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4	Potential for Perceived Failure of Stratospheric Aerosol
5	Injection Deployment
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### 27 Abstract

28 As anthropogenic activities warm the Earth, the fundamental solution of reducing greenhouse gas 29 emissions remains elusive. Given this mitigation gap, global warming may lead to intolerable climate 30 changes as adaptive capacity is exceeded. Thus, there is emerging interest in solar radiation modification, 31 which is the process of deliberately increasing Earth's albedo to cool the planet. Stratospheric aerosol 32 injection (SAI) — the theoretical deployment of particles in the stratosphere to enhance reflection of 33 incoming solar radiation — is one strategy to slow, pause or reverse global warming. If SAI is ever 34 pursued it will likely be for a specific aim, such as affording time to implement mitigation strategies, 35 lessening extremes, or reducing the odds of reaching a biogeophysical tipping point. Using an ensemble 36 climate model experiment that simulates the deployment of SAI in the context of an intermediate 37 greenhouse gas trajectory, we quantify the probability that internal climate variability masks the 38 effectiveness of SAI deployment on regional temperatures. We find that, while global temperature is 39 stabilized, substantial land areas continue to experience warming. For example, in the SAI scenario we 40 explore, up to 55% of the global population experiences rising temperatures over the decade following 41 SAI deployment, and large areas exhibit high probability of extremely hot years. These conditions could 42 cause SAI to be perceived as a failure. Countries with the largest economies experience some of the 43 largest probabilities of this perceived failure. The potential for perceived failure could therefore have 44 major implications for policy decisions in the years immediately following SAI deployment. 45 46 47

#### 48 Significance Statement

49 Even if aggressive mitigation policies are implemented soon, climate change impacts will worsen in the 50 coming decades. One proposed response is stratospheric aerosol injection (SAI), which would reflect a 51 small amount of the sun's energy back to space, thereby cooling the planet. This approach is broadly 52 considered to be relatively inexpensive and straightforward to deploy, and global cooling could occur 53 rapidly. However, on regional scales, internal climate variability is likely to dominate over SAI forcing. 54 This means that in the decade after SAI is deployed, many regions of the world could locally experience 55 even higher temperatures. Our study provides conceptual insight for the possible perception of failure of SAI, or other climate mitigation strategies. 56

57 Introduction

58

59 Anthropogenic climate change, primarily driven by increasing concentrations of atmospheric greenhouse 60 gasses, has caused Earth's global mean temperature to reach its warmest level in at least the last 2,000 61 years (1). This global warming may exceed 1.5°C above pre-industrial temperatures later this decade, at 62 least for a short-period of time, and most years are likely to exceed the 1.5°C threshold by 2040 across a 63 range of emissions scenarios (IPCC 2021). By the middle of this century (2041-2060), warming in excess 64 of  $2.0^{\circ}$ C would be reached under intermediate, high and very high emission scenarios (1), and current 65 policies have the world on track to warm by roughly  $3.0^{\circ}$ C by the end of the century (2). Moreover, 66 emissions scenarios that target global temperature stabilization at either 1.5 or 2.0°C require net-zero 67 carbon emissions trajectories, which in practice will necessitate new and enormously-scaled-up carbon 68 dioxide removal technology (3). 69 70 In parallel with global policy shortfalls, current levels of warming are driving substantial impacts on 71 human and natural systems (IPCC 2022). For example, climate change is already leading to 72 intensification of extreme events such as extreme heat, heavy rainfall, intense droughts, extreme wildfire 73 weather and marine heatwaves (4). These and other climate changes are leading to a broad suite of 74 impacts, such as migration of ecological niches (5), increases in global tree mortality (6), increases in 75 financial losses from extremes (e.g., 7), and amplification of existing economic inequality (8) and social 76 injustices (9). Furthermore, there is the possibility that biogeophysical tipping points may lead to new 77 states in key Earth systems, such as irreversible Antarctic ice loss, tropical rainforest dieback, and slowing 78 ocean circulations (10). These so-called tipping points are highly uncertain — in terms of whether, when, 79 and how they may occur (1). Despite this uncertainty, there is paleoclimate evidence that tipping points 80 have been crossed in the past, and emerging evidence suggests that they could be crossed as a result of 81 anthropogenic change (11–13).

82

To possibly grant humanity additional time to sufficiently reduce greenhouse gas emissions, lessen the existing negative impacts of climate change, and avoid transgression of irreversible tipping points, there is renewed interest in developing an international research agenda on solar radiation modification (SRM) a speculative form of climate change response that has the potential to offset human-induced warming by reflecting a small amount of solar energy back to space before it enters and warms the planetary

- environment (14).
- 89

- 90 There are numerous challenges for advancing SRM science and research. First, there are substantial
- 91 ethical questions concerned with committing future generations to an uncertain technology and the
- 92 potential burden of continuing climate intervention well into the future (15) or deciding when and how to
- 93 ramp down SRM deployment (16–19). Second, there are important concerns related to how climate
- 94 intervention may drive changes in essential Earth system processes (20, 21). Third, there are concerns that
- 95 the negative consequences arising from SRM would disproportionately burden populations that are
- 96 systematically already burdened by climate change impacts, global dispossession of resources, and wealth
- 97 inequality (22, 23). Research investigating public opinion has found considerable heterogeneity in
- 98 attitudes toward either research or use of climate intervention (24).
- 99

100 In addition to these social challenges, there exist basic scientific questions about how to distinguish the 101 climate effects of SRM from anomalies driven by internal variability of the Earth system (25, 26). This 102 variability can lead to substantial short-term variation in socially-relevant climate phenomena, such as the 103 frequency of extreme hot and cold spells (27), the severity of drought (28), the path of the midlatitude 104 storm tracks (29), changes in regional temperature and precipitation (30), the state of Arctic sea ice (31), 105 or the strength of tropical modes of variability such as the El Nino Southern Oscillation (32) or the 106 Madden-Julian Oscillation (33). Research on the interaction between human-induced climate impacts, or 107 "signals", and internal climate variability, or "noise", is a critical area of climate change science, not least 108 for supporting policymakers and the public in navigating the expectations of climate change action 109 against a backdrop of an internally-varying climate system (34).

110

111 Stratospheric aerosol injection (SAI) is the SRM strategy of releasing particles into the stratosphere to 112 slow, pause, or reverse global warming (35). While climate simulations provide evidence that the long-113 term result of SAI could lead to stabilized global temperatures (17), the impacts of SAI may be regionally 114 heterogeneous with temperature and precipitation varying considerably (36–39). Moreover, internal 115 climatic variability may mask the short-term perceived effectiveness of SAI. That is, it is possible that 116 while SAI could successfully stabilize mean global temperatures, the perceived effectiveness on regional 117 scales may be overwhelmed by local climatic variability over the short term. Psychologically, a climate 118 change-related event connects to people's perceptions most clearly when it is directly and locally relevant 119 (40, 41). Moreover, people who are residents of a specific location may tacitly incorporate 10-year trends 120 in their perception of changes in climate (42). Hence, local changes in climate – such as continued 121 warming or the occurrence of extreme events – may cause climate interventions such as SAI to be 122 perceived as a failure. Given the potential for SAI to abruptly cease, and the likelihood of rapid climate 123 change following such cessation (e.g., 19, 43, 44), the perception of failure carries particular risks.

125 If SRM is ever pursued, it will likely be for a specific social or geophysical aim (22). This may include 126 halting an anticipated geophysical tipping point (such as accelerated Antarctic ice loss (45) permafrost 127 melting or forest die-off), or lessening the impacts of extremes (such as deadly heat waves in large 128 population centers (46)). Yet, if climate variability were to mask the short-term perceived effectiveness of 129 climate intervention, it could undermine coordinated, international policy action to address climate 130 change broadly (47). Understanding the masking effects of climate variability on regional scales will thus 131 be critical for interpreting the potential perceived success of any SRM strategy in the immediate years 132 following deployment.

133

134 To systematically distinguish the different possible outcomes associated with the masking effect of 135 internal climate variability, we introduce a set of archetypal regional responses that could unfold under 136 SAI. These archetypes are motivated by the fact that, in the period prior to SAI deployment, a given 137 region could be warming or not due to internal climate variability, even in the context of global-scale 138 warming (48). Similarly, following deployment, that region could either experience warming or not, even 139 if the global temperature is stabilized. Thus, we define four archetypes of perceived success of climate 140 intervention, based on four categories of pre- and post-deployment experience: 1) Rebound Warming (i.e. 141 no warming followed by warming); 2) Continued Warming (i.e. warming followed by more warming); 3) 142 Stabilization (i.e. no warming either before or after deployment); and, 4) Recovery (i.e. warming followed 143 by no warming). The phenomena "Rebound Warming" and "Continued Warming" could both be locally 144 perceived as a failure of SAI to deliver on its intended purpose; hence, throughout the rest of this work, 145 the phrase 'perceived failure' refers to the combination of these two archetypes.

146

147 Past research into global SRM strategies has employed climate or Earth system models to simulate how

148 the natural system may respond to different intervention approaches (49). Here, we leverage just one of

149 them: the Assessing Responses and Impacts of SRM on the Earth system withStratospheric Aerosol

150 Injection (ARISE-SAI) ensemble carried out with the Community Earth System Model, version 2 (50).

151 ARISE-SAI simulates a plausible deployment of SAI, designed to hold global mean temperature at 1.5°C

- above pre-industrial conditions in the context of the SSP2-4.5 future emissions scenario (Fig. 1A) (50).
- 153 Extending out to the year 2069, ARISE-SAI includes 10 ensemble members, each initiated from slightly
- 154 different initial conditions to enable quantification of the irreducible uncertainty arising from internal
- 155 climate variability (e.g., 51). The 1.5°C threshold is relevant for global policy discourse in part because
- 156 this is a global mean temperature increase that is considered both an important Earth system threshold, as
- 157 well as a key focus of global climate policy negotiations enshrined in the UN Paris Agreement (52). The

158 fact that ARISE-SAI simulates SAI deployment that stabilizes global temperature at 1.5°C while also

- 159 representing the effect of internal variability via a substantial number of ensemble members makes
- 160 ARISE-SAI a useful testbed for probing the possibility of perceived failure of climate intervention.
- 161

### 162 Results

163

164 Increases in greenhouse gas concentrations and other anthropogenic forcings under the SSP2-4.5 scenario 165 drive increases in temperatures globally (Fig. 1A), as seen in the forced (ensemble-mean) response during 166 the 2015-2034 pre-deployment period of ARISE-SAI (Fig. 1B). Visualizing the ensemble mean reduces 167 many of the effects of internal climate variability, even though an ensemble of more than 10 members is 168 likely needed to fully remove such effects regionally (e.g., 48, 53). Over the longer post-deployment 169 period of 2035-2069, the ensemble mean exhibits a clear picture of temperatures generally holding steady 170 throughout the rest of the simulation (Fig. 1A), indicative of SAI acting to stabilize temperatures even 171 regionally (Fig. 1C). In reality, however, any area's actual climate trajectory will be a combination of 172 both the forced response and internal climate variability, which would be analogous to a single ensemble 173 member (Fig. 1D,E) rather than the ensemble mean.

174

175 Focusing on the decade prior to SAI deployment ("pre-deployment decade"; 2025-2034), any ensemble 176 member (e.g. member #9) will exhibit a large range of temperature trends regionally under SSP2-4.5 (Fig. 177 1D), even though the forced response is overwhelmingly warming. This is because internal climate 178 variability can drive short-term trends in temperature that can partially mask (or augment) the longer-179 term, forced trend. What is perhaps less appreciated is that internal climate variability can similarly mask 180 the effects of SAI on a regional scale. In the decade following continuous SAI deployment ("post-181 deployment decade"; 2035-2044), ensemble member #9 exhibits warming temperatures over 49% of the 182 land surface (Fig. 1E), where warming is defined as decadal temperature trends larger than 0.1 °C/decade. 183 This trend threshold is chosen to reflect the approximate warming over the observational record (54); 184 temperature trends less than this are referred to here as `not warming' since they capture both cooling as 185 well as small positive trends. Thus, the effects of internal climate variability can cause the magnitude of 186 regional warming trends in the post-deployment decade to far exceed the forced trend from SAI. 187



Figure 1. Surface temperature trends. (A) Global mean surface temperature. Gray lines denote individual ensemble
 members and the black line denotes the ensemble mean. (B,C) Ensemble-mean trends over (B) 2015-2034 under
 SSP2-4.5 and (C) 2035-2069 with ARISE-SAI deployment. (D,E) Trends over the (D) pre-deployment decade and
 (E) post-deployment decade for ensemble member #9. (B-D) The percentage in the bottom of the maps denotes the

- 193 percentage of land area that exhibits warming trends as defined in the text.



Figure 2. Pre-deployment and post-deployment surface temperature trends for Beijing, China. Each panel highlights
a different ensemble member denoted in each panel by the thick black line, with the other nine members shown as
thin gray lines. SAI deployment is initiated in the year 2035 (teal shading). Ten-year linear best-fit lines are shown
for 2025-2034 (orange) and 2035-2044 (teal).

Beijing, China, provides an example of how a single region can experience each of the four archetypal responses under different individual realizations of the ARISE-SAI experiment (Fig 2). Ensemble member #1 exhibits the Recovery archetype (Fig 2D), where SAI would potentially be labeled a success in that the perception of temperature change would swing from an increase in local temperature prior to deployment to a stabilization or decrease in temperature after deployment. However, in member #4, Beijing experiences Rebound Warming (Fig 2A), with cooling over the pre-deployment period followed by warming over the post-deployment period. Likewise, in member #7, Beijing experiences Continued Warming (Fig 2B), with substantial warming during both the pre- and post-deployment decades. 



213

Figure 3. Archetypal regional responses to ARISE-SAI. The percent of ensemble members that exhibit specific
archetypal responses over the ten years pre- and post-deployment: (A) Rebound Warming (not warming followed by
warming), (B) Continued Warming (warming followed by warming), (C) Stabilization (not warming followed by
not warming) and (D) Recovery (warming followed by not warming).

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- 219

220 All four archetypal regional responses can be found across the globe, with varying percentages of the 221 ARISE-SAI ensemble (Fig 3). While some regions, notably Australia and parts of Africa, exhibit high 222 probability of the Recovery archetype (Fig 3D), substantial parts of the land surface experience high 223 probability of either Rebound Warming or Continued Warming. Repeated occurrence of perceived failure 224 in the same location across multiple ensemble members can be largely understood as internal climate 225 variability persistently masking the effect of SAI deployment (although more than ten ensemble members 226 would be required to completely rule out the possibility of a weak, short-term forced response to SAI 227 itself; Fig. 1C).

228

Aggregating the occurrence of Rebound Warming and Continued Warming across all ensemble members

- 230 yields the probability (computed as the percent of the 10 ensemble members) of internal variability
- 231 leading to perceived failure of SAI in the ARISE-SAI experiment (Fig 4A and 4B). While some regions
- of the planet experience near-zero probability of perceived failure under ARISE-SAI deployment, there

- are other regions that experience greater than 50% probability of perceived failure. East Antarctica a
- region of global importance and priority with respect to the potential for substantial changes in sea level
- 235 (55) appears particularly prone to climate variability masking the effectiveness of climate intervention.
- 236 Likewise, much of northern Eurasia and the western half of North America experience a very high
- 237 probability of perceived failure in the decade following deployment. For the case of North America,
- 238 Pacific Decadal Variability which CESM is known to simulate with high fidelity (56) could be a key
- factor confounding the effects of climate intervention (Fig. S3).
- 240
- 241 We emphasize that these results are specific to the ARISE-SAI deployment, which is only one of many
- possible SAI deployment scenarios (e.g., 57). Regardless, they suggest that internal variability in the
- climate system, whether arising from random noise in the atmosphere or oceans (Deser et al. 2017) or
- from potentially predictable coupled ocean-atmosphere modes of variability, can effectively mask SAI
- 245 deployment.
- 246
- 247





Figure 4. Perceived failure over the ten years following SAI deployment under ARISE. (A) Probability of perceived
 failure over the post-deployment period, where the probability is computed as the fraction of ensemble members
 exhibiting warming trends. (B) Probability of a location exceeding its 2015-2034 (pre-deployment) maximum

- annual-mean temperature in the decade following SAI deployment (2035-2046). (C) Projected number of people at
- each location experiencing perceived failure of SAI over the post-deployment period in ensemble member #9 using
- 254 projected populations for 2040. Gray denotes regions not experiencing perceived failure in that particular ensemble
- 255 member. (D) Percent of members with 10% or more of a country's projected 2040 population (see Fig S5 for
- alternative population thresholds) experiencing perceived failure following SAI deployment versus the country's
- projected 2040 GDP in units of purchasing power parity (PPP). Circle area corresponds to the projected 2040
- 258 population experiencing perceived failure averaged across ensemble members.
- 259 260

261 Our perceived failure metric relies on quantifying decadal temperature trends. However, given the myriad 262 impacts of extreme heat on natural and human systems (27, 58), an alternative metric for the perceived 263 effectiveness of SAI could instead be a measure of the experience of temperature extremes following 264 deployment. We find that, although the forced response in ARISE-SAI results in a stabilization of global 265 temperatures (Fig. 1A,C), it is still very likely that record hot temperatures will occur following 266 deployment (Fig. 4B). For example, for broad areas of Africa, Eurasia, North America, South America 267 and Antarctica, at least one year in the decade after SAI deployment is hotter than the hottest year that 268 occurred in 2015-2034. Moreover, the regions experiencing persistently high perceived failure of SAI 269 (Fig 4A) do not directly correspond to the regions experiencing extremely high mean annual temperatures 270 (Fig 4B). This finding underlines that multiple climate metrics are necessary when considering the 271 perceived effectiveness of SAI.

272

Given the importance of local experiences for informing perceptions of climate change (40), we next
explore the populations exposed to perceived failure of SAI in the specific ARISE-SAI deployment
scenario examined here. Using gridded population data projected for 2040 in SSP-2 (59, 60), we find that
between 10% and 55% of the global population experience perceived failure across the ten-member
ARISE-SAI ensemble (Fig S4). The most severe example is shown in Fig 4C for ensemble member #9,
where substantial populations in India, Southeast Asia, the Eastern United States, and West Africa are

- exposed to the potential of perceived failure over the decade following ARISE-SAI deployment.
- 280

Perceptions of climate change-related phenomena can be related to both individual local experiences, as
well as collective socio-cultural experiences (40, 61, 62). Thus, to further explore the socio-economic
reality of perceived failure of SAI at the national level, we compare the probability of country-level
perceived failure against country-level gross domestic product in 2040 (in units of purchasing power
parity, PPP) (63). All of the largest economies in the world experience substantial probability of

286 perceived failure in the post-deployment decade of ARISE-SAI (Fig 4D). The implication is that the

- countries with the most geopolitical and global economic power and perhaps those with the most
- financial capacity to deploy continuous SAI to manage global temperatures (64) experience at least a
- 289 50% probability of large populations being exposed to the potential of perceived failure of SAI. These
- 290 countries also cover substantial land areas, potentially increasing the odds that internal climatic variability
- could mask the benefits of SAI. Yet, the fact remains that the countries that are apparently most prone to
- high potential of perceived failure are those with the largest populations and the largest economies.
- 293

## 294 Discussion

- 295 The 'fast' dimension of climate intervention is a notable advantage of SAI relative to other climate
- intervention approaches (14, 24). However, we find that substantial areas of the world could experience
- 297 warming trends and extremely hot years, even after ten years of continuous deployment in the ARISE-
- 298 SAI scenario—raising the possibility that SAI may not be perceived locally as effective. Given the
- 299 potential social, political and economic costs associated with climate intervention, and increasing stakes
- 300 associated with a warming planet, this gap in time between deployment and local perceived effectiveness
- 301 could serve to undermine the 'fast' dimension of SAI intervention. Moreover, SAI is a technology that
- could potentially be deployed quickly by a small group of actors (or a single actor), owing to its relatively
   low cost and ease of deployment from a single location on the planet (e.g., within the borders of a single
- 304 country) (<u>35, 64</u>).
- 305 In light of our findings, several priorities emerge for a forward-thinking SAI research agenda. First, the
- 306 prevalence of perceived failure suggests countries should expect public doubt in the short-term
- 307 effectiveness of SAI. The expectation of precise manipulation would be markedly inaccurate (65).
- 308 Moreover, different types of SAI deployment scenarios could lead to different levels of masking (both
- 309 more and less) of internal climate variability. However, this issue will also emerge in the midst of more
- 310 general mitigation efforts (66), as internal climate variability will likely produce continued warming in
- 311 some regions in the years following aggressive policies aimed at reducing greenhouse gas emissions—
- 312 potentially leading to similar 'perceptions of failure' in the climate policy itself (67). Thus, whether or not
- 313 SAI is pursued, countries must recognize that internal climate variability will need to be anticipated and
- 314 well-articulated if continued public support is desired. Furthermore, this articulation must occur amidst a
- 315 communication environment that is already fraught with climate-related mis-information (68).
- 316
- 317 To further explore the relevance of the perceived failure archetypes, we performed a similar analysis
- 318 using data from the Geoengineering Large-Ensemble SAI experiment (GLENS-SAI; Tilmes et al. 2018).
- 319 The results provide complementary insights into SAI deployed under a much higher emissions scenario
- 320 (Representative Concentration Pathway 8.5; RCP8.5) and different stabilization targets and deployment

321 year (deployment in the year 2020 with the main aim to keep global temperatures around 1°C above pre-

- 322 industrial values). Because of this, GLENS-SAI represents a much more aggressive SAI scenario than
- 323 ARISE-SAI. The GLENS-SAI results (see Supplementary Materials) again illustrate the regional
- 324 significance of internal climate variability, and thus further indicate that the potential for perceived failure
- 325 will exist across many different SAI deployment strategies.
- 326

Given that specific regions of the planet are predisposed to the effects of large internal climate variability,
such as that produced by El Niño Southern Oscillation or the Pacific Decadal Oscillation (69), it is likely
that these regions will also experience persistent masking of SAI effectiveness. Such understanding of
regionally persistent masking of SAI effectiveness will complement and contribute to the growing
literature on detection and attribution of deployment of climate intervention (25, 26). Further, because the
possibility of perceived failure extends beyond SAI, knowledge of specific regionally persistent internal
variability will benefit other climate mitigation policies, especially those contingent on public support

- 334 <u>(70)</u>.
- 335

# 336 Conclusions

337 Our results highlight the need for continued research and understanding of how climate variability may 338 mask climate intervention in the years immediately following deployment. If climate intervention is ever 339 pursued, it will likely be for a specific social or geophysical aim. Internal climate variability, however, 340 may mask the short-term perceived effectiveness of that intervention, including in the targeted 341 geographical areas, ecosystems or economic sectors for which the intervention was deployed in the first 342 place. Our results thus suggest that the scientific community must better frame what the success of SAI – 343 and climate intervention more broadly – looks like in the context of internal climate variability. 344 Specifically, it will be important to understand how key global drivers of variability, such as coupled 345 ocean-atmosphere modes operating on decadal timescales, may mask the intended results of climate 346 intervention strategies, and to what extent this masking will be predictable or detectable. Our analysis 347 provides a foundation for that understanding, and motivation for improving the ability of global policy 348 and scientific organizations to better frame the stakes associated with the deployment of climate 349 intervention in the future.

- 351 Methods
- 352
- 353 ARISE Data
- 354 Gridded, monthly near surface air temperature fields (variable name TREFHT) were obtained from the

355 ensemble of simulations performed for the Assessing Responses and Impacts of SRM on the Earth system

- 356 with Stratospheric Aerosol Injection (ARISE-SAI) (50). The ARISE ensemble was simulated with the
- 357 Community Earth System Model, version 2 (71) using WACCM6 (Whole Atmosphere Community

358 Climate Model Version 6, WACCM6) (72). We average together the gridded, monthly fields to produce

- annual-mean fields, with each field having a grid resolution of 0.94240838 degrees latitude by 1.25
- degrees longitude.
- 361

The ARISE data set includes two sets of simulations composed of ten ensemble members each. The first set follows the SSP2-4.5 emissions scenario while the second is identical to the first but with the inclusion of stratospheric aerosol injection (SAI) beginning in the year 2035. The location and amount of aerosols released into the stratosphere each year is determined by a controller algorithm that works to keep global mean temperature, the north-south temperature gradient, and the equator-to-pole temperature gradient at values based on the 2020-2039 mean of the SSP2-4.5 simulations with CESM2 (WACCM6) (72). Further details about the ARISE SAI configuration and aerosol injection strategy are provided in (50).

369

## 370 Probability of perceived failure

371 Decadal trends of annual mean temperature at each gridpoint are computed using linear, least-squares 372 regression over two ten-year periods: (1) the pre-deployment decade (2025-2034) and (2) the post-373 deployment decade (2035-2044). Since SAI under ARISE is designed to stabilize global-mean 374 temperature (not to reverse the warming trend and induce cooling), we define "warming" as any decadal 375 trend that exceeds 0.1°C per decade. A warming threshold of 0.1°C per decade is chosen to reflect the 376 approximate warming we have thus far experienced over the observational record (NOAA National 377 Centers for Environmental Information, published online January 2021). All trend magnitudes less than 378 this are considered "not warming". We thus classify each of the ensemble members, for each location, as 379 falling into one of the four archetypes of perceived success of climate intervention, based on the pre-380 and/or post-deployment trends: 1) Rebound Warming (i.e. no warming followed by warming); 2) 381 Continued Warming (i.e. warming followed by more warming); 3) Stabilization (i.e. no warming either 382 before or after deployment); and, 4) Recovery (i.e. warming followed by no warming). The combination 383 of Rebound warming and Continued warming represent the experience of potential "perceived failure", as 384 both exhibit warming trends over the post-deployment decade that exceed 0.1°C per decade. The 385 probability of perceived failure is then computed as the percent of ensemble members (out of 10) that 386 experience perceived failure at each location.

387

**388** *Populations and country-level statistics for those experiencing perceived failure* 

- 389 Projected, gridded population data for the year 2040 were downloaded from SEDAC for Shared
- 390 Socioeconomic Pathway 2 (SSP2) (
- 391 <u>https://sedac.ciesin.columbia.edu/data/collection/popdynamics/maps/services</u>). The SEDAC data was
- downloaded in netcdf format at a resolution of one eighth of a degree and was then re-gridded to the
- 393 ARISE/CESM2 grid using the sum function. The global population is perfectly conserved in this
- regridding process. The population experiencing perceived failure is then computed as the sum of the
- 395 populations at each gridpoint where the post-deployment decade exhibits warming trends greater than 0.1
- 396 °C. Projected gross domestic product (GDP; in units of purchasing power parity) data for the year 2040
- 397 under SSP2 were downloaded as shapefiles from IIASA at the country level
- 398 (<u>https://tntcat.iiasa.ac.at/SspDb/dsd?Action=htmlpage&page=10</u>). Temperature trends, projected
- 399 population, and projected GDP were then calculated within each country boundary using the python
- 400 packages *regionmask* and *geopandas*.
- 401
- 402 Fig. 4D includes the percent of members with 10% or more of a country's projected 2040 population
- 403 experiencing perceived failure following SAI deployment. Fig S5 displays results for the same analysis
- 404 using alternative population thresholds (i.e. 5%, 10%, 25% and 50%).
- 405
- 406 *Probability of exceeding pre-deployment maximum temperature*
- 407 For each gridpoint, we computed the maximum annual-mean temperature across all available years prior
- 408 to SAI deployment (2015-2034). This was done for each ensemble member separately to simulate
- 409 perceptions within each individual realization of the climate system. The probability of exceeding the pre-
- 410 deployment maximum temperature was then defined as the number of ensemble members (out of 10) that
- 411 exceeded their pre-deployment maximum in the decade following deployment (2035-2044).
- 412
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578	Supplementary Information for
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581	Potential for Perceived Failure of Stratospheric Aerosol
582	Injection Deployment
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Fig. S1. As in Figure 1D but for all 10 ensemble members.











Fig. S4. As in Fig. 4A but for all 10 ensemble members.

![](_page_25_Figure_1.jpeg)

# Countries experiencing perceived failure

- 613 Fig. S5. As in Fig. 4B but for different population failure thresholds. The 10% threshold shown here in panel (B) is614 what is displayed in the main text.

### 616 Supplemental Methods

617

618 GLENS Data

619 Gridded, monthly near surface air temperature fields (variable name TREFHT) were obtained from the ensemble of

620 simulations performed for the Stratospheric Aerosol Geoengineering Large Ensemble (GLENS-SAI) project (73).

621 The GLENS-SAI ensemble was simulated with the Community Earth System Model, version 1, as described in (57).

622 We average together the gridded, monthly fields to produce annual-mean fields, with each field having a grid

resolution of 0.9 degrees latitude by 1.25 degrees longitude.

624

The GLENS-SAI data set includes two sets of simulations composed of twenty one ensemble members each. The first set follows the RCP8.5 emissions scenario while the second is identical to the first but with the inclusion of stratospheric aerosol injection (SAI) beginning in the year 2020. The location and amount of aerosols released into the stratosphere each year is determined by a controller algorithm that works to keep global mean temperature, the north-south temperature gradient, and the equator-to-pole temperature gradient at values based 2020. The 2020 mean conditions are calculated based on the first 13 members of the RCP8.5 scenario simulations. Further details about the GLENS-SAI configuration and aerosol injection strategy are provided in (73).

632

#### 633 *Probability of perceived failure*

634 Decadal trends of annual mean temperature at each gridpoint are computed using linear, least-squares regression
635 over two ten-year periods: (1) the pre-deployment decade (2010-2019) and (2) the post-deployment decade (2020636 2029). Since SAI under GLENS is designed to stabilize global-mean temperature (not to reverse the warming trend
637 and induce cooling), we define "warming" as any decadal trend that exceeds 0.1°C per decade. A warming threshold

of 0.1°C per decade is chosen to reflect the approximate warming we have thus far experienced over the

639 observational record (NOAA National Centers for Environmental Information, published online January 2021). All

trend magnitudes less than this are considered "not warming". We thus classify each of the ensemble members, for

641 each location, as falling into one of the four archetypes of perceived success of climate intervention, based on the

pre- and/or post-deployment trends: 1) Rebound Warming (i.e. no warming followed by warming); 2) Continued

- 643 Warming (i.e. warming followed by more warming); 3) Stabilization (i.e. no warming either before or after
- deployment); and, 4) Recovery (i.e. warming followed by no warming). The combination of Rebound warming and
- 645 Continued warming represent the experience of potential "perceived failure", as both exhibit warming trends over
- 646 the post-deployment decade that exceed 0.1°C per decade. The probability of perceived failure is then computed as
- 647 the percent of ensemble members (out of 20) that experience perceived failure at each location.

![](_page_27_Figure_0.jpeg)

Figure S6. Surface temperature trends. (A) Global mean surface temperature. Gray lines denote individual
ensemble members and the black line denotes the ensemble mean. (B,C) Ensemble-mean trends over (B) 2010-2019
under RCP8.5 and (C) 2020-2055 with GLENS SAI deployment. (D,E) Trends over the (D) pre-deployment decade
and (E) post-deployment decade for ensemble member #9. (B-D) The percentage in the bottom of the maps denotes
the percentage of land area that exhibits warming trends as defined in the text. Similar figure as in Figure 1 of the
Main text.

![](_page_28_Figure_0.jpeg)

658

Figure S7. Archetypal regional responses to GLENS-SAI. The percent of ensemble members that exhibit specific
archetypal responses over the ten years pre- and post-deployment: (A) Rebound Warming (not warming followed by
warming), (B) Continued Warming (warming followed by warming), (C) Stabilization (not warming followed by

662 not warming) and (D) Recovery (warming followed by not warming). Similar figure as in Figure 3 in the Main text.

![](_page_29_Figure_0.jpeg)

Figure S7. Probability of perceived failure under GLENS-SAI over the post-deployment period, where the
probability is computed as the fraction of ensemble members exhibiting warming trends (similar to Figure 4A in the
Main text).