

Expansion and intensification of the North American Monsoon during the Pliocene

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10 **Key Points:**

- 11 • Leaf wax hydrogen isotopes preserved in ocean sediments reveal evidence of a stronger
12 mid-Pliocene monsoon in southwestern North America
- 13 • Isotope-enabled simulations show that a stronger monsoon resulted from an amplified
14 east Pacific subtropical-tropical temperature gradient
- 15 • This mechanism is relevant to understanding present-day monsoon variability in response
16 to California margin marine heat waves

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Abstract

17 Southwestern North America, like many subtropical regions, is predicted to become drier in
18 response to anthropogenic warming. However, during the Pliocene, when carbon dioxide was
19 above pre-industrial levels, multiple lines of evidence suggest that southwestern North Amer-
20 ica was much wetter. While existing explanations for a wet Pliocene invoke increases in win-
21 ter rain, recent modeling studies hypothesize that summer rain may have also played an im-
22 portant role. Here, we present the first direct evidence for an intensified Pliocene monsoon in
23 southwestern North America using leaf wax hydrogen isotopes. These new records provide
24 evidence that the Pliocene featured an intensified and expanded North American Monsoon. Us-
25 ing proxies and isotope-enabled model simulations, we show that monsoon intensification is
26 linked to amplified warming on the southern California margin relative to the tropical Pacific.
27 This mechanism has clear relevance for understanding present-day monsoon variations, since
28 we show that intervals of amplified subtropical warming on the California margin, as are seen
29 during modern California margin heat waves, are associated with a stronger monsoon. Since
30 marine heat waves are predicted to increase in frequency, the future may bring intervals of ‘Pliocene-
31 like’ rainfall that co-exist with intensifying megadrought in southwestern North America, with
32 implications for ecosystems, human infrastructure, and water resources.
33

Plain Language Summary

34
35 The middle Pliocene, an interval approximately 3 million years ago, has long puzzled
36 climate scientists. Despite having higher-than-preindustrial carbon dioxide levels, which should
37 result in drier conditions in subtropical regions, some subtropical regions were wet during the
38 Pliocene. In southwestern North America, there were large permanent lakes and plant and an-
39 imal species that cannot exist in arid regions. We used measurements of hydrogen isotopes
40 in ancient plant matter to show that wet conditions in the Pliocene southwest resulted from
41 a stronger monsoon. This stronger monsoon was caused by changes in subtropical and trop-
42 ical ocean temperatures in the eastern Pacific. This study presents the first direct evidence that
43 monsoon changes caused wet conditions in the middle Pliocene. It also has relevance for the
44 present, since we find evidence that present-day changes in subtropical ocean temperatures can
45 amplify the monsoon, via a mechanism that strongly resembles what happened in the Pliocene.
46 Our study suggests that further studies of the Pliocene can shed light on how future monsoon
47 changes may influence wildfire, landscapes, and water resources across the southwest.

48 **Introduction**

49 Multiple lines of evidence suggest that southwestern North America (SWNA), like many
50 subtropical continents, was much wetter during the Pliocene epoch, a climate interval featur-
51 ing reduced ice volume and CO₂ concentrations above preindustrial levels (Figure 1). Sedi-
52 mentological data documents widespread perennial and ephemeral lakes in southern Califor-
53 nia and Arizona (M. Pound et al., 2014; Ibarra et al., 2018) (Figure 1), and palynological and
54 macrobotanical evidence from southern California suggests expanded tree cover and the pres-
55 ence of species that today only grow in regions with mesic conditions and summer rainfall (Remeika
56 et al., 1988; Ballog & Malloy, 1981). Faunal remains from Baja California contain *Crocody-*
57 *lus* spp. fossils, which require freshwater habitats, further suggesting increased water resources
58 in regions that are arid at present (Salzmann et al., 2009; Miller, 1980). At face value, this ev-
59 idence for a wet Pliocene is at odds with the theoretical and model-derived prediction that re-
60 gions like SWNA, and subtropical continents more broadly, will continue to dry in coming cen-
61 turies as a result of elevated greenhouse gases (Byrne & O’Gorman, 2015; Seager et al., 2010).

62 Two dominant hypotheses have been proposed to explain the evidence for wet condi-
63 tions in SWNA during the Pliocene. On a global scale, a dramatically weaker meridional sea
64 surface temperature (SST) gradient could have weakened mean atmospheric circulation and
65 reduced subtropical moisture divergence (Burls & Fedorov, 2017; A. V. Fedorov et al., 2015).
66 However, current proxy-based estimates of SST patterns suggest that reductions in Pliocene
67 meridional gradients were modest (Tierney et al., 2019). Another possibility is that a weaker
68 Pacific Walker circulation shifted winter storm tracks, bringing increased moisture to SWNA,
69 similar to what occurs during El Niño events today (Ibarra et al., 2018; Molnar & Cane, 2002).
70 However, this hypothesis would require almost two-fold increases in winter rainfall to explain
71 Pliocene lake distributions, and cannot explain the presence of tree species like *Castanea* and
72 *Carya* or the expansion of Sonoran desert flora, which are interpreted as indicators of sum-
73 mer rainfall (Ibarra et al., 2018; Ballog & Malloy, 1981; Axelrod, 1948). At face value, these
74 qualitative data suggest a role for the North American Monsoon (NAM), which is the primary
75 source of summer rainfall in the SWNA and maintains the floristically diverse ecosystems of
76 the Sonoran Desert (Cook & Seager, 2013). Today, the NAM is restricted to southern Arizona,
77 New Mexico and northwestern Mexico along the eastern side of the Gulf of California.

78 A recent modeling study found that warm coastal temperatures on the California mar-
79 gin, similar to those observed in Pliocene proxy records, results in an expansion of summer

80 rainfall across SWNA (Fu et al., 2022). In these simulations, precipitation rates associated with
81 a stronger monsoon exceed evaporation. If these changes are realistic, they suggest that mon-
82 soon changes alone could explain the mesic vegetation and high lake levels found in the Pliocene.
83 However, the hypothesis that the NAM was stronger during the Pliocene, a greenhouse climate
84 interval, is at odds with some model predictions of how the NAM responds to higher atmo-
85 spheric greenhouse gases (Pascale et al., 2017).

86 While current-generation models do not show consensus about the response of the NAM
87 to contemporary warming (Maloney et al., 2014; Meyer & Jin, 2017; Cook & Seager, 2013;
88 Almazroui et al., 2021; Moon & Ha, 2020), a recent high-resolution modeling study with a
89 bias-corrected SST field suggests that the NAM will weaken in response to 21st century warm-
90 ing. In this simulation, the NAM strength responds to the *relative* warming of SST in the sub-
91 tropical eastern Pacific (e.g. southern California Margin) (Pascale et al., 2017). Specifically,
92 because the southern California margin warms at a slower rate than the tropical eastern equa-
93 torial Pacific (EEP), SWNA experiences stronger descending motion and greater atmospheric
94 stability, reducing NAM convection. This strong sensitivity to SST gradients distinguishes the
95 NAM from other monsoons, which respond more strongly to direct CO₂ forcing. This con-
96 ceptual model, similar to the ‘warmer-get-wetter’ paradigm (Xie et al., 2010), suggests that
97 past and future NAM strength depends less on the *absolute* magnitude of warming on the south-
98 ern California Margin, as suggested by (Fu et al., 2022), but instead depends on the *gradient*
99 of temperature between the subtropical eastern Pacific and the tropical eastern Pacific.

100 In light of this uncertainty, continuous Plio-Pleistocene, summer rainfall-sensitive proxy
101 records are invaluable for identifying the mechanisms that control long-term changes in NAM
102 rainfall. However, much of our existing proxy evidence from the Pliocene consists of non-continuous
103 snapshots of Pliocene climate, or proxies that can only be interpreted in a purely qualitative
104 framework. Here, we remedy this by presenting the first continuous Plio-Pleistocene records
105 of NAM region hydroclimate, based on leaf wax biomarkers in two marine sediment cores.
106 DSDP 475 is located near the tip of southern Baja California, immediately west of the core
107 modern NAM domain. Our second site, ODP 1012 is on the CA margin, northwest of the NAM
108 domain in the present climatology, and receives virtually no monsoon rainfall today. Together,
109 these sites are especially sensitive to hydroclimate changes in the core monsoon domain (DSDP
110 475), as well as any potential expansion of the monsoon into peripheral zones (ODP 1012).
111 Previous work has shown that the hydrogen isotopic signature of terrestrial plant epicuticu-
112 lar waxes (δD_{wax}) reflects the δD signature of precipitation (δD_p) across a range of ecosys-

113 tem types (Sachse et al., 2012), and in SWNA, leaf wax δD is strongly sensitive to the rel-
114 ative contribution of monsoonal deep convection to annual rainfall totals (Bhattacharya et al.,
115 2018). This proxy is therefore well-suited for investigating whether summer rainfall played
116 a role in driving Plio-Pleistocene hydroclimatic change. Carbon isotopes provide complemen-
117 tary information by recording shifts in ecosystem composition that influence the magnitude
118 of the offsets between δD_{wax} and δD_p (Figure S1). Our approach therefore allows us to iden-
119 tify changes in monsoon strength and spatial extent over the Plio-Pleistocene.

120 **Materials and Methods**

121 **Site Background**

122 DSDP 475 (23.03°N, 109.03°W) is located within the Gulf of California near the south-
123 eastern edge of the peninsula of Baja California (Figure 1). Today, the site sits on a passive
124 continental margin at a water depth of 2631 meters (Curry et al., 1982). This region of Baja
125 California experiences northwesterly wind stress in winter and spring (Zaytsev et al., 2003).
126 In summer, the region around DSDP 475 is influenced by northward advection of waters from
127 the eastern Pacific warm pool (Zaytsev et al., 2003; Durazo & Baumgartner, 2002). The Plio-
128 Pleistocene portion of the core from DSDP 475 is predominantly composed of hemipelagic
129 muds, transitioning to diatomaceous muds in the mid- to early-Pliocene section, showing ev-
130 idence of a consistent marine setting for this site from the early Pliocene through the Pleis-
131 tocene (Curry et al., 1982). Age control primarily comes from biostratigraphic tiepoints (Brennan
132 et al., accepted).

133 ODP 1012 (32.3°N, 118.4°W) is located on the California Margin, in the east Cortes Basin,
134 with a water depth of 1772 m. This site experiences northwesterly wind stress year round. The
135 sedimentary section consists of interbedded silty clay, nannofossil mixed sediment, nannofos-
136 sil ooze (Ostertag-Henning & Stax, 2000). We sample from primarily from core 1012A, with
137 supplementary samples from 1012B in the late Pleistocene, to generate composite Plio-Pleistocene
138 record of climate change. The age model, previously published in (J. LaRiviere, 2007), is based
139 on $\delta^{18}O$ of benthic foraminifera until approximately 1.8 Ma, and thereafter based on biostrati-
140 graphic tiepoints (T. Herbert et al., 2001).

141 Leaf Wax Extraction and Measurement

142 Approximately 100 samples were processed from each of DSDP 475 and ODP 1012,
 143 so that the average time interval between samples was 40 kyr for DSDP 475 and 30 kyr for
 144 ODP 1012. Leaf waxes were processed via standard protocols involving extraction of the to-
 145 tal lipid extract (TLE) and purification via column chromatography (see Text S1 for more de-
 146 tails). Following previous work in the region, we focus our analyses on the C₃₀ fatty acid, which
 147 exclusively derives from terrestrial plants (Bhattacharya et al., 2018).

148 Concentrations of C₃₀ FAMES, fatty acid methyl esters, were determined using a Trace
 149 1310 GC-FID, and their hydrogen and carbon isotopic composition were measured via gas chromatography-
 150 pyrolysis-isotope ratio mass spectrometry (GC-IR-MS) using a Thermo Delta V Plus mass spec-
 151 trometer coupled to a Trace 1310 GC-FID. H₂ and CO₂ gases calibrated to an *n*-alkane stan-
 152 dard (A7 mix provided by Arndt Schimmelmann at Indiana University) provided references
 153 for each analysis. An internal isotopic standard consisting of a synthetic mix of FAMES was
 154 analyzed every 5-7 samples to monitor drift. Samples were run in triplicate for δD to obtain
 155 a precision better than 2‰ (1 σ), and in duplicate or triplicate for $\delta^{13}C$ to obtain a precision
 156 better than 0.2‰ (1 σ). Further details on analytical methods are available in Text S1.

157 Inference of Precipitation δD

158 We leverage paired measurements of carbon and hydrogen isotopes of the C₃₀ fatty acid
 159 (hereafter, referred to as $\delta^{13}C_{wax}$ δD_{wax}) to infer the hydrogen isotopic signature of precip-
 160 itation, or δD_p . δD_{wax} values are offset from the hydrogen isotopic signature of the environ-
 161 mental waters, assumed to be the isotopic value of mean annual precipitation or δD_p . This ap-
 162 parent fractionation or ε_{p-w} varies across plant clades. Graminoids (e.g. grasses) tend to have
 163 a larger ε_{p-w} , or are more depleted relative to δD_p , than eudicots (Gao et al., 2014) (see Text
 164 S1). Following previous work, we use $\delta^{13}C_{wax}$ and a Bayesian mixing model (Tierney et al.,
 165 2017) to infer the proportion of waxes that come from C₄ grasses in each sample. End-member
 166 constraints on C₄ grasses and C₃ eudicots come from modern plant waxes measured at the Arizona-
 167 Sonora Desert Museum in Tucson, AZ (Text S1). We then use the proportion of inferred C₄
 168 vegetation to determine the appropriate ε_{p-w} to apply to a given sample. Constraints on ε_{p-w}
 169 are obtained from δD_{wax} measured on the Arizona-Sonora Desert Museum modern plants. Fi-
 170 nally, our weighted value of ε_{p-w} is used to infer δD_p (see Text S1). Because all calculations
 171 are performed in a Bayesian framework, uncertainties are propagated through all steps of the

172 calculation. This approach has been extensively used to study paleohydrological signals in leaf
173 waxes (Tierney et al., 2017; Windler et al., 2021), including within the NAM domain (Bhattacharya
174 et al., 2018).

175 **SST Compilation**

176 To identify relationships between leaf wax-inferred δD_p and Plio-Pleistocene changes
177 in large-scale circulation over the north Pacific, we compiled available continuous alkenone-
178 based records of Plio-Pleistocene temperatures from the northeast Pacific. Records were cal-
179 ibrated using BAYSPLINE, a Bayesian calibration that accounts for the attenuation of the re-
180 lationship between the alkenone unsaturation index and temperature at warmer temperatures
181 (Tierney & Tingley, 2018).

182 We calculate three indices of Plio-Pleistocene SSTs. First, we calculate average eastern
183 equatorial Pacific SST by taking the mean SST anomaly of sites in the eastern equatorial Pa-
184 cific including sites IODP U1337, ODP 847, ODP 846, and ODP 1239 (Liu et al., 2019; Dekens
185 et al., 2007; Seki et al., 2012; Rousselle et al., 2013; Shaari et al., 2013; Etourneau et al., 2010;
186 T. D. Herbert et al., 2016; Lawrence et al., 2006). This excludes extremely low resolution records
187 or records that do not extend to 3.6 Ma (e.g. IODP U1338). Next, we calculate average south-
188 ern California margin SSTs by taking the average anomaly at sites ODP 1012, ODP 1014, and
189 ODP 1010 (J. P. LaRiviere et al., 2012; J. LaRiviere, 2007; Brierley et al., 2009b; Dekens et
190 al., 2007), also excluding sites with alkenone records that do not extend to 3.6 Ma (e.g. site
191 475). Finally, we calculate an index of the subtropical/tropical gradient in the eastern Pacific
192 by subtracting tropical eastern equatorial Pacific SSTs from subtropical southern California
193 Margin SST. This index helps quantify the *relative* warmth on the southern California mar-
194 gin, and is between -7 and -8 in the present-day climatology.

195 **Isotope-Enabled Model Simulations**

196 To investigate the drivers of SWNA δD_p changes during the Pliocene, we analyzed sim-
197 ulations conducted with the isotopologue-tracking enabled Community Earth System Model
198 1.2 (iCESM1.2) in atmosphere-only mode (e.g. iCAM5) (Brady et al., 2019). The atmospheric
199 model is run at a $0.9^\circ \times 1.25^\circ$ horizontal resolution, with 30 vertical layers. The pre-industrial
200 simulation of iCESM1.2 used in this study captures a similar seasonal cycle of water isotopes
201 compared to GNIP observations, with an enriched summer monsoon compared to depleted win-
202 ter rainfall (Figure S6), despite the fact that iCESM1.2's rainfall isotopes are depleted com-

203 pared to observations at Tucson’s GNIP station (Nusbaumer et al., 2017). In addition, iCAM5
 204 performs slightly better than other models at simulating rainfall isotope changes due to chang-
 205 ing stratiform fraction (Hu et al., 2018).

206 We prescribe the idealized SST field used in (Fu et al., 2022), which is based on the PRISM3
 207 SST reconstruction (Dowsett et al., 2009), but increases temperatures on the Southern Cali-
 208 fornia Margin to match proxy evidence. All other boundary conditions (e.g. topography, land
 209 surface conditions), including CO₂, are kept at pre-industrial values. This simplified experi-
 210 mental design allows us to cleanly isolate the influence of an altered SST field on SW NA hy-
 211 droclimate.

212 We also perform 6 additional iCAM5 simulations with different SST patterns and Pliocene
 213 boundary conditions in order to better understand the drivers of NAM changes. These sim-
 214 ulations featured two types of experiments: in one, we uniformly warm global SSTs without
 215 changing the subtropical/tropical gradient in the eastern Pacific. In the other, we incrementally
 216 reduce the subtropical/tropical gradient by warming the CA coast. These two sets of exper-
 217 iments compares whether uniform warming of tropical/subtropical ocean, or changing warm-
 218 ing structure is more important to drive NAM changes, and should be regarded as sensitivity
 219 tests. Simulations are run for 40 years and the last 20 years of model runs are averaged to gen-
 220 erate climatologies. It should also be noted that all simulations have fixed atmospheric CO₂
 221 concentrations to Pliocene levels of 400 ppm, resulting in a warmer troposphere with higher
 222 evaporative demand. For further details, see Text S1 and Table S4.

223 **Results and Discussion**

224 **Plio-Pleistocene Trajectory of δD_p in SWNA**

225 Our new leaf wax-based reconstructions of δD_p indicate a large shift in SWNA hydro-
 226 climate between 3.0 and 2.4 Ma, right at the Plio-Pleistocene transition and coinciding with
 227 the intensification of Northern Hemisphere glaciation (Figure 1). At site 1012, δD_p is ca. -20
 228 to -40‰ between 3.5 and 3.0 Ma and then declines to ca. -50 to -60‰ by 2.5 Ma. Af-
 229 ter this point, δD_p fluctuates between -65 and -45‰ . A similar pattern of change is recorded
 230 at DSDP 475. At this site, Pliocene values of δD_p range between -45 and -35‰ , 20 to 35‰
 231 more enriched than late Pleistocene values. The most enriched values of δD_p occur between
 232 3.5 and 2.9 Ma (Figure 1), after which inferred δD_p values progressively decline until 2.4 Ma.
 233 Thereafter, values of δD_p at site 475 show an increase in variability. The increase in variabil-

234 ity in the Pleistocene portion of both records likely reflect glacial-interglacial variability. South-
235 ward shifts in the westerlies during glacial periods weaken the NAM by promoting ‘ventila-
236 tion’ or the import of cold, dry air into the monsoon domain (Bhattacharya et al., 2018).

237 The similar trajectory of δD_p change over the Plio-Pleistocene transition at both sites
238 suggests that our δD_p reconstructions reflect large-scale reorganizations of hydroclimate rather
239 than any local topographic effects. Furthermore, independent evidence suggests that topogra-
240 phy in western North America was already sufficiently high to establish modern circulation
241 patterns and block Gulf of Mexico moisture, making it unlikely that Pliocene δD_p changes re-
242 flect an increasing contribution of easterly moisture sources from the Atlantic to coastal re-
243 gions of Baja and southern California (Mix et al., 2019; Wheeler et al., 2016).

244 We interpret the enrichment of δD_p in the Pliocene relative to late Pleistocene values
245 as reflecting a greater proportion of convective summer rainfall during this epoch. Summer
246 monsoon rainfall forms from vapor that is rapidly lifted from a warm, saturated boundary in
247 strong convective updrafts (Text S1; Figure S2). This results in an enriched isotopic signature
248 relative to winter moisture, which primarily derives from stratiform precipitation (Aggarwal
249 et al., 2016) (Figure S2). This interpretation differs from the so-called ‘amount-effect’ observed
250 in other parts of the tropics, but reflects the distinct climatology of the NAM region, which
251 features a high proportion of stratiform rainfall in comparison to the monsoon regions (e.g.
252 the Indian monsoon) (Schumacher & Houze, 2003).

253 Further support for the view that fluctuations in δD_p reflect proportions of convective
254 and stratiform rainfall comes from the fact that spatial gradients in modern coretop leaf wax-
255 inferred δD_p in the Gulf of California show a strong positive correlation with the fraction of
256 rainfall derived from deep convection (Figure S2). Increasing proportions of deep convection
257 in the region stretching from Baja California to the southern California margin can therefore
258 explain a more positive leaf wax δD_p signature during the Pliocene. This complements the ar-
259 gument made in (Bhattacharya et al., 2018), who found that δD_p reflects changing proportions
260 of summer and winter rainfall: summer rainfall is primarily deep convective and winter/spring
261 rainfall derives from stratiform rain (Figure S2). Other processes, like equilibrium tempera-
262 ture effects, are too small to explain the full magnitude of the δD changes (see Text S1).

263 In the present climatology, the region around DSDP 475 receives less than 1 mm/day
264 of rain on average, and in many years receives no monsoon rainfall (Fonseca-Hernandez et al.,
265 2021) (Figure S3). A positive Pliocene leaf wax δD_p signature at DSDP 475 likely reflects

266 intensification of the monsoon in its core region as well as its expansion into Baja. Similarly,
267 the majority of rain at ODP 1012 in the present day derives from winter moisture, with vir-
268 tually no contribution from summertime rainfall. The enriched signature of δD_p in the Pliocene
269 at site 1012 reflects a fundamentally different climatology, with a greater proportion of sum-
270 mer rainfall. This coheres with existing qualitative inferences from southern California paly-
271 nological data and faunal remains, which suggest that the Pleistocene marked a transition from
272 summer-wet to summer-dry environments in both southern California and Baja California, (Miller,
273 1980; Ballog & Malloy, 1981; Axelrod, 1948). Together, these lines of evidence suggest that
274 the Pliocene featured a stronger monsoon that was spatially more extensive, influencing the
275 entire region stretching from Baja California through southern California. Moreover, evidence
276 for a warmer and wetter climate in the NAM region is also shown by paleo-botanical evidence
277 from the Miocene (M. J. Pound et al., 2012), suggesting this mechanism may be applicable
278 to understanding other warm climate intervals.

279 **SST Patterns and Plio-Pleistocene Monsoon Changes**

280 A recent modeling study hypothesized that warmer California margin temperatures in
281 the Pliocene resulted in expanded and enhanced summer convection (Fu et al., 2022). This hy-
282 pothesis implies that the evolution of the Plio-Pleistocene NAM should track coastal temper-
283 atures on the California Margin. In contrast, other modeling studies suggest that NAM strength
284 responds most strongly to the *relative* warming of the subtropical eastern Pacific (e.g. Cali-
285 fornia Margin) compared to the eastern equatorial Pacific (EEP) (Pascale et al., 2017). To as-
286 sess the importance of each of these factors in driving NAM changes, we compare our records
287 of summer convection to an index of the eastern Pacific subtropical/tropical temperature gra-
288 dient, as well as an index of California margin SSTs (see Methods).

289 Our Plio-Pleistocene records of SWNA δD_p exhibit a stronger relationship to the east-
290 ern Pacific subtropical/tropical gradient than to the absolute magnitude of warming on the CA
291 margin (Figure 1e and f). The subtropical/tropical gradient index shows that the temperature
292 difference between the the California Margin and the EEP was at a minimum between 3.5 and
293 3.0 Ma, and then progressively increased between 3.0 and 2.4 Ma until reaching modern val-
294 ues, where the southern California Margin is approximately 7.6°C cooler than the EEP (Fig-
295 ure 1e). The largest changes in this gradient coincide temporally with the step-like transition
296 to more depleted values in both our δD_p records (Figure 1). In contrast, southern CA margin

297 temperatures show a gradual long-term decline from 3.5 to 0.8 Ma, with no indication of a
298 step change at the Plio-Pleistocene transition (Figure 1f).

299 CA margin temperatures and the eastern Pacific subtropical/tropical gradient index are
300 not independent metrics, as the former is used to calculate the latter. However, the temporal
301 evolution of SWNA δD_p more closely mirrors the trajectory of the subtropical-tropical SST
302 gradient than CA margin temperatures alone, which supports a causal link between long-term
303 NAM strength and this temperature gradient. We next use isotope enabled simulations to cor-
304 roborate this observed relationship between SST patterns, NAM strength, and δD_p .

305 **NAM changes in an Isotope-Enabled Simulation**

306 iCAM5 produces a region of positive vapor δD anomalies that are co-located with warm
307 SST anomalies. These vapor anomalies spatially coincide with the location of enriched δD_p
308 and a weak, convergent, cyclonic circulation pattern (Figure 2). This is supported by the mois-
309 ture budget analysis presented in (Fu et al., 2022), which found that both thermodynamic and
310 dynamic processes contributed to rainfall changes in their simulations. Because SST anoma-
311 lies in the PRISM3 dataset are muted south of Baja California, δD_p is slightly depleted in that
312 region, differing from our record from site 475 (Figure 2, Figure S5). However, this simula-
313 tion still illustrates the connection between SST, vapor and precipitation δD , and summer rain-
314 fall.

315 In iCAM5, water vapor and precipitation δD act as a tracer of changes in energy for con-
316 vection. We measure the latter using equivalent potential temperature or θ_e , a thermodynamic
317 quantity that integrates information about the temperature and moisture content of air parcels.
318 Vertical gradients of θ_e therefore measure the potential for instability and convection. The ver-
319 tical profile of the atmosphere over the NAM region shows that positive θ_e anomalies, which
320 imply greater potential for convection, are co-located with increases in water vapor δD (Fig-
321 ure 2). Warmer subtropical SSTs likely drive higher local fluxes of sensible and latent heat.
322 In addition, the convergent circulation over these warm coastal SSTs imports moist, warm air
323 into this region (Fu et al., 2022). These processes result in positive anomalies of θ_e , and also
324 change the signature of δD_p by altering the isotopic signature of the moisture source from which
325 precipitation is derived. Water vapor changes may dominate the isotopic response in iCAM5
326 because isotope-enabled models are known to underestimate δD_p changes that result directly
327 from changing proportions of convective rainfall (Hu et al., 2018). Therefore, the model pro-

328 duces a smaller magnitude of change in δD_p compared to what is estimated by the proxies,
329 although the model does overlap the 95% confidence interval of proxy-estimated δD_p change
330 in the Pliocene at both sites.

331 Our iCAM5 simulation confirms that a stronger summer monsoon is linked to enriched
332 δD_p and that warm temperatures along the California margin are critical for sustaining a stronger
333 monsoon circulation. However, this single simulation does not allow us to cleanly determine
334 whether local warming on the CA margin, versus the gradient of temperature between the sub-
335 tropical eastern Pacific and the EEP, is more important for driving an increase in summer rain.
336 Moreover, it is unclear whether intensification of the NAM alone could have sustained a pos-
337 itive balance of precipitation minus evaporation, as is implied by qualitative proxies like mesic
338 vegetation, high lake levels, and taxa like *Crocodylus*.

339 To identify whether local CA margin SSTs or the larger subtropical/tropical SST gra-
340 dient was responsible for the observed hydroclimatic changes, we conducted a series of sim-
341 ulations with iCAM5 where we systematically varied SST patterns (Figure S5). Across all of
342 the simulations, annual P-E is strongly correlated with summer P-E ($r = 0.87$, $p=0.04$), which
343 confirms that summer precipitation is important for maintaining a year-round positive P-E bal-
344 ance. However, we find a weak relationship between summer P-E and temperature anomalies
345 on the southern CA margin (Figure 3a). Even when the model SST field overlaps the range
346 of Pliocene proxy-inferred SST warming on the southern CA margin, the model does not pro-
347 duce positive P-E, as is implied by available qualitative proxies from the Pliocene. In contrast,
348 summer P-E shows a statistically significant relationship with the subtropical/tropical SST gra-
349 dient (Figure 3b). Simulations that weaken this gradient to near 2°C , which is within the range
350 of the change suggested by Pliocene proxies, produce positive annual P-E that is primarily driven
351 by changes in summer P-E. Moreover, across simulations, we find that summer P-E accounts
352 for nearly all of the annual P-E signal as the subtropical/tropical gradient weakens to near 2°C
353 (Figure 3b). While it is possible that the model underestimates the response of P-E to SST gra-
354 dients since its low resolution does not resolve realistic patterns and statistics local convec-
355 tion, these simulations provide another line of evidence suggesting that NAM strength is de-
356 termined by large-scale SST gradients between the tropics and subtropics, supporting the anal-
357 yses of (Pascale et al., 2017) and our hydroclimate and SST proxy data.

Implications for Current and Future Southwestern Hydroclimate

Pliocene proxy evidence and model simulations underscore the strong relationship between subtropical/tropical SST gradients in the east Pacific and NAM strength, with implications for understanding past and future climate in this region. Given the critical importance of temperature patterns to NAM variability, climate models with significant northeast Pacific SST bias may not produce reliable future predictions (Y. Zhu et al., 2020). This may contribute to a lack of robust predictions of future changes in the NAM, which tend to be highly dependent on model bias and resolution (Maloney et al., 2014; Meyer & Jin, 2017; Cook & Seager, 2013; Almazroui et al., 2021; Moon & Ha, 2020). When global SSTs are bias-corrected, high-resolution models produce robust decreases in rainfall across all seasons in response to warming (Pascale et al., 2017, 2018), at odds with previous work (Meyer & Jin, 2017; Cook & Seager, 2013). This result is due in part to *reduced* California margin warming compared to the tropical eastern Pacific (Pascale et al., 2017; He et al., 2020). In contrast, our results suggest that the Pliocene featured *enhanced* California margin warming compared to the tropical eastern Pacific, amplifying monsoon strength. This enhanced warming in Pliocene simulations may reflect the long-term influence of earth system processes related to the cryosphere and vegetation, or long-term adjustments of deep ocean dynamics that alter SST patterns (Tierney et al., 2019; Feng et al., 2020; Brennan et al., accepted; A. Fedorov et al., 2013; Ford et al., 2015). In fact, a growing body of literature suggests that the *equilibrium* response of SST and hydroclimate to greenhouse boundary conditions, especially those that involve long-term earth system feedbacks as likely occurred during the Pliocene, may differ fundamentally from responses to *transient* warming (Sniderman et al., 2019; Zappa et al., 2020; Burls & Fedorov, 2017).

Moreover, we are able to identify events in the observational record with amplified monsoon rainfall that parallel the mechanism of monsoon intensification in the Pliocene. Since the mid-20th century, the northeast Pacific has experienced multi-season or multi-year marine heat waves (MHW) (Myers et al., 2018; Amaya et al., 2020; Hoegh-Guldberg et al., 2014). The peak of a heat wave between 2012 and 2014 occurred in summer 2014 and featured SSTs 1-2°C warmer than average on the southern California Margin (Myers et al., 2018), in a similar location to the region where proxies suggest the warmest mid-Pliocene temperatures occurred (Figure 4). During this event, eastern equatorial Pacific warming associated with a developing El Niño event was muted. SST anomalies off the coast of Baja reached their maximum in summer 2014 (Myers et al., 2018). We use reanalysis data from the North Ameri-

391 can Regional Reanalysis (NARR) and the NCEP-NCAR reanalysis (Mesinger et al., 2006; Kalnay
392 et al., 1996) to plot changes in precipitation, winds, and calculate changes in equivalent po-
393 tential temperature θ_e . To quantify changes in deep convection, we plot changes in summer-
394 time (June - September) climatological outgoing longwave radiation (OLR) over southern Baja
395 California as well as the distribution of OLR values during the heat wave (Liebmann & Smith,
396 1996). Lower values of OLR indicate cooler cloud tops and deeper convection (Figure 4).

397 Statistically significant rainfall changes occur in the core NAM domain, but also in pe-
398 ripheral regions like the Baja California Peninsula, which normally experiences atmospheric
399 subsidence and receives little monsoon rain (Figure S6) (Fonseca-Hernandez et al., 2021). This
400 is illustrated by a shift in daily summertime outgoing longwave radiation (OLR) values over
401 Baja, which shows an increase in values near 240-250 W/m^2 . These values are characteris-
402 tic of monsoon storms, while outlying low values represent tropical storms and hurricanes (Fig-
403 ure 4). This increased convection is partially the result of the direct thermodynamic effect of
404 warm SSTs, but we also note the presence of southerly wind anomalies along the coast of Mex-
405 ico that likely resulted in greater convergence of moisture via dynamic processes (Figure 4).
406 Circulation changes and warm SSTs increase low level moist entropy, enhancing moist con-
407 vection over the NAM region (Figure 4). Our results are further corroborated by previous re-
408 search showing that extreme rainfall and flooding in southern Arizona is linked to increased
409 precipitable water offshore of Baja, similar to what we observe during the MHW (Yang et al.,
410 2017). Moreover, previous work found that other NE Pacific marine heat waves are associ-
411 ated with above average soil moisture across the NAM domain (Shi et al., 2021).

412 Disentangling causality in a short instrumental record is challenging, and the cause of
413 MHW-related SST anomalies, which result from changes in surface radiation, may differ from
414 the cause of the California margin warming in the Pliocene, which could also involve ocean
415 dynamical adjustments (Myers et al., 2018; Brennan et al., accepted; Ford et al., 2015; A. Fe-
416 dorov et al., 2013). Despite this, there are clear parallels between our conceptual model of Pliocene
417 NAM changes and MHW-related NAM changes. For reference, we plot the 2014 marine heat
418 wave on Figure 3. This event stands out as featuring only modest California margin SST anoma-
419 lies, far below the range of those inferred by Pliocene proxies. However, because this marine
420 heat wave event was paired with weak positive temperature anomalies in the EEP, this event
421 featured a greatly relaxed subtropical/tropical SST gradient. This example suggests that, if ma-
422 rine heat waves similar to those observed in 2013-2014 continue to intensify as projected, the
423 future may feature intervals with SST patterns and circulation anomalies that are conducive

424 to more intense, spatially expanded NAM rainfall. These extreme events in turn have impor-
425 tant societal and ecological consequences, including potentially amplifying wildfire risk by in-
426 creasing biomass, and increasing hazards from landslides (Mazon et al., 2016; Demaria et al.,
427 2019; Pascale et al., 2018).

428 **Conclusions**

429 Two novel leaf wax reconstructions of SWNA hydroclimate provide proxy evidence of
430 intensification of the NAM, as well as expansion of its spatial footprint, during the Pliocene.
431 Instead of solely resulting from winter rain changes, wet conditions during this epoch were
432 at least in part driven by the summer monsoon. In fact, model simulations suggest that the ma-
433 jority of the precipitation minus evaporation signal in the Pliocene may have been driven by
434 summer rainfall. Both proxies and models suggest that the Pliocene expansion of summer rain-
435 fall in SWNA is linked to the subtropical/tropical SST gradient across the eastern Pacific. These
436 results cohere with the model experiments in (Pascale et al., 2017), who posit that this gra-
437 dient will play a key role in the future trajectory of the NAM. Our results are also consistent
438 with the ‘warmer-get-wetter’ paradigm, which posits that, in warm climates, the largest rain-
439 fall changes in the subtropics and tropics should occur in regions with the highest *relative* SST
440 warming (Xie et al., 2010).

441 SWNA is in the midst of an intensifying megadrought, driven in part by higher temper-
442 atures that increase evaporation and reduce snowpack (Williams et al., 2022). Understanding
443 the role of monsoon rainfall in future hydroclimate has implications for regional water resources,
444 ecosystems, wildfire regimes, and other land surface processes. There is currently no consen-
445 sus about the future trajectory of the monsoon. However, recent simulations suggest that the
446 future will feature a weaker NAM, as a result of a reduced eastern Pacific subtropical/tropical
447 gradient that enhances atmospheric stability over SWNA (Pascale et al., 2017). This is the op-
448 posite of what proxies suggest for the Pliocene, which featured a greatly reduced reduced sub-
449 tropical/tropical gradient (Brierley et al., 2009a; A. V. Fedorov et al., 2015). One possible rea-
450 son for this discrepancy is because of the timescale of response for the Pliocene vs. near-future
451 warming: the Pliocene represents an equilibrium climate state and features SST patterns that
452 are the result of long-term adjustments of the Earth systems that have yet to emerge in tran-
453 sient simulations of current warming (Heede et al., 2021).

454 Our analysis of the observational record also suggests that the future may be character-
455 ized by intervals of expanded monsoon rainfall. We demonstrated that marine heat waves on
456 the southern California Margin, when coupled with muted warming in the EEP, result in a stronger
457 monsoon. While the direct influence of CO₂ is predicted to intensify individual monsoon storms
458 (Demaria et al., 2019; Pascale et al., 2018), marine heat waves complement this mechanism
459 by facilitating the spatial expansion of monsoon rainfall into regions like Baja and Southern
460 California. This example raises the intriguing possibility that the subtropical/tropical SST gra-
461 dient may help aid efforts to improve the seasonal predictability of NAM rainfall (Grimm et
462 al., 2020). We also note that this mechanism of current and future monsoon change may be
463 underestimated by global models, which are known to underestimate the intensity and dura-
464 tion of marine heat waves (Plecha & Soares, 2020). However, this mechanism is likely to have
465 important implications, since enhanced summer rain coupled to a warmer climate may result
466 in higher fuel loads and fire, as well as flash flooding (Moloney et al., 2019; Yang et al., 2017).

467 Our results have implications for both past and future hydroclimate change in SWNA.
468 Several modeling studies have shown that subtropical regions, especially eastern ocean bound-
469 ary upwelling zones, are especially sensitive to greenhouse boundary conditions (Schneider
470 et al., 2019; J. Zhu et al., 2019). It is therefore likely that other greenhouse climate intervals
471 witnessed similar hydroclimate reorganizations on land areas near upwelling zones. The mech-
472 anism we identify is also relevant to the present day, since we found evidence of an expanded
473 monsoon during the modern 2014 marine heat wave. These results underscore the fact that far
474 from representing a climate state fundamentally dissimilar from present day, the Pliocene can
475 both help test fundamental theories about the dynamics that govern regional circulation, and
476 serve as an analog for the processes that will drive hydroclimate in a warmer world. Further
477 studies of the Pliocene and similar greenhouse intervals could therefore provide key lessons
478 relevant for adapting to both near-future and long-term regional hydroclimate changes.

479 **Data Availability Statement**

480 New proxy data and selected modeled fields will be archived at a permanent link at the
481 NOAA Paleoclimatology Database and will be made available upon publication.

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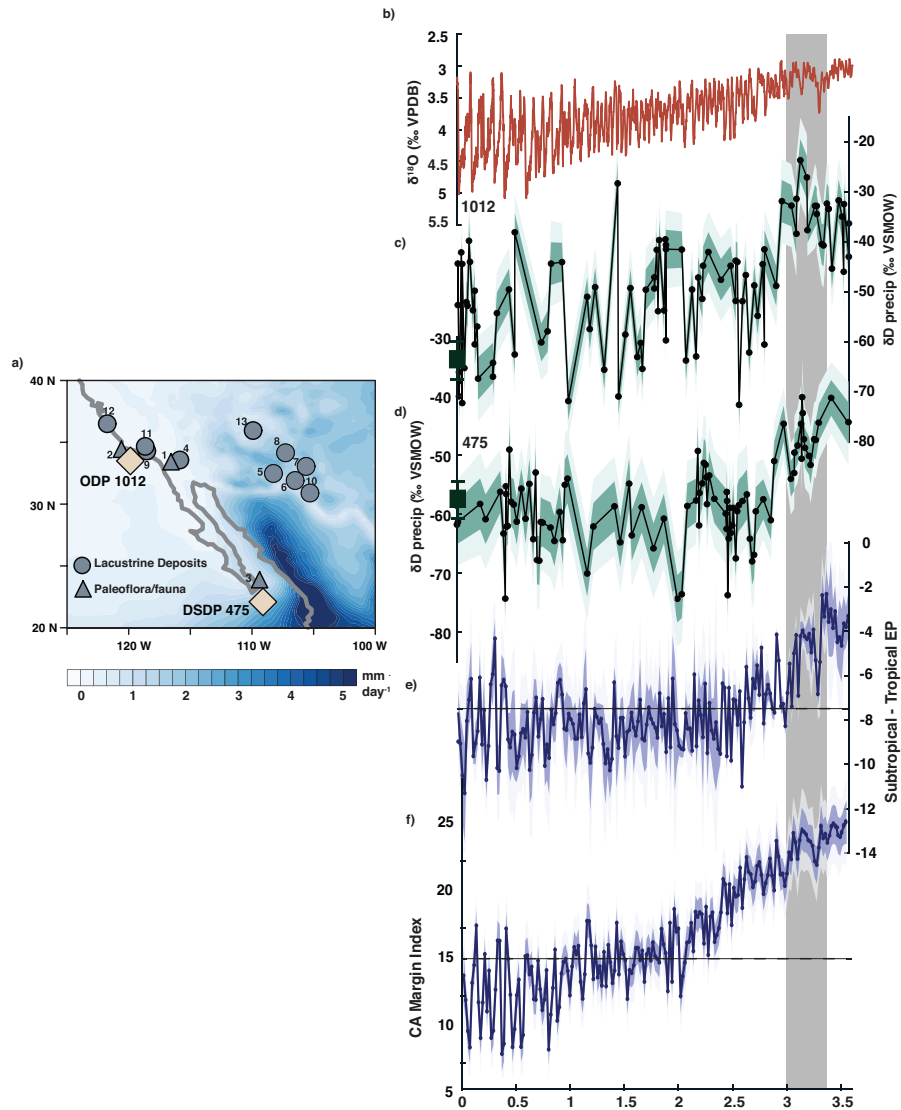


Figure 1. Plio-Pleistocene changes in SW NA hydroclimate. a) shows benthic oxygen isotope stack from (Lisiecki & Raymo, 2005), while b and c) shows our new Plio-Pleistocene reconstruction of δD_p from ODP 1012 and DSDP 475 respectively. Modern coretop values shown in dark green error bars. d) shows index of subtropical minus tropical eastern Pacific temperature, while e) shows index of Plio-Pleistocene CA margin temperatures.

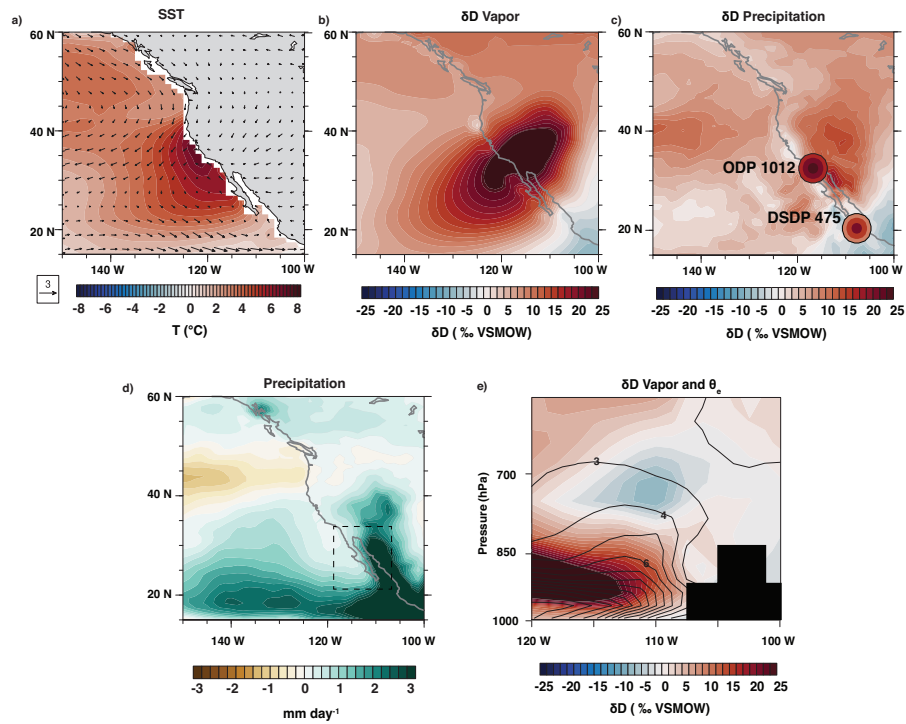


Figure 2. Changes in summer rainfall and water isotopes in an isotope-enabled simulation forced with SST changes in (Fu et al., 2022). a) shows June–September SST patterns with wind anomalies; b) shows vertically integrated δD of vapor. c) shows δD_p , with lower (outer circle), median (middle circle), and upper (inner circle) 95% confidence interval of proxy-estimated δD_p changes at site 475 and 1012. d) shows precipitation anomalies in this simulation. e) shows vertical profile of lower-atmospheric changes in δD of vapor and θ_e .

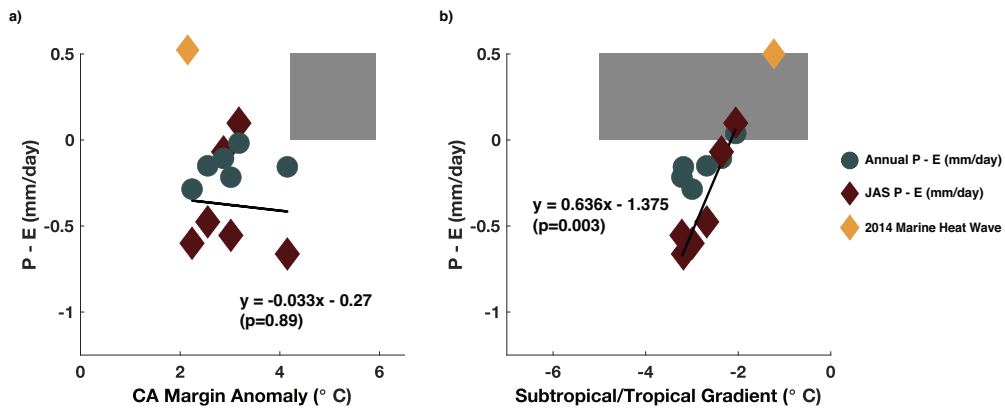


Figure 3. Relationship between SST patterns and SWNA hydroclimate. Red diamonds indicate changes in summer precipitation minus evaporation (P-E, mm/day); blue-green circles indicate annual P-E. a) the relationship between southern CA margin SST anomalies (SST changes between 20-35°N and 125-110°W) in the iCAM simulations and P-E across SWNA (land areas between 20-35°N and 120-105°W). Gray box shows 95% confidence interval of proxy-inferred southern CA margin warming, and is located above 0 P-E since qualitative proxies (lake level, flora/fauna) suggest positive anomalies of P-E during Pliocene. b) the relationship between the subtropical/tropical gradient and P-E. The SST gradient is calculated by taking the CA margin SST anomalies in a) and subtracting EEP temperatures (averaged between 5°S - 5°N and 170-90°W). Gray box shows proxy-inferred range of this gradient. For reference, the 2014 marine heat wave results shown in Figure 4 are shown in yellow diamonds.

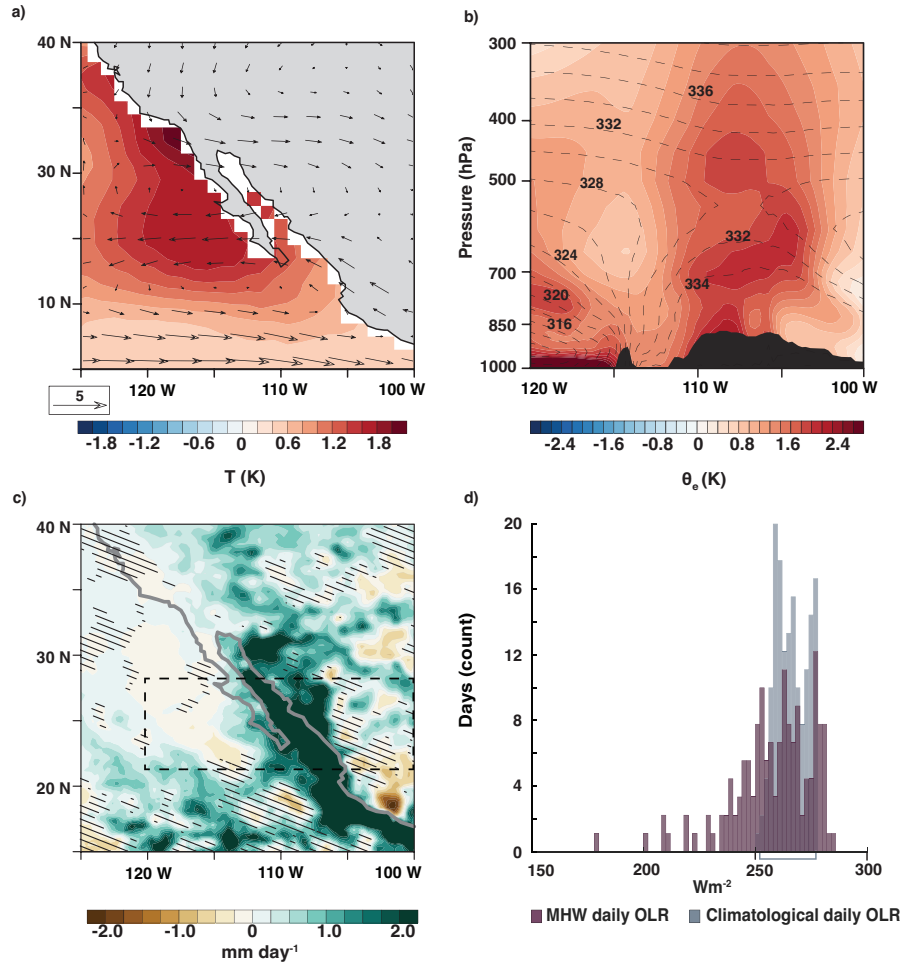


Figure 4. NAM changes during the peak of the 2014 northeast Pacific MHW. a) shows SST anomalies during summer 2014, during the peak of the MHW. b) vertical atmospheric profile of moist entropy changes over Baja California in box shown in panel c). Dashed contours show climatological values, while colored contours indicate 2014 MHW anomalies. c) shows rainfall anomalies in the North American Regional Analysis, with stippling showing values that are not significant at the 95% level. d) shows the daily distribution of climatological (gray) and MHW (purple) summertime outgoing longwave radiation (OLR). Lower OLR values indicate cooler cloud tops on deep convective clouds. Gray bracket shows 95% CI for climatological values.

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Supporting Information for “Expansion and intensification of the North American Monsoon during the Pliocene”

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Text S1 - Detailed Methods

Leaf Wax Extraction and Measurement

Approximately 100 samples were processed from DSDP 475 and ODP 1012 respectively. Samples were freeze dried and homogenized. Total lipids were extracted using an accelerated solvent extractor system (ASE 350, Dionex) at a temperature of 100°C and a maximum pressure of 1500 psi. Following previous work in the region, we focus our analysis on the terrestrially-derived C₃₀ fatty acid (Bhattacharya et al., 2018; Eglinton & Hamilton, 1967)

Fatty acids were separated from other lipid compounds on a LC-NH₂ gel column. The neutral fraction was eluted using a 2:1 mix of dichloromethane:isopropanol, and the acid fraction was eluted with 4% acetic acid in dichloromethane. We methylated the acids to replace exchangeable hydrogen on the carboxyl group with a methyl group of known isotopic composition. The resultant FAMES (fatty acid methyl esters) were purified again over silica gel using dichloromethane.

Concentrations of C₃₀ FAMES were determined using a Trace 1310 GC-FID. Hydrogen and carbon isotopic composition of the FAMES were measured via gas chromatography-pyrolysis-isotope ratio mass spectrometry (GC-IR-MS) using a Thermo Delta V Plus mass spectrometer. H₂ and CO₂ gases calibrated to an *n*-alkane standard (A7 mix provided by Arndt Schimmelmann at Indiana University) provided references for each analysis. An internal isotopic standard consisting of a synthetic mix of FAMES was analyzed every 5-7 samples to monitor drift. Samples were run in triplicate for δ D to obtain a precision better than 2‰, and in duplicate or triplicate for δ^{13} C to obtain a precision better than 0.2‰. To account for the added methyl group during methylation, the δ D and

$\delta^{13}\text{C}$ of the methanol was determined by methylating a phthalic acid standard of known isotopic composition obtained from Arndt Schimmelmann at Indiana University, and a mass balance correction was applied to the δD and $\delta^{13}\text{C}$ values of our FAMEs. While we initially corrected for ice volume changes using a a million-year smoothed version of the benthic oxygen isotope stack (Lisiecki & Raymo, 2005) following the method of (Schrag et al., 1996), this correction had a minimal influence on each record (Figure S1), was omitted in our final analysis.

Modern Plant Sampling and Inferring δD of Precipitation

δD_{wax} values are offset from δD_p by the apparent fractionation ε_{p-w} . Values of ε are related to ecological differences in wax synthesis across plant clades. Waxes synthesized by graminoids (e.g. grasses) tend to have a larger ε_{p-w} , or are more depleted relative to δD_p , than eudicots (e.g. broadleaved herbs, shrubs and trees (Sachse et al., 2012)). These differences are likely the result of differences in the seasonal leaf wax production in each group, or differences in the pools of intermediate hydrocarbon compounds used in wax synthesis (Gao et al., 2014). Members of Cactaceae, which use the Crassulic Acid Metabolism (CAM photosynthesis), are also present in the Sonoran Desert. Greenhouse experiments suggest that CAM species may have similar ε values to eudicots (Gao et al., 2014). However, we suggest that members of Cactaceae may not be major contributors to sedimentary leaf waxes. Herbs and shrubs in the NAM region primarily use the C_3 pathway, while most grasses are C_4 taxa (Sachse et al., 2012). C_3 and C_4 taxa exhibit differences in leaf wax $\delta^{13}\text{C}$, with a more enriched carbon isotopic signature in C_4 graminoids (Collister et al., 1994). To infer the hydrogen isotopic signature of precipitation, or δD_p , we leverage paired measurements of carbon and hydrogen isotopes of leaf waxes. Our

analyses use an approach that has been widely used in the literature to study hydroclimate signals in leaf wax hydrogen isotopes (Tierney et al., 2017; Bhattacharya et al., 2018; Windler et al., 2020).

Following previous work, we use a Bayesian framework to calculate δD_p from δD_{wax} and $\delta^{13}C_{wax}$. First, we use carbon isotopes in a Bayesian mixing model to identify the proportion of waxes that derive from C_4 graminoids (e.g. grasses using the C_4 photosynthetic pathway). End-members of $\delta^{13}C_{wax}$ were obtained from our own measurements of modern plant communities at the Arizona-Sonora Desert Museum, which include taxa from both the Sonoran Desert ecoregion and southern Baja Thornscrub vegetation (Table S2). These values are based on repeated measurement of new growth on each plant once a month for a calendar year. In order to make measurements more comparable to Pliocene-Pleistocene leaf wax carbon isotopes measurements, values of $\delta^{13}C$ inferred from modern plants have been corrected for the Suess effect.

Next, we use the proportion of inferred C_4 vegetation to determine the appropriate ε_{p-w} to apply to a given sample. Constraints on ε_{p-w} are obtained from δD_{wax} measurements on Arizona Sonora Desert Museum modern plants. The approach involves weighting the value of ε_{p-w} for C_3 and C_4 plants by the inferred fraction of C_3 and C_4 plants in the sample (Eq. 1).

$$\varepsilon = f_{C4} \cdot \varepsilon_{C4} + (1 - f_{C4}) \cdot \varepsilon_{C3} \quad (1)$$

Finally, we then apply our weighted value of ε_{p-w} to obtain an inferred value of the hydrogen isotopic value of precipitation using Eq. (2). Because all calculations are performed in a Bayesian framework, uncertainties are propagated through all steps of the

calculation. Therefore, while our initial 1σ precision for δD_w measurements is 2‰, 1σ uncertainty for our final estimate of δD_p is 6‰ for each measurement. Moreover, our inferred values of both end-members of $\delta^{13}\text{C}$ and ε_{p-w} agree previous leaf wax datasets from the western US (Smith & Freeman, 2006; Feakins & Sessions, 2010; Sachse et al., 2012).

$$\delta D_{precip} = \frac{1000 + \delta D_{wax}}{(\varepsilon/1000) + 1} - 1000 \quad (2)$$

iCAM5 Simulations with Fixed SSTs

To establish a linkage between precipitation isotopes, monsoon rainfall, and SST changes, we performed a simulation with the isotopologue-tracking enabled Community Earth System Model 1.2 (iCESM1.2) in atmosphere-only mode (e.g. iCAM5) (Brady et al., 2019). The atmospheric model is run at a $0.9^\circ \times 1.25^\circ$ horizontal resolution, with 30 vertical layers. While isotope-enabled models have limitations, we note that the pre-industrial simulation of iCAM5 used in this study is able capture the seasonal contrast in precipitation δD found in GNIP station data in Tucson AZ, with an enriched summer monsoon compared to depleted winter rainfall (Figure S6), despite the fact that iCESM1.2’s rainfall isotopes are depleted compared to observations at Tucson’s GNIP station (Nusbaumer et al., 2017). In addition, iCAM5 performs slightly better than other models at simulating rainfall isotope changes due to changing stratiform fraction (Hu et al., 2018). We therefore suggest that this model is appropriate for investigating changes in δD_p associated with Pliocene boundary conditions.

We perform one simulation using the SST field from (Fu et al., 2022), which uses reconstructed Pliocene SSTs with amplified warming on the southern California Margin.

SSTs used in this simulation are shown in Figure S5, panel a. This simulation is used to diagnose the linkage between SST changes, δD_p , and summer rainfall. In this simulation, we analyze isotopes of water vapor and precipitation, and plot changes in precipitation, winds, as well as derived fields like equivalent potential temperature (θ_e).

To identify the influence of different SST patterns on NAM strength, we perform a set of 7 sensitivity experiments using iCAM5 with prescribed SST fields. All simulations use the same Pliocene boundary conditions adapted for Community Earth System Model, and use CO₂ concentrations of 400 ppm (Feng et al., 2020). Two experiments were designed to analyze the impact of uniform warming. SSTs in these experiments are increased by 1°C and 2°C without any changes in spatial patterns (Table S4). The 1°C warming field is shown in Figure S5, panel b. This means that this experiment represents a uniform warming of 1°C *on top of* the SST anomalies from a coupled CESM1.2 simulation from (Feng et al., 2020).

Four of the experiments are designed to sample a range of SST gradient changes associated with CA coastal warming. The coastal warming pattern is derived from the pattern of SST anomalies during the 2014 western U.S. coast marine heat wave event. In order to create prescribed SSTs for the iCAM5 simulations, these SST anomalies were scaled by 1x, 2x, 3x, and 4x and added to Pliocene SST field obtained from a fully-coupled model simulations using the Community Earth System Model version 1.2 (CESM1.2) using the same boundary conditions (Feng et al., 2020). The 1x pattern from these ‘MHW-like’ experiments is shown in Figure S5, panel c. All experiments were run for 40 model years. The last 20 model years are averaged to produce climatologies. For clarity, Table S4 de-

tails each experiment's SST design, how CA margin and the subtropical/tropical gradient vary across simulations.

Text S2 - Interpretation of Inferred δD_p

Plio-Pleistocene changes in leaf wax isotopes reflect changes in the intensity and spatial extent of moist convection over southern California and Baja California. Modern core-top samples show a more enriched value of δD_p in the southern Gulf of California, where monsoonal, convective rainfall forms a greater proportion of total rainfall (Figure S2). Seasonal changes in precipitation isotopes, measured at a GNIP station in Tucson, also suggest that the summer months, which feature more convective rainfall, have a more enriched isotopic signature (Figure S2) (Bhattacharya et al., 2018).

Monsoon rainfall is characterized by a greater proportion of deep convection, and exhibits a more positive value of δD_p . Deep convective monsoon rainfall results from ice hydrometeors that develop when vapor evaporated in a warm, saturated boundary layer is lifted in strong updrafts, resulting in a more enriched isotopic signature for rainfall (Aggarwal et al., 2016). In contrast, stratiform rainfall tends to form in environments with relatively weak updrafts, and may develop relatively slowly, incorporating mid to upper tropospheric water vapor and undergoing more phase changes, resulting in a depleted isotopic signature (Aggarwal et al., 2016). Intervals with enhanced deep convective and monsoon rainfall to exhibit more enriched values of δD_p , since a greater proportion of annual rainfall comes from enriched, convective rainfall. This is opposite to the 'amount effect,' where more rainfall is associated with a more depleted isotopic signature (Risi et al., 2008), but is consistent with our understanding of regional isotope systematics. The NAM region differs from other tropical regions (e.g. the Asian monsoon) as it receives

a substantial amount of stratiform rainfall, which likely overprints any ‘amount effect’ (Schumacher & Houze, 2003). Other processes, like temperature and changes in vapor source region, may influence inferred δD_p values. Equilibrium temperature effects (e.g. changes in the fractionation between atmospheric water vapor and rainfall) likely only account for 5-7‰ of the overall change observed in δD_p values at most. SST anomalies between 3.5 and 3.0 Ma at DSDP 475 and ODP 1012 were between 4-6°C warmer than pre-industrial values, which would translate into a 4 to 6‰ change in δD_p relative to atmospheric water vapor, assuming that condensation temperatures shifted similarly to SSTs. This is smaller than the magnitude of δD_p change at both sites, suggesting other processes are responsible for the full magnitude of the signal.

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Table S1. Locations and interpretations of Pliocene data shown in Figure 1

Site	Name	Lat	Lon	Age (Ma)	Interpretation	Source
1	Diablo Formation	33.26	-116.37	3.8 - 2.6	mesic plant taxa incl <i>Juglans</i> , <i>Carya</i> , <i>Umbellularia</i> , <i>Populus</i>	(Remeika et al., 1988)
2	DSDP 467	33.83	-120.75	15 - 2.4	<i>Carya</i> , <i>Juglans</i> indicate summer wet to summer dry transition	(Ballog & Malloy, 1981)
3	Las Tunas	23.3	-109.7	4.75 - 2.6	faunal remains incl. and tortoise indicate perennial freshwater, tropical environment	(Miller, 1980)
4	Palm Springs	33.57	-115.85	3.3 - 2.6	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
5	Gila Conglomerate	32.48	-108.26	4.0 - 2.6	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
6	Fort Hancock	31.91	-106.5	3.6 - 1.9	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
7	Santa Fe Group 1	33.05	-105.61	19.3 - 1.9	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
8	Santa Fe Group 2	34.15	-107.28	4.9 - 0.7	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
9	Sunshine Ranch/Saugus Fm	34.3	-118.53	3.1 - 2.8	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
10	Hueca Bolson, TX	30.90	-105.30	4.75 - 1.8	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
11	Hungry Valley	34.67	-118.66	4.9 - 2.6	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
12	Paso Robles	36.5	-121.74	1.9-8.3	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)
13	Bidahochi	35.95	-109.91	2.6-5.3	Lacustrine Deposits	Macrostrat, (Ibarra et al., 2018)

Table S2. Individual plant taxa from the Arizona-Sonora Desert Museum of δD_w and $\delta^{13}C$ for C_4 monocots/grasses and C_3 eudicots used to infer ε_{p-w} . Values are for the C_{30} fatty acid unless otherwise noted. Graminoids/grasses are marked with an asterisk (*).

Taxon	Ecosystem	Metabolism	$\delta^{13}C$ (‰VPDB)	δD_w (‰VSMOW)
<i>Acacia willardiana</i>	Thornscrub	C_3	no data	-97.1
<i>Ambrosia ambrosoides</i>	Sonoran Desert	C_3	-35.7	-126
<i>Ambrosia cordifolia</i>	Sonoran Desert	C_3	-37.5	-115
<i>Ambrosia deltoidea</i>	Sonoran Desert	C_3	-31.8	-116
<i>Aristida ternipes*</i>	Sonoran Desert	C_4	-29.1	-158
<i>Brongniartia tenuifolia</i>	Thornscrub	C_3	no data	-124
<i>Bursera laxiflora</i>	Thornscrub	C_3	no data	-151
<i>Bursera microflora</i>	Thornscrub	C_3	no data	-132
<i>Cathestecum brevifolium*</i>	Sonoran Desert	C_4	-27.1	-164
<i>Dyschoriste hirsutissima</i>	Thornscrub	C_3	no data	-137
<i>Encelia farinosa</i>	Sonoran Desert	C_3	-30.9	-149
<i>Eupatorium sagittatum</i>	Sonoran Desert	C_3	no data	-141.8
<i>Chromolaena sagittata</i>	Thornscrub	C_3	no data	-145
<i>Forchhammeria watsonii</i>	Thornscrub	C_3	no data	-90.07
<i>Fouquieria macdougalii</i>	Thornscrub	C_3	no data	-143
<i>Guaiacum coulteri</i>	Thornscrub	C_3	no data	-113
<i>Haematoxylon brasiletto</i>	Thornscrub	C_3	-34.8	-126
<i>Henrya insularis</i>	Thornscrub	C_3	no data	-145
<i>Ipomoea arborescens</i>	Thornscrub	C_3	no data	-126
<i>Jacquinia macrocarpa</i>	Thornscrub	C_3	no data	-157
<i>Jatropha cartiophylla</i>	Sonoran Desert	C_3	-30.1	-179
<i>Larrea tridentata</i>	Sonoran Desert	C_3	-31.7	-116
<i>Melochia tomentosa</i>	Thornscrub	C_3	no data	-155
<i>Muhlenbergia porterii*</i>	Sonoran Desert	C_4	-26.5	-162
<i>Olneya tesota</i>	Sonoran Desert	C_3	-30.1	-111
<i>Parkinsonia microphylla</i>	Sonoran Desert	C_3	-31.9	-156
<i>Piscidia mollis</i>	Sonoran Desert	C_3	no data	-149
<i>Prosopis velutina</i>	Sonoran Desert	C_3	no data	-106
<i>Randia echinocarpa</i>	Sonoran Desert	C_3	no data	-119
<i>Simmondsia chinensis</i>	Sonoran Desert	C_3	-31.8	-134
<i>Solanum tridynamum</i>	Thornscrub	C_3	no data	-150
<i>Trixis californica</i>	Sonoran Desert	C_3	-37.2	-138
<i>Vachellia campechiana</i>	Thornscrub	C_3	no data	-119
<i>Vachellia constricta</i>	Thornscrub	C_3	-37.1	-105

Table S3. End-members of $\delta^{13}\text{C}$ and ε_{p-w} used for our calculation of leaf wax-inferred δD_p . $\delta^{13}\text{C}$ values have been corrected for the Suess effect. All carbon end-members come from modern plants at the Arizona-Sonora Desert Museum

Value	Mean	Standard Error	Sample Size
$\delta^{13}\text{C}_3$ (Eudicots)	-32.1	0.7	12
$\delta^{13}\text{C}_4$ (Graminoids)	-26.5	2.3	3
ε_{C4} (Eudicots)	-113	3	3
ε_{C3} (Graminoids)	-81	4	31

Table S4. Overview of iCAM5 simulations used in this study, along with the response they identify (see Text S1). Simulations include Pliocene-like SST run using (Fu et al., 2022), as well as six sensitivity experiments.

Experiment	Description	Response
Pliocene SSTs	SST pattern from (Fu et al., 2022)	Hydroclimate response to Pliocene SSTs
1°C Uniform	1°C warming + CESM1.2 coupled SSTs	Uniform warming with no pattern change
2°C Uniform	2°C warming + CESM1.2 coupled SSTs	Uniform warming with no pattern change
1x MHW	1x MHW pattern + CESM1.2 coupled SSTs	Amplified Subtropical warming relative to EEP
2x MHW	2x MHW pattern + CESM1.2 coupled SSTs	Amplified Subtropical warming relative to EEP
3x MHW	3x MHW pattern + CESM1.2 coupled SSTs	Amplified Subtropical warming relative to EEP
4x MHW	4x MHW pattern + CESM1.2 coupled SSTs	Amplified Subtropical warming relative to EEP

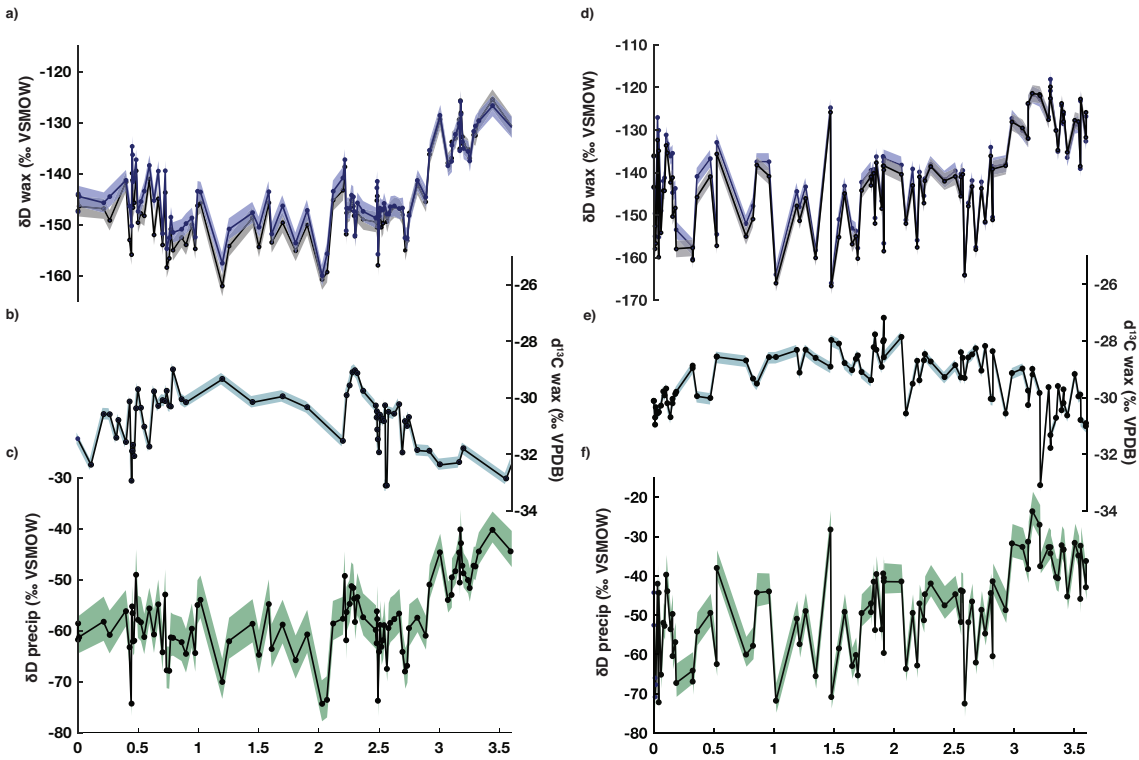


Figure S1. C_{30} alkanic acid δD , $\delta^{13}C$, and inferred δD_p from sites DSDP 475 (left) and ODP 1012 (right). All values are shown with 1σ uncertainties. a) and d) show δD of the C_{30} alkanic acid, with gray curve reflecting ice-volume corrected values. The effect of a million-year ice volume correction is extremely minor. b) and e) show carbon isotope data with 1σ analytical error. At site 475, carbon isotopes were analyzed at a lower resolution since for several depths sample material was consumed for hydrogen isotope analysis. c) and f) show inferred δD_p values for each site. Note the larger uncertainty that includes analytical uncertainty and uncertainty from the Bayesian mixing model.

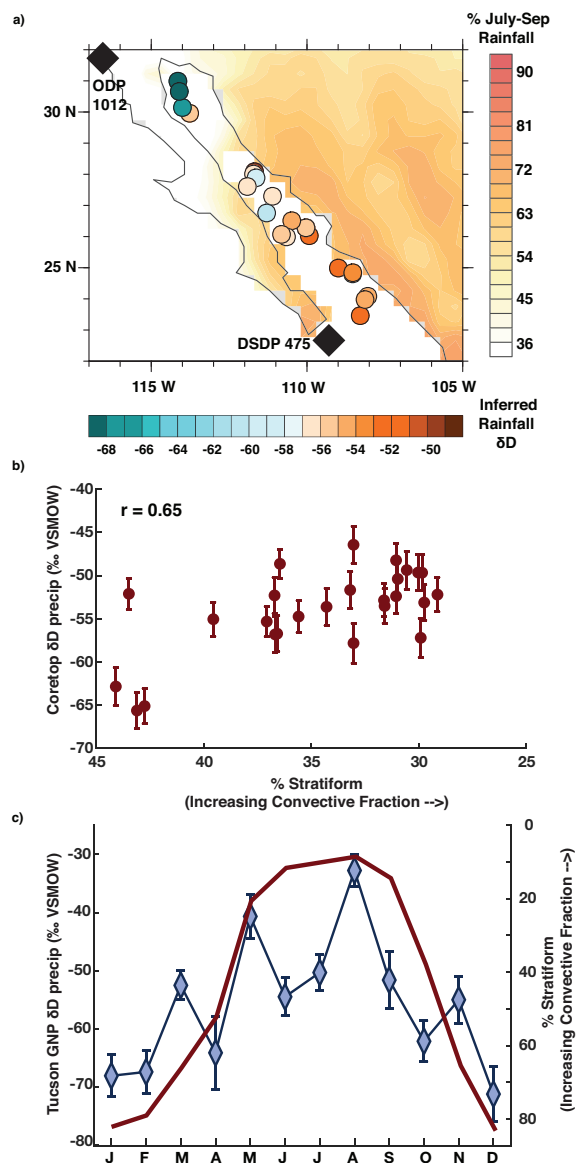


Figure S2. a) Coretop-inferred δD_p in the Gulf of California from (Bhattacharya et al., 2018). Original data replotted using updated $\delta^{13}\text{C}$ and ε_{p-w} corrections from Table S2. Background contours indicate the percentage of NAM contribution to annual rainfall. b) Coretop δD_p plotted against the percentage of rainfall on adjacent land regions that derives from stratiform rainfall (decreasing stratiform fraction indicates a greater share of convective precipitation). Stratiform fraction is used following the convention of (Aggarwal et al., 2016). c) seasonal cycle in Tucson GNP station versus percent stratiform rainfall.

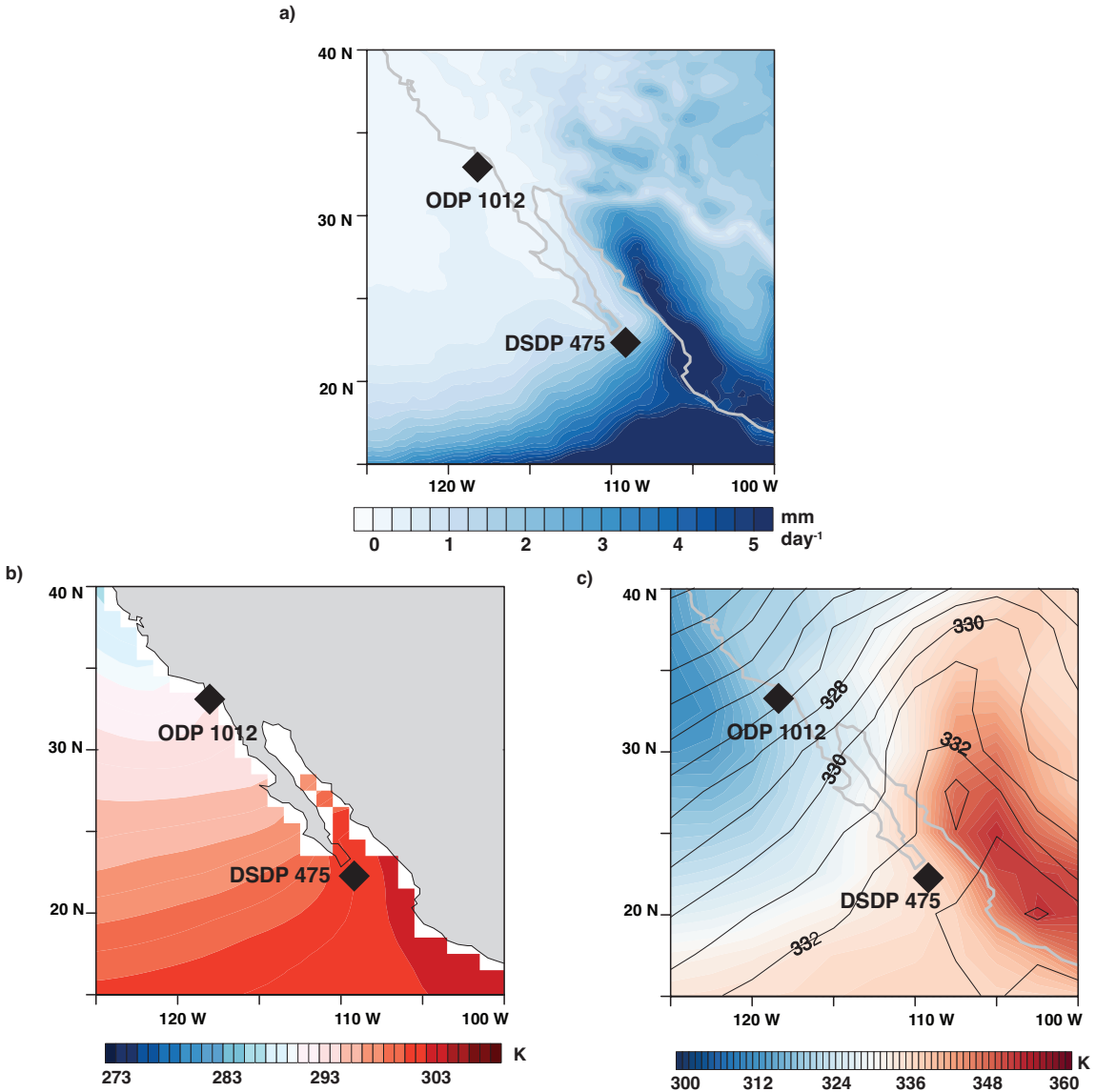


Figure S3. July-September climatology, calculated from 1950 - 2018, of a) rainfall in mm/day, b) sea surface temperatures, and c) equivalent potential temperature or θ_e (solid contours are mid-tropospheric or 400 mb θ_e , while colored contours are low-level (surface to 900 mb) average θ_e). The location of DSDP 475 and ODP 1012 is indicated on each plot.

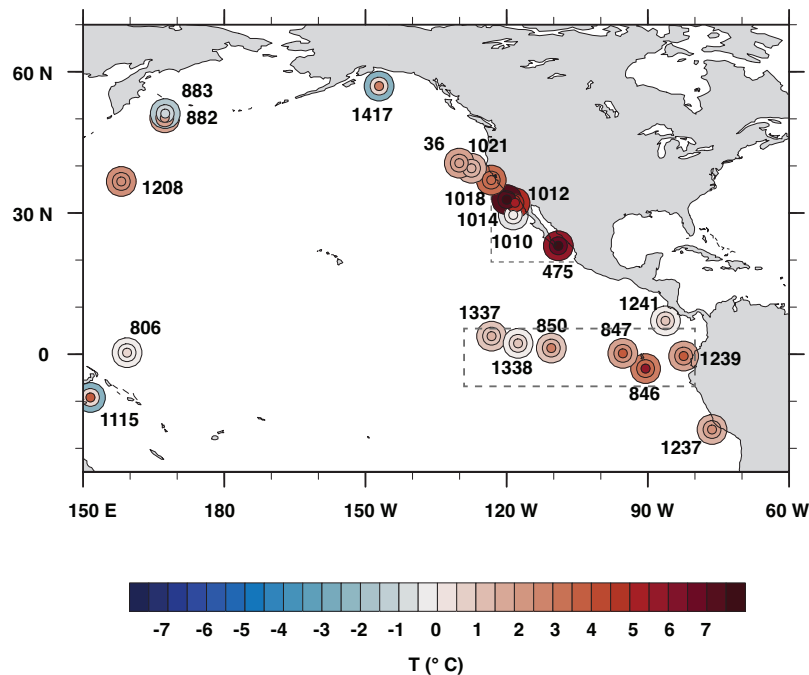


Figure S4. Mid-Pliocene (3.3 to 3.0 Ma) SST anomalies in alkenone-based temperature records from across north Pacific. Inner circle represents upper 95% confidence interval, middle circle is median value, while outer circle is the lower 95% confidence interval of an ensemble of correlation estimates with analytical and calibration errors propagated through both timeseries. Regions used to create averages of subtropical eastern Pacific (southern California Margin) and eastern equatorial Pacific outlined in dashed rectangles.

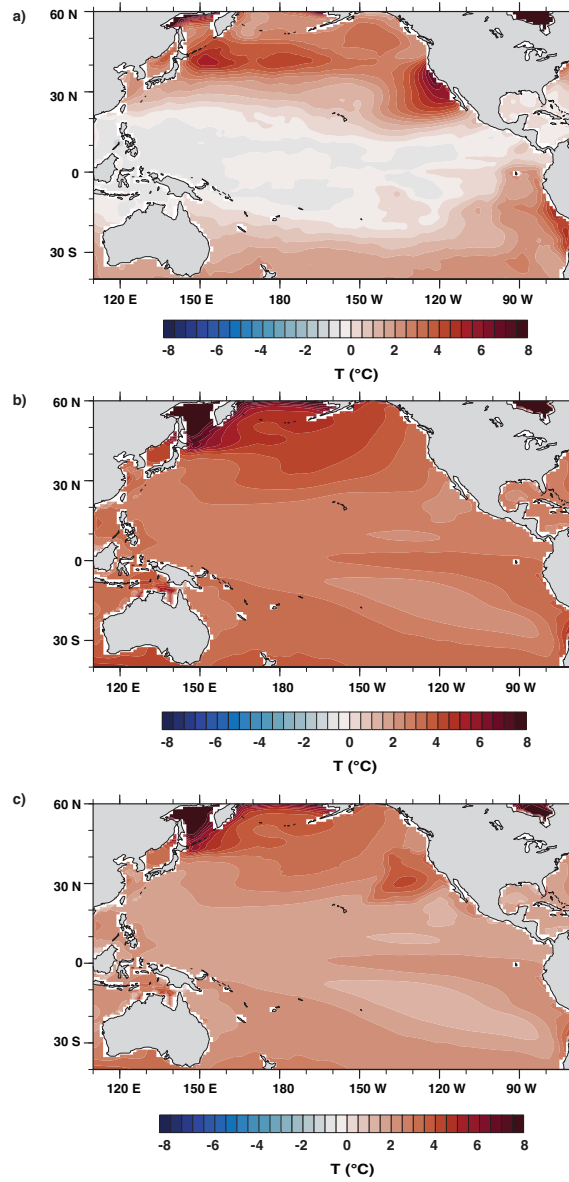


Figure S5. SST fields used for iCAM5 simulations (see section 1 and Table S4. a) SSTs from (Fu et al., 2022), which are used in Figure 2 in the main text. b) SSTs from a global 1 degree warming simulation. c) SST pattern with amplified coastal warming (taken from 2014 marine heat wave). In Figure 3, we show results from iCAM5 simulations using 1x and 2x the warming pattern shown in panel b), as well as results from iCAM5 forced with 1x, 2x, 3x, and 4x this pattern.

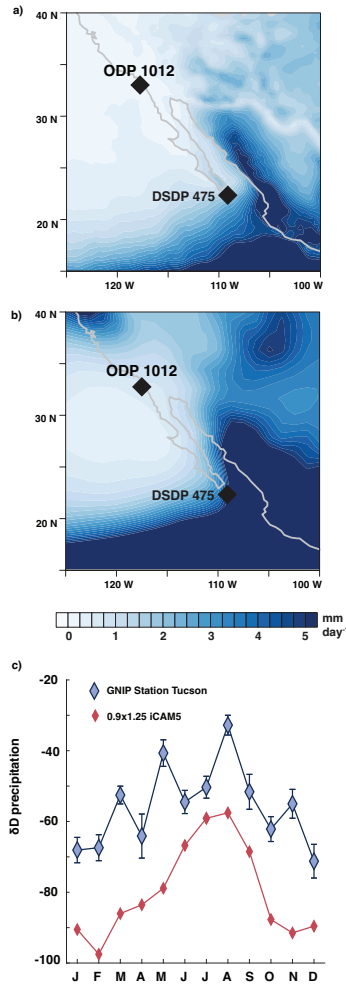


Figure S6. Observational vs. iCAM5 climatology for rainfall and seasonal cycle of isotopes. a) shows GPCP July-September rainfall (Adler et al., 2018). b) shows the model climatology for the same interval. c) shows the climatology and standard error of measured precipitation δD from the Tucson GNIP station (Eastoe & Dettman, 2016) in dark blue compared to iCAM5's precipitation climatology for a region surrounding Tucson in red.