# Climate stress and its impact on livestock health, farming livelihoods and antibiotic use in Karnataka, India

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# 25 Abstract

26 Understanding the impact of climate change on livestock health is critical to safeguarding 27 global food supplies and economies. Informed by ethnographic research with Indian farmers, 28 veterinarians, and poultry industry representatives, we evidence that both precipitation and 29 vapour pressure are key climate variables that relate to outbreaks of haemorrhagic 30 septicaemia (HS), anthrax (AX), and black guarter (BQ) across the Indian state of Karnataka. We also identify temperature and maximum temperature to be negatively correlated with the 31 32 same diseases, indicating that a cooling (but still hot) climate with wetter, humid conditions is 33 a prime risk factor for future outbreaks. Principal component analyses have revealed the SW India monsoon and winter periods to be the most strongly correlated with HS, AX and BQ 34 35 outbreaks. We identify vapour pressure, a proxy for humidity, as having a positive relationship 36 with these specific livestock diseases. The negative relationship between temperature and 37 these diseases, combined with the positive correlation with rainfall and humidity, allow us to 38 classify climate-associated risk using a combination of gridded meteorological time series and 39 epidemiological outbreak data covering the same region and timespan of 1987–2020.

40 Risk maps were constructed following concerns over the growing impact of climate pressures 41 raised by farmers during ethnographic study. Informed by their insights, we used current 42 climate data and future climate projections as a risk classification tool to assess how disease 43 risk varies in Karnataka in the present and possible future scenarios. Despite a relatively 44 limited epidemiological dataset, clear relationships between precipitation, vapour pressure, and temperature with HS, AX and BQ, along with outbreak high-risk zones were defined. This 45 methodology can be replicated to investigate other diseases (including in humans and plants) 46 47 and other regions, irrespective of scale, as long as the climate and epidemiological data cover 48 similar time periods. This evidence highlights the need for greater consideration of climate 49 change in One Health research and policy and puts forward a case for, we argue, greater alignment between UNFCCC and One Health policy, for example, within the Tripartite 50

Agreement (between OIE, FOA and WHO) on antimicrobial resistance as disease risk cannot
be considered independent of climate change.

53

# 54 **One Health Impact Statement**

55 This research aims to investigate the relationship between factors related to climate (surface 56 temperatures, rainfall, humidity) and outbreaks of livestock-related bacterial diseases. This is 57 especially relevant to the One Health approach as it attempts to integrate findings between 58 not only the science of disease but also the science of climate change as a driver of disease, 59 and address problems that could arise within the public and private sectors (local farming, 60 livestock health, government policy etc.). Providing spatial context to climate-associated 61 disease risk across the Indian state of Karnataka will benefit local farmers that may already 62 be, or transitioning to, more intensive livestock farming along with policy makers and private 63 sector companies who are planning for future investments. This transdisciplinary approach 64 springboards from ethnographic observations of famers' lived experiences of challenges to their livelihoods and facilitates the use of climate datasets that may not have been primarily 65 66 collected for or used by disease-related studies to map long-term epidemiological risk. This demonstrates the pragmatic impact that such transdisciplinary projects can have by providing 67 68 interpretations of observed risks to animal health (highlighted by social scientists during engagement with practitioner communities) that Earth Scientists were then able to quantify, 69 70 proving links that would be otherwise not have been evidenced. Using disease data sourced 71 from local institutions, including Government of India facilitates as well academic research 72 laboratories, can plan the application of pragmatic solutions to local farmers who are primarily 73 impacted by the findings of the research.

# 75 **1** Introduction

76 India is currently ranked as the nation that was fourth most-affected by climate change 77 between 1996 and 2015 (Kreft, Eckstein and Melchior, 2017). There is particular variance in 78 the climate changes between the northern and southern parts of the country, with both 79 becoming increasingly warmer over historic meteorological trends (Dash and Hunt, 2007). At 80 the base of the Himalayas, surface temperatures extremes are increasing: hotter in the 81 summer months and colder in the winter (Dash and Hunt, 2007; Dash et al., 2007; Sanjay et 82 al., 2020). Monsoon precipitation increasingly fluctuates, predicted to increase across India 83 into the near future; monsoon rains also tend to start earlier as a result of anthropogenic aerosols (Bollasina, Ming and Ramaswamy, 2013; Kulkarni et al., 2020). This temporal shift 84 in precipitation along with increased extreme values is linked to increasing susceptibility to 85 86 droughts and associated hazards with such environments in some areas (wildfires, 87 groundwater fluctuation), and in others with significant rise in wet-bulb temperatures (i.e., humidity; Sinha and De, 2003; Prabhakar and Shaw, 2008; Sahu, Sett and Kjellstrom, 2013; 88 89 Mujumdar et al., 2020). These interlinked climatic factors will essentially make livelihoods of local populations more insecure and precarious in the coming decades, one such 90 91 consequence being the potential for increased risk in livestock bacterial, viral, and parasitic 92 disease. In turn, this threatens to increase the use of antibiotics to combat disease risk, 93 exacerbating the already dangerously high use of antibiotics in the Indian livestock sector that 94 drives antibiotic resistance (Mutua et al., 2020).

95 Increasing climate variability will continue to have profound impacts on global health and food 96 supplies (Gregory, Ingram and Brklacich, 2005; Shukla *et al.*, 2019). It is becoming 97 increasingly well documented that changes in land temperature, rainfall and diurnal 98 temperature ranges can have important relationships with disease (Rohr *et al.*, 2011; Messina, 99 2019; Messina *et al.*, 2019; Vinke *et al.*, 2020). Further understanding of these relationships 100 between climate changes and disease patterns is critical to ensuring future societal health and 101 food security, as well as animal health and farming livelihoods, is maintained. 102 Climate change affects the health of humans, animals and plants directly, through heat and 103 cold that affect body temperature and plant growth, via extreme weather that causes floods or 104 droughts, and through indirect impacts on food production, air quality and other environmental 105 factors (Cramer et al., 2018). India is at particular risk of climate change-related health impacts 106 (Majra and Gur, 2009; Singh and Dhiman, 2012), which are predicted to increase in the coming 107 decades, as average temperatures could increase by as much as 2°C by 2050 (World Bank 108 Group, 2022). Of particular concern to India is the impact of climate change on key food 109 production industries such as the poultry sector (Pawar et al., 2016), which threatens the loss 110 of economic development opportunities as well as food security. In addition to the direct impact 111 of climate change on the poultry industry, climate stress has also been shown to exacerbate 112 other challenges, such as the misuse of antibiotics in poultry production to treat symptoms of 113 heat stress that mirror those of bacterial infection, reducing the efficacy of antibiotics. Where 114 this happens at the same time that infections they are needed to treat are likely to increase 115 due to heat stress and other climate-impacted factors such as harder water from deeper 116 borewells reducing the efficacy of cleaning products, and increased ranges of disease-117 carrying parasites (Cole and Desphande, 2019), action plans to control disease are unlikely 118 to be effective they do not fully consider the impact of and challenges raised by climate 119 change.

120 We began by attempting to understand drivers of antibiotic use and misuse in the Indian 121 livestock sector. During ethnographic work undertaken in peri-urban areas within a 25 km 122 radius of Bengaluru, Karnataka in southern India during 2018–19, farmers consistently spoke 123 of challenges to their livelihoods from climate change (Cole and Desphande, 2019; Greru et 124 al., 2022), while veterinarians pointed to misuse of antibiotics to treat symptoms they 125 suspected were caused or exacerbated by heat stress rather than bacterial infection (Cole 126 and Desphande, 2019). This suggested a direct relationship between climate stress and 127 disease which required further examination, especially as climate stress is increasingly 128 pushing farmers from crop raising to less water-intensive poultry/livestock production, which

129 could also exacerbate climate change issues in the long term due to land use changes and 130 increased energy requirements (McMichael et al., 2007). As the Global North attempts to shift 131 to a more plant-based diet to combat carbon emissions and environment damage caused by 132 livestock farming (Willett et al., 2019), combined with the large vegan population (Wright, 133 2021), the Indian farming sector could find itself left behind if the impact of climate change on 134 its practices is not fully understood and planned for. In exploring the reasons for inappropriate 135 use of antibiotics in livestock health and veterinary practices, it is important both to understand 136 the lived experience of farmers who are seeing the challenges first hand (Badstue et al., 2018), 137 and to be willing to take a systems approach that seeks to understand more complex interplays 138 of drivers and usage pathways. However, at present, dialogue between ecosystem science, 139 climate change and public health on topics such as antimicrobial resistance could be improved 140 (lossa and White, 2021). Our aim is to present a case study that helps to links these two 141 disciplines (climate science and One Health) and show to those working in each field the 142 potential benefits of working more closely together.

143

## 144 **1.1 Aims and Objectives**

145 Recognising how climate changes may relate to livestock diseases is essential to predicting 146 future outbreaks and planning future farming policies. We sought to listen to the concerns of 147 the farmers who were participants in the ethnographic research and leverage their experience 148 to help us anticipate the impacts climate change may have on Indian livestock farming in 149 future, by exploiting existing climate data to identify the most acutely affected regions. 150 Secondly, we aim to develop a better understanding of how climate impacts poultry and 151 livestock health; and finally, we develop a methodology through which other researchers and practitioners - including farmers, veterinarians, industry representatives and policymakers -152 153 can better understand climate risks to livestock.

The purpose of this paper is therefore to assess the usefulness of integrating lived experience of farmers, veterinarians, and poultry industry representatives with meteorological and epidemiological datasets to identify and evaluate relationships between climate and livestock diseases (here, specifically bacterial disease).

158 The key objectives are to:

- 159 1. Identify climate changes that relate to livestock bacterial diseases in Karnataka.
- 160
  2. Create risk assessment maps that provide spatial context to these relationships,
  161 identifying higher and lower risk areas based on the contributions of each variable.
- 162 3. Project these findings onto future climate data, constructing prediction maps for areas
  163 of higher risk and lower risk of bacterial disease based on climate observables.
- 4. Develop a methodology for calculating risk that can be automated and embedded into
  a user-friendly platform that can be used by practitioners and policymakers, not
  necessarily climate science experts.
- The remainder of this paper is structured as follows. In Section 2 the methodology for this study is outlined, describing the research process and each dataset component used. In Section 3 the results are presented, identifying the relationships from these data, and risk maps are constructed. Finally, Section 4 discusses the overall impacts of these findings and how the risk maps can be applied by both farmers and government, before presenting brief conclusions and a future outlook.
- 173

# 174 2 Methods

175 2.1 Study Area: Karnataka

Karnataka is one of five Indian states that produce more than 60% of broiler chickens and
eggs (the others being Andrah Pradesh, Maharashtra, Punjab and West Bengal: Dubey *et al.*,
2021). The city of Bengaluru (Fig. 1) hosts a number of animal science and veterinary

179 institutes, such as the National Institute of Veterinary Epidemiology and Disease Informatics 180 (NIVEDI), the National Dairy Research Institute (NDRI), the Central Poultry Development 181 Organization (CPDO) and the Karnataka Veterinary Animal and Fisheries Science University 182 (KVAFSU). It is thus an important centre of animal production and research in India. The 183 research team contained and interacted with staff from many of these institutes as part of the 184 projects DARPI and NEOSTAR, funded under the same programme (Shaju, 2017) and visited 185 several dozen farms during their research (e.g., Cole and Desphande, 2019; Greru et al., 186 2022) conducting ethnographic observations and key stakeholder interviews with farmers, 187 veterinarians, members of the poultry industry and policymakers.

188 Karnataka has been particularly prone to climate stress in recent years, especially from 189 drought and rising temperatures (Srinivasareddy et al., 2019; Lokesh et al., 2020), to which 190 the key stakeholders often made reference. Local average temperatures are predicted to rise 191 by 1.8–3.3°C in Karnataka by 2030 with respect to the baseline period 1961–90 (Murari et al., 192 2018). The links between climate change, increasingly precarious farming livelihoods and thus 193 changes to farming practices (some of which increased the use of antibiotics in the farming 194 sector) were raised by farmers interviewed during ethnographic stages of the DARPI and 195 NEOSTAR projects. This prompted detailed investigation of potential links between climate 196 variables and disease in Indian livestock production and health, which had not originally been 197 intended to be part of either project. We leveraged the lived experience of the stakeholders to 198 identify the ability of the region of India in which the DARPI project was undertaken (sufficiently 199 robust epidemiological data relating to the region in which NEOSTAR was undertaken was 200 not available) to sustain livestock production in future and to predict which other regions may 201 become less or more able to sustain livestock production or may require additional mitigations 202 to enable current activity to continue. Exploring this understanding will help farmers, livestock 203 sector representatives and policymakers to plan future operations and expansion with these 204 climate factors in mind.



207 Figure 1: Location (A,B) and topographic (C) map for the state of Karnataka, India.

208

# 209 2.2 Disease database

210 Bacterial disease outbreak data for Karnataka were sourced from the NADRES (National 211 Animal Disease Referral Expert System) database (NADRES, 2017), maintained by NIVEDI; 212 data are input to the database by farmers who self-report livestock diseases. These data are 213 not followed up for subsequent investigation and we acknowledge the limitations of this. Data 214 were extracted from the database and collated manually; since the earliest available data for 215 Karnataka is 1987, we focus on the subsequent 33-year period of 1987–2020. Data for a total 216 of 15 different livestock-associated diseases are available in the database: Anthrax, 217 Bluetongue, Contagious Babesiosis, Black Quarter, caprine pleuro pneumonia, 218 Enterotoxaemia, Fascioliasis, Foot and Mouth disease, Haemorrhagic Septicaemia, Peste des 219 petits ruminants, Rabies, Sheep and Goat pox, Swine fever, Theileriosis, and

Trypanosomiasis. Only bacterial diseases were selected to relate to the research interest with the misuse of antibiotics, therefore restricting our selection to four diseases – Anthrax, Black Quarter, Enterotoxaemia, and Haemorrhagic Septicaemia. The disease data are not unique to a particular species, but cover five major livestock species: buffalo, cattle, sheep, pigs, and goats. Hereafter we refer to these collective species as 'livestock'. The interpretations from these data can therefore not be disaggregated to a specific species as the data does not allow this; however, we believe that it remains useful.

227

# 228 2.3 Climate Data

229 Climate data used in this study were sourced from the Climatic Research Unit (CRU) TS 4.5 230 dataset (Harris et al., 2020). Data were downloaded and cleaned before statistical and 231 correlation analysis was undertaken. The CRU dataset was used as it contains climate data 232 from 1901-2021 including all climate variables that were of interest to this study (i.e., 233 temperature, maximum temperature, vapour pressure, precipitation, diurnal temperature range). In addition, the CRU dataset is easily accessible through a WPS server and the Google 234 235 Earth interface and has a high spatial resolution  $(0.5 \times 0.5^{\circ})$ . These climate variables were 236 selected as the variance is easily measurable, they are the main parameters that fluctuate 237 during seasonal shifts, and also have established relationships with other diseases (Cheng et 238 al., 2014; Escobar et al., 2017; Ezenwa et al., 2020). Vapour pressure was especially 239 important to involve as it is a proxy for humidity (Shamshiri et al., 2018), and there are very 240 few studies that define relationships with this variable and livestock bacterial disease.

241

## 242 2.4 Climate and Disease Data Modelling

243 Climate and epidemiological modelling were conducted in a three-stage process.

Disease and climate data were collected and then separated into annual, monthly, and
 seasonal groups for easier comparison. Seasons were defined using the generally

accepted months for each main period in South-West India: Winter (Jan-Feb), Summer
(March-May), Monsoon (June - Sept), and Post-Monsoon (Oct-Dec). Further data,
such as climate anomalies, were generated for later use. Climate data for each state
(treated as a whole) were collated by combining all 0.5 x 0.5° grid box data that cover
the states into one monthly average.

- The relationships between the climate and disease data were investigated using
   multiple correlative statistical analysis techniques, using both Pearson's and
   Spearman's Rank, followed by a principal component analysis.
- Climate data and climate anomalies, disease risk and locality were mapped. Ranges
   for disease risk were first categorised, based on the interpretations from the statistical
   analysis.

257

# 258 **2.5 Predicting Bacterial Disease Outbreaks**

259 Risk categories were assigned based on percentage quantiles using the relative percentage 260 difference (RPD) values for each climate variable in each season from the total period (1987-261 2020) mean. For example, the 'very high risk' category for precipitation is any grid box that has RPD values in the top 0.8 percentile deviating from the 1987-2020 average. This 262 263 classification system was conducted per climate variable, assigning a numerical value of 1 to 264 5 depending on the outcome (1 being the lowest risk, 5 being the highest). It was also repeated per season for each variable, facilitating the impact of seasonal variation in the risk 265 266 assessment. The sum of the overall risk for each climate variable was then used to classify a 267 total risk number, again using percentiles. For example, those areas that were in the top 0.8 268 percentile using the sum of each climate variables risk are then classified as 'very high risk' 269 areas overall.

# 271 **3 Results**

# 3.1 Bacterial Disease Outbreaks and Climate Variable Trends, 1987– 273 2020

By comparing several decades of climate data to a similar period of bacterial disease outbreak
data, we were able to define correlative relationships. First, we matched qualitatively important
maxima and minima.

277

## 278 3.1.1 Long-term Climate Trends

279 On average across the state of Karnataka, four of the five climate variables (precipitation, vapour pressure, maximum temperature, and surface temperature) have been increasing from 280 281 1987 to 2020 (Fig. 2). Precipitation and vapour pressure fluctuate significantly between 282 specific years, but trend positively overall especially when considering the five-year running 283 average trendline. Surface temperature and maximum temperature also increase, but 284 fluctuate less than precipitation and vapour pressure, remaining relatively stable despite a few 285 anomalous years (e.g., 1998, 2010). Diurnal temperature range fluctuated between 1987-286 2002, after which the records become stable at ~10.85°C. This stability is a clear artefact of 287 the dataset rather than reflecting true values, as the data remains at this value for 18 years 288 which is unlikely. Due to this distortion through the majority of this selected time period, diurnal 289 temperature range is omitted from Fig. 2.

Such nuances in climate data are relevant, as many livestock animals homeostatically regulate core body temperature within a narrow range (Biswal *et al.*, 2022). Dairy cattle, for example, need to maintain a constant body temperature of around 38.8±0.5°C (Ohnstad, 2008). A high ambient temperature above this thermal comfort zone, caused by climate stress, will trigger series of neuroendocrine modulations that are detrimental to the animals' welfare and productivity. In broiler chickens raised for meat production, heat stress (HS) will reduce feed consumption, growth rate, feed digestion and efficiency, immunity, survival rate and overall 297 welfare (Abioja and Abiona, 2021). Dairy cows will experience lethargy, declines in feed intake 298 and thus milk production, reduced fertility and an increase in susceptibility to mastitis (Dahl, 299 2018). Climate change could also impact disease patterns via changing migratory routes for 300 wild birds or other disease vectors: highly pathogenic Avian Influenza is an example that could 301 spread wider, while diseases such as blue tongue have also increased in geographic 302 distribution in recent years due to climate variation (Mayo et al., 2014). New strains of livestock 303 diseases could also emerge via mutations influenced by climate change (Gale et al., 2009). It 304 has been suggested that seasonal fluctuations not only influence the distribution of current 305 bacteria, viruses, parasites and their vectors but also the emergence of new diseases (Ahaotu 306 et al., 2019).

307



309 Figure 2: Monthly averages of climate observables, 1987–2020, across the state of Karnataka, India. (A) Maximum
 310 surface temperature; (B) Surface temperature; (C) Precipitation; (D) Vapour pressure. Dotted lines = five-year
 311 moving average. Data from Harris et al., (2020).

#### 313 3.1.2 Long-term Bacterial Disease Trends

314 Publicly available, accurate to high granularity (individual village / town), and temporally 315 complete bacterial disease data for India are guite limited, with one of the main sources being 316 the NADRES v2 database. Data are available for the number of outbreaks per disease from 317 1987–2020 through an online GIS portal, although data availability can fluctuate, most likely 318 due to the reliance on data providence from farmers alone. For this study, disease data were 319 available for Karnataka over 1987–2020 (Fig. 3).

320



(A) Annual and Monthly Variation in Livestock Bacterial Disease Outbreaks

322 Figure 3: 1987–2020 trends for bacterial disease outbreaks in livestock in Karnataka. (A) Haemorrhagic 323 Septicaemia (HS), Anthrax (AX), Black Quarter (BQ), and Enterotoxaemia (ET); dashed line = five-year moving 324 average. (B) Monthly averages of disease outbreaks, 1987-2020, with monsoon months shaded in blue. Data 325 sourced from NADRES database.

In Karnataka, HS outbreaks decreased over the study period (Fig. 3A). Black Quarter also
decreases with less variability, while the annual number of outbreaks of Anthrax varies greatly,
making it harder to infer longer-term trends. Enterotoxaemia outbreaks increase, with
significant peaks in 1999, 2005 and 2008.

331 Haemorrhagic septicaemia is most prevalent during the SW monsoon months (June to 332 September), and predominantly low during the summer months (March to May: Fig 3B). 333 Anthrax outbreaks remain relatively low throughout the year compared to the other diseases; 334 however, there is still a subtle increase during the SW monsoon months, and a relatively low 335 through the summer. Black Quarter also peaks during these months; BQ outbreaks are lowest 336 during May. During the summer months ET has a shallow, wider peak in Karnataka before 337 then decreasing to a lower, stable level throughout the remainder of the year at around 17 338 outbreaks per month. All of the bacterial diseases have peaks in January followed by a sharp 339 decrease, indicating distinct change from the winter months into the summer (Fig. 3B).

340

# 341 **3.2 Seasonality of Disease Outbreaks vs Climate Variability**

Although identifying average trends throughput the year is useful, comparing averaged seasonal climate data with disease data for the same period allows for a clearer interpretation of differences in outbreaks per season: first, by comparing peaks between long-term trends, then by identifying potentially more subtle relationships.

346 3.2.1 Peak-to-Peak Correlations

Bacterial disease outbreaks noticeably vary in Karnataka (Fig. 4). The long-term trends for BQ and HS indicate they decrease over time, though both fluctuate annually; the rate of this decrease varies per season with more notable definition within the monsoon. The outbreaks of AX remain relatively lower and more stable, increasing slightly in 2005. Outbreaks of ET are higher than AX and fluctuate slightly more but are still relatively stable compared to HSand BQ.

353 Monsoon temperatures in Karnataka are increasing, despite year-on-year fluctuation (Fig. 4). 354 Temperature and maximum temperature correlate negatively with some bacterial diseases 355 but positively with others. Exemplar years include 1997 and 2005 where a temperature spike 356 coincides with a spike in disease, and in 1992, where a decrease in temperature matches a 357 spike in HS and BQ. Precipitation remains relatively stable on average for the monsoon period, 358 and correlates with peaks in bacterial disease, with spikes matching in the years 1990, 1995, 359 and 2010. These spikes are clearer between precipitation and HS, BQ and ET, while it is 360 harder to discern AX peaks. The one clear peak in 2005 of all four bacterial diseases matches 361 a decrease in precipitation, indicating there may also be mixed and complex relationship alike 362 with temperature. Vapour pressure remains relatively stable throughout the period, with 363 notable peaks in 1997 and 2003 aligning with peaks in HS, BQ and ET indicating a possible 364 positive relationship (Fig. 4). Finally, diurnal temperature range was omitted from the peak-to-365 peak analysis as the data appears to remain the same value repeatedly for 11 years - most 366 likely artificial and not reflecting natural fluctuations and is therefore impossible to interpret any 367 correlation visually.

Through the summer HS, AX and BQ all decrease over the 33-year period while ET increases modestly (Fig. 4). All diseases fluctuate year by year, with distinct peaks of HS and BQ in 1988–89, and a major peak of ET in 2009. The temporal pattern of extrema is similar in both the precipitation and ET datasets, for example matching peaks seen in 2000, 2004, and 2006 with the exception of 2016, where the patterns are the opposite (Fig. 4). Overall maximum temperature, surface temperature and vapour pressure have mixed correlations with the bacterial diseases.

Average winter values for all the bacterial diseases in Karnataka decrease over 1987–2020.
Throughout the winter HS outbreak peaks correlate positively with small peaks in vapour
pressure (Fig. 4: 1988, 2016), but also correlate negatively in some years (Fig. 4: 1995, 2008).

Black Quarter peaks correlate positively with vapour pressure, average temperature, and maximum temperatures (Fig. 4: 1999, 2003) but also negatively (2011). Outbreaks of BQ correlate both positively and negatively with precipitation (Fig. 4: 2011, 2003). Precipitation peaks match ET outbreaks in 2008, but this is not a consistent pattern. Overall AX values are too low in the winter to relate peaks to climate data directly.

383



Figure 4: Long-term bacterial disease and climate trends for the monsoon, summer, and winter periods over 1987–
 First row: Karnataka bacterial disease outbreaks; Second to fifth rows: monthly averages of maximum
 temperature, surface temperature, precipitation, and vapour pressure, respectively.

388

384

## 389 3.2.2 Correlative Statistics

390 Correlations were sought between monthly values for each climate variable and disease.
391 Using the entire dataset (as opposed to peak-to-peak) allows identification of more subtle
392 relationships than the peak-to-peak analysis, whilst mitigating the impact of fluctuation
393 between different months or seasons.

Using Spearman's rank analysis, Karnataka HS data negatively correlate with maximum temperature, a medium negative correlation with temperature and DTR, and a medium positive relationship with precipitation. There is also a weakly positive relationship with HS and vapour pressure (Fig. 5).

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Anthrax has a modest negative relationship with temperature and maximum temperature and a weak negative relationship with DTR. Black Quarter has a negative relationship with maximum temperature and temperature, and no clear relationship with DTR, precipitation or vapour pressure. Finally, ET does not appear to correlate with any of the climate variables.

408

## 409 3.2.3 Principal Component Analysis

410 Since the statistical correlative tests indicate possible relationships between the disease and

411 climate data, further analysis is appropriate to reduce the dimensionality of the data and define

 <sup>401</sup> Figure 5: Spearman's rank correlation plots for climate data vs. bacterial disease. All monthly values over 1987–
 402 2020 are used.

any relationships. This is especially needed as correlative statistics like those in Fig. 4 may not reflect real relationships, only hinting at possible ones. A principal component analysis (PCA) attempts to group the different variables by reducing the overall dimensionality of the dataset and maximising the variance, allowing clearer identification of data relationships and variable contributions to these (Abdi and Williams, 2010). The success of this technique is limited by the quality of the disease data (i.e., accuracy and timespan); however, inferences can still be made regarding relationships between the climate and disease variables.

419





423

Three principal components were determined to account for the majority of variance in the datasets. Principal components 1, 2 and 3 account for 81% of the overall data.

426 Principal Component 1 (Fig. 6A) represents 39.9% of the overall data, predominantly
427 contributed to by maximum temperature (20%), DTR (19%), precipitation (16%) and HS
428 (12.5%). On the other hand, PC2 is primarily contributed to by vapour pressure (25%), BQ

429 (15.5%), precipitation (13%) and DTR (10%). Precipitation and vapour pressure strongly do not depend on DTR, indicating a strong negative relationship. Maximum temperature and 430 431 temperature are directly opposite to HS, BQ and AX whilst somewhat less, but still opposite, 432 ET, indicating a relatively strong negative relationship between these diseases and climate 433 variables (Fig. 6A). Finally, PC3 is contributed to most by ET (32%), then temperature (24%), 434 maximum temperature (16%) and HS (15%). There is a more notable variation of ET away 435 from the other diseases whilst precipitation, vapour pressure, HS, AX and BQ group together 436 as they have similar PC1 values (Fig. 6B).

437 Based on these groupings, PC1 could be a representation of relative climate moisture, with higher values associated with drier periods (summer and winter data grouped more positively) 438 439 whilst lower values reflect wetter periods (monsoon data grouped in negative PC1 field (Fig. 440 6A). Following this, PC2 may represent relative temperature as lower values are mostly the 441 monsoon and summer periods (typically hotter) and then progressively higher values are the post-monsoon then winter periods (typically cooler) (Fig. 6 A). When considering just PCs 1-442 443 2, there is no clear relationship between precipitation, vapour pressure and the bacterial 444 diseases; however, they do have similar PC1 eigenvalues, and they become more closely 445 grouped when considering PC1-3 with the exception of ET, which is much more isolated and 446 dominates the PC3 axis. It can therefore be inferred that PC3 may mostly represent the values 447 for ET, rather than a clear seasonal shift as represented by PC1 and PC2. Grouping of HS, 448 AX, BQ, vapour pressure and precipitation (Fig 6B) indicates a positive relationship between 449 these variables within at least 54.6% of the data. The mean values for HS, BQ and AX all fall 450 within the monsoon, winter, and post-monsoon ellipses, indicating that these seasons are the 451 most dominated by bacterial disease outbreaks compared to the Indian summer period.

## 453 **3.3 Predicting Bacterial Disease Outbreaks**

The identification of relationships between bacterial disease and specific climate variables is essential for assessing future disease-related risk to livestock potentially affected. Using the defined relationships from Section 3.2, it is possible to define levels of risk for these diseases and project this onto a map of Karnataka to facilitate more spatial interpretation. Even though the disease data collected are at state-level accuracy, we use the defined relationships to project risk at a more granular level, to the resolution provided by the CRU climate data with 0.5 x 0.5° grid boxes.

461

## 462 3.3.1 Present-Day Risk Scenarios

As climate variables can vary significantly throughout the year, it is most important not only to consider the total average for each grid box across Karnataka, but also to focus on the deviation from the mean within each key season that links to the diseases.

466 When considering the mean of the total climate data for each gridbox, the southern region of 467 Karnataka is prone to higher vapour pressure (23 – 26 hPa) and precipitation (15 – 200 mm) 468 which may indicate a potentially higher risk area to HS, AX and BQ. This is especially relevent when combined with cooler temperature data in the south (24-25°C) and lower maximum 469 470 temperatures (28–30°C). It is however important to consider how different areas of the state 471 may deviate away from these normal values throughout the average year. Areas that may have lower total mean values may still be higher risk if they frequently deviate more so than 472 others. For example, the northern region of Karnataka has a lower vapour pressure average 473 474 across the period, but in the monsoon and winter seasons it increases significantly (>5 hPa), 475 which could then provide more possibility for disease when combined with higher rainfall and 476 lower temperatures (Fig. 7). This pattern is especially pronounced along the western coastline 477 of Karnataka, where throughout the winter and monsoon, vapour pressure increases with 478 rainfall and a decrease in both temperature and maximum temperature: optimum conditions479 for HS, AX and BQ.

When considering risk using climate, it is necessary to consider annual change rather than relying on long-term trends. Throughout the different seasons, different regions of Karnataka are affected by climate variables in different ways, making the assessment of risk hard to define. To mitigate this, risk needs to be established per season and then combined into a total assessment so that it can be looked at with as much temporal variability in the data already accounted for.



Figure 7: Climate variability maps for the state of Karnataka, showing the average deviation from 1987–2020 mean
 per climate variable: (A-D) Monsoon; (E-H) Post-Monsoon; (I-L) Winter. Variables are represented by relative
 percentage difference (RPD, %) for comparison purposes.

491

When the impact of each climate variable is combined and assessed as one overall factor, it is possible to assign each grid box with a level of risk. The level of risk here has been given a traffic-light system from 'very low risk' (dark blue) to 'very high risk' (dark red) (Cavan and Kingston, 2012; Murnane, Simpson and Jongman, 2016; Sahoo and Sreeja, 2017) 496 This classification system was conducted on individual season data (Fig. 8A–C) to infer how 497 each season affects the risk to different regions and to what lengths. During the monsoon, the 498 highest-risk areas are the north-western coastline and the southwestern area, with relatively 499 lower risk zones more in the central-eastern areas (Fig. 8A). This risk lowers during the post-500 monsoon period, but there are still multiple zones at high risk (Fig. 8B). The eastern and 501 southern areas have increased risk during the post-monsoon season. Lastly, winter poses 502 very high risk in the north and north-western regions, with medium-low risk areas concentrated 503 more in the central-eastern and south-eastern regions (Fig. 8C)

Although useful for identifying seasonal variation in risk, these maps alone are not the best interpretation of risk. The total risk for each grid box needs to be calculated by aggregating the risk per season into one output. This was again classified using a traffic-light system based on percentiles of the data. It was then possible to visualise areas of low risk and high risk annually, providing a clearer picture for farmers and policy makers (Fig. 8D).



511 Figure 8: (A-D) Seasonal and overall risk maps based on climate variables. (E) Contribution of each season to the 512 overall risk projected in (A). (F) Contribution of each climate variable to the overall risk projected in (A).

513

514 Overall, the north-western coastline of Karnataka is the highest risk area for the bacterial 515 diseases HS, BQ and AX (Fig. 8D). The northern edge and western region of Karnataka are 516 at high risk with the central area remaining at medium-low risk overall. The lowest risk areas 517 are those that remain low during all three seasons mentioned, primarily in the eastern-central 518 region and a few areas to the south-east around Bengaluru. The highest risk zones are 519 primarily contributed to by all three seasons, whilst the northern region is more impacted by 520 the winter (Fig. 8E). The monsoon season contributes the most to the high-risk areas of the 521 western coast and south-western zones and then finally, the post-monsoon dominates 522 contribution to the eastern and south-eastern areas risk. The majority of the map are contributed to relatively equally by each climate variable (Fig. 8: F), although temperature and 523

vapour pressure play more of a significant role in certain grid boxes, typically in the easternand south-eastern zones.

526

## 527 3.3.2 Future Risk Scenarios

It is possible to extend these risk maps into the future using Coupled Model Intercomparison Project Phase 6 (CMIP6) modelled climate predictions (Eyring *et al.*, 2016). Over 2040–2069 it is predicted that SW Indian surface temperatures will increase between 1.7–3°C (RC4.5 and RCP8.5 models) and precipitation will increase by 10–30% (Mishra and Lilhare, 2016; Bisht *et al.*, 2019). Models also indicate an increase in vapour pressure, although obtaining accurate measurements is difficult.

534 Several scenarios have been modelled using the CRU 4.5 precipitation, temperature and 535 vapour pressure data used in this study, and adjusting the data to these future possible values 536 (Harris *et al.*, 2020).

537 In scenario 1, the seasonal RPD from the 1987-2020 mean was adjusted by adding 2°C to 538 each temperature and maximum temperature value, 10% of itself to the precipitation value 539 (Kulkarni et al., 2020; Sanjay et al., 2020), and 0.8 hPa to the vapour pressure value (Shrestha 540 et al., 2020). This was repeated in scenarios 2 and 3, but instead using 20% then 30% rainfall 541 adjustments, and 1 hPa then 1.2 hPa vapour pressure adjustments. The 2°C temperature 542 adjustment was kept the same as this seems the most confident prediction from CMIP6 543 models, while rainfall and vapour pressure are more uncertain. Future precipitation and vapour 544 pressure changes were chosen based on long-term historic trends, CMIP6 modelled values 545 and literature that fits within realistic parameters (Chattopadhyay and Hulme, 1997; Sun et al., 546 2018; Ha et al., 2020; Schwingshackl et al., 2021). Risk classifications were then assigned in 547 the same manner as the present-day risk maps.

548 Using these scenario changes in temperature, precipitation, and vapour pressure there is no 549 notable change in risk from the present-day through to the longer-term future (>40 years); therefore the 'Total Risk' panel (Fig 8D) is an accurate representation of present-day risk and future risk (within these models). The north-western area remains highest risk, and the northern and southwestern areas are at high risk. In scenarios 2 and 3, there is a change in one grid box near Bengaluru, to the southwest, from medium to low risk; however, that is the only change, despite a modelled increase in precipitation and vapour pressure. Future work to integrate more accurate climate modelling should enhance the accuracy of these maps, involving iterative updates with additional time series.

557

# 558 4 Discussion

## 559 **4.1 Bacterial Disease Trends and Future Predictions**

Indian farmers and veterinarians are already aware of the impacts of climate change on their 560 561 livestock and livelihoods and concerned about what the future holds (Cole and Desphande, 562 2019; Greru et al., 2022). New tools are required that will enable them to better predict and 563 mitigate those changes or give them confidence that their livelihoods will not face increased challenges in the short-to-mid-term future. Our use of correlative statistics and PCA has 564 565 proven effective in identifying relationships between climate variables and the bacterial 566 diseases HS, AX and BQ. Using peak-to-peak correlations alone cannot identify accurate 567 relationships (e.g., in Fig. 4, it appears the climate variables can both positively and negatively 568 correlate with disease, depending on the year). The main use of the peak-to-peak correlations 569 is to identify certain anomalous spikes in disease and climate trends, such as summer 2008 570 where ET outbreaks appear to spike significantly (>150). Although the overall trend of HS and 571 BQ appear decrease from the long-term running average (Fig. 3), this may be the result of 572 targeted vaccination programmes instigated by Government of India agencies such as the 573 Department of Animal Husbandry and Dairying (Rathod, Chander and Bangar, 2016; Basu, 2020). These programmes seem to be working (Kushram et al., 2020), but could be even more 574 useful if targeted to areas that have been identified at higher risk using the climate data. 575

576 From the PCA it has been identified that both vapour pressure and precipitation have a positive 577 relationship with HS, AX and BQ. We showed that these climate variables do not have a 578 relationship with outbreaks of ET. Climate and seasonality have a distinct relationship with 579 HS, AX and BQ, which is in agreement with other studies (e.g. Bhattacharya *et al.*, 2005; Bisht 580 *et al.*, 2006; Sivakumar, Thennarasu and Rajikumar, 2012).

581 The preferential seasons for outbreaks of HS, AX and BQ seem to be the monsoon, post-582 monsoon, and winter periods. This is logical when considering the likely climate variable 583 contributions within each season, and the ideal parameters for outbreak (higher precipitation 584 and vapour pressure, lower temperatures). During the monsoon season, these optimal 585 conditions are met, especially along the Karnataka coastline which is what leads to this area 586 being the highest risk during the June-September period. During the post-monsoon season, 587 there is much lower precipitation; however, vapour pressure remains relatively high along the 588 coast. Temperatures are, however, close to the period average, leading to a decline in risk 589 level across the western region. Winter variable deviation is rather similar to those in the 590 monsoon, with higher precipitation and vapour pressure to the western and northern regions, 591 and cooler maximum / average surface temperatures, leading to increased risk again in the 592 west coast and the highest risk to be in the north. The variation of risk between seasons is 593 critical to defining action taken by farmers and government to mitigate the potential for disease 594 outbreaks all year round.

595 It is also important to note the way in which risk fluctuates into the long-term future, as 596 establishing new farms or businesses in regions that may increase in risk is impractical. 597 According to our scenario models, there is no significant change in climate-related risk across 598 Karnataka in the long-term future (i.e., 2040–69), a good indication of stability of both local 599 and state-level economies. Increasing rates of climate change may still affect this, however, 600 and consistent updating with the latest climate predictions should be conducted to ensure risk 601 assessment remains as accurate as possible. One further consideration is the level of 602 expertise in extracting and analysing data that is currently required to use our models, which local farmers are unlikely to meet; co-automating the process with local partners is thereforean important next stage of the process.

605

## 4.2 Limitations of Bacterial Disease Data - NADRES v2

607 Success criteria rely on the quality of both climate and disease data being used. The NADRES 608 v2 database was the source of disease outbreak data; although it proved useful for studying 609 Karnataka, the data do not seem as robust for all regions of India. For example, disease data 610 for the state of Assam are limited to the year 2000 onwards, and even within this period data 611 seems full of artefacts resulting from the original collection process (e.g., anomalous spikes 612 then years of no data). Data are also restricted to outbreaks alone; no data are available for 613 exact numbers of cases or deaths; assumptions had to be made against general trends and 614 assumptions that farmers reported uniformly across all years, so that a year in which a high 615 number of outbreaks were recorded was actually indicative of more outbreaks rather than just 616 more reported ones.

617 The interface itself is also not the most user-friendly with respect to data extraction, as 618 automatically generated graphs are missing the axis values or are limited to set parameters. 619 Manual collection is therefore the only way to extract data, using the GIS interface, which itself 620 has numerous usability issues. The number of diseases with data available is limited and the 621 long-term range of the data is restricted to earliest in 1987, making longer-term studies 622 impossible without other data sources. More open-source data with improved user access is 623 critical to future investigations using livestock disease data in India. Although the diurnal 624 temperature range data was not useable for Karnataka, the overall CRU TS 4.5 series of data 625 proved excellent in both quality and accessibility. Epidemiological data matching the 626 granularity and timespan of the CRU dataset would be ideal for future data-integrated investigations, and would address noted concerns with the current lack of standardised data 627 628 over long-term (i.e. decades, centuries and even millennia) available for rigorous testing of socioecological system resilience, making such long-term predictions difficult (Allen *et al.*,
2014).

631

# 632 **4.3 Considerations for Application of Risk Maps**

633 The risk maps generated from this study use climate variables alone as a classifying 634 parameter. Risk calculations were based on relative percent differences of seasonal averages 635 from total period (1987-2020) averages. Classification of risk then uses sequential 0.2 percentile ranges of the RPD values to assign a risk category to the specific grid box. This 636 637 system is therefore sensitive to RPD values, which may not indicate a significant change from 638 the period mean or are all either negative or positive. Using this system requires user 639 interpretation of the initial RPD values and raw data to ensure risk assignment is objective. 640 For example, our surface temperature RPD values are lower than precipitation RPD values, 641 as rainfall fluctuates more than temperature. These values require user verification of real 642 temperature anomalies, and that the percentile ranges reflect the increase/decrease in 643 deviation. Without manually checking, it is possible that the 'very high risk' category using the 644 0.8 percentile could match with a negative number instead of values >0. This risk classification 645 system also gives no preferential weighting to any particular climate variable. Future work 646 should be geared towards defining relationships between climate and these diseases. Once 647 clearer thresholds are established, preferential weightings could be applied to the risk 648 assignment e.g., if vapour pressure were to have a significantly greater impact on HS outbreak 649 than temperature, it should contribute a higher weighting to risk classification.

650

# 4.4 Future Planning and Policies for Poultry / Livestock Farms

Mitigating the impact of climate on livestock is a long-term problem and therefore requires
advanced planning with effective long-term solutions. Our recommendations are three-fold.
First, future farming and livestock policies need to be implemented that fully respect the

longevity of the impact of climate on disease outbreak and mitigate this effectively. Secondly,
farmers themselves need to be better informed and able to make local decisions to address
the particular variable(s) that may impact them the most. Thirdly, future research should
continue to further define these meteorological-epidemiological relationships and classify
distinct thresholds further.

660 Our recommendations address and acknowledge the quality of disease data collection. 661 Available disease data via NADRES have insufficiently high spatial resolution; however, we 662 have identified parameters that may relate to increased outbreak risk in certain bacterial 663 diseases on a state level (i.e., precipitation, vapour pressure). By disaggregating these 664 parameters to the resolution of the climate data  $(0.5 \times 0.5^{\circ})$ , risk mapping can be conducted at a higher resolution than the original disease data provided. However, if disease data were 665 666 to be made more easily available at a much more granular level and frequency, improved 667 interpretations, and accuracy of the levels of risk would result.

Typical risk assessments follow hazard-orientated procedures; similarly, we identify and model these complex relationships and define critical relationships. A definition of critical thresholds per disease and per potentially impacted livestock, however, would be far more beneficial. This risk assessment provides an insight into larger regions and into long-term planning more than specifically providing disease critical thresholds per climate variable. Further investigations should be carried out to define quantitative thresholds for precipitation and vapour pressure to which disease outbreak is related.

One possible solution to mitigating the impact of climate change on livestock is the wider introduction of environmentally controlled sheds (Ambazamkandi *et al.*, 2015), along with the energy infrastructure needed to support them; such infrastructure is currently insufficient in many rural and even peri-urban areas (Greru *et al.*, 2022). A second consideration is a shift from livestock and poultry rearing to aquaculture, as is already being seen in northeast India, in regions likely to become more prone to heavy rainfall and flooding (Sarkhel, 2015; Rao, 2017); or shifting farming operations to different areas. Further solutions include the 682 introduction of other technologies e.g. vertical farming to help sustain crop farming (Benke and Tomkins, 2017; Maheshwari, 2021), especially in particularly challenging regions where 683 684 climate stress challenges both crop and livestock operations. Establishing future livestock 685 farms in areas of consistent low risk is feasible, as well as the modification of existing farms, 686 which should be made relative to the highest contributing risk-causing variable. For instance, 687 support should be directed to areas where we define the monsoon season as the highest risk 688 (due to the influences of precipitation and vapour pressure) to address the impact of saturated 689 soil, wet feed, and humid living conditions on livestock (Pathak, Aggarwal and Singh, 2012).

690

# 691 **5 Conclusions**

692 We have identified a clear awareness of climate change impacts amongst those whose 693 livelihoods depend on farming and have developed a system through which risk can be better 694 understood and predicted. Our efforts evidence a relationship between average and maximum 695 surface temperature, precipitation and vapour pressure, and several livestock bacterial 696 diseases. There is a modest positive relationship between precipitation and vapour pressure 697 with HS, AX and BQ, followed by a negative relationship between temperature and maximum 698 temperature with the same diseases over a period of 30 years. There is no identified 699 relationship between ET and diurnal temperature range and these climate variables.

700 Based on these relationships, we find that the north-western coast of Karnataka is the highest-701 risk area for HS, AX and BQ, irrespective of other factors that may also govern outbreaks. The 702 western coastline and northern regions are at high risk of outbreak, while the central-eastern 703 and south-eastern regions are the lowest risk. These risk levels are not predicted to change 704 in the next 50 years, even with increased temperatures, and changing spatiotemporal patterns 705 of precipitation and vapour pressures following CMIP6 modelled values. This may not, 706 however, be true of other regions of India, or globally, where changing climate conditions over 707 the coming decades are likely to shift the climate parameters of currently low-risk regions into 708 higher-risk states. This suggests that the ability to predict climate fluctuations and long-term 709 changes will become increasingly important in coming decades and may require greater 710 consideration of climate science within policy intended to protect and improve animal health, 711 such as increased joining up of the UNFCCC and the Global Action Plan on AMR (known 712 colloquially as the 'Tripartite Agreement') agreed by the World Health Organization (WHO), 713 Food and Agriculture Organization of the United Nations (FAO) and the World Organization 714 for Animal Health (OIE) This will be particularly in regions such as India that are at the sharp 715 edge of that change (Rajesh, 2021). In short, we argue that animal health cannot be 716 considered independently of climate change. Such considerations may also help to converge 717 fields that approach challenges to global health from slightly different angles, such as One 718 Health and Planetary Health, and offers to unite them behind common goals.

Epidemiological data and interpretations were restricted to Karnataka; data for other states of interest (i.e., Assam, where NEOSTAR was conducted) were too limited in both time and space to provide insight. This is due to the poor data availability through the NADRES vs database. Our work could add to the NADRES online system by providing long-term predictor maps for India livestock disease; the existing maps provide only two-months' notice of increased risk.

The techniques used here can be applied to analogous projects for multi-purpose use, particularly for those where climate and epidemiological data cover matching time frames at equal resolution (e.g., monthly averages). The use of this workflow to generate long-term risk maps can also be applied elsewhere in the world. Our future intentions are to automate the risk map production process and then test the model on epidemiological datasets that are equally robust and granular as the climate data.

# 732 Acknowledgements

- For open access purposes, we have applied a Creative Commons Attribution (CC BY)
- 734 licence to any Author Accepted Manuscript version arising from this submission."

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# 738 Data Availability Statement

All meteorological data involved in this study were taken from the CRU 4.5 TS gridded dataset,
hosted by CEDA. All epidemiological data used were collected from the NADRES v2 GIS
platform hosted by NIVEDI. Both databases are online and publicly available (ICAR-NIVEDI,
2017; Centre for Environmental Analysis (CEDA), 2022).

743

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# 947 **Conflict of Interest Declaration**

The authors confirm that this study adhered to all relevant guidelines and obtained required approvals following the standards and guidance produced by the Committee on Publication Ethics (COPE), the World Association of Medical Editors and the International Committee of Medical Journal Editors.