# 1 Regionalized life cycle assessment of present and future lithium

## 2 production for Li-ion batteries

3 Authors: <u>Vanessa Schenker</u><sup>1,2</sup>, Christopher Oberschelp<sup>1,2</sup>, Stephan Pfister<sup>1</sup>

4 **Affiliations:** 

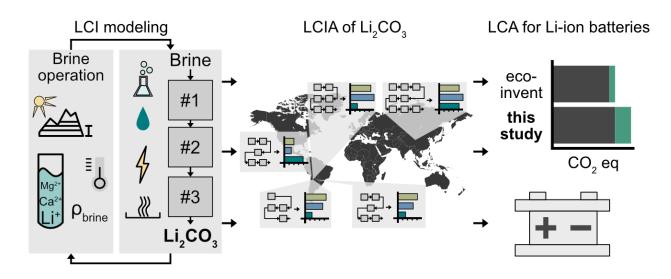
- <sup>1</sup> Institute of Environmental Engineering, ETH Zürich, John-von-Neumann-Weg 9, 8093 Zürich,
  Switzerland
- 7 <sup>2</sup> National Centre of Competence in Research (NCCR) Catalysis, ETH Zürich, Zürich, Switzerland
- 8 Corresponding author: Vanessa Schenker, vanessa.schenker@ifu.baug.ethz.ch, twitter: @schvanes
- 9 Contacts of co-author: Dr. Christopher Oberschelp, christopher.oberschelp@ifu.baug.ethz.ch
- 10 Contacts of co-author: Dr. Stephan Pfister, stephan.pfister@ifu.baug.ethz.ch
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## 14 Abstract

15 Existing life cycle assessments (LCA) of lithium carbonate production from brines are mainly based on one 16 single brine operation site, while many different lithium carbonate production routes have been developed 17 in the past. Hence, current life cycle inventories do not capture the variability of brine sites and misestimate 18 life cycle impacts. This study presents a systematic approach for LCA of existing and future lithium 19 carbonate production from brines, which can furthermore be applied to geothermal brines or seawater. It 20 has been used to model life cycle inventories of three existing and two upcoming brine operations in 21 Argentina, Chile, and China and combined with regionalized life cycle impact assessment. Impacts on 22 climate change, particulate matter human health impacts, and water scarcity from lithium carbonate 23 production differ substantially among sites. Existing life cycle inventories for lithium-ion battery production underestimate climate change impacts by up to 19% compared to one from our study. 24

25 Keywords: Lithium, Environmental impacts, Life cycle assessment, Life cycle inventory, Brines

## 26 Graphical abstract



## 28 **1 Introduction**

The development of energy storage led to an increased demand for battery metals (Christmann et al., 2015; Wanger, 2011; World Economic Forum, 2019). By 2030, the battery demand is forecasted to grow by 1400 % and hence, the demand for Lithium (Li) used in Li-ion batteries is expected to increase by a factor of 6 with respect to 2018 (World Economic Forum, 2019).

More than two-thirds of the Li resources are located in Argentina, Bolivia, Chile, and China as brine deposits which hold a great supplying potential in the future (Bertau et al., 2017; Kesler et al., 2012; Munk et al., 2016). The primary producer of lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>) from brines is Chile, followed by Argentina and China (S&P Global, 2021). Brine operations produce Li<sub>2</sub>CO<sub>3</sub> with a technical grade (min. 99 wt. %) and battery grade (99.5 wt. %). The latter is used to manufacture Li-ion batteries (Dai et al., 2020).

39 Various production routes for Li<sub>2</sub>CO<sub>3</sub> from brines have been developed in the past (Tran and Luong, 2015). 40 Generally, the processing can be subdivided into three main processes: Brine's mass reduction in solar 41 evaporation ponds, brine purification, and Li<sub>2</sub>CO<sub>3</sub> precipitation (Garrett, 2004; Tran and Luong, 2015). The brine is pumped from an aquifer/salt lake into evaporation ponds to reduce the brine volume by solar 42 43 evaporation. When a specific Li concentration of the brine is reached, the brine is sent to the processing 44 plant. The purification part consists of a variety of processes in different arrangements to remove impurities 45 (Calcium (Ca), magnesium (Mg), or boron (B)) from the Li-enriched brine, such as by adding quicklime to 46 remove Mg, using organic solvent extraction to remove B, or using ion exchangers to remove Mg, Ca, or 47 B. The selected processes and their order depend on the site-specific brine compositions. Once the pulp has 48 been purified, Li<sub>2</sub>CO<sub>3</sub> is precipitated by heating the pulp and adding soda ash. Crystallized Li<sub>2</sub>CO<sub>3</sub> 49 (technical grade) is dissolved in water at low temperature. The solution is re-heated at 80 °C, and Li<sub>2</sub>CO<sub>3</sub> 50 (battery grade) precipitates. The final product is dried in a rotary dryer (Garrett, 2004; Tran and Luong, 51 2015). In addition to this approach of extracting Li from brines, other processing techniques include 52 selective Li recovery. The Li-ion selective adsorption technique uses adsorbents (manganese oxide,

53 titanium oxide, or alumina) to selectively uptake Li from the brine sent through the ion exchangers (Garrett, 54 2004; Tran and Luong, 2015). Once the adsorbents are saturated with Li, the columns are washed and take up Li. The Li-containing solution is then sent to evaporation ponds to further concentrate Li (Garrett, 2004). 55 56 Once a specific concentration is reached in the solution, Li<sub>2</sub>CO<sub>3</sub> precipitation (technical grade) is forced by 57 heating the pulp and adding soda ash, as explained for the previous production route. Crystallized Li<sub>2</sub>CO<sub>3</sub> 58 (technical grade) is dissolved in water at low temperature by adding pressurized gaseous CO<sub>2</sub>. The solution 59 is re-heated at 80 °C, and Li<sub>2</sub>CO<sub>3</sub> (battery grade) precipitates. The product is dried in a rotary dryer (Garrett, 60 2004; Tran and Luong, 2015).

61 Regarding environmental impacts, energy provision for Li<sub>2</sub>CO<sub>3</sub> production is mainly based on fossil fuels 62 contributing to climate change (Stamp et al. 2012, Kelly et al. 2021). Stamp et al. (2012) published life 63 cycle inventory (LCI) data for brine-related Li<sub>2</sub>CO<sub>3</sub> production from the Salar de Atacama in Chile. This 64 data was integrated into the econvent LCI database in 2012 and has not been updated or expanded 65 (ecoinvent, 2021). Kelly et al. (2021) used more updated recent technical data from the Salar de Atacama 66 to quantify impacts on climate change and water scarcity but also did not improve on the coverage in terms of different brine sites. Ambrose and Kendall (2019) slightly extended the coverage by including lab-scale 67 data from the Salar de Uyuni in Bolivia when assessing climate change impacts. 68

When assessing water scarcity impacts related to Li-ion battery storage, water scarcity impacts of Li from 69 70 brines were classified as critical, according to Schomberg et al. (2021). They included brine consumption 71 in the water scarcity footprint (WSF) when applying the LCA midpoint indicator AWARE. However, brines 72 are not directly used by ecosystems or humans as a water source and should, thus, not be considered when 73 applying this LCA method (Boulay et al., 2018). Brine pumping affects the hydrogeological systems with 74 wetland and lake ecosystems at the Salar de Atacama but these direct and indirect effects of brine pumping 75 are only measurable by assessing the hydrogeology of these salt flats (Liu et al., 2019; Liu and Agusdinata, 76 2021; Marazuela et al., 2019).

77 The collection of LCI data is extremely time-consuming (Kawajiri et al., 2022), and so the scientific focus 78 of LCI development for Li<sub>2</sub>CO<sub>3</sub> production has been on the Salar de Atacama site in Chile with comparably 79 good data availability and a high share of global  $Li_2CO_3$  production (57 % of the LCE production from 80 brines in 2018 (S&P Global, 2021)). However, processing techniques from other brine operations differ 81 from the one used at Salar de Atacama since they vary in their chemical composition (Flexer et al., 2018; 82 Houston et al., 2011; Munk et al., 2016; Tran and Luong, 2015). Thus, production routes adapted to the 83 brine chemistry and other environmental parameters were developed (Garrett, 2004; Swain, 2017; Tran and 84 Luong, 2015). Hence, what is missing so far in literature is a detailed assessment of other Li<sub>2</sub>CO<sub>3</sub> production 85 pathways and their related environmental impacts. Furthermore, the existing LCA studies are difficult to compare since the goal and scope of these studies vary. Differences in system boundaries and degree of 86 87 transparency hamper the direct comparison of these studies. Hence, the main objective of this paper is to 88 develop a systematic approach to model site-specific LCIs of Li<sub>2</sub>CO<sub>3</sub> production from brines when 89 operational data from the companies are not publicly available. We apply our approach by assessing 90 environmental impacts of Li<sub>2</sub>CO<sub>3</sub> (battery grade) production from five brine operations in Chile, Argentina, 91 and China. We cover climate change impacts, regionalized human health impacts from fine particulate 92 matter (PM) formation and partly regionalized WSFs. Finally, by integrating Li<sub>2</sub>CO<sub>3</sub> from different brine 93 operations, the consequences on climate change impacts related to Li-ion battery production were assessed.

## 94 2 Methods

### 95 2.1 Framework to assess environmental impacts of Li<sub>2</sub>CO<sub>3</sub> from brines

We present an approach to quantify environmental impacts of Li<sub>2</sub>CO<sub>3</sub> production from brines (see Figure A-1 in supplemental information A). Specifically, we developed a modular approach to model site-specific LCIs, which allows for flexible adjustments to future process updates at each extraction site and can also be applied to other brines in future research. The approach follows the ISO 14040:2006 and ISO 14044:2006 standards to allow a standardized LCA (ISO, 2006a, 2006b). Hence, four steps need to be 101 examined: Goal and scope definition, LCI analysis, life cycle impacts assessment, and the final102 interpretation.

### 103 Step 1: Goal and scope

The goal and scope should be defined in the first step according to ISO 14044 (ISO 2006). We suggest setting the functional unit to 1 kg  $Li_2CO_3$  (battery grade). For example, this facilitates the integration of LCIs in Li-ion batteries when performing LCAs on future low-carbon mobility systems. System boundaries should be set accordingly to the project's scope (e.g., the system boundaries (cradle-to-gate approach) could be set from pumping the brines to the surface until the final product ( $Li_2CO_3$ , battery grade) leaves the processing plant).

### 110 Step 2: LCI analysis

Step 2 consists of modeling the LCI for  $Li_2CO_3$  production from one or multiple brine sites if site data are not publicly available. We propose using the approach as developed in this study (Figure 1): (1) identification of relevant processes and site-specific environmental and technical parameters and (2) process-specific modeling of energy and material demand.

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### (1) Identification of relevant processes and site-specific parameters

Literature research (e.g., company reports, patents, scientific papers) is required to identify the relevant processes to produce Li<sub>2</sub>CO<sub>3</sub>. The process configuration determines the mass flows of the Li-containing pulp and thus, requires a detailed assessment for the latter LCI modeling. The types of input and waste production for all identified processes need to be defined. Environmental and technical parameters (e.g., chemical composition of the brine, evaporation rate, or annual average air temperature) need to be considered because they influence resource demand of Li<sub>2</sub>CO<sub>3</sub> production (e.g., heating demand of Li<sub>2</sub>CO<sub>3</sub> precipitation or the chemical demand to remove B from the pulp). Sources of thermal energy can be

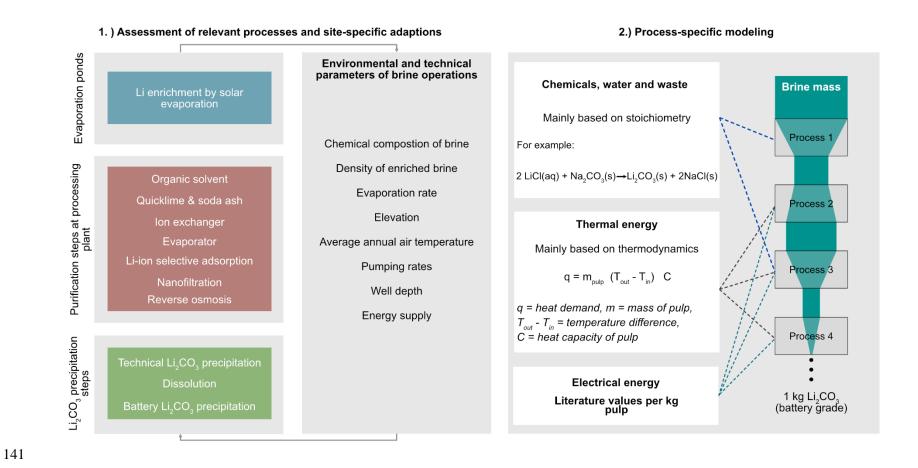
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retrieved from company reports. Identifying processes and relevant parameters is an iterative process since the type of  $Li_2CO_3$  production also guides the number and type of parameters required for LCI modeling.

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#### (2) Process-specific modeling of material and energy demand

126 The reported Li concentration of the enriched brine serves as an approximation for the required mass of 127 brine entering the processing plant. The input demand for processes, such as purification (Mg removal by 128 adding quicklime) and  $Li_2CO_3$  precipitation (adding soda ash), is dependent on the mass flows (pulp 129 entering the process). The chemical composition of the brine affects required industrial chemical demand 130 (e.g., the mass of Mg in the brine is proportional to the amount of quicklime if it is added to brine stored in 131 the evaporation ponds (Flexer et al. 2018)). Mass of process-related chemicals (e.g., quicklime to remove 132 Mg in the pulp) and produced waste (e.g., NaCl precipitation due to soda ash) are suggested to be 133 stoichiometrically calculated. The required mass of chemicals should be adapted by adding a percentage to 134 account for the incompleteness of chemical reactions according to available data. Those inputs and outputs 135 need to be investigated to determine the mass of pulp going into the next process. Further affecting mass 136 flows within the processing plant is residual pulp re-circulation to previous purification steps, which needs 137 to be considered if reported. Energy demand can be quantified once process-specific mass flows are 138 determined. Thermal energy demands are influenced by the mass, temperature difference, and heat capacity 139 of the pulp (Figure 1). Literature values per kg pulp for process-specific electricity demands are available 140 and can be used to determine the operational electricity requirement on-site.



*Figure 1: Proposed approach to model LCI for Li<sub>2</sub>CO<sub>3</sub> production from brines.* 

#### 144 Step 3a: Life cycle impact assessment

To assess environmental impacts of Li<sub>2</sub>CO<sub>3</sub> (battery grade) production from each brine operation, we suggest to consider impacts on climate change (GWP 100a) (IPCC 2013), fully regionalized LCA impact assessment of fine PM formation (Oberschelp et al. 2020), and partly regionalized WSF based on AWARE (Boulay et al., 2018). The selection of impact categories (impacts on climate change and water scarcity) is based on existing literature (e.g., Stamp et al. 2012; Schomberg et al. 2021; Kelly et al. 2021). Since the energy requirement is mainly based on fossil fuels (Kelly et al., 2021), PM-related human health impacts should also be assessed, as it was shown in Oberschelp et al. (2019).

#### 152 Step 3b: Sensitivity analyses

Monte Carlo simulations for brine operations allow to analyze the robustness of the results. Uncertainty distribution types (e.g., triangular or log-normal distributions) need to be carefully chosen. If many data are available, a random sampling of several datasets should be performed. Changing parameters based on physical relations could be a less preferred option to test the results. For the case of limited data, the data quality should be expressed by the Pedigree matrix described in Wernet et al. (2016).

## 158 **3 Results and discussion**

## 159 **3.1** Application of the approach to present and future brine sites

To test our presented framework, the lithium extraction sites (Salar de Atacama, Salar de Olaroz, Salar de Cauchari-Olaroz, Salar del Hombre Muerto (North), Chaerhan salt lake) were environmentally assessed. Of the five selected sites, Salar de Atacama, Salar de Olaroz, and Chaerhan salt lake have been producing, whereas Salar de Cauchari-Olaroz is currently in the construction phase and plans to start Li<sub>2</sub>CO<sub>3</sub> production in 2022 (S&P Global, 2021) while Salar del Hombre Muerto (North) is at an early exploration stage. For the latter, the start of mining activity and extraction technology is not yet clearly set (S&P Global, 2021), but a Li<sub>2</sub>CO<sub>3</sub> production pathway has been suggested by Knight Piésold Ltd. and JDS Energy &
Mining Inc. (2019).

This paper covers 70 % of the brine-related lithium carbonate production worldwide (sum of current production from the Salar de Atacama, Salar de Olaroz, and Chaerhan salt lake) (S&P Global, 2021). We also cover future production sites at Salar de Cauchari-Olaroz and Salar del Hombre Muerto North (reported and estimated production given in Table 1).

#### 172 Step 1: Goal and scope

173 The goal is to quantify environmental impacts of Li<sub>2</sub>CO<sub>3</sub> (battery grade) production from brine operations. 174 First of all, this allows a comparison among the sites in terms of related environmental impacts. 175 Additionally, the implications for LCA of Li-ion battery production can be assessed in high resolution. An 176 attributional LCA with the cut-off allocation approach from ecoinvent was performed (Wernet et al., 2016). 177 The functional unit was 1 kg  $Li_2CO_3$  (battery grade). We used a cradle-to-gate approach. The system 178 boundaries from pumping the brine to the surface until the final product (Li<sub>2</sub>CO<sub>3</sub>, battery grade) leaves the 179 processing plant for the South American salt lakes. At Chaerhan salt lake, the brine is first sent to a K-180 fertilizer plant, and Li<sub>2</sub>CO<sub>3</sub> production uses the effluent, which is considered as a waste stream and, 181 therefore, without burden in the cut-off allocation approach. Since only Li<sub>2</sub>CO<sub>3</sub> (technical grade) is 182 produced (Gansu United testing services Co Ltd (2018), Lanke Lithium (2018)), we added the processes 183 (dilution and re-heating the Li-bearing solution) required to manufacture Li<sub>2</sub>CO<sub>3</sub> (battery grade). We further 184 assessed the environmental impacts of Li-ion battery production. We incorporated our modeled LCIs of 185 Chaerhan salt lake and Salar de Atacama in the Li-ion battery production based on econvent v3.8 cut-off 186 (ecoinvent, 2021). The functional unit was 1 kg of rechargeable Li-ion battery. Brightway 2 by Mutel (2017) 187 and Activity Browser by Steubing et al. (2020) were used to conduct the assessment.

### 188 Step 2: LCI analysis

#### 189 (1) Identification of relevant processes and parameters

We identified the relevant processes and their input demand for the chosen brine sites (Table 1, graphical illustration Figure 2). Required environmental and technical parameters are presented in the SI for each brine operation. Salar de Atacama, Salar de Cauchari-Olaroz, and Salar del Hombre Muerto (North) have similar general Li<sub>2</sub>CO<sub>3</sub> production routes but with varying purification steps. While Salar de Olaroz uses ion exchangers to remove impurities from the pulp, Chaerhan salt lake uses Li-ion selective ion exchangers to adsorb Li.

Table 1 Differences in main processing techniques for the investigated brine operations. Production in metric tons of
Salar de Atacama, Salar de Olaroz, and Chaerhan salt lake for the year 2018 are based on S&P Global (2021).
Estimated production at Salar de Cauchari-Olaroz is given by Andeburg Consulting Services Inc and Montgomery &
Associates (2019) and at Salar del Hombre Muerto (North) by Knight Piésold Ltd. and JDS Energy & Mining Inc.,
(2019).

Brine operation	Processing techniques					
Salar de Atacama, Chile	The brine is pumped into evaporation ponds to enrich Li from 0.15 wt. %					
(88 100 t Li <sub>2</sub> CO <sub>3</sub> )	to 6 wt. % and then transported to the processing plant (Garrett 200					
	Subsequently, purification steps consist of organic solvent extraction to					
	remove B and adding quicklime, respectively soda ash to remove Mg and					
	Ca (Wilkomirsky, 1999). Then soda ash is added to the heated brine to let					
	Li <sub>2</sub> CO <sub>3</sub> (technical grade) precipitate (Kelly et al., 2021; Wilkomirsky,					
	1999). Li <sub>2</sub> CO <sub>3</sub> (technical grade) is dissolved at low temperatures and re-					
	heated to produce Li <sub>2</sub> CO <sub>3</sub> (battery grade).					
Salar de Olaroz, Argentina	The pumped brine first reacts with quicklime and is then enriched from					
(12 000 t Li <sub>2</sub> CO <sub>3</sub> )	0.06 wt. % Li to 1.2 wt. % Li in the evaporation ponds. In the processing					

	plant, the brine reacts with soda ash to let impure Li <sub>2</sub> CO <sub>3</sub> precipitate					
	(Ehren and De Castro Alem, 2018; Orocobre, 2019). Then, Li <sub>2</sub> CO <sub>3</sub> is					
	dissolved in deionized water at low temperatures and the solution is sent					
	through ion exchangers to remove residual Mg, Ca, and B. To precipitate					
	$Li_2CO_3$ (battery grade), the pulp is re-heated as a last step (Ehren and De					
	Castro Alem, 2018).					
Salar de Cauchari-Olaroz,	The pumped brine is enriched from 0.05 wt. % until 4 wt. % Li in					
Argentina (40 000 t Li <sub>2</sub> CO <sub>3</sub> )	evaporation ponds. Quicklime is added to remove Mg (Tran and Luong,					
	2015). B is removed via organic solvent extraction followed by removing					
	Mg and Ca salts by adding quicklime and soda ash (Perez et al., 2014).					
	The pulp is then heated to remove residual sulfates (Andeburg Consulting					
	Services Inc and Montgomery & Associates, 2019). An evaporator is then					
	used to decrease the volume of the Li-containing pulp, which is followed					
	by an ion exchanger to remove any residual impurities (Andeburg					
	Consulting Services Inc and Montgomery & Associates, 2019). In the next					
	step, $Li_2CO_3$ (technical grade) is forced to precipitate by heating the pulp					
	and adding soda ash. Subsequently, Li <sub>2</sub> CO <sub>3</sub> is dissolved in water at low					
	temperatures, and the solution is re-heated to produce $Li_2CO_3$ (battery					
	grade) (Perez et al., 2014).					
Salar del Hombre Muerto	A processing sequence similar to Salar de Atacama has been suggested					
(North), Argentina (5 000 t	(Knight Piésold Ltd. and JDS Energy & Mining Inc., 2019). As a first					
Li <sub>2</sub> CO <sub>3</sub> )	step, the brine would be enriched from 0.07 wt. % Li until 4 wt. % Li in					
	evaporation ponds. Quicklime would be added to the evaporation ponds					
	(Knight Piésold Ltd. and JDS Energy & Mining Inc., 2019). In the					
	processing plant, purification steps would consist of B removal by organic					
L						

	solvent extraction, Mg and Ca removal by adding soda ash and quicklime.					
	Then, Li <sub>2</sub> CO <sub>3</sub> (technical grade) precipitates by heating the pulp and					
	adding soda ash. $Li_2CO_3$ (technical grade) is then dissolved in water at					
	low temperatures and re-heated to precipitate $Li_2CO_3$ (battery grade).					
Chaerhan salt lake, China	a This Li <sub>2</sub> CO <sub>3</sub> production plant uses the residual K-depleted pulp of a					
(8 000 t Li <sub>2</sub> CO <sub>3</sub> )	fertilizers production plant. This pulp contains 0.022 wt. % Li (Lanke					
	Lithium, 2018). The first step is a Li-adsorption technique, where alumina					
	hydroxide is used as a resin to adsorb Li selectively. Deionized water is					
	used to remove Li from the adsorbent again. The Li-containing solution is					
	sent through ion exchangers to remove still existing impurities.					
	Nanofiltration and reverse osmosis are then required to reduce the volume					
	of the Li-containing solution (Wen et al., 2006). Solar evaporation					
	continuously reduces the brine volume from which subsequently $Li_2CO_3$					
	(technical grade) is produced (Gansu United testing services Co Ltd,					
	2018; Lanke Lithium, 2018; Li et al., 2020). Due to the aforementioned					
	required system expansion, we added the process sequence for $\text{Li}_2\text{CO}_3$					
	(battery grade).					

### 202 (2) Process-specific modeling of material and energy demands

The resource demand of each process was calculated based on mass and energy balances as proposed by our approach. We calculated the mass required in the evaporation ponds to produce 1 kg  $Li_2CO_3$  (battery grade) based on the reported Li concentration of the brine. For the evaporation ponds, waste production is not considered because the wastes from the different sites consist mainly of precipitated salts discarded in the near-by salt flats and are thus expected to have limited environmental impacts for the covered types of impact categories. The Li concentration of the enriched brine then served as an approximation of the mass 209 going into the processing plant. Based on the calculated mass flow, chemicals were estimated based on 210 stoichiometries. To account for the incompletion of the chemical reaction, 20 % mass is added to the 211 modeled quicklime consumption based on Flexer et al. (2018) and 10 % to the modeled soda ash 212 consumption (Li et al., 2020). 98.5 % of the organic solvent required in the B removal step is assumed to 213 be recycled (CELIMIN, personal communication). Since deionized water is required in various processes, 214 we estimated the required mass of water for each process. The brine operators reported water purification 215 steps. However, they are not explicitly stated. Hence, for all brine operations we assumed that brackish 216 water is treated by a reverse osmosis and an ion exchanger at all salt lakes (e.g., Lithium Americas Corp. 217 2019). Due to the lack of site-specific information regarding waste treatment at the processing plants, we 218 did not include waste treatment in the LCI, in contrast to the existing dataset in ecoinvent v3.8 (ecoinvent 219 2021). Sources of thermal and electrical energy (i.e., heat from natural gas, heat and power co-generation 220 from natural gas, and power from the location-specific grid) were based on company reports. Efficiencies 221 of thermal processes are assumed to be 85 % due to the lack of information in used literature (U.S. 222 Department of Energy Energy Efficiency and Renewable Energy, 2003).

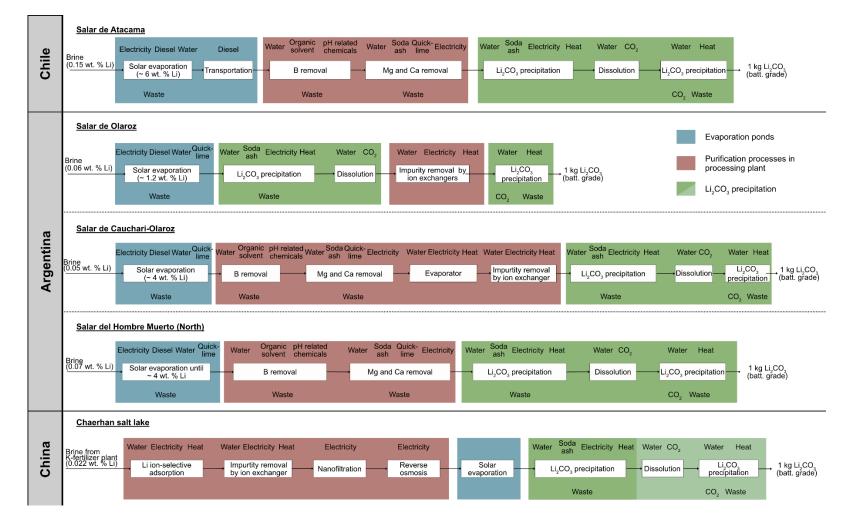


Figure 2 Process sequence of the five brine sites. The blue squares indicate that the processes are related to evaporation ponds, while red squares represent purification steps in the processing plant. Green squares represent Li<sub>2</sub>CO<sub>3</sub> precipitation steps. Bright green squares within the processing sequence of Chaerhan salt lake indicate the required system expansion.

#### Modeled resource consumption

228 Table 2 presents the modeled inputs per kg  $Li_2CO_3$  (battery grade) from the five sites. We find that Chaerhan 229 salt lake has the highest consumption in electricity (27.8 kWh/kg Li<sub>2</sub>CO<sub>3</sub>), heat (298 MJ/kg Li<sub>2</sub>CO<sub>3</sub>), and 230 water (474 kg/kg Li<sub>2</sub>CO<sub>3</sub>) due to the specific Li-ion adsorption, ion exchangers and the following 231 nanofiltration, respectively reverse osmosis used for purification. Water (219 kg/kg Li<sub>2</sub>CO<sub>3</sub>) and electricity 232 (1.5 kWh/kg Li<sub>2</sub>CO<sub>3</sub>) demand at Salar de Olaroz are higher than the other South American salt lakes. The 233 water and electricity consumption originates from the intensive use of ion exchangers for removing 234 impurities and re-generating ion exchangers. Since Salar de Olaroz relies on removing impurities by ion 235 exchangers, Salar de Olaroz does not require any B removal-related chemicals in contrast to Salar de Atacama, Salar de Cauchari-Olaroz, and Salar del Hombre Muerto (North). Quicklime demand in the 236 237 evaporation ponds to remove Mg is highest at Salar de Olaroz, while Salar de Atacama requires the lowest 238 quicklime demand per kg Li<sub>2</sub>CO<sub>3</sub>. At Salar de Atacama, quicklime is only required to remove residual Mg 239 from the pulp in the processing plant. Soda ash is used at all sites, mainly due to the  $Li_2CO_3$  precipitation 240 step.

Input demand/kg Li <sub>2</sub> CO <sub>3</sub>	Salar de Atacama	Salar de Olaroz	Salar de Cauchari- Olaroz	Salar del Hombre Muerto	Chaerhan salt lake
Electricity [kWh]	0.4	1.5	0.7	0.8	28
Heat [MJ]	19	19	28	14	298
Water [kg]	38	219	46	43	474
Quicklime [kg]	0.04	4.1	2.7	3.1	-
Sodium hydroxide [kg]	0.06	-	0.35	0.08	-
Organic solvent [kg]	0.04	-	0.1	0.7	-
Hydrochloric acid [kg]	0.10	-	0.5	0.9	-
Soda ash [kg]	1.9	1.6	2.1	1.6	1.6

241 Table 2 Modeled life cycle inputs for 1 kg Li<sub>2</sub>CO<sub>3</sub> (battery grade) production at selected salt lakes.

### 242 Step 3a: Life cycle impact assessment

243 To assess environmental impacts of Li<sub>2</sub>CO<sub>3</sub> (battery grade) production from each salt lake, GWP 100a

244 (IPCC 2013), globally regionalized LCA impact assessment of PM formation (Oberschelp et al. 2020), and

245 partly regionalized WSF based on AWARE (Boulay et al., 2018) were chosen.

#### 246 Climate change impacts

Figure 4-A presents the climate change impacts to produce 1 kg  $Li_2CO_3$  (battery grade) and their causes. In addition, we compare our results with two datasets (for  $Li_2CO_3$  from brines and spodumene-bearing pegmatites) provided in ecoinvent v3.8 (ecoinvent, 2021).

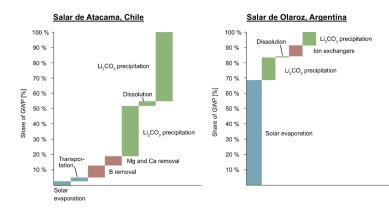
250 We find that  $Li_2CO_3$  production from the Salar de Atacama has the lowest climate change impacts (3.4 kg 251 CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>). Heat (41 % of the climate change impacts) and on-site chemicals use (38 %) are the 252 predominant contributors to the overall impacts. Soda ash for Li<sub>2</sub>CO<sub>3</sub> precipitation is responsible for 31 % 253 of the total climate change impacts, while other chemicals, like organic solvents, quicklime, and 254 hydrochloric acid, only contribute minor shares. Hence, we find that  $Li_2CO_3$  (technical grade) precipitation 255 followed by Li<sub>2</sub>CO<sub>3</sub> (battery grade) precipitation are the major processes contributing to climate change per 256 kg  $Li_2CO_3$  (battery grade) at Salar de Atacama (Figure 3). This is also in accordance with the findings by 257 Stamp et al. (2012) and Kelly et al. (2021) when assessing environmental impacts related to the Li<sub>2</sub>CO<sub>3</sub> 258 production at the Salar de Atacama.

259 Climate change impacts related to the Argentinian brines are up to 235 % higher than for Li<sub>2</sub>CO<sub>3</sub> extracted 260 from the Salar de Atacama (Salar de Olaroz: 7.4 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>; Salar de Cauchari-Olaroz: 7.7 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>; Salar del Hombre Muerto (North): 8 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>). Quicklime required in the 261 262 evaporation ponds to remove impurities contributes to the total climate change impacts (Salar de Olaroz: 263 67 % of the total impact; Salar de Cauchari-Olaroz: 43 %; Salar del Hombre Muerto (North): 49 %), while 264 heat and electricity on-site contribute to a minor extent. Quicklime production, in general, is associated 265 with significant GHG emissions (Eula 2014). First, the production is heavily energy-intensive and mainly 266 based on fossil fuels. Second, the chemical reaction to produce quicklime from limestone emits CO<sub>2</sub> as a 267 co-product (European Lime Association, 2014). Those two factors are reflected in the overall impacts if 268 quicklime is used in these evaporation ponds (Figures 3 and 4-A). Hence, evaporation ponds and Li<sub>2</sub>CO<sub>3</sub> 269 (technical grade) precipitation are the most critical contributors due to the usage of quicklime, respectively

soda ash for the Argentinian salt lakes. At Salar del Hombre Muerto (North) and Salar de Cauchari-Olaroz
specifically, the B removal step additionally makes up a significant share of climate change impacts.

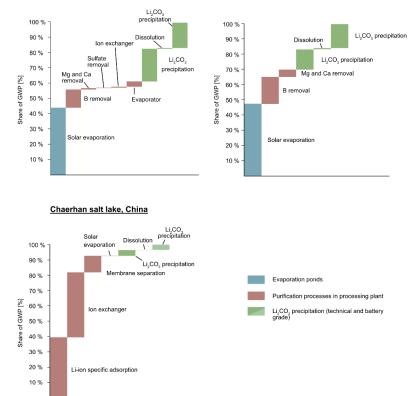
We find that  $Li_2CO_3$  from Chaerhan salt lake has by far the highest climate change impacts (31.6 kg CO<sub>2</sub>eq/kg  $Li_2CO_3$ ) resulting from the heat and electricity demand for the Li-ion selective adsorption technique. This technique mainly includes two phases (adsorption and desorption phase) which require the solution being heated up to a specific temperature using natural gas. Furthermore, electricity from the provincial electricity grid is required for the ion exchangers and membrane separation (nanofiltration and reverse osmosis) to remove impurities in the Li<sub>2</sub>CO<sub>3</sub> bearing solution.

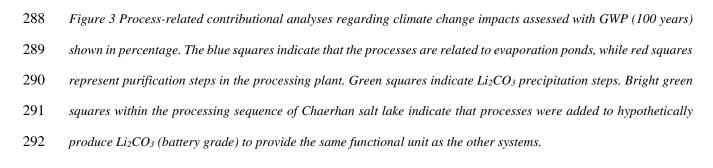
278 Climate change impacts of Li<sub>2</sub>CO<sub>3</sub> from brines in ecoinvent v3.8 (2.1 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>) and a recent 279 study by Kelly et al.  $(2021)(2.7 - 3.1 \text{ kg CO}_2\text{eq/kg Li}_2\text{CO}_3)$  are in the same range as the ones of our modeled 280 LCI for Salar de Atacama. However, these numbers are lower than the other brines in Argentina and China, 281 underestimating the climate change impacts of average Li<sub>2</sub>CO<sub>3</sub>. If Li<sub>2</sub>CO<sub>3</sub> is extracted from spodumene-282 bearing pegmatites, as described with the dataset provided by econvent v3.8 (econvent, 2021), the climate 283 change impacts add up to 10.7 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub>. Kelly et al. (2021) estimated 20.4 kg CO<sub>2</sub>eq/kg Li<sub>2</sub>CO<sub>3</sub> 284 from the Australian pegmatitic mine. Both estimations are higher than our results for the Argentinian salt 285 lakes but still lower than the one for Chaerhan salt lake.



Salar de Cauchari-Olaroz, Argentina

Salar del Hombre Muerto (North), Argentina





#### 293 PM-related human health impacts

294 The results indicate a large variability in PM-related health impacts (Figure 4-B), which underlines the 295 necessity to perform regionalized LCAs for brine operations in this impact category. The highest PM health 296 impacts occur due to the Li<sub>2</sub>CO<sub>3</sub> production at Chaerhan salt lake (1.2 micro-disability adjusted life years 297 (µDALY)/kg Li<sub>2</sub>CO<sub>3</sub>) followed by Salar del Hombre Muerto (0.67 µDALY/kg), Salar de Cauchari-Olaroz 298 (0.67 µDALY/kg), Salar de Atacama (0.43 µDALY/kg), and Salar de Olaroz (0.31 µDALY/kg). For all 299 sites, the background processes predominantely contribute to PM health impacts in contrast to foreground 300 processes (i.e., heat or diesel consumption) from the remote location of all salt lakes and low local 301 population densities. Electricity use in China, India, and Indonesia for various products and services in the 302 background system makes up a significant share of the overall impacts of all brine sites (Salar de Atacama: 303 31 %; Salar de Olaroz: 44 %; Salar de Cauchari-Olaroz and Salar del Hombre Muerto (North): 56 %; 304 Chaerhan salt lake: 48 %). The contribution of electricity required or generated on-site varies largely for 305 PM health impacts. While the Argentinian electricity mix contributes to the overall PM health impacts with 306 less than 1 %, the Chilean and Chinese electricity mix significantly contribute (27 % and 17 %) due to 307 particulates, < 2.5 µm, sulfur dioxide, and nitrogen oxides from coal power generation. 43 % of the Chilean 308 electricity mix comes from coal power. The Qinghai province-specific electricity mix, which was chosen 309 for Chaerhan salt lake, consists of 22 % coal power, while the main source in this region is hydropower. In 310 contrast, the Argentinian electricity mix includes little coal power (1 %) and does not significantly 311 contribute to the PM health impacts.

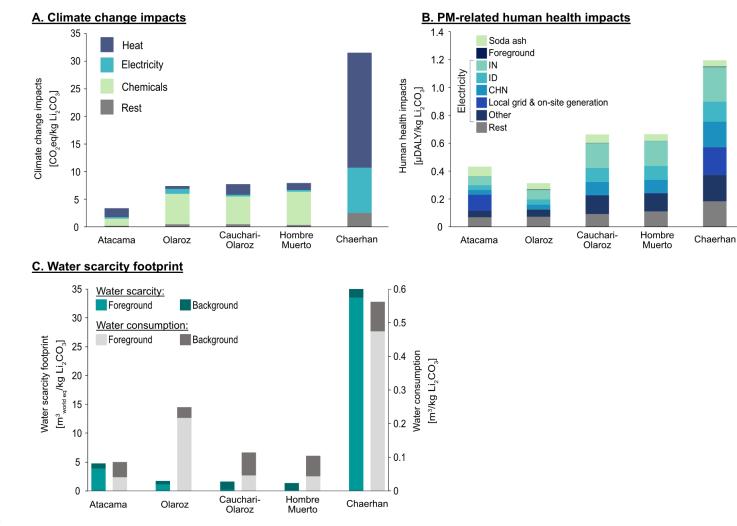
Soda ash is a relevant contributor to the PM health impacts at all sites. The specific contributions range from 4 % at Chaerhan salt lake to 16 % at Salar de Atacama. During soda ash production, ammonia is released into the atmosphere contributing significantly to PM health impacts in highly populated areas, such as Europe. However, the location of soda ash production is highly uncertain since our results rather reflect the LCI in ecoinvent v3.8 than the actual resource supplier due to missing operational data.

#### 317 Water scarcity footprint

318 The WSF for each salt lake using the AWARE method (Boulay et al., 2018) is presented in Figure 4-C. 319 Chaerhan salt lake has the highest impact on water scarcity (35.25 m<sup>3</sup><sub>world eq</sub>/kg Li<sub>2</sub>CO<sub>3</sub>), followed by Salar 320 de Atacama (4.77 m<sup>3</sup>world eq/kg Li<sub>2</sub>CO<sub>3</sub>). The Argentinian salt lakes are in the same range (1.36 m<sup>3</sup>world eq/kg 321 Li<sub>2</sub>CO<sub>3</sub> at Salar del Hombre Muerto, 1.62 m<sup>3</sup><sub>world eq</sub>/kg Li<sub>2</sub>CO<sub>3</sub> at Salar de Cauchari-Olaroz, and 1.73 m<sup>3</sup><sub>world</sub> 322 eq /kg Li<sub>2</sub>CO<sub>3</sub> at Salar de Olaroz). The water scarcity impacts of Salar de Atacama predominantely originate 323 from the direct use of freshwater at the processing plant (81 %). However, Salar de Atacama has the lowest 324 water demand on-site compared to all other salt lakes. Nevertheless, due to its high aridity (e.g., Munk et al. (2016)) the location-specific characterization factor is the highest with 94.7  $m^3_{world eq}/m^3$  amongst these 325 326 salt lakes, which is reflected in the overall water scarcity impacts.

The Argentinian brines have the lowest WSF due to their relatively low characterization factor (2.7 - 5m<sup>3</sup>world eq/m<sup>3</sup>). This is particularly important for Li<sub>2</sub>CO<sub>3</sub> production at Salar de Olaroz, which has a high water requirement due to the intensive use of ion exchangers in the foreground system. The water demand originates from the regeneration of the resin used to remove residual impurities and then allow a Li<sub>2</sub>CO<sub>3</sub> (battery grade) precipitation. Nevertheless, the overall impact is lower than the one from Salar de Atacama. In contrast to Salar de Olaroz, water scarcity impacts in the foreground are minor compared to the ones in the background at Salar de Cauchari-Olaroz (85 %) and Salar del Hombre Muerto (North) (91 %).

The WSF from  $Li_2CO_3$  production at Chaerhan salt lake originates from the extensive water use (see chapter resource consumption) in the processing plant due to the Li-ion specific adsorption technique. Furthermore, the location-specific characterization factor (70.6 m<sup>3</sup><sub>world eq</sub>/m<sup>3</sup>) contributes to the relatively high WSF. The water demand in the background only accounts for 5 % of the total WSF. In general, it has to be noted that the background water consumption was not allocated to specific regions and was assessed with the global average AWARE characterization factor, which is rather high (Boulay et al., 2018). Therefore, background water stress might be overestimated in some cases.



341

342 Figure 4 Environmental impacts of Li<sub>2</sub>CO<sub>3</sub> production from brines. (A) Impacts on climate change (GWP 100 yrs), (B) PM-related human health impacts and

343 (C) WSF (primary y-axis), and water consumption (secondary y-axis). (A) and (C) are shown on a midpoint level and (B) on an endpoint level.

#### 344 Step 3b: Robustness and limitations of the approach

345 We ran Monte Carlo simulations with n = 5000 runs for the foreground system of each salt lake using GWP 346 (IPCC 2013) and PM health impacts (Oberschelp et al. 2020) to assess the robustness of our results (more 347 information in the SI). The relative standard deviation ranges from  $\pm$  33 % (Salar de Atacama) to  $\pm$  98 % 348 (Chaerhan salt lake) for impacts on climate change, while the Argentinian salt lakes are in-between. For 349 PM-related health impacts, the relative standard deviation lies between  $\pm 46$  % (Salar de Cauchari-Olaroz 350 and Salar del Hombre Muerto (North)) and  $\pm 73$  % (Chaerhan salt lake). The relatively higher standard 351 deviation of Chaerhan salt lake is explained by the lowest data quality of all assessed sites. In general, the 352 origin of inputs is mostly unknown, thus contributing to the uncertainties. We, therefore, relied on ecoinvent 353 data. In order to decrease these uncertainties, site-specific information regarding the input supply is crucial. 354 If these data are not available, country-specific trading data could be obtained to decrease the uncertainties 355 in the future.

356 There are also data gaps in our modeling approach which need to be discussed. Energy, water, and chemical 357 demand modeled for this study were compared with annually reported company data or technical reports 358 from exploration activity (more information in SI). We used company data as an indicator rather than as a 359 fixed reference because specific boundaries and further documentation were generally not provided. 360 Ouantitative chemical demand at Salar de Cauchari-Olaroz has not been reported to the authors' knowledge 361 and thus, could not be compared with our results. Furthermore, the LCI modeling of Li<sub>2</sub>CO<sub>3</sub> (battery grade) 362 from Chaerhan salt lake predominately relies on parameters reported in construction plans by Gansu United 363 testing services Co Ltd (2018), impeding to test robustness of the model for that site. Annual changes of 364 the brine chemistry are challenging to include in the LCI, but may affect resource consumption (especially 365 chemical and heating demand) on-site. We could not estimate the salt crystallization sequence and hence, 366 calculate waste production in evaporation ponds. However, these wastes are mainly deposited on-site, 367 requiring little transport.

### 368 **3.2 Implications for LCA of Li-ion battery production**

369 To set this study into a broader context, modelled LCIs of two brine sites (Chaerhan salt lake and Salar de 370 Atacama) were implemented in an econvent v3.8 dataset, which represents the production of 1 kg 371 rechargeable Li-ion batteries. This type of battery is used for a variety of electrical vehicles (Crenna et al., 372 2021). Furthermore, we also adjusted the ecoinvent dataset to only use Li from the brine dataset in ecoinvent 373 v3.8. This leads to three battery datasets: 374 1. 100 % of the  $Li_2CO_3$  production originates from the dataset for  $Li_2CO_3$  from brines in ecoinvent 375 v3.8 (based on Salar de Atacama). 376 2. 100 % of the entire Li<sub>2</sub>CO<sub>3</sub> production is assumed to be from Salar de Atacama. 377 3. 100 % of the entire  $Li_2CO_3$  production is replaced by  $Li_2CO_3$  from Chaerhan salt lake. 378 As already Stamp et al. (2012) and Kelly et al. (2021) highlighted, the source of lithium affects the amount 379 of GHG emissions related to Li-ion battery production. The maximum increase of climate change impacts 380 is 19 % when implementing 100 % Li<sub>2</sub>CO<sub>3</sub> production by Chaerhan salt lake (dataset 3) compared to the 381 baseline (dataset 1). The leading cause is the high thermal and electrical energy demand based on fossil 382 fuels of Chaerhan salt lake. Li<sub>2</sub>CO<sub>3</sub> from Salar de Atacama (dataset 2) only increases climate change impact 383 of a Li-ion battery by < 1 %. In the future, more lithium production might be sourced from high-impact 384 mines since an increase in demand and price might make energy-intense production routes profitable. 385 Multiple studies emphasize the range of reported or modeled GHG emissions related to Li-ion battery production (e.g., Raugei and Winfield 2019; Crenna et al. 2021). For our study, these findings indicate that 386 387 the overall share of Li<sub>2</sub>CO<sub>3</sub> from brines regarding impacts may change in the future due to more detailed 388 and transparent Li-ion battery supply chains. Thus, LCA and carbon footprinting of future battery 389 production should consider the potential for high-impact  $Li_2CO_3$  supply and consider the existing LCIs as 390 highly uncertain. Furthermore, it is vital to communicate these findings appropriately to a non-scientific 391 audience, which could be done in future work by following the recently developed recommendations in 392 Salemdeeb et al. (2021).

## 393 **4** Conclusion and outlook

394 The Li supply is key for the transition towards a global decarbonized society. Li expects higher growth 395 rates than other metals in the future. Hence, it is inevitable to assess environmental impacts of current and 396 future Li extraction to avoid severe environmental burden shifting. Currently used LCIs of Li<sub>2</sub>CO<sub>3</sub> from 397 brines do not represent the global market nowadays and even less for the future. Thus, we developed a 398 framework to update LCIs of Li<sub>2</sub>CO<sub>3</sub> production from brines site-specifically. This methodology was 399 applied to existing and future production sites. Our framework helps to treat data gaps and to derive process-400 specific parameters from patents. Furthermore, an approach to assess sites using waste streams as a Li 401 source, like Chaerhan salt lake, was developed. Regionalization of foreground and background data as well 402 as using regionalized impact assessment methods were examined and discussed in detail. Our results 403 demonstrate the necessity of defining a framework to assess various sites and resulting variabilities in global 404 production. The case studies show that available literature data underestimate environmental impacts of 405  $Li_2CO_3$  production from brines. This mainly is a consequence of only assessing  $Li_2CO_3$  production at Salar 406 de Atacama and assuming that this data is representative of  $Li_2CO_3$  production in general, which is not the 407 case. Furthermore, the variability of our results is a consequence of the brine composition, the applied 408 processing technique, and the brine location. For instance, water scarcity and PM impacts need to be site-409 specifically assessed since the location of impact (background or foreground) varies among these sites. 410 Future improvements regarding the assessment of Li should focus on other Li sources, such as pegmatites, 411 geothermal brines, and seawater.

The integration of obtained LCIs in Li-ion batteries demonstrates that the overall impacts on climate change increase to up to 19%. Hence, supply chains of Li-ion batteries need to be assessed in detail, especially for future scenarios. Improvements regarding the resolution of supply chains are crucial to transition towards low-carbon technologies sustainably. This includes site-specific assessment of other minerals for batteries like Aluminum and Cobalt, such as recently done for copper mine tailings (Adrianto et al., 2022). Our framework serves as a starting point for enhancing LCI and regionalized LCIA of other battery minerals.

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### 428 **Declaration of interest**

429 The authors declare no competing interests.

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