## Fluid-driven transport of round sediment particles: from discrete simulations to continuum modeling (pre-print)

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#### Key Points:

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25	•	Resolved particle-scale bedload transport simulations agree with flume experiments.
26	•	Simulations probe the small-scale mechanisms and parameter dependences in the
27		transport relation.
28	•	Particle simulations guide continuum model development for turbulent sediment

transport and bed creep.

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#### 30 Abstract

Bedload sediment transport is ubiquitous in shaping natural and engineered landscapes, 31 but the variability in the relation between sediment flux and driving factors is not well 32 understood. At a given Shields number, the observed dimensionless transport rate can 33 vary over a range in controlled systems and up to several orders of magnitude in nat-34 ural streams. Here we (1) experimentally validate a resolved fluid-grain numerical scheme 35 (Lattice Boltzmann Method - Discrete Element Method or DEM-LBM), and use it to 36 (2) explore the parameter space controlling sediment transport in simple systems. Wide 37 wall-free simulations show the dimensionless transport rate is not influenced by the slope, 38 fluid depth, mean particle size, particle surface friction, or grain-grain damping for gen-39 tle slopes  $(0.01 \sim 0.03)$  at a medium to high fixed Shields number. (3) Examination 40 of small-scale fluid-grain interactions shows fluid torque is non-negligible for the entrain-41 ment and sediment transport near the threshold. And (4) the simulations guide the for-42 mulation of continuum models for the transport process. We present an upscaled two-43 phase continuum model for grains in a turbulent fluid and validate it against bedload 44 transport DEM-LBM simulations. To model the creeping granular flow under the bed 45 surface, we use an extension of the Nonlocal Granular Fluidity (NGF) model, which was 46 previously shown to account for flow cooperativity from grain-size-effects in dry media. 47 The model accurately predicts the exponentially decaying velocity profile deeper into the 48 49 bed.

50 Plain Language Summary

Sediment transport caused by particles rolling, sliding, and hopping on a river bed 51 is called bedload transport. Semi-empirical formulas to predict bedload sediment flux 52 from the driving factors, known as the transport relation, can be highly inaccurate. This 53 paper uses simulations where the sediment particles are fully resolved to examine the par-54 ticle parameters to find if the predictions can be improved by considering more param-55 eters. After validating the numerical scheme against flume experiments, it is used to sim-56 ulate bedload transport under many conditions, and its results show that at a fixed rel-57 ative bed shear stress, varying river slope (on gentle slopes), fluid depth, mean particle 58 size, particle surface sliding friction coefficient, and grain-grain damping coefficient cause 59 almost no variation of the transport rate. We examine how the fluid torque on particles 60 helps initiate rolling and subsequent grain transport. We further use the numerical scheme 61 to guide development of a continuum framework that can predict the flow profiles in the 62 rapid zone as well as the creep flow beneath the bed surface. The continuum approach 63 is a more tractable way to model large-scale bedload sediment transport problems. 64

<sup>65</sup> 1 Bedload transport of spherical grains

Fluid-driven sediment transport, in which a flow passing over a loose granular bed 66 entrains and moves the grains, plays a pivotal role in many natural and engineered land-67 scapes. Common scenarios that require the calculation of sediment transport rates in-68 clude conveyance of sediment through engineered channels, infilling of artificial reservoirs, 69 dispersal of stored sediment following dam removal, and long-term sediment transport 70 that shapes natural rivers (Gomez, 1991; Yalin & da Silva, 2001). Applications like these 71 create a demand for sediment transport models that can be applied over a wide range 72 of flow conditions and sediment characteristics. 73

However, calculation of sediment transport rates over a wide range of conditions
is a challenging task. Sediment transport at the scale of a river channel depends on the
fine-scale interaction of a turbulent flow with many individual sediment grains. Moreover, variations in these fluid-grain interactions through time, or with height above or
below the sediment bed, can create different regimes of grain motion (Houssais et al.,

Author(s)	Dimensionless transport rate $q^\ast$	Critical Shields # $\tau_c^*$
Meyer-Peter and Müller (1948)	$q^* = 8(\tau^* - \tau_c^*)^{3/2}$	$\tau_c^* = 0.047$
Ashida and Michiue (1973)	$q^* = 17(\tau^* - \tau_c^*)(\sqrt{\tau^*} - \sqrt{\tau_c^*})$	$\tau_c^* = 0.05$
Engelund and Fredsøe (1976)	$q^* = 18.74(\tau^* - \tau_c^*)(\sqrt{\tau^*} - 0.7\sqrt{\tau_c^*})$	$\tau_c^* = 0.05$
Fernandez-Luque and Van Beek (1976)	$q^* = 5.7(\tau^* - \tau_c^*)^{3/2}$	$\tau_c^* = 0.037 \sim 0.0455$
Wong (2003)	$q^* = 3.97(\tau^* - \tau_c^*)^{3/2}$	$\tau_c^* = 0.0495$

 Table 1. Widely used bedload transport relations.

<sup>79</sup> 2015), including creep of closely packed grains, a rapidly shearing slurry, or a dilute sus <sup>80</sup> pension.

Bedload sediment flux, in which grains move by rolling, sliding or hopping along 81 the bed, is practically described by field-scale sediment transport models which are typ-82 ically derived semi-empirically by comparing the bulk characteristics of flows, such as 83 average bed shear stress, with observations of bulk sediment transport rates from lab-84 oratory flume experiments (Meyer-Peter & Müller, 1948; Ashida & Michiue, 1973; Fernandez-85 Luque & Van Beek, 1976; Wiberg & Smith, 1989; Wong, 2003). Less commonly, field mon-86 itoring studies are used (Bagnold, 1980; Gomez, 1991). Some widely used bedload trans-87 port relations are listed in Table 1, where the dimensionless sediment transport rate (the 88 Einstein number) is 89

$$q^* \equiv q_s \Big/ \left( d_p \sqrt{\frac{\rho_s - \rho_f}{\rho_f}} g d_p \right), \tag{1}$$

with  $q_s$  the sediment volume flux per unit flow width,  $d_p$  the grain diameter, and  $\rho_s$  and  $\rho_f$  the sediment and fluid densities. The dimensionless bed shear stress, often referred to as the Shields number, is

$$\tau^* \equiv \tau_b / \left[ \left( \rho_s - \rho_f \right) g d_p \right],\tag{2}$$

with  $\tau_b$  the bed shear stress and g the gravitational acceleration. Most bedload transport relations have a critical value of the Shields number  $\tau_c^*$  at which grains begin to move (Shields, 1936), and most converge to a power law of 3/2 for  $\tau^* \gg \tau_c^*$ , but differ if  $\tau^*$ is close to the threshold of grain motion (Lajeunesse et al., 2010). Recently, Pähtz and Durán (2020) proposed a formula in which  $q^*$  scales with  $\tau^* - \tau_c^*$  linearly for  $\tau^* \rightarrow$  $\tau_c^*$  and quadratically for  $\tau^* \gg \tau_c^*$  through numerical simulations, indicating the 3/2 power law may be an approximation between these two ends.

These semi-empirical models have the desirable characteristic that they are easy 100 to apply in natural and experimental settings, and they are therefore widely used. How-101 ever, even under controlled laboratory conditions, empirical bedload transport expres-102 sions commonly over- or under-predict sediment flux by more than a factor of two (Lajeunesse 103 et al., 2010); and larger disagreements in natural settings are common: Reid and Laronne 104 (1995) compiled the data from 6 streams and found that  $q^*$  can vary by a factor of 10 105 across tests with  $\tau^*$  fixed at  $\tau^* = 0.02$  and more than 100 (up to 1000) when  $\tau^* \sim 0.1$ . 106 Correction factors for  $q^*$ ,  $\tau^*$  and  $\tau_c^*$  for steep slopes can be obtained from recent works 107 (Maurin et al., 2018; Pähtz & Durán, 2020). However, the variability is evident even 108 in sediment transport experiments on gentle slopes, such as Meyer-Peter and Müller (1948) 109 in which the slope S < 0.02 and the above slope correction factors are close to 1. What 110 is causing the variation in flux  $(q^*)$  for a given Shields number  $(\tau^*)$  on gentle slopes? The 111 empirical transport expressions are also remarkable for what they do not contain, such 112

as any dependence on sediment geometric or surface characteristics other than a representative grain diameter. There are reasons to expect that grain-scale phenomena influence channel-scale sediment transport, but which grain-scale phenomena do we need to consider?

One way to address this question is to simulate the grain-scale mechanisms that 117 entrain and transport sediment. Recent computational and methodological advances have 118 made it feasible to numerically investigate the mutual interactions of many sediment grains 119 and a turbulent flow, allowing for interrogation of transport phenomena at a level of de-120 121 tail that is difficult to achieve even in well-instrumented experiments. Simulations in which the sediment particles are treated as discrete elements can be classified into two types 122 based on the way the fluid-particle interaction is handled: (1) the fluid grid size is much 123 smaller than the particle size so that the fluid-particle interaction can be resolved (Derksen, 124 2015). And (2) the fluid grid size is comparable to or larger than the particle size and 125 the fluid-particle interaction is modeled by a drag (hydrodynamic force) law (Schmeeckle, 126 2014, 2015), and potentially also a hydrodynamic torque model (Finn et al., 2016; Guan 127 et al., 2021). Most of the simulations examining the sensitivity of the transport relation 128 to the microscopic particle parameters adopt the second type for the higher computa-129 tional efficiency; e.g. recent studies (Maurin et al., 2015; Elghannay & Tafti, 2018; Pähtz 130 & Durán, 2018b, 2018a) have found that the transport relation is insensitive to the par-131 ticle surface friction coefficient and the restitution coefficient. But these simulations do 132 not include the hydrodynamic torque on particles, which may be important since rolling 133 has lower threshold than sliding in entrainment events (Dey & Ali, 2017). The lack of 134 fluid-particle angular momentum exchange may cause problems in the other direction 135 as well: the rotation of a single sediment particle near the bed surface influences the fluid 136 vortex structure nearby which in return changes the hydrodynamic forces (C. Zhang et 137 al., 2017). Also, for grains near the bed surface where we would want the most accuracy, 138 the separation of length scales presumed in a drag model might not be applicable due 139 to the jump in volume fraction, which could render the drag model less accurate. These 140 questions matter most for sediment transport close to  $\tau_c^*$ . Laminar transport simulations 141 (Derksen, 2011), which resolve the fluid-particle linear and angular momentum exchange, 142 have shown that the rolling mode in the incipient motion requires nonzero surface fric-143 tion coefficient, but the specific value of the friction coefficient has only marginal influ-144 ence. But its effect is still not known in turbulent sediment transport. These consider-145 ations motivate revisiting the parameter space, especially the microscopic particle pa-146 rameters (such as the friction coefficient and the restitution coefficient), using turbulent 147 sediment transport simulations which resolve the fluid-particle interaction at a sub-grain 148 scale. 149

However, even if grain-resolving simulations give us all the answers, they are cur-150 rently impractical to implement at field scale (i.e. the scale of a river channel). So one 151 option, as a complementary approach, would be to use them to help parameterize/validate 152 a continuum model that could be scaled up more easily and captures the rheological be-153 havior of grains and fluid in different regions of the bed and the flow. As noted previ-154 ously, a given fluid stress can cause grains at different heights below or above the sed-155 iment bed to move in different granular flow regimes, ranging from a thick creeping layer 156 to a dense slurry to a dilute suspension. Houssais et al. (2015) analyze the threshold of 157 grain motion from this perspective, and show in a set of laboratory experiments that the 158 transition from no motion to be load transport as  $\tau^*$  increases is a gradual transition 159 (as opposed the discontinuous transition implicitly assumed by equations in Table 1) char-160 acterized by progressive quickening of granular creep throughout a layer that extends 161 many grain diameters below the bed surface. They additionally propose a regime dia-162 gram for sediment transport in which the style of grain motion (creep, bedload, or di-163 lute) depends on the height relative to the bed surface and the transport stage,  $\tau^*/\tau_c^*$ . 164 This alternate perspective on sediment transport implies that it may be possible to im-165 prove predictions of sediment flux by describing these granular regimes with appropri-166

ately coupled rheological models rather than fitting a single function to experimental data over a range of  $\tau^* - \tau_c^*$ .

In this paper, in order to understand the variability of sediment flux  $(q^*)$  at a given 169 Shields number  $(\tau^*)$ , we examine three questions: (i) How important is fluid-particle an-170 gular momentum transfer and in which part of the flow and in which regime of the sed-171 iment transport is it important? We fully resolve the grain-scale spherical particle move-172 ment and study the fluid-particle angular momentum exchange studied in an entrain-173 ment event. Then it is quantified as a "rotation stress" whose profile is examined in dif-174 175 ferent transport stages and further correlated to the transport relation. Our work here is benchmarked by flume experiments (Deal et al., 2021; Benavides et al., 2021) in which 176 grain-scale motions were tracked. (ii) What is (not) responsible for the variability in the 177 observed sediment transport relation? We explore the parameter space (macroscopic 178 river settings such as slope, and most importantly microscopic particle parameters such 179 as the mean size, surface roughness, and grain contact damping) to see what is respon-180 sible for the variability in the relation between the Einstein number and the Shields num-181 ber in turbulent sediment transport. (iii) How can we formulate a useful model broadly 182 applicable at different scales across the range of bedload sediment transport behaviors? 183 We use the DEM-LBM simulation data to derive continuum models of sediment trans-184 port that apply to a range of flow conditions and sediment characteristics. For simplic-185 ity, we will limit our investigation to the bedload sediment transport of mono-disperse 186 particles without considering vegetation (Vargas-Luna et al., 2015; C. Liu et al., 2021), 187 external agitation of the turbulence (Sumer et al., 2003; Ojha et al., 2019; Cheng et al., 188 2020), or channel morphology that is known to influence the transport relation, such as 189 bedform patterns (Venditti, 2013; Venditti et al., 2017) or the presence of large (possi-190 bly not fully submerged) boulders (Yager et al., 2007). 191

#### <sup>192</sup> 2 Discrete simulations

A few geoscience-oriented studies have begun to probe the physics of grain-scale 193 sediment motion through numerical experiments (Schmeeckle & Nelson, 2003; Schmeeckle, 194 2014, 2015; Hill & Tan, 2017). Schmeeckle (2014) pioneered this approach in geomor-195 phology by coupling discrete element method (DEM) simulations of grain motion with 196 large-eddy simulations (LES) of turbulent flow. He found that coherent flow structures 197 impinging on the bed are a major cause of sediment entrainment, and he measured a power-198 law relationship between  $q^*$  and  $\tau^*$  that is similar to (but somewhat steeper than) the 199 widely used bedload transport expression (Wong & Parker, 2006; Meyer-Peter & Müller, 200 1948). The LES-DEM approach employed by Schmeeckle (2014), a variant of the gen-201 eral CFD-DEM method (CFD: computational fluid dynamics) for the fluid and parti-202 cles, does not explicitly model flow around grains or particle-scale pressure variations (e.g. 203 lubrication forces). Instead, the flow and grains are coupled with spatially averaged body 204 forces. Nonetheless, his promising results suggest that direct simulations of sediment trans-205 port with tighter fluid-grain coupling will yield even more insight into the controls on 206 bedload flux. In recent years, more researchers have studied sediment transport prob-207 lems using similar CFD-DEM simulations. For example, Hill and Tan (2017) studied the 208 influence of the added fine particles on the mobilization of gravel beds using LES-DEM. 209 Maurin et al. (2018) and Pähtz and Durán (2020) studied slope influence in sediment 210 transport and have proposed slope corrections for  $q^*$ ,  $\tau^*$  and  $\tau_c^*$  for steep slopes. Finn 211 et al. (2016) simulated particle dynamics on wavy bottoms. Most recently, Guan et al. 212 (2021) studied Kelvin–Helmholtz vortices' influence on local and instantaneous bedload 213 sediment transport with the same numerical method as Finn et al. (2016). 214

For sub-particle resolution of the fluid-grain interaction, the Lattice Boltzmann Method (LBM) (H. Chen et al., 1992) is able to resolve the fluid-particle interaction at the moving particle boundaries (Boutt et al., 2007; Derksen, 2015; Amarsid et al., 2017) by treating the fluid material as hypothetical fluid particles marching in space and colliding with



Figure 1. Lattice Boltzmann Method: (a) The velocity set of D3Q19: 18 velocities streaming out from the node to the next nearest nodes in the velocity directions and a rest velocity staying at the original node (b) Particle boundary treatment in LBM, depending on  $\delta$  the distance from the last fluid node to the boundary in terms of lattice units.  $\boldsymbol{x}_f$  is the fluid node next to a solid node  $\boldsymbol{x}_s$ , and  $\boldsymbol{x}_{ff}$  is the neighbor fluid node upstream. (c) Related velocities near a stationary wall (the fluid node is aligned with the wall): the fluid parcel coming in  $\boldsymbol{c}_i$  will be bounced back into the opposite direction  $\boldsymbol{c}_{i'}$  at a no-slip wall, and will be reflected specularly into  $\boldsymbol{c}_{i''}$  at a free-slip wall.

the solid particle boundaries. Coupled DEM-LBM simulations can fully resolve the fluidparticle interaction in sediment transport problems and offer more understanding about the grain-scale mechanisms.

In the following discussion of the particle-scale simulations, we first introduce the DEM-LBM numerical method. Second, we present simulations matching the conditions of flume experiments (Deal et al., 2021; Benavides et al., 2021) to provide a relevant manyparticle test of the methodology. Third, we present wide wall-free simulations in order to study the factors that can potentially cause the variability seen in experimental transport data on gentle slopes.

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#### 2.1 Method: DEM-LBM

The translation and rotation of the sediment particles in our DEM-LBM simulations are integrated from the equations of motion of individual particles using the Velocity Verlet method (Swope et al., 1982), which is widely used in DEM simulations of granular materials and is implemented in common software such as LAMMPS (Plimpton, 1995) and LIGGGHTS (Kloss et al., 2012). The particle-particle interaction is elastic with damping effects in the normal direction, which can be simulated as a spring-dashpot model, and the interaction is elastic with friction in the tangential direction.

The DEM algorithm is fully coupled to a LBM solver, which can resolve the trac-236 tion over many moving boundaries (grain surfaces in our case). S. Chen and Doolen (1998) 237 review the history of this numerical method, and Aidun and Clausen (2010) review the 238 application of LBM to complex flows. Inspired by the Boltzmann-Maxwell Equation, LBM 239 recovers the Navier-Stokes equations (H. Chen et al., 1992) by treating the fluid mate-240 rial as hypothetical fluid packets that collide and stream in a discrete set of directions. 241 The method is particularly advantageous for solving problems with many moving bound-242 aries and the simple form makes implementation straightforward. 243

In a standard LBM algorithm, the domain is discretized into a uniform orthogonal grid. The fluid material exists in the 3D domain only on the nodes in a certain discretized dimensionless velocity set  $\{c_i\}$ . In this work, we choose a discretization composed of of 19 directions, known as D3Q19, as shown in Figure 1(a). The fluid material at a point is represented by "fluid parcels" streaming in 18 directions with magnitudes that move the parcels to the nearest node in the velocity direction through each LBM timestep (with the 19th parcel just resting at the original node). Each of the parcels corresponds to a distribution function component  $f_i$  satisfying  $\sum_{i=0}^{18} f_i = 1$ . In a fluid timestep, as shown in Eq 3, the fluid undergoes a collision (right-hand side) and a streaming operation (left-hand side) sequentially:

$$\underbrace{f_i(\boldsymbol{x} + \boldsymbol{c}_i, t+1) - f_i(\boldsymbol{x}, t)}_{\text{Streaming}} = \underbrace{\frac{1}{\tau} [f_i^{eq} - f_i]}_{\text{Collision}},$$
(3)

where  $\boldsymbol{x}$  is the dimensionless position,  $\tau$  is the dimensionless relaxation time and  $f_i^{eq}$  is the equilibrium distribution function. All the quantities are nondimensionalized by the grid size dx, LBM timestep  $dt_f$ , and  $\rho_f$ . In the collision operation,  $f_i^{eq}$  is a function of the macroscopic fluid velocity and density, and  $\tau$  is a function of the local fluid kinematic viscosity  $\nu$  (Yu et al., 2005):

$$\tau = \frac{1}{2} + \frac{3\nu}{dx^2/dt_f}.$$
(4)

For turbulent flow, a Large Eddy Simulation (LES) method (Yu et al., 2005) can model the subgrid-scale eddies. We use the Smagorinsky turbulent closure (Smagorinsky, 1963):

$$\nu = \nu_f + \nu_t, \quad \nu_t = (C_s \cdot dx)^2 \dot{\gamma}_f, \tag{5}$$

where  $\nu_f$  is the kinematic viscosity of the pure fluid,  $\nu_t$  is the turbulent viscosity,  $C_s$  Smagorin-261 sky constant, and  $\dot{\gamma}_f$  the fluid local shear rate.  $C_s$  is shown to be dependent on the dis-262 cretization and geometry (Yoshizawa, 1993; Hou et al., 1994). We calibrate  $C_s = 0.27$ 263 in the flume geometry (see Appendix A for details and for validation of the pure fluid 264 simulations) with grid size dx = 0.5 mm. The value of  $C_s$  and the grid spacing are used 265 throughout this paper for the simulations in which the fluid is water. Body forces such 266 as gravity can be taken into account by adding an extra term to the collision step (Z. Guo 267 et al., 2002). More details on how to construct a macroscopic variable such as  $\dot{\gamma}_f$  from 268 the distribution  $\{f_i\}$  can be found in (Yu et al., 2005). 269

For a post-collision distribution function component  $f_i^c$  at the fluid node  $\boldsymbol{x}_f$  next to a solid node  $\boldsymbol{x}_s$ , when the corresponding parcel hits a fixed solid boundary that sits in the middle of a link, it will bounce back and end up with the opposite direction  $f_{i'}(\boldsymbol{x}_f, t+$  $1) = f_i^c(\boldsymbol{x}_f, t)$ , where  $f_{i'}$  denotes the component in the opposite direction of  $f_i$ . As shown in Figure 1(b), when  $\delta$  the distance from the last fluid node to the boundary is not exactly 0.5, the component  $f_{i'}(\boldsymbol{x}_f, t+1)$  can be interpolated (Bouzidi et al., 2001). For  $0 < \delta < \frac{1}{2}$ , the interpolation happens before the streaming

$$f_{i'}(\boldsymbol{x}_f, t+1) = f_i^c(\boldsymbol{x}_f + (2\delta - 1)\boldsymbol{c}_i, t) = 2\delta f_i^c(\boldsymbol{x}_f, t) + (1 - 2\delta) f_i^c(\boldsymbol{x}_{ff}, t),$$
(6)

whereas for  $\frac{1}{2} \le \delta \le 1$ , interpolation happens after the streaming

$$f_{i'}(\boldsymbol{x}_f, t+1) = \frac{1}{2\delta} f_{i'}(\boldsymbol{x}_f + (2\delta - 1)\boldsymbol{c}_i, t+1) + \frac{2\delta - 1}{2\delta} f_{i'}(\boldsymbol{x}_{ff}, t+1) = \frac{1}{2\delta} f_i^c(\boldsymbol{x}_f, t) + \frac{2\delta - 1}{2\delta} f_{i'}^c(\boldsymbol{x}_f, t),$$
(7)

For moving solid boundaries, the no-slip boundary condition can be modified according

to the velocity of the particle boundary due to translation and rotation (Bouzidi et al.,

2001). In our flume simulations (Section 2.2), since the thickness of the boundary layer

at the glass side walls is smaller than (or comparable to) the grid size dx, we developed

a new boundary technique that accounts for the boundary layer implicitly through a matched

slip boundary condition. See Appendix A for more details. At a free-slip boundary, the
parcel will specularly reflect instead of bounce back (Ladd, 1994) as shown in Figure 1(c).
As indicated above, all the LBM boundary conditions are processed in the streaming operation.

The local parcel momentum changes can be used to integrate the force and torque 287 on individual particles exerted by the fluid (Mei et al., 2002). In this way, the fluid feels 288 the moving particles through the moving interfaces, and the particles feel the fluid via 289 the integrated hydrodynamic forces and torques. These will be used in the DEM scheme 290 to update the linear and angular acceleration of the particles. Note that the timestep 291 of the DEM dt to resolve the elastic interaction of particles (Da Cruz et al., 2005; Kam-292 rin & Koval, 2012) is smaller than the timestep of the LBM  $dt_f = dx/c_s$ , where  $c_s$  is 293 the fluid sound speed.  $dt_f$  is chosen so that the corresponding sound speed  $c_s = dx/dt_f$ 294 guarantees that the maximum Mach number is below 0.3, in the incompressible limit (Succi, 295 2001), and the distance a particle travels in a "free flight" is less than 0.02dx (mostly 296 < 0.01dx) (Derksen, 2015). In the DEM-LBM simulations presented in this paper, a LBM 297 step is called every 50 DEM steps to update the hydrodynamic forces and torque. If the DEM algorithm uses the particle-wise hydrodynamic forces (and torque) in the current 299 LBM steps to update the particles' linear and angular acceleration, the interstitial fluid 300 may experience numerical oscillations. As a remedy, the particle-wise hydrodynamic forces 301 (and torque) in the current and the previous LBM steps are averaged when conducting 302 the DEM update. When a particle is close to another particle or a wall, the algorithm 303 searches for the upstream fluid information  $f_i^c(\boldsymbol{x}_{ff},t)$  or even  $f_i^c(\boldsymbol{x}_f,t)$  in Eq 6 and Eq 304 7 which may be no longer physically available. Special care must be taken to update the 305 fluid domain information as well as to calculate the corresponding fluid-solid momen-306 tum exchange. For these near contact scenarios, the needed upstream fluid distribution 307 function component,  $f_i^c(\boldsymbol{x}_{ff},t)$  or  $f_i^c(\boldsymbol{x}_f,t)$ , is evaluated as the (Maxwell) equilibrium 308 distribution using the grain velocity at the node if the search for the upstream fluid node 309 goes into a node occupied by another particle. If the search goes out of the wall of the 310 flume, then it comes back to the domain (see  $c_{i''}$  as shown in Figure 1(c)). 311

By refining the resolution of LBM with respect to the particle size  $d_p$ , Feng and Michaelides (2009) and Derksen (2014) have shown that a resolution of  $dx \leq d_p/6$  or  $dx \leq d_p/8$  is adequate for sufficiently accurate results. Here in this paper,  $dx \leq \sim d_p/10$ is kept to guarantee enough accuracy. To run our method, we have extended a customwritten program described in Mutabaruka et al. (2014) and Mutabaruka and Kamrin (2018).

The DEM-LBM algorithm is validated at the grain scale in tests of the particlefluid linear and angular momentum exchange as well as the resolved lubrication force between close moving solid boundaries. See Appendix B for more details.

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#### 2.2 Comparison with laboratory flume experiments

Deal et al. (2021) and Benavides et al. (2021) conducted bedload sediment trans-321 port experiments with glass spheres in a narrow flume, and recorded high-speed videos 322 of the grains, allowing for precise tracking. This provides abundant details of the par-323 ticle motion. We performed corresponding DEM-LBM simulations as validation specif-324 ically to test the accuracy of our method in sediment transport problems. We begin by 325 comparing the time-averaged sediment transport rates as a first verification of our sim-326 ulations, and then do a more detailed comparison of the time-averaged velocity profiles 327 and particle velocity fluctuation profiles. 328

The schematic diagram of the flume experimental setup of Deal et al. (2021) and Benavides et al. (2021) is shown in Figure 2 (a). In each experiment, mono-disperse glass spheres and water are fed into the inclined flume from the upstream end at a given combination of volume flux rates. After the initial period of sphere deposition, the granular bed builds up and steady state is reached. Then the slope of the free water surface



Figure 2. Setup of the sediment transport tests in the narrow flume. (a) Experimental setup (Deal et al., 2021; Benavides et al., 2021). (b) The simulated domain corresponds to the videoed zone in the experiment as shown in the red box.

S as well as the water depth are measured, and the particle motion is recorded by the 334 high-speed cameras in the middle section of the flume. The flume is 10.2mm, slightly wider 335 than two particle diameters ( $d_p = 4.95$ mm). The density of the spheres is  $\rho_s = 2550 \text{ kg/m}^3$ . 336 The elastic constants for the normal and tangential contacts are set to be  $20\,000\,\mathrm{N\,m^{-}}$ 337 and  $5714 \,\mathrm{N}\,\mathrm{m}^{-1}$ , respectively, guaranteeing the spheres are in hard limit. The friction 338 coefficients of sphere-sphere and sphere-sidewall contacts are measured to be 0.50 and 339 0.45 respectively. The dry restitution coefficient of the particles is 0.93. The sensitivity 340 of the results to the choice of the particle surface parameters is low. 341

DEM-LBM simulations are set up with the same flume geometry and material prop-342 erties. The simulated domain, as shown in 2 (b), has a length  $L = 24d_p$  and height  $30d_p$ . 343 When all the spheres are deposited (in total 969 particles), the thickness of the bed is 344  $18d_p$ . The thickness of the bed reduces to  $15d_p$  for the largest Shields number tested, as 345 some of the spheres are entrained by the fluid. The LBM lattice has homogeneous grid 346 size dx = 0.5 mm. The first and last nodes across the flume align with the side walls, 347 and the simulated flume width is adjusted slightly to have W = 10.5 mm. The top of 348 the simulated domain uses a free-slip (zero gradient) boundary condition. Note that in 349 this narrow flume configuration, the fluid velocity far above the granular bed surface ap-350 proaches a constant value due to sidewall shear. The bottom uses a no-slip boundary 351 condition and the two sides perpendicular to the flow direction use periodic boundary 352 conditions. For the two side walls of the flume, since the thickness of the boundary layer 353 is smaller than the grid size dx, no-slip boundary conditions with LES is not enough to 354 resolve the near-wall flow field correctly. Instead, we developed a new boundary tech-355 nique: assuming the second layer of nodes from the wall are out of the boundary layer, 356 we extrapolate the law-of-the-wall flow relationship to the wall, and treat this value as 357 a slip velocity at the wall, which we implement in DEM-LBM using Navier-type bound-358 ary conditions used in other studies (Uth et al., 2013; K. Wang et al., 2018, see Appendix 359 A for more details). The gravity  $g = 9.8 \,\mathrm{m/s^2}$  is applied at an angle of slope S with 360 respect to the vertical axis of the simulated domain. The flow is driven by the tilted "hor-361 izontal" gravity component. 362

For the calculation of  $\tau^*$ , the bed shear stress  $\tau_b$  is calculated as  $\tau_b = \rho_f g S \frac{HW}{2H+W}$ , where H is the water depth measured down to the bed surface and W is the flume width. Mindful of the lengthy compute times for each simulation, we chose to perform simulations at 5 different slopes, corresponding to  $\tau^* = 0.023, 0.028, 0.047, 0.063$  and 0.068, which covers the experimental range. For the calculation of  $q^*$ , the sediment volume flux per unit width  $q_s$  is counted in the whole domain as  $q_s = \sum_i \frac{\pi}{6} d_p^3 V_{i,x}/LW$ , where  $V_{i,x}$ is the streamwise velocity of the *i*-th particle and L is the length of the simulated do-



Figure 3. Dimensionless sediment transport rate  $q^*$  from DEM-LBM simulations. (a) Comparison with the  $q^*$  vs  $\tau^*$  relation from experiments. The critical Shields number in the flume experiments is found to be 0.026  $\pm$  0.002 (Benavides et al., 2021). At the lowest Shields number  $\tau^* = 0.023$ , the results show strong intermittency near  $\tau_c^*$ . The standard initialization gives  $q^* = 0$  (shown as 0.002 on the log scale), while the other four cases initialized with the steady flow fields of higher  $\tau^*$  give different  $q^*$  values. Time series of  $q^*$  at (b)  $\tau^* = 0.047$  (movie08 in SI), (c)  $\tau^* = 0.028$  (movie06 and 07) and (d)  $\tau^* = 0.023$  (showing 3 out of the 5 initializations, movie01, 02 and 05) are shown in thin curves. The thick dashed lines show the mean of the last 10s. The colors distinguish the initializations: blue–standard, red & green–steady flow of  $\tau^* = 0.068$  and  $\tau^* = 0.063$  respectively.

main. The resulting transport relation compared with the experimental results is shown 370 in Figure 3(a). The standard initial condition sets the particles uniformly distributed 371 in the whole domain with no velocity and stationary fluid. As each simulation runs, grav-372 ity drives the fluid and grains, resulting in the ultimate formation of a particle sediment 373 bed and a transverse fluid flow profile, which transports the near surface particles. For 374 the low Shields numbers, besides the standard initial condition just described, we also 375 run tests where the initial particle positions and initial particle and fluid velocity are as-376 signed from a snapshot taken at the end-phase of a higher Shields number simulation. 377 The simulations are all carried out for at least 30s of simulation time and the last 10s 378 of the simulations are taken to calculate the time averaged values and standard devia-379 tion of the integrated flux. The Rouse number ranges from 11.4 to 20.9, indicating the 380 sediment transport is in the bedload regime. 381

Overall, in terms of the  $q^*$  vs  $\tau^*$  transport relation, the DEM-LBM simulations are 382 consistent with the experiments. At the lowest Shields number simulated,  $\tau^* = 0.023$ 383  $(\tau_c^* \text{ found to be } 0.026 \pm 0.002 \text{ (Benavides et al., 2021)})$ , we observe strong intermittency 384 (see movie01 in the Supporting Information). With the standard initialization, the trans-385 port of particles eventually ceases, giving  $q^* = 0$  (marked as 0.002 in Figure 3(a) due 386 to semi-log). The additional data shown at this slope correspond to simulations using 387 different initializations as described in the prior paragraph. Each of these tests produced 388 low transport rates at steady state, seemingly not correlated to the flow rate of the ini-389 tialization. Time series data of the transport rate for different initializations are shown 390 in Figure 3(d). With the current sampling duration, the standard deviation of  $q^*$  at  $\tau^* =$ 391 0.023 is on the same order of magnitude as the time averaged  $q^*$ . The fact that the vari-392 ation of the sampled  $q^*$  is inversely proportional to the sampling duration (Ancey & Pas-393 cal, 2020) implies that reducing the relative uncertainty to 15% of the mean  $q^*$  at this 394 lowest transport stage may require the simulations to be run for an additional 200s, which 395 would be too costly for us to run. The intermittency observed could arise from internal 396 variability or potentially from the existence of multiple attractors allowing flowing and 397 non-flowing steady solutions to coexist at low slopes. At the second lowest simulated trans-398 port stage,  $\tau^* = 0.028$ , the intermittency is less obvious and the transport is continu-399 ous as shown in 3(c). The standard initialization (movie06 in SI) gives  $q^* = 0.089$  with 400 standard deviation 0.019 while the case with the fastest initialization (movie07 in SI) gives 401  $q^* = 0.077$  with standard deviation 0.017. As  $\tau^*$  increases further from the critical Shields 402 number, the relative uncertainty of the measured  $q^*$  goes down to 16% at  $\tau^* = 0.047$ 403 (see 3(b)), 11% at  $\tau^* = 0.063$  and 9% at  $\tau^* = 0.068$ . 404

One may notice that transport is observed for very low  $\tau^*$  values. On one hand, 405 we use the hydraulic radius to estimate the bed shear stress which tends to underesti-406 mate the value (J. Guo, 2015). On the other hand, a similar low threshold for sediment 407 transport is also observed in a related experimental setup (Heyman et al., 2016) and it 408 has been shown to not be a result of the sidewall influence on turbulence (Rousseau & 409 Ancey, n.d.). Also as seen in the movies (Movie01 to Movie05) of the simulations, the 410 behavior of the particles at the lowest  $\tau^*$  values seems to correspond to the Intermittent 411 Bulk Transport regime (Pähtz & Durán, 2018a) in which  $\tau^*$  is above the rebound thresh-412 old but below the impact entrainment threshold, and the transported particles rebound 413 for a relatively long period on the bed surface before depositing. Due to the periodic bound-414 ary conditions applied in the streamwise direction, the simulations have a larger auto-415 correlation. As a result, the simulations might overpredict  $q^*$  when particles are bound-416 ing on the bed surface. 417

<sup>418</sup> Despite the limitation at the low Shields number, the simulations still provide mi-<sup>419</sup> croscopic details when a particle is solely entrained by the turbulent flow. In the inter-<sup>420</sup> mittent flows shown in Figure 3(d), the green curve (corresponding to movie05 in SI) in-<sup>421</sup> dicates that the sediment transport comes to a full stop at around 20s and then resumes <sup>422</sup> at 21s when a particle on the bed surface is entrained (rolling) by the turbulent fluid.



**Figure 4.** Examination of a near-threshold entrainment event (see movie05 in SI). (a) t = 20.50s, particle P0 (highlighted with red arrow) in contact with neighbors P1, P2 and P3 and the side wall. (b) t = 21.00s, the start of the entrainment of P0. (c) t = 21.06s, particle P0 gets entrained by the fluid, rolling over P1 and P2, and loses contact with P3 and the wall. Each contour shows the fluid velocity field on the plane going through the center of P0. The fluid traction over the particle surface can be treated equivalently as a single force and pure moment (couple) acting at the center of the particle. The detailed information about particle P0 around the entrainment event is displayed: (d) hydrodynamic force in the downstream direction, (e) fluid torque (blue: fluid couple, red: fluid couple + net hydrodynamic force induced torque), with respect to the hinge connecting the contact points of (P0, P1) and (P0, P2), compared with the critical torque (green) estimated by the submerged weight of P0, (f) rotational velocity (axis into the paper) and (g) downstream velocity.

As shown in Figure 4(a,b,c), the entrained particle P0 is sitting stationary on the bed surface, in contact with particle P1, P2, P3 and the side wall of the flume before 21s. Under the influence of a turbulent burst, P0 rolls over P1 and P2, losing contact with P3 and the wall.

Using DEM-LBM's capability of resolving fluid-grain traction, we now detail the 427 processes taking place during this prototypical near-threshold entrainment event. The 428 fluid traction over the particle surface can be treated equivalently as a single force and 429 pure moment (couple) acting at the center of P0. Figure 4(d) shows the hydrodynamic 430 431 force in the downstream direction. Figure 4(e) shows the fluid torque (into the paper component) with respect to the hinge connecting the contact points of (P0, P1) and (P0, P2). 432 The torque is evaluated as the integration of the cross products of the lever arm vector 433 and the hydrodynamic force vector along the surface of the particle. For reference, the 434 green line shows the "critical" fluid torque to maintain the particle free of contact with 435 P3 and the side wall, estimated from the submerge weight. According to Figure 4(f,g), 436 when the fluid torque exceeds the critical value near 20.3s, P0 wiggles but still falls back. 437 The entrainment happens at 21s when the fluid torque goes above the critical value and 438 lasts long enough to transfer enough angular momentum to roll P0 out of the spot, which 439 may correspond to an angular momentum criterion similar to the impulse criterion in 440 literature (Diplas et al., 2008). The fluid torque comes from the fluid traction on the sur-441 face of P0, which is equivalent to a net hydrodynamic force on the center of P0 plus a 442 fluid couple. The blue curve in Figure 4(e) shows the contribution of the fluid couple, 443 which is about 1/4 of the total fluid torque (shown in red). Equivalently, the fluid trac-444 tion can be simplified solely as a net force acting on the point  $d_p/6$  away from the cen-445 ter on the far side from the hinge. The non-negligible role of the fluid couple shows that 446 fluid-particle angular momentum transfer plays a role in the entrainment. Thus, com-447 bined fluid-DEM simulation methods that utilize only a fluid-particle drag force may be 448 missing some relevant physics, at least at the low Shields regime. Other particles exam-449 ined on the bed surface have also shown a similar  $\sim 1/4$  contribution on the total fluid 450 torque from the net fluid couple. More quantitative examinations can be found in the 451 next subsection. 452

Next, we examine the flow profiles of the particles. To get the flow fields as func-453 tions of the height z with respect to the bed surface, we need to homogenize the flow fields 454 along the flow direction and then average the profiles over time. The homogenization is 455 carried out in three steps. The first step is to identify the particles to be used in the ho-456 mogenization. In the experiments, since the motion of the particles are recorded by a 457 camera from one side of the flume, which is slightly wider than  $2d_p$ , only one layer of the 458 particles can be recognized in the images. In the post-processing of the simulations, the 459 particles are projected onto a 2D plane which is discretized into square pixels of  $d_p/25$ 460 to mimic the images taken in the experiments. In an effort to match the experimental 461 post-processing method, if more than 60% of the length of the perimeter is covered by 462 particles in front of it, that particle will be labeled as invisible. For the particles left, if 463 two projected particles are closer than  $d_p/6$ , only the front one is visible. The particles 464 labeled as visible will be used in the next steps of homogenization. In the experiments, 465 due to the refraction, the edges of the particles in the back may confuse the particle recog-466 nition in experiment images in rare cases. The resultant areal fractions can therefore be 467 slightly different. For the bed surface, any pixel that is occupied by a particle for half 468 a second is marked as static and then the position of the bed surface can be decided as 469 the outline of the static pixels, as the thick black curves show in Figure 5. The vertical 470 position z of a particle is defined as the vertical distance between the center of the par-471 ticle and the bed surface. The second step is to calculate the areal fraction and parti-472 cle mean velocity as functions of z. The areal fraction profile is calculated as the pack-473 ing fraction of the particles labeled as visible. The velocity homogenization is obtained 474 from the linear momentum of the layer at z. The third step is to calculate the granu-475 lar temperature based on the particle-wise velocity deviation in each snapshots. More 476

details about the last two steps of the homogenization can be found in Q. Zhang and Kam-477 rin (2017). The images of the particles in experiments are post-processed in the same 478 way after the particles are recognized. The fluid velocity field is also averaged tempo-479 rally and spatially using a similar method to the solid velocity homogenization, based 480 on the linear momentum of a layer of nodes at a given z. 481

The simulated and experimental flow profiles are very similar at a medium Shields 482 number  $\tau^* = 0.028$  and a high Shields number  $\tau^* = 0.068$ , as shown in Figure 5. The 483 bed structures and the motion of the particles look similar at both Shields numbers (see 484 movie06 and movie10 in SI). The velocity profiles match the experiments and even the granular temperature, which is a higher order variable agrees; granular temperature may 486 be key to understanding sub-surface granular creep (Q. Zhang & Kamrin, 2017; Kim & 487 Kamrin, 2020). The areal fraction profiles differ slightly, but are still similar to the ex-488 periment results. One reason may be that the particle recognition technique used in the 489 experiments is not easy to replicate in the simulation post-processing, e.g., due to the 490 refraction effects. Fortunately as long as enough particles are sampled for a given height, 491 this difference theoretically does not change the averaged particle velocity or granular 492 temperature; see Figure 5 (e) & (f). With the results described above, the simulations 493 are deemed to provide a useful description of observed sediment transport processes, and 494 we proceed to perform numerical experiments to study sediment transport problems from 495 bulk to grain-scale. 496

#### 2.3 Wide wall-free cases

497

We conduct a parameter study using the simulations to see what properties affect 498 the transport rate. Namely, how much do certain details about the grains, such as par-499 ticle surface friction and damping coefficient, matter versus geometric properties such 500 as fluid depth, slope and average grain size? Wide wall-free (WWF) simulations (inspired 501 by wide rivers, without the physical side walls like in the flumes), as shown in Figure 6(a), 502 are a simple and useful geometry to use toward this end. The wide wall-free simulations 503 also produce 1D solution fields and serve as benchmark cases to test the continuum mod-504 eling in Section 3. 505

What are the independent variables that can influence the transport rate in sed-506 iment transport problems? Putting the grain shape and size distribution aside, the vari-507 ables are the gravity g, fluid density  $\rho_f$ , fluid viscosity  $\eta$ , slope S, water depth H, par-508 ticle density  $\rho_s$ , particle diameter  $d_p$ , particle surface friction coefficient  $\mu_p$ , particle damp-509 ing coefficient  $g_p$  and particle stiffness  $k_p$ , which means the sediment transport rate  $q_s$ 510 can be estimated by a ten-input function  $\Psi_0$  as shown below: 511

$$q_{s} = \Psi_{0}(H, S, \rho_{f}, \eta, g, \rho_{s}, d_{p}, \mu_{p}, g_{p}, k_{p}).$$
(8)

The dependent (1) and independent (10) variables in Eq 8 can be non-dimensionalized 512 by  $\rho_f$ ,  $\eta$  and g using the below relations: 513

$$[M] = \frac{\eta^2}{\rho_f g}, \quad [L] = \left(\frac{\eta^2}{\rho_f^2 g}\right)^{1/3}, \quad [T] = \left(\frac{\eta}{\rho_f g^2}\right)^{1/3}.$$
 (9)

Since there are three dimensions involved in these 11 variables, the variables can be nondi-514 mensionlized into 8 dimensionless groups, as shown in Table 2, and the transport rela-515 tion can be expressed as: 516

$$\Pi_0 = \Psi_1 (\Pi_1, \Pi_2, \Pi_3, \Pi_4, \Pi_5, \Pi_6, \Pi_7).$$
(10)

517 We are free to operate on these dimensionless groups so that some of them become ex-

- isting widely used dimensionless numbers:  $\Pi_0$  can be modified into the Einstein number  $q^* = \frac{\Pi_0}{\Pi_4^{3/2}(\Pi_3-1)^{1/2}}$ ,  $\Pi_1$  into the Shields number  $\tau^* = \frac{\Pi_1 \Pi_2}{\Pi_4(\Pi_3-1)}$ , and  $\Pi_4$  into the 518
- 519



Figure 5. Comparisons between the flume experiments and DEM-LBM simulations at Shields number  $\tau^* = 0.028$  (left column), and  $\tau^* = 0.068$  (right column). (a) & (b) Snapshots of the flume experiments, particle in-plane velocity represented by the arrows. The black curves represent the bed surface. (c) & (d) Snapshots of the DEM-LBM simulations, particle in-plane velocity represented by the arrows, fluid field colored by the fluid velocity magnitude on the center-plane of the flume. (e) & (f) Experiments vs DEM-LBM simulations comparison in terms of the solid phase profiles as a function of the height from bed surface: areal fraction, particle velocity and granular temperature.

Var	$q_s$	Н	S	$ ho_f$	η	g	$\rho_s$	$d_p$	$\mu_p$	$g_p$	$k_p$
Dim	$\frac{L^2}{T}$	$\mathbf{L}$	-	$\frac{M}{L^3}$	$\frac{M}{LT}$	$\frac{L}{T^2}$	$\frac{M}{L^3}$	L	-	$\frac{M}{T}$	$\frac{M}{T^2}$
DN	$q_s \frac{\rho_f}{\eta}$	$H\big(\tfrac{\rho_f^2 g}{\eta^2}\big)^{\frac{1}{3}}$	S	1	1	1	$rac{ ho_s}{ ho_f}$	$d_p \big( \frac{\rho_f^2 g}{\eta^2} \big)^{\frac{1}{3}}$	$\mu_p$	$\frac{g_p}{\eta} \left(\frac{g\rho_f^2}{\eta^2}\right)^{\frac{1}{3}}$	$\frac{k_p}{\eta} \left(\frac{\rho_f}{\eta g}\right)^{\frac{1}{3}}$
Symbol	$\Pi_0$	$\Pi_1$	$\Pi_2$	-	-	-	$\Pi_3$	$\Pi_4$	$\Pi_5$	$\Pi_6$	$\Pi_7$

**Table 2.** Non-dimensionalization of the dependent and independent variables in sedimenttransport problems (Var: variables, Dim: dimensions, DN: dimensionless numbers)

Galileo number  $Ga = \frac{\sqrt{gd_p^3}}{\eta/\rho_f} = \Pi_4^{3/2}$ . Also, since  $\Pi_2 = S$ ,  $\Pi_3 = \frac{\rho_s}{\rho_f}$  and  $\Pi_5 = \mu_p$  are simple enough, we just use the original variables:

$$q^* = \Psi_2(\tau^*, S, \frac{\rho_s}{\rho_f}, Ga, \mu_p, \Pi_6, \Pi_7).$$
(11)

For sediment transport on Earth's surface,  $\rho_f$  and  $\eta$  are given as the values for water and  $g = 9.81 \text{m/s}^2$ . We limit our discussion to the transport of silica-based media like sand  $(\rho_s/\rho_f \text{ is fixed})$ . For river bed sediment transport problems, the sand particles are in the hard limit (the particle deformation is negligible), which means  $\Pi_7 \rightarrow \infty$ , and thus it does not influence the transport rate. Then we have reduced the input set to five variables:

$$q^* = \tilde{\Psi}(\tau^*; S, Ga, \mu_p, \Pi_6). \tag{12}$$

<sup>528</sup> Considering the empirical transport relation  $q^*$  vs  $\tau^*$ ,  $q^*$  can be seen as a function of  $\tau^*$ <sup>529</sup> parameterized by S, Ga,  $\mu_p$  and  $\Pi_6$  (dimensionless particle damping coefficient).

Besides the dimensionless groups above, previous researchers consider dimension-530 less numbers that are not included in Table 2. Here we comment on how these numbers 531 are related to our dimensionless groups or why some of them are not included in this study. 532 One dimensionless group commonly found in literature is the settling Reynolds number 533 (Lajeunesse et al., 2010)  $Re_s = \frac{\rho_f w_s d_p}{\eta}$  with the characteristic settling velocity  $w_s =$ 534  $\sqrt{gd_p(\rho_s - \rho_f)/\rho_f}$ , which can be written as  $Re_s = Ga\sqrt{\Pi_3 - 1}$ . The particle Reynolds number (Lajeunesse et al., 2010) can be written as  $Re_p = \frac{\rho_f \sqrt{\tau_b/\rho_f} d_p}{\eta} = Ga\sqrt{(\Pi_3 - 1)\tau^*}$ . The Rouse number (Chauchat & Guillou, 2008) can be written as  $Ro = \frac{w_s}{\kappa\sqrt{\tau_b/\rho_f}} =$ 535 536 537  $\frac{1}{\kappa\sqrt{\tau^*}}$ , where  $\kappa = 0.41$  is the Von Kármán constant. Some papers (Sekine & Kikkawa, 538 1992; Niño et al., 1994) also use the dimensionless saltation length and saltation height, 539 but these are actually outputs in our study and as such arise from the choice of input 540 parameters above. Wong and Parker (2006) use the dimensionless Chezy resistance co-541 efficient to account for the influence of the channel sidewalls, which is not necessary in 542 this dimensional analysis for the case of wide rivers. 543

A new set of DEM-LBM simulations are performed without sidewalls to study the 544 influence of the five dimensionless numbers on the sediment transport relation. The ge-545 ometry of the simulated domain is shown in Figure 6(a), compared with the classical 3/2546 power law. The granular bed is  $24d_p$  long and  $8d_p$  wide. The height of the granular bed 547 when all the particles have settled is  $10d_p$  (in total 2884 particles). The domain height 548 is set according to the water depth H. Periodic boundary conditions are adopted at the 549 four side boundaries. For fluid, the top boundary is a free slip boundary condition whereas 550 the bottom is a no-slip boundary condition. The gravity is tilted by a slope S. The do-551 main is still discretized with the grid size dx = 0.5 mm for LBM. Simulations are per-552 formed at gentle slopes S = 0.010, 0.016, 0.030 with monodisperse particles whose den-553 sity is  $\rho_s = 2550 \,\mathrm{kg/m^3}$ . The simulations are in the bedload transport regime, with Rouse 554

Group	S	$d_p(\mathrm{mm})$	Ga	$\mu_p$	$g_p({\rm m/s})$	$\Pi_6$
WWF1	0.016	5	1378	0.5	0.09	4.2E3
WWF2	0.010	5	1378	0.5	0.09	4.2E3
WWF3	0.030	5	1378	0.5	0.09	4.2E3
WWF4	0.016	8	2789	0.5	0.09	4.2E3
WWF5	0.016	5	1378	0.1	0.09	4.2E3
WWF6	0.016	5	1378	0.5	2.11	$9.8\mathrm{E4}$

Table 3. Parameters of the wide wall-free simulations. (The base parameters are red.)

number  $\sim 17.4 - 30.0$ . Corresponding to the dimensionless groups, the simulation pa-555 rameters are designed to vary the dimensionless numbers one by one (as shown in Ta-556 ble 3) so that we can identify their influence on the  $q^*$  vs  $\tau^*$  relation. The bed shear stress 557 in this geometry can be calculated as  $\tau_b = \rho_f g H S$ . Water depth H is varied to set the 558 Shields number  $\tau^*$  to values ranging from 0.046 to 0.141. Each simulation is performed 559 for 30s and the results of the last 10s are averaged, as shown in Figure 6. The averaged 560 solid phase shear stress matches the equilibrium solution, suggesting the steady state has 561 been reached. WWF1 is the reference group using the exact same particles as the flume 562 tests. WWF2 and WWF3 change the macroscopic geometrical parameter S. WWF4, 563 WWF5 and WWF6 vary the microscopic particle parameters: particle size  $d_p$  (correspond-564 ing to Ga),  $\mu_p$ , and the damping coefficient  $g_p$  (corresponding to  $\Pi_6$ ). The value in WWF6 565  $g_p = 2.11$  m/s corresponds to a dry restitution coefficient of e = 0.10 while  $g_p = 0.09$ 566 m/s in the other groups corresponds to e = 0.93. The integrated transport relation  $q^*$ -567  $\tau^*$  at steady state is shown in Figure 6(b) and (c). 568

The results of the DEM-LBM simulations from WWF1, WWF2 and WWF3 with 569 different S overlap on top of each other, indicating S has little influence on the dimen-570 sionless sediment transport rate on gentle slopes (when  $\tau^*$  is fixed) and is likely not re-571 sponsible for the variation in flux  $(q^*)$  for a given Shields number  $(\tau^*)$  in experiments 572 (Meyer-Peter & Müller, 1948). The data sets with varied Ga,  $\mu_p$  and  $\Pi_6$  also appear very 573 much the same as the transport relation of WWF1 as shown in Figure 6(c), except for 574 some discrepancy at the smallest Shields number tested  $\tau^* = 0.0471$  near the thresh-575 old: smaller  $\mu_p$  gives slightly larger  $q^*$  whereas larger Ga, and  $\Pi_6$  give smaller  $q^*$ . 576

577

#### 2.4 Fluid-grain torque interactions

We can also further examine the fluid-solid angular momentum transfer in the wide wall free cases. The net fluid couple (when the origin is picked at the center of the particle) exerted on the *i*th particle is  $T_i = \oint_{A_i} r \hat{r} \times (\sigma_f \hat{r}) dA$ , where  $A_i$  is the surface area of the particle,  $r = d_p/2$  is the radius,  $\hat{r}$  is the unit normal vector pointing out, and  $\sigma_f$ is the fluid stress tensor. The fluid traction also has a contribution to the solid phase stress tensor on the *i*th particle:

$$\boldsymbol{\sigma}_{s,i}^{f} = \frac{3}{4\pi r^{3}} \oint_{A_{i}} (\boldsymbol{\sigma}_{f} \cdot \hat{\boldsymbol{r}}) \otimes r \hat{\boldsymbol{r}} dA.$$
(13)

While the stress tensor is generally a symmetric quantity, its various contributions may not be even if the total stress still is. Here,  $T_i$  is related to the skew part of  $\sigma_{s,i}^f$  through  $T_{i,l} = \sigma_{s,i,nm}^f \epsilon_{lmn}$ , where  $\epsilon_{lmn}$  is the 3D Levi-Civita symbol. In this study, we are most interested in the y component (into the paper) of the torque:  $\sigma_{s,i,xz}^f - \sigma_{s,i,zx}^f = 3T_{i,y}/4\pi r^3$ . We call  $\sigma_{s,i,xz}^f - \sigma_{s,i,zx}^f$  the "rotation stress" and calculate the homogenized profile as a function of z, as shown in Figure 7(a), compared with the solid total stress, fluid stress and the packing fraction  $\phi$ . The maximum value of the rotation stress occurs at the bed



Figure 6. (a) Geometry and boundary conditions of the wide wall-free (WWF) simulations (PBC: periodic boundary condition). The simulated domain size is  $24d_p \times 8d_p \times \sim 20d_p$ . (b) Sediment transport relation from the wide wall-free simulations with the macroscopic geometrical parameter *S* varied. (c) Sediment transport relation from the wide wall-free simulations with the microscopic particle parameters Ga,  $\mu_p$  and  $\Pi_6$  varied. WWF1 is the control group while the other groups vary the dimensionless groups in Table 3 one by one, as denoted in the legends. The black curve is  $q^* = (\tau^* - 0.033)^{1.5}$ .



Figure 7. Examination of the rotation stress in wide wall free cases. (a) Flow profiles (WWF2 at  $\tau^* = 0.085$ ) as a function of the height above the bed surface: solid shear stress, fluid shear stress, rotation stress and packing fraction. (b) The maximum rotation stress in different wide wall free groups.

<sup>591</sup> surface, corresponding to the intuition that the exposed particles on the sediment bed
<sup>592</sup> surface sustain the largest fluid torque with the "help" (resistance) of the bed particles.
<sup>593</sup> So the rotation stress is not only a measure of the fluid torque exerted on the particles,
<sup>594</sup> but also an indicator of the resistive torque provided by the neighbour particles, which
<sup>595</sup> balance each other on average at steady state. The maximum rotation stress in the shown
<sup>596</sup> case is 0.47Pa, much smaller than the solid shear stress (5.62Pa) at the same position.

Figure 7(b) shows the maximum value of each rotation stress profile across differ-597 ent wide wall free simulation groups. Each data point comes from the profile of simu-598 lation with a unique set of physical parameters. The values from WWF1, WWF2 and 599 WWF3 are close to each other, suggesting the slope has minor influence on the maxi-600 mum rotation stress. The maximum rotation stress values of WWF4 and WWF6 are slightly 601 higher than those in WWF1, WWF2 and WWF3, while the values of WWF5 are lower. 602 Looking back at Figure 6(c) at the smallest Shields number  $\tau^* = 0.0471$ , the  $q^*$  val-603 ues from different groups are inversely correlated to the corresponding maximum rota-604 tion stress in Figure 7(b) — WWF5 has the smallest rotation stress corresponding to 605 the largest  $q^*$  while WW4 and WWF6 have larger rotation stress corresponding to smaller  $q^*$  values. Also considering that the values seem not to be correlated to the Shields num-607 ber over the tested range  $0.0471 \sim 0.1408$  and mostly a constant in each group, it in-608 dicates the torque resistance of the bed is like a material property of the particles. While 609 the maximum rotation stress can be seen as a measurement of the bed resistance, on the 610 other hand it is a driving factor for the particle motion. For the particles on the sedi-611 ment bed surface, there are two driving factors countering the resistance from the neigh-612 bor particles in contact: collision with moving particles and fluid interactions (fluid net 613 couples and hydrodynamic forces as illustrated by Figure 4). Since the maximum rota-614 tion stress is almost a constant in the tested Shields number range whereas the hydro-615 dynamic force is correlated to  $\tau^*$ , the influence of the maximum rotation stress of the 616 material on  $q^*$  is most evident near the threshold. The maximum rotation stress of WWF5 617 is slightly below the control group because the low surface friction coefficient reduces the 618 amount that particle contacts can resist the couple. In WWF6, the collisions of the par-619 ticles on the bed surface dissipates more energy, which in return increases the resistance, 620 giving rise to higher rotation stress. 621

622

#### 3 Continuum modeling

While the DEM-LBM simulations in the last section are useful for gaining understanding, the drawback from a modeling perspective is obvious: resolving individual grains and running the LBM with a resolution of one tenth of a particle diameter makes for a method that is computationally expensive. For example, a half-minute long wide wall free simulation can take more than a week. These simulations are only affordable for small scale problems or rheological studies. For large scale problems, continuum models with proper closures can be applied for reasonable computational cost.

DEM-LBM simulations do, however, provide a prime tool for developing and extracting continuum models, offering certain advantages over experiments alone. Some of the desired experiments would be difficult to conduct in the lab setting and some of the quantities that are important in developing the continuum model are not easily accessible from experiments, such as the bulk fields of stress and velocity in the fluid and granular phase, as well as granular temperature.

In this section, we present a two-phase continuum model for steady-state behavior based on a recent mixture theory framework, turbulent-particle interaction closures, and known granular rheology principles. It is validated/calibrated directly from our DEM-LBM simulations. Close comparisons are made between the results from DEM-LBM simulations and the proposed continuum model in terms of the sediment transport relation and the detailed flow profiles of both fluid and solid phases. Besides the relatively fast motion of the transported particles and the fluid, the last subsection will also discuss the modeling of creep beneath the bed surface.

#### 644 3.1 Method

A promising approach for continuum modeling of fluid-grain mixtures is to use a two-phase mixture theory (Bandara & Soga, 2015; Maurin et al., 2016; Chauchat, 2018; Baumgarten & Kamrin, 2019) that contains mass and momentum balances for both fluid and solid phases, and three closures: constitutive relations for fluid and solid phase stresses and a drag-law that transfers momentum between solid and fluid phases. As mentioned at the beginning, since the granular flow in sediment transport problems covers multiple regimes, the granular constitutive relation is crucial to making accurate predictions.

The framework of the continuum model presented here is based on a recent mixture model which spans dilute to dense regimes (Baumgarten & Kamrin, 2019), with the addition of a turbulent closure as well as an enhanced drag law and granular rheology. The solid and fluid phases of the fully immersed mixture are considered as overlapping continuum bodies with volume fractions  $\phi$  and porosity  $n = 1 - \phi$  respectively. The Cauchy stress tensor of the mixture is defined as the sum of the phase-wise Cauchy stresses:  $\sigma = \sigma_s + \sigma_f$ . The fluid and solid phase-wise Cauchy stress can be expressed as

$$\boldsymbol{\sigma}_f = \boldsymbol{\tau}_f - n \, p_f \, \mathbf{1} \tag{14}$$

$$\boldsymbol{\sigma}_s = \quad \tilde{\boldsymbol{\sigma}} - \phi \, p_f \, \mathbf{1}, \tag{15}$$

where  $\tau_f$  is the deviatoric part of  $\sigma_f$ ,  $p_f = -\text{tr}(\sigma_f)/3n$  is the fluid pore pressure, and  $\tilde{\sigma}$  is the solid effective stress which drives the granular plastic flow.

The motion of the mixture in steady state is governed by the mass balance equations

$$\boldsymbol{U}_s \cdot \operatorname{grad}(\phi \rho_s) + \phi \, \rho_s \, \operatorname{div} \boldsymbol{U}_s = 0 \tag{16}$$

$$\boldsymbol{U}_{f} \cdot \operatorname{grad}(n\rho_{f}) + n\rho_{f} \operatorname{div} \boldsymbol{U}_{f} = 0$$
(17)

#### and momentum balance equations

$$\phi \rho_s \boldsymbol{U}_s \cdot \operatorname{grad}(\boldsymbol{U}_s) = \phi \rho_s \boldsymbol{g} - \boldsymbol{f}_d + \operatorname{div} \boldsymbol{\tilde{\sigma}} - \phi \operatorname{grad}(p_f)$$
(18)

$$n \rho_f \boldsymbol{U}_f \cdot \operatorname{grad}(\boldsymbol{U}_f) = n \rho_f \boldsymbol{g} + \boldsymbol{f}_d + \operatorname{div} \boldsymbol{\tau}_f - n \operatorname{grad}(p_f), \tag{19}$$

where  $f_d$  is the drag force density from the solid phase to the fluid phase. The buoyancy is built in to the grad pressure terms.

Besides the equations of mass and momentum balances, three closures (constitutive laws) are needed to solve the system: granular rheology for  $\tilde{\sigma}$ , turbulent closure for  $\tau_f$  and inter-phase drag law for  $f_d$ .

3.1.1 Granular flow rule

669

For the steady flow of submerged granular materials, based on suspension rheolog-670 ical experiments, Boyer et al. (2011) proposed a rheology in which the packing fraction 671  $\phi$  and granular stress ratio  $\mu = \bar{\tau}/p_p$  are functions of only the dimensionless viscous num-672 ber  $I_v = \eta \dot{\gamma} / p_p$ , where  $\dot{\gamma}$  is the solid equivalent shear strain rate, granular pressure  $p_p =$ 673  $-\mathrm{tr}(\tilde{\sigma})/3$ , and granular shear stress  $\bar{\tau}$  is defined as the magnitude of the deviotoric part 674 of  $\tilde{\sigma}$ . Similarly, in the rheology of dry granular materials,  $\phi$  and  $\mu$  are solely functions 675 of the inertial number  $I = \dot{\gamma} d_p / \sqrt{p_p / \rho_s}$  (Jop et al., 2006). Trulsson et al. (2012) pro-676 posed a combination of  $I_v$  and I to unify the rheology based on 2D simulations, which 677 covers both the viscous regime proposed for suspensions and the inertial regime when 678 fluid resistance is minimal. Later, Amarsid et al. (2017) modified the combination as the 679

mixed inertial number  $I_m = \sqrt{2I_v + I^2}$  and expressed  $\phi$  and  $\mu$  in terms of  $I_m$ . Recently, inspired by the work of Boyer et al. (2011) and Amarsid et al. (2017), Baumgarten and Kamrin (2019) proposed a granular flow model that unifies dilute suspension rheology, dense suspension rheology, and inertial flow rheology.

Starting from the latter model, we analyze and fit the granular material param-684 eters with additional DEM-LBM tests in simple shear geometries (Boyer et al., 2011) un-685 der varied packing fractions. In the simple shear simulations, there is no gravity and the 686 mixture is confined between the top and bottom walls which are made of particles. The 687 bottom wall is fixed whereas the top wall is assigned a constant horizontal shear veloc-688 ity. All the side boundaries are periodic. The volume fraction of the particles is varied 689 test-by-test from 0.03 to 0.6. The particles are exactly the same as the previous wide wall-690 free tests and flume tests. Instead of water, a more viscous fluid ( $\eta = 0.417 \,\mathrm{Pa} \cdot \mathrm{s}$ ) is 691 used in the simple shear tests to avoid turbulence for now. In post-processing,  $\sigma_s$  is ho-692 mogenized from the stress in each particle, which arises from grain-grain contact forces 693  $\sigma_s^c$ , particle velocity fluctuations  $\sigma_s^{dv}$  and fluid-solid interaction  $\sigma_s^f$ . The contributions 694 from contacts and fluctuations can be calculated according to Da Cruz et al. (2005). Since 695 DEM-LBM provides the fluid-grain momentum exchange along the grain surfaces, these 696 can be used to calculate the fluid-force contribution to the particle-wise stress tensor (see 697 Eq 13). 698

The DEM-LBM simple shear test results are shown in Figure 8, leading to an enhanced granular flow rule as follows:

$$\mu = \mu_1 + \frac{\mu_2 - \mu_1}{1 + b/I_m} + \frac{5}{2} \frac{\phi I_v}{a I_m} + \frac{5}{2} \phi I_v, \qquad (20)$$

$$\phi = \frac{\phi_m}{1+a\,I_m},\tag{21}$$

where  $a = \sqrt{2}/2$  is a constant and the material parameters are calibrated as  $\mu_1 = 0.37$ ,  $\mu_2 = 0.70$ ,  $\phi_m = 0.62$ , b = 5, as shown in Figure 8 (a,b). Eq 20 gives the solid phase stress ratio when the material is flowing ( $\dot{\gamma} \neq 0$  or  $I_m$ ,  $I_v \neq 0$ ). When the granular material is not flowing, the solid shear stress is limited by the flow criterion:  $\bar{\tau} - \mu_1 p_p < 0$ .

Maurin et al. (2016) have shown that the drag law in bedload transport problems 705 can be fitted by the  $\mu(I)$  rheology which is originally for dry granular materials. In our 706 cases as well, the dry inertial number I dominates the mixed inertial number  $I_m = \sqrt{2I_v + I^2}$ 707 with the ratio  $I^2/2I_v = \dot{\gamma} d_P^2/2\nu$  greater than 10 above the bed surface. We find the 708 last two terms of Eq 20 contribute less than 5% to the value of  $\mu$  for  $\phi > 0.05$ ; these 709 terms serve primarily to recover the suspension effective viscosity in the dilute limit. As 710 shown in Figure 8(c), the  $I_m$  based rheology predictions for the bedload flow are still con-711 sistent with the DEM-LBM results. In contrast, Figure 8(d) shows the  $\mu(I)$  relation is 712 consistent with the bedload data, but does not match the rheological simple shear tests 713 when  $I^2/2I_v$  is low. In this two-phase framework, we choose to use the more universal 714  $\mu(I_m)$  relation because it can be generalized more easily to suspended load in sediment 715 transport or even other particle laden flow scenarios, as suggested by Baumgarten and 716 Kamrin (2019). Note that neither rheology predicts the observed behavior for  $\mu < \mu_1$ 717 in Figure 8(c,d), which are caused by nonlocal effects, which will be modeled in an up-718 coming section. 719

The last term in Eq 20 for the solid phase stress was previously attributed to the 720 fluid shear stress in (Baumgarten & Kamrin, 2019). We have some freedom in choosing 721 which phase includes this contribution — the phase-wise stress decomposition is not to-722 tally known. Its placement does not affect the total stress nor the model's ability to span 723 dilute suspensions, dense suspensions, and dry granular flows (see Baumgarten and Kam-724 rin (2019) for more details about how these regimes are recovered). That said, it is rea-725 sonable to include as part of the solid stress since it induced by fluid traction on the grains 726 and Eq 20 matches our DEM-LBM data more closely. 727



Figure 8. Granular flow rule from simple shear SS simulations: (a) the dependence of stress ratio  $\mu$  on the mixed inertial number  $I_m$ , (b) packing fraction  $\phi$  as a function of  $I_m$ . Fitted granular flow rule validated with the data from the wide wall free (WWF) simulations: (c) Scatter plot of  $\mu$  versus  $I_m$ , (d) scatter plot of  $\mu$  and I (dry rheology). Each data point of DEM-LBM comes from a set of homogenized values at a elevation in a WWF test (in total 27 included).

#### 728 3.1.2 Turbulent closures

Turbulence in the fluid produces Reynolds shear stresses and turbulent effects on particle drift, which can both influence sediment transport. The Reynolds shear stress can be modeled using mixing length models (L. Li & Sawamoto, 1995; Revil-Baudard et al., 2015; Berzi & Fraccarollo, 2015). Here we use that of (Berzi & Fraccarollo, 2015) where the mixing length is fully determined by the local granular packing fraction  $\phi$  without integrating or calculating the distance from the bed surface, which can be challenging in complex 2D or 3D cases. The turbulent viscosity is modeled as

$$\eta_t = n \,\rho_f \, l_m^2 \, || \boldsymbol{D}_{0f} ||, \tag{22}$$

<sup>736</sup> with the mixing length formulated as

$$l_m = 3 \, d_p \, (\phi_m - \phi)^3. \tag{23}$$

 $\phi_m$  is the random close packing fraction of the particles which is  $\phi_m = 0.62$  for the DEM grains. The deviotoric part of the fluid stress is then calculated as  $\tau_f = 2(\eta + \eta_t) D_{0f}$ . Experiments (Ni & Capart, 2018) have shown  $l_m/d_p \ge 0.2$  is a lower limit of the mixing length at high packing fraction, where wake effects dominate the vertical mixing of momentum, so we use  $l_m/d_p \ge 0.2$  as the lower bound of Eq 23.

T42 Due to the velocity fluctuations of the turbulent flow, the particles experience an T43 additional drift velocity  $u_d$  (Simonin, 1989), which is crucial to recover the Rouse pro-T44 file (Rouse, 1937) in sheet flows Chauchat (2018). Here we formulate the model in a gen-T45 eral vectorial form:

$$\boldsymbol{u}_d = -\frac{\eta_t}{\rho_f \sum_s \phi} \operatorname{grad} \phi, \tag{24}$$

where  $\Sigma_s$  is the turbulent Schmidt number and has been shown to be a constant above a certain height from the bed surface in the sheet flow (Chauchat, 2018). When implemented into a two-phase solver, we use  $\Sigma_s = 0.3$ .

#### 749 3.1.3 Drag law

750

The interphase drag force density  $f_d$  can be modeled using the common drag form

$$\boldsymbol{f}_{d} = \frac{18\phi(1-\phi)\eta}{d_{p}^{2}}F(\phi,Re_{d})\,\Delta\boldsymbol{U}.$$
(25)

For turbulent flows, the velocity difference above is modified to account for turbulent drift as  $\Delta U = U_s - U_f + u_d$ . The function  $F(\phi, Re_d)$  is the dimensionless drag function with  $Re_d = (1-\phi)\rho_f ||\Delta U||/\eta$ . The Stokes drag law for a single sphere implies F(0,0) =1. One typical way to determine  $F(\phi, Re_d)$  is to measure  $F(0, Re_d)$  with a single particle and then account for hindrance effects from neighbouring particles, such as the Schiller (1933) model:

$$F_1(\phi, Re_d) = F(0, Re_d)(1 - \phi)^{-1 - h_{\text{Exp}}},$$
(26)

where the exponent  $h_{\text{Exp}}$  is taken as a constant value of 2 in a recent work on the continuum modeling of sediment transport (Chauchat et al., 2017) and the expression for  $F(0, Re_d)$  is evaluated as  $1+0.15Re_d^{0.687}$  for  $Re_d \leq 1000$  and  $\frac{0.44}{24}Re_d$  for  $Re_d > 1000$ . Alternatively,  $F(\phi, Re_d)$  can also be determined in the Stokes flow limit as  $F(\phi, 0)$  and then extended by adding a term related to  $Re_d$ . For example, Beetstra et al. (2007) proposed the expression below from fitting

$$F_2(\phi, Re_d) = \frac{10\phi}{(1-\phi)^2} + (1-\phi)^2(1+1.5\sqrt{\phi}) + \frac{0.413Re_d}{24(1-\phi)^2} \left(\frac{(1-\phi)^{-1} + 3\phi(1-\phi) + 8.4Re_d^{-0.343}}{1+10^{3\phi}Re_d^{-(1+4\phi)/2}}\right) .$$
(27)



**Figure 9.** Comparison of different dimensionless drag coefficient formulas  $F_1(\phi, Re_d)$ ,  $F_2(\phi, Re_d)$  and the modified  $F_2(\phi, Re_d)(1 - \phi)^{-1}$  against the DEM-LBM results: (e) The dimensionless drag coefficient F as a function of  $\phi$  and  $Re_d$ . (f)  $F\phi(1 - \phi)$  as a function of  $\phi$  and  $Re_d$ . The formulas are evaluated at the same  $\phi$  and  $Re_d$  values as the DEM-LBM data.  $F_1$  and  $F_2$  tend to underestimate the drag whereas  $F_2(\phi, Re_d)(1 - \phi)^{-1}$  gives better fitting. Each data point of DEM-LBM comes from a set of homogenized values at an elevation in a WWF test (in total 27 included).

The data from DEM-LBM simulations can serve as a tool to test/validate these 763 two drag laws. The drag force density can be extracted from the net fluid force per par-764 ticle in our DEM-LBM wide wall-free simulations, and then homogenized layer-wise at 765 each z and averaged over time to produce F. Similarly,  $\phi$  and  $Re_d$  can be homogenized 766 layer-wise. The measured dimensionless drag coefficient is compared with the predictions 767 of  $F_1$  and  $F_2$  evaluated at the same  $\phi$  and  $Re_d$  values, as shown in Figure 9(a). Accord-768 ing to Eq 25, F will be multiplied by  $\phi(1-\phi)$  when used to calculate the drag force den-769 sity  $f_d$ , so the comparison of  $\phi(1-\phi)F$  is also included in Figure 9(b).  $F_1$  and  $F_2$  tend 770 to underestimate the drag because Eq 26 and Eq 27 arise from considering a fluid flow-771 ing through a fixed, isotropic array of grains. When it comes to mobile particles in sed-772 iment transport problems or fluidized granular beds, the actual drag forces are claimed 773 to be higher than this relation due to granular velocity fluctuations (Wylie et al., 2003; 774 Kriebitzsch et al., 2013), packing heterogeneity (Derksen, 2014), and/or packing anisotropy 775 (Holloway et al., 2012; Ma et al., 2020). We account for this effect as follows. The agree-776 ment presented in the single sphere settling tests, as shown in Appendix B, indicates that 777  $F_1(0, Re_d)$  should be recovered in the DEM-LBM simulations. Thus, a simple way to 778 modify the drag law but keep this limit is to multiply  $F_1(\phi, Re_d)$  or  $F_2(\phi, Re_d)$  above 779 with a correction that is a power of  $(1 - \phi)$  as an hindrance coefficient (Richardson & 780 Zaki, 1954; Di Felice, 1994). We find the error of the drag law in our system can be re-781 duced by choosing the additional factor to be  $(1-\phi)^{-1}$ , i.e., 782

$$F(\phi, Re_d) = F_2(\phi, Re_d)(1 - \phi)^{-1}.$$
(28)

The proposed formula F fits the DEM-LBM results better than the original formula  $F_2$ for fixed grain arrays.

#### 785 **3.2 Wide wall-free cases**

The continuum model with the calibrated material parameters described above has been implemented in a 1D two-phase solver to model the wide wall-free cases. The equa-



Figure 10. Comparison between DEM-LBM simulations and the continuum model for the wide wall-free geometry: (a) sediment transport relation, and (b) flow profiles (WWF2 at  $\tau^* = 0.085$ ) as a function of the height above the bed surface: fluid velocity, solid velocity and solid packing fraction.

tions are solved with transient terms and a granular dilation rule (Pailha & Pouliquen,
2009) using the finite volume method. When the steady state is reached, the transient
terms and dilation rule vanish so that the solution is not influenced.

The wide wall-free cases are solved with a given slope S and varied water depth H. The transport relation from multiple solutions is shown in Figure 10(a). Each data point represents a single solution for a given H or  $\tau^*$ . The transport relation from the continuum model matches with that from DEM-LBM simulations, giving a good fit to the widely used (albeit flawed) 3/2 power law. Figure 10(b) shows the comparison of the flow profiles from continuum modeling and DEM-LBM simulations. The modeled solid packing fraction profile  $\phi$  matches the simulation almost exactly and the solid velocity profile also matches .

One difference in Figure 10(b) is that the solution of the continuum model predicts 799  $U_s$  and  $U_f$  to merge into the same profile for  $\phi < 0.05$  by observation while in DEM-800 LBM  $U_s$  is always lagging behind  $U_f$ . The reason for this deviation is that in the DEM-801 LBM simulations the very top layer of the particles in the dilute suspension always come 802 up from the granular flow below and they are always slower than the local ambient fluid 803 flow (accelerating streamwise all the way up). On the other hand, there is no such ver-804 tical momentum mixing effects (in steady state) in our current continuum model. More-805 over,  $u_d$  predicted by the continuum model is large enough for the lift force to cancel 806 out the submerged weight of the solid phase, so that the local un-pressurized solid phase 807 sustains no shear stress and co-moves with the fluid phase. For the granular material gov-808 erned by a frictional flow rule,  $p_p = 0$  means the material is suspended and free to be 809 sheared. As a result, there is no drag force in the flow direction (so no velocity lag) for 810 the very dilute layers. For a remedy, there are two future research directions: (1) enhanc-811 ing the drift velocity formula so that the submerged weight does not fully cancel out, or 812 (2) a granular flow rule for the very dilute regime that considers the vertical mixing of 813 solid phase momentum due to the granular temperature, packing fraction gradient, ve-814 locity gradient, and perhaps the gradient of the velocity gradient. Another problem is 815 the abrupt transition to the maximum concentration near bed surface, resulting from 816 the previously mentioned granular flow rule with a flow criterion given by  $\mu_1$ . The kink 817 corresponds to the elevation where  $\mu = \mu_1$ , which gives  $\phi = \phi_m$  and  $\dot{\gamma} = 0$  for all the 818

points below it. Incorporating a nonlocal rheology into the two-phase model may im-819 prove the solution near and below the bed surface. As mentioned previously, the drag 820 force density on mobile sheared particles is larger than that on fixed randomly packed 821 particles. More analytical work on this would shed light on the interaction between fluid 822 and solid phases in such flow problems. Finally, we note that this model, which utilizes 823 a standard mixture theoretic decomposition of the stress, is not equipped to model the 824 details of the different stress contributors in each phase beyond the splitting shown in 825 Eqs 14 and 15. A higher order mixture model could incorporate a micropolar form for 826 the different contributions (Cosserat & Cosserat, 1909; Kamrin, 2019) to permit coun-827 terbalancing rotation stresses within each phase to account for the near-bed-surface be-828 havior in Sec 2.4, which can be a future research direction. 829

#### 3.3 Creep modeling

830

For the very dense flow region  $\phi \sim \phi_m$  under the bed surface, creep flow (exponential decay of  $U_s$ ) is also observed in DEM-LBM simulations, which is known to be driven by nonlocal effects arising from finite grain size (Silbert et al., 2003; Mueth, 2003; Bonnoit et al., 2010). Creep flow is not contributing much to the  $q^* - \tau^*$  transport relation for  $\tau^*$  far from  $\tau_c^*$ . However, its effect can matter over the long term, e.g. creep may lead to vertical grain size sorting in river beds (Ferdowsi et al., 2017), and thus accurate modeling of the creeping flow could be helpful to predict river bed armouring.

In the creep zone, the velocities of the particles and the fluid, as well as the rela-838 tive velocity between the two phases, are so small that the drag forces and lubrication 839 forces from fluid are tiny. One may wonder, hence, if a rheology for the creep of dry gran-840 ular materials will also work here. We consider the Nonlocal Granular Fluidity model 841 (NGF) (Kamrin & Koval, 2012; Kamrin & Henann, 2015), which is able to model creep 842 flow in dry granular materials in many cases. In the NGF constitutive model, a phase 843 field called the fluidity, g, is postulated to exist, which satisfies the dynamical partial dif-844 ferential equation: 845

$$t_0 \dot{g} = A^2 d_p^2 \nabla^2 g - (\mu_2 - \mu_1) \left(\frac{\mu_1 - \mu}{\mu_2 - \mu}\right) g - b \sqrt{\frac{\rho_s d_p^2}{p_p} \mu g^2}$$
(29)

where the nonlocal amplitude A = 0.43 is a dimensionless constant given by the grain 846 geometry and  $t_0$  is a time-scale. The fluidity then directly controls the stress-flow rhe-847 ology by the relation  $\dot{\gamma} = g\mu$ . The "unexpected" flow (i.e. creep) of the solid phase in 848 the region where the load is below the local flow criterion comes from the diffusion term 849 in Eq 29, which is scaled directly by the grain size  $d_p$ . Recent research (Q. Zhang & Kam-850 rin, 2017; Kim & Kamrin, 2020) shows that g is very likely to be related to the veloc-851 ity fluctuations of the particles. Thus, the physical picture for the creep flow is as fol-852 lows: the high granular temperature region of fast flow at the bed surface is a source of 853 g that diffuses downward and "warms up" the cold zone deeper into the bed so that it 854 too can flow. The NGF model parameters are usually fitted from the inertial flow rule 855 for dry granular materials mentioned in 3.1.1. Equation (18) then closes the system of 856 equations. 857

We solve the NGF model in the wide wall-free flow geometry with some aid from 858 the DEM-LBM results. Since Eq 18 needs the fluid forcing,  $-f_d - \phi \operatorname{grad}(p_f)$ , which is 859 not computed from NGF, we simply extract this field directly from the fluid forces in 860 the corresponding DEM-LBM simulation. The g field also needs reasonable boundary 861 conditions. We set g = 0 at the bottom of the bed  $(z = -10d_p)$  and set the g value 862 at  $z = -2d_p$  from DEM-LBM tests (using  $g = \dot{\gamma}/\mu$ ) at  $z = -2d_p$ . Then the velocity 863 of the solid phase can be integrated from the fixed bottom using the solved g field. Fig-864 ure 11 gives the solid phase velocity profile comparison between the DEM-LBM wide wall-865 free simulation ( $d_p=5$ mm, S = 0.016) and the corresponding steady state NGF solu-866 tion. The NGF result shows an exponential decay with a decay length of  $\sim 2.5 d_p$ , in 867



Figure 11. The solid phase velocity profile comparison between a DEM-LBM wide wall-free simulation ( $d_p$ =5mm, S = 0.016) and the corresponding steady state NGF solution.

868	agreement with our DEM-LBM results as well as separate experimental measurements
869	from immersed sediment beds (Allen & Kudrolli, 2017) and dry granular beds (Siavoshi
870	et al., 2006) in similar geometries. This confirms our expectation that the minimal ef-
871	fect of fluid in the deeper zones causes the material to creep as a dry media would.

#### **4** Discussion

With regard to the motivating questions asked in the introduction, our study provides the following outlook.

#### 875

#### 876 877

# 4.1 How important is fluid-particle angular momentum transfer and in which part of the flow and in which regime of the sediment transport is it important?

Our simulations resolve the fluid traction over the particle surfaces, leading to a 878 hydrodynamic net force on the center of each particle along with a fluid net couple. Ex-879 amination of particles entrained by fluid on the bed surface in intermittent sediment trans-880 port flume simulations show that nearly 1/4 of the total fluid torque to roll over neigh-881 boring grains comes from the net fluid couple, which is non-negligible especially near the 882 transport threshold. In each wide wall free simulations, the rotation stress, which mea-883 sures the skewness of the fluid-imposed stress contribution in a grain, seems to be con-884 centrated near the sediment bed surface where it is balanced by the torque resistance 885 arising from the enduring contact with other bed particles. The maximum rotation stress 886 seems not correlated to the Shields number (in the tested range from 0.0471 - 0.1408). 887 which can be seen as a material indicator of how much fluid net couple the sediment bed 888 can sustain (on the other hand, it is part of the hydrodynamic driving). On the other 889 hand, the fluid net force per grain appears correlated to  $\tau^*$ . As a result, the influence 890 of the fluid net couple (or the defined rotation stress) is most evident for  $\tau^* \to \tau_c^*$  and 891 is negligible for  $\tau^* \gg \tau_c^*$ , which is shown in this study in terms of the sediment trans-892 port relation. This analysis suggests fluid-DEM simulation methodologies that do not 893 explicitly model the small scale fluid-grain interaction may need to use a closure for the 894 angular momentum transfer, such as in Finn et al. (2016) and Guan et al. (2021), espe-895 cially when close to the sediment transport threshold. 896

#### 4.2 What is (not) responsible for the variability in the observed sediment transport relation?

The dimensional analysis and the relevant parameter space exploration with DEM-899 LBM simulations lead to several conclusions, though limited to the simplest geometry 900 (a infinite long and wide straight river) without considering vegetation or external ag-901 itation. In terms of macroscopic factors, the bed slope has little influence on the dimen-902 sionless sediment transport rate on gentle slopes (when  $\tau^*$  is fixed) and is likely not re-903 sponsible for the variation in flux  $(q^*)$  for a given Shields number  $(\tau^*)$  in experiments (Meyer-Peter & Müller, 1948). This is not a surprise, in agreement with recent theoretical works (Maurin et al., 2018; Pähtz & Durán, 2020) about the influence of (steep) slopes 906 on the transport relation, which give correction factors of  $q^*$ ,  $\tau^*$  and  $\tau_c^*$  very close to 1 907 for gentle slopes ranging from 0.01 to 0.03. 908

In terms of microscopic particle properties on which this study focuses, tests that independently varied the mean particle size, surface friction coefficient, and surface damping coefficient do not appear to produce transport relations that differ much compared to the reference case at medium to high transport stages. When it is close to the transport threshold, the  $q^*$  values in these different simulation groups seem to be inversely correlated to the rotation stress which is correlated to the surface friction coefficient and damping coefficient of the particles.

Following the previous logic regarding the competition between driving factors to 916 dislodge bed particles (collisions and interactions with fluid) countering the resistance 917 from the contact interactions, if we look back at the factors we isolated at the beginning 918 of this analysis, the grain shape (Kock & Huhn, 2007; Pähtz et al., 2021) as well as the 919 hydrodynamic interaction (Camenen, 2007), and size distribution (including effects such 920 as small particles hiding behind large neighbors), may have contributions to the varia-921 tion in the transport relation. From a different perspective, large particles on river beds 922 also control morphological stability (MacKenzie & Eaton, 2017; MacKenzie et al., 2018), 923 which is possibly another reason. This agrees with the findings of the companion exper-924 imental work (Deal et al., 2021), in which the  $q^* - \tau^*$  relation is parameterized primar-925 ily by the repose angle of the sediment particles and the ratio of the effective drag co-926 efficient to the drag coefficient of the volume-equivalent sphere. Though the particle sur-927 face friction coefficient  $\mu_p$  influences the repose angle, the influence for round particles 928 is very limited when  $\mu_p > 0.05$  (Walton, 1994; Wiacek et al., 2012; Kamrin & Koval, 2014), consistent with the fact that  $\mu_p$  has a minor influence on the value of the max-930 imum rotation stress. As a result,  $\mu_p$  has negligible influence on the transport of spher-931 ical sediment particles, but may potentially have more influence on the transport of non-932 spherical particles. 933

Besides the microscopic particle properties, other factors that may also play im-934 portant roles in the variation of the transport relation include the presence of external 935 agitation (Sumer et al., 2003; Ojha et al., 2019; Cheng et al., 2020) and vegetation (Vargas-936 Luna et al., 2015; C. Liu et al., 2021). It should also be noted that here we have only 937 considered the case of simple channel geometry, whereas in actual riverbeds there are 938 a number of channel morphologic features we have not considered that can make a dif-939 ference, including bedforms (Parker, 1978) and the ratio of grain diameter to flow depth, 940 especially if boulders are present that are not fully submerged (Yager et al., 2007; Ven-941 ditti, 2013; Venditti et al., 2017). 942

943 944

#### 4.3 How can we formulate a useful, broadly applicable model at different scales and regimes in bedload sediment transport?

The two-phase continuum framework shown here can be used to predict the bedload transport relation with proper closures: a granular flow rule, a turbulent closure, and a drag law. The transport relation predicted by the model in the wide wall-free cases matches with that from DEM-LBM simulations, giving the classical power law of 3/2.
The modeled solid packing fraction profile matches the simulation almost exactly and the solid velocity profile also matches .

For creep flow beneath the bed surface, the success of the NGF model, which has 951 previously been used for dry media, suggests that the physics of cooperative grain mo-952 tion giving rise to creep in fluid-submerged dense packings may be similar to that in dry 953 packings. In our implementation here, drag forces from the fluid were homogenized from 954 DEM-LBM simulations and applied to the NGF domain as a body force and the fluid-955 ity value is specified on the top as the boundary condition. Note that the NGF model 956 does not require  $\mu > \mu_1$  anywhere for non-zero flow to exist. As long as there is a fi-957 nite fluidity boundary condition, flow can happen all beneath  $\mu_1$ . For example, the pre-958 sented solution in Section 3.3 is obtained by solving the solid field in the creep zone  $z \leq$ 959  $-2d_p$  with  $\mu < \mu_1$  everywhere. Finite fluidity occurs at the bed surface even if  $\mu < -2d_p$ 960  $\mu_1$  there because the turbulent fluid imparts fluctuations to the bed surface particles re-961 sulting in a fluidity source. This interpretation utilizes the result in Q. Zhang and Kam-962 rin (2017) that shows fluidity is in fact a measure of grain fluctuations, so any agency 963 that imparts grain fluctuations can be a source for fluidity in a granular system. We have 964 inferred the fluidity boundary condition from the DEM-LBM simulations, but in prin-965 ciple one could identify a model for the fluidity boundary conditions that depends on 966 the turbulence. In the future we could extend the granular rheology used in our two-phase 967 mixture model to incorporate NGF in the creeping regime, so that fluid flow and gran-968 ular flow fields are simultaneously computed down to the creeping flow regime. We also 969 acknowledge that creep flows can happen when  $\mu$  is below  $\mu_1$  everywhere (Houssais et 970 al., 2015; Allen & Kudrolli, 2018), the boundary values may be what we want to predict 971 instead of an input. See the review paper (Pähtz et al., 2020) for more insights. 972

The continuum tools we have used make a number of direct ties to the particle-scale 973 information, which can be exploited to apply the model to other bed materials. For ex-974 ample some of the parameters in the drag law at the dilute limit can be calibrated with 975 single particle settling tests. The critical stress ratio  $\mu_1$  can be approximated by the static 976 angle of repose of the grains (even if dry). Other parameters in the granular flow rule 977 can be calibrated with basic flow tests. For example, the nonlocal amplitude used in the 978 creep flow model can be inferred from the decay length of the mean particle velocity in 979 wall-bounded chute flows (Komatsu et al., 2001; D. Liu & Henann, 2017) or from an-980 nular Couette flow tests (Kamrin & Koval, 2012, 2014). 981

#### 982 5 Conclusion

In this paper, sub-grain scale resolved DEM-LBM simulations of mono-disperse spher-983 ical sediment particles were performed and the results compared closely with data from 984 flume experiments. The simulations was shown to match the experiments in terms of the 985 transport relation and the detailed flow profiles of the granular material. With valida-986 tion in hand, the DEM-LBM tool was then used as the basis for an in-depth modeling 987 study of sediment transport. Wide wall-free simulations were performed in order to eval-988 uate the factors that can potentially affect the transport relation on gentle slopes (0.01  $\sim$ 989 (0.03). The slope, the mean particle size, the surface friction coefficient, and the damp-990 ing coefficient did not appear to influence the dimensionless transport rate for medium 991 to high Shields number when the Shields number was fixed, for spherical sediment par-992 ticles. Instead, the parameters not included in the dimensional analysis may be respon-993 sible for a substantial fraction of the variability in the experimental transport relation 994 on gentle slopes, including particle parameters such as the particle shape and size dis-995 tribution as well as vegetation, external agitation, bed forms and so on. The particle-996 resolved simulations also provided details about the fluid-particle angular momentum 997 exchange. The fluid couple with respect to the center of the grain, resulting from the fluid 998 traction over the particle surface, was shown non-negligible for the fluid entrainment near 999

the threshold. The fluid couple was further quantified as the rotation stress, which was found mostly concentrated near the bed surface and not correlated to the Shields number. Particle properties (e.g. surface friction coefficient) changed the observed rotation stress, which was anti-correlated to  $q^*$  near the transport threshold, suggesting fluid-particle angular momentum transfer may play a role in transport behavior near the threshold.

## Appendix A Wall boundary condition for flume tests (boundary layer treatment)

To ensure the fluid velocity in the DEM-LBM simulations, it is correct is crucial 1008 to recover the transport relation of the sediment particles. In the flume experiments (Deal 1009 et al., 2021; Benavides et al., 2021), the flumes are narrow and tall so that the cross-sectional 1010 fluid streamwise velocity far from the granular bed can be approximated by the law of 1011 the wall when fully developed. According to the law of the wall, the velocity near the 1012 wall (viscous sublayer,  $y^+ < 10.8$ ) is linear to the wall distance  $u^+ = y^+$  with  $y^+ =$ 1013  $y_w u_\tau / \nu_f$ ,  $u_\tau = \sqrt{\tau_w / \rho_f}$  and  $u^+ = u / u_\tau$ , where  $y_w$  is the distance to the closest wall 1014 of the channel and  $\tau_w$  is the wall shear stress. Beyond the viscous sublayer, the fluid av-1015 erage velocity not too close to the walls  $(y^+ \ge 10.8)$  can be formulated as  $u^+ = \ln y^+/0.41 +$ 1016 5.0. The goal of this appendix is to explain how we can recover the turbulent pure fluid 1017 cross-sectional velocity profile in the channel without having to directly resolve the bound-1018 ary layer. 1019

LBM has shown the capability to simulate homogeneous isotropic turbulent flows 1020 accurately (Yu et al., 2005), either on a high resolution mesh whose grid spacing is no 1021 larger than Kolmogorov length scale  $\delta x_K$  (as known as Direct Numerical Simulations or 1022 DNS), or a relatively coarse mesh with a turbulent closure (LES). Various papers on LBM 1023 (Banari et al., 2015; L. Wang et al., 2016; Eshghinejadfard et al., 2017) have shown DNS can recover the turbulent fluid velocity profile in a channel with two parallel walls. How-1025 ever in LBM with LES such as our simulations, the thickness of the viscous sublayer of 1026 the boundary layer is smaller than or comparable with the grid spacing dx, leading to 1027 a velocity jump near the boundaries. LBM based LES with a no-slip boundary condi-1028 tion will underestimate the fluid velocity in the channel. Here, we present a new bound-1029 ary technique, relating the velocity jump across the boundary layer as a slip velocity in 1030 a Navier slip boundary condition formulation. 1031

Uth et al. (2013) and K. Wang et al. (2018) have provided the implementation method of the Navier slip boundary condition in LBM. The slip boundary condition is characterized by a scalar  $s_{sl}$ , the slip length defined as the distance from the wall at which the linearly extrapolated relative velocity is 0. At the boundary, if  $f_i$  corresponds to the oblique velocity  $c_i$  going into the wall, the distribution component coming out of the wall in the opposite direction can be made up as

$$f_{i'}(\boldsymbol{x}_w, t+1) = r_1 f_i^c(\boldsymbol{x}_w, t) + (1-r_1) f_{i'''}^c(\boldsymbol{x}_w, t)$$
(A1)

with

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$$_{1} = \frac{1}{1 + \frac{s_{sl}}{dx \left(\tau - 1/2\right)}} \tag{A2}$$

where  $f_{i'''}$  corresponds to the velocity going into the wall in the specular reflection direction (the opposite direction of  $c_{i''}$ , see Figure 1 (c)) and the superscript "c" denotes the post-collision distribution. Substituting Eqn (4) and  $s_{sl} = u_{sl}/\dot{\gamma}_{f,w}$  gives

r

$$r_1 = \frac{1}{1 + \frac{u_{sl}}{\dot{\gamma}_{f,w}\nu} \frac{dx}{3dt_f}} = \frac{1}{1 + \frac{u_{sl}}{\tau_w} \frac{dx\,\rho_f}{3\,dt_f}} \tag{A3}$$

where  $u_{sl}$  is the slip velocity and  $\dot{\gamma}_{f,w}$  the fluid shear rate at the boundary. Since the node is at the wall,  $\tau_w$  equals the local shear stress

$$\tau_w = ((C_s \cdot dx)^2 \dot{\gamma}_{f,w} + \nu_f) \dot{\gamma}_{f,w} \tag{A4}$$



Figure A1. Turbulent pure fluid velocity across the channel: the law of the wall (solid blue line) compared with an LBM based large eddy simulation with the proposed Navier's slip boundary condition (red crosses), in (a) linear plot, and (b) semi-log plot. The inclined angle of the flume corresponds to a moderate Shields number  $\tau^* = 0.047$  in Figure 3. Note the channel is as wide as  $\sim 2d_p$ .

Assuming the second layer of nodes from the wall are right out of the viscous sublayer  $(y^+ = 10.8)$ , then the dimensionless velocity there is 10.8. Extrapolating the logarithmiclaw to the wall gives the slip velocity as

$$u_{sl} = 2.35 u_{\tau}.\tag{A5}$$

Then Eqn (A1,A3,A4,A5) together give the analytical Navier's slip boundary condition for turbulent channel flow in LBM based LES.

Figure A1 shows the comparison between the law of the wall and an LBM based 1034 large eddy simulation with the proposed Navier's slip boundary condition. The simu-1035 lated fluid velocity match the law of the wall very well. This simulation also serves as 1036 a tool to calibrate the value of  $C_s = 0.27$  with the resolution of dx = 0.005m. The 1037 value of  $C_s$  and the grid spacing are used throughout this paper for the simulations in 1038 which the fluid is water. With the help of the proposed boundary condition, the shown 1039 LBM simulation whose resolutions dx is equivalent to  $\sim 15\delta x_K$ , is much faster than DNS 1040 without losing much accuracy on the fluid velocity. 1041

#### Appendix B Validation of the DEM-LBM algorithm: single sphere settling, bouncing and rotation

As a validation of the DEM-LBM algorithm, single particle tests are performed to 1044 examine the linear and angular momentum exchanges between fluid and solid. When an 1045 immersed particle impacts a flat surface perpendicularly, the restitution coefficient is in-1046 fluenced by the Stokes number on collision  $St_{im} = (1/9)(\rho_s d_p V_{im}/\eta)$ , where  $V_{im}$  is the 1047 impact velocity (Gondret et al., 2002). Particularly, as shown by Ten Cate et al. (2002), 1048 when  $St_{im}$  is small, the sphere settles onto the surface gently without bouncing back. 1049 The bounce starts and the restitution coefficient increases as  $St_{im}$  increases above 10, 1050 and it approaches the dry value as  $St_{im}$  increases even further above 400 (X. Li et al., 1051 2012). Herein we set up DEM-LBM simulations corresponding to the experiments in which 1052  $St_{im} = 0.19$  (a Nylon bearing in silicon oil) and  $St_{im} = 65$  (a steel sphere in an aque-1053 ous glycerol solution), representing the settling and moderate bouncing regimes respec-1054 tively. The sphere is initially stationary and then released to descend under gravity be-1055 fore impacting the bottom wall. The material properties are listed in Table B1. 1056

Table B1. Material properties in the single sphere tests

Settle Bounce Rotate  $[kg \cdot m^{-3}]$ 970 12031000  $\rho_f$ [Pa·s] 0.3730.0502 0.833  $\eta$  $[\text{kg} \cdot \text{m}^{-3}]$ 11207780 2550 $\rho_s$ [mm]159.55.2 $d_p$ 





Figure B1. Results of the single sphere tests as Validation of DEM-LBM. Sphere normal trajectory comparisons with experiments for (a) settling and (b) bouncing.

In Figure B1 (a), the sphere is slightly denser than the surrounding viscous fluid 1057 and settles gently at the bottom. The match of the terminal velocity (slopes of the tra-1058 jectories, within 5% relative error), which is reached long before landing, indicates that 1059 the linear momentum exchange between fluid and solid is correct. The velocity of the simulated sphere decreases slowly when it is approaching the bottom in agreement with 1061 the experiment, showing the hydrodynamic lubrication force is resolved correctly when 1062 solid boundaries are getting close. In Figure B1 (b), the sphere bounces multiple times 1063 and the simulation matches the first three collisions. Capturing the above two impact 1064 problems shows the DEM-LBM algorithm is capable of simulating the immersed par-1065 ticle interaction problems accurately, regardless of particle speed relative to the ambi-1066 ent fluid. 1067

Besides the linear momentum exchange, we also need to examine how accurate the 1068 angular momentum is resolved because torque transfer can be evident due to the shear 1069 flow near the bed surface in sediment transport problems. Simulations in which an im-1070 mersed single sphere is rotating at a fixed position are tested with the rotational veloc-1071 ity  $\Omega$  varied by 1000 times. The fluid torque experienced by the sphere is compared with 1072 the analytical solution of the Stoke's flow solution  $T = 8\pi \eta \Omega R_p^3$  as shown in Figure B2. 1073 The maximum relative error is smaller than 11% over the wide span of the tested rota-1074 tional speeds. The slight error is mostly from the discrete representation of the spher-1075 ical boundaries on the fluid lattice, which could be reduced further by refining the mesh. 1076

#### **Open Research** 1077

The data, DEM-LBM solver and the continuum models are available via the fol-1078 lowing link: https://doi.org/10.6084/m9.figshare.16832560 (Q. Zhang et al., 2022). 1079



Figure B2. Fluid torque exerted on a rotating sphere over a large span of rotational velocity.

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