foundational concept of *complex adaptive social-*
293 *ecological system* (Levin et al. 2013). Having highlighted the social-ecological systems nature of

294 groundwater-connected systems, we repeat this process and map the complex adaptive systems
295 nature of the same conceptual diagram of a groundwater-connected systems environment in
296 Figure 3c and 3d. Similar to social-ecological systems, the complex adaptive systems literature
297 benefits from a diverse research base with no unifying, foundational theory. To confront this
298 ambiguity and clarify which attributes are necessary for a complex adaptive system, Preiser et al.
299 (2018) synthesized six underlying, common principles of complex adaptive systems. In the
300 subsequent paragraphs, we summarize these common principles and discuss their representation
301 within groundwater-connected systems to support interpretation of Figure 3c-d.

302 Complex adaptive systems are **constituted relationally** around network and hierarchical
303 structures of system components, which can be diverse and heterogeneous. In a groundwater-
304 connected environment, these relational networks can take the form of a spatially distributed set
305 of wells across a physiographically heterogeneous and multijurisdictional landscape,
306 international trade agreements, and multiple levels of decision making (e.g. from the United
307 Nations Sustainable Development Goals, to national level groundwater monitoring networks
308 (IGRAC 2020), to individual well owners' operational decisions on pumping).

309 Complex adaptive systems are defined, inherently, by **adaptive capacities**. The adaptive
310 capacity of a system is a product of self-organization capabilities, decentralized control
311 mechanisms, and the properties of system memory and resilience. In groundwater-connected
312 systems, forms of adaptive capacity (which represent the ability of a system to respond to
313 disturbances; Adger et al. 2011; Varis et al. 2019) include the forming of farm cooperatives and
314 water boards, implementation of adaptive management practices, hydrogeological record
315 keeping to inform decision making, and the implementation of nature based solutions to promote
316 system resilience. It is also necessary to note that groundwater itself acts increasingly as an

317 adaptive capacity through its role in underpinning water and food security as surface water
318 availability becomes less reliable under climate change (Taylor et al. 2013).

319 **Dynamic processes** govern the behaviour of complex adaptive systems, which are
320 characterized by multiple possible trajectories and multiple stable states (or punctuated
321 equilibria), non-linear processes, thresholds, regime shifts, and feedback mechanisms. For
322 example, physical system dynamic processes include groundwater-surface water interactions,
323 which can vary across meter to watershed lengths and sub-hourly to seasonal time spans and
324 behave non-linearly when groundwater and surface water systems become hydrologically
325 disconnected (Brunner et al. 2011, 2017), and rainfall recharge thresholds (Baker et al. 2020).
326 Myriad dynamic processes are visible when taking a social-ecological view of groundwater-
327 connected systems, and include water insecurity thresholds of wells running (as aforementioned),
328 coastal pumping inducing saline seawater intrusion (Ferguson and Gleeson 2012), groundwater
329 depletion leading to land subsidence (Konikow and Kendy 2005), or groundwater pumping
330 leading to streamflow depletion and transgressed environmental flow needs (Gleeson and Richter
331 2018; de Graaf et al. 2019). Each of these processes is the product of feedback mechanisms
332 where social and ecological behaviour respond to the changing hydrological state (e.g. Castilla-
333 Rho et al. 2017; Poff and Zimmerman 2010).

334 Complex adaptive systems are also **radically open systems**, where system boundaries are
335 porous, with free exchange of matter, information, and energy which contribute to
336 teleconnections and telecoupling (Liu et al. 2013). We can observe this openness in
337 groundwater-connected systems in global virtual water trade networks, precipitationsheds (Keys
338 et al. 2012), the expansion of groundwater resources when considering “deep” groundwater
339 (Ferguson et al. 2021), and through open scientific practices (i.e. open-access publishing, data

340 and code). The openness of groundwater-connected systems is also accentuated by the global
341 impact of a small number groundwater depletion “hotspots”. This attribute of “spillover impacts
342 [being] more significant than direct impacts” (Meyfroidt et al. 2022) is found across other social-
343 ecological systems, and is evident in groundwater-connected systems given the state of the
344 global groundwater crisis (Famiglietti 2014) despite the majority of groundwater systems
345 globally being in non-stressed conditions (Gleeson et al. 2012).

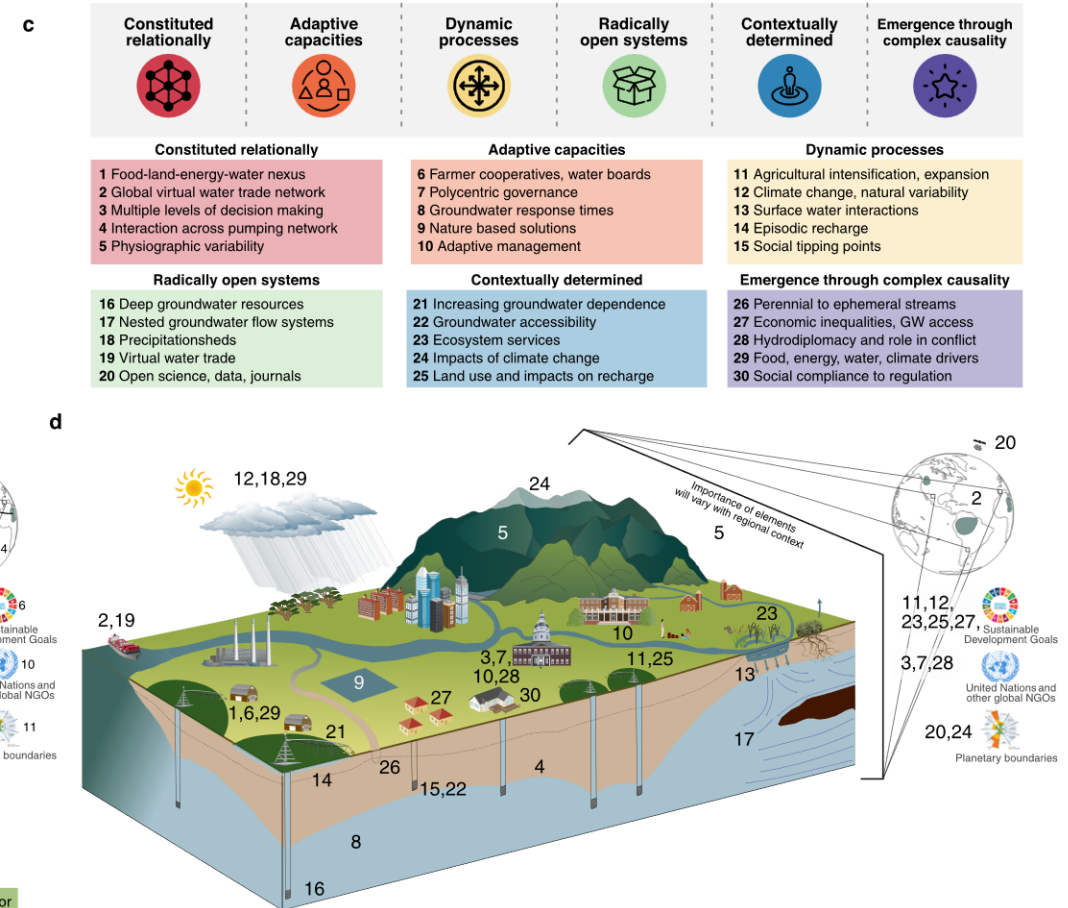
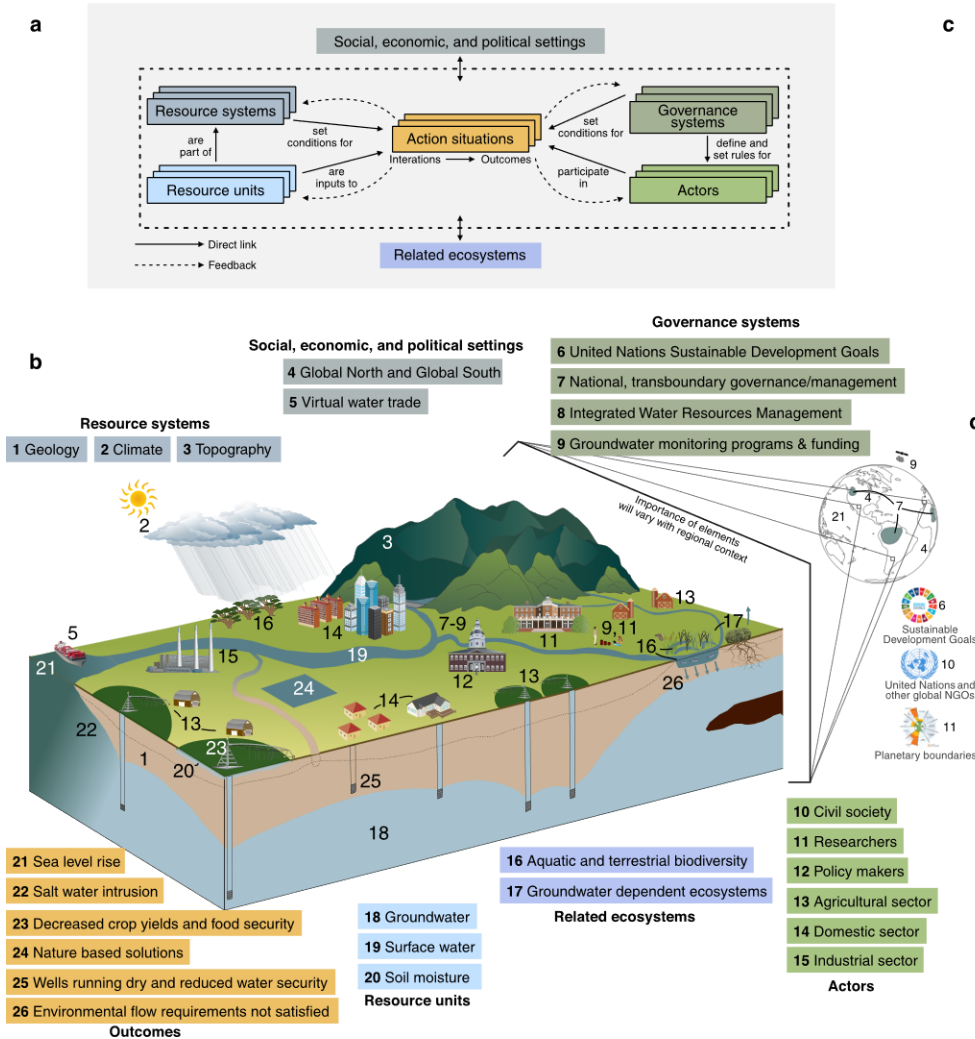
346 Behaviour of complex adaptive systems is **contextually determined**, meaning the
347 function of the system changes as the system changes itself, where components of the system
348 possess multiple context-dependent identities, and where behaviour can also exhibit path
349 dependence (i.e. is a function of both past and present system states). In groundwater-connected
350 systems, this context dependence can take the form of groundwater storage potential before and
351 after land subsidence (Konikow and Kendy 2005), variable human preferences and belief
352 systems in relation to groundwater resources (Glodzik 2017), ecological sensitivity to
353 groundwater pumping (Bierkens et al. 2021), recharge processes before and after land use
354 change (Scanlon et al. 2005), and the impact of climate change on groundwater recharge rates
355 (Döll 2009). The principle of contextual determination of complex adaptive systems underscores
356 the design principle of “no panaceas” in social-ecological systems and sustainability scholarship
357 (Ostrom 2007; Loring 2020), which directs intervention mechanisms towards locally-attuned (or
358 place-based) approaches.

359 As the final common attribute of complex adaptive systems, Preiser et al. (2018) identify
360 **emergence through complex causality**. This attribute is characterized by recursive causal
361 mechanisms, multiple pathways of causality, the possibility for various outcomes from the same
362 starting conditions (i.e. non-deterministic behaviour), and emergent properties. Thus, complex

363 adaptive system behaviour is characterized by so-called INUS causes, which are “Insufficient
364 but Necessary part[s] of a causal condition that is itself Unnecessary but Sufficient” for a specific
365 outcome (Freese and Kevern 2013). For example, for the outcome of reduced aquatic species
366 abundance (e.g. Poff and Zimmerman 2010), groundwater pumping is an insufficient but
367 necessary component of a combination of causes (e.g. the aquifer being pumped also must be
368 hydraulically connected to the stream experiencing reduced species abundance, and the resident
369 aquatic species must be sensitive to changes in the flow regime), that together are not necessary
370 (as other causes exist, such as land use change that impacts flow regimes) but are sufficient to
371 decrease aquatic species abundance. This complex causality, alongside non-deterministic and
372 emergent behaviour, complicates systems analysis as it requires greater diligence around
373 associating mechanisms with causality and demands frequent revisiting (at different points in
374 time and at different system states) of assumptions on causality within systems. In groundwater-
375 connected systems, this emergence through complex causality can take the form of multiple
376 drivers of trends of groundwater storage (e.g. Wu et al. 2020), evolution of social values of water
377 (e.g. Wei et al. 2017), and social tipping points regarding regulations in groundwater pumping
378 (Castilla-Rho et al. 2017).

379 We note that elements of common social-ecological system categories in Figure 3a-b can
380 be found across multiple complex adaptive system principles in Figure 3c-d. While the mapping
381 of groundwater-connected system elements to social-ecological system framework variables
382 reveals the diverse make-up of groundwater-connected systems, this correspondence between
383 groundwater-connected system elements to principal attributes of complex adaptive systems
384 underscores the necessity to address the groundwater sustainability problem space from a
385 complexity perspective.

386



387 **Figure 3** Groundwater-connected systems as social-ecological systems (in b), illustrated using the social-ecological systems
 388 framework (in a) redrawn from McGinnis and Ostrom (2014). Groundwater-connected systems as complex adaptive systems (in d),
 389 illustrated using the six common principles of complex adaptive systems from Preiser et al. (2018) (in c).

390 **Implications of advancing the groundwater-connected system approach to**
391 **groundwater sustainability**

392 While our conceptual broadening of the groundwater sustainability problem space represents an
393 under-voiced perspective in the literature, it simultaneously provides a foundation for a number
394 of ongoing trends in groundwater hydrology and related fields. These include increasing
395 interdisciplinarity (Barthel and Seidl 2017), a focus on social complexity and transdisciplinary
396 collaborations (Re 2021), participatory modeling (Castilla-Rho et al. 2020), advocacy models
397 (Ferré 2017) and the connected concept of multiple working hypotheses (e.g. Srinivasan et al.
398 2015), resilience frameworks (Hera-Portillo et al. 2021; Varis et al. 2019), social-ecological data
399 science (e.g. Rocha et al. 2020), transdisciplinary governance initiatives (Zwarteveen et al.
400 2021), uncovering ecological functions of groundwater, including groundwater-dependent
401 ecosystems (Kløve et al. 2011), environmental flow contributions (Gleeson and Richter 2018),
402 interaction with phreatophytic vegetation (Fan et al. 2017), and the ways ecological processes
403 impact groundwater (e.g. Hose and Stumpp 2019; Lundgren et al. 2021). Together, these
404 developments overview the growth of socio-hydrology, socio-hydrogeology, and eco-
405 hydrogeology, which can find a unifying conceptual foundation through groundwater-connected
406 systems as complex adaptive social-ecological systems.

407 One overarching implication of the groundwater-connected system perspective that spans all
408 discussion below is the way the perspective forces the scientist (such as the practicing
409 hydrogeologist, the academic, etc.) to reflect on and clarify their role in supporting sustainability
410 transformations. Given that definitions around sustainability in social-ecological systems are
411 mired in, and inextricable from subjective, normative judgements around ‘what *should* be’ (Lélé
412 and Norgaard 1996), the perception of ‘doing good science while holding no opinions’ is

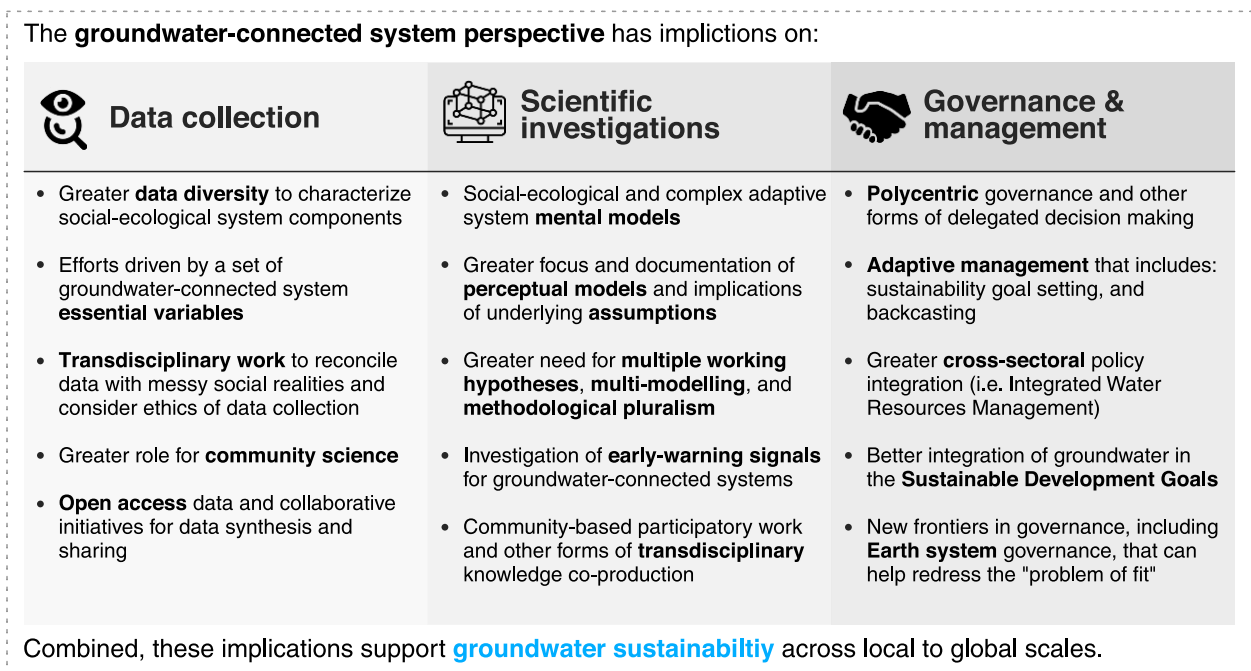
413 challenged. It matters not whether normative or subjective methodological decisions are made
414 explicit (e.g. through a statement or study designed to promote a certain scenario or
415 conceptualization) or implicit (e.g. through decisions made concerning what is studied and
416 omitted from consideration), the scientist is an actor within this social-ecological system. This
417 calls on researchers to place added attention on contextualizing their work as a product of their
418 positionality, technical expertise, and specific focus, and to shy away from claims of objectivity
419 in study outcomes.

420 The core tenet of social-ecological system research is to explicitly link human and natural
421 systems, and to study these systems together as one interconnected system. This view forefronts
422 consideration of process scale and cross-scale interactions, levels of governance, and overall
423 system resilience (Berkes et al. 2014). Schoon and Van der Leeuw (2015) describe general
424 implications of taking a social-ecological systems approach to study human-environmental
425 system interactions. Comparatively, the core premise of complex adaptive systems is that certain
426 system processes and behaviors are only visible through a systems-level perspective, and that
427 relationships, not entities, constitute the elementary unit of analysis in complex adaptive systems
428 (Preiser et al. 2018). These generic implications include the consideration of social and
429 biophysical systems as a coupled, interconnected system, a need for inter- and transdisciplinary
430 research methods, and a shift away from equilibrium-based assumptions and towards a growing
431 focus on emergent, dynamic system processes and behavior. All of these themes are interwoven
432 through our below discussion on implications of this perspective on data collection, science,
433 governance, and management.

434 By centering relationships between groundwater and social, ecological, and Earth
435 systems, the groundwater-connected system perspective implicitly prioritizes the resilience of

436 these relationships such that the core functions of groundwater are retained. Simply, it is this
 437 focus on relationships and their complex behavior that is the basis for our discussion on data,
 438 science, governance, and management implications.

439 As most (but not all) scientific investigations and models are based on underlying data,
 440 we begin this discussion by focussing on the data collection implications of our argued
 441 perspective. However, we note the interdependency and iterative relationship between
 442 conceptual models of systems (i.e. a fundamental aspect of the scientific process) and decisions
 443 on what data to collect (and the scientific feasibility of collecting this data) render the two
 444 processes inseparable to a certain degree (cf. Clark et al. 2016).



445 **Figure 4** Implications of the groundwater-connected systems perspective on data collection,
 446 scientific investigations, governance, and management approaches.

447 *Implications on data collection*

448 Under the groundwater-connected systems perspective demands on data increase in a number of
 449 ways. First, there inherently becomes a requirement for more diverse data to be collected to

450 characterize and monitor larger, more diverse, and complex systems. For example, the pertinent
451 data space no longer pertains solely to hydrogeological processes (such as aquifer properties and
452 water table observations) and expands to include measures of groundwater-dependent
453 ecosystems, aquatic ecological responses to changes in groundwater discharge, well depths (not
454 only to inform withdrawal assessments but also to understand the social risks of wells running
455 dry), governance and socio-economic dimensions (e.g. social norms, drivers of groundwater user
456 behaviors, sex disaggregated data, and the effectiveness of rules). The data-information-
457 knowledge-wisdom pyramid (Rowley 2007) illustrates the foundational role data plays in
458 generating understanding, yet little of the multi-dimensional data space that characterizes
459 groundwater-connected systems is collected. This desired multi-dimensional data space is
460 already being populated in related fields (e.g. see the review on socio-hydrology datasets by
461 Lindersson et al. 2020), however groundwater research lags behind this standard.

462 One way to advance and consolidate more diverse data collection efforts can be to adopt
463 the concept of “essential variables” as pursued in climate, biodiversity, ocean, and, more
464 recently, ecosystem services literatures (Balvanera et al. 2022). Developing a standardized set of
465 essential groundwater-connected system variables could be used to guide monitoring programs at
466 the scale of specific aquifers or jurisdictions which would simultaneously allow for data
467 aggregation and synthesis efforts between regions and at larger scales. For example, the extent of
468 groundwater-dependent ecosystems, water table depths in relation to well depths and rooting
469 depths, spatial inequalities of water accessibility within jurisdictions, virtual water flows,
470 relationships between groundwater and surface water hydrographs, biodiversity indicators to
471 represent aquatic ecosystem health, and surveys of social values in relation to groundwater could
472 each constitute as essential variables to track groundwater-connected systems.

473 Scale is a fundamental consideration of social-ecological system analysis (Figure 2), as
474 empirical study outcomes are conditional to their defined temporal and spatial scales and local-
475 scale studies cannot be simply aggregated to explain large-scale phenomena as larger-scale
476 processes (i.e. large scale processes are often a non-linear consequence of smaller-scale
477 processes). More comprehensive and synchronized data collection efforts would prove valuable
478 to understanding complex cross-scale interactions. Yet, collecting such data presents practical
479 challenges regarding the capacity to analyze and extract knowledge from such information.

480 Expanding data collection is particularly challenging for governance systems, which can
481 include pluralities of networked public, private and non-government actors, norms, regimes,
482 decision making and operational rules (McGinnis and Ostrom 2014; Villholth et al. 2018). These
483 and other governance variables are often constituted by scale, geography and history, yet are
484 continually evolving and contested (McGinnis and Ostrom 2014; Short 2021; Allison et al.
485 2021). Indicators and data on such “messy” social and political realities are improving, yet
486 determining who governs and how they govern remain issues that require careful exploration
487 through empirical and context specific research (see e.g. Holley and Shearing 2017; Mair et al.
488 2018). While governance scholars have begun to identify methodological and conceptual
489 approaches to guide these efforts (Shearing and Johnston 2003; Curtis et al. 2016; McGinnis and
490 Ostrom 2014), they continue to be debated and are rarely determined easily (Holley and Shearing
491 2017). For instance, the nation-scale worldwide governance indicators initiative has been
492 scrutinized for its ability to faithfully represent core, independent dimensions of governance (see
493 Langbein and Knack 2010; Thomas 2010). While these criticisms are currently not part of the
494 groundwater sustainability dialogue, they are necessary when considering the social-ecological
495 resilience of a groundwater-connected system (cf. Varis et al. 2019).

496 One opportunity to address data deficiencies is to embrace the potential of community or
497 citizen science (Buytaert et al. 2014), particularly where groundwater-connected systems are
498 undergoing rapid change. There is widespread evidence of the role community science can play
499 in not only filling observation deficiencies but in increasing social awareness around change in
500 human-environmental systems (Kimura and Kinchy 2016). Community science and the related
501 approach of community-based participatory research are discussed more in the sub-section
502 *Implications on scientific investigations.*

503 This call for greater, more diverse data collection requires careful consideration to what
504 data is not only practical but ethical to obtain. Zipper et al. (2019) provide guidance in navigating
505 the open science-data privacy dilemma in socio-hydrology. In transdisciplinary collaborations,
506 particularly those that work across knowledge systems (e.g. between western science and
507 Indigenous knowledge systems) greater care and diligence is needed when collecting and
508 handling data.

509 Yet, data-driven evidence underscores the ability to make generalized knowledge claims
510 (Magliocca et al. 2018) and to adequately establish necessary and/or sufficient conditions in
511 complex causal mechanisms. Thus, there is not only a need to accelerate more comprehensive
512 data collection efforts that span the groundwater-connected system problem space but to also
513 synthesize such efforts via open access initiatives. Such efforts are already being pursued across
514 other social-ecological system problem domains, such as the Nature Map Earth
515 (<https://naturemap.earth/>), which can offer a template for the groundwater sustainability research
516 community to follow. Such databases could be very powerful to use in conjunction with the
517 existing social-ecological system regime shift databases that exist (e.g. the Regime Shifts
518 Database (Biggs et al. 2018), the Social-Ecological Systems Meta-Analysis Database, (SES MAD

519 2014), and the Thresholds Database (Resilience Alliance and Santa Fe Institute 2004). One
520 example integration of these data resources could be to compare social-ecological system data to
521 groundwater-related regime shifts in the aforementioned databases to identify conditions that
522 promote or hinder regime shifts within groundwater-connected systems.

523 Implications on scientific investigations

524 An implicit but profound implication the groundwater-connected system perspective imparts on
525 scientific investigations is the re-constituting of traditional mental models underpinning research.
526 This rethinking of theoretical foundations is a core implication of the social-ecological system
527 perspective, which has permeated other social-ecological domains (Schoon and Van der Leeuw
528 2015), but has yet to be adopted in groundwater research. Conceptualizing the groundwater
529 sustainability problem space through the lens of groundwater-connected systems reframes issues,
530 fundamentally, as interdisciplinary, complex system challenges (as outlined in Figure 1).

531 There is a wide and rich literature on complex adaptive social-ecological system
532 approaches to confronting human-environmental sustainability challenges (Biggs et al. 2021).
533 The most natural entry point into this field is through common frameworks and models of this
534 literature. For example, a foundational model to resilience thinking in complex social-ecological
535 systems is the ball and basin model (Walker and Salt 2006). The ball and basin model depicts the
536 state of the system based on the location of the ball and the “topography” as all possible sets of
537 system variables (i.e. the state space), with the number of “basins” representing alternative stable
538 system states. The ball and basin model thus visualizes alternative system stable states (i.e.
539 individual ‘basins’), thresholds, and system resilience to perturbations (i.e. through the ‘depth of
540 basins’). Myriad other frameworks and models exist to study social-ecological systems, such as
541 the panarchy framework to study ‘slow’ and ‘fast’ change in social-ecological systems,

542 ecosystem services and cultural ecosystem services frameworks (e.g. Millenium Ecosystem
543 Assessment 2005; Chan et al. 2012), various vulnerability frameworks (e.g. Turner et al. 2003),
544 and many more. For a review of common frameworks to study social-ecological systems, see
545 Binder et al. (2013).

546 These models and frameworks can subsequently influence the development of conceptual
547 models that underpin groundwater sustainability studies, including process-based models (Clark
548 et al. 2016). The inherent diversity of approaches to groundwater-connected system challenges
549 requires greater focus be placed on documenting these new conceptual models. Doing so not
550 only aids identification of the merits and focus of a given model, but explicitly highlights the
551 processes considered and omitted from representation, their limitations, and the uncertainties
552 they introduce (Wagener et al. 2021). Such documentation places greater emphasis on
553 identifying, clarifying, justifying, and confronting core assumptions of analysis. In complex
554 adaptive social-ecological systems, studies focussing exclusively on physical, ecological, or
555 social system components (or combinations thereof) will require greater clarification of
556 boundary conditions, system definitions, and the implications of these methodological decisions.
557 This added rigor to documenting underlying model assumptions is not to be viewed as a purely
558 academic exercise, and rather aids in placing each work in a broader, holistic groundwater-
559 connected system context.

560 This focus on uncovering assumptions is consistent with recent calls in the groundwater
561 modeling literature (i.e. “assumption hunting” in Peeters 2017) but now extends across a wider,
562 interdisciplinary domain. While proposing a systematic protocol to document models of
563 groundwater-connected systems is beyond the scope of this work, a prominent example to
564 consider as a baseline could be the ODD protocol used for documenting agent-based models

565 (Grimm et al. 2020). Such a protocol, should it be developed, would be useful in guiding the
566 documentation of groundwater-connected system studies.

567 Greater documentation of conceptual models in groundwater sustainability research will
568 facilitate comparison between models and the easier identification of methodological and
569 conceptual gaps in existing studies. Such comparisons need not be viewed in a confrontational
570 light (i.e. pitting model vs. model), but rather can be used as a tool to develop multiple working
571 hypotheses on the behavior of complex systems.

572 To address prediction uncertainty given stark structural differences between hydrological
573 models, the method of multiple working hypotheses via an ensemble-of-models approach has
574 already been advocated for and applied in the hydrological modeling community (Clark et al.
575 2011). Moving towards ‘multi-modeling’ has also been argued for in groundwater modeling
576 (Ferré 2017; MacMillan 2017). The groundwater-connected systems perspective likewise
577 supports the implementation of multiple working hypotheses where an ensemble of alternative
578 plausible models, each of which individually cannot provide a single true answer (Castilla-Rho et
579 al. 2020), can be compared for a given groundwater issue in order to derive actionable
580 conclusions.

581 As argued by Page (2018), this many-model paradigm can lead to wiser choices, more
582 accurate predictions, better constrained uncertainty, and more robust designs. Ensemble-of-
583 models approaches should be pursued for topics concerning complex groundwater-connected
584 systems, which are characterized by less process understanding and greater uncertainty relative
585 to groundwater hydrology. Multi-modelling does not need to take any particular form, and can be
586 used to integrate methodologically pluralistic studies to identify common outcomes and areas of
587 convergence and divergence. Furthermore, multi-modeling as a practice better reflects the

588 multiple partial perspectives that characterize sustainability discourses, where a sustainability-
589 related challenge does not possess a single optimal solution but rather a multiplicity of partial
590 solutions that require reconciling. Examples of this methodological pluralism in the
591 groundwater-connected system modeling space include system dynamics, agent-based
592 simulation, or a traditional groundwater model—each with its own strengths and blind spots. We
593 overview these among other method alternatives, briefly, below.

594 Agent-based models are generative social models that are coupled to an environment
595 (Epstein and Axtell 1996) and are a perfect opportunity for exploratory and scenario-based
596 modeling in groundwater-connected systems (Zellner 2008; Castilla-Rho et al. 2015). Agent-
597 based models diverge from equilibrium-based systems analysis by simulating agent behavior
598 through a set of agent-agent and agent-environment interactions that can better represent the
599 bounded rationality of agent behavior and produce emergent, complex behavior outcomes.
600 Agent-based models represent a practical and pragmatic way to build artificial laboratories to
601 safely experiment on and design human-groundwater systems, though challenges remain in
602 representing more-complex hydrogeological processes and have yet to be coupled with any form
603 of ecological consideration. The overarching challenge of groundwater agent-based models, and
604 to groundwater-connected system modeling in general is “finding the complexity that matters”
605 (Castilla-Rho 2017). Interdisciplinary work between groundwater hydrologists and social
606 scientists, and transdisciplinary collaborations with stakeholders can aid in locating this balance.

607 Other analytical approaches that are available to advance understanding of groundwater-
608 connected systems include systems dynamics modeling (Mashaly and Fernald 2020) and
609 interdisciplinary data science models. Systems dynamics models help develop understanding of
610 relationships between diverse systems components and the behavior and outcomes their

611 interactions produce, and can aid in pin-pointing locations within systems where specific process
612 knowledge is lacking to target for further investigation.

613 Data driven analysis of complex adaptive systems has produced generic principles to
614 identify early-warning signals for threshold transgressions (Scheffer et al. 2009). These early
615 warning signals include critical system “slowing down” and “flickering”, and prelude a system
616 moving from one stable state into another. Though these generic principles have been applied to
617 other complex human-environmental systems (e.g. Bauch et al. 2016), such investigations have
618 yet to be applied to groundwater-connected systems.

619 Alternatively, interdisciplinary data science is a methodological approach that has
620 emerged over the last decade across the social-ecological system literature to operationalize
621 social-ecological system concepts through spatially-explicit indicator development (e.g. Leslie et
622 al. 2015; Williamson et al. 2018; Rocha et al. 2020). Such studies are useful in identifying
623 macro-level conditions that characterize a social-ecological system which can dictate how or
624 what intervention mechanisms are pursued, can act as an aggregate indicator of the state of a
625 social-ecological system with respect to certain sustainability-related policy goals, and can help
626 identify relationships between social and ecological system states. This form of analysis can be
627 particularly useful for groundwater sustainability transformations. For instance, taking the
628 example of managed aquifer recharge, one could ask: what are the macro-scale social, economic,
629 governance, political, and hydrogeological conditions that are most common in successful
630 implementations of managed aquifer recharge projects, and what conditions typically
631 characterize ineffective managed aquifer recharge initiatives?

632 Such multi-model analysis and methodological pluralism is crucial to embedding a core
633 tenet of social-ecological system-informed sustainability science in the groundwater

634 sustainability discourse: that there are no panaceas (Loring 2020) and that solutions must be
635 attuned to local hydrogeological, ecological, economic, and cultural conditions.

636 The groundwater-connected systems perspective also creates space for greater adoption
637 of community-based participatory research that enables knowledge co-production in
638 transdisciplinary settings. Such knowledge co-production, that can involve participatory
639 modeling approaches (Castilla-Rho et al. 2020), can facilitate the integration of multiple
640 knowledge bases and can help ensure that research better reflects local stakeholder values and
641 relationships with respect to groundwater. Simultaneously, such transdisciplinary work can
642 strengthen scientific practice and output by canvassing a larger evidence base to inform studies
643 (Tengö et al. 2014).

644 *Implications on governance and management*

645 Although a full discussion on the implications of groundwater-connected systems perspective on
646 the linked but discrete domains of groundwater governance and management is outside of the
647 scope of this paper, we include a brief discussion here as to not indicate there are less
648 implications on these components of groundwater sustainability.

649 Shifting from resource-centric thinking to a complex adaptive social-ecological systems
650 approach can avoid traditional tendencies of disconnecting groundwater resources from their
651 social context. In doing so, it rejects the types of simplistic and uniform thinking that has led to
652 failed top-down, technical and one-size-fits-all governance designs (Closas and Villholth 2020).
653 Instead, the social ecological systems lens recognises integrated and connected governance
654 systems as social and political phenomena (Closas and Villholth 2020). In this way, it unlocks
655 opportunities for more tailored and orchestrated polycentric governance solutions that, under the

656 right conditions, can support more democratic, sustainable, and resilient outcomes (McGinnis
657 2016).

658 Building on participatory modeling opportunities discussed above, and the natural
659 orientation of the groundwater-connected system perspective against equilibrium-based system
660 approaches, the groundwater-connected system perspective, as we articulate it, supports the
661 linking of adaptive management and participatory modeling processes (Crevier and Parrott
662 2019). Such adaptive management approaches need to be underpinned by sustainability goal
663 setting and backcasting (Gleeson et al. 2012). Sustainability goals in groundwater-connected
664 systems can be informed by multi-objective initiatives such as the Sustainable Development
665 Goals or by downscaling other quantitative sustainability initiatives, such as the planetary
666 boundaries (Zipper et al. 2020).

667 Groundwater is notably underrepresented in the Sustainable Development Goals
668 (Gleeson et al. 2020), yet it is connected to nearly half of Sustainable Development Goal targets
669 (Guppy et al. 2018). Similarly, a biosphere based conceptualization of the Sustainable
670 Development Goals locates freshwater as foundational to the initiative (Folke et al. 2016). A
671 groundwater-connected systems perspective supports the consideration and thus inclusion of
672 groundwater in such interdisciplinary, multi-objective initiatives and helps confront the
673 “overlooked” and “invisible” history of groundwater.

674 The integrated nature of this groundwater-connected system perspective lends towards
675 greater representation of integrated, cross-sectoral policy development, which can further
676 substantiate the integrated water resources management (IWRM) and food-energy-water nexus
677 management paradigms (Scanlon et al. 2017; Agarwal 2000). The multi-scale nature of the
678 groundwater-connected system perspective can also facilitate integration of cross-scale

679 interactions that are needed to respond to burgeoning understandings of Earth systems and their
680 governance (Kotzé et al. 2022). One example of this is found in integrating the planetary
681 boundary framework across global to local scales (Zipper et al. 2020). Such cross-scalar
682 management approaches are necessary as scale mismatches often exist between human action
683 and environmental systems in space, time, and/or function.

684 Often called ‘the problem of fit’ or ‘scale mismatch’, management practices occur at
685 usually local and regional levels which do not match those of biophysical systems which are not
686 constrained to political boundaries or human time frames (Cumming et al. 2006; Epstein et al.
687 2015). A multi-scalar social-ecological system perspective may prove beneficial in beginning to
688 redress the problem of fit for groundwater-connected systems in contrast to dominant
689 management paradigms that predominantly focus on individual scales, do not consider cross-
690 scale interactions, and largely are absent or in initial management stages across most of the world
691 (Villholth and Conti 2018).

692 **Conclusion**

693 In closing, we return the premise of Schwartz’ *Groundwater* editorial “Zombie-Science and
694 Beyond” (2013). Our ambition for this paper is to provide one possible theoretical foundation
695 and methodological roadmap for embracing complexity and interdisciplinarity in groundwater
696 research. Ney and Verweij (2015) argue that ‘wicked’ problems are addressed through ‘clumsy’
697 solutions. The groundwater-connected systems perspective we have outlined embraces the
698 ‘wickedness’ of the global groundwater crisis and equips groundwater sustainability scientists
699 with the tools to explore ‘clumsy’ solutions.

700 Yet, the groundwater-connected system perspective we articulate does not provide
701 explicit solutions to address the groundwater crisis, and we believe no such framing could.
702 Rather, in our view, the paradigms, frameworks, models, and methods introduced or amplified
703 by the perspective can act as more capable *tools* to develop locally-attuned interventions and
704 solutions.

705 We see the groundwater-connected systems perspective as providing a form of
706 “connective tissue” to enable greater interdisciplinary and transdisciplinary collaborations and
707 put data, science, governance, and management on track to better affect sustainable groundwater
708 futures. We envision the groundwater sustainability literature as becoming more complex yet
709 also more nuanced, more deeply connected to underlying sustainability and complexity science
710 foundations, and ultimately more effective. Bright spots exist for sustainable groundwater
711 transformations (e.g. Zwarteveen et al. 2021; Gleeson 2020), and we believe embracing the
712 groundwater-connected systems perspective will generate more.

713 **Author contributions**

714 X.H. conceived the issue paper with advice from T.G., J.C.R., and J.S.F.

715 X.H. produced all figures, with input on Figure 1 from: T.G., J.C.R., and J.S.F., Figure 2 from:

716 J.C.R., Figure 3 from: T.G. and J.C.R., and Figure 4 from: T.G., J.C.R., V.R., and C.H.

717 X.H. lead writing, and all co-authors (T.G., J.C.R., C.H., V.R., and J.S.F.) edited and discussed

718 the manuscript at multiple stages.

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