Time-Dependent Decrease in Fault Strength in the 2011–2016 Ibaraki-Fukushima Earthquake Sequence

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¹ Abstract

Two near-identical M_w 5.8 earthquakes in 2011 and 2016 ruptured the Mochiyama Fault in the Ibaraki-2 Fukushima region of Japan. The unusually short repeat time between the two earthquakes provides 3 rare opportunity to estimate the evolution of stress on a fault through an earthquake cycle, as 4 the stress drop in the first earthquake provides a reference value from which we can infer variations 5 through time in the stresses required to cause earthquake rupture. By combining observations of 6 crustal deformation from GPS, InSAR and seismology with numerical models of stress transfer due 7 to coseismic deformation and postseismic relaxation, we demonstrate that the rupture area on the 8 Mochiyama Fault could only have been re-loaded by up to 50-80% of the 2011 earthquake stress drop 9 (3–10 MPa) between that event and the subsequent 2016 earthquake. Most of this reloading was caused 10 by afterslip around the rupture area driven by stress changes from the 2011 Mochiyama and Tohoku-11 oki earthquakes. We therefore infer that the Mochiyama Fault became weaker in the intervening 6 12 years, with at least a 1-5 MPa drop in the shear stresses needed to break the fault in earthquakes. The 13 mechanism(s) that led to this weakening are unclear, but were associated with extensive aftershock 14 seismicity that released a cumulative moment similar to the 2011 mainshock. Temporal changes in 15 fault strength may therefore play a role in modulating the timing of moderate-magnitude earthquakes. 16

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This paper is a pre-print, therefore has not finished peer review and is currently being considered for
 publication in Geophysical Journal International.

20 1 Introduction

Earthquakes are generated by the accumulation of elastic strain around a fault zone, and its eventual 21 release when the shear stress resolved on the fault exceeds the frictional resistance to slip [Reid, 22 1910]. However, a deterministic application of this 'elastic rebound theory' to estimate the timing of 23 large earthquakes has proven difficult [e.g. Roeloffs and Langbein, 1994], because the absolute state 24 of stress on faults cannot be easily measured, the evolution of stress and strain between earthquakes 25 is typically too long to be inferred from geodetic measurements of deformation, and the strength of 26 active faults, and how fault strength varies in space, remain controversial topics. In addition, where 27 the timing of multiple earthquakes on a particular fault patch are well documented, they sometimes 28 show non-periodic repeat times [Murray and Segall, 2002; Sieh et al., 2008; Fukushima et al., 2018]. 29 This observation suggests that the rate of fault loading, or alternatively the fault strength, may also 30 vary with time to produce 'non-characteristic' earthquakes on some faults [Kagan et al., 2012]. 31

Two near-identical M_w 5.8 normal-faulting earthquakes near Mochiyama in the Ibaraki-Fukushima 32 region of Japan on the 19th March 2011 and 28th December 2016 provide a rare opportunity to deter-33 mine the evolution of stress on a fault through a whole earthquake cycle (Figure 1). A previous study 34 of the slip distributions in the Mochiyama earthquakes demonstrated that the two events ruptured the 35 same area of the NNW-SSE striking Mochiyama Fault between the surface and 7 km depth (Figure 36 1b,c) [Fukushima et al., 2018; Komura et al., 2019]. Therefore the same patch of fault reached its 37 failure stress twice in the space of ~ 6 years. Between the two earthquakes, Japan's GEONET GPS 38 network captured significant extensional strain localised across the Mochiyama Fault. Fukushima 39 et al. [2018] argued that this deformation may reflect rapid reloading of the fault through extensive 40 postseismic afterslip caused by the coseismic stress changes from the 2011 Mochiyama earthquake and 41 the postseismic stress changes following the 2011 Tohoku-oki earthquake. However, they found that 42 a model in which afterslip was driven by these stress changes could only account for a small fraction 43 of the observed inter-event strain, and could only reload the Mochiyama Fault by less than 10-20% of 44 the coseismic stress drop. 45

The Mochiyama earthquakes formed part of a sequence of seismicity in the Ibaraki-Fukushima region that began after the 11th March 2011 M_w 9.1 Tohoku-oki earthquake, and which included three other moderate-magnitude earthquakes within 20 km of Mochiyama in March and April 2011 [Imanishi et al., 2012; Fukushima et al., 2013] (Figure 1a). These earthquakes generated coseismic displacements that will have also changed the stress state on the Mochiyama Fault [King et al., 1994]. The stress changes

will have been at least partially relaxed through afterslip and aftershocks within the seismogenic crust, 51 and distributed viscous flow or localised viscous shear within the aseismic lower crust and upper mantle 52 [Freed, 2005], causing time-dependent loading of the Mochiyama Fault between 2011 and 2016. As 53 all of these stress changes were not included in the original calculations of Fukushima et al. [2018], 54 and because their calculations could not account for the observed deformation, it remains unclear 55 whether the Mochiyama Fault was fully reloaded back to its former failure stress, or whether the 56 fault became weaker and ruptured at a lower failure stress in 2016. Addressing this question is clearly 57 critical to developing our understanding of the controls on the strength of active faults and for building 58 deterministic models of the earthquake cycle and seismic hazard. 59

In this study, we build upon the work of Fukushima et al. [2018] and determine the coseismic and 60 time-dependent stress changes on the Mochiyama Fault through the Ibaraki-Fukushima earthquake 61 sequence. We then use these stress change calculations to investigate potential temporal changes in the 62 stresses required to break the fault in earthquakes. We begin by making new geodetic and seismological 63 observations of the earthquake sequence in Section 2 to place constraints on the mechanisms that 64 loaded the Mochiyama Fault. We then develop a series of forward models in Section 3 to determine 65 by how much each different mechanism could have reloaded the Mochiyama Fault within the limits 66 of the observed deformation. These models extend the previous work of Fukushima et al. [2018] by: 67 (1) gaining more general insight into the ways postseismic relaxation reloads fault zones, and (2) by 68 performing a wide range of models that allow us to assess how variations in the rheology of the Earth 69 might translate into estimates of fault reloading and surface strain. From our modelling we find that 70 the Mochiyama Fault could only have been reloaded by up to 50-80% of the coseismic stress drop of 71 the 2011 earthquake by the time the 2016 earthquake re-ruptured the fault. In Section 4, we discuss 72 the implications of this result for the time-dependent strength of active faults. 73

⁷⁴ 2 Observations of the Ibaraki-Fukushima Earthquake Sequence

75 2.1 Long-Period Body-Waveform Modelling

We first determined the focal mechanisms, centroid depths, source-time functions and moment releases of the 2011 and 2016 Mochiyama earthquakes by inverting their long-period teleseismic P and SHseismograms using synthetic waveforms of the P, S, pP, sP and sS phases, modelled assuming a finite-duration rupture at a point source [Nabalek, 1984; Zwick et al., 1994]. This method has been widely used and described, because of its sensitivity to the mechanisms and centroid depths of shallow
moderate-magnitude earthquakes [e.g. McCaffrey and Abers, 1988; Taymaz et al., 1990]. Therefore
further details of the modelling are provided in Supplementary Text S1.

The long-period waveforms of both earthquakes can be well matched at most stations using this 83 method (Figure 2). The minimum-misfit solution for the 2011 earthquake has a seismic moment of 84 4.7×10^{17} Nm (M_w 5.7), a source-time function length of 3 seconds, a strike/dip/rake of the south-85 west dipping nodal plane of 295/51/-109 and a 5 km centroid depth (Figure 2a). The moment is 86 similar to estimates from the USGS W-Phase (4.3×10^{17} Nm), USGS body-wave (4.5×10^{17} Nm) and 87 Global Centroid Moment Tensor $(6.9 \times 10^{17} \text{ Nm})$ methods, but is only 40% of that derived from the 88 InSAR-based coseismic slip inversion of Fukushima et al. [2018] $(1.2 \times 10^{18} \text{ Nm})$ when calculated using 89 the same shear modulus. The 2016 earthquake has a near-identical minimum-misfit solution, with 90 a moment release of 5.4×10^{17} Nm, a source-time function length of 4 seconds, a strike/dip/rake of 91 295/51/-100 and a centroid depth of 4 km (Figure 2b). The seismic moment estimate is identical to 92 the geodetic moment derived by Fukushima et al. [2018] $(5.4 \times 10^{17} \text{ Nm})$ when using the same shear 93 modulus. For both earthquakes, the centroid depth and moment release trade-off against one another, 94 as at shallower depths the depth-phases destructively interfere with the direct phase meaning a larger 95 moment is needed to account for waveforms of a given amplitude [Christensen and Ruff, 1985; Taymaz 96 et al., 1990]. By varying the centroid depth during the inversions between 3 and 7 km, which is the 97 InSAR-derived range of peak coseismic slip (Figure 1b,c), the minimum-misfit moment release in both 98 earthquakes ranges from $3-6 \times 10^{17}$ Nm. 99

Given that the amplitude of postseismic deformation scales with the coseismic moment [Churchill 100 et al., 2022, our new estimate of the coseismic moment of the 2011 Mochiyama earthquake will 101 have important implications for the predicted postseismic deformation. The likely explanation for 102 the difference between the seismic and geodetic moment estimates is that the interferograms used by 103 Fukushima et al. [2018] to invert for the pattern of slip in the 19th March 2011 Mochiyama earthquake 104 (which span the dates 2011/02/02-2011/03/20 for the ascending track and 2010/11/20-2011/04/07105 for the descending track) contain some surface deformation that was not caused by coseismic slip. 106 One possible source of deformation was a series of shallow M_w 4–5 earthquakes within the fault's 107 hanging wall that were triggered by the 11th March Tohoku-oki earthquake [Fukushima et al., 2018]. 108 These small earthquakes align on a north-east dipping conjugate plane seen in the relocated aftershock 109 seismicity (Supplementary Figure 1). By mapping the surface deformation from these small, shallow 110 earthquakes into deep coseismic slip on the Mochiyama Fault, Fukushima et al. [2018] could have 111

overestimated the coseismic moment release in the 19th March Mochiyama earthquake. The interferograms used to invert for the pattern of coseismic slip may also contain some surface deformation caused by early postseismic slip, which would also lead to an overestimate of the coseismic moment release [e.g. Twardzik et al., 2019]. In the following sections, we show that the GPS and microseismicity measurements support the conclusion that the moment release in 19th March 2011 earthquake derived from the slip inversion of Fukushima et al. [2018] is an overestimate.

118 2.2 GPS

We collected the F3 solutions of daily position time-series for each GPS station in Japan's GEONET 119 network and used a trajectory-modelling approach [e.g. Bedford et al., 2020] to fit the observed dis-120 placements with an arbitrary combination of steps, linear ramps, logarithmic terms and sinusoids 121 using a non-linear least-squares routine implemented in SciPy [Virtanen et al., 2020]. After the first 122 attempt to fit the time-series, we stacked the residuals between the trajectory models and the observed 123 time-series at every station to determine the common-mode error and removed it from the observed 124 time-series [Wdowinski et al., 1997]. We then fit these corrected time-series with an updated trajectory 125 model, yielding a smooth approximation of the displacement through time at each GPS station. Final 126 residuals between the trajectory models and the corrected displacement time-series, which we interpret 127 to represent random noise that is not caused by tectonic deformation, were consistently Gaussian with 128 a standard deviation of 2–3 mm and a mean of 0 mm. 129

The vertical and horizontal displacements are dominated by an eastward translation and uplift caused 130 by postseismic relaxation after the Tohoku-oki earthquake. Therefore, to determine the evolution of 131 deformation in the study region, we calculated the 2-D incremental strain tensor over different epochs 132 using the triangular interpolation method of Bourne et al. [1998] and the trajectory models of the 133 displacements. This method does not enforce any spatial smoothing on the strain field, therefore can 134 identify strain signals on the length scale of the station spacing. The noise levels in the displacement 135 measurements translate into an uncertainty of $\sim 0.2-0.3$ microstrain in the strain measurements, given 136 the typical station spacing in the network of 15–20 km. The vertical displacements do not contain any 137 clear signals related to the Ibaraki-Fukushima earthquake sequence beyond those associated with the 138 coseismic displacements in the Tohoku-oki and Iwaki earthquakes, and therefore we do not consider 139 them further here. 140

¹⁴¹ On the 19th March 2011 the first earthquake to rupture the Mochiyama Fault generated predominantly

1.6 microstrain of NE-SW to ENE-WSW extension in the triangles spanning the fault zone, and 142 predominantly 1 microstrain contraction in triangles to the south-west of the fault (Figure 3a). A 143 forward calculation of the coseismic strain predicted by the slip model of Fukushima et al. [2018] 144 can match the pattern of the observed strain, but significantly over-predicts the strain amplitude 145 (Supplementary Figure 2a). Therefore we performed a grid search of coseismic slip models in which 146 we applied a scaling factor to the slip distribution, and searched for the models that best fit the 147 coseismic strain field. We found that models with a moment release of $5-6\times10^{17}$ Nm best fit the strain 148 observations (Supplementary Figure 2b), which is consistent with the moment release determined by 149 the long-period body-waveform modelling presented in Section 2.1 ($3-6 \times 10^{17}$ Nm). 150

In the month that followed the 2011 Mochiyama earthquake, the GPS network recorded a further 152 1.2 microstrain of NE-SW postseismic extension across the Mochiyama Fault (Figure 3b), and 4–5 153 microstrain of NW-SE extension generated by a M_w 5.9 normal-faulting earthquake on the 23rd March 154 2011 [Fukushima et al., 2013] (Figure 3b). Outside of the epicentral region of these earthquakes, the 155 Ibaraki-Fukushima area was being stretched ~E–W by 0.2–0.4 microstrain as a result of ongoing 156 postseismic relaxation following the Tohoku-oki earthquake [Hu et al., 2016].

The largest earthquake within the sequence occurred on the 11th April 2011: a M_w 6.6 earthquake that simultaneously ruptured two NW-SE trending normal faults 20 km north of Mochiyama near the city of Iwaki (known herein as the 'Iwaki Faults'). The Iwaki earthquake was followed a day later by a M_w 5.9 strike-slip aftershock. These two earthquakes generated 20–25 microstrain of extension across the Iwaki Faults and 0.7 microstrain of extension across the Mochiyama Fault (Figure 3c).

Between May 2011 and December 2016 there were no more $M_w > 5$ earthquakes in the study area. GPS stations that span the Mochiyama Fault measured 2–3 microstrain of ENE-WSW extension (Figure 3d) that followed a logarithmic decay in time. Elsewhere, almost all of the study region experienced ~2 microstrain of shear with the maximum principal strain axis being oriented ~E-W to NW-SE, and the minimum principal strain axis oriented ~N-S to NE-SW. This regional pattern of shear strain represents the deformation of the Japanese mainland caused by postseismic relaxation following the Tohoku-oki earthquake [e.g. Hu et al., 2016; Becker et al., 2018].

The cumulative strain between the 2011 and 2016 Mochiyama earthquakes (the 'inter-event period') represents the horizontal surface strain associated with reloading of the Mochiyama Fault (Figure 3e). The strain across the fault consisted of 3.8–4.3 microstrain of extension — 0.7 microstrain of which can be attributed to the static deformation caused by the Iwaki earthquakes. Any model of the reloading

of the Mochiyama Fault must account for the remaining 3.1–3.6 microstrain of observed across-fault 173 stretching through aseismic deformation mechanisms. Within the triangles to the south-west of the 174 fault that span the fault's hanging wall, the strain field records incremental contraction. Notably, the 175 orientation of the principal strain axes in triangles that span the Mochiyama Fault, and triangles in 176 the immediate fault hanging wall, are sub-parallel to the principle axes of the coseismic strain field in 177 the 2011 Mochiyama earthquake (compare Figure 3a with 3e). Therefore, the sense of aseismic strain 178 around the Mochiyama Fault over the inter-event period can be accounted for by postseismic aseismic 179 slip ('afterslip') on the mainshock fault plane within a similar depth-range to coseismic slip. 180

On the 28th December 2016 the second earthquake re-ruptured the Mochiyama Fault and generated 181 2 microstrain of ENE-WSW to NE-SW extension across the fault zone with a similar pattern to the 182 2011 earthquake (Figure 3f). The across-fault extension in 2016 was slightly larger than in 2011, which 183 supports the conclusion from the long-period body-waveform modelling that the 2016 earthquake had 184 a slightly larger moment release than in 2011. Over the postseismic period between December 2016 and 185 December 2017, the GPS network captured ~ 0.3 microstrain of logarithmically-decaying postseismic 186 extension across the Mochiyama Fault (Supplementary Figure 3), which was 10-times smaller than the 187 strain recorded in the year after the 2011 earthquake. Despite the stark difference in the amplitude of 188 the postseismic strain measured after the 2011 and 2016 Mochiyama earthquakes, the relaxation time 189 of the strain transients were near-identical (Supplementary Figure 4). 190

In the 6 years prior to the Mochiyama and Tohoku-oki earthquakes (2005–2011), the strain field across 191 the Mochiyama Fault consisted of 1–2 microstrain of simple shear with the minimum principle axis of 192 strain oriented \sim N-S to NW-SE (Supplementary Figure 3a). This interseismic strain is not consistent 193 with signals produced by localised shear down-dip of the rupture area, which could load the Mochiyama 194 Fault towards failure (see further discussion in Section 3.2). On the 11th March 2011, coseismic slip 195 in the Tohoku-oki earthquake led to E-W stretching of the region around the Mochiyama Fault by 196 10 microstrain (Supplementary Figure 3b), and was followed by a further 0.4 microstrain of \sim E-W 197 stretching between the 11th and 18th March (Supplementary Figure 3c), which will have loaded the 198 Mochiyama Fault towards failure [Ozawa et al., 2011]. 199

200 2.3 Radar Geodesy

Fukushima et al. [2018] and Komura et al. [2019] previously formed ALOS interferograms of the coseismic deformation in the 2011 and 2016 Mochiyama earthquakes (Figure 4a,c). The two earthquakes

generated near-identical patterns of coseismic surface deformation, suggesting the slip distributions 203 overlapped significantly at depth. The interferograms record peak line-of-sight (LOS) displacements 204 of 40–60 cm and a sharp offset in LOS across the north-western fault tip. The LOS displacements 205 decrease in amplitude, and become smoother, towards the south-eastern fault tip. These features of 206 the data suggest that peak slip in both earthquakes overlapped on the north-western portion of the 207 fault, and that slip became buried and decreased towards the south-east [Fukushima et al., 2018] (see 208 Figure 1b,c). Given that both earthquakes had similar seismic moment release, and similar rupture 209 areas, then it is likely that they had similar stress drops. 210

For the 2011 Mochiyama earthquake, the coseismic interferogram in Figure 4a shows an increase in the wavelength of the hangingwall subsidence towards the southern edge of the fault. This is the same area that experienced shallow M_w 4 and 5 normal-faulting foreshocks between the 11th March and 19th March 2011, which may have contributed to the surface deformation measured by InSAR [Fukushima et al., 2018].

To measure the postseismic deformation around the Mochiyama Fault we formed Envisat ASAR in-216 terferograms from the descending track 347, which start from the 21st March 2011 (2 days after the 217 mainshock) and cover the first 7 months after the 2011 Mochiyama earthquake. Envisat stopped 218 transmitting data at the end of 2011, therefore we could only measure the early postseismic defor-219 mation. The SAR data was processed using ISCE and a 30 m SRTM Digital Elevation Model [Farr 220 et al., 2007] to remove the topographic contribution to phase. The interferograms were unwrapped 221 using the statistical-cost network flow algorithm SNAPHU [Zebker and Lu, 1998]. We also applied a 222 Gaussian filter to the interferograms with a half-width of 0.5 km and removed a planar ramp. 223

Much of the region around the Mochiyama Fault is covered in thick vegetation, and therefore the 224 C-band data suffered from decorrelation. Nevertheless, in the first 2–32 days following the 2011 225 Mochiyama earthquake one postseismic interferogram with good coherence could be formed (Figure 226 4b). A step of 4–5 cm in LOS displacement can be seen across the surface trace of the Mochiyama Fault. 227 The sharp offset in LOS displacement is mainly concentrated to the south-east of the area of peak 228 coseismic LOS displacement, which is a common observation following normal-faulting earthquakes 229 and reflects afterslip on the shallow portion of the mainshock rupture plane [e.g. Cheloni et al., 2010]. 230 At distances of $\sim 5-10$ km from the fault, the relative LOS displacements across the fault are <1-2231 cm, which limits the amount of deep afterslip or ductile flow that occurred in the first month after 232 the 2011 earthquake. 233

We also formed interferograms using Sentinel-1 SAR data covering the first 4–28 days of postseismic deformation following the 2016 Mochiyama earthquake, using the same processing work flow. The Sentinel-1 measurements reveal a sharp \sim 2 cm step in LOS displacement across the fault, and <1 cm of relative LOS displacement at distances >5 km from the surface trace of the fault (Figure 4d). The patterns of near-field postseismic deformation are similar in the first month following the two earthquakes. However, the 2016 earthquake was followed by less shallow afterslip.

240 2.4 Aftershock Seismicity

The locations, magnitudes and focal mechanisms of small earthquakes provide additional constraints on the deformation in the region of the Mochiyama Fault. We use the hypocentral locations determined by Uchide and Imanishi [2018], which are based on the Japan Meteorological Agency (JMA) unified catalogue that have been relatively re-located using the double-difference method [Waldhauser and Ellsworth, 2000]. Focal mechanisms derived by the National Research Institute for Earth Science and Disaster Resilience (NIED) provide additional constraints on the sources of microseismicity.

The 2011 Mochiyama earthquake was followed by a large number of normal-faulting aftershocks (Figure 247 5a) concentrated almost entirely between 5 km and 10 km depth (Supplementary Figure 5). The 248 aftershocks were clustered around the margins and base of the rupture area, and delineate a planar 249 structure dipping 40-60° towards the south-west [Kato et al., 2011]. Aftershocks recorded in the 2 250 years following the 2016 Mochiyama earthquake also had mostly normal-faulting mechanisms (Figure 251 5b), and were concentrated beneath the down-dip edge of the rupture area (Supplementary Figure 252 The similarity between the aftershock and the mainshock mechanisms, and the alignment of 5).253 the microseismicity with the along-strike and down-dip projection of the mainshocks, imply that the 254 aftershocks reflect slip on the Mochiyama Fault around the margins of the coseismic rupture. 255

Although the mechanisms and magnitudes of the 2011 and 2016 Mochiyama earthquakes were similar, 256 the moment release in their aftershock sequences was significantly different (Figure 5c-f). The first 257 six months after the 2011 earthquake was characterised by aftershock moment release that followed a 258 logarithmic decay, mirroring the across-fault strain measured by the GPS network (Figure 5c,e). Most 259 unusually, though, was that the cumulative moment release from aftershocks in the region directly 260 around the Mochiyama Fault in the period May 2011 to December 2016 was $6 \pm 2 \times 10^{17}$ Nm, which 261 is similar in magnitude to the 2011 mainshock moment release $(3-6\times10^{17} \text{ Nm})$. Aftershock sequences 262 typically only account for between 1% and 20% of the mainshock moment [Zakharova et al., 2013], 263

suggesting the seismicity that followed the 2011 Mochiyama earthquake was unusually energetic. The 2016 earthquake was followed by little across-fault extensional strain (Figure 5d) and a less energetic aftershock sequence that released only $1.8 \pm 0.8 \times 10^{17}$ Nm within 2 years of the mainshock (Figure 5f), which equates to a third of the mainshock moment release.

268 2.5 Summary of the Key Observations

The InSAR and body-waveform modelling show that the 2011 and 2016 earthquakes ruptured the same 269 area of the Mochiyama Fault in two earthquakes with near-identical magnitudes. Over the inter-event 270 period between these two earthquakes, the GPS network captured 3.1–3.6 microstrain of across-fault 271 extension that could not be attributed to any moderate-magnitude seismicity. In GPS triangles 272 that span the fault hanging wall, the sense of strain over the inter-event period was contractional. 273 Postseismic InSAR observations demonstrated that some of this strain derived from at least $\sim 4-5$ cm 274 of shallow afterslip above the coseismic rupture on the Mochiyama Fault. Extensive aftershocks around 275 the margins of the coseismic rupture suggest that fault slip was also prevalent at depth, extending 276 down to at least 10 km. Summing the aftershock moment release over the aftershock cloud implies 277 there was at least 20 cm of slip beneath the coseismic rupture over the inter-event period. Beneath 10 278 km there were few aftershocks, indicating that any deformation was accommodated predominantly by 279 aseismic deformation mechanisms. Notably, the amplitude of the postseismic across-fault extension 280 following the 2016 earthquake was 10-times smaller than following the 2011 earthquake. In the next 281 section, we develop models of slip and stress on the Mochiyama Fault between the 2011 and 2016 282 earthquakes that attempt to explain these observations. 283

²⁸⁴ 3 Modelling Stress Changes on the Mochiyama Fault

The observations point to three major sources of deformation in the Ibaraki-Fukushima region between 285 the Mochiyama earthquakes: (1) postseismic relaxation on and around the Mochiyama Fault, (2) 286 coseismic deformation and postseismic relaxation from the nearby Iwaki earthquakes, and (3) regional 287 postseismic relaxation following the Tohoku-oki earthquake. Most of the GPS measurements are too 288 far from the fault, and there are too few coherent interferograms, to constrain kinematic inversions 289 for the distribution of aseismic slip and viscous flow around the Mochiyama Fault [e.g. Murray and 290 Segall, 2002; Muto et al., 2019]. We therefore take a forward-modelling approach to calculate how each 291 source of deformation could have contributed to the pattern of surface strain, and the stress changes 292

²⁹³ on the Mochiyama Fault, following the 2011 Mochiyama earthquake.

The time-series of deformation from the GPS and aftershock moment release indicate that the majority 294 of the postseismic transient visible at the surface had finished by the time of the 2016 Mochiyama 295 earthquake, suggesting that most of the coseismic stress changes imposed on the crust surrounding the 296 fault had been relaxed, or balanced by elastic resistance to deformation in the seismogenic layer. We 297 therefore keep the models as general as possible by calculating this 'fully-relaxed' state, and by fitting 298 the pattern and amplitude of strain across the Mochiyama Fault, but not the temporal evolution of the 299 strain. Considering only the fully-relaxed model has the benefit of making the estimates of reloading 300 insensitive to the form of the constitutive laws that govern postseismic relaxation. The calculations 301 will, however, yield upper bounds on the amount of fault zone reloading. It is possible that some 302 fraction of the stress changes are relaxed by deformation mechanisms with a relaxation time that is 303 longer than the inter-event period of ~ 6 years, in which case the reloading will be smaller than our 304 estimates below. 305

We also make the simplification that the background loading rate of the fault (the 'interseismic de-306 formation') is small over the short time-frame between the two earthquakes, which is consistent with: 307 (1) the lack of observed interseismic strain build around on the Mochiyama Fault during 2005–2011 308 (Supplementary Figure 3a), (2) the lack of moderate-magnitude seismicity in the 50 years prior to the 309 Mochiyama earthquakes in the gCMT catalogue [Dziewonski et al., 1981; Ekström et al., 2012], and 310 (3) the paleoseismic record [Komura et al., 2019]. With these simplifications, it is the geometries of 311 the imposed stresses and rheological components of the model domain, and the styles of postseismic 312 relaxation, that control the magnitude of the fault reloading. 313

314 3.1 Generalised Models of Postseismic Reloading

To first gain an understanding of how local postseismic relaxation may have reloaded the Mochiyama 315 Fault, we built a set of generalised stress-driven models that link coseismic slip to the postseismic 316 reloading of the rupture area [e.g. Ellis and Stöckhert, 2004; Bagge and Hampel, 2017]. The models 317 were designed to capture the maximum contribution of the three main postseismic deformation mech-318 anisms — afterslip, localised viscous shear and distributed visco-elastic relaxation — to reloading a 319 normal fault after an earthquake [e.g. Freed and Lin, 1998]. The models also allow us to explore how 320 uncertainties in our knowledge of the rheology of the crust and upper mantle in the study region will 321 translate into uncertainties in the estimate of reloading of the Mochiyama Fault. 322

The model setup consists of a planar dip-slip fault of along-strike length L in a linear elastic layer 323 of thickness z_e , which overlies a visco-elastic half-space (Figure 6). The elastic layer represents the 324 seismogenic layer in the Earth in which elastic strain can accumulate and remain stored for the duration 325 of an earthquake cycle. The visco-elastic half-space represents the depth below which the crust and 326 mantle is hot enough that viscous creep can relax elastic stresses over an earthquake cycle. Spatially-327 uniform coseismic slip on the fault extends from the surface down to a depth z_r , and generates static 328 stress changes in the surrounding medium. These static stress changes are then relaxed by viscous 329 flow at depths $z > z_e$ and by afterslip at depths $0 \le z \le z_e$. In the fully-relaxed state, the afterslip 330 zone down-dip of the coseismic rupture also approximates the behaviour of a thin (< 200 m-wide given 331 the model discretisation) viscous shear zone surrounded by elastic wall rocks, therefore also represents 332 the case where deformation in the lower crust is accommodated in shear zones and not by distributed 333 flow. The coseismic rupture remains locked and cannot slip post-seismically, therefore accumulates 334 elastic strain and is reloaded as the surrounding regions deform. 335

The condition for frictional failure on a fault is described by the Coulomb criterion: $\tau - \mu'\sigma = 0$, where μ' is the effective coefficient of friction, τ is the shear stress and σ is the fault-normal stress (+ve for fault clamping) [Byerlee, 1978]. During coseismic slip the shear stress drops by $\Delta \tau_c$, whilst the normal stress change $\Delta \sigma_c$ is negligible. In order for the fault to reach its failure condition again following postseismic stress changes $\Delta \tau_p$ and $\Delta \sigma_p$ requires the following condition to be satisfied:

$$\underbrace{\frac{\Delta \tau_p}{\Delta \tau_c} - \mu' \left(\frac{\Delta \sigma_p}{\Delta \tau_c}\right)}_{\text{Stress Changes}} + \underbrace{\Delta \mu' \left(\frac{\sigma}{\Delta \tau_c}\right)}_{\text{Strength Changes}} \simeq 1, \tag{1}$$

assuming that $\Delta \sigma_p \ll \sigma$ (see Supplementary Text S2 for derivation). Equation 1 shows that the stress 341 changes on the fault are primarily a product of two effects: the postseismic shear stress change relative 342 to the coseismic shear stress drop $\Delta \tau_p / \Delta \tau_c$ (the 'shear stress recovery') and the postseismic change in 343 fault-normal stress relative to the coseismic shear stress drop $\Delta \sigma_p / \Delta \tau_c$ (the 'fault clamping'). Changes 344 in the frictional strength of the fault surface $\Delta \mu'$ may also contribute by reducing the fault stress needed 345 for failure (the 'strength change' term in Equation 1). We evaluate the terms $\Delta \tau_p / \Delta \tau_c$ and $\Delta \sigma_p / \Delta \tau_c$ 346 from our numerical models, and not the more common metric of Coulomb stress $(\Delta \tau_p - \mu' \Delta \sigma_p)$, to 347 explicitly separate reloading due to changes in fault stress from the effects of fault strength. From this 348 analysis, we can isolate the size of the strength change term, which we discuss in detail in Section 4. 349

We calculated $\Delta \tau_c$, $\Delta \tau_p$ and $\Delta \sigma_p$ using the Computational Infrastructure for Geodynamics code RELAX, which solves for the quasi-static deformation in elastic and visco-elastic media in response

to fault slip using an equivalent body-force approach [see Barbot et al., 2009; Barbot and Fialko, 352 2010b,a]. We used a 102 km-wide domain with a discretisation of 0.2 km to ensure that models 353 accurately resolved the gradients in strain and stress near the edges of the coseismic rupture. Fault 354 slip was also tapered at the margins of each fault patch to dampen stress singularities. The boundaries 355 of the model domain were set to be at least $5L ~(\sim 50 \text{ km})$ away, so that the periodicity in the solutions 356 for displacement and stress introduced by the discrete Fourier transform that RELAX uses had little 357 effect on the model results. After calculating the coseismic stress changes for the given coseismic slip 358 distribution, the models were run for 5 relaxation times to approximate the fully-relaxed state. 359

360 3.1.1 Results of the Generalised Modelling

We ran nine sets of forward calculations, varying the deformation mechanism (visco-elastic only, 361 afterslip only and coupled afterslip + visco-elastic), the coseismic fault slip u, the depth of the coseismic 362 rupture relative to the elastic layer thickness z_r/z_e and the along-strike length of the coseismic rupture 363 L. We found that varying the along-strike length of fault that is able to slide through afterslip L_f had 364 little effect on the estimates of fault reloading when $L_f > 5$ km (Supplementary Figure 6), therefore we 365 fixed L_f to 5 km in all models. All other parameters, such as the elastic properties of the seismogenic 366 layer, were held constant. The results of the modelling, expressed in terms of shear stress recovery 367 $\Delta \tau_p / \Delta \tau_c$, are shown in Figure 7. The equivalent results for the fault clamping $\Delta \sigma_p / \Delta \tau_c$ are shown in 368 Supplementary Figure 7, but are not discussed further in the main text as they make a relatively minor 369 $(\ll 5\%)$ contribution to the reloading when scaled by the effective friction μ' on earthquake-generating 370 faults (0.01–0.4; see Toda et al. [2011]; Copley [2018]; Collettini et al. [2019]). 371

Models that only allow stress changes to be relaxed through viscous flow beneath the elastic layer 372 consistently show that the shear stress recovery is largest at the base of the elastic layer and decreases 373 non-linearly towards the surface (Figure 7a-c). Shear stress recovery is also largest within the centre 374 of the rupture, and smallest along its edges. These first-order patterns are a result of the postseismic 375 strain within the elastic layer being largest at its base, where the coseismic stress changes are largest 376 and will have driven the most viscous flow. The postseismic strains and stress changes decay into the 377 elastic layer, as the layer resists deformation from viscous flow below. Varying the amount of fault slip 378 has no effect on the shear stress recovery, and varying the rupture length has only a small effect on shear 379 stress recovery. Changing the fault slip does not alter the shear stress recovery because increasing fault 380 slip causes a proportional increase in the amount of viscous flow needed to relax the coseismic stress 381 change, and therefore a proportional amount of fault reloading. The depth of the rupture relative to 382

the elastic layer thickness is the dominant control on the fault reloading, with shear stress recovery increasing significantly as the rupture depth approaches the elastic layer thickness. Nevertheless, even when the fault ruptures to the base of the elastic layer, the shear stress recovery remains less than 40% of the coseismic stress drop at the base of the rupture, and less than 10% at the surface.

Models that only allow stress changes to be relaxed through afterslip show a different pattern of 387 reloading (Figure 7d-f). Shear stress recovery is largest along the edges of the coseismic rupture 388 and within the shallowest part of the elastic layer. Again, the shear stress recovery is independent 389 of the amount of coseismic slip, but does depend on the down-dip extent of the coseismic rupture 390 relative to the elastic layer thickness and the along-strike length of the rupture area. These patterns 391 indicate that the larger the area that surrounds the rupture that is able to slip in response to coseismic 392 stress changes, the more this area is able to slide postseismically before elastic resistance from the 393 surrounding rocks balances the stresses driving slip. Afterslip only leads to a shear stress recovery of 394 <30% of the coseismic stress drop on any particular part of the rupture. 395

Models that include mechanically-coupled afterslip and visco-elastic relaxation generate the largest shear stress recovery on the rupture area (Figure 7g-i). Viscous flow can load the base of the coseismic rupture whilst afterslip can load the edges and top of the rupture. Shear stress recovery of 45% the coseismic stress drop occurs along the edges of the rupture, whilst in the shallow part of the elastic layer the maximum shear stress recovery is 20%.

These calculations demonstrate that postseismic relaxation around the margins of a $\sim M_w$ 6 rupture 401 can only partly reload the rupture area. Variations in the depth of the coseismic rupture relative to 402 the thickness of the seismogenic layer, the area of the rupture and afterslip region, and the deformation 403 mechanisms that contribute to postseismic relaxation, will all influence the shear stress recovery, but 404 these cannot increase the shear stress recovery beyond 45%. This result is perhaps unsurprising, given 405 that most faults rupture after hundreds to thousands of years without an earthquake, which indicates 406 that slow interseismic strain accumulation makes up the remainder of the stress deficit on most active 407 faults. In the next section, we apply these models to the Mochiyama earthquakes and compare them 408 with the observed surface deformation. 409

⁴¹⁰ 3.2 Specific Models of Stress Changes on the Mochiyama Fault

⁴¹¹ To model the stress changes specific to the Mochiyama Fault, we used the slip distribution of ⁴¹² Fukushima et al. [2018] projected onto a planar approximation of the Mochiyama Fault with the

geometry defined by the relocated seismicity and surface ruptures. In Section 2, we showed that the 413 slip model of Fukushima et al. [2018] overestimates the amount of coseismic moment release, but the 414 general distribution of slip is likely to be accurate given that it matches the along-strike length and 415 across-strike width of the LOS displacement pattern measured by InSAR. We therefore scaled the 416 amount of slip such that it matches the moment release calculated from body-waveform modelling 417 and the coseismic strain from GPS measurements (Supplementary Figure 2). With this modification, 418 the slip distribution has a peak slip of 0.6 m, an average shear stress drop $\Delta \tau_c$ of 3 MPa and a peak 419 shear stress drop of 8 MPa in the centre of the rupture. The spatial variability in the stress drop is a 420 result of high slip gradients within the core of the rupture area, and constant slip gradients along the 421 margins of the rupture [Fukushima et al., 2018]. We explore how uncertainties in the slip distribution 422 could effect the estimates of fault reloading later in this section. 423

We calculated the postseismic reloading of the rupture area by allowing the coseismic stress changes to 424 be relaxed by afterslip on the mainshock fault plane around the margins of the rupture, which spans 425 the area that experienced normal-faulting aftershocks with nodal planes parallel to the mainshock 426 (Figure 5a,b). Coseismic stress changes below 10 km are either relaxed by distributed viscous flow, or 427 by localised shear in a shear zone that follows the down-dip projection of the mainshock fault plane. 428 The depth of the transition in deformation mechanism was chosen on the basis of the sharp cut-off in 429 microseismicity at 10 km depth (Supplementary Figure 1). We consider this elastic layer thickness to 430 be a lower bound, and will therefore provide an upper bound on the estimate of the reloading caused 431 by distributed viscous flow. If the elastic layer were thicker, then the estimated reloading in models 432 that include viscous flow would be lower. 433

The predicted deformation is highly localised around the fault (Figure 8a,b), and only the strain measured by GPS triangles that span the fault, or are just to the south-west of the fault trace in the immediate fault hangingwall, show strain amplitudes larger than the measurement uncertainty (0.2–0.3 microstrain). We therefore focus on comparing the modelled and observed deformation in these triangles.

Models that both include, and exclude, distributed viscous flow at depths >10 km can match the observed pattern of postseismic strain during the inter-event period, with ENE-WSW to NE-SW extension in triangles that span the Mochiyama Fault. One of the key differences between the models is that deep viscous flow generates more across-fault extension (2.6 microstrain) than if only afterslip and localised viscous shear are allowed to relax the coseismic stress changes (0.7 microstrain). This difference reflects the fact that distributed flow at depth produces long-wavelength surface deformation that strongly affects the GPS sites that are 10–20 km from the fault. Nevertheless, both models still under-estimate the total amount of inter-event extension observed across the Mochiyama Fault (3.1– 3.6 microstrain). GPS triangles to the south-west of the fault trace within the fault hangingwall show different patterns of strain for the different mechanisms of postseismic relaxation at depth. Afterslip beneath the rupture produces a small amount of incremental NE-SW extension, whilst distributed viscous flow produces incremental contraction that rotates in orientation from north to south that is more consistent with the observed pattern of inter-event strain (Figure 8a,b).

Despite the differences in the predicted surface strain, the models yield similar patterns of afterslip 452 and fault reloading, with up to 80% shear stress recovery along the margins of the rupture and less 453 than 10% within its interior (Figure 8c,d). The shear stress recovery along the margins of the rupture 454 area is larger than in the spatially-uniform slip models shown in Section 3.1, because the margins 455 of the rupture have a low coseismic stress drop when calculated using the distributed slip model, 456 yet experience the largest postseismic stress changes. The shear stress recovery averaged over the 457 rupture for models with and without visco-elastic relaxation are 33% and 28%, respectively, which is 458 consistent with the average shear stress recovery in the generalised models that use a similar rheological 459 structure (Figure 7e,h). As seen in the Section 3.1, viscous flow at depth has little effect on the shear 460 stress recovery, because the fault did not rupture all the way to the base of the elastic layer. The 461 modelled fault clamping $\Delta \sigma_p / \Delta \tau_c$ is everywhere <10% (Supplementary Figure 8), and therefore makes 462 a negligible contribution to the reloading when scaled by the effective friction. 463

464 3.2.1 Effects of the Coseismic Slip Distribution on Reloading

The stress changes that drive postseismic relaxation are a function of gradients in the input slip model. 465 Therefore, the smoothing used to regularise the inversions for coseismic slip, or the inclusion of some 466 postseismic slip in the coseismic slip distribution, may have an effect on the predicted amplitude of 467 postseismic deformation. To explore whether this effect can account for the difference between the 468 modelled and observed inter-event strain across the Mochiyama Fault, we ran a series of calculations 469 in which we artificially vary the smoothing of the input slip distribution in the 2011 earthquake by 470 removing areas with slip less than some minimum value u_{min} , and then redistribute the remaining 471 moment release evenly across the rupture area [e.g. Barbot et al., 2009]. This process leads to a 472 compaction of the slip distribution, and an increase in the coseismic stress drop (Supplementary Figure 473 9), with a slight decrease in the fit between the observed and modelled coseismic surface deformation. 474

Models with more compact slip distributions and higher stress drops cause more postseismic relaxation 475 and larger surface strains (Figure 9a). If all areas with slip < 0.4 m are removed, which adjusts the 476 average stress drop to be 10 MPa, then the models can account for the observed 3.1-3.6 microstrain 477 of across-fault extension over the inter-event period. Nevertheless, compacting the slip distribution 478 has little effect on the average shear stress recovery on the rupture (Figure 9b), because the coseismic 479 stress drop also increases. The generalised calculations in Section 3.1.1 provide the physical expla-480 nation for this feature of the models: increased stress drop causes increased elastic strain within the 481 surrounding crust, which itself leads to a proportional amount of fault zone reloading through postseis-482 mic relaxation. Therefore, although uncertainties in the roughness of the slip distribution of the 2011 483 earthquake can account for the discrepancy between the modelled and observed across-fault strain 484 between the 2011 and 2016 Mochiyama earthquakes, the rupture area can still only be reloaded by on 485 average $\lesssim 35\%$ of the coseismic stress drop through postseismic relaxation (Figure 9b). A high coseis-486 mic stress drop also does not account for the significant difference in the amplitude of the postseismic 487 strain observed following the 2011 and 2016 Mochiyama earthquakes. In the next section, we explore 488 what contributions the static and time-dependent stress changes from the Iwaki earthquake sequence 489 could have made to the reloading of the Mochiyama Fault. 490

491 3.2.2 Stress Changes from the Iwaki Earthquakes

We used the fault geometry and slip estimates from Fukushima et al. [2013] to calculate the co-492 and post-seismic displacements due to slip in the Iwaki earthquake sequence, and the resulting stress 493 changes on the Mochiyama Fault. The modelled coseismic strain matches the strain observed by the 494 GPS network, and can account for the 0.7 microstrain of extension across the Mochiyama Fault in 495 April 2011 (Supplementary Figure 10). We find that the Iwaki earthquakes caused a < 0.3-0.4 MPa 496 increase in shear stress (Figure 10b) and a < 0.2-0.3 MPa decrease in normal stress (Figure 10c) along 497 the northern-most portion of the Mochiyama Fault. The amplitude of these static stress changes 498 decrease significantly towards the southern edge of the Mochiyama Fault, as stress decays as the 499 inverse cube of distance from the strain source in the elastic crust [Okada, 1992]. Therefore, although 500 the Iwaki earthquakes did move the Mochiyama Fault closer to failure, they contributed a shear stress 501 recovery of <5-10% of the coseismic stress drop (3-10 MPa; Figure 10a). 502

⁵⁰³ Postseismic relaxation on the Iwaki Faults could have produced up to 0.3-0.5 microstrain of extension ⁵⁰⁴ across the Mochiyama Fault, which is ~10-15% of the observed inter-event extension. The stress ⁵⁰⁵ changes oppose the initial static loading with a shear stress decrease of <0.2-0.3 MPa (Figure 10d)

and a normal stress increase of <0.3-0.4 MPa (Figure 10e) along the base of the Mochiyama Fault. 506 Models that do not include distributed viscous flow below 10 km depth predict negligible strain and 507 stress changes on the Mochiyama Fault that are $\ll 0.1$ MPa (Supplementary Figure 11). Mechanically-508 coupled models that include the co- and post-seismic stress changes in both events show that the Iwaki 509 earthquakes will have only slightly inhibited afterslip on the northern half of the Mochiyama Fault, 510 and could have reduced the average shear stress recovery by <2% (Figure 10f). Therefore, despite 511 the proximity of the Iwaki earthquakes to Mochiyama, the static and time-dependent stress changes 512 caused by the Iwaki earthquake sequence played a minor role in the reloading the Mochiyama Fault. 513

514 3.2.3 Stress Changes from the Tohoku-oki Earthquake

Coseismic slip in the 11th March 2011 Tohoku-oki earthquake horizontally stretched the overriding 515 plate and caused widespread changes in the style and frequency of seismicity in the shallow crust 516 of mainland Japan [Okada et al., 2011]. Seismicity in the study region prior to the Tohoku-oki 517 earthquake consisted mostly of normal faulting [Imanishi et al., 2012], and the static stress changes 518 from the Tohoku-oki earthquake were equivalent to a shear stress increase of 0.8 MPa and a normal 519 stress drop of -1.2 MPa on the Mochiyama Fault (calculated from the model of Hu et al. [2016]). 520 These stress changes did not immediately trigger rupture, but likely brought the Mochiyama Fault 521 close to failure. Postseismic relaxation following the Tohoku-oki earthquake contributed additional 522 loading of faults in mainland Japan [Becker et al., 2018]. Fukushima et al. [2018] calculated that 523 afterslip on the megathrust around the Tohoku-oki rupture area would have subject the Mochiyama 524 Fault to an increase in shear stress of 0.1 MPa and a decrease in fault normal stress of -0.2 MPa 525 over the period March 2011 to December 2016. A more complex calculation by Hu et al. [2016], 526 which includes the effects of visco-elastic relaxation beneath the crust, afterslip on the megathrust, 527 and interseismic relocking of the subduction interface, suggests there may have been a shear stress 528 increase of 0.07 MPa and a normal stress drop of -0.2 MPa on the Mochiyama Fault over the same 529 period (Supplementary Figure 12). Both models predict stress changes that are small compared to 530 the coseismic stress drop in the Mochiyama earthquake, and would directly contribute to $\ll 5\%$ of the 531 shear stress recovery on the rupture area. 532

The stress changes from the Tohoku-oki earthquake will have also influenced the pattern and amplitude of afterslip around the rupture area on the Mochiyama Fault [Fukushima et al., 2018]. We ran calculations that include the relaxation of both the coseismic stress changes due to the Mochiyama earthquake through localised afterslip, and the co- and post-seismic stress changes from the Tohoku-oki

earthquake in the model of Hu et al. [2016] resolved on the Mochiyama Fault. We include the coseismic 537 stress changes from the Tohoku-oki earthquake, as it is unlikely that a significant fraction of this stress 538 imposed on the Mochiyama Fault was relaxed by the timing of the 2011 Mochiyama earthquake given 539 that they were only 7 days apart. These calculations produce up to 2.0 microstrain of extension 540 across the Mochiyama Fault by boosting the average amount of afterslip around the rupture area from 541 ~ 20 cm to ~ 60 cm (Figure 11a). However, the orientations of the minimum principal strain axes in 542 triangles that span the Mochiyama Fault are rotated anti-clockwise relative to strain axes measured 543 by the GPS network, and the maximum principal strain axes in triangles in the fault hangingwall 544 do not match the observed \sim ENE-WSW contraction in these areas. These differences between the 545 stress-driven models and observations can be accounted for if afterslip were constrained to have a 546 similar rake to coseismic slip and occurred mostly on the top ~ 5 km of the Mochiyama Fault (Figure 547 11c,d). 548

The relaxation of stress changes caused by the Tohoku-oki earthquake by slip on the Mochiyama 549 Fault (Figure 11a), along with the co- and post-seismic deformation in the nearby Iwaki earthquakes 550 (Supplementary Figure 10), can therefore account for the majority of the extension measured by the 551 GPS network over the inter-event period, and the order-of-magnitude difference in the amplitude of 552 postseismic strain observed following the 2011 and 2016 Mochiyama earthquakes. When including the 553 additional deformation caused by the stress changes in the Tohoku-oki earthquake, the average shear 554 stress recovery on the mainshock rupture area increases to 40%, which is still only a fraction of that 555 needed to entirely reload the rupture to its former failure stress. 556

557 3.2.4 Effects of a Prestress or Triggered Slip on Reloading

Pre-existing stresses around the rupture area on the Mochiyama Fault may have also been relaxed 558 by aseismic slip or localised aseismic shearing within the down-dip shear zone during the inter-event 559 period. For these pre-existing stresses to exist would require some mechanism that allows elastic 560 strain to be stored in the rocks around the edge of the rupture area without being relaxed by aseismic 561 slip, or during slip in the Mochiyama earthquakes, similar to the mechanism that generates slow-slip 562 events [Bürgmann, 2018]. Any pre-existing stresses could have driven more deformation than would 563 be predicted by a model in which only coseismic stress changes are considered, and could have led to 564 increased reloading of the rupture area. The kinematic forward models in Figure 11c demonstrate that 565 any shallow triggered slip caused by the relaxation of pre-existing stresses would generate extension 566 in triangles that span the fault and contraction within the fault hanging wall. Deep slip, on the other 567

hand, would generate mostly extensional strain within the fault hangingwall (Figure 11d). The GPS
measurements of inter-event strain can therefore be used to constrain the amplitude of deep and
shallow triggered slip, and the associated shear stress recovery.

We performed a grid search of models in which we imposed slip around the edge of the coseismic 571 rupture on the shallow (<5 km) and deep (5–10 km) sections of the Mochiyama Fault, and evaluated 572 the fit between the models and the strain observations (Figure 12). We found that the amplitude of 573 shallow triggered slip is limited to 60–90 cm in order to account for the amplitude of the across-fault 574 fault extension during the inter-event period. For this amount of shallow slip, there cannot have 575 been more than 30–40 cm of triggered slip or localised viscous shear beneath the coseismic rupture, 576 as this would produce extensional strain within the fault hanging wall that is not consisted with the 577 observed strain. These constraints on the amount of shallow and deep triggered slip limit the shear 578 stress recovery that could have been caused by the relaxation of pre-existing stresses to 50-80% of the 579 coseismic stress drop (3–10 MPa; Figure 12). 580

581 4 Discussion

⁵⁸² 4.1 Surface Strain and Stress Changes on the Mochiyama Fault

Our modelling demonstrates that postseismic relaxation driven by coseismic stress changes can account 583 for the pattern and amplitude of the strain observed across the Mochiyama Fault if the stress drop in 584 the earthquake was at least 10 MPa and all of the coseismic stress changes were relaxed by creep and 585 viscous flow in the inter-event period. As the stress changes on the rupture area of the Mochiyama 586 Fault caused by postseismic relaxation are proportional to the coseismic stress drop, however, a higher 587 stress drop does not equate to a higher shear stress recovery. Models that only include the relaxation 588 of the coseismic stress changes in the 2011 Mochiyama earthquake, and that match the observed 589 inter-event strain, recover only 35% of the fault-averaged coseismic shear stress drop, or less. 590

Although these models can account for the amplitude of the observed deformation, they cannot account for a number of other observations from the Ibaraki-Fukushima earthquake sequence. Firstly, such a stress drop would require average differential stresses within the top 10 km of the crust of at least 20 MPa. It is unlikely the differential stresses exceed a few tens of MPa, given the widespread change in the mechanisms of earthquakes in mainland Japan following the relatively minor (<1-2 MPa) stress changes caused by the Tohoku-oki earthquake [Wang et al., 2019]. Secondly, the assumption that

all of the coseismic stress change imposed on the mid-lower crust was relaxed over the 6 year inter-597 event period would require an effective viscosity of $\lesssim 10^{18}$ Pa s at 10–40 km depth. Such effective 598 viscosities are far lower than those derived from matching geodetic measurements of the response of 590 the crust to stress changes in large megathrust earthquakes (~ 10^{19} - 10^{21} Pa s; see Thatcher et al. 600 [1980]; Muto et al. [2019]). Incomplete relaxation of the coseismic stress changes through viscous flow 601 in the mid-lower crust would lead to less reloading than our estimates (i.e. <40% of the coseismic 602 stress drop of 3–10 MPa). Finally, relaxation of only coseismic stress changes cannot account for 603 the order-of-magnitude difference in the amplitude of the deformation observed following 2011 and 604 2016 earthquakes, suggesting some other stress contribution is needed to explain this feature of the 605 postseismic deformation around the Mochiyama Fault. 606

The static stress changes due to the nearby Iwaki earthquakes moved the Mochiyama Fault closer to failure, but recovered only <10% of the stress drop in the 2011 Mochiyama earthquake. Subsequent postseismic relaxation will have unloaded the Mochiyama Fault and moved it further from failure. Therefore the stress changes caused by the nearby Iwaki earthquake sequence had a small effect on reloading the Mochiyama Fault in comparison to the localised postseismic relaxation around the margins of the coseismic rupture, and cannot account for the differences between the postseismic deformation after the 2011 and 2016 Mochiyama earthquakes.

The Tohoku-oki earthquake, and its postseismic deformation, could have increased the amount of 614 afterslip on the Mochiyama Fault and brought the rupture area closer to failure. Models that include 615 these effects can account for the amplitude of the measured across-fault extension in the inter-event 616 period and the order-of-magnitude difference in the amplitude of the across-fault extension observed 617 following the 2011 and 2016 Mochiyama earthquakes. However, the inference of Fukushima et al. 618 [2018] that this additional afterslip on the Mochiyama Fault reloaded it back to its former failure 619 stress is inconsistent with our model results. We instead find that the rupture area on the Mochiyama 620 Fault could only have been reloaded by less than half of the coseismic shear stress drop by the time 621 of the 2016 earthquake. 622

Alternatively, over the inter-event period (2011–2016), there may have been some triggered slip around the rupture area on the Mochiyama Fault that relaxed pre-existing stresses. The GPS data cannot differentiate between coseismic stress-driven afterslip, or triggered slip that does not correlate with coseismic stress changes. Nevertheless, we find that triggered slip cannot have led to a shear stress recovery larger than 50–80% of the coseismic shear stress drop, and again would not have been able to entirely reload the rupture on the Mochiyama Fault. This mechanism also seems unlikely, given that it needs enough elastic strain to have been stored around the margins of the rupture area to generate nearly twice as much postseismic slip than there was coseismic slip in the 2011 Mochiyama earthquake. We therefore conclude that the stresses needed to break the fault in earthquakes must have decreased through time to account for the short inter-event time between the 2011 and 2016 Mochiyama earthquakes by at least 1–5 MPa (50–80% of the stress drop; Figure 13).

⁶³⁴ 4.2 Time-Dependent Decrease in Fault Strength

Most active faults do not experience such short inter-event times between moderate-magnitude earthquakes, suggesting that the mechanisms that decreased the strength and changed the stresses on the Mochiyama Fault between 2011 and 2016 were unusual. The static strength of a fault's surface can be described by the effective frictional resistance to slip $\mu' = \mu(1 - \lambda)$, where μ is the intrinsic friction and $\lambda = P_f/\sigma$ where P_f is the pore-fluid pressure on the fault [Hubbert and Rubey, 1959]. The drop in fault strength may therefore have been due to a decrease in the intrinsic friction of the material making up the fault surface, or an increase in the pore-fluid pressure within the fault core.

One possibility is that the fault strength decreased immediately following the 2011 Mochiyama earthquake as a result of the frictional slip weakening commonly observed in laboratory experiments [e.g. Dieterich, 1979; Ikari et al., 2013] and failed to recover back to its former level. In this situation, it may have been the unusually fast reloading of the Mochiyama Fault relative to the slow rate of strength recovery that led to the unusually short inter-event time. The high rate of stress recovery was most likely a result of enhanced postseismic deformation around the Mochiyama Fault that relaxed the coand post-seismic stress changes following the 2011 Mochiyama and Tohoku-oki earthquakes.

Alternatively, the fault may have experienced a more steady decrease in strength. Vertical migration of 649 high-pressure fluids through the shallow crust in mainland Japan following the Tohoku-oki earthquake 650 has been widely invoked to account for migrating seismicity [Yoshida et al., 2015, 2017, 2020], temporal 651 changes in the shallow shear-wave velocity structure [Wang et al., 2021] and groundwater geochemistry 652 around crustal faults [Sato et al., 2020]. Infiltration of fluid onto the rupture area of the Mochiyama 653 Fault could have reduced the average shear stresses needed for failure, whilst also promoting aftershock 654 seismicity, by changing the effective fault-normal stresses [Hainzl, 2004]. We did not find any evidence 655 for the spatial migration of earthquake hypocentres around the Mochiyama Fault that might reflect 656 a fluid front causing small patches of the fault to fail sequentially (Supplementary Figure 13) [e.g. 657 Shapiro et al., 1997; Walters et al., 2018]. Any fluid infiltration onto the fault zone also did not affect 658

the time-scale over which coseismic stress changes were relaxed, as the postseismic transients after the 2011 and 2016 Mochiyama earthquakes followed similar temporal decays. Therefore the mechanism(s) that decreased the strength of the Mochiyama Fault had surprisingly little effect on the geodetic or microseismic observations during the inter-event period, other than the highly energetic aftershock sequence beneath the mainshock rupture area (see Section 2.4).

664 5 Conclusion

We have demonstrated that earthquake-related stress changes and their postseismic relaxation can 665 explain the pattern of strain measured by Japan's GPS network during the 2011–2016 Mochiyama 666 earthquakes in the Ibaraki-Fukushima region. Models that match the observed inter-event strain 667 can only reload the rupture area on the fault by less than 50-80% of the fault-averaged coseismic 668 stress drop (3-10 MPa), irrespective of the rheological structure of the crust and mantle, or the 669 mechanisms of postseismic relaxation. We conclude that the Mochiyama Fault experienced a drop in 670 its effective strength, and the shear stresses needed to break the fault reduced by at least 1–5 MPa. 671 The mechanism(s) that caused this weakening are unclear, but appear to have been associated with an 672 unusually energetic aftershock sequence around the margins of the coseismic rupture. Time-dependent 673 changes in fault strength may therefore play a role in modulating the timing of moderate-magnitude 674 earthquakes, but may be difficult to detect using geodetic and microseismicity observations. 675

676 Acknowledgements

SW was supported by the Denman Baynes Junior Research Fellowship at Clare College, University of 677 Cambridge. Part of this work was completed during NF's M.Sci thesis at the University of Cambridge. 678 This work was partly supported by COMET – the NERC Centre for the Observation and Modelling 679 of Earthquakes, Volcanoes, and Tectonics, a partnership between UK universities and the BGS. Both 680 SW and NF contributed equally to this article. The authors thank Dr. Yo Fukushima, Dr. Takahiko 681 Uchide, Dr. Keitaro Komura and Dr. Yan Hu for swiftly providing data from their publications. The 682 authors also thank the Associate Editor Dr. Eiichi Fukuyama, one anonymous reviewer and Prof. 683 Roland Burgmann for their constructive reviews. 684

685 Data Availability

All data and code used in this study are freely available online. The GPS data used in this study are 686 available from https://www.gsi.go.jp/ENGLISH/geonet_english.html (last accessed July 2022). 687 The JMA microseismicity data are available from https://www.data.jma.go.jp/svd/eqev/data/ 688 bulletin/index_e.html (last accessed March 2021) and the NIED earthquake moment tensors 689 are available from https://www.fnet.bosai.go.jp/fnet/event/search.php (last accessed March 690 2021). The Envisat and Sentinel-1 data are freely accessible through ESAs Copernicus Schihub 691 https://scihub.copernicus.eu/ (last accessed January 2022). The numerical model RELAX is 692 available from https://geodynamics.org/cig/software/relax/ (last accessed March 2021). 693

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Tables

Model Parameter	Symbol	Value
Discretisation	Δx_j	$0.2 \mathrm{km}$
Number of nodes	N_j	512
Density	ρ	2800 kg/m^3
First Lamé parameter	λ	30 GPa
Shear modulus	G	$30 { m GPa}$
Poisson's ratio	ν	0.25
Fault strike	heta	180°
Fault dip	δ	45°
Fault rake	ϕ	-90°

Table 1: Parameters used in the generalised model calculations in Section 3.1.

Figures



Figure 1: Overview of the Ibaraki-Fukushima earthquake sequence. (a) Map of the study region showing the locations and Global CMT mechanisms of the Mochiyama and Iwaki earthquake sequences [Ekström et al., 2012]. GEONET GPS stations are shown as black triangles and the surface rupture traces from Toda and Tsutsumi [2013] and Komura et al. [2019] as black lines. The dashed black box is the area covered by coseismic and postseismic SAR measurements shown in Figure 4. The inset map shows the location of the study region relative to the 10 m coseismic slip contour in the M_w 9.1 11th March 2011 Tohoku-oki earthquake taken from Hayes [2017]. (b) and (c) show the slip distributions in the 2011 and 2016 Mochiyama earthquakes determined by Fukushima et al. [2018]. The slip distribution in (b) was derived using two ALOS-1 interferograms spanning the dates 2011/02/02–2011/03/20 for the ascending track and 2010/11/20-2011/04/07 for the descending track. The slip distribution in (c) was derived using three ALOS-2 frames spanning 2016/11/15–2017/02/21 and 2016/11/10–2017/02/07 from the ascending track and 2010/11/20-2016/12/29 from the descending track, plus static GPS displacements from the GEONET network.



Figure 2: Minimum-misfit body-waveform models for the 2011 and 2016 Mochiyama earthquakes. The minimum-misfit parameters for each model are shown in the top panels, where STF is the source-time function and R/D% is the ratio of the residual variance to the data variance expressed as a percentage. The middle panel shows the fit between the modelled (dashed) and observed (solid) waveforms for the P waves. Each seismogram has to its left the three/four-letter station code, and a capital letter that corresponds to the letters plotted on the focal sphere. The source-time function and time-scale for the plotted waveforms is shown in the bottom left. The *SH* waveforms are shown in the bottom panel using the same format.



Figure 3: Incremental strain through the 2011-2016 Ibaraki-Fukushima earthquake sequence. White bars represent principal axes of extensional strain, whilst black bars are principal axes of contractional strain. Note the difference in bar scaling between certain epochs. Blue lines are the surface traces of the Mochiyama and Iwaki Faults from Fukushima et al. [2013] and Komura et al. [2019], and the red dashed box in (a) is the map area shown in Figures 5 and 8. The GPS triangles spanning the Iwaki Fault are removed from (e) to highlight the inter-event strain across the Mochiyama Fault.

Figure 4: Coseismic and early postseismic interferograms from the 2011 and 2016 earthquakes on the Mochiyama Fault. The surface trace of the fault is shown by the thick black line and the date of the primary and secondary acquisition is shown in the top left in yyymmdd format. Line-of-sight vectors are shown in the bottom right. (a) ALOS-1 ascending track coseismic interferogram showing the LOS displacement in the 19th March 2011 Mochiyama earthquake from Komura et al. [2019]. The interferogram contains 1 day of postseismic deformation. Focal mechanisms are M_w 4 and 5 foreshocks that occurred between the 11th March 2011 and 18th March 2011 from the NIED catalogue. The black-dashed line indicates the strike of the conjugate normal fault seen in the relocated microseismicity (Supplementary Figure 1). (b) Envisat descending track interferogram of the first month of postseismic relaxation after the 2011 earthquake covering the period of 2 to 32 days after the mainshock. (c) ALOS-2 descending track coseismic interferogram contains 1 day of postseismic deformation. (d) Sentinel-1 descending track interferogram of the first month of postseismic deformation. (d) Sentinel-1 descending track interferogram of the first month of postseismic relaxation after the 2016 earthquake covering the period of 4 to 28 days after the mainshock.

Figure 5: Locations and mechanisms of aftershocks from the JMA unified catalogue and NIED CMT catalogue following the 2011 and 2016 Mochiyama earthquakes. (a) and (b) show the mapview distribution of shallow (<20 km) seismicity relative to the Mochiyama and Iwaki Faults (blue rectangles). Events used in the moment summation in (e) and (f) are shown as gold dots. (c) and (d) show the temporal evolution of baseline strain ε_b between GEONET stations 950214 and 960581 (red triangles in a and b). Note the stark difference in the strain amplitude. (e) and (f) show the temporal evolution of cumulative moment release from aftershocks in the JMA unified catalogue. Uncertainties are shown by the dashed black lines and result from converting local magnitudes M_j to moment magnitudes M_0 using the scaling of Uchide and Imanishi [2018].

Figure 6: Sketch of the set-up of the generalised numerical calculations in map view (top) and crosssection (bottom). nX, nY and nZ are the number of nodes used in the numerical solutions, and dX, dY and dZ are the spacing between the nodes. The dashed region shows the area of the fault that can slide through postseismic afterslip. The coseismic rupture area is discretised into 8 patches along-strike and 8 patches down-dip.

Figure 7: Results of the numerical experiments for the postseismic shear stress recovery $\Delta \tau_p / \Delta \tau_c$ as a function of depth relative to the base of the elastic layer z/z_e when varying the amount of fault slip u (a,d,g), the depth of the fault rupture z_r (b,e,h) and the fault length L (c,f,i). The top row shows models that only include visco-elastic relaxation below $z/z_e > 1$, the middle row shows models that only include frictional afterslip above $z/z_e < 1$, and the bottom row shows models that include both visco-elastic relaxation and afterslip. Circles represent $\Delta \tau_p / \Delta \tau_c$ in the middle of the fault, whilst squares represent $\Delta \tau_p / \Delta \tau_c$ along the lateral edge of the fault. The values of the fixed parameters are shown in the top right of each box.

Figure 8: Stress-driven forward models of the postseismic relaxation following the 2011 Mochiyama earthquake. (a) Vertical surface displacements and horizontal strain calculated for a model in which all of the coseismic stress changes are relaxed by afterslip and visco-elastic relaxation. The elastic layer thickness in this calculation is 10 km. (b) The same calculation as in (a), but strain is relaxed by localised shear at depths >10 km and not distributed flow. In (a) and (b) faults are marked by thin black lines, with a thick black line at their up-dip edge. The GPS network is shown by the light grey triangles with GPS stations at their vertices. (c) and (d) show the distribution of afterslip and the shear stress recovery $\Delta \tau_c / \Delta \tau_p$ on the coseismic rupture. Arrows on each afterslip patch show the slip vector and are scaled by the afterslip amplitude.

Figure 9: Calculations showing the effect of compacting the slip distribution on the observed surface strain and shear stress recovery. (a) Misfit between the observed and modelled across-fault extensional strain as a function of u_{min} . The misfit is calculated as: $1/n_j \sum_j \left[(\varepsilon_{min}^{mod} - \varepsilon_{min}^{obs})^2 \right]^{1/2}$, where ε_{min}^{mod} is the modelled minimum principal strain amplitude and ε_{min}^{obs} is the observed minimum principal strain amplitude in the triangles $j = \{1, 2, ..., n_j\}$ that span the Mochiyama Fault. Error bars are ± 0.3 microstrain, but are not shown for the afterslip-only models. (b) Mean shear stress recovery over the whole rupture area. The grey background is the range of shear stress recovery inferred from the generalised models. Numbers above each point represent the fault-averaged stress drop for the slip model used to calculate the coseismic stress changes. Examples of the slip models are shown in the top half of the figure for $u_{min} = 0.1$ m and $u_{min} = 0.3$ m.

Iwaki: Static Shear Stress Change (MPa)

Figure 10: Contribution of the static deformation and postseismic relaxation associated with the Iwaki earthquakes to reloading of the Mochiyama Fault. By convention, shear stress changes are positive if the fault is loaded in the direction of slip and normal stress changes are positive for fault clamping. (a) Coseismic shear stress changes from slip on the Mochiyama Fault only. Shear stress (b) and normal stress (c) changes on the Mochiyama Fault due to coseismic slip in the Iwaki earthquakes. Shear stress (d) and normal stress (e) changes due to postseismic relaxation following the Iwaki earthquakes. (f) The pattern of afterslip and shear stress recovery on the Mochiyama Fault due to the relaxation of coseismic stress changes in models that include slip on both the Mochiyama and Iwaki faults. Colour scale for afterslip is the same as that in Figure 8.

41

Figure 11: Effect of the Tohoku-oki earthquake on the postseismic deformation around the Mochiyama Fault. (a) Surface strain predicted by a model in which both the coseismic stress changes due to slip in the 2011 Mochiyama earthquake, and the stress changes due to co- and postseismic deformation from the Tohoku-oki earthquake, are relaxed by slip on the Mochiyama Fault. The principal stress changes caused by co- and post-seismic deformation in the Tohoku-oki earthquake from the model of Hu et al. [2016] are shown in the legend. (b) Difference between the model in (a) and the model in Figure 8b, showing the additional surface deformation caused by the Tohoku-oki earthquake. (c) Forward model of the strain predicted for 0.6 m of shallow afterslip on the top 5 km of the Mochiyama Fault around the edges of the coseismic rupture. The rake of the afterslip is in the same direction to coseismic slip. (d) Same as (c) but for 0.6 m of slip in the bottom 5 km of the Mochiyama Fault. (c) and (d) show that, to account for the observation of contractional strain within GPS triangles in the fault hangingwall over the inter-event period, the majority of the afterslip must have been relatively shallow.

Kinematic Forward Models

Figure 12: Kinematic forward models for the amount of shallow and deep triggered slip needed to account for the inter-event strain observations. The misfit between the models and the observations is expressed as the chi-squared misfit (χ^2) , which is calculated as: $\chi^2 = 1/N \sum_{ij} \left[(\varepsilon_{ij}^{obs} - \varepsilon_{ij}^{mod})/\sigma \right]^2$, where $i = \{xx, xy, yy\}$ is the strain component, $j = \{1, 2, ..., n_j\}$ is the strain triangle, $N = 3n_j$ and σ is the uncertainty that we take to be 0.3 microstrain. We calculate the misfit for triangles that span the fault and that are within the fault hangingwall. The solid black lines represent the $\chi^2 = 0.5$ and $\chi^2 = 1.0$ contours. The dashed black lines show the mean shear stress recovery on the rupture area for the given amount of shallow and deep triggered slip. Models that match the observed strain have predominantly shallow slip, and an average shear stress recovery between 50% and 80% of the shear stress drop.

Figure 13: Sketch of the evolution of the fault-averaged shear stress on the Mochiyama Fault between the 2011 and 2016 earthquakes. The stress drop in the 2011 earthquake and 2016 earthquakes are shown in black boxes, and were calculated from the slip distributions of Fukushima et al. [2018].