Expansion and intensification of the North American Monsoon during the Pliocene

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Key Points:

• Leaf wax hydrogen isotopes preserved in ocean sediments reveal evidence of a stronger
  mid-Pliocene monsoon in southwestern North America
• Isotope-enabled simulations show that a stronger monsoon resulted from an amplified
  east Pacific subtropical-tropical temperature gradient
• This mechanism is relevant to understanding present-day monsoon variability in response
  to California margin marine heat waves

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Abstract

Southwestern North America, like many subtropical regions, is predicted to become drier in response to anthropogenic warming. However, during the Pliocene, when carbon dioxide was above pre-industrial levels, multiple lines of evidence suggest that southwestern North America was much wetter. While existing explanations for a wet Pliocene invoke increases in winter rain, recent modeling studies hypothesize that summer rain may have also played an important role. Here, we present the first direct evidence for an intensified Pliocene monsoon in southwestern North America using leaf wax hydrogen isotopes. These new records provide evidence that the Pliocene featured an intensified and expanded North American Monsoon. Using proxies and isotope-enabled model simulations, we show that monsoon intensification is linked to amplified warming on the southern California margin relative to the tropical Pacific. This mechanism has clear relevance for understanding present-day monsoon variations, since we show that intervals of amplified subtropical warming on the California margin, as are seen during modern California margin heat waves, are associated with a stronger monsoon. Since marine heat waves are predicted to increase in frequency, the future may bring intervals of 'Pliocene-like' rainfall that co-exist with intensifying megadrought in southwestern North America, with implications for ecosystems, human infrastructure, and water resources.

Plain Language Summary

The middle Pliocene, an interval approximately 3 million years ago, has long puzzled climate scientists. Despite having higher-than-preindustrial carbon dioxide levels, which should result in drier conditions in subtropical regions, some subtropical regions were wet during the Pliocene. In southwestern North America, there were large permanent lakes and plant and animal species that cannot exist in arid regions. We used measurements of hydrogen isotopes in ancient plant matter to show that wet conditions in the Pliocene southwest resulted from a stronger monsoon. This stronger monsoon was caused by changes in subtropical and tropical ocean temperatures in the eastern Pacific. This study presents the first direct evidence that monsoon changes caused wet conditions in the middle Pliocene. It also has relevance for the present, since we find evidence that present-day changes in subtropical ocean temperatures can amplify the monsoon, via a mechanism that strongly resembles what happened in the Pliocene. Our study suggests that further studies of the Pliocene can shed light on how future monsoon changes may influence wildfire, landscapes, and water resources across the southwest.
Introduction

Multiple lines of evidence suggest that southwestern North America (SWNA), like many subtropical continents, was much wetter during the Pliocene epoch, a climate interval featuring reduced ice volume and CO$_2$ concentrations above preindustrial levels (Figure 1). Sedimentological data documents widespread perennial and ephemeral lakes in southern California and Arizona (M. Pound et al., 2014; Ibarra et al., 2018) (Figure 1), and palynological and macrobotanical evidence from southern California suggests expanded tree cover and the presence of species that today only grow in regions with mesic conditions and summer rainfall (Remeika et al., 1988; Ballog & Malloy, 1981). Faunal remains from Baja California contain *Crocodylus* spp. fossils, which require freshwater habitats, further suggesting increased water resources in regions that are arid at present (Salzmann et al., 2009; Miller, 1980). At face value, this evidence for a wet Pliocene is at odds with the theoretical and model-derived prediction that regions like SWNA, and subtropical continents more broadly, will continue to dry in coming centuries as a result of elevated greenhouse gases (Byrne & O’Gorman, 2015; Seager et al., 2010).

Two dominant hypotheses have been proposed to explain the evidence for wet conditions in SWNA during the Pliocene. On a global scale, a dramatically weaker meridional sea surface temperature (SST) gradient could have weakened mean atmospheric circulation and reduced subtropical moisture divergence (Burls & Fedorov, 2017; A. V. Fedorov et al., 2015). However, current proxy-based estimates of SST patterns suggest that reductions in Pliocene meridional gradients were modest (Tierney et al., 2019). Another possibility is that a weaker Pacific Walker circulation shifted winter storm tracks, bringing increased moisture to SWNA, similar to what occurs during El Niño events today (Ibarra et al., 2018; Molnar & Cane, 2002). However, this hypothesis would require almost two-fold increases in winter rainfall to explain Pliocene lake distributions, and cannot explain the presence of tree species like *Castanea* and *Carya* or the expansion of Sonoran desert flora, which are interpreted as indicators of summer rainfall (Ibarra et al., 2018; Ballog & Malloy, 1981; Axelrod, 1948). At face value, these qualitative data suggest a role for the North American Monsoon (NAM), which is the primary source of summer rainfall in the SWNA and maintains the floristically diverse ecosystems of the Sonoran Desert (Cook & Seager, 2013). Today, the NAM is restricted to southern Arizona, New Mexico and northwestern Mexico along the eastern side of the Gulf of California.

A recent modeling study found that warm coastal temperatures on the California margin, similar to those observed in Pliocene proxy records, results in an expansion of summer
rainfall across SWNA (Fu et al., 2022). In these simulations, precipitation rates associated with a stronger monsoon exceed evaporation. If these changes are realistic, they suggest that monsoon changes alone could explain the mesic vegetation and high lake levels found in the Pliocene. However, the hypothesis that the NAM was stronger during the Pliocene, a greenhouse climate interval, is at odds with some model predictions of how the NAM responds to higher atmospheric greenhouse gases (Pascale et al., 2017).

While current-generation models do not show consensus about the response of the NAM to contemporary warming (Maloney et al., 2014; Meyer & Jin, 2017; Cook & Seager, 2013; Almazroui et al., 2021; Moon & Ha, 2020), a recent high-resolution modeling study with a bias-corrected SST field suggests that the NAM will weaken in response to 21st century warming. In this simulation, the NAM strength responds to the relative warming of SST in the subtropical eastern Pacific (e.g. southern California Margin) (Pascale et al., 2017). Specifically, because the southern California margin warms at a slower rate than the tropical eastern equatorial Pacific (EEP), SWNA experiences stronger descending motion and greater atmospheric stability, reducing NAM convection. This strong sensitivity to SST gradients distinguishes the NAM from other monsoons, which respond more strongly to direct CO\textsubscript{2} forcing. This conceptual model, similar to the ‘warmer-get-wetter’ paradigm (Xie et al., 2010), suggests that past and future NAM strength depends less on the absolute magnitude of warming on the southern California Margin, as suggested by (Fu et al., 2022), but instead depends on the gradient of temperature between the subtropical eastern Pacific and the tropical eastern Pacific.

In light of this uncertainty, continuous Plio-Pleistocene, summer rainfall-sensitive proxy records are invaluable for identifying the mechanisms that control long-term changes in NAM rainfall. However, much of our existing proxy evidence from the Pliocene consists of non-continuous snapshots of Pliocene climate, or proxies that can only be interpreted in a purely qualitative framework. Here, we remedy this by presenting the first continuous Plio-Pleistocene records of NAM region hydroclimate, based on leaf wax biomarkers in two marine sediment cores. DSDP 475 is located near the tip of southern Baja California, immediately west of the core modern NAM domain. Our second site, ODP 1012 is on the CA margin, northwest of the NAM domain in the present climatology, and receives virtually no monsoon rainfall today. Together, these sites are especially sensitive to hydroclimate changes in the core monsoon domain (DSDP 475), as well as any potential expansion of the monsoon into peripheral zones (ODP 1012). Previous work has shown that the hydrogen isotopic signature of terrestrial plant epicuticular waxes (\(\delta D\text{\textsubscript{wax}}\)) reflects the \(\delta D\) signature of precipitation (\(\delta D_p\)) across a range of ecosys-
tem types (Sachse et al., 2012), and in SWNA, leaf wax δD is strongly sensitive to the relative contribution of monsoonal deep convection to annual rainfall totals (Bhattacharya et al., 2018). This proxy is therefore well-suited for investigating whether summer rainfall played a role in driving Plio-Pleistocene hydroclimatic change. Carbon isotopes provide complementary information by recording shifts in ecosystem composition that influence the magnitude of the offsets between δD_{wax} and δD_p (Figure S1). Our approach therefore allows us to identify changes in monsoon strength and spatial extent over the Plio-Pleistocene.

**Materials and Methods**

**Site Background**

DSDP 475 (23.03°N, 109.03°W) is located within the Gulf of California near the south-eastern edge of the peninsula of Baja California (Figure 1). Today, the site sits on a passive continental margin at a water depth of 2631 meters (Curray et al., 1982). This region of Baja California experiences northwesterly wind stress in winter and spring (Zaytsev et al., 2003). In summer, the region around DSDP 475 is influenced by northward advection of waters from the eastern Pacific warm pool (Zaytsev et al., 2003; Durazo & Baumgartner, 2002). The Plio-Pleistocene portion of the core from DSDP 475 is predominantly composed of hemipelagic muds, transitioning to diatomaceous muds in the mid- to early-Pliocene section, showing evidence of a consistent marine setting for this site from the early Pliocene through the Pleistocene (Curray et al., 1982). Age control primarily comes from biostratigraphic tiepoints (Brennan et al., accepted).

ODP 1012 (32.3°N, 118.4°W) is located on the California Margin, in the east Cortes Basin, with a water depth of 1772 m. This site experiences northwesterly wind stress year round. The sedimentary section consists of interbedded silty clay, nannofossil mixed sediment, nannofossil ooze (Ostertag-Henning & Stax, 2000). We sample from primarily from core 1012A, with supplementary samples from 1012B in the late Pleistocene, to generate composite Plio-Pleistocene record of climate change. The age model, previously published in (J. LaRiviere, 2007), is based on δ^{18}O of benthic foraminifera until approximately 1.8 Ma, and thereafter based on biostratigraphic tiepoints (T. Herbert et al., 2001).
Leaf Wax Extraction and Measurement

Approximately 100 samples were processed from each of DSDP 475 and ODP 1012, so that the average time interval between samples was 40 kyr for DSDP 475 and 30 kyr for ODP 1012. Leaf waxes were processed via standard protocols involving extraction of the total lipid extract (TLE) and purification via column chromatography (see Text S1 for more details). Following previous work in the region, we focus our analyses on the C$_{30}$ fatty acid, which exclusively derives from terrestrial plants (Bhattacharya et al., 2018).

Concentrations of C$_{30}$ FAMEs, fatty acid methyl esters, were determined using a Trace 1310 GC-FID, and their hydrogen and carbon isotopic composition were measured via gas chromatography-pyrolysis-isotope ratio mass spectrometry (GC-IR-MS) using a Thermo Delta V Plus mass spectrometer coupled to a Trace 1310 GC-FID. H$_2$ and CO$_2$ gases calibrated to an n-alkane standard (A7 mix provided by Arndt Schimmelmann at Indiana University) provided references for each analysis. An internal isotopic standard consisting of a synthetic mix of FAMEs was analyzed every 5-7 samples to monitor drift. Samples were run in triplicate for $\delta$D to obtain a precision better than 2‰ (1σ), and in duplicate or triplicate for $\delta^{13}$C to obtain a precision better than 0.2‰ (1σ). Further details on analytical methods are available in Text S1.

Inference of Precipitation $\delta$D

We leverage paired measurements of carbon and hydrogen isotopes of the C$_{30}$ fatty acid (hereafter, referred to as $\delta^{13}$C$_{wax}$ $\delta$D$_{wax}$) to infer the hydrogen isotopic signature of precipitation, or $\delta$D$_p$. $\delta$D$_{wax}$ values are offset from the hydrogen isotopic signature of the environmental waters, assumed to be the isotopic value of mean annual precipitation or $\delta$D$_p$. This apparent fractionation or $\varepsilon_{p-w}$ varies across plant clades. Graminoids (e.g. grasses) tend to have a larger $\varepsilon_{p-w}$, or are more depleted relative to $\delta$D$_p$, than eudicots (Gao et al., 2014) (see Text S1). Following previous work, we use $\delta^{13}$C$_{wax}$ and a Bayesian mixing model (Tierney et al., 2017) to infer the proportion of waxes that come from C$_4$ grasses in each sample. End-member constraints on C$_4$ grasses and C$_3$ eudicots come from modern plant waxes measured at the Arizona-Sonora Desert Museum in Tucson, AZ (Text S1). We then use the proportion of inferred C$_4$ vegetation to determine the appropriate $\varepsilon_{p-w}$ to apply to a given sample. Constraints on $\varepsilon_{p-w}$ are obtained from $\delta$D$_{wax}$ measured on the Arizona-Sonora Desert Museum modern plants. Finally, our weighted value of $\varepsilon_{p-w}$ is used to infer $\delta$D$_p$ (see Text S1). Because all calculations are performed in a Bayesian framework, uncertainties are propagated through all steps of the
calculation. This approach has been extensively used to study paleohydrological signals in leaf waxes (Tierney et al., 2017; Windler et al., 2021), including within the NAM domain (Bhattacharya et al., 2018).

**SST Compilation**

To identify relationships between leaf wax-inferred $\delta D_p$ and Plio-Pleistocene changes in large-scale circulation over the north Pacific, we compiled available continuous alkenone-based records of Plio-Pleistocene temperatures from the northeast Pacific. Records were calibrated using BAYSPLINE, a Bayesian calibration that accounts for the attenuation of the relationship between the alkenone unsaturation index and temperature at warmer temperatures (Tierney & Tingley, 2018).

We calculate three indices of Plio-Pleistocene SSTs. First, we calculate average eastern equatorial Pacific SST by taking the mean SST anomaly of sites in the eastern equatorial Pacific including sites IODP U1337, ODP 847, ODP 846, and ODP 1239 (Liu et al., 2019; Dekens et al., 2007; Seki et al., 2012; Rousselle et al., 2013; Shaari et al., 2013; Etourneau et al., 2010; T. D. Herbert et al., 2016; Lawrence et al., 2006). This excludes extremely low resolution records or records that do not extend to 3.6 Ma (e.g. IODP U1338). Next, we calculate average southern California margin SSTs by taking the average anomaly at sites ODP 1012, ODP 1014, and ODP 1010 (J. P. LaRiviere et al., 2012; J. LaRiviere, 2007; Brierley et al., 2009b; Dekens et al., 2007), also excluding sites with alkenone records that do not extend to 3.6 Ma (e.g. site 475). Finally, we calculate an index of the subtropical/tropical gradient in the eastern Pacific by subtracting tropical eastern equatorial Pacific SSTs from subtropical southern California Margin SST. This index helps quantify the relative warmth on the southern California margin, and is between -7 and -8 in the present-day climatology.

**Isotope-Enabled Model Simulations**

To investigate the drivers of SWNA $\delta D_p$ changes during the Pliocene, we analyzed simulations conducted with the isotopologue-tracking enabled Community Earth System Model 1.2 (iCESM1.2) in atmosphere-only mode (e.g. iCAM5) (Brady et al., 2019). The atmospheric model is run at a 0.9°x1.25° horizontal resolution, with 30 vertical layers. The pre-industrial simulation of iCESM1.2 used in this study captures a similar seasonal cycle of water isotopes compared to GNIP observations, with an enriched summer monsoon compared to depleted winter rainfall (Figure S6), despite the fact that iCESM1.2’s rainfall isotopes are depleted com-
pared to observations at Tucson’s GNIP station (Nusbaumer et al., 2017). In addition, iCAM5 performs slightly better than other models at simulating rainfall isotope changes due to changing stratiform fraction (Hu et al., 2018).

We prescribe the idealized SST field used in (Fu et al., 2022), which is based on the PRISM3 SST reconstruction (Dowsett et al., 2009), but increases temperatures on the Southern California Margin to match proxy evidence. All other boundary conditions (e.g. topography, land surface conditions), including CO$_2$, are kept at pre-industrial values. This simplified experimental design allows us to cleanly isolate the influence of an altered SST field on SW NA hydroclimate.

We also perform 6 additional iCAM5 simulations with different SST patterns and Pliocene boundary conditions in order to better understand the drivers of NAM changes. These simulations featured two types of experiments: in one, we uniformly warm global SSTs without changing the subtropical/tropical gradient in the eastern Pacific. In the other, we incrementally reduce the subtropical/tropical gradient by warming the CA coast. These two sets of experiments compares whether uniform warming of tropical/subtropical ocean, or changing warming structure is more important to drive NAM changes, and should be regarded as sensitivity tests. Simulations are run for 40 years and the last 20 years of model runs are averaged to generate climatologies. It should also be noted that all simulations have fixed atmospheric CO$_2$ concentrations to Pliocene levels of 400 ppm, resulting in a warmer troposphere with higher evaporative demand. For further details, see Text S1 and Table S4.

Results and Discussion

Plio-Pleistocene Trajectory of $\delta D_p$ in SWNA

Our new leaf wax-based reconstructions of $\delta D_p$ indicate a large shift in SWNA hydroclimate between 3.0 and 2.4 Ma, right at the Plio-Pleistocene transition and coinciding with the intensification of Northern Hemisphere glaciation (Figure 1). At site 1012, $\delta D_p$ is ca. $-20$ to $-40\%e$ between 3.5 and 3.0 Ma and then declines to ca. $-50$ to $-60\%e$ by 2.5 Ma. After this point, $\delta D_p$ fluctuates between $-65$ and $-45\%e$. A similar pattern of change is recorded at DSDP 475. At this site, Pliocene values of $\delta D_p$ range between $-45$ and $-35\%e$, 20 to 35\%e more enriched than late Pleistocene values. The most enriched values of $\delta D_p$ occur between 3.5 and 2.9 Ma (Figure 1), after which inferred $\delta D_p$ values progressively decline until 2.4 Ma. Thereafter, values of $\delta D_p$ at site 475 show an increase in variability. The increase in variabili-
ity in the Pleistocene portion of both records likely reflect glacial-interglacial variability. Southward shifts in the westerlies during glacial periods weaken the NAM by promoting ‘ventilation’ or the import of cold, dry air into the monsoon domain (Bhattacharya et al., 2018).

The similar trajectory of $\delta D_p$ change over the Plio-Pleistocene transition at both sites suggests that our $\delta D_p$ reconstructions reflect large-scale reorganizations of hydroclimate rather than any local topographic effects. Furthermore, independent evidence suggests that topography in western North America was already sufficiently high to establish modern circulation patterns and block Gulf of Mexico moisture, making it unlikely that Pliocene $\delta D_p$ changes reflect an increasing contribution of easterly moisture sources from the Atlantic to coastal regions of Baja and southern California (Mix et al., 2019; Wheeler et al., 2016).

We interpret the enrichment of $\delta D_p$ in the Pliocene relative to late Pleistocene values as reflecting a greater proportion of convective summer rainfall during this epoch. Summer monsoon rainfall forms from vapor that is rapidly lifted from a warm, saturated boundary in strong convective updrafts (Text S1; Figure S2). This results in an enriched isotopic signature relative to winter moisture, which primarily derives from stratiform precipitation (Aggarwal et al., 2016) (Figure S2). This interpretation differs from the so-called ‘amount-effect’ observed in other parts of the tropics, but reflects the distinct climatology of the NAM region, which features a high proportion of stratiform rainfall in comparison to the monsoon regions (e.g. the Indian monsoon) (Schumacher & Houze, 2003).

Further support for the view that fluctuations in $\delta D_p$ reflect proportions of convective and stratiform rainfall comes from the fact that spatial gradients in modern coretop leaf wax-inferred $\delta D_p$ in the Gulf of California show a strong positive correlation with the fraction of rainfall derived from deep convection (Figure S2). Increasing proportions of deep convection in the region stretching from Baja California to the southern California margin can therefore explain a more positive leaf wax $\delta D_p$ signature during the Pliocene. This complements the argument made in (Bhattacharya et al., 2018), who found that $\delta D_p$ reflects changing proportions of summer and winter rainfall: summer rainfall is primarily deep convective and winter/spring rainfall derives from stratiform rain (Figure S2). Other processes, like equilibrium temperature effects, are too small to explain the full magnitude of the $\delta D$ changes (see Text S1).

In the present climatology, the region around DSDP 475 receives less than 1 mm/day of rain on average, and in many years receives no monsoon rainfall (Fonseca-Hernandez et al., 2021) (Figure S3). A positive Pliocene leaf wax $\delta D_p$ signature at DSDP 475 likely reflects
intensification of the monsoon in its core region as well as its expansion into Baja. Similarly, the majority of rain at ODP 1012 in the present day derives from winter moisture, with virtually no contribution from summertime rainfall. The enriched signature of $D_p$ in the Pliocene at site 1012 reflects a fundamentally different climatology, with a greater proportion of summer rainfall. This coheres with existing qualitative inferences from southern California palynological data and faunal remains, which suggest that the Pleistocene marked a transition from summer-wet to summer-dry environments in both southern California and Baja California, (Miller, 1980; Ballog & Malloy, 1981; Axelrod, 1948). Together, these lines of evidence suggest that the Pliocene featured a stronger monsoon that was spatially more extensive, influencing the entire region stretching from Baja California through southern California. Moreover, evidence for a warmer and wetter climate in the NAM region is also shown by paleo-botanical evidence from the Miocene (M. J. Pound et al., 2012), suggesting this mechanism may be applicable to understanding other warm climate intervals.

**SST Patterns and Plio-Pleistocene Monsoon Changes**

A recent modeling study hypothesized that warmer California margin temperatures in the Pliocene resulted in expanded and enhanced summer convection (Fu et al., 2022). This hypothesis implies that the evolution of the Plio-Pleistocene NAM should track coastal temperatures on the California Margin. In contrast, other modeling studies suggest that NAM strength responds most strongly to the relative warming of the subtropical eastern Pacific (e.g. California Margin) compared to the eastern equatorial Pacific (EEP) (Pascale et al., 2017). To assess the importance of each of these factors in driving NAM changes, we compare our records of summer convection to an index of the eastern Pacific subtropical/tropical temperature gradient, as well as an index of California margin SSTs (see Methods).

Our Plio-Pleistocene records of SWNA $D_p$ exhibit a stronger relationship to the eastern Pacific subtropical/tropical gradient than to the absolute magnitude of warming on the CA margin (Figure 1e and f). The subtropical/tropical gradient index shows that the temperature difference between the the California Margin and the EEP was at a minimum between 3.5 and 3.0 Ma, and then progressively increased between 3.0 and 2.4 Ma until reaching modern values, where the southern California Margin is approximately 7.6°C cooler than the EEP (Figure 1e). The largest changes in this gradient coincide temporally with the step-like transition to more depleted values in both our $D_p$ records (Figure 1). In contrast, southern CA margin
temperatures show a gradual long-term decline from 3.5 to 0.8 Ma, with no indication of a step change at the Plio-Pleistocene transition (Figure 1f).

CA margin temperatures and the eastern Pacific subtropical/tropical gradient index are not independent metrics, as the former is used to calculate the latter. However, the temporal evolution of SWNA δD_p more closely mirrors the trajectory of the subtropical-tropical SST gradient than CA margin temperatures alone, which supports a causal link between long-term NAM strength and this temperature gradient. We next use isotope enabled simulations to corroborate this observed relationship between SST patterns, NAM strength, and δD_p.

NAM changes in an Isotope-Enabled Simulation

iCAM5 produces a region of positive vapor δD anomalies that are co-located with warm SST anomalies. These vapor anomalies spatially coincide with the location of enriched δD_p and a weak, convergent, cyclonic circulation pattern (Figure 2). This is supported by the moisture budget analysis presented in (Fu et al., 2022), which found that both thermodynamic and dynamic processes contributed to rainfall changes in their simulations. Because SST anomalies in the PRISM3 dataset are muted south of Baja California, δD_p is slightly depleted in that region, differing from our record from site 475 (Figure 2, Figure S5). However, this simulation still illustrates the connection between SST, vapor and precipitation δD, and summer rainfall.

In iCAM5, water vapor and precipitation δD act as a tracer of changes in energy for convection. We measure the latter using equivalent potential temperature or θ_e, a thermodynamic quantity that integrates information about the temperature and moisture content of air parcels. Vertical gradients of θ_e therefore measure the potential for instability and convection. The vertical profile of the atmosphere over the NAM region shows that positive θ_e anomalies, which imply greater potential for convection, are co-located with increases in water vapor δD (Figure 2). Warmer subtropical SSTs likely drive higher local fluxes of sensible and latent heat. In addition, the convergent circulation over these warm coastal SSTs imports moist, warm air into this region (Fu et al., 2022). These processes result in positive anomalies of θ_e, and also change the signature of δD_p by altering the isotopic signature of the moisture source from which precipitation is derived. Water vapor changes may dominate the isotopic response in iCAM5 because isotope-enabled models are known to underestimate δD_p changes that result directly from changing proportions of convective rainfall (Hu et al., 2018). Therefore, the model pro-
duces a smaller magnitude of change in $\delta D_p$ compared to what is estimated by the proxies, although the model does overlap the 95% confidence interval of proxy-estimated $\delta D_p$ change in the Pliocene at both sites.

Our iCAM5 simulation confirms that a stronger summer monsoon is linked to enriched $\delta D_p$ and that warm temperatures along the California margin are critical for sustaining a stronger monsoon circulation. However, this single simulation does not allow us to cleanly determine whether local warming on the CA margin, versus the gradient of temperature between the subtropical eastern Pacific and the EEP, is more important for driving an increase in summer rain. Moreover, it is unclear whether intensification of the NAM alone could have sustained a positive balance of precipitation minus evaporation, as is implied by qualitative proxies like mesic vegetation, high lake levels, and taxa like *Crocodylus*.

To identify whether local CA margin SSTs or the larger subtropical/tropical SST gradient was responsible for the observed hydroclimatic changes, we conducted a series of simulations with iCAM5 where we systematically varied SST patterns (Figure S5). Across all of the simulations, annual P-E is strongly correlated with summer P-E ($r = 0.87$, $p=0.04$), which confirms that summer precipitation is important for maintaining a year-round positive P-E balance. However, we find a weak relationship between summer P-E and temperature anomalies on the southern CA margin (Figure 3a). Even when the model SST field overlaps the range of Pliocene proxy-inferred SST warming on the southern CA margin, the model does not produce positive P-E, as is implied by available qualitative proxies from the Pliocene. In contrast, summer P-E shows a statistically significant relationship with the subtropical/tropical SST gradient (Figure 3b). Simulations that weaken this gradient to near 2°C, which is within the range of the change suggested by Pliocene proxies, produce positive annual P-E that is primarily driven by changes in summer P-E. Moreover, across simulations, we find that summer P-E accounts for nearly all of the annual P-E signal as the subtropical/tropical gradient weakens to near 2°C (Figure 3b). While it is possible that the model underestimates the response of P-E to SST gradients since its low resolution does not resolve realistic patterns and statistics local convection, these simulations provide another line of evidence suggesting that NAM strength is determined by large-scale SST gradients between the tropics and subtropics, supporting the analyses of (Pascale et al., 2017) and our hydroclimate and SST proxy data.
Implications for Current and Future Southwestern Hydroclimate

Pliocene proxy evidence and model simulations underscore the strong relationship between subtropical/tropical SST gradients in the east Pacific and NAM strength, with implications for understanding past and future climate in this region. Given the critical importance of temperature patterns to NAM variability, climate models with significant northeast Pacific SST bias may not produce reliable future predictions (Y. Zhu et al., 2020). This may contribute to a lack of robust predictions of future changes in the NAM, which tend to be highly dependent on model bias and resolution (Maloney et al., 2014; Meyer & Jin, 2017; Cook & Seager, 2013; Almazroui et al., 2021; Moon & Ha, 2020). When global SSTs are bias-corrected, high-resolution models produce robust decreases in rainfall across all seasons in response to warming (Pascale et al., 2017, 2018), at odds with previous work (Meyer & Jin, 2017; Cook & Seager, 2013). This result is due in part to reduced California margin warming compared to the tropical eastern Pacific (Pascale et al., 2017; He et al., 2020). In contrast, our results suggest that the Pliocene featured enhanced California margin warming compared to the tropical eastern Pacific, amplifying monsoon strength. This enhanced warming in Pliocene simulations may reflect the long-term influence of earth system processes related to the cryosphere and vegetation, or long-term adjustments of deep ocean dynamics that alter SST patterns (Tierney et al., 2019; Feng et al., 2020; Brennan et al., accepted; A. Fedorov et al., 2013; Ford et al., 2015). In fact, a growing body of literature suggests that the equilibrium response of SST and hydroclimate to greenhouse boundary conditions, especially those that involve long-term earth system feedbacks as likely occurred during the Pliocene, may differ fundamentally from responses to transient warming (Sniderman et al., 2019; Zappa et al., 2020; Burls & Fedorov, 2017).

Moreover, we are able to identify events in the observational record with amplified monsoon rainfall that parallel the mechanism of monsoon intensification in the Pliocene. Since the mid-20th century, the northeast Pacific has experienced multi-season or multi-year marine heat waves (MHW) (Myers et al., 2018; Amaya et al., 2020; Hoegh-Guldberg et al., 2014). The peak of a heat wave between 2012 and 2014 occurred in summer 2014 and featured SSTs 1-2°C warmer than average on the southern California Margin (Myers et al., 2018), in a similar location to the region where proxies suggest the warmest mid-Pliocene temperatures occurred (Figure 4). During this event, eastern equatorial Pacific warming associated with a developing El Niño event was muted. SST anomalies off the coast of Baja reached their maximum in summer 2014 (Myers et al., 2018). We use reanalysis data from the North Ameri-
can Regional Reanalysis (NARR) and the NCEP-NCAR reanalysis (Mesinger et al., 2006; Kalnay et al., 1996) to plot changes in precipitation, winds, and calculate changes in equivalent potential temperature $\theta_e$. To quantify changes in deep convection, we plot changes in summer-time (June - September) climatological outgoing longwave radiation (OLR) over southern Baja California as well as the distribution of OLR values during the heat wave (Liebmann & Smith, 1996). Lower values of OLR indicate cooler cloud tops and deeper convection (Figure 4).

Statistically significant rainfall changes occur in the core NAM domain, but also in peripheral regions like the Baja California Peninsula, which normally experiences atmospheric subsidence and receives little monsoon rain (Figure S6) (Fonseca-Hernandez et al., 2021). This is illustrated by a shift in daily summertime outgoing longwave radiation (OLR) values over Baja, which shows an increase in values near 240-250 W/m$^2$. These values are characteristic of monsoon storms, while outlying low values represent tropical storms and hurricanes (Figure 4). This increased convection is partially the result of the direct thermodynamic effect of warm SSTs, but we also note the presence of southerly wind anomalies along the coast of Mexico that likely resulted in greater convergence of moisture via dynamic processes (Figure 4). Circulation changes and warm SSTs increase low level moist entropy, enhancing moist convection over the NAM region (Figure 4). Our results are further corroborated by previous research showing that extreme rainfall and flooding in southern Arizona is linked to increased precipitable water offshore of Baja, similar to what we observe during the MHW (Yang et al., 2017). Moreover, previous work found that other NE Pacific marine heat waves are associated with above average soil moisture across the NAM domain (Shi et al., 2021).

Disentangling causality in a short instrumental record is challenging, and the cause of MHW-related SST anomalies, which result from changes in surface radiation, may differ from the cause of the California margin warming in the Pliocene, which could also involve ocean dynamical adjustments (Myers et al., 2018; Brennan et al., accepted; Ford et al., 2015; A. Fedorov et al., 2013). Despite this, there are clear parallels between our conceptual model of Pliocene NAM changes and MHW-related NAM changes. For reference, we plot the 2014 marine heat wave on Figure 3. This event stands out as featuring only modest California margin SST anomalies, far below the range of those inferred by Pliocene proxies. However, because this marine heat wave event was paired with weak positive temperature anomalies in the EEP, this event featured a greatly relaxed subtropical/tropical SST gradient. This example suggests that, if marine heat waves similar to those observed in 2013-2014 continue to intensify as projected, the future may feature intervals with SST patterns and circulation anomalies that are conducive
to more intense, spatially expanded NAM rainfall. These extreme events in turn have important societal and ecological consequences, including potentially amplifying wildfire risk by increasing biomass, and increasing hazards from landslides (Mazon et al., 2016; Demaria et al., 2019; Pascale et al., 2018).

Conclusions

Two novel leaf wax reconstructions of SWNA hydroclimate provide proxy evidence of intensification of the NAM, as well as expansion of its spatial footprint, during the Pliocene. Instead of solely resulting from winter rain changes, wet conditions during this epoch were at least in part driven by the summer monsoon. In fact, model simulations suggest that the majority of the precipitation minus evaporation signal in the Pliocene may have been driven by summer rainfall. Both proxies and models suggest that the Pliocene expansion of summer rainfall in SWNA is linked to the subtropical/tropical SST gradient across the eastern Pacific. These results cohere with the model experiments in (Pascale et al., 2017), who posit that this gradient will play a key role in the future trajectory of the NAM. Our results are also consistent with the ‘warmer-get-wetter’ paradigm, which posits that, in warm climates, the largest rainfall changes in the subtropics and tropics should occur in regions with the highest relative SST warming (Xie et al., 2010).

SWNA is in the midst of an intensifying megadrought, driven in part by higher temperatures that increase evaporation and reduce snowpack (Williams et al., 2022). Understanding the role of monsoon rainfall in future hydroclimate has implications for regional water resources, ecosystems, wildfire regimes, and other land surface processes. There is currently no consensus about the future trajectory of the monsoon. However, recent simulations suggest that the future will feature a weaker NAM, as a result of a reduced eastern Pacific subtropical/tropical gradient that enhances atmospheric stability over SWNA (Pascale et al., 2017). This is the opposite of what proxies suggest for the Pliocene, which featured a greatly reduced reduced subtropical/tropical gradient (Brierley et al., 2009a; A. V. Fedorov et al., 2015). One possible reason for this discrepancy is because of the timescale of response for the Pliocene vs. near-future warming: the Pliocene represents an equilibrium climate state and features SST patterns that are the result of long-term adjustments of the Earth systems that have yet to emerge in transient simulations of current warming (Heede et al., 2021).
Our analysis of the observational record also suggests that the future may be characterized by intervals of expanded monsoon rainfall. We demonstrated that marine heat waves on the southern California Margin, when coupled with muted warming in the EEP, result in a stronger monsoon. While the direct influence of CO$_2$ is predicted to intensify individual monsoon storms (Demaria et al., 2019; Pascale et al., 2018), marine heat waves complement this mechanism by facilitating the spatial expansion of monsoon rainfall into regions like Baja and Southern California. This example raises the intriguing possibility that the subtropical/tropical SST gradient may help aid efforts to improve the seasonal predictability of NAM rainfall (Grimm et al., 2020). We also note that this mechanism of current and future monsoon change may be underestimated by global models, which are known to underestimate the intensity and duration of marine heat waves (Plecha & Soares, 2020). However, this mechanism is likely to have important implications, since enhanced summer rain coupled to a warmer climate may result in higher fuel loads and fire, as well as flash flooding (Moloney et al., 2019; Yang et al., 2017).

Our results have implications for both past and future hydroclimate change in SWNA. Several modeling studies have shown that subtropical regions, especially eastern ocean boundary upwelling zones, are especially sensitive to greenhouse boundary conditions (Schneider et al., 2019; J. Zhu et al., 2019). It is therefore likely that other greenhouse climate intervals witnessed similar hydroclimate reorganizations on land areas near upwelling zones. The mechanism we identify is also relevant to the present day, since we found evidence of an expanded monsoon during the modern 2014 marine heat wave. These results underscore the fact that far from representing a climate state fundamentally dissimilar from present day, the Pliocene can both help test fundamental theories about the dynamics that govern regional circulation, and serve as an analog for the processes that will drive hydroclimate in a warmer world. Further studies of the Pliocene and similar greenhouse intervals could therefore provide key lessons relevant for adapting to both near-future and long-term regional hydroclimate changes.

**Data Availability Statement**

New proxy data and selected modeled fields will be archived at a permanent link at the NOAA Paleoclimatology Database and will be made available upon publication.

**Acknowledgments**

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NSF MRI Grant EAR-2018078 to TB. MF was supported by the NSF Climate Dynamics program (joint NSF/NERC) grant AGS-1924538. JET acknowledges funding support from NSF OCE-2125955. NJB and SK acknowledge funding support from NSF award AGS-1844380. RF acknowledges funding from NSF Grants OCE-2103055 and OCE-1903650. All simulations were conducted on Computational and Information Systems Laboratory. 2019. Cheyenne: HPE/SGI ICE XA System (Climate Simulation Laboratory). Boulder, CO: National Center for Atmospheric Research. doi:10.5065/D6RX99HX. We thank lab managers Patrick Murphy at the University of Arizona and Jillian Aluisio and Stephanie Bullinger at Syracuse University for assistance with the leaf wax analysis.
Figure 1. Plio-Pleistocene changes in SW NA hydroclimate. a) shows benthic oxygen isotope stack from (Lisiecki & Raymo, 2005), while b and c) shows our new Plio-Pleistocene reconstruction of $\delta D_p$ from ODP 1012 and DSDP 475 respectively. Modern coretop values shown in dark green error bars. d) shows index of subtropical minus tropical eastern Pacific temperature, while e) shows index of Plio-Pleistocene CA margin temperatures.
Figure 2. Changes in summer rainfall and water isotopes in an isotope-enabled simulation forced with SST changes in (Fu et al., 2022). a) shows June-September SST patterns with wind anomalies; b) shows vertically integrated δD of vapor. c) shows show δDp, with lower (outer circle), median (middle circle), and upper (inner circle) 95% confidence interval of proxy-estimated δDp changes at site 475 and 1012. d) shows precipitation anomalies in this simulation. e) shows vertical profile of lower-atmospheric changes in δD of vapor and $\theta_c$. 
Figure 3. Relationship between SST patterns and SWNA hydroclimate. Red diamonds indicate changes in summer precipitation minus evaporation (P-E, mm/day); blue-green circles indicate annual P-E. a) the relationship between southern CA margin SST anomalies (SST changes between 20-35°N and 125-110°W) in the iCAM simulations and P-E across SWNA (land areas between 20-35°N and 120-105°W). Gray box shows 95% confidence interval of proxy-inferred southern CA margin warming, and is located above 0 P-E since qualitative proxies (lake level, flora/fauna) suggest positive anomalies of P-E during Pliocene. b) the relationship between the subtropical/tropical gradient and P-E. The SST gradient is calculated by taking the CA margin SST anomalies in a) and subtracting EEP temperatures (averaged between 5°S - 5°N and 170-90°W). Gray box shows proxy-inferred range of this gradient. For reference, the 2014 marine heat wave results shown in Figure 4 are shown in yellow diamonds.
Figure 4. NAM changes during the peak of the 2014 northeast Pacific MHW. a) shows SST anomalies during summer 2014, during the peak of the MHW. b) vertical atmospheric profile of moist entropy changes over Baja California in box shown in panel c). Dashed contours show climatological values, while colored contours indicate 2014 MHW anomalies. c) shows rainfall anomalies in the North American Regional Analysis, with stippling showing values that are not significant at the 95% level. d) shows the daily distribution of climatological (gray) and MHW (purple) summertime outgoing longwave radiation (OLR). Lower OLR values indicate cooler cloud tops on deep convective clouds. Gray bracket shows 95% CI for climatological values.
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Supporting Information for “Expansion and intensification of the North American Monsoon during the Pliocene”
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Text S1 - Detailed Methods

Leaf Wax Extraction and Measurement

Approximately 100 samples were processed from DSDP 475 and ODP 1012 respectively. Samples were freeze dried and homogenized. Total lipids were extracted using an accelerated solvent extractor system (ASE 350, Dionex) at a temperature of 100°C and a maximum pressure of 1500 psi. Following previous work in the region, we focus our analysis on the terrestrially-derived C\textsubscript{30} fatty acid (Bhattacharya et al., 2018; Eglinton & Hamilton, 1967)

Fatty acids were separated from other lipid compounds on a LC-NH\textsubscript{2} gel column. The neutral fraction was eluted using a 2:1 mix of dichloromethane:isopropanol, and the acid fraction was eluted with 4% acetic acid in dichloromethane. We methylated the acids to replace exchangeable hydrogen on the carboxyl group with a methyl group of known isotopic composition. The resultant FAMEs (fatty acid methyl esters) were purified again over silica gel using dichloromethane.

Concentrations of C\textsubscript{30} FAMEs were determined using a Trace 1310 GC-FID. Hydrogen and carbon isotopic composition of the FAMEs were measured via gas chromatography-pyrolysis-isotope ratio mass spectrometry (GC-IR-MS) using a Thermo Delta V Plus mass spectrometer. H\textsubscript{2} and CO\textsubscript{2} gases calibrated to an n-alkane standard (A7 mix provided by Arndt Schimmelmann at Indiana University) provided references for each analysis. An internal isotopic standard consisting of a synthetic mix of FAMEs was analyzed every 5-7 samples to monitor drift. Samples were run in triplicate for δD to obtain a precision better than 2‰, and in duplicate or triplicate for δ\textsuperscript{13}C to obtain a precision better than 0.2‰. To account for the added methyl group during methylation, the δD and
δ\(^{13}\)C of the methanol was determined by methylating a phthalic acid standard of known isotopic composition obtained from Arndt Schimmelmann at Indiana University, and a mass balance correction was applied to the δD and δ\(^{13}\)C values of our FAMEs. While we initially corrected for ice volume changes using a a million-year smoothed version of the benthic oxygen isotope stack (Lisiecki & Raymo, 2005) following the method of (Schrag et al., 1996), this correction had a minimal influence on each record (Figure S1), was omitted in our final analysis.

**Modern Plant Sampling and Inferring δD of Precipitation**

δD\(_{\text{wax}}\) values are offset from δD\(_p\) by the apparent fractionation ε\(_{p-w}\). Values of ε are related to ecological differences in wax synthesis across plant clades. Waxes synthesized by graminoids (e.g. grasses) tend to have a larger ε\(_{p-w}\), or are more depleted relative to δD\(_p\), than eudicots (e.g. broadleaved herbs, shrubs and trees (Sachse et al., 2012)). These differences are likely the result of differences in the seasonal leaf wax production in each group, or differences in the pools of intermediate hydrocarbon compounds used in wax synthesis (Gao et al., 2014). Members of Cactaceae, which use the Crassulaic Acid Metabolism (CAM photosynthesis), are also present in the Sonoran Desert. Greenhouse experiments suggest that CAM species may have similar ε values to eudicots (Gao et al., 2014). However, we suggest that members of Cactaceae may not be major contributors to sedimentary leaf waxes. Herbs and shrubs in the NAM region primarily use the C\(_3\) pathway, while most grasses are C\(_4\) taxa (Sachse et al., 2012). C\(_3\) and C\(_4\) taxa exhibit differences in leaf wax δ\(^{13}\)C, with a more enriched carbon isotopic signature in C\(_4\) graminoids (Collister et al., 1994). To infer the hydrogen isotopic signature of precipitation, or δD\(_p\), we leverage paired measurements of carbon and hydrogen isotopes of leaf waxes. Our
analyses use an approach that has been widely used in the literature to study hydroclimatic signals in leaf wax hydrogen isotopes (Tierney et al., 2017; Bhattacharya et al., 2018; Windler et al., 2020).

Following previous work, we use a Bayesian framework to calculate $\delta D_p$ from $\delta D_{wax}$ and $\delta^{13}C_{wax}$. First, we use carbon isotopes in a Bayesian mixing model to identify the proportion of waxes that derive from C$_4$ graminoids (e.g. grasses using the C$_4$ photosynthetic pathway). End-members of $\delta^{13}C_{wax}$ were obtained from our own measurements of modern plant communities at the Arizona-Sonora Desert Museum, which include taxa from both the Sonoran Desert ecoregion and southern Baja Thornscrub vegetation (Table S2). These values are based on repeated measurement of new growth on each plant once a month for a calendar year. In order to make measurements more comparable to Plio-Pleistocene leaf wax carbon isotopes measurements, values of $\delta^{13}C$ inferred from modern plants have been corrected for the Suess effect.

Next, we use the proportion of inferred C$_4$ vegetation to determine the appropriate $\varepsilon_{p-w}$ to apply to a given sample. Constraints on $\varepsilon_{p-w}$ are obtained from $\delta D_{wax}$ measurements on Arizona Sonora Desert Museum modern plants. The approach involves weighting the value of $\varepsilon_{p-w}$ for C$_3$ and C$_4$ plants by the inferred fraction of C$_3$ and C$_4$ plants in the sample (Eq. 1).

$$\varepsilon = f_{C4} \cdot \varepsilon_{C4} + (1 - f_{C4}) \cdot \varepsilon_{C3}$$  

Finally, we then apply our weighted value of $\varepsilon_{p-w}$ to obtain an inferred value of the hydrogen isotopic value of precipitation using Eq. (2). Because all calculations are performed in a Bayesian framework, uncertainties are propagated through all steps of the

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calculation. Therefore, while our initial 1σ precision for δDw measurements is 2‰, 1σ uncertainty for our final estimate of δDp is 6‰ for each measurement. Moreover, our inferred values of both end-members of δ^{18}C and ε_{p-w} agree previous leaf wax datasets from the western US (Smith & Freeman, 2006; Feakins & Sessions, 2010; Sachse et al., 2012).

\[ \delta D_{\text{precip}} = \frac{1000 + \delta D_{\text{wax}}}{(\varepsilon/1000) + 1} - 1000 \]  

(2)

iCAM5 Simulations with Fixed SSTs

To establish a linkage between precipitation isotopes, monsoon rainfall, and SST changes, we performed a simulation with the isotopologue-tracking enabled Community Earth System Model 1.2 (iCESM1.2) in atmosphere-only mode (e.g. iCAM5) (Brady et al., 2019). The atmospheric model is run at a 0.9°x1.25° horizontal resolution, with 30 vertical layers. While isotope-enabled models have limitations, we note that the pre-industrial simulation of iCAM5 used in this study is able capture the seasonal contrast in precipitation δD found in GNIP station data in Tucson AZ, with an enriched summer monsoon compared to depleted winter rainfall (Figure S6), despite the fact that iCESM1.2’s rainfall isotopes are depleted compared to observations at Tucson’s GNIP station (Nusbaumer et al., 2017). In addition, iCAM5 performs slightly better than other models at simulating rainfall isotope changes due to changing stratiform fraction (Hu et al., 2018). We therefore suggest that this model is appropriate for investigating changes in δDp associated with Pliocene boundary conditions.

We perform one simulation using the SST field from (Fu et al., 2022), which uses reconstructed Pliocene SSTs with amplified warming on the southern California Margin.
SSTs used in this simulation are shown in Figure S5, panel a. This simulation is used to diagnose the linkage between SST changes, δD_p, and summer rainfall. In this simulation, we analyze isotopes of water vapor and precipitation, and plot changes in precipitation, winds, as well as derived fields like equivalent potential temperature (θ_e).

To identify the influence of different SST patterns on NAM strength, we perform a set of 7 sensitivity experiments using iCAM5 with prescribed SST fields. All simulations use the same Pliocene boundary conditions adapted for Community Earth System Model, and use CO2 concentrations of 400 ppm (Feng et al., 2020). Two experiments were designed analyze the impact of uniform warming. SSTs in these experiments are increased by 1°C and 2°C without any changes in spatial patterns (Table S4). The 1°C warming field is shown in Figure S5, panel b. This means that this experiment represents a uniform warming of 1°C on top of the SST anomalies from a coupled CESM1.2 simulation from (Feng et al., 2020).

Four of the experiments are designed to sample a range of SST gradient changes associated with CA coastal warming. The coastal warming pattern is derived from the pattern of SST anomalies during the 2014 western U.S. coast marine heat wave event. In order to create prescribed SSTs for the iCAM5 simulations, these SST anomalies were scaled by 1x, 2x, 3x, and 4x and added to Pliocene SST field obtained from a fully-coupled model simulations using the Community Earth System Model version 1.2 (CESM1.2) using the same boundary conditions (Feng et al., 2020). The 1x pattern from these ‘MHW-like’ experiments is shown in Figure S5, panel c. All experiments were run for 40 model years. The last 20 model years are averaged to produce climatologies. For clarity, Table S4 de-
tails each experiment’s SST design, how CA margin and the subtropical/tropical gradient vary across simulations.

**Text S2 - Interpretation of Inferred $\delta D_p$**

Plio-Pleistocene changes in leaf wax isotopes reflect changes in the intensity and spatial extent of moist convection over southern California and Baja California. Modern core-top samples show a more enriched value of $\delta D_p$ in the southern Gulf of California, where monsoonal, convective rainfall forms a greater proportion of total rainfall (Figure S2). Seasonal changes in precipitation isotopes, measured at a GNIP station in Tucson, also suggest that the summer months, which feature more convective rainfall, have a more enriched isotopic signature (Figure S2) (Bhattacharya et al., 2018).

Monsoon rainfall is characterized by a greater proportion of deep convection, and exhibits a more positive value of $\delta D_p$. Deep convective monsoon rainfall results from ice hydrometeors that develop when vapor evaporated in a warm, saturated boundary layer is lifted in strong updrafts, resulting in a more enriched isotopic signature for rainfall (Aggarwal et al., 2016). In contrast, stratiform rainfall tends to form in environments with relatively weak updrafts, and may develop relatively slowly, incorporating mid to upper tropospheric water vapor and undergoing more phase changes, resulting in a depleted isotopic signature (Aggarwal et al., 2016). Intervals with enhanced deep convective and monsoon rainfall to exhibit more enriched values of $\delta D_p$, since a greater proportion of annual rainfall comes from enriched, convective rainfall. This is opposite to the ‘amount effect,’ where more rainfall is associated with a more depleted isotopic signature (Risi et al., 2008), but is consistent with our understanding of regional isotope systematics. The NAM region differs from other tropical regions (e.g. the Asian monsoon) as it receives...
a substantial amount of stratiform rainfall, which likely overprints any ‘amount effect’ (Schumacher & Houze, 2003). Other processes, like temperature and changes in vapor source region, may influence inferred δD_p values. Equilibrium temperature effects (e.g. changes in the fractionation between atmospheric water vapor and rainfall) likely only account for 5-7‰ of the overall change observed in δD_p values at most. SST anomalies between 3.5 and 3.0 Ma at DSDP 475 and ODP 1012 were between 4-6°C warmer than pre-industrial values, which would translate into a 4 to 6‰ change in δD_p relative to atmospheric water vapor, assuming that condensation temperatures shifted similarly to SSTs. This is smaller than the magnitude of δD_p change at both sites, suggesting other processes are responsible for the full magnitude of the signal.

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**Table S1.** Locations and interpretations of Pliocene data shown in Figure 1

<table>
<thead>
<tr>
<th>Site</th>
<th>Name</th>
<th>Lat</th>
<th>Lon</th>
<th>Age (Ma)</th>
<th>Interpretation</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Diablo Formation</td>
<td>33.26</td>
<td>-116.37</td>
<td>3.8 - 2.6</td>
<td>mesic plant taxa incl. <em>Juglans, Carya, Umbellularia, Populus</em></td>
<td>(Remeika et al., 1988)</td>
</tr>
<tr>
<td>2</td>
<td>DSDP 467</td>
<td>33.83</td>
<td>-120.75</td>
<td>15 - 2.4</td>
<td><em>Carya, Juglans</em> indicate summer wet to summer dry transition</td>
<td>(Ballog &amp; Malloy, 1981)</td>
</tr>
<tr>
<td>3</td>
<td>Las Tunas</td>
<td>23.3</td>
<td>-109.7</td>
<td>4.75 - 2.6</td>
<td>faunal remains incl. and tortoise indicate perennial freshwater, tropical envir.</td>
<td>(Miller, 1980)</td>
</tr>
<tr>
<td>4</td>
<td>Palm Springs</td>
<td>33.57</td>
<td>-115.85</td>
<td>3.3 - 2.6</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
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<tr>
<td>5</td>
<td>Gila Conglomerate</td>
<td>32.48</td>
<td>-108.26</td>
<td>4.0 - 2.6</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
</tr>
<tr>
<td>6</td>
<td>Fort Hancock</td>
<td>31.91</td>
<td>-106.5</td>
<td>3.6 - 1.9</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
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<tr>
<td>7</td>
<td>Santa Fe Group 1</td>
<td>33.05</td>
<td>-105.61</td>
<td>19.3 - 1.9</td>
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<td>Macrostrat, (Ibarra et al., 2018)</td>
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<tr>
<td>8</td>
<td>Santa Fe Group 2</td>
<td>34.15</td>
<td>-107.28</td>
<td>4.9 - 0.7</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
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<tr>
<td>9</td>
<td>Sunshine Ranch/Saugus Fm</td>
<td>34.3</td>
<td>-118.53</td>
<td>3.1 - 2.8</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
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<tr>
<td>10</td>
<td>Hueca Bolson, TX</td>
<td>30.90</td>
<td>-105.30</td>
<td>4.75 - 1.8</td>
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<td>11</td>
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<td>-118.66</td>
<td>4.9 - 2.6</td>
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<td>12</td>
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<td>36.5</td>
<td>-121.74</td>
<td>1.9-8.3</td>
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<tr>
<td>13</td>
<td>Bidahochi</td>
<td>35.95</td>
<td>-109.91</td>
<td>2.6-5.3</td>
<td>Lacustrine Deposits</td>
<td>Macrostrat, (Ibarra et al., 2018)</td>
</tr>
</tbody>
</table>

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Table S2. Individual plant taxa from the Arizona-Sonora Desert Museum of $\delta D_w$ and $\delta^{13}C$ for $C_4$ monocots/grasses and $C_3$ eudicots used to infer $\varepsilon_{p-w}$. Values are for the C$_{30}$ fatty acid unless otherwise noted. Graminoids/grasses are marked with an asterisk (*).

<table>
<thead>
<tr>
<th>Taxon</th>
<th>Ecosystem</th>
<th>Metabolism</th>
<th>$\delta^{13}C$ (%VPDB)</th>
<th>$\delta D_w$ (%VSMOW)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acacia willardiana</td>
<td>Thornscrub</td>
<td>$C_3$</td>
<td>no data</td>
<td>-97.1</td>
</tr>
<tr>
<td>Ambrosia ambrosoides</td>
<td>Sonoran Desert</td>
<td>$C_3$</td>
<td>-35.7</td>
<td>-126</td>
</tr>
<tr>
<td>Ambrosia cordifolia</td>
<td>Sonoran Desert</td>
<td>$C_3$</td>
<td>-37.5</td>
<td>-115</td>
</tr>
<tr>
<td>Ambrosia deltoidea</td>
<td>Sonoran Desert</td>
<td>$C_3$</td>
<td>-31.8</td>
<td>-116</td>
</tr>
<tr>
<td>Aristida ternipes*</td>
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<td>-30.9</td>
<td>-149</td>
</tr>
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<td>Eupatorium sagittatum</td>
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<td>no data</td>
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<tr>
<td>Chromolaena sagittata</td>
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<td>Fouqueria macdougalli</td>
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<td>-143</td>
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<td>Guascamou coasteri</td>
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<td>Parkinsonia microphylla</td>
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<td>-156</td>
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<td>Sonoran Desert</td>
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<td>Vachellia campechiana</td>
<td>Thornscrub</td>
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<td>no data</td>
<td>-119</td>
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<td>Vachellia constricta</td>
<td>Thornscrub</td>
<td>$C_3$</td>
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</table>
Table S3. End-members of δ13C and εp–w used for our calculation of leaf wax-inferred δDp. δ13C values have been corrected for the Suess effect. All carbon end-members come from modern plants at the Arizona-Sonora Desert Museum.

<table>
<thead>
<tr>
<th>Value</th>
<th>Mean</th>
<th>Standard Error</th>
<th>Sample Size</th>
</tr>
</thead>
<tbody>
<tr>
<td>δ13C3 (Eudicots)</td>
<td>-32.1</td>
<td>0.7</td>
<td>12</td>
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<td>δ13C4 (Graminoids)</td>
<td>-26.5</td>
<td>2.3</td>
<td>3</td>
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<tr>
<td>εC4 (Eudicots)</td>
<td>-113</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>εC3 (Graminoids)</td>
<td>-81</td>
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<td>31</td>
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</table>

Table S4. Overview of iCAM5 simulations used in this study, along with the response they identify (see Text S1). Simulations include Pliocene-like SST run using (Fu et al., 2022), as well as six sensitivity experiments.

<table>
<thead>
<tr>
<th>Experiment</th>
<th>Description</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pliocene SSTs</td>
<td>SST pattern from (Fu et al., 2022)</td>
<td>Hydroclimate response to Pliocene SSTs</td>
</tr>
<tr>
<td>1°C Uniform</td>
<td>1°C warming + CESM1.2 coupled SSTs</td>
<td>Uniform warming with no pattern change</td>
</tr>
<tr>
<td>2°C Uniform</td>
<td>2°C warming + CESM1.2 coupled SSTs</td>
<td>Uniform warming with no pattern change</td>
</tr>
<tr>
<td>1x MHW</td>
<td>1x MHW pattern + CESM1.2 coupled SSTs</td>
<td>Amplified Subtropical warming relative to EEP</td>
</tr>
<tr>
<td>2x MHW</td>
<td>2x MHW pattern + CESM1.2 coupled SSTs</td>
<td>Amplified Subtropical warming relative to EEP</td>
</tr>
<tr>
<td>3x MHW</td>
<td>3x MHW pattern + CESM1.2 coupled SSTs</td>
<td>Amplified Subtropical warming relative to EEP</td>
</tr>
<tr>
<td>4x MHW</td>
<td>4x MHW pattern + CESM1.2 coupled SSTs</td>
<td>Amplified Subtropical warming relative to EEP</td>
</tr>
</tbody>
</table>
Figure S1. \(C_{30}\) alkanoic acid \(\delta D\), \(\delta^{13}C\), and inferred \(\delta D_p\) from sites DSDP 475 (left) and ODP 1012 (right). All values are shown with 1σ uncertainties. a) and d) show \(\delta D\) of the \(C_{30}\) alkanoic acid, with gray curve reflecting ice-volume corrected values. The effect of a million-year ice volume correction is extremely minor. b) and e) show carbon isotope data with 1σ analytical error. At site 475, carbon isotopes were analyzed at a lower resolution since for several depths sample material was consumed for hydrogen isotope analysis. c) and f) show inferred \(\delta D_p\) values for each site. Note the larger uncertainty that includes analytical uncertainty and uncertainty from the Bayesian mixing model.
Figure S2.  a) Coretop-inferred $\delta D_p$ in the Gulf of California from (Bhattacharya et al., 2018). Original data replotted using updated $\delta^{13}C$ and $\varepsilon_{p-w}$ corrections from Table S2. Background contours indicate the percentage of NAM contribution to annual rainfall. b) Coretop $\delta D_p$ plotted against the percentage of rainfall on adjacent land regions that derives from stratiform rainfall (decreasing stratiform fraction indicates a greater share of convective precipitation). Stratiform fraction is used following the convention of (Aggarwal et al., 2016). c) seasonal cycle in Tucson GNIP station versus percent stratiform rainfall.

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Figure S3. July-September climatology, calculated from 1950 - 2018, of a) rainfall in mm/day, b) sea surface temperatures, and c) equivalent potential temperature or $\theta_e$ (solid contours are mid-tropospheric or 400 mb $\theta_e$, while colored contours are low-level (surface to 900 mb) average $\theta_e$). The location of DSDP 475 and ODP 1012 is indicated on each plot.
Figure S4. Mid-Pliocene (3.3 to 3.0 Ma) SST anomalies in alkenone-based temperature records from across north Pacific. Inner circle represents upper 95% confidence interval, middle circle is median value, while outer circle is the lower 95% confidence interval of an ensemble of correlation estimates with analytical and calibration errors propagated through both timeseries. Regions used to create averages of subtropical eastern Pacific (southern California Margin) and eastern equatorial Pacific outlined in dashed rectangles.
**Figure S5.** SST fields used for iCAM5 simulations (see section 1 and Table S4. a) SSTs from (Fu et al., 2022), which are used in Figure 2 in the main text. b) SSTs from a global 1 degree warming simulation. c) SST pattern with amplified coastal warming (taken from 2014 marine heat wave). In Figure 3, we show results from iCAM5 simulations using 1x and 2x the warming pattern shown in panel b), as well as results from iCAM5 forced with 1x, 2x, 3x, and 4x this pattern.
Figure S6. Observational vs. iCAM5 climatology for rainfall and seasonal cycle of isotopes. a) shows GPCP July-September rainfall (Adler et al., 2018). b) shows the model climatology for the same interval. c) shows the climatology and standard error of measured precipitation $\delta$D from the Tucson GNIP station (Eastoe & Dettman, 2016) in dark blue compared to iCAM5’s precipitation climatology for a region surrounding Tucson in red.