

1 Is the Aftershock Zone Area a Good Proxy for the
2 Mainshock Rupture Area?

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11

12 **Abstract** Large earthquakes are usually followed by sequences of small earthquakes, exhibiting
13 a mainshock-aftershock pattern. The locations of aftershocks are often observed to be on the same
14 fault plane as the mainshock and used as proxies for its rupture area. However, there has been
15 limited research on how well aftershock location actually approximates mainshock rupture area.
16 Furthermore, recent developments in earthquake relocation techniques have led to great
17 improvements in the accuracy of earthquake locations. Hence, we investigate this assumption
18 using slip distributions and relocated aftershocks of 12 $M_w \geq 5.4$ mainshocks in California. We
19 calculate the area enclosed by the aftershocks, normalized by the mainshock rupture area derived
20 from slip contours. We find that overall, the ratios of aftershock zone area to mainshock rupture
21 area, hereinafter referred to as “aftershock ratio”, lie within a range of 0.5 to 5.5, with most values
22 larger than 1. Using different slip inversion models for the same earthquake can have a large impact
23 on the results, but the ratios estimated from both the relocated catalogs and Advanced National
24 Seismic System (ANSS) catalog have similar patterns. The ratios for earthquakes in Southern
25 California fall between 0.5 and 3, while earthquakes in Northern California exhibit a wider range
26 of ratios from 1 to 5.5. We also measure aftershock ratios for the early aftershock window (within
27 1 day) and find a similar range but smaller values than using the entire aftershock duration,
28 suggesting that continuing afterslip could contribute to the expanding aftershock zone area of
29 several mainshocks. Our results show that areas with positive Coulomb stress change scale with
30 aftershock zone areas, indicating that aftershock distribution generally outlines the mainshock
31 rupture area.

32 **1. Introduction**

33 Beginning in the 1930s, scientists believed that aftershock zone area corresponds to the area where
34 strain is accumulated and released during an earthquake sequence (Utsu, 1970). Since then, the
35 aftershock zone area of a mainshock has often been used to approximate its co-seismic rupture
36 area. For example, Kanamori (1977) used the rupture zones defined by the 1-day aftershock zone

37 area to calculate seismic moment and introduce the moment magnitude scale. Kelleher (1972)
38 attempted to forecast potential locations of large South American earthquakes by discerning gaps
39 between their rupture zones, which were defined by the aftershock zone area. Ebel and Chambers
40 (2016) suggested that aftershocks of past major earthquakes can be used to delineate the extent of
41 their ruptures even after decades or centuries. Studies have also found that early aftershocks
42 (within the first 24 hours) tend to occur on the periphery of the aftershock zone, and the aseismic
43 region in the center of the zone corresponds to the extent of the coseismic rupture area (Das and
44 Henry, 2003; Dietz and Ellsworth, 1990).

45 Different mechanisms have been used to explain various patterns of aftershock occurrence: static
46 stress change, transient dynamic stress change, and postseismic deformation are possible
47 candidates (Freed, 2005). Static stress change is the stress change in the earth's crust surrounding
48 the fault planes due to slip on the faults (King et al., 1994; etc.). In particular, Coulomb stress
49 change became popular in the past few decades with numerous studies attempting to correlate
50 static Coulomb stress change with aftershocks (King et al., 1994; Stein et al., 1997; Hardebeck et
51 al., 1998; Toda et al., 1998; Kilb et al., 2002; Ma et al., 2005; Marsan and Lengliné, 2010; Toda
52 et al., 2011, etc.). Many of the studies found that the distribution of the aftershocks appears to be
53 co-located with regions of positive Coulomb stress change.

54 However, not all studies agree that static stress change is the only predictor of aftershock
55 distribution, especially the temporal evolution of aftershocks (Cattania et al., 2015). Transient
56 dynamic stress change, which is the stress carried by the passing waves, can trigger "aftershocks"
57 hundreds to thousands of kilometers away and may be related to earthquakes at those locations
58 even months later (Berlardinelli et al, 2003; Parsons, 2014; Fan and Shearer, 2016; van der Elst
59 and Brodsky, 2010). Moreover, by investigating over two hundred slip inversions, Meade et al.
60 (2017) found that other stress change components such as max shear stress and stress invariants,

61 or longer-term changes such as afterslip/postseismic relaxation may be able to account for the
62 spatial distribution of aftershocks better.

63 Afterslip is the continuing fault slip after the mainshock, and viscoelastic relaxation refers to the
64 release of stress throughout the entire volume of the surrounding viscous lower crust under
65 constant strain (Pollitz et al., 1998; Diao et al., 2013). Both processes have been shown to be able
66 to explain aftershocks distributions. The contribution of each of them is hard to determine, and it
67 depends on the tectonic regime of each earthquake (Perfettini et al 2005). For example, Perfettini
68 and Avouac (2004; 2007) found that the aftershocks of the 1999 Chi-Chi earthquake and the 1992
69 Landers earthquake correlate well with afterslip in both space and time. Savage et. al. (2007)
70 investigated five large earthquakes and concluded that fault creep alone is not enough to explain
71 the postseismic deformation and aftershocks of those earthquakes; a viscoelastic relaxation term
72 has to be added to the surface deformation equation to obtain a better fit.

73 However, quantitative models of afterslip and viscoelastic relaxation are less observed and
74 resolved. In this study, we will quantify static Coulomb stress change from mainshock slip and
75 evaluate whether the aftershock zone area could be used as a proxy of the mainshock rupture.
76 Meanwhile, we summarize those published work on afterslip models for some mainshocks and
77 discuss their roles in modulating the aftershock distribution.

78 The selection of aftershock duration and method to delineate aftershock zone are main factors that
79 affect the calculation of the aftershock zone area. Scientists have previously pointed out that there
80 was no formal agreement on a consistent space-time windowing algorithm to select aftershocks
81 (Knopoff et al., 1982), which is still true to this date. The choice of aftershock duration is tricky
82 as the aftershock zone area could expand with time (Tajima and Kanamori, 1985), and different
83 mechanisms could tangle together with longer durations. Different aftershock durations ranging
84 from one day (Kanamori, 1977), weeks (Wetzler et al, 2018) to years (Parsons, 2002; Perfettini

85 and Avouac, 2007) have been used, depending on the need of each study. Some studies suggested
86 that earthquakes may still have aftershocks decades or centuries later (Bouchon et al., 2013; Ebel
87 and Chambers, 2016). Determining aftershock zone area is difficult too as aftershocks can occur
88 over a large and continuous area especially in places with high background seismicity such as
89 Parkfield, and deciding which earthquakes constitute aftershocks can be quite challenging.
90 Methods used by previous studies include fitting ellipses (Utsu, 1970), drawing energy contours
91 (Tajima and Kanamori, 1985), terminating the aftershock zone based on gaps between the
92 earthquakes (Meng and Peng, 2016), or drawing a simple boundary around the aftershocks (Sykes,
93 1971). In this study, we use the beta statistic (Matthews and Reasenberg, 1998) to estimate the
94 aftershock duration and aftershock boundary based on the change in seismicity rate after the
95 mainshock since it provides a consistent criterion without empirical assumption.

96 More recently, developments in seismological techniques have also led to great improvements in
97 the accuracy of earthquake locations and finite fault solutions. This provides an opportunity to
98 reexamine past assumptions using the latest earthquake catalogs and slip models. In this study, we
99 analyze recent moderate to large ($M_w \geq 5.4$) earthquakes in California that have relocated
100 earthquake catalogs (Figure 1). We aim to gain insights into earthquake properties and assess the
101 veracity of the assumptions made in the past. Our results can also provide basis for similar
102 assumptions to be made in the future, especially in cases where robust slip inversion is not
103 applicable.

104 **2. Data and Method**

105 We analyze moderate to large ($M_w \geq 5.4$) earthquakes in California that have well recorded
106 aftershock sequences as candidate mainshocks (Figure 1). The slip inversion models are obtained
107 from the Finite-Source Rupture Model Database (SRCMOD). On the other hand, we use both
108 relocated catalog (either double-difference or waveform relocated) and Advanced National

109 Seismic System (ANSS) catalog for following analysis. The relocated catalogs generally have
110 better resolved locations. However, a certain percentage of earthquakes would be dropped during
111 the relocation process and potentially affect the genuine seismicity rate estimation. The ANSS
112 catalog includes all archived earthquakes but endures relatively larger location error. We included
113 both catalogs to evaluate the consistency. More specifically, the double-difference catalogs are
114 acquired from the Northern California Earthquake Data Center (NCEDC) (Waldhauser and Schaff,
115 2008; Waldhauser, 2009). Waveform relocated catalogs are obtained from the Southern California
116 Earthquake Data Center (SCEDC) (Hauksson et al., 2012). For each mainshock, we download
117 earthquakes that occurred up to 1 year before and after within the surrounding area. The areas used
118 is deliberately much larger than needed to avoid creating an artificial upper limit when calculating
119 the aftershock zone area. A grid of ± 1 -degree latitude and longitude relative to the mainshock
120 epicenter is used to download earthquakes from NCEDC/SCEDC, while a circle with a radius of
121 five times the source dimension is used to download earthquakes from the ANSS catalog.

122 ***Earthquake Selection***

123 To choose earthquakes associated with mainshock faults, only earthquakes with off-fault distances
124 less than 2km to the fault plane from the slip inversion are kept for further analysis. We use 2km
125 because earthquake epicenter location uncertainties typically fall within 2km. We have tried
126 different off-fault distances from 1 to 20km and found that off-fault distances below 5km do not
127 show a large difference. As hypocenter locations given by the slip inversion data and the catalogs
128 are slightly different, we shift the earthquake locations in the catalog using the hypocenter in the
129 slip inversion as a reference for some mainshocks. This ensures that the selection of earthquakes
130 by off-fault distance is accurate and does not affect the calculation of the aftershock zone area. The
131 fault planes are extrapolated past each end, and the earthquakes are then projected onto the nearest
132 fault plane (i.e., smallest fault-normal distance).

133 ***Magnitude of Completeness***

134 To remove bias in calculating the change in seismicity rate, we need to ensure that the catalog is
135 complete for both the periods before and after the mainshock, i.e. there are no missing earthquakes
136 for the magnitude range we use. Hence, we calculate the magnitude of completeness (M_c) for both
137 time periods, and only earthquakes with magnitudes above the larger M_c are used. In a few cases,
138 M_c cannot be calculated for either before or after the mainshock, due to the sparsity of data or the
139 shape of the magnitude-frequency distribution (MFD). Hence, we use M_c of the time period that
140 can be calculated instead. The most straightforward way of calculating M_c is the maximum
141 curvature method, which often underestimates M_c for gradually curved bulk MFDs. The M_{c95}
142 and M_{c90} methods, which calculate the lowest M_c value that gives a best fit of 95% and 90%,
143 provide a closer estimate, but sometimes M_c cannot be calculated when the MFD curve never
144 reaches a 90% fit. Hence, we use the best combination method, whereby an initial estimate is
145 calculated using the max curve, and then the algorithm searches for the M_{c95} value and M_{c90}
146 value in a fixed range around the estimate. These methods are described in detail in Mignan and
147 Woessner (2012), and we use the open-source MATLAB code written by D. Schorlemmer and J.
148 Woessner (2004) to calculate M_c . We set the magnitude bin size to be 0.1 and do not apply any
149 correction.

150 ***Beta Statistic and Aftershock Ratio***

151 We use the β -Statistic to calculate the aftershock zone area. The β -statistic quantifies seismicity
152 rate change based on the difference between the observed and expected number of events occurring
153 in a time period, normalized by the standard deviation of the expected value (Aron and Hardebeck,
154 2009; Kilb et al., 2000). The standard deviation is calculated by assuming a binomial distribution
155 where earthquakes either occur inside or outside the time period T_a (Matthews and Reasenber,
156 1988). A β value of 2, which means 95% significance of increase in seismicity when the β value

157 is normalized by its standard deviation, is used as the threshold to determine if there is a significant
158 increase in seismicity. The equation to calculate the β value is shown below (Equation 1).

$$159 \quad \beta = \frac{N_a - N * T_a / T}{\sqrt{N(T_a / T)(1 - T_a / T)}} \quad (1)$$

160
161 Where N_a is the number of events in the time period of interest, N is the number of events in the
162 entire time period, T_a is the duration of the time period of interest and T is the duration of the
163 entire time period (background window duration T_b plus above defined T_a).

164 We define the aftershock zone as the region with significant increase in seismicity rate after the
165 mainshock. To find the aftershock zone, we create a grid for the fault plane and the surrounding
166 regions and calculate the β value for each grid cell. A convex boundary is then drawn around those
167 areas with significant change in seismicity using the MATLAB function “boundary” with a ‘shrink
168 factor’ of 0, which is consistent with results using Delaunay triangulation to denote the boundary.
169 The area enclosed by the boundary is then taken to be the aftershock zone area. Another possible
170 method of calculating the aftershock area is to add up area of cells with significant seismicity rate
171 increase. However, we chose not to use this method mainly because the aftershock zone area
172 increases with cell size, which could be subjective to provide a consistent way to estimate
173 aftershock zone area spanning different magnitudes (Figure S1). In comparison, drawing a
174 boundary around the aftershocks is a robust way to define the aftershock zone area that is largely
175 unaffected by cell size (Table S1). Figure 2 illustrates the calculation of the aftershock zone area.
176 Previous calculations of β values have used different cell sizes such as 2 km (Aron and Hardebeck,
177 2009) and 6 km (Kilb et al., 2000). As earthquakes are represented as points in the grid, the choice
178 of cell size has an impact on the β values. Using different cell sizes that range from 1 to 4 km, we
179 find that as long as the cell size is large enough such that each earthquake is not isolated, the pattern
180 of β values remains similar. However, a larger cell size like 6 by 6 km (Kilb et al., 2000) is not
181 ideal as it is close to the rupture length of the mainshock, which ranges from 9 to over 100 km in

182 our analysis. Hence, we use a cell size of 2 by 2 km. We locate the areas where the β value is
183 larger than 2 and terminate the aftershock zone area when there is a gap of larger than 15 km
184 (Meng and Peng, 2016). We test a range of gap sizes from 5 to 20 km and find that for small off-
185 fault distances (≤ 5 km), and the gap size does not affect the results.

186 The choice of time periods T and T_a can greatly affect the calculation of the aftershock zone area
187 by controlling the number of earthquakes that constitute change in seismicity rate. To estimate the
188 background seismicity rate, we adopt a long-term averaged rate before the mainshock. Previous
189 studies reported obvious increasing foreshocks before some large earthquakes (e.g., Dodge et al.,
190 1995; Hauksson et al., 2002, etc.). However, the short-term foreshock activity should not
191 significantly impact our calculation since we use a much longer window before the mainshock. To
192 test this, we use background window lengths of one year and two years and found that the ratios
193 are generally consistent except for the Whittier Narrows and North Palm Springs earthquake
194 (Figure S2). The range of ratios also remain the same using both pre-shock windows. Since using
195 a pre-shock duration of one year generates more consistent results between the relocated and non-
196 relocated catalogs, we use a pre-shock duration of one year to calculate β values and aftershock
197 zone areas.

198 Another important parameter is the aftershock duration, which defines the time period when there
199 is still a significantly elevated rate of seismicity in the region. We then calculate the sliding-
200 window β value for the entire faulting system (fault plane and the extended regions) using the
201 aftershocks within an off-fault distance of 2km, with N in equation (1) equal to all the earthquakes
202 that occurred in the region and T_a equal to 10 days after the mainshock. We then slide the time
203 window with a time interval of 5 days and study the evolution of the β value through time. The
204 defined entire aftershock duration is given by the first time-window when the β value drops below
205 the threshold value of 2. The aftershock duration gives T_a , the time period of interest used in the

206 calculation of the β value in each grid cell for each earthquake. The aftershock duration can vary
207 between a few weeks and over a year (Figure 3).

208 The coseismic rupture area is defined as the area enclosed by a contour of 0.15 of the maximum
209 slip (Wetzler et al., 2018). A slip contour is used because areas with very low slip may not be well
210 resolved and depend greatly on the smoothing method used in the kinematic source inversion. We
211 then calculate the ratio of the aftershock zone area to the coseismic rupture area to investigate how
212 well the aftershock zone area approximates the rupture area. Since each earthquake model is
213 unique, some of them require special processing procedures as listed in Table S2.

214 *Coulomb Stress Change*

215 In order to examine how the mainshock slip impacts the aftershock zone area, we utilize the
216 Coulomb 3 software to calculate the resulting Coulomb stress change of each earthquake (King et
217 al, 1994). We use the entire slip model and the orientation of the main fault plane as the receiver
218 fault to find the Coulomb stress change of the region. Assuming that earthquakes below a certain
219 off-fault distance lie on the same fault plane as the mainshock, we use the orientation of the main
220 fault plane as the receiver fault to find the Coulomb stress change of the region. We also use a
221 friction coefficient of 0.6, although faults have a large range of plausible values between 0 to 0.75
222 (King et al, 1994). The cross-section of the fault and its surrounding region are calculated with a
223 cell size of 1 by 1 km. We tested thresholds of 0.1 and 1 MPa and find that both will result in a
224 similar trend, but the area enclosed by the 1 MPa cells are more similar to the aftershock zone area
225 observed from the β -Statistic. Hence, we sum the area of the cells that have a positive Coulomb
226 stress change of 1 MPa or more to compare with the aftershock zone area (Figure 4). The results
227 of our Coulomb stress change calculations are listed in Table S3.

228 **3. Results**

229 We analyze a total number of 12 $M_w \geq 5.4$ California mainshocks (Table 1), with 3 from the
230 NCEDC double-difference catalog and the rest from the SCEDC waveform relocated catalog.
231 Most of them are strike-slip events, except for the 1994 Northridge earthquake with a thrust
232 mechanism and the 1989 Loma Prieta earthquake with an oblique mechanism. We calculate the
233 ratio of aftershock zone area to mainshock rupture area of each mainshock, often for multiple slip
234 inversion models (Figure 5). The parameters that we used are summarized in Table S4. We also
235 list the data types used by each slip model in Figure 5. Strong ground motion data are
236 predominantly used for Northern California (NC) earthquakes, while various data types are used
237 for Southern California (SC) earthquakes.

238 We find that aftershock zone areas are within a range of 0.5 to 5.5 times of the mainshock rupture
239 area (Figure 6). Some earthquakes such as the 1989 Loma Prieta earthquake have consistently
240 higher ratios, while others such as the 1999 Hector Mine earthquake and the 1994 Landers
241 earthquake have consistently lower ratios. We explore their potential causes further in the
242 discussion section. Ratios of the same earthquake estimated from different slip inversion models
243 can vary widely. For example, the ratio for the Gallovič (2016) model of the South Napa
244 earthquake is more than 3 times of the ratio for the Wei et al. (2015) model. This is partially
245 because the Wei et al. (2015) model has a peak slip and slip area that is twice as large as the
246 Gallovič (2016) model. The two slip models also assume significantly different fault planes. Since
247 only earthquakes within 2km of the fault planes are included as potential aftershocks, the
248 aftershock zone area estimated for Wei's model is smaller than that for Gallovič's model. Our
249 results also show a similar pattern between the ratios estimated from the ANSS and relocated
250 earthquake catalogs. Table 2 shows that both types of catalogs have almost identical average ratios,
251 but the ANSS catalog has larger variance. We also note that the ratios for Brawley and Elmore
252 Ranch earthquakes differ by a factor of 2 across the relocated and ANSS catalogs. The similar
253 ratios estimated from different catalogs demonstrate that aftershock zone area is a macroscopic

254 source feature that is not sensitive to the differences of earthquake locations in catalogs. We do
255 not observe a clear correlation between moment magnitudes and aftershock ratios either (Figure
256 S3).

257 *Early Aftershock Zone*

258 Above results are based on the entire aftershock duration. Since different aftershock generation
259 mechanisms could affect the long-term aftershock evolution, we also measure ratios using only
260 early aftershocks to exclude postseismic deformation if existed. The early aftershock window is
261 set as 1-day after the mainshock (Kanamori, 1977) and the results are shown in Figure 7. Since the
262 T_a/T term is close to 0 in our study, every single earthquake in each cell after the mainshock would
263 be significant in the output beta value, which could lead to biased results unless an accurate
264 background rate and complete early aftershock catalog are guaranteed. Hence, only the off-fault
265 distance, gap size and M_c are used to determine which aftershocks to include in the analysis.
266 Generally, the ratios for the 1-day duration are smaller than or equal to those for the entire
267 aftershock duration. But the range of median ratios (0.5-3.7) is comparable to the range for the
268 entire aftershock duration (0.5-5.5). The statistics of the early aftershock ratios are shown in Table
269 2.

270 **4. Discussion**

271 *Static Stress Change*

272 If aftershocks are primarily triggered by the Coulomb stress change, they should occur within the
273 area with the positive Coulomb stress change. Hence, we compare the Coulomb stress change area
274 and the aftershock zone area of each mainshock (Figure 3). We find that the Coulomb stress change
275 area shows a positive correlation with the aftershock zone area (Figure 8), which may support the
276 hypothesis of static stress change being a triggering mechanism for aftershocks. However, this

277 correlation does not necessarily mean causation. For example, the correlation may indicate that
278 both static stress changes and aftershock areas are related to certain mainshock source parameters.
279 The correlation between the Coulomb stress change and the aftershock zone area is not an ideal
280 linear trend either, and the discrepancies may be due to the uncertainty of coseismic slip model
281 and the inclusion of other aftershock triggering mechanisms. In particular, the Loma Prieta
282 aftershock zone area appears to be an outlier, as its aftershock zone area is much larger than that
283 of the other earthquakes given its relatively small Coulomb stress change area. We also show the
284 ratio of Coulomb stress area to aftershock area with magnitude (Figure 9), and the results are
285 inconclusive, with either a slight increase or no change in ratio with magnitude depending on the
286 fitting method used.

287 *Afterslip*

288 As Coulomb stress change cannot satisfactorily explain the large aftershock zone area of the Loma
289 Prieta mainshock, an alternative mechanism for aftershock generation is afterslip following the
290 mainshock. The variation of geologic conditions in California results in different amounts of
291 afterslip for each earthquake. The central part of the San Andreas Fault exhibits large amounts of
292 aseismic creep (Khoshmanesh and Shirzaei, 2018), whereas the southern portion is locked with
293 significant slip deficit (Fialko, 2006). Though the underlying reason is not well known, some
294 studies suggest that it might be due to the presence of serpentinite at creeping faults in Northern
295 and Central California (Moore and Rymer, 2007). Studies have shown that the Loma Prieta
296 earthquake has afterslip extending around 40-60km towards the southeast along the San Andreas
297 fault (Behr et al., 1990, Pollitz et al., 1998). The shallow afterslip (above 15km depth) was found
298 to have most likely occurred on the Loma Prieta fault (Bürgmann et al., 1997). Although the
299 afterslip was found to be relatively small (less than 1 cm over 4 months), the afterslip area roughly
300 corresponds to the aftershock zone area in our analysis, which extends southwards for 60 km from

301 the mainshock rupture in a shallow region above 15 km depth. Hence, we argue that the afterslip
302 should account for the large aftershock zone area of Loma Prieta.

303 Afterslip can occur in the surrounding region loaded by mainshock rupture and transfer stresses
304 on faults that promote the generation of aftershocks. It is unfeasible to quantitatively evaluate the
305 contribution by Coulomb stress change and other mechanisms without detailed rupture simulation
306 based on realistic parameters. For mainshocks with observed afterslip, a combination of the static
307 stress change and afterslip instead of the Coulomb stress change alone could contribute to the
308 positive correlation between the Coulomb stress change area and the aftershock zone area. By
309 comparing the ratios from using both entire aftershock duration (Figure 6) and early aftershocks
310 (Figure 7), we observe that the long-term aftershock duration results in relatively larger ratio for
311 the South Napa, Loma Prieta, Brawley, Joshua Tree and North Palm Springs earthquakes. The
312 larger ratio could be explained by expanding aftershock zones with time caused by postseismic
313 deformation process. In contrast, similar range of aftershock ratios for the other earthquakes
314 support that Coulomb stress change caused by the mainshock rupture plays an important role in
315 aftershock distribution.

316 We also search for published work on postseismic slip following the studied mainshocks, and
317 seven earthquakes have resolved postseismic slip model (Table S5). For most earthquakes
318 analyzed in the table, the afterslip distribution is similar in extent to our aftershock zone area
319 though their depths may be different, which is consistent with emerging evidences that afterslip
320 could affect the long-term aftershock evolution (Perfettini et al., 2018). To better understand the
321 outlier mainshocks, we could potentially use afterslip models to measure the stress change caused
322 by afterslip, similar to that of Pefettini and Avouac (2004; 2007), to ascertain if it correlates better
323 with their aftershock zone areas. This exceeds the scope of this study and could be a potential work
324 in future with more available afterslip models.

325 *Uncertainty and Limitations*

326 The measurement uncertainties in our calculations include the (1) earthquakes locations, (2) the
327 calculation of M_c , (3) the assumption of threshold β value, (4) the upper limit of the grid and gap
328 size, (5) slip inversion results, and (6) the assumption that the fault plane extends in roughly the
329 same plane outside of the mainshock rupture area. We examine the uncertainty of earthquake
330 locations for the Parkfield mainshock using the Ji (2004) model. We use location uncertainties of
331 0.5km, 1km and 2km to randomly vary the locations of all the aftershocks. We generate 10,000
332 synthetic distributions of aftershocks and find the standard deviations of aftershock zone areas are
333 0.09, 0.12 and 0.15 respectively, which is about 4.8 to 7.8% of the mean value. As the location
334 uncertainties for most earthquakes are smaller than 2km, we believe that the location uncertainty
335 will not greatly affect the ratios.

336 We calculate M_c before and after the mainshock and remove earthquakes below M_c . Though this
337 procedure ensures that the seismicity change is not biased by the incomplete catalog, it also
338 removes earthquakes from consideration, which may cause the calculated aftershock zone area to
339 be smaller than the real aftershock zone area. To estimate the impact of removing earthquakes
340 below M_c , we calculate the aftershock zone areas of the Brawley and El Mayor-Cucapah
341 mainshocks using the Quake Template Matching (QTM) Catalog for Southern California (Ross et
342 al., 2019) that has a much lower M_c due to the new detections. The ratios of aftershock zone areas
343 to mainshock rupture areas estimated from this catalog are larger than that calculated from the
344 relocated SCEDC catalog (Table S6, Figure S4). However, they are still within the range of ratios
345 (0.5-5) obtained for all the mainshocks.

346 We limit the calculation of the aftershock zone area by setting a threshold β value of 2. A threshold
347 value of 2 indicates 95% significance of increase in seismicity when we normalize the β value by
348 its standard deviation. The assumption behind the calculation of standard deviation is that each

349 earthquake is an independent event and the probability of an earthquake occurring at any given
350 time is equal. This may not be a valid assumption for earthquakes as the probability of having
351 earthquakes after a mainshock is much higher than before the mainshock, but all metrics for
352 determining aftershock zone area necessarily contain arbitrariness.

353 We also set an upper limit of the spatial grid and gap to terminate aftershock zone, which may
354 violate the observation of the so-called “global aftershock zone” (Parsons and Geist, 2014; Johnson
355 and Bürgmann, 2016). Among our investigated mainshocks, we noticed an increase of
356 microearthquakes within the Geysers geothermal region following the 2014 Napa earthquake
357 (Figure 2), likely triggered by the passing seismic waves (Meng et al., 2014). More recently, Ross
358 et al. (2019) suggested that the 2010 El Mayor-Cucapah earthquake widely triggered events in
359 Southern California. Hence, we are referring to the traditional aftershock zone in this study, where
360 various triggering mechanisms are comparable.

361 As shown by the large variations of ratios for different slip models, slip inversion results probably
362 contribute to the largest uncertainty in this study. The estimation of ratios can also be affected by
363 the geometry and orientation of the fault planes as well as the areas enclosed by the slip contours.

364 ***Other Results***

365 Studies have shown that aftershocks tend to be concentrated around the boundary of the mainshock
366 rupture zone, with a deficit in the center regions of higher slip (Mendoza and Hartzell, 1988; Dietz
367 and Ellsworth, 1990, Wetzler et al., 2018). This is because most of the strain in the regions of
368 higher slip are already released during the mainshock and hence these areas are less able to
369 generate aftershocks. We test this hypothesis using a slightly modified version of the method used
370 in Wetzler et al 2018. Wetzler et al calculated the distances of aftershocks from the slip contours
371 of several earthquakes, normalized by the radius of a circle that has an area equal to the area
372 enclosed by the slip contour. As many of our slip contours are elongated, we change the

373 normalization constant to the minor axis of an ellipse fitted to the slip contours (Wijewickrema
374 and Papliński, 2004), as shown in Figure 10. The distances are then calculated from the closest
375 slip contour (if there are multiple parts) and normalized by the minor axis of the ellipse fitted to
376 that slip contour. Negative distances refer to distances of aftershocks inside the slip contour while
377 positive distances refer to distances of aftershocks outside the slip contour. We use this method to
378 analyze one slip model from each earthquake (list of models in Table S7). We find that most of
379 the aftershocks are located near the slip contours, within a distance of -0.25 to 0.25 the slip
380 contours. Compared to the results obtained by Wetzler et al. (2018), we find more earthquakes
381 located between 0.5 to 1 distance inside the slip contours (Figure 11), probably because we use the
382 minor axis of an ellipse as the normalization constant. But our results still support the notion that
383 there is a deficit of earthquakes in the central regions of the largest slip.

384 **5. Conclusion**

385 By analyzing 12 mainshocks ($M_w \geq 5.4$) in California, we find that the ratios of aftershock zone
386 areas to mainshock rupture areas lie within a range of 0.5 to 5.5, with most values larger than 1.
387 The ratios are smaller for a short aftershock duration of 1 day, ranging from 0.5 to 3.7. Our results
388 suggest that aftershock zone areas can generally be used to approximate mainshock rupture areas
389 for both short and long aftershock durations. Using either the relocated catalog or the ANSS
390 catalog leads to similar patterns of the aftershock zone area. Our results also show that Coulomb
391 stress change exhibit a positive correlation with aftershock zone area. Afterslip distribution is
392 similar in extent to our aftershock zone area for several earthquakes. Therefore, using a
393 combination of different mechanisms may be necessary to fully understand the characteristics of
394 the spatial and temporal distribution of aftershocks.

395 **Data and Resources**

396 Slip inversion data was downloaded from the SRCMOD website at <http://equake-rc.info>.
397 Earthquake catalogs were obtained from the NCEDC (www.ncedc.org) and SCEDC
398 (<http://scedc.caltech.edu>) websites and the catalog by Dr. Felix Waldhauser
399 (<https://www.ldeo.columbia.edu/~felixw/NCAeqDD/>), version NCAeqDD.v201112.1. Coulomb
400 3 MATLAB codes were downloaded from the USGS website
401 (<https://earthquake.usgs.gov/research/software/coulomb/>), and open-source MATLAB codes for
402 calculating M_c are written by D. Schorlemmer and J. Woessner (2004). The supplementary
403 material contains additional information about individual earthquakes and results of Coulomb
404 stress change calculations.

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List of Tables

Table 1
Summary of the source properties and ratios of earthquakes.

Earthquake	Date	Location N/W	Magnitude (Mw)	Depth (km)	Slip Inversion References	Data Sources*	Reloc.	ANSS
South Napa (SN)	2014/08/24	38.22/122.31	6.10	11.0	Wei et al (2015)	SGM	1.04	0.92
			6.07	10.0	Gallovič (2016)	SGM	4.16	3.66
			5.90	8.0	Ji (2004)	SGM, GPS	1.28	2.04
Parkfield (Pf)	2004/09/28	35.82/120.37	6.00	8.3	Dreger et al (2005)	SGM, GPS	2.37	2.70
			6.06	8.3	Custodio et al (2005)	SGM	2.36	2.18
			6.98	17.6	Zeng and Anderson (2000)	SGM	5.38	4.54
Loma Prieta (LP)	1989/10/18	37.04/121.88	6.94	17.6	Wald et al (1991)	SGM, TELE	4.19	3.53
			6.96	17.6	Beroza (1991)	SGM	3.82	5.23
			6.91	17.6	Emolo and Zollo (2005)	SGM	3.40	4.69
Brawley Swarm (BS)	2012/08/26	33.02/115.54	5.45	6.4	Wei et al (2013)	SGM, GPS	0.82	2.29
El-Mayor- Cucapah (EMC)	2010/04/04	32.30/115.30	7.35	10.0	Mendoza and Hartzell (2013)	TELE	2.17	2.61
			7.29	5.5	Wei et al (2011)	TELE, SPOT, GPS, INSAR, SAR	1.79	1.74
Hector Mine (HM)	1999/10/16	34.59/116.27	7.24	6.0	Kaverina et al (2002)	SGM, GPS	1.26	1.18
			7.16	15.0	Jonsson et al (2002)	GPS, INSAR	1.49	0.98
			6.71	17.5	Zeng and Anderson (2000)	SGM	2.33	3.01
Northridge (Nr)	1994/01/17	34.21/118.54	6.80	17.5	Wald et al (1996)	SGM, TELE, GPS	1.30	1.88
			6.81	17.5	Hudnut et al (1996)	TRIL, GPS	1.38	1.67
			6.73	17.5	Hartzell et al (1996)	SGM	1.21	1.49
			7.20	7.0	Zeng and Anderson (2000)	SGM	1.15	0.88
Landers (Ld)	1992/06/28	34.20/116.43	7.22	7.0	Hernandez et al (1999)	SGM, GPS	1.80	1.26
			7.29	7.0	Cotton and Campillo (1995)	SGM	1.47	1.15
Joshua Tree (JT)	1992/04/23	34.00/116.32	6.25	12.5	Bennett et al (1995)	TRIL, GPS	2.12	1.96
Elmore Ranch (ER)	1987/11/24	33.08/115.80	6.52	10.0	Larsen et al (1992)	TRIL, GPS	4.30	2.06
Whittier Narrows (WN)	1987/10/01	34.05/118.08	5.89	14.6	Hartzell and Iida (1990)	SGM	1.61	NA
North Palm Springs (NPS)	1986/07/08	34.00/116.57	6.14	11.0	Hartzell (1989)	SGM	0.54	0.79
			6.21	11.0	Mendoza and Hartzell (1988)	TELE	1.87	2.72

*SGM: Strong ground motion, TELE: Telesismic data, GPS: Global Positioning System, SAR: Synthetic-Aperture Radar, INSAR: Interferometric Synthetic-Aperture Radar, SPOT: Optical imaging from the SPOT-5 satellite.

Table 2
Statistics of the ratios

	Entire Duration		1-Day Aftershocks	
	Relocated Catalogs	ANSS Catalog	Relocated Catalogs	ANSS Catalog
Mean	2.18	2.16	1.79	1.79
Variance	1.58	1.90	0.64	1.28
Median	1.80	2.00	1.67	1.73
Mean Absolute Deviation	0.98	1.03	0.67	0.94

List of Figure Captions

Figure 1. Map of mainshock locations in this study. Known faults are specified as dark red lines, and the direction of plate motion is indicated by black arrows.

Figure 2. Illustration of how the aftershock zone area of each mainshock is defined using the β values. The diagrams show the fault plane view, with β values of each grid cell calculated from the aftershocks projected on to the fault plane. Aftershocks from the relocated catalogs are used for this figure.

Figure 3. Illustration of how aftershock duration is calculated. The horizontal black line is at a β value of 2, and the aftershock duration is taken to be the end of the time window where the β value first dips below the line (indicated by the stars). If the β value never dips below 2, 1 year is used. For example, the aftershock durations for Parkfield and Northridge are the same (>1 year).

Figure 4. Depiction of how the coulomb stress change area is calculated. For illustration, a contour is drawn around the boundary of cells with a positive coulomb stress change of >1 MPa or more. The coulomb stress change area is given by the sum of the area of these cells.

Figure 5. Plot of the data types used for each slip inversion, where the ratios are calculated using the relocated catalogs. SGM: Strong ground motion; Teleseismic: Teleseismic waveform data; Geodetic: GPS, INSAR.

Figure 6. Plot of ratios sorted by earthquake, with the full names of each earthquake in Table 1. The black crosses represent ratios of different slip inversion models for each earthquake, while the red dots represent the median values of the ratios. (Left) NCEDC data is used to calculate the aftershock zone area for the first 3 earthquakes, while SCEDC data is used for the rest of the earthquakes. (Right) ANSS catalog is used. The ratio for the Whittier Narrows (WN) earthquake is not obtained from the ANSS catalog because the data does not yield a robust estimation of the magnitude of completeness.

Figure 7. Aftershock ratios calculated from 1-day aftershock durations for both earthquake catalogs.

Figure 8. Aftershock zone area vs. Coulomb stress change area.

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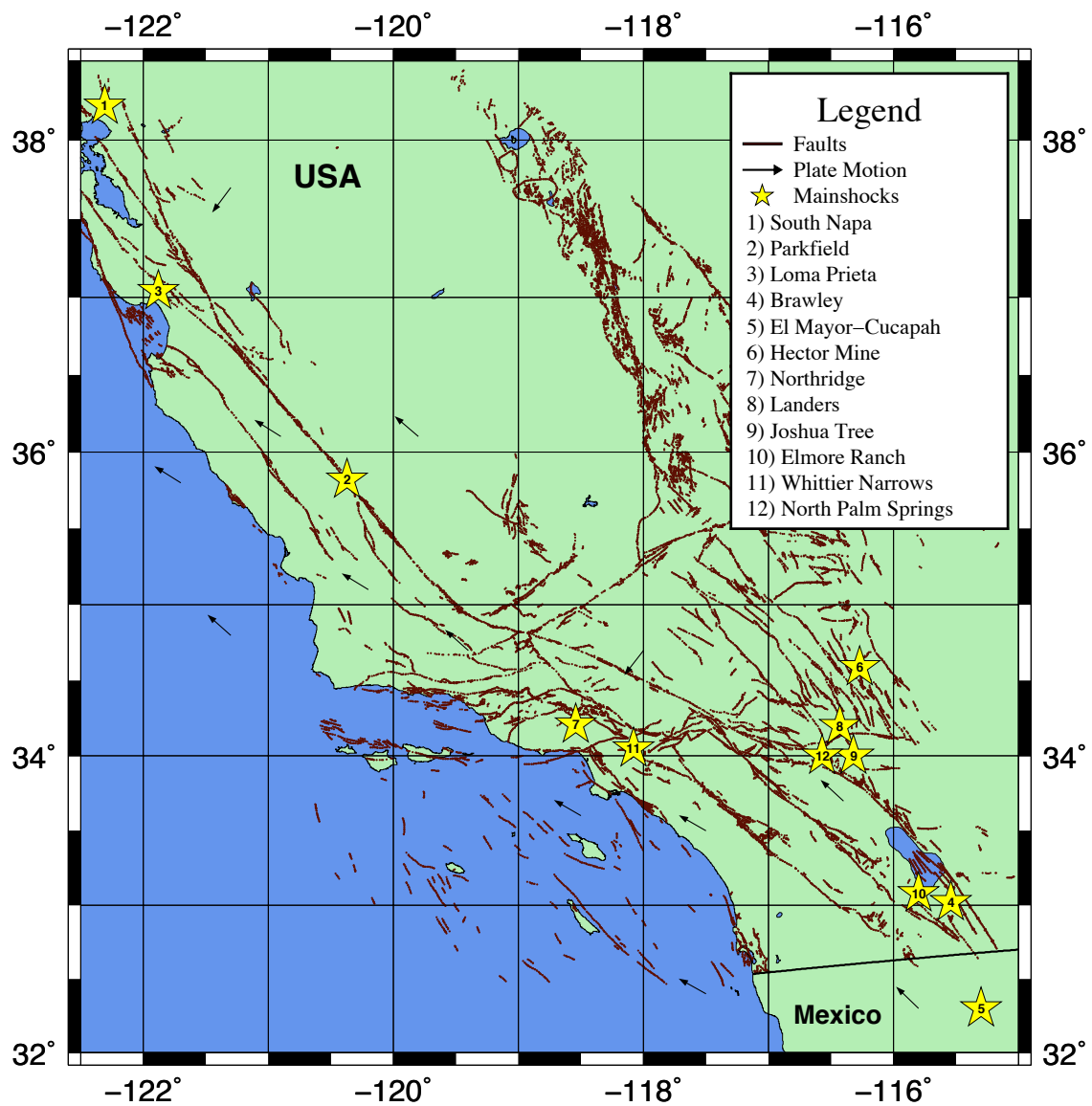


Figure 1. Map of mainshock locations in this study. Known faults are specified as dark red lines, and the direction of plate motion is indicated by black arrows.

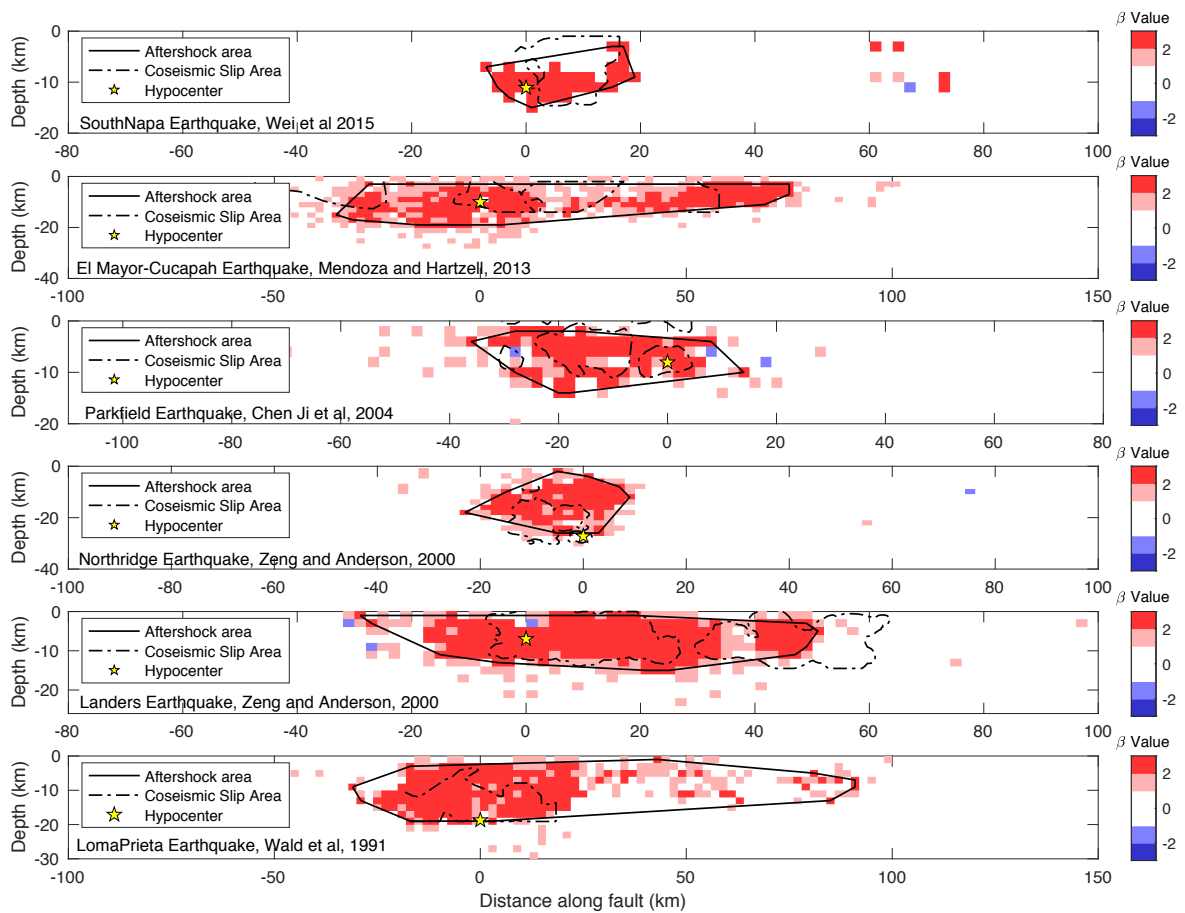


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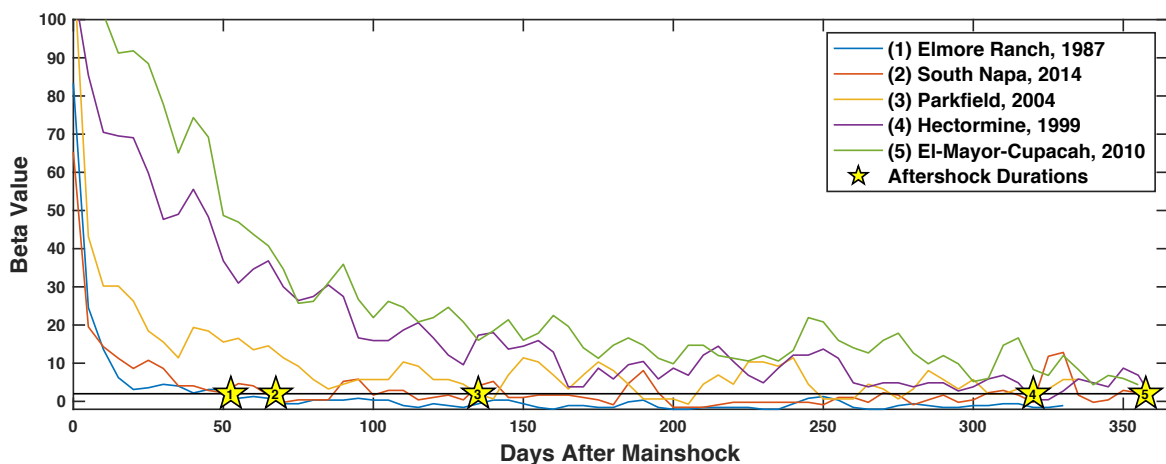


Figure 3. Illustration of how aftershock duration is calculated. The horizontal black line is at a β value of 2, and the aftershock duration is taken to be the end of the time window where the β value first dips below the line (indicated by the numbered stars). If the β value never dips below 2, 1 year is used.

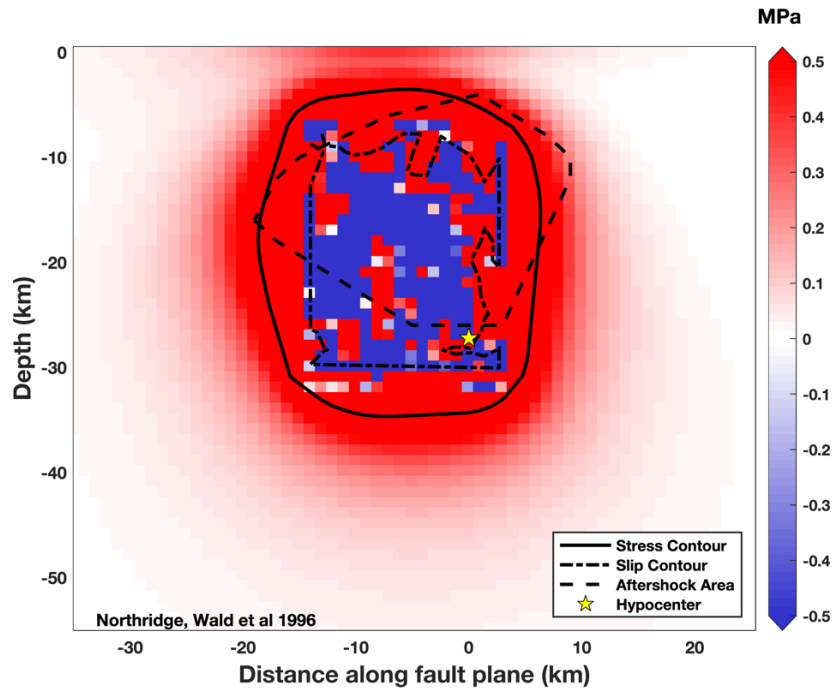


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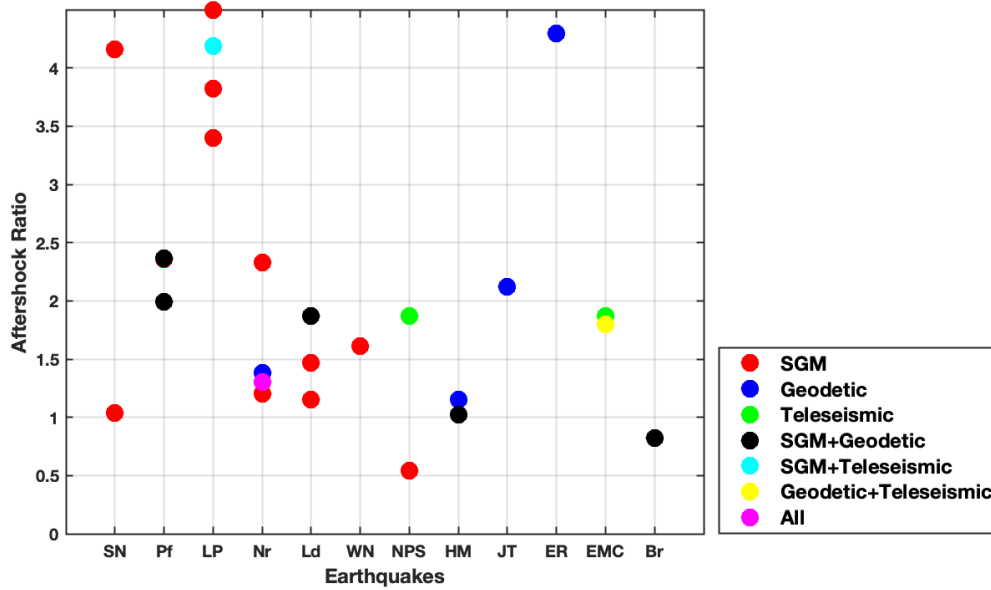


Figure 5. Plot of the data types used for each slip inversion, where the ratios are calculated using the relocated catalogs. SGM: Strong ground motion; Teleseismic: Teleseismic waveform data; Geodetic: GPS, INSAR.

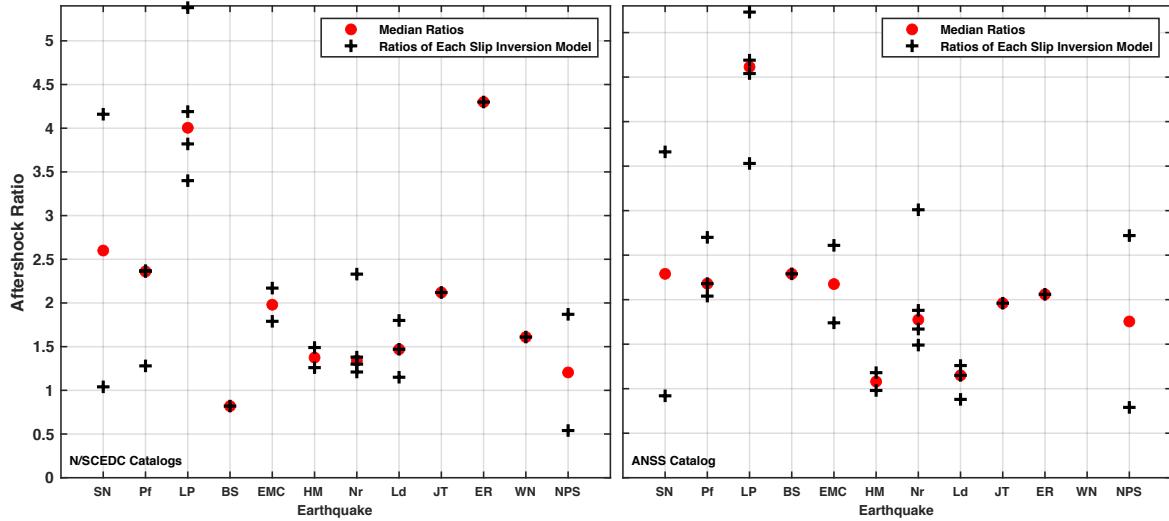


Figure 6. Aftershock zone area ratios for different earthquakes using different earthquake catalogs, with the full names of each earthquake in Table 1. The black crosses represent ratios of different slip inversion models for each earthquake, while the red dots represent the median values of the ratios. (Left) NCEDC data is used to calculate the aftershock zone area for the first 3 earthquakes, while SCEDC data is used for the rest of the earthquakes. (Right) ANSS catalog is used. The ratio for the Whittier Narrows (WN) earthquake is not obtained from the ANSS catalog because the data does not yield a robust estimation of the magnitude of completeness.

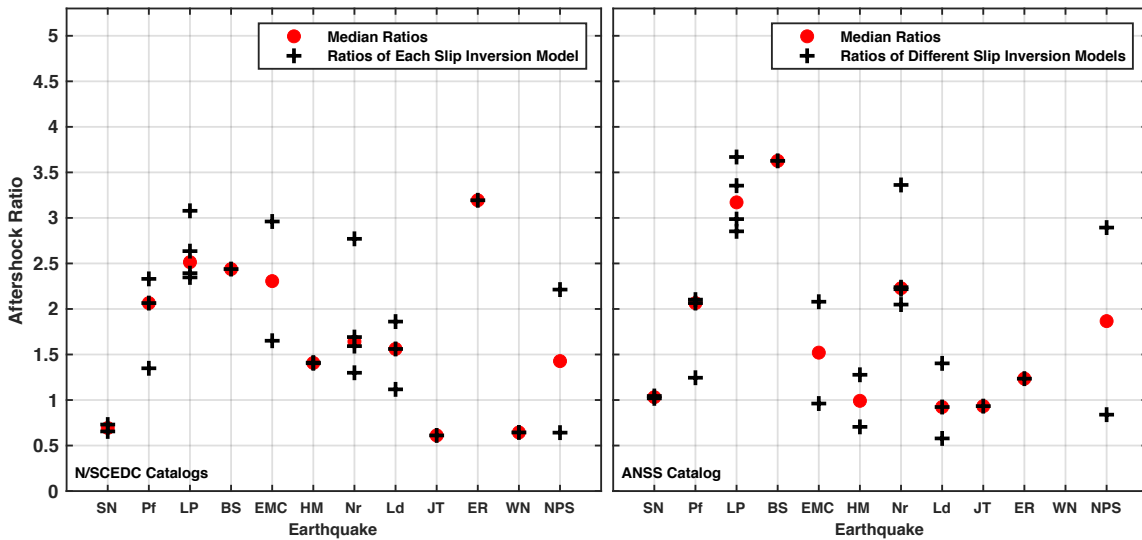


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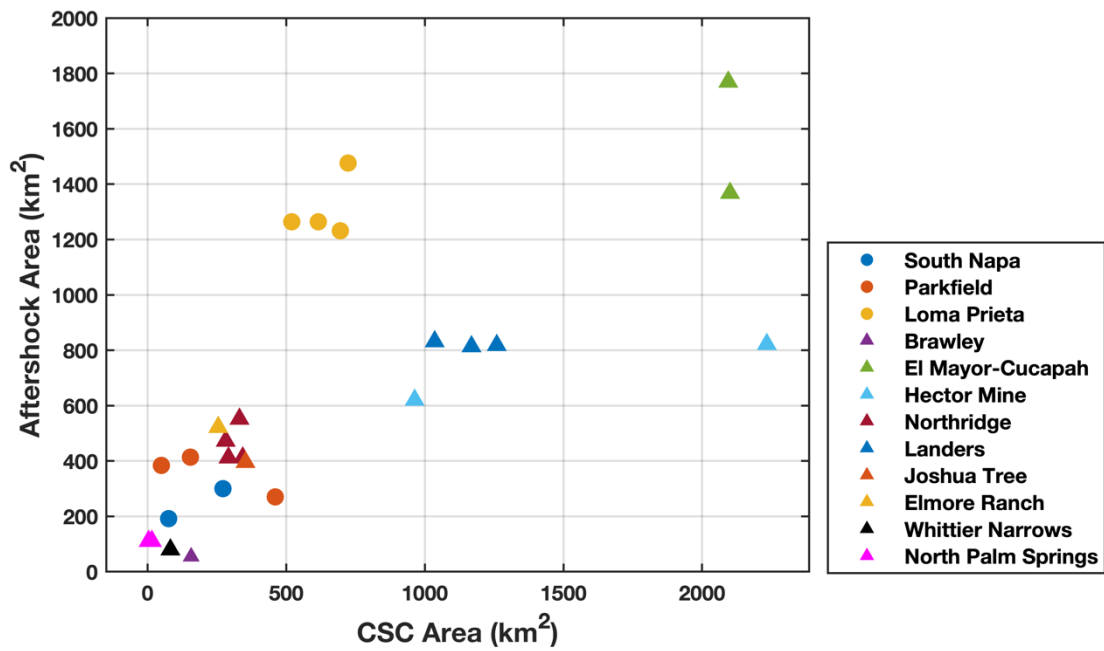


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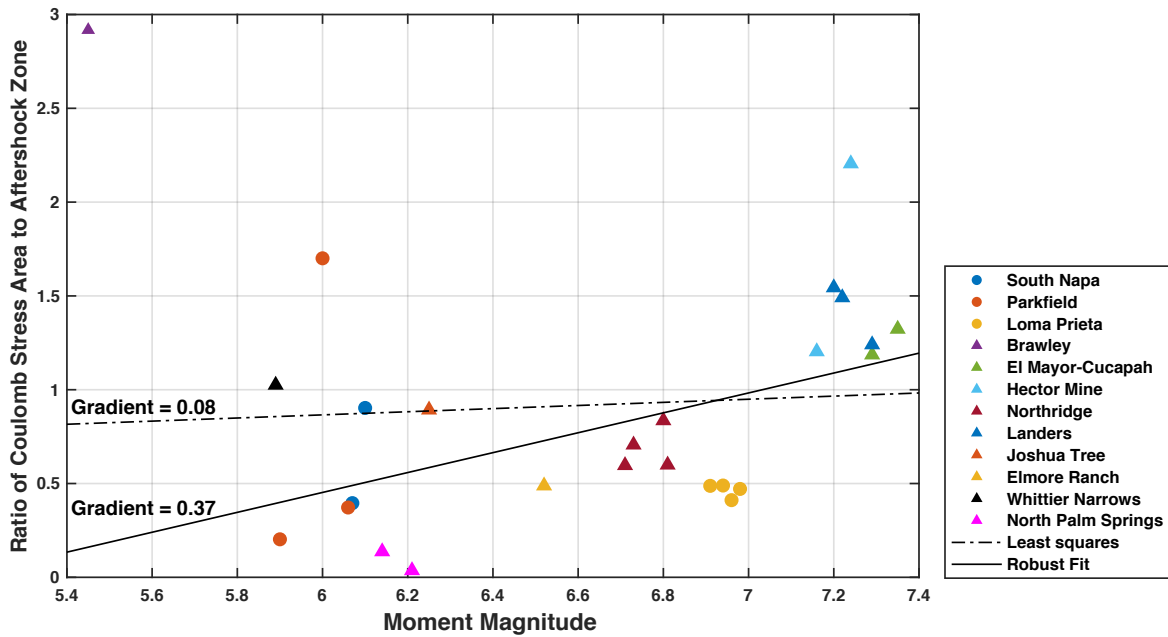


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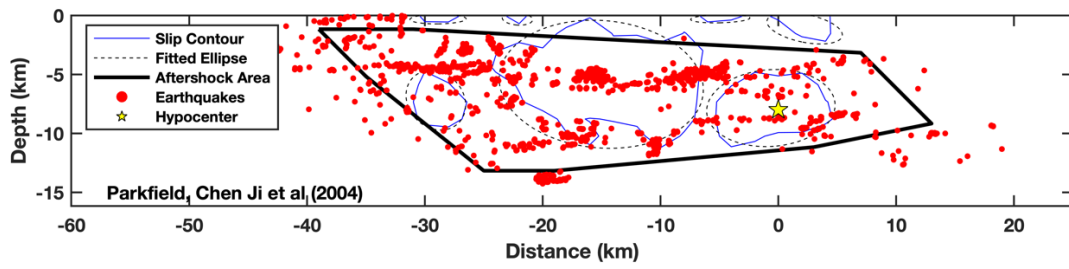


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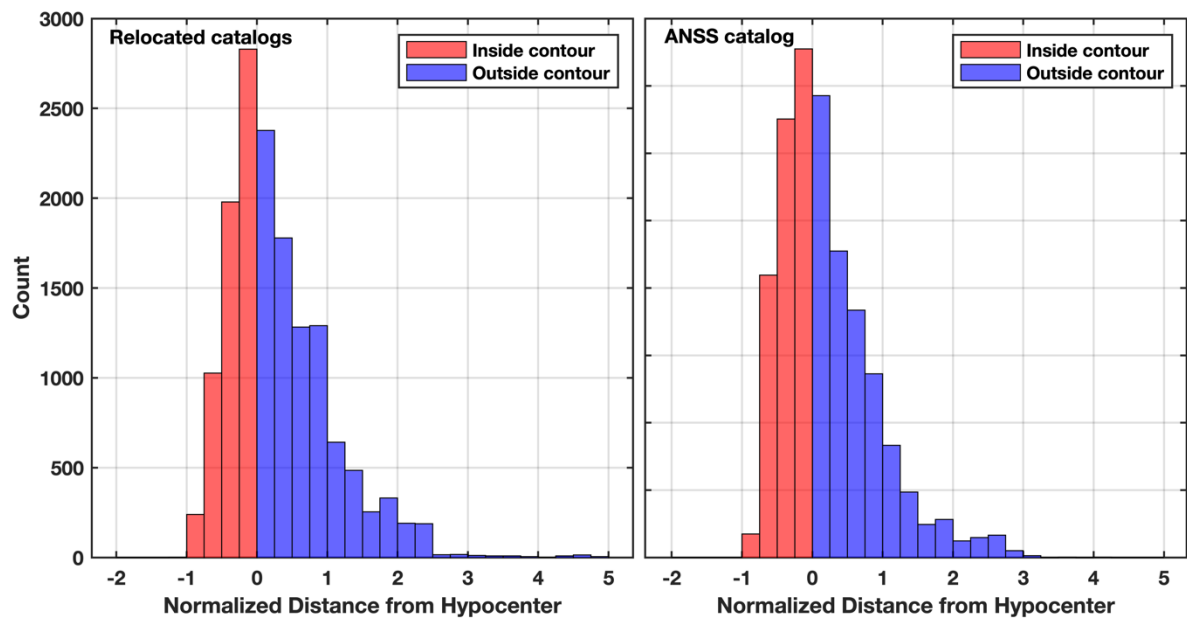


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