Hybrid machine learning for digital soil mapping across a longitudinal gradient of contrasting topography, climate and vegetation

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- 23 Abstract. Environmental models often require soil maps to represent the spatial variability of soil
- 24 properties. However, mapping soils using conventional in situ survey protocols is time-consuming and
- 25 costly. As an alternative, Digital Soil Mapping (DSM) offers a fast-mapping approach that has the

potential to estimate soil properties and their interrelationships over large areas. In this study, we address 26 the currently outdated spatial information on soil properties across a tropical region (approx. 98,000 km²) 27 with a ~700-km longitudinal gradient of contrasting topography, climate, and vegetation in Brazil by 28 developing and applying statistical soil models for this region using a novel hybrid machine learning 29 (HML) framework. This framework reduces prediction redundancies due to high multicollinearity by 30 implementing a recursive feature selector algorithm for input selection. The hybrid framework's core is 31 composed of the Soil-Landscape Estimation and Evaluation Program (SLEEP) and a calibrated Gradient 32 Boosting Model (GBM) capable of modeling the spatial distribution of soil properties at multiple soil 33 depths. The use of SLEEP and GBM allowed us to explain the spatial distribution of various basic 34 physical and chemical soil properties and their environmental modulators. The model training and testing 35 approach used six topographical, ten meteorological and two vegetation properties, and data from 223 36 soil profiles across the study area. Our models demonstrated a consistent performance with spatial 37 extrapolations exhibiting r^2 values ranging from 0.79 to 0.98, and percent bias (PBIAS) from -1.39 to 38 1.14%. The properties related to topographic and climatic conditions were dominating when estimating 39 the number of soil layers, percentage of silt and the sum of bases. Our framework features high flexibility, 40 while reducing capital investments and increasing accuracy when compared to traditional mapping 41 protocols that require extensive surveys. 42

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44 Keywords: Gradient Boosting Model, Decision trees, SLEEP, Soil properties, Tropics, Pernambuco.

45 1 Introduction

Soils are a key component in many landscape models that focus on providing solutions to global 46 environmental issues such as food and water scarcity, unsustainable energy production, and biodiversity 47 losses (Bouma and McBratney, 2013). For a more comprehensive understanding of the role of soils in 48 these global challenges, as well as its interactions with other environmental factors, it is necessary to 49 robustly map the spatial distribution of soil properties. Soil mapping is complex and has been one of the 50 most time demanding and expensive tasks in soil science (Mendonça-Santos and Santos, 2006; Li and 51 Heap, 2014). Most of the existing maps were produced using the conventional soil survey protocol 52 (Hartemink et al., 2012), which remains the most adopted approach to record the highly variable soil 53 properties in landscapes. However, this surveying approach has been criticized for being heuristically 54 dependent on the practical knowledge of pedologists and for deriving interpretations using sometimes 55 insufficient or incomplete datasets (Scull et al., 2003). 56

Digital Soil Mapping (DSM) is an integrated complementary alternative that has been increasingly 57 gaining adoption as a tool to map soil properties. DSM reduces both survey time and costs (Kempen et 58 al., 2012; McBratney et al., 2003), and it improves the accessibility to soil data with more frequent updates 59 (Lagacherie and McBratney, 2006); it consists of establishing statistical relationships between field 60 information obtained from soil point sampling and environmental data related to soil forming processes, 61 e.g., relief, climate, parent material, and vegetation parameters, to produce models capable of 62 extrapolating data with high resolution (Scull et al., 2003). Numerous studies in Europe (Poggio and 63 64 Gimona, 2017; Ballabio et al., 2016; Tóth et al., 2017; Adhikari et al., 2014), Africa (Ramifehiarivo et al., 2017; Akpa et al., 2016), North and South America (Padarian et al., 2017; Guevara et al., 2018; 65

Hartemink et al., 2012), and Oceania (Teng et al., 2018; Gray et al., 2016) used DSM to reduce soil 66 mapping costs over large areas. More specifically, some of them used 3D radar products to acquire high 67 spatial resolution soil information either through data extrapolation using regressors (Adhikari et al., 68 2014) or disaggregation with machine learning (ML) techniques (Ellili-Bargaoui et al., 2020). Some of 69 these studies contributed to existing regional datasets (Teng et al., 2018) or global datasets such as the 70 GlobalSoilMap project (Ballabio et al., 2016; Rahmati et al., 2018). Others analyzed and discovered new 71 relationships between soil properties and soil-forming processes (Ramifehiarivo et al., 2017). DSM has 72 also been used to find potential hotspots for carbon sequestration and to support sustainable land 73 management strategies, while providing high quality datasets that are widely applicable (Akpa et al., 74 75 2016; Guevara et al., 2018; Gray et al., 2016). These data can be coupled with mathematical functions to estimate soils properties for a range of socioeconomical purposes such as water and agricultural 76 management, design of crop rotation scenarios, and urban planning (Padarian et al., 2017). 77

The methodological core of DSM includes mathematical models capable of performing spatial 78 extrapolations of soil properties at multiple spatial scales (e.g., Barros et al., 2013; Laurent et al., 2017; 79 Saxton and Rawls, 2006; Tomasella et al., 2000; Wang et al., 2018; Zeraatpisheh et al., 2019). These 80 models can predict the distribution of a given soil property horizontally, e.g., over the topsoil of a 81 landscape, or vertically, i.e., along soil profiles. In soil science, spatial extrapolations are usually made 82 by (i) applying a conceptual model to the survey area to simulate the distribution of soil patches (Scull et 83 al., 2003; Silva et al., 2001), (ii) using geostatistical interpolations (Li and Heap, 2014), (iii) delimiting 84 geographical subdivisions where environmental processes follow a relatively homogeneous pattern, such 85 as the facets described by Ziadat et al. (2015), or (iv) by applying pedotransfer functions (PTFs) to 86

properties of each soil location. PTFs are predictive mathematical equations that aim to use basic soil information to derive other soil properties that are often costly to measure, such as the water retention curve, or related parameters, e.g., field capacity and wilting point (Hugo et al., 2014). When combining both above-mentioned types of predictive tools to perform 3D extrapolations, high uncertainties are expected, especially for the vertical extrapolations because information is required across the soil profile that is rarely available (Yost and Hartemink, 2020).

In Brazil, many polynomial PTFs have been calibrated at both national (Tomasella et al., 2000) and sub-93 national scales (Barros et al., 2013; Oliveira et al., 2006, 2002). However, for many soil properties or 94 geographic regions, certain PTFs might not provide sufficiently accurate parameter estimates due to their 95 excessive number of polynomial terms that lead to overfitting (Hawkins, 2004). For example, 96 mathematical regressions calibrated for temperate climate zones and applied to the tropics often do not 97 return realistic soil parameters, e.g., Tomasella and Hodnett (1998). These applications may lead to 98 improper soil use and management recommendations. To avoid misapplications that produce inconsistent 99 soil maps, it is important to develop robust geostatistical relationships between predictive models and 100 regional characteristics in Brazil (Hugo et al., 2014; Vieira, 2000). 101

102 Compared to popular linear regression models, Machine Learning (ML) techniques have been 103 increasingly applied to fit the relationships between soil properties and environmental parameters. These 104 techniques include a set of models capable of detecting non-linear patterns, such as generalized linear 105 models (Beguería et al., 2013), random forest (Esfandiarpour-Boroujeni et al., 2020; Pahlavan-Rad et al., 106 2020; Poppiel et al., 2021), cubist (Taghizadeh-mehrjardi et al., 2016), and support vector machine 107 (Esfandiarpour-Boroujeni et al., 2020). These models have been successfully applied to generate a wide

108 variety of data types, which is compelling because soil properties often do not follow a normal distribution, but an exponential, Poisson, Bernoulli or uniform distribution instead (Hitziger and Ließ, 109 2014). If trained properly, ML techniques allow for accurate predictions, whereas other approaches with 110 111 underlying assumption on distributions may not be applicable or even fail to produce any values (Taghizadeh-mehrjardi et al., 2016), e.g., a regression may require the calculation of the square root or 112 logarithm of negatives values. In fact, Behrens et al. (2018) suggest that ML techniques might even 113 eliminate the need for further steps to correct biases during the mapping process because they commonly 114 only produce residuals that do not exhibit any spatial dependence. 115

The use of ML in DSM can provide soil products for improving modeling performance in other scientific 116 117 fields, since soil maps are used as spatially distributed inputs for other widely used models, such as land surface models, e.g., CABLE (Wang et al., 2011), JULES (Clark et al., 2011; Best et al., 2011) and 118 ORCHIDEE (Krinner et al., 2005), and some widely applied hydrological models, e.g., Soil and Water 119 Assessment Tool (SWAT; Arnold et al., 1998), and Soil and Water Integrated Model (SWIM; Krysanova 120 et al., 1998). Bossa et al. (2012) evaluated the impact of different soil mapping concepts in hydrological 121 models and demonstrated that it strongly influences modeling outputs. In this context, the mapping 122 approach and the soil database scale are important and directly affect many modeling steps (Bossa et al., 123 2012). Thus, environmental modeling and other soil data applications need adequate spatial 124 125 characterization of soil properties (Ziadat et al., 2015; Montzka et al., 2017).

Currently, soil maps for the tropics often shows a coarse spatial soil property aggregation, which generalizes soil variability into average values. This occurs because the common statistical techniques applied to perform extrapolations are heavily dependent on how dense the collection of soil profiles is;

and this is generally sparse due to financial and temporal limitations. In this study, we addressed the 129 growing need for soil models that produce improved information about the spatial variability of soil 130 properties in the tropics, by developing and applying a novel hybrid machine learning (HML) framework 131 to a region with a \sim 700-km longitudinal gradient of contrasting topography, climate, and vegetation in a 132 tropical region. Our goal was to develop and evaluate a hybrid framework that integrates Gradient 133 Boosting Models (GBM) with a soil landscape attribute model that allowed for (1) predicting and 134 comparison of spatial distributions of basic soil properties (physical and chemical properties), and (2) for 135 a better interpretation of major environmental modulators of the soil spatial distribution in this region. 136

137 2 Methodology

138 2.1 Methodology overview

In this study we develop and apply a novel HML framework integrating the Soil-Landscape Estimation 139 and Evaluation Program (SLEEP) and a calibrated Gradient Boosting Model (GBM). HML can be 140 understood as a seamlessly combination of algorithms from different areas of knowledge to complement 141 each other for higher predictive power than a standalone ML algorithm, e.g., Artificial Neural Network 142 and Vector Support Machine. By integrating SLEEP and GBM, we created a promising framework 143 capable of predicting soil data over large areas. Our methodology for applying the framework comprises 144 a three-step process that starts with the collection and preprocessing of six topographical, ten 145 meteorological, and two vegetation properties acquired from different data sources ranging from remotely 146 sensed datasets to meteorological stations. These are the independent variables to be correlated with *in* 147

situ soil granulometry and carbon content to make subsequent horizontal and vertical predictions of these 148 basic soil properties. We used the Soil-Landscape Estimation and Evaluation Program (SLEEP; Ziadat et 149 al., 2015) to create a non-distributed grid formed by facets, which, in this study, are treated as the smallest 150 area that reflect a single homogenous unit where soil formation factors might produce homogeneous types 151 of soils. To create these facets, we first delineated watersheds in our study area. Each watershed was 152 divided into multiple catchments, and then the facets were defined by the division of the catchments into 153 two parts, i.e., each side of their main drainage stream (Ziadat et al., 2015). The size of the catchments is 154 determined by a user-defined threshold assigned during stream definition. The smaller this threshold, the 155 denser is the stream network, resulting in a greater number of delineated catchments and facets. Once the 156 157 facets were created, we aggregated them based on their slope similarity, which ultimately creates contiguous patches. The patches allowed us to reduce the number of facets by grouping them in a single 158 159 mapping unit. These are especially useful to reduce the processing time when working with large areas, and to avoid the 'salt-and-pepper' noise in the mapping process. Then, we simulated the basic soil 160 properties in each patch at multiple depths by calibrating one model for each soil basic property using 161 ML instead of simple multiple regressions because they can capture a wider range of data distributions. 162 The calibration mechanism is composed of a recursive feature selector and a randomized searcher, which 163 were configured to perform a 2-fold cross-validation. At the end of this step, all patches are turned into 164 165 virtual soil profiles, namely simulated soil patches with their own depth-dependent simulated physical and chemical properties. The uncertainty was calculated for each property to characterize the error 166 consistency for each simulated value. Finally, in the third step, the entire dataset composed of virtual 167 profiles was complemented with further simulated soil parameters obtained with a range of PTFs, and an 168

analysis of the relationship between our estimates and the land-use of the study area. The entire modeling
algorithm developed and applied in this study is open source, written in Python versions 2.7.15 and 3.6.9
and available at https://github.com/razeavres/sleepy.

172 2.2 Study area

The study area is in the Northeast Brazil; it covers an area of approx. 98,000 km², and closely follows the 173 domain of the state of Pernambuco (Fig. 1). This region exhibits a longitudinal gradient of contrasting 174 topography, climate and vegetation. The elevation ranges from approx. 0 to over 1,150 m a.s.l. in a 175 variable gradient from East to West. This region is influenced by three meteorological phenomena, 176 namely Frontal Systems (FS), Upper Tropospheric Cyclonic Vortices (UTCV), and the Inter Tropical 177 Convergence Zone (ITC) (Salgueiro et al., 2016). There are three predominant climate types (Köppen's 178 classification) in the study are: hot semi-arid (steppe) climate (BSh; 61.4% of the area), tropical with dry 179 summer (As; 32.7%) and tropical monsoon (Am; 4.9%); the remaining 1% is composed of areas with a 180 181 tropical climate with dry winter (Aw; 0.1%), humid subtropical with dry winter and hot (Cwa; 0.3%) and temperate summer (Cwb; 0.3%), and with dry and hot summer (Csa; 0.3%) (Alvares et al., 2013). 182 Precipitation has a high spatial variability (Souza et al., 2021) with the annual mean precipitation rates 183 reaching approx. 2,000 mm in the East, and decreasing westwards to less than 400 mm. As for the 184 vegetation, near the coast, the predominant land-uses are Atlantic rain forest and rainfed croplands, which 185 are composed of a mosaic of sugarcane plantations and fruticulture (Project MapBiomas - Collection 5 of 186 Brazilian Land Cover & Use Map Series). With the climate becoming drier, the vegetation changes to a 187 seasonally dry tropical forest, i.e., the Brazilian Caatinga. Pastures become a common land-use activity, 188

and the soil gets shallower and rocky (Souza et al., 2021). In the middle transition, some high-altitude 189 areas create microclimatic conditions that favor rainfed crops of corn and beans, and mixed natural 190 vegetation formations. According to the Brazilian system of soil classification (and FAO system of soil 191 classification), the dominant soils are, respectively, Argissolos (i.e., Acrisols and Lixisols) (25% of the 192 area), Neossolos (i.e., Leptosols, Arenosols, Regosols, or Fluvisols) (32%) and Planossolos (i.e., 193 Planosols and Solonetz) (16%), Latossolos (i.e., Ferralsols) (9%) and Luvisolos (i.e., Luvisols) (9%) 194 (Silva et al., 2001; Filho et al., 2014). The geology maps for the state of Pernambuco show predominantly 195 (90%) pre-Cambrian rocks belonging to the São Francisco Craton and the Borborema Province, and the 196 remaining area is mainly composed by Paleomesozoic sedimentary basins and Mesocenezoic coastal 197 198 basins (Torres, 2014).

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202 environmental conditions over the study area.

204 2.3 Input data collection

Elevation data: we collected data from the TOPODATA database (http://www.dsr.inpe.br/topodata), 205 which is a bias-corrected version of the data produced by the NASA SRTM (Shuttle radar topography 206 mission) for the Brazilian territory made by the National Institute of Spatial Research (INPE). The data 207 were spatially refined from 3 (approx. 90 m) to 1 arc-second (approx. 30 m) using adjusted kriging 208 209 models, and it was tested on 40 Brazilian areas with distinct geological settings (Valeriano and Rossetti, 2012). Soil data: the georeferenced data regarding morphological (profile depth and number of horizons), 210 physical (particle size distribution) and chemical (Ca²⁺, Mg²⁺, K⁺, Na⁺ and C) properties of the soil were 211 acquired from the Agroecological Zoning of the state of Pernambuco (ZAPE) project of the Brazilian 212 Agricultural Research Corporation (EMBRAPA) (Silva et al., 2001). The ZAPE project focused on the 213 production and organization of a georeferenced database with information on soils, climate, and 214 215 vegetation that can be used in multiple applications, including sustainable land-use management and agricultural purposes (Silva et al., 2001). The soil database comprises 223 soil profiles distributed over 216 the study area (Fig. 1). Auxiliary meteorological data: data for air temperature (°C), air relative humidity 217 (%), solar radiation (MJ m⁻² day⁻¹), wind speed (m s⁻¹), and precipitation (mm) from the 1961–2016 period 218 were obtained through two open-access databases: daily precipitation data from the Water and Climate 219 Agency of Pernambuco (APAC: http://www.apac.pe.gov.br/meteorologia/monitoramento-pluvio.php), 220 and the other meteorological parameters from the National Water Agency of Brazil (ANA; 221 http://www.snirh.gov.br/hidroweb/publico/medicoes_historicas_abas.jsf). Auxiliary remote sensed 222 data: data regarding NDVI (Normalized Difference Vegetation Index) (MOD13A3; composition: 223

- 224 monthly, spatial resolution: 1 km), and LST (Land Surface Temperature) (MOD11A2; composition: 8-
- 225 days, spatial resolution: 1 km) were downloaded from <u>https://earthdata.nasa.gov/</u>.

Variable	Туре	Description	Unit
AAT	Т	Prefix used to denote accumulated variables	-
ASPECT	Т	Downslope direction at each cell	0
CTI	Т	Compound Topographic Index	-
CURV	Т	Curvature of the surface at each cell	-
DEM	Т	Digital elevation model	m
PCTSLP	Т	Slope of the surface at each cell	%
LST	V	Land surface temperature	Κ
NDVI	V	Normalized difference vegetation index	-
DEWPT	С	Mean air relative humidity	fraction (0-
			1)
PCPMM	С	Mean total monthly precipitation	mm
PCPSKW	С	Skew coefficient for daily precipitation in month	mm
PCPSTD	С	Standard deviation for daily precipitation in month	mm
SOLARAV	С	Mean daily solar radiation for month	MJ m ⁻² day ⁻¹
TMPMN	С	Mean daily minimum air temperature	°C
TMPMX	С	Mean daily maximum air temperature	°C
TMPSTDMN	С	Standard deviation for daily minimum air temperature	°C
TMPSTDMX	С	Standard deviation for daily maximum air temperature	°C
WNDAV	С	Mean daily wind speed in month	m s ⁻¹
CS	В	Coarse sand content	%
FS	В	Fine sand content	%
L_MAX	В	Number of soil layers	-
SB	В	Sum of Base (Ca ²⁺ , Mg ²⁺ , K ⁺ and Na ⁺)	cmol _c kg ⁻¹

Table 1. Summary of variables and parameters with their corresponding descriptions and units.

SN1	В	Non-sand content	fraction
SOL_BD	В	Moist bulk soil density	g cm ⁻³
SOL_CBN	В	Organic carbon content	%
SOL_CLAY	В	Clay content	%
SOL_ROCK	В	Rock fragments content	%
SOL_SAND	В	Sand content	%
SOL_SILT	В	Silt content	%
SOL_Z	В	Depth from soil surface to bottom of the soil layer	mm
R_{v}	Р	Volume fraction of gravel	cm ³ cm ⁻³
R _w	Р	Weight fraction of gravel	g g ⁻¹
$ heta_{1500}$	Р	Water content at -1500 kPa	$m^3 m^{-3}$
θ_{33}	Р	Water content at -33 kPa	$m^3 m^{-3}$
$ heta_S$	Р	Saturated water content	$m^3 m^{-3}$
θ_r	Р	Residual water content	$m^3 m^{-3}$
$ ho_N$	Р	Normal density	g cm ⁻³
$ ho_R$	Р	Gravel density	g cm ⁻³
ОМ	Р	Organic matter	%
SOL_AWC	Р	Available water capacity of the soil layer	mm mm ⁻¹
SOL_K	Р	Saturated hydraulic conductivity	mm hr ⁻¹
USLE_K	Р	USLE equation soil erodibility (K) factor	-
Ψ	Р	Matric potential	kPa
α , <i>n</i> and <i>m</i>	Р	Shape-fitting parameters of (van Genuchten, 1980)	-

In the second column: T = topography, V = vegetation, C = climate, B = basic property, and P = pedotransfer function parameter.

228 2.4 Soil survey data description

Our soil dataset consists of the total number of soil horizons (L_MAX), but for the modelling purposes 229 in this study we will be referencing it as the number of soil layers as we did not validate model efficacy 230 on distinguishing horizons with further field experiments. The database also has each soil layer depth 231 from the land surface (SOL Z; mm), soil clay content (< 0.002 mm; SOL CLAY; %), silt (> 0.002 and 232 233 < 0.05 mm; SOL_SILT; %), sand (> 0.05 and < 2 mm; SOL_SAND; %), rock (> 2 mm; SOL_ROCK; %), organic carbon (SOL_CBN; %) and sum of bases (sum of Ca²⁺, Mg²⁺, K⁺ and Na⁺; SB; cmol_c kg⁻¹). 234 In this study, we define the rock parameter as the sum of the fractions of gravel (> 2 mm and < 2 cm), 235 cobbles (> 2 cm and < 20 cm), boulders (> 20 cm and < 100 cm) and rocks (> 100 cm). The sand fraction 236 was divided into coarse (> 0.2 and < 2 mm; CS) and fine (> 0.05 and < 0.2 mm; FS) (Table 1). All particle 237 classification followed the Brazilian technical standards described in ABNT (1995), and physical and 238 239 chemical analysis were performed as described in EMBRAPA (1997).

Soil profiles exhibit an average total depth of $1,228 \pm 613$ mm, ranging from 120 to 2,550 mm. The 240 number of soil layers varies from one to seven and correlates well ($r^2 = 0.89$, p-value < 0.01) with the 241 profile depth (SOL Z). Rocks exhibit $4.4 \pm 11\%$ of total content, and when they are not considered by 242 the soil texture is composed by sand $(55 \pm 19\%)$, clay $(27 \pm 14\%)$, and silt $(18 \pm 9\%)$ (Fig. 2). The low 243 silt content is typical of tropical environments, and it is a common property in the Northeast region of 244 Brazil (Barros et al., 2013; Ottoni et al., 2018), where most sandy soils originate from the quaternary era, 245 and the clayey ones from tertiary and early cretaceous eras (Araújo Filho et al., 2000). These textural 246 patterns determine differences in hydraulic properties between soils in tropical and temperate regions 247

- 248 (Ottoni et al., 2018). For this reason, PTFs developed for temperate climates often provide inaccurate or
- 249 unrealistic estimates when applied to the tropics (Barros et al., 2013; Tomasella et al., 2000). Organic
- carbon contents are higher $(0.54 \pm 0.49\%)$ than the values found by Barros et al. (2013) for the Northeast
- region of Brazil (0.35%), and lower than the ones for the entire Brazilian territory (0.91 \pm 0.78%)
- 252 (Tomasella et al., 2000).

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Figure 2: Soil textural distribution for sand, silt and clay upscaled to 100% after removing the fraction of rocks, which is exhibited separately in (a).

258 2.5 Input preprocessing workflow

As a first step, the data for each soil layer from each soil profile (total of 925 soil layers) were converted 259 into a shapefile. We estimated the organic matter (OM) by multiplying SOL CBN by 2, as recommended 260by Pribyl (2010). For all meteorological parameters (Table 1), we calculated means and standard 261 deviations for all months in the data series (multiple months) and considered the maximum and minimum 262 263 air temperatures as distinct parameters; then the monthly statistics were summed (in case of precipitation) or averaged resulting in 12 historical values. In addition to these statistics, we calculated the skewness of 264 rainfall data distribution following the same logic of temporal aggregation (PCPSKW) using the 265 following equation: 266

267 PCPSKW =
$$\frac{d_W \times \sum_{d=1}^{d_W} (P_d - \bar{P})^3}{(N-1) \times (N-2) \times \sigma^3}$$
 (1)

Here d_W is the count of wet days in a month, N is the number of daily data records for a month, P_d is the 268 precipitation on a given day in mm, \overline{P} is the monthly average precipitation, and σ is its standard deviation. 269 For all calculations we only considered years without gaps in the data series for each meteorological 270 station individually, and from these data we derived ten parameters that were used in a spatial 271 interpolation. This interpolation was conducted using the inverse distance weighting (IDW) method at a 272 fixed cell resolution of 0.05°. This method was chosen due to its representativeness in variable terrain 273 area and wide adoption for climate data interpolation, e.g., Tan et al. (2021). Additionally, we performed 274 a leave-one out cross-validation and extracted details on the accuracy of these interpolations (Table 2). 275 As for the remotely sensed data, mosaics and reprojections were created using the MODIS Reprojection 276

- 277 Tool, and scaling and processing of the historical annual images were conducted using the GDAL library
- 278 (https://gdal.org/). The scaling factors for each product were acquired from the relevant user guides
- 279 available at <u>https://lpdaac.usgs.gov/</u>.

280	Table 2.	Leave-one-out	cross-validation	leave-one	out	of	all	interpolated	meteorological	input
281	parameters	s. The descriptio	n of the variables	can be four	nd in	Tab	le 1.			

Parameters	Power	Samples	r^2	RMSE	PBIAS
PCPMM (mm)	1.64	6140	0.94	21.34	-0.10
PCPSTD (mm)	1.65	6140	0.83	2.62	-0.17
PCPSKW (mm)	1	6140	0.87	1.33	0.03
TMPMX (°C)	1.63	254	0.94	1.51	0.19
TMPMN (°C)	1.77	254	0.95	1.43	0.88
TMPSTDMX (°C)	2.32	254	0.97	0.24	-0.51
TMPSTDMN (°C)	1	254	0.95	0.30	-0.18
SOLARAV (MJ m ⁻² day ⁻¹)	1.46	254	0.94	1.00	-0.24
DEWPT (0–1)	1.66	254	0.92	0.04	0.38
WNDAV (m s ⁻¹)	1.82	254	0.89	1.25	-0.0001

282 2.6 Spatial modeling

The core of the HML framework combines the Soil-Landscape Estimation and Evaluation Program (SLEEP) and a calibrated Gradient Boosting Model (GBM). Soil data were modeled using the SLEEP model by creating facets, for which basic soil properties, i.e., L_MAX, SOL_Z, SOL_CLAY, SOL_SILT, SOL_SAND, CS, FS, SOL_ROCK, SOL_CBN, and OM, were calculated. The SLEEP model requires three different types of inputs: (i) a digital elevation model (DEM), (ii) a shapefile containing the data observed for each soil profile, and (iii) the auxiliary data including meteorological and vegetation data in raster format (Fig. 3) (Ziadat et al., 2015). In this algorithm, we extract the drainage network following

(Tarboton et al., 1991) by using the size of the catchments to represent 0.001% of the total study area, 290 i.e., on average 1,803 pixels per catchment, which was obtained based on a visual evaluation of different 291 thresholds with a focus on providing high resolution data and satisfactory model processing time. We 292 aggregated the facets based on their slope similarity using the clustering technique Iso Cluster (Richards, 293 2013) to create patches. Finally, we modified the way the basic properties are modeled, changing it from 294 simple multiple linear regressors to GBMs (Fig. 4). GBM is an ensemble learner that consists of a set of 295 decision trees composed by weak-prediction models (WPM) often prone to overfitting, and, when 296 combined, produces highly accurate outputs. Each of these trees is a rule-based system, where their 297 terminal nodes can either be a WPM, i.e., leaf, or an if-then-else rule over a given input variable, i.e., 298 regular node. The whole trees are created using an iterative sequence of improvements of WPMs (i.e., 299 300 boosting), while optimizing themselves by reducing a loss function, i.e., gradient (Natekin and Knoll, 301 2013).

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304 Figure 3: Processing scheme of the adaptation of the SLEEP algorithm (Ziadat et al., 2015). The





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Figure 4: Processing workflow of all model outputs. The top half of this figure explains the machine
 learning processing of the basic soil characteristics, whereas the bottom half summarizes the PTF derived products. The description of the parameters can be found in Table 1.

For the GBM processing, two datasets were produced: (i) one composed of only the information from the patches that overlay the observed data for each profile (dataset for fitting), and (ii) consisting of all

312 available input information for every patch in the study area (dataset for prediction). The dataset for fitting

was split using the Holdout method at 20%, e.g., Whitney (1971), creating two sub-datasets, where 80% 313 314 of the records were used for model calibration (training dataset), and the remaining for further model verification (verification dataset) (Fig. 5). The sampling technique used in this process is a variation of 315 the k-fold cross-validation (Wong, 2015), which returns stratified folds containing approximately the 316 same percentage of samples of each target class. When dependent variables were continuous, without 317 classes, a quantile-based discretization function (QCUT) was applied to discretize these variables into 318 equal-sized groups based on sample quantiles, allowing for sampling the entire data distribution. The 319 GBMs had four basic parameters derived from the DEM (Table 1) as input features, namely the 320 downslope direction (ASPECT), the Compound Topographic Index (CTI), the curvature of the surface 321 322 (CURV) and the slope of the surface (PCTSLP). The CTI is represented by a steady state wetness index as a function of the slope and the upstream contributing area (Moore et al., 1993), and 12 auxiliary data 323 series from remote sensing products and meteorological stations. As targets, they had eight basic soil 324 properties. All inputs and targets are described in Table 1. GBM was used as a multiclass classifier to 325 simulate the number of soil layers, L_MAX; and as regressors for the other targets. SOL_ROCK was 326 estimated as a residual of all textural parameters. Coarse sand (CS) and fine sand (FS) were resampled to 327 total 100%. 328



330 Figure 5: Machine learning processing design for modeling the basic soil properties.

GBMs are often parameterized with only a few control inputs called hyperparameters. They hold the potential to define the final structure of the model and its predictive strength. These hyperparameters must be calibrated; for that purpose, we submitted all our GBMs to a recursive feature selector (RFS; Guyon et al., 2002) configured to perform cross-validation using the k-fold cross-validation at 2-folds, and then a randomized 2-fold calibration to search for the best combination of hyperparameters. The RFS here is

an input feature selection algorithm that fits a model and eliminates the weakest ranked inputs recursively, considering each iteration a smaller set of features until the best combination found. The performance indices used in all calibrations were the accuracy (Eq. 2) for the classifiers, i.e., L_MAX, and the coefficient of determination (r^2) (Eq. 3) for the regressors. Further in the analysis, for model verification, the most efficient models were compared to the testing dataset, and the same performance indices plus the Root Mean Square Error (RMSE) (Eq. 4) and Percent Bias (PBIAS) (Eq. 5) were applied. This final verification allowed us to evaluate the potential of the best models to perform extrapolations.

343 Accuracy =
$$\frac{(TP+TN)}{(TP+FP+FN+TN)}$$
(2)

344
$$r^2 = \frac{\sum(obs - \overline{obs}) \times (sim - \overline{sim})}{\sqrt{\sum(obs - \overline{obs})^2} \times \sqrt{\sum(sim - \overline{sim})^2}}$$
 (3)

345 RMSE =
$$\sqrt{\frac{\sum(obs-sim)^2}{n}}$$
 (4)

346 PBIAS =
$$\frac{\Sigma(obs-sim)}{\Sigma(obs)} \times 100$$
 (5)

347

348 TP, FP, FN and TN are the number of True Positives, False Positives, False Negatives and True 349 Negatives, respectively, in a contingency table; *obs* is the observed value of a given soil layer, and *sim* 350 is the simulated one, and \overline{obs} and \overline{stm} are average values. Accuracy is a metric of evaluation for 351 classification problems that works well only if the data distribution is not skewed. We then applied the 352 Synthetic Minority Oversampling Technique (SMOTE) to our dataset to solve all possible imbalances by 353 producing a new dataset that has a uniform distribution. This technique forces a balanced learning and an 354 overall better class detection. It introduces biases towards the minority classes by adding more samples

to the model learning process from these classes. Details of this technique can be found in Chawla et al. 355 (2002). To calibrate the hyperparameters, we created a set of possible values for each parameter. For 356 n estimators (NE; number of trees in the forest), it was composed of 100 values varying from 10 to 5,000; 357 for max depth (MD; maximum number of levels in each decision tree) it was 100 values in the 1-100358 interval; and min_samples_leaf (MSL; minimum number of data for a node to persist) and 359 min samples split (MSS; minimum number of data placed in a node required to perform a split) were 360 both set to 49 values, varying between 2–50. These four hyperparameters control the potential for 361 overfitting. If n_estimators is excessively high, then the GBM exhibits a robust performance during 362 calibration but has a poor predictive strength during extrapolations. Also, n estimators must be 363 364 determined for each individual application, and directly affects the learning rate and processing time. Small values for max depth are desirable to avoid models learning very localized relations that cannot be 365 accurately extrapolated. The same applies to min_samples_leaf to solve imbalances in samples 366 distribution successfully. The value of min_samples_split has a similar effect as max_depth on the model 367 performance, but here higher values are best to avoid relations highly specific to samples selected for a 368 given tree. These effects are well described in Dormann et al. (2007), Elith et al. (2008) and Hitziger and 369 Ließ (2014). The entire hyperparameter tuning was set to run 4,000 simulations. The calibrated models 370 were applied to predict the basic properties for each patch, creating 64,415 virtual soil profiles. The entire 371 372 predicted dataset was converted to raster format, and each raster is a different soil attribute.

373 2.7 Sensitivity and uncertainty analysis

The model sensitivity to input data was calculated as the importance, i.e., a weighted factor of each selected property for the most accurate GBMs. The importance (w) ranges from 0 to 1, where 1 reflects the highest weight a given input can receive in a model, and 0 the lowest. The sum of all weights is 1 for each model. More specifically, w values reflect indirectly how much the performance metric changes every time a given input is used to split a node in the whole model (Natekin and Knoll, 2013).

For the uncertainty analysis of the modeled variables, the selected inputs for each model and patch used in the predictions were classified into two categories (*e*), i.e., whether they extrapolated the calibration range of values (1) or not (0), as summarized in the following equation:

382
$$u_f = \sum_{i=0} (e_i \times w_i)$$
, (6)

where u_f is the uncertainty of each model; patch, e_i , is the binary category that reflects the extrapolation and w_i is its importance in the model (weight) of a given selected input *i*. As u_f gets close to 1, extrapolation is greater and so is its associated uncertainty. The contrary happens when it approaches 0, which means that all inputs used for a given prediction were in the range of values used for calibration.

387 **2.8** Application of pedotransfer functions

All data from the virtual soil profiles were submitted to a series of pre-established PTFs to estimate four soil properties: SOL_BD (moist bulk density; g cm⁻³), SOL_AWC (available water capacity; mm mm⁻¹), SOL_K (saturated hydraulic conductivity; mm hr⁻¹), and USLE_K (factor K from the USLE equation; unitless). SOL_K and USLE_K were modeled using the equations described in Saxton and Rawls (2006) and Belk et al. (2007), and Sharpley and Williams (1990), respectively (Table 3). The calculation of

393	SOL_AWC created a factorial design in our analysis. It was acquired with the equations from Saxton and
394	Rawls (2006), Tomasella et al. (2000), Oliveira et al. (2002) and Barros et al. (2013) (Table 4). Saxton
395	and Rawls (2006) produced PTFs using a soil dataset from an exhaustive soil sampling across the entire
396	United States. Tomasella et al. (2000) used a similar database for Brazil, while Barros et al. (2013) used
397	data for the Northeast region of Brazil only. Finally, Oliveira et al. (2002) created PTFs with data that
398	originated strictly from the state of Pernambuco. All SOL_AWC models require SOL_BD as an input.
399	Thus, SOL_BD from Saxton and Rawls (2006) was coupled with their own SOL_AWC model, while
400	SOL_BD from Oliveira et al. (2006) was used in the models of Tomasella et al. (2000), Oliveira et al.
401	(2002) and Barros et al. (2013). This resulted in 32 different complete sets of PTFs that can be used to
402	estimate the five soil properties.

Table 3. Pedotransfer models for soil conductivity (SOL_K) Saxton and Rawls, 2006; Belk et al., 2007) 403 and K-factor from USLE equation (USLE_K) (Sharpley and Williams, 1990). Please check Table 1 for the 404 meaning of the acronyms. 405

Models	Eq.
• SOL_K = $1930 \times (\theta_s - \theta_{33})^{(3-\lambda)}$	(7)
• $\lambda = \frac{1}{B}$	
$\circ B = [\ln(1500) - \ln(33)] / [\ln(\theta_{33}) - \ln(\theta_{1500})]$	
• SOL_K = $\left\{ \left[58 \times \left(\frac{SOL_Z}{1000} \right)^{-0.9} \right] \times 10 \right\} / 24$	(8)
• USLE_K = $\left\{ 0.2 + 0.3 \times e^{\left[-0.0256 \times \text{SOL}_SAND \times \left(1 - \left(\frac{\text{SOL}_SILT}{100}\right)\right)\right]} \right\} \times$	(9)
$\left(\frac{\text{SOL}_{SILT}}{\text{SOL}_{CLAY+SOL}_{SILT}}\right)^{0.3} \times \left[1 - \left(\frac{0.25 \times \text{SOL}_{CBN}}{\text{SOL}_{CBN+e^{(3.72-2.95 \times \text{SOL}_{CBN})}}\right)\right] \times \left[1 - \frac{1}{10000000000000000000000000000000000$	

$$\left(\frac{0.7 \times \text{SN1}}{\text{SN1} + e^{(-5.51 + 22.9 \times \text{SN1})}}\right)$$

$$\circ \text{ SN1} = 1 - (\text{SOL}_\text{SAND}/100)$$

406

- 407 Table 4. Pedotransfer models for bulk density (SOL_BD) and available water capacity (SOL_AWC). Table
- 408 1 contains the description of acronyms.

Saxton and Rawls (2006), SR	Eq.
• SOL_BD = $\rho_B = \rho_N \times (1 - R_v) + (R_v \times 2.65)$	(10)
$\circ \rho_N = (1 - \theta_S) \times 2.65$	
• $\theta_S = \theta_{33} + \theta_{(S-33)} - 0.097 \times (\text{SOL}_SAND/100) + 0.043$	
• $\theta_{33} = \theta_{33t} + [1.283 \times (\theta_{33t})^2 - 0.374 \times (\theta_{33t}) - 0.015]$	
$\circ \theta_{33t} = -0.251 \times (\text{SOL}_\text{SAND}/100) +$	
$0.195 \times (SOL_CLAY/100) + 0.011 \times OM +$	
$0.006 \times [(SOL_SAND/100) \times OM] - 0.027 \times$	
$[(SOL_CLAY/100) \times OM] + 0.452 \times$	
$[(SOL_SAND/100) \times (SOL_CLAY/100)] + 0.299$	
• $OM = SOL_{CBN} \times 2$	
As recommended (Pribyl, 2010).	
• $\theta_{(S-33)} = \theta_{(S-33)t} + (0.636 \times \theta_{(S-33)t} - 0.107)$	
$\circ \theta_{(S-33)t} = 0.278 \times (\text{SOL}_\text{SAND}/100) +$	
$0.034 \times (SOL_CLAY/100) + 0.022 \times OM -$	
$0.018 \times [(SOL_SAND/100) \times OM] - 0.027 \times$	
$[(SOL_CLAY/100) \times OM] - 0.584 \times$	
$[(SOL_SAND/100) \times (SOL_CLAY/100)] + 0.078$	
$\circ R_{v} = (\rho_{R} \times R_{w}) / [1 - R_{w} \times (1 - \rho_{R})]$	
• $\rho_R = \rho_N/2.65$	
• $R_w = \text{SOL}_{\text{ROCK}}/100$	
• SOL_AWC = $(\theta_{33} - \theta_{1500}) \times (1 - R_v)$	(11)
$\circ \theta_{1500} = \theta_{1500t} + (0.14 \times \theta_{1500t} - 0.02)$	
• $\theta_{1500t} = -0.024 \times (\text{SOL}_\text{SAND}/100) + 0.487 \times$	

 $(SOL_CLAY/100) + 0.006 \times OM + 0.005 \times [(SOL_SAND/100) \times$

OM] - 0.013 × [(SOL_CLAY/100) × OM] + 0.068 ×

 $[(SOL_SAND/100) \times (SOL_CLAY/100)] + 0.031$

Oliveira et al. (2006), OL

•
$$SOL_BD = f(SOL_Z) = \begin{cases} SOL_BD_{\leq 300}, SOL_Z \leq 300 \\ SOL_BD_{>300}, SOL_Z > 300 \end{cases}$$

• $SOL_BD_{\leq 300} = 1.5544 - 0.0004 \times (SOL_CLAY \times 10) - 0.01 \times (SOL_CBN \times 10) + 0.0067 \times (SB)$
• $SOL_BD_{>300} = 1.5574 - 0.0005 \times (SOL_CLAY \times 10) - 0.006 \times (SOL_CBN \times 10) + 0.0076 \times (SB)$
Barros et al. (2013), BR

• SOL_AWC =
$$\theta_{33} - \theta_{1500}$$

$$\circ \quad \theta_{33} = \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha \times |\Psi|)^n]^m}$$

- $\theta_r = 0.0858 0.1671 \times (SOL_SAND/100) + 0.3516 \times (SOL_CLAY/100) + 1.1846 \times (OM/100) + 0.000029 \times (SOL_BD/1000)$
- $\theta_s = 1 0.00037 \times (\text{SOL}_\text{BD}/1000)$

$$\alpha = 10^{\left[\begin{array}{c} 0.8118 + 0.8861 \times (\text{SOL}_\text{SAND}/100) - 1.1907 \times \\ (\text{SOL}_\text{CLAY}/100) - 0.001514 \times (\text{SOL}_\text{BD}/1000) \right]} \right]}$$

• $n = 1.1527 + 0.7427 \times (SOL_SAND/100) + 0.4135 \times$

 $(SOL_SILT/100) - 5.5341 \times (OM/100)$

•
$$m = 1 - (1/n)$$

Ψ = 33

$$\circ \quad \theta_{1500} = \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha \times |\Psi|)^n]^m}$$

Ψ = 1500

Oliveira et al. (2002), OL

• SOL_AWC = $\theta_{33} - \theta_{1500} =$

30

(14)

(12)

(13)

-0.000021 × (SOL_SAND × 10) + 0.000203 × (SOL_SILT × 10) + 0.000054 × (SOL_CLAY × 10) + 0.021656 × SOL_BD

$$\begin{aligned} \overline{\text{Tomasella et al. (2000), TM}} \\ \bullet \quad \text{SOL}_{AWC} &= \theta_{33} - \theta_{1500} \\ \circ \quad \theta_{33} &= \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha \times |\Psi|)^n]^m} \\ \bullet \quad \theta_r &= \begin{bmatrix} 23.3867 + 0.1103 \times \text{SOL}_{\text{CLAY}} - 4.7949 \times \text{SOL}_{\text{BD}} + \\ 0.0047 \times (\text{SOL}_{\text{SILT}} \times \text{SOL}_{\text{CLAY}}) - 0.0027 \times \text{CS}^2 - \\ 0.0022 \times \text{FS}^2 - 0.0048 \times \text{SOL}_{\text{SILT}^2} \\ \bullet \quad \text{SOL}_{\text{SAND}} &= \text{CS} + \text{FS} \\ \bullet \quad \theta_s &= \\ \begin{bmatrix} 91.6203 - 30.0046 \times \text{SOL}_{\text{BD}} + 1.5925 \times \text{SOL}_{\text{CBN}} + \\ 0.0022 \times (\text{CS} \times \text{SOL}_{\text{SILT}}) - 0.0036 \times (\text{CS} \times \text{SOL}_{\text{CLAY}}) - \end{bmatrix} / 100 \\ 0.0018 \times \text{CS}^2 - 0.001 \times \text{FS}^2 \\ \bullet \quad \theta_s &= \\ \begin{bmatrix} 295.6546 - 2.556 \times \text{SOL}_{\text{SILT}} - 0.129 \times \text{SOL}_{\text{CLAY}} - 247.4904 \times \text{SOL}_{\text{BD}} + \\ 0.0193 \times (\text{CS} \times \text{FS}) + 0.117 \times (\text{CS} \times \text{SOL}_{\text{SILT}}) - \\ 0.0617 \times \text{CS}^2 \\ \end{bmatrix} \\ \bullet \quad \alpha &= e^{\left[\begin{bmatrix} 205.6546 - 2.556 \times \text{SOL}_{\text{SILT}} - 0.129 \times \text{SOL}_{\text{CLAY}} - 247.4904 \times \text{SOL}_{\text{BD}} + \\ 0.0193 \times (\text{CS} \times \text{FS}) + 0.1177 \times (\text{CS} \times \text{SOL}_{\text{SILT}}) - \\ 0.0617 \times \text{CS}^2 \\ &= n = \left[\begin{bmatrix} 168.8617 - 0.0258 \times (\text{CS} \times \text{SOL}_{\text{SILT}}) - \\ 0.0261 \times ((\text{FS} \times \text{SOL}_{\text{CLAY}}) + 0.0093 \times \text{FS}^2 - \\ 0.0077 \times \text{SOL}_{\text{SILT}^2} \\ &= m = 1 - (1/n) \\ &= \Psi = 33 \\ \circ \quad \theta_{1500} = \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha \times |\Psi|)^n]^m} \\ &= \Psi = 1500 \end{aligned}$$
 (15)

409

410 **2.9 Land-use data collection and spatial statistics**

To exemplify one of the many potential applications using our results, we performed zonal statistics on the modeled soil textural attributes to analyze their distribution over multiple land-use types. For that, we acquired annual land-use maps from 1985 to 2019 via the API of the MAPBIOMAS project in the Google

Earth Engine (GEE; https://earthengine.google.com/). The MAPBIOMAS is an integrated initiative from 414 Brazilian researchers to reconstruct land use and cover changes in Brazilian Biomes, using Landsat 415 Archive and cloud computing capabilities (Souza et al., 2020). They were able to map forest and non-416 forest natural formation, farming, non-vegetated areas, and water bodies for the entire country at high 417 spatial resolution (30 m). The overall accuracy of the final MAPBIOMAS product is 89% (Souza et al., 418 2020). Detailed tutorials on how to acquire all data can be found at https://mapbiomas.org/. 419 To analyze differences in soil texture among distinct land-use classes, we first submitted all 35 maps to 420 an intercept geoprocessing tool in the package QGIS 3.10.3 (downloadable at https://qgis.org/), producing 421 a raster where its pixels reflect the areas where no changes in land use occurred during the 1985–2019 422 423 period, i.e., zonal raster. Then, we used this zonal raster to acquire spatial statistics of the soil texture

424 attributes per land use class.

425 3 Results and discussion

426 3.1 Model approximation

The spatial modeling produced 64,415 patches with an average area of 1.35 ± 4.54 km², and an average density of 0.75 patches per km². Each one of these were considered as a virtual soil profile for which GBM outputs were calculated. When working with DSM, having a high level of model predictive ability is essential because of the inductive nature of the soil mapping science, where patterns in observations are found and declared to be a general model (Overmars et al., 2007). However, preventing overfitting is important due to the nature of successive boosting inherent in GBMs, which allows decision trees to be

added until the model is completely overfitted (Dormann et al., 2007). To avoid this from happening, the 433 structure of the trees must be tuned by adjusting the models hyperparameters. This structure is usually 434 calibrated by applying a calibration algorithm with a range of possible values for each hyperparameter 435 $(b_{i min} - b_{i max})$. In this study, the models demonstrated a consistent ability to perform such extrapolations 436 as the performance of the models during the verification were similar to those found by the calibration 437 algorithm (Table 5). The r^2 and PBIAS values varied from 0.79 to 0.98, and from -1.39 to 1.14, 438 respectively. Among all models for textural properties, the lowest r^2 value was found for the modeled 439 SOL_SILT (0.79). We believe that the large number of predictors, each with similar importance, for the 440 SOL_SILT model (Table 5) may have caused prediction redundancies, and probably degraded the model 441 strength by increasing its variance, even though we applied a RFS algorithm for feature selection. 442

When comparing descriptive statistics between the simulated and observed reference datasets, differences 443 are expected since the observed dataset was not created using a systematic sampling, thus there are spaces 444 with singular environmental properties that were not captured in our observed dataset. The highest 445 differences were found for SOL ROCK (44.5%), SB (53.1%), CS (103.3%) and FS (31.9%). Even 446 without a systematic sampling approach, these values should not be excessively high since the observed 447 dataset still covers the entire study area and a high diversity of environments (Table 6). We attribute these 448 high differences in SOL ROCK to the calculation of the parameter as a residual of all textural parameters, 449 which was not directly modeled. As for CS and FS, they were directly modeled but unavoidably 450 resampled to a total of 100%. We did not use the same technique for the texture parameters, and choose 451 to sacrifice SOL_ROCK prediction accuracy, because its spatial variance produces a high number of zeros 452 (38.5%) of the total values) in comparing to all other parameters (< 0.01\%), leaving not enough variance 453

to perform any modeling accurately. Although SB exhibited no zeros in the dataset, it produced a similar
effect on regressors as SOL_ROCK did because 21.98% of its values ranged between 0.1 and 3.84 cmol_c
kg⁻¹, presenting an exponential data distribution. Finally, 51.49% of the 135,934 virtual profiles exhibited
some uncertainty. Most of the uncertainty was under 15% and its highest value was of 51.49% (Fig. 6).

Table 5. Calibrated values for the hyperparameters n_estimators (NE), max_depth (MD), min_samples_split (MSS) and min_samples_leaf (MSL) of the Gradient Boosting Models (GBM) of basic soil properties, and their calibration performance. The description of the variables can be found in Table 1.

Output	Calibrated hyperparameters			rs	Calibration	bration Verification		
Output	NE	MD	MSS	MSL	Accuracy ^(a) or r ^{2(b)}	Accuracy ^(a) or $r^{2(b)}$	RMSE	PBIAS
L_MAX	1325	23	41	70	0.91 ^(a)	0.96 ^(a)	-	-
SOL_Z (mm)	4445	3	36	7	$0.92^{(b)}$	0.98 ^(b)	73.19	0.02
SOL_SAND (%)	2521	87	73	6	0.77 ^(b)	0.91 ^(b)	6.27	1.14
SOL_CLAY (%)	1518	38	85	12	0.78 ^(b)	0.93 ^(b)	4.48	0.29
SOL_SILT (%)	1624	85	15	3	0.76 ^(b)	$0.79^{(b)}$	4.77	-1.36
SOL_CBN (%)	1265	27	17	43	0.78 ^(b)	0.91 ^(b)	0.14	-3.39
SB (cmol _c kg ⁻¹)	1026	46	23	2	0.82 ^(b)	0.95 ^(b)	1.79	2.97
CS (%)	2893	38	40	63	0.92 ^(b)	0.98 ^(b)	2.46	1.04
FS (%)	2282	3	7	13	0.89 ^(b)	0.97 ^(b)	2.03	-0.03

462



⁴⁶³

Figure 6: Uncertainty analysis of the Gradient Boosting Models (GBM) of the basic soil parameters
for the estimates whose inputs extrapolated the calibration range of values. The description of the
variables can be found in Table 1.

Table 6. Descriptive statistics of the 18 Gradient Boosting Models of basic soil properties, with thereference observed values between parentheses. The description of the variables can be found in Table 1.

Basic property	Mea	Mini	mum	Maximum		
L_MAX	4±1	(4±1)		1		l)
SOL_Z (mm)	700.88±475.26	(737.36±559.63)	1	(50)	8	(8)
SOL_SAND (%)	46.77±13.08	(51.52±21.27)	0	(0)	3051.4	(2550)
SOL_CLAY (%)	28.87±11.7	(27.3±17.51)	0	(0)	97.09	(98)
SOL_SILT (%)	17.99±6.4	(16.78±10.67)	0	(0)	83.6	(83.6)
SOL_ROCK (%)	6.37±7.89	(4.41±10.63)	0	(0)	56.92	(59)

SOL_CBN (%)	0.58 ± 0.36	(0.54±0.49)	0.0002	(0)	100	(100)
SB (cmol _c kg ⁻¹)	10.67±7.76	(6.97±8.39)	0.01	(0.14)	3.38	(3.38)
CS (%)	67.96±9.66	(29.51±18.46)	0	(0)	46.11	(49.74)
FS (%)	32.03±9.65	(24.28±13.09)	0	(0.4)	100	(88)

[This is a non-peer reviewed preprint submitted to EarthArXiv]

469 Reference observed values within parentheses.

470 **3.2 Environmental modulators**

Results showed that the soil properties were relatively sensitive to climate, topographic, and vegetation 471 properties (Fig. 7). Understanding how these environmental factors affect the physical and chemical soil 472 properties can support the management of their changes in response to future climate conditions or 473 deforestation (Badía et al., 2016). In our study area, the properties related to topographic and climatic 474 conditions were dominating when estimating all attributes, whereas the properties regarding vegetation 475 were especially strong for the soil property estimates related to sand, i.e., SOL_SAND, CS and FS. 476 Topography is always present as input variables in our models (Table 7), and it is indeed an important 477 478 factor in soil formation in Northeast Brazil (Oliveira et al., 2018). The topographic conditions can be divided into the slope, which may affect the quantity of soil deposition or erosion; the aspect, which drives 479 the water flux direction over the soils, and relative exposure of the soils to sunlight; and the curvature, 480 which changes flow velocity, controlling the erosion and deposition processes (Patton et al., 2018; 481 Barbieri et al., 2009). 482







485 basic soil parameter. The description of the variables can be found in Table 1.

Table 7. List of input parameters used for calibrating the Gradient Boosting Models of basic soil properties. The weights (w) calculated for each input in the models are between parentheses. The description of the variables and parameters can be found in Table 1.

Output	Inputs
L_MAX	NDVI (0.18), DEM (0.13), ASPECT (0.07), PCPMM (0.07), WNDAV (0.07),
	AAT_ASPECT (0.05), CUR (0.05), TMPSTDMX (0.05), TMPMX (0.04),
	ATT_CUR (0.03), CTI (0.03), SPR (0.03), PCPSTD (0.03), TMPMN (0.03),
	TMPSTDMN (0.03), ATT_SPR_F (0.02), LST (0.02), PCPSKW (0.02), DEWPT
	(0.02), SOLARAV (0.02).

- SOL_Z LAYER (0.83), AAT_ASPECT (0.02), CUR (0.02), NDVI (0.02), DEM (0.02), TMPMN (0.02), L_MAX (0.02), CTI (0.01), PCPSKW (0.01), PCPMM (0.01), SOLARAV (0.01), WNDAV (0.01), TMPSTDMN (0.01).
- SOL_SAND NDVI (0.09), WNDAV (0.09), CTI (0.08), LST (0.08), SOL_Z (0.08), ASPECT (0.07), CUR (0.07), TMPMN (0.07), PCPSKW (0.06), DEM (0.06), LAYER (0.06), ATT_CUR (0.05), TMPMX (0.05), TMPSTDMN (0.05), L_MAX (0.05).
- SOL_CLAY AAT_ASPECT (0.08), PCPMM (0.08), LST (0.07), ASPECT (0.06), CUR (0.06),
 WNDAV (0.06), DEM (0.05), CTI (0.04), NDVI (0.04), PCPSTD (0.04),
 ATT_CUR (0.03), DEWPT (0.02), SOLARAV (0.02), TMPSTDMX (0.02),
 TMPMN (0.02), TMPSTDMN (0.02), ATT_SPR_F (0.01), SPR (0.01), PCPSKW (0.01), TMPMX (0.01).
- SOL_SILT TMPMN (0.11), SOL_Z (0.1), DEM (0.09), ASPECT (0.07), PCPMM (0.07),
 CTI (0.05), CUR (0.05), DEWPT (0.05), L_MAX (0.05), AAT_ASPECT (0.04),
 ATT_SPR_F (0.04), NDVI (0.04), SOLARAV (0.03), TMPSTDMX (0.03),
 TMPSTDMN (0.03), LAYER (0.03), SPR (0.02), LST (0.02), WNDAV (0.02),
 TMPMX (0.02), PCPSKW (0.01), PCPSTD (0.01).
- SOL_CBN LAYER (0.24), SOL_Z (0.2), ATT_CUR (0.07), NDVI (0.06), CUR (0.04),
 WNDAV (0.04), AAT_ASPECT (0.03), CTI (0.03), SPR (0.03), PCPSKW (0.03),
 PCPSTD (0.03), PCP_MM (0.03), DEM (0.03), ASPECT (0.02), ATT_SPR_F
 (0.02), LST (0.02), SOLARAV (0.02), TMPMN (0.02), TMPSTDMN (0.02),
 L_MAX (0.02), DEWPT (0.01), TMPSTDMX (0.01).
- SB DEWPT (0.19), WNDAV (0.14), PCPSTD (0.08), DEM (0.07), SOL_Z (0.07),
 TMPMN (0.06), LST (0.05), TMPSTDMX (0.05), ASPECT (0.04), CUR (0.04),
 PCPMM (0.04), L_MAX (0.04), AAT_ASPECT (0.03), TMPSTDMN (0.03),
 NDVI (0.02), LAYER (0.02), ATT_CUR (0.01), SOLARAV (0.01), TMPMX (0.01).
- CS SOL_SAND (0.65), TMPSTDMX (0.06), DEM (0.05), TMPMX (0.05), SPR

(0.04), LST (0.04), NDVI (0.04), SOLARAV (0.03), WNDAV (0.03), PCPSTD (0.02).

FS

 S
 SOL_SAND (0.4), SOLARAV (0.09), NDVI (0.07), ATT_CUR (0.05), SPR (0.05), DEM (0.05), TMPMX (0.05), TMPSTDMX (0.05), LST (0.04), PCPMM (0.04), DEWPT (0.03), TMPSTDMN (0.03), SOL_Z (0.03), WNDAV (0.02).

489

Our model for SB was mainly influenced by relative air humidity (19%) and wind speed (14%). These 490 variables are known for controlling the intensity of biochemical reactions, and wind erosion (Ravi et al., 491 2004), and are capable of moving nutrients and thus affect its local content. Although precipitation may 492 be an important climate driver in other regions, e.g., Dixon et al. (2016), its characteristics, i.e., PCPSTD 493 and PCPMM, counted only for 12% of our model for SB, and the low r² (0.34) between DEWPT and 494 PCPMM suggests that relative air humidity was not used due to its potential, although non-existent, strong 495 correlation to rainfall. At high relative humidity, soil chemicals weather relatively quickly, and this is an 496 extremely favorable condition to biochemical reactions, which may increase the yields of organic matter, 497 and limit the partitioning of organic chemicals into the soil (Truu et al., 2017; Eppes et al., 2020). In 498 addition, air humidity affects erosion, as soil particles may become more aggregated. This is explained 499 500 by the effect of hygroscopic forces and their dependence on soil matric potential, especially in dry soils (Davarzani et al., 2014; Ravi et al., 2004). For the wind speed, it may change the contents of topsoil 501 nutrients (Zobeck et al., 1989), especially in arid and semi-arid regions, as seen in the west region of our 502 study area, where soils are dry and covered by a sparse vegetation (Ravi et al., 2004). 503

The L_MAX model had NDVI (18%) and terrain elevation (13%) as its main inputs. Although the elevation is a topographic variable, it often modulates climate conditions as it is related to physical

features that may create 'climate islands' (Badía et al., 2016), either by the processes of rain shadows or via changes on atmospheric lapse rates (Nettesheim et al., 2015). Thus, it is well related to meteorological conditions (Badía et al., 2016), which impact the speed at which parent materials weather, and hence the rate of soil development. As for NDVI, it reflects indirectly the vertical variability in the soil, as soils formed under forests tend to be more weathered. It happens because forests grow in higher rainfall areas (Bonan, 2008).

Other model inputs include CTI and the basic parameters themselves, which, in our case, are L MAX, 512 SOL_Z and SOL_SAND. CTI is especially important when predicting various soil properties, as it 513 encapsulates the terrain structure (Moore et al., 1993; Gessler et al., 1995, 2000; Ziadat, 2010). The 514 SOL SAND and SOL SILT estimates were strongly modulated by the SOL Z. Sand formation is well 515 reported to occur on top layers that are more vulnerable to all types of erosion (Das and Deka, 2020). Silt 516 content variations are mainly driven by the temperature profile in the soil that affects soil aeration though 517 changes in producing CO₂, and soil structure by modulating interactions among the clay particles, yielding 518 less clay and more silt in deeper layers. The SOL_SAND also showed a moderate relationship with the 519 vegetation inputs. The vegetation cover is a potential indicator of weathered soils, or reduced sand 520 contents, as soils formed under dense forests are usually in high-rainfall areas (Souza et al., 2016), as seen 521 the eastern region of our study area. 522

523 3.3 Hydraulic parameters

The moist bulk density estimates SOL_BD_{SR} (Saxton and Rawls, 2006) and SOL_BD_{OL} (Oliveira et al., 2006) were similar, with mean differences of only 0.11 g cm⁻³ (Table 8). These models produced an

acceptable range of values since other studies in Brazil have found a maximum variation between 0.13 and 2.25 g cm⁻³, e.g., Benites et al. (2007) and Boschi et al. (2018). In general, PTFs tend to be overadjusted, to varying degrees, to the dataset used in their calibration step (De Vos et al., 2005). For the SOL_AWC, SOL_AWC_{OL}, which was calibrated strictly using data from Pernambuco State, was the only equation that did not saturate when simulations were performed (Oliveira et al., 2006). As we evaluate and map soils for a common region to Oliveira et al. (2006), these results highlight the overfitting trend that usually exists in PTFs.

Table 8. Descriptive statistics of all calculated pedotransfer functions (PTF) data using basic soil
properties derived from Gradient Boosting Models (GBM).

PTF outputs	Mear	n (SD)	Minimum	Maximum	Invalid values (%)
SOL_BD _{SR} (g cm ⁻³)	1.54	(0.09)	1.01	2.23	0
$SOL_BD_{OL} (g \text{ cm}^{-3})$	1.45	(0.07)	1.12	1.76	0
$SOL_AWC_{SR} (mm mm^{-1})$	0.11	(0.01)	0.01	0.18	0
$SOL_AWC_{BR} (mm mm^{-1})$	0.05	(0.03)	0.001	0.17	0.75
$SOL_AWC_{TM} (mm mm^{-1})$	0.03	(0.01)	0.001	0.13	5.01
$SOL_AWC_{OL} (mm mm^{-1})$	0.07	(0.01)	0.01	0.16	0
SOL_K_{SR} (mm hr ⁻¹)	11.17	(14.24)	0.003	932.54	0
$SOL_K_{SR/BR} (mm hr^{-1})$	1101.28	8 (350.5)	10.41	1900.21	0
$SOL_K_{SR/TM} (mm hr^{-1})$	26.72	(26.58)	0.001	219.47	12.07
SOL_K _{BK} (mm hr ⁻¹)	63.85	(333.9)	8.85	12112	0
USLE_K	0.22	(0.03)	0.01	0.41	0

535

Two of the four estimates of SOL_K were variations of the equation described in Saxton and Rawls (2006). The difference between them is in the calculation of the inputs θ_S , θ_{33} and θ_{1500} , which differs

from the one originally proposed by Saxton and Rawls (2006), SOL_K_{SR} to the approaches of Barros et 538 al. (2013), SOL_K_{BR}, and Tomasella et al. (2000), SOL_K_{TM}. Maximum values ranged from 219.47 539 (SOL_K_{SR/TM}) to 12,112 mm h⁻¹ (SOL_K_{BK}). The SOL_K_{BK} is the simplest approach. It has only the 540 SOL_Z as input, and therefore it reflects only a fixed range of soil textures. Invalid values were observed 541 only for SOL_K_{SR/TM} due to saturations of θ_r and n, which produced negative values and exponents in 542 the model. For USLE K, the applied model expects values varying from 0.1 to 0.5 (Sharpley and 543 Williams, 1990), but we reached values below this threshold. This happened because our simulated 544 dataset presents soils with high coarse-sand contents. 545

The models developed in this study used a dataset of *in situ* observations from a range of different 546 climates, vegetation covers and slope conditions. This dataset produced the variance required by the 547 GBM; and was a key element to apply the framework successfully. When applying these methods to other 548 regions, we recommend performing a simple dataset splitting test to evaluate whether the models are 549 being fed with an appropriate (i) number of samples, and (ii) quality dataset, i.e., whether it has sufficient 550 variance. Normally, the model performance is not heavily affected by an increase in the number of 551 samples in a dataset, as it prevents corruption of its variance. However, if the sample size is small — this 552 is region-specific and can be only evaluated by performing tests — the overall variance will be easily 553 impacted by individual samples. In this study, we selected the input parameters based on their known soil 554 forming relationship, as we are doing an analysis over the soil modulators; but this is not strictly required 555 to reproduce this methodology for other regions. Multiple variations of a single parameter can be applied 556 as long as it does not violate the assumption of multi-collinearity. 557

558 3.4 Land cover types and soil texture linkages

Our results show a predominance of high sandy content with a higher density of points exhibiting a 40-559 70% content for sandy, followed by 20–45% for clay, and 15–25% for silt (Fig. 8). The highest clay 560 content values were found in the East of the Pernambuco State region, covering an area extending from 561 about 20 to 100 km from the coast (Fig. 9). For the remaining area, the sand content is approximately 562 twice higher, and the highest silt content is found within the transition of high clay to sandy areas. There 563 are a few coarse sand-dominated soil patches in sedimentary basins, such as the Jatobá, Belmonte and 564 Fátima, in coastal lowlands, and smaller portions in the coastal plateaus close to the Atlantic Ocean. 565 Moreover, in the West of the study area, there are sandy surface layers at the top of the Araripe plateau. 566









570 Figure 9: Maps of the modeled soil texture attributes over the study area.

571 Not surprisingly, the soils with the highest clay content are covered with agricultural fields (Fig. 10) since 572 higher soil water retention is expected as soils particle distribution gets finer (Newman, 1984). Over these 573 patches of higher clay content, agriculture practices vary across the study area due to contrasting

precipitation patterns. In the East, the precipitation is the highest and water-intensive sugar cane plantations are predominant over the areas with the highest clay content ($38.9\% \pm 10.6\%$). In the Southernmost part of our study area, where the climate is dry with low precipitation rates, there is a region with relatively high clay content (over 30%) known as the São Francisco Valley; there, perennial crops are maintained via irrigation systems supplied with water from the San Francisco River, which crosses the valley.

We found that approximately 50% of the entire study area had at least one type of land-cover conversion over the 1985–2019 period. The joint analysis of land-use changes and high-resolution robust soil mapping is only one of the applications that is possible with the use of the methodology we propose. For example, since the expansion of agriculture has been towards areas with higher clay content, our results can support the development of strategic plans to improve the use of poorly managed areas with high clay content. Moreover, our maps can be used as evidence in support of environmental policies to prioritize the protection of native vegetation in clayey soils that are particularly vulnerable to deforestation.

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590 Figure 10: Modeled soil texture attributes and land-cover across the study area.

591 4 Conclusions

In this study we produced a robust soil map using inductive ML techniques based on decision trees for the highly variable Pernambuco State region in Brazil. The good quality of the overall model performance is reflected in our models' statistics that presents r^2 and PBIAS values varying from 0.79 to 0.98, and from -1.39 to 1.14, respectively. The advantage of decision tree methods can be far greater than classical linear regression because decision tree methods are entirely free of strict assumptions, and all types of

variables, scales, distributions, and relations can be jointly handled at once. We explored this characteristic in detail in this study, by employing multiple freely available datasets with an extensive range of data types (e.g., the number of soil layers and chemical composition) to improve the soil information in our study area. Although GBM may be considered semi-black-box models, adding a feature selector in the calibration processing allowed us to perform uncertainty analyses and pinpoint the main environmental modulators of different soil properties.

Our results are especially important for soil management in response to climate change or land use and 603 land management changes, such as deforestation and desertification, at multiple spatial scales. The novel 604 hybrid machine learning framework includes enhanced flexibility, the possibility of producing regular 605 606 short-term map updates, and supporting future economic and environmental modeling integration (e.g., https://super.hawqs.tamu.edu/), while drastically reducing capital investments compared to *in situ* surveys 607 and mapping. We believe that these promising findings will improve all soil-related modeling efforts and 608 will encourage the development of new framework and datasets for soil sciences. Our new dataset can be 609 further used to create a new portfolio of applications for soil science, innovative research, agricultural 610 zoning, and environmental management strategies. 611

612 Acknowledgments

Soil data was provided by the Brazilian Agricultural Research Corporation (EMBRAPA) through the Agroecological Zoning of the state of Pernambuco (ZAPE) project. The authors also acknowledge the following funding sources: The Brazilian Coordination for the Improvement of Higher Level Personnel (CAPES 88887.371850/2019-00) for AGSSS and JDG; The Fundação de Amparo a Ciência e Tecnologia

617	do Estado de Pernambuco (Project FACEPE APQ, 0646-9.25/16) for RQM and JDG; The National
618	Council for Scientific and Technological Development of Brazil (CNPq) through the projects
619	MCTIC/CNPq 28/2018 (431980/2018-7), PEGASUS MCTI/CNPq N° 19/2017 (441305/2017-2),
620	CNPq/MCTIC/BRICS 29/2017 (442335/2017.2), INCT Mudanças Climáticas II, and productivity grants
621	(448236/2014-1 and 313469/2020-2) for SMGLM; and the UK Natural Environment Research Council
622	(NE/N012526/1 ICL and NE/N012488/1 UoR) and FAPESP (The São Paulo Research Foundation)
623	(FAPESP 2015/50488-5) for the UK/Brazil Nordeste project for AV, RLBN and MSBM.

624 Code availability

625 The code developed and used in this study is freely available at the GitHub repository 626 (https://github.com/razeayres/sleepy).

627 Data availability

The datasets generated and analyzed in this study are available at the Zenodo repository (https://zenodo.org/record/5918544). The observed data used to support the findings of this study comes from the Agroecological Zoning of the state of Pernambuco (ZAPE) project of the Brazilian Agricultural Research Corporation (EMBRAPA), it is not licensed for redistribution and it can be acquired by contacting the Soil Unit of EMBRAPA at cnps.sac@embrapa.br.

633 Author contribution

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- 635 Formal Analysis: RQM, RLBN, AGSSS and JDG; Investigation: RQM, RLBN, MSBM and JDG;
- 636 Methodology: RQM, RLBN, JFS, MSBM, FZ, AV and JDG; Project administration: RQM and JDG;
- 637 Resources: JDG; Software: RQM, RLBN and JDG; Validation: RQM, RLBN, AV and MSBM;
- Visualization: RQM, RLBN, AV, MSBM and MSBA; Writing original draft: all authors; Writing –
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